# 1 Measurements of frazil ice flocs in rivers

2 Chuankang Pei<sup>1</sup>, Jiaqi Yang<sup>1</sup>, Yuntong She<sup>1</sup>, Mark Loewen<sup>1</sup>

3 <sup>1</sup>Department of Civil and Environmental Engineering, University of Alberta, Edmonton, AB, T6G 1H9, Canada

4 Correspondence to: Mark Loewen (mrloewen@ualberta.ca)

5 Abstract. Frazil floc sizes and concentrations have been investigated in a small number of laboratory studies but no detailed 6 field measurements have been reported previously. In this study, a submersible camera system was deployed a total of eleven 7 times during the principal and residual supercooling phases in the North Saskatchewan, Peace, and Kananaskis Rivers to 8 capture time-series images of frazil ice particles and flocs. Images were processed to accurately identify flocs and to calculate 9 their sizes and concentrations. Key hydraulic and meteorological measurements were collected and air-water heat fluxes were 10 estimated to investigate their influence on floc properties. A lognormal distribution was found to be a good fit for the floc size 11 distribution. The mean floc size ranged from 1.19 to 5.64 mm and the overall mean floc size was 3.80 mm. The mean floc size 12 decreased linearly as the local Reynolds number increased. The average floc number concentration ranged from  $1.80 \times 10^{-4}$  to 1.15×10<sup>-1</sup> cm<sup>-3</sup>. The average floc volumetric concentration ranged from 2.05×10<sup>-7</sup> to 4.56×10<sup>-3</sup> and was found to correlate 13 strongly with the relative depth offractional height above the measurements river bed. No significant correlations were found 14 15 between the air-water heat flux and floc properties. Time series analysis showed that during the principal supercooling phase, 16 floc number concentration and mean size increased significantly just prior to peak supercooling and reached a maximum near 17 the end of principal supercooling. During the residual supercooling phase, the mean floc size did not typically vary significantly 18 even 2.5 hours after the residual phase ended and the water temperature increased above zero degrees.

# 19 1 Introduction

20 In northern rivers, individual frazil ice particles form when the water is turbulent and supercooled below its freezing point due 21 to heat loss to the atmosphere. These suspended particles are ice crystals that are inherently adhesive in the supercooled water. 22 As they are transported by the turbulent flow, they can are collide with each other due to spatially varying particle velocities 23 resulting from differential rising or due to spatially varying flow velocities created by turbulent eddies and sinterboundary shear (Mercier, 1985). Colliding particles may freeze together intoforming clusters of particles known as frazil flocs in a 24 25 process called flocculation (Clark and Doering, 2009). Frazil flocs growincrease in size either by the thermal growth of the 26 crystals and/or by further aggregation of individual frazil ice particles or flocs. Once frazil flocs grow into a sufficiently 27 buoyant massgain sufficient buoyancy they rise to the water surface forming surface ice pans or are deposited under existing surface ice contributing to their mass growthincrease (Hicks, 2016). In addition, turbulent flow may transport flocs to the river 28 29 bed where they may adhere to the bed forming anchor ice (Kempema et al., 1993). Once the surface ice pan concentration is

high enough, congestion of incoming ice pans will occur at certain locations where there is a flow constriction and a solid ice cover will form and propagate upstream (Beltaos, 2013). The formation of a continuous solid ice cover insulates the flowing water from further heat loss to the atmosphere, thus preventing the occurrence of supercooling and the production of frazil ice until the ice cover thaws or breaks up (Beltaos, 2013). Frazil flocs may cause serious problems at hydroelectric facilities and water treatment plants by adhering to water intake, trash racks and partially or fully blocking the flow (Ettema and Zabilansky, 2004; Barrette, 2021, Ghobrial et al., 2024). Therefore, it is important to obtain a better understanding of the properties of frazil flocs as well as their evolution to better model and predict their behavior.

37

38 As the constructing unit of frazil flocs, individual frazil ice particles have been investigated both in laboratory settings and 39 field. These particles exhibit various forms including dendric, needle, and irregular but are predominately disc-shaped with 40 diameters ranging from 0.022 to 6 mm (McFarlane et al., 2017) and diameter-to-thickness ratios of 11 to 71 (McFarlane et al., 41 2014). A lognormal distribution can be used to describe the particle size distribution (Daly and Colbeck, 1986; Clark and 42 Doering, 2006; McFarlane et al., 2015). During the principal supercooling period when the water temperature varies 43 transiently, the time from the start of supercooling to when a steady residual supercooling water temperature is reached, the 44 mean diameter of particles was found to first increase before reaching an approximately constant value (Clark and Doering, 45 2006; McFarlane et al., 2015). At the same time the number concentration of suspended particles first increased slowly then 46 more rapidly, peaking just after peak supercooling occurred (i.e., the minimum water temperature) (McFarlane et al., 2015; 47 Ye, 2002; Clark and Doering, 2006). The rapid increase in particle concentration was attributed to secondary nucleation which 48 refers to the formation of new crystals due to the presence of stable parent crystals (Evans, et al., 1974). After peaking the 49 particle concentration decreased as particles were removed via flocculation.

50

51 There have been a small number of laboratory studies that investigated the properties of frazil flocs as well as the flocculation 52 process. Park and Gerard (1984) used artificial flocs fabricated from plastic discs to investigate the hydraulic characteristics 53 of frazil flocs. They found that the sharp-edged floc surface resulted in a significantly higher drag coefficient compared to a 54 solid smooth sphere of the same size and density. Kempema et al. (1993) conducted racetrack flume experiments to investigate 55 interactions of frazil and anchor ice with sediments. They observed that in freshwater frazil easily agglomerated into roughly 56 spherical flocs up to 8 cm in diameter. Flocs that struck the bed tended to entrain sediments into their voids and become heavy 57 and settle to the bottom in the shelter of ripples forming anchor ice. Reimnitz et al. (1993) observed the characteristics and 58 behaviour of rising frazil in seawater using a stirred vertical tube or tank. They found that individual frazil crystals combine rapidly into flocs with diameters as large as 5 cm. The rise velocities of flocs ranged from 1 to 5 cm s<sup>-1</sup> and rapidly rising large 59 60 flocs induced small-scale turbulence. The porosities of the resulting surface slush accumulations ranged from 0.68 to 0.85, 61 with an average of 0.77. Clark and Doering (2009) investigated frazil flocculation under different turbulence intensities using 62 a counter-rotating flume. Results showed that higher levels of turbulence increased the rate of secondary nucleation, inhibited the formation of large flocs, and produced more dense flocs. 63

Schneck et al. (2019) measured the size and number concentration of frazil ice particles and flocs in water of varying salinity using a stirred frazil ice tank. Results showed that the mean floc size was 2.57 mm in freshwater and 1.47 mm in saline water and a lognormal distribution fit the floc size distributions closely. The floc porosity was estimated to vary from 0.75 to 0.86. Time series measurements of floc properties indicated that, in freshwater, the floc number concentration and mean size started to increase significantly just prior to peak supercooling, reached a maximum shortly afterwards. After that floc number concentration decreased slowly while the mean floc size continually increased very slowly during the principal supercooling period.

## 72

73 The above studies were all conducted in laboratory facilities that do not replicate the complex natural environment. 74 Measurements of frazil flocs in supercooled rivers are needed to verify the laboratory results and improve numerical river ice 75 process models. However, no detailed quantitative field measurements of the properties or evolution of frazil flocs have been 76 reported in the literature. The objective of this study was to determine the statistical characteristics and temporal evolution of 77 floc sizes and concentrations, as well as to investigate the key factors affecting the properties of frazil flocs in rivers. A 78 submersible high-resolution camera system was used to capture time-series images of frazil flocs. Images were analyzed to 79 accurately determine floc sizes and concentrations. Key hydraulic and meteorological measurements were collected and air-80 water heat fluxes were estimated to investigate their influence on floc properties. Time series of floc size, number concentration 81 and volumetric concentrations as well as size distributions measured in rivers during the principal and residual supercooling 82 phase are presented for the first time.

# 83 2 Study Reaches

84 Measurements were conducted in three regulated Alberta rivers, the North Saskatchewan River (NSR) at Edmonton, the Peace 85 River (PR) near Fairview, and the Kananaskis River (KR). Figure 1 shows the geographical locations of the study reaches, 86 deployment sites and weather stations. The characteristics of the study reaches are summarized in Table 1. The turbulent 87 dissipation rate in Table 1 was estimated using the listed slope as well as the average depth and width following Clark and 88 Doering (2008). The three rivers are significantly different in terms of their size and hydraulic characteristics. The flow of the 89 NSR is regulated by the Brazeau and Bighorn Dams which are ~233 km and ~423 km upstream of the Laurier Park site, 90 respectively. A daily water level fluctuation of 0.3 to 0.4 m occurred in the study reach due to hydropeaking (McFarlane et al., 91 2017). The estimated turbulence dissipation rate is  $0.0058 \text{ m}^2 \text{ s}^3$ . Freeze-up typically starts in early November and ends in 92 early to late December with the formation of a static ice cover. However, the 2022 winter freeze-up progressed in a surprisingly 93 rapid manner, starting on Nov 5, 2022, and ending just three days later on Nov 8, 2022.

95 PR has the largest average discharge, depth, and width of the three rivers (Table 1). The estimated turbulence dissipation rate 96 is 0.0051 m<sup>2</sup> s<sup>-3</sup> which is slightly smaller than NSR. The flow of PR is regulated by the W.A.C Bennett Dam and the Peace Canyon Dam which are ~309 km and ~ 288 km upstream of the Fairview water intake deployment site, respectively. These 97 outflows at the dams are relatively warm water ( $\sim 6$  °C) during the winter, affecting the river thermal regime for up to 550 km 98 99 downstream of the dams (Jasek and Pryse-Phillips, 2015) which is ~250 km downstream of the deployment site. Therefore, 100 supercooling and frazil ice generation only occurs at the deployment site when the zero-degree isotherm is located upstream 101 and ceases when it retreats downstream. This unique condition allows freeze-up to persist until the ice front reaches the 102 Fairview intake site typically in mid-January.

103

104 KR is the smallest of the three rivers in terms of average discharge, depth, and width (Table 1). It has the largest turbulence 105 dissipation rate with a value of 0.2066 m<sup>2</sup> s<sup>-3</sup>, which is not unexpected since KR is a small-steep river in the mountains. The 106 flow is regulated by the Pocaterra Dam which is 12 and 31 km upstream of the Fortress and Evan Thomas deployment sites, 107 respectively. In winter, a dramatic discharge fluctuation from ~1 m<sup>3</sup> s<sup>-1</sup> to 21 m<sup>3</sup> s<sup>-1</sup> occurred daily in the study reach due to 108 hydropeaking (Government of Alberta, 2023). Low flows promote border ice formation reducing channel width, while high 109 flows cause overtopping of existing ice and/or banks and prevent the formation of a complete ice cover. Without an ice cover 110 to insulate the water, supercooling events and frazil generation occur when the air temperature is sufficiently cold.

111

## 112 Table 1: Summary of the study reach characteristics.

	Slope	Average	Average	Average	Average Duos of	Estimated turbulence	
River		discharge (m <sup>3</sup> s <sup>-1</sup> )	depth (m)	width (m)	suspended sediment (mm)	dissipation rate (m <sup>2</sup> s <sup>-3</sup> )	
NSR	0.00035	220	1.40	136	0.50	0.0058	
PR	0.00025	1586	2.56	227	0.68	<u>0.0051</u>	
KR	0.005	15	0.61	32	N/A	<u>0.2066</u>	

113 *Note*: Slope, average discharge, average depth, and average width were obtained from Kellerhals et al. (1972); Average  $D_{100}$ 114 of suspended sediments were computed from Water Survey of Canada historic size distribution data measured at North 115 Saskatchewan River at Edmonton (05DF001) and Peace River at Dunvegan Bridge (07FD003) (Water Survey of Canada, 116 2023).



118 Figure 1: Maps showing (a) the locations of the deployment sites in Alberta, enlarged views of the locations on (b) the North

119 Saskatchewan, (c) Kananaskis, and (d) Peace rivers.

#### 120 3 Instrumentation, Methodology and Deployments

121 A submersible camera system initially designed for imaging suspended frazil ice particles named "FrazilCam" (McFarlane et 122 al., 2017) was modified in this study to capture mage frazil flocs in the water column. Figure 2 shows the modified 123 configuration of the FrazilCam system. A 36-megapixel Nikon D800 DSLR camera equipped with a Micro-Nikkor 60 mm 124 f/2.8D lens was used to image underwater frazil ice particles and flocs. The camera was enclosed in an Ikelite waterproof 125 housing. Two 16 cm × 16 cm Cavision linear glass cross-polarizing filters were mounted 3.6 cm apart-to enable clear imaging 126 of frazil ice particles and flocs as they advected between the polarizers., which is 1.6 times larger than the original 127 configuration. A PVC enclosure with a brass fitting on the top was installed in between the camera lens and polarizing filters 128 to prevent ice or debris from flowing through this region blocking the camera field-of-view (FOV). The brass fitting was used 129 for hot water injection to melt any ice that was initially trapped inside the enclosure. A Nikon SB-910 Speedlight flash in a 130 Subal SN-910 waterproof housing was used as the light source, and a 5 mm thick white acrylic board was placed in between 131 the polarizers and flash to diffuse the light. The modified configuration resulted in ~6 times bigger FOV and 1.6 times larger gap compared to McFarlane et al. (2017), enabling larger flocs to pass through and fit in the FOV. The camera settings were 132 133 determined by submerging the system in a laboratory tank filled with tap water and capturing images of a transparent plastic

ruler placed inside the camera FOV. This yielded an ISO of 6400, aperture f/25, and a shutter speed of 1/320. The configuration resulted in an image scale of 25.6  $\mu m$  per pixel and an average FOV of 11.6 cm by 15.6 cm-which is 6 times larger than the

136 original configuration. The reason for enlarging the FOV and increasing the gap between the polarizers was to enable larger

- 137 flocs to pass through and fit within the FOV.
- 138

139 At the start of each deployment, the camera was programmed to acquire 5 images at 1 Hz every 9 s, 15 s, or 1918 s depending 140 on the field conditions until the battery was depleted. A longer sampling interval (e.g. <u>1918</u> s) was chosen for some 141 deployments to prolong the deployment duration with the goal of capturing a complete supercooling event. Just prior to 142 deployment of the FrazilCam in the river, the polarizers were rinsed with hot saline water to prevent ice crystals from forming 143 on them once submerged. The system was then quickly deployed in the river and the PVC enclosure was filled with hot fresh 144 water from an elevated container. During deployments, anchor ice often formed on system components as shown in Fig. 3 and 145 ice that formed on the polarizers could obstruct the FOV of the camera. To prevent or mitigate this problem, the polarizers 146 were inspected every 30 to 60 minutes and hot saline water was injected onto the polarizers to melt any ice crystals.

147

148 During each deployment, an RBR Solo T (accuracy  $\pm 0.002^{\circ}$ C) temperature logger sampling every second was attached to the 149 top of the frame to measure water temperature, and a Van Essen Diver (accuracy  $\pm 1 \text{ cmH}_2\text{O}$ ) water level logger sampling 150 every 10 minutes was attached to the bottom of the frame to measure the water depth (Fig. 2). The water depth during the PR 151 deployments was measured using a wading rod since the Diver stopped working at that time. DepthFor all deployments the 152 depth-averaged water velocity was estimated using velocities measured adjacent to FrazilCam at 60% of the water depth. 153 During the 2021 winter, the depth-averaged water velocity was measured using a 2-MHz Nortek Aquadopp acoustic AquaDopp 154 High Resolution Acoustic Doppler current profiler (ADCP)Current Profiler sampling every second with a blanking distance 155 of 0.1 m and averaging every two minutes. For the rest of the deployments, the depth averaged water velocity was measured 156 using a SonTek Flow Tracker handheld acoustic Handheld Acoustic Doppler velocimeter (ADV) sampling every 157 second for a total duration of 50 seconds.



159 Figure 2: An image showing the configuration of the FrazilCam system.



160

161 Figure 3: An image showing the ice accumulation on the FrazilCam system.

162 Meteorological conditions for the NSR reach were measured by a weather station installed at the E.L. Smith water treatment 163 plant, which is located ~90 m from the river bank and ~6 km upstream of Laurier Park site (Fig. 1b). The weather station 164 measures the air temperature, solar radiation, relative humidity, atmospheric pressure, wind speed and direction every minute and logs data every 10 minutes. An Apogee SN-500-SS net radiometer was deployed on the river bank at this location, 165 166 measuring incoming and outgoing shortwave/longwave radiation every minute and logging data every 10 minutes. For the PR, 167 1-hour interval meteorological data were obtained from ECCC station Fairview AGDM (ID: 3072525) and 3-hour interval 168 cloud coverage data was obtained from the closest ECCC station Peace River A (ID: 3075041) as shown in Fig. 1d. For the 169 KR, the Kananaskis Boundary Auto weather station operated by Alberta Forestry, Parks and Tourism (ACIS, 2023) was used 170 to obtain 1-hour interval air temperature, humidity, wind speed, and wind direction data. In addition, 1-hour solar radiation 171 data was obtained from the University of Calgary Barrier Lake Field Station weather station (University of Calgary, 2023),

172 and 3-hour cloud coverage data was obtained from the closest ECCC station Calgary Intl A (ID: 3031092) as shown in Fig.

173 1c. Table 2 summarizes the distance between weather stations and deployment sites. All weather stations are located within

- 174 30 km of their nearby deployment sites, except for those providing cloud coverage data for PR and KR.
- 175

# 176 Table 2: The distances between weather stations and deployment sites.

River	Deployment site	Distance - weather station
NSR	Laurier Park	6 km - E.L. Smith
PR	Fairview Intake	18 km - Fairview AGDM; 68 km - Peace River A
KR	Evan Thomas	2 km - Kananaskis Boundary Auto; 15 km - Barrier Lake Field Station; 82 km - Calgary Intl A
	Fortress	16 km - Kananaskis Boundary Auto; 28 km - Barrier Lake Field Station; 88 km - Calgary Intl A

# 177

178 The FrazilCam system was deployed a total of eleven times during the 2021 and 2022 freezeupfreeze-up periods, images of 179 the FrazilCam during two of the deployments are shown in Fig. 4.4. The image sampling protocols were 5 images at 1 Hz 180 every 9 s for all NSR and KR-E1 deployments, for KR-F1 and KR-F2 5 images at 1 Hz every 15 s, and for all PR deployments 181 5 images at 1 Hz every 18 s. Table 3 lists the detailed location, date, time, number of images processed, and deployment number for each deployment. The mean air temperature  $\overline{T_a}$ , mean water depth  $\overline{d}$ , depth-averaged flow velocity  $\overline{U}$ , and the local 182 Revnolds number Re computed from  $\overline{d}$  and  $\overline{U}$  are also presented in Table 3. Eight of eleven deployments started in the 183 184 afternoon around 2 PM ~ 7 PM when the effect of solar radiation reduced decreasing heat gain of the water body, the time duration of deployments ranged from 1:48 to 3:21. As can be seen from Table 3, during these deployments  $\overline{T_a}$  ranged from -185 3.5 °C to -20.6 °C,  $\bar{d}$  ranged from 0.41 m to 1.24 m,  $\bar{U}$  ranged from 0.12 m s<sup>-1</sup> to 0.36 m s<sup>-1</sup>, and Re ranged from 44866 to 186 187 160714, respectively, indicating that frazil floc properties and concentrations were measured and analyzed over a wide range 188 of meteorological and hydraulic conditions. The eleven deployments captured various phases of supercooling but NSR-L4 was 189 the only deployment that captured a complete principal supercooling phase (i.e., from when the water temperature first dropped 190 below zero to when an approximately stable residual temperature was reached). 191 192

- 193
- 194
- 195
- 196

River	Date (yyyy.mm. dd)	Time period (hh:mm~hh: mm)	Time duration (hh:mm) <u>#</u> of processed images	Site	Deployment No.	<i>Τ</i> <sub>a</sub> (°C)	$ar{d}$ (m)	Ū (m s <sup>-1</sup> )	Re
NSR	2021.12.3	16:41~18:49	<del>2:08<u>4,099</u></del>	Laurier Park	NSR-L1	-7.2	0.89	0.21	104 <u>,</u> 297
		19:05~21:34	<del>2:29<u>4,797</u></del>	Laurier Park	NSR-L2	-10.5	0.84	0.17	79 <u>.</u> 688
	2021.12.9	14:46~17:09	<del>2:23</del> 4,688	Laurier Park	NSR-L3	-3.5	1.24	0.19	131 <u>,</u> 473
	2021.12.12	15:02~16:50	<del>1:48<u>3,495</u></del>	Laurier Park	NSR-L4	-4.6	0.87	0.22	106 <u>.</u> 808
		17:08~19:31	<del>2:23<u>4,091</u></del>	Laurier Park	NSR-L5	-9.2	0.86	0.20	95 <u>,</u> 982
	2022.11.7	14:31~16:22	<del>1:51<u>3,596</u></del>	Laurier Park	NSR-L6	-12.1	0.80	0.36	160 <u>,</u> 714
PR	2022.12.12	10:40~13:57	3 <del>:17</del> ,155	Fairview Intake	PR-F1	-20.6	0.82	0.30	137 <u>,</u> 277
	2022.12.13	9:41~13:02	3 <del>:21</del> ,208	Fairview Intake	PR-F2	-6.0	0.74	0.23	94 <u>.</u> 978
KR	2023.1.29	18:00~20:02	<del>2:02</del> 3,728	Evan Thomas	KR-E1	-15.8	0.41	0.22	50 <u>,</u> 335
	2023.1.30	14:46~17:59	3 <del>:13<u>,379</u></del>	Fortress	KR-F1	-11.1	0.55	0.30	92 <u>.</u> 076
	2023.1.31	7:28~10:39	3 <del>:11<u>,610</u></del>	Fortress	KR-F2	-13.3	0.67	0.12	44 <u>.</u> 866

197 Table 3: Summary of the FrazilCam deployments and site conditions including the number (#) of images captured, mean air 198 temperature  $\overline{T_a}$ , mean water depth  $\overline{d}$ , depth averaged water velocity  $\overline{U}$ , and local Reynolds number *Re*.

199





## 202 4 Data processing

## 203 4.1 Image processing

Figure 5a shows an example of a raw FrazilCam image with individual frazil ice particles, flocs, and ice crystals frozen on the polarizer. Frazil ice particles are predominantly disk-shaped (McFarlane et al., 2017) and therefore depending on their orientation appear in the images as shapes that vary from a line to a circle with the majority being ellipses. Flocs form through the aggregation of frazil ice particles, resulting in varying shapes depending on the number, shape, and size of attached particles. Ice crystals sometimes attached and froze to the surface of the polarizers despite the periodic hot saline water rinsing. These crystals may appear anywhere in the image, blocking certain regions of the FOV.

210

Figure 6 shows a flow chart of the image processing procedure used for extracting frazil floc properties. For each deployment, images were first manually inspected to exclude those taken when the polarizers were being rinsed, which constitutes  $2 \sim 14\%$ of the total images captured. Each image was then processed using an iterative thresholding algorithm developed by McFarlane et al. (2014) to determine the location and extent of each object. Objects intersecting with the image boundary were eliminated, which also removed the ice crystals that were frozen near polarizer edges. For frozen ice crystals that did not intersect with the image boundary, the affected image area was removed either by cropping or masking, or a combination of both, (Fig. 6). The corresponding processed binary image is shown in Fig. 5b.









221 Figure 6: A flow chart showing the image processing procedure.

220

223 The processed binary images were analyzed to compute each object's basic geometric characteristics such as area, perimeter, 224 centroid, as well as the major and minor axis length of its fitted ellipse. The size S of both frazil particles and flocs was defined 225 as the major axis length of its fitted ellipse (Clark and Doering, 2009). The objects in the processed images may include small-226 suspended sediments that were thin enough to refract light, which may significantly distort the size distribution of frazil ice 227 particles and flocs (McFarlane et al., 2017; Pei et al., 2022). McFarlane et al. (2019a) used a support vector machine (SVM) 228 to distinguish between ice particles and sediments and compute accurate particle size distributions. However, this method 229 requires ice-free sediment images at each site for site-specific SVM training, which is not possible for this study due to the 230 lack of ice-free images at the PR and KR sites. Since this study focuses on flocs, which are considerably larger than particles, 231 a simple cut-off criterion was used to minimize the effect of sediment particles in the images. Objects smaller than the average 232  $D_{100}$  of suspended sediment (see Table 1) in a given study reach were removed from the dataset. (Fig. 6). For the KR, since no 233 suspended sediment size distribution measurements were available in the literature, the cut-off size was determined to be 0.27234 mm, which is twice the average of seven mean sediment size measurements estimated from FrazilCam images by McFarlane 235 et al. (2019b).

236

For each object, the following geometric parameters were used to classify the objects into either flocs or particles: the ratio of the object area to that of the fitted ellipse  $a/a_e$ , the absolute percentage difference between the object perimeter and its fitted ellipse perimeter  $P_{diff\%}$ , the ratio of an object's fitted ellipse area to its ellipse perimeter divided by the ratio of the object's actual area to its perimeter  $\left(\frac{a_e}{p_e}\right)/\left(\frac{a}{p}\right)$  (McFarlane et al., 2014; Schneck, 2018). Preliminary experiments found that flocs formed by a very small particle attaching to a significantly larger particle remain approximately elliptical since the boundary does not change significantly. As a result, comparing changes in overall area/perimeter with the fitted ellipse did not help with classification. Therefore, the form index was introduced to assess minor changes in object shape (Masad et al., 2001; Al-Rousan et al., 2007). The form index is calculated using the following equation:

245 
$$FI = \sum_{\theta=0}^{\theta=360-\Delta\theta} \frac{|R_{\theta+\Delta\theta}-R_{\theta}|}{R_{\theta}},$$
(1)

where  $\theta$  is the directional angle and *R* is the radial length between the centroid of the particle and the boundary of the particle. The incremental change in angle  $\Delta\theta$  is set to 2.81 °, dividing the particle boundary into 128 segments to factor in minor boundary changes. A perfectly circular object has an *FI* of 0, and *FI* will increase as an object's boundary becomes more irregular.

250

251 A total of 568 objects were manually labelled as either flocs (109) or disk-shaped frazil particles (459) to construct a test 252 dataset to determine the optimal classification criteria of the aforementioned parameters. Results showed that  $\{a/a_e \ge 0.9 \text{ and } P_{diff\%} \le 0.1 \text{ and } S \le 6\}$  for disk-shaped particles, and  $\{(a/a_e < 0.9 \text{ or } P_{diff\%} > 0.15) \text{ and } (\frac{a_e}{P_e})/(1-1)$ 253  $\left(\frac{a}{n}\right)\frac{d_e P_e/aP}{d_e} > 1.1$  and  $FI \ge 6$  for flocs provided the optimum classification accuracy of 97.0% and 92.7% for particles and 254 255 flocs, respectively. In NSR-L4 the camera lens was slightly out of focus due to an accidental jarring of the camera during 256 deployment. However, because this was the only deployment that captured a complete principal supercooling event, additional 257 processing was performed on these images to allow for their inclusion in the dataset. Visual examination and analysis of these 258 images indicated that the blurriness predominantly affected the boundary clarity of dim objects with a mean pixel intensity less than 24 and did not significantly affect brighter objects. Therefore, an additional criterion was introduced for NSR-L4 259 260eliminating flocs with a mean pixel intensity less than 24. The rate of floc detection in the blurry images from deployment 261 NSR-L4 was 4.1 flocs per minute and it was 4.4 flocs per minute in NSR-L5 which occurred immediately afterwards. 262 Therefore, the additional criterion, applied to the blurry images, only slightly reduced the number of flocs detected.

263

In order to prevent line-shaped frazil ice particles from being misidentified as flocs, frazil particles in the shape of a line were first identified if the <u>aspect ratio of the object (i.e., the</u> ratio between the major and minor axis length) was greater than 11 based on minimum frazil ice particle aspect ratio measurements made by McFarlane et al. (2014)-) as shown in Fig. 6. Then the classification criteria mentioned above were applied to the remaining objects to identify disk-shaped particles and flocs-(Fig. 6). After classification, the number of flocs  $N_T$ , mean floc size  $\overline{\mu_f}$ , standard deviation  $\sigma_f$ , 95<sup>th</sup> percentile of floc size  $S_{f95}$ , maximum floc size  $S_{fmax}$ , average floc number concentration  $\overline{C_{fn}}$ , and average volumetric concentration  $\overline{C_{fv}}$  for each 270 deployment were computed. It is worth noting that the properties of frazil ice particles were not included in this study since 271 the cut-off size likely eliminated up to 50% of the particle population which would significantly skew the data. In addition, the 272 mean floc size  $\mu_f$ , floc number concentration  $C_{fn}$ , floc volumetric concentration  $C_{fv}$  were computed for each image throughout 273 a deployment, and a moving average over a period of 35 images was applied to the resulting time series to smooth the data. 274 Note that the 35-image moving average was computed only if two or more non-zero values occurred in the window, if there 275 were less than two non-zero values no average value was recorded. This created gaps in the moving average time series and 276 the rationale for this is that two or more samples are required to compute a valid average value. The measuring volume used 277 for the concentration calculations was the image FOV times the gap distance between the two polarizers. The volume of a 278 frazil floc was assumed to be the volume of an ellipsoid with semi-axis lengths a, b, and c where a and b were equal to the 279 semi-major and semi-minor axis lengths of the floc's fitted ellipse, and c was equal to the average of a and b but no larger than 280 the gap between the two polarizing filters. The volume of ice in a frazil floc  $V_f$  was estimated as:

281 
$$V_f = \frac{4}{3}\pi abc(1-\eta)$$
, (2)

where  $\eta$  is the porosity of floc taken to be 0.8 (Schneck et al., 2019).

## 283 4.2 Heat flux analysis at the water surface

284 The net heat flux  $Q_n$  at the river surface can be expressed as is given by:

285 
$$Q_n = Q_{sw} + Q_{lw} + Q_E + Q_H$$
, (3)

where  $Q_{sw}$  is the net shortwave radiation;  $Q_{lw}$  is the net longwave radiation;  $Q_E$  is the latent heat flux;  $Q_H$  is the sensible heat flux. A positive sign denotes heat loss from the surface.  $Q_{sw}$  can be was calculated as:

288 
$$Q_{sw} = -(1 - \alpha_{ws})Q_s$$
, (4)

where  $Q_s$  is the measured incoming solar radiation;  $\alpha_{ws}$  is the albedo of water surface to solar radiation, taken to be 0.15 for this study following Howley (2021). The net longwave radiation  $Q_{lw}$  can be was calculated as:

291 
$$Q_{lw} = Q_{lw}^{out} - (1 - \alpha_{wl})Q_{lw}^{in},$$
(5)

$$292 \quad Q_{lw}^{out} = \varepsilon_w \sigma_{sb} T_{wk}^4 \,, \tag{6}$$

where  $Q_{lw}^{out}$  is the outgoing longwave radiation emitted from the water;  $\alpha_{wl}$  is the albedo of water surface to longwave radiation, taken as 0.03 (Raphael, 1962);  $\varepsilon_w$  is the emissivity of water taken as 0.97 (Ashton, 2013);  $\sigma_{sb}$  is the Stefan-Blotzmann constant (5.67× 10<sup>-8</sup> W m<sup>-2</sup> K<sup>-4</sup>);  $T_{wk}$  is the water temperature in K-surface temperature in K. Note that it was assumed that the water column was completely mixed and therefore the water temperatures that were measured at the top of the FrazilCam frame (i.e., not at the water surface) were used in Eq. (6).  $Q_{lw}^{in}$  is the incoming longwave radiation which was measured by a net radiometer for the NSR. For KR and PR,  $Q_{lw}^{in}$  is estimated using the Satterlund (1979) equation for clear sky 299 conditions and Konzelmann et al. (1994) equation for cloudy conditions following a method described by Yang et al. (2023). For the remaining heat fluxes,  $Q_E$  can be calculated using the equation suggested by Ryan et al. (1974), and  $Q_{\mu}$  can be 300 calculated from  $Q_E$  using Bowen's ratio. is estimated using the following equations: 301  $Q_{lwc}^{in} = \varepsilon_{ac} \sigma_{sb} T_{ak}^4,$ 302 (7) $\varepsilon_{ac} = 1.08[1 - \exp(-e_a^{T_{ak/2016}})],$ 303 (8)  $e_s = 6.11 \exp\left(\frac{17.62T_a}{243.12+T_a}\right),$ 304 (9)  $e_a = RH \times e_s$ 305 (10) $Q_{lw}^{in} = Q_{lw}^{in} c(1 - N^4) + 0.952N^4 \sigma_{sb} T_{ak}^4$ 306 (11)where  $Q_{lw}^{in}$  is the incoming longwave radiation under the clear sky;  $\varepsilon_{ac}$  is the clear sky atmospheric emissivity calculated 307 308 using Eq. (8) by Satterlund (1979);  $T_{ak}$  is the air temperature in K;  $e_s$  and  $e_a$  are the saturated and actual vapour pressure of 309 water, respectively; RH is the relative humidity;  $T_a$  is the air temperature in degree Celsius; N is the fractional cloud cover. 310 Note that Eq. (11) was developed by Konzelmann et al. (1994). 311  $Q_F$  was calculated using the equation suggested by Ryan et al. (1974) following Yang et al. (2023): 312  $Q_E = \left[ 2.70 \left( \frac{T_{wk}}{1 - 0.378(e_s/P)} - \frac{T_{ak}}{1 - 0.378(e_a/P)} \right)^{\frac{1}{3}} + 3.2V \right] (e_s - e_a),$ 313 (12)where P is the atmospheric pressure; V is the wind speed.  $Q_H$  was calculated from  $Q_E$  using Bowen's ratio B as follows: 314  $B = \frac{C_a P}{0.622 l_m} \times \frac{T_s - T_a}{e_c - e_a},$ 315 (13) $Q_H = BQ_F$ , 316 (14)where  $C_a$  is the specific heat of air;  $l_a$  is the latent heat of vaporization;  $T_s$  is the surface water temperature. In a previous study, 317 318 Yang et al. (2023) investigated various formulas used to calculate incoming longwave radiation and the latent and sensible 319 heat fluxes during freeze-up on the North Saskatchewan River in Alberta, and the combination of formulas (Eqs. 7~14) used 320 in this study were the ones that provided the most accurate results in Yang et al (2023). It is also worth noting that only hourly 321 meteorological data were available for the KR and PR regions as described in Sec. 3. As a result, the heat fluxes were calculated 322 on a 1-hour time interval for the KR and PR deployments, and for all the NSR deployments the heat fluxes were calculated on 323 a 10-min time interval.

### 324 5 Results

#### 325 5.1 Floc shape, size and concentration

326 In Fig. 67 images of typical shapes of frazil flocs observed during the different field deployments are presented. Flocs from 327 NSR deployments (Figs. 6a7a~b) were comprised predominantly of disc-shaped frazil ice particles of varying sizes 328 sintered frozen together. The floc shown in Fig. 6b7b is representative of flocs observed during deployments NSR-L3 and 329 NSR-L6. As can be seen, it was comprised of much smaller individual particles than the flocs observed during the rest of the 330 NSR deployments (Fig. 6a7a). Flocs from deployment PR-F1 (Fig. 6e7c) were comprised of disc-shaped particles, irregular 331 particles, and some needle-shaped particles. Flocs from deployment KR-E1 (Fig. 6d7d) were formed primarily by densely 332 aggregated irregular particles and some small disc-shaped particles. Flocs from deployments PR-F2, KR-F1 (Fig. 6e7e), and 333 KR-F2 (Fig. 677f) were mostly comprised of disc-shaped and irregular particles, images of flocs from PR-F2 were not shown 334 since they are similar to those shown in Figs. 6e7e-f.

335

336 Table 4 presents the number of flocs  $N_T$ , mean size  $\overline{\mu_f}$ , standard deviation  $\sigma_f$ , 95<sup>th</sup> percentile and maximum of the floc size  $S_f$ , average floc number concentration  $\overline{C_{fn}}$ , and average volumetric concentration  $\overline{C_{fv}}$  for each deployment. The supercooling 337 phase, the minimum water temperature  $T_p$ , and average net surface heat flux  $\overline{Q_n}$  are also presented. Deployments NSR-L1, 338 NSR-L3, and NSR-L4 captured the principal supercooling phase (Principal), while the rest captured only the residual 339 supercooling phase (Residual). T<sub>p</sub> ranged from -0.021 °C to -0.031 °C for Principal deployments, and from -0.007 °C to -340 0.017 °C for Residual deployments. In all deployments  $\overline{Q_n}$  was positive indicating an overall heat loss.  $N_T$  varied significantly 341 ranging from 442 to 187,288 with the largest  $N_T$  of 187,288 occurring during deployment KR-E1. The mean floc size  $\overline{\mu_f}$ 342 ranged from 1.19 to 5.64 mm with an overall average of 3.8 mm and  $\sigma_f$  ranged from 0.88 to 5.03 mm.  $S_{f95}$  was greater than 343 344 ~8 mm except for deployments NSR-L3 and NSR-L6 with values of 4.44 mm and 2.47 mm, respectively. The largest value of  $S_{fmax}$ , 99.69 mm, was observed during KR-E1 which also had the largest number of flocs. The average floc number 345 concentration  $\overline{C_{fn}}$  varied by three orders of magnitude from  $1.80 \times 10^{-4}$  to  $1.15 \times 10^{-1}$  cm<sup>-3</sup>, and the average floc volumetric 346 concentration  $\overline{C_{fv}}$  over four orders of magnitude from 2.05×10<sup>-7</sup> to 4.56×10<sup>-3</sup>. 347



349 Figure 67: Images of frazil flocs of different sizes and shapes from the following deployments: (a) NSR-L1, (b) NSR-L6, (c) PR-F1,

350 (d) KR-E1, (e) KR-F1, and (f) KR-F2. The white scale bar in each image represents a length of 3 mm. Note that in some images the 351 surrounding ice particles were masked out to highlight the floc at the centre of the image.

Table 4: Supercooling phase, minimum water temperature  $T_p$ , mean net surface heat flux  $\overline{Q_n}$ , number of flocs  $N_T$ , mean floc size

353  $\overline{\mu_f}$ , standard deviation  $\sigma_f$ , 95<sup>th</sup> percentile of floc size  $S_{f95}$ , maximum floc size  $S_{fmax}$ , average floc number concentration  $\overline{C_{fn}}$ , and 354 average volumetric concentration  $\overline{C_{fv}}$  for each deployment.

Deployment	Supercooling	T (°C)	$\overline{Q_n}$	N	$\overline{\mu_f}$	$\sigma_{\!f}$	$S_{f95}$	$S_{fmax}$	$\overline{C_{fn}}$	$\overline{C_{fv}}$
No.	phase	$I_p(C)$	(W m <sup>-2</sup> )	INT	(mm)	(mm)	(mm)	(mm)	(cm <sup>-3</sup> )	$(cm^3 cm^{-3})$
NSR-L1	Principal	-0.021	183.3	2,428	4.33	3.08	8.73	89.58	9.65×10 <sup>-4</sup>	1.39×10 <sup>-5</sup>
NSR-L2	Residual	-0.009	199.5	879	3.70	2.31	7.54	24.05	2.72×10 <sup>-4</sup>	1.39×10 <sup>-6</sup>
NSR-L3	Principal	-0.023	95.4	839	1.87	1.31	4.44	9.02	3.06×10 <sup>-4</sup>	2.05×10-7
NSR-L4	Principal	-0.031	110.3	442	4.50	2.45	8.37	18.53	1.80×10 <sup>-4</sup>	1.21×10 <sup>-6</sup>
NSR-L5	Residual	-0.016	121.8	631	3.50	2.57	8.40	14.31	2.60×10 <sup>-4</sup>	1.19×10 <sup>-6</sup>
NSR-L6	Residual	-0.017	157.5	143,097	1.19	0.88	2.47	47.16	6.75×10 <sup>-2</sup>	2.99×10 <sup>-5</sup>
PR-F1	Residual	-0.009	318.8	2,250	3.43	3.72	9.16	53.35	1.11×10 <sup>-3</sup>	1.84×10 <sup>-5</sup>
PR-F2	Residual	-0.007	107.4	1,247	4.25	5.03	13.60	53.81	5.63×10 <sup>-4</sup>	1.68×10 <sup>-5</sup>
KR-E1	Residual	-0.008	243.3	187,288	5.64	4.79	14.28	99.69	1.15×10 <sup>-1</sup>	4.56×10 <sup>-3</sup>
KR-F1	Residual	-0.010	122.4	23,670	4.43	3.86	10.69	81.38	1.05×10 <sup>-2</sup>	2.32×10 <sup>-4</sup>
KR-F2	Residual	-0.011	275.2	15,151	4.69	4.08	11.89	68.37	6.62×10 <sup>-3</sup>	1.59×10 <sup>-4</sup>

355

#### 356 **5.2 Floc size distribution**

357 In Fig. 78, plots of the frazil floc size distribution as well as fitted lognormal distribution curves for four deployments are 358 presented. All of the size distributions obtained from NSR deployments closely resemble deployment NSR-L1 shown in Fig. 359 748a, except for deployment NSR-L6 shown in Fig. 748b. Size distributions from the KR and PR are well represented by 360 deployments KR-F1 and PR-F1 which are shown in Fig.  $\frac{768c}{748d}$  and Fig.  $\frac{748d}{748d}$ , respectively. It can be seen from Fig.  $\frac{78}{78}$  that a theoretical lognormal distribution is a reasonable fit to all of the size distributions but a particularly good fit for deployment 361 362 KR-F1. This may be attributed to the order-of-magnitude larger sample size for KR-F1 (23,670) compared to NSR-L1 (2,428) 363 and PR-F1 (2,250). The size distribution for NSR-L6 shown in Fig. 748b has the most flocs of the four deployments plotted 364 with a sample size of 143,097 but it does not fit a lognormal distribution as closely as the others. This is because the distribution 365 was cut off at 0.5 mm to eliminate sediment particles. A similar condition can also be observed for PR-F1 shown in Fig. 748d 366 where the cut-off was 0.68 mm. Note that the cut-offs were applied to all size distributions but only impacted the distribution 367 significantly if there were a significant number of smaller flocs detected.



369

370

Figure 78. Distributions of floc size  $S_f$  for deployments (a) NSR-L1, (b) NSR-L6, (c) KR-F1, and (d) PR-F1. The red line denotes a fitted lognormal distribution, N is the number of flocs in each bin, and  $N_T$  is the total number of flocs.

## 373 **5.3 Time series**

Time series plots of water temperatureand air temperatures  $T_w$  and  $T_a$ , heat flux Q, floc mean size  $\mu_f$ , floc number concentration  $C_{fn}$ , and floc volumetric concentration  $C_{fv}$  for deployments NSR-L4, KR-F1, and PR-F2 are presented in Figs. 8, 9 and 10, respectively.9, 10 and 11, respectively (Note that similar time series plots for the other eight deployments are presented as Figs. S1-S8 in the Supplement). Deployment NSR-L4 occurred during the principal supercooling phase and is the only deployment that captured the entire principal supercooling phase, while KR-F1 and PR-F2 captured the middle and end of the residual supercooling phase, respectively.

381 During NSR-L4 (Fig.  $\frac{899}{10}$ ) supercooling started at 15:25 and after that  $T_w$  decreased almost linearly at a cooling rate of -0.0009 °C min<sup>-1</sup>, reached a  $T_p$  of -0.031 °C (i.e., peak supercooling) at 16:02 and then started to increase and reached a stable 382 383 residual temperature of -0.010 °C at 16:37. Figure 8bT<sub>a</sub> decreased from -1.7 to -7.2 °C with an average of -4.6 °C. Figure 9b shows that the net heat flux  $Q_n$  increased from 26 W m<sup>-2</sup> to 150 W m<sup>-2</sup> primarily due to the decrease in the magnitude of 384 385 shortwave radiation  $Q_{sw}$ . The rest of the heat flux components remained positive (heat loss) and relatively stable throughout 386 the deployment, with  $Q_{lw}$  being the dominant component. In Fig. 8e9c  $\mu_f$  began increasing significantly ~7 minutes before 387 the peak supercooling temperature was reached, reaching a maximum of 7.8 mm  $\sim$  37 minutes after peak supercooling, then it 388 decreased to  $\sim 6 \text{ mm}$  and remained approximately constant afterwards. Figure  $\frac{8490}{2}$  shows that significant numbers of frazil 389 particles were detected ~15 minutes before peak supercooling with  $C_{fn}$  values below  $2 \times 10^{-4}$  cm<sup>-3</sup>. At ~ 2 minutes before peak supercooling  $C_{fn}$  increased rapidly and peaked ~30 minutes after peak supercooling at a value of  $9.3 \times 10^{-4}$  cm<sup>-3</sup> and then 390 391 decreased to  $2 \times 10^{-4}$  cm<sup>-3</sup> at the end of the deployment. Figure <u>8e9e</u> shows that  $C_{fv}$  only increased notably after peak supercooling and reached a value of  $8.8 \times 10^{-6} \sim 30$  minutes after the peak supercooling. After that it decreased before spiking 392 393 to  $1.6 \times 10^{-5} \sim 38$  minutes after the peak supercooling and then decreased to  $1.7 \times 10^{-6}$  at the end. An examination of the images 394 showed that the spike was caused by several large flocs up to 18.5 mm in size.

395

396 During KR-F1,  $T_w$  fluctuating continuously around -0.008 °C, except for one anomalous spike that occurred at 17:03 (Fig. 397 9a10a), which was caused by ice contacting the sensor when the polarizers were being rinsed. Additionally, periodic upward 398 spikes with a period of 1 minute and magnitude of ~0.001 °C were visible on the plot. While the cause of these spikes remains 399 uncertain, it is worth noting that their magnitude falls within the range of accuracy of the sensor. In Fig. 9b The air temperature was relatively stable with  $T_a$  varying between -10 to -12 °C. In Fig. 10b,  $Q_n$  rose during the deployment from -2 W m<sup>-2</sup> to 261 400 401 W m<sup>-2</sup> largely due to the decrease in the magnitude of  $Q_{sw}$ . Note that the heat flux components here were computed on a 1-402 hour time interval. In Figs. 9e10c-e, there are gaps in the data during these time periods  $15:33 \sim 15:38$ ,  $16:17 \sim 16:23$ , 16:58403  $\sim$  17:04, and 17:34  $\sim$  17:39, that are visible as short time series segments with zero slope. These were created when the images 404 collected during the time periods when the polarizers were being rinsed were removed from the dataset. In Fig. 9e10c,  $\mu_f$ 405 fluctuated around  $\sim 4$  mm before significantly increasing at 17:40, eventually reaching 5.9 mm by the end of the deployment. Similar trends are evident in Figs. 9410d-e for  $C_{fn}$  and  $C_{fv}$ , respectively. At 17:41  $C_{fn}$  started to increase significantly and 406 reached a peak value of  $4.5 \times 10^{-2}$  cm<sup>-3</sup> at 17:53 while  $C_{fv}$  started to increase significantly at 17:50 and eventually peaked at a 407 408 value of  $2.8 \times 10^{-3}$ . A hydropeaking wave arrived at the Fortress site at 17:25 increasing the depth by 19% by the end of the 409 deployment and causing rapid increases in floc size and concentration.

- 412 °C at the end of the deployment (Fig.  $\frac{10a}{11a}$ ).  $T_a$  followed a similar trend to  $T_w$  rising from -7.6 to -4.1 °C. The net heat loss
- 413  $Q_n$  steadily decreased from 165 W m<sup>-2</sup> to 12 W m<sup>-2</sup> (Fig. <u>10b11b</u>) due to an increase in the magnitude of  $Q_{sw}$ . In Fig. <u>10e11c</u>

<sup>411</sup> During deployment PR-F2,  $T_w$  was initially at -0.006 °C but then increased above zero at 10:21, and eventually reached 0.033

414  $\mu_f$  fluctuated between 1 mm and 10 mm during the deployment with an average of 4 mm. In Figs. 10d11d-e the time series of 415 number and volume concentrations did not exhibit significant trends.  $C_{fn}$  ranged from  $4.1 \times 10^{-5}$  cm<sup>-3</sup> to  $2.4 \times 10^{-3}$  cm<sup>-3</sup> with 416 an average of  $5.6 \times 10^{-4}$  cm<sup>-3</sup> while  $C_{fv}$  was negligible most of the time with occasional spikes up to  $4.2 \times 10^{-4}$ . One spike that 417 occurred at 10:39 caused both  $C_{fn}$  and  $C_{fv}$  to reach their peak values. Visual examination of the images shows that at this time 418 the number of flocs increased significantly for three consecutive images and this was possibly caused by a large floc colliding 419 with the camera frame and fracturing.



20



421

Figure <u>98</u>. Time series of (a) water <u>and air</u> temperatures  $T_w$  and  $T_a$ , (b) heat flux Q, (c) floc mean size  $\mu_f$ , (d) floc number concentration  $C_{fn}$  and (e) floc volumetric concentration  $C_{fv}$  for deployment NSR-L4 on December 12, 2021. The vertical dashed grey line indicates the time when the peak supercooling temperature is achieved.





Figure <u>109</u>. Time series of (a) water <u>and air</u> temperatures  $T_w$  and  $T_a$ , (b) heat flux Q, (c) floc mean size  $\mu_f$ , (d) floc number concentration  $C_{fn}$  and (e) floc volumetric concentration  $C_{fv}$  for deployment KR-F1 on January 30, 2023.





430

Figure <u>1011</u>. Time series of (a) water <u>and air</u> temperatures  $T_w$  and  $T_a$ , (b) heat flux Q, (c) floc mean size  $\mu_f$ , (d) floc number concentration  $C_{fn}$  and (e) floc volumetric concentration  $C_{fv}$  for deployment PR-F2 on December 13, 2022.

# 433 6 Discussion

434 Images of typical frazil flocs shown in Fig. 67 illustrate the complexity of their morphology, which encompasses various ice 435 crystal shapes, including disc-shaped, needle-shaped, and irregular particles. Disc-shaped ice particles were observed in flocs 436 from all three rivers but were most pronounced in the NSR where flocs were almost all formed by disc-shaped particles of 437 different sizes (Figs. 6a-b). Flocs containing needle shaped crystals as shown in Fig. 6c7a-b). The growth of frazil ice in 438 supercooled water is limited by the diffusive removal of the latent heat of solidification from the ice-water interface and by 439 the slow attachment kinetics in the perpendicular direction, which leads to the formation of disc-shaped particles (Mullins and 440 Serkerka, 1964; Rees Jones and Wells, 2015). Flocs containing needle-shaped crystals as shown in Fig. 7c were observed 441 during deployment PR-F1 which had a very low mean air temperature of -20.64 °C. These types of crystals have been found 442 to form primarily at the surface of supercooled water (Hallett, 1959; Clark and Doering, 2002). The cold air temperature during 443 deployment PR-F1 may have promoted the growth of these needle-shaped particles at the water surface before they were 444 entrained in the water column and subsequently attached to flocs. Irregular particles were observed in flocs from both the KR 445 and PR, most pronouncedly in deployment KR-E1 as shown in Fig. 7d.6d. Arakawa (1954) discovered that after reaching a 446 certain size, disc shaped particles did not maintain their shape in supercooled water and started to grow irregularly around the 447 edge. Therefore, the flocs observed in the PR and KR may have been relatively old, meaning that they had been immersed in 448 supercooled water for a sufficiently long time that they grew irregularly. Conversely, the younger flocs observed in the NSR 449 likely did not have time to grow irregularly Irregularly shaped particles are formed by unstable disk growth which is known to be caused by the formation of temperature gradients in the water surrounding the particles (Kallungal and Barduhn, 1977). 450 451 This suggests that during the KR and PR deployments, frazil ice particles probably spent some time in relatively quiescent 452 water where the turbulence intensity was so low that temperature gradients could form in the water surrounding the particles. 453 Another possibility is that the particles were temporarily transported to the river surface exposing them to cold air, which may 454 also lead to unstable disk growth. In addition, broken fragments of skim ice or border ice that were entrained into the water 455 column are another possible source of irregular particles in flocs. Clark and Doering (2009) observed in the laboratory that 456 flocs could become denser over time when the turbulence intensity was higher. During deployment KR-E1, although the locally measured depth-averaged velocity near the FrazilCam was relatively low at 0.22 m s<sup>-1</sup>, the water velocity  $\sim$ 70 m upstream of 457 458 the deployment site was visually observed to be very turbulent due to the presence of four groins and a narrow channel width. 459 Therefore, this may have contributed to the denser flocs that were observed during this deployment.

460

461 The data presented in Table 4 and Fig. 78 are the first quantitative measurements of frazil floc sizes and concentrations in 462 rivers. The mean floc size averaged over all deployments was 3.80 mm, which was close to the mean values observed for most 463 of the individual deployments except for deployments NSR-L3, NSR-L6, and KR-E1 which had mean floc sizes of 1.87, 1.19, 464 and 5.64 mm, respectively. As noted in Sec. 5.1, flocs observed during deployments NSR-L3 and NSR-L6 were comprised of 465 much smaller disc-shaped individual particles (Fig. 6b7b) than the rest of the deployments (Fig. 6a7a). Deployment NSR-L3 466 took place during a principal supercooling event in which the observed small frazil ice particles were likely newly formed and 467 still growing, which could be the reason why the flocs were smaller and comprised of significantly smaller particles. In 468 addition, deployment NSR-L3 took place as the crest of a hydropeaking wave was passing the site that resulted in a mean 469 water depth of 1.24 m which is 37% to 55% larger than the depths during the other NSR deployments (Table 3). The 470 significantly higher water depth reduced the relative depth fractional height where the images were collected, which could also 471 result in smaller floc sizes. This would be consistent with measurements by Reimnitz et al. (1993) that showed that larger flocs 472 have higher rise velocities. Deployment NSR-L6 occurred during the 2022 freeze-up season, which was the shortest freeze-up 473 in  $\sim 10$  years lasting only three days. Significantly smaller flocs were observed during this deployment (see Fig.  $\frac{6b7b}{}$ ) and this 474 may be because smaller relatively young flocs were generated during this rapid freeze-up process. The largest mean floc size, 475 maximum floc size and largest concentration (see Table 4) were observed during deployment KR-E1 (Fig. 647d). As discussed 476 previously the floes may particles that formed flocs during KR-E1 included irregularly shaped particles and this could have

- 477 been relatively 'older' and therefore resulted in larger flocs compared to newly formed flocs since they experienced longer time
   478 periods for both in situ crystal growth and particle accretion.
- 479

480 The mean floc size and standard deviation ranged from 1.19 to 5.64 mm, and 0.88 to 5.03 mm, respectively as shown in Table 481 4. The 95<sup>th</sup> percentile of floc size ranged from 2.47 to 14.28 mm, and the largest flocs found was 99.69 mm in size. Schneck 482 et al. (2019) conducted laboratory experiments in a frazil ice tank and with an average turbulent dissipation rate of  $0.034 \text{ m}^2 \text{ s}^-$ 483 <sup>3</sup> which falls within the range of the values estimated in the three rivers in this study  $(0.005 \sim 0.207 \text{ m}^2 \text{ s}^{-3})$ . They found that in freshwater the size distribution of flocs followed a lognormal distribution and their the mean size, 95th percentile of floc size. 484 485 and maximum size were 2.57 mm, 6.91 mm, and 95.1 mm, respectively. The mean and 95th percentile sizes fall within the 486 range of the values observed in this study and the two maximums are comparable. The. However, the overall mean floc size 487 observed in the field was 3.80 mm, which is 48% larger than the mean measured in the laboratory. The size distributions 488 obtained from different rivers can all be reasonably fitted with lognormal distribution as shown in Fig. 7, which is consistent 489 with the laboratory measurements (Schneck et al., 2019). The maximum floc sizes observed in the laboratory and field are 490 comparable. It is worth noting that the largest floc size of 99.69 mm was just slightly smaller than the FOV dimensions and 491 considerably larger than the 3.6 cm gap, indicating that the floc size measurements may have been physically limited by the 492 FOV and the gap between the polarizers. Therefore, further increases in both the FOV and the gap between the polarizers may 493 be needed in future studies to allow even larger flocs to be imaged and measured.

- 495 The size distributions obtained from different rivers are all a reasonable visual fit to a lognormal distribution as shown in Fig. 8, which is consistent with the laboratory measurements (Schneck et al., 2019). However, when the Chi-square test for 496 497 goodness-of-fit was applied none of the size distributions were quantitatively confirmed to fit a lognormal distribution at the 498 5% significance level. This could be primarily due to the use of the cut-off size to eliminate sediment particles which produced 499 a sharp cut-off in the distributions. In addition, the small number of samples in some deployments resulted in noisy size 500 distributions making it unlikely that they would be a good quantitative fit to a smooth lognormal distribution. Nonetheless, the 501 good qualitative comparison of the floc size distributions measured in the field with theoretical lognormal distributions in Fig. 502 8 does suggest that if the sample size was larger and sediment particles could be filtered out that floc size distributions in rivers 503 would also closely follow a lognormal distribution.
- 504

494

The average floc number concentration  $\overline{C_{fn}}$  ranged from  $1.80 \times 10^{-4}$  to  $1.15 \times 10^{-1}$  cm<sup>-3</sup> (Table 4), Schneck et al. (2019) measured a peak floc number concentration of  $2.5 \times 10^{-1}$  cm<sup>-3</sup> in freshwater laboratory experiments, which is similar in magnitude to the upper limit of values measured in the field. The average floc volumetric concentration  $\overline{C_{fv}}$  ranged from 2.05  $\times 10^{-7}$  to  $4.56 \times 10^{-3}$  (Table 4). Previous studies reported suspended ice volumetric concentrations ranged from  $2 \times 10^{-6}$  to  $6 \times 10^{-3}$  (Tsang, 1984; Marko and Jasek, 2010; Richard et al., 2011). These measurements were made using comparative resistance probes and acoustic devices which in theory detect all of the ice suspended in the water. The upper range of previous 511 concentration measurements is comparable to that reported in this study. However, the lower range is one order of magnitude

512 larger than this study, which may be due to the fact that the previous studies reported the total volume of frazil flocs and

- 513 particles.
- 514

515 The time series of frazil floc properties in Fig. 89 indicate that during the principal supercooling phase, floc number and mean 516 size started to increase significantly just prior to peak supercooling and reached a maximum near the end of principal 517 supercooling, the floc volumetric concentration only started to increase significantly after peak supercooling occurred. 518 Deployment NSR-L3 that also-captured almost the entire principal supercooling phase also showed a similar trend- (see Fig. 519 S3 in the Supplement). The increasing trend of floc mean size and number concentration generally agrees with previous 520 laboratory measurements (Schneck et al., 2019; Pei et al., 2023). However, laboratory measured mean floc size and number 521 concentration stopped increasing significantly shortly after peak supercooling, while in the field they stopped increasing later, 522 near the end of the principal supercooling period. For example, Schneck et al. (2019) observed that the mean floc size and number concentration in freshwater stopped increasing significantly at dimensionless times of  $t / t_c = 1.13$  and 1.27, 523 524 respectively compared to  $t/t_c = 2.00$  and 1.81 for NSR-L4 ( $t_c$  is the time interval between the start of supercooling and peak 525 supercooling and t is the time). The peak floc number concentration measured during the three Principal deployments in this study ranged from  $9.3 \times 10^{-4}$  cm<sup>-3</sup> to  $3.1 \times 10^{-3}$  cm<sup>-3</sup>, which was more than two orders of magnitude lower than the  $2.5 \times 10^{-1}$ 526 527 cm<sup>-3</sup> measured in the laboratory tank by Schneck et al. (2019). These significantly lower floc concentrations suggest that 528 particle concentrations in the field were also much lower compared to laboratory measurements. At lower suspended frazil 529 concentrations the collision frequency of frazil particles would be reduced, increasing the time for flocs to growgain mass via 530 collision-induced particle-floc aggregation, which might explain the longer time period that mean floc size and number 531 concentration was observed to increase in the field.

532

533 Figure 910 shows that during KR-F1 the mean floc size was approximately constant prior to the arrival of the hydropeaking 534 wave during the residual supercooling phase. Similarly, there were no trends observed in floc size in five other Residual 535 deployments, NSR-L2, NSR-L5, KR-E1, PR-F1(see Figs. S2, S4, S7 and S6 in the Supplement) and PR-F2- (Fig. 11). 536 McFarlane et al. (2019b) found that in rivers the mean particle size remained approximately constant during the residual 537 supercooling phase if the environmental conditions were relatively stable. Therefore, it follows that flocs observed during the 538 residual supercooling phase would also have a stable mean size unless hydraulic and/or meteorological conditions changed 539 significantly. The mean floc size is the most stable during deployment KR-E1 (Fig. S7 in the Supplement) with a fluctuation 540 range of only 1.5 mm, which could be in part due to the significantly larger sample size of 187,288. The only two Residual 541 deployments that did not have a stable mean floc size were NSR-L6 and KR-F27 (Figs. S5 and S8 in the Supplement), and in 542 both cases, the size decreased and this coincided with minor increases in  $T_w$  (~0.005 °C). These results indicate that during the 543 residual phase the mean floc size does not typically vary significantly even at the end of the supercooling event when  $T_w$  rises 544 above zero, as was the case in PR-F1 and PR-F2. During the two PR deployments the floc properties did not change significantly during the 1.3- and 2.5-hour time periods between when supercooling ended, and the measurements stopped. This is likely because the zero degree isotherm had moved upstream of the deployment site but the frazil being generated upstream of it was still advecting past the FrazilCam (i.e., the zero degree isotherm was not so far upstream that the advecting frazil had time to melt.)

549

550 As shown in Fig. 10, during KR-F1 the residual supercooling water temperature remained mostly approximately constant at a 551 temperature of approximately -0.01°C. An approximately constant residual supercooling temperature was also observed in NSR-L2, KR-E1 and NSR-L5 (see Figs. S2, S7, and S4 in the Supplement). This means that during the residual supercooling 552 553 phase ice was still growing and releasing latent heat that balanced the heat loss from the water surface in order to maintain the 554 approximately constant water temperature. In this study, although the mean floc size did not vary significantly during most of 555 the measured residual supercooling deployments, fluctuations and trends in the floc number and volume concentration time 556 series were observed. This indicates that there may have been frazil ice particles still forming and growing, releasing latent 557 heat to help balance the surface heat loss. In addition, during the residual phase anchor ice, border ice, and surface ice pans 558 were likely growing as well and releasing latent heat, helping to maintain the stable residual supercooling temperature. 559 560 The time series of water temperature  $T_w$  and net heat flux  $Q_n$  provided an opportunity to theoretically estimate the total ice growth in the water column, which could be compared to the measured floc volumetric concentration  $C_{fv}$  to estimate the 561 562 fraction of ice sampled by the FrazilCam. Assuming there were no significant water temperature gradients in any direction 563 (i.e. the river had a uniform temperature) and that the water depth was constant, the thermal balance of the water-ice mixture 564 is given by:  $\rho C_p \frac{dT_w}{dt} = -\frac{Q_n}{\bar{d}} + \rho_i L_i \frac{dC_i}{dt},$ 565 (15)where  $\rho$  is the water density,  $C_p$  is the specific heat of water,  $\rho_i$  is the ice density,  $L_i$  is the latent heat of fusion of ice, and  $C_i$ 566 is the total ice concentration due to thermal growth (Souillé et al., 2023). Eq. (15) was then used to estimate,  $C_i$  for deployment 567

568 NSR-L4, which captured the entire principal supercooling period. The result showed that the FrazilCam was only sampling at 569 most 2% of the total ice that was forming in the water. It should be noted that  $Q_n$  used in the calculation does not account for the effect of surface ice due to a lack of accurate surface ice data. In addition, mean water depth  $\bar{d}$  was used while in reality 570 571 water depth varied spatially and temporally. These approximations create considerable uncertainty in the calculations of the 572 total heat loss from the surface, and the volume of the water being cooled. Given all the simplifying assumptions that were 573 made the uncertainty in the calculated  $C_i$  is potentially quite large, but is likely not greater than a factor of two or three. 574 Therefore, despite this potential large uncertainty, the calculations suggest that the FrazilCam was only sampling less than 575 ~5% of the total ice being formed in the river. Similar calculations were also performed using data collected in a laboratory 576 frazil ice tank experiment using the laboratory version of the FrazilCam. In the laboratory environment the water depth is a 577 constant, the tank has been shown to be well mixed and the surface heat loss can be quantified from the water cooling rate 578 with reasonable accuracy. These results showed that  $C_i$  calculated using Eq. (15) was comparable to the volumetric 579 concentration of suspended ice calculated from the FrazilCam images prior to when flocs began rising to the surface. This 580 demonstrates that the FrazilCam does provide accurate measurements of the suspended ice concentrations. However, the only 581 time the FrazilCam would be sampling a significant fraction of the total ice being formed in a river would be when suspended 582 frazil is the only ice that is actively growing.

583

The effect of surface heat flux on floc properties was investigated. A positive mean net heat flux  $\overline{Q_n}$  was observed for all 584 deployments indicating a net heat loss from the surface. The magnitude of  $\overline{Q_n}$  ranged from 95.4 to 318.8 W m<sup>-2</sup> as shown in 585 Table 4. The dominant positive heat flux was  $Q_{lw}$  and  $Q_H$  for six and five deployments, respectively, while the dominant 586 587 negative heat flux in all deployments was  $Q_{sw}$  which is consistent with previous studies (McFarlane and Clark, 2021; Boyd et al., 2023). Efforts were made to correlate the mean net heat flux  $\overline{Q_n}$  with the measured floc properties listed in Table 4 (i.e., 588 columns 5~11) but no). No significant correlations were found when using data from all deployments or when only the data 589 590 from the six NSR deployments that have 10-min heat flux data were used. It is worth noting that the heat flux analysis in this 591 study did not account for varying surface ice concentrations and neglected several heat fluxes (e.g. sediment-water). 592 Therefore Clearly, more comprehensive and frequent measurements of heat fluxes and surface ice properties are needed in 593 future studies to more fully understand the impact of varying heat fluxes on frazil floc properties.

594

595 To investigate the effect of hydraulic conditions on the mean floc size  $\mu_f$ , the local Reynolds number *Re* is plotted versus  $\overline{\mu_f}$ 596 in Fig. <u>112</u> along with the following linear regression equation:

597 
$$\overline{\mu_f} = 6.82 - 3.05 \times 10^{-5} Re$$
, (716)

As *Re* increases from ~40,000 to ~160,000,  $\overline{\mu_f}$  decreases from approximately 5.5 mm to 2 mm and the coefficient of determination (R<sup>2</sup>) is 0.69, indicating that the two are moderately correlated. Clark and Doering (2009) found that higher turbulence intensity inhibited the formation of large flocs. This finding is consistent with the correlation presented in Fig. <u>112</u> 601 if it is assumed that turbulence intensity increased with *Re* in the three study rivers. However, this is not necessarily the case. 602 An alternate explanation for the observed correlation is that as *Re* increased flocs experienced higher shear strain rates (i.e., larger velocity gradients) and more violent floc-floc collisions which would tend to rupture larger flocs and reduce their mean size.



Figure <u>1112</u>. Relationship between local Reynolds number *Re* and mean floc size  $\overline{\mu_f}$  in mm.

L

605

The effect of water depth on the floc volumetric concentration was investigated by correlating the average volumetric concentration with the <u>relative depthfractional height</u>  $d_m/\bar{d}$  where  $d_m = 0.198 m$  is the <u>depthheight above the bed</u> at the centre of FrazilCam FOV and  $\bar{d}$  is the mean water depth. Figure 1213 presents a scatter plot of the <u>relative depthfractional</u> <u>height</u>  $d_m/\bar{d}$  versus the average floc volumetric concentration  $\overline{C_{fv}}$ . Results show that there is a strong nonlinear correlation given by the following power law equation:

613 
$$\overline{C_{fv}} = 4.80 \left(\frac{d_m}{\bar{d}}\right)^{9.69},$$
 (817)

where the  $R^2$  value equals 0.99. Ye (2002) and Morse and Richard (2009) reported measurements of vertical frazil concentration profiles and found that the Rouse equation (Rouse, 1937), previously used to characterize suspended sediment concentration profiles, could be used to describe the frazil ice concentration profile. Equation (817) is similar in format to the Rouse equation, indicating that the vertical concentration of both frazil particles and flocs may be accurately described by power law equations.





620 Figure 1213. Relationship between the relative depthfractional height  $d_m/\bar{d}$  and the average floc volumetric concentration  $\overline{C_{fv}}$ 

#### 621 7 Conclusions

622 A submersible high-resolution camera system was deployed during supercooling in three rivers from 2021 ~ 2023. Images 623 from the eleven deployments were analyzed to investigate frazil floc properties and their evolution. Images showed that frazil 624 flocs observed in the North Saskatchewan River were predominately formed by disc-shaped particles, while flocs in the Peace 625 River and Kananaskis River were comprised of various ice crystal shapes, including disc-shaped, needle-shaped, and irregular 626 particles. A lognormal distribution is a reasonable description of floc size distributions in rivers. The mean floc size ranged 627 from 1.19 to 5.64 mm and the overall mean floc size was 3.80 mm. The mean floc size in rivers was found to 48% larger than 628 was previously observed in the laboratory by Schneck et al. (2019) while the maximum floc size was comparable in the 629 laboratory and field. The average floc number concentration ranged from  $1.80 \times 10^{-4}$  to  $1.15 \times 10^{-1}$  cm<sup>-3</sup> and previous laboratory 630 measurements fall within the range of the values observed in this study. The estimated average floc volumetric concentration ranged from  $2.05 \times 10^{-7}$  to  $4.56 \times 10^{-3}$ , with the upper bound being comparable to previous total ice volume concentration 631 632 measurements while the lower bound is an order of magnitude smaller.

633

Time series analysis indicated that during the principal supercooling phase, floc number concentration and mean size increased significantly just before peak supercooling and reached a maximum near the end of principal supercooling. This increasing trend was also observed in previous laboratory measurements (Schneck et al., 2019; Pei et al., 2023) but the duration of the 637 increasing trend was longer in the field. During the residual supercooling phase, the mean floc size did not typically vary 638 significantly even 2.5 hours after the water temperature rises above zero degrees. The effect of the air-water heat flux on floc 639 properties was investigated by conducting a linear regression analysis. However, no significant correlations were found, and 640 this may be due to the limited dataset or the complexity of the field environment where heat fluxes can vary temporally and 641 spatially. Future field measurements of floc properties, especially made during the principal supercooling phase and made 642 continuously along multiple sites along a study reach, are needed to more fully understand the factors that govern their size 643 and concentration.

644

645 Analysis of the influence of local hydraulic conditions on frazil floc properties showed that as the local Reynolds number 646 increases, the mean floc size decreases linearly. The resulting equation can be used to estimate mean floc sizes in rivers using 647 estimates of the mean velocity and depth. It was also shown that the averaged floc volumetric concentration can be related to 648 the relative depth fractional height above the bed through a power law equation. This relationship may be useful for describing 649 the vertical concentration profiles of frazil flocs.

650

651 The detailed measurements of frazil floc properties and their evolution in rivers reported presented in this study could be used 652 in several ways to enhance numerical modelling of river ice processes- in order to improve predictions of river freeze-up. At 653 the present time the frazil rise velocity is treated as a calibration parameter in comprehensive river ice process models (e.g. 654 Shen, 2010; Blackburn and She, 2019). However, it could now be directly estimated by first using Eq. (716) to predict the 655 mean floc size using the local Reynolds number and then the rise velocity could be predicted using Reimnitz et al. (1993) 656 measurements. In addition, the reported lognormal size distributions of flocs, as well as time series evolution of mean floc size 657 and concentrations, measured in rivers for the first time, could provide opportunities for the aforementioned models to 658 include incorporate floc dynamics into numerical models with the goal of improving how realistically these river ice process 659 models they simulate frazil ice evolution and surface ice progression.

660

In the future, it would be of interest to deploy the FrazilCam in lakes and oceans, where the flow regime and salinity may be considerably different, to investigate frazil particle and floc properties in these different environments. The FrazilCam system in principle can be deployed in any sufficiently transparent waters, however, the system would need to be modified to automate the polarizer rinsing process. This would be challenging but might be possible using a mechanical wiper which would allow deployments on the bottom of deeper water bodies. In addition, the system could be attached to an unmanned or autonomous underwater vehicle to allow observations to be made at various depths in the water column in lakes and oceans.

## 668 Code and data availability

- 669 Part of the meteorological data used to carry out heat flux analysis were obtained from Alberta Climate Information Service
- 670 (ACIS) http://agriculture.alberta.ca/acis/weather-data-viewer.jsp, Environmental and Climate Change Canada (ECCC)
- 671 https://climate.weather.gc.ca/historical data/search historic data e.html, and University of Calgary Biogeoscience Institute
- 672 https://research.ucalgary.ca/biogeoscience-institute/research/environmental-data. Historic sediment data for the North
- 673 Saskatchewan River at Edmonton and Peace River at Dunvegan Bridge can be accessed from Water Survey of Canada
- 674 Historical Hydrometric Data https://wateroffice.ec.gc.ca/mainmenu/historical data index e.html. All other data and code
- 675 used in this study are available from the authors upon request.

## 676 Author contribution

677 CP and JY prepared the apparatus and performed the field work together with advice from YS and ML. CP carried out the 678 analysis and processing of the data, prepared the figures, and wrote the manuscript with review and contributions from JY,

679 YS, and ML.

#### 680 Competing interests

681 The authors declare that they have no conflict of interest.

#### 682 Acknowledgements

683 This research was supported by the Natural Sciences and Engineering Research Council of Canada (NSERC) (Grant nos. 684 RGPIN-2021-02887, RGPAS-2021-00022 and RGPIN 2020-04358). We would like to thank Heyu Fang, Xun Hong, and Vincent McFarlane for their assistance in field deployments. We would also like to thank Perry Fedun for providing technical 685 assistance. The first and second authors are partially funded by the China Scholarship Council (CSC) and the University of 686 Alberta, respectively. Both are gratefully acknowledged. The map shown in this paper was produced with QGIS software 687 688 (https://qgis.org/en/site/) using the data provided bv C **OpenStreetMap** contributors 689 (https://www.openstreetmap.org/copyright) and MapTiler (http://openmaptiles.org/).

## 690 References

- 691 Alberta Agriculture and Irrigation, Alberta Climate Information Service (ACIS)...) Current and Historical Alberta Weather
- 692 Station Data Viewer, Available from :http://agriculture.alberta.ca/acis/weather-data-viewer.jsp, last access: 28 October 2023.

- 693 Al-Rousan, T., Masad, E., Tutumluer, E., and Pan, T.: Evaluation of image analysis techniques for quantifying aggregate
- 694 shape characteristics, Constr. Build. Mater., 21(5), 978-990, <u>https://doi.org/10.1016/j.conbuildmat.2006.03.005</u>, 2007.
- Arakawa, K.: Studies on the Freezing of Water (II): Formation of Disc Crystals, Journal of the Faculty of Science, Hokkaido
   University, Japan, 2(4), 311–349, 1954.
- 697 Ashton, G.D.: Chapter 2 Thermal Processes, in: River Ice Formation, edited by: Beltaos, S., Committee on River Ice
- 698 Processes and the Environment, Edmonton, Alberta, Canada, 19–76, 2013.
- Barrette, P. D.: Understanding frazil ice: The contribution of laboratory studies, Cold Reg. Sci. Technol., 189, 103334,
  https://doi.org/10.1016/j.coldregions.2021.103334, 2021.
- 701 Beltaos, S.: River ice formation, edited by: Beltaos, S., Committee on River Ice Processes and the Environment, Canadian
- 702 Geophysical Union, Hydrology Section, Edmonton, Alberta, Canada, 2013.
- 703 Blackburn, J<sub>1</sub>, and She, Y.: A comprehensive public-domain river ice process model and its application to a complex natural
- 704 river, Cold Reg. Sci. Technol., 163, 44-58, https://doi.org/10.1016/j.coldregions.2019.04.010, 2019.
- Boyd, S., Ghobrial, T<sub>-..</sub> and Loewen, M.: Analysis of the surface energy budget during supercooling in rivers, Cold Reg. Sci.
  Technol., 205, 103693, https://doi.org/10.1016/j.coldregions.2022.103693, 2023.
- 707 Clark, S<sub>7.1</sub> and Doering, J. C.: Laboratory observations of frazil ice, in: Proceedings of the 16<sup>th</sup> IAHR International Symposium
- on Ice (Dunedin, 2002), Dunedin, New Zealand, 2-6 December 2002, 2002.
- 709 Clark, S. P., and Doering, J. C.: Frazil flocculation and secondary nucleation in a counterrotating flume, Cold Reg. Sci.
- 710 Technol., 55(2), 221-229, <u>https://doi.org/10.1016/j.coldregions.2008.04.002</u>, 2009.
- 711 Clark, S., and Doering, J.: Laboratory experiments on frazil-size characteristics in a counterrotating flume, J. Hydraul. Eng.,
- 712 132(1), 94-101, https://doi.org/10.1061/(asce)0733-9429(2006)132:1(94), 2006.
- Clark, S., and Doering, J.: Experimental investigation of the effects of turbulence intensity on frazil ice characteristics, Can. J.
  Civ. Eng., 35, 67-79, https://doi.org/10.1139/L07-086, 2008.
- 715 Daly, S. F., and Colbeck, S. C.: Frazil ice measurements in CRREL's flume facility, in: Proceedings of the 8th IAHR
- 716 International Symposium on Ice (Iowa 1986), International Association for Hydraulic Research, Iowa City, Iowa, USA, 18-22
- 717 August 1986, 427–438, 1986.
- Ettema, R<sub>7.1</sub> and Zabilansky, L.: Ice influences on channel stability: Insights from Missouri's Fort Peck reach, J. Hydraul. Eng.,
  130(4), 279-292, https://doi.org/10.1061/(asce)0733-9429(2004)130:4(279), 2004.
- Evans, T. W., Margolis, G<sub>1</sub> and Sarofim, A. F.: Mechanisms of secondary nucleation in agitated crystallizers, AIChE J., 20(5),
  950-958, https://doi.org/10.1002/aic.690200516, 1974.
- Ghobrial, T., Pierre, A., Boyd, S<sub>7.1</sub> and Loewen, M.: Ice accumulation at a water intake: a case study on the Mille-Iles River,
  Québec, Can. J. Civ. Eng., 51(2), 162-173, <u>https://doi.org/10.1139/cjce-2023-0076</u>, 2024.
- 724 Government of Alberta, Alberta Environment and Protected Areas Alberta River Basins: Lower Kananaskis Lake, Available
- 725 from: https://rivers.alberta.ca, last access: 25 October 2023.

- Hallett, J.: Crystal growth and the formation of spikes in the surface of supercooled water, J. Glaciol., 3(28), 698-704,
  https://doi.org/10.3189/S0022143000017998, 1960.
- 728 Hicks, F.: An Introduction to River Ice Engineering for Civil Engineers and Geoscientists, CreateSpace, 2016.
- 729 Howley, R.: A modelling study of complex river ice processes in an urban reach of the North Saskatchewan River, M.Sc.
- thesis, University of Alberta, Edmonton, Canada, 2021.
- Jasek, M., and Pryse-Phillips, A.: Influence of the proposed Site C hydroelectric project on the ice regime of the Peace River,
- 732 Can. J. Civ. Eng., 42 (9), 645–655, <u>https://doi.org/10.1139/cjce-2014-0425</u>, 2015.
- Kallungal, J. P. and Barduhn, A. J.: Growth rate of an ice crystal in subcooled pure water, AIChE J., 23, 294–303,
  https://doi.org/10.1002/aic.690230312, 1977.
- Kellerhals, R., Neill, C.R., and Bray, D.I.: Hydraulic and Geomorphic Characteristics of Rivers in Alberta, Alberta
   Cooperative Research Program in Highway and River Engineering, Edmonton, AB, Canada, 1972.
- Kempema, E. W., Reimnitz, E., Clayton Jr, J. R. and Payne, J. R.: Interactions of frazil and anchor ice with sedimentary
  particles in a flume, Cold Reg. Sci. Technol., 21(2), 137-149, http://dx.doi.org/10.1016/0165-232x(93)90003-q, 1993.
- 739 Konzelmann, T., van de Wal, R.S.W., Greuell, W., Bintanja, R., Henneken, E.A.C., and Abe-Ouchi, A.: Parameterization of
- 740 global and longwave incoming radiation for the Greenland Ice Sheet, Global Planet. Change, 9(1-2), 143-164,
  741 <u>http://dx.doi.org/10.1016/0921-8181(94)90013-2</u>, 1994.
- Marko, J.R<sub>7.1</sub> and Jasek, M.: Sonar detection and measurement of ice in a freezing river II: Observations and results on frazil
  ice, Cold Reg. Sci. Technol., 63(3), 135-153, <u>https://doi.org/10.1016/j.coldregions.2010.05.003</u>, 2010.
- Masad, E., Olcott, D., White, T<sub>7.1</sub> and Tashman, L.: Correlation of fine aggregate imaging shape indices with asphalt mixture performance, Transportation Research Record: Journal of the Transportation Research Board, 1757(1), 148–156, https://doi.org/10.3141/1757-17, 2001.
- 747 McFarlane, V<sub>1</sub> and Clark, S.P.: A detailed energy budget analysis of river supercooling and the importance of accurately
- 748 quantifying net radiation to predict ice formation, Hydrol. Processes, 35(3), e14056, https://doi.org/10.1002/hyp.14056, 2021.
- 749 McFarlane, V., Loewen, M<sub>7</sub>., and Hicks, F.: Laboratory measurements of the rise velocity of frazil ice particles, Cold Reg. Sci.
- 750 Technol., 106, 120-130, https://doi.org/10.1016/j.coldregions.2014.06.009, 2014.
- McFarlane, V., Loewen, M<sub>7.1</sub> and Hicks, F.: Measurements of the evolution of frazil ice particle size distributions, Cold Reg.
  Sci. Technol., 120, 45-55, <u>https://doi.org/10.1016/j.coldregions.2015.09.001</u>, 2015.
- McFarlane, V., Loewen, M<sub>7.1</sub> and Hicks, F.: Measurements of the size distribution of frazil ice particles in three Alberta rivers,
   Cold Reg. Sci. Technol., 142, 100–117, https://doi.org/10.1016/j.coldregions.2017.08.001, 2017.
- 755 McFarlane, V., Loewen, M<sub>T</sub>, and Hicks, F.: Field measurements of suspended frazil ice. Part I: A support vector machine
- 756 learning algorithm to identify frazil ice particles, Cold Reg. Sci. Technol., 165, 102812,
- 757 https://doi.org/10.1016/j.coldregions.2019.102812, 2019a.

- 758 McFarlane, V., Loewen, M., and Hicks, F.: Field measurements of suspended frazil ice. Part II: Observations and analyses of
- frazil ice properties during the principal and residual supercooling phases, Cold Reg. Sci. Technol., 165, 102796,
  https://doi.org/10.1016/j.coldregions.2019.102796, 2019b.
- 761 Mercier, R. S.: The reactive transport of suspended particles: mechanisms and modeling, Ph.D. thesis, Massachusetts Institute
- 762 of Technology, United States of America, 1985.
- Morse, B<sub>7.1</sub> and Richard, M.: A field study of suspended frazil ice particles, Cold Reg. Sci. Technol., 55(1), 86-102, https://doi.org/10.1016/j.coldregions.2008.03.004, 2009.
- Mullins, W. W., and Serkerka, R. F.: Stability of a planar interface during solidification of a dilute binary alloy, J. Appl. Phys.,
  35, 444-451, https://doi.org/10.1063/1.1713333, 1964.
- Park, C<sub>7.1</sub> and Gerard, R.: Hydraulic characteristics of frazil flocs some preliminary experiments, in: Proceedings of the 7<sup>th</sup>
   IAHR International Symposium on Ice, Hamburg, Germany, 27-31 August 1984, 27–35, 1984.
- 769 Pei, C., She, Y-, and Loewen, M.: Laboratory study of frazil ice particle and floc evolution under increased heat flux during
- <sup>1</sup> supercooling, in: CGU-HS Committee on River Ice Processes and the Environment (CRIPE) Proceedings of the 22<sup>nd</sup> Workshop
- 771 on the Hydraulics of Ice Covered Rivers, Canmore, Canada, 9-12 July 2023, 2023.
- Pei, C., Yang, J., She, Y<sub>71</sub> and Loewen, M.: Field measurement of frazil floc properties, in: Proceedings of the 26<sup>th</sup> IAHR
   International Symposium on Ice, Montréal, Canada, 19-23 June 2022, 2022.
- Raphael, J.M.: Prediction of temperature in rivers and reservoirs, Journal of the Power Division. 88, 157–181,
  https://doi.org/10.1061/jpweam.0000338, 1962.
- <u>Rees Jones, D. W., and Wells, A. J.: Solidification of a disk-shaped crystal from a weakly supercooled binary melt, Phys. Rev.</u>
  E, 92, 022 406, https://doi.org/10.1103/PhysRevE.92.022406, 2015.
- 778 Reimnitz, E., Clayton, J. R., Kempema, E. W., Payne, J. R., and Weber, W. S.: Interaction of rising frazil with suspended
- particles: tank experiments with applications to nature, Cold Reg. Sci. Technol., 21(2), 117-135, <u>https://doi.org/10.1016/0165-</u>
  232x(93)90002-p, 1993.
- Richard, M., Morse, B., Daly, S.F., and Emond, J.: Quantifying suspended frazil ice using multi-frequency underwater
  acoustic devices, River Res. Appl., 27(9), 1106-1117, https://doi.org/10.1002/rra.1446, 2011.
- 783 Rouse, H.: Nomogram for the settling velocity of spheres, in: Division of Geology and Geography, Exhibit D of the Report of
- the Commission on Sedimentation, 1936-37, National Research Council, Washington, D.C., 57-64, 1937.
- Ryan, P., Harleman, D.R., and Stolzenbach, K.D.: Surface heat loss from cooling ponds, Water Resour. Res., 10 (5), 930–
  938, https://doi.org/10.1029/wr010i005p00930, 1974.
- Satterlund, D. R.: An improved equation for estimating long-wave radiation from the atmosphere, Water Resour. Res., 15(6),
  1649-1650, https://doi.org/10.1029/wr015i006p01649, 1979.
- 789 Schneck, C. C., Laboratory Measurements of the Properties of Frazil Ice Particles and Flocs in Saline Water. M.Sc. thesis,
- 790 University of Alberta, Canada, 2018.

- 791 Schneck, C. C., Ghobrial, T.  $R_{\frac{1}{2}}$  and Loewen, M. R.: Laboratory study of the properties of frazil ice particles and flocs in 792 water of different salinities, The Cryosphere, 13(10), 2751-2769, https://doi.org/10.5194/tc-13-2751-2019, 2019.
- 793 Shen, H. T.: Mathematical modeling of river ice processes, Cold Reg. Sci. Technol., 62(1), 3-13, 794 https://doi.org/10.1016/j.coldregions.2010.02.007, 2010.
- 795 Souillé, F., Goeury, C., and Mouradi, R.-S.: Uncertainty analysis of single- and multiple-size-class frazil ice models, The
- 796 Cryosphere, 17, 1645–1674, https://doi.org/10.5194/tc-17-1645-2023, 2023.
- 797 Tsang, G.: Concentration of frazil in flowing water as measured in laboratory and in the field, in: Proceedings of the 7th IAHR
- 798 International Symposium on Ice, International Association for Hydraulic Research, Hamburg, Germany, 99–111, 1984.
- University of Calgary, Biogeoscience Institute: Environmental Data, Barrier Lake Field Station Weather Data, <u>Available from:</u>
   https://research.ucalgary.ca/biogeoscience-institute/research/environmental-data, last access: 07 July 2023.
- 801 Water Survey of Canada, Historical Hydrometric Data: Sediment Data<del>, Available from</del>: 802 https://wateroffice.ec.gc.ca/mainmenu/historical data index e.html, last access: 25 October 2023.
- 803 Yang, J., She, Y-, and Loewen, M.: Assessing heat flux formulas used in the full energy budget model for rivers during freeze-
- 804 up, in: CGU-HS Committee on River Ice Processes and the Environment (CRIPE) Proceedings of the 22<sup>nd</sup> Workshop on the
- 805 Hydraulics of Ice Covered Rivers. Canmore, Canada. 9-12 July 2023, 2023.
- 806 Ye, S. Q.: A physical and mathematical study of the supercooling process and frazil evolution, Ph.D. thesis, University of
- 807 Manitoba, Canada, 2002.