

1 **Cluster Dynamics-based Parameterization for Sulfuric Acid-Dimethylamine**
2 **Nucleation: Comparison and Selection through Box- and Three-Dimensional-**
3 **Modeling**

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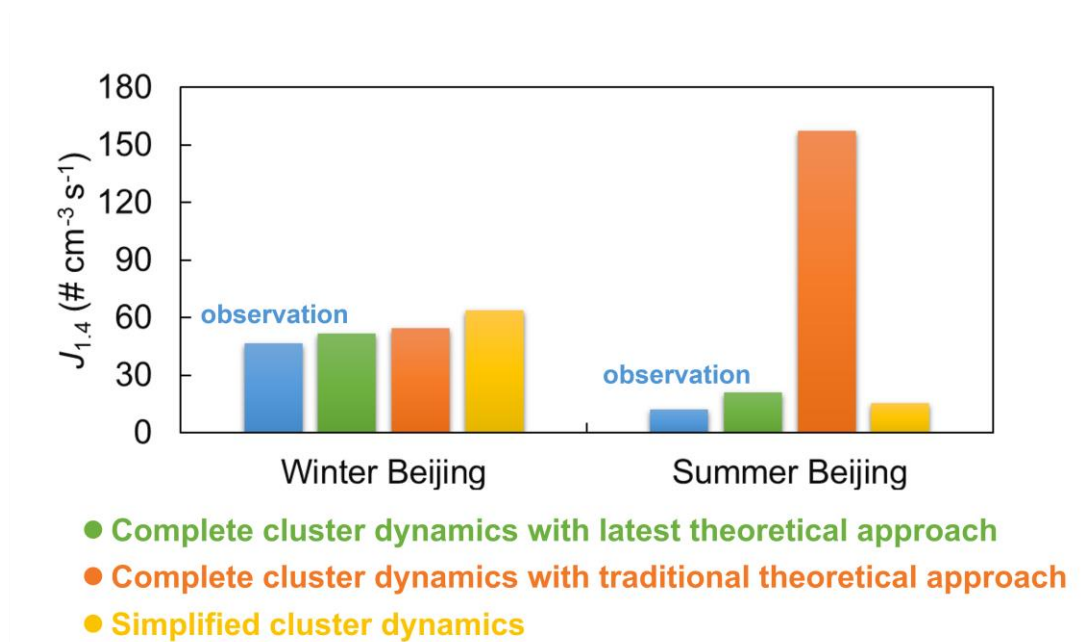
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28 **ABSTRACT**

29 Clustering of gaseous sulfuric acid (SA) enhanced by dimethylamine (DMA) is a
30 major mechanism for new particle formation (NPF) in polluted atmospheres. However,
31 uncertainty remains regarding the SA-DMA nucleation parameterization that
32 reasonably represents cluster dynamics and is applicable across various atmospheric
33 conditions. This uncertainty hinders accurate three-dimensional (3-D) modeling of NPF
34 and subsequent assessment of its environmental and climatic impacts. Here we
35 extensively compare different cluster dynamics-based parameterizations for SA-DMA
36 nucleation and identify the most reliable one through a combination of box-model
37 simulations, 3-D modeling, and in-situ observations. Results show that the
38 parameterization derived from Atmospheric Cluster Dynamic Code (ACDC)
39 simulations, incorporating the latest theoretical insights (DLPNO-CCSD(T)/aug-cc-
40 pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory) and adequate representation of
41 cluster dynamics, exhibits dependable performance in 3-D NPF simulation for both
42 winter and summer conditions in Beijing and shows promise for application in diverse
43 atmospheric conditions. Another ACDC-derived parameterization, replacing the level
44 of theory with RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd), also performs
45 well in NPF modeling at relatively low temperatures around 280 K but exhibits
46 limitations at higher temperatures due to inappropriate representation of SA-DMA
47 cluster thermodynamics. Additionally, a previously reported parameterization
48 incorporating simplifications is applicable for simulating NPF in polluted atmospheres
49 but tends to overestimate particle formation rates under conditions of elevated
50 temperature ($> \sim 300$ K) and low condensation sink ($< \sim 3 \times 10^{-3} \text{ s}^{-1}$). Our findings
51 highlight the applicability of the new ACDC-derived parameterization, which couples
52 the latest SA-DMA nucleation theory and holistic cluster dynamics, in 3-D NPF
53 modeling. The ACDC-derived parameterization framework provides valuable reference
54 for developing parameterizations for other nucleation systems.

55 **GRAPHICAL ABSTRACT**

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57

58 1 INTRODUCTION

59 Atmospheric aerosols have significant impacts on visibility, human health, and
60 global climate (Gordon et al., 2016; Gao et al., 2024). New Particle Formation (NPF)
61 is the predominant source of global aerosol population, with nucleation being the key
62 stage of the gas-to-particle transformation (Zhao et al., 2020; Almeida et al., 2013). In
63 polluted regions such as urban China, compelling evidence indicates that sulfuric acid
64 (SA)-driven nucleation enhanced by dimethylamine (DMA) can generate
65 thermodynamically stable SA-DMA clusters and lead to high particle formation rates
66 close to kinetic limit of SA clustering, which is responsible for the observed intensive
67 NPF events (Cai et al., 2021; Yao et al., 2018). Meanwhile, it has been demonstrated
68 that variations in atmospheric conditions, including condensation sinks (CS) arising
69 from background aerosols, along with temperature (T), can exert profound impacts on
70 the cluster dynamics of SA-DMA nucleation by varying the particle formation rates
71 across several orders of magnitude (Cai et al., 2021; Deng et al., 2020). Given that
72 complex interactions exist among various gaseous precursors, molecular clusters, and
73 pre-existing aerosols during nucleation, reasonable representation of the cluster
74 dynamics of SA-DMA nucleation in three-dimensional (3-D) models is important for
75 3-D NPF modeling and subsequent assessment of its impacts on environment and
76 climate.

77 Empirical models in form of power law functions have been extensively utilized to
78 examine how particle formation rates respond to precursor concentrations (Semeniuk
79 and Dastoor, 2018). Through parameter fitting, these empirical models can effectively
80 reproduce the particle formation rates observed in both laboratory experiments and field
81 measurements (Kulmala et al., 2006; Riccobono et al., 2014; Semeniuk and Dastoor,
82 2018). Subsequently, they can be integrated into 3-D models for regional or global NPF
83 simulations. Bergman et al. (2015) and Dunne et al. (2016) have simulated SA-DMA
84 nucleation utilizing global models, which incorporate empirical equations derived from
85 experimental data obtained from CLOUD chamber or flow tube experiments. These
86 parameterization schemes successfully characterize the response of particle formation
87 rates to precursor concentrations, however, they fail to account for dependencies on T
88 and CS due to the ignorance of explicit cluster dynamics. As a result, they are identified
89 to be inadequate for accurately reproducing NPF events in winter Beijing (Li et al.,
90 2023c).

91 We recently developed an analytical equation for SA-DMA nucleation
92 parameterization based on detailed cluster dynamics simulations (abbreviated as
93 Dynamic_Sim) (Li et al., 2023c). Previous theoretical insights into the SA-DMA
94 system (Olenius et al., 2013, 2017; Ortega et al., 2012; Myllys et al., 2019) indicate that
95 $(\text{SA})_k(\text{DMA})_k$ ($k = 1-4$) and $(\text{SA})_2(\text{DMA})_1$ clusters are considered the key clusters along
96 the cluster formation pathways in SA-DMA nucleation. Under the polluted conditions
97 ($\text{CS} > \sim 1.0 \times 10^{-2} \text{ s}^{-1}$), the evaporation rates of clusters $(\text{SA})_k(\text{DMA})_k$ ($k = 2-4$) and
98 $(\text{SA})_2(\text{DMA})_1$ clusters are negligible compared to their coagulation sink. Accordingly,
99 several simplifications have been made in Dynamic_Sim, including 1) only

100 (SA)_k(DMA)_k ($k = 1-4$) and (SA)₂(DMA)₁ clusters are considered; 2) clusters larger
101 than (SA)₁(DMA)₁ are regarded stable with no evaporation; and 3) (SA)₄(DMA)₄
102 cluster is the only terminal cluster in calculating particle formation rates. Subsequent
103 applications in 3-D modeling have demonstrated significantly improved performance
104 of Dynamic_Sim compared to previous data-fitting parameterizations in simulating the
105 particle formation rates, the evolution of particle number size distributions (PNSDs),
106 and NPF events in winter Beijing. However, the efficacy of Dynamic_Sim in NPF
107 simulation has yet to be assessed under varying atmospheric conditions, such as the
108 summer season characterized by relatively higher T and lower CS compared to winter.
109 Moreover, the impacts of simplifications made in the derivation of Dynamic_Sim on 3-
110 D NPF simulation under different atmospheric conditions remain unclear.

111 In addition to the form of explicit formulations, integration of nucleation dynamics
112 in 3-D models can also be realized using precomputed look-up tables generated by box
113 models. Atmospheric Cluster Dynamics Code (ACDC) is a representative box model
114 for simulating cluster dynamics and particle formation rates (Mcgrath et al., 2012;
115 Olenius et al, 2013). In addition to representing T - and CS- dependencies for particle
116 formation rate as Dynamic_Sim, ACDC considers the source/sink terms of all given
117 molecules/clusters within a nucleation system without simplifications of the clustering
118 processes. By integrating quantum chemical calculations with ACDC, Almeida et al.
119 (2013) discovered that the simulated SA-DMA nucleation provides valuable insights
120 for interpreting the measurements from the CLOUD chamber experiments. Similarly,
121 Lu et al. (2020) demonstrated that ACDC coupled with quantum chemistry calculations
122 can effectively reproduce the particle formation rates observed in urban Shanghai. In
123 addition to its extensive utilization in box modeling (Almeida et al., 2013; Lu et al.,
124 2020; Yang et al., 2021), several studies have simulated nucleation pathways in
125 chemical transport models using precomputed look-up tables generated by ACDC. For
126 example, Baranizadeh et al. (2016) and Croft et al. (2016) used ACDC-derived look-up
127 tables as nucleation parameterizations to probe the impacts of SA–NH₃–H₂O nucleation
128 on aerosol number concentration, cloud properties, and radiation balance. Olin et al.
129 (2022) and Julin et al. (2018) evaluated the impact of new particle formation on aerosol
130 number concentrations in Europe under historical and emission reduction scenarios,
131 respectively, using ACDC-derived parameterizations involving both SA–NH₃–H₂O and
132 SA-DMA nucleation. It should be noted that ACDC program in modeling the nucleation
133 process is highly reliant on specific thermodynamic data for the molecular clusters of
134 interest, which are primarily obtained through quantum chemical calculations (Elm et
135 al., 2020). A very recent study by Svenhag et al. (2024) compared the impact of two
136 typical quantum calculation methods on 3-D modeling of SA-NH₃ nucleation using
137 ACDC-derived parameterizations. However, it is still unclear how different quantum
138 chemical methods affect the 3-D modeling of SA-DMA nucleation.

139 This study aims to compare different cluster dynamic-based parameterizations for
140 SA-DMA nucleation and identify the robust one applicable for 3-D models. We
141 introduced parameterizations developed using the ACDC program, incorporating

142 various quantum chemical calculations. Different cluster dynamic-based
143 parameterizations, including ACDC-derived ones as well as Dynamic_Sim, are
144 comprehensively compared and evaluated through a combination of box-model
145 simulations, 3-D modeling, and in-situ observational data. Our findings reveal that by
146 incorporating the latest theoretical understanding and complete representation of cluster
147 dynamics, ACDC-derived parameterization demonstrates reliable performance in 3-D
148 NPF simulation for both winter and summer conditions in Beijing and exhibits potential
149 applicability in diverse atmospheric conditions. The study sheds light on the impacts of
150 employing various simplifications in cluster dynamics and different theoretical
151 approaches in deriving parameterizations on NPF simulation. In addition to
152 contributing to the precise simulation of SA-DMA nucleation and the quantification of
153 its environmental and climatic effects, this study provides valuable references for
154 simulating other nucleation mechanisms in 3-D models.

155 2 METHODS

156 2.1 Configurations of ACDC

157 Here, $(SA)_m(DMA)_n$ clusters ($0 < n \leq m \leq 3$, m and n represent the number of SA
158 and DMA molecules in a cluster) are used to build the ACDC-derived parameterizations
159 for SA-DMA nucleation due to their reported much higher stability compared to those
160 containing more DMA molecules than SA molecules (Xie et al., 2017). The ACDC code
161 is available at <https://github.com/tolenius/ACDC>. The conformations and
162 thermodynamics of SA-DMA clusters are taken from our other study (Ning et al., 2024).
163 Briefly, the conformations of selected clusters are taken from the reported global
164 minima from Li et al. (2020), and the key thermodynamic data for ACDC, Gibbs free
165 energy change (ΔG), are recalculated at the DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-
166 D/6-311++G(3df,3pd) level of theory. Based on benchmark studies (Elm et al., 2020),
167 this level of theory provides dependable thermodynamic insights into molecular
168 clusters during nucleation and represents the latest theoretical approach. In addition, the
169 rotational symmetry is consistently considered in quantum calculations following Besel
170 et al. (2020). Following most previous ACDC simulation studies (Xie et al., 2017; Elm
171 et al., 2020; Ning et al., 2020), $(SA)_4(DMA)_3$ and $(SA)_4(DMA)_4$ clusters are defined as
172 the boundary conditions, i.e. the clusters fluxing out the simulated system and
173 participating in subsequent growth in ACDC simulations, considering their high
174 stability. Since clusters containing SA tetramers are estimated to have an electrical
175 mobility diameter of 1.4 nm (Cai et al., 2023; Jen et al., 2014; Thomas et al., 2016), the
176 formation rates of $(SA)_4(DMA)_3$ and $(SA)_4(DMA)_4$ clusters are therefore deemed as the
177 particle formation rates at 1.4 nm ($J_{1.4}$). Size-dependent coagulation sink (CoagS)
178 is counted for each SA-DMA cluster which is consistent with Dynamic_Sim (Li et al.,
179 2023c):

$$180 \text{CoagS}_i = CS \left(\frac{V_i}{V_1} \right)^{-1.7}$$

181 where V_i and V_1 (m^3) represent the volume of cluster i and SA molecule, respectively.
182 The power-law exponent of -1.7 is selected according to typical range in the atmosphere

183 (Lehtinen et al., 2007). In addition, enhancement for collision processes from Van de
184 Waals forces is also considered. We refer to the ACDC-derived parameterization in
185 coupling the DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of
186 theory and adequate cluster dynamics as ACDC_DB, which is established as the base-
187 case for our discussion of other cluster dynamics-based parameterizations.

188 In addition to the direct comparison of ACDC_DB to Dynamic_Sim, additional test
189 parameterizations combining ACDC_DB and three simplifications within
190 Dynamic_Sim are established and compared with ACDC_DB to further probe the
191 impacts of these simplifications on NPF simulations. According to our previous study,
192 altering the simplifications within Dynamic_Sim to explicit treatment would
193 substantially escalate the computational demand by several orders of magnitude (Li et
194 al. 2023c). Therefore, we utilize the ACDC-derived look-up tables to evaluate the
195 impacts of the simplified treatments. The configurations of all parameterizations are
196 detailed in Table 1. It should be noted that when all simplifications are applied on
197 ACDC_DB, Dynamic_Sim still predicts higher $J_{1.4}$ compared to ACDC_DB (Figure
198 S1A). This is because the ΔG value of the initial (SA)₁(DMA)₁ cluster at 298.15 K used
199 in Dynamic_Sim, which is taken from Myllys et al. (Myllys et al., 2019), is slightly
200 lower than that used in ACDC_DB (-13.5 kcal mol⁻¹ for Dynamic_Sim and -12.9 kcal
201 mol⁻¹ for ACDC_DB) (Ning et al., 2024), even though both parameterizations employ
202 the quantum chemical calculation method of DLPNO-CCSD(T). Possible reasons for
203 the discrepancy include the utilization of a larger basis set (3-zeta 6-311++G(3df,3pd))
204 and higher convergence criteria (Tight PNO + Tight SCF) in this study compared to
205 that in Myllys et al.. Aligning the ΔG for (SA)₁(DMA)₁ cluster in Dynamic_Sim with
206 that of ACDC leads to a high consistency in the predicted $J_{1.4}$ between the two
207 approaches (Figure S1B). The uncertainty of ΔG used in Dynamic_Sim is discussed in
208 our previous study (Li et al., 2023c) and here we mainly focus on the impacts of
209 simplifications in Dynamic_Sim.

210 While the DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level
211 of theory yields reasonable cluster thermodynamics, quantum chemistry calculations
212 employing the RI-CC2 method predicting lower ΔG for cluster formation (stronger
213 binding between molecules within clusters), has been widely used in conjunction with
214 ACDC to interpret experimental and observed particle formation rates in previous
215 studies (Almeida et al., 2013; Kürten et al., 2018; Ning et al., 2020). The prevalent
216 combination used with the RI-CC2 method is RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-
217 311++G(3df,3pd) level of theory (Lu et al., 2020; Liu et al., 2021; Ning et al., 2022;
218 Ning and Zhang, 2022; Liu et al., 2019). Based on Elm's work, compared to DLPNO-
219 CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd), the differences in predicted
220 cluster binding energies primarily stem from discrepancies between DLPNO-CCSD(T)
221 and RI-CC2 in single-point energy calculations, while the ω B97X-D and M06-2X
222 functionals exhibit similar performance (Elm et al., 2013; Elm et al., 2020). Also, in
223 previous studies the RI-CC2 method combined with ACDC was consistently
224 accompanied by application of a sticking factor (SF) of 0.5 in treating collision

225 processes (Almeida et al., 2013; Lu et al., 2020). However, it is noteworthy that,
 226 according to Stolzenburg et al.’s work (Stolzenburg et al., 2020), the SF of the neutral
 227 SA-DMA cluster system should be unity. Here, we refer to the traditional theoretical
 228 approach as employing the RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd)
 229 level of theory and incorporating the SF of 0.5 in collision processes. An ACDC-derived
 230 parameterization coupling the traditional theoretical approach is established to assess
 231 the effectiveness of the traditional method in NPF simulation (ACDC_RM_SF0.5).
 232 Except for the varied thermodynamic inputs and SF, the remaining configurations of
 233 ACDC_RM_SF0.5 are identical to ACDC_DB. Additionally, we establish a test
 234 parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd)
 235 level of theory with an SF of unity (ACDC_RM) to evaluate the impact solely arising
 236 from the quantum chemical calculation method. Note that SF of unity is applied to all
 237 parameterizations in this study except for the ACDC_RM_SF0.5.

238 To quantify the differences in simulating $J_{1,4}$ among different cluster dynamics-
 239 based parameterizations compared to our base-case ACDC_DB, we introduce a
 240 parameter R :

$$241 \quad R_X = \frac{\sum_i^n (X_i / \text{ACDC_DB}_i)}{n}$$

242 where ACDC_DB_i and X_i denote the simulated $J_{1,4}$ by the base-case ACDC_DB and
 243 another specific parameterization X , respectively, given the input scenarios of i (a set
 244 of input values for T , CS, concentration of SA ($[\text{SA}]$) and DMA ($[\text{DMA}]$)), and n
 245 signifies the total number of input scenarios.

247 **Table 1.** Summary of various cluster dynamics-based parameterizations of SA-DMA
 248 nucleation in this study (main parameterizations are in bold, while test ones in regular)

Case	Description
Dynamic_Sim	Reported parameterization from Li et al. 2023 combining the simplifications in boundary conditions, cluster evaporations, and cluster number
ACDC_DB	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory, namely the latest theoretical approach
ACDC_DB_BC	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory and simplification in boundary conditions (only $(\text{SA})_4(\text{DMA})_4$ cluster is set as boundary condition)
ACDC_DB_CE	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory and simplification in cluster evaporations (the evaporation rates of $(\text{SA})_k(\text{DMA})_k$ ($k = 2-3$) and $(\text{SA})_2(\text{DMA})_1$ clusters are kept zero)

ACDC_DB_CN	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory and simplification in cluster number (only (SA) _k (DMA) _k ($k = 1-3$) and (SA) ₂ (DMA) ₁ clusters are involved)
ACDC_RM_SF0.5	ACDC-derived parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory and a SF of 0.5 is applied in collision process, namely the traditional theoretical approach
ACDC_RM	ACDC-derived parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory and a SF of 1 is applied

249

250 2.2 Incorporating the ACDC-derived Parameterizations into WRF-Chem/R2D- 251 VBS Model

252 Various parameterizations are subsequently implemented in the Weather Research
253 and Forecasting-Chemistry model (WRF-Chem) integrating an experimentally
254 constrained Radical Two-Dimensional Volatility Basis Set (2D-VBS) (denoted as
255 WRF-Chem/R2D-VBS) (Zhao et al., 2020). Incorporating the box-model ACDC into a
256 3-D model using the explicit mathematical formula, as Dynamic_Sim, proves to be
257 challenging. Here, we created a four-dimensional look-up table that delineates the
258 response of $J_{1,4}$ to four input variables (T , CS, [SA], and [DMA]) for each ACDC-
259 derived parameterization (Yu, 2010). The table is derived based on multiple ACDC runs
260 by varying input variables. The ranges for the input variables correspond to typical
261 conditions of the atmosphere. Except for T , the ranges of variation for all other variables
262 exceed at least one order of magnitude. Therefore, temperature is assumed to follow
263 arithmetic uniform distribution, while the other variables are assumed to follow
264 geometric uniform distribution. Details for the input variables are given in Table S1. In
265 WRF-Chem/R2D-VBS simulations, $J_{1,4}$ are online calculated by interpolating values
266 from a look-up table based on real-time input parameters. In our previous study, we
267 have developed an emission inventory for China and its surrounding regions (Li et al.,
268 2023c). Here [DMA] is calculated in WRF-Chem/R2D-VBS based on a comprehensive
269 source-sink representation of DMA. More details of including DMA in WRF-
270 Chem/R2D-VBS can be found in our previous study (Li et al., 2023c). In addition, a
271 time-integrated-average [DMA] as well as [SA] of each time step were used to drive
272 SA-DMA nucleation, since SA-DMA nucleation is accompanied with condensation of
273 gaseous SA and DMA on pre-existing aerosols simultaneously in the atmosphere.

274 Besides SA-DMA nucleation, seven other nucleation mechanisms have already
275 been incorporated in WRF-Chem/R2D-VBS (Zhao et al., 2020), including neutral/ion-
276 induced SA-H₂O nucleation, neutral/ion-induced SA-NH₃-H₂O nucleation, neutral/ion-
277 induced pure organics nucleation, and SA-organics nucleation. The organics involved
278 in nucleation are ultralow- and extremely low-volatility organic compounds (ULVOC

279 and ELVOC) with $O:C > 0.4$. The formation chemistry of ULVOC and ELVOC from
280 monoterpenes, including autoxidation and dimerization, is traced by the R2D-VBS
281 framework (Zhao et al., 2020). Note that the impact of the other seven mechanisms on
282 particle formation rates and particle number concentration is low compared to SA-DMA
283 as revealed by our previous study (Li et al., 2023c). In WRF-Chem/R2D-VBS, the
284 evolution of PNSDs from 1 nm to 10 μm is treated by MOSAIC (Model for Simulating
285 Aerosol Interactions and Chemistry) module. The newly formed 1.4 nm particles from
286 SA-DMA nucleation are injected into the smallest size bin (1 - 1.5 nm) of the MOSAIC.

287 **2.3 Configurations of WRF-Chem/R2D-VBS Model**

288 The WRF-Chem/R2D-VBS model, incorporating various cluster dynamics-based
289 SA-DMA nucleation parameterizations, was employed in a simulation over a domain
290 with a spatial resolution of 27 km. This domain covers eastern Asia, with Beijing
291 situated close to the center of the simulation area. Details of model configurations can
292 be found in our previous study (Li et al., 2023c). Briefly, we use the ABaCAS-EI 2017
293 and IIASA 2015 emission inventories for mainland China and other areas in the domain,
294 respectively, to represent the anthropogenic emissions (Zheng et al., 2019; Li et al.,
295 2017; Li et al., 2023b); we use Model of Emissions of Gases and Aerosols from Nature
296 (MEGAN) v2.04 to calculate the biogenic emissions (Guenther et al., 2006). To
297 accurately represent the variation and distribution of chemical species concentrations
298 during the simulation period, the chemical initial conditions, which represent the
299 concentration field of chemical species at the initial simulation time, and the boundary
300 conditions, which represent the flux or concentration around the simulation domain
301 during the simulation period (Brasseur et al., 2017), are used in our WRF-Chem/R2D-
302 VBS simulations. The simulation results from the National Center for Atmospheric
303 Research's Community Atmosphere Model with Chemistry
304 (<https://www.acom.ucar.edu/cam-chem/cam-chem.shtml>) is used for the chemical
305 initial and boundary conditions in WRF-Chem/R2D-VBS simulations. In addition, we
306 use a 5-day spin-up to minimize the impact of chemical initial conditions on simulation
307 results.

308 The simulation period consists of two parts: the winter period, which spans from
309 January 14 to January 31, 2019, and the summer period, which is from August 18 to
310 August 31, 2019. Previous observational studies have shown that the particle formation
311 rates reach their highest and lowest levels during winter and summer in China,
312 respectively (Deng et al., 2020; Chu et al., 2019). Therefore, periods from these two
313 seasons are selected as representative simulation periods in this study and the specific
314 time periods corresponded to those with relatively complete and continuous PNSDs and
315 $J_{1.4}$ observations. Since observational data for DMA concentration is only available for
316 the period from January 1, 2019 to January 23, 2019, similar to our other study (Ning
317 et al., 2024), we performed additional simulation for this period to compare
318 observational and simulated DMA concentrations. For each season, all the SA-DMA
319 parameterizations listed in Table 1 were employed for simulation. Among them,
320 ACDC_DB, Dynamic_Sim, and ACDC_RM_SF0.5 serve as three main

321 parameterizations, while ACDC_DB_CE, ACDC_DB_BC, ACDC_DB_CN, and
322 ACDC_RM are set as test cases to investigate the impact of individual simplification
323 or theoretical approach on NPF simulations. In all comparisons, ACDC_DB is set as a
324 reference.

325 **2.4 Ambient Measurements**

326 In the 3-D simulations, we utilize measured concentrations of nucleation precursors
327 and PNSDs as a criterion to discuss the model performance with various
328 parameterizations. The duration of the observational data matches that of the
329 simulations mentioned above. Detailed descriptions of the observation site and
330 instruments can be found in our previous research (Deng et al., 2020; Zhu et al., 2022).
331 Briefly, the observation site is located on the West Campus of the Beijing University of
332 Chemical Technology. CI-TOF-MS (chemical ionization time-of-flight mass
333 spectrometer; Aerodyne Research Inc.) were used to measure the concentrations of SA.
334 Amine concentrations were measured with a modified TOF-MS using H_3O^+ or its
335 clusters as the reagent ions (Zhu et al., 2022). PNSDs from 1 nm to 10 μm were
336 measured using a PSD (particle size distribution) system and a DEG-SMPS (diethyl
337 glycol scanning mobility particle spectrometer). $J_{1.4}$ derived from observation is
338 calculated employing an improved aerosol population balance formula (Cai and Jiang,
339 2017).

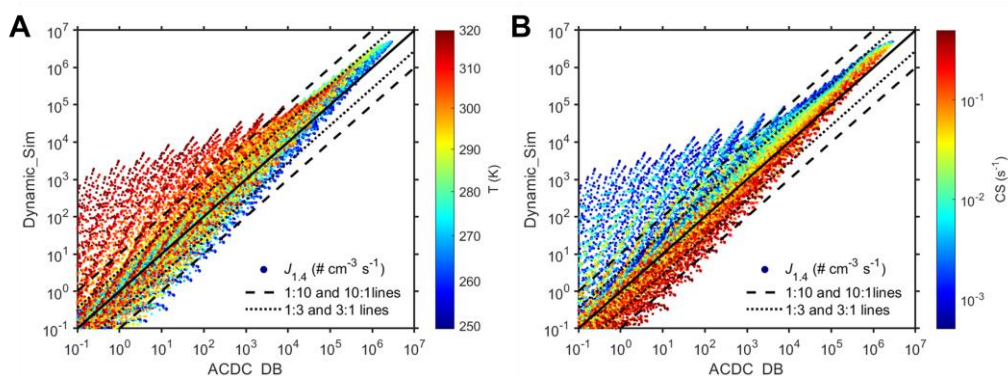
340 3 RESULTS AND DISCUSSIONS

341 3.1 Comparison of Different Parameterizations Based on Box-Model Simulations

342 3.1.1 Comparison between ACDC_DB and Dynamic_Sim

343 Figure 1 illustrates the comparison between the reported cluster dynamics-based
344 parameterization with simplifications, Dynamic_Sim, and the base-case
345 parameterization ACDC_DB. The comparison is based on a comprehensive dataset that
346 includes over 40,000 box-model simulations for each parameterization, by varying
347 parameters such as [SA] ($1 \times 10^5 - 1 \times 10^8$ molec. cm^{-3}), [DMA] ($5 \times 10^6 - 5 \times 10^8$
348 molec. cm^{-3}), CS ($5 \times 10^{-4} - 5 \times 10^{-1}$ s^{-1}), and T (250 – 320 K). In most scenarios, $J_{1,4}$
349 predicted by ACDC_DB and Dynamic_Sim demonstrates deviations within one order
350 of magnitude, with the majority falling within a factor of 3. However, Dynamic_Sim
351 predicts notably higher $J_{1,4}$ than ACDC_DB in scenarios where T exceeds ~ 300 K and
352 CS is below $\sim 3 \times 10^{-3}$ s^{-1} , characteristic of a clean atmosphere during summer. The
353 discrepancy in these scenarios elevates the overall $R_{\text{Dynamic_Sim}}$ up to 17.0. Furthermore,
354 no clear correlation is observed between the differences of the two parameterizations
355 and other input parameters such as [DMA] and [SA] (Figure S2). The differences
356 between parameterizations are attributed to the combined effects of the three
357 simplifications and the lower ΔG of (SA)₁(DMA)₁ cluster in Dynamic_Sim. However,
358 the latter should not be the primary cause for the significant differences of $J_{1,4}$ prediction
359 under high T and low CS conditions, as it typically results in an overestimation within
360 an order of magnitude ($R=3.3$) (Figure S1).

361



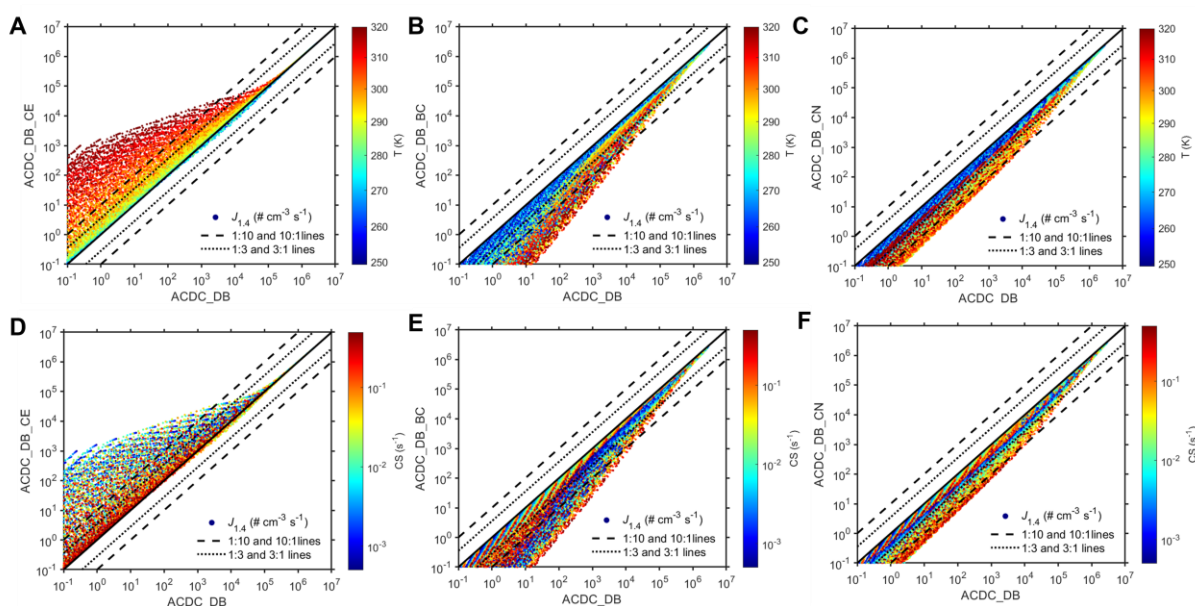
362

363 **Figure 1.** Comparison of $J_{1,4}$ predictions between ACDC_DB and Dynamic_Sim
364 correlated with T variation (A) and CS variation (B). Solid dots represent simulated $J_{1,4}$
365 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines,
366 and dashed lines represent 1:10 and 10:1 lines.

367

368 The impacts of the three simplifications made in Dynamic_Sim are shown in Figure
369 2. Specifically, the simplification in cluster evaporations tends to elevate the predicted
370 $J_{1,4}$, whereas the simplifications in boundary conditions and cluster number tend to
371 lower them. When applying the simplification in cluster evaporations (clusters larger
372 than (SA)₁(DMA)₁ are regarded stable with no evaporation) to ACDC_DB, the
373 predicted $J_{1,4}$ by ACDC_DB_CE only slightly exceed than that of ACDC_DB within a

374 factor of 3 under conditions where $T < \sim 290$ K and $CS > \sim 0.1$ s⁻¹. However, the
375 overestimation of $J_{1,4}$ prediction by ACDC_DB_CE becomes much greater with
376 increasing T and decreasing CS . The discrepancy between ACDC_DB_CE and
377 ACDC_DB should be primarily attributed to the pivotal role of T in influencing cluster
378 evaporation rates (Ortega et al., 2012; Deng et al., 2020). At low T , the evaporation
379 rates of clusters are low enough to allow efficient nucleation, thus whether setting the
380 concerned SA-DMA clusters to evaporate based on the expected evaporation rates does
381 not lead to a significant impact on $J_{1,4}$ prediction. However, at high T , the evaporation
382 rates of clusters significantly increase, therefore the simplification in cluster
383 evaporations within ACDC_DB_CE is likely to predict higher $J_{1,4}$ than those with no
384 simplification. The impact of simplification in cluster evaporations across varying T is
385 also found in a nonbranched SA-DMA nucleation scheme from 280 K to 298 K reported
386 by Li et al. (2023a). Note also that the overestimation of ACDC_DB_CE diminishes as
387 CS increases (Figure 2D), with CS becoming the primary sink in the nucleation system
388 and the impact of cluster evaporations becoming less pronounced. This underscores the
389 connection between the specific deviation arising from simplification in cluster
390 evaporations and the respective contributions of CS and cluster evaporations to the
391 overall sink for clusters in nucleation. In addition, the relative independence of the
392 differences between ACDC_DB_CE and ACDC_DB from variations in precursor
393 concentrations ($[SA]$ and $[DMA]$) is similar to that between Dynamic_Sim and
394 ACDC_DB (Figure S3). Overall, the scenarios where ACDC_DB_CE predicts higher
395 $J_{1,4}$ than ACDC_DB only occurs under conditions of both high T and low CS (Figure
396 2A and Figure 2D). The averaged discrepancy between ACDC_DB_CE and
397 ACDC_DB $R_{ACDC_DB_CE}$ is 22.3, closely resembling $R_{Dynamic_Sim}$, indicating that the
398 simplification in cluster evaporations is a major factor contributing to the difference
399 between Dynamic_Sim and ACDC_DB.
400



401
402 **Figure 2.** Comparison of $J_{1,4}$ predictions between ACDC_DB and test cases including

403 ACDC_DB_CE (A and D), ACDC_DB_BC (B and E), and ACDC_DB_CN (C and F).
404 The first row in the panel (A, B and C) is correlated with T variation and the second
405 row (D, E and F) is correlated with CS variation. Solid dots represent simulated $J_{1.4}$
406 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines, and
407 dashed lines represent 1:10 and 10:1 lines.

408

409 The underestimations of ACDC_DB_BC and ACDC_DB_CN in $J_{1.4}$ prediction
410 compared to base-case ACDC_DB are related to the growth pathways of SA-DMA
411 clusters. In the original scheme of ACDC_DB, precursor molecules have the flexibility
412 to pass through any $(SA)_m(DMA)_n$ clusters ($0 < n \leq m \leq 3$), and terminal 1.4-nm
413 particles are formed when the clusters grow to $(SA)_4(DMA)_4$ or $(SA)_4(DMA)_3$. As
414 expected, ACDC_DB_BC, which assumes $(SA)_4(DMA)_4$ cluster as the only boundary
415 condition with an omission of $(SA)_4(DMA)_3$ cluster, predicts lower $J_{1.4}$ than ACDC_DB.
416 $(SA)_4(DMA)_3$ and $(SA)_4(DMA)_4$ clusters are primarily formed from $(SA)_3(DMA)_3$
417 cluster by colliding with a SA molecule and a $(SA)_1(DMA)_1$ cluster, respectively. As
418 the concentration of $(SA)_1(DMA)_1$ cluster is more sensitive to T , we further found that
419 the discrepancy between ACDC_DB_BC and ACDC_DB becomes more pronounced
420 with increasing T (Figure 2B). Furthermore, we found no apparent correlation between
421 the variation of CS and the disparity between ACDC_DB_BC and ACDC_DB (Figure
422 2E).

423 In addition to ACDC_DB_BC, ACDC_DB_CN also underestimates $J_{1.4}$ compared
424 to ACDC_DB with a comparable value (~ 0.5) of $R_{ACDC_DB_CN}$ and $R_{ACDC_DB_BC}$. Under
425 the simplification in cluster number, the formation of 1.4-nm clusters can only occur
426 through specific pathways, including $(SA)_1(DMA)_1 \rightarrow (SA)_2(DMA)_2 \rightarrow (SA)_3(DMA)_3$
427 $\rightarrow (SA)_4(DMA)_4/(SA)_4(DMA)_3$, $(SA)_1(DMA)_1 \rightarrow (SA)_2(DMA)_1 \rightarrow (SA)_2(DMA)_2 \rightarrow$
428 $(SA)_3(DMA)_3 \rightarrow (SA)_4(DMA)_4/(SA)_4(DMA)_3$, or a combination thereof, while other
429 pathways are restricted. Due to the variability in growth pathways and their
430 contributions to $J_{1.4}$ under different atmospheric conditions, the difference between
431 ACDC_DB_CN and ACDC_DB is not strongly correlated with the variations of T and
432 CS (Figure 2C and Figure 2F). Despite that, while the differences between the two
433 tested parameterizations (ACDC_DB_BC and ACDC_DB_CN) involving cluster
434 growth pathways and the original ACDC_DB are not highly correlated with [DMA],
435 there is a more pronounced correlation with [SA], which implies a more important role
436 of SA in cluster growth (Figure S4 and Figure S5).

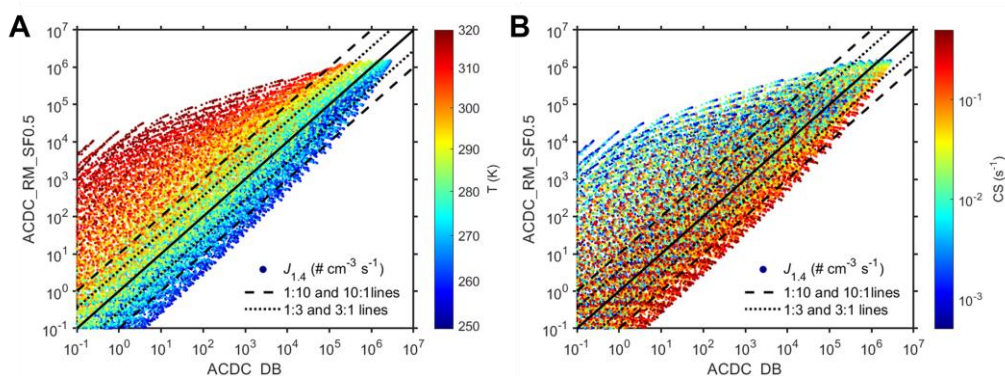
437 In our previous study, we demonstrated improvements in computing CS- dependent
438 $J_{1.4}$ of SA-DMA nucleation with the Dynamic_Sim compared to the previous power-
439 law parameterizations under polluted atmospheric conditions (Li et al., 2023c). Here,
440 we further show that, based on Dynamic_Sim, the new ACDC_DB with complete
441 cluster dynamics can more reasonably simulate $J_{1.4}$ under previously less studied
442 conditions of high T ($> \sim 300$ K) and low CS ($< \sim 3 \times 10^{-3} \text{ s}^{-1}$), where Dynamic_Sim tends
443 to produce significant overestimation of $J_{1.4}$. This overestimation is primarily driven by
444 the simplification in cluster evaporations within Dynamic_Sim. Even though a

445 comparable performance in $J_{1,4}$ prediction between ACDC_DB and Dynamic_Sim
 446 could be achieved under other ambient conditions, cautions should be made that the
 447 mutual offsetting effect between overestimation and underestimation resulting from
 448 different simplifications in Dynamic_Sim when computing $J_{1,4}$.

449 3.1.2 Comparison between ACDC_DB and ACDC_RM_SF0.5

450 In Figure 3, ACDC_DB is compared with another main ACDC-derived
 451 parameterization, ACDC_RM_SF0.5, which uses the RI-CC2/aug-cc-pV(T+d)Z//M06-
 452 2X/6-311++G(3df,3pd) level of theory and employs a SF of 0.5 in processing collision.
 453 It can be observed that at lower temperatures (~ 280 K), ACDC_RM_SF0.5 and
 454 ACDC_DB exhibit similar performance in predicting $J_{1,4}$. However, with higher T
 455 (accompanied by lower CS with a slight dependency), $J_{1,4}$ predicted by
 456 ACDC_RM_SF0.5 become higher than that predicted by ACDC_DB, reaching even
 457 several orders of magnitude at the upper limit of the T range (320 K). Furthermore, we
 458 also observed that in scenarios close to the lower limit of the T range (250 K), the $J_{1,4}$
 459 predicted by ACDC_RM_SF0.5 shift from being higher to lower compared to
 460 ACDC_DB.

461



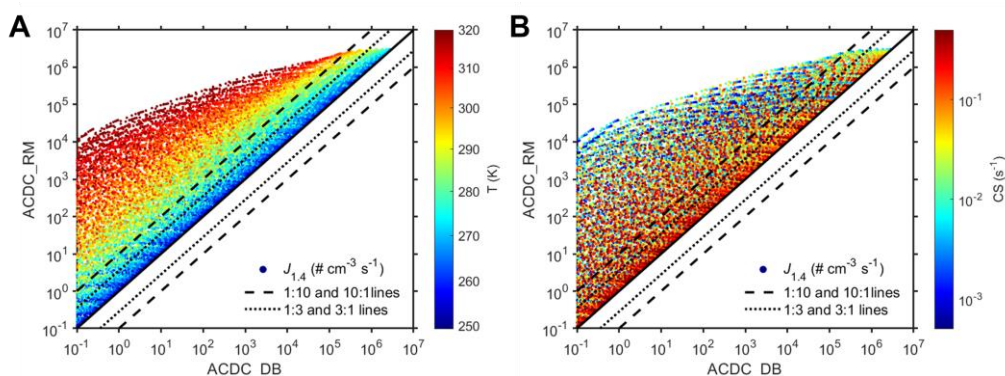
462

463 **Figure 3.** Comparison of $J_{1,4}$ predictions between ACDC_DB and ACDC_RM_SF0.5
 464 correlated with T variation (A) and CS variation (B). Solid dots represent simulated $J_{1,4}$
 465 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines, and
 466 dashed lines represent 1:10 and 10:1 lines.

467

468 The distinction between ACDC_RM_SF0.5 and ACDC_DB arises from the
 469 combined effects of variation in quantum chemical calculation method and the
 470 application of the 0.5 SF in collision processing. As depicted in Figure 4, when the SF
 471 in ACDC_RM_SF0.5 is set to unity as in ACDC_DB, the resulting ACDC_RM
 472 parameterization predicts consistently higher $J_{1,4}$ than ACDC_DB. This implies that the
 473 modified quantum chemical calculation method, which results in lower evaporation
 474 rates for clusters within the system compared to ACDC_DB under the same condition,
 475 leads to higher $J_{1,4}$ predictions. The impact from varying quantum chemical calculation
 476 method is akin to that from simplification in cluster evaporations discussed earlier. The
 477 distinction between ACDC_RM and ACDC_DB_CE lies in the fact that the modified
 478 quantum chemical calculation method affects all clusters within the system, whereas

479 the simplification in cluster evaporations is specific to limited clusters. This contributes
 480 to a much higher R_{ACDC_RM} (614.5) compared to $R_{ACDC_DB_CE}$ (22.3). Despite that,
 481 compared to ACDC_DB, the differences for both ACDC_DB_CE, ACDC_RM, as well
 482 as ACDC_RM_SF0.5 demonstrate similar sensitivity to T (Figure 3A and Figure 4A)
 483 and CS (Figure 3B and Figure 4B) but independence on [SA] (Figure S6A and Figure
 484 S7A) and [DMA] (Figure S6B and Figure S7B). Comparing ACDC_RM_SF0.5 and
 485 ACDC_RM, it can be inferred that the application of a 0.5 SF in collision processes
 486 would result in an underestimation in $J_{1.4}$ prediction. It can be noted that in most
 487 previous studies (Almeida et al., 2013; Kürten et al., 2018; Elm et al., 2020),
 488 comparisons of ACDC simulations using the traditional method and measured particle
 489 formation rates are conducted at around 280 K. At this temperature, all three main
 490 parameterizations of ACDC_RM_SF0.5, ACDC_DB, and Dynamic_Sim tends to yield
 491 similar $J_{1.4}$ predictions and should have consistent applicability in NPF simulation.
 492



493
 494 **Figure 4.** Comparison of $J_{1.4}$ predictions between ACDC_DB and ACDC_RM
 495 correlated with T variation (A) and CS variation (B). Solid dots represent simulated $J_{1.4}$
 496 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines,
 497 and dashed lines represent 1:10 and 10:1 lines.

498
 499 In summary, based on our base-case parameterization ACDC_DB, the extensive
 500 box-model simulations above demonstrate the characteristics of different
 501 parameterizations. Specifically, Dynamic_Sim shows general consistency with
 502 ACDC_DB in simulating $J_{1.4}$ under most atmospheric conditions with $T < \sim 300$ K or
 503 $CS > \sim 3.0 \times 10^{-3} \text{ s}^{-1}$ while overestimating $J_{1.4}$ with $T > \sim 300$ K and $CS > \sim 3.0 \times 10^{-3} \text{ s}^{-1}$
 504 compared to ACDC_DB. ACDC_RM_SF0.5 performs similarly to ACDC_DB under
 505 conditions of ~ 280 K but give different $J_{1.4}$ predictions at other temperatures. We
 506 further use reported measurements from well-controlled CLOUD chamber experiments
 507 to examine the characteristics and applicability of these parameterizations (Xiao et al.,
 508 2021). As shown in Figure S8, simulated $J_{1.4}$ using three main parameterizations,
 509 ACDC_DB, ACDC_RM_SF0.5, and Dynamic_Sim, correspond well to measured $J_{1.7}$
 510 at low temperature ($T = 278$ K), proving the applicability of all three parameterizations
 511 at this temperature. In the experiments with elevated temperature ($T = 293$ K),
 512 ACDC_DB and Dynamic_Sim continues to exhibit similar performance, with slight

513 overestimation by approximately 2 factors. This may be because the much lower cluster
514 concentrations at high temperatures compared to those at low temperatures lead to
515 slower cluster growth and thus an enlarged gap between $J_{1.4}$ and $J_{1.7}$ (Figure S9). In
516 contrast, ACDC_RM_SF0.5 only shows a slight T -dependence, which is deviated from
517 the measurements. The comparison between controlled experiments and box-model
518 simulations hence confirms our conclusions above, and provides a solid basis for further
519 discussions on 3-D simulations using these parameterizations with constraint from field
520 observations.

521 **3.2 Comparison of Different Parameterizations Based on 3-D Model Simulations**

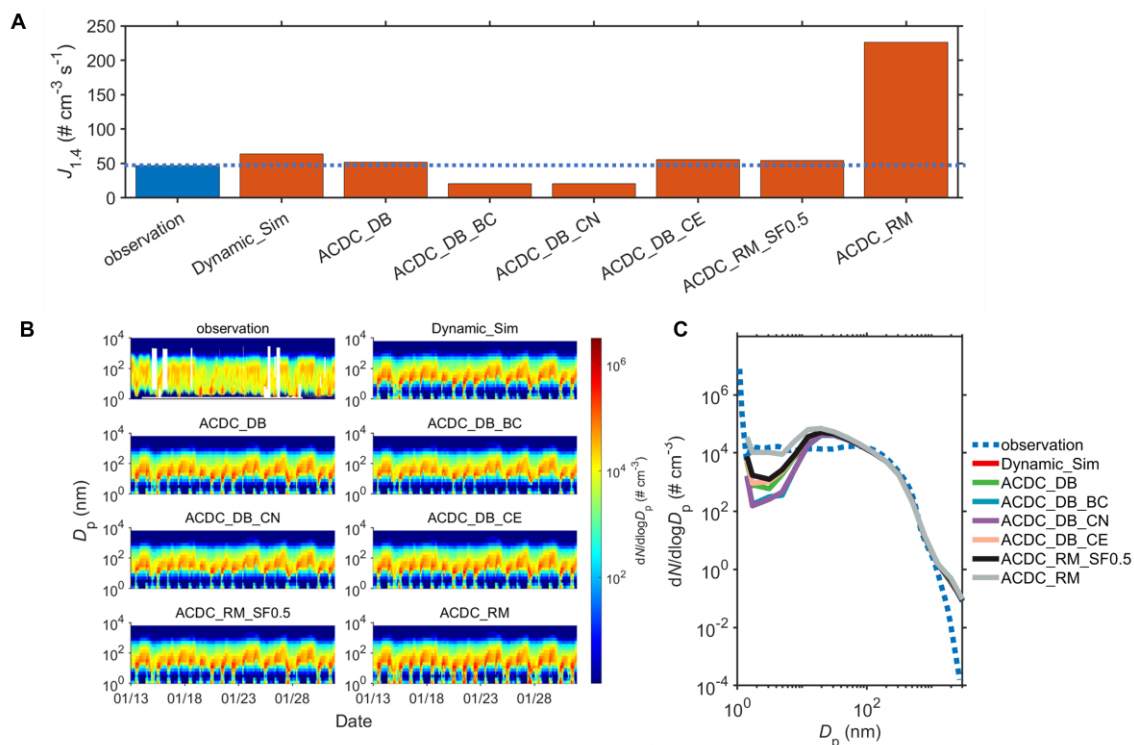
522 Various cluster dynamics-based parameterizations for SA-DMA nucleation were
523 subsequently integrated into the WRF-Chem/R2D-VBS model. 3-D simulations using
524 these parameterizations have been conducted for both wintertime and summertime
525 conditions in Beijing. Given that the concentrations of precursors are crucial input
526 variables for each parameterization, the simulated and observed concentrations of
527 [DMA] and [SA] are compared. Figure S10, Figure S11 and Table S2 illustrates good
528 consistencies in temporal variations and the mean values between simulations and
529 observations in Beijing. This validates the reliability of our representation of sources
530 and sinks for nucleating precursors and serves as a foundation for our discussions on
531 the performances of various parameterizations. In the following sections, we discuss
532 the results of 3-D NPF simulations in Beijing during winter and summer by employing
533 different parameterizations. The evaluation of various parameterizations focuses on
534 their ability to reproduce in situ NPF measurements across different seasons.

535 **3.2.1 Wintertime Simulations**

536 Figure 5A and Figure S12A primarily compare the simulated $J_{1.4}$ values from
537 different parameterizations with those derived from wintertime observations in Beijing,
538 as $J_{1.4}$ being a key parameter describing NPF events. The performance of Dynamic_Sim
539 in simulating $J_{1.4}$ during wintertime Beijing has been discussed in our previous study
540 (Li et al., 2023c). The averaged $J_{1.4}$ simulated by three main parameterizations
541 (Dynamic_Sim: $64.0 \text{ cm}^{-3} \text{ s}^{-1}$; ACDC_DB: $51.6 \text{ cm}^{-3} \text{ s}^{-1}$; ACDC_RM_SF0.5: 54.5 cm^{-3}
542 s^{-1}) approximate the observation ($46.7 \text{ cm}^{-3} \text{ s}^{-1}$). For test cases, however, only
543 ACDC_DB_CE ($55.7 \text{ cm}^{-3} \text{ s}^{-1}$) demonstrates a reasonable representation of $J_{1.4}$. $J_{1.4}$
544 simulated from ACDC_DB_BC ($20.5 \text{ cm}^{-3} \text{ s}^{-1}$) and ACDC_DB_CN ($20.8 \text{ cm}^{-3} \text{ s}^{-1}$) are
545 approximately two times lower than the observed values, while ACDC_RM (226.2 cm^{-3}
546 s^{-1}) is approximately five times higher than the observations.

547 The performances of different parameterizations on depicting $J_{1.4}$ subsequently
548 influences their representations of PNSDs evolution and NPF events, which are shown
549 in Figure 5B. Generally, most parameterizations efficiently reproduce the observed time
550 evolution of PNSDs and captures NPF events, such as those on 01/20, 01/21, 01/30,
551 and 01/31, which are characterized by the burst of aerosol number concentrations in
552 nanometer-sized range. Simulations using ACDC_DB_BC and ACDC_DB_CN result
553 in lower particle concentrations in the low size range (1-10 nm) during the NPF period
554 compared to three main parameterizations and the observations, while simulations with

555 ACDC_RM show higher concentrations. This is consistent with the comparison of $J_{1,4}$
 556 among different parameterizations and further evident by the comparison of averaged
 557 PNSDs in Figure 5C. Notably, when compared to observations, all parameterizations
 558 consistently underestimate the averaged PNSDs within the 2-10 nm range but
 559 overestimate them in the 10-50 nm range. This discrepancy may stem from simplified
 560 assumptions in particle growth simulation, as discussed in our previous study (Li et al.,
 561 2023c).
 562
 563



564
 565 **Figure 5.** Comparison of simulated particle formation rates and particle number size
 566 distributions (PNSDs) with observations during January 13, 2019, to January 31, 2019,
 567 in Beijing. A represents the averaged particle formation rates during the period, the blue
 568 bars and orange bars represent observations and simulations, respectively, while the
 569 blue dashed line represents the observed values. Daily maximum values of $J_{1,4}$ are used
 570 following Deng et al. (2020); B for the time series of PNSDs; and C for the averaged
 571 PNSDs.

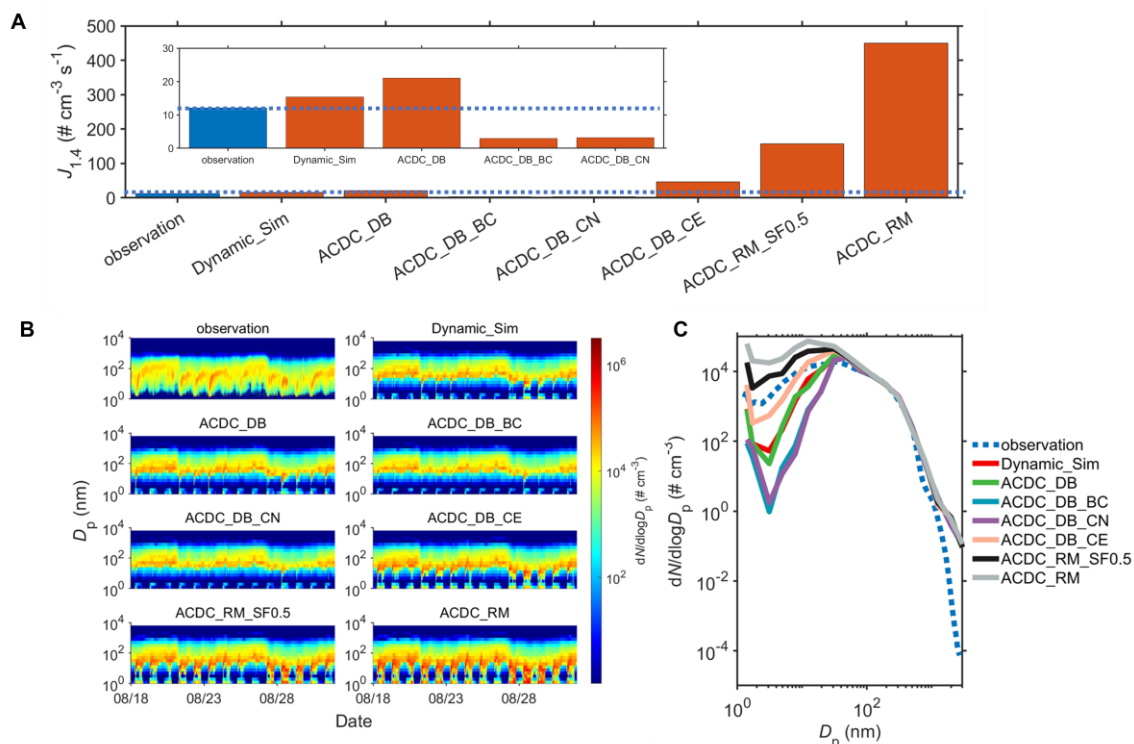
572
 573 The results show the applicability of all three main parameterizations in NPF
 574 modeling during wintertime periods. Importantly, the reliability of the new ACDC-
 575 derived parameterization based on the latest theoretical approach (ACDC_DB) without
 576 simplifications in 3-D NPF simulation, is affirmed. The differences among various
 577 parameterizations can be explained by the comprehensive box-model simulations
 578 above at corresponding conditions. Compared to ACDC_DB, the $J_{1,4}$ and PNSDs
 579 simulated by other two main parameterizations (Dynamic_Sim and ACDC_RM_SF0.5)

580 agree similarly with observations, but for different reasons. In the case of Dynamic_Sim,
581 the simplification in cluster evaporations has minimal impact on NPF simulation since
582 CS is the dominant sink for clusters under the wintertime conditions (averaged T and
583 CS is 274.7 K and $3.3 \times 10^{-2} \text{ s}^{-1}$, respectively). However, the simplifications in boundary
584 conditions and cluster number lead to the underestimation of the $J_{1,4}$, consequently
585 lowering the simulated particle number concentrations in 1-100 nm size range due to
586 the ignorance of clusters contributing to growth. As a result, the agreement of
587 Dynamic_sim to observations should result from a combination of underestimation due
588 to simplifications in boundary conditions and cluster number, along with the
589 compensatory effect of the overestimation caused by lower ΔG for $(\text{SA})_1(\text{DMA})_1$
590 cluster. For another main parameterization ACDC_RM_SF0.5, since the test
591 parameterization ACDC_RM considerably overestimates $J_{1,4}$ and PNSDs compared to
592 the observations, the general agreement between ACDC_RM_SF0.5 and observations
593 should be attributed to a balance between reduced kinetic limit through the application
594 of SF and the compensatory effect of the overestimation caused by inappropriate
595 representation of cluster thermodynamics.

596 3.2.2 Summertime Simulations

597 Figure 6 provides additional insight into the performance of various
598 parameterizations in NPF simulation during summer. It can be noted that there exists a
599 significant difference in particle formation rates between winter and summer in Beijing.
600 As shown in Figure 6 and Figure S12B, ACDC_DB and Dynamic_Sim continues to
601 demonstrate consistent and effective performance in simulating $J_{1,4}$ (within a factor of
602 2), PNSDs evolution as well as NPF events. However, distinct differences emerge in
603 the NPF simulation for other parameterizations, including another main
604 parameterization ACDC_RM_SF0.5. Specifically, in contrast to the good performance
605 of ACDC_DB and Dynamic_Sim, ACDC_RM_SF0.5, along with the test case
606 ACDC_RM, exhibits a significant overestimation of $J_{1,4}$, exceeding the observations by
607 more than 15 times and over two orders of magnitude, respectively. This aligns with
608 their overestimation of NPF occurrences and particle number concentration in the size
609 range of 1-100 nm in comparison to observation, with a more pronounced
610 overestimation for ACDC_RM. Conversely, the test cases of ACDC_DB_BC and
611 ACDC_DB_CN show an underestimation of averaged $J_{1,4}$ by approximately 4-5 times.
612 They almost fail to depict NPF events, resulting in a significant underestimation of
613 number concentrations in the 1-100 nm size range. Simulations using ACDC_DB_CE
614 notably overestimates $J_{1,4}$ especially on 08/28 – 08/31 (Figure S11B), which results in
615 an overestimation of averaged $J_{1,4}$ by approximately 4 times compared to the
616 observations. However, apart from a moderate overestimation in the initial particle size,
617 we can observe a closer alignment of particle number concentrations in the 2-50 nm
618 range with observations for ACDC_DB_CE, which should result from a combination
619 of surplus newly formed particles and fast particle growth from inadequate assumptions
620 within the model. For the broader 2-100 nm size range, it can be observed that
621 ACDC_DB and Dynamic_Sim are closer to the observations compared to

622 ACDC_DB_CE and another major parameterization ACDC_RM_SF0.5 (Figure S13).
 623 The latter two overestimate the average number concentrations during the simulation
 624 period by 1.6 times and 2.5 times, respectively. Given the more accurate representation
 625 of nucleation rates by ACDC_DB and Dynamic_Sim, the discrepancies in the 2-100
 626 nm size range compared to the observed PNSDs should also stem from the simplified
 627 assumptions in particle growth simulations.
 628



629
 630 **Figure 6.** Comparison of simulated particle formation rates and particle number size
 631 distributions (PNSDs) with observations during August 18, 2019, to August 31, 2019,
 632 in Beijing. A represents the averaged particle formation rates during the period, the blue
 633 bars and orange bars represent observations and simulations, respectively, while the
 634 blue dashed line represents the observed values. Daily maximum values of $J_{1.4}$ are used
 635 following Deng et al. (2020); B for the time series of PNSDs; and C for the averaged
 636 PNSDs.

637
 638 Most previous NPF studies combining experiments/observations with simulations
 639 are conducted under conditions biased towards winter ($\sim 280\text{K}$) (Almeida et al., 2013;
 640 Lu et al., 2020). Under summer conditions with elevated T , there exists a deficiency in
 641 parameterization evaluations for simulating NPF. The 3-D simulation results during the
 642 summer period provide additional validation for the reliability of ACDC_DB. For
 643 ACDC_RM_SF0.5, evidence from both box-model simulations and 3-D simulations
 644 suggests that it can accurately reproduce real SA-DMA nucleation at temperatures
 645 around 280 K, while it has limitations in higher temperatures. Another main
 646 parameterization Dynamic_Sim consistently demonstrates good performance in NPF

647 simulation, akin to its efficacy in winter conditions. With the increased temperature in
648 summer (averaged T is 298.2 K), the influence of simplifications in cluster evaporations,
649 cluster number, and boundary conditions becomes more profound, mirroring the trends
650 observed in box-model simulations above. This leads to more significant
651 overestimation for ACDC_DB_CE, and underestimation for ACDC_DB_CN and
652 ACDC_DB_BC compared to the observation as well as the base-case ACDC_DB. Note
653 that CS during the summer period (averaged CS is $2.8 \times 10^{-2} \text{ s}^{-1}$) decreases compared to
654 winter but remains significantly higher than typical values in clean regions ($\sim 3.0 \times 10^{-3}$
655 s^{-1}) (Dal Maso et al., 2008). According to the limited conditions for Dynamic_Sim
656 described above, although the overestimation of $J_{1.4}$ prediction resulting from the
657 simplification in cluster evaporations is more pronounced in summer compared to that
658 in winter, impacts from diverse overestimations and underestimations from different
659 simplifications and varied thermodynamics for $(\text{SA})_1(\text{DMA})_1$ cluster can still offset
660 each other, thereby allowing Dynamic_Sim to match observations. Based on previous
661 comparisons using box-models, significant differences in $J_{1.4}$ predictions between
662 Dynamic_Sim and ACDC_DB only exist under conditions of high $T > \sim 300 \text{ K}$ and low
663 $\text{CS} < \sim 3 \times 10^{-3} \text{ s}^{-1}$, thus similar performance of Dynamic_Sim and ACDC_DB can be
664 expected in the polluted atmosphere ($\text{CS} > \sim 1.0 \times 10^{-2} \text{ s}^{-1}$). In clean atmosphere with
665 high temperature, however, caution is advised when using Dynamic_Sim for 3-D NPF
666 simulations.

667 **4. CONCLUSIONS and DISCUSSIONS**

668 By integrating box modeling, 3-D simulations, also under the constraint from in
669 situ measurements, this study conducts comprehensive comparison of different cluster
670 dynamics-based parameterizations for SA-DMA nucleation. Among them, the ACDC-
671 derived parameterization grounded in the latest molecular-level understanding and
672 complete representation of cluster dynamics (ACDC_DB), is identified to effectively
673 model particle formation rates and PNSDs evolution in both winter and summer in
674 Beijing within 3-D simulations. While a previously proposed simplified cluster
675 dynamics-based parameterization (Dynamic_Sim) performs comparably in modeling
676 NPF in Beijing, analysis reveals that their similarity arises from a delicate balance
677 between overestimation and underestimation due to simplifications in cluster dynamics
678 processes and the difference in thermodynamics of initial cluster. Particularly, under
679 specific conditions of high temperature ($> \sim 300 \text{ K}$) and low CS ($< \sim 3 \times 10^{-3} \text{ s}^{-1}$),
680 Dynamic_Sim tends to make significant overestimation of particle formation rates
681 compared to the reality. Moreover, the study furnishes evidence that integrating ACDC-
682 derived parameterizations with the traditional theoretical approach RI-CC2/aug-cc-
683 pV(T+d)Z//M06-2X/6-311++G(3df,3pd) (ACDC_RM_SF0.5) effectively captures
684 particle formation rates and the evolution of PNSDs around 280 K, a temperature range
685 frequently explored in prior experiments and simulations investigating NPF (Kirkby et
686 al., 2011; Almeida et al., 2013; Kirkby et al., 2016; Xie et al., 2017; He et al., 2021; Ma
687 et al., 2019). Therefore, ACDC_RM_SF0.5 exhibits consistent applicability as other
688 two parameterizations at around $\sim 280 \text{ K}$. However, attributed to an inappropriate

689 representation of cluster thermodynamics, ACDC_RM_SF0.5 has limitations in
690 predicting particle formation rates at elevated temperatures. Overall, considering all
691 aspects, we recommend ACDC_DB as a more reliable parameterization for simulating
692 NPF across various atmospheric environments.

693 In addition to contributing to a more reasonable 3-D modeling of NPF, our research
694 further provides valuable references for the development of parameterizations for other
695 nucleation systems. Firstly, we demonstrate the efficacy of the DLPNO-CCSD(T)/aug-
696 cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory in describing the
697 thermodynamic properties of SA-DMA clusters through comprehensive evidence. This
698 approach can thus be referenced when using quantum chemical calculations to obtain
699 thermodynamic data for other nucleation clusters, especially for other alkylamines such
700 as methylamine/trimethylamine-sulfuric acid clusters. Although DLPNO method still
701 has uncertainties in accurately describing cluster thermodynamics (Besel et al., 2020),
702 it is well recognized as the best available method currently (Elm et al., 2020). Besides,
703 in some qualitative studies, e.g., comparing the enhancing potential or synergistic
704 effects of different precursors in SA-driven nucleation, methods other than DLPNO-
705 CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd), such as RI-CC2/aug-cc-
706 pV(T+d)Z//M06-2X/6-311++G(3df,3pd), are equally valid (Liu et al., 2019).

707 Comprehensive modeling evidences are provided in this study that certain
708 simplifications or assumptions in cluster dynamics, such as reducing the number of
709 expected clusters, modifying boundary conditions, and assuming certain clusters to be
710 non-evaporative, can significantly impact the prediction of particle formation rates and
711 hence alter the 3-D NPF simulation under certain conditions. While applying certain
712 simplifications concurrently under specific ambient conditions can offset different
713 influences against each other, leading to a satisfactory model-observation comparison,
714 there is a risk that certain simplifications may drive the model's outcomes away from
715 reality when environmental conditions change. Therefore, caution should be exercised
716 when applying these simplifications in derivation of nucleation parameterizations and
717 subsequent application in 3-D models. In addition to the simplifications within the
718 cluster dynamics regime, it should be noted that current standard treatments in 3-D
719 models that ignore detailed gas-cluster-aerosol interactions may also lead to biases
720 under certain atmospheric conditions (Olenius and Roldin, 2022). This applies not only
721 to parameterizations involving explicit mathematical expressions but also to those using
722 ACDC-derived look-up tables. Additional evaluations for the SA-DMA system indicate
723 that the impacts of these treatments may be highest under a combination of low
724 temperature ($< \sim 270$ K), low CS ($< \sim 0.003$ s⁻¹), and low precursor concentrations,
725 which leads to elevated time to reach steady state and a higher proportion of precursor
726 consumption from cluster formation, as also indicated by Olenius and Roldin's study
727 (Olenius and Roldin, 2022). Despite these impacts being generally limited under most
728 atmospheric conditions in our modeling scenarios (see supporting information), further
729 research, especially using computationally lightweight models, should aim to

730 circumvent the potential bias by linking the cluster and aerosol dynamics (Olenius and
731 Roldin, 2022).

732 It is recognized that the development of cluster dynamics-based nucleation
733 parameterizations in the form of explicit mathematical expressions is subject to
734 limitations, especially for systems involving multiple precursor species (Semeniuk and
735 Dastoor, 2018). Given that the original ACDC has been extended to involve more than
736 two precursor species, the ACDC-derived parameterization framework, in the form of
737 a look-up table, is highly meaningful for establishing parameterizations for these multi-
738 component nucleation systems. Given that multiple nucleation pathways may be
739 simultaneously considered and simulated in 3-D modeling through ACDC-derived
740 look-up tables, automatized incorporation of tables are needed through useful tools such
741 as J-GAIN developed recently (Yazgi and Olenius, 2023).

742 **Appendix.** Abbreviations used in the main text.
743
744 **SA:** sulfuric acid
745 **DMA:** dimethylamine
746 **ACDC:** Atmospheric Cluster Dynamic Code
747 **DB:** DLPNO-CCSD(T)/aug-cc-pVTZ// ω B97X-D/6-311++G(3df,3pd) level of theory
748 **RM:** RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory
749 **CE:** simplification in cluster evaporations (only $(SA)_k(DMA)_k$ ($k = 1-4$) and
750 $(SA)_2(DMA)_1$ clusters are considered)
751 **CN:** simplification in cluster number (clusters larger than $(SA)_1(DMA)_1$ are regarded
752 stable with no evaporation)
753 **BC:** simplification in boundary conditions ($(SA)_4(DMA)_4$ cluster is set as the only
754 terminal cluster in calculating particle formation rates)
755 **SF:** sticking factor used in collision process
756 **Dynamic_Sim:** a reported cluster-dynamic based parameterization incorporating
757 simplifications of CE, CN and BC.
758 **$J_{1.4}$:** particle formation rate at 1.4 nm
759 **R:** a parameter to quantify the differences in simulating $J_{1.4}$ among different cluster
760 dynamics-based parameterizations compared to the base-case ACDC_DB

761 **Code and data availability.** The data and code used in this study are available upon
762 request from the corresponding author.

763

764 **Author contributions.** JS, BZ, and SW designed the research; AN and XZ collected
765 the quantum chemistry calculation data; JS performed the ACDC and WRF-
766 Chem/R2D-VBS simulations; YL, RC, and JJ collected the observational data. JS, BZ,
767 and SW analyzed the data; RC, DG, JJ, YG, MS, BC, and HH presented important
768 suggestions for the paper; JS, BZ, and SW wrote the paper with input from all co-
769 authors.

770

771 **Competing interests.** At least one of the (co-)authors is a member of the editorial board
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773

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