

1 **Cluster Dynamics-based Parameterization for Sulfuric Acid-Dimethylamine**  
2 **Nucleation: Comparison and Selection through Box- and Three-Dimensional-**  
3 **Modeling**

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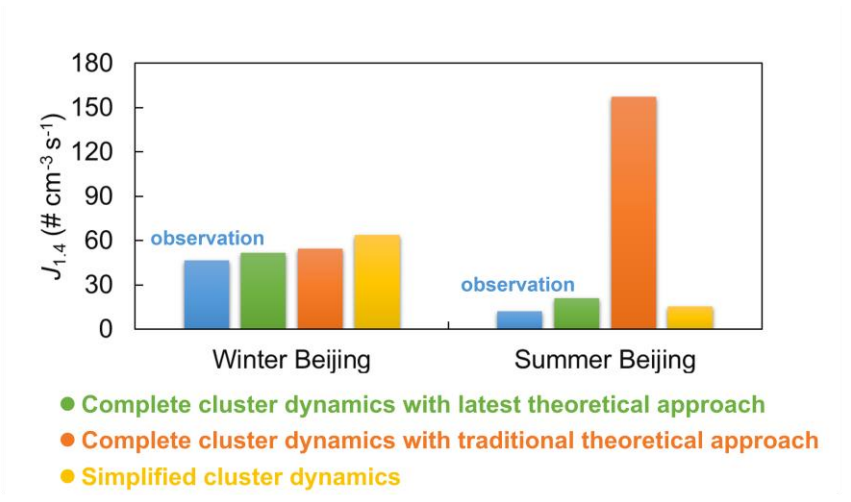
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28 **ABSTRACT**

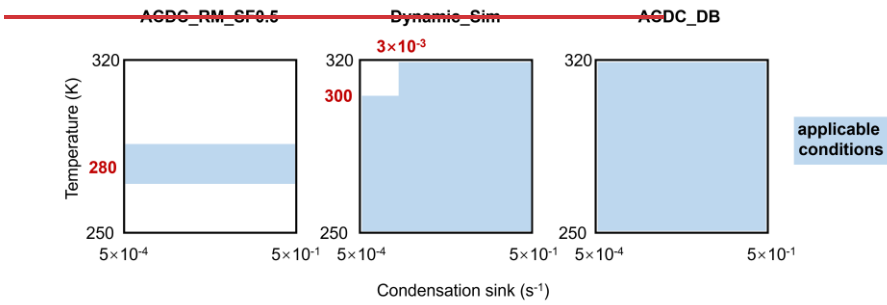
29 Clustering of gaseous sulfuric acid (SA) enhanced by dimethylamine (DMA) is a  
30 major mechanism for new particle formation (NPF) in polluted atmospheres. However,  
31 uncertainty remains regarding the SA-DMA nucleation parameterization that  
32 reasonably represents cluster dynamics and is applicable across various atmospheric  
33 conditions. This uncertainty hinders accurate three-dimensional (3-D) modeling of NPF  
34 and subsequent assessment of its environmental and climatic impacts. Here we  
35 extensively compare different cluster dynamics-based parameterizations for SA-DMA  
36 nucleation and identify the most reliable one through a combination of box-model  
37 simulations, 3-D modeling, and in-situ observations. Results show that the  
38 parameterization derived from Atmospheric Cluster Dynamic Code (ACDC)  
39 simulations, incorporating the latest theoretical insights (DLPNO-CCSD(T)/aug-cc-  
40 pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory) and adequate representation of  
41 cluster dynamics, exhibits dependable performance in 3-D NPF simulation for both  
42 winter and summer conditions in Beijing and shows promise for application in diverse  
43 atmospheric conditions. Another ACDC-derived parameterization, replacing the level  
44 of theory with RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd), also performs  
45 well in NPF modeling at relatively low temperatures around 280 K but exhibits  
46 limitations at higher temperatures due to inappropriate representation of SA-DMA  
47 cluster thermodynamics. Additionally, a previously reported parameterization  
48 incorporating simplifications is applicable for simulating NPF in polluted atmospheres  
49 but tends to overestimate particle formation rates under conditions of elevated  
50 temperature ( $> \sim 300$  K) and low condensation sink ( $< \sim 3 \times 10^{-3} \text{ s}^{-1}$ ). Our findings  
51 highlight the applicability of the new ACDC-derived parameterization, which couples  
52 the latest SA-DMA nucleation theory and holistic cluster dynamics, in 3-D NPF  
53 modeling. The ACDC-derived parameterization framework provides valuable reference  
54 for developing parameterizations for other nucleation systems.

55 GRAPHICAL ABSTRACT

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57



- ACDC\_RM\_SF0.5:**  
ACDC-derived parameterization with traditional theoretical approach
- Dynamic\_Sim:**  
reported parameterization with simplifications in cluster dynamics
- ACDC\_DB:**  
ACDC-derived parameterization with latest theoretical approach

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59

## 60 1 INTRODUCTION

61 Atmospheric aerosols have significant impacts on visibility, human health, and  
62 global climate (Gordon et al., 2016; Gao et al., 2024). New Particle Formation (NPF)  
63 is the predominant source of global aerosol population, with nucleation being the key  
64 stage of the gas-to-particle transformation (Zhao et al., 2020; Almeida et al., 2013). In  
65 polluted regions such as urban China, compelling evidence indicates that sulfuric acid  
66 (SA)-driven nucleation enhanced by dimethylamine (DMA) can generate  
67 thermodynamically stable SA-DMA clusters and lead to high particle formation rates  
68 close to kinetic limit of SA clustering, which is responsible for the observed intensive  
69 NPF events (Cai et al., 2021; Yao et al., 2018). Meanwhile, it has been demonstrated  
70 that variations in atmospheric conditions, including condensation sinks (CS) arising  
71 from background aerosols, along with temperature ( $T$ ), can exert profound impacts on  
72 the cluster dynamics of SA-DMA nucleation by varying the particle formation rates  
73 across several orders of magnitude (Cai et al., 2021; Deng et al., 2020). Given that  
74 complex interactions exist among various gaseous precursors, molecular clusters, and  
75 pre-existing aerosols during nucleation, reasonable representation of the cluster  
76 dynamics of SA-DMA nucleation in three-dimensional (3-D) models is important for  
77 3-D NPF modeling and subsequent assessment of its impacts on environment and  
78 climate.

79 Empirical models in form of power law functions have been extensively utilized to  
80 examine how particle formation rates respond to precursor concentrations (Semeniuk  
81 and Dastoor, 2018). Through parameter fitting, these empirical models can effectively  
82 reproduce the particle formation rates observed in both laboratory experiments and field  
83 measurements (Kulmala et al., 2006; Riccobono et al., 2014; Semeniuk and Dastoor,  
84 2018). Subsequently, they can be integrated into 3-D models for regional or global NPF  
85 simulations. Bergman et al. (2015) and Dunne et al. (2016) have simulated SA-DMA  
86 nucleation utilizing global models, which incorporate empirical equations derived from  
87 experimental data obtained from CLOUD chamber or flow tube experiments. These  
88 parameterization schemes successfully characterize the response of particle formation  
89 rates to precursor concentrations, however, they fail to account for dependencies on  $T$   
90 and CS due to the ignorance of explicit cluster dynamics. As a result, they are identified  
91 to be inadequate for accurately reproducing NPF events in winter Beijing (Li et al.,  
92 2023c).

93 We recently developed an analytical equation for SA-DMA nucleation  
94 parameterization based on detailed cluster dynamics simulations (abbreviated as  
95 Dynamic\_Sim) (Li et al., 2023c). Previous theoretical insights into the SA-DMA  
96 system (Olenius et al., 2013, 2017; Ortega et al., 2012; Myllys et al., 2019) indicate that  
97  $(SA)_k(DMA)_k$  ( $k = 1-4$ ) and  $(SA)_2(DMA)_1$  clusters are considered the key clusters along  
98 the cluster formation pathways in SA-DMA nucleation. Under the polluted conditions  
99  $(CS > \sim 1.0 \times 10^{-2} \text{ s}^{-1})$ , the evaporation rates of clusters  $(SA)_k(DMA)_k$  ( $k = 2-4$ ) and  
100  $(SA)_2(DMA)_1$  clusters are negligible compared to their coagulation sink.  
101 Accordingly To derive an explicit equation, several simplifications have been made in

102 Dynamic\_Sim, including 1) only  $(SA)_k(DMA)_k$  ( $k = 1-4$ ) and  $(SA)_2(DMA)_1$  clusters are  
103 considered; 2) clusters larger than  $(SA)_1(DMA)_1$  are regarded stable with no  
104 evaporation; and 3)  $(SA)_4(DMA)_4$  cluster is the only terminal cluster in calculating  
105 particle formation rates. Subsequent applications in 3-D modeling have demonstrated  
106 significantly improved performance of Dynamic\_Sim compared to previous data-fitting  
107 parameterizations in simulating the particle formation rates, the evolution of particle  
108 number size distributions (PNSDs), and NPF events in winter Beijing. However, the  
109 efficacy of Dynamic\_Sim in NPF simulation has yet to be assessed under varying  
110 atmospheric conditions, such as the summer season characterized by relatively higher  
111  $T$  and lower CS compared to winter. Moreover, the impacts of simplifications made in  
112 the derivation of Dynamic\_Sim on 3-D NPF simulation under different atmospheric  
113 conditions remain unclear.

114 In addition to the form of explicit formulations, integration of nucleation dynamics  
115 in 3-D models can also be realized using precomputed look-up tables generated by box  
116 models. Atmospheric Cluster Dynamics Code (ACDC) is a representative flexible box  
117 model for simulating cluster dynamics and particle formation rates (Mcgrath et al., 2012;  
118 Olenius et al. 2013). In addition to representing  $T$ - and CS- dependencies for particle  
119 formation rate as Dynamic\_Sim, ACDC considers the source/sink terms of all given  
120 molecules/clusters within a nucleation system without simplifications of the clustering  
121 processes. By integrating quantum chemical calculations with ACDC, Almeida et al.  
122 (2013) discovered that the simulated SA-DMA nucleation provides valuable insights  
123 for interpreting the measurements from the CLOUD chamber experiments. Similarly,  
124 Lu et al. (2020) demonstrated that ACDC coupled with quantum chemistry calculations  
125 can effectively reproduce the particle formation rates observed in urban Shanghai. In  
126 addition to its extensive utilization in box modeling (Almeida et al., 2013; Lu et al.,  
127 2020; Yang et al., 2021), several studies have simulated nucleation pathways in  
128 chemical transport models using precomputed look-up tables generated by ACDC. For  
129 example, Baranizadeh et al. (2016) and Croft et al. (2016) used ACDC-derived look-up  
130 tables as nucleation parameterizations to probe the impacts of SA-NH<sub>3</sub>-H<sub>2</sub>O nucleation  
131 on aerosol number concentration, cloud properties, and radiation balance. Olin et al.  
132 (2022) and Julin et al. (2018) evaluated the impact of new particle formation on aerosol  
133 number concentrations in Europe under historical and emission reduction scenarios,  
134 respectively, using ACDC-derived parameterizations involving both SA-NH<sub>3</sub>-H<sub>2</sub>O and  
135 SA-DMA nucleation. It should be noted that~~While ACDC has been extensively utilized~~  
136 ~~in box modeling (Almeida et al., 2013; Lu et al., 2020; Yang et al., 2021), its potential~~  
137 ~~for deriving parameterizations for 3-D models has not been explored in previous studies.~~  
138 ~~Furthermore,~~ ACDC program in modeling the nucleation process is highly reliant on  
139 specific thermodynamic data for the molecular clusters of interest, which are primarily  
140 obtained through quantum chemical calculations (Elm et al., 2020). A very recent study  
141 by Svenhag et al. (2024) compared the impact of two typical quantum calculation  
142 methods on 3-D modeling of SA-NH<sub>3</sub> nucleation using ACDC-derived  
143 parameterizations. However, it is still unclear how different quantum chemical methods

144 ~~affect the 3-D modeling of SA-DMA nucleation. The uncertainty surrounding the~~  
145 ~~influence of different quantum chemical calculation approaches adds additional~~  
146 ~~complexity to the application of ACDC-derived parameterization in 3-D NPF modeling.~~

147 This study aims to compare different cluster dynamic-based parameterizations for  
148 SA-DMA nucleation and identify the robust one applicable for 3-D models. We  
149 introduced ~~new~~ parameterizations developed using the ACDC program, incorporating  
150 various quantum chemical calculations. Different cluster dynamic-based  
151 parameterizations, including ACDC-derived ones as well as Dynamic\_Sim, are  
152 comprehensively compared and evaluated through a combination of box-model  
153 simulations, 3-D modeling, and in-situ observational data. Our findings reveal that by  
154 incorporating the latest theoretical understanding and complete representation of cluster  
155 dynamics, ACDC-derived parameterization demonstrates reliable performance in 3-D  
156 NPF simulation for both winter and summer conditions in Beijing and exhibits potential  
157 applicability in diverse atmospheric conditions. The study sheds light on the impacts of  
158 employing various simplifications in cluster dynamics and different theoretical  
159 approaches in deriving parameterizations on NPF simulation. In addition to  
160 contributing to the precise simulation of SA-DMA nucleation and the quantification of  
161 its environmental and climatic effects, this study provides valuable references for  
162 simulating other nucleation mechanisms in 3-D models.

## 163 2 METHODS

### 164 2.1 Configurations of ACDC

165 Here,  $(SA)_m(DMA)_n$  clusters ( $0 < n \leq m \leq 3$ ,  ~~$m \neq$~~  and  ~~$n \neq$~~  represent the number of  
166 SA and DMA molecules in a cluster) are used to build the ACDC-derived  
167 parameterizations for SA-DMA nucleation due to their reported much higher stability  
168 compared to those containing more DMA molecules than SA molecules (Xie et al.,  
169 ~~2017). The ACDC code is available at <https://github.com/tolenius/ACDC.2017>).~~ The  
170 conformations and thermodynamics of SA-DMA clusters are taken from our other  
171 study (Ning et al., 2024). Briefly, the conformations of selected clusters are taken from  
172 the reported global minima from Li et al. (2020), and the key thermodynamic data for  
173 ACDC, Gibbs free energy change ( $\Delta G$ ), are recalculated at the DLPNO-CCSD(T)/aug-  
174 cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory. Based on benchmark studies  
175 (Elm et al., 2020), this level of theory provides dependable thermodynamic insights into  
176 molecular clusters during nucleation and represents the latest theoretical approach. In  
177 addition, the rotational symmetry is consistently considered in quantum calculations  
178 following Besel et al. (2020). Following most previous ACDC simulation studies (Xie  
179 et al., 2017; Elm et al., 2020; Ning et al., 2020),  $(SA)_4(DMA)_3$  and  $(SA)_4(DMA)_4$   
180 clusters are defined as the boundary conditions, i.e. the clusters fluxing out the  
181 simulated system and participating in subsequent growth in ACDC simulations,  
182 considering their high stability. Since clusters containing SA tetramers are estimated to  
183 have an electrical mobility diameter of 1.4 nm (Cai et al., 2023; Jen et al., 2014; Thomas  
184 et al., 2016), the formation rates of  $(SA)_4(DMA)_3$  and  $(SA)_4(DMA)_4$  clusters are  
185 therefore deemed as the particle formation rates at 1.4 nm ( $J_{1.4}$ ). Size-dependent

186 coagulation sink (CoagS) is counted for each SA-DMA cluster which is consistent with  
187 Dynamic\_Sim (Li et al., 2023c):

188 
$$\text{CoagS}_i = \text{CS} \left( \frac{V_i}{V_1} \right)^{-1.7}$$

189 where  $V_i$  and  $V_1$  ( $\text{m}^3$ ) represent the volume of cluster  $i$  and SA molecule, respectively.  
190 The power-law exponent of -1.7 is selected according to typical range in the atmosphere  
191 (Lehtinen et al., 2007). In addition, enhancement for collision processes from Van de  
192 Waals forces is also considered. We refer to the ACDC-derived parameterization in  
193 coupling the DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of  
194 theory and adequate cluster dynamics as ACDC\_DB, which is established as the base-  
195 case for our discussion of other cluster dynamics-based parameterizations.

196 In addition to the direct comparison of ACDC\_DB to Dynamic\_Sim, additional test  
197 parameterizations combining ACDC\_DB and three simplifications within  
198 Dynamic\_Sim are established and compared with ACDC\_DB to further probe the  
199 impacts of these simplifications on NPF simulations. According to our previous study,  
200 altering the simplifications within Dynamic\_Sim to explicit treatment would  
201 substantially escalate the computational demand by several orders of magnitude (Li et  
202 al. 2023c). Therefore, we utilize the ACDC-derived look-up tables to evaluate the  
203 impacts of the simplified treatments. The configurations of all parameterizations are  
204 detailed in Table 1. It should be noted that when all simplifications are applied on  
205 ACDC\_DB, Dynamic\_Sim still predicts higher  $J_{1,4}$  compared to ACDC\_DB (Figure  
206 S1A). This is because the  $\Delta G$  value of the initial (SA)<sub>1</sub>(DMA)<sub>1</sub> cluster at 298.15 K used  
207 in Dynamic\_Sim, which is taken from Myllys et al. (Myllys et al., 2019), is slightly  
208 lower than that used in ACDC\_DB ( $-13.5 \text{ kcal mol}^{-1}$  for Dynamic\_Sim and  $-12.9 \text{ kcal}$   
209  $\text{mol}^{-1}$  for ACDC\_DB) (Ning et al., 2024), even though both parameterizations employ  
210 the quantum chemical calculation method of DLPNO-CCSD(T). Possible reasons for  
211 the discrepancy include the utilization of a larger basis set (3-zeta 6-311++G(3df,3pd))  
212 and higher convergence criteria (Tight PNO + Tight SCF) in this study compared to  
213 that in Myllys et al.. Aligning the  $\Delta G$  for (SA)<sub>1</sub>(DMA)<sub>1</sub> cluster in Dynamic\_Sim with  
214 that of ACDC leads to a high consistency in the predicted  $J_{1,4}$  between the two  
215 approaches (Figure S1B). The uncertainty of  $\Delta G$  used in Dynamic\_Sim is discussed in  
216 our previous study (Li et al., 2023c) and here we mainly focus on the impacts of  
217 simplifications in Dynamic\_Sim.

218 While the DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level  
219 of theory yields reasonable cluster thermodynamics, quantum chemistry calculations  
220 employing the RI-CC2 method predicting lower  $\Delta G$  for cluster formation (stronger  
221 binding between molecules within clusters), has been widely used in conjunction with  
222 ACDC to interpret experimental and observed particle formation rates in previous  
223 studies (Almeida et al., 2013; Kürten et al., 2018; Ning et al., 2020). The prevalent  
224 combination used with the RI-CC2 method is RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-  
225 311++G(3df,3pd) level of theory (Lu et al., 2020; Liu et al., 2021; Ning et al., 2022;  
226 Ning and Zhang, 2022; Liu et al., 2019). Based on Elm's work, compared to DLPNO-

227 CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd), the differences in predicted  
 228 cluster binding energies primarily stem from discrepancies between DLPNO-CCSD(T)  
 229 and RI-CC2 in single-point energy calculations, while the  $\omega$ B97X-D and M06-2X  
 230 functionals exhibit similar performance (Elm et al., 2013; Elm et al., 2020). Also, in  
 231 previous studies the RI-CC2 method combined with ACDC was consistently  
 232 accompanied by application of a sticking factor (SF) of 0.5 in treating collision  
 233 processes (Almeida et al., 2013; Lu et al., 2020). However, it is noteworthy that,  
 234 according to Stolzenburg et al.’s work (Stolzenburg et al., 2020), the SF of the neutral  
 235 SA-DMA cluster system should be unity. Here, we refer to the traditional theoretical  
 236 approach as employing the RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd)  
 237 level of theory and incorporating the SF of 0.5 in collision processes. An ACDC-derived  
 238 parameterization coupling the traditional theoretical approach is established to assess  
 239 the effectiveness of the traditional method in NPF simulation (ACDC\_RM\_SF0.5).  
 240 Except for the varied thermodynamic inputs and SF, the remaining configurations of  
 241 ACDC\_RM\_SF0.5 are identical to ACDC\_DB. Additionally, we establish a test  
 242 parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd)  
 243 level of theory with an SF of unity (ACDC\_RM) to evaluate the impact solely arising  
 244 from the quantum chemical calculation method. Note that SF of unity is applied to all  
 245 parameterizations in this study except for the ACDC\_RM\_SF0.5.

246 To quantify the differences in simulating  $J_{1,4}$  among different cluster dynamics-  
 247 based parameterizations compared to our base-case ACDC\_DB, we introduce a  
 248 parameter  $R$ :

$$249 \quad R_X = \frac{\sum_i^n (X_i / \text{ACDC\_DB}_i)}{n}$$

250 where ACDC\_DB $_i$  and  $X_i$  denote the simulated  $J_{1,4}$  by the base-case ACDC\_DB and  
 251 another specific parameterization  $X$ , respectively, given the input scenarios of  $i$  (a set  
 252 of input values for  $T$ , CS, concentration of SA ([SA]) and DMA ([DMA])), and  $n$   
 253 signifies the total number of input scenarios.

254  
 255 **Table 1.** Summary of various cluster dynamics-based parameterizations of SA-DMA  
 256 nucleation in this study (main parameterizations are in bold, while test ones in regular)

Case	Description
<b>Dynamic_Sim</b>	Reported parameterization from Li et al. 2023 combining the simplifications in boundary conditions, cluster evaporations, and cluster number
<b>ACDC_DB</b>	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory, namely the latest theoretical approach
ACDC_DB_BC	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory and simplification in boundary conditions (only (SA) $_4$ (DMA) $_4$ cluster is



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	set as boundary condition)
ACDC_DB_CE	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory and simplification in cluster evaporations (the evaporation rates of $(SA)_k(DMA)_k$ ( $k = 2-3$ ) and $(SA)_2(DMA)_1$ clusters are kept zero)
ACDC_DB_CN	ACDC-derived parameterization coupling DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory and simplification in cluster number (only $(SA)_k(DMA)_k$ ( $k = 1-3$ ) and $(SA)_2(DMA)_1$ clusters are involved)
ACDC_RM_SF0.5	ACDC-derived parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory and a SF of 0.5 is applied in collision process, namely the traditional theoretical approach
ACDC_RM	ACDC-derived parameterization coupling RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory and a SF of 1 is applied

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257

258 **2.2 Incorporating the ACDC-derived Parameterizations into WRF-Chem/R2D-**  
259 **VBS Model**

260 Various parameterizations are subsequently implemented in the Weather Research  
261 and Forecasting-Chemistry model (WRF-Chem) integrating an experimentally  
262 constrained Radical Two-Dimensional Volatility Basis Set (2D-VBS) (denoted as  
263 WRF-Chem/R2D-VBS) (Zhao et al., 2020). Incorporating the box-model ACDC into a  
264 3-D model using the explicit mathematical formula, as Dynamic\_Sim, proves to be  
265 challenging. Here, we created a four-dimensional look-up table that delineates the  
266 response of  $J_{1,4}$  to four input variables ( $T$ , CS, [SA], and [DMA]) for each ACDC-  
267 derived parameterization (Yu, 2010). The table is derived based on multiple ACDC runs  
268 by varying input variables. The ranges for the input variables correspond to typical  
269 conditions of the atmosphere. Except for  $T$ , the ranges of variation for all other variables  
270 exceed at least one order of magnitude. Therefore, temperature is assumed to follow  
271 arithmetic uniform distribution, while the other variables are assumed to follow  
272 geometric uniform distribution. Details for the input variables are given in Table S1. In  
273 WRF-Chem/R2D-VBS simulations,  $J_{1,4}$  are online calculated by interpolating values  
274 from a look-up table based on real-time input parameters. In our previous study, we  
275 have developed an emission inventory for China and its surrounding regions (Li et al.,  
276 2023c). Here [DMA] is calculated in WRF-Chem/R2D-VBS based on a comprehensive  
277 source-sink representation of DMA. More details of including DMA in WRF-  
278 Chem/R2D-VBS can be found in our previous study (Li et al., 2023c). In addition, a  
279 time-integrated-average [DMA] as well as [SA] of each time step were used to drive

280 SA-DMA nucleation, since SA-DMA nucleation is accompanied with condensation of  
281 gaseous SA and DMA on pre-existing aerosols simultaneously in the atmosphere.

282 Besides SA-DMA nucleation, seven other nucleation mechanisms have already  
283 been incorporated in WRF-Chem/R2D-VBS (Zhao et al., 2020), including neutral/ion-  
284 induced  $\text{SAH}_2\text{SO}_4\text{-H}_2\text{O}$  nucleation, neutral/ion-induced  $\text{SAH}_2\text{SO}_4\text{-NH}_3\text{-H}_2\text{O}$   
285 nucleation, neutral/ion-induced pure organics nucleation, and  $\text{SAH}_2\text{SO}_4\text{-organics}$   
286 nucleation. The organics involved in nucleation are ultralow- and extremely low-  
287 volatility organic compounds (ULVOC and ELVOC) with  $\text{O:C} > 0.4$ . The formation  
288 chemistry of ULVOC and ELVOC from monoterpenes, including autoxidation and  
289 dimerization, is traced by the R2D-VBS framework (Zhao et al., 2020). Note that the  
290 impact of the other seven mechanisms on particle formation rates and particle number  
291 concentration is low compared to SA-DMA as revealed by our previous study (Li et al.,  
292 2023c). In WRF-Chem/R2D-VBS, the evolution of PNSDs from 1 nm to 10  $\mu\text{m}$  is  
293 treated by MOSAIC (Model for Simulating Aerosol Interactions and Chemistry)  
294 module. The newly formed 1.4 nm particles from SA-DMA nucleation are injected into  
295 the smallest size bin (1 - 1.5 nm) of the MOSAIC.

### 296 2.3 Configurations of WRF-Chem/R2D-VBS Model

297 The WRF-Chem/R2D-VBS model, incorporating various cluster dynamics-based  
298 SA-DMA nucleation parameterizations, was employed in a simulation over a domain  
299 with a spatial resolution of 27 km. This domain covers eastern Asia, with Beijing  
300 situated close to the center of the simulation area. Details of model configurations can  
301 be found in our previous study (Li et al., 2023c). Briefly, we use the ABaCAS-EI 2017  
302 and IIASA 2015 emission inventories for mainland China and other areas in the domain,  
303 respectively, to represent the anthropogenic emissions (Zheng et al., 2019; Li et al.,  
304 2017; Li et al., 2023b); we use Model of Emissions of Gases and Aerosols from Nature  
305 (MEGAN) v2.04 to calculate the biogenic emissions (Guenther et al., 2006). To  
306 accurately represent the variation and distribution of chemical species concentrations  
307 during the simulation period, the chemical initial conditions, which represent the  
308 concentration field of chemical species at the initial simulation time, and the boundary  
309 conditions, which represent the flux or concentration around the simulation domain  
310 during the simulation period (Brasseur et al., 2017), are used in our WRF-Chem/R2D-  
311 VBS simulations. The simulation results from the National Center for Atmospheric  
312 Research's Community Atmosphere Model with Chemistry  
313 (<https://www.acom.ucar.edu/cam-chem/cam-chem.shtml>) is used for the chemical  
314 initial and boundary conditions in WRF-Chem/R2D-VBS simulations. In addition, we  
315 use a 5-day spin-up to minimize the impact of chemical initial conditions on simulation  
316 results.

317 The simulation period consists of two parts: the winter period, which spans from  
318 January 14 to January 31, 2019, and the summer period, which is from August 18 to  
319 August 31, 2019. Previous observational studies have shown that the particle formation  
320 rates reach their highest and lowest levels during winter and summer in China,  
321 respectively (Deng et al., 2020; Chu et al., 2019). Therefore, periods from these two

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322 seasons are selected as representative simulation periods in this study and the specific  
323 time periods corresponded to those with relatively complete and continuous PNSDs and  
324  $J_{1.4}$  observations. Since observational data for DMA concentration is only available for  
325 the period from January 1, 2019 to January 23, 2019, similar to our other study (Ning  
326 et al., 2024), we performed additional simulation for this period to compare  
327 observational and simulated DMA concentrations. For each season, all the SA-DMA  
328 parameterizations listed in Table 1 were employed for simulation. Among them,  
329 ACDC\_DB, Dynamic\_Sim, and ACDC\_RM\_SF0.5 serve as three main  
330 parameterizations, while ACDC\_DB\_CE, ACDC\_DB\_BC, ACDC\_DB\_CN, and  
331 ACDC\_RM are set as test cases to investigate the impact of individual simplification  
332 or theoretical approach on NPF simulations. In all comparisons, ACDC\_DB is set as a  
333 reference.

#### 334 **2.4 Ambient Measurements**

335 In the 3-D simulations, we utilize measured concentrations of nucleation precursors  
336 and PNSDs as a criterion to discuss the model performance with various  
337 parameterizations. The duration of the observational data matches that of the  
338 simulations mentioned above. Detailed descriptions of the observation site and  
339 instruments can be found in our previous research (Deng et al., 2020; [Zhu et al., 2022](#)).  
340 Briefly, the observation site is located on the West Campus of the Beijing University of  
341 Chemical Technology. CI-TOF-MS (chemical ionization time-of-flight mass  
342 spectrometer; Aerodyne Research Inc.) were used to measure the concentrations of SA.  
343 Amine concentrations were measured with a modified TOF-MS using  $H_3O^+$  or its  
344 clusters as the reagent ions ([Zhu et al., 2022](#)). PNSDs from 1 nm to 10  $\mu m$  were  
345 measured using a PSD (particle size distribution) system and a DEG-SMPS (diethyl  
346 glycol scanning mobility particle spectrometer).  $J_{1.4}$  derived from observation is  
347 calculated employing an improved aerosol population balance formula (Cai and Jiang,  
348 2017).

349

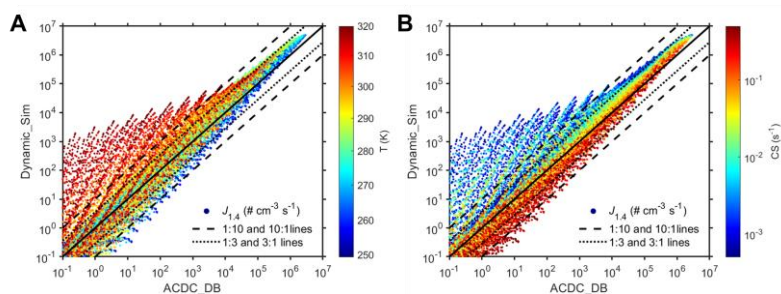
### 350 3 RESULTS AND DISCUSSIONS

#### 351 3.1 Comparison of Different Parameterizations Based on Box-Model Simulations

##### 352 3.1.1 Comparison between ACDC\_DB and Dynamic\_Sim

353 Figure 1 illustrates the comparison between the reported cluster dynamics-based  
354 parameterization with simplifications, Dynamic\_Sim, and the base-case  
355 parameterization ACDC\_DB. The comparison is based on a comprehensive dataset that  
356 includes over 40,000 box-model simulations for each parameterization, by varying  
357 parameters such as [SA] ( $1 \times 10^5 - 1 \times 10^8$  molec.  $\text{cm}^{-3}$ ), [DMA] ( $5 \times 10^6 - 5 \times 10^8$   
358 molec.  $\text{cm}^{-3}$ ), CS ( $5 \times 10^{-4} - 5 \times 10^{-1}$   $\text{s}^{-1}$ ), and  $T$  (250 – 320 K). In most scenarios,  $J_{1,4}$   
359 predicted by ACDC\_DB and Dynamic\_Sim demonstrates deviations within one order  
360 of magnitude, with the majority falling within a factor of 3. However, Dynamic\_Sim  
361 predicts notably higher  $J_{1,4}$  than ACDC\_DB in scenarios where  $T$  exceeds  $\sim 300$  K and  
362 CS is below  $\sim 3 \times 10^{-3}$   $\text{s}^{-1}$ , characteristic of a clean atmosphere during summer. The  
363 discrepancy in these scenarios elevates the overall  $R_{\text{Dynamic\_Sim}}$  up to 17.0. Furthermore,  
364 no clear correlation is observed between the differences of the two parameterizations  
365 and other input parameters such as [DMA] and [SA] (Figure S2). The differences  
366 between parameterizations are attributed to the combined effects of the three  
367 simplifications and the lower  $\Delta G$  of  $(\text{SA})_1(\text{DMA})_1$  cluster in Dynamic\_Sim. However,  
368 the latter should not be the primary cause for the significant differences of  $J_{1,4}$  prediction  
369 under high  $T$  and low CS conditions, as it typically results in an overestimation within  
370 an order of magnitude ( $R=3.3$ ) (Figure S1).

371



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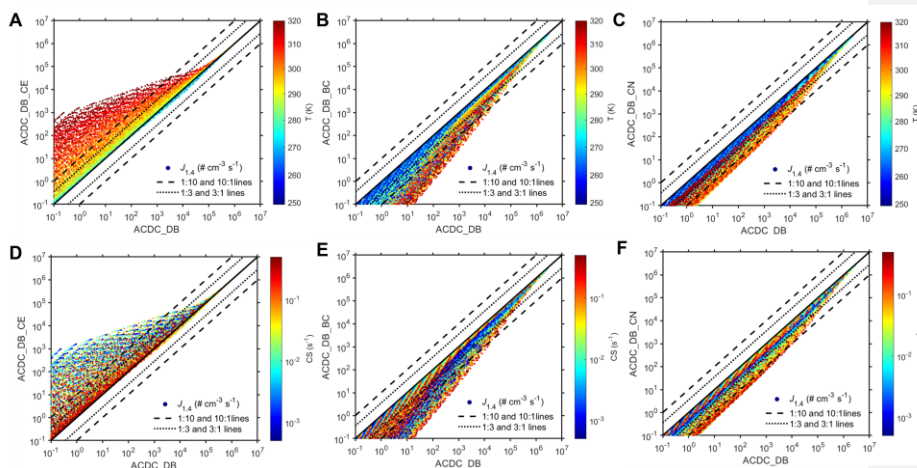
373 **Figure 1.** Comparison of  $J_{1,4}$  predictions between ACDC\_DB and Dynamic\_Sim  
374 correlated with  $T$  variation (A) and CS variation (B). Solid dots represent simulated  $J_{1,4}$   
375 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines, and  
376 dashed lines represent 1:10 and 10:1 lines.

377

378 The impacts of the three simplifications made in Dynamic\_Sim are shown in Figure  
379 2. Specifically, the simplification in cluster evaporations tends to elevate the predicted  
380  $J_{1,4}$ , whereas the simplifications in boundary conditions and cluster number tend to  
381 lower them. When applying the simplification in cluster evaporations (clusters larger  
382 than  $(\text{SA})_1(\text{DMA})_1$  are regarded stable with no evaporation) to ACDC\_DB, the  
383 predicted  $J_{1,4}$  by ACDC\_DB\_CE only slightly exceed than that of ACDC\_DB within a

384 factor of 3 under conditions where  $T < \sim 290$  K and  $CS > \sim 0.1$  s<sup>-1</sup>. However, the  
 385 overestimation of  $J_{1,4}$  prediction by ACDC\_DB\_CE becomes much greater with  
 386 increasing  $T$  and decreasing CS. The discrepancy between ACDC\_DB\_CE and  
 387 ACDC\_DB should be primarily attributed to the pivotal role of  $T$  in influencing cluster  
 388 evaporation rates (Ortega et al., 2012; Deng et al., 2020). At low  $T$ , the evaporation  
 389 rates of clusters are low enough to allow efficient nucleation, thus whether setting the  
 390 concerned SA-DMA clusters to evaporate based on the expected evaporation rates does  
 391 not lead to a significant impact on  $J_{1,4}$  prediction. However, at high  $T$ , the evaporation  
 392 rates of clusters significantly increase, therefore the simplification in cluster  
 393 evaporations within ACDC\_DB\_CE is likely to predict higher  $J_{1,4}$  than those with no  
 394 simplification. The impact of simplification in cluster evaporations across varying  $T$  is  
 395 also found in a nonbranched SA-DMA nucleation scheme from 280 K to 298 K reported  
 396 by Li et al. (2023a). Note also that the overestimation of ACDC\_DB\_CE diminishes as  
 397 CS increases (Figure 2D), with CS becoming the primary sink in the nucleation system  
 398 and the impact of cluster evaporations becoming less pronounced. This underscores the  
 399 connection between the specific deviation arising from simplification in cluster  
 400 evaporations and the respective contributions of CS and cluster evaporations to the  
 401 overall sink for clusters in nucleation. In addition, the relative independence of the  
 402 differences between ACDC\_DB\_CE and ACDC\_DB from variations in precursor  
 403 concentrations ([SA] and [DMA]) is similar to that between Dynamic\_Sim and  
 404 ACDC\_DB (Figure S3). Overall, the scenarios where ACDC\_DB\_CE predicts higher  
 405  $J_{1,4}$  than ACDC\_DB only occurs under conditions of both high  $T$  and low CS (Figure  
 406 2A and Figure 2D). The averaged discrepancy between ACDC\_DB\_CE and  
 407 ACDC\_DB  $R_{ACDC\_DB\_CE}$  is 22.3, closely resembling  $R_{Dynamic\_Sim}$ , indicating that the  
 408 simplification in cluster evaporations is a major factor contributing to the difference  
 409 between Dynamic\_Sim and ACDC\_DB.

410



411

412 **Figure 2.** Comparison of  $J_{1,4}$  predictions between ACDC\_DB and test cases including

413 ACDC\_DB\_CE (A and D), ACDC\_DB\_BC (B and E), and ACDC\_DB\_CN (C and F).  
414 The first row in the panel (A, B and C) is correlated with  $T$  variation and the second  
415 row (D, E and F) is correlated with CS variation. Solid dots represent simulated  $J_{1,4}$   
416 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines, and  
417 dashed lines represent 1:10 and 10:1 lines.

418

419 The underestimations of ACDC\_DB\_BC and ACDC\_DB\_CN in  $J_{1,4}$  prediction  
420 compared to base-case ACDC\_DB are related to the growth pathways of SA-DMA  
421 clusters. In the original scheme of ACDC\_DB, precursor molecules have the flexibility  
422 to pass through any  $(SA)_m(DMA)_n$  clusters ( $0 < n \leq m \leq 3$ ), and terminal 1.4-nm  
423 particles are formed when the clusters grow to  $(SA)_4(DMA)_4$  or  $(SA)_4(DMA)_3$ . As  
424 expected, ACDC\_DB\_BC, which assumes  $(SA)_4(DMA)_4$  cluster as the only boundary  
425 condition with an omission of  $(SA)_4(DMA)_3$  cluster, predicts lower  $J_{1,4}$  than ACDC\_DB.  
426  $(SA)_4(DMA)_3$  and  $(SA)_4(DMA)_4$  clusters are primarily formed from  $(SA)_3(DMA)_3$   
427 cluster by colliding with a SA molecule and a  $(SA)_1(DMA)_1$  cluster, respectively. As  
428 the concentration of  $(SA)_1(DMA)_1$  cluster is more sensitive to  $T$ , we further found that  
429 the discrepancy between ACDC\_DB\_BC and ACDC\_DB becomes more pronounced  
430 with increasing  $T$  (Figure 2B). Furthermore, we found no apparent correlation between  
431 the variation of CS and the disparity between ACDC\_DB\_BC and ACDC\_DB (Figure  
432 2E).

433 In addition to ACDC\_DB\_BC, ACDC\_DB\_CN also underestimates  $J_{1,4}$  compared  
434 to ACDC\_DB with a comparable value ( $\sim 0.5$ ) of  $R_{ACDC\_DB\_CN}$  and  $R_{ACDC\_DB\_BC}$ . Under  
435 the simplification in cluster number, the formation of 1.4-nm clusters can only occur  
436 through specific pathways, including  $(SA)_1(DMA)_1 \rightarrow (SA)_2(DMA)_2 \rightarrow (SA)_3(DMA)_3$   
437  $\rightarrow (SA)_4(DMA)_4/(SA)_4(DMA)_3$ ,  $(SA)_1(DMA)_1 \rightarrow (SA)_2(DMA)_1 \rightarrow (SA)_2(DMA)_2 \rightarrow$   
438  $(SA)_3(DMA)_3 \rightarrow (SA)_4(DMA)_4/(SA)_4(DMA)_3$ , or a combination thereof, while other  
439 pathways are restricted. Due to the variability in growth pathways and their  
440 contributions to  $J_{1,4}$  under different atmospheric conditions, the difference between  
441 ACDC\_DB\_CN and ACDC\_DB is not strongly correlated with the variations of  $T$  and  
442 CS (Figure 2C and Figure 2F). Despite that, while the differences between the two  
443 tested parameterizations (ACDC\_DB\_BC and ACDC\_DB\_CN) involving cluster  
444 growth pathways and the original ACDC\_DB are not highly correlated with [DMA],  
445 there is a more pronounced correlation with [SA], which implies a more important role  
446 of SA in cluster growth (Figure S4 and Figure S5).

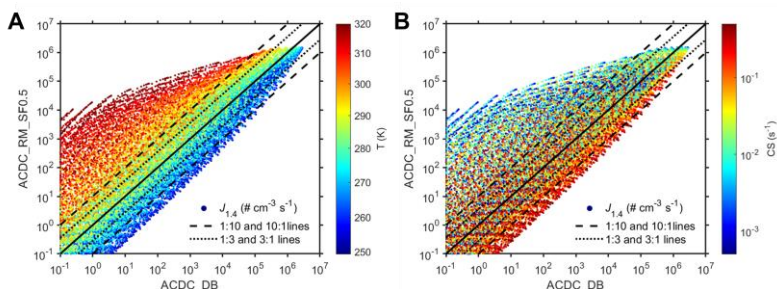
447 In our previous study, we demonstrated improvements in computing CS- dependent  
448  $J_{1,4}$  of SA-DMA nucleation with the Dynamic\_Sim compared to the previous power-  
449 law parameterizations under polluted atmospheric conditions (Li et al., 2023c). Here,  
450 we further show that, based on Dynamic\_Sim, the new ACDC\_DB with complete  
451 cluster dynamics can more reasonably simulate  $J_{1,4}$  under previously less studied  
452 conditions of high  $T$  ( $> \sim 300$  K) and low CS ( $< \sim 3 \times 10^{-3} \text{ s}^{-1}$ ), where Dynamic\_Sim tends  
453 to produce significant overestimation of  $J_{1,4}$ . This overestimation is primarily driven by  
454 the simplification in cluster evaporations within Dynamic\_Sim. Even though a

455 comparable performance in  $J_{1,4}$  prediction between ACDC\_DB and Dynamic\_Sim  
 456 could be achieved under other ambient conditions, cautions should be made that the  
 457 mutual offsetting effect between overestimation and underestimation resulting from  
 458 different simplifications in Dynamic\_Sim when computing  $J_{1,4}$ .

### 459 3.1.2 Comparison between ACDC\_DB and ACDC\_RM\_SF0.5

460 In Figure 3, ACDC\_DB is compared with another main ACDC-derived  
 461 parameterization, ACDC\_RM\_SF0.5, which uses the RI-CC2/aug-cc-pV(T+d)Z//M06-  
 462 2X/6-311++G(3df,3pd) level of theory and employs a SF of 0.5 in processing collision.  
 463 It can be observed that at lower temperatures ( $\sim 280$  K), ACDC\_RM\_SF0.5 and  
 464 ACDC\_DB exhibit similar performance in predicting  $J_{1,4}$ . However, with higher  $T$   
 465 (accompanied by lower CS with a slight dependency),  $J_{1,4}$  predicted by  
 466 ACDC\_RM\_SF0.5 become higher than that predicted by ACDC\_DB, reaching even  
 467 several orders of magnitude at the upper limit of the  $T$  range (320 K). Furthermore, we  
 468 also observed that in scenarios close to the lower limit of the  $T$  range (250 K), the  $J_{1,4}$   
 469 predicted by ACDC\_RM\_SF0.5 shift from being higher to lower compared to  
 470 ACDC\_DB.

471



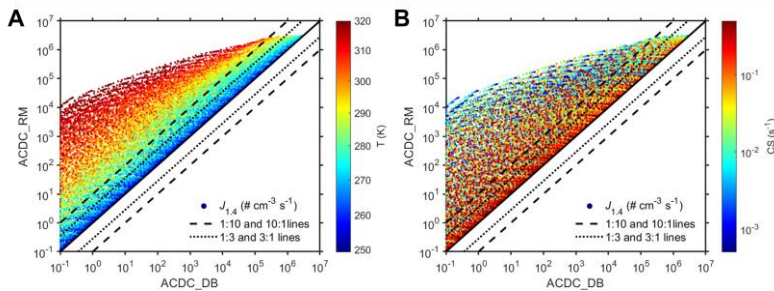
472

473 **Figure 3.** Comparison of  $J_{1,4}$  predictions between ACDC\_DB and ACDC\_RM\_SF0.5  
 474 correlated with  $T$  variation (A) and CS variation (B). Solid dots represent simulated  $J_{1,4}$   
 475 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines,  
 476 and dashed lines represent 1:10 and 10:1 lines.

477

478 The distinction between ACDC\_RM\_SF0.5 and ACDC\_DB arises from the  
 479 combined effects of variation in quantum chemical calculation method and the  
 480 application of the 0.5 SF in collision processing. As depicted in Figure 4, when the SF  
 481 in ACDC\_RM\_SF0.5 is set to unity as in ACDC\_DB, the resulting ACDC\_RM  
 482 parameterization predicts consistently higher  $J_{1,4}$  than ACDC\_DB. This implies that the  
 483 modified quantum chemical calculation method, which results in lower evaporation  
 484 rates for clusters within the system compared to ACDC\_DB under the same condition,  
 485 leads to higher  $J_{1,4}$  predictions. The impact from varying quantum chemical calculation  
 486 method is akin to that from simplification in cluster evaporations discussed earlier. The  
 487 distinction between ACDC\_RM and ACDC\_DB\_CE lies in the fact that the modified  
 488 quantum chemical calculation method affects all clusters within the system, whereas

489 the simplification in cluster evaporations is specific to limited clusters. This contributes  
 490 to a much higher  $R_{ACDC\_RM}$  (614.5) compared to  $R_{ACDC\_DB\_CE}$  (22.3). Despite that,  
 491 compared to ACDC\_DB, the differences for both ACDC\_DB\_CE, ACDC\_RM, as well  
 492 as ACDC\_RM\_SF0.5 demonstrate similar sensitivity to  $T$  (Figure 3A and Figure 4A)  
 493 and CS (Figure 3B and Figure 4B) but independence on [SA] (Figure S6A and Figure  
 494 S7A) and [DMA] (Figure S6B and Figure S7B). Comparing ACDC\_RM\_SF0.5 and  
 495 ACDC\_RM, it can be inferred that the application of a 0.5 SF in collision processes  
 496 would result in an underestimation in  $J_{1,4}$  prediction. It can be noted that in most  
 497 previous studies (Almeida et al., 2013; Kürten et al., 2018; Elm et al., 2020),  
 498 comparisons of ACDC simulations using the traditional method and measured particle  
 499 formation rates are conducted at around 280 K. At this temperature, all three main  
 500 parameterizations of ACDC\_RM\_SF0.5, ACDC\_DB, and Dynamic\_Sim tends to yield  
 501 similar  $J_{1,4}$  predictions and should have consistent applicability in NPF simulation.  
 502



503  
 504 **Figure 4.** Comparison of  $J_{1,4}$  predictions between ACDC\_DB and ACDC\_RM  
 505 correlated with  $T$  variation (A) and CS variation (B). Solid dots represent simulated  $J_{1,4}$   
 506 values, solid lines indicate a 1:1 line, dotted lines correspond to 1:3 and 3:1 lines,  
 507 and dashed lines represent 1:10 and 10:1 lines.  
 508

509 In summary, based on our base-case parameterization ACDC\_DB, the extensive  
 510 box-model simulations above demonstrate the characteristics ~~and applicability~~  
 511 ~~conditions~~ of different parameterizations. Specifically, Dynamic\_Sim shows general  
 512 consistency with ACDC\_DB in simulating  $J_{1,4}$  ~~is applicable~~ under most atmospheric  
 513 conditions with  $T < \sim 300$  K and  $CS > \sim 3.0 \times 10^{-3} \text{ s}^{-1}$ , while overestimating  $J_{1,4}$  with  $T >$   
 514  $\sim 300$  K and  $CS > \sim 3.0 \times 10^{-3} \text{ s}^{-1}$  compared to ACDC\_DB. ACDC\_RM\_SF0.5 performs  
 515 similarly to ACDC\_DB ~~is suitable~~ under conditions of ~~with  $T$  around 280 K~~ but give  
 516 different  $J_{1,4}$  predictions at other temperatures. We further use reported measurements  
 517 from well-controlled CLOUD chamber experiments to examine the characteristics and  
 518 applicability of these parameterizations (Xiao et al., 2021). As shown in Figure S8,  
 519 simulated  $J_{1,4}$  simulations using three main parameterizations, ACDC\_DB,  
 520 ACDC\_RM\_SF0.5, and Dynamic\_Sim, correspond well to measured  $J_{1,7}$  experimental  
 521 results at low temperature ( $T = 278$  K), proving the applicability of all three  
 522 parameterizations at this temperature. In the experiments with elevated temperature ( $T$



523 = 293 K), ACDC\_DB and Dynamic\_Sim continues to exhibit similar performance, with  
524 slight overestimation by approximately 2 factors. This may be because the much lower  
525 cluster concentrations at high temperatures compared to those at low temperatures lead  
526 to slower cluster growth and thus an enlarged gap between  $J_{1.4}$  and  $J_{1.7}$  (Figure  
527 S9), simulated results still corresponding to experimental results. In contrast,  
528 ACDC\_RM\_SF0.5 only shows a slight  $T$ -dependence, which is deviated from the  
529 measurements. The comparison between controlled experiments and box-model  
530 simulations hence confirms our conclusions above, and provides a solid basis for further  
531 discussions on 3-D simulations using these parameterizations with constraint from field  
532 observations.

### 533 3.2 Comparison of Different Parameterizations Based on 3-D Model Simulations

534 Various cluster dynamics-based parameterizations for SA-DMA nucleation were  
535 subsequently integrated into the WRF-Chem/R2D-VBS model. 3-D simulations using  
536 these parameterizations have been conducted for both wintertime and summertime  
537 conditions in Beijing. Given that the concentrations of precursors are crucial input  
538 variables for each parameterization, the simulated and observed concentrations of  
539 [DMA] and [SA] are compared. Figure S10S9, Figure S110 and Table S2 illustrates  
540 good consistencies in temporal variations and the mean values between simulations and  
541 observations in Beijing. This validates the reliability of our representation of sources  
542 and sinks for nucleating precursors and serves as a foundation for our discussions on  
543 the performances of various parameterizations. In the following sections, we discuss  
544 the results of 3-D NPF simulations in Beijing during winter and summer by employing  
545 different parameterizations. The evaluation of various parameterizations focuses on  
546 their ability to reproduce in situ NPF measurements across different seasons.

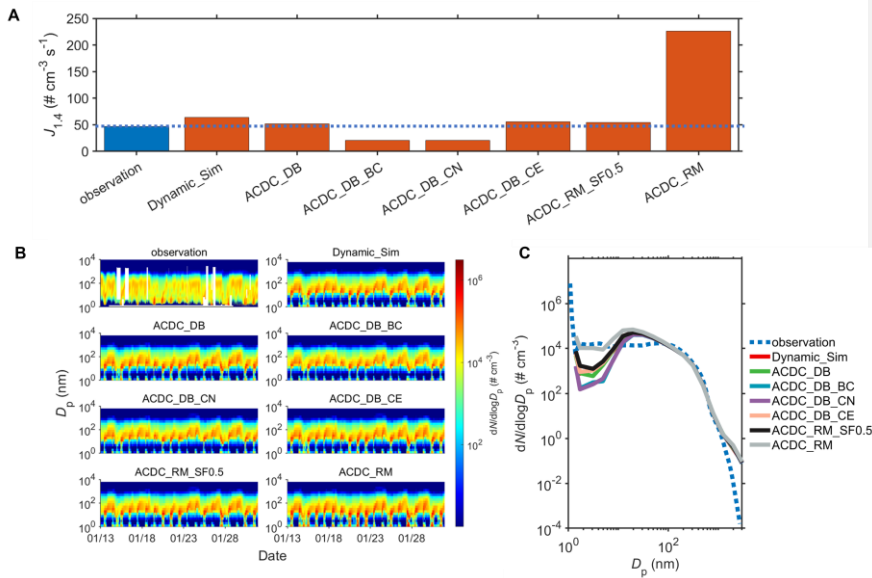
#### 547 3.2.1 Wintertime Simulations

548 Figure 5A and Figure S124A primarily compare the simulated  $J_{1.4}$  values from  
549 different parameterizations with those derived from wintertime observations in Beijing,  
550 as  $J_{1.4}$  being a key parameter describing NPF events. The performance of Dynamic\_Sim  
551 in simulating  $J_{1.4}$  during wintertime Beijing has been discussed in our previous study  
552 (Li et al., 2023c). The averaged  $J_{1.4}$  simulated by three main parameterizations  
553 (Dynamic\_Sim:  $64.0 \text{ cm}^{-3} \text{ s}^{-1}$ ; ACDC\_DB:  $51.6 \text{ cm}^{-3} \text{ s}^{-1}$ ; ACDC\_RM\_SF0.5:  $54.5 \text{ cm}^{-3} \text{ s}^{-1}$ )  
554 approximate the observation ( $46.7 \text{ cm}^{-3} \text{ s}^{-1}$ ). For test cases, however, only  
555 ACDC\_DB\_CE ( $55.7 \text{ cm}^{-3} \text{ s}^{-1}$ ) demonstrates a reasonable representation of  $J_{1.4}$ .  $J_{1.4}$   
556 simulated from ACDC\_DB\_BC ( $20.5 \text{ cm}^{-3} \text{ s}^{-1}$ ) and ACDC\_DB\_CN ( $20.8 \text{ cm}^{-3} \text{ s}^{-1}$ ) are  
557 approximately two times lower than the observed values, while ACDC\_RM ( $226.2 \text{ cm}^{-3} \text{ s}^{-1}$ )  
558 is approximately five times higher than the observations.

559 The performances of different parameterizations on depicting  $J_{1.4}$  subsequently  
560 influences their representations of PNSDs evolution and NPF events, which are shown  
561 in Figure 5B. Generally, most parameterizations efficiently reproduce the observed time  
562 evolution of PNSDs and captures NPF events, such as those on 01/20, 01/21, 01/30,  
563 and 01/31, which are characterized by the burst of aerosol number concentrations in  
564 nanometer-sized range. Simulations using ACDC\_DB\_BC and ACDC\_DB\_CN result

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565 in lower particle concentrations in the low size range (1-10 nm) during the NPF period  
 566 compared to three main parameterizations and the observations, while simulations with  
 567 ACDC\_RM show higher concentrations. This is consistent with the comparison of  $J_{1,4}$   
 568 among different parameterizations and further evident by the comparison of averaged  
 569 PNSDs in Figure 5C. Notably, when compared to observations, all parameterizations  
 570 consistently underestimate the averaged PNSDs within the 2-10 nm range but  
 571 overestimate them in the 10-50 nm range. This discrepancy may stem from simplified  
 572 assumptions in particle growth simulation, as discussed in our previous study (Li et al.,  
 573 2023c).  
 574  
 575



576  
 577 **Figure 5.** Comparison of simulated particle formation rates and particle number size  
 578 distributions (PNSDs) with observations during January 13, 2019, to January 31, 2019,  
 579 in Beijing. A represents the averaged particle formation rates during the period, the blue  
 580 bars and orange bars represent observations and simulations, respectively, while the  
 581 blue dashed line represents the observed values. Daily maximum values of  $J_{1,4}$  are used  
 582 following Deng et al. (2020); B for the time series of PNSDs; and C for the averaged  
 583 PNSDs.  
 584

585 The results show the applicability of all three main parameterizations in NPF  
 586 modeling during wintertime periods. Importantly, the reliability of the new ACDC-  
 587 derived parameterization based on the latest theoretical approach (ACDC\_DB) without  
 588 simplifications in 3-D NPF simulation, is affirmed. The differences among various  
 589 parameterizations can be explained by the comprehensive box-model simulations

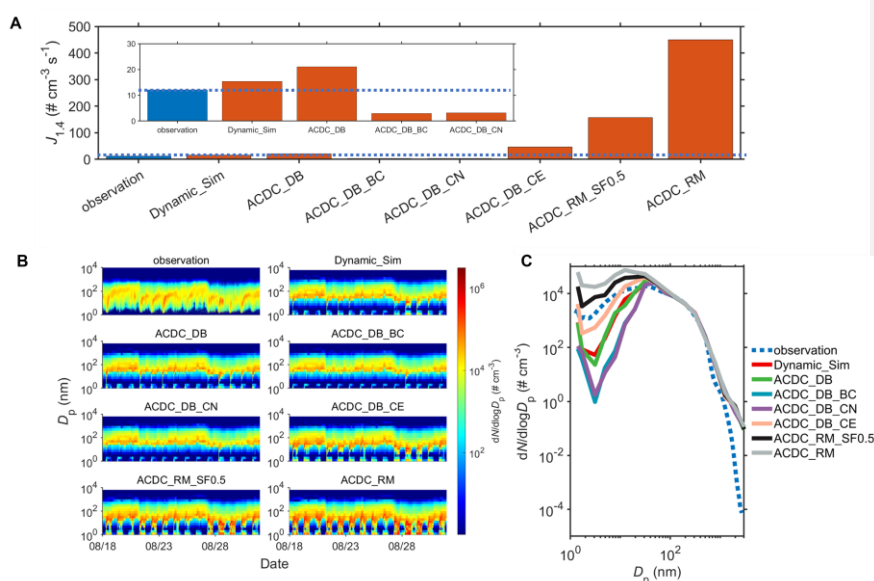
590 above at corresponding conditions. Compared to ACDC\_DB, the  $J_{1.4}$  and PNSDs  
591 simulated by other two main parameterizations (Dynamic\_Sim and ACDC\_RM\_SF0.5)  
592 agree similarly with observations, but for different reasons. In the case of Dynamic\_Sim,  
593 the simplification in cluster evaporations has minimal impact on NPF simulation since  
594 CS is the dominant sink for clusters under the wintertime conditions (averaged  $T$  and  
595 CS is 274.7 K and  $3.3 \times 10^{-2} \text{ s}^{-1}$ , respectively). However, the simplifications in boundary  
596 conditions and cluster number lead to the underestimation of the  $J_{1.4}$ , consequently  
597 lowering the simulated particle number concentrations in 1-100 nm size range due to  
598 the ignorance of clusters contributing to growth. As a result, the agreement of  
599 Dynamic\_sim to observations should result from a combination of underestimation due  
600 to simplifications in boundary conditions and cluster number, along with the  
601 compensatory effect of the overestimation caused by lower  $\Delta G$  for (SA)<sub>1</sub>(DMA)<sub>1</sub>  
602 cluster. For another main parameterization ACDC\_RM\_SF0.5, since the test  
603 parameterization ACDC\_RM considerably overestimates  $J_{1.4}$  and PNSDs compared to  
604 the observations, the general agreement between ACDC\_RM\_SF0.5 and observations  
605 should be attributed to a balance between reduced kinetic limit through the application  
606 of SF and the compensatory effect of the overestimation caused by inappropriate  
607 representation of cluster thermodynamics.

### 608 3.2.2 Summertime Simulations

609 Figure 6 provides additional insight into the performance of various  
610 parameterizations in NPF simulation during summer. It can be noted that there exists a  
611 significant difference in particle formation rates between winter and summer in Beijing.  
612 As shown in Figure 6 and Figure S12+B, ACDC\_DB and Dynamic\_Sim continues to  
613 demonstrate consistent and effective performance in simulating  $J_{1.4}$  (within a factor of  
614 2), PNSDs evolution as well as NPF events. However, distinct differences emerge in  
615 the NPF simulation for other parameterizations, including another main  
616 parameterization ACDC\_RM\_SF0.5. Specifically, in contrast to the good performance  
617 of ACDC\_DB and Dynamic\_Sim, ACDC\_RM\_SF0.5, along with the test case  
618 ACDC\_RM, exhibits a significant overestimation of  $J_{1.4}$ , exceeding the observations by  
619 more than 15 times and over two orders of magnitude, respectively. This aligns with  
620 their overestimation of NPF occurrences and particle number concentration in the size  
621 range of 1-100 nm in comparison to observation, with a more pronounced  
622 overestimation for ACDC\_RM. Conversely, the test cases of ACDC\_DB\_BC and  
623 ACDC\_DB\_CN show an underestimation of averaged  $J_{1.4}$  by approximately 4-5 times.  
624 They almost fail to depict NPF events, resulting in a significant underestimation of  
625 number concentrations in the 1-100 nm size range. Simulations using ACDC\_DB\_CE  
626 notably overestimates  $J_{1.4}$  especially on 08/28 – 08/31 (Figure S11B), which results in  
627 an overestimation of averaged  $J_{1.4}$  by approximately 4 times compared to the  
628 observations. However, apart from a moderate overestimation in the initial particle size,  
629 we can observe a closer alignment of particle number concentrations in the 2-~~50~~100 nm  
630 range with observations for ACDC\_DB\_CE, which should result from a combination  
631 of surplus newly formed particles and fast particle growth from inadequate assumptions

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632 within the model. For the broader 2-100 nm size range, it can be observed that  
 633 ACDC\_DB and Dynamic Sim are closer to the observations compared to  
 634 ACDC\_DB\_CE and another major parameterization ACDC\_RM\_SF0.5 (Figure S13).  
 635 The latter two overestimate the average number concentrations during the simulation  
 636 period by 1.6 times and 2.5 times, respectively. Given the more accurate representation  
 637 of nucleation rates by ACDC\_DB and Dynamic Sim, the discrepancies in the 2-100  
 638 nm size range compared to the observed PNSDs should also stem from the simplified  
 639 assumptions in particle growth simulations.  
 640



641  
 642 **Figure 6.** Comparison of simulated particle formation rates and particle number size  
 643 distributions (PNSDs) with observations during August 18, 2019, to August 31, 2019,  
 644 in Beijing. A represents the averaged particle formation rates during the period, the blue  
 645 bars and orange bars represent observations and simulations, respectively, while the  
 646 blue dashed line represents the observed values. Daily maximum values of  $J_{1,4}$  are used  
 647 following Deng et al. (2020); B for the time series of PNSDs; and C for the averaged  
 648 PNSDs.  
 649

650 Most previous NPF studies combining experiments/observations with simulations  
 651 are conducted under conditions biased towards winter ( $\sim 280\text{K}$ ) (Almeida et al., 2013;  
 652 Lu et al., 2020). Under summer conditions with elevated  $T$ , there exists a deficiency in  
 653 parameterization evaluations for simulating NPF. The 3-D simulation results during the  
 654 summer period provide additional validation for the reliability of ACDC\_DB. For  
 655 ACDC\_RM\_SF0.5, evidence from both box-model simulations and 3-D simulations  
 656 suggests that it can accurately reproduce real SA-DMA nucleation at temperatures

657 around 280 K, while it has limitations in higher temperatures. Another main  
658 parameterization Dynamic\_Sim consistently demonstrates good performance in NPF  
659 simulation, akin to its efficacy in winter conditions. With the increased temperature in  
660 summer (averaged  $T$  is 298.2 K), the influence of simplifications in cluster evaporations,  
661 cluster number, and boundary conditions becomes more profound, mirroring the trends  
662 observed in box-model simulations above. This leads to more significant  
663 overestimation for ACDC\_DB\_CE, and underestimation for ACDC\_DB\_CN and  
664 ACDC\_DB\_BC compared to the observation as well as the base-case ACDC\_DB. Note  
665 that CS during the summer period (averaged CS is  $2.8 \times 10^{-2} \text{ s}^{-1}$ ) decreases compared to  
666 winter but remains significantly higher than typical values in clean regions ( $\sim 3.0 \times 10^{-3}$   
667  $\text{ s}^{-1}$ ) (Dal Maso et al., 2008). According to the limited conditions for Dynamic\_Sim  
668 described above, although the overestimation of  $J_{1,4}$  prediction resulting from the  
669 simplification in cluster evaporations is more pronounced in summer compared to that  
670 in winter, impacts from diverse overestimations and underestimations from different  
671 simplifications and varied thermodynamics for  $(\text{SA})_1(\text{DMA})_1$  cluster can still offset  
672 each other, thereby allowing Dynamic\_Sim to match observations. Based on previous  
673 comparisons using box-models, significant differences in  $J_{1,4}$  predictions between  
674 Dynamic\_Sim and ACDC\_DB only exist under conditions of high  $T > \sim 300 \text{ K}$  and low  
675  $\text{CS} < \sim 3 \times 10^{-3} \text{ s}^{-1}$ , thus similar performance of Dynamic\_Sim and ACDC\_DB can be  
676 expected in the polluted atmosphere ( $\text{CS} > \sim 1.0 \times 10^{-2} \text{ s}^{-1}$ ). In clean atmosphere with  
677 high temperature, however, caution is advised when using Dynamic\_Sim for 3-D NPF  
678 simulations.

#### 679 **4. CONCLUSIONS and DISCUSSIONS**

680 By integrating box modeling, 3-D simulations, also under the constraint from in  
681 situ measurements, this study conducts comprehensive comparison of different cluster  
682 dynamics-based parameterizations for SA-DMA nucleation. Among them, the ACDC-  
683 derived parameterization grounded in the latest molecular-level understanding and  
684 complete representation of cluster dynamics (ACDC\_DB), is identified to effectively  
685 model particle formation rates and PNSDs evolution in both winter and summer in  
686 Beijing within 3-D simulations. While a previously proposed simplified cluster  
687 dynamics-based parameterization (Dynamic\_Sim) performs comparably in modeling  
688 NPF in Beijing, analysis reveals that their similarity arises from a delicate balance  
689 between overestimation and underestimation due to simplifications in cluster dynamics  
690 processes and the difference in thermodynamics of initial cluster. Particularly, under  
691 specific conditions of high temperature ( $> \sim 300 \text{ K}$ ) and low CS ( $< \sim 3 \times 10^{-3} \text{ s}^{-1}$ ),  
692 Dynamic\_Sim tends to make significant overestimation of particle formation rates  
693 compared to the reality. Moreover, the study furnishes evidence that integrating ACDC-  
694 derived parameterizations with the traditional theoretical approach RI-CC2/aug-cc-  
695 pV(T+d)Z//M06-2X/6-311++G(3df,3pd) (ACDC\_RM\_SF0.5) effectively captures  
696 particle formation rates and the evolution of PNSDs around 280 K, a temperature range  
697 frequently explored in prior experiments and simulations investigating NPF (Kirkby et  
698 al., 2011; Almeida et al., 2013; Kirkby et al., 2016; Xie et al., 2017; He et al., 2021; Ma

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699 et al., 2019). Therefore, ACDC\_RM\_SF0.5 exhibits consistent applicability as other  
700 two parameterizations at around  $\sim 280$  K. However, attributed to an inappropriate  
701 representation of cluster thermodynamics, ACDC\_RM\_SF0.5 has limitations in  
702 predicting particle formation rates at elevated temperatures. Overall, considering all  
703 aspects, we recommend ACDC\_DB as a more reliable parameterization for simulating  
704 NPF across various atmospheric environments.

705 In addition to contributing to a more reasonable 3-D modeling of NPF, our research  
706 further provides valuable references for the development of parameterizations for other  
707 nucleation systems. Firstly, we demonstrate the efficacy of the DLPNO-CCSD(T)/aug-  
708 cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory in describing the  
709 thermodynamic properties of SA-DMA clusters through comprehensive evidence. This  
710 approach can thus be referenced when using quantum chemical calculations to obtain  
711 thermodynamic data for other nucleation clusters, especially for other alkylamines such  
712 as methylamine/trimethylamine-sulfuric acid clusters. Although DLPNO method still  
713 has uncertainties in accurately describing cluster thermodynamics (Besel et al., 2020),  
714 it is well recognized as the best available method currently (Elm et al., 2020). Besides,  
715 it should be also noted, however, that in some qualitative studies, e.g., comparing the  
716 enhancing potential or synergistic effects of different precursors in SA-driven  
717 nucleation, methods other than DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-  
718 311++G(3df,3pd), such as RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd),  
719 are equally valid (Liu et al., 2019).

720 Comprehensive ~~Secondly, we provide comprehensive~~ modeling evidences are  
721 provided in this study that certain simplifications or assumptions in cluster dynamics,  
722 such as reducing the number of expected clusters, modifying boundary conditions, and  
723 assuming certain clusters to be non-evaporative, can significantly impact the prediction  
724 of particle formation rates and hence alter the 3-D NPF simulation under certain  
725 conditions. While applying certain simplifications concurrently under specific ambient  
726 conditions can offset different influences against each other, leading to a satisfactory  
727 model-observation comparison, there is a risk that certain simplifications may drive the  
728 model's outcomes away from reality when environmental conditions change.  
729 Therefore, caution should be exercised when applying these simplifications in  
730 derivation of nucleation parameterizations and subsequent application in 3-D models.  
731 In addition to the simplifications within the cluster dynamics regime, it should be noted  
732 that current standard treatments in 3-D models that ignore detailed gas-cluster-aerosol  
733 interactions may also lead to biases under certain atmospheric conditions (Olenius and  
734 Roldin, 2022). This applies not only to parameterizations involving explicit  
735 mathematical expressions but also to those using ACDC-derived look-up tables.  
736 Additional evaluations for the SA-DMA system indicate that the impacts of these  
737 treatments may be highest under a combination of low temperature ( $< \sim 270$  K), low CS  
738 ( $< \sim 0.003$  s $^{-1}$ ), and low precursor concentrations, which leads to elevated time to reach  
739 steady state and a higher proportion of precursor consumption from cluster formation,  
740 as also indicated by Olenius and Roldin's study (Olenius and Roldin, 2022). Despite

741 these impacts being generally limited under most atmospheric conditions in our  
742 modeling scenarios (see supporting information), further research, especially using  
743 computationally lightweight models, should aim to circumvent the potential bias by  
744 linking the cluster and aerosol dynamics (Olenius and Roldin, 2022).

745 ~~It is recognized~~~~Lastly, we note~~ that the development of cluster dynamics-based  
746 nucleation parameterizations in the form of explicit mathematical expressions is subject  
747 to limitations, especially for systems involving multiple precursor species (Semeniuk  
748 and Dastoor, 2018). Given that the original ACDC has been extended to involve more  
749 than two precursor species, the ACDC-derived parameterization framework, in the form  
750 of a look-up table, is highly meaningful for establishing parameterizations for these  
751 multi-component nucleation systems. Given that multiple nucleation pathways may be  
752 simultaneously considered and simulated in 3-D modeling through ACDC-derived  
753 look-up tables, automated incorporation of tables are needed through useful tools such  
754 as J-GAIN developed recently (Yazgi and Olenius, 2023).

755

756 **Appendix.** Abbreviations used in the main text.  
757  
758 **SA:** sulfuric acid  
759 **DMA:** dimethylamine  
760 **ACDC:** Atmospheric Cluster Dynamic Code  
761 **DB:** DLPNO-CCSD(T)/aug-cc-pVTZ// $\omega$ B97X-D/6-311++G(3df,3pd) level of theory  
762 **RM:** RI-CC2/aug-cc-pV(T+d)Z//M06-2X/6-311++G(3df,3pd) level of theory  
763 **CE:** simplification in cluster evaporations (only  $(SA)_k(DMA)_k$  ( $k = 1-4$ ) and  
764  $(SA)_2(DMA)_1$  clusters are considered)  
765 **CN:** simplification in cluster number (clusters larger than  $(SA)_1(DMA)_1$  are regarded  
766 stable with no evaporation)  
767 **BC:** simplification in boundary conditions ( $(SA)_4(DMA)_4$  cluster is set as the only  
768 terminal cluster in calculating particle formation rates)  
769 **SF:** sticking factor used in collision process  
770 **Dynamic\_Sim:** a reported cluster-dynamic based parameterization incorporating  
771 simplifications of CE, CN and BC.  
772  **$J_{1.4}$ :** particle formation rate at 1.4 nm  
773  **$R$ :** a parameter to quantify the differences in simulating  $J_{1.4}$  among different cluster  
774 dynamics-based parameterizations compared to the base-case ACDC\_DB  
775



776 **Code and data availability.** The data and code used in this study are available upon  
777 request from the corresponding author.

778  
779 **Author contributions.** JS, BZ, and SW designed the research; AN and XZ collected  
780 the quantum chemistry calculation data; JS performed the ACDC and WRF-  
781 Chem/R2D-VBS simulations; YL, RC, and JJ collected the observational data. JS, BZ,  
782 and SW analyzed the data; RC, DG, JJ, YG, MS, BC, and HH presented important  
783 suggestions for the paper; JS, BZ, and SW wrote the paper with input from all co-  
784 authors.

785  
786 **Competing interests.** At least one of the (co-)authors is a member of the editorial board  
787 of Atmospheric Chemistry and Physics.

788  
789 **Acknowledgements.** This study was supported by the National Natural Science  
790 Foundation of China (22188102 and 42275110) and Samsung PM<sub>2.5</sub> SRP.

791  
792 **Financial support.** Financial support from National Natural Science Foundation of  
793 China (22188102 and 42275110) and Samsung PM<sub>2.5</sub> SRP.

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