1	Title: Biological Indicators of <u>Low-</u> Oxygen Stress in Marine Water-Breathing Animals
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<u>ABSTRA</u>CT

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Anthropogenic warming and nutrient over-enrichment of our oceans have resulted in significant, and often catastrophic, reductions in dissolved oxygen (deoxygenation). Stress on water-breathing animals from this deoxygenation has been shown to occur at all levels of biological organization: cellular; organ; individual; species; population; community; and ecosystem. Most climate forecasts predict increases in ocean deoxygenation, thus it is essential to develop reliable biological indicators of low-oxygen stress that can be used by regional and global oxygen monitoring efforts to detect and assess the impacts of deoxygenation on ocean life. This review focuses on indicators responses toof low-oxygen stress that are manifest at different levels of biological organization and at a variety of spatial and temporal scales. We compare particular attributes of these biological indicators to the dissolved oxygen threshold of response, time-scales of response, sensitive life stages and taxa, and the ability to scale the response to oxygen stress across levels of organization. Where there is available evidence, we discuss the interactions of other biological and abiotic stressors on the biological indicators of oxygen stress. We address the utility, confounding effects, and implementation of the biological indicators of oxygen stress for both research and societal applications. Our hope is that further refinement and dissemination of these oxygen stress indicators will provide more direct support for environmental managers, fisheries and mariculture scientists, conservation professionals, and policy makers to confront the challenges of ocean deoxygenation. An improved understanding of the sensitivity of different ocean species, communities and ecosystems to low oxygen stress will empower efforts to design monitoring programs, assess ecosystem health, develop management guidelines, track conditions, and detect low_oxygen events.

INTRODUCTION

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Oxygen remains fundamental to the success of most marine life. As a result of both a warming planet and coastal eutrophication, oxygen-depleted waters (referred to here as deoxygenated), have increased in both spatial and temporal extent in our oceans (Breitburg et al., 2018). Open ocean oxygen minimum zones have expanded (Stramma et al., 2008; 2010) and coastal areas experiencing hypoxia (low or depleted dissolved oxygen) continue to increase worldwide (Diaz and Rosenberg, 2008; Dai et al., 2023). While some ocean biota have evolved to live in permanently low_oxygen environments, normally oxygenated (normoxic) coastal waters are now experiencing periods of hypoxia that range from diel to seasonal in time-scale and result in stress for water-breathing animals. In addition, increases in ocean temperatures, both gradual with climate change and episodic through events like marine heat waves, have decreased the solubility of oxygen across various marine ecosystems and increased organisms' metabolic demands and respiration such that deoxygenated waters are becoming less tolerable for marine animals (Woods et al., 2022). New anthropogenic initiatives such as the expansion of ocean aquaculture (e.g. Zhang et al., 2018) and planned large-scale mitigation measures to enhance marine carbon sequestration (Levin et al., 2023) present new challenges with respect to deoxygenation. These current and future challenges reinforce the critical need to develop biological indicators of oxygen stress that can be used to assess and predict the effects of expanding deoxygenation on ocean biota.

Oxygen content itself has been proposed as an indicator of ocean health and of largescale restoration progress; for example, we can use oxygen content to monitor reduced nutrient loading (Grégoire et al., 2021). However, in addition to monitoring oxygen, biotic indicators of low-oxygen stress may provide more direct support for environmental managers, fisheries scientists and policy makers in their efforts to better assess the sensitivity of different ocean species, communities and ecosystems in response to oxygen-stress content. Indicators enable us to use readily available and measurable data to develop a variable, or set of variables, that reflects the state of some aspect of the system that is important and worth monitoring. Indicators should have specific criteria when being evaluated for monitoring programs (e.g. Yoccoz et al., 2001; Reynolds et al. 2016). These criteria can include: readily quantifiable, responsive and specific to the stressor of interest, operationally feasible and able to detect response to the stressor over space and time.- Indicators are often used because they can be easier to measure than the actual aspect of the system they are designed to assess. For example, observations of changes in animal behavior (i.e. avoidance of hypoxic water) can sometimes be used as a quick, reliable and inexpensive indicator of deoxygenation whereas sensors used to measure oxygen loss might be difficult to obtain, costly, and time intensive. Indicators can integrate exposure effects over space and time and they are likely to reflect causeand-effect as they tend to have a direct mechanistic link to a stressor of interest. Note however that indicators can be misleading if confounding variables are not considered or if the indicator does not have sufficient validation for the level of biological organization considered (e.g. species, population, community or ecosystem).

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Indicators have been widely used by organizations at the international level to assess the state of environmental health and sustainability. However, there remains an open need for the research and development of indicators at the international level which focus on the biological effects of deoxygenation. For example, the Framework for Ocean Observing (Lindstrom et al., 2012) has identified numerous Essential Ocean Variables (EOVs) intended to capture the fundamental characteristics of marine ecosystems that can be combined into indicators in order to represent natural complexity, track changes in the environment, reflect management performance, deliver information, and assess progress in achieving long-term goals (Miloslavich et al., 2018). EOVs, which are selected for their societal and scientific responsiveness as well as their implementation readiness include the abiotic variables, such as oxygen, as well as biological variables, such as biomass of phytoplankton and zooplankton, fish abundance, and coral cover. Garçon et al. (2019) examined the potential application of EOVs to understand biotic responses to oxycline changes within Oxygen Minimum Zones (OMZs) for -potential societal benefits such as improved fisheries by comparing global monitoring programs. Themanagement. The study of EOVs and similar environmental indicators inquiries can have tangible impacts on management and policy, with the potential to shape mitigation efforts and the direction of associated biodiversity policies. Thus, here we examine indicators that show biological and ecological responses of organisms to low dissolved oxygen (DO) in an effort to help guide those international efforts as well as the efforts of local biological management.

Low-oxygen stress has been shown to occur at all levels of biological organization (cellular, organ, individual, population, community and ecosystem) with varying degrees of oxygen sensitivity and time-scales of response (Woods et al., 2022; Figure 1). Measurements are often made on individuals and extrapolated to higher levels of biological organization, time scales and spatial extent using various scaling methods that are conceptual, statistical, or simulation-based. Issues specifically related to indicators of low-DO effects include: (a) differentiating lethal versus sublethal responses; (b) the fact that exposure of individuals to low-DO is time-dependent because DO varies spatially and temporally and mobile organisms move through dynamic DO fields; (c) low-DO exposure is almost always part of a suite of abiotic stressors that covary to various degrees, thus making it difficult to isolate the responses to low-DO; (d) scaling beyond the measured individual response to low-DO can be challenging because the responses integrate across the population, community and ecosystem levels which include a complex suite of biological interactions that are themselves affected by low oxygen.

Deoxygenation rarely acts alone as a stressor. It is frequently recognized to be a result of ocean warming and a product of increased respiration (which can be induced by higher temperatures or excess nutrients). Thus, it is common for hypoxia to co-occur with elevated temperature, lower pH and carbonate saturation state, presence of hydrogen sulfide, and /or increased food supply (Breitburg et al., 2019; Laffoley and Baxter, 2019). This means that some low-oxygen indicators can be confounded with

other environmental factors and attribution to specifically to oxygen limitation specifically becomes problematic. In a mixed model meta-analysis of experimental studies, Sampao et al. (2021) found that relative to warming and acidification, hypoxic events tended to induce stronger negative effects on survival, abundance, development, metabolism, growth and reproduction across taxonomic groups (mollusks, crustaceans and fish), ontogenetic stages; and climate regions studied. However, there were also clear interactions among stressors in their biotic effects (both antagonistic and synergistic; Sampao et al., 2020). Reddin et al. (2020) compared the interactive effects of warming, hypoxia and acidification in causing global marine extinction patterns to modern experimental results of the impacts of these stressors on marine organisms. They found that modern clade responses to these climate-related stressors correlated with the clade genus survival rates over the post-Cambrian Phanerozoic with the interactions of dissolved oxygen and temperature having the strongest negative effects for tropical marine animals.

The focus of this review is on biological indicators of Low-oxygen stress in water-breathing marine animals. We identify indicators that have been determined for different levels of biological organization for three levels of organization, broadly defined:
Individual, population/species, and community/ecosystem (Figure 1). We compare particular attributes of these indicators to the oxygen threshold of response, time-scales of responses, sensitive life stages and taxa, and the ability to scale up the response to oxygen stress to higher levels of organization.

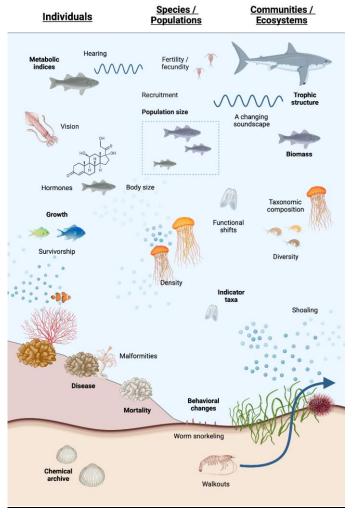


Figure 1. Schematic of deoxygenation indicators discussed below for (left) individuals, (middle) populations and species, and (right) communities and ecosystems. Created with BioRender.com

INDICATORS OF OXYGEN STRESS

Individuals Indicators

Cellular Responses: Hypoxia Inducible Factors (HIF) and HIF-alpha in particular is a transcription factor common to most metazoans that mediates gene expression in many of the pathways which regulate cellular responses to hypoxia, including metabolic depression, anaerobic metabolism, and mitophagy. Hypoxia as well as Reactive Oxygen Species (ROS) can inhibit the degradation of HIF-alpha, leading to HIF-alpha accumulation in the cell. The response of the HIF-alpha subunit to hypoxia can be measured through gene expression using RNA sequencing (e.g. Alderdice et al., 2021). The relative amount of HIF-alpha and its location within a cell can be tracked using fluorescent protein tagging (e.g., Kallio et al., 1998). The amount of HIF-alpha is expressed at a higher rate, accumulates in the cell and is translocated into the nucleus where it accumulates and is then associated with targeted metabolic responses. In responsive species, the The response time of HIF expression expression varies between the species tested (Alderdice et al., 2021). can respond within minutes (i.e. 0.5 h) following exposure to hypoxia but can take hours in less responsive species. HIFs play a pivotal role in regulating the metabolic response of cells to hypoxia and a change in HIF is likely to have a number of cascading physiological effects. Application of this indicator would require molecular / cellular techniques to detect changes in HIF (i.e. access to specialized laboratory facilities). Baseline levels and response times vary among different species, thus multiple time points would be required to know whether an individual is exhibiting an elevated response. HIF expression can also vary diurnally, therefore treatment controls and temporal factors need to be considered for the use of this indicator.

HIF appears to be widespread across metazoan phyla (aside from sponges) and is therefore highly conserved (Rytkonen et al., 2011). However, there are differences between closely related taxa (congeners) in the timing and magnitude of the HIF response (Alderdice et al., 2020). Broad control of metabolic responses by HIFs is likely to mediate organismal responses to other stressors. More work is needed to determine why species differ in their baseline levels of HIF and the regulation of HIF with prolonged hypoxia exposure since sustained response could have negative / irreversible consequences for organisms. While HIF may be more difficult to measure than responses at the population or ecosystem level, it would be valuable to explain differences among species in their response and tolerance to hypoxia.

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<u>Sensory Systems</u>: Low oxygen can impair the sensory systems of marine waterbreathing animals. Changes in animal vision, olfaction and perception of sound can be sensitive indicators of oxygen stress which impact population and community ecology. While promising, more research is needed to elucidate the primary and secondary effects of sensory impairment by low-oxygen stress on different groups of marine animals to be practical for implementation in monitoring programs.

Vision metrics which show a negative response to low-DO include electroretinogram responses (McCormick et al., 2019), behavioral responses (swimming,

sinking response to light) and distribution responses that are based on the loss / impairment of vision (McCormick et al., 2017). If some species require more light in deoxygenated waters, activities such as prey capture, predator avoidance and mating may be impaired. Manifestations of visual impairment by hypoxia could include changes in behavior, shoaling distributions and eye abnormalities (resulting from maternal hypoxia). The physical manifestations of hypoxia, such as abnormalities and growth defects, may be easier to detect than changing visual responses since visual response to low oxygen has been quantified in very few organisms. There is a need to study how deoxygenation might impact the vision of commercially harvested species, particularly since the vision of several larval species is highly sensitive to deoxygenated waters. Impaired vision could influence their survival (McCormick et al., 2022b) and hence be potentially useful for future management in considering susceptibility to catch and possible fisheries restrictions.

Similarly, animal perception of sound may also be impaired by the loss of oxygen. For example, studies on fish show temporary losses of hearing under anoxia; a lowered probability of a "fast startle" response, with an increased risk of falling prey and decreased likelihood of successful foraging; and, possibly, a reduced ability to communicate (Suzue et al., 1987; Sanchez-Garcia et al., 2019). While some reduction in hearing response can occur rapidly with decreased oxygen (< 24 h), morphological changes to fish otoliths in deoxygenated waters may occur over years. While animals' perception of sound underwater is changing due to deoxygenation, we can expect to see changes in the underwater soundscape itself that are associated with changes in populations and ecology. Anthropogenic warming is speeding up the rate at which sound travels underwater via changes in density (e.g. Affatati et al., 2022), while changes in oxygen may alter what sounds animals make or the frequency of those sounds.

Changes in the olfactory processes / responses of some marine animals may be associated with changes in oxygen. However, these changes are generally much harder to study and have not been adequately explored across marine fauna to be useful as an indicator at this time (Tigert and Porteus, 2023).

Hormonal Changes Influencing Growth and Fertility: Hypoxia exposure causes physiological stress which increases endocrine cortisol levels relative to normoxic conditions in fish (Léger et al., 2021). Hypoxia can also alter the levels of growthhormonegrowth hormones-insulin-like growth factor thereby negatively affecting growth among individuals; relative to normoxic conditions (Hou et al., 2020). Cortisol levels increase when oxygen levels are low enough to cause a physiological stress response. Oxygen thresholds that give rise to changes in cortisol and growth hormone levels are specific to species and developmental life stage. Changes in cortisol levels can include rapid responses to acute hypoxia exposure or chronic responses to long-term hypoxia exposure. These types of changes in stress hormones can subsequently give rise to reduced immune function and feeding suppression (Gregory and Wood, 1999)

potentially leading to reductions in growth or higher natural mortality. Measurements of cortisol in fish are typically conducted using an enzyme-linked immunoassay (ELISA) in blood serum. Since plasma cortisol is the most commonly used indicator of stress in fish, there are ongoing efforts to develop improved protocols for cortisol measurement including non-invasive methods using fish scales (Sadoul and Geffroy, 2019). Similarly, immunoassays can also be used to measure levels of growth hormone in fish. This indicator is not hypoxia-specific however, and changes in cortisol or growth hormone levels can reflect responses to other stressors such as handling stress during sampling (which can artificially elevate cortisol). Thus, this indicator would likely work best in experimental or controlled settings such as aquaculture facilities. However, new approaches for measuring cortisol levels in fish scales may further extend the utility of this indicator to field studies / nature.

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Hypoxia can disrupt a variety of other hormones in fish and invertebrates beyond stress and growth hormones and include hormones that control gonad development, sperm motility, and reproductive behaviors (Thomas and Rahman, 2009; Wu, 2009). At certain low-oxygen levels, reproduction is entirely inhibited and animals will not attempt to mate for reasons that are not fully understoodand but likely have to do with hormonal triggers. For example, the egg production rate of copepods has been shown to decrease in deoxygenated water (Ambler, 1985) and egg production overall is reduced in response to chronic oxygen limitation during the copepods' adult life stages. Rising temperatures and low-DO conditions have been linked to changes in copepod antioxidants that would normally protect lipids, proteins and DNA, all of which are important building blocks for meiosis (von Weissenberg et al., 2022). Laboratory experiments also indicate that exposure to low-oxygen conditions can have transgenerational effects on fish reproduction. For example, Wang et al. (2016) found that hypoxia exposure among male medaka fish led to decreased spermatogenesis and reduced sperm motility in the F2 generation. Female medaka low oxygen exposure led to greatly reduced hatching success in the F2 generation (Lai et al., 2019). In croaker fish (Micropogonias undulates), exposure to summer-time hypoxia resulted in reduced fertility indicator values measured in the fall at the start of the adult spawning season (Thomas et al., 2015). Reductions in fertility were seen at DO levels above those typically associated with croakers' avoidance behavior such that exposure to fertilitylimiting DO levels was high and quite common among individuals found within the hypoxic zone of the Gulf of Mexico (Rose et al., 2018b). Reductions in fertility caused by limiting DO represent sub-lethal effects of deoxygenation and can be linked to important changes in population dynamics (e.g. Richmond et al. 2006; Rose et al., 2018 a,_b).

The development of the fertility indicator illustrates how, through a series of coordinated laboratory experiments, field data collection and modeling, cause-and-effect can be established between low-DO exposure and the resulting changes in the endocrine-based indicator. Detailed laboratory experiments enabled the causal links between Low-DO exposure and endocrine responses within an individual female adult croaker

(Thomas and Rahman, 2009, Rahman and Thomas, 2017). The laboratory data were then used to develop a model of the endocrine functioning of vitellogenesis of individual fish (Murphy et al., 2009). This allowed to examinatione of how the indicators measured as blood and organ concentrations, would vary over time and under exposures not replicated in the laboratory. These model results were then applied to field data from the northern Gulf of Mexico and the indicators of hypoxia exposure / effects were used to assess hypoxia effects at the population-level (Thomas et al., 2015; Rose et al., 2018a).

> Growth/Body Size/Condition Factor: Growth, size and condition respond to multiple biotic (i.e. food quality and quantity) and abiotic (oxygen, temperature, pH, salinity) factors. The high ecological relevance of growth, size and condition is because they integrate physiology over multiple sub-processes (metabolism, feeding) and are influenced by multiple factors and stressors. Thus, while establishing cause-and-effect linkages between low-DO and growth, size, and condition can be difficult, there is also extensive information available at the individual-level regarding oxygen-induced changes in these factors that are derived from laboratory and field data. This abundance of data reflects the fact that body size and growth are relatively easy to measure and are important determinants of individual fitness because they directly influence other processes that are size- or condition-dependent (e.g., reproduction, mortality). A study indicated that the sensitivity of early life growth of estuarine fish to low-DO is higher than that of low-pH conditions (Depasquale et al., 2015). Reduced growth is observed at DO levels above lethal levels and above DO levels that trigger avoidance in zooplankton and fish (Richmond et al., 2006; Stierhoff et al., 2009). In the field, proxies for animal growth rates have included measurements of RNA and DNA, otoliths and weightspecific egg production (e.g. for copepods) which is usually the same as weight-specific somatic growth (Berggreen et al., 1988). Low-DO affects movement, metabolism, feeding behavior and energy intake, all of which depend non-linearly on both DO and temperature (Woods et al., 2022).

> Both laboratory and field studies have shown that fish and invertebrate species are often smaller in oxygen-limited waters (Richmond et al., 2006; Casini et al., 2016; Limburg and Casini, 2018). Physiology suggests that growth rate is more sensitive to oxygen than development rate, thus for crustaceans, animals grow less between molts and are smaller. The environmental oxygen level below which an organism can no longer obtain sufficient oxygen to support 'normal' respiration is often termed the organism's critical oxygen partial pressure, $P_{\rm crit}$ (e.g. Fry and Hart, 1948).—Respiration rate will be independent of environmental oxygen above $P_{\rm crit}$ and will be limited by, and proportional to environmental oxygen, below $P_{\rm crit}$. The environmental oxygen level below which an organism can no longer obtain sufficient oxygen to support a minimum survivable respiration rate can be thought of as the organism's lethal oxygen partial pressure, $P_{\rm leth}$. Below $P_{\rm leth}$ there will be an increased probability of mortality due to the scarcity of oxygen in the environment. When oxygen levels are $< P_{\rm crit}$ for any particular

species, a reduction in growth and size is likely to occur. When oxygen levels are < P_{leth} for that same hypothetical species, it might be replaced by a smaller species with a lower Pleth. Thus, dominance of smaller-sized organisms can occur as the result of oxygen limiting growth among particular cohorts of individuals or through the replacement of large-bodied individuals / species in an area by smaller species over a prolonged period of hypoxia. Warmer temperature can also result in smaller body sizes among fish and invertebrates (e.g. Atkinson, 1994). Thus, lower oxygen frequently interacts with temperature to reduce organism size and can also cause a shift towards smaller bodied species (Chapelle and Peck, 1999; Gillooly et al., 2001; Rubalcaba et al., 2020; Verberk et al., 2021). For example, smaller copepods have a higher surface to volume ratio compared to larger copepods, which favors their oxygen uptake (which occurs through their body surface) over larger copepods in hypoxic waters. In laboratory experiments Stalder and Marcus (1997) showed that the smaller copepod Acartia tonsa, survived low oxygen conditions better than the larger Labidocera aestiva and Centropages hamatus. In similar types of laboratory experiments, Roman et al. (1993) found that the smaller copepod Oithona colcarva survived low oxygen conditions better than the larger Acartia tonsa. Small-bodied and sessile benthic taxa are often more hypoxia- tolerant than largebodied taxa. This can lead to faunal size zonation across oxygen gradients among benthic meio-, macro- and megafauna, as observed in oxygen minimum zones of the Indian (Gooday et al., 2009a) and Pacific Oceans (Levin et al., 1991).

Condition factor is calculated from organism length and weight or by direct methods related to lipid content (e.g. Herbinger et al., 1991). Condition factor is a morphometric measurement taken on animals collected inside/outside of hypoxic areas, while estimates for growth require that multiple samples be taken over time. Condition factor of Baltic cod has been related to hypoxia exposure with worsening hypoxia in the last two decades leading to poor condition that along with other trending factors (decreasing food availability), has contributed to a long-term population decline (Casini et al., 2016; Limburg and Casini, 2019). Both individual growth and condition factor can be scaled up to the population with sufficient sampling. For example, Eby et al. (2005) assessed low-DO effects on Atlantic croaker in the Neuse River U.S. estuary using growth rates estimated from cage experiments in the field and benthic cores used to quantify food availability. They compared summers across three years that had different hypoxia conditions and conducted field surveys (feeding, condition, growth) to assess the effects of low-DO on juvenile fish growth rate. They used a stage-within-age matrix model to estimate ascertain the population-level effects of low-DO and found that reduced juvenile growth due to hypoxia also reduced population growth rates. As coastal hypoxia expands, more studies are needed to understand the effects of low-DO on animal growth rates.

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<u>Malformation</u>: Low-DO conditions can result in abnormal development of marine organisms. The most sensitive life stages are larvae with malformation by

hypoxia confirmed for larval stages of polychaetes, oysters, and fishes in laboratory experiments. For example, larval development of the tubeworm. *Hydroides elegans*. was delayed and more malformed larvae were found in low-DO conditions (Shin et al., 2013; 2014; Leueng and McAfee, 2020). High mortality and detrimental effects on development and growth were found in the oyster, *Crassostrea virginica*, under hypoxia (Baker and Mann, 1992). Exposure to moderate hypoxia for larval stages of the European Seabass. (*Dicentrarchus labrax*). induced opercular malformation (Cadiz et al., 2018). A subset of market squid. (*Doryteuthis opalescence*). embryos exposed to low-DO and low pH exhibited malformations including eye dimorphism and deformities in the mantle and body (Navarro et al., 2016). Malformation caused during early life stages might induce lower survival of larvae through reduced ability to capture food and escape from predators. More research is needed to determine the carry-over effects of malformation during larval stages to the later developmental stages. Oxygen demand and food availability are both related to malformation, thus warmer temperatures and less food availability are important co-stressors.

> Mortality: Mortality is the most conspicuous and common metric for hypoxia impact on aquatic organisms and is used in the development of water quality criteria in various coastal systems. Mortality at a particular oxygen level indicates that the organism's metabolic processes cannot be maintained by the ambient oxygen. While animals may tolerate short-term reductions in oxygen, mortality occurs once they deplete their anaerobic coping mechanisms. Immediate mortality may occur during extreme hypoxic events or under anoxic conditions that are accompanied by the release of hydrogen sulfide. Most low -oxygen tolerance measurements for mortality are made under laboratory conditions by manipulating oxygen partial pressure (Vaquer-Sunyer and Duarte, 2008). The lethal oxygen concentration as defined in laboratory experiments is measured over a set time period, usually 24 h. Lethal hypoxia has also been estimated from field measurements with organism presence/absence as a function of oxygen concentration (or partial pressure). Field-based estimates of mortality are less certain because of the temporal and spatial variations in oxygen as well as changes in the vertical/horizontal distribution of the organism due to avoidance of the deoxygenated water. Temperature will affect the assessment of lethal oxygen level because of its influence on oxygen solubility as well as the animals' overall metabolic demands. Thus, if an oxygen concentration is used for estimates of lethal oxygen level, the temperature and salinity conditions should also be reported to allow for the calculation of oxygen partial pressure (Hofmann et al., 2011).

> The accurate use of mortality as an indicator of deoxygenation is subject to the characteristics of an organism's life history and habitat. Larval stages with limited oxygen uptake features may have higher lethal oxygen thresholds than juvenile and adult stages. Spawning fish with salinity challenges and feeding cessation may also be more sensitive to low oxygen. Benthic species which cannot swim out of hypoxic zones may

have more physiological mechanisms to survive at low_-oxygen concentrations. Low-DO that results in individual mortality can have a range of critical levels that may depend on the age/size of the organism. These variable impacts could be used in assessments of the impact of low_-oxygen on the mortality rate of populations (Rose et al., 2018b). The lethal limit of oxygen for a particular species can be used for the analysis of available animal habitat (e.g. Brandt et al., 2023) and as a water quality criterion for maintaining the species in particular water bodies (Ekau et al., 2020).

Chemical Archives of Hypoxia Exposure: Dissolved manganese (Mn²⁺ and Mn³⁺) becomes more abundant under low oxygen conditions in marine waters (Trouborst et al., 2006). Fish otoliths take up the trace element manganese (Mn) and the Mn:Ca ratio in the aragonitic otoliths can reflect the fish's presence in deoxygenated waters (Limburg et al., 2015). The use of Mn:Ca in otoliths as a hypoxia indicator requires knowledge about regional differences in seawater Mn concentrations which can otherwise confound or complicate interpretations of otolith data in fish from different areas. Further complicating the use of this metric of hypoxia exposure is the observation that manganese uptake is also affected by growth rate (Limburg et al., 2015). Another otolith chemical proxy for hypoxia is the ratio of Mn to the trace element magnesium (Mg), which is also taken up in otoliths but is regulated by growth processes (Limburg et al., 2018). These chemical ratios in otoliths were used to infer not only exposure to hypoxia of cod to low oxygen waters in the Baltic but also physiological stress as indicated by reduced metabolic activity as suggested by lower Mg:Ca (Limburg and Casini, 2019), but see Valenza et al., (2023) for an opposite response in Gulf of Mexico. Recent analysis of six fish species from three3 open ocean OMZs (Namibia, Southern California and Baja California) revealed a common elemental fingerprint attributed to hypoxia exposure, based on Sr:Ca, Mn:Ca, Ba:Ca, Cu:Ca and Mg:Ca and distinct from giant sea bass collected in well-oxygenated shallow waters (Cavole et al., 2023). Few tests of invertebrate structures exist, however Navarro et al. (2014) documented elevated U:CaU: Ca in squid statoliths experimentally subjected to low_-oxygen alone and low_-oxygen / low pH compared to normoxic conditions.

Metabolic Indices: The tolerance to hypoxia decreases with increasing temperature as a result of reduced oxygen solubility and increased animal respiration (Pörtner and Knust, 2007). The relationship of oxygen supply to oxygen demand, called the Metabolic Index (MI), describes the "potential" of the environment to support aerobic metabolism relative to basal metabolism (Deutsch et al., 2015). The MI accounts for the non-linear interactions of temperature and oxygen stress to particular organisms. Deutsch et al. (2020) recently updated this metabolic index to account for the effect of species-specific oxygen supply capacity. This modification improves estimates for highly mobile or hypoxia-tolerant species with high oxygen supply capacities. The application of both metabolic indices (Penn et al., 2018; Deutsch et al., 2020) requires

information on experimentally derived temperature-dependent low -oxygen thresholds that are not available for most marine species. Yet, these indices can still be applied when experimental data are lacking, using the approach developed by Howard et al. (2020), which is based on the development of different ecophysiotypes. The MI is not an index of biological stress due to low-oxygen waters but rather a predictor of the environment that satisfies the oxygen requirement of a particular species or ecophysiotypes. The MI is modeling approach has been used to project species distributions in future warmer oceans (Deutsch et al., 2015), past and future species extinction (Penn and Deutsch, 2022), the distribution and size of species in future oceans (Deutsch et al., 2023) and the "climate velocity" of the MI, which predicts how fast and in which direction an organism will need to move in order to survive and maintain its metabolic niche in a future ocean (Parouffe et al., 2023). Clarke et al. (2021) developed a comparable index, called the Aerobic Growth Index (AGI), which integrates growth theory, metabolic theory and biogeography (Cheung et al., 2013) to create a theoretical oxygen supply to demand ratio. AGI uses oxygen demand at the maintenance metabolic rate, while the metabolic indices (Deutsch et al., 2020; Penn et al., 2018) use oxygen demand at the resting metabolic rate. In AGI, maintenance metabolic oxygen demand supports survival, feeding and movement but not growth (Pauly and Cheung, 2018). The resting metabolic oxygen demand of the metabolic indices (Deutsch et al., 2020; Penn et al., 2018) occurs at the onset of mortality or anaerobic metabolism (Deutsch et al., 2015). Therefore, the difference between the maintenance and resting metabolic rate is the scope for feeding and movement.

Noterate. Note that these forecasts and hindcasts using MI include livable habitat space estimated from temperature and oxygen and not the required food resources or predation pressure. In addition, the forecasts of animal distributions based on MI or AGI currently do not allow for variation in tolerances within species, adaptive responses that take days or weeks to occur, nor adaptation to lower oxygen through evolution. Most of the information we have on oxygen tolerance (which forms the basis of MI) is derived from studies that focused on the adult stages of larger organisms. Few, if any of these MI forecasts include validation with measurements of animal abundance. One validation used the *in-situ* temperature and oxygen of Chesapeake Bay to predict the Bay volume where oxygen supply would exceed oxygen demand for the copepod *Acartia tonsa* (Roman and Pierson, 2019). Field measurements of copepod distributions verified that *A. tonsa* abundance was higher in areas of the water column with a positive predicted MI index (Roman and Pierson, 2019).

Field Metabolic Rates (FMR) have been estimated for teleost fish by analyzing the δ^{13} C of their otoliths (Chung et al., 2019). The stable isotope composition of C in the aragonite of fish otoliths varies with the isotopic composition of fish blood which is determined by the Dissolved Inorganic Carbon (DIC) in ambient water and the metabolized carbon released by respiration. Chung et al. (2019) determined that the δ^{13} C of the otoliths of Atlantic cod (*Gadus morhua*) were related to oxygen consumption in the laboratory. The relationships established were applied to wild cod and other deep-

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water fish species to infer in situ FMR (Chung et al., 2019). Jones et al. (2023) applied the FMR approach to assess warming and deoxygenation of the North Sea on both juveniles and adult European plaice (Pleuronectes platessa) in time-series in the North Sea between the 1980's and 2000's to show the effect of increasing temperatures on the FMR of the fish. Like other otolith proxies, the FMR was limited to timescales no shorter than approximately one month (e.g., Jones et al., 2023). However recent developments of otolith microchemistry increased the timescale for of response to 10 days (Sakamoto et al., 2022) and possibly extends to weekly to daily for faster growing otolith species like jack mackerel (Muto et al., 2023; Enomoto et al., 2023). Otolith δ^{13} C and δ^{18} O can be measured simultaneously and thus can be used to assess FMR (carbon ratios) and temperature (oxygen ratios) under model assumptions and known salinity conditions since δ^{18} O of water has a linear relationship with salinity. Additional laboratory work to calibrate the δ^{13} C of otoliths withto respiration needs to be conducted for additional fish species including both juveniles and adults over a range of temperatures. Both the MI and FMR have the potential to be widely used for direct measurements of metabolic rate in fishes; serve as valuable input data to models; and, are important tools to assess fish in a future warmer ocean with less oxygen.

Species/Populations

Indicator Species: Particular species can be bioindicators of a set of environmental conditions including past and present oxygen state Indicator species, sometimes also termed sentinel species (Schwacke et al., 2013), are used to reflect present and past oxygen conditions. They may reflect high sensitivity to hypoxia or they may be hypoxia-tolerant species that dominate a system under severe oxygen loss as other species are eliminated. We can also identify indicator taxa (higher-level groupings such as genera or families) whose presence or absence may reflect hypoxia.

Ligooxyphiles are low_-oxygen specialists meaning that they are species that select for and thrive in environments with extreme hypoxic conditions (Gallo et al., 2019); their presence and abundance can be used as an indicator of hypoxic conditions. Examples of macroscopic ligooxyphiles include certain types of soft-bodied fishes (e.g. Cheruble emmelas and Cephaluros ceplalus), medusa enidarians (jellies) and ctenophores.

At the level of species, indicator response could be to oxygen availability (concentration, partial pressure, percent saturation) or to extremes, duration, or temporal variability of hypoxia. Loss or increase of sentinel hypoxia indicator species or taxa are likely detected via community inventories as part of time series. Community-based sampling through imagery taken by Remote Operated Vehicles (ROVs) or sampling by trawls, multicores or grabs can detect these sentinel species. The presence of biogenic materials from sentinel/indicator species (e.g. shells, scales, otoliths, environmental

DNA (eDNA), bones) in sediment cores could also be used to examine species response

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to oxygenation through time (considering changing sedimentation and erosion) and to detect hypoxia indicator taxa (e.g. Moffitt et al., 2015).

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Hypoxia tolerance is often associated with organic enrichment as is the case for annelids in the genus Capitella (Rosenberg, 1972) although Capitella cannot tolerate anoxia (Ogino and Toyohara, 2019). Taxa indicative of low oxygen conditions that are found commonly in suboxic basins (DO <0.1 ml L⁻¹) often include the gastropod Astyris (Allia) permodesta and the oligochaete Olavius crassitunicatus (Levin et al., 2003). In the Gulf of California, the catshark Cephalurus cephalus and the ophidiid Cherublemma emmelas select for areas with suboxic conditions (DO < 5 µmol kg⁻¹; Gallo et al. 2019). The molluscs Lucinoma heroica and Dacrydium pacificum and the codlet Bregmaceros bathymaster are also species indicative of the presence of extreme hypoxic conditions in the Gulf of California (Zamorano et al., 2007). Certain species of benthic foraminifera have been used as indicators of low_oxygen conditions in paleo-oceanographic studies (Gupta and Machain-Castillo, 1993). Uvigerina peregrina for example, is associated with oxygen minimum zones in the Pacific and Arabian Sea (e.g., Moffitt et al., 2014). Members of the genera Globobulimina and Chilostomella can withstand euxinic conditions and can store and respire nitrate (Glud et al., 2009; Piña-Ochoa et al., 2010). The benthic foraminifera, Globobulimina pseudospinescens, Stainforthia fusiformis, and Nonionella turgida can indicate the presence of anoxic or severely hypoxic conditions in Scandinavian fjords and these species can survive these conditions by storing and respiring intracellular nitrate (Risgaard-Petersen et al., 2006). For hypoxia-tolerant species that take over a system via successful reproduction this may be related to lifecycle duration and could take months to years. Long-term seasonal presence of bottom water hypoxia may favor pelagic copepod species which brood their eggs as compared to broadcast spawners whose eggs would sink into anoxic/hypoxic bottom waters. For example, increased eutrophication and low_oxygen bottom waters have resulted in an increase in the abundance of the small, egg-carrying copepod Oithona davisae in Tokyo Bay and decline in the occurrence of Acartia omorii and Paracalanus sp., copepods that release their eggs into the water column (Uye, 1994).

Indicator species presence may be a straightforward way to detect oxygen changes and is easy to interpret. Hypoxia thresholds have been demonstrated for many taxa (Vaquer-Sunyer and Duarte, 2008) and highly sensitive species have been identified. However, indicator species or taxa may vary regionally as species evolve different oxygen tolerances in different settings or geographic regions that vary in the intensity and temporal variability of hypoxia (Chu and Gale, 2017). Note that a single indicator taxon niche is rarely unidimensional such that multiple indictors can provide a more robust effect of deoxygenation. Mobile species tend to function as hypoxiasensitive sentinels whereas sessile taxa may be better tolerant sentinels. A species utility as a sentinel may be determined by accessibility, interest, and response time. If the sentinel species is dominant and lost under increasing hypoxia or if the sentinel species is rare and increasing under hypoxia, it can alter the structure and diversity of communities (or catch). Hypoxia-tolerant and hypoxia-sensitive species may also have different

trophic strategies giving rise to food web shifts that accompany the loss or gain of certain indicator taxa.

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<u>Disease/Parasites</u>: Under low oxygen conditions, some parasitic and microbial infections can become more common or severe, affecting both individuals and populations. Certain types of pathogenic bacteria start to grow under low -oxygen conditions, increasing organisms' likelihood of exposure (Guo et al., 2022). In addition, low_oxygen effects on host immune responses are common. For instance, low_oxygen disrupts endocrine function and can alter organisms' abilities to buffer against parasitic, bacterial and viral infections at the hormone level (Overstreet, 2021). Reduced hemocyte function and reactive oxygen species production have been found in fish, molluses and crustaceans exposed to hypoxia (Mydarz et al., 2006; Breitburg et al., 2019; Burnett and Burnett, 2022). The exposure to hypoxia can alter individuals' immune responses on time scales of minutes to hours as well as through longer-duration chronic exposure. At the population level, low -oxygen can increase the prevalence, mean intensity and spatial distribution of infections. For example, diel cycling hypoxia increased acquisition and progression of the pathogen Perkinsus marinus (Dermo) infections in oysters (Crassostrea virginica(Breitburg et al., 2015).), with stronger effects on younger (1 y) oysters and spatial patterns of prevalence and mean intensity of infections varying with spatial patterns of the frequency and intensity of diel cycling hypoxia (Breitburg et al., 2015). Understanding disease as an indicator of low -oxygen conditions may be especially important in an aquaculture context, as steps can be taken to improve oxygen conditions for the organisms or to move the organisms to well oxygenated waters. The concentration or partial pressure of oxygen that induces disease is a potentially significant non-lethal oxygen threshold that may be useful in setting water quality goals. However, elevated infection prevalence and intensity can be influenced by a wide variety of factors, including co-occurring stressors such as elevated pCO₂. Disease metrics may therefore serve as better indicators of past hypoxia (and the biological changes caused by hypoxia) than as indicators that hypoxia is currently occurring.

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<u>Behavioral Responses:</u> Avoidance is a near-universal response of mobile species to encountering low_oxygen conditions. Tolerances vary among species and life stages, and because species vary in how they respond to near lethal levels of hypoxia, avoidance behaviors have broad implications for functional habitat availability and can alter spatial and temporal overlap between predators and prey, potential competitors and conspecifics. <u>Measurable indicators of avoidance of low-oxygen waters can be presence/absence, shallower depths of centers of abundance maxima and reductions of vertical habitat space.</u>

Zooplankton can change their vertical position in the water column to avoid low-DO bottom waters. In general, depth-stratified zooplankton sampling has shown that copepod abundances are higher in the surface mixed layer and within the pycnocline compared to hypoxic bottom water in coastal environments (Roman et al., 1993;2012; Keister et al., 2000; Pierson et al., 2009; Keister and Tuttle, 2013). However, the vertical compression of their distribution to the upper water column can increase their vulnerability to predation by visually feeding fish, and thus alter food-web processes (e.g. Pothoven et al., 2012; Roman et al., 2012).

Fish are among the most hypoxia-sensitive and most mobile aquatic species who shoal towards the surface, into shallow areas, or away towards open/oxygenated water as oxygen concentrations decline (Eby and Crowder, 2002; Wu et al., 2002). For example, avoidance of low_oxygen has been demonstrated by billfish (Stramma et al., 2012), tuna (Ingham et al. 1977) and sharks (Vedor et al., 2021). Skipjack tuna in particular exhibit an alarm threshold of 3.5 ml -L-1 DO which helps them avoid conditions representing their median tolerance of 2.4-2.8 ml L-1 DO (Ingham et al., 1977). These various behavioral responses to evade hypoxia can lead to habitat compression in which a portion of an organism's range becomes unusable (Kim et al., 2023) and the absence of an organism from where it is normally found. In extreme situations, such an escape response may fail when fish are trapped by land, the entire water column goes hypoxic and/or fish are encircled by hypoxic water, resulting in fish kills which are among the most conspicuous signs of hypoxia. Few studies have examined the response time of avoidance behaviors of mobile taxa which appear to vary for sensitive versus tolerant species from minutes to days.

Hypoxia may force organisms into subpar habitat with fitness consequences such as striped bass (Morone saxatilis). that are pushed from deeper hypoxic waters in shallows where they are confronted with thermal stress (Kraus et al., 2015; Itakura et al., 2021). Many shallow-water fish species also utilize aquatic surface respiration, ventilating more highly oxygenated water at the air water interface. While this avoidance behavior of 'last resort' may enhance survival, there are associated risks of increased vulnerability to aerial and surface predators (Dominici et al., 2007). This phenomenon is apparent in the "Jubilees" in Mobile Bay, Alabama, USA (May, 1973), and "Lobster walkouts" in St. Helena Bay, South Africa (Cockcroft, 2002) which are among the most widely recognized of such events that have achieved culturally iconic status. In these cases, commercially important species including crustaceans and fish, flee hypoxic bottom waters and move into shallows, or even onto shore, searching for more oxygenated water where they are vulnerable to harvest in their lethargic and moribund state. Often mobile organisms emerging from their burrow or crevice habitats become more vulnerable to predation, and so an ancillary indicator of hypoxia may be predators gorging on dead and moribund organisms where those predators are adept at tracking the edge of fluctuating hypoxia areas and/or at making brief forays into hypoxic areas (Seitz et al., 2003). However, these top predators with high mobility are facing a tradeoff between low-oxygen and increased prey availability. In other cases, predators that track

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more oxygenated water masses may be able to exploit prey that have done the same, such as tuna species in the mid-latitudes that aggregate in the warm core eddies with high oxygen concentration in the subsurface which allows them to feed on mesopelagic species for a longer time (Xing et al., 2023).

Soft-bottom infaunal species also exhibit escape responses emerging from burrows and buried positions to the sediment surface to seek higher oxygen (and possibly evading hydrogen sulfide). This includes *Nephrops* lobsters normally tucked away in burrows that suddenly appear in bottom trawls during hypoxic events, infaunal worms atypically exposed on the sediment surface and amphipods that extend their tubes above the sediment surface to reach higher into the water columns (Diaz and Rosenberg, 1995). Zooplankton can also change their vertical position in the water column to avoid low DO bottom waters. In general, depth stratified zooplankton sampling has shown that copeped abundances are higher in the surface mixed layer and within the pyenocline compared to hypoxic bottom water in coastal environments (Roman et al., 1993;2012; Keister et al., 2000; Pierson et al., 2009; Keister and Tuttle, 2013). However, the vertical compression of their distribution to the upper water column can increase their vulnerability to predation by visually feeding fish, and thus alter food web processes (e.g. Pothoven et al., 2012; Roman et al., 2012).

Population Size: A reduction in population size in response to deoxygenation can be the result of reduced reproduction and recruitment, increased mortality as a direct response to oxygen stress or indirect response through less food availability or increased predation. Depending on the generation time of the species, both short-term episodic as well as longer-term chronic deoxygenation can reduce population size (Adamack et al., 2017; Roman and Pierson, 2019; Pierson et al., 2022; 2023; Duskey, 2023). The limiting and lethal oxygen partial pressure for impacts on the various developmental stages of the species would allow the assessment of the impact of *in-situ* oxygen partial pressures on the population. Limits of this approach include the need to know the P_{crit} and P_{leth} oxygen levels of the various life stages, unknown genetic adaptations to low oxygen and other abiotic/biotic factors that complicate the interpretations. It usually is not possible to have the oxygen tolerance information for all species of interest so comparisons/modeling will be necessary to broaden applications to guilds, functional groups and body size scaling. Impacts of low_oxygen are taken into consideration for population models for commercial fisheries and predictions of essential habitat for restoration and protection.

<u>Population Growth Rate</u>: Population growth rate integrates growth, survival, and reproduction of individuals and expresses the net effect of these vital rates at the population-level. Population growth rate therefore reflects multiple pathways of low-DO effects. Population level growth rate is also the basis of management of harvested species and regulatory actions. Like mortality, measuring population growth rate directly in the field is challenging but there is a long history of using statistics and modeling to scale

population growth from the available data on growth, mortality, and reproduction (Doak et al., 2021).

Population growth rates integrate across effects and life stages and are used for fisheries management and species conservation. Logistic population models have a long history in ecology and directly use population growth rate (r) and carrying capacity (K). Maximum Sustainable Yield (MSY) is traditionally estimated as 1/4th of K times r. Fisheries stock assessments and population modeling for conservation often use more complicated matrix projection models with the population divided into classes (age, stage, or size) that use survival, growth, and reproduction rates specific to classes to generate r and K, in addition to other population-level metrics (Doak et al., 2021). The link to hypoxia indicators is how exposure to low-DO affects the survival, growth, and reproduction rates, either of the total population or by age-class (Rose et al., 2001). Smith and Crowder (2011) used a logistic growth model for blue crabs (Callinectes sapidus) and included hypoxia effects via changes in predation mortality which affects r and K. Eby et al. (2005) demonstrated how a traditional stage-based matrix model can be used to combine reduced juvenile stage growth rate due to hypoxia to finite population growth rate λ , which is equal to e^r . There are many examples of hypoxia causing reduced habitat availability (e.g., Zhang et al., 2010; Gallo and Levin, 2016; Franco et al., 2022) that can limit the production of a particular life stage within the life history, translating into reduced local productivity (related to r) and reduced carrying capacity. Long et al. (2014) used an age-structured matrix model for the clam Macoma balthica in two regions with varying DO (permanently normoxic and occasionally hypoxic) and found that hypoxia affected mortality via altered predation pressure, fecundity, and maturity. They reported the response of λ as a function of the proportion of area extent of the hypoxic zone and the duration of the hypoxia.

Low-DO has direct and indirect effects that affect survival and reproduction (maturity, fecundity), all of which determine population growth rate, r. There are few examples of direct calculation of growth rate of the population from field data, but it is more common to use a model to scale these parameters to population growth rates. An example is Eby et al. (2005) who used a stage-within-age matrix projection model and converted low-DO effects on growth rate of individuals into extended stage duration for juvenile croaker.

Recruitment Rate: We use the term recruitment here in the fisheries sense of the number of individuals that survive to the stage or age after which natural mortality rate is relatively constant. Note that recruitment is also used (often with benthos and some invertebrates) as the number of larvae that settle and enter their sessile stage. Fisheries recruitment as an indicator of low-DO is of direct ecological and management relevance as it is a driver of population dynamics and forms the basis of most fisheries management plans. However, examples of empirically-based DO effects on fisheries

recruitment are rare because recruitment is highly variable, logistically difficult to study, and influenced by many factors and stressors (Houde, 1997), making isolation of the effects of low-DO challenging.

Ariyama and Secor (2010) analyzed dredge catch data and showed that the recruitment of Gazami crab (*Portuans trituberculatus*) is related to DO levels. Jung and Houde (2004) examined bay anchovy (*Anchoa mitchilli*) in Chesapeake Bay and found that recruitment of young of the year (YOY) in October was related to DO concentrations and standing stock biomass in the previous summer. They used anchovy length rather than DO directly as a proxy for low-DO effects on growth in a Ricker spawner-recruitment model. Similarly based on analysis of survey data, Boyer et al. (2001) also implicate hypoxia in reduction of the northern Benguela sardine (*Sardinops sagax*) recruitment. Population recruitment is thus a valuable index of deoxygenation that has a direct application to fisheries management.

Communities/Ecosystems Indicators

Diversity: Diversity metrics reflect the number of species present and how individuals are distributed among the species. This information represents an aggregated outcome of biotic responses manifesting at the individual and population level that are discussed earlier in this paper. Diversity metrics may include components of species richness, evenness, dominance, rarity, and occasionally trophic traits or a combination of these metrics. Common indices include species richness (S), Shannon Wiener (H'), Simpson's D, Pielou's J, Rarefaction (ESx), Hill numbers (${}^{q}D$), and Rank 1 dominance (R1D). Diversity indicator metrics can be applied to counts of individuals categorized by species, family or even phyla, but can also be applied to Operational Taxonomic Units (OTUs) or Amplicon Sequence Variants (ASVs), even when species associated with genetic sequences are not known. Diversity, as well as evenness and dominance, are calculated from count data based on field samples or imagery, that are often generated by extensive processing or analysis in the laboratory. Diversity of eukaryotes typically declines with decreasing DO concentration below a threshold that varies with guild and assemblage body size (e.g., mega, macro, meiofauna; Breitburg, 2002; Levin, 2003; Gooday et al., 2010). Examples of species-richness declines under low_oxygen exist for many different systems, including bivalves in temperate estuaries (Ducrotoy et al., 2019), corals in tropical reefs (Altieri et al., 2017), benthos and plankton on seamounts (Wishner et al., 1995), demersal fish in oxygen minimum zone regions (Gallo and Levin, 2016) and fauna of continental slopes (Gooday et al., 2010; Hunter et al., 2012). Dramatic diversity declines are often accompanied by declines in evenness and increased dominance by one or a few species (Levin, 2003; Jeffreys et al., 2012; Yasuhara et al., 2012). Dominance by species may reflect high physiological tolerance to low_oxygen,

better competitive abilities under low-oxygen (relative to other species), high food supply or a combination of these factors.

Diversity thresholds are influenced by the duration of exposure and temporal variability of low-oxygen stress such that diversity responses differ in coastal versus bathyal OMZ settings in different ocean basins and for mobile versus sessile fauna (Levin et al., 2010; Chu and Gale, 2016; Chu et al., 2018). Diversity (and evenness and dominance) response to oxygen declines or increases have been documented over seasonal cycles, inter annually (e.g. ENSO) and over historical and geological time scales (Arntz et al., 2006; Rabalais and Baustian, 2020; Zarikian et al., 2022). In East Pacific OMZs where oxygen stress is persistent, diversity thresholds for benthic macroinvertebrates and demersal fish occur around 7 μ mol kg⁻¹ DO (Sperling et al., 2016; Gallo et al., 2020). In coastal waters with seasonal hypoxia, diversity thresholds (assumed to be reflected in species thresholds) may average around 63 μ mol kg⁻¹ DO, but for crustaceans can be 25-42 μ mol kg⁻¹ DO in the East Pacific, and 43-77 μ mol kg⁻¹ DO in the Atlantic Ocean (Chu and Gale, 2016).

Advantages of diversity (and evenness and dominance) as an indicator of low oxygen stress include integration of response across species with a clear linkage to ecosystem function and health. Changes in dominance are easy to detect via monitoring programs. Thus, when the community shifts to a dominancet by a that is a hypoxiatolerant species, it can be a good indicator of oxygen stress at the ecosystem level. Diversity assessment often requires painstaking inventory of species and counts of individuals, requiring both time and resources. Quantitative multiplex PCR (e.g. Wong et al., 2022) can measure eDNA for several target species, small species, and even cryptic species, but is limited for quantitative assessment (e.g., Shelton et al., 2023). Diversity, evenness and dominance can also be influenced by other factors such as salinity, food availability or contamination, independently or synergistically with deoxygenation (Rozenzweig and Abramsky, 1993; Levin and Gage, 1998; Pilo et al., 2015).

Local (alpha) diversity responses to low-oxygen stress are well documented for benthic metazoan invertebrates (Gooday et al., 2010), benthic foraminifera (Tsujimoto et al., 2006) and demersal fish (Gallo et al., 2020), with the paleo literature replete with examples for fossil forming biota (e.g., Tsujimoto et al., 2008; Aberhan and Baumiller, 2003; Yasuhara et al., 2012; Moffit et al., 2014; 2015; Singh et al., 2015). Alpha diversity can be scaled up to beta-gamma diversity across gradients or gamma diversity at larger geographic scales. Annelid, nematode and calcareous foraminifera species show high dominance among benthic sediment fauna subject to severe hypoxia. In extreme OMZs, a single species may comprise 40-100% of the macrofauna (Levin, 2003; Jeffries et al., 2012) and foraminifera (Gooday et al., 2000). Metazoan examples include Linopherus sp. on the Pakistan Margin at 800m (100% of macrofaunal individuals); Olavius crassitunicatus on the Peru margin (86%) and Diaphorosoma sp. on the Chile margin (73%). Protozoan examples include the foraminifera Bolivina seminuda on the Oman margin (43%) (Gooday et al. 2000). In coastal waters of Chesapeake Bay, paleo

dominance (60-90%) by *Ammonia parkinsoniana* is associated with hypoxia (Karlson et al., 2000).

Because hypoxia can favor some species, including invasives, hypoxia may lead to higher regional beta or gamma diversity in an ecosystem, even while suppressing alpha diversity at a given impacted site. Given that recovery of diversity following a hypoxic event may take far longer than the initial decline, the fingerprint of diversity as a hypoxia indicator may be apparent for years or decades, allowing for 'detection' of a hypoxic event long after oxygenated conditions have returned.

A more mechanistic community-level indicator of the intensity of effects caused by eutrophication-induced hypoxia, focused on species loss, is the Effect Factor (EF) (Cosme and Hauschild, 2016). EF is designed to evaluate impacts of anthropogenic nitrogen and organic inputs on demersal communities by assessing the fraction of species that will be affected by hypoxia based on their individual thresholds. It requires knowledge of species in the community, their hypoxia sensitivities, their geographic distributions and environmental conditions. A species sensitivity distribution methodology is used to combine species distribution and lowest-observed-effectconcentrations for species to estimate the DO concentration at which half of the community's species are affected. This metric, which extends the concept of diversity to include species sensitivity to hypoxia can function as a hypoxia stress or ecosystem health index; it has been applied at large spatial scales for 5 climate zones (Cosme and Hauschild, 2016) and to 66 Large Marine Ecosystems (Cosme et al., 2017). Modifications involving species density distributions have generated additional indices including a Potentially Affected Fraction and Potentially Disappeared Fraction (Cosme et al., 2017).

Taxonomic Shifts and Ratios: Changing species abundance can lead to taxonomic shifts in community composition and resulting ratios of specific taxa are sometimes considered as hypoxia indicators. Because mobile species can respond quickly to oxygen decline the resultant shifts in taxonomic composition may offer an early warning of hypoxia. These typically reflect differential tolerance of taxa at the species or higher level. As with other indicators the intensity, persistence, duration and temporal sequence of hypoxia will influence taxonomic responses. Taxonomic responses are detected by sampling and counting the entire community or by sampling and counting targeted taxa. Thresholds for taxonomic response differ between seasonally hypoxic/coastal systems and permanently hypoxic systems and with species ontogenetic stage, mobility and body size.

It is generally thought that larger-bodied animals that can swim will be most sensitive to low-oxygen conditions and will avoid areas of hypoxia when possible. For example, in a meta-analysis of Atlantic species, fish and crustaceans exhibited less hypoxia tolerance (i.e., higher sublethal and lethal thresholds) than priapulids and molluscs (Vaquer-Sunyer and Duarte, 2008), presumably because of their high metabolic demands and their high mobility. In pelagic systems, specific copepod and krill genera

specialize in low-oxygen conditions (Wishner et al., 2013; Tremblay et al., 2020). In coastal waters the dominance of gelatinous zooplankton (ctenophores, jellyfish, siphonophores, salps) over crustaceans in hypoxic waters reflects their tolerance to hypoxia (Breitburg et al., 1997; Ekau et al., 2010; Miller et al., 2012; Purcell, 2012). Note however, that cusk eels and cat sharks (Gallo et al., 2018) and tuna crabs (Pineda et al., 2016) at bathyal depths in the Eastern Pacific can be extraordinarily abundant at DO concentrations $\leq 2 \mu mol \ kg^{-1}$.

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> Within OMZs and other hypoxic areas, echinoderms often avoid the lowest oxygen concentrations but form dense bands at OMZ edges (discussed earlier). Sponges with high hypoxia tolerance often replace stony corals (Chu et al., 2019) and annelids and nematodes often dominate over other major taxa in both coastal and deep sediments subject to hypoxia (Levin, 2003; Levin et al., 2009; Rabalais and Basutian, 2020). However, there are locations such as the Namibian shelf where molluscs or crustaceans dominate the infauna at very low oxygen concentrations and the longer-lived, hard shelled gastropod and bivalve taxa have been proposed as indicators of oxygen change (Zettler et al., 2009; 2013). Among foraminifera, the rotaliids and buliminids with small, thin walled calcareous tests dominate in severe hypoxia over forams with agglutinated tests (Gooday et al., 2009). On the Louisiana shelf Pseudononion atlanticum, Epistominella vitrea and Buliminella morgani have been used as indicators of historically low-oxygen in sediment core records (Osterman et al., 2003). Similarly, some ostracod species (e.g., Bicornucythere bisanensis in Japan; Irizuki et al., 2003; Yasuhara et al., 2003; 2007; Loxoconcha sp. in the eastern coast of USA; Alvarez Zarikian et al., 2000, Cronin and Vann, 2003) have been used as low-oxygen indicators (Yasuhara et al., 2012; 2019).

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Assessing taxonomic shifts and ratios is an important objective of most long-term ecological time series, however it can be difficult to assess these indicators across ecosystems due to methodological artifacts and limitations. For instance, the temporal and spatial scales of response by the zooplankton community to low oxygen can be very small and sometimes go undetected due to the coarsely integrated sampling approach of most extended net tows (Wishner et al., 2020). For metazoan meiofaunal communities, the nematode:copepod ratio is often cited as an indicator of contaminant stress (Warwick, 1981) but is also seen to change along oxygen gradients in space and time (Levin et al., 2009). Nematode counts increase relative to copepod counts as oxygen declines, reflecting strong tolerance of nematodes to severe hypoxia. The ratio emerges easily from quantitative surveys of meiofaunal taxa, but can be time consuming and difficult to compute when done manually. Changes in nematode:copepod ratios have been observed along OMZ gradients in the Eastern Pacific on a seamount off Mexico (Levin et al., 1991) and on the Costa Rica (Neira et al., 2018), Chile (Neira et al., 2001), and Peru (Levin et al., 2002) margins. Interannual changes in nematode dominance are associated with ENSO cycles off Peru and Chile (Gutierrez et al., 2008; Levin et al. 2009). The ratio affects the next trophic level – potentially selecting for consumers with

different food preferences and can indicate functional change. Among macrofauna, the polychaete to amphipod ratio can reflect changing eutrophication (Dauvin 2018) and water quality (Maximov and Berezina 2023) but does not seem to be a good oxygen indicator. For protozoa, the ratio of *Ammonia* to *Elphidium* (both benthic foraminifera genera) is a common oxygen/eutrophication proxy, with *Ammonia* species much more tolerant to hypoxia (Sen Gupta et al., 1993).

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Recovery following hypoxia may follow a predictable pattern of species accumulation and replacements (Lim et al., 2006; Steckbauer et al. 2011) and thus taxonomic characterization of communities in a well-studied system may indicate the timing of a prior hypoxic event. As a consequence of variation among species in their tolerance to hypoxia and their ability to recolonize habitat following a low-oxygen event, community composition will be a product of not only the severity of hypoxia, but also the interval between such events (i.e., persistent, seasonal, episodic, or periodic). In areas where hypoxia is persistent, frequent, or recently occurred, we might expect to see the simplest types of communities made up of a limited number of hypoxia-tolerant and/or opportunistic species. While hypoxia is typically thought of as an agent of species elimination, it can have positive effects at the local scale on the presence or abundance of some animals, by freeing up resources through elimination of competitively dominant species, or by excluding less tolerant predators and creating a predation refuge for more hypoxia tolerant prey. Moreover, these potential benefits can extend to invasive species, and as a consequence, hypoxia may lead to higher beta or gamma diversity in an ecosystem, even while suppressing alpha diversity at a given impacted site.

Abundance and Biomass: Changes to community-level measures of abundance and biomass can occur in response to hypoxia. Animal abundance and biomass collections are typically made using community-sampling methods, including trawls, sediment cores, net tows or pumps and visual surveys, or are quantified from video and still imaging by ROVs, submersibles, photo-sleds or autonomous landers. Changes in these indicators can be seen when sampling across oxygen gradients in space or in a time series as abundance and biomass respond to oxygen changes seasonally, as well as over interannual, historical and geologic time scales (Seitz et al., 2009; Moffitt et al., 2014; 2015). Species abundance and biomass reflect important biological processes such as recruitment, growth, avoidance, mortality and local extinctions. These indicators broadly integrate responses across species and changes related to the productivity of a system, which is important from a fisheries and ecosystem management perspective (Breitburg, 2002). However, because abundance and biomass are closely linked to productivity and food availability, they are often confounded with nutrient input and eutrophication, which gives rise to opposing responses (i.e. abundance will increase with eutrophication but decrease with deoxygenation; Breitburg et al., 2009).

The abundance of vulnerable taxa or size groups will typically decrease once oxygen levels fall below a certain oxygen threshold and they may first rapidly increase to

higher than baseline levels prior to or at this threshold due to 'edge effects' (Wishner et al., 1995; 2013; Levin, 2003; Yasuhara et al., 2007; 2012; Gooday et al., 2010). Edge effects can result from: (a) different taxa aggregating in a smaller area due to avoidance of a hypoxic zone as described for certain fisheries species in the Gulf of Mexico (Craig, 2012); (b) plentiful food (e.g., phytodetritus and meiofauna) combined with absence of predators in a specific oxygen zone as described for OMZs (Gallo and Levin, 2016); or (c) can be related to dominant taxa that thrive at high abundances at a specific low-oxygen threshold, for example ophiuroids bands that form on seamounts and continental margins (Levin, 2003; Vlach, 2022), cusk eels in Narragansett Bay (Hale et al., 2016), and the cusk eel *Cherublemma emmelas* in the Gulf of California (Gallo et al., 2018; 2020).

The degree of abundance and biomass limitation due to low-DO is dependent on the severity, extent and duration of hypoxia. Oxygen thresholds are taxon-specific, body-size specific, and region-specific. For example, on the US Pacific Coast (Keller et al., 2015) and off Peru (Rosenberg et al., 1983) the catch per unit effort (CPUE) of demersal fish decreases below a specific oxygen threshold, however the thresholds differ between the two systems, with the oxygen threshold being lower off the coast of Peru. DO has also been shown to be an important covariate in explaining demersal fish CPUE in the Chesapeake Bay (Bucheister et al., 2013). However, in general fish landings can be poor indicators of hypoxia due to the effects of shoaling and aggregation (Rose et al., 2019; Chesney et al., 2020).

At the community level, changes in animal density animal abundances typically can occur at a lower-higher oxygen threshold than that required to see changes in species richness or diversity in diversity. For demersal fish in the Gulf of California, for instance, DO 3 +/- 1 µmol kg⁻¹ was identified as the threshold below which fish abundance density decreased (Gallo et al., 2020), compared to a DO threshold of DO-7 µmol kg⁻¹ for diversity (H'). Reductions in abundance of sensitive, less-mobile fish species may occur due to fish kills (Graham et al., 2004; Thronson and Quigg, 2008). Effects may be direct via increased mortality, through prolonged exposure to low-DO (Breitburg et al., 1999; 2003; Turner, 2001; Diaz and Breitburg, 2009) or indirect via reduction of habitat availability in the benthos (Turner, 2001), water column (Wang, 1998; Breitburg et al., 1999; Chesney et al., 2000; Turner, 2001) or through alterations to food web structure (Graham, 2001). The general observation of reduced coastal copepod abundances in water columns with hypoxic bottom waters (Roman et al., 1993; Keister et al., 2000; Kimmel et al., 2012) suggests they have lower population growth, greater mortality, predation and/or emigration in water columns with hypoxic bottom waters.

<u>Taxonomic Shifts and Ratios:</u> Taxonomic shifts in community composition and resulting ratios of specific taxa are sometimes considered as hypoxia indicators. These typically reflect differential tolerance of taxa at the species or higher level. As with other indicators the intensity, persistence, duration and temporal sequence of hypoxia will

influence taxonomic responses. Taxonomic responses are detected by sampling and counting the entire community or by sampling and counting targeted taxa. Thresholds for taxonomic response differ between seasonally hypoxic/coastal systems and permanently hypoxic systems and with species ontogenetic stage, mobility and body size.

It is generally thought that larger-bodied animals that can swim will be most sensitive to low-oxygen conditions and will avoid areas of hypoxia when possible. For example, in a meta-analysis of Atlantic species, fish and crustaceans exhibited less hypoxia tolerance (i.e., higher sublethal and lethal thresholds) than priapulids and molluses (Vaquer-Sunyer and Duarte, 2008), presumably because of their high metabolic demands and their high mobility. In pelagic systems, specific copepod and krill genera specialize in low-oxygen conditions (Wishner et al., 2013; Tremblay et al., 2020). In coastal waters the dominance of gelatinous zooplankton (etenophores, jellyfish, siphonophores, salps) over crustaceans in hypoxic waters reflects their tolerance to hypoxia (Breitburg et al., 1997; Ekau et al., 2010; Miller et al., 2012; Purcell, 2012). Note however, that cusk eels and cat sharks (Gallo et al., 2018) and tuna crabs (Pineda et al., 2016) at bathyal depths in the Eastern Pacific can be extraordinarily abundant at DO concentrations < 2 µmol kg⁻¹.

Within OMZs and other hypoxic areas, echinoderms often avoid the lowest oxygen concentrations but form dense bands at OMZ edges (discussed earlier). Sponges with high hypoxia tolerance often replace stony corals (Chu et al., 2019) and annelids and nematodes often dominate over other major taxa in both coastal and deep sediments subject to hypoxia (Levin, 2003; Levin et al., 2009; Rabalais and Basutian, 2020). However, there are locations such as the Namibian shelf where molluses or crustaceans dominate the infauna at very low oxygen concentrations and the longer-lived, hard shelled gastropod and bivalve taxa have been proposed as indicators of oxygen change (Zettler et al., 2009; 2013). Among foraminifera, the rotaliids and buliminids with small, thin walled calcareous tests dominate in severe hypoxia over forams with agglutinated tests (Gooday et al., 2009). On the Louisiana shelf Pseudononion atlanticum, Epistominella vitrea and Buliminella morgani have been used as indicators of historically low oxygen in sediment core records (Osterman et al., 2003). Similarly, some ostracod species (e.g., Bicornucythere bisanensis in Japan; Irizuki et al., 2003; Yasuhara et al., 2003; 2007; Loxoconcha sp. in the eastern coast of USA; Alvarez Zarikian et al., 2000, Cronin and Vann, 2003) have been used as low-oxygen indicators (Yasuhara et al., 2012; 2019).

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Functional Shifts: In areas subject to episodic or seasonal hypoxia, infaunal animals may exhibit changes in dwelling habit and depth within sediments. Behavioral responses to hypoxia include tube lengthening or body extension into the water column by polychaetes and amphipods, shallower burial, emergence from sediment or aggregate formation to raise animals up into the water column (reviewed in Diaz and Rosenberg, 1995; Levin et al., 2009). Although these are rarely monitored as indicators, they generally reflect oxygen declines. These changes along with replacement of large, deep dwellers and suspension feeders by taxa that are smaller, near-surface dwelling and surface-deposit-feeding lead to declines in bioturbation and bio-irrigation under hypoxia (Diaz and Rosenberg, 1995; Middelburg and Levin, 2009). Metrics that reflect these

changes include sediment mixed layer depth, burrow size and diversity, and bioturbation rate (Db), although the latter metric is not always positively correlated with oxygen concentration (Smith et al. 2000). However, Uunder persistent, stable hypoxia some tolerant species deepen their vertical distributions as long as some oxygen is present (Levin et al., 2009a). -In low sedimentation areas, hypoxia-induced changes in sediment mixing can lead to reduced organic matter decomposition and enhanced carbon preservation (Canfield 1994).

Thresholds for the changes outlined above often occur around 2 ml L⁻¹ DO for shallow water taxa and at 0.4 ml L⁻¹ DO (or less) for OMZ species. Sediments on the Pakistan margin shift from laminated (no bioturbation) to fully bioturbated across gradients from DO 0.1 to 0.2 ml L⁻¹ (Levin et al. 2009b). Because nutrient and organic matter additions often drive oxygen depletion, the hypoxia indicators described above also reflect organic matter enrichment (Pearson and Rosenberg, 1978). Other functional changes can include altered rates of colonization (DO between 0.05 and 0.5 ml L⁻¹; Levin et al. 2013) and altered carbon cycling pathways (Woulds et al., 2007) with protozoans dominating carbon uptake over metazoans under severe hypoxia. These functional responses tend to occur on seasonal or longer time scales and may therefore prove most useful as an indicator of long-term oxygen loss.

> *Food Web Structure*: Deoxygenation can result in changes in the presence, abundance, and behavior of interacting species in marine food webs. The severity and distribution of oxygen concentrations (or partial pressures) can affect relevant behaviors and alter encounter rates. As discussed previously, the presence, distribution, and behaviors of individual species can change in response to both oxygen distribution and its effects on organisms at low levels. Under low-oxygen conditions, tolerant species can become more dominant throughout the food web because their: abundance is privileged by low oxygen; consumer / predatory strategies are less affected and/orr; escape behavior is less impaired relative to other species with which they interact (Breitburg et al., 1997; 1999). Certain feeding modes such as carnivory can become less common or even absent under severely hypoxic conditions (Sperling et al., 2013). Food chain length can also be impacted by hypoxia, becoming longer and supporting fewer top predators, with more energy flow-dominated by microbial pathways (such a shift from carbon fixation to chemosynthesis). This change in food web structure results in less trophic transfer upward and the presence of conspicuous microbial mats that are themselves an indicator of hypoxic conditions (Levin, 2003; Woulds et al., 2007; Levin et al., 2009a). This relationship between food chain length and low-oxygen was apparent during the early Cenozoic warm period (50 mya), when the warmer, less oxygenated ocean supported longer food chains and a lower abundance of top predators (Norris et al., 2013).

Shifts in the distribution of species from an area subject to oxygen loss to areas nearby (where oxygen levels may vary) could alter surrounding food webs. Certain

ecological guilds that were once underrepresented may become more abundant in an ecosystem as they escape deoxygenation elsewhere or track prey that have migrated to find better oxygenated waters.

As with other metrics, thresholds for trophic changes will depend on differences in tolerance of the various interacting species. Tolerance thresholds will vary among species (e.g. finfish versus gelatinous zooplankton), habitats (e.g. estuaries vs OMZs), geography and temperatures. In estuaries, gelatinous zooplankton (scyphozoans and ctenophores) tend to be more tolerant of low-oxygen exposure than their copepod and larval fish prey and more tolerant than juvenile or adult fishes competing for the same prey (Breitburg et al., 1997). Conversely, sessile or relatively sedentary organisms are often more hypoxia-tolerant than their predators and may gain a refuge. This is the case for estuarine hard clams that reach their highest abundance in low-DO areas where their predators are excluded (Altieri_r 2008) and in kelp forests where hypoxia reduces grazing pressure, thereby increasing kelp survival (Ng and Micheli, 2020).

Shifts in consumer interactions associated with hypoxia result in altered food web structure and trophic function. Lower proportions of carnivory in the polychaete community have been found under low-oxygen conditions (Sperling et al., 2013). Under hypoxic conditions demersal fish on continental slopes shift from feeding in the water column (on vertically migrating zooplankton and fish) to consuming largely benthic prey, yielding longer, less efficient food chains (Gallo, 2018). Hypoxia-induced changes in food webs may result from changes in the abundances of some species and/or the distributional overlap of predators and prey (Breitburg et al., 1997; Ekau et al., 2010). Diets of fishes can differ in hypoxic water as shown for Atlantic bumper (Chloroscombrus chrysurus) in the Gulf of Mexico (Glaspie et al., 2018). Some fishes may even benefit from hypoxia if their prey are forced into more vulnerable predatory habitats as suggested for Chesapeake Bay where striped bass may benefit from concentration of bay anchovy prey in the well-oxygenated mixed layer (Costantini et al., 2008). Whether effects of hypoxia on fish populations are positive or negative is likely to be species-specific and ecosystem-dependent (Breitburg et al., 1997; 2002; Costantini et al., 2008) and also dependent on the severity of low-oxygen coupled to the prevailing temperatures and the relative tolerances of predators and prey.

Shifts in trophic structure are detected with field measures of encounter rates, gut contents analysis and stable isotopes that detect changes in the base of the food chain (δ ¹³C) or trophic level (δ ¹⁵N). Models such as ECOPATH/ECOSIM (Christensen and Walters, 2004) are useful to combine field and experimental data to achieve a more comprehensive understanding of changes to food webs (e.g. de Mutsert et al., 2017). Similarly, biomass, abundance or catch trophic spectra can offer a high-level indicator of changes to food webs (e.g. Gascuel et al., 2005).

There are some indices that incorporate elements of diversity and trophic function such as the Infaunal Trophic Index (ITI) (Word 1978), AZTI's Marine Biotic Index (AMBI) Caswell et al. 2019), and the Trophic state Index for Benthic Invertebrates (TSI-

BI) (Chalar et al. 2011). These have been applied over a range of sediment ecosystems and over extended periods of time to examine change including in response to oxygen loss.

Trophic indicators offer a holistic measure that synthesize a variety of responses of individual species. As with many indicators discussed here, time and resources are required for fieldwork and experiments. Changes in food web structure can be driven by other co-occurring environmental stressors in addition to hypoxia such as changes in nutrient input, introduction of an invasive species and species distribution changes due to climate change.

DISCUSSION

I. Scaling of Indicators

Scaling of indicators is often necessary to enable the observed values of the indicator to be interpreted as representing the state of the system and for results to be expressed on spatial and temporal scales that are ecologically or societally meaningful. Consideration of what types and to what extent scaling is needed is important when selecting an indicator, designing a sampling plan and interpreting and communicating the results of an indicator. Scaling often determines what species and life stages to measure, the specific indicator(s) needed and how to allocate effort to sampling locations and frequency of sampling.

The first type of scaling typically Scaling can employs graphical or statistical analyses to extrapolate examine trends in time and space to help attribute variability of the measured indicator to underlying causative factors. These types of statistical analyses focus on the measured values of the indicator and possibly covariates. The extrapolation or inference level is derived from the the measured conditions of the individuals and applied to broader areas than those locations sampled (e.g. sub-regions, basin-wide) or to more generalized timescales than those captured by the data (e.g. month, season, years). This scaling employs the statistical concept of looking for patterns in the data collected at different locations and/or over time and subsequently making key assumptions about how these data reflect broader conditions to infer the population of indicator values. For example, Duskey et al. (2023) used a size spectrum food web model of the central Baltic Sea and incorporated how Point affected a suite of indicators: benthic resource carrying capacity, occupancy in benthic habitat, maximum consumption rate, fish search rate for prey, assimilation efficiency and fish egg survival.

The second major type of Another type of scaling analysis is used with indicators to employs derive a mechanistic understanding of how the indicator logically and causally relates to higher the levels of biological organization (population, community or ecosystem) state of the system. For example, low-DO impaired vision affects detecting prey that determines feeding and growth that affects vulnerability to predator (mortality)

and fecundity, which affect population abundance. This integration and scaling across levels of biological organization from the organismal to ecosystem level can be represented in a conceptual diagram (Altieri and Witman, 2006), where low-oxygen stress reduced survivorship and growth of individual mussels, and impacted the density and spatial extent of mussel populations. Individuals of a single species could be used to infer the state of the population while observations on multiple species can be leveraged to community (e.g. diversity) and food web levels (e.g. energy pathways). The condition of individuals as indicated by lipid content (e.g. Herbinger et al., 1991) suggests sufficient exposure to low-DO can elicit a response of the bioenergetics and physiology of the individual. Reduced animal condition can be related to the oxygen state of the system and can lead to higher mortality, lowered fecundity and other responses that can be directly related to population, community or food web levels. While values of indicators on subsets of individuals can stand alone to show exposure and responses of individuals, scaling translates indicator observations into potentially more-relevant levels of biological organization and scales of time and space. This mechanistic scaling approach was used by Rose et al. (2018a, b) to examine how reduced growth, increased mortality, and reduced fecundity due to low-DO exposure affected croaker (Micropogonias undulatus) population dynamics in the Gulf of Mexico. By using an agent-based model with a 2-D grid that included dynamic DO field, the time-dependent exposures of individuals were simulated and avoidance behavior was projected.

The third general approach for sScaling of indicators can involves examining the causality of deoxygenation through ecological models that provide a quantitative basis for sealing indicators. include nNumerical models which provide a quantitative translation of the indicator into variables that might be are more relevant to management and society. Modeling involves significant effort beyond the conceptual modeling that is thus done in scaling of indicators when quantitative links from the indicator to the system state are needed. Common situations requiring such modeling are when multiple stressors covary and DO effects need to be isolated or when expressing indicators in units explicitly chosen to inform policy (e.g. economic impacts of reduced biodiversity) and management decisions (e.g. fisheries yield). For instance, Franco et al. (2022) scaled low-DO effects to habitat changes of Pacific halibut (Hippoglossus stenolepis) in the Northeastern Pacific. They used fisheries-independent data and model predictions from ROMS-BEC of oxygen and a metabolic index was used to map suitable aerobic habitat. For example, Duskey et al. (2023) used a size spectrum food web model of the central Baltic Sea and incorporated how Perit affected a suite of indicators: benthic resource earrying capacity, occupancy in benthic habitat, maximum consumption rate, fish search rate for prey, assimilation efficiency and fish egg survival.

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The suite of indicators discussed differ in the temporalime and spatialee scales of oxygen influence which are reflected in the types of settings where it is most applicable, in possible confounding factors and in the expertise and resources required for application. These differences are summarized in Table 1. All of these aspects together influence the potential applications of the different indicators of low-oxygen stress.

Indicator Category	Indicator	Disciplinary Expertise Required	Resources/Accessibility	Confounding Factors Affecting Interpretation	Overall Utility/Application	Readiness monitoring
Individual - Cellular Responses	Hypoxia Inducible Factors	Physiology	Access to specialized laboratory facilities	Species differences	Specialized application in lab, aquaculture, field	Moderate
Individual - Sensory Systems	Vision	Physiology	Requires specialized tools for ERG, behavior	Acidification	Management of fishery stocks (catch limits, closures) or endangered species but requires species-level verification	Low
	Hearing	Physiology/acoustics	Access to specialized laboratory facilities	Acidification	Not sufficiently developed at this time	Low
Individual - Hormonal Responses	Endocrine, cortisol levels	Physiology	Immunoassay facility	Handling stress	Most useful in experimental systems or aquaculture	Moderate
	Growth hormone	Physiology	Access to specialized laboratory facilities	Endocrine disruptors	Management of fisheries stocks	Moderate
	Reproduction/fertility	Physiology	Access to specialized laboratory facilities	Size, temperature, food supply	Fisheries management, conservation, aquaculture	High
Individual - Growth and condition	Body size	Ecological	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Fisheries management, conservation, aquaculture	High
	Malformation	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Acidity, food supply, temperature	Diagnostic for habitat suitability, useful in aquaculture	High
	Mortality	Basic biology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, disease	Establishing oxygen thresholds, improving aquaculture conditions, remediation, fisheries management	High

Individual - Immune response	Disease	Pathology	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	pCO2, temperature, crowding	Diagnostic for habitat suitability	High
	Chemical archive of hypoxia exposure	Trace element-fingerprinting	LA-ICPMS, Synchrotron	Growth rate	Hindcasting field exposure to hypoxia	Moderate
Individual - Physiology	Metabolic Indices	Ecological/Modeling	Computer, otolith chemistry	Temperature	Diagnostic for habitat suitability in past, present, future	Moderate
Species/Population - Abundance related	Population Size	Ecological	Boats, ships, transects, sampling gear, personnel	Food supply	Fisheries management, conservation, aquaculture	High
	Age structure	Ecological	Chronometric structures such as scales, otoliths, vertebrae, etc.	Fishing pressure	Fisheries management, conservation, aquaculture	High
	Growth Rate	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, otoliths, personnel	Temperature, food supply	Establishing oxygen thresholds, improving aquaculture conditions, remediation, fisheries management	Moderate
	Fertility	Reproductive physiology	Boats, ships, or lab rearing facilities, sampling gear, calipers, computer personnel	Temperature, food supply	Stock assessment models, improving aquaculture conditions	Moderate
	Survivorship	Ecological/Modeling	Boats, ships, or lab rearing facilities, sampling gear, calipers, microscopes, personnel	Temperature, food supply	Stock assessment models, improving aquaculture conditions	Moderate
	Recruitment rate	Ecological/Modeling	Computer, estimates of age- based population size, migration, mortality	Temperature, food supply	Stock assessment models, improving aquaculture conditions, conservation priorities	Low
	Indicator species/taxa	Taxonomic	Boasts, ships, diving, sampling gear, microscopes	Predation, food supply	Early warning of hypoxia hazard, remediation success,	High
Species/Population - Behavior	Avoidance/ Walkouts	Field ecology, Citizen science	Imaging, acoustics	Temperature	Hypoxia event indicator, species- specific management (fisheries, aquaculture)	High

	Aquatic surface respiration	Citizen Science	Can be observed from piers or boats	Volunteers	High utility as hypoxia event indicator, aquaculture management	High
	Shoaling distributions	Field ecology	Boats, ships, depth-stratified field sampling	Temperature	Ecosystem-based management; Fisheries implications	High
	Vertical distribution and bioturbation	Field ecology	Scuba gear, imaging systems	Hydrogen sulfide	Hypoxia event indicator, benthic species-specific management (fisheries, aquaculture)	High
Community/Ecosystems	Diversity	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, balances, personnel, eDNA	Food supply, disturbance	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Dominance	Taxonomic expertise	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Density	Ecological	Boats, ships, diving, sampling or imaging gear, microscopes, personnel, eDNA	Food supply, Hydrogen sulfide	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Biomass	Ecological	Boats, ships, diving, sampling or imaging gear, balances, calipers, personnel	Food supply	Water quality/waste management, deoxygenation detection; co-management of cumulative disturbances	Moderate
	Taxonomic ratios	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants, habitat alteration	Hypoxia/ deoxygenation detection; co-management of cumulative disturbances	Moderate

	Taxonomic composition	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA	Contaminants	Water quality/waste management, hypoxia/deoxygenation detection; co-management of cumulative disturbances	High
F	Functional Shifts	Taxonomic expertise and /or molecular expertise	Microscopes, Image analysis, eDNA, literature access	Food supply	Fisheries management, deoxygenation detection; co- management of cumulative disturbances	Moderate
Т	Trophic Structure	Isotopic, Gut content analysis (items or DNA), Modeling	Mass spectrometer, microscopes, dissecting tools for gut contents, DNA, computer	Food supply	Fisheries management co- management of cumulative disturbances	Moderate

Fisheries and Aquaculture - Coastal areas where natural and anthropogenic nutrient inputs can result in deoxygenation of bottom waters include regions of enhanced fisheries and aquaculture (Nixon and Buckley, 2002; Breitburg et al., 2018; Zhan et al., 2023). While deoxygenation impacts on fisheries catch can be difficult to ascertain because of fish movement, adaptations and changes in fishing effort and techniques, laboratory experiments have demonstrated deleterious impacts on species that are commercially harvested (Roman et al., 2019; Rose et al., 2018b; Laffoley and Baxter, 2019; Zhan et al. 2023). Deoxygenation impacts on fisheries may be most impactful on artisanal fisheries and aquaculture facilities which often have little capacity to relocate as hypoxia grows in space and time. Aquaculture can contribute to deoxygenation through the organic input to bottom waters (Rice, 2014) and animals restrained in nets and cages are unable to escape harmful oxygen conditions. Research is needed to develop aquaculture species with strong hypoxia tolerance and economic potential (see Zhan et al., 2023).

Water Quality Management - Environmental management agencies in many countries have established goals to protect and expand essential habitat for aquatic organisms using oxygen concentration as an indicator to estimate in-situ physiological stress. In Chesapeake Bay, U.S., this approach has been taken to develop estimated habitat space for different animal groups based on oxygen concentrations and lowoxygen tolerance (e.g. Batiuk et al., 2009; Zhang et al., 2018). In the Gulf of Mexico, nutrient management targets aim to reduce the area of the hypoxic zone to achieve similar habitat improvements (Scavia and Donnely, 2007). The Baltic Marine Environment Protection Commission (HELCOM) considers "oxygen debt" as a metric for ecosystem health (e.g. Stoicescu et al., 2019). This is consistent with the EU Marine Strategy Framework Directive aimed at improving marine waters, which considers the concentration of oxygen in near-bottom waters as an indicator (Friedland et al., 2021). In all of these cases, low-oxygen conditions are prioritized because they are considered to have negative consequences for a broad range of harvestable marine organisms as well as their pelagic and benthic prey. Generally, these minimum oxygen concentrations are based on mortality estimates and sometimes non-sublethal effects. The partial pressure of oxygen rather than oxygen concentration may be the more relevant measure of DO availability (Hofmann et al. 2011) because it integrates the effects of temperature and salinity on oxygen availability.

Climate and the Carbon Cycle - Biotic changes associated with deoxygenation can alter processes of carbon and nutrient mixing, remineralization, nitrification and denitrification, carbon transport, accumulation and sequestration, or and climate feedbacks from nitrous oxide or methane release (Breitburg et al., 2018). Use of biotic indicators can identify times, places or conditions where these climate-relevant changes to the carbon or nutrient cycles or feedbacks may occur. The Intergovernmental Panel on Climate Change (IPCC) reports and World Ocean Assessments mention oxygen in the context of climate change far more than IPBES, but even these suffer from low confidence of oxygen observations or models and limited-to-no attention in summaries

for policy makers (Levin, 2022). Ideally oxygen sensitivity and indicators discussed in this review could become a central part of climate change and biodiversity policy, for example: in the United Nations Framework Convention on Climate Change (UNFCCC) global stock take; Ocean Dialogue; Nationally Determined Contributions and National Adaptations Plans; as well as in the research and systematic observations discussions in UNFCCC Subsidiary Body for Scientific and Technological Advice (SBSTA).

Biodiversity and Conservation - Deoxygenation is recognized as a threat to biodiversity, particularly for larger taxa or those adapted to highly oxygenated waters. However, the extent to which deoxygenation is recognized and addressed in global assessments of marine threats and in policies that conserve biodiversity varies greatly. The Convention on Biological Diversity Framework 2030 does not mention oxygen, but is developing diversity indicators that could readily incorporate oxygen-sensitive taxa or guilds (Hughes and Grumbine, 2023). The new Biodiversity Beyond National Jurisdiction (BBNJ) Agreement to protect biodiversity and enable its sustainable use in international waters mentions deoxygenation only in its preamble and in the context of types of capacity development and technology transfer, but climate and specifically oxygen vulnerability is not included in a list of criteria for marine protected areas or environmental impact assessment. Projected changes in oxygen availability and habitat suitability for sensitive species can be applied to the designation of protected areas, fisheries regulations and evaluation of cumulative impacts in environmental impact assessment (Dunn et al., 2018; Levin et al., 2020).

Tourism, Recreation and other Livelihoods - Biological indicators of low-oxygen in coral reefs or recreational fishing habitats as well as mass mortality events washing up on beaches represent important sentinels of oxygen effects on tourism and recreation. Having early warning signs of impending or existing hypoxic events can permit various forms of adaptation among those dependent on a healthy ocean, resilient fish populations and clean beaches for income.

III. Research Needs and Opportunities

 Recent reviews on deoxygenation have suggested research needs to improve our understanding and prediction of impacts on marine organisms (e.g. Breitburg et al., 2018; Woods et al., 2022; Zhan et al., 2023). There needs to be more research on the impacts of low oxygen and relevant stressors (especially temperature) on the various life stages of commercially-harvested species. Studies on important commercial and keystone species should include the relevant time scales to assess the impacts of episodic, seasonal, annual and inter-annual fluctuations of low-DO waters on individuals and populations. Research on the impacts of low oxygen waters on marine organisms should include more studies that integrate the effects that cascade through the organism to population, community and ecosystem levels. Similarly, when addressing the impacts of deoxygenation on a commercially harvested species, low-DO should be included in an Ecosystem Based Management (EBM) approach that includes predators, prey and human influences. Investigators need to consider that oxygen concentration alone is not a

predictor of organisms' fitness. While oxygen partial pressure is the relevant physiology measure, knowledge of exposure histories, life-stage sensitivity and cumulative stressors is essential for holistic understanding.

Opportunities for national and international research on the impacts of deoxygenation include the IOC-UNESCO's Global Ocean Oxygen Network (GO2NE), UN Decade of Ocean Science for Sustainable Development program and Global Ocean Oxygen Decade (GOOD). GO2NE is committed to providing a global and multidisciplinary view of deoxygenation, with a focus on understanding the multiple aspects and impacts. From 2021-2030 the Ocean Decade program GOOD will raise global awareness about ocean deoxygenation, provide knowledge for action and develop mitigation and adaptation strategies to ensure continued provision of ecosystem services and minimize impacts on the ocean economy through local, regional, and global efforts. A GOOD programmatic focus on development and application of biological indicators of hypoxia, possibly tied to the Global Ocean Oxygen Database (GO2DAT; Grégoire et al., 2021) would facilitate the integration of deoxygenation more broadly into ocean management. Many of the biological indicators of oxygen stress described in this paper, if tied to specific DO response thresholds and in situ oxygen concentrations, can be used in monitoring and applied to management of water quality, biodiversity and fisheries.

IV. A Global Endeavor: Challenges for Equitable Application of Indicators

The ability to apply oxygen indicators across the global ocean in both coastal and open ocean waters will depend on: (a) improved oxygen literacy across various stakeholders, including managers, funders and academics; (b) expanded technical capacity, such as instrumentation, associated infrastructure and technical expertise; (c) improved data access according to Findability, Accessibility, Interoperability and Reusability (FAIR) implementation principles (Jacobsen et al., 2020); and, (d) inclusive training and empowerment of the next generation of scientists and practitioners. Each of these represents a challenge that can be addressed by different elements of the Global Ocean Oxygen Decade program and other regional and international networks. One goal would be to enable small island developing states, least developed countries and the global south more generally to join wealthier nations in having the knowledge, instruments, funding and expertise to apply oxygen indicators for science and management. Training opportunities such as the recent summer schools generated by GO2NE represent a valuable mechanism for achieving these goals.

DATA AVAILABILITY

 Data included in the manuscript can be found in the referenced citation.

AUTHOR CONTRIBUTIONS

the manuscript. The other authors contributed essential scientific sections of the 1371 1372 manuscript. 1373 1374 **COMPETING INTERESTS** 1375 1376 The contact author has declared that none of the authors has any competing 1377 interests. 1378 <u>ACKNOWLEDGEMEN</u>TS 379 380 We thank the Global Ocean Oxygen Network (GO₂NE) expert working group of 381 the Intergovernmental Oceanographic Commission of UNESCO (IOC-UNESCO) and its 382 383 secretariat for supporting this work. We greatly appreciate the helpful reviews by 384 Jackson Chu and an anonymous reviewer whose suggestions improved the clarity and 385 content of the manuscript. 1386 1387 1388 REFERENCES 1389 Aberhan, M., and Baumiller, T. K.: Selective extinction among Early Jurassic bivalves: a 390 391 consequence of anoxia. Geology, 31(12), 1077-1080, https://doi.org/10.1130/G19938.1, 392 2003. 1393 Abdel-Tawwab, M., Monier, M. N., Hoseinifar, S. H., and Faggio, C.: Fish response to 1394 hypoxia stress: growth, physiological, and immunological biomarkers, Fish Physiology and Biochemistry, 45, 997–1013, https://doi.org/10.1007/s10695-019-00614-9, 2019. 1395 Adamack, A. T., Rose, K. A., and Cerco, C. F.: Simulating the Effects of Nutrient 1396 Loading Rates and Hypoxia on Bay Anchovy in Chesapeake Bay Using Coupled 1397 Hydrodynamic, Water Quality, and Individual-Based Fish Models, Modeling Coastal 1398 1399 Hypoxia, 319–357, https://doi.org/10.1007/978-3-319-54571-4_12, 2017. 1400 Alderdice, R., Suggett, D. J., Cárdenas, A., Hughes, D. J., Kühl, M., Pernice, M., and 1401 Voolstra, C. R.: Divergent expression of hypoxia response systems under deoxygenation in reef-forming corals aligns with bleaching susceptibility, Global Change Biology, 27, 1402 1403 312-326, https://doi.org/10.1111/gcb.15436, 2020. Alderdice, R., Perna, G., Cárdenas, A., Hume, B. C. C., Wolf, M., Kühl, M., Pernice, M., 1404 1405 Suggett, D. J., and Voolstra, C. R.: Deoxygenation lowers the thermal threshold of coral

MRR and LAL contributed equally to developing the concept and lead writing of

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