# Technical Note: Nighttime OH and HO<sub>2</sub> chemical equilibria in the mesosphere – lower thermosphere

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11 Abstract. At the altitudes of the mesosphere – lower thermosphere OH and HO<sub>2</sub> play a significant role in many physicochemical processes. Thus, monitoring their spatiotemporal evolution together with 12 other chemically active trace gases is one of the most important problems for this atmosphere region, in 13 14 which direct measurements are difficult. The paper studies the nighttime OH and HO<sub>2</sub> chemical equilibria using the 3D chemical transport modeling within the general approach, which includes the identification 15 16 of the main sources and sinks in the equilibrium space-time areas and derivation of analytical criteria for 17 equilibrium validity. The presented analysis shows, that there are extended areas, where nighttime  $HO_2$ and OH are close to their local equilibrium concentrations, determined mainly by the reaction between 18  $HO_x - O_x$  components among themselves and with  $H_2O_2$ , N, NO, NO<sub>2</sub> and CO. In the upper mesosphere – 19 lower thermosphere the equilibrium expressions can be shortened, so that they include the  $HO_x - O_x$ 20 21 chemistry only. These expressions describe the HO<sub>2</sub> and OH equilibria from the top down to some 22 boundaries, the altitude positions of which vary in the interval between 72-73 and 85 km and depend 23 essentially on season and latitude. The developed analytical criteria almost everywhere reproduce well the 24 main features of these boundaries. Due to weak sensitivity to uncertainties of reaction rates and other 25 parameters, the criteria can be considered a robust instrument for HO<sub>2</sub> and OH equilibrium validation. 26 The obtained results allow us to extend previously proposed methods for the retrieval of poorly measured 27 components from measurement data and to develop new approaches.

### 29 **1 Introduction**

30 Monitoring the spatiotemporal evolution of chemically active trace gases is one of the most 31 important problems in atmospheric research. Despite the increase of the experimental data volume 32 nowadays, primarily due to the development in remote sensing methods, many important trace gases 33 continue to be unavailable for direct and regular measurements. A well-known way to increase the 34 information content of experimental campaigns is to use the available experimental data in conjunction 35 with a certain chemical or physicochemical model to derive unmeasured characteristics indirectly. Within 36 the framework of this approach, the model acts as a priori relationship between directly measured and 37 retrieved characteristics. The simplest model, that makes it possible to implement this approach, is based 38 on the condition of local (in both time and space) photochemical/chemical balance (local equilibrium) 39 between sources and sinks of the so-called "fast" components: trace gases with short lifetimes relatively, in particular, to the characteristic transport times. Mathematically this condition does not mean that the 40 41 fast variables are at equilibrium, but when it is fulfilled, the corresponding concentrations are close to 42 their instantaneous equilibrium values. At the same time due to the strong dissipation in most cases 43 (except the special cases where the ensemble of fast components includes the slow family of these components), there is no need to follow the law of matter conservation. It is possible to disregard 44 45 insignificant sinks and sources, including those caused by transport, in the corresponding balance equations without the loss of accuracy. The resulting algebraic equations are the simplest *a priori* local 46 47 relations between measurable and retrieved trace gases. These relationships can be used to derive information about hard-to-measure atmospheric species, determine key atmospheric characteristics (for 48 49 example, temperature (Marchand et al., 2007)), validate the data quality of simultaneous measurements of 50 several atmospheric components (Kulikov et al., 2018a), estimate reaction rate constants (Stedman et al., 51 1975; Avallone and Toohey, 2001), evaluate sources/sinks (Cantrell et al., 2003), etc.

52 For several decades the photochemical/chemical equilibrium approximation has been used to solve 53 many atmospheric tasks. It is applied (see, e.g., the short review in Kulikov et al. (2018a) and references 54 therein) in investigations of the surface layer and free troposphere chemistry in different regions (over megapolises, in rural areas, in the mountains, over the seas), in stratospheric chemistry studies, including 55 derivation of critical parameters in the ozone destruction catalytic cycles, and in studies of the  $HO_x - O_x$ 56 chemistry and airglows ( $O(^{1}S)$  green-line,  $O_{2}$  A-band, OH Meinel band emissions) at the heights of the 57 mesosphere - lower thermosphere. In the latter case the distributions of unmeasured characteristics are 58 59 determined from the data of daytime and nighttime rocket and satellite measurements (e.g., Evans and 60 Llewellyn, 1973; Good, 1976; Pendleton et al., 1983; McDade et al., 1985; McDade and Llewellyn, 1988; Evans et al., 1988; Thomas, 1990; Llewellyn et al., 1993; Llewellyn and McDade, 1996; Russell and 61 Lowe, 2003; Russell et al., 2005; Kulikov et al., 2006, 2009, 2017, 2022a, 2022b; Mlynczak et al., 2007, 62

2013a, 2013b, 2014, 2018; Smith et al., 2010; Xu et al., 2012; Siskind et al., 2008, 2015; Fytterer et al., 2019) with the use of equilibrium assumptions for ozone and excited states of OH, O, and O<sub>2</sub>. For example, such an approach is applied to the data of the SABER (Sounding of the Atmosphere using Broadband Emission Radiometry) instrument onboard the TIMED (Thermosphere Ionosphere Mesosphere Energetics and Dynamics) satellite, which since 2002 continues to measure simultaneous profiles of temperature, ozone and volume emission rates of OH\* transitions in wide ranges of altitude, local time and latitude with a rather high space-time resolution.

70 Note a number of general aspects of the application of equilibrium conditions in the above 71 examples. First of all, there are no clear criteria, indicating the conditions under which the use of 72 equilibrium approximation is justified. Usually a certain component is taken to be a fast variable, if its 73 lifetime is much shorter, than the lifetimes of other components of studied photochemical/chemical 74 system or the duration of a day, daytime, nighttime, etc. For example, in the papers on SABER data 75 processing (Mlynczak et al., 2013a, 2013b, 2014, 2018) it is assumed, that the nighttime ozone chemical equilibrium in the mesopause is well fulfilled at altitudes of 80-100 km, since the nighttime ozone 76 77 lifetime at these altitudes varies in the range from several minutes to several tens of minutes. Note, that 78 this assumption is quite popular and used in different tasks (e.g., Swenson and Gardner, 1998; Marsh et 79 al., 2006; Smith et al., 2009; Nikoukar et al., 2007; Xu et al., 2010, 2012; Kowalewski et al., 2014; 80 Grygalashvyly et al., 2014; Grygalashvyly, 2015; Sonnemann et al., 2015; Kulikov et al., 2021). 81 Belikovich et al. (2018) and Kulikov et al. (2018b, 2019, 2023a) analyzed the nighttime ozone chemical 82 equilibrium numerically, analytically, and with the use of SABER/TIMED data. It was revealed, that the 83 short lifetime is not a sufficient condition, so, this equilibrium may be significantly disturbed above 80 84 km. The local ratio between true and equilibrium concentrations may vary widely and reach up to several 85 orders of magnitude (e.g., Figure 5 in Kulikov et al. (2018b)). Thus, without special restrictions the error in retrieved characteristics due to the use of equilibrium approximation is uncontrollable and may 86 87 significantly exceed all other errors in the retrieval procedure due to, for example, uncertainties in the measurement data and rate constants. 88

- Since the papers of Belikovich et al. (2018) and Kulikov et al. (2018b, 2019, 2023a), we developed the general approach to correctly identify fast components, employing the data from a global 3D chemical transport model. It includes:
- 92 1. Plotting of the equilibrium space-time maps of the components of interest.
- 93 2. Identification of the main sources and sinks in the found equilibrium areas.
- 94 3. Derivation and subsequent use of analytical criteria that make it possible to determine the fulfillment of
- 95 the equilibrium condition locally (in time and space) with the use of the measurement data only.
  - 3

96 The latter point is based on the theory of chemical equilibrium of a certain trace gas, acquired from 97 estimations of its lifetime and equilibrium concentration, and time dependences of these characteristics 98 (Kulikov et al., 2023a). Note, that when equilibrium condition is applied to measurement data in the 99 retrieval of unmeasured characteristics, the criterion allows controlling and limiting the possible error 100 caused by the equilibrium approximation.

101 The main goal of the paper is to apply this approach to the analysis of nighttime OH and  $HO_2$ chemical equilibria in the mesosphere – lower thermosphere. Along with O and H, OH and HO<sub>2</sub> are 102 important components of  $HO_x - O_x$  chemistry, participating (a) in chemical heating through, in particular, 103  $O+OH \rightarrow O_2+H$  and  $O+HO_2 \rightarrow O_2+OH$  exothermic reactions, (b) in formation of airglows, (c) in 104 catalytic cycles of the ozone destruction. Moreover, the equilibrium conditions of OH and HO<sub>2</sub> are 105 additional a priori relationships, that can be used to retrieve these components or other characteristics 106 from measured data. In particular, Panka et al. (2021) proposed the method for nighttime total OH 107 108 retrieval from SABER/TIMED data at 80-100 km, which does not use the ozone chemical equilibrium. 109 However, the method applies the equilibrium between sources and sinks not only to excited states of OH with ultrashort lifetimes, but also to the ground state. Therefore, this point is verified in our paper. 110

In the next section we present the used model and methods. In Section 3 the model data are used to plot  $HO_2$  and OH equilibrium maps. In Sections 4-5 we identify the main reactions, determining equilibria of these gases, and present their shortened equilibria conditions at the upper mesosphere and lower thermosphere altitudes. In Section 6 the criteria for  $HO_2$  and OH equilibria validity are developed. In Section 7 we discuss the obtained results and their possible applications.

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#### 117 2 Used 3D model and Approaches

The analysis of OH and HO<sub>2</sub> nighttime chemical equilibria was carried out, using the data, obtained with calculation of 3D chemical transport model of the middle atmosphere, developed at the Leibniz Institute of Atmospheric Physics (e.g., Sonnemann et al., 1998; Körner & Sonnemann, 2001; Grygalashvyly et al., 2009; Hartogh et al., 2004, 2011) to investigate the mesosphere – lower thermosphere chemistry, in particular, in the extended mesopause region. A number of papers (e.g., Hartogh et al., 2004, 2011; Sonnemann, et al., 2006, 2008) validated the model with measurements, in particular, for ozone and water vapor.

125 The space-time distribution of temperature and winds were taken from the model of the dynamics 126 of the middle atmosphere COMMA-IAP (e.g., Kremp et al., 1999; Berger and von Zahn, 1999) with an 127 updated frequency of 1 day and linear smoothing between subsequent updates to avoid unrealistic jumps

in the calculated concentrations of trace gases. 3D advective transport is taken into account with the use 128 of the Walcek-scheme (Walcek, 2000). The vertical diffusive transport (turbulent and molecular) is 129 calculated with the use of the implicit Thomas algorithm (Morton and Mayers, 1994). The model grid has 130 118 pressure-height levels (0-135 km), 16 latitudinal and 32 longitudinal levels. The chemical module 131 (see Table 1) comprises 25 constituents (O, O(<sup>1</sup>D), O<sub>3</sub>, H, OH, HO<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, H<sub>2</sub>O, H<sub>2</sub>, N, NO, NO<sub>2</sub>, NO<sub>3</sub>, 132 N<sub>2</sub>O, CH<sub>4</sub>, CH<sub>2</sub>, CH<sub>3</sub>, CH<sub>3</sub>O<sub>2</sub>, CH<sub>3</sub>O, CH<sub>2</sub>O, CHO, CO, CO<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>), 54 chemical reactions between 133 134 them and 15 photo-dissociation reactions. The model utilizes the pre-calculated dissociation rates (Kremp et al., 1999) and their dependence on the altitude and solar zenith angle. 135

136 The model was used to calculate a one-year global evolution of the above mentioned trace gases. To 137 remove the transition regions corresponding to sunset and sunrise, we use only local times when the solar zenith angle  $\chi > 105^{\circ}$ . As a result, we find the spatiotemporal series of the  $OH/OH^{eq}$  and  $HO_2/HO_2^{eq}$ 138 ratios. Here OH and  $HO_2$  are the local nighttime values of hydroxyl and hydroperoxyl radicals, calculated 139 by the model,  $OH^{eq}$  and  $HO_2^{eq}$  are their local equilibrium values, corresponding to the instantaneous 140 balance between production and loss terms respectively. To determine each local value of  $OH^{eq}$  and 141  $HO_2^{eq}$  we used the local values of the parameters (temperature,  $O_2$ , and  $N_2$ ) and the concentrations of 142 other trace gases, determining local chemical sources and sinks of OH and  $HO_2$ . Then the  $OH/OH^{eq}$  and 143  $HO_2/HO_2^{eq}$  series were averaged over the zonal coordinate and time during each month and were 144 presented as height-latitude maps, depending on the month. Each map contains lines, marking the 145 146 boundaries of the equilibrium areas, where the following conditions are satisfied:

147 
$$\begin{cases} |< OH/OH^{eq} > -1| \le 0.1 \\ \sigma_{OH/OH^{eq}} \le 0.1 \end{cases}, \begin{cases} |< HO_2/HO_2^{eq} > -1| \le 0.1 \\ \sigma_{HO_2/HO_2^{eq}} \le 0.1 \end{cases},$$
(1)

148 where the angle brackets are used to denote the values averaged in time and space,  $\sigma_{OH/OH^{eq}}$  and 149  $\sigma_{HO_2/HO_2^{eq}}$  are standard deviations of the  $OH/OH^{eq}$  and  $HO_2/HO_2^{eq}$  ratios from 1 respectively.

Then we plotted spatiotemporal maps, showing the relative contribution of each reaction to a summarized source or sink at all altitudes and latitudes. These maps helped us to identify the main sources and sinks, describing the chemical equilibrium of nighttime OH and  $HO_2$  in the equilibrium areas to an accuracy of better than a few percent.

Finally, we obtained and verified the analytical criteria of OH and HO<sub>2</sub> nighttime chemical equilibria according to Kulikov et al. (2023a). The paper considered the pure chemical evolution of a certain trace gas n:

157 
$$\frac{dn}{dt} = I_n - S_n = -\frac{1}{\tau_n}(n - n^{eq}).$$

158 
$$\tau_n = \frac{n}{s_n}, \ n^{eq} = \frac{n \cdot I_n}{s_n},\tag{2}$$

where t is time,  $I_n$  and  $S_n$  are total photochemical/chemical sources and sinks of n respectively,  $\tau_n$  is the n lifetime and  $n^{eq}$  is its equilibrium concentration, corresponding to the condition  $I_n = S_n$ . The lifetime determines the characteristic time scale, for which n approaches  $n^{eq}$ , when  $n^{eq} = const$ . In general case  $\tau_n$  and  $n^{eq}$  are functions of time. Kulikov et al. (2023a) showed strictly mathematically, that the local values of n and  $n^{eq}$  are close to each other  $(n(t) \approx n^{eq}(t))$ , when  $\tau_n \ll \tau_{n^{eq}}$ , where  $\tau_{n^{eq}}$  is the local time scale of  $n^{eq}$ :

165 
$$\tau_{n^{eq}} \equiv \frac{n^{eq}}{|dn^{eq}/dt|}.$$
(3)

The expression for  $\tau_n$  is found from the total sink of *n*. The expression for  $\tau_{n^{eq}}$  is derived from Eq. (3) with the use of differential equations, describing chemical evolution of other reacting components, which determine the expression for  $n^{eq}$ . Kulikov et al. (2023a) also showed, when  $\tau_n \ll \tau_{n^{eq}}$ ,  $n \cong n^{eq}(1 - sign(\frac{dn^{eq}}{dt}) \cdot \frac{\tau_n}{\tau_n eq})$  in the first order approximation. Thus, the criterion

$$170 \quad \tau_n / \tau_n^{eq} \le 0.1 \tag{4}$$

171 is sufficient, in order to the possible relative difference between n and  $n^{eq}$  to be no more than 0.1.

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#### 173 **3 Nighttime HO<sub>2</sub> and OH chemical equilibria**

According to the Table 1 HO<sub>2</sub> chemical sources in nighttime are determined by the following reactions:

176  $H+O_2+M \rightarrow HO_2+M$  (R20),  $OH+O_3 \rightarrow O_2+HO_2$  (R22),  $H_2O_2+OH \rightarrow H_2O+HO_2$  (R29),  $H_2O_2+O \rightarrow H_2O+HO_2$  (R29),  $H_2O_2+O \rightarrow H_2O+HO_2$  (R20),  $H_2O_2+HO_2$  (R20), (R20) (R20),  $H_2O_2+HO_2$  (R20), (R20) (R20), (R20)

177 
$$OH+HO_2$$
 (R19),  $CHO+O_2 \rightarrow HO_2+CO$  (R40),  $CH_3O+O_2 \rightarrow CH_2O+HO_2$  (R37),

178 whereas chemical sinks of this component are as follows:

179  $HO_2+O \rightarrow OH+O_2$  (R18),  $HO_2+O_3 \rightarrow OH+2O_2$  (R23),  $OH+HO_2 \rightarrow H_2O+O_2$  (R28),  $H+HO_2 \rightarrow 2OH$ 

180 (R14), H+HO<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O+O (R15), H+HO<sub>2</sub>  $\rightarrow$  H<sub>2</sub>+O<sub>2</sub> (R16), NO+HO<sub>2</sub>  $\rightarrow$  NO<sub>2</sub>+OH (R50), HO<sub>2</sub>+HO<sub>2</sub>  $\rightarrow$ 

- 181  $H_2O_2+O_2$  (R30),  $HO_2+HO_2+M \rightarrow H_2O_2+O_2+M$  (R31).
- 182 Thus, HO<sub>2</sub> local equilibrium concentration is described by the following equation:

183 
$$HO_{2}^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_{2} + k_{22} \cdot O H \cdot O_{3} + k_{29} \cdot H_{2} O_{2} \cdot O H + k_{19} \cdot H_{2} O_{2} \cdot O + k_{40} \cdot C H O \cdot O_{2} + k_{37} \cdot C H_{3} O \cdot O_{2}}{k_{18} \cdot O + k_{23} \cdot O_{3} + k_{28} \cdot O H + (k_{14} + k_{15} + k_{16}) \cdot H + k_{50} \cdot N O + 2 \cdot (k_{30} + k_{31} \cdot M) \cdot H O_{2}}$$
(5)

Figure 1 plots height-latitude cross sections for the  $\langle HO_2/HO_2^{eq} \rangle$  ratio for each month. The 184 black solid lines mark the boundaries of equilibrium areas, where according to condition (1) local values 185 186 of HO<sub>2</sub> are close to their equilibrium values with a possible bias of less than 10%. At low and middle latitudes one can see the presence of the main equilibrium area, which extends from the top of the 187 analyzed altitude range to the lower boundary. The height of this equilibrium boundary,  $z_{HO}^{eq}$ , depends 188 on the season and latitude and varies in the interval between 73 and 85 km. It is the highest and the lowest 189 during the summer and winter respectively at the middle latitudes. Near the equator  $Z_{HO_2^{eq}}$  demonstrates 190 the weakest annual variations and varies in the 81-83 km range. There are local areas below the upper 191 192 longest black line, but they are small and irregular and can be omitted from our consideration. Note that 193 the maps show the existence of equilibrium near 50 km, which can be assumed to be the beginning of the main equilibrium area in the stratosphere. At high latitudes there is the main equilibrium area as at low 194 195 and middle latitudes, but this area above 70-75° of latitude can extend down to 50 km.

196 In accordance to the Table 1 OH chemical sources are determined by the following reactions:

 $NO+HO_2 \rightarrow NO_2+OH (R50), H_2O_2+O \rightarrow OH+HO_2 (R19), H +NO_2 \rightarrow OH+NO (R51), O(^1D)+H_2O ($ 198 2OH (R7),  $O(^{1}D)+H_{2} \rightarrow H+OH$  (R8),  $CH_{4}+O(^{1}D) \rightarrow CH_{3}+OH$  (R9),

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whereas chemical sinks of this component are as follows: 200

 $OH+O \rightarrow H+O_2$  (R17),  $OH+O_3 \rightarrow O_2+HO_2$  (R22),  $OH+HO_2 \rightarrow H_2O+O_2$  (R28),  $OH+OH \rightarrow H_2O+O_2$ 201 (R26),  $OH+OH+M \rightarrow H_2O_2+M$  (R27),  $H+OH+N_2 \rightarrow H_2O+N_2$  (R24),  $H_2O_2+OH \rightarrow H_2O+HO_2$  (R29), 202  $OH+CO \rightarrow H+CO_2$  (R32),  $CH_4+OH \rightarrow CH_3+H_2O$  (R33),  $OH+H_2 \rightarrow H_2O+H$  (R25),  $N+OH \rightarrow NO+H$ 203 204 (R49).

205 Thus, OH local equilibrium concentration is described by the following equation:

$$\begin{array}{ll} 206 & OH^{eq} = (k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot HO_2 + k_{23} \cdot HO_2 \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot \\ 207 & H_2O_2 \cdot O + k_{24} \cdot H \cdot N_2 + k_{51} \cdot NO_2 \cdot H + 2 \cdot k_7 \cdot O(^1D) \cdot H_2O + k_8 \cdot O(^1D) \cdot H_2 + k_9 \cdot O(^1D) \cdot \\ 208 & CH_4)/(k_{17} \cdot O + k_{22} \cdot O_3 + k_{28} \cdot HO_2 + 2 \cdot (k_{26} + k_{27} \cdot M) \cdot OH + k_{29} \cdot H_2O_2 + k_{32} \cdot CO + k_{33} \cdot CH_4 + \\ 209 & k_{25} \cdot H_2 + k_{25} \cdot N) \end{array}$$
(6)

Figure 2 shows height-latitude cross sections for the  $\langle OH/OH^{eq} \rangle$  ratio for each month. In this 210 case the equilibrium covers up to 70-80% of the presented ranges of heights and latitudes, so that the 211 black solid lines mark the external boundaries of non-equilibrium areas. In March and September this 212 213 area is almost symmetrical to the equator. In April-August it is shifted towards the northern hemisphere. In October-February this area is higher in the southern hemisphere. In all months it is below 85-86 km. In 214

the polar regions there are latitudinal ranges, where OH is close to equilibrium throughout the entire range

of heights.

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#### 218 4 The main reactions, determining HO<sub>2</sub> and OH equilibria

219 Figure 3 presents height-latitude contour maps, showing the relative contribution of a certain reaction to the total source and sink of HO<sub>2</sub> in January, taken as an example. To increase the information 220 content of the panels, the altitude range is cut off everywhere to  $10^{-3}$  hPa, since there are no significant 221 changes above. Note firstly, that reaction  $H+O_2+M \rightarrow HO_2+M$  determines a major (up to 95% and more) 222 contribution in the main equilibrium area almost everywhere, except for the polar regions above 70-75° of 223 latitude and below 75-80 km, where the reactions  $OH+O_3 \rightarrow O_2+HO_2$  and  $H_2O_2+OH \rightarrow H_2O+HO_2$ 224 become important and should be taken into account. Other reactions (H<sub>2</sub>O<sub>2</sub>+O  $\rightarrow$  OH+HO<sub>2</sub>, CHO+O<sub>2</sub>  $\rightarrow$ 225  $HO_2+CO, CH_3O+O_2 \rightarrow CH_2O+HO_2$ ) together contribute less than 2-3% to the total source of  $HO_2$  in the 226 main equilibrium area and may be omitted. Secondly, the reaction  $HO_2+O \rightarrow OH+O_2$  determines a major 227 (up to 95% and more) contribution to the total sink in the main equilibrium area almost everywhere, 228 229 except for the same small polar areas, as in the considered case with the sources, where the reactions  $HO_2+O_3 \rightarrow OH+2O_2$  and  $NO+HO_2 \rightarrow NO_2+OH$  are important and should be taken into account. The 230 reactions  $OH+HO_2 \rightarrow H_2O+O_2$ ,  $H+HO_2 \rightarrow 2OH$ ,  $H+HO_2 \rightarrow H_2O+O$ , and  $H+HO_2 \rightarrow H_2+O_2$  contribute 231 232 cumulatively up to 10-15% of the total sink near the boundary of the main equilibrium area. The remaining reactions (HO<sub>2</sub>+HO<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O<sub>2</sub>+O<sub>2</sub>, HO<sub>2</sub>+HO<sub>2</sub>+M  $\rightarrow$  H<sub>2</sub>O<sub>2</sub>+O<sub>2</sub>+M) are not important in the 233 234 main equilibrium area and can be omitted. The complete figures for HO<sub>2</sub> sources and sinks for every month (all 12 panels) are given in Supplement (Figs. S3-S11). 235

236 Therefore, the expression for HO<sub>2</sub> local equilibrium concentration can be simplified as follows:

237 
$$HO_{2}^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_{2} + k_{22} \cdot O H \cdot O_{3} + k_{29} \cdot H_{2} O_{2} \cdot O H}{k_{18} \cdot O + k_{23} \cdot O_{3} + k_{29} \cdot O H + (k_{14} + k_{15} + k_{16}) \cdot H + k_{50} \cdot N O}$$
(7)

238 Figure 4 presents height-latitude contour maps, showing the relative contribution of a certain reaction to the total source and sink of OH in January, taken as an example in Figure 3. As in the previous 239 case, the altitude range is cut off at  $10^{-3}$  hPa, because only the panels for the reactions H+O<sub>3</sub>  $\rightarrow$  OH+O<sub>2</sub> 240 and HO<sub>2</sub>+O  $\rightarrow$  OH+O<sub>2</sub> consist of interesting variations above. Note, that firstly these reactions are the 241 main OH sources in the upper part of the presented distributions down to 70-75 km, where they jointly 242 provide up to a 95% contribution to the equilibrium concentration. Also the reaction  $HO_2+O_3 \rightarrow OH+2O_2$ 243 is major source in the lower part of the presented distribution from 50 to 60-70 km. The reaction 244  $NO+HO_2 \rightarrow NO_2+OH$  is important around non-equilibrium areas of OH and should be taken into 245 account, whereas the reaction H +NO<sub>2</sub>  $\rightarrow$  OH+NO is important in compact altitude-latitude areas near the 246

247 poles, the reaction H+HO<sub>2</sub>  $\rightarrow$  2OH gives up to 10-15% contribution in small areas near the equilibrium boundary. Other reactions  $(O(^{1}D)+H_{2}O \rightarrow 2OH, O(^{1}D)+H_{2} \rightarrow H+OH, CH_{4}+O(^{1}D) \rightarrow CH_{3}+OH, H_{2}O_{2}+O$ 248  $\rightarrow$  OH+HO<sub>2</sub>) together contribute less than 2-3% of the total source of OH in the main equilibrium area 249 and can be omitted. Secondly, the reaction  $OH+O \rightarrow H+O_2$  is the main OH sink in the upper part of the 250 presented distributions down to 70-80 km, where it provides up to 95% of the equilibrium concentration. 251 The reactions  $OH+CO \rightarrow H+CO_2$  and  $OH+O_3 \rightarrow O_2+HO_2$  are major in the lower part of the presented 252 253 distributions from 50 to 70-80 km. The reaction  $OH+HO_2 \rightarrow H_2O+O_2$  is significant enough around nonequilibrium areas of OH, whereas the reaction  $H_2O_2+OH \rightarrow H_2O+HO_2$  is important in the compact 254 altitude-latitude area near the poles. Other reactions (OH+OH  $\rightarrow$  H<sub>2</sub>O+O, OH+H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>O+H, N+OH  $\rightarrow$ 255 NO+H,  $CH_4+OH \rightarrow CH_3+H_2O$ ,  $H+OH+N_2 \rightarrow H_2O+N_2$ ,  $OH+OH+M \rightarrow H_2O_2+M$ ) together contribute 256 less than 2-3% to the total sink of OH in the main equilibrium area and can be omitted. The complete 257 figures for OH sources and sinks for every month (all 12 panels) are given in Supplement (Figs. S12-258 S24). 259

260 Therefore, the expression for OH local equilibrium concentration can be simplified as follows:

261 
$$OH^{eq} = \frac{k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot H O_2 + k_{23} \cdot H O_2 \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot H O_2 + k_{24} \cdot H \cdot N_2 + k_{50} \cdot H O_2 \cdot N O + k_{51} \cdot N O_2 \cdot H}{k_{17} \cdot O + k_{22} \cdot O_3 + k_{28} \cdot H O_2 + k_{29} \cdot H_2 O_2 + k_{32} \cdot C O}$$
(8)

262

## Shortened equilibrium conditions of HO<sub>2</sub> and OH in the upper mesosphere and lower thermosphere

The above analysis revealed, that the reactions describing the equilibrium conditions (7-8) in the 265 lower and middle mesosphere are different from those in the upper mesosphere and lower thermosphere. 266 This means that the task of applying these conditions can be divided into two parts depending on the 267 selected altitude range. At the upper mesosphere and lower thermosphere altitudes, we can consider only 268 269 the  $HO_x - O_x$  chemistry, excluding the reactions with participation of  $H_2O_2$ , N, NO, NO<sub>2</sub>, and CO. In addition, we can omit the reactions  $HO_2+O_3 \rightarrow OH+2O_2$ ,  $OH+O_3 \rightarrow O_2+HO_2$ , and  $OH+HO_2 \rightarrow H_2O+O_2$ 270 due to their insignificance here. As a result, the shortened equilibrium conditions of HO<sub>2</sub> and OH for this 271 272 altitude range are as follows:

273 
$$HO_{2sh}^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H},$$
(9)

274 
$$OH_{sh}^{eq} = \frac{k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot H O_2 + 2 \cdot k_{14} \cdot H \cdot H O_2}{k_{17} \cdot O}$$
(10)

Figure 5 shows height-latitude cross sections for the  $\langle HO_2/HO_{2sh}^{eq} \rangle$  ratio for each month. In each panel the upper longest black line marks the lower boundary of the main equilibrium area, where

according to condition (1)  $HO_2 \approx HO_{2sh}^{eq}$  with possible bias of less than 10%. As in the case of Figure 1, 277 278 this area extends from the top of the analyzed altitude range. There are also very small equilibrium areas 279 below, which can be omitted from our consideration. The height of the lower boundary of the main equilibrium area,  $z_{HO_{2sh}}^{eq}$ , depends essentially on the season and latitude. Comparing with Figure 1 one can 280 see, that it reproduces many features of  $z_{HO_{2sh}}^{eq}$  at low and middle latitudes. In particular,  $z_{HO_{2sh}}^{eq}$  varies in 281 the interval between 73 and 85 km, as in the case of  $z_{HO_2^{eq}}$ . In the middle latitudes  $z_{HO_{2sh}^{eq}}$  in summer is 282 several km higher than in winter. Near the equator  $z_{HO_{2}sh}^{eq}$  demonstrates the weakest annual variations and 283 varies in the range of 81-83 km. So, one can conclude, that the exclusion of a number of reactions does 284 not lead to significant changes in the space-time distributions of the HO<sub>2</sub> equilibrium. 285

Figure 6 plots height-latitude cross sections for the  $\langle OH/OH_{sh}^{eq} \rangle$  ratio for each month. As in the 286 previous case, this is the lower boundary of the equilibrium area, where according to condition (1) 287  $OH \approx OH_{sh}^{eq}$  with good precision. The dependence of the boundary height,  $z_{OH_{sh}^{eq}}$ , on the season and 288 latitude mainly repeats the behavior of  $z_{HO_{2sh}^{eq}}$ . In particular  $z_{OH_{sh}^{eq}}$  varies in the interval between 73 and 85 289 km. At middle latitudes  $z_{OH_{sh}^{eq}}$  in summer is several km higher than in winter. Near the equator  $z_{OH_{sh}^{eq}}$  also 290 291 demonstrates the weakest annual variations and varies in the range of 81-83 km. Nevertheless, in some 292 cases the OH equilibrium boundary lies slightly higher than the HO<sub>2</sub> boundary. In particular it can be seen in April-August above 50°S, which can be explained by the difference between HO<sub>2</sub> and OH lifetimes 293  $(\tau_{HO_2} < \tau_{OH})$ , mainly due to  $k_{18} > k_{17}$ . Comparing with Figure 2, one can see the exclusion of the 294 mentioned reactions from consideration results in the absence of the OH equilibrium areas at the low and 295 middle mesosphere altitudes, as expected. 296

297

### 298 6 The criteria for HO<sub>2</sub> and OH equilibrium validity in the upper mesosphere and lower 299 thermosphere

Firstly we determine HO<sub>2</sub> and OH lifetimes and the local time scales of  $HO_{2sh}^{eq}$  and  $OH_{sh}^{eq}$ , according to Section 2.

From Eqs. (2-3) and (9), HO<sub>2</sub> lifetime and the local time scales of  $HO_{2sh}^{eq}$  are as follows:

303 
$$au_{HO_2} = \frac{1}{k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H},$$
 (11)

304 
$$au_{HO_{2sh}}^{eq} = \frac{HO_{2sh}}{|dHO_{2sh}^{eq}/dt|}.$$
 (12)

305 Then we find the expression for  $dHO_{2sh}^{eq}/dt$ :

$$306 \qquad \frac{dHO_{2sh}}{dt} = \frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot \frac{d}{dt} (\frac{H}{O}) \cdot O^{2}}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}} = -\frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot \frac{d}{dt} (\frac{O}{H}) \cdot H^{2}}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}}.$$
(13)

307 Kulikov et al. (2023a) analyzed the local nighttime evolution of O and H within the framework of pure 308  $HO_x - O_x$  chemistry and found the expression for  $\frac{d}{dt} \left(\frac{O}{H}\right)$ :

$$309 \quad \frac{d}{dt} \left( \frac{O}{H} \right) = -2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left( 1 - \frac{k_{15} + k_{16}}{k_{18}} \right) - k_{21} \cdot O_3 - k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}.$$
(14)

310 Thus, Eq. (13) can be rewritten in the following form:

$$311 \qquad \frac{dHO_{2sh}}{dt} = \frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot H^{2} \cdot (2 \cdot k_{20} \cdot M \cdot O_{2} \cdot (1 - \frac{k_{15} + k_{16}}{k_{18}}) + k_{21} \cdot O_{3} + k_{12} \cdot M \cdot O_{2} \cdot \frac{O}{H})}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}}.$$
(15)

By combining Eqs. (9), (12), and (15) we obtain the expression for the local time scales of  $HO_{2sh}^{eq}$ :

313 
$$\tau_{HO_{2sh}}^{eq} = \frac{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)}{k_{18} \cdot H \cdot (2 \cdot k_{20} \cdot M \cdot O_2 \cdot (1 - \frac{k_{15} + k_{16}}{k_{18}}) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H})}$$
(16)

Thus, taking into account Eqs. (4), (11) and (16), the criterion for  $HO_2$  equilibrium validity is written in the form:

316 
$$Crit_{HO_2} = \frac{\tau_{HO_2}}{\tau_{\tau_{HO_2} sh}^{eq}} = \frac{k_{18} \cdot H \cdot (2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H})}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^2} \le 0.1.$$
(17)

We calculated  $Crit_{HO_2}$ , using the global 3D chemical transport model, and included the zonally and monthly averaged lines  $\langle Crit_{HO_2} \rangle = 0.1$  in Figure 5 (see magenta lines). One can see that, depending on the month, each magenta line reproduces well the lower boundary of the main HO<sub>2</sub> equilibrium area and follows almost all its features and variations. Note, that in the zeroth order approximation the criterion (17) can be simplified as

322 
$$Crit_{HO_2} \approx \left(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}\right) \cdot \frac{H}{k_{18} \cdot O^2} \le 0.1.$$
 (18)

From Eqs. (2-3) and (10), OH lifetime and the local time scales of  $OH_{sh}^{eq}$  are as follows:

324 
$$au_{OH} = \frac{1}{k_{17} \cdot 0},$$
 (19)

325 
$$au_{OH_{sh}^{eq}} = \frac{OH_{sh}^{eq}}{|dOH_{sh}^{eq}/dt|}.$$
 (20)

Before determining the expression for  $dOH_{sh}^{eq}/dt$  one should keep in mind, that the expression (10) depends on the HO<sub>2</sub> concentration. As previously mentioned, near and above the OH equilibrium boundary HO<sub>2</sub> is in equilibrium  $(HO_2 \approx HO_{2sh}^{eq})$  and we can use Eq. (9). In view of  $k_{18} \cdot O \gg$  $(k_{14} + k_{15} + k_{16}) \cdot H$ ,

330 
$$HO_{2sh}^{eq} \approx \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O} \left(1 - \frac{(k_{14} + k_{15} + k_{16}) \cdot H}{k_{18} \cdot O}\right).$$
(21)

The substitution of Eq. (21) into Eq. (10) yields: 331

$$332 \quad OH_{sh}^{eq} = k_{20} \cdot H \cdot M \cdot O_2 \cdot \frac{(1 + \frac{2 \cdot k_{14} \cdot H}{k_{18} \cdot O}) \cdot (1 - \frac{(k_{14} + k_{15} + k_{16}) \cdot H}{k_{17} \cdot O}}{k_{17} \cdot O} + \frac{k_{21} \cdot H \cdot O_3}{k_{17} \cdot O} \approx \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{17} \cdot O} \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + 333 - \frac{k_{21} \cdot H \cdot O_3}{k_{17} \cdot O}$$
(22)

333 
$$\frac{k_{21} \cdot H \cdot O_3}{k_{17} \cdot O}$$

Thus, the expression for  $dOH_{sh}^{eq}/dt$  is: 334

335 
$$\frac{dOH_{sh}^{eq}}{dt} = \frac{d}{dt} \left(\frac{H}{O}\right) \cdot \left(\frac{k_{20} \cdot M \cdot O_2}{k_{17}} \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + \frac{k_{21} \cdot O_3}{k_{17}}\right) + \frac{k_{21} \cdot H}{k_{17} \cdot O} \frac{dO_3}{dt}.$$
(23)

Taking into account Eq. (14) and the differential equation for  $O_3$  time evolution: 336

337 
$$\frac{dO_3}{dt} = k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3$$

the expression (23) can be rewritten in following form: 338

$$339 \quad \frac{dOH_{sh}^{eq}}{dt} = \frac{(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}) \cdot H^2}{O^2} \cdot \left(\frac{k_{20} \cdot M \cdot O_2}{k_{17}} \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + \frac{k_{21} \cdot O_3}{k_{17}}\right) + 340 \quad \frac{k_{21} \cdot H \cdot (k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3)}{k_{17} \cdot O}.$$

$$(24)$$

Thus, by combining Eqs. (4), (19), (20), (22), and (24) we obtain the expression for the criterion for OH 341 342 equilibrium validity:

$$343 \quad Crit_{0H} = \frac{\tau_{0H}}{\tau_{\sigma_{0H}^{eq}}} = \frac{\left(\left(2 \cdot k_{20} \cdot M \cdot O_{2} \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_{3} \cdot \frac{H}{O} + k_{12} \cdot M \cdot O_{2}\right) \cdot \left(k_{20} \cdot M \cdot O_{2} \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_{3}\right) + k_{21} \cdot (k_{12} \cdot M \cdot O_{2} \cdot O - k_{21} \cdot H \cdot O_{3})\right)}{k_{17} \cdot O \cdot (k_{20} \cdot M \cdot O_{2} \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_{3})} \leq (25)$$

345 0.1. (25)

We calculated Crit<sub>OH</sub>, using the global 3D chemical transport model, and included the zonally and 346 monthly averaged lines  $\langle Crit_{OH} \rangle = 0.1$  in Figure 6 (see magenta lines). One can see that, depending on 347 the month, the magenta line almost everywhere reproduces the lower boundary of the OH equilibrium 348 349 area and repeats mainly its features and variations. Nevertheless, there are a few (by latitude) narrow 350 areas (in April-August near 70°S and in October-December near 70°N), where the criterion gives a few km lower position of the OH equilibrium boundary. Our analysis revealed, that the main reason for that is 351 the lack of  $OH+CO \rightarrow H+CO_2$  reaction among the sources of H in the corresponding differential equation 352 of its chemical balance. In order to improve the criterion we revised the derivation of expression (14) for 353  $\frac{d}{dt}\left(\frac{o}{H}\right)$  following to Kulikov et al. (2023a): 354

355 
$$\frac{d}{dt} \left( \frac{O}{H} \right) = -2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left( 1 - \frac{k_{15} + k_{16}}{k_{18}} \right) - k_{21} \cdot O_3 - k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H} - \frac{k_{32} \cdot CO}{k_{17} \cdot H} \cdot \left( k_{20} \cdot M \cdot O_2 \cdot \left( 1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{21}} \right) + k_{21} \cdot O_3 \right) \right).$$
(26)

356 
$$\frac{(k_{14}-k_{15}-k_{16})\cdot H}{k_{18}\cdot 0} + k_{21}\cdot 0_3)).$$
 (26)

As a result the corrected criterion for OH equilibrium validity is as follows: 357

358 
$$Crit_{OH}^{m} = \frac{2 \cdot k_{20} \cdot M \cdot O_{2} \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_{3} + k_{12} \cdot M \cdot O_{2} \cdot \frac{O}{H} + \frac{k_{32} \cdot CO}{k_{17} \cdot H} \cdot (k_{20} \cdot M \cdot O_{2} \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_{3})}{k_{17} \cdot O \cdot (k_{20} \cdot M \cdot O_{2} \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_{3})} \cdot \frac{H}{O}$$

$$359 \quad \left(k_{20} \cdot M \cdot O_2 \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_3\right) + \frac{k_{21} \cdot (k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3)}{k_{17} \cdot O \cdot (k_{20} \cdot M \cdot O_2 \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_3)} \le 0.1 \quad (27)$$

We calculated this criterion, using the global 3D chemical transport model and included the zonally and 360 monthly averaged lines  $\langle Crit_{OH}^{m} \rangle = 0.1$  on the OH equilibrium maps (see Figure 7). One can see that, 361 the inclusion of this additional term actually eliminates the noted discrepancy between OH boundary and 362 criterion. But the application of this criterion requires CO data. 363

Note also, that our numerical analysis shows that in the zeroth order approximation the criterion 364 (25) can be simplified as: 365

366 
$$Crit_{OH} \approx \left(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}\right) \cdot \frac{H}{k_{17} \cdot O^2} \le 0.1.$$
 (28)

367

#### 368 7 Discussion

369 We now discuss obtained results and their possible applications.

Pay attention to the fact, that the presented results were plotted, using the lower threshold at 105° for the 370 nighttime solar zenith angle ( $\chi$ ) to exclude the twilight transition processes. Nevertheless, our additional 371 analysis revealed, that OH and HO<sub>2</sub> equilibrium conditions are fulfilled at  $\chi$ >95° (see Figs. S1-S2 and 372 S25-S26 in Supplement). Evidently, during the processing of the measurement data, taking twilight  $\chi$  in 373 (95°,105°) range into account extends the latitude range of OH and HO<sub>2</sub> equilibria application and allows 374 us to include a noticeable part of the data into consideration. However, in this case one should check for 375 376 additional condition (Kulikov et al., 2023a):

377 
$$e^{\int_{lt_{bn}}^{lt} \tau_{HO_2}^{-1} dt} \gg 1, e^{\int_{lt_{bn}}^{lt} \tau_{OH}^{-1} dt} \gg 1,$$
 (29)

where  $\tau_{HO_2}$  and  $\tau_{OH}$  are the HO<sub>2</sub> and OH lifetimes, determined by Eqs. (11) and (19), *lt* is local time of 378 data,  $lt_{bn}$  is the local time at the beginning of the night. Mind, that at night O and H tend to decrease due 379 to the shutdown of the  $O_x$  and  $HO_x$  family photochemical sources, so  $\tau_{HO_2}$  and  $\tau_{OH}$  increase. Thus, 380 analyzing the measurement data one can apply more stringent conditions: 381

382 
$$e^{\frac{lt-lt_{bn}}{\tau_{HO_2}}} \gg 1, e^{\frac{lt-lt_{bn}}{\tau_{OH}}} \gg 1.$$
(30)

383 The main results were obtained using a 3D model, where temperature and wind distributions are updated every 24 hours. This excluded the influence of the atmospheric wave motion, in particular, 384 associated with tides, which is one of the main dynamical drivers in the tropical mesopause. We carried 385 out additional modeling with the distributions of the main characteristics, calculated by the Canadian 386 387 Middle Atmosphere Model for the year 2009 (Scinocca et al., 2008) with a 6-hourly frequency for updating. The analysis of the time-height evolution of OH and HO<sub>2</sub>, especially at low latitudes, showed 388 389 that our criteria reproduce quite well the local variations of the OH and HO<sub>2</sub> equilibrium boundaries in such conditions (see Fig. S27 in Supplement). 390

We evaluated the sensitivity of the presented HO<sub>2</sub> and OH criteria ( $Crit_{HO_2}$  and  $Crit_{OH}$ ) to the 391 uncertainties of characteristics, involved in the expressions (17) and (25). The local heights of the OH and 392 HO<sub>2</sub> equilibrium boundaries  $(z_{HO_2}^{crit}$  and  $z_{OH}^{crit})$  according to the criteria are determined as the 393 altitudes, at which  $Crit_{HO_2} = 0.1$  and  $Crit_{OH} = 0.1$  respectively. We considered the whole dataset of 394 nighttime profiles, obtained by the numerical simulation of a one-year global evolution of mesosphere -395 lower thermosphere, and estimated total uncertainties to determination of  $z_{HO_2}^{crit}$  and  $z_{OH}^{crit}$  from each 396 local (in time and space) dataset (profiles of O, H, O<sub>3</sub>, M, O<sub>2</sub> and temperature). Following the typical 397 analysis presented, for example in Mlynczak et al. (2013a, 2014), each uncertainty was calculated as a 398 root sum square of the sensitivities to the individual perturbations of certain variables or parameters in the 399 expressions (17) and (25). The following uncertainties of the variables were used: 5K in the temperature 400 401 and 30% in O<sub>3</sub>, O, and H. The uncertainties in reaction rates and their temperature dependencies were taken from Burkholder et al. (2020). As the result (see Figure 8), the monthly and longitudinally mean of 402 total uncertainties in determination of  $z_{HO_2}^{crit}$  and  $z_{OH}^{crit}$  were found varying in the range 0.02-1 km, 403 404 depending on altitude and season. Note, that these values are comparable with the typical height resolution of satellite data. The latter allows us to consider our criteria as a robust instrument for 405 equilibrium condition validation. The main reason of relatively low sensitivity of  $z_{HO_2}^{crit}$  and  $z_{OH}^{crit}$  is 406 the strong height-dependence of  $Crit_{HO_2}$  and  $Crit_{OH}$  near the value of 0.1 (see Fig. S28 in Supplement). 407

As noted, Figs. 5-6 represent an interesting peculiarity. At the middle latitudes summer  $z_{HO_{2sh}}^{eq}$  and  $z_{OH_{sh}}^{eq}$  are remarkably higher than winter ones. For example, in February  $z_{HO_{2sh}}^{eq}$  at 60°N is ~ 84 km, whereas the one at 60°S is ~ 74 km. Recently, Kulikov et al. (2023b) found such a feature in the evolution of nighttime ozone chemical equilibrium boundary (Fig. 5 there), derived from SABER/TIMED data. The study showed that the boundary closely follows the transition zone that separates strong and weak diurnal oscillations of O and H (see Figs. 1-3 and 13 in Kulikov et al. (2023b)). Above the zone the behavior of

components is dynamically driven and seasonality is the result of change in global-scale circulation, 414 vertical advection being the primary factor according to Wang et al. (2023). In the transition zone and 415 below O and H concentrations change by orders of magnitude during the night driven by photochemical 416 processes. Kulikov et al. (2023b) studied the photochemistry at these altitudes and its seasonal 417 418 dependence. It was shown analytically that nighttime O decreases with the characteristic time scale  $\tau_0 = 0/|d0/dt|$  proportional to the 0/H value at the beginning of the night (see Eq. (13) there). At the 419 same time, according to the distributions derived from SABER measurements O/H during summer 420 421 daytime (and thus also at the beginning of the night) at the middle latitudes is remarkably less than the one during winter daytime (see Fig. 14 there). Consequently, summer values of nighttime  $\tau_0$  below ~ 84 422 km are significantly shorter than winter ones, so summer O during the night decreases much faster than in 423 winter. In our case lifetimes of HO<sub>2</sub> and OH are proportional mainly to  $\frac{1}{\rho}$  (see Eqs. (11) and (19)), so, 424 following the approach described in Section 2, the summer rise of  $z_{HO_{2sh}}^{eq}$  and  $z_{OH_{sh}}^{eq}$  at the middle 425 latitudes can be explained by the season difference in O diurnal photochemical evolution at these 426 427 altitudes.

As noted in the Introduction, the conditions of nighttime OH and HO<sub>2</sub> equilibria together with one 428 for O<sub>3</sub> equilibrium and their analytical criteria constitute a useful tool for retrieval of these components or 429 other characteristics (for example, O and H) from measured data. At the altitudes of upper mesosphere -430 431 lower thermosphere these conditions can be applied, for example, to MLS/Aura database (measured characteristics: OH, HO<sub>2</sub>, O<sub>3</sub>, and CO), SMILES (HO<sub>2</sub> and O<sub>3</sub>), SCIAMACHY (O(<sup>1</sup>S) green-line, O<sub>2</sub> A-432 band, and OH Meinel band emissions), SABER/TIMED (O<sub>3</sub>, OH Meinel band emissions at 2.0  $\mu$ m (9 $\rightarrow$ 7 433 and  $8\rightarrow 6$  bands) and at 1.6  $\mu$ m (5 $\rightarrow$ 3 and 4 $\rightarrow$ 2 bands)) and other, including improvement of existing 434 435 retrieval approaches. In particular, Panka et al. (2021) proposed the method of simultaneous derivation of O and OH at the levels v=0-9 from SABER data (volume emission rates at 2.0 and 1.6 µm, VER<sub>2µm</sub> and 436 VER<sub>1.6µm</sub>) at 80-100 km, taking into account the equilibrium condition for all states of OH. Such 437 approach is valid for excited states due to their very short lifetimes determined by radiative transitions 438 and quenching with O2, N2, and O. In the case of the OH ground state its lifetime is determined by the 439 reaction  $OH+O \rightarrow H+O_2$  only. It means, that Panka et al. (2021) used an equilibrium condition for total 440 OH, which, as one can see from Figure 6, may be significantly disrupted above 80 km. On the other hand, 441 442 there are latitude ranges and months, when the OH equilibrium boundary drops remarkably below 80 km. Moreover, the Panka et al. method requires external data about HO<sub>2</sub> since the reaction HO<sub>2</sub>+O  $\rightarrow$  OH+O<sub>2</sub> 443 becomes the important source for OH below 87 km (Panka et al., 2021; see also Figure 4 in our paper). 444

445 The results of our paper allow modifying the Panka et al. method to extend its capabilities. The 446 simplest development of this method seems to be the following. First of all, note that the  $HO_2$  equilibrium 447 condition (9) depends on H and O only and can be used within the self-consistent retrieval procedure,
448 considering the following system of equations:

449 
$$OH(v = 1 - 9) = \frac{k_{12} \cdot H \cdot O_3 \cdot M \cdot f(v) + \sum_{v' > v} (a_1(v', v) + a_2(v', v) \cdot O_2 + a_3(v', v) \cdot N_2 + (a_4(v', v) + a_5(v', v)) \cdot O) \cdot OH(v')}{a_6(v)) \cdot O + \sum_{v > v} (a_1(v, v') + a_2(v, v') \cdot O_2 + a_3(v, v') \cdot N_2 + (a_4(v, v') + a_5(v, v')) \cdot O)},$$

$$450 \quad OH(0) = \frac{\sum_{v'>0} (a_1(v',0) + a_2(v',0) \cdot O_2 + a_3(v',0) \cdot N_2 + (a_4(v',0) + a_5(v',0)) \cdot O) \cdot OH(v') + k_{18} \cdot O \cdot HO_2 + 2 \cdot k_{14} \cdot H \cdot HO_2}{k_{17} \cdot O}$$

451 
$$HO_2 = \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H},$$

452  $VER_{2\mu m} = a_1(9,7) \cdot OH(9) + a_1(8,9) \cdot OH(8),$ 

453 
$$VER_{1.6\mu m} = a_1(5,3) \cdot OH(5) + a_1(4,2) \cdot OH(4),$$

where  $a_{1-6}$  are the constant rates of the processes  $OH(v) \rightarrow OH(v' < v) + hv$ ,  $OH(v) + O_2 \rightarrow OH(v < v') + hv$ 454  $O_2, OH(v) + N_2 \rightarrow OH(v < v') + N_2, OH(v) + O(^3P) \rightarrow OH(v' \le v-5) + O(^1D), OH(v) + O(^3P) \rightarrow OH(v' < v) + O(^3P) \rightarrow OH(v' < v') + O(^3P$ 455  $O(^{3}P)$ , and  $OH(v) + O(^{3}P) \rightarrow H + O_{2}$  respectively. Take into consideration, that this system includes 13 456 equations with 13 unknown variables. Therefore, the solution to the system for a single set of the SABER 457 measurements (simultaneously measured profiles of O<sub>3</sub>, T, pressure,  $VER_{2\mu m}$ , and  $VER_{1.6\mu m}$ ) gives one 458 simultaneously retrieved profiles of O, H, OH(v=0-9), and HO<sub>2</sub>. By applying the criteria (17) and (25) to 459 obtained O and H profiles, we verify the fulfillment of OH and HO<sub>2</sub> equilibrium conditions and determine 460 the height, below which the resulting profiles should be cut. More advanced retrieval procedure would be 461 statistical, based on Bayesian theorem, taking into account the uncertainties in measurement data and rate 462 constants. Similarly, for example, to Kulikov et al. (2018a), it should include a derivation of posterior 463 conditional probability density function of retrieved characteristics and numerical analysis of this 464 function. Detailed development of this retrieval method is outside of this paper and should be carried out 465 466 in a separate work.

467

#### 468 8 Conclusions

469 The presented analysis shows, that there are extended areas in mesosphere and lower thermosphere, where nighttime HO<sub>2</sub> and OH are close to their local equilibrium concentrations, determined mainly by 470 the reactions between  $HO_x - O_x$  components among themselves and with  $H_2O_2$ , N, NO, NO<sub>2</sub>, and CO. In 471 upper mesosphere - lower thermosphere the shortened expressions for their local equilibrium 472 473 concentrations are valid, including the  $HO_x - O_x$  chemistry only. These conditions describe the  $HO_2$  and 474 OH equilibrium from the top to some lower boundaries, the altitude position of which vary in the interval between 73 and 85 km and depends essentially on the season and latitude. We proposed analytical 475 476 criteria, which almost everywhere reproduces quite well the main features of these boundaries. Due to 477 weak sensitivity to uncertainties of reaction rates and variables, these criteria can be considered a robust instrument for HO<sub>2</sub> and OH equilibrium validation. The obtained results allow extending the abilities of 478

the Panka et al. (2021) method to retrieve unmeasured components from SABER data. The simultaneous application of OH and HO<sub>2</sub> equilibrium conditions to the SABER data together with the OH and HO<sub>2</sub> criteria to control this equilibrium validity allows us to retrieve all unknown HO<sub>x</sub> – O<sub>x</sub> components (O, H, OH, and HO<sub>2</sub>) and to extend the altitude range of retrieval downward below 80 km and without external information.

484

485 **Data availability.** CMAM data are obtained from the website (https://climate-486 modelling.canada.ca/climatemodeldata/cmam/cmam30/, last access: 31 July 2024).

487

488 **Code availability**. Code is available upon request.

489

Author contributions. Conceptualization: MK, MB, AC, SD, AF. Methodology: MK, AF.
Investigation: MK, MB, AC, SD. Software: MB, AC. Visualization: MB, AC. Funding Acquisition: MK.
Writing – original draft preparation: MK, MB. Writing – review & editing: AC, SD. Supervising: AF.

493

494 **Competing interests.** The authors declare no conflict of interest.

495

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498

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503

504 **Supplement link**:

505

506 **References** 

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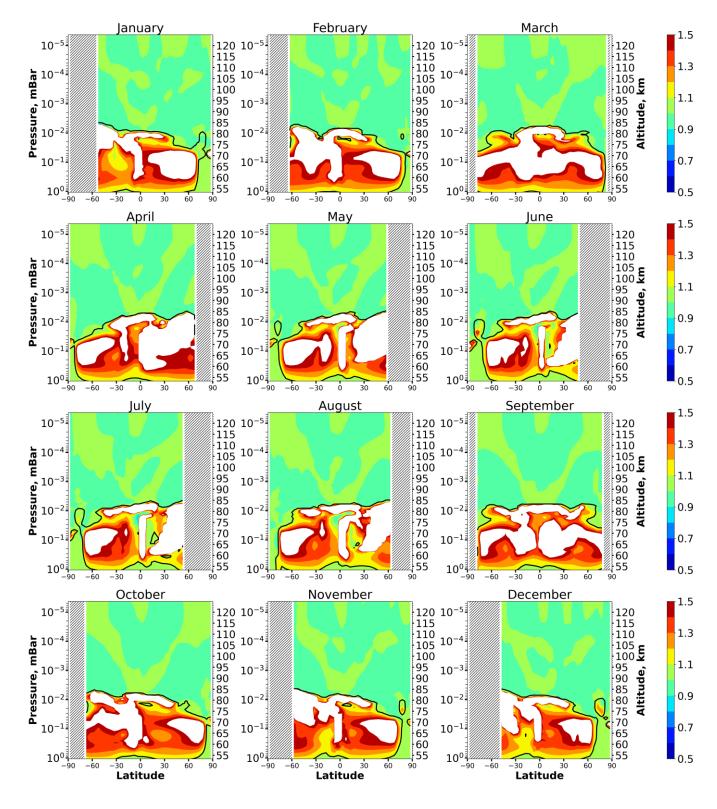
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	Tom Burkholder et al. (2020)				
1	$O(^{1}D)+O_{2} \rightarrow O+O_{2}$	24	$H+OH+N_2 \rightarrow H_2O+N_2$	47	$NO+O_3 \rightarrow NO_2+O_2$
2	$O(^{1}D)+N_{2} \rightarrow O+N_{2}$	25	$OH+H_2 \rightarrow H_2O+H$	48	$NO_2+O_3 \rightarrow NO_3+O_2$
3	$O(^{1}D)+O_{3} \rightarrow O_{2}+2O$	26	$OH+OH \rightarrow H_2O+O$	49	$N+OH \rightarrow NO+H$
4	$O(^{1}D)+O_{3} \rightarrow 2O_{2}$	27	$OH+OH+M \rightarrow H_2O_2+M$	50	$NO+HO_2 \rightarrow NO_2+OH$
5	$O(^{1}D)+N_{2}O \rightarrow 2NO$	28	$OH+HO_2 \rightarrow H_2O+O_2$	51	$H + NO_2 \rightarrow OH + NO$
6	$O(^{1}D)+N_{2}O \rightarrow N_{2}+O_{2}$	29	$H_2O_2+OH \rightarrow H_2O+HO_2$	52	$NO_3+NO \rightarrow 2NO_2$
7	$O(^{1}D)+H_{2}O \rightarrow 2OH$	30	$HO_2+HO_2 \rightarrow H_2O_2+O_2$	53	$N+NO \rightarrow N_2+O$
8	$O(^{1}D)+H_{2} \rightarrow H+OH$	31	$HO_2+HO_2+M \rightarrow H_2O_2+O_2+M$	54	$N+NO_2 \rightarrow N_2O+O$
9	$O(^{1}D)+CH_{4} \rightarrow CH_{3}+OH$	32	$OH+CO \rightarrow H+CO_2$	55	$O_2 + hv \rightarrow 2O$
10	$O(^{1}D)+CH_{4} \rightarrow H_{2}+CH_{2}O$	33	$CH_4+OH \rightarrow CH_3+H_2O$	56	$O_2 + hv \rightarrow O + O(^1D)$
11	$O+O+M \rightarrow O_2+M$	34	$CH_3+O_2 \rightarrow CH_3O_2$	57	$O_3+hv \rightarrow O_2+O$
12	$O+O_2+M \rightarrow O_3+M$	35	$CH_3+O \rightarrow CH_2O+H$	58	$O_3+hv \rightarrow O_2+O(^1D)$
13	$O+O_3 \rightarrow O_2 + O_2$	36	$CH_3O_2+NO \rightarrow CH_3O+NO_2$	59	$N_2 + hv \rightarrow 2N$
14	$H+HO_2 \rightarrow 2OH$	37	$CH_3O+O_2 \rightarrow CH_2O+HO_2$	60	$NO+hv \rightarrow N+O$
15	$H+HO_2 \rightarrow H_2O+O$	38	$CH_2O \rightarrow H_2+CO$	61	$NO_2+hv \rightarrow NO+O$
16	$H+HO_2 \rightarrow H_2+O_2$	39	$CH_2O \rightarrow H+CHO$	62	$N_2O+hv \rightarrow N_2+O(^1D)$
17	$OH+O \rightarrow H+O_2$	40	$CHO+O_2 \rightarrow HO_2+CO$	63	$N_2O+hv \rightarrow N+NO$
18	$HO_2+O \rightarrow OH+O_2$	41	$O_3+N \rightarrow NO+O_2$	64	$NO_3+hv \rightarrow NO_2+O$
19	$H_2O_2+O \rightarrow OH+HO_2$	42	$NO_3+O \rightarrow NO_2+O_2$	65	$H_2O+hv \rightarrow H+OH$
20	$H+O_2+M \rightarrow HO_2+M$	43	$O+NO+M \rightarrow NO_2+M$	66	$H_2O_2+hv \rightarrow 2OH$
21	$H+O_3 \rightarrow OH+O_2$	44	$NO_2+O \rightarrow NO+O_2$	67	$CH_4+hv \rightarrow CH_2+H_2$
22	$OH+O_3 \rightarrow O_2+HO_2$	45	$NO_2+O+M \rightarrow NO_3+M$	68	$CH_4+hv \rightarrow CH+H_2+H_2$
23	$HO_2+O_3 \rightarrow OH+2O_2$	46	$N+O_2 \rightarrow NO+O$	69	$CO_2+hv \rightarrow CO+O$

**Table 1.** List of reactions included in 3-d chemical transport model with the corresponding reaction rates

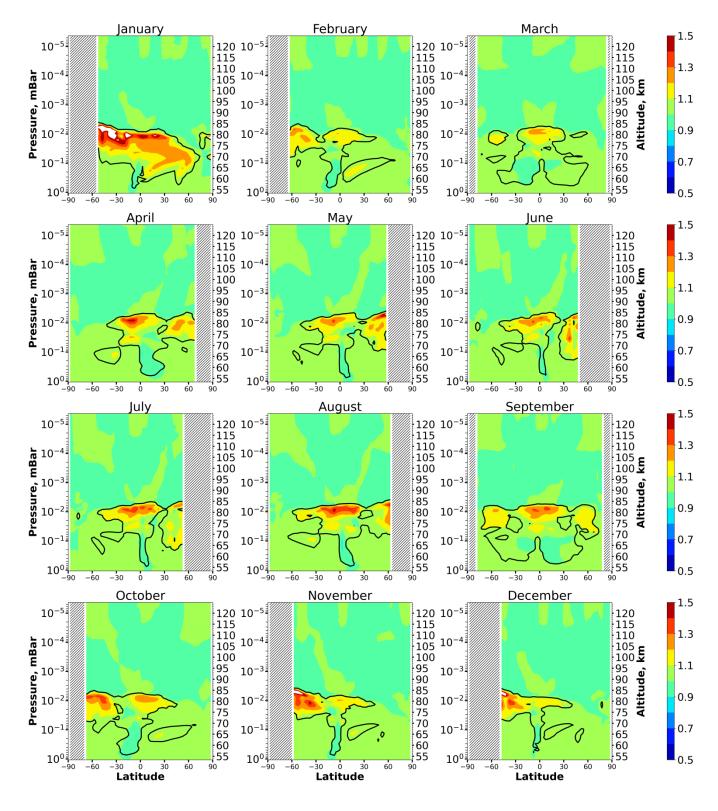
taken from Burkholder et al. (2020).

705



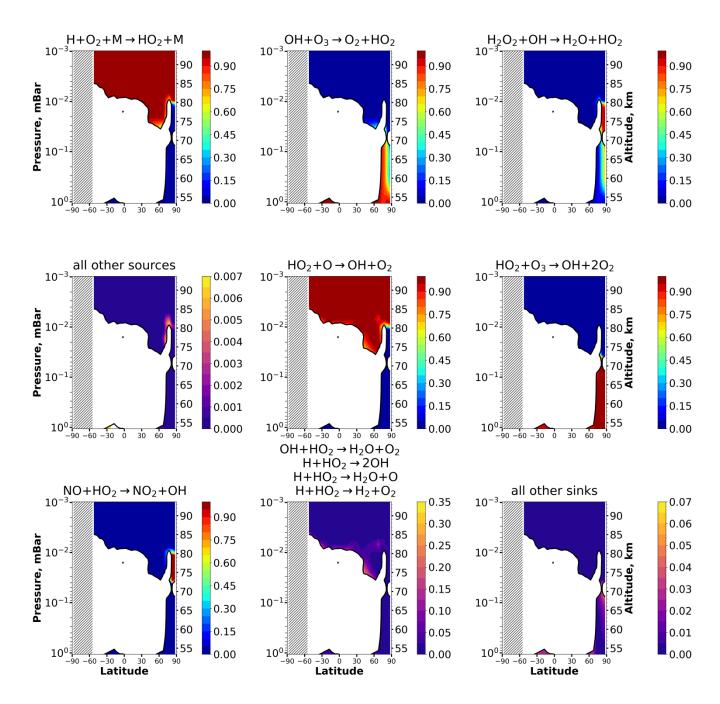
707

Figure 1. Nighttime mean and monthly averaged  $HO_2/HO_2^{eq}$ , where  $HO_2^{eq}$  is equilibrium concentration determined by Eq. (5). Black line shows the boundary of HO<sub>2</sub> equilibrium according to condition (1). The stippling corresponds to  $\chi < 105^{\circ}$ . The white area represents the  $< HO_2/HO_2^{eq} >$  ratio outside the [0.5, 1.5] interval.



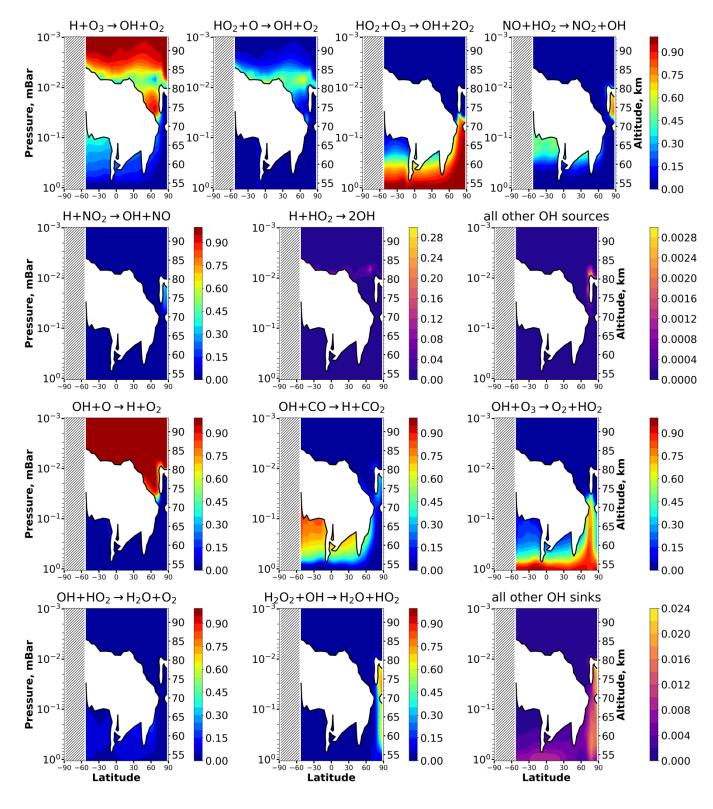
713

Figure 2. Nighttime mean and monthly averaged  $OH/OH^{eq}$ , where  $OH^{eq}$  is equilibrium concentration determined by Eq. (6). Black line shows the boundary of OH equilibrium according to condition (1). The stippling corresponds to  $\chi < 105^{\circ}$ . The white area represents the  $< OH/OH^{eq} >$  ratio outside the [0.5, 1.5] interval.



719

Figure 3. Nighttime mean and monthly averaged relative contribution of a certain reaction to the total source or sink of HO<sub>2</sub> in equilibrium areas. The stippling corresponds to  $\chi$ <105°. White color indicates nonequilibrium areas of HO<sub>2</sub>.



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Figure 4. Nighttime mean and monthly averaged relative contribution of a certain reaction to the total source or sink of OH in equilibrium areas. The stippling corresponds to  $\chi$ <105°. White color indicates nonequilibrium areas of OH.

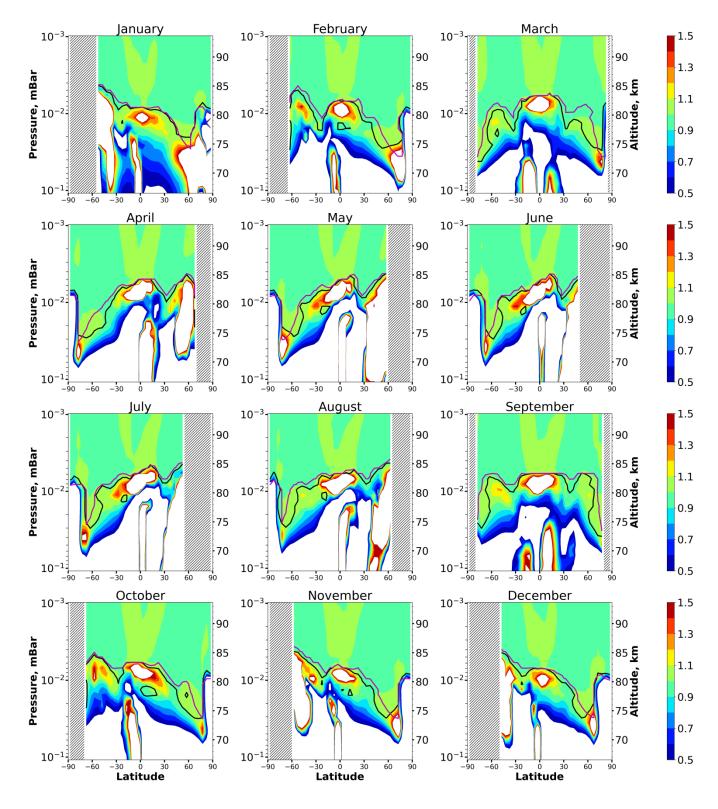




Figure 5. Nighttime mean and monthly averaged  $HO_2/HO_{2sh}^{eq}$ , where  $HO_{2sh}^{eq}$  is shortened equilibrium concentration determined by Eq. (9). Black line shows the boundary of HO<sub>2</sub> equilibrium according to condition (1). Magenta line shows  $\langle Crit_{HO_2} \rangle = 0.1$ . The stippling corresponds to  $\chi < 105^{\circ}$ . The white area represents the  $\langle HO_2/HO_2^{eq} \rangle$  ratio outside the [0.5, 1.5] interval.

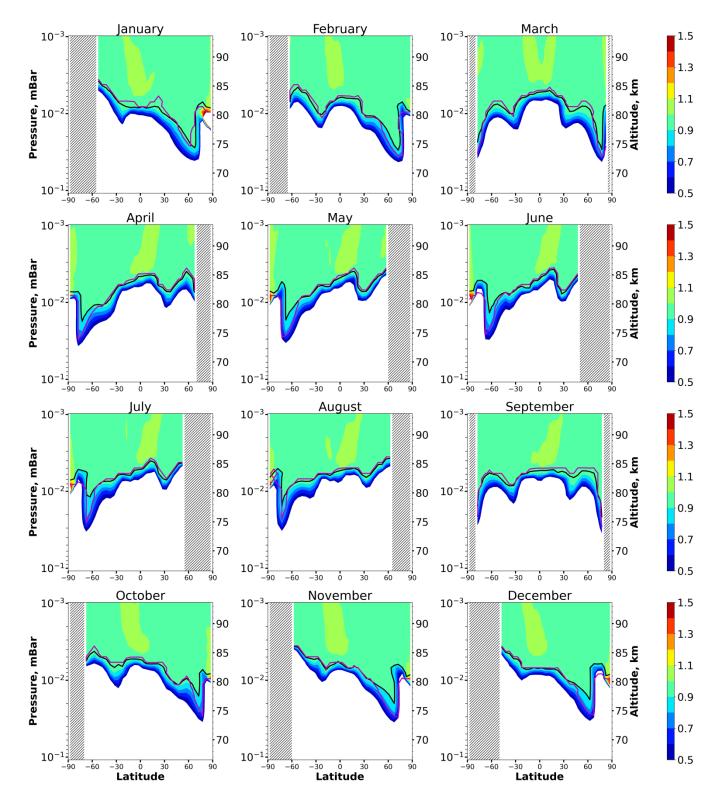




Figure 6. Nighttime mean and monthly averaged  $OH/OH_{sh}^{eq}$ , where  $OH_{sh}^{eq}$  is shortened equilibrium concentration determined by Eq. (10). Black line shows the boundary of OH equilibrium according to condition (1). Magenta line shows  $\langle Crit_{OH} \rangle = 0.1$ . The stippling corresponds to  $\chi < 105^{\circ}$ . The white area represents the  $\langle OH/OH^{eq} \rangle$  ratio outside the [0.5, 1.5] interval.

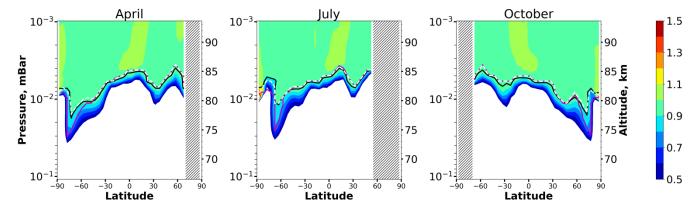


Figure 7. Nighttime mean and monthly averaged  $OH/OH_{sh}^{eq}$ . Black line shows the boundary of OH equilibrium according to condition (1). Magenta line shows  $\langle Crit_{OH} \rangle = 0.1$ , dotted white line shows  $\langle Crit_{OH}^{m} \rangle = 0.1$ .

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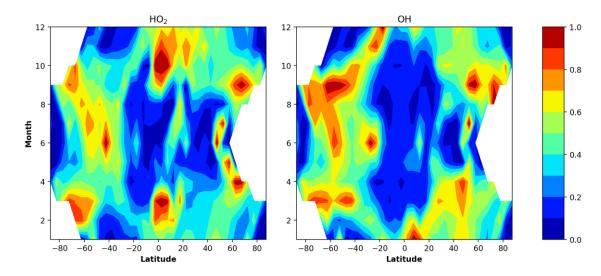


Figure 8. Monthly and longitudinally mean of total uncertainties in determination of the local heights of the OH and HO<sub>2</sub> equilibrium boundaries according to the criteria (17) and (25). The white color indicates

the absence of data due to polar day.