

1 **Title:** Biological Indicators of Low-Oxygen Stress in Marine Water-Breathing Animals

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32 ABSTRACT

33 Anthropogenic warming and nutrient over-enrichment of our oceans have
34 resulted in significant, and often catastrophic, reductions in dissolved oxygen
35 (deoxygenation). Stress on water-breathing animals from this deoxygenation has been
36 shown to occur at all levels of biological organization: cellular; organ; individual;
37 species; population; community; and ecosystem. Most climate forecasts predict increases
38 in ocean deoxygenation, thus it is essential to develop reliable biological indicators of
39 low-oxygen stress that can be used by regional and global oxygen monitoring efforts to
40 detect and assess the impacts of deoxygenation on ocean life. This review focuses on
41 responses to low-oxygen stress that are manifest at different levels of biological
42 organization and at a variety of spatial and temporal scales. We compare particular
43 attributes of these biological indicators to the dissolved oxygen threshold of response,
44 time-scales of response, sensitive life stages and taxa, and the ability to scale the
45 response to oxygen stress across levels of organization. Where there is available
46 evidence, we discuss the interactions of other biological and abiotic stressors on the
47 biological indicators of oxygen stress. We address the utility, confounding effects, and
48 implementation of the biological indicators of oxygen stress for both research and
49 societal applications. Our hope is that further refinement and dissemination of these
50 oxygen stress indicators will provide more direct support for environmental managers,
51 fisheries and mariculture scientists, conservation professionals, and policy makers to
52 confront the challenges of ocean deoxygenation. An improved understanding of the
53 sensitivity of different ocean species, communities and ecosystems to low oxygen stress
54 will empower efforts to design monitoring programs, assess ecosystem health, develop
55 management guidelines, track conditions and detect low-oxygen events.

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60 INTRODUCTION

61 Oxygen remains fundamental to the success of most marine life. As a result of
62 both a warming planet and coastal eutrophication, oxygen-depleted waters (referred to
63 here as deoxygenated), have increased in both spatial and temporal extent in our oceans
64 (Breitburg et al., 2018). Open ocean oxygen minimum zones have expanded (Stramma et
65 al., 2008; 2010) and coastal areas experiencing hypoxia (low or depleted dissolved
66 oxygen) continue to increase worldwide (Diaz and Rosenberg, 2008; Dai et al., 2023).
67 While some ocean biota evolved to live in permanently low-oxygen environments,
68 normally oxygenated (normoxic) coastal waters are now experiencing periods of hypoxia
69 that range from diel to seasonal in time-scale and result in stress for water-breathing
70 animals. In addition, increases in ocean temperatures, both gradual with climate change
71 and episodic through events like marine heat waves, have decreased the solubility of
72 oxygen across various marine ecosystems and increased organisms' metabolic demands
73 and respiration such that deoxygenated waters are becoming less tolerable for marine
74 animals (Woods et al., 2022). New anthropogenic initiatives such as the expansion of
75 ocean aquaculture (e.g. Zhang et al., 2018) and planned large-scale mitigation measures
76 to enhance marine carbon sequestration (Levin et al., 2023) present new challenges with
77 respect to deoxygenation. These current and future challenges reinforce the critical need
78 to develop biological indicators of oxygen stress that can be used to assess and predict
79 the effects of expanding deoxygenation on ocean biota.

80 Oxygen has been proposed as an indicator of ocean health and of large-scale restoration
81 progress; for example, we can use oxygen content to monitor reduced nutrient loading
82 (Grégoire et al., 2021). However, in addition to monitoring oxygen, biotic indicators of
83 low-oxygen stress may provide more direct support for environmental managers,
84 fisheries scientists and policy makers in their efforts to better assess the sensitivity of
85 different ocean species, communities and ecosystems in response to oxygen content.
86 Indicators enable us to use readily available and measurable data to develop a variable,
87 or set of variables, that reflects the state of some aspect of the system that is important
88 and worth monitoring. Indicators should have specific criteria when being evaluated for
89 monitoring programs (e.g. Yoccoz et al., 2001; Reynolds et al. 2016). These criteria can
90 include: readily quantifiable, responsive and specific to the stressor of interest,
91 operationally feasible and able to detect response to the stressor over space and time.
92 Indicators are often used because they can be easier to measure than the actual aspect of
93 the system they are designed to assess. For example, observations of changes in animal
94 behavior (i.e. avoidance of hypoxic water) can sometimes be used as a quick, reliable
95 and inexpensive indicator of deoxygenation whereas sensors used to measure oxygen
96 loss might be difficult to obtain, costly and time intensive. Indicators can integrate
97 exposure effects over space and time and they are likely to reflect cause-and-effect as
98 they tend to have a direct mechanistic link to a stressor of interest. Note however that
99 indicators can be misleading if confounding variables are not considered or if the
100 indicator does not have sufficient validation for the level of biological organization
101 considered (e.g. species, population, community or ecosystem).

102

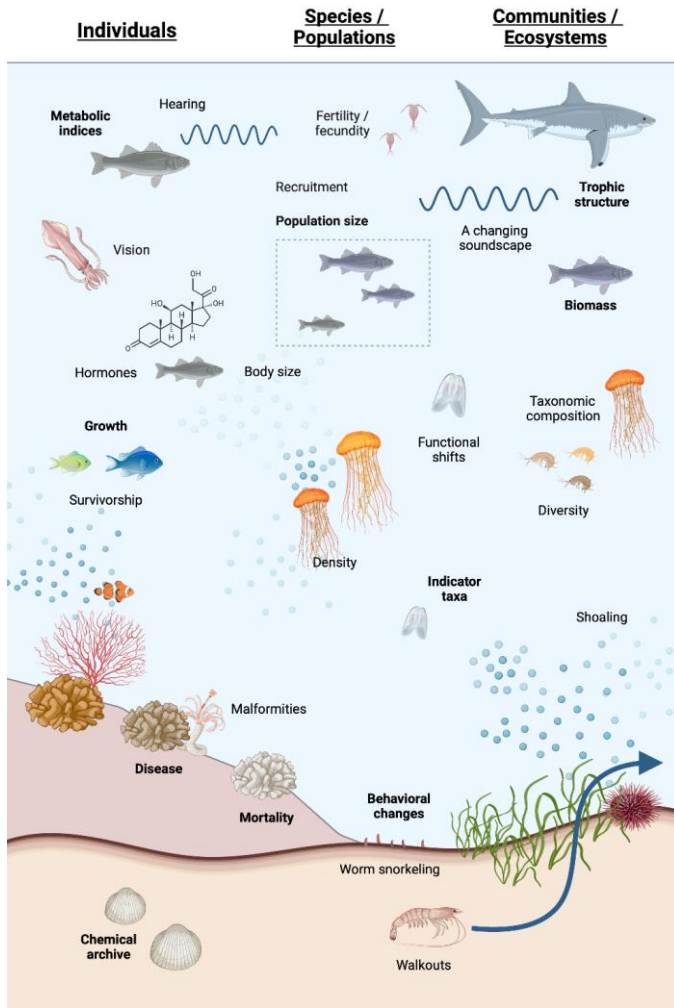
103 Indicators have been widely used by organizations at the international level to
104 assess the state of environmental health and sustainability. However, there remains an
105 open need for research and development of indicators at the international level which
106 focus on the biological effects of deoxygenation. For example, the Framework for Ocean
107 Observing (Lindstrom et al., 2012) has identified numerous Essential Ocean Variables
108 (EOVs) intended to capture the fundamental characteristics of marine ecosystems that
109 can be combined into indicators in order to represent natural complexity, track changes
110 in the environment, reflect management performance, deliver information and assess
111 progress in achieving long-term goals (Miloslavich et al., 2018). EOVs selected for their
112 societal and scientific responsiveness as well as their implementation readiness include
113 the abiotic variable oxygen, as well as biological variables such as biomass of
114 phytoplankton and zooplankton, fish abundance, and coral cover. Garçon et al. (2019)
115 examined the potential application of EOVs to understand biotic responses to oxycline
116 changes within Oxygen Minimum Zones (OMZs) for potential societal benefits such as
117 improved fisheries management. The study of EOVs and similar environmental
118 indicators can have tangible impacts on management and policy with the potential to
119 shape mitigation efforts and associated biodiversity policies. Thus, here we examine
120 indicators that show biological and ecological responses of organisms to low dissolved
121 oxygen (DO) in an effort to help guide those international efforts as well as the efforts of
122 local biological management.

123 Low-oxygen stress has been shown to occur at all levels of biological
124 organization (cellular, organ, individual, population, community and ecosystem) with
125 varying degrees of oxygen sensitivity and time-scales of response (Woods et al., 2022;
126 Figure 1). Measurements are often made on individuals and extrapolated to higher levels
127 of biological organization, time scales and spatial extent using various scaling methods
128 that are conceptual, statistical, or simulation-based. Issues specifically related to
129 indicators of low-DO effects include: (a) differentiating lethal versus sublethal
130 responses; (b) the fact that exposure of individuals to low-DO is time-dependent because
131 DO varies spatially and temporally and mobile organisms move through dynamic DO
132 fields; (c) low-DO exposure is almost always part of a suite of abiotic stressors that
133 covary to various degrees, thus making it difficult to isolate the responses to low-DO;
134 (d) scaling beyond the measured individual response to low-DO can be challenging
135 because the responses integrate across the population, community and ecosystem levels
136 which include a complex suite of biological interactions that are themselves affected by
137 low oxygen.

138 Deoxygenation rarely acts alone as a stressor. It is frequently recognized to be a
139 result of ocean warming and a product of increased respiration (which can be induced by
140 higher temperatures or excess nutrients). Thus, it is common for hypoxia to co-occur
141 with elevated temperature, lower pH and carbonate saturation state, presence of
142 hydrogen sulfide and /or increased food supply (Breitburg et al., 2019; Laffoley and
143 Baxter, 2019). This means that some low-oxygen indicators can be confounded with
144 other environmental factors and attribution to specifically to oxygen limitation becomes

145 problematic. In a mixed model meta-analysis of experimental studies, Sampao et al.
146 (2021) found that relative to warming and acidification, hypoxic events tended to induce
147 stronger negative effects on survival, abundance, development, metabolism, growth and
148 reproduction across taxonomic groups (mollusks, crustaceans and fish), ontogenetic
149 stages and climate regions studied. However, there were also clear interactions among
150 stressors in their biotic effects (both antagonistic and synergistic; Sampao et al., 2020).
151 Reddin et al. (2020) compared the interactive effects of warming, hypoxia and
152 acidification in causing global marine extinction patterns to modern experimental results
153 of the impacts of these stressors on marine organisms. They found that modern clade
154 responses to these climate-related stressors correlated with the clade genus survival rates
155 over the post-Cambrian Phanerozoic with the interactions of dissolved oxygen and
156 temperature having the strongest negative effects for tropical marine animals.

157 The focus of this review is on biological indicators of low-oxygen stress in water-
158 breathing marine animals. We identify indicators that have been determined for different
159 levels of biological organization, broadly defined: Individuals; Species/Populations;
160 Communities/Ecosystems, (Figure 1). We compare particular attributes of these
161 indicators to the oxygen threshold of response, time-scales of responses, sensitive life
162 stages and taxa, and the ability to scale up the response to oxygen stress to higher levels
163 of organization.



164

165 Figure 1. Schematic of deoxygenation indicators discussed below for (left)
 166 individuals, (middle) populations and species, and (right) communities and ecosystems.
 167 Created with BioRender.com

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169 INDICATORS OF OXYGEN STRESS

170

Individuals

171 *Cellular Responses:* Hypoxia Inducible Factors (HIF) and HIF-alpha in particular
172 is a transcription factor common to most metazoans that mediates gene expression in
173 many of the pathways which regulate cellular responses to hypoxia, including metabolic
174 depression, anaerobic metabolism and mitophagy. Hypoxia as well as Reactive Oxygen
175 Species (ROS) can inhibit the degradation of HIF-alpha, leading to HIF-alpha
176 accumulation in the cell. The response of the HIF-alpha subunit to hypoxia can be
177 measured through gene expression using RNA sequencing (e.g. Alderdice et al., 2021).
178 The relative amount of HIF-alpha and its location within a cell can be tracked using
179 fluorescent protein tagging (e.g., Kallio et al., 1998). The amount of HIF-alpha is
180 expressed at a higher rate, accumulates in the cell and is translocated into the nucleus
181 where it accumulates and is then associated with targeted metabolic responses. The
182 response time of HIF expression varies between the species tested (Alderdice et al.,
183 2021). . HIFs play a pivotal role in regulating the metabolic response of cells to hypoxia
184 and a change in HIF is likely to have a number of cascading physiological effects.
185 Application of this indicator would require molecular / cellular techniques to detect
186 changes in HIF (i.e. access to specialized laboratory facilities). Baseline levels and
187 response times vary among different species, thus multiple time points would be required
188 to know whether an individual is exhibiting an elevated response. HIF expression can
189 also vary diurnally, therefore treatment controls and temporal factors need to be
190 considered for the use of this indicator.

191 HIF appears to be widespread across metazoan phyla (aside from sponges) and is
192 therefore highly conserved (Rytkonen et al., 2011). However, there are differences
193 between closely related taxa (congeners) in the timing and magnitude of the HIF
194 response (Alderdice et al., 2020). Broad control of metabolic responses by HIFs is likely
195 to mediate organismal responses to other stressors. More work is needed to determine
196 why species differ in their baseline levels of HIF and the regulation of HIF with
197 prolonged hypoxia exposure since sustained response could have negative / irreversible
198 consequences for organisms.

199

200 *Sensory Systems:* Low oxygen can impair the sensory systems of marine water-
201 breathing animals. Changes in animal vision, olfaction and perception of sound can be
202 sensitive indicators of oxygen stress which impact population and community ecology.
203 While promising, more research is needed to elucidate the primary and secondary effects
204 of sensory impairment by low-oxygen stress on different groups of marine animals to be
205 practical for implementation in monitoring programs.

206 Vision metrics which show a negative response to low-DO include
207 electroretinogram responses (McCormick et al., 2019), behavioral responses (swimming,
208 sinking response to light) and distribution responses that are based on the loss /
209 impairment of vision (McCormick et al., 2017). If some species require more light in
210 deoxygenated waters, activities such as prey capture, predator avoidance and mating may
211 be impaired. Manifestations of visual impairment by hypoxia could include changes in

212 behavior, shoaling distributions and eye abnormalities (resulting from maternal hypoxia).
213 The physical manifestations of hypoxia, such as abnormalities and growth defects, may
214 be easier to detect than changing visual responses since visual response to low oxygen
215 has been quantified in very few organisms. There is a need to study how deoxygenation
216 might impact the vision of commercially harvested species, particularly since the vision
217 of several larval species is highly sensitive to deoxygenated waters. Impaired vision
218 could influence their survival (McCormick et al., 2022b) and hence be potentially useful
219 for future management in considering susceptibility to catch and possible fisheries
220 restrictions.

221 Similarly, animal perception of sound may also be impaired by the loss of
222 oxygen. For example, studies on fish show temporary losses of hearing under anoxia; a
223 lowered probability of a “fast startle” response, with an increased risk of falling prey and
224 decreased likelihood of successful foraging; and, possibly, a reduced ability to
225 communicate (Suzue et al., 1987; Sanchez-Garcia et al., 2019). While some reduction in
226 hearing response can occur rapidly with decreased oxygen (< 24 h), morphological
227 changes to fish otoliths in deoxygenated waters may occur over years. While animals’
228 perception of sound underwater is changing due to deoxygenation, we can expect to see
229 changes in the underwater soundscape itself that are associated with changes in
230 populations and ecology. Anthropogenic warming is speeding up the rate at which sound
231 travels underwater via changes in density (e.g. Affatati et al., 2022), while changes in
232 oxygen may alter what sounds animals make or the frequency of those sounds.

233 Changes in the olfactory processes / responses of some marine animals may be
234 associated with changes in oxygen. However, these changes are generally much harder to
235 study and have not been adequately explored across marine fauna to be useful as an
236 indicator at this time (Tigert and Porteus, 2023).

237

238 *Hormonal Changes Influencing Growth and Fertility:* Hypoxia exposure causes
239 physiological stress which increases endocrine cortisol levels relative to normoxic
240 conditions in fish (Léger et al., 2021). Hypoxia can also alter the levels of growth
241 hormones thereby negatively affecting growth among individuals relative to normoxic
242 conditions (Hou et al., 2020). Oxygen thresholds that give rise to changes in cortisol and
243 growth hormone levels are specific to species and developmental life stage. Changes in
244 cortisol levels can include rapid responses to acute hypoxia exposure or chronic
245 responses to long-term hypoxia exposure. These types of changes in stress hormones can
246 subsequently give rise to reduced immune function and feeding suppression (Gregory
247 and Wood, 1999) potentially leading to reductions in growth or higher natural mortality.
248 Measurements of cortisol in fish are typically conducted using an enzyme-linked
249 immunoassay (ELISA) in blood serum. Since plasma cortisol is the most commonly used
250 indicator of stress in fish, there are ongoing efforts to develop improved protocols for
251 cortisol measurement including non-invasive methods using fish scales (Sadoul and
252 Geffroy, 2019). Similarly, immunoassays can also be used to measure levels of growth

253 hormone in fish. This indicator is not hypoxia-specific however, and changes in cortisol
254 or growth hormone levels can reflect responses to other stressors such as handling stress
255 during sampling (which can artificially elevate cortisol). Thus, this indicator would likely
256 work best in experimental or controlled settings such as aquaculture facilities. However,
257 new approaches for measuring cortisol levels in fish scales may further extend the utility
258 of this indicator to field studies / nature.

259 Hypoxia can disrupt a variety of other hormones in fish and invertebrates beyond
260 stress and growth hormones and include hormones that control gonad development,
261 sperm motility and reproductive behaviors (Thomas and Rahman, 2009; Wu, 2009). At
262 certain low oxygen levels, reproduction is entirely inhibited and animals will not attempt
263 to mate for reasons that are not fully understood but likely have to do with hormonal
264 triggers. For example, the egg production rate of copepods has been shown to decrease in
265 deoxygenated water (Ambler, 1985) and egg production overall is reduced in response to
266 chronic oxygen limitation during the copepods' adult life stages. Rising temperatures and
267 low-DO conditions have been linked to changes in copepod antioxidants that would
268 normally protect lipids, proteins and DNA, all of which are important building blocks for
269 meiosis (von Weissenberg et al., 2022). Laboratory experiments also indicate that
270 exposure to low-oxygen conditions can have transgenerational effects on fish
271 reproduction. For example, Wang et al. (2016) found that hypoxia exposure among male
272 medaka fish led to decreased spermatogenesis and reduced sperm motility in the F2
273 generation. Female medaka low oxygen exposure led to greatly reduced hatching success
274 in the F2 generation (Lai et al., 2019). In croaker fish (*Micropogonias undulates*),
275 exposure to summer-time hypoxia resulted in reduced fertility indicator values measured
276 in the fall at the start of the adult spawning season (Thomas et al., 2015). Reductions in
277 fertility were seen at DO levels above those typically associated with croakers'
278 avoidance behavior such that exposure to fertility-limiting DO levels was high and quite
279 common among individuals found within the hypoxic zone of the Gulf of Mexico (Rose
280 et al., 2018b). Reductions in fertility caused by limiting DO represent sub-lethal effects
281 of deoxygenation and can be linked to important changes in population dynamics (e.g.
282 Richmond et al. 2006; Rose et al., 2018 a, b).

283 The development of the fertility indicator illustrates how, through a series of
284 coordinated laboratory experiments, field data collection and modeling, cause-and-effect
285 can be established between low-DO exposure and the resulting changes in the endocrine-
286 based indicator. Detailed laboratory experiments enabled the causal links between low-
287 DO exposure and endocrine responses within an individual female adult croaker
288 (Thomas and Rahman, 2009, Rahman and Thomas, 2017). The laboratory data were used
289 to develop a model of the endocrine functioning of vitellogenesis of individual fish
290 (Murphy et al., 2009). This allowed examination of how the indicators measured as
291 blood and organ concentrations, would vary over time and under exposures not
292 replicated in the laboratory. These model results were applied to field data from the
293 northern Gulf of Mexico and the indicators of hypoxia exposure / effects were used to
294 assess hypoxia effects at the population-level (Thomas et al., 2015; Rose et al., 2018a).

295

296 Growth/Body Size/Condition Factor: Growth, size and condition respond to
297 multiple biotic (i.e. food quality and quantity) and abiotic (oxygen, temperature, pH,
298 salinity) factors. The high ecological relevance of growth, size and condition is because
299 they integrate physiology over multiple sub-processes (metabolism, feeding) and are
300 influenced by multiple factors and stressors. Thus, while establishing cause-and-effect
301 linkages between low-DO and growth, size, and condition can be difficult, there is also
302 extensive information available at the individual-level regarding oxygen-induced
303 changes in these factors that are derived from laboratory and field data. This abundance
304 of data reflects the fact that body size and growth are relatively easy to measure and are
305 important determinants of individual fitness because they directly influence other
306 processes that are size- or condition-dependent (e.g., reproduction, mortality). A study
307 indicated that the sensitivity of early life growth of estuarine fish to low-DO is higher
308 than that of low-pH conditions (Depasquale et al., 2015). Reduced growth is observed at
309 DO levels above lethal levels and above DO levels that trigger avoidance in zooplankton
310 and fish (Richmond et al., 2006; Stierhoff et al., 2009). In the field, proxies for animal
311 growth rates have included measurements of RNA and DNA, otoliths and weight-
312 specific egg production (e.g. for copepods) which is usually the same as weight-specific
313 somatic growth (Berggreen et al., 1988). Low-DO affects movement, metabolism,
314 feeding behavior and energy intake, all of which depend non-linearly on both DO and
315 temperature (Woods et al., 2022).

316 Both laboratory and field studies have shown that fish and invertebrate species
317 are often smaller in oxygen-limited waters (Richmond et al., 2006; Casini et al., 2016;
318 Limburg and Casini, 2018). Physiology suggests that growth rate is more sensitive to
319 oxygen than development rate, thus for crustaceans, animals grow less between molts
320 and are smaller. The environmental oxygen level below which an organism can no
321 longer obtain sufficient oxygen to support 'normal' respiration is often termed the
322 organism's critical oxygen partial pressure, P_{crit} (e.g. Fry and Hart, 1948) Respiration rate
323 will be independent of environmental oxygen above P_{crit} and will be limited by, and
324 proportional to environmental oxygen, below P_{crit} . The environmental oxygen level
325 below which an organism can no longer obtain sufficient oxygen to support a minimum
326 survivable respiration rate can be thought of as the organism's lethal oxygen partial
327 pressure, P_{leth} . Below P_{leth} there will be an increased probability of mortality due to the
328 scarcity of oxygen in the environment. When oxygen levels are $< P_{crit}$ for any particular
329 species, a reduction in growth and size is likely to occur. When oxygen levels are $< P_{leth}$
330 for that same hypothetical species, it might be replaced by a smaller species with a lower
331 P_{leth} . Thus, dominance of smaller-sized organisms can occur as the result of oxygen
332 limiting growth among particular cohorts of individuals or through the replacement of
333 large-bodied individuals / species in an area by smaller species over a prolonged period
334 of hypoxia. Warmer temperature can also result in smaller body sizes among fish and
335 invertebrates (e.g. Atkinson, 1994). Thus, lower oxygen frequently interacts with
336 temperature to reduce organism size and can also cause a shift towards smaller bodied

337 species (Chapelle and Peck, 1999; Gillooly et al., 2001; Rubalcaba et al., 2020; Verberk
338 et al., 2021). For example, smaller copepods have a higher surface to volume ratio
339 compared to larger copepods, which favors their oxygen uptake (which occurs through
340 their body surface) over larger copepods in hypoxic waters. In laboratory experiments
341 Stalder and Marcus (1997) showed that the smaller copepod *Acartia tonsa*, survived low
342 oxygen conditions better than the larger *Labidocera aestiva* and *Centropages hamatus*.
343 In similar types of laboratory experiments, Roman et al. (1993) found that the smaller
344 copepod *Oithona colcarva* survived low oxygen conditions better than the larger *Acartia*
345 *tonsa*. Small-bodied and sessile benthic taxa are often more hypoxia- tolerant than large-
346 bodied taxa. This can lead to faunal size zonation across oxygen gradients among benthic
347 meio-, macro- and megafauna, as observed in oxygen minimum zones of the Indian
348 (Gooday et al., 2009a) and Pacific Oceans (Levin et al., 1991).

349 Condition factor is calculated from organism length and weight or by direct
350 methods related to lipid content (e.g. Herbinger et al., 1991). Condition factor is a
351 morphometric measurement taken on animals collected inside/outside of hypoxic areas,
352 while estimates for growth require that multiple samples be taken over time. Condition
353 factor of Baltic cod has been related to hypoxia exposure with worsening hypoxia in the
354 last two decades leading to poor condition that along with other trending factors
355 (decreasing food availability), has contributed to a long-term population decline (Casini
356 et al., 2016; Limburg and Casini, 2019). Both individual growth and condition factor can
357 be scaled up to the population with sufficient sampling. For example, Eby et al. (2005)
358 assessed low-DO effects on Atlantic croaker in the Neuse River U.S. estuary using
359 growth rates estimated from cage experiments in the field and benthic cores used to
360 quantify food availability. They compared summers across three years that had different
361 hypoxia conditions and conducted field surveys (feeding, condition, growth) to assess the
362 effects of low-DO on juvenile fish growth rate. They used a stage-within-age matrix
363 model to estimate the population-level effects of low-DO and found that reduced
364 juvenile growth due to hypoxia also reduced population growth rates. As coastal hypoxia
365 expands, more studies are needed to understand the effects of low-DO on animal growth
366 rates.

367

368 Malformation: Low-DO conditions can result in abnormal development of
369 marine organisms. The most sensitive life stages are larvae with malformation by
370 hypoxia confirmed for larval stages of polychaetes, oysters, and fishes in laboratory
371 experiments. For example, larval development of the tubeworm, *Hydroides elegans*, was
372 delayed and more malformed larvae were found in low-DO conditions (Shin et al., 2013;
373 2014; Leueng and McAfee, 2020). High mortality and detrimental effects on
374 development and growth were found in the oyster, *Crassostrea virginica*, under hypoxia
375 (Baker and Mann, 1992). Exposure to moderate hypoxia for larval stages of the
376 European Seabass, (*Dicentrarchus labrax*), induced opercular malformation (Cadiz et al.,
377 2018). A subset of market squid, (*Doryteuthis opalescence*), embryos exposed to low-

378 DO and low pH exhibited malformations including eye dimorphism and deformities in
379 the mantle and body (Navarro et al., 2016). Malformation caused during early life stages
380 might induce lower survival of larvae through reduced ability to capture food and escape
381 from predators. More research is needed to determine the carry-over effects of
382 malformation during larval stages to the later developmental stages. Oxygen demand and
383 food availability are both related to malformation, thus warmer temperatures and less
384 food availability are important co-stressors.

385

386 *Mortality:* Mortality is the most conspicuous and common metric for hypoxia
387 impact on aquatic organisms and is used in the development of water quality criteria in
388 various coastal systems. Mortality at a particular oxygen level indicates that the
389 organism's metabolic processes cannot be maintained by the ambient oxygen. While
390 animals may tolerate short-term reductions in oxygen, mortality occurs once they deplete
391 their anaerobic coping mechanisms. Immediate mortality may occur during extreme
392 hypoxic events or under anoxic conditions that are accompanied by the release of
393 hydrogen sulfide. Most low oxygen tolerance measurements for mortality are made
394 under laboratory conditions by manipulating oxygen partial pressure (Vaquer-Sunyer and
395 Duarte, 2008). The lethal oxygen concentration as defined in laboratory experiments is
396 measured over a set time period, usually 24 h. Lethal hypoxia has also been estimated
397 from field measurements with organism presence/absence as a function of oxygen
398 concentration (or partial pressure). Field-based estimates of mortality are less certain
399 because of the temporal and spatial variations in oxygen as well as changes in the
400 vertical/horizontal distribution of the organism due to avoidance of the deoxygenated
401 water. Temperature will affect the assessment of lethal oxygen level because of its
402 influence on oxygen solubility as well as the animals' overall metabolic demands. Thus,
403 if an oxygen concentration is used for estimates of lethal oxygen level, the temperature
404 and salinity conditions should also be reported to allow for the calculation of oxygen
405 partial pressure (Hofmann et al., 2011).

406 The accurate use of mortality as an indicator of deoxygenation is subject to the
407 characteristics of an organism's life history and habitat. Larval stages with limited
408 oxygen uptake features may have higher lethal oxygen thresholds than juvenile and adult
409 stages. Spawning fish with salinity challenges and feeding cessation may also be more
410 sensitive to low oxygen. Benthic species which cannot swim out of hypoxic zones may
411 have more physiological mechanisms to survive at low oxygen concentrations. Low-DO
412 that results in individual mortality can have a range of critical levels that may depend on
413 the age/size of the organism. These variable impacts could be used in assessments of the
414 impact of low oxygen on the mortality rate of populations (Rose et al., 2018b). The lethal
415 limit of oxygen for a particular species can be used for the analysis of available animal
416 habitat (e.g. Brandt et al., 2023) and as a water quality criterion for maintaining the
417 species in particular water bodies (Ekau et al., 2020).

418

419 *Chemical Archives of Hypoxia Exposure:* Dissolved manganese (Mn^{2+} and Mn^{3+})
420 becomes more abundant under low oxygen conditions in marine waters (Trouborst et al.,
421 2006). Fish otoliths take up the trace element manganese (Mn) and the Mn:Ca ratio in
422 the aragonitic otoliths can reflect the fish's presence in deoxygenated waters (Limburg et
423 al., 2015). The use of Mn:Ca in otoliths as a hypoxia indicator requires knowledge about
424 regional differences in seawater Mn concentrations which can otherwise confound or
425 complicate interpretations of otolith data in fish from different areas. Further
426 complicating the use of this metric of hypoxia exposure is the observation that
427 manganese uptake is also affected by growth rate (Limburg et al., 2015). Another otolith
428 chemical proxy for hypoxia is the ratio of Mn to the trace element magnesium (Mg),
429 which is also taken up in otoliths but is regulated by growth processes (Limburg et al.,
430 2018). These chemical ratios in otoliths were used to infer not only exposure to hypoxia
431 of cod to low oxygen waters in the Baltic but also physiological stress as indicated by
432 reduced metabolic activity as suggested by lower Mg:Ca (Limburg and Casini, 2019),
433 but see Valenza et al., (2023) for an opposite response in Gulf of Mexico. Recent
434 analysis of six fish species from three open ocean OMZs (Namibia, Southern California
435 and Baja California) revealed a common elemental fingerprint attributed to hypoxia
436 exposure, based on Sr:Ca, Mn:Ca, Ba:Ca, Cu:Ca and Mg:Ca and distinct from giant sea
437 bass collected in well-oxygenated shallow waters (Cavole et al., 2023). Few tests of
438 invertebrate structures exist, however Navarro et al. (2014) documented elevated U: Ca
439 in squid statoliths experimentally subjected to low oxygen alone and low oxygen / low
440 pH compared to normoxic conditions.

441

442 *Metabolic Indices:* The tolerance to hypoxia decreases with increasing temperature as a
443 result of reduced oxygen solubility and increased animal respiration (Pörtner and Knust,
444 2007). The relationship of oxygen supply to oxygen demand, called the Metabolic Index
445 (MI), describes the “potential” of the environment to support aerobic metabolism relative
446 to basal metabolism (Deutsch et al., 2015). The MI accounts for the non-linear
447 interactions of temperature and oxygen stress to particular organisms. Deutsch et al.
448 (2020) recently updated this metabolic index to account for the effect of species-specific
449 oxygen supply capacity. This modification improves estimates for highly mobile or
450 hypoxia-tolerant species with high oxygen supply capacities. The application of both
451 metabolic indices (Penn et al., 2018; Deutsch et al., 2020) requires information on
452 experimentally derived temperature-dependent low oxygen thresholds that are not
453 available for most marine species. Yet, these indices can still be applied when
454 experimental data are lacking, using the approach developed by Howard et al. (2020),
455 which is based on the development of different ecophysiotypes. The MI is not an index
456 of biological stress due to low-oxygen waters but rather a predictor of the environment
457 that satisfies the oxygen requirement of a particular species or ecophysiotypes. The MI
458 approach has been used to project species distributions in future warmer oceans (Deutsch
459 et al., 2015), past and future species extinction (Penn and Deutsch, 2022), the
460 distribution and size of species in future oceans (Deutsch et al., 2023) and the “climate

461 velocity” of the MI, which predicts how fast and in which direction an organism will
462 need to move in order to survive and maintain its metabolic niche in a future ocean
463 (Parouffe et al., 2023). Clarke et al. (2021) developed a comparable index, called the
464 Aerobic Growth Index (AGI), which integrates growth theory, metabolic theory and
465 biogeography (Cheung et al., 2013) to create a theoretical oxygen supply to demand
466 ratio. AGI uses oxygen demand at the maintenance metabolic rate, while the metabolic
467 indices (Deutsch et al., 2020; Penn et al., 2018) use oxygen demand at the resting
468 metabolic rate. Note that these forecasts and hindcasts using MI include livable habitat
469 space estimated from temperature and oxygen and not the required food resources or
470 predation pressure. In addition, the forecasts of animal distributions based on MI or AGI
471 currently do not allow for variation in tolerances within species, adaptive responses that
472 take days or weeks to occur, nor adaptation to lower oxygen through evolution. Most of
473 the information we have on oxygen tolerance (which forms the basis of MI) is derived
474 from studies that focused on the adult stages of larger organisms. Few, if any of these MI
475 forecasts include validation with measurements of animal abundance. One validation
476 used the *in-situ* temperature and oxygen of Chesapeake Bay to predict the Bay volume
477 where oxygen supply would exceed oxygen demand for the copepod *Acartia tonsa*
478 (Roman and Pierson, 2019). Field measurements of copepod distributions verified that *A.*
479 *tonsa* abundance was higher in areas of the water column with a positive predicted MI
480 index (Roman and Pierson, 2019).

481 Field Metabolic Rates (FMR) have been estimated for teleost fish by analyzing
482 the $\delta^{13}\text{C}$ of their otoliths (Chung et al., 2019). The stable isotope composition of C in the
483 aragonite of fish otoliths varies with the isotopic composition of fish blood which is
484 determined by the Dissolved Inorganic Carbon (DIC) in ambient water and the
485 metabolized carbon released by respiration. Chung et al. (2019) determined that the $\delta^{13}\text{C}$
486 of the otoliths of Atlantic cod (*Gadus morhua*) were related to oxygen consumption in
487 the laboratory. The relationships established were applied to wild cod and other deep-
488 water fish species to infer *in situ* FMR (Chung et al., 2019). Jones et al. (2023) applied
489 the FMR approach to assess warming and deoxygenation of the North Sea on both
490 juveniles and adult European plaice (*Pleuronectes platessa*) in time-series in the North
491 Sea between the 1980’s and 2000’s to show the effect of increasing temperatures on the
492 FMR of the fish. Like other otolith proxies, the FMR was limited to timescales no shorter
493 than approximately one month (e.g., Jones et al., 2023). However recent developments of
494 otolith microchemistry increased the timescale of response to 10 days (Sakamoto et al.,
495 2022) and possibly extends to weekly to daily for faster growing otolith species like jack
496 mackerel (Muto et al., 2023; Enomoto et al., 2023). Otolith $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ can be
497 measured simultaneously and thus can be used to assess FMR (carbon ratios) and
498 temperature (oxygen ratios) under model assumptions and known salinity conditions
499 since $\delta^{18}\text{O}$ of water has a linear relationship with salinity. Additional laboratory work to
500 calibrate the $\delta^{13}\text{C}$ of otoliths with respiration needs to be conducted for additional fish
501 species including both juveniles and adults over a range of temperatures. Both the MI
502 and FMR have the potential to be widely used for direct measurements of metabolic rate

503 in fishes; serve as valuable input data to models; and are important tools to assess fish in
504 a future warmer ocean with less oxygen.

505

506 **Species/Populations**

507

508 Indicator Species: Particular species can be bioindicators of a set of
509 environmental conditions including past and present oxygen state (Schwacke et al.,
510 2013).. They may reflect high sensitivity to hypoxia or they may be hypoxia-tolerant
511 species that dominate a system under severe oxygen loss as other species are eliminated.
512 We can also identify indicator taxa (higher-level groupings such as genera or families)
513 whose presence or absence may reflect hypoxia. Ligoxyphiles are low oxygen
514 specialists meaning that they are species that select for and thrive in environments with
515 extreme hypoxic conditions (Gallo et al., 2019); their presence and abundance can be
516 used as an indicator of hypoxic conditions. Examples of macroscopic ligoxyphiles
517 include certain types of soft-bodied fishes (e.g. *Cheruble emmelas* and *Cephaluros*
518 *ceplalus*), medusa and ctenophores.

519 At the level of species, indicator response could be to oxygen availability
520 (concentration, partial pressure, percent saturation) or to extremes, duration, or temporal
521 variability of hypoxia. Loss or increase of sentinel hypoxia indicator species or taxa are
522 likely detected via community inventories as part of time series. Community-based
523 sampling through imagery taken by Remote Operated Vehicles (ROVs) or sampling by
524 trawls, multicores or grabs can detect these sentinel species. The presence of biogenic
525 materials from sentinel/indicator species (e.g. shells, scales, otoliths, environmental
526 DNA (eDNA), bones) in sediment cores could also be used to examine species response
527 to oxygenation through time (considering changing sedimentation and erosion) and to
528 detect hypoxia indicator taxa (e.g. Moffitt et al., 2015).

529 Hypoxia tolerance is often associated with organic enrichment as is the case for
530 annelids in the genus *Capitella* (Rosenberg, 1972) although *Capitella* cannot tolerate
531 anoxia (Ogino and Toyohara, 2019). Taxa indicative of low oxygen conditions that are
532 found commonly in suboxic basins ($DO < 0.1 \text{ ml L}^{-1}$) often include the gastropod *Astyris*
533 (*Allia permodesta*) and the oligochaete *Olavius crassitunicatus* (Levin et al., 2003). In
534 the Gulf of California, the catshark *Cephalurus cephalus* and the ophidiid *Cherublemma*
535 *emmelas* select for areas with suboxic conditions ($DO < 5 \mu\text{mol kg}^{-1}$; Gallo et al. 2019).
536 The molluscs *Lucinoma heroica* and *Dacrydium pacificum* and the codlet *Bregmaceros*
537 *bathymaster* are also species indicative of the presence of extreme hypoxic conditions in
538 the Gulf of California (Zamorano et al., 2007). Certain species of benthic foraminifera
539 have been used as indicators of low oxygen conditions in paleo-oceanographic studies
540 (Gupta and Machain-Castillo, 1993). *Uvigerina peregrina* for example, is associated
541 with oxygen minimum zones in the Pacific and Arabian Sea (e.g., Moffitt et al., 2014).
542 Members of the genera *Globobulimina* and *Chilostomella* can withstand euxinic

543 conditions and can store and respire nitrate (Glud et al., 2009; Piña-Ochoa et al., 2010).
544 The benthic foraminifera, *Globobulimina pseudospinescens*, *Stainforthia fusiformis*, and
545 *Nonionella turgida* can indicate the presence of anoxic or severely hypoxic conditions in
546 Scandinavian fjords and these species can survive these conditions by storing and
547 respiring intracellular nitrate (Risgaard-Petersen et al., 2006). For hypoxia-tolerant
548 species that take over a system via successful reproduction this may be related to life-
549 cycle duration and could take months to years. Long-term seasonal presence of bottom
550 water hypoxia may favor pelagic copepod species which brood their eggs as compared to
551 broadcast spawners whose eggs would sink into anoxic/hypoxic bottom waters. For
552 example, increased eutrophication and low oxygen bottom waters have resulted in an
553 increase in the abundance of the small, egg-carrying copepod *Oithona davisae* in Tokyo
554 Bay and decline in the occurrence of *Acartia omorii* and *Paracalanus sp.*, copepods that
555 release their eggs into the water column (Uye, 1994).

556 Indicator species presence may be a straightforward way to detect oxygen
557 changes and is easy to interpret. Hypoxia thresholds have been demonstrated for many
558 taxa (Vaquer-Sunyer and Duarte, 2008) and highly sensitive species have been
559 identified. However, indicator species or taxa may vary regionally as species evolve
560 different oxygen tolerances in different settings or geographic regions that vary in the
561 intensity and temporal variability of hypoxia (Chu and Gale, 2017). Note that a single
562 indicator taxon niche is rarely unidimensional such that multiple indicators can provide a
563 more robust effect of deoxygenation. Mobile species tend to function as hypoxia-
564 sensitive sentinels whereas sessile taxa may be better tolerant sentinels. A species utility
565 as a sentinel may be determined by accessibility, interest, and response time. If the
566 sentinel species is dominant and lost under increasing hypoxia or if the sentinel species is
567 rare and increasing under hypoxia, it can alter the structure and diversity of communities
568 (or catch). Hypoxia-tolerant and hypoxia-sensitive species may also have different
569 trophic strategies giving rise to food web shifts that accompany the loss or gain of certain
570 indicator taxa.

571

572 *Disease/Parasites:* Under low oxygen conditions, some parasitic and microbial
573 infections can become more common or severe, affecting both individuals and
574 populations. Certain types of pathogenic bacteria start to grow under low oxygen
575 conditions, increasing organisms' likelihood of exposure (Guo et al., 2022). In addition,
576 low oxygen effects on host immune responses are common. For instance, low oxygen
577 disrupts endocrine function and can alter organisms' abilities to buffer against parasitic,
578 bacterial and viral infections at the hormone level (Overstreet, 2021). Reduced
579 hemocyte function and reactive oxygen species production have been found in fish,
580 mollusks and crustaceans exposed to hypoxia (Mydarz et al., 2006; Breitburg et al.,
581 2019; Burnett and Burnett, 2022). The exposure to hypoxia can alter individuals'
582 immune responses on time scales of minutes to hours as well as through longer-duration
583 chronic exposure. At the population level, low oxygen can increase the prevalence, mean

584 intensity and spatial distribution of infections. Understanding disease as an indicator of
585 low oxygen conditions may be especially important in an aquaculture context as steps
586 can be taken to improve oxygen conditions for the organisms or to move the organisms
587 to well oxygenated waters. The concentration or partial pressure of oxygen that induce
588 disease is a potentially significant non-lethal oxygen threshold that may be useful in
589 setting water quality goals. However, elevated infection prevalence and intensity can be
590 influenced by a wide variety of factors, including co-occurring stressors such as elevated
591 $p\text{CO}_2$. Disease metrics may therefore serve as better indicators of past hypoxia (and the
592 biological changes caused by hypoxia) than as indicators that hypoxia is currently
593 occurring.

594

595 *Behavioral Responses:* Avoidance is a near-universal response of mobile species
596 to encountering low oxygen conditions. Tolerances vary among species and life stages,
597 and because species vary in how they respond to near lethal levels of hypoxia, avoidance
598 behaviors have broad implications for functional habitat availability and can alter spatial
599 and temporal overlap between predators and prey, potential competitors and
600 conspecifics. Measurable indicators of avoidance of low-oxygen waters can be
601 presence/absence, shallower depths of centers of abundance maxima and reductions of
602 vertical habitat space.

603 Zooplankton can change their vertical position in the water column to avoid low-
604 DO bottom waters. In general, depth-stratified zooplankton sampling has shown that
605 copepod abundances are higher in the surface mixed layer and within the pycnocline
606 compared to hypoxic bottom water in coastal environments (Roman et al., 1993;2012;
607 Keister et al., 2000; Pierson et al., 2009; Keister and Tuttle, 2013). However, the vertical
608 compression of their distribution to the upper water column can increase their
609 vulnerability to predation by visually feeding fish, and thus alter food-web processes
610 (e.g. Pothoven et al., 2012; Roman et al., 2012).

611 Fish are among the most hypoxia-sensitive and most mobile aquatic species who
612 shoal towards the surface, into shallow areas, or away towards open/oxygenated water as
613 oxygen concentrations decline (Eby and Crowder, 2002; Wu et al., 2002). For example,
614 avoidance of low oxygen has been demonstrated by billfish (Stramma et al., 2012), tuna
615 (Ingham et al. 1977) and sharks (Vedor et al., 2021). Skipjack tuna in particular exhibit
616 an alarm threshold of 3.5 ml L^{-1} DO which helps them avoid conditions representing
617 their median tolerance of $2.4\text{-}2.8 \text{ ml L}^{-1}$ DO (Ingham et al., 1977). These various
618 behavioral responses to evade hypoxia can lead to habitat compression in which a
619 portion of an organism's range becomes unusable (Kim et al., 2023) and the absence of
620 an organism from where it is normally found. In extreme situations, such an escape
621 response may fail when fish are trapped by land, the entire water column goes hypoxic
622 and/or fish are encircled by hypoxic water, resulting in fish kills which are among the
623 most conspicuous signs of hypoxia. Few studies have examined the response time of

624 avoidance behaviors of mobile taxa which appear to vary for sensitive versus tolerant
625 species from minutes to days.

626 Hypoxia may force organisms into subpar habitat with fitness consequences such
627 as striped bass (*Morone saxatilis*), that are pushed from deeper hypoxic waters in
628 shallows where they are confronted with thermal stress (Kraus et al., 2015; Itakura et al.,
629 2021). Many shallow-water fish species also utilize aquatic surface respiration,
630 ventilating more highly oxygenated water at the air water interface. While this avoidance
631 behavior of ‘last resort’ may enhance survival, there are associated risks of increased
632 vulnerability to aerial and surface predators (Dominici et al., 2007). This phenomenon is
633 apparent in the “Jubilees” in Mobile Bay, Alabama, USA (May, 1973), and “Lobster
634 walkouts” in St. Helena Bay, South Africa (Cockcroft, 2002) which are among the most
635 widely recognized of such events that have achieved culturally iconic status. In these
636 cases, commercially important species including crustaceans and fish, flee hypoxic
637 bottom waters and move into shallows or even onto shore, searching for more
638 oxygenated water where they are vulnerable to harvest in their lethargic and moribund
639 state. Often mobile organisms emerging from their burrow or crevice habitats become
640 more vulnerable to predation, and so an ancillary indicator of hypoxia may be predators
641 gorging on dead and moribund organisms where those predators are adept at tracking the
642 edge of fluctuating hypoxia areas and/or at making brief forays into hypoxic areas (Seitz
643 et al., 2003). However, these top predators with high mobility are facing a tradeoff
644 between low-oxygen and increased prey availability. In other cases, predators that track
645 more oxygenated water masses may be able to exploit prey that have done the same,
646 such as tuna species in the mid-latitudes that aggregate in the warm core eddies with high
647 oxygen concentration in the subsurface which allows them to feed on mesopelagic
648 species for a longer time (Xing et al., 2023).

649 Soft-bottom infaunal species also exhibit escape responses emerging from
650 burrows and buried positions to the sediment surface to seek higher oxygen (and possibly
651 evading hydrogen sulfide). This includes *Nephrops* lobsters normally tucked away in
652 burrows that suddenly appear in bottom trawls during hypoxic events, infaunal worms
653 atypically exposed on the sediment surface and amphipods that extend their tubes above
654 the sediment surface to reach higher into the water columns (Diaz and Rosenberg, 1995).

655 Population Size: A reduction in population size in response to deoxygenation can
656 be the result of reduced reproduction and recruitment, increased mortality as a direct
657 response to oxygen stress or indirect response through less food availability or increased
658 predation. Depending on the generation time of the species, both short-term episodic as
659 well as longer-term chronic deoxygenation can reduce population size (Adamack et al.,
660 2017; Roman and Pierson, 2019; Pierson et al., 2022; 2023; Duskey, 2023). The limiting
661 and lethal oxygen partial pressure for impacts on the various developmental stages of the
662 species would allow the assessment of the impact of *in-situ* oxygen partial pressures on
663 the population. Limits of this approach include the need to know the P_{crit} and P_{leth} oxygen
664 levels of the various life stages, unknown genetic adaptations to low oxygen and other

665 abiotic/biotic factors that complicate the interpretations. It usually is not possible to have
666 the oxygen tolerance information for all species of interest so comparisons/modeling will
667 be necessary to broaden applications to guilds, functional groups and body size scaling.
668 Impacts of low oxygen are taken into consideration for population models for
669 commercial fisheries and predictions of essential habitat for restoration and protection.

670

671 *Population Growth Rate:* Population growth rate integrates growth, survival, and
672 reproduction of individuals and expresses the net effect of these vital rates at the
673 population-level. Population growth rate therefore reflects multiple pathways of low-DO
674 effects. Population level growth rate is also the basis of management of harvested species
675 and regulatory actions. Like mortality, measuring population growth rate directly in the
676 field is challenging but there is a long history of using statistics and modeling to scale
677 population growth from the available data on growth, mortality, and reproduction (Doak
678 et al., 2021).

679 Population growth rates integrate across effects and life stages and are used for
680 fisheries management and species conservation. Logistic population models have a long
681 history in ecology and directly use population growth rate (r) and carrying capacity (K).
682 Maximum Sustainable Yield (MSY) is traditionally estimated as 1/4th of K times r .
683 Fisheries stock assessments and population modeling for conservation often use more
684 complicated matrix projection models with the population divided into classes (age,
685 stage, or size) that use survival, growth, and reproduction rates specific to classes to
686 generate r and K , in addition to other population-level metrics (Doak et al., 2021). The
687 link to hypoxia indicators is how exposure to low-DO affects the survival, growth, and
688 reproduction rates, either of the total population or by age-class (Rose et al., 2001).
689 Smith and Crowder (2011) used a logistic growth model for blue crabs (*Callinectes*
690 *sapidus*) and included hypoxia effects via changes in predation mortality which affects r
691 and K . Eby et al. (2005) demonstrated how a traditional stage-based matrix model can
692 be used to combine reduced juvenile stage growth rate due to hypoxia to finite
693 population growth rate λ , which is equal to e^r . There are many examples of hypoxia
694 causing reduced habitat availability (e.g., Zhang et al., 2010; Gallo and Levin, 2016;
695 Franco et al., 2022) that can limit the production of a particular life stage within the life
696 history, translating into reduced local productivity (related to r) and reduced carrying
697 capacity. Long et al. (2014) used an age-structured matrix model for the clam *Macoma*
698 *balthica* in two regions with varying DO (permanently normoxic and occasionally
699 hypoxic) and found that hypoxia affected mortality via altered predation pressure,
700 fecundity, and maturity. They reported the response of λ as a function of the proportion
701 of area extent of the hypoxic zone and the duration of the hypoxia.

702 Low-DO has direct and indirect effects that affect survival and reproduction
703 (maturity, fecundity), all of which determine population growth rate, r . There are few
704 examples of direct calculation of growth rate of the population from field data, but it is

705 more common to use a model to scale these parameters to population growth rates. An
706 example is Eby et al. (2005) who used a stage-within-age matrix projection model and
707 converted low-DO effects on growth rate of individuals into extended stage duration for
708 juvenile croaker.

709

710 Recruitment Rate: We use the term recruitment here in the fisheries sense of the
711 number of individuals that survive to the stage or age after which natural mortality rate is
712 relatively constant. Note that recruitment is also used (often with benthos and some
713 invertebrates) as the number of larvae that settle and enter their sessile stage. Fisheries
714 recruitment as an indicator of low-DO is of direct ecological and management relevance
715 as it is a driver of population dynamics and forms the basis of most fisheries
716 management plans. However, examples of empirically-based DO effects on fisheries
717 recruitment are rare because recruitment is highly variable, logistically difficult to study,
718 and influenced by many factors and stressors (Houde, 1997), making isolation of the
719 effects of low-DO challenging.

720 Ariyama and Secor (2010) analyzed dredge catch data and showed that the
721 recruitment of Gazami crab (*Portuans trituberculatus*) is related to DO levels. Jung and
722 Houde (2004) examined bay anchovy (*Anchoa mitchilli*) in Chesapeake Bay and found
723 that recruitment of young of the year (YOY) in October was related to DO
724 concentrations and standing stock biomass in the previous summer. They used anchovy
725 length rather than DO directly as a proxy for low-DO effects on growth in a Ricker
726 spawner-recruitment model. Similarly based on analysis of survey data, Boyer et al.
727 (2001) also implicate hypoxia in reduction of the northern Benguela sardine (*Sardinops*
728 *sagax*) recruitment. Population recruitment is thus a valuable index of deoxygenation
729 that has a direct application to fisheries management.

730

731 **Communities/Ecosystems**

732

733 Diversity: Diversity metrics reflect the number of species present and how
734 individuals are distributed among the species. This information represents an aggregated
735 outcome of biotic responses manifesting at the individual and population level that are
736 discussed earlier in this paper. Diversity metrics may include components of species
737 richness, evenness, dominance, rarity, and occasionally trophic traits or a combination of
738 these metrics. Common indices include species richness (S), Shannon Wiener (H'),
739 Simpson's D , Pielou's J , Rarefaction (ES_x), Hill numbers (qD), and Rank 1 dominance
740 ($R1D$). Diversity indicator metrics can be applied to counts of individuals categorized by
741 species, family or even phyla, but can also be applied to Operational Taxonomic Units
742 (OTUs) or Amplicon Sequence Variants (ASVs), even when species associated with
743 genetic sequences are not known. Diversity, as well as evenness and dominance, are

744 calculated from count data based on field samples or imagery, that are often generated by
745 extensive processing or analysis in the laboratory. Diversity of eukaryotes typically
746 declines with decreasing DO concentration below a threshold that varies with guild and
747 assemblage body size (e.g., mega, macro, meiofauna; Breitburg, 2002; Levin, 2003;
748 Gooday et al., 2010). Examples of species-richness declines under low oxygen exist for
749 many different systems, including bivalves in temperate estuaries (Ducrottoy et al., 2019),
750 corals in tropical reefs (Altieri et al., 2017), benthos and plankton on seamounts
751 (Wishner et al., 1995), demersal fish in oxygen minimum zone regions (Gallo and Levin,
752 2016) and fauna of continental slopes (Gooday et al., 2010; Hunter et al., 2012).
753 Dramatic diversity declines are often accompanied by declines in evenness and increased
754 dominance by one or a few species (Levin, 2003; Jeffreys et al., 2012; Yasuhara et al.,
755 2012). Dominance by species may reflect high physiological tolerance to low oxygen,
756 better competitive abilities under low-oxygen (relative to other species), high food
757 supply or a combination of these factors.

758 Diversity thresholds are influenced by the duration of exposure and temporal
759 variability of low-oxygen stress such that diversity responses differ in coastal versus
760 bathyal OMZ settings in different ocean basins and for mobile versus sessile fauna
761 (Levin et al., 2010; Chu and Gale, 2016; Chu et al., 2018). Diversity (and evenness and
762 dominance) response to oxygen declines or increases have been documented over
763 seasonal cycles, inter annually (e.g. ENSO) and over historical and geological time
764 scales (Arntz et al., 2006; Rabalais and Baustian, 2020; Zarikian et al., 2022). In East
765 Pacific OMZs where oxygen stress is persistent, diversity thresholds for benthic
766 macroinvertebrates and demersal fish occur around 7 $\mu\text{mol kg}^{-1}$ DO (Sperling et al.,
767 2016; Gallo et al., 2020). In coastal waters with seasonal hypoxia, diversity thresholds
768 (assumed to be reflected in species thresholds) may average around 63 $\mu\text{mol kg}^{-1}$ DO, but
769 for crustaceans can be 25-42 $\mu\text{mol kg}^{-1}$ DO in the East Pacific, and 43-77 $\mu\text{mol kg}^{-1}$ DO
770 in the Atlantic Ocean (Chu and Gale, 2016).

771 Advantages of diversity (and evenness and dominance) as an indicator of low
772 oxygen stress include integration of response across species with a clear linkage to
773 ecosystem function and health. Changes in dominance are easy to detect via monitoring
774 programs. Thus, when the community shifts to a dominance by a hypoxia-tolerant
775 species, it can be a good indicator of oxygen stress at the ecosystem level. Diversity
776 assessment often requires painstaking inventory of species and counts of individuals,
777 requiring both time and resources. Quantitative multiplex PCR (e.g. Wong et al., 2022)
778 can measure eDNA for several target species, small species, and even cryptic species,
779 but is limited for quantitative assessment (e.g., Shelton et al., 2023). Diversity, evenness
780 and dominance can also be influenced by other factors such as salinity, food availability
781 or contamination, independently or synergistically with deoxygenation (Rozenzweig and
782 Abramsky, 1993; Levin and Gage, 1998; Pilo et al., 2015).

783 Local (alpha) diversity responses to low-oxygen stress are well documented for
784 benthic metazoan invertebrates (Gooday et al., 2010), benthic foraminifera (Tsujimoto et

785 al., 2006) and demersal fish (Gallo et al., 2020), with the paleo literature replete with
786 examples for fossil forming biota (e.g., Tsujimoto et al., 2008; Aberhan and Baumiller,
787 2003; Yasuhara et al., 2012; Moffit et al., 2014; 2015; Singh et al., 2015). Alpha
788 diversity can be scaled up to gamma diversity across gradients or larger geographic
789 scales. Annelid, nematode and calcareous foraminifera species show high dominance
790 among benthic sediment fauna subject to severe hypoxia. In extreme OMZs, a single
791 species may comprise 40-100% of the macrofauna (Levin, 2003; Jeffries et al., 2012)
792 and foraminifera (Gooday et al., 2000). Metazoan examples include *Linopherus* sp. on
793 the Pakistan Margin at 800m (100% of macrofaunal individuals); *Olavius crassitunicatus*
794 on the Peru margin (86%) and *Diaphorosoma* sp. on the Chile margin (73%). Protozoan
795 examples include the foraminifera *Bolivina seminuda* on the Oman margin (43%)
796 (Gooday et al. 2000). In coastal waters of Chesapeake Bay, paleo dominance (60-90%)
797 by *Ammonia parkinsoniana* is associated with hypoxia (Karlson et al., 2000).

798 Because hypoxia can favor some species, including invasives, hypoxia may lead
799 to higher regional diversity in an ecosystem, even while suppressing alpha diversity at a
800 given impacted site. Given that recovery of diversity following a hypoxic event may
801 take far longer than the initial decline, the fingerprint of diversity as a hypoxia indicator
802 may be apparent for years or decades, allowing for 'detection' of a hypoxic event long
803 after oxygenated conditions have returned.

804 A more mechanistic community-level indicator of the intensity of effects caused
805 by eutrophication-induced hypoxia, focused on species loss, is the Effect Factor (EF)
806 (Cosme and Hauschild, 2016). EF is designed to evaluate impacts of anthropogenic
807 nitrogen and organic inputs on demersal communities by assessing the fraction of species
808 that will be affected by hypoxia based on their individual thresholds. It requires
809 knowledge of species in the community, their hypoxia sensitivities, their geographic
810 distributions and environmental conditions. A species sensitivity distribution
811 methodology is used to combine species distribution and lowest-observed-effect-
812 concentrations for species to estimate the DO concentration at which half of the
813 community's species are affected. This metric, which extends the concept of diversity to
814 include species sensitivity to hypoxia can function as a hypoxia stress or ecosystem
815 health index; it has been applied at large spatial scales for 5 climate zones (Cosme and
816 Hauschild, 2016) and to 66 Large Marine Ecosystems (Cosme et al., 2017).
817 Modifications involving species density distributions have generated additional indices
818 including a Potentially Affected Fraction and Potentially Disappeared Fraction (Cosme
819 et al., 2017).

820
821 *Taxonomic Shifts and Ratios: Changing species abundance can lead to taxonomic*
822 shifts in community composition and resulting ratios of specific taxa are sometimes
823 considered as hypoxia indicators. Because mobile species can respond quickly to oxygen
824 decline the resultant shifts in taxonomic composition may offer an early warning of
825 hypoxia. These typically reflect differential tolerance of taxa at the species or higher
826 level. As with other indicators the intensity, persistence, duration and temporal sequence

827 of hypoxia will influence taxonomic responses. Taxonomic responses are detected by
828 sampling and counting the entire community or by sampling and counting targeted taxa.
829 Thresholds for taxonomic response differ between seasonally hypoxic/coastal systems
830 and permanently hypoxic systems and with species ontogenetic stage, mobility and body
831 size.

832 It is generally thought that larger-bodied animals that can swim will be most
833 sensitive to low-oxygen conditions and will avoid areas of hypoxia when possible. For
834 example, in a meta-analysis of Atlantic species, fish and crustaceans exhibited less
835 hypoxia tolerance (i.e., higher sublethal and lethal thresholds) than priapulids and
836 molluscs (Vaquer-Sunyer and Duarte, 2008), presumably because of their high metabolic
837 demands and their high mobility. In pelagic systems, specific copepod and krill genera
838 specialize in low-oxygen conditions (Wishner et al., 2013; Tremblay et al., 2020). In
839 coastal waters the dominance of gelatinous zooplankton (ctenophores, jellyfish,
840 siphonophores, salps) over crustaceans in hypoxic waters reflects their tolerance to
841 hypoxia (Breitbart et al., 1997; Ekau et al., 2010; Miller et al., 2012; Purcell, 2012).
842 Note however, that cusk eels and cat sharks (Gallo et al., 2018) and tuna crabs (Pineda et
843 al., 2016) at bathyal depths in the Eastern Pacific can be extraordinarily abundant at DO
844 concentrations $< 2 \mu\text{mol kg}^{-1}$.

845
846 Within OMZs and other hypoxic areas, echinoderms often avoid the lowest
847 oxygen concentrations but form dense bands at OMZ edges (discussed earlier). Sponges
848 with high hypoxia tolerance often replace stony corals (Chu et al., 2019) and annelids
849 and nematodes often dominate over other major taxa in both coastal and deep sediments
850 subject to hypoxia (Levin, 2003; Levin et al., 2009; Rabalais and Basutian, 2020).
851 However, there are locations such as the Namibian shelf where molluscs or crustaceans
852 dominate the infauna at very low oxygen concentrations and the longer-lived, hard
853 shelled gastropod and bivalve taxa have been proposed as indicators of oxygen change
854 (Zettler et al., 2009; 2013). Among foraminifera, the rotaliids and buliminids with small,
855 thin walled calcareous tests dominate in severe hypoxia over forams with agglutinated
856 tests (Gooday et al., 2009). On the Louisiana shelf *Pseudonion atlanticum*,
857 *Epistominella vitrea* and *Buliminella morgani* have been used as indicators of
858 historically low-oxygen in sediment core records (Osterman et al., 2003). Similarly,
859 some ostracod species (e.g., *Bicornucythere bisanensis* in Japan; Irizuki et al., 2003;
860 Yasuhara et al., 2003; 2007; *Loxococoncha* sp. in the eastern coast of USA; Alvarez
861 Zarikian et al., 2000, Cronin and Vann, 2003) have been used as low-oxygen indicators
862 (Yasuhara et al., 2012; 2019).

863
864 Assessing taxonomic shifts and ratios is an important objective of most long-term
865 ecological time series, however it can be difficult to assess these indicators across
866 ecosystems due to methodological artifacts and limitations. For instance, the temporal
867 and spatial scales of response by the zooplankton community to low oxygen can be very
868 small and sometimes go undetected due to the coarsely integrated sampling approach of
869 most extended net tows (Wishner et al., 2020). For metazoan meiofaunal communities,

870 the nematode:copepod ratio is often cited as an indicator of contaminant stress
871 (Warwick, 1981) but is also seen to change along oxygen gradients in space and time
872 (Levin et al., 2009). Nematode counts increase relative to copepod counts as oxygen
873 declines, reflecting strong tolerance of nematodes to severe hypoxia. The ratio emerges
874 easily from quantitative surveys of meiofaunal taxa, but can be time consuming and
875 difficult to compute when done manually. Changes in nematode:copepod ratios have
876 been observed along OMZ gradients in the Eastern Pacific on a seamount off Mexico
877 (Levin et al., 1991) and on the Costa Rica (Neira et al., 2018), Chile (Neira et al., 2001),
878 and Peru (Levin et al., 2002) margins. Interannual changes in nematode dominance are
879 associated with ENSO cycles off Peru and Chile (Gutierrez et al., 2008; Levin et al.
880 2009). The ratio affects the next trophic level – potentially selecting for consumers with
881 different food preferences and can indicate functional change. Among macrofauna, the
882 polychaete to amphipod ratio can reflect changing eutrophication (Dauvin 2018) and
883 water quality (Maximov and Berezina 2023) but does not seem to be a good oxygen
884 indicator. For protozoa, the ratio of *Ammonia* to *Elphidium* (both benthic foraminifera
885 genera) is a common oxygen/eutrophication proxy, with *Ammonia* species much more
886 tolerant to hypoxia (Sen Gupta et al., 1993).

887
888 Recovery following hypoxia may follow a predictable pattern of species accumulation
889 and replacements (Lim et al., 2006; Steckbauer et al. 2011) and thus taxonomic
890 characterization of communities in a well-studied system may indicate the timing of a
891 prior hypoxic event. As a consequence of variation among species in their tolerance to
892 hypoxia and their ability to recolonize habitat following a low-oxygen event, community
893 composition will be a product of not only the severity of hypoxia, but also the interval
894 between such events (i.e., persistent, seasonal, episodic, or periodic). In areas where
895 hypoxia is persistent, frequent, or recently occurred, we might expect to see the simplest
896 types of communities made up of a limited number of hypoxia-tolerant and/or
897 opportunistic species. While hypoxia is typically thought of as an agent of species
898 elimination, it can have positive effects at the local scale on the presence or abundance of
899 some animals, by freeing up resources through elimination of competitively dominant
900 species, or by excluding less tolerant predators and creating a predation refuge for more
901 hypoxia tolerant prey. Moreover, these potential benefits can extend to invasive species,
902 and as a consequence, hypoxia may lead to higher beta or gamma diversity in an
903 ecosystem, even while suppressing alpha diversity at a given impacted site.

904 Abundance and Biomass: Changes to community-level measures of abundance
905 and biomass can occur in response to hypoxia. Animal abundance and biomass
906 collections are typically made using community-sampling methods, including trawls,
907 sediment cores, net tows or pumps and visual surveys, or are quantified from video and
908 still imaging by ROVs, submersibles, photo-sleds or autonomous landers. Changes in
909 these indicators can be seen when sampling across oxygen gradients in space or in a time
910 series as abundance and biomass respond to oxygen changes seasonally, as well as over
911 interannual, historical and geologic time scales (Seitz et al., 2009; Moffitt et al., 2014;
912 2015). Species abundance and biomass reflect important biological processes such as

913 recruitment, growth, avoidance, mortality and local extinctions. These indicators broadly
914 integrate responses across species and changes related to the productivity of a system,
915 which is important from a fisheries and ecosystem management perspective (Breitburg,
916 2002). However, because abundance and biomass are closely linked to productivity and
917 food availability, they are often confounded with nutrient input and eutrophication,
918 which gives rise to opposing responses (i.e. abundance will increase with eutrophication
919 but decrease with deoxygenation; Breitburg et al., 2009).

920 The abundance of vulnerable taxa or size groups will typically decrease once
921 oxygen levels fall below a certain oxygen threshold and they may first rapidly increase to
922 higher than baseline levels prior to or at this threshold due to 'edge effects' (Wishner et
923 al., 1995; 2013; Levin, 2003; Yasuhara et al., 2007; 2012; Gooday et al., 2010). Edge
924 effects can result from: (a) different taxa aggregating in a smaller area due to avoidance
925 of a hypoxic zone as described for certain fisheries species in the Gulf of Mexico (Craig,
926 2012); (b) plentiful food (e.g., phytodetritus and meiofauna) combined with absence of
927 predators in a specific oxygen zone as described for OMZs (Gallo and Levin, 2016); or
928 (c) can be related to dominant taxa that thrive at high abundances at a specific low-
929 oxygen threshold, for example ophiuroids bands that form on seamounts and continental
930 margins (Levin, 2003; Vlach, 2022), cusk eels in Narragansett Bay (Hale et al., 2016),
931 and the cusk eel *Cherublemma emmelas* in the Gulf of California (Gallo et al., 2018;
932 2020).

933 The degree of abundance and biomass limitation due to low-DO is dependent on
934 the severity, extent and duration of hypoxia. Oxygen thresholds are taxon-specific, body-
935 size specific, and region-specific. For example, on the US Pacific Coast (Keller et al.,
936 2015) and off Peru (Rosenberg et al., 1983) the catch per unit effort (CPUE) of demersal
937 fish decreases below a specific oxygen threshold, however the thresholds differ between
938 the two systems, with the oxygen threshold being lower off the coast of Peru. DO has
939 also been shown to be an important covariate in explaining demersal fish CPUE in the
940 Chesapeake Bay (Bucheister et al., 2013). However, in general fish landings can be poor
941 indicators of hypoxia due to the effects of shoaling and aggregation (Rose et al., 2019;
942 Chesney et al., 2020).

943 At the community level, changes in animal density can occur at a higher oxygen
944 threshold than that required to see changes in species richness or diversity. For demersal
945 fish in the Gulf of California, for instance, $DO\ 3\ +/-\ 1\ \mu\text{mol kg}^{-1}$ was identified as the
946 threshold below which fish density decreased (Gallo et al., 2020), compared to a DO
947 threshold of $7\ \mu\text{mol kg}^{-1}$ for diversity (H'). Reductions in abundance of sensitive, less-
948 mobile fish species may occur due to fish kills (Graham et al., 2004; Thronson and
949 Quigg, 2008). Effects may be direct via increased mortality, through prolonged exposure
950 to low-DO (Breitburg et al., 1999; 2003; Turner, 2001; Diaz and Breitburg, 2009) or
951 indirect via reduction of habitat availability in the benthos (Turner, 2001), water column
952 (Wang, 1998; Breitburg et al., 1999; Chesney et al., 2000; Turner, 2001) or through
953 alterations to food web structure (Graham, 2001). The general observation of reduced

954 coastal copepod abundances in water columns with hypoxic bottom waters (Roman et
955 al., 1993; Keister et al., 2000; Kimmel et al., 2012) suggests they have lower population
956 growth, greater mortality, predation and/or emigration.

957

958

959 Functional Shifts: In areas subject to episodic or seasonal hypoxia, infaunal
960 animals may exhibit changes in dwelling habit and depth within sediments. Behavioral
961 responses to hypoxia include tube lengthening or body extension into the water column
962 by polychaetes and amphipods, shallower burial, emergence from sediment or aggregate
963 formation to raise animals up into the water column (reviewed in Diaz and Rosenberg,
964 1995; Levin et al., 2009). Although these are rarely monitored as indicators, they
965 generally reflect oxygen declines. These changes along with replacement of large, deep
966 dwellers and suspension feeders by taxa that are smaller, near-surface dwelling and
967 surface-deposit-feeding lead to declines in bioturbation and bio-irrigation under hypoxia
968 (Diaz and Rosenberg, 1995; Middelburg and Levin, 2009). Metrics that reflect these
969 changes include sediment mixed layer depth, burrow size and diversity, and bioturbation
970 rate (Db), although the latter metric is not always positively correlated with oxygen
971 concentration (Smith et al. 2000). Under persistent, stable hypoxia some tolerant species
972 deepen their vertical distributions as long as some oxygen is present (Levin et al.,
973 2009a). In low sedimentation areas, hypoxia-induced changes in sediment mixing can
974 lead to reduced organic matter decomposition and enhanced carbon preservation
975 (Canfield 1994).

976 Thresholds for the changes outlined above often occur around 2 ml L⁻¹ DO for
977 shallow water taxa and at 0.4 ml L⁻¹ DO (or less) for OMZ species. Sediments on the
978 Pakistan margin shift from laminated (no bioturbation) to fully bioturbated across
979 gradients from DO 0.1 to 0.2 ml L⁻¹ (Levin et al. 2009b). Because nutrient and organic
980 matter additions often drive oxygen depletion, the hypoxia indicators described above
981 also reflect organic matter enrichment (Pearson and Rosenberg, 1978). Other functional
982 changes can include altered rates of colonization (DO between 0.05 and 0.5 ml L⁻¹;
983 Levin et al. 2013) and altered carbon cycling pathways (Woulds et al., 2007) with
984 protozoans dominating carbon uptake over metazoans under severe hypoxia. These
985 functional responses tend to occur on seasonal or longer time scales and may therefore
986 prove most useful as an indicator of long-term oxygen loss.

987

988 Food Web Structure: Deoxygenation can result in changes in the presence,
989 abundance, and behavior of interacting species in marine food webs. The severity and
990 distribution of oxygen concentrations (or partial pressures) can affect relevant behaviors
991 and alter encounter rates. As discussed previously, the presence, distribution, and
992 behaviors of individual species can change in response to both oxygen distribution and
993 its effects on organisms at low levels. Under low-oxygen conditions, tolerant species can

994 become more dominant throughout the food web because their predatory strategies are
995 less affected and/or escape behavior is less impaired relative to other species with which
996 they interact (Breitburg et al., 1997; 1999). Certain feeding modes such as carnivory can
997 become less common or even absent under severely hypoxic conditions (Sperling et al.,
998 2013). Food chain length can also be impacted by hypoxia, becoming longer and
999 supporting fewer top predators, with more energy flow-dominated by microbial
1000 pathways (such a shift from carbon fixation to chemosynthesis). This change in food web
1001 structure results in less trophic transfer upward and the presence of conspicuous
1002 microbial mats that are themselves an indicator of hypoxic conditions (Levin, 2003;
1003 Woulds et al., 2007; Levin et al., 2009a). This relationship between food chain length
1004 and low-oxygen was apparent during the early Cenozoic warm period (50 mya), when
1005 the warmer, less oxygenated ocean supported longer food chains and a lower abundance
1006 of top predators (Norris et al., 2013).

1007 Shifts in the distribution of species from an area subject to oxygen loss to areas
1008 nearby (where oxygen levels may vary) could alter surrounding food webs. Certain
1009 ecological guilds that were once underrepresented may become more abundant in an
1010 ecosystem as they escape deoxygenation elsewhere or track prey that have migrated to
1011 find better oxygenated waters.

1012 As with other metrics, thresholds for trophic changes will depend on differences
1013 in tolerance of the various interacting species. Tolerance thresholds will vary among
1014 species (e.g. finfish versus gelatinous zooplankton), habitats (e.g. estuaries vs OMZs),
1015 geography and temperatures. In estuaries, gelatinous zooplankton (scyphozoans and
1016 ctenophores) tend to be more tolerant of low-oxygen exposure than their copepod and
1017 larval fish prey and more tolerant than juvenile or adult fishes competing for the same
1018 prey (Breitburg et al., 1997). Conversely, sessile or relatively sedentary organisms are
1019 often more hypoxia-tolerant than their predators and may gain a refuge. This is the case
1020 for estuarine hard clams that reach their highest abundance in low-DO areas where their
1021 predators are excluded (Altieri, 2008) and in kelp forests where hypoxia reduces grazing
1022 pressure, thereby increasing kelp survival (Ng and Micheli, 2020).

1023 Shifts in consumer interactions associated with hypoxia result in altered food web
1024 structure and trophic function. Lower proportions of carnivory in the polychaete
1025 community have been found under low-oxygen conditions (Sperling et al., 2013). Under
1026 hypoxic conditions demersal fish on continental slopes shift from feeding in the water
1027 column (on vertically migrating zooplankton and fish) to consuming largely benthic
1028 prey, yielding longer, less efficient food chains (Gallo, 2018). Hypoxia-induced changes
1029 in food webs may result from changes in the abundances of some species and/or the
1030 distributional overlap of predators and prey (Breitburg et al., 1997; Ekau et al., 2010).
1031 Diets of fishes can differ in hypoxic water as shown for Atlantic bumper
1032 (*Chloroscombrus chrysurus*) in the Gulf of Mexico (Glaspie et al., 2018). Some fishes
1033 may even benefit from hypoxia if their prey are forced into more vulnerable predatory
1034 habitats as suggested for Chesapeake Bay where striped bass may benefit from

1035 concentration of bay anchovy prey in the well-oxygenated mixed layer (Costantini et al.,
1036 2008). Whether effects of hypoxia on fish populations are positive or negative is likely to
1037 be species-specific and ecosystem-dependent (Breitburg et al., 1997; 2002; Costantini et
1038 al., 2008) and also dependent on the severity of low-oxygen coupled to the prevailing
1039 temperatures and the relative tolerances of predators and prey.

1040 Shifts in trophic structure are detected with field measures of encounter rates, gut
1041 contents analysis and stable isotopes that detect changes in the base of the food chain (δ
1042 ^{13}C) or trophic level (δ ^{15}N). Models such as ECOPATH/ECOSIM (Christensen and
1043 Walters, 2004) are useful to combine field and experimental data to achieve a more
1044 comprehensive understanding of changes to food webs (e.g. de Mutsert et al., 2017).
1045 Similarly, biomass, abundance or catch trophic spectra can offer a high-level indicator of
1046 changes to food webs (e.g. Gascuel et al., 2005).

1047 There are some indices that incorporate elements of diversity and trophic function
1048 such as the Infaunal Trophic Index (ITI) (Word 1978), AZTI's Marine Biotic Index
1049 (AMBI) Caswell et al. 2019), and the Trophic state Index for Benthic Invertebrates (TSI-
1050 BI) (Chalar et al. 2011). These have been applied over a range of sediment ecosystems
1051 and over extended periods of time to examine change including in response to oxygen
1052 loss.

1053 Trophic indicators offer a holistic measure that synthesize a variety of responses
1054 of individual species. As with many indicators discussed here, time and resources are
1055 required for fieldwork and experiments. Changes in food web structure can be driven by
1056 other co-occurring environmental stressors in addition to hypoxia such as changes in
1057 nutrient input, introduction of an invasive species and species distribution changes due to
1058 climate change.

1059

1060 DISCUSSION

1061 *I. Scaling of Indicators*

1062 Scaling of indicators is often necessary to enable the observed values of the
1063 indicator to be interpreted as representing the state of the system and for results to be
1064 expressed on spatial and temporal scales that are ecologically or societally meaningful.
1065 Consideration of what types and to what extent scaling is needed is important when
1066 selecting an indicator, designing a sampling plan and interpreting and communicating the
1067 results of an indicator. Scaling often determines what species and life stages to measure,
1068 the specific indicator(s) needed and how to allocate effort to sampling locations and
1069 frequency of sampling.

1070 Scaling can employ graphical or statistical analyses to extrapolate the measured
1071 conditions of individuals to broader areas than those locations sampled (e.g. sub-regions,
1072 basin-wide) or to more generalized timescales than those captured by the data (e.g.
1073 month, season, years). This scaling employs the statistical concept of looking for patterns

1074 in the data collected at different locations and/or over time and subsequently making key
1075 assumptions about how these data reflect broader conditions to infer the population of
1076 indicator values.

1077 Another type of scaling analysis is used with indicators to derive a mechanistic
1078 understanding of how the indicator logically and causally relates to higher levels of
1079 biological organization (population, community or ecosystem). For example, low-DO
1080 impaired vision affects detecting prey that determines feeding and growth that affects
1081 vulnerability to predator (mortality) and fecundity, which affect population abundance.
1082 This integration and scaling across levels of biological organization from the organismal
1083 to ecosystem level can be represented in a conceptual diagram (Altieri and Witman,
1084 2006), where low-oxygen stress reduced survivorship and growth of individual mussels
1085 and impacted the density and spatial extent of mussel populations. Individuals of a single
1086 species could be used to infer the state of the population while observations on multiple
1087 species can be leveraged to community (e.g. diversity) and food web levels (e.g. energy
1088 pathways). The condition of individuals as indicated by lipid content (e.g. Herbinger et
1089 al.,1991) suggests sufficient exposure to low-DO can elicit a response of the
1090 bioenergetics and physiology of the individual. Reduced animal condition can be related
1091 to the oxygen state of the system and can lead to higher mortality, lowered fecundity and
1092 other responses that can be directly related to population, community or food web levels.
1093 While values of indicators on subsets of individuals can stand alone to show exposure
1094 and responses of individuals, scaling translates indicator observations into potentially
1095 more-relevant levels of biological organization and scales of time and space. This
1096 mechanistic scaling approach was used by Rose et al. (2018a, b) to examine how reduced
1097 growth, increased mortality, and reduced fecundity due to low-DO exposure affected
1098 croaker (*Micropogonias undulatus*) population dynamics in the Gulf of Mexico. By
1099 using an agent-based model with a 2-D grid that included dynamic DO field, the time-
1100 dependent exposures of individuals were simulated and avoidance behavior was
1101 projected.

1102 Scaling of indicators can include numerical models which provide a quantitative
1103 translation of the indicator into variables that are more relevant to management and
1104 society. Common situations requiring such modeling are when multiple stressors covary
1105 and DO effects need to be isolated or when expressing indicators in units explicitly
1106 chosen to inform policy (e.g. economic impacts of reduced biodiversity) and
1107 management decisions (e.g. fisheries yield). For instance, Franco et al. (2022) scaled
1108 low-DO effects to habitat changes of Pacific halibut (*Hippoglossus stenolepis*) in the
1109 Northeastern Pacific. They used fisheries-independent data and model predictions from
1110 ROMS-BEC of oxygen and a metabolic index was used to map suitable aerobic habitat.

1111

1112 *II. Application of Indicators*

1113 The suite of indicators discussed differ in the temporal and spatial scales of
1114 oxygen influence which are reflected in the types of settings where it is most applicable,
1115 in possible confounding factors and in the expertise and resources required for
1116 application. These differences are summarized in Table 1. All of these aspects together
1117 influence the potential applications of the different indicators of low-oxygen stress.

1118 Table 1. Summary considerations for application of hypoxia indicators including settings, confounding factors, expertise, resource
 1119 requirements and utility. ** Readiness based on combined criteria: conceptual foundation, feasibility of implementation, response
 1120 variability, interpretation and utility (Jackson et al. 2000; EPA 620-R-99/005)

Indicator Category	Indicator	Disciplinary Expertise Required	Resources/Accessibility	Confounding Factors Affecting Interpretation	Overall Utility/Application	Readiness for monitoring**
Individual - Cellular Responses	Hypoxia Inducible Factors	Physiology	Access to specialized laboratory facilities	Species differences	Specialized application in lab, aquaculture, field	Moderate
Individual - Sensory Systems	Vision	Physiology	Requires specialized tools for ERG, behavior	Acidification	Management of fishery stocks (catch limits, closures) or endangered species but requires species-level verification	Low
	Hearing	Physiology/acoustics	Access to specialized laboratory facilities	Acidification	Not sufficiently developed at this time	Low
Individual - Hormonal Responses	Endocrine, cortisol levels	Physiology	Immunoassay facility	Handling stress	Most useful in experimental systems or aquaculture	Moderate
	Growth hormone	Physiology	Access to specialized laboratory facilities	Endocrine disruptors	Management of fisheries stocks	Moderate
	Reproduction/fertility	Physiology	Access to specialized laboratory facilities	Size, temperature, food supply	Fisheries management, conservation, aquaculture	High
Individual - Growth and condition	Body size	Ecological	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Fisheries management, conservation, aquaculture	High
	Malformation	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Acidity, food supply, temperature	Diagnostic for habitat suitability, useful in aquaculture	High
	Mortality	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, disease	Establishing oxygen thresholds, improving aquaculture conditions, remediation, fisheries management	High

Individual - Immune response	Disease	Pathology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	pCO2, temperature, crowding	Diagnostic for habitat suitability	High
	Chemical archive of hypoxia exposure	Trace element-fingerprinting	LA-ICPMS, Synchrotron	Growth rate	Hindcasting field exposure to hypoxia	Moderate
Individual - Physiology	Metabolic Indices	Ecological/Modeling	Computer, otolith chemistry	Temperature	Diagnostic for habitat suitability in past, present, future	Moderate
Species/Population - Abundance related	Population Size	Ecological	Boats, ships, transects, sampling gear, personnel	Food supply	Fisheries management, conservation, aquaculture	High
	Age structure	Ecological	Chronometric structures such as scales, otoliths, vertebrae, etc.	Fishing pressure	Fisheries management, conservation, aquaculture	High
	Growth Rate	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, otoliths, personnel	Temperature, food supply	Establishing oxygen thresholds, improving aquaculture conditions, remediation, fisheries management	Moderate
	Fertility	Reproductive physiology	Boats, ships, or lab rearing facilities, sampling gear, calipers, computer personnel	Temperature, food supply	Stock assessment models, improving aquaculture conditions	Moderate
	Survivorship	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Stock assessment models, improving aquaculture conditions	Moderate
	Recruitment rate	Ecological/Modeling	Computer, estimates of age-based population size, migration, mortality	Temperature, food supply	Stock assessment models, improving aquaculture conditions, conservation priorities	Low
	Indicator species/taxa	Taxonomic	Boats, ships, diving, sampling gear, microscopes	Predation, food supply	Early warning of hypoxia hazard, remediation success,	High
Species/Population - Behavior	Avoidance/Walkouts	Field ecology, Citizen science	Imaging, acoustics	Temperature	Hypoxia event indicator, species-specific management (fisheries, aquaculture)	High

	Aquatic surface respiration	Citizen Science	Can be observed from piers or boats	Volunteers	High utility as hypoxia event indicator, aquaculture management	High
	Shoaling distributions	Field ecology	Boats, ships, depth-stratified field sampling	Temperature	Ecosystem-based management; Fisheries implications	High
	Vertical distribution and bioturbation	Field ecology	Scuba gear, imaging systems	Hydrogen sulfide	Hypoxia event indicator, benthic species-specific management (fisheries, aquaculture)	High
Community/Ecosystems	Diversity	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, balances, personnel, eDNA	Food supply, disturbance	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Dominance	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Density	Ecological	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply, Hydrogen sulfide	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Biomass	Ecological	Boats, ships, diving, sampling or imaging gear, balances, calipers, personnel	Food supply	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Taxonomic ratios	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants, habitat alteration	Hypoxia/ deoxygenation detection; co-management of cumulative disturbances	Moderate

	Taxonomic composition	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants	Water quality/waste management, hypoxia/deoxygenation detection; co-management of cumulative disturbances	High
	Functional Shifts	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA, literature access	Food supply	Fisheries management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Trophic Structure	Isotopic, Gut content analysis (items or DNA), Modeling	Mass spectrometer, microscopes, dissecting tools for gut contents, DNA, computer	Food supply	Fisheries management co-management of cumulative disturbances	Moderate

1121

1122 **Fisheries and Aquaculture** - Coastal areas where natural and anthropogenic
1123 nutrient inputs can result in deoxygenation of bottom waters include regions of enhanced
1124 fisheries and aquaculture (Nixon and Buckley, 2002; Breitburg et al., 2018; Zhan et al.,
1125 2023). While deoxygenation impacts on fisheries catch can be difficult to ascertain
1126 because of fish movement, adaptations and changes in fishing effort and techniques,
1127 laboratory experiments have demonstrated deleterious impacts on species that are
1128 commercially harvested (Roman et al., 2019; Rose et al., 2018b; Laffoley and Baxter,
1129 2019; Zhan et al. 2023). Deoxygenation impacts on fisheries may be most impactful on
1130 artisanal fisheries and aquaculture facilities which often have little capacity to relocate as
1131 hypoxia grows in space and time. Aquaculture can contribute to deoxygenation through
1132 the organic input to bottom waters (Rice, 2014) and animals restrained in nets and cages
1133 are unable to escape harmful oxygen conditions. Research is needed to develop
1134 aquaculture species with strong hypoxia tolerance and economic potential (see Zhan et
1135 al., 2023).

1136 **Water Quality Management** - Environmental management agencies in many
1137 countries have established goals to protect and expand essential habitat for aquatic
1138 organisms using oxygen concentration as an indicator to estimate *in-situ* physiological
1139 stress. In Chesapeake Bay, U.S., this approach has been taken to develop estimated
1140 habitat space for different animal groups based on oxygen concentrations and low-
1141 oxygen tolerance (e.g. Batiuk et al., 2009; Zhang et al., 2018). In the Gulf of Mexico,
1142 nutrient management targets aim to reduce the area of the hypoxic zone to achieve
1143 similar habitat improvements (Scavia and Donnelly, 2007). The Baltic Marine
1144 Environment Protection Commission (HELCOM) considers “oxygen debt” as a metric
1145 for ecosystem health (e.g. Stoicescu et al., 2019). This is consistent with the EU Marine
1146 Strategy Framework Directive aimed at improving marine waters, which considers the
1147 concentration of oxygen in near-bottom waters as an indicator (Friedland et al., 2021). In
1148 all of these cases, low-oxygen conditions are prioritized because they are considered to
1149 have negative consequences for a broad range of harvestable marine organisms as well as
1150 their pelagic and benthic prey. Generally, these minimum oxygen concentrations are
1151 based on mortality estimates and sometimes sublethal effects. The partial pressure of
1152 oxygen rather than oxygen concentration may be the more relevant measure of DO
1153 availability (Hofmann et al. 2011) because it integrates the effects of temperature and
1154 salinity on oxygen availability.

1155 **Climate and the Carbon Cycle** - Biotic changes associated with deoxygenation
1156 can alter processes of carbon and nutrient mixing, remineralization, nitrification and
1157 denitrification, carbon transport, accumulation and sequestration and climate feedbacks
1158 from nitrous oxide or methane release (Breitburg et al., 2018). Use of biotic indicators
1159 can identify times, places or conditions where these climate-relevant changes to the
1160 carbon or nutrient cycles or feedbacks may occur. The Intergovernmental Panel on
1161 Climate Change (IPCC) reports and World Ocean Assessments mention oxygen in the
1162 context of climate change far more than IPBES, but even these suffer from low
1163 confidence of oxygen observations or models and limited-to-no attention in summaries

1164 for policy makers (Levin, 2022). Ideally oxygen sensitivity and indicators discussed in
1165 this review could become a central part of climate change and biodiversity policy, for
1166 example: in the United Nations Framework Convention on Climate Change (UNFCCC)
1167 global stock take; Ocean Dialogue; Nationally Determined Contributions and National
1168 Adaptations Plans; as well as in the research and systematic observations discussions in
1169 UNFCCC Subsidiary Body for Scientific and Technological Advice (SBSTA).

1170 **Biodiversity and Conservation** - Deoxygenation is recognized as a threat to
1171 biodiversity, particularly for larger taxa or those adapted to highly oxygenated waters.
1172 However, the extent to which deoxygenation is recognized and addressed in global
1173 assessments of marine threats and in policies that conserve biodiversity varies greatly.
1174 The Convention on Biological Diversity Framework 2030 does not mention oxygen, but
1175 is developing diversity indicators that could readily incorporate oxygen-sensitive taxa or
1176 guilds (Hughes and Grumbine, 2023). The new Biodiversity Beyond National
1177 Jurisdiction (BBNJ) Agreement to protect biodiversity and enable its sustainable use in
1178 international waters mentions deoxygenation only in its preamble and in the context of
1179 types of capacity development and technology transfer, but climate and specifically
1180 oxygen vulnerability is not included in a list of criteria for marine protected areas or
1181 environmental impact assessment. Projected changes in oxygen availability and habitat
1182 suitability for sensitive species can be applied to the designation of protected areas,
1183 fisheries regulations and evaluation of cumulative impacts in environmental impact
1184 assessment (Dunn et al., 2018; Levin et al., 2020).

1185 **Tourism, Recreation and other Livelihoods** - Biological indicators of low-
1186 oxygen in coral reefs or recreational fishing habitats as well as mass mortality events
1187 washing up on beaches represent important sentinels of oxygen effects on tourism and
1188 recreation. Having early warning signs of impending or existing hypoxic events can
1189 permit various forms of adaptation among those dependent on a healthy ocean, resilient
1190 fish populations and clean beaches for income.

1191 *III. Research Needs and Opportunities*

1192 Recent reviews on deoxygenation have suggested research needs to improve our
1193 understanding and prediction of impacts on marine organisms (e.g. Breitburg et al.,
1194 2018; Woods et al., 2022; Zhan et al., 2023). There needs to be more research on the
1195 impacts of low oxygen and relevant stressors (especially temperature) on the various life
1196 stages of commercially-harvested species. Studies on important commercial and
1197 keystone species should include the relevant time scales to assess the impacts of
1198 episodic, seasonal, annual and inter-annual fluctuations of low-DO waters on individuals
1199 and populations. Research on the impacts of low oxygen waters on marine organisms
1200 should include more studies that integrate the effects that cascade through the organism
1201 to population, community and ecosystem levels. Similarly, when addressing the impacts
1202 of deoxygenation on a commercially harvested species, low-DO should be included in an
1203 Ecosystem Based Management (EBM) approach that includes predators, prey and human
1204 influences. Investigators need to consider that oxygen concentration alone is not a

1205 predictor of organisms' fitness. While oxygen partial pressure is the relevant physiology
1206 measure, knowledge of exposure histories, life-stage sensitivity and cumulative stressors
1207 is essential for holistic understanding.

1208 Opportunities for national and international research on the impacts of
1209 deoxygenation include the IOC-UNESCO's Global Ocean Oxygen Network (GO2NE),
1210 [UN Decade of Ocean Science for Sustainable Development](#) program and [Global Ocean](#)
1211 [Oxygen Decade \(GOOD\)](#). GO2NE is committed to providing a global and
1212 multidisciplinary view of deoxygenation, with a focus on understanding the multiple
1213 aspects and impacts. From 2021-2030 the Ocean Decade program GOOD will raise
1214 global awareness about ocean deoxygenation, provide knowledge for action and develop
1215 mitigation and adaptation strategies to ensure continued provision of ecosystem services
1216 and minimize impacts on the ocean economy through local, regional, and global efforts.
1217 A GOOD programmatic focus on development and application of biological indicators of
1218 hypoxia, possibly tied to the Global Ocean Oxygen Database (GO2DAT; Grégoire et al.,
1219 2021) would facilitate the integration of deoxygenation more broadly into ocean
1220 management. Many of the biological indicators of oxygen stress described in this paper,
1221 if tied to specific DO response thresholds and *in situ* oxygen concentrations, can be used
1222 in monitoring and applied to management of water quality, biodiversity and fisheries.

1223 *IV. A Global Endeavor: Challenges for Equitable Application of Indicators*

1224

1225 The ability to apply oxygen indicators across the global ocean in both coastal and
1226 open ocean waters will depend on: (a) improved oxygen literacy across various
1227 stakeholders, including managers, funders and academics; (b) expanded technical
1228 capacity, such as instrumentation, associated infrastructure and technical expertise; (c)
1229 improved data access according to Findability, Accessibility, Interoperability and
1230 Reusability (FAIR) implementation principles (Jacobsen et al., 2020); and, (d) inclusive
1231 training and empowerment of the next generation of scientists and practitioners. Each of
1232 these represents a challenge that can be addressed by different elements of the Global
1233 Ocean Oxygen Decade program and other regional and international networks. One goal
1234 would be to enable small island developing states, least developed countries and the
1235 global south more generally to join wealthier nations in having the knowledge,
1236 instruments, funding and expertise to apply oxygen indicators for science and
1237 management. Training opportunities such as the recent summer schools generated by
1238 GO2NE represent a valuable mechanism for achieving these goals.

1239

1240 DATA AVAILABILITY

1241

1242 Data included in the manuscript can be found in the referenced citation.

1243

1244 AUTHOR CONTRIBUTIONS

1245

1246 MRR and LAL contributed equally to developing the concept and lead writing of
1247 the manuscript. The other authors contributed essential scientific sections of the
1248 manuscript.

1249 COMPETING INTERESTS

1250 The contact author has declared that none of the authors has any competing
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