

# Impact of stochastic physics on the representation of atmospheric blocking in EC-Earth3

Michele Filippucci<sup>1,2</sup>, Simona Bordoni<sup>1</sup>, and Paolo Davini<sup>3</sup>

<sup>1</sup>Department of Civil, Environmental and Mechanical Engineering, University of Trento, Trento, Italy

<sup>2</sup>Istituto Universitario Superiore di Pavia, Pavia, Italy

<sup>3</sup>Istituto di Scienze dell'Atmosfera e del Clima, Consiglio Nazionale delle Ricerche (CNR-ISAC), Torino, Italy

**Correspondence:** Michele Filippucci (michele.filippucci@unitn.it)

**Abstract.** Atmospheric blocking is a synoptic-scale phenomenon that consists in an obstruction of the normal easterly progression of weather patterns in the midlatitudes, leading to persistent atmospheric conditions sometimes associated with extreme weather. State-of-the-art climate models systematically underestimate winter atmospheric blocking frequency, especially over Europe. This is often attributed to a poor representation of small-scale processes that are fundamental for the onset and maintenance of blocking events. Here, we explore how the implementation of two stochastic parameterizations, namely the Stochastically Perturbed Parameterization Tendencies (SPPT) ~~scheme~~ and the Stochastic Kinetic Energy Backscatter (SKEB) ~~schemes~~, influences the representation of Northern Hemisphere winter blocking in EC-Earth3.

~~Surprisingly,~~ We show that the activation of the two stochastic schemes has moderate detrimental effects on blocking representation. ~~Such deterioration,~~ when assessed through a gradient reversal index. Such modification is attributed to changes in the mean winter atmospheric circulation, primarily manifested in a strengthening of the mid-latitude ~~midlatitude~~ jet stream and an intensification of the Hadley Cell. Ultimately, these circulation differences arise from ~~a modified condensation process in tropical clouds that impacts~~ changes in the tropical stationary eddy activity, which in turn ~~modifies~~ modify the zonal momentum balance. Our findings reconnect with earlier literature on similar experiments and suggest that the activation of stochastic parameterizations may require a retuning of the model to ~~correct for significant biases~~ account for the resulting significant changes in the mean atmospheric circulation.

## 1 Introduction

Atmospheric blocking is a synoptic-scale weather phenomenon characterized by a quasi-stationary high-pressure low-vorticity system, which disrupts the usual eastward progression of weather patterns (Charney and DeVore, 1979; Hoskins et al., 1985). Blocking is observed in both hemispheres and in all seasons, although it is most frequent in the Northern Hemisphere (NH) ~~especially~~ in boreal winter and spring. The anomalous circulation induced by blocking can last from several days up to a few weeks, with significant impacts on regional weather conditions. Atmospheric blocking can arise from different weather configurations and a unique theory on its formation, development and decay, as well as a unique definition, ~~have so far not emerged~~ remain elusive (Masato et al., 2012; Barnes et al., 2012; Woollings et al., 2018). Yet blocking events share some common characteristics, like a ~~reversal~~ deflection of the normal midlatitude westerly ~~winds, which blow from east to west~~

25 ~~on the northern flow, which can result in a reversal of the climatological winds in the southern~~ flank of the blocked region. Depending on the season, atmospheric blocking can cause a temperature anomaly dipole on its upstream and downstream ~~of the areas of the wind reversal, leading sometimes~~ flanks, sometimes leading to heat waves, drought or exceptional snowfall conditions (Rex, 1950; Buehler et al., 2011; Sousa et al., 2018).

Another peculiar feature of blocking is related to its numerical simulation: current state-of-the-art ~~Global Climate General~~  
30 Circulation Models (GCMs) struggle to correctly represent important features of atmospheric blocking, systematically underestimating its frequency especially over the European region. Additionally, ~~moderate advances have only moderate progress has~~ been made in ~~the last recent~~ years compared to other aspects of climate modeling (Masato et al., 2013; Davini and d'Andrea, 2020). The ~~reason behind the inadequacy of GCMs to represent atmospheric blocking likely lies in~~ inadequate representation of atmospheric blocking by GCMs is likely due to its inherent nature, which involves highly nonlinear dry and moist processes,  
35 where intra-scales interactions are of key importance (~~Charney and DeVore, 1979; Reinhold and Pierrehumbert, 1982; Faranda et al., 2016~~  
~~Those~~ (Charney and DeVore, 1979; Reinhold and Pierrehumbert, 1982; Faranda et al., 2016; Steinfeld and Pfahl, 2019). These nonlinear interactions are difficult to capture both analytically and numerically, as models cannot explicitly resolve the wide range of scales of atmospheric motions due to limitations in computing resources. As a result, GCMs feature biases in atmospheric blocking frequency, especially over Europe (Masato et al., 2013; Woollings et al., 2018; Davini and d'Andrea, 2020)  
40 Numerical Weather Prediction (NWP) models also have similar struggles with representing atmospheric blocking onset, systematically overestimating the propagation distance of Rossby Wave packets (Quinting and Vitart, 2019).

There is evidence that increasing climate model resolution is beneficial for better representing atmospheric blocking frequency (Berckmans et al., 2013; Schiemann et al., 2017; Davini et al., 2017a). Yet, there are numerous other elements of climate modeling that compete for the same resources: the need to produce large enough simulation ensembles, to integrate over  
45 longer time windows and to model other Earth system components (~~Dawson and Palmer (2015))~~ (Dawson and Palmer, 2015). It has, therefore, been necessary to find a ~~trade-off~~ trade-off between explicitly representing small spatial scales and making use of expensive computational resources that may be required for other purposes.

~~In this perspective, stochastic parameterizations have been developed as a computationally cheaper alternative to increasing model resolution.~~ The Stochastic Perturbed Parameterization Tendencies (SPPT) and the Stochastic Kinetic Energy Backscatter (SKEB) schemes, developed at the European Center for Medium-Range Weather Forecast (ECMWF) and implemented in their Integrated Forecasting System (IFS) (Berner et al., 2017), were designed ~~in order to enhance the representation of~~  
50 ~~to address model uncertainty by introducing perturbations that represent the effect of unresolved~~ sub-grid scale ~~atmospheric variability, filling the gap between represented and unrepresented scales of atmospheric dynamics without resolving the latter explicitly~~ variability. This is done by ~~representing more accurately~~ accounting for the propagation of errors from small to  
55 macroscopic scales and the turbulent cascade proper of turbulent motion through stochastic perturbations within the parameterizations of the climate model physics. By representing the atmospheric variability that arises from unresolved scales of the atmospheric flow, stochastic parameterizations can be thought of as an alternative to increasing model resolution at a fraction of the computational cost.

At first, stochastic parameterizations were adopted for numerical weather forecasts ~~for use in and have enhanced the~~  
60 ~~reliability of~~ medium range and seasonal ensemble forecasts ~~of which they increase reliability~~ by improving the probabilistic  
representation ~~and the mean state biases (Leutbecher et al., 2017)~~ of atmospheric variability (Buizza et al., 1999; Palmer, 2001)  
. Later on, several studies demonstrated how the same parameterizations can ~~be beneficial for climate models (e.g., Lin and Neelin, 2003; Ar~~  
~~in general, it has been shown that introducing stochastic parameterizations can ameliorate~~ ~~also be beneficial at climate scales~~  
65 ~~(e.g., Lin and Neelin, 2003; Arnold et al., 2013), by reducing model~~ biases in a number of atmospheric circulation features  
~~with improvements~~ (Weisheimer et al., 2014; Yang et al., 2019). Interestingly, ~~such improvements appear to be~~ similar to  
those due to increased ~~horizontal~~ resolution (Berner et al., 2012). ~~Improvements are also seen in the representation of climate~~  
~~variability, through better simulated MJOs (Weisheimer et al., 2014), El Niño-Southern Oscillation (ENSO) (Yang et al., 2019)~~  
~~and extra-tropical flow regimes (Dawson and Palmer, 2015)~~

~~Among other aspects, the SPPT parameterization has been shown to have a strong influence on tropical precipitation.~~  
70 ~~Vidale et al. (2021) showed how SPPT is ameliorating the representation of tropical cyclones by both improving the number of~~  
~~cyclone seeds and by providing more suitable conditions for their transition into tropical cyclones. Pickl et al. (2022) showed~~  
~~that SPPT increases the frequency of strong upward motions and modifies tropical precipitation rates. Strommen et al. (2019a)~~  
~~found that the SPPT scheme alters the cloud liquid water content in tropical clouds and evaporation from the Earth's surface,~~  
~~slightly modifying the atmosphere radiative budget and the overall tropical climate (Strommen et al., 2019b, a). Both Strommen et al. (2019~~  
75 ~~and Pickl et al. (2022) attribute the systematic changes in tropical climate to the fact that a symmetric zero-mean perturbation~~  
~~can lead to a unidirectional response when applied to a nonlinear system. Such an effect is more evident in the tropics, as the~~  
~~magnitude of the SPPT perturbations on average scales with the magnitude of the deterministic tendency, which is larger in the~~  
~~tropics than in the extratropics (Leutbecher et al., 2017).~~

~~Regarding the~~ Impacts of stochastic parameterizations are, however, not limited to the tropical climate. Focusing on midlat-  
80 itudes, Dawson and Palmer (2015) investigated the impact of SPPT on the representation of ~~Northern-North~~ Atlantic weather  
regimes, ~~the which are~~ recurring and quasi-stable patterns of regional weather conditions that characterize the ~~Northern-North~~  
Atlantic midlatitude climate. In their study, they showed that the SPPT parameterization is able to improve the representation of  
weather regimes similarly to what seen when model resolution is increased. A similar result was obtained by Christensen et al.  
(2015) in an idealized experimental setup. Atmospheric blocking is highly correlated with some of the ~~Northern-North~~ Atlantic  
85 winter weather regimes, suggesting that the representation of atmospheric blocking may likewise benefit from stochastic pa-  
rameterizations. Moreover, a systematic review of the representation of atmospheric blocking in the IFS seasonal forecasts has  
shown how SPPT is able to modify the distribution and frequency of blocking events (Davini et al., 2021), slightly increasing  
blocking activity in low latitudes and decreasing it in midlatitudes. However, both Dawson and Palmer (2015) and Davini et al.  
(2021) only reported on the impact of SPPT without ~~trying to understand~~ ~~exploring~~ the dynamical reasons leading to such  
90 changes.

Building on this existing body of work, in this paper we explore the extent to which the implementation of the SPPT and  
SKEB schemes improves the representation of atmospheric blocking in a state-of-the-art GCM, namely EC-Earth, which has  
IFS as its atmospheric component.

More specifically, ~~here~~ we aim at shedding light on the dynamical mechanisms affecting the representation of atmospheric blocking when stochastic schemes are implemented, hence reconciling our results with earlier literature. This is done by making use of the Climate SPHINX (Stochastic Physics High resolutionN eXperiments) experiment dataset (Davini et al., 2017b), a set of simulations where EC-Earth was run at multiple horizontal resolutions with and without stochastic parameterizations. While the experiment dates back to 2017, it still represents an outstanding set of simulations and a ~~so far so far~~ unexplored opportunity to delve into the representation of atmospheric blocking in this context. Moreover, the extensive number of studies that ~~already exist on the experiment~~ used the same dataset (e.g., Yang et al., 2019; Strommen et al., 2019b; Vidale et al., 2021) enables us to compare and connect our results with earlier findings on different aspects of ~~atmospheric circulations~~ the atmospheric circulation.

~~Specific to the Climate SPHINX experiment, Davini et al. (2017b) observed how stochastic parameterizations produce a slightly strengthened jet stream, even though changes are small compared to EC-Earth biases, and a better representation of MJO variability. Moreover, Strommen et al. (2019a) found that the SPPT scheme alters the cloud liquid water content in tropical clouds and the evaporation from the Earth’s surface, slightly modifying the atmosphere radiative budget and the overall tropical climate (Strommen et al., 2019b, a). Significant modifications in the tropics are also found by Yang et al. (2019), who analyzed the representation of ENSO in the Climate SPHINX ensemble of coupled EC-Earth stochastic simulations. During the tuning process, Yang et al. (2019) observed how strengthening or weakening the atmosphere-ocean coupling was producing El Niño events of different magnitude in the presence of the SPPT parameterization. Moreover, Vidale et al. (2021) showed improvements in the representation of tropical cyclones by both improving the number of cyclone seeds and providing more suitable conditions for their transition into tropical cyclones.~~

With these goals in mind, the paper is structured as follows: first, in Section 2, we describe the Climate SPHINX experiment (2.1), the stochastic parameterizations (2.2), the diagnostic tools employed in our analyses (2.3) and the physical quantities used in this study (2.4). Then, in Section 3 we move to the description of how the activation of the stochastic parameterizations impacts the atmospheric blocking frequency (3.1) and the NH winter ~~mean state~~ mean state (3.2). More ~~mechanistic~~ process-oriented insight in these observed changes is provided in Section 4, through a novel linear blocked-zonal flow decomposition (4.1) and an analysis of the zonal momentum balance (4.2). Lastly, in Section 5, we summarize and discuss our results.

## 2 Methods

### 2.1 Data

The dataset we use in this work is the Climate SPHINX experiment dataset, which is composed of multiple ensemble members categorized per resolution and per parameterization (Davini et al., 2017b). The experiment has been carried out using EC-Earth v3.1, which is based on IFS cy36r4 (Donners et al., 2012; Döscher et al., 2021), in atmosphere-only configuration at five different horizontal resolutions: TL159 ( $\sim 125$  km), TL255 ( $\sim 80$  km), TL511 ( $\sim 40$  km), TL799 ( $\sim 25$  km), TL1279 ( $\sim 16$  km). The abbreviation “TL-x” stands for linear truncation: IFS is a spectral model and “x” indicates the

spectral harmonic at which the truncation occurs. All the simulations have 91 vertical levels. The Climate SPHINX simulations ~~here considered~~considered here cover the historical period from 1979 to 2008. Further details on the ~~initial and boundary~~boundary and initial conditions can be found in Davini et al. (2017b).

130 Simulations have been produced using two different model configurations: the “baseline” version of EC-Earth 3.1 and the “stochastic” version of the same model, in which the SPPT (Buizza et al., 1999; Palmer et al., 2009) and the SKEB (Berner et al., 2009; Shutts, 2015) schemes are used. For each ~~resolution, multiple ensemble members of the two model setups, with~~and without the stochastic parameterizations, simulations have been run ~~: the ensemble members produced are equal in number~~  
135 ~~for the stochastic and baseline configurations but differ depending on the resolution, with fewer ensemble members for higher~~resolutions with different resolutions ranging from 125 km to 16 km, with decreasing ensemble size for increasing resolution. Available simulations are summarized in Table 1. As reference observational dataset, we use the ECMWF ERA5 reanalysis (Hersbach et al., 2020). Both datasets have been regridded to a resolution of  $2.5^\circ \times 2.5^\circ$ , which represents a good trade-off between resolution and a computationally light-weight analysis.

The analysis presented in this article focuses on the extended winter period from December through March (DJFM). This  
140 choice is motivated by the fact that the winter period corresponds to the transient activity maximum, an aspect of the atmospheric circulation that is expected to be better represented through the adoption of stochastic parameterizations (Berner et al., 2012; Dawson and Palmer, 2015; Davini et al., 2017b). Indeed, most models struggle with representing wave-breaking atmospheric blocking events in the European sector (Masato et al., 2013; Davini and d’Andrea, 2020) which are most frequent in boreal winter and spring and whose frequency is influenced by transient eddy activity in the Northern Atlantic (Shutts, 1983;  
145 Nakamura and Huang, 2018).

The model tuning has been performed at TL255 resolution (the default EC-Earth3 configuration) in the deterministic configuration and the parameters are kept constant for all other simulations. This choice allows for a direct comparison between the various runs, with resolution and stochastic parameterizations being the only possible reasons for observed changes in simulated climate. A downside of this tuning strategy is that untuned resolutions can produce unrealistic behavior, as some of the  
150 parameterizations, even if mostly scale aware in IFS, might operate differently when smaller scales are dynamically resolved. For this reason, we exclude from our analysis the TL1279 resolution, for which, additionally, only a single ensemble member is available.

For the purpose of this analysis ~~all the ensemble members have been averaged. The performed average is~~we performed  
an arithmetic non-weighted average ~~that considers all the ensemble members~~over all ensemble members, which considers all  
155 members as of equal importance ~~,~~regardless of resolution. It is important to note how this procedure gives a larger weight to the  
lower-resolution experiments than to the higher-resolution ones, as the former’s ensembles are larger in size. The averaging is performed only after nonlinear computations – such as ~~the~~ atmospheric blocking frequency and other nonlinear variables – are performed. The choice to ignore the ensemble member resolution may seem counterintuitive, since it could be expected that the impact of the stochastic parameterization would decrease as resolution increases. Both the SPPT and SKEB parameterizations  
160 have ~~in fact~~ been developed within a seamless prediction framework so that their impact on ~~the~~ model physics is planned to decrease as ~~the~~ resolution increases, making the ~~parametrization~~parameterization suitable for both ~~Numerical Weather~~

**Table 1.** ~~Number of ensemble members per resolution and experimental setup. Rows refer to the two experimental setup, the baseline experiment and stochastic experiments, as described in Section 2.1. Columns refer to the available resolutions defined by their linear spectral truncation.~~ Number of ensemble members per resolution and experimental setup. Rows refer to the two experimental setup, the baseline and stochastic experiments, respectively, as described in Section 2.1. Columns refer to the available resolutions defined by their linear spectral truncation.

	TL159 (~ 125 km)	TL255 (~ 80 km)	TL511 (~ 40 km)	TL799 (~ 25 km) <del>TL1279 (~ 16 km)</del>
baseline	10	10	6	3 <del>1</del>
stochastic	10	10	6	3 <del>1</del>

~~Predictions (NWP) – NWP~~ resolutions and coarser ~~climate GCM~~ resolutions (Palmer et al., 2009). That said, our choice is motivated by the fact that an analysis of the differences between baseline and stochastic simulations grouped by resolution did not reveal significant differences, highlighting a systematic effect of ~~stochastic~~ the parameterizations that is almost independent of resolution ~~– In addition to this~~ (see Figures S1-S4 in the Supplementary Materials). Additionally, averaging all available ensembles – composed of 29 members – allows us to obtain results that are statistically more robust.

Statistical significance has been assessed through a Student’s t-test. The variance of each variable has been calculated as the statistical variance among the ensemble members. The significance of the anomalies has been computed using the following formula:

$$T_s = \frac{\langle x_1 \rangle - \langle x_2 \rangle}{\sqrt{\sigma_1^2 + \sigma_2^2}} \quad (1)$$

Where  $T_s$  is the student  $T$  value,  $x_1$  and  $x_2$  are the ensemble means of the analyzed fields and  $\sigma_1^2$  and  $\sigma_2^2$  are their standard deviations. The  $T_s$  value has been therefore compared with a reference value for the 98% confidence interval ~~for 29 ensemble members chosen~~ considering the size of the ensemble. Given the large number of ensemble members and ~~simulation simulated~~ years, in all figures ~~the~~ anomalies shown are significant at this confidence level.

## 175 2.2 SPPT and SKEB schemes

The SKEB and SPPT schemes have been developed at ECMWF specifically for the IFS model, which is the atmospheric component of EC-Earth. The SKEB scheme ~~(Buizza et al., 1999; Palmer et al., 2009)~~ has been developed in order to represent the effect of the turbulent kinetic energy cascade ~~– (Berner et al., 2009; Shutts, 2015)~~. The energy that is dissipated at smaller scales is not lost by the system but is randomly distributed at larger scales through a perturbation of the deterministic streamfunction tendency: Being  $\dot{\psi}(\phi, \lambda, z, t)$  the streamfunction tendency,  $\dot{\psi}_{det}(\phi, \lambda, z, t)$  the deterministic tendency and  $f(\phi, \lambda, z, t)$  a random perturbation of the streamfunction, the stochastic scheme operates so that

$$\dot{\psi}(\phi, \lambda, z, t) = \dot{\psi}_{det}(\phi, \lambda, z, t) + f(\phi, \lambda, z, t) \quad (2)$$

~~The SKEB scheme (Berner et al., 2009; Shutts, 2015) represents a phenomenon~~ Even though the SKEB scheme accounts for variability that is not represented in the deterministic version of the model ~~but~~, its impact on the simulated climate is negligible (Davini et al., 2017b).

The major impact on the representation of atmospheric variability comes from the SPPT scheme, which has been developed in order to represent the influence of sub-grid variability on the large-scale dynamics. ~~Such an influence is again represented by a perturbation of the tendencies of a series of fields (i.e., temperature, winds and specific humidity), introduced (Buizza et al., 1999; Palmer et al., 2009). SPPT introduces variability by perturbing the deterministic parameterization tendencies~~ of the temperature, specific humidity and wind fields as follows:

$$\frac{\partial X}{\partial t} = D + K + (1 + \mu e) \sum_i P_i \quad (3)$$

where  $D$  is the dynamical tendency,  $K$  the horizontal diffusion and  $P_i$  is the tendency coming from the  $i$ th parameterization scheme (radiation, turbulence and gravity wave drag, non-orographic gravity wave drag, convection, and large-scale water processes). The term in the parenthesis  $e$  is a ~~zero-mean~~ zero-mean perturbation and  $\mu$  is a parameter that sets the perturbation to zero at the surface and top ~~of the atmospheric boundaries~~ atmospheric boundary. The perturbation  $e$  is generated as the sum of three independent ~~perturbations~~ patterns that are spatially correlated at 500 km, 1000 km and 2000 km and have temporal decorrelation of 6 hours, 3 days and 30 days, representing the different scales of sub-grid variability that can be influenced by sub-grid scale processes.

### 2.3 Atmospheric blocking detection method

A plethora of blocking detection methods exist, and both the pattern and the magnitude of the resulting atmospheric blocking frequency ~~obtained~~ can vary considerably depending on the chosen method (Woollings et al., 2018). ~~These methods can be classified into two broad classes: absolute indices, which look for a specific wind pattern in the synoptic flow and anomaly indices, which look for field departures from the time mean.~~ In the following, we adopt a two-dimensional absolute index based on the geopotential height gradient reversal, first developed by Tibaldi and Molteni (1990) for a single latitudinal coordinate (60°N) and then extended to other latitudes (from 30°N to 75°N) by Scherrer et al. (2006) and Davini et al. (2012). ~~We also tested a simple implementation of an anomaly-based index similar to the one adopted by Woollings et al. (2018) and we found that our results slightly change depending on the index used, even though our main conclusions remain unchanged. In particular, the anomaly index shows smaller sensitivity to the implementation of stochastic parameterizations compared to the gradient reversal one.~~

~~Absolute indices are particularly~~ We opted for the gradient reversal index as absolute indices are suited for detecting atmospheric blocking originating from a Rossby wave-breaking event (Woollings et al., 2018). ~~They are particularly~~ This is convenient as they ~~operate by looking~~ look for a particular synoptic pattern rather than a geopotential height anomaly, which may be caused by a number of concurrent phenomena, ~~making a process-based understanding more difficult to achieve. In addition to this,~~ Rossby wave-breaking blocking is a crucial area of study as it impacts the midlatitudes during winter and its

frequency is systematically underestimated by current state-of-the-art ~~climate models~~GCMs, especially over Europe (Masato et al., 2013; Davini and d’Andrea, 2020). ~~Therefore it is particularly interesting to focus on such phenomena in our analysis~~. ~~The~~The additional analysis carried through the anomaly index can be found in the Supplementary Material (Figure S8).

220 The gradient reversal method operates as follows. For each grid-point we evaluate the northward ( $GHGN$ ) and southward gradient ( $GHGS$ ) of the geopotential height at 500 hPa,  $z_{500}$ :

$$GHGN(\phi_0, \lambda_0) = \frac{z_{500}(\lambda_0, \phi_N) - z_{500}(\lambda_0, \phi_0)}{\phi_N - \phi_0} \quad (4)$$

$$GHGS(\phi_0, \lambda_0) = \frac{z_{500}(\lambda_0, \phi_0) - z_{500}(\lambda_0, \phi_S)}{\phi_0 - \phi_S} \quad (5)$$

in which  $\lambda_0$  and  $\phi_0$  represent the grid point longitude and latitude, respectively;  $\phi_0$  ranges from 30° to 75°N and  $\lambda_0$  ranges from 0 to 360°;  ~~$\phi_s = \phi_0 - 15$  and  $\phi_N = \phi_0 + 15$~~  $\phi_s = \phi_0 - 15^\circ$  lat and  $\phi_N = \phi_0 + 15^\circ$  lat. For a grid point of coordinates  $(\lambda_0, \phi_0)$ , an instantaneous blocking is identified if:

$$GHGS(\phi_0, \lambda_0) > 0; GHGN(\phi_0, \lambda_0) < -10 \text{ m lat}^{-1} \quad (6)$$

We hence obtain a diagnostic matrix with temporal, latitudinal and longitudinal dimensions, where a boolean value indicates the ~~timing and positioning~~occurrence of instantaneous blocking.

230 It is important to note, that albeit widely used in literature, gradient reversal indices as the one adopted here tend to occasionally identify as blocks the intrusion of polar and tropical air masses, leading to an overestimation of blocking at high and low latitudes (Barriopedro et al., 2010). More stringent criteria have therefore been introduced for the low-latitude region (Davini et al., 2012), for instance, requiring that the meridional gradient of the geopotential height between 15° and 30° south of the blocked grid point be negative. Yet, in this study we opt not to apply such a filter as the lower latitudes are significantly  
 235 affected by the stochastic parameterizations and the associated anomalies can inform us on systematic mean circulation biases.

On top of the instantaneous blocking detection, we implemented a tracking algorithm that can detect blocking events through a connected component analysis of the diagnostic matrix. Reconstructing the blocking trajectories can bring several advantages and helpful metrics, such as the atmospheric blocking events duration, area and ~~center-of-mass~~center-of-mass displacement.  
 240 However, in the context of the present ~~analysis~~analyses, the event detection has been exploited exclusively to apply persistence and area filters to blocking events. Atmospheric blocking events whose duration is shorter than five days have been rejected, as well as those events whose area is smaller than 500.000 km<sup>2</sup>. ~~The~~By applying these filters we select blocking events that interest the synoptic scale and are quasi persistent, coherently with usual blocking definitions. More details on the tracking algorithm can be found in the Appendix.

245 In Section 3.1 atmospheric blocking frequency is ~~therefore~~ calculated as the fraction of days (in percentage) ~~during for~~ which a grid point is identified as blocked. ~~More details on the tracking algorithm can be found in the Appendix.~~In Section 4.1 we compute the frequency of blocking for a given area by selecting those days when at least 10% of the selected area is interested



by blocking. The 10% threshold has been selected in order to have a blocking frequency magnitude of the same order of the grid point blocking frequency computed in Section 3.1. Our results, however, show little sensitivity to the chosen threshold (not shown).

## 2.4 Mean state analysis

To characterize the mean atmospheric circulation we calculate the transient kinetic energy (TKE) and the Eady Growth Rate (EGR). TKE is obtained by applying a fast Fourier transform temporal filter to the 250-hPa daily zonal and meridional velocity that selects wave activity on timescales shorter than six days. The highpass filter is hence equivalent to a 2 – 6 day band pass.

We then computed kinetic energy as:

$$TKE = \frac{u_{hp}^2 + v_{hp}^2}{2} \quad (7)$$

where the subscript  $hp$  denotes ~~highpass-filtered~~ highpass-filtered data. The Eady growth rate at 850 hPa, a commonly used measure of baroclinic instability (Lindzen and Farrell, 1980; Paciorek et al., 2002; Novak et al., 2015) and, hence, of transient eddy activity, is computed as

$$\sigma = 0.31 \frac{f}{N} \frac{\partial u}{\partial z} \quad (8)$$

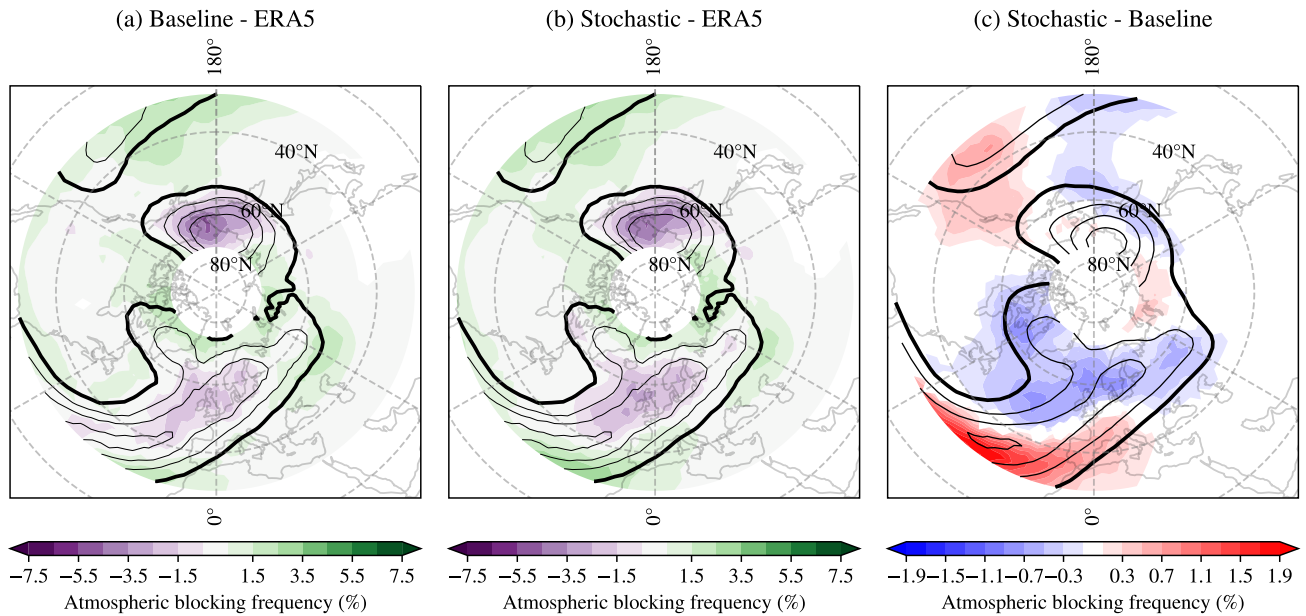
where  $f$  is the Coriolis parameter,  $N$  is the ~~Brunt-Väisälä~~ Brunt-Väisälä frequency, representing the stability of a parcel of air to vertical displacements, and  $z$  is the vertical coordinate.

## 3 Impact of stochastic parameterizations

### 3.1 Blocking representation

We start by assessing wintertime atmospheric blocking frequency in EC-Earth3, both in its baseline configuration and with stochastic parameterizations, compared against ERA5 (Fig. 1).

In its default ~~"baseline"~~ baseline configuration (Fig. 1a), the model overestimates blocking at low latitudes, particularly in the ~~Equatorial-central~~ equatorial central Pacific region, while it underestimates it in the midlatitudes over Europe, as commonly seen in several other ~~Earth System Models-GCMs~~ (Davini and d'Andrea, 2020). On top of this, the model significantly underestimates blocking at high latitudes in the ~~Siberian-Bering Strait~~ region. Depending on the specific focus region, relative biases in blocking frequency can be as high as 20 – 30%. ~~It is important to note how the biases at polar latitudes (poleward of 65°N) and subtropical latitudes (equatorward of 40°N) may be an artifact due to the intrusion of polar highs and equatorial lows, respectively, a known limitation of the adopted blocking index. More specifically, the adoption of a gradient reversal index for instantaneous blocking detection over the 30°N–75°N latitude band introduces a source of error by which both polar highs and equatorial lows can cause a reversal of the meridional gradient of geopotential height and be detected as atmospheric blocking (Barriopedro et al., 2010). At lower latitudes such an anomalous meridional gradient of the geopotential height can~~



**Figure 1.** *Ensemble mean DJFM climatology (1979-2008) of atmospheric blocking frequency given as percentage of blocked days: a) difference between the baseline version of the model and ERA5; b) difference between the stochastic version of the model and ERA5; c) difference between the stochastic and baseline versions of the model. In panels a) and b), shading shows differences in atmospheric blocking frequency, while black contours indicate blocking frequency in ERA5. In c), shading shows the difference in blocking frequency between the two model versions, while the black contours show blocking frequency from baseline. For each plot the thick black contour refers to the 3% level and further contours are plotted every 3%. Shaded contours are all significant at a 98% confidence level according to a Student's *T-test**

Ensemble mean DJFM climatology (1979-2008) of atmospheric blocking frequency given as percentage of blocked days: a) difference between the baseline version of the model and ERA5; b) difference between the stochastic version of the model and ERA5; c) difference between the stochastic and baseline versions of the model. In panels a) and b), shading shows differences in atmospheric blocking frequency, while black contours indicate blocking frequency in ERA5. In c), shading shows the difference in blocking frequency between the two model versions, while the black contours show blocking frequency from baseline ensemble. The thick contour refers to a frequency of 3% of days and contours are plotted every 3%.

be produced simply by the presence of poleward displaced tropical easterlies. To address this latter issue, a correction can be introduced in the detection criteria for the low-latitude region (Davini et al., 2012), requiring the meridional gradient of the geopotential height between 15° and 30° south of the blocked grid point to be negative (i.e., between 15° and 30° south of the blocked grid point there must be westerly winds). Yet, in this study we opt not to apply such a filter as low-latitude anomalies can still inform us on systematic mean circulation biases.

Somewhat surprisingly, stochastic ~~Moving to the stochastic configuration, Fig. 1b shows how the SPPT and SKEB parameterizations do not improve the represented atmospheric blocking frequency (Fig. 1b).~~ If anything, ~~the representation of the European atmospheric blocking frequency seems to moderately deteriorate~~ blocking representation moderately deteriorates over Europe. The only area where some improvements can be seen is over ~~the high latitudes Greenland and in the northernmost regions of the American continent~~, presumably because of less frequent intrusions of polar cold air masses.

The influence of the implementation of the stochastic parameterizations on atmospheric blocking is more clearly highlighted in Fig. 1c, which shows the difference between the stochastic and baseline model versions. The most evident changes ~~in atmospheric blocking frequency are an increase at low latitudes and a decrease at~~ are an increased and decreased frequency at low and higher latitudes, ~~respectively~~, particularly evident over the Euro-Atlantic region, ~~which will be our focus. This will therefore be the main focus area~~ for the remainder of this paper. While a zonally oriented dipole is also evident over the Pacific, in this region the response to ~~the~~ stochastic parameterizations is weaker. The ~~choice of focusing over the Euro-Atlantic region is further supported by the fact that this is the region where larger blocking frequencies are observed, as shown by the black contours in all three panels. The fact that the~~ anomaly is larger at low latitudes ~~suggests that part of the difference is due to an anomalous atmospheric circulation at tropical~~ and that the impact of stochastic parameterizations is independent of resolution (see Section 2.1 and Supplementary Material Figures S1-S4) suggests that the two stochastic schemes introduce a systematic change in the model mean state that is particularly evident at lower latitudes. Yet, to identify the origin of such differences a careful analysis of the ~~Northern Hemisphere entire NH~~ winter mean circulation is necessary.

### 3.2 Mean winter climate and transient eddy activity

To investigate the reasons behind the ~~unexpected deterioration of~~ deterioration of the Euro-Atlantic wintertime atmospheric blocking when stochastic parameterizations are implemented in EC-Earth, we proceed by analyzing the NH winter mean climate and the transient eddy activity as represented by the stochastic and deterministic versions of the model.

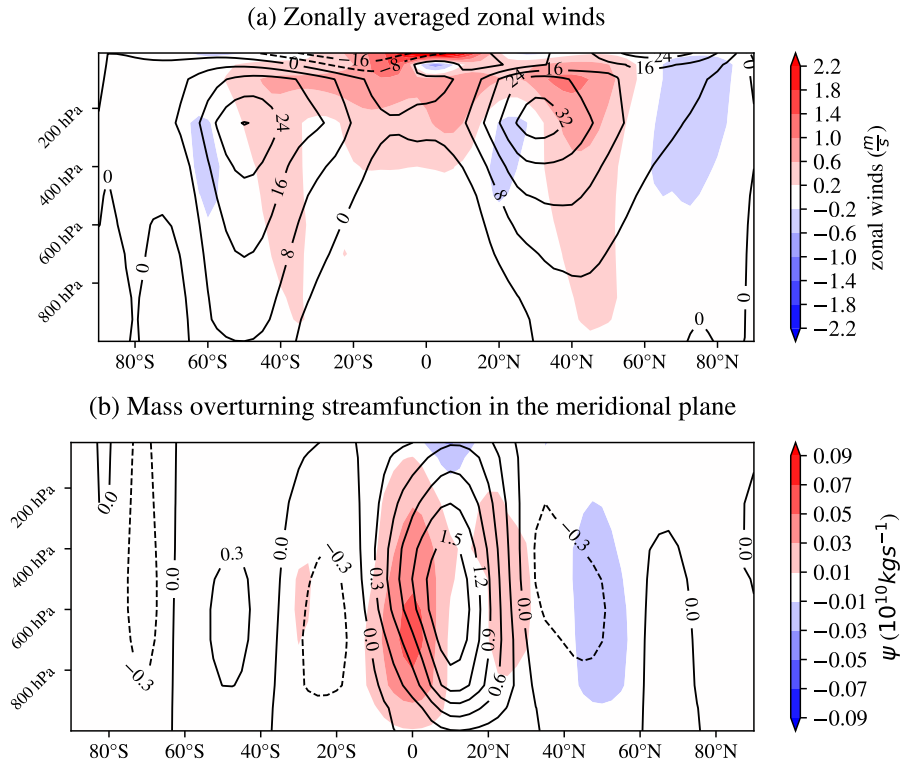
Basic features of the mean atmospheric circulation are shown in Fig. 2, whose panel a) shows the zonally and seasonally (DJFM) averaged zonal wind on the meridional plane. The ~~midlatitude jet is identifiable in both the summer and winter hemispheres, with the stronger westerly winds in the NH extending over a broader latitudinal range. Despite the fact that the hemispheres are in opposite solsticial conditions, differences of similar magnitudes in zonal wind are observed, with slightly stronger anomalies in the NH. Hence, a consistent pattern emerges in which the activation of the stochastic parameterization leads to a strengthening of the upper-tropospheric westerly winds.~~ stochastic parameterizations result in a strengthening of the upper-tropospheric winds. This is evident for both the northern and southern hemispheres, even though they are in different solsticial conditions. The observed increase in zonal wind primarily affects the northernmost segments of the jet streams

~~and it appears to increase and goes~~ together with the wind intensity. Similar plots have been produced for the Atlantic sector Euro-Atlantic sector only (see Supplementary Material Figure S5), where it is easier to discern a split-jet structure with a clear separation between the eddy-driven and the thermally driven jets. It appears that both jet components are re-inforced, even though the eddy-driven jet features a slightly larger increase. ~~Such an increase in zonal winds is compatible with a decreased atmospheric blocking activity over the midlatitudes, as evident in Fig. 1 over the Northern Atlantic. In fact, a decreased frequency of jet stream reversal would obviously lead to stronger climatological zonal winds. Furthermore, a stronger jet stream often leads to midlatitude dynamics in which nonlinear processes, such as blocking, occur less frequently (Nakamura and Huang, 2018; Woollings et al., 2018). Yet, a causality relationship is hard to establish and it will be the object of the following subsection.~~

320 Fig. 2b shows the DJFM zonally averaged streamfunction, which ~~allows to identify identifies~~ the mean meridional circulation. Focusing on the tropics, the Hadley cell extent remains relatively unchanged, in agreement with an earlier study by Strommen et al. (2019a) using the same model and parameterization. However, the ~~streamlines appear denser in both the ascending and streamfunction features positive anomalies, indicative of a strengthening, in the stochastic simulation in the ascending and the~~ descending branches of the NH ~~cell~~winter cell. ~~The strengthening is more pronounced in the ascending~~  
325 ~~branch, revealing how the stochastic parameterization enhances both upward and downward preferentially enhances upward~~ motions, leading to a relative change of 5–10% of the climatological streamfunction and a consequent enhanced meridional gradient. ~~The enhanced Hadley cell can partially explain the strengthened midlatitude jet and be indirectly connected to the decreased blocking frequency, even though larger changes are observed in the eddy-driven component of the jet stream.~~

In addition to changes in ~~mean climate~~the climatological winds, we investigate the impact of the stochastic parameterization  
330 on the high-frequency atmospheric variability. ~~We by analysing two mean-state diagnostics. First, we~~ analyze the NH transient eddy activity in DJFM by computing the 250-hPa TKE. Fig. 3a reveals that the stochastic parameterization significantly increases TKE in both hemispheres. While the increase is more pronounced in the winter hemisphere, noteworthy changes are also present in the summer hemisphere. Differences peak at 5–10% in the regions of maximum mean TKE values, i.e., in the ~~storm track~~storm-track regions. An increase in TKE might ~~imply several things~~be associated with multiple changes: an  
335 increase in the ~~number~~amount of transient eddies, an increase in their ~~length~~spatial scale, and/or an increase in their ~~vorticity anomaly~~intensity (e.g., vorticity anomaly). In the midlatitudes, an increase in TKE might be due to an increase ~~of the overall baroclinicity of the atmosphere~~in the overall atmospheric baroclinicity, by which ~~stronger baroclinicity promotes~~ baroclinic instability and transient eddy formation ~~are promoted~~ in the storm tracks. ~~However, note how~~ TKE is observed to increase also in the tropics. ~~This is compatible with what already found by Vidale et al. (2021), who observed how the use of the SPPT scheme~~  
340 ~~results in increased tropical cyclone seeding and a more vigorous cyclonic activity. Yet, other sources of tropical transient eddies may contribute to the increased TKE.~~

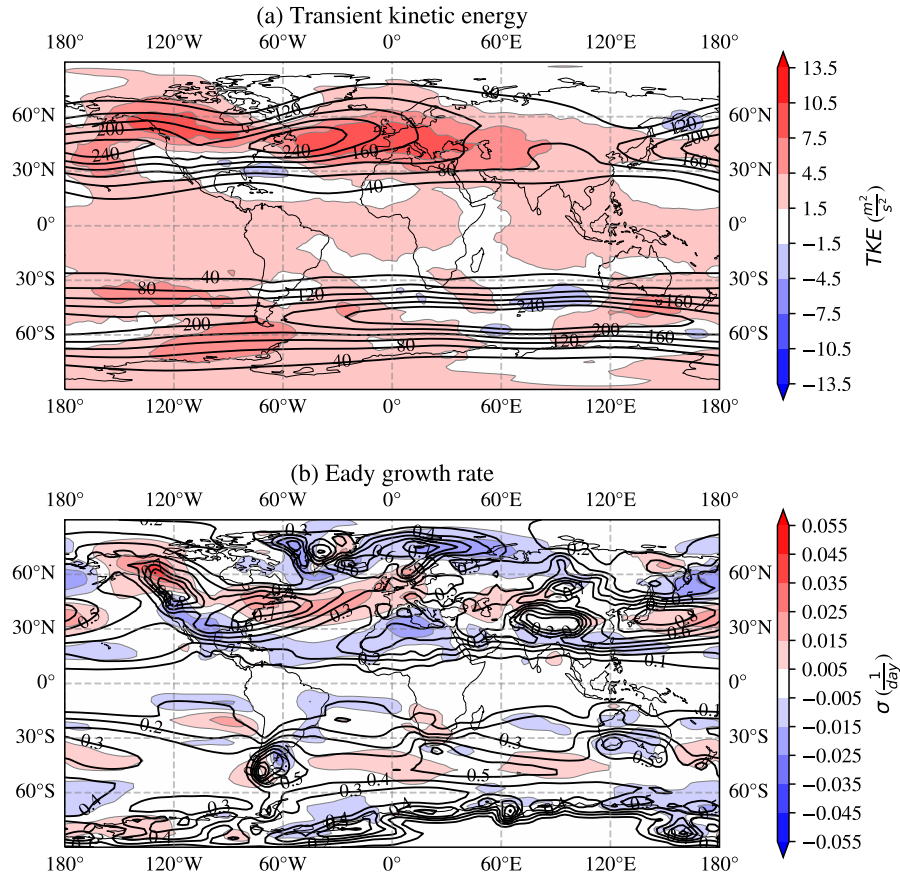
To explore the ~~possible~~possible connection between TKE and baroclinic eddy activity, in Fig. 3b we show the influence of the stochastic parameterization on the Eady growth rate (EGR). It is evident how EGR increases in regions where EGR itself is maximum, indicating larger instability for areas of high baroclinicity (again, the storm tracks). Such ~~an observation~~finding  
345 supports the hypothesis of an increased number of transient eddies, but does not provide information on the possibility of



**Figure 2.** a) DJFM zonally averaged zonal winds [ $m s^{-1}$ ]. Red (blue)-line contours represent the stochastic (baseline) run, while shading represents the difference between the two (stochastic—baseline). b) DJFM Mass overturning streamfunction in the meridional plane [ $10^{10} kg s^{-1}$ ]. Contours and In each plot, the black contours represent the baseline version of the model, while shading as in a) shows the difference between the stochastic and baseline versions.

stronger vorticity anomalies or larger eddies. Moreover, the larger baroclinicity again reflects changes in the mean atmospheric circulation. Recalling the EGR definition (Eq. 8) and examining Figure 2, one can observe that the vertical shear of the zonal wind is increasing in the 40°N–60°N latitudinal band. Therefore, the change in the upper-tropospheric zonal wind is possibly causing an increase in baroclinicity and a consequent increase in transient eddies activity. Such mechanism can work as a positive feedback, as increased transient eddy activity provokes increased convergence of zonal momentum and an amplified strengthening of the eddy length scale.

Overall, the picture that emerges from the mean-state analysis (Figs. 2 and 3) is one of a more vigorous Hadley circulation that results in an enhanced meridional transport of zonal momentum and a strengthened thermally driven jet. The enhanced wind shear and the resulting thermal-wind balanced meridional temperature gradient are in turn coherent with increased EGR and TKE (Fig. 2a and Fig. 3a) in the midlatitudes and a strengthened eddy-driven jet. As mentioned in the previous sub-section, the analysis of the jet stream indeed revealed a more pronounced strengthening in the eddy-driven component. jet. The TKE



**Figure 3.** a) DJFM Transient kinetic energy (TKE, [ $m^2 s^{-2}$ ]) at 250 hPa and b) DJFM Eady growth rate (EGR, [ $day^{-1}$ ]) at 850 hPa computed for the baseline and stochastic versions of EC-Earth model. In each plot, the black contours represent the baseline version of the model, while shading shows the difference between the stochastic and baseline versions.

increase in the tropics is compatible with the study of Vidale et al. (2021), who highlighted how stochastic parameterizations are increasing the number of tropical cyclone seeds, but other sources of tropical transient eddies may also be implicated in the observed changes. While pointing to an influence of the tropical atmospheric circulation on the midlatitude winds in the chain of contributing causes highlighted above, our analyses are not sufficient to attribute causal relationships. In particular, the direction of causality between the strengthened zonal winds and the decreased blocking frequency cannot be unambiguously assessed. On the one hand, a strengthened jet stream leads to midlatitude dynamics in which nonlinear processes, such as blocking, occur less frequently (Nakamura and Huang, 2018; Woollings et al., 2018). On the other hand, an increased blocking frequency can lead to a more frequent wind reversal that ultimately results in a weakening of the climatological wind speed. The nontrivial causal relationship between the two will be the object of the next Section.

## 4 Mechanisms of observed changes

### 4.1 Blocked-Zonal flow decomposition

Atmospheric blocking onset and maintenance is highly dependent on the mean atmospheric state and transient variability, both of which have been shown to be significantly-affected by the stochastic parameterizations. Mean-state-Mean-state biases in models have been argued to be an important source of bias in the representation of atmospheric blocking (Scaife et al., 2010, 2011) and transient eddies have been linked to atmospheric blocking onset (Nakamura and Huang, 2018) and its maintenance (Shutts, 1983). In turn, the frequency of atmospheric blocking clearly influences the mean state, causing long-lasting geopotential height, zonal wind and temperature anomalies.

Therefore, it is not clear to what extent changes in atmospheric blocking frequency can be attributed to systematic changes in the mean atmospheric circulation, or, conversely, whether the atmospheric circulation is modified by a different atmospheric blocking representation primarily in lower latitudes. In other words: is it the mean atmospheric circulation that shapes the atmospheric blocking frequency or vice-versa?

In order to shed light on this causality-causal relationship, here we propose a linear blocked-zonal flow linear-decomposition. The linear decomposition is applied to a specific area, here chosen to be the portion of the Northern-northern Euro-Atlantic sector that spans from 60°W to 60°E and from 40°N to 70°N, corresponding both to the midlatitude maximum of atmospheric blocking frequency as represented by the baseline version of the model (see Fig. 1) and to one of the regions where larger differences are observed when the stochastic parameterizations are activated. Specifically, the area in question is-affected by-features a lower blocking frequency in the stochastic simulation. First, simulations. We first express the climatological geopotential height can-be-expressed-as the sum of the climatological values during blocked and non-blocked days (the method used to identify the chosen area as blocked or non-blocked was described in Section 2.3). The difference in the climatological geopotential height in the stochastic version relative to baseline can hence be expressed as:

$$z - \hat{z} = [f z_b + (1 - f) z_z] - [\hat{f} \hat{z}_b + (1 - \hat{f}) \hat{z}_z] \quad (9)$$

where  $z$  is the climatological geopotential height,  $f$  is the blocking frequency, and  $(\cdot)_b$  and  $(\cdot)_z$  refer to the average over “blocked” and “zonal” days, respectively, over the chosen area. Moreover, the hat symbol denotes fields from the baseline model version, whereas symbols without the hat denote fields from the stochastic version. ~~Note that it is necessary to define what we intend as a “blocked area”, as our original definition of blocking is based on a grid-box (see Section 2.3). We decided to identify the reference region as blocked when at least 10% of its grid points are blocked. The 10% threshold has been selected in order to have a blocking frequency magnitude of the same order of the grid point blocking frequency observed in Figure 1, but our results show little sensitivity to this threshold values.~~ After some simple algebra, Equation Eq. 9 becomes:

$$z - \hat{z} = \underbrace{(f - \hat{f})(z_b - z_z)}_i + \underbrace{\hat{f}[(z_b - \hat{z}_b) - (z_z - \hat{z}_z)]}_{ii} + \underbrace{z_z - \hat{z}_z}_{iii} \quad (10)$$

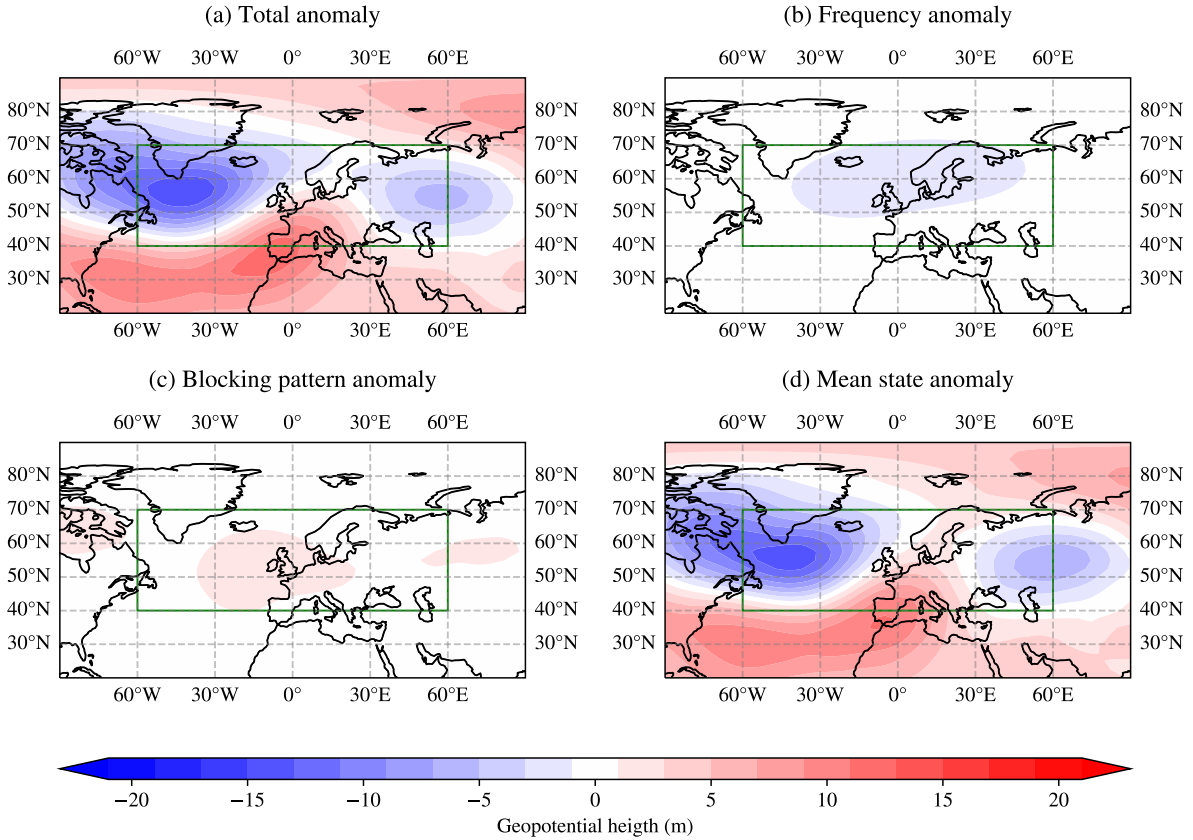
where the stochastic–baseline height anomaly is partitioned into three contributions arising from differences between the two model versions in: (i) blocking frequency; (ii) blocking pattern during blocked days; (iii) mean state during non-blocked days.

Height anomalies and the three terms contributing to the linear decomposition described above are shown in Fig. 4. Panel 4a – reporting the left hand side of Eq. 10 – illustrates how the stochastic parameterization modifies the mean geopotential height within the considered region. Notably, the spatial patterns of these differences resemble the pattern found in Fig. 1c, depicting the atmospheric blocking frequency differences between the stochastic and baseline runs: a dipole structure, with positive ~~anomaly in the southern Atlantic and a negative (negative)~~ anomaly in the ~~northern lower (higher) latitudes over the Atlantic~~. This is not surprising, as atmospheric blocking is highly dependent on ~~mean state biases, and it mean state biases~~ ~~and~~ is expected to occur in regions where stationary anticyclonic zonal anomalies are found (the stationary waves crests) ~~(Woollings et al., 2018). On the other hand, Woollings et al. (2018). That said,~~ an increased atmospheric blocking frequency can also influence the mean geopotential height field. In the latter case, we would expect the geopotential height anomaly to be present only in days when blocking occurs.

~~Yet, By~~ examining the other three panels (Fig. 4b, 4c, 4d), which correspond to the various terms of the decomposition, it becomes clear ~~how that~~ the geopotential height differences arise primarily from changes during days when atmospheric blocking is not occurring. Since changes in the geopotential height field are not linked to alterations in blocking frequency or atmospheric blocking patterns, then it is the ~~mean state changes that influence mean state change that influences~~ the overall positioning of stationary waves and the locations ~~where blocking events are taking place of blocking events~~. To answer the question posed at the beginning of this section – is it the mean atmospheric circulation that shapes the atmospheric blocking frequency or vice-versa – it is thus likely that the stochastic parameterization has little effect on the representation of blocking dynamics, so that we cannot distinguish any improvement in the represented frequency due to the background change caused by the modified atmospheric circulation.

Moreover, the geopotential height differences depicted in the top right panel and in the bottom left panel reveal that the changed atmospheric circulation is producing less frequent blocking, that is, on average, producing ~~greater larger~~ geopotential height positive anomalies. The same result was obtained producing atmospheric blocking composites over the Scandinavian





**Figure 4.** [Linear](#) Blocked-zonal flow [linear](#)-decomposition in the focus area highlighted by the green rectangle. a) Geopotential height [ $m$ ] climatological difference between the stochastic and baseline model versions and contributions to this anomaly arising from: (b) differences in blocking frequency; (c) differences in blocking patterns; (d) differences in the mean state during the non-blocked days. See the text for more details.

and Greenland regions (not shown). Yet we do not interpret these features as a direct effect of [the](#) stochastic parameterizations on atmospheric blocking, but rather as an indirect effect due to the changed atmospheric circulation.

## 4.2 Mean meridional momentum transport

Our analyses so far have shown how the [implementation-use](#) of the SPPT and SKEB stochastic parameterizations causes  
 425 changes in the winter mean atmospheric circulation that deteriorate the representation of the frequency of atmospheric blocking.  
 Here we attempt to explain differences in the wintertime mean circulation and to reconcile our results with existing literature  
 on the same stochastic parameterizations.

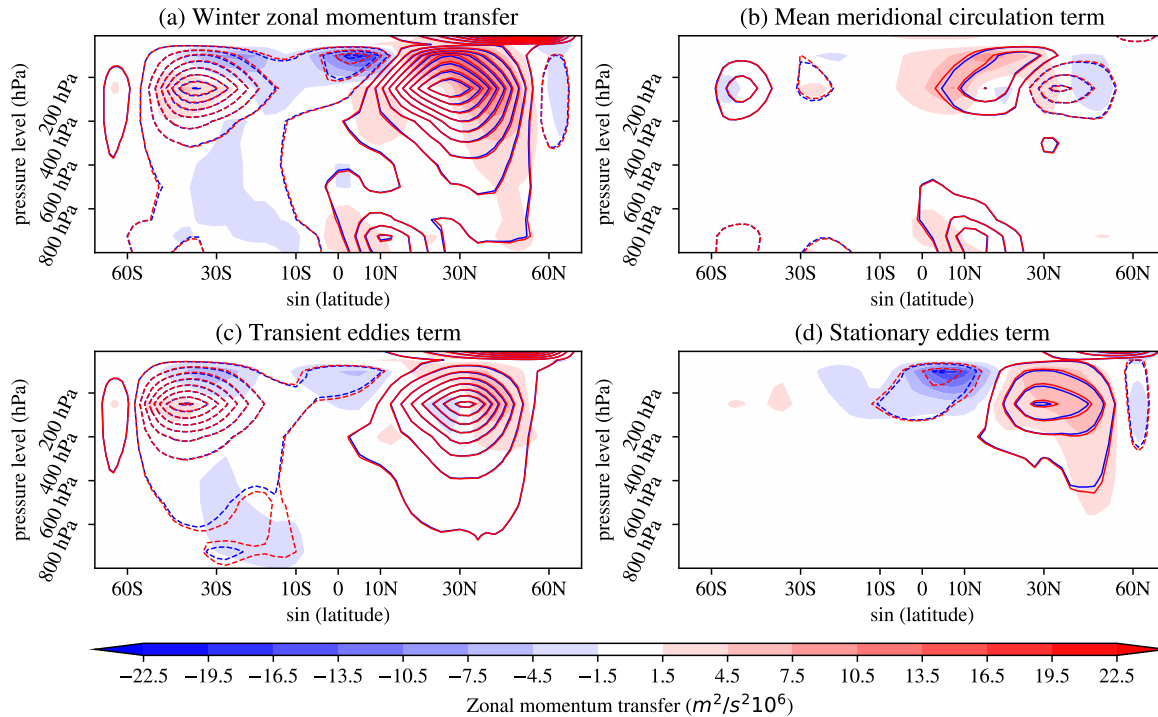
To this aim, we analyze the winter zonally averaged meridional transport of westerly momentum in the midlatitudes and  
 in the tropics, in order to understand why in the stochastic model version the upper-level zonally averaged zonal winds are  
 430 strengthened. We further decompose this term into contributions by the mean meridional circulation, and contributions by  
 transient and stationary eddies (e.g., Dima et al., 2005), as:

$$\overline{vM} = \overline{v(\Omega a \cos \theta + u)u a \cos \theta} = \underbrace{\overline{v}(\Omega a \cos \theta + \overline{u})a \cos \theta}_{\text{i}} + \underbrace{\overline{v'u'}a \cos \theta}_{\text{ii}} + \underbrace{\overline{v^*u^*}a \cos \theta}_{\text{iii}} \quad (11)$$

with angular momentum about the Earth's spin axis  $M = (\Omega a \cos \theta + u)a \cos \theta$ , Earth's rotation rate  $\Omega$  and Earth's radius  $a$ .  
 Using standard notation,  $[\cdot]$  denotes a zonal mean,  $\overline{(\cdot)}$  denotes a temporal mean, and  $(\cdot)'$  and  $(\cdot)^*$  denote deviations from the  
 435 temporal and zonal mean, respectively. With this decomposition, the three terms on the right-hand side represent contributions  
 by: (i) the mean meridional circulation, (ii) the transient eddies, (iii) the stationary eddies.

Fig. 5 shows these terms for DJFM, with the baseline run confirming the well-known fact that in the midlatitudes west-  
 erly momentum transport is primarily accomplished by transient eddies, with some contributions from stationary waves in  
 the NH, while in the tropics transport is primarily effected by the mean meridional circulation and the stationary eddies  
 440 [\(Hartmann \(2015\)\)](#)[\(Hartmann, 2015\)](#).

Moving to the differences between the stochastic and deterministic runs, we find that the activation of the stochastic pa-  
 rameterizations causes an increased southward momentum transfer at low latitudes and an increased northward momentum  
 transport in the NH midlatitudes (Fig. 5a). Changes are primarily confined to the higher troposphere, except for a latitudinal  
 band at  $\sim 50^\circ N$ , coinciding with the winter [storm-track-storm-track](#) region, where anomalies in momentum transport reach the  
 445 lower levels. When looking at contributions by [the](#) different components, we find that the main difference is in the [stationary](#)  
[eddy-stationary-eddy](#) term in the equatorial region (Fig. 5d). The stationary eddies in the tropics are transporting more zonal  
 momentum southward in the stochastic runs than in the baseline runs. Conversely, the stationary eddies in the midlatitudes  
 are transporting more momentum northward. Yet, the differences in the [mid-latitudes-midlatitudes](#) are small compared to the  
 equatorial region, the latter being twice as big as the former. The mean meridional circulation, namely the Hadley circulation,  
 450 contributes to the strengthening of zonal winds in the midlatitudes by transporting more zonal momentum northward in the  
 stochastic [run-runs](#) (Fig. 5b), confirming results from Fig. 2. The transient eddy momentum transport differences are modest,  
 especially in the tropical regions, where their change is 50% smaller than the change in stationary wave transport (Fig. 5c).  
 Still, that changes in these two terms have similar patterns suggests a common mechanism altering both the stationary and  
 transient [eddies-eddy](#) transport.



**Figure 5.** DJFM meridional transport of zonal momentum. Blue contours represent the baseline runs, red contours the stochastic runs and the shading the difference between the two (stochastic – baseline). (a) Total transport; (b) meridional transport of mean zonal momentum by the mean meridional circulation; (c) contribution by transient eddies; (d) contribution by stationary eddies . The contours are plotted with a spacing of  $0.4 \times 10^8 \text{ m}^2 \text{ s}^{-2}$  from  $0.2 \times 10^8$  and  $-0.2 \times 10^8 \text{ m}^2 \text{ s}^{-2}$ . Thick contours are positive and dashed contours are negative. Units for the shadings colorbar are  $[\text{m}^2 \text{ s}^{-2} 10^6]$ . Please see text for additional information.

455 To address our initial question, the modified ~~winter circulation in the Northern Hemisphere~~ NH winter circulation, which  
 is causing a different winter atmospheric blocking frequency, is likely to arise from modified stationary waves in the tropics,  
 whose momentum transport is the most affected by the stochastic parameterizations. A possible explanation to such differences  
 is the development of stronger Gill-Matsuno waves that originate from ~~anomalous-enhanced~~ anomalous-enhanced diabatic heating in the warm  
 pool region, involving equatorial Rossby and Kelvin waves (Matsuno, 1966; Gill, 1980). Rossby waves converge westerly  
 460 momentum in their source region, producing the equatorward zonal momentum flux  $\bar{\tau}$ -evident in the large modifications ~~seen~~  
 in the equatorial zonal momentum transport. At the same time, the ~~anomalous-enhanced~~ anomalous-enhanced diabatic heating, and associated  
~~anomalous~~-upper-tropospheric divergence, acts as a wave source for ~~extra-tropical~~ extratropical Rossby waves, ~~which alters~~  
~~altering~~ the midlatitude wave activity and ~~the midlatitudes~~-stationary waves pattern (Hoskins and Karoly, 1981; Simmons,  
 1982; Jin and Hoskins, 1995). Given the magnitude of the tropical anomalies compared to those in the midlatitudes, it is

465 plausible that differences in the midlatitudes emerge mainly as a response to changes in the tropics. Moreover, the mean meridional circulation adjusts to the modified tropical stationary eddies-eddy activity in a way similar to what is described in the study from Dima et al. (2005). In particular, the Hadley cell intensifies to balance the increased stationary eddy meridional momentum transport (Fig. 2) and the associated meridional transport of westerly momentum further intensifies the jet stream. In a chain of contributing causes, the strengthened jet stream and the modified midlatitude stationary waves reduce blocking activity along the midlatitudes, particularly over Europe-the North Atlantic (Fig. 1)

The anomalous-modified diabatic heating in the tropical region may be originating from ~~the modified liquid water content in tropical clouds found by Strommen et al. (2019a) using the same SPPT scheme. In fact, the increased diabatic heating resulting from the increased condensation of water vapor can lead to the development of a stronger Gill-Matsuno response.~~ altered condensation and precipitation processes, as discussed by previous literature on the SPPT parameterization (Pickl et al., 2022; Vidale et al.,  
475 This mechanism will be further discussed in the Section 5 ~~where we present a final discussion of our results~~ with concluding remarks.

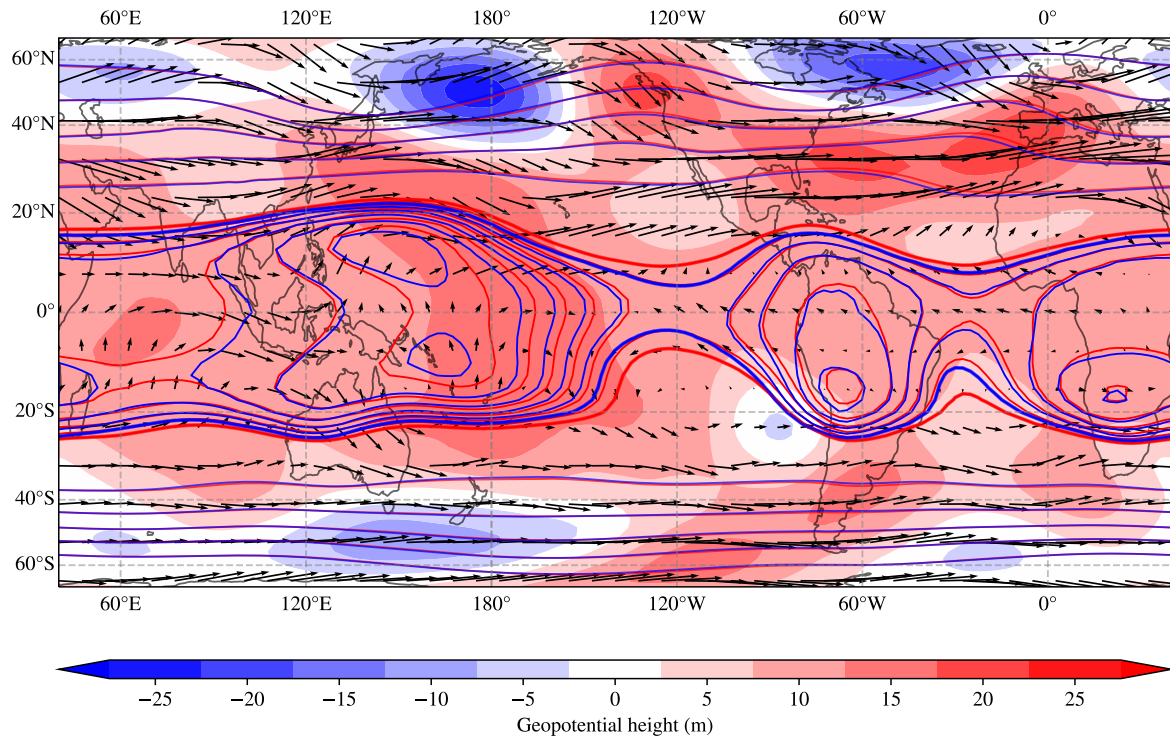
In Fig. 6 we report the geopotential height contours in the tropical region, comparing the stochastic simulations with the baseline simulations. It is possible to identify the Gill-Matsuno pattern over the western Pacific, with Rossby wave spreading to the west of the warm pool and the Kelvin wave to the east, for both the stochastic and baseline ensembles. It is clear how anomalies in the geopotential height field at 250 hPa ~~constitute a little relative change in the midlatitude geopotential height gradients, while are small in midlatitudes, while those~~ in the tropics ~~differences that would be smaller as absolute value have a strong relative impact, considerably modifying~~ considerably modify the tropical circulation.

In order to further confirm the relationship between the large-scale mean circulation changes and a modified tropical circulation, we compute the top-of-atmosphere (TOA) energy balance. We find differences that peak at  $\sim 4 \text{ W m}^{-2}$  at tropical latitudes (Supplementary Material Fig. S6). These changes are not found in clear-sky conditions, indicating a role of clouds and convection in perturbing the TOA energy balance and further reconciling our work with previous findings from Pickl et al. (2022); Strommen et al. (2019a, b); Vidale et al. (2021). To determine underlying mechanisms would require further analyses that are beyond the scope of this paper, but additional details can be found in earlier studies that delved into this particular subject mentioned above.  
485

## 490 5 Conclusions

In the present work we show how the activation of the SPPT and SKEB stochastic parameterizations in EC-Earth results in a deterioration of the representation of boreal winter atmospheric blocking assessed through a gradient reversal index. Particularly, focusing on the European region, stochastic parameterizations not only do not improve the common underestimation of blocking frequency by climate models, but in fact, lead to larger biases in blocking frequency, with an increase in low-latitude and a decrease in mid-latitude-midlatitude blocking.  
495

To pinpoint mechanisms responsible for these changes in atmospheric blocking frequency, we analyse changes in NH winter mean circulation and transient eddy activity. Our analyses show how jet streams in both hemispheres, especially in their



**Figure 6.** DJFM geopotential field at 250 hPa [ $m$ ] for baseline (stochastic) simulations in blue (red) line contours and difference between the two in shading. Contours are plotted every 10 m (200 m) for levels higher (lower) than 10900 m (thick contour). Note that a Lambert Cylindrical projection has been used, highlighting the equatorial region. The displayed vectors represent the climatological wind at 250 hPa averaged for all the baseline simulations.

northern flanks, intensify, as does the ascending branch of the NH winter Hadley cell. These ~~mean-state~~ mean-state changes are accompanied by an increase in the energy associated with transient eddy activity consistent with both enhanced baroclinicity  
 500 in the ~~storm-track~~ storm-track regions and the strengthened jet stream.

To investigate the causal relationship between changes in the NH winter mean circulation and changes in atmospheric blocking, we perform a decomposition of the 500-hPa geopotential height difference between the stochastic and deterministic simulations over the ~~Northern~~ northern Euro-Atlantic sector. We find that the mean geopotential height is not significantly affected by a change in atmospheric blocking patterns or frequency. Rather, the changed blocking frequency reflects a changed  
 505 mean state, with a modification coherent with a jet stream strengthening. To further highlight involved mechanisms, we perform a decomposition of the meridional transport of westerly momentum and we show that changes in the mean circulation can be attributed to modified stationary wave momentum fluxes in the tropics. Building on previous work using the same modeling framework, we speculate that such a modification arises from the tropical stationary wave response to ~~anomalous~~ enhanced

diabatic heating due to ~~increased condensed water content in tropical clouds~~ modified condensation and precipitation processes in the tropics.

Therefore, our study gives rise to two distinct outcomes. The first one concerns the implemented stochastic parameterizations, in particular SPPT, which has the largest impact on the atmospheric circulation (Davini et al., 2017b). Even though the SPPT scheme has been originally developed to better represent sub-grid variability and achieve a nondeterministic representation of climate, we show that it has a systematic impact on the mean atmospheric circulation, regardless of model resolution.

~~We hypothesize that such a modification arises from a modified condensation mechanism, already discussed in earlier studies (Strommen et al., 2019a, b).~~ In particular, Strommen et al. (2019a) found that cloud liquid water content in tropical clouds is increasing when SPPT is implemented. The difference was attributed to an asymmetrical process of water condensation: while a stochastic perturbation of humidity in an air parcel can lead to condensation, the evaporation of condensed water into water vapour is not directly affected by the parameterization. It is important to note that, in order to overcome this imbalance, a fix to the SPPT parameterization has been implemented (Palmer, 2012), namely a 'super-saturation limiter', which sets the perturbation to zero when it would lead to condensation. However, a stochastic perturbation may still bring ~~a~~ an air parcel closer to condensation, whereby the model dynamics trigger the process at the successive temporal step. The condensation process therefore remains asymmetric through the combined effect of the model dynamics and the stochastic physics.

~~Moreover, in the same article by Strommen et al. (2019a), an analysis of the radiative balance at the surface and at the top of the atmosphere highlights how the modified cloud radiative properties and latent heat release produce a change in the equatorial energy budget. Here we reconnect this finding to a modified midlatitude circulation, showing how an anomalous diabatic heating at the equator.~~ Similar asymmetric responses to zero-mean perturbations following the activation of SPPT are described by Pickl et al. (2022), who found that the stochastic scheme is increasing the occurrence frequency of rapid ascending motions in the tropical region. The authors argue that such modifications affect ascending motions and not descending motions because of the dynamical characteristics of the system; while ascending motions can become unstable, the same argument does not hold for descending motions. In this sense, zero-mean stochastic perturbations can lead to ~~enhanced meridional momentum transport and a significantly strengthened NH jet stream.~~

~~In order to further confirm the relationship between the large scale circulation changes and an anomalous circulation in the tropics, we compute the energy budget at the top of the atmosphere (TOA). We find differences that peak at  $\sim 4 \text{ W m}^{-2}$  at tropical latitudes. Ensuing changes in tropical clouds act to increase the infrared radiation emitted and to reflect back a greater amount of solar radiation. These large changes in the tropical atmospheric energy budget are consistent with both the circulation changes we report here and previous work. Underlying mechanisms would require further analyses that are beyond the scope of this paper, but additional details can be found in earlier studies that delved into this particular subject (Strommen et al., 2019a, b). The plots produced for the TOA energy budget in clear sky condition and for the full simulation period can be found in the Supplementary Material (Figure S6)~~ an asymmetric circulation response. The same mechanism has been observed by Deinhard and Grams (2023) for a more recent implementation of the SPPT which directly represents parameter uncertainty in parameterizations within the IFS model. Such phenomena are coherent with what was found by

Vidale et al. (2021) while studying the impact of SPPT on tropical cyclones; the authors found that when SPPT is implemented the ascending motions associated with the cyclones onset, the 'cyclone seeds', are more frequent.

545 ~~As a brief summary, the overall effect of stochastic fluctuations to the humidity tendency is to broaden the distribution of possible humidity values, an effect that is not necessarily unphysical~~ made evident by the study from Vidale et al. (2021)  
, such modifications do not necessarily lead to biases in the representation of the tropical climate. Indeed, ~~even though the stochastic simulations overestimate the cloud liquid content in tropical clouds, as shown by Strommen et al. (2019a), the variability of tropical precipitation seems to be improved by the stochastic parameterizations, as observed by earlier studies~~  
550 ~~(Davini et al., 2017a). It is therefore difficult to assess whether the stochastic representation or the deterministic representation of condensational process is correct. We conclude that it would be opportune to carry the cyclone seed number found by~~  
Vidale et al. (2021) for stochastic simulations is actually closer to observations than that obtained with the deterministic model.  
Moreover, the asymmetric response to a zero-mean perturbation described by Pickl et al. (2022) is a common feature of dynamical systems applicable to several natural processes. Yet, it is necessary to underline that these modifications lead to  
555 changes to the model mean state. Specifically, in light of the significant atmospheric circulation changes, a retuning of the model when the SPPT parameterization is activated seems necessary.

Once a retuning of the stochastic model to achieve a more realistic representation of tropical clouds, without directly modifying the parameterization. Following this, it would become feasible to conduct an assessment of high-level diagnostics, such as residence time of weather regimes, atmospheric blocking, ENSO variability, and explore potential enhancements  
560 ~~that may be model is performed, it would then be possible to assess whether stochastic parameterizations are leading to improvements of atmospheric blocking representation that are now offset by mean circulation biases. significant mean state modifications. If these improvements were to be present, however, they would likely be small compared to the EC-Earth bias. Otherwise they should have been observable despite the impact of the stochastic parameterizations on the mean state, which itself is small compared to the model bias (see Fig. 1).~~

565 The second outcome directly concerns the representation of atmospheric blocking in GCMs. The blocked-zonal flow decomposition highlights how the modified blocking frequency has little impact on the mean circulation, while modifications of atmospheric blocking frequency closely resemble mean circulation differences. The emergence of atmospheric blocking frequency biases from mean circulation biases is a well-established concept in the existing literature. Indeed, Scaife et al. (2010) already showed how ~~metrics absolute indices~~ used for blocking detection are sensitive to mean-state biases modifications. More  
570 ~~specifically, a slightly modified even a slight alteration in the zonal wind climatological pattern can result in large differences in lead to significant changes in~~ atmospheric blocking behavior, result also confirmed in a finding that was corroborated by a later study (Scaife et al., 2011). Along the same lines, Davini et al. (2022) show how increased model resolution can affect blocking representation by better resolving land orography and enhancing the representation of midlatitudes stationary waves. Similarly, here we demonstrate how a quantitatively accurate representation of the basic components of the atmospheric circulation, such  
575 ~~as the Hadley circulation, the jet stream and the atmospheric radiative balance are crucial for the representation of atmospheric blocking. Moreover, we show how remote changes in the tropical wave activity have a greater impact on the dynamics of blocking than the local impact of the stochastic parameterizations, strongly modifying atmospheric blocking frequency regardless of~~

~~model-resolution-through modifications of the overall meridional zonal momentum transfer. This is particularly evident when an absolute index is adopted, as in our main analysis, but we found similar modifications of blocking climatological frequency through an anomaly based index (see Supplementary Material, Fig. S8).~~

This result implies that, when studying blocking in climate models, great attention should be given to the underlying mean state, as its representation can have a strong impact on blocking onset region and frequency. Even though our findings do not pinpoint the cause for the underestimation of blocking frequency over the European region by EC-Earth, they highlight how improved understanding of sources of biases in atmospheric blocking requires consideration of different features of the atmospheric circulation and their interactions. ~~This-Our results stress once again how a wide-perspective approach may be applied to different configurations of EC-Earth and to other climate models, possibly contributing to future improvements of their-is necessary to understand the~~ long-standing ~~deficits-deficit of climate models~~ in the representation of ~~atmospheric blocking-blocking.~~

*Code availability.* The tracking algorithm for the detection of atmospheric blocking adopted in this study is available on GitHub at <https://github.com/michele-filippucci/blocktrack>.

*Data availability.* Details on the data accessibility and on the Climate SPHINX project itself are available on the website of the project (<http://wilma.to.isac.cnr.it/sphinx/>)

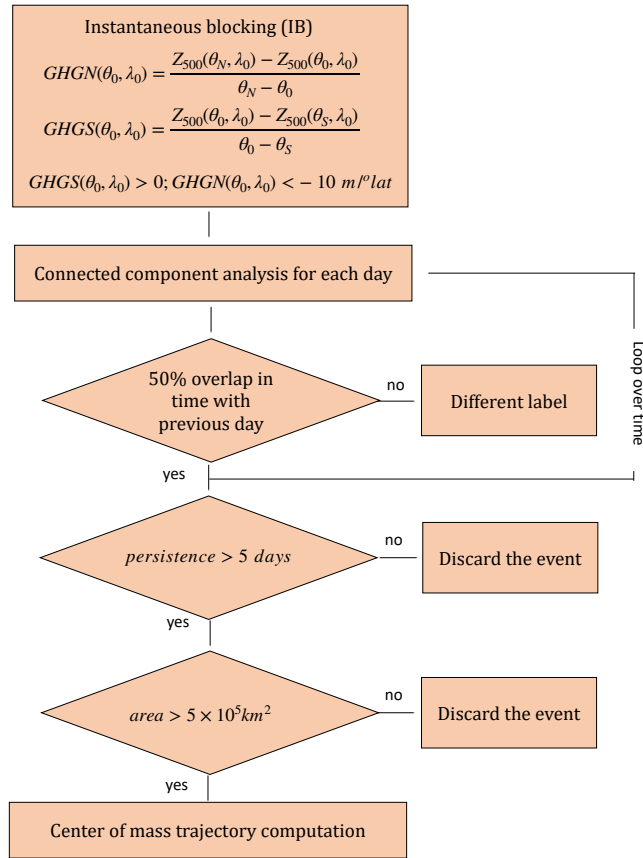
## Appendix A: Lagrangian Tracking Algorithm

In this study we adopt an atmospheric blocking detection method based on the 2D index of the geopotential height gradient reversal first introduced by Scherrer et al. (2006) and later described and further developed by Davini et al. (2012). The gradient reversal criteria described in Section 2.3 is used to identify instantaneous blocking. On top of it, it is expedient to apply a series of filters to assure that the detected events share common blocking characteristics.

Davini et al. (2012) apply filtering from an Eulerian perspective: each grid point is analyzed to investigate whether the instantaneous blocking condition is satisfied for more than 5 consecutive days. Moreover, a spatial filtering that selects only blocked areas larger than 500.000 km<sup>2</sup> is applied.

In this study we adopt a different perspective by performing a Lagrangian tracking: we identify each blocking event as a set of blocked areas corresponding to different days and we inspect its characteristics, computing the area, the duration, the center of mass and its displacement. Only the events that satisfy a specified set of conditions are retained, namely a minimum area for each blocked day, minimum persistence and a day-by-day overlap criterion. The latter defines the fraction of the grid-cells that a blocked area must share with the blocked area of the following day to be assigned to the same blocking event. Threshold values for the three criteria are coherent with those identified by Davini et al. (2012): 500.000 km<sup>2</sup> area, 5 days persistence and





**Figure A1.** Simple flow chart of the Lagrangian Tracking algorithm.

50% overlap. A flow chart of the algorithm is shown in Fig. A1.

Identifying blocking events rather than blocked grid points brings several advantages. As an example, it is possible to study the trajectory of the blocking center of mass by analyzing the path of blocking events that interest a certain area and achieving a better understanding of blocking behavior. Moreover, inspecting the blocking characteristics such as average area, displacement and duration is made rather simple, both for individual events and for specified regions and time periods.

In this article we do not exploit the full potential of the algorithm, as a Lagrangian investigation is not necessary for the narrative of the paper. The full characteristics and features of the algorithm will be exploited and shown in more details in future studies. However, for completeness, blocking climatology plots showing the impact of the applied thresholds can be found in the Supplementary Material (Figure S7). The full code of the Lagrangian Tracking Algorithm (named “blocktrack”) can be found on the Github repository <https://github.com/michele-filippucci/blocktrack>.

*Author contributions.* Michele Filippucci and Paolo Davini conceived the study, while Simona Bordoni helped with interpreting the role of equatorial waves into modifying the atmospheric momentum transport. Michele Filippucci conducted the analysis and wrote the first draft of this paper, which was revised by both Paolo Davini and Simona Bordoni.

620 *Competing interests.* The authors declare that they have no conflict of interest.

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