

1 **Title:** Reviews and syntheses: Biological Indicators of Low-Oxygen Stress in Marine
2 Water-Breathing Animals

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33 ABSTRACT

34 Anthropogenic warming and nutrient over-enrichment of our oceans have
35 resulted in significant, and often catastrophic, reductions in dissolved oxygen
36 (deoxygenation). Stress on water-breathing animals from this deoxygenation has been
37 shown to occur at all levels of biological organization: cellular; organ; individual;
38 species; population; community; and ecosystem. Most climate forecasts predict increases
39 in ocean deoxygenation, thus it is essential to develop reliable biological indicators of
40 low-oxygen stress that can be used by regional and global oxygen monitoring efforts to
41 detect and assess the impacts of deoxygenation on ocean life. This review focuses on
42 responses to low-oxygen stress that are manifest at different levels of biological
43 organization and at a variety of spatial and temporal scales. We compare particular
44 attributes of these biological indicators to the dissolved oxygen threshold of response,
45 time-scales of response, sensitive life stages and taxa, and the ability to scale the
46 response to oxygen stress across levels of organization. Where there is available
47 evidence, we discuss the interactions of other biological and abiotic stressors on the
48 biological indicators of low-oxygen stress. We address the utility, confounding effects,
49 and implementation of the biological indicators of oxygen stress for both research and
50 societal applications. Our hope is that further refinement and dissemination of these
51 oxygen stress indicators will provide more direct support for environmental managers,
52 fisheries and mariculture scientists, conservation professionals, and policy makers to
53 confront the challenges of ocean deoxygenation. An improved understanding of the
54 sensitivity of different ocean species, communities and ecosystems to low-oxygen stress
55 will empower efforts to design monitoring programs, assess ecosystem health, develop
56 management guidelines, track conditions and detect low-oxygen events.

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61 INTRODUCTION

62 Oxygen remains fundamental to the success of most marine life. As a result of
63 both a warming planet and coastal eutrophication, oxygen-depleted waters (referred to
64 here as deoxygenated), have increased in both spatial and temporal extent in our oceans
65 (Breitburg et al., 2018). Open ocean oxygen minimum zones have expanded (Stramma et
66 al., 2008; 2010) and coastal areas experiencing hypoxia (low or depleted dissolved
67 oxygen) continue to increase worldwide (Diaz and Rosenberg, 2008; Dai et al., 2023).
68 While some ocean biota evolved to live in permanently low-oxygen environments,
69 normally oxygenated (normoxic) coastal waters are now experiencing periods of hypoxia
70 that range from diel to seasonal in time-scale and result in stress for water-breathing
71 animals. In addition, increases in ocean temperatures, both gradual with climate change
72 and episodic through events like marine heat waves, have decreased the solubility of
73 oxygen across various marine ecosystems and increased organisms' metabolic demands
74 and respiration such that deoxygenated waters are becoming less tolerable for marine
75 animals (Woods et al., 2022). New anthropogenic initiatives such as the expansion of
76 ocean aquaculture (e.g. Zhang et al., 2018) and planned large-scale mitigation measures
77 to enhance marine carbon sequestration (Levin et al., 2023) present new challenges with
78 respect to deoxygenation. These current and future challenges reinforce the critical need
79 to develop biological indicators of oxygen stress that can be used to assess and predict
80 the effects of expanding deoxygenation on ocean biota.

81 Oxygen has been proposed as an indicator of ocean health and of large-scale
82 restoration progress; for example, we can use oxygen content to monitor reduced nutrient
83 loading (Grégoire et al., 2021). However, in addition to monitoring oxygen, biotic
84 indicators of low-oxygen stress may provide more direct support for environmental
85 managers, fisheries scientists and policy makers in their efforts to better assess the
86 sensitivity of different ocean species, communities and ecosystems in response to oxygen
87 content. Indicators enable us to use readily available and measurable data to develop a
88 variable, or set of variables, that reflects the state of some aspect of the system that is
89 important and worth monitoring. Indicators should have specific criteria when being
90 evaluated for monitoring programs (e.g. Yoccoz et al., 2001; Reynolds et al. 2016).
91 These criteria can include: readily quantifiable, responsive and specific to the stressor of
92 interest, operationally feasible and able to detect response to the stressor over space and
93 time. Indicators are often used because they can be easier to measure than the actual
94 aspect of the system they are designed to assess. For example, observations of changes in
95 animal behavior (i.e. avoidance of hypoxic water) can sometimes be used as a quick,
96 reliable and inexpensive indicator of deoxygenation whereas *in situ* sensors used to
97 measure oxygen loss might be difficult to obtain, costly and time intensive. Indicators
98 can integrate exposure effects over space and time and they are likely to reflect cause-
99 and-effect as they tend to have a direct mechanistic link to a stressor of interest. Note
100 however, that indicators can be misleading if confounding variables are not considered or
101 if the indicator does not have sufficient validation for the level of biological organization
102 considered (e.g. species, population, community or ecosystem).
103

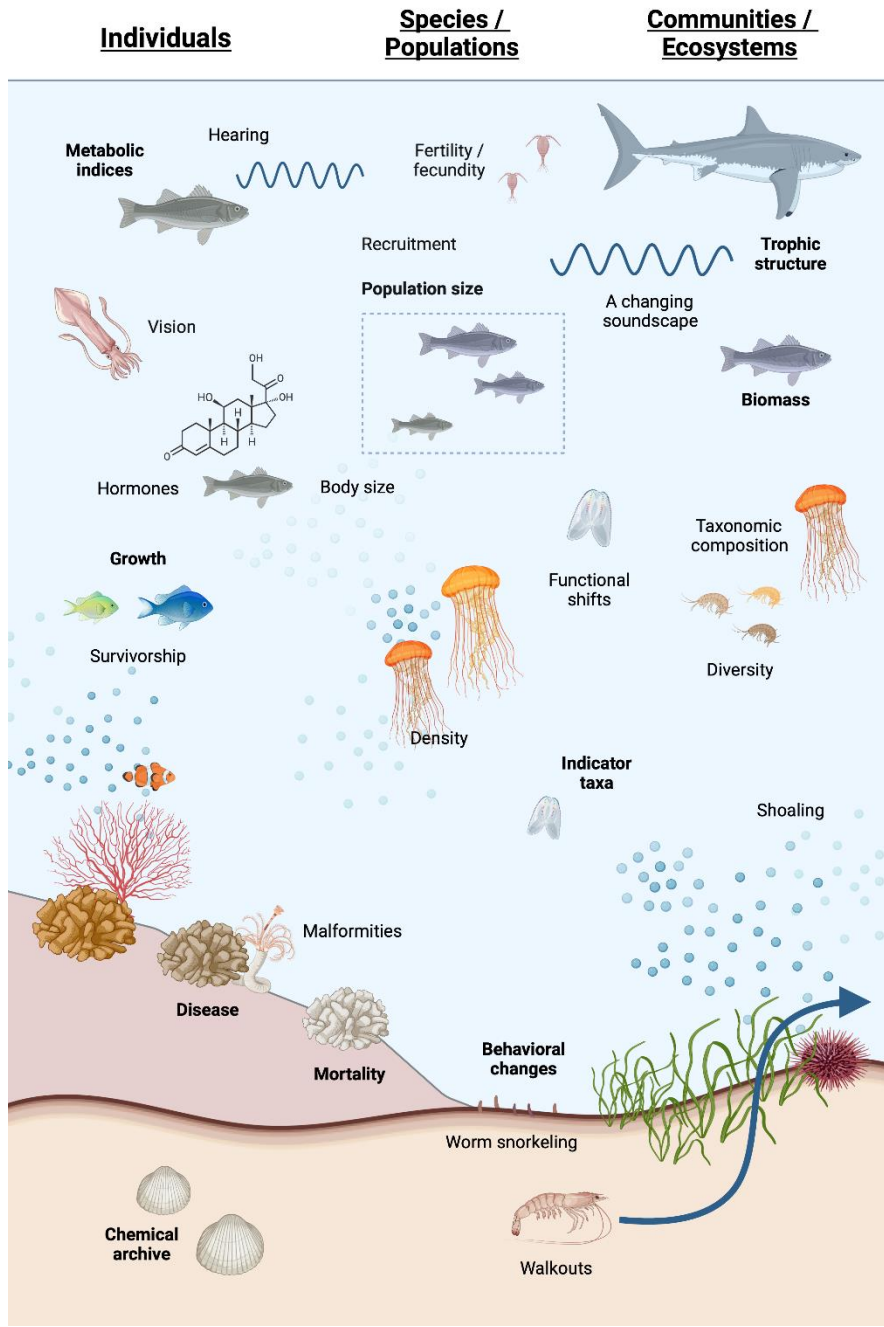
104 Indicators have been widely used by organizations at the international level to
105 assess the state of environmental health and sustainability. However, there remains an
106 open need for research and development of indicators at the international level which
107 focus on the biological effects of deoxygenation. For example, the Framework for Ocean
108 Observing (Lindstrom et al., 2012) has identified numerous Essential Ocean Variables
109 (EOVs) intended to capture the fundamental characteristics of marine ecosystems that
110 can be combined into indicators in order to represent natural complexity, track changes
111 in the environment, reflect management performance, deliver information and assess
112 progress in achieving long-term goals (Miloslavich et al., 2018). EOVs selected for their
113 societal and scientific responsiveness as well as their implementation readiness include
114 the abiotic variable oxygen as well as biological variables such as biomass of
115 phytoplankton and zooplankton, fish abundance, and coral cover. Garçon et al. (2019)
116 examined the potential application of EOVs to understand biotic responses to oxycline
117 changes within Oxygen Minimum Zones (OMZs) for potential societal benefits such as
118 improved fisheries management. The study of EOVs and similar environmental
119 indicators can have tangible impacts on management and policy with the potential to
120 shape mitigation efforts and associated biodiversity policies. Thus, here we examine
121 indicators that show biological and ecological responses of organisms to low dissolved
122 oxygen (DO) in an effort to help guide those international efforts, local biological
123 management programs as well as field and laboratory research programs.

124 Low-oxygen stress has been shown to occur at all levels of biological
125 organization (cellular, organ, individual, population, community and ecosystem) with
126 varying degrees of oxygen sensitivity and time-scales of response (Woods et al., 2022;
127 Figure 1). Measurements are often made on individuals and extrapolated to higher levels
128 of biological organization, time scales and spatial extent using various scaling methods
129 that are conceptual, statistical, or simulation-based. Issues specifically related to
130 indicators of low-DO effects include: (a) differentiating lethal versus sublethal
131 responses; (b) the fact that exposure of individuals to low-DO is time-dependent because
132 DO varies spatially and temporally and mobile organisms move through dynamic DO
133 fields; (c) low-DO exposure is almost always part of a suite of abiotic stressors that
134 covary to various degrees, thus making it difficult to isolate the responses to low-DO;
135 (d) scaling beyond the measured individual response to low-DO can be challenging
136 because the responses integrate across the population, community and ecosystem levels
137 which include a complex suite of biological interactions that are themselves affected by
138 low oxygen.

139 Deoxygenation rarely acts alone as a stressor. It is frequently recognized to be a
140 result of ocean warming and a product of increased respiration (which can be induced by
141 higher temperatures or excess nutrients). Thus, it is common for hypoxia to co-occur
142 with elevated temperature, lower pH and carbonate saturation state, presence of
143 hydrogen sulfide and /or increased food supply (Breitbart et al., 2019; Laffoley and
144 Baxter, 2019). This means that some low-oxygen indicators can be confounded with
145 other environmental factors and attribution to specifically to oxygen limitation becomes

146 problematic. In a mixed model meta-analysis of experimental studies, Sampao et al.
147 (2021) found that relative to warming and acidification, hypoxic events tended to induce
148 stronger negative effects on survival, abundance, development, metabolism, growth and
149 reproduction across taxonomic groups (mollusks, crustaceans and fish), ontogenetic
150 stages and climate regions studied. However, there were also clear interactions among
151 stressors in their biotic effects (both antagonistic and synergistic; Sampao et al., 2020).
152 Reddin et al. (2020) compared the interactive effects of warming, hypoxia and
153 acidification in causing global marine extinction patterns to modern experimental results
154 of the impacts of these stressors on marine organisms. They found that modern clade
155 responses to these climate-related stressors correlated with the clade genus survival rates
156 over the post-Cambrian Phanerozoic with the interactions of dissolved oxygen and
157 temperature having the strongest negative effects for tropical marine animals.

158 The focus of this review is on biological indicators of low-oxygen stress in water-
159 breathing marine animals. We identify indicators that have been determined for different
160 levels of biological organization, broadly defined: Individuals; Species/Populations;
161 Communities/Ecosystems, (Figure 1). We compare particular attributes of these
162 indicators to the oxygen threshold of response, time-scales of responses, sensitive life
163 stages and taxa, and the ability to scale up the response to oxygen stress to higher levels
164 of organization.



165

166 Figure 1. Schematic of deoxygenation indicators discussed below for (left)
 167 individuals, (middle) populations and species, and (right) communities and ecosystems.
 168 Created with BioRender.com

169

170 INDICATORS OF OXYGEN STRESS

171

Individuals

172 Cellular Responses: Hypoxia Inducible Factors (HIF) and HIF-alpha in particular
173 is a transcription factor common to most metazoans that mediates gene expression in
174 many of the pathways which regulate cellular responses to hypoxia, including metabolic
175 depression, anaerobic metabolism and mitophagy. Hypoxia as well as Reactive Oxygen
176 Species (ROS) can inhibit the degradation of HIF-alpha, leading to HIF-alpha
177 accumulation in the cell. The response of the HIF-alpha subunit to hypoxia can be
178 measured through gene expression using RNA sequencing (e.g. Alderdice et al., 2021).
179 The relative amount of HIF-alpha and its location within a cell can be tracked using
180 fluorescent protein tagging (e.g., Kallio et al., 1998). The amount of HIF-alpha is
181 expressed at a higher rate, accumulates in the cell and is translocated into the nucleus
182 where it accumulates and is then associated with targeted metabolic responses. The
183 response time of HIF expression varies between the species tested (Alderdice et al.,
184 2021). HIFs play a pivotal role in regulating the metabolic response of cells to hypoxia
185 and a change in HIF is likely to have a number of cascading physiological effects.
186 Application of this indicator would require molecular / cellular techniques to detect
187 changes in HIF (i.e. access to specialized laboratory facilities). Baseline levels and
188 response times vary among different species, thus multiple time points would be required
189 to know whether an individual is exhibiting an elevated response. HIF expression can
190 also vary diurnally, therefore treatment controls and temporal factors need to be
191 considered for the use of this indicator.

192 HIF appears to be widespread across metazoan phyla (aside from sponges) and is
193 therefore highly conserved (Rytkonen et al., 2011). However, there are differences
194 between closely related taxa (congeners) in the timing and magnitude of the HIF
195 response (Alderdice et al., 2020). Broad control of metabolic responses by HIFs is likely
196 to mediate organismal responses to other stressors. More work is needed to determine
197 why species differ in their baseline levels of HIF and the regulation of HIF with
198 prolonged hypoxia exposure since sustained response could have negative / irreversible
199 consequences for organisms.

200

201 Sensory Systems: Low oxygen can impair the sensory systems of marine water-
202 breathing animals. Changes in animal vision, olfaction and perception of sound can be
203 sensitive indicators of oxygen stress which impact population and community ecology.
204 While promising, more research is needed to elucidate the primary and secondary effects
205 of sensory impairment by low-oxygen stress on different groups of marine animals to be
206 practical for implementation in monitoring programs.

207 Vision metrics which show a negative response to low-DO include
208 electroretinogram responses (McCormick et al., 2019), behavioral responses (swimming,
209 sinking response to light) and distribution responses that are based on the loss /
210 impairment of vision (McCormick et al., 2017). If some species require more light in
211 deoxygenated waters, activities such as prey capture, predator avoidance and mating may
212 be impaired. Manifestations of visual impairment by hypoxia could include changes in

213 behavior, shoaling distributions and eye abnormalities (resulting from maternal hypoxia).
214 The physical manifestations of hypoxia, such as abnormalities and growth defects, may
215 be easier to detect than changing visual responses since visual response to low oxygen
216 has been quantified in very few organisms. There is a need to study how deoxygenation
217 might impact the vision of commercially harvested species, particularly since the vision
218 of several larval species is highly sensitive to deoxygenated waters. Impaired vision
219 could influence their survival (McCormick et al., 2022b) and hence be potentially useful
220 for future management in considering susceptibility to catch and possible fisheries
221 restrictions.

222 Similarly, animal perception of sound may also be impaired by the loss of
223 oxygen. For example, studies on fish show temporary losses of hearing under anoxia; a
224 lowered probability of a “fast startle” response, with an increased risk of falling prey and
225 decreased likelihood of successful foraging; and, possibly, a reduced ability to
226 communicate (Suzue et al., 1987; Sanchez-Garcia et al., 2019). While some reduction in
227 hearing response can occur rapidly with decreased oxygen (< 24 h), morphological
228 changes to fish otoliths in deoxygenated waters may occur over years. While animals’
229 perception of sound underwater is changing due to deoxygenation, we can expect to see
230 changes in the underwater soundscape itself that are associated with changes in
231 populations and ecology. Anthropogenic warming is speeding up the rate at which sound
232 travels underwater via changes in density (e.g. Affatati et al., 2022), while changes in
233 oxygen may alter what sounds animals make or the frequency of those sounds.

234 Changes in the olfactory processes / responses of some marine animals may be
235 associated with changes in oxygen. However, these changes are generally much harder to
236 study and have not been adequately explored across marine fauna to be useful as an
237 indicator at this time (Tigert and Porteus, 2023).

238

239 *Hormonal Changes Influencing Growth and Fertility:* Hypoxia exposure causes
240 physiological stress which increases endocrine cortisol levels relative to normoxic
241 conditions in fish (Léger et al., 2021). Hypoxia can also alter the levels of growth
242 hormones thereby negatively affecting growth among individuals relative to normoxic
243 conditions (Hou et al., 2020). Oxygen thresholds that give rise to changes in cortisol and
244 growth hormone levels are specific to species and developmental life stage. Changes in
245 cortisol levels can include rapid responses to acute hypoxia exposure or chronic
246 responses to long-term hypoxia exposure. These types of changes in stress hormones can
247 subsequently give rise to reduced immune function and feeding suppression (Gregory
248 and Wood, 1999) potentially leading to reductions in growth or higher natural mortality.
249 Measurements of cortisol in fish are typically conducted using an enzyme-linked
250 immunoassay (ELISA) in blood serum. Since plasma cortisol is the most commonly used
251 indicator of stress in fish, there are ongoing efforts to develop improved protocols for
252 cortisol measurement including non-invasive methods using fish scales (Sadoul and
253 Geffroy, 2019). Similarly, immunoassays can also be used to measure levels of growth

254 hormone in fish. This indicator is not hypoxia-specific however, and changes in cortisol
255 or growth hormone levels can reflect responses to other stressors such as handling stress
256 during sampling (which can artificially elevate cortisol). Thus, this indicator would likely
257 work best in experimental or controlled settings such as aquaculture facilities. However,
258 new approaches for measuring cortisol levels in fish scales may further extend the utility
259 of this indicator to field studies / nature.

260 Hypoxia can disrupt a variety of other hormones in fish and invertebrates beyond
261 stress and growth hormones and include hormones that control gonad development,
262 sperm motility and reproductive behaviors (Thomas and Rahman, 2009; Wu, 2009). At
263 certain low-oxygen levels, reproduction is entirely inhibited and animals will not attempt
264 to mate for reasons that are not fully understood but likely have to do with hormonal
265 triggers. For example, the egg production rate of copepods has been shown to decrease in
266 deoxygenated water (Ambler, 1985) and egg production overall is reduced in response to
267 chronic oxygen limitation during the copepods' adult life stages. Rising temperatures and
268 low-DO conditions have been linked to changes in copepod antioxidants that would
269 normally protect lipids, proteins and DNA, all of which are important building blocks for
270 meiosis (von Weissenberg et al., 2022). Laboratory experiments also indicate that
271 exposure to low-oxygen conditions can have transgenerational effects on fish
272 reproduction. For example, Wang et al. (2016) found that hypoxia exposure among male
273 medaka fish led to decreased spermatogenesis and reduced sperm motility in the F2
274 generation. Female medaka low-oxygen exposure led to greatly reduced hatching success
275 in the F2 generation (Lai et al., 2019). In croaker fish (*Micropogonias undulatus*),
276 exposure to summer-time hypoxia resulted in reduced fertility indicator values measured
277 in the fall at the start of the adult spawning season (Thomas et al., 2015). Reductions in
278 fertility were seen at DO levels above those typically associated with croakers'
279 avoidance behavior such that exposure to fertility-limiting DO levels was high and quite
280 common among individuals found within the hypoxic zone of the Gulf of Mexico (Rose
281 et al., 2018b). Reductions in fertility caused by limiting DO represent sub-lethal effects
282 of deoxygenation and can be linked to important changes in population dynamics (e.g.
283 Richmond et al. 2006; Rose et al., 2018 a, b).

284 The development of the fertility indicator illustrates how, through a series of
285 coordinated laboratory experiments, field data collection and modeling, cause-and-effect
286 can be established between low-DO exposure and the resulting changes in the endocrine-
287 based indicator. Detailed laboratory experiments enabled the causal links between low-
288 DO exposure and endocrine responses within an individual female adult croaker
289 (Thomas and Rahman, 2009, Rahman and Thomas, 2017). The laboratory data were used
290 to develop a model of the endocrine functioning of vitellogenesis of individual fish
291 (Murphy et al., 2009). This allowed examination of how the indicators measured as
292 blood and organ concentrations, would vary over time and under exposures not
293 replicated in the laboratory. These model results were applied to field data from the
294 northern Gulf of Mexico and the indicators of hypoxia exposure / effects were used to
295 assess hypoxia effects at the population-level (Thomas et al., 2015; Rose et al., 2018a).

297 Growth/Body Size/Condition Factor: Growth, size and condition respond to
298 multiple biotic (i.e. food quality and quantity) and abiotic (oxygen, temperature, pH,
299 salinity) factors. The high ecological relevance of growth, size and condition is because
300 they integrate physiology over multiple sub-processes (metabolism, feeding) and are
301 influenced by multiple factors and stressors. Thus, while establishing cause-and-effect
302 linkages between low-DO and growth, size, and condition can be difficult, there is also
303 extensive information available at the individual-level regarding oxygen-induced
304 changes in these factors that are derived from laboratory and field data. This abundance
305 of data reflects the fact that body size and growth are relatively easy to measure and are
306 important determinants of individual fitness because they directly influence other
307 processes that are size- or condition-dependent (e.g., reproduction, mortality). A study
308 indicated that the sensitivity of early life growth of estuarine fish to low-DO is higher
309 than that of low-pH conditions (Depasquale et al., 2015). Reduced growth is observed at
310 DO levels above lethal levels and above DO levels that trigger avoidance in zooplankton
311 and fish (Richmond et al., 2006; Stierhoff et al., 2009). In the field, proxies for animal
312 growth rates have included measurements of RNA and DNA, otoliths and weight-
313 specific egg production (e.g. for copepods) which is usually the same as weight-specific
314 somatic growth (Berggreen et al., 1988). Low-DO affects movement, metabolism,
315 feeding behavior and energy intake, all of which depend non-linearly on both DO and
316 temperature (Woods et al., 2022).

317 Both laboratory and field studies have shown that fish and invertebrate species
318 are often smaller in oxygen-limited waters (Richmond et al., 2006; Casini et al., 2016;
319 Limburg and Casini, 2018). Physiology suggests that growth rate is more sensitive to
320 oxygen than development rate, thus for crustaceans, animals grow less between molts
321 and are smaller. The environmental oxygen level below which an organism can no
322 longer obtain sufficient oxygen to support ‘normal’ respiration is often termed the
323 organism’s critical oxygen partial pressure, P_{crit} (e.g. Fry and Hart, 1948). Respiration
324 rate will be independent of environmental oxygen above P_{crit} and will be limited by, and
325 proportional to environmental oxygen, below P_{crit} . The environmental oxygen level
326 below which an organism can no longer obtain sufficient oxygen to support a minimum
327 survivable respiration rate can be thought of as the organism’s lethal oxygen partial
328 pressure, P_{leth} . Below P_{leth} there will be an increased probability of mortality due to the
329 scarcity of oxygen in the environment. When oxygen levels are $< P_{crit}$ for any particular
330 species, a reduction in growth and size is likely to occur. When oxygen levels are $< P_{leth}$
331 for that same hypothetical species, it might be replaced by a smaller species with a lower
332 P_{leth} . Thus, dominance of smaller-sized organisms can occur as the result of oxygen
333 limiting growth among particular cohorts of individuals or through the replacement of
334 large-bodied individuals / species in an area by smaller species over a prolonged period
335 of hypoxia. Warmer temperature can also result in smaller body sizes among fish and
336 invertebrates (e.g. Atkinson, 1994). Thus, lower oxygen frequently interacts with
337 temperature to reduce organism size and can also cause a shift towards smaller bodied

338 species (Chapelle and Peck, 1999; Gillooly et al., 2001; Rubalcaba et al., 2020; Verberk
339 et al., 2021). For example, smaller copepods have a higher surface to volume ratio
340 compared to larger copepods, which favors their oxygen uptake (which occurs through
341 their body surface) over larger copepods in hypoxic waters. In laboratory experiments
342 Stalder and Marcus (1997) showed that the smaller copepod *Acartia tonsa*, survived low
343 oxygen conditions better than the larger *Labidocera aestiva* and *Centropages hamatus*.
344 In similar types of laboratory experiments, Roman et al. (1993) found that the smaller
345 copepod *Oithona colcarva* survived low oxygen conditions better than the larger *Acartia*
346 *tonsa*. Small-bodied and sessile benthic taxa are often more hypoxia- tolerant than large-
347 bodied taxa. This can lead to faunal size zonation across oxygen gradients among benthic
348 meio-, macro- and megafauna, as observed in oxygen minimum zones of the Indian
349 (Gooday et al., 2009a) and Pacific Oceans (Levin et al., 1991).

350 Condition factor is calculated from organism length and weight or by direct
351 methods related to lipid content (e.g. Herbing et al., 1991). Condition factor is a
352 morphometric measurement taken on animals collected inside/outside of hypoxic areas,
353 while estimates for growth require that multiple samples be taken over time. Condition
354 factor of Baltic cod has been related to hypoxia exposure with worsening hypoxia in the
355 last two decades leading to poor condition that along with other trending factors
356 (decreasing food availability), has contributed to a long-term population decline (Casini
357 et al., 2016; Limburg and Casini, 2019). Both individual growth and condition factor can
358 be scaled up to the population with sufficient sampling. For example, Eby et al. (2005)
359 assessed low-DO effects on Atlantic croaker in the Neuse River U.S. estuary using
360 growth rates estimated from cage experiments in the field and benthic cores used to
361 quantify food availability. They compared summers across three years that had different
362 hypoxia conditions and conducted field surveys (feeding, condition, growth) to assess the
363 effects of low-DO on juvenile fish growth rate. They used a stage-within-age matrix
364 model to estimate the population-level effects of low-DO and found that reduced
365 juvenile growth due to hypoxia also reduced population growth rates. As coastal hypoxia
366 expands, more studies are needed to understand the effects of low-DO on animal growth
367 rates.

368

369 Malformation: Low-DO conditions can result in abnormal development of
370 marine organisms. The most sensitive life stages are larvae with malformation by
371 hypoxia confirmed for larval stages of polychaetes, oysters, and fishes in laboratory
372 experiments. For example, larval development of the tubeworm, *Hydroides elegans*, was
373 delayed and more malformed larvae were found in low-DO conditions (Shin et al., 2013;
374 2014; Leueng and McAfee, 2020). High mortality and detrimental effects on
375 development and growth were found in the oyster, *Crassostrea virginica*, under hypoxia
376 (Baker and Mann, 1992). Exposure to moderate hypoxia for larval stages of the
377 European Seabass, (*Dicentrarchus labrax*), induced opercular malformation (Cadiz et al.,
378 2018). A subset of market squid, (*Doryteuthis opalescence*), embryos exposed to low-

379 DO and low pH exhibited malformations including eye dimorphism and deformities in
380 the mantle and body (Navarro et al., 2016). Malformation caused during early life stages
381 might induce lower survival of larvae through reduced ability to capture food and escape
382 from predators. More research is needed to determine the carry-over effects of
383 malformation during larval stages to the later developmental stages. Oxygen demand and
384 food availability are both related to malformation, thus warmer temperatures and less
385 food availability are important co-stressors.

386

387 Mortality: Mortality is the most conspicuous and common metric for hypoxia
388 impact on aquatic organisms and is used in the development of water quality criteria in
389 various coastal systems. Mortality at a particular oxygen level indicates that the
390 organism's metabolic processes cannot be maintained by the ambient oxygen. While
391 animals may tolerate short-term reductions in oxygen, mortality occurs once they deplete
392 their anaerobic coping mechanisms. Immediate mortality may occur during extreme
393 hypoxic events or under anoxic conditions that are accompanied by the release of
394 hydrogen sulfide. Most low oxygen tolerance measurements for mortality are made
395 under laboratory conditions by manipulating oxygen partial pressure (Vaquer-Sunyer and
396 Duarte, 2008). The lethal oxygen concentration as defined in laboratory experiments is
397 measured over a set time period, usually 24 h. Lethal hypoxia has also been estimated
398 from field measurements with organism presence/absence as a function of oxygen
399 concentration (or partial pressure). Field-based estimates of mortality are less certain
400 because of the temporal and spatial variations in oxygen as well as changes in the
401 vertical/horizontal distribution of the organism due to avoidance of the deoxygenated
402 water. Temperature will affect the assessment of lethal oxygen level because of its
403 influence on oxygen solubility as well as the animals' overall metabolic demands. Thus,
404 if an oxygen concentration is used for estimates of lethal oxygen level, the temperature
405 and salinity conditions should also be reported to allow for the calculation of oxygen
406 partial pressure (Hofmann et al., 2011).

407 The accurate use of mortality as an indicator of deoxygenation is subject to the
408 characteristics of an organism's life history and habitat. Larval stages with limited
409 oxygen uptake features may have higher lethal oxygen thresholds than juvenile and adult
410 stages. Spawning fish with salinity challenges and feeding cessation may also be more
411 sensitive to low oxygen. Benthic species which cannot swim out of hypoxic zones may
412 have more physiological mechanisms to survive at low oxygen concentrations. Low-DO
413 that results in individual mortality can have a range of critical levels that may depend on
414 the age/size of the organism. These variable impacts could be used in assessments of the
415 impact of low oxygen on the mortality rate of populations (Rose et al., 2018b). The lethal
416 limit of oxygen for a particular species can be used for the analysis of available animal
417 habitat (e.g. Brandt et al., 2023) and as a water quality criterion for maintaining the
418 species in particular water bodies (Ekau et al., 2020).

419

420 Chemical Archives of Hypoxia Exposure: Dissolved manganese (Mn^{2+} and Mn^{3+})
421 becomes more abundant under low oxygen conditions in marine waters (Trouborst et al.,
422 2006). Fish otoliths take up the trace element manganese (Mn) and the Mn:Ca ratio in
423 the aragonitic otoliths can reflect the fish's presence in deoxygenated waters (Limburg et
424 al., 2015). The use of Mn:Ca in otoliths as a hypoxia indicator requires knowledge about
425 regional differences in seawater Mn concentrations which can otherwise confound or
426 complicate interpretations of otolith data in fish from different areas. Further
427 complicating the use of this metric of hypoxia exposure is the observation that
428 manganese uptake is also affected by growth rate (Limburg et al., 2015). Another otolith
429 chemical proxy for hypoxia is the ratio of Mn to the trace element magnesium (Mg),
430 which is also taken up in otoliths but is regulated by growth processes (Limburg et al.,
431 2018). These chemical ratios in otoliths were used to infer not only exposure to hypoxia
432 of cod to low oxygen waters in the Baltic but also physiological stress as indicated by
433 reduced metabolic activity as suggested by lower Mg:Ca (Limburg and Casini, 2019),
434 but see Valenza et al., (2023) for an opposite response in Gulf of Mexico. Recent
435 analysis of six fish species from three open ocean OMZs (Namibia, Southern California
436 and Baja California) revealed a common elemental fingerprint attributed to hypoxia
437 exposure, based on Sr:Ca, Mn:Ca, Ba:Ca, Cu:Ca and Mg:Ca and distinct from giant sea
438 bass collected in well-oxygenated shallow waters (Cavole et al., 2023). Few tests of
439 invertebrate structures exist, however Navarro et al. (2014) documented elevated U: Ca
440 in squid statoliths experimentally subjected to low oxygen alone and low oxygen / low
441 pH compared to normoxic conditions.

442

443 Metabolic Indices: The tolerance to hypoxia decreases with increasing temperature as a
444 result of reduced oxygen solubility and increased animal respiration (Pörtner and Knust,
445 2007). The relationship of oxygen supply to oxygen demand, called the Metabolic Index
446 (MI), describes the “potential” of the environment to support aerobic metabolism relative
447 to basal metabolism (Deutsch et al., 2015). The MI accounts for the non-linear
448 interactions of temperature and oxygen stress to particular organisms. Deutsch et al.
449 (2020) recently updated this metabolic index to account for the effect of species-specific
450 oxygen supply capacity. This modification improves estimates for highly mobile or
451 hypoxia-tolerant species with high oxygen supply capacities. The application of both
452 metabolic indices (Penn et al., 2018; Deutsch et al., 2020) requires information on
453 experimentally derived temperature-dependent low oxygen thresholds that are not
454 available for most marine species. Yet, these indices can still be applied when
455 experimental data are lacking, using the approach developed by Howard et al. (2020),
456 which is based on the development of different ecophysiotypes. The MI is not an index
457 of biological stress due to low-oxygen waters but rather a predictor of the environment
458 that satisfies the oxygen requirement of a particular species or ecophysiotypes. The MI
459 approach has been used to project species distributions in future warmer oceans (Deutsch
460 et al., 2015), past and future species extinction (Penn and Deutsch, 2022), the
461 distribution and size of species in future oceans (Deutsch et al., 2023) and the “climate

462 velocity” of the MI, which predicts how fast and in which direction an organism will
463 need to move in order to survive and maintain its metabolic niche in a future ocean
464 (Parouffe et al., 2023). Clarke et al. (2021) developed a comparable index, called the
465 Aerobic Growth Index (AGI), which integrates growth theory, metabolic theory and
466 biogeography (Cheung et al., 2013) to create a theoretical oxygen supply to demand
467 ratio. AGI uses oxygen demand at the maintenance metabolic rate, while the metabolic
468 indices (Deutsch et al., 2020; Penn et al., 2018) use oxygen demand at the resting
469 metabolic rate. Note that these forecasts and hindcasts using MI include livable habitat
470 space estimated from temperature and oxygen and not the required food resources or
471 predation pressure. In addition, the forecasts of animal distributions based on MI or AGI
472 currently do not allow for variation in tolerances within species, adaptive responses that
473 take days or weeks to occur, nor adaptation to lower oxygen through evolution. Most of
474 the information we have on oxygen tolerance (which forms the basis of MI) is derived
475 from studies that focused on the adult stages of larger organisms. Few, if any of these MI
476 forecasts include validation with measurements of animal abundance. One validation
477 used the *in-situ* temperature and oxygen of Chesapeake Bay to predict the Bay volume
478 where oxygen supply would exceed oxygen demand for the copepod *Acartia tonsa*
479 (Roman and Pierson, 2019). Field measurements of copepod distributions verified that *A.*
480 *tonsa* abundance was higher in areas of the water column with a positive predicted MI
481 index (Roman and Pierson, 2019).

482 Field Metabolic Rates (FMR) have been estimated for teleost fish by analyzing
483 the $\delta^{13}\text{C}$ of their otoliths (Chung et al., 2019). The stable isotope composition of C in the
484 aragonite of fish otoliths varies with the isotopic composition of fish blood which is
485 determined by the Dissolved Inorganic Carbon (DIC) in ambient water and the
486 metabolized carbon released by respiration. Chung et al. (2019) determined that the $\delta^{13}\text{C}$
487 of the otoliths of Atlantic cod (*Gadus morhua*) were related to oxygen consumption in
488 the laboratory. The relationships established were applied to wild cod and other deep-
489 water fish species to infer *in situ* FMR (Chung et al., 2019). Jones et al. (2023) applied
490 the FMR approach to assess warming and deoxygenation of the North Sea on both
491 juveniles and adult European plaice (*Pleuronectes platessa*) in time-series in the North
492 Sea between the 1980’s and 2000’s to show the effect of increasing temperatures on the
493 FMR of the fish. Like other otolith proxies, the FMR was limited to timescales no shorter
494 than approximately one month (e.g., Jones et al., 2023). However recent developments of
495 otolith microchemistry increased the timescale of response to 10 days (Sakamoto et al.,
496 2022) and possibly extends to weekly to daily for faster growing otolith species like jack
497 mackerel (Muto et al., 2023; Enomoto et al., 2023). Otolith $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ can be
498 measured simultaneously and thus can be used to assess FMR (carbon ratios) and
499 temperature (oxygen ratios) under model assumptions and known salinity conditions
500 since $\delta^{18}\text{O}$ of water has a linear relationship with salinity. Additional laboratory work to
501 calibrate the $\delta^{13}\text{C}$ of otoliths with respiration needs to be conducted for additional fish
502 species including both juveniles and adults over a range of temperatures. Both the MI
503 and FMR have the potential to be widely used for direct measurements of metabolic rate

504 in fishes; serve as valuable input data to models; and are important tools to assess fish in
505 a future warmer ocean with less oxygen.

506

507

Species/Populations

508

509 Indicator Species: Particular species can be bioindicators of a set of
510 environmental conditions including past and present oxygen state (Schwacke et al.,
511 2013).. They may reflect high sensitivity to hypoxia or they may be hypoxia-tolerant
512 species that dominate a system under severe oxygen loss as other species are eliminated.
513 We can also identify indicator taxa (higher-level groupings such as genera or families)
514 whose presence or absence may reflect hypoxia. Ligoxyphiles are low oxygen
515 specialists meaning that they are species that select for and thrive in environments with
516 extreme hypoxic conditions (Gallo et al., 2019); their presence and abundance can be
517 used as an indicator of hypoxic conditions. Examples of macroscopic ligoxyphiles
518 include certain types of soft-bodied fishes (e.g. *Cheruble emmelas* and *Cephaluros*
519 *ceplalus*), medusa and ctenophores.

520 At the level of species, indicator response could be to oxygen availability
521 (concentration, partial pressure, percent saturation) or to extremes, duration, or temporal
522 variability of hypoxia. Loss or increase of sentinel hypoxia indicator species or taxa are
523 likely detected via community inventories as part of time series. Community-based
524 sampling through imagery taken by Remote Operated Vehicles (ROVs) or sampling by
525 trawls, multicores or grabs can detect these sentinel species. The presence of biogenic
526 materials from sentinel/indicator species (e.g. shells, scales, otoliths, environmental
527 DNA (eDNA), bones) in sediment cores could also be used to examine species response
528 to oxygenation through time (considering changing sedimentation and erosion) and to
529 detect hypoxia indicator taxa (e.g. Moffitt et al., 2015).

530 Hypoxia tolerance is often associated with organic enrichment as is the case for
531 annelids in the genus *Capitella* (Rosenberg, 1972) although *Capitella* cannot tolerate
532 anoxia (Ogino and Toyohara, 2019). Taxa indicative of low oxygen conditions that are
533 found commonly in suboxic basins ($DO < 0.1 \text{ ml L}^{-1}$) often include the gastropod *Astyris*
534 (*Allia*) *permodesta* and the oligochaete *Olavius crassitunicatus* (Levin et al., 2003). In
535 the Gulf of California, the catshark *Cephalurus cephalus* and the ophidiid *Cherublemma*
536 *emmelas* select for areas with suboxic conditions ($DO < 5 \mu\text{mol kg}^{-1}$; Gallo et al. 2019).
537 The molluscs *Lucinoma heroica* and *Dacrydium pacificum* and the codlet *Bregmaceros*
538 *bathymaster* are also species indicative of the presence of extreme hypoxic conditions in
539 the Gulf of California (Zamorano et al., 2007). Certain species of benthic foraminifera
540 have been used as indicators of low oxygen conditions in paleo-oceanographic studies
541 (Gupta and Machain-Castillo, 1993). *Uvigerina peregrina* for example, is associated
542 with oxygen minimum zones in the Pacific and Arabian Sea (e.g., Moffitt et al., 2014).
543 Members of the genera *Globobulimina* and *Chilostomella* can withstand euxinic

544 conditions and can store and respire nitrate (Glud et al., 2009; Piña-Ochoa et al., 2010).
545 The benthic foraminifera, *Globobulimina pseudospinescens*, *Stainforthia fusiformis*, and
546 *Nonionella turgida* can indicate the presence of anoxic or severely hypoxic conditions in
547 Scandinavian fjords and these species can survive these conditions by storing and
548 respiring intracellular nitrate (Risgaard-Petersen et al., 2006). For hypoxia-tolerant
549 species that take over a system via successful reproduction this may be related to life-
550 cycle duration and could take months to years. Long-term seasonal presence of bottom
551 water hypoxia may favor pelagic copepod species which brood their eggs as compared to
552 broadcast spawners whose eggs would sink into anoxic/hypoxic bottom waters. For
553 example, increased eutrophication and low oxygen bottom waters have resulted in an
554 increase in the abundance of the small, egg-carrying copepod *Oithona davisae* in Tokyo
555 Bay and decline in the occurrence of *Acartia omorii* and *Paracalanus sp.*, copepods that
556 release their eggs into the water column (Uye, 1994).

557 Indicator species presence may be a straightforward way to detect oxygen
558 changes and is easy to interpret. Hypoxia thresholds have been demonstrated for many
559 taxa (Vaquer-Sunyer and Duarte, 2008) and highly sensitive species have been
560 identified. However, indicator species or taxa may vary regionally as species evolve
561 different oxygen tolerances in different settings or geographic regions that vary in the
562 intensity and temporal variability of hypoxia (Chu and Gale, 2017). Note that a single
563 indicator taxon niche is rarely unidimensional such that multiple indicators can provide a
564 more robust effect of deoxygenation. Mobile species tend to function as hypoxia-
565 sensitive sentinels whereas sessile taxa may be better tolerant sentinels. A species utility
566 as a sentinel may be determined by accessibility, interest, and response time. If the
567 sentinel species is dominant and lost under increasing hypoxia or if the sentinel species is
568 rare and increasing under hypoxia, it can alter the structure and diversity of communities
569 (or catch). Hypoxia-tolerant and hypoxia-sensitive species may also have different
570 trophic strategies giving rise to food web shifts that accompany the loss or gain of certain
571 indicator taxa.

572

573 Disease/Parasites: Under low oxygen conditions, some parasitic and microbial
574 infections can become more common or severe, affecting both individuals and
575 populations. Certain types of pathogenic bacteria start to grow under low oxygen
576 conditions, increasing organisms' likelihood of exposure (Guo et al., 2022). In addition,
577 low oxygen effects on host immune responses are common. For instance, low oxygen
578 disrupts endocrine function and can alter organisms' abilities to buffer against parasitic,
579 bacterial and viral infections at the hormone level (Overstreet, 2021). Reduced
580 hemocyte function and reactive oxygen species production have been found in fish,
581 mollusks and crustaceans exposed to hypoxia (Mydarz et al., 2006; Breitbart et al.,
582 2019; Burnett and Burnett, 2022). The exposure to hypoxia can alter individuals'
583 immune responses on time scales of minutes to hours as well as through longer-duration
584 chronic exposure. At the population level, low oxygen can increase the prevalence, mean

585 intensity and spatial distribution of infections. Understanding disease as an indicator of
586 low oxygen conditions may be especially important in an aquaculture context as steps
587 can be taken to improve oxygen conditions for the organisms or to move the organisms
588 to well oxygenated waters. The concentration or partial pressure of oxygen that induce
589 disease is a potentially significant non-lethal oxygen threshold that may be useful in
590 setting water quality goals. However, elevated infection prevalence and intensity can be
591 influenced by a wide variety of factors, including co-occurring stressors such as elevated
592 $p\text{CO}_2$. Disease metrics may therefore serve as better indicators of past hypoxia (and the
593 biological changes caused by hypoxia) than as indicators that hypoxia is currently
594 occurring.

595

596 *Behavioral Responses:* Avoidance is a near-universal response of mobile species
597 to encountering low oxygen conditions. Tolerances vary among species and life stages,
598 and because species vary in how they respond to near lethal levels of hypoxia, avoidance
599 behaviors have broad implications for functional habitat availability and can alter spatial
600 and temporal overlap between predators and prey, potential competitors and
601 conspecifics. Measurable indicators of avoidance of low-oxygen waters can be
602 presence/absence, shallower depths of centers of abundance maxima and reductions of
603 vertical habitat space.

604 Zooplankton can change their vertical position in the water column to avoid low-
605 DO bottom waters. In general, depth-stratified zooplankton sampling has shown that
606 copepod abundances are higher in the surface mixed layer and within the pycnocline
607 compared to hypoxic bottom water in coastal environments (Roman et al., 1993;2012;
608 Keister et al., 2000; Pierson et al., 2009; Keister and Tuttle, 2013). However, the vertical
609 compression of their distribution to the upper water column can increase their
610 vulnerability to predation by visually feeding fish, and thus alter food-web processes
611 (e.g. Pothoven et al., 2012; Roman et al., 2012).

612 Fish are among the most hypoxia-sensitive and most mobile aquatic species who
613 shoal towards the surface, into shallow areas, or away towards open/oxygenated water as
614 oxygen concentrations decline (Eby and Crowder, 2002; Wu et al., 2002). For example,
615 avoidance of low oxygen has been demonstrated by billfish (Stramma et al., 2012), tuna
616 (Ingham et al. 1977) and sharks (Vedor et al., 2021). Skipjack tuna in particular exhibit
617 an alarm threshold of 3.5 ml L^{-1} DO which helps them avoid conditions representing
618 their median tolerance of $2.4\text{-}2.8 \text{ ml L}^{-1}$ DO (Ingham et al., 1977). These various
619 behavioral responses to evade hypoxia can lead to habitat compression in which a
620 portion of an organism's range becomes unusable (Kim et al., 2023) and the absence of
621 an organism from where it is normally found. In extreme situations, such an escape
622 response may fail when fish are trapped by land, the entire water column goes hypoxic
623 and/or fish are encircled by hypoxic water, resulting in fish kills which are among the
624 most conspicuous signs of hypoxia. Few studies have examined the response time of

625 avoidance behaviors of mobile taxa which appear to vary for sensitive versus tolerant
626 species from minutes to days.

627 Hypoxia may force organisms into subpar habitat with fitness consequences such
628 as striped bass (*Morone saxatilis*). that are pushed from deeper hypoxic waters in
629 shallows where they are confronted with thermal stress (Kraus et al., 2015; Itakura et al.,
630 2021). Many shallow-water fish species also utilize aquatic surface respiration,
631 ventilating more highly oxygenated water at the air water interface. While this avoidance
632 behavior of ‘last resort’ may enhance survival, there are associated risks of increased
633 vulnerability to aerial and surface predators (Dominici et al., 2007). This phenomenon is
634 apparent in the “Jubilees” in Mobile Bay, Alabama, USA (May, 1973), and “Lobster
635 walkouts” in St. Helena Bay, South Africa (Cockcroft, 2002) which are among the most
636 widely recognized of such events that have achieved culturally iconic status. In these
637 cases, commercially important species including crustaceans and fish, flee hypoxic
638 bottom waters and move into shallows or even onto shore, searching for more
639 oxygenated water where they are vulnerable to harvest in their lethargic and moribund
640 state. Often mobile organisms emerging from their burrow or crevice habitats become
641 more vulnerable to predation, and so an ancillary indicator of hypoxia may be predators
642 gorging on dead and moribund organisms where those predators are adept at tracking the
643 edge of fluctuating hypoxia areas and/or at making brief forays into hypoxic areas (Seitz
644 et al., 2003). However, these top predators with high mobility are facing a tradeoff
645 between low-oxygen and increased prey availability. In other cases, predators that track
646 more oxygenated water masses may be able to exploit prey that have done the same,
647 such as tuna species in the mid-latitudes that aggregate in the warm core eddies with high
648 oxygen concentration in the subsurface which allows them to feed on mesopelagic
649 species for a longer time (Xing et al., 2023).

650 Soft-bottom infaunal species also exhibit escape responses emerging from
651 burrows and buried positions to the sediment surface to seek higher oxygen (and possibly
652 evading hydrogen sulfide). This includes *Nephrops* lobsters normally tucked away in
653 burrows that suddenly appear in bottom trawls during hypoxic events, infaunal worms
654 atypically exposed on the sediment surface and amphipods that extend their tubes above
655 the sediment surface to reach higher into the water columns (Diaz and Rosenberg, 1995).

656 Population Size: A reduction in population size in response to deoxygenation can
657 be the result of reduced reproduction and recruitment, increased mortality as a direct
658 response to oxygen stress or indirect response through less food availability or increased
659 predation. Depending on the generation time of the species, both short-term episodic as
660 well as longer-term chronic deoxygenation can reduce population size (Adamack et al.,
661 2017; Roman and Pierson, 2019; Pierson et al., 2022; 2023; Duskey, 2023). The limiting
662 and lethal oxygen partial pressure for impacts on the various developmental stages of the
663 species would allow the assessment of the impact of *in-situ* oxygen partial pressures on
664 the population. Limits of this approach include the need to know the P_{crit} and P_{leth} oxygen
665 levels of the various life stages, unknown genetic adaptations to low oxygen and other

666 abiotic/biotic factors that complicate the interpretations. It usually is not possible to have
667 the oxygen tolerance information for all species of interest so comparisons/modeling will
668 be necessary to broaden applications to guilds, functional groups and body size scaling.
669 Impacts of low oxygen are taken into consideration for population models for
670 commercial fisheries and predictions of essential habitat for restoration and protection.

671

672 Population Growth Rate: Population growth rate integrates growth, survival, and
673 reproduction of individuals and expresses the net effect of these vital rates at the
674 population-level. Population growth rate therefore reflects multiple pathways of low-DO
675 effects. Population level growth rate is also the basis of management of harvested species
676 and regulatory actions. Like mortality, measuring population growth rate directly in the
677 field is challenging but there is a long history of using statistics and modeling to scale
678 population growth from the available data on growth, mortality, and reproduction (Doak
679 et al., 2021).

680 Population growth rates integrate across effects and life stages and are used for
681 fisheries management and species conservation. Logistic population models have a long
682 history in ecology and directly use population growth rate (r) and carrying capacity (K).
683 Maximum Sustainable Yield (MSY) is traditionally estimated as 1/4th of K times r .
684 Fisheries stock assessments and population modeling for conservation often use more
685 complicated matrix projection models with the population divided into classes (age,
686 stage, or size) that use survival, growth, and reproduction rates specific to classes to
687 generate r and K , in addition to other population-level metrics (Doak et al., 2021). The
688 link to hypoxia indicators is how exposure to low-DO affects the survival, growth, and
689 reproduction rates, either of the total population or by age-class (Rose et al., 2001).
690 Smith and Crowder (2011) used a logistic growth model for blue crabs (*Callinectes*
691 *sapidus*) and included hypoxia effects via changes in predation mortality which affects r
692 and K . Eby et al. (2005) demonstrated how a traditional stage-based matrix model can
693 be used to combine reduced juvenile stage growth rate due to hypoxia to finite
694 population growth rate λ , which is equal to e^r . There are many examples of hypoxia
695 causing reduced habitat availability (e.g., Zhang et al., 2010; Gallo and Levin, 2016;
696 Franco et al., 2022) that can limit the production of a particular life stage within the life
697 history, translating into reduced local productivity (related to r) and reduced carrying
698 capacity. Long et al. (2014) used an age-structured matrix model for the clam *Macoma*
699 *balthica* in two regions with varying DO (permanently normoxic and occasionally
700 hypoxic) and found that hypoxia affected mortality via altered predation pressure,
701 fecundity, and maturity. They reported the response of λ as a function of the proportion
702 of area extent of the hypoxic zone and the duration of the hypoxia.

703 Low-DO has direct and indirect effects that affect survival and reproduction
704 (maturity, fecundity), all of which determine population growth rate, r . There are few
705 examples of direct calculation of growth rate of the population from field data, but it is

706 more common to use a model to scale these parameters to population growth rates. An
707 example is Eby et al. (2005) who used a stage-within-age matrix projection model and
708 converted low-DO effects on growth rate of individuals into extended stage duration for
709 juvenile croaker.

710

711 Recruitment Rate: We use the term recruitment here in the fisheries sense of the
712 number of individuals that survive to the stage or age after which natural mortality rate is
713 relatively constant. Note that recruitment is also used (often with benthos and some
714 invertebrates) as the number of larvae that settle and enter their sessile stage. Fisheries
715 recruitment as an indicator of low-DO is of direct ecological and management relevance
716 as it is a driver of population dynamics and forms the basis of most fisheries
717 management plans. However, examples of empirically-based DO effects on fisheries
718 recruitment are rare because recruitment is highly variable, logistically difficult to study,
719 and influenced by many factors and stressors (Houde, 1997), making isolation of the
720 effects of low-DO challenging.

721 Ariyama and Secor (2010) analyzed dredge catch data and showed that the
722 recruitment of Gazami crab (*Portuans trituberculatus*) is related to DO levels. Jung and
723 Houde (2004) examined bay anchovy (*Anchoa mitchilli*) in Chesapeake Bay and found
724 that recruitment of young of the year (YOY) in October was related to DO
725 concentrations and standing stock biomass in the previous summer. They used anchovy
726 length rather than DO directly as a proxy for low-DO effects on growth in a Ricker
727 spawner-recruitment model. Similarly based on analysis of survey data, Boyer et al.
728 (2001) also implicate hypoxia in reduction of the northern Benguela sardine (*Sardinops*
729 *sagax*) recruitment. Population recruitment is thus a valuable index of deoxygenation
730 that has a direct application to fisheries management.

731

732

Communities/Ecosystems

733

734 Diversity: Diversity metrics reflect the number of species present and how
735 individuals are distributed among the species. This information represents an aggregated
736 outcome of biotic responses manifesting at the individual and population level that are
737 discussed earlier in this paper. Diversity metrics may include components of species
738 richness, evenness, dominance, rarity, and occasionally trophic traits or a combination of
739 these metrics. Common indices include species richness (S), Shannon Wiener (H'),
740 Simpson's D , Pielou's J , Rarefaction (ESx), Hill numbers (qD), and Rank 1 dominance
741 (RID). Diversity indicator metrics can be applied to counts of individuals categorized by
742 species, family or even phyla, but can also be applied to Operational Taxonomic Units
743 (OTUs) or Amplicon Sequence Variants (ASVs), even when species associated with
744 genetic sequences are not known. Diversity, as well as evenness and dominance, are

745 calculated from count data based on field samples or imagery, that are often generated by
746 extensive processing or analysis in the laboratory. Diversity of eukaryotes typically
747 declines with decreasing DO concentration below a threshold that varies with guild and
748 assemblage body size (e.g., mega, macro, meiofauna; Breitburg, 2002; Levin, 2003;
749 Gooday et al., 2010). Examples of species-richness declines under low oxygen exist for
750 many different systems, including bivalves in temperate estuaries (Ducrottoy et al., 2019),
751 corals in tropical reefs (Altieri et al., 2017), benthos and plankton on seamounts
752 (Wishner et al., 1995), demersal fish in oxygen minimum zone regions (Gallo and Levin,
753 2016) and fauna of continental slopes (Gooday et al., 2010; Hunter et al., 2012).
754 Dramatic diversity declines are often accompanied by declines in evenness and increased
755 dominance by one or a few species (Levin, 2003; Jeffreys et al., 2012; Yasuhara et al.,
756 2012). Dominance by species may reflect high physiological tolerance to low oxygen,
757 better competitive abilities under low-oxygen (relative to other species), high food
758 supply or a combination of these factors.

759 Diversity thresholds are influenced by the duration of exposure and temporal
760 variability of low-oxygen stress such that diversity responses differ in coastal versus
761 bathyal OMZ settings in different ocean basins and for mobile versus sessile fauna
762 (Levin et al., 2010; Chu and Gale, 2016; Chu et al., 2018). Diversity (and evenness and
763 dominance) response to oxygen declines or increases have been documented over
764 seasonal cycles, inter annually (e.g. ENSO) and over historical and geological time
765 scales (Arntz et al., 2006; Rabalais and Baustian, 2020; Zarikian et al., 2022). In East
766 Pacific OMZs where oxygen stress is persistent, diversity thresholds for benthic
767 macroinvertebrates and demersal fish occur around $7 \mu\text{mol kg}^{-1}$ DO (Sperling et al.,
768 2016; Gallo et al., 2020). In coastal waters with seasonal hypoxia, diversity thresholds
769 (assumed to be reflected in species thresholds) may average around $63 \mu\text{mol kg}^{-1}$ DO, but
770 for crustaceans can be 25-42 $\mu\text{mol kg}^{-1}$ DO in the East Pacific, and 43-77 $\mu\text{mol kg}^{-1}$ DO
771 in the Atlantic Ocean (Chu and Gale, 2016).

772 Advantages of diversity (and evenness and dominance) as an indicator of low
773 oxygen stress include integration of response across species with a clear linkage to
774 ecosystem function and health. Changes in dominance are easy to detect via monitoring
775 programs. Thus, when the community shifts to a dominance by a hypoxia-tolerant
776 species, it can be a good indicator of oxygen stress at the ecosystem level. Diversity
777 assessment often requires painstaking inventory of species and counts of individuals,
778 requiring both time and resources. Quantitative multiplex PCR (e.g. Wong et al., 2022)
779 can measure eDNA for several target species, small species, and even cryptic species,
780 but is limited for quantitative assessment (e.g., Shelton et al., 2023). Diversity, evenness
781 and dominance can also be influenced by other factors such as salinity, food availability
782 or contamination, independently or synergistically with deoxygenation (Rozenzweig and
783 Abramsky, 1993; Levin and Gage, 1998; Pilo et al., 2015).

784 Local (alpha) diversity responses to low-oxygen stress are well documented for
785 benthic metazoan invertebrates (Gooday et al., 2010), benthic foraminifera (Tsujiimoto et

786 al., 2006) and demersal fish (Gallo et al., 2020), with the paleo literature replete with
787 examples for fossil forming biota (e.g., Tsujimoto et al., 2008; Aberhan and Baumiller,
788 2003; Yasuhara et al., 2012; Moffit et al., 2014; 2015; Singh et al., 2015). Alpha
789 diversity can be scaled up to gamma diversity across gradients or larger geographic
790 scales. Annelid, nematode and calcareous foraminifera species show high dominance
791 among benthic sediment fauna subject to severe hypoxia. In extreme OMZs, a single
792 species may comprise 40-100% of the macrofauna (Levin, 2003; Jeffries et al., 2012)
793 and foraminifera (Gooday et al., 2000). Metazoan examples include *Linopherus* sp. on
794 the Pakistan Margin at 800m (100% of macrofaunal individuals); *Olavius crassitunicatus*
795 on the Peru margin (86%) and *Diaphorosoma* sp. on the Chile margin (73%). Protozoan
796 examples include the foraminifera *Bolivina seminuda* on the Oman margin (43%)
797 (Gooday et al. 2000). In coastal waters of Chesapeake Bay, paleo dominance (60-90%)
798 by *Ammonia parkinsoniana* is associated with hypoxia (Karlson et al., 2000).

799 Because hypoxia can favor some species, including invasives, hypoxia may lead
800 to higher regional diversity in an ecosystem, even while suppressing alpha diversity at a
801 given impacted site. Given that recovery of diversity following a hypoxic event may
802 take far longer than the initial decline, the fingerprint of diversity as a hypoxia indicator
803 may be apparent for years or decades, allowing for ‘detection’ of a hypoxic event long
804 after oxygenated conditions have returned.

805 A more mechanistic community-level indicator of the intensity of effects caused
806 by eutrophication-induced hypoxia, focused on species loss, is the Effect Factor (EF)
807 (Cosme and Hauschild, 2016). EF is designed to evaluate impacts of anthropogenic
808 nitrogen and organic inputs on demersal communities by assessing the fraction of species
809 that will be affected by hypoxia based on their individual thresholds. It requires
810 knowledge of species in the community, their hypoxia sensitivities, their geographic
811 distributions and environmental conditions. A species sensitivity distribution
812 methodology is used to combine species distribution and lowest-observed-effect-
813 concentrations for species to estimate the DO concentration at which half of the
814 community’s species are affected. This metric, which extends the concept of diversity to
815 include species sensitivity to hypoxia can function as a hypoxia stress or ecosystem
816 health index; it has been applied at large spatial scales for 5 climate zones (Cosme and
817 Hauschild, 2016) and to 66 Large Marine Ecosystems (Cosme et al., 2017).
818 Modifications involving species density distributions have generated additional indices
819 including a Potentially Affected Fraction and Potentially Disappeared Fraction (Cosme
820 et al., 2017).

821

822 Taxonomic Shifts and Ratios: Changing species abundance can lead to taxonomic
823 shifts in community composition and resulting ratios of specific taxa are sometimes
824 considered as hypoxia indicators. Because mobile species can respond quickly to oxygen
825 decline the resultant shifts in taxonomic composition may offer an early warning of
826 hypoxia. These typically reflect differential tolerance of taxa at the species or higher
827 level. As with other indicators the intensity, persistence, duration and temporal sequence

828 of hypoxia will influence taxonomic responses. Taxonomic responses are detected by
829 sampling and counting the entire community or by sampling and counting targeted taxa.
830 Thresholds for taxonomic response differ between seasonally hypoxic/coastal systems
831 and permanently hypoxic systems and with species ontogenetic stage, mobility and body
832 size.

833 It is generally thought that larger-bodied animals that can swim will be most
834 sensitive to low-oxygen conditions and will avoid areas of hypoxia when possible. For
835 example, in a meta-analysis of Atlantic species, fish and crustaceans exhibited less
836 hypoxia tolerance (i.e., higher sublethal and lethal thresholds) than priapulids and
837 molluscs (Vaquer-Sunyer and Duarte, 2008), presumably because of their high metabolic
838 demands and their high mobility. In pelagic systems, specific copepod and krill genera
839 specialize in low-oxygen conditions (Wishner et al., 2013; Tremblay et al., 2020). In
840 coastal waters the dominance of gelatinous zooplankton (ctenophores, jellyfish,
841 siphonophores, salps) over crustaceans in hypoxic waters reflects their tolerance to
842 hypoxia (Breitburg et al., 1997; Ekau et al., 2010; Miller et al., 2012; Purcell, 2012).
843 Note however, that cusk eels and cat sharks (Gallo et al., 2018) and tuna crabs (Pineda et
844 al., 2016) at bathyal depths in the Eastern Pacific can be extraordinarily abundant at DO
845 concentrations $< 2 \mu\text{mol kg}^{-1}$.

846
847 Within OMZs and other hypoxic areas, echinoderms often avoid the lowest
848 oxygen concentrations but form dense bands at OMZ edges (discussed earlier). Sponges
849 with high hypoxia tolerance often replace stony corals (Chu et al., 2019) and annelids
850 and nematodes often dominate over other major taxa in both coastal and deep sediments
851 subject to hypoxia (Levin, 2003; Levin et al., 2009; Rabalais and Basutian, 2020).
852 However, there are locations such as the Namibian shelf where molluscs or crustaceans
853 dominate the infauna at very low oxygen concentrations and the longer-lived, hard
854 shelled gastropod and bivalve taxa have been proposed as indicators of oxygen change
855 (Zettler et al., 2009; 2013). Among foraminifera, the rotaliids and buliminids with small,
856 thin walled calcareous tests dominate in severe hypoxia over forams with agglutinated
857 tests (Gooday et al., 2009). On the Louisiana shelf *Pseudononion atlanticum*,
858 *Epistominella vitrea* and *Buliminella morgani* have been used as indicators of
859 historically low-oxygen in sediment core records (Osterman et al., 2003). Similarly,
860 some ostracod species (e.g., *Bicornucythere bisanensis* in Japan; Irizuki et al., 2003;
861 Yasuhara et al., 2003; 2007; *Loxococoncha* sp. in the eastern coast of USA; Alvarez
862 Zarikian et al., 2000, Cronin and Vann, 2003) have been used as low-oxygen indicators
863 (Yasuhara et al., 2012; 2019).

864
865 Assessing taxonomic shifts and ratios is an important objective of most long-term
866 ecological time series, however it can be difficult to assess these indicators across
867 ecosystems due to methodological artifacts and limitations. For instance, the temporal
868 and spatial scales of response by the zooplankton community to low oxygen can be very
869 small and sometimes go undetected due to the coarsely integrated sampling approach of
870 most extended net tows (Wishner et al., 2020). For metazoan meiofaunal communities,

871 the nematode:copepod ratio is often cited as an indicator of contaminant stress
872 (Warwick, 1981) but is also seen to change along oxygen gradients in space and time
873 (Levin et al., 2009). Nematode counts increase relative to copepod counts as oxygen
874 declines, reflecting strong tolerance of nematodes to severe hypoxia. The ratio emerges
875 easily from quantitative surveys of meiofaunal taxa, but can be time consuming and
876 difficult to compute when done manually. Changes in nematode:copepod ratios have
877 been observed along OMZ gradients in the Eastern Pacific on a seamount off Mexico
878 (Levin et al., 1991) and on the Costa Rica (Neira et al., 2018), Chile (Neira et al., 2001),
879 and Peru (Levin et al., 2002) margins. Interannual changes in nematode dominance are
880 associated with ENSO cycles off Peru and Chile (Gutierrez et al., 2008; Levin et al.
881 2009). The ratio affects the next trophic level – potentially selecting for consumers with
882 different food preferences and can indicate functional change. Among macrofauna, the
883 polychaete to amphipod ratio can reflect changing eutrophication (Dauvin 2018) and
884 water quality (Maximov and Berezina 2023) but does not seem to be a good oxygen
885 indicator. For protozoa, the ratio of *Ammonia* to *Elphidium* (both benthic foraminifera
886 genera) is a common oxygen/eutrophication proxy, with *Ammonia* species much more
887 tolerant to hypoxia (Sen Gupta et al., 1993).

888
889 Recovery following hypoxia may follow a predictable pattern of species accumulation
890 and replacements (Lim et al., 2006; Steckbauer et al. 2011) and thus taxonomic
891 characterization of communities in a well-studied system may indicate the timing of a
892 prior hypoxic event. As a consequence of variation among species in their tolerance to
893 hypoxia and their ability to recolonize habitat following a low-oxygen event, community
894 composition will be a product of not only the severity of hypoxia, but also the interval
895 between such events (i.e., persistent, seasonal, episodic, or periodic). In areas where
896 hypoxia is persistent, frequent, or recently occurred, we might expect to see the simplest
897 types of communities made up of a limited number of hypoxia-tolerant and/or
898 opportunistic species. While hypoxia is typically thought of as an agent of species
899 elimination, it can have positive effects at the local scale on the presence or abundance of
900 some animals, by freeing up resources through elimination of competitively dominant
901 species, or by excluding less tolerant predators and creating a predation refuge for more
902 hypoxia tolerant prey. Moreover, these potential benefits can extend to invasive species,
903 and as a consequence, hypoxia may lead to higher beta or gamma diversity in an
904 ecosystem, even while suppressing alpha diversity at a given impacted site.

905 Abundance and Biomass: Changes to community-level measures of abundance
906 and biomass can occur in response to hypoxia. Animal abundance and biomass
907 collections are typically made using community-sampling methods, including trawls,
908 sediment cores, net tows or pumps and visual surveys, or are quantified from video and
909 still imaging by ROVs, submersibles, photo-sleds or autonomous landers. Changes in
910 these indicators can be seen when sampling across oxygen gradients in space or in a time
911 series as abundance and biomass respond to oxygen changes seasonally, as well as over
912 interannual, historical and geologic time scales (Seitz et al., 2009; Moffitt et al., 2014;
913 2015). Species abundance and biomass reflect important biological processes such as

914 recruitment, growth, avoidance, mortality and local extinctions. These indicators broadly
915 integrate responses across species and changes related to the productivity of a system,
916 which is important from a fisheries and ecosystem management perspective (Breitburg,
917 2002). However, because abundance and biomass are closely linked to productivity and
918 food availability, they are often confounded with nutrient input and eutrophication,
919 which gives rise to opposing responses (i.e. abundance will increase with eutrophication
920 but decrease with deoxygenation; Breitburg et al., 2009).

921 The abundance of vulnerable taxa or size groups will typically decrease once
922 oxygen levels fall below a certain oxygen threshold and they may first rapidly increase to
923 higher than baseline levels prior to or at this threshold due to ‘edge effects’ (Wishner et
924 al., 1995; 2013; Levin, 2003; Yasuhara et al., 2007; 2012; Gooday et al., 2010). Edge
925 effects can result from: (a) different taxa aggregating in a smaller area due to avoidance
926 of a hypoxic zone as described for certain fisheries species in the Gulf of Mexico (Craig,
927 2012); (b) plentiful food (e.g., phytodetritus and meiofauna) combined with absence of
928 predators in a specific oxygen zone as described for OMZs (Gallo and Levin, 2016); or
929 (c) can be related to dominant taxa that thrive at high abundances at a specific low-
930 oxygen threshold, for example ophiuroids bands that form on seamounts and continental
931 margins (Levin, 2003; Vlach, 2022), cusk eels in Narragansett Bay (Hale et al., 2016),
932 and the cusk eel *Cherublemma emmelas* in the Gulf of California (Gallo et al., 2018;
933 2020).

934 The degree of abundance and biomass limitation due to low-DO is dependent on
935 the severity, extent and duration of hypoxia. Oxygen thresholds are taxon-specific, body-
936 size specific, and region-specific. For example, on the US Pacific Coast (Keller et al.,
937 2015) and off Peru (Rosenberg et al., 1983) the catch per unit effort (CPUE) of demersal
938 fish decreases below a specific oxygen threshold, however the thresholds differ between
939 the two systems, with the oxygen threshold being lower off the coast of Peru. DO has
940 also been shown to be an important covariate in explaining demersal fish CPUE in the
941 Chesapeake Bay (Bucheister et al., 2013). However, in general fish landings can be poor
942 indicators of hypoxia due to the effects of shoaling and aggregation (Rose et al., 2019;
943 Chesney et al., 2020).

944 At the community level, changes in animal density can occur at a higher oxygen
945 threshold than that required to see changes in species richness or diversity. For demersal
946 fish in the Gulf of California, for instance, DO $3 \pm 1 \mu\text{mol kg}^{-1}$ was identified as the
947 threshold below which fish density decreased (Gallo et al., 2020), compared to a DO
948 threshold of $7 \mu\text{mol kg}^{-1}$ for diversity (H'). Reductions in abundance of sensitive, less-
949 mobile fish species may occur due to fish kills (Graham et al., 2004; Thronson and
950 Quigg, 2008). Effects may be direct via increased mortality, through prolonged exposure
951 to low-DO (Breitburg et al., 1999; 2003; Turner, 2001; Diaz and Breitburg, 2009) or
952 indirect via reduction of habitat availability in the benthos (Turner, 2001), water column
953 (Wang, 1998; Breitburg et al., 1999; Chesney et al., 2000; Turner, 2001) or through
954 alterations to food web structure (Graham, 2001). The general observation of reduced

955 coastal copepod abundances in water columns with hypoxic bottom waters (Roman et
956 al., 1993; Keister et al., 2000; Kimmel et al., 2012) suggests they have lower population
957 growth, greater mortality, predation and/or emigration.

958

959

960 *Functional Shifts:* In areas subject to episodic or seasonal hypoxia, infaunal
961 animals may exhibit changes in dwelling habit and depth within sediments. Behavioral
962 responses to hypoxia include tube lengthening or body extension into the water column
963 by polychaetes and amphipods, shallower burial, emergence from sediment or aggregate
964 formation to raise animals up into the water column (reviewed in Diaz and Rosenberg,
965 1995; Levin et al., 2009). Although these are rarely monitored as indicators, they
966 generally reflect oxygen declines. These changes along with replacement of large, deep
967 dwellers and suspension feeders by taxa that are smaller, near-surface dwelling and
968 surface-deposit-feeding lead to declines in bioturbation and bio-irrigation under hypoxia
969 (Diaz and Rosenberg, 1995; Middelburg and Levin, 2009). Metrics that reflect these
970 changes include sediment mixed layer depth, burrow size and diversity, and bioturbation
971 rate (Db), although the latter metric is not always positively correlated with oxygen
972 concentration (Smith et al. 2000). Under persistent, stable hypoxia some tolerant species
973 deepen their vertical distributions as long as some oxygen is present (Levin et al.,
974 2009a). In low sedimentation areas, hypoxia-induced changes in sediment mixing can
975 lead to reduced organic matter decomposition and enhanced carbon preservation
976 (Canfield 1994).

977 Thresholds for the changes outlined above often occur around 2 ml L⁻¹ DO for
978 shallow water taxa and at 0.4 ml L⁻¹ DO (or less) for OMZ species. Sediments on the
979 Pakistan margin shift from laminated (no bioturbation) to fully bioturbated across
980 gradients from DO 0.1 to 0.2 ml L⁻¹ (Levin et al. 2009b). Because nutrient and organic
981 matter additions often drive oxygen depletion, the hypoxia indicators described above
982 also reflect organic matter enrichment (Pearson and Rosenberg, 1978). Other functional
983 changes can include altered rates of colonization (DO between 0.05 and 0.5 ml L⁻¹;
984 Levin et al. 2013) and altered carbon cycling pathways (Woulds et al., 2007) with
985 protozoans dominating carbon uptake over metazoans under severe hypoxia. These
986 functional responses tend to occur on seasonal or longer time scales and may therefore
987 prove most useful as an indicator of long-term oxygen loss.

988

989 *Food Web Structure:* Deoxygenation can result in changes in the presence,
990 abundance, and behavior of interacting species in marine food webs. The severity and
991 distribution of oxygen concentrations (or partial pressures) can affect relevant behaviors
992 and alter encounter rates. As discussed previously, the presence, distribution, and
993 behaviors of individual species can change in response to both oxygen distribution and
994 its effects on organisms at low levels. Under low-oxygen conditions, tolerant species can

995 become more dominant throughout the food web because their predatory strategies are
996 less affected and/or escape behavior is less impaired relative to other species with which
997 they interact (Breitburg et al., 1997; 1999). Certain feeding modes such as carnivory can
998 become less common or even absent under severely hypoxic conditions (Sperling et al.,
999 2013). Food chain length can also be impacted by hypoxia, becoming longer and
1000 supporting fewer top predators, with more energy flow-dominated by microbial
1001 pathways (such a shift from carbon fixation to chemosynthesis). This change in food web
1002 structure results in less trophic transfer upward and the presence of conspicuous
1003 microbial mats that are themselves an indicator of hypoxic conditions (Levin, 2003;
1004 Woulds et al., 2007; Levin et al., 2009a). This relationship between food chain length
1005 and low-oxygen was apparent during the early Cenozoic warm period (50 mya), when
1006 the warmer, less oxygenated ocean supported longer food chains and a lower abundance
1007 of top predators (Norris et al., 2013).

1008 Shifts in the distribution of species from an area subject to oxygen loss to areas
1009 nearby (where oxygen levels may vary) could alter surrounding food webs. Certain
1010 ecological guilds that were once underrepresented may become more abundant in an
1011 ecosystem as they escape deoxygenation elsewhere or track prey that have migrated to
1012 find better oxygenated waters.

1013 As with other metrics, thresholds for trophic changes will depend on differences
1014 in tolerance of the various interacting species. Tolerance thresholds will vary among
1015 species (e.g. finfish versus gelatinous zooplankton), habitats (e.g. estuaries vs OMZs),
1016 geography and temperatures. In estuaries, gelatinous zooplankton (scyphozoans and
1017 ctenophores) tend to be more tolerant of low-oxygen exposure than their copepod and
1018 larval fish prey and more tolerant than juvenile or adult fishes competing for the same
1019 prey (Breitburg et al., 1997). Conversely, sessile or relatively sedentary organisms are
1020 often more hypoxia-tolerant than their predators and may gain a refuge. This is the case
1021 for estuarine hard clams that reach their highest abundance in low-DO areas where their
1022 predators are excluded (Altieri, 2008) and in kelp forests where hypoxia reduces grazing
1023 pressure, thereby increasing kelp survival (Ng and Micheli, 2020).

1024 Shifts in consumer interactions associated with hypoxia result in altered food web
1025 structure and trophic function. Lower proportions of carnivory in the polychaete
1026 community have been found under low-oxygen conditions (Sperling et al., 2013). Under
1027 hypoxic conditions demersal fish on continental slopes shift from feeding in the water
1028 column (on vertically migrating zooplankton and fish) to consuming largely benthic
1029 prey, yielding longer, less efficient food chains (Gallo, 2018). Hypoxia-induced changes
1030 in food webs may result from changes in the abundances of some species and/or the
1031 distributional overlap of predators and prey (Breitburg et al., 1997; Ekau et al., 2010).
1032 Diets of fishes can differ in hypoxic water as shown for Atlantic bumper
1033 (*Chloroscombrus chrysurus*) in the Gulf of Mexico (Glaspie et al., 2018). Some fishes
1034 may even benefit from hypoxia if their prey are forced into more vulnerable predatory
1035 habitats as suggested for Chesapeake Bay where striped bass may benefit from

1036 concentration of bay anchovy prey in the well-oxygenated mixed layer (Costantini et al.,
1037 2008). Whether effects of hypoxia on fish populations are positive or negative is likely to
1038 be species-specific and ecosystem-dependent (Breitburg et al., 1997; 2002; Costantini et
1039 al., 2008) and also dependent on the severity of low-oxygen coupled to the prevailing
1040 temperatures and the relative tolerances of predators and prey.

1041 Shifts in trophic structure are detected with field measures of encounter rates, gut
1042 contents analysis and stable isotopes that detect changes in the base of the food chain (δ
1043 ^{13}C) or trophic level (δ ^{15}N). Models such as ECOPATH/ECOSIM (Christensen and
1044 Walters, 2004) are useful to combine field and experimental data to achieve a more
1045 comprehensive understanding of changes to food webs (e.g. de Mutsert et al., 2017).
1046 Similarly, biomass, abundance or catch trophic spectra can offer a high-level indicator of
1047 changes to food webs (e.g. Gascuel et al., 2005).

1048 There are some indices that incorporate elements of diversity and trophic function
1049 such as the Infaunal Trophic Index (ITI) (Word 1978), AZTI's Marine Biotic Index
1050 (AMBI) Caswell et al. 2019), and the Trophic state Index for Benthic Invertebrates (TSI-
1051 BI) (Chalar et al. 2011). These have been applied over a range of sediment ecosystems
1052 and over extended periods of time to examine change including in response to oxygen
1053 loss.

1054 Trophic indicators offer a holistic measure that synthesize a variety of responses
1055 of individual species. As with many indicators discussed here, time and resources are
1056 required for fieldwork and experiments. Changes in food web structure can be driven by
1057 other co-occurring environmental stressors in addition to hypoxia such as changes in
1058 nutrient input, introduction of an invasive species and species distribution changes due to
1059 climate change.

1060

1061 DISCUSSION

1062 *I. Scaling of Indicators*

1063 Scaling of indicators is often necessary to enable the observed values of the
1064 indicator to be interpreted as representing the state of the system and for results to be
1065 expressed on spatial and temporal scales that are ecologically or societally meaningful.
1066 Consideration of what types and to what extent scaling is needed is important when
1067 selecting an indicator, designing a sampling plan and interpreting and communicating the
1068 results of an indicator. Scaling often determines what species and life stages to measure,
1069 the specific indicator(s) needed and how to allocate effort to sampling locations and
1070 frequency of sampling.

1071 Scaling can employ graphical or statistical analyses to extrapolate the measured
1072 conditions of individuals to broader areas than those locations sampled (e.g. sub-regions,
1073 basin-wide) or to more generalized timescales than those captured by the data (e.g.
1074 month, season, years). This scaling employs the statistical concept of looking for patterns

1075 in the data collected at different locations and/or over time and subsequently making key
1076 assumptions about how these data reflect broader conditions to infer the population of
1077 indicator values.

1078 Another type of scaling analysis is used with indicators to derive a mechanistic
1079 understanding of how the indicator logically and causally relates to higher levels of
1080 biological organization (population, community or ecosystem). For example, low-DO
1081 impaired vision affects detecting prey that determines feeding and growth that affects
1082 vulnerability to predator (mortality) and fecundity, which affect population abundance.
1083 This integration and scaling across levels of biological organization from the organismal
1084 to ecosystem level can be represented in a conceptual diagram (Altieri and Witman,
1085 2006), where low-oxygen stress reduced survivorship and growth of individual mussels
1086 and impacted the density and spatial extent of mussel populations. Individuals of a single
1087 species could be used to infer the state of the population while observations on multiple
1088 species can be leveraged to community (e.g. diversity) and food web levels (e.g. energy
1089 pathways). The condition of individuals as indicated by lipid content (e.g. Herbinger et
1090 al.,1991) suggests sufficient exposure to low-DO can elicit a response of the
1091 bioenergetics and physiology of the individual. Reduced animal condition can be related
1092 to the oxygen state of the system and can lead to higher mortality, lowered fecundity and
1093 other responses that can be directly related to population, community or food web levels.
1094 While values of indicators on subsets of individuals can stand alone to show exposure
1095 and responses of individuals, scaling translates indicator observations into potentially
1096 more-relevant levels of biological organization and scales of time and space. This
1097 mechanistic scaling approach was used by Rose et al. (2018a, b) to examine how reduced
1098 growth, increased mortality, and reduced fecundity due to low-DO exposure affected
1099 croaker (*Micropogonias undulatus*) population dynamics in the Gulf of Mexico. By
1100 using an agent-based model with a 2-D grid that included dynamic DO field, the time-
1101 dependent exposures of individuals were simulated and avoidance behavior was
1102 projected.

1103 Scaling of indicators can include numerical models which provide a quantitative
1104 translation of the indicator into variables that are more relevant to management and
1105 society. Common situations requiring such modeling are when multiple stressors covary
1106 and DO effects need to be isolated or when expressing indicators in units explicitly
1107 chosen to inform policy (e.g. economic impacts of reduced biodiversity) and
1108 management decisions (e.g. fisheries yield). For instance, Franco et al. (2022) scaled
1109 low-DO effects to habitat changes of Pacific halibut (*Hippoglossus stenolepis*) in the
1110 Northeastern Pacific. They used fisheries-independent data and model predictions from
1111 ROMS-BEC of oxygen and a metabolic index was used to map suitable aerobic habitat.

1112

1113 *II. Application of Indicators*

1114 The suite of indicators discussed differ in the temporal and spatial scales of
1115 oxygen influence which are reflected in the types of settings where it is most applicable,
1116 in possible confounding factors and in the expertise and resources required for
1117 application. These differences are summarized in Table 1. All of these aspects together
1118 influence the potential applications of the different indicators of low-oxygen stress.

1119 Table 1. Summary considerations for application of hypoxia indicators including settings, confounding factors, expertise, resource
 1120 requirements and utility. ** Readiness based on combined criteria: conceptual foundation, feasibility of implementation, response
 1121 variability, interpretation and utility (Jackson et al. 2000; EPA 620-R-99/005)

Indicator Category	Indicator	Disciplinary Expertise Required	Resources/Accessibility	Confounding Factors Affecting Interpretation	Overall
Individual - Cellular Responses	Hypoxia Inducible Factors	Physiology	Access to specialized laboratory facilities	Species differences	Specialized aquaculture
Individual - Sensory Systems	Vision	Physiology	Requires specialized tools for ERG, behavior	Acidification	Management (catch and endang species)
	Hearing	Physiology/acoustics	Access to specialized laboratory facilities	Acidification	Not suitable
Individual - Hormonal Responses	Endocrine, cortisol levels	Physiology	Immunoassay facility	Handling stress	Most useful system
	Growth hormone	Physiology	Access to specialized laboratory facilities	Endocrine disruptors	Management
	Reproduction/fertility	Physiology	Access to specialized laboratory facilities	Size, temperature, food supply	Fisheries conservation
Individual - Growth and condition	Body size	Ecological	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Fisheries conservation
	Malformation	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Acidity, food supply, temperature	Diagnostic useful
	Mortality	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, disease	Established improved condition management

Individual - Immune response	Disease	Pathology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	pCO2, temperature, crowding	Diagno
	Chemical archive of hypoxia exposure	Trace element-fingerprinting	LA-ICPMS, Synchrotron	Growth rate	Hindca hypoxi
Individual - Physiology	Metabolic Indices	Ecological/Modeling	Computer, otolith chemistry	Temperature	Diagno in past
Species/Population - Abundance related	Population Size	Ecological	Boats, ships, transects, sampling gear, personnel	Food supply	Fisher conser
	Age structure	Ecological	Chronometric structures such as scales, otoliths, vertebrae, etc.	Fishing pressure	Fisher conser
	Growth Rate	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, otoliths, personnel	Temperature, food supply	Establ improv conditi manag
	Fertility	Reproductive physiology	Boats, ships, or lab rearing facilities, sampling gear, calipers, computer personnel	Temperature, food supply	Stock improv
	Survivorship	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Stock improv
	Recruitment rate	Ecological/Modeling	Computer, estimates of age-based population size, migration, mortality	Temperature, food supply	Stock improv conditi prioriti
	Indicator species/taxa	Taxonomic	Boats, ships, diving, sampling gear, microscopes	Predation, food supply	Early v remed
Species/Population - Behavior	Avoidance/ Walkouts	Field ecology, Citizen science	Imaging, acoustics	Temperature	Hypox specifi aquac

	Aquatic surface respiration	Citizen Science	Can be observed from piers or boats	Volunteers	High u indicat manag
	Shoaling distributions	Field ecology	Boats, ships, depth-stratified field sampling	Temperature	Ecosy Fisher
	Vertical distribution and bioturbation	Field ecology	Scuba gear, imaging systems	Hydrogen sulfide	Hypox specie (fisher
Community/Ecosystems	Diversity	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, balances, personnel, eDNA	Food supply, disturbance	Water manag detect cumul
	Dominance	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply	Water manag detect cumul
	Density	Ecological	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply, Hydrogen sulfide	Water manag detect cumul
	Biomass	Ecological	Boats, ships, diving, sampling or imaging gear, balances, calipers, personnel	Food supply	Water manag detect cumul
	Taxonomic ratios	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants, habitat alteration	Hypox detect cumul

	Taxonomic composition	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants	Water management, hypoxia, detect, cumulative
	Functional Shifts	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA, literature access	Food supply	Fisheries, deoxygenation, management, disturbance
	Trophic Structure	Isotopic, Gut content analysis (items or DNA), Modeling	Mass spectrometer, microscopes, dissecting tools for gut contents, DNA, computer	Food supply	Fisheries management, disturbance

1122

1123 **Fisheries and Aquaculture** - Coastal areas where natural and anthropogenic
1124 nutrient inputs can result in deoxygenation of bottom waters include regions of enhanced
1125 fisheries and aquaculture (Nixon and Buckley, 2002; Breitburg et al., 2018; Zhan et al.,
1126 2023). While deoxygenation impacts on fisheries catch can be difficult to ascertain
1127 because of fish movement, adaptations and changes in fishing effort and techniques,
1128 laboratory experiments have demonstrated deleterious impacts on species that are
1129 commercially harvested (Roman et al., 2019; Rose et al., 2018b; Laffoley and Baxter,
1130 2019; Zhan et al. 2023). Deoxygenation impacts on fisheries may be most impactful on
1131 artisanal fisheries and aquaculture facilities which often have little capacity to relocate as
1132 hypoxia grows in space and time. Aquaculture can contribute to deoxygenation through
1133 the organic input to bottom waters (Rice, 2014) and animals restrained in nets and cages
1134 are unable to escape harmful oxygen conditions. Research is needed to develop
1135 aquaculture species with strong hypoxia tolerance and economic potential (see Zhan et
1136 al., 2023).

1137 **Water Quality Management** - Environmental management agencies in many
1138 countries have established goals to protect and expand essential habitat for aquatic
1139 organisms using oxygen concentration as an indicator to estimate *in-situ* physiological
1140 stress. In Chesapeake Bay, U.S., this approach has been taken to develop estimated
1141 habitat space for different animal groups based on oxygen concentrations and low-
1142 oxygen tolerance (e.g. Batiuk et al., 2009; Zhang et al., 2018). In the Gulf of Mexico,
1143 nutrient management targets aim to reduce the area of the hypoxic zone to achieve
1144 similar habitat improvements (Scavia and Donnelly, 2007). The Baltic Marine
1145 Environment Protection Commission (HELCOM) considers “oxygen debt” as a metric
1146 for ecosystem health (e.g. Stoicescu et al., 2019). This is consistent with the EU Marine
1147 Strategy Framework Directive aimed at improving marine waters, which considers the
1148 concentration of oxygen in near-bottom waters as an indicator (Friedland et al., 2021). In
1149 all of these cases, low-oxygen conditions are prioritized because they are considered to
1150 have negative consequences for a broad range of harvestable marine organisms as well as
1151 their pelagic and benthic prey. Generally, these minimum oxygen concentrations are
1152 based on mortality estimates and sometimes sublethal effects. The partial pressure of
1153 oxygen rather than oxygen concentration may be the more relevant measure of DO
1154 availability (Hofmann et al. 2011) because it integrates the effects of temperature and
1155 salinity on oxygen availability.

1156 **Climate and the Carbon Cycle** - Biotic changes associated with deoxygenation
1157 can alter processes of carbon and nutrient mixing, remineralization, nitrification and
1158 denitrification, carbon transport, accumulation and sequestration and climate feedbacks
1159 from nitrous oxide or methane release (Breitburg et al., 2018). Use of biotic indicators
1160 can identify times, places or conditions where these climate-relevant changes to the
1161 carbon or nutrient cycles or feedbacks may occur. The Intergovernmental Panel on
1162 Climate Change (IPCC) reports and World Ocean Assessments mention oxygen in the
1163 context of climate change far more than IPBES, but even these suffer from low
1164 confidence of oxygen observations or models and limited-to-no attention in summaries

1165 for policy makers (Levin, 2022). Ideally oxygen sensitivity and indicators discussed in
1166 this review could become a central part of climate change and biodiversity policy, for
1167 example: in the United Nations Framework Convention on Climate Change (UNFCCC)
1168 global stock take; Ocean Dialogue; Nationally Determined Contributions and National
1169 Adaptations Plans; as well as in the research and systematic observations discussions in
1170 UNFCCC Subsidiary Body for Scientific and Technological Advice (SBSTA).

1171 **Biodiversity and Conservation** - Deoxygenation is recognized as a threat to
1172 biodiversity, particularly for larger taxa or those adapted to highly oxygenated waters.
1173 However, the extent to which deoxygenation is recognized and addressed in global
1174 assessments of marine threats and in policies that conserve biodiversity varies greatly.
1175 The Convention on Biological Diversity Framework 2030 does not mention oxygen, but
1176 is developing diversity indicators that could readily incorporate oxygen-sensitive taxa or
1177 guilds (Hughes and Grumbine, 2023). The new Biodiversity Beyond National
1178 Jurisdiction (BBNJ) Agreement to protect biodiversity and enable its sustainable use in
1179 international waters mentions deoxygenation only in its preamble and in the context of
1180 types of capacity development and technology transfer, but climate and specifically
1181 oxygen vulnerability is not included in a list of criteria for marine protected areas or
1182 environmental impact assessment. Projected changes in oxygen availability and habitat
1183 suitability for sensitive species can be applied to the designation of protected areas,
1184 fisheries regulations and evaluation of cumulative impacts in environmental impact
1185 assessment (Dunn et al., 2018; Levin et al., 2020).

1186 **Tourism, Recreation and other Livelihoods** - Biological indicators of low-
1187 oxygen in coral reefs or recreational fishing habitats as well as mass mortality events
1188 washing up on beaches represent important sentinels of oxygen effects on tourism and
1189 recreation. Having early warning signs of impending or existing hypoxic events can
1190 permit various forms of adaptation among those dependent on a healthy ocean, resilient
1191 fish populations and clean beaches for income.

1192 *III. Research Needs and Opportunities*

1193 Recent reviews on deoxygenation have suggested research needs to improve our
1194 understanding and prediction of impacts on marine organisms (e.g. Breitburg et al.,
1195 2018; Woods et al., 2022; Zhan et al., 2023). There needs to be more research on the
1196 impacts of low oxygen and relevant stressors (especially temperature) on the various life
1197 stages of commercially-harvested species. Studies on important commercial and
1198 keystone species should include the relevant time scales to assess the impacts of
1199 episodic, seasonal, annual and inter-annual fluctuations of low-DO waters on individuals
1200 and populations. Research on the impacts of low oxygen waters on marine organisms
1201 should include more studies that integrate the effects that cascade through the organism
1202 to population, community and ecosystem levels. Similarly, when addressing the impacts
1203 of deoxygenation on a commercially harvested species, low-DO should be included in an
1204 Ecosystem Based Management (EBM) approach that includes predators, prey and human
1205 influences. Investigators need to consider that oxygen concentration alone is not a

1206 predictor of organisms' fitness. While oxygen partial pressure is the relevant physiology
1207 measure, knowledge of exposure histories, life-stage sensitivity and cumulative stressors
1208 is essential for holistic understanding.

1209 Opportunities for national and international research on the impacts of
1210 deoxygenation include the IOC-UNESCO's Global Ocean Oxygen Network (GO2NE),
1211 [UN Decade of Ocean Science for Sustainable Development](#) program and [Global Ocean](#)
1212 [Oxygen Decade](#) (GOOD). GO2NE is committed to providing a global and
1213 multidisciplinary view of deoxygenation, with a focus on understanding the multiple
1214 aspects and impacts. From 2021-2030 the Ocean Decade program GOOD will raise
1215 global awareness about ocean deoxygenation, provide knowledge for action and develop
1216 mitigation and adaptation strategies to ensure continued provision of ecosystem services
1217 and minimize impacts on the ocean economy through local, regional, and global efforts.
1218 A GOOD programmatic focus on development and application of biological indicators of
1219 hypoxia, possibly tied to the Global Ocean Oxygen Database (GO2DAT; Grégoire et al.,
1220 2021) would facilitate the integration of deoxygenation more broadly into ocean
1221 management. Many of the biological indicators of oxygen stress described in this paper,
1222 if tied to specific DO response thresholds and *in situ* oxygen concentrations, can be used
1223 in monitoring and applied to management of water quality, biodiversity and fisheries.

1224 *IV. A Global Endeavor: Challenges for Equitable Application of Indicators*

1225

1226 The ability to apply oxygen indicators across the global ocean in both coastal and
1227 open ocean waters will depend on: (a) improved oxygen literacy across various
1228 stakeholders, including managers, funders and academics; (b) expanded technical
1229 capacity, such as instrumentation, associated infrastructure and technical expertise; (c)
1230 improved data access according to Findability, Accessibility, Interoperability and
1231 Reusability (FAIR) implementation principles (Jacobsen et al., 2020); and, (d) inclusive
1232 training and empowerment of the next generation of scientists and practitioners. Each of
1233 these represents a challenge that can be addressed by different elements of the Global
1234 Ocean Oxygen Decade program and other regional and international networks. One goal
1235 would be to enable small island developing states, least developed countries and the
1236 global south more generally to join wealthier nations in having the knowledge,
1237 instruments, funding and expertise to apply oxygen indicators for science and
1238 management. Training opportunities such as the recent summer schools generated by
1239 GO2NE represent a valuable mechanism for achieving these goals.

1240

1241 DATA AVAILABILITY

1242

1243 Data included in the manuscript can be found in the referenced citation.

1244

1245 AUTHOR CONTRIBUTIONS

1246

1247 MRR and LAL contributed equally to developing the concept and lead writing of
1248 the manuscript. The other authors contributed essential scientific sections of the
1249 manuscript.

1250

1251 COMPETING INTERESTS

1252

1253 The contact author has declared that none of the authors has any competing
1254 interests.

1255

1256 ACKNOWLEDGEMENTS

1257

1258 We thank the Global Ocean Oxygen Network (GO₂NE) expert working group of
1259 the Intergovernmental Oceanographic Commission of UNESCO (IOC-UNESCO) and its
1260 secretariat for supporting this work. We greatly appreciate the helpful reviews by
1261 Jackson Chu and an anonymous reviewer whose suggestions improved the clarity and
1262 content of the manuscript.

1263

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