Technical Note: Nighttime OH and HO₂ chemical equilibria in the mesosphere – lower thermosphere

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9 Abstract. At the altitudes of the mesosphere – lower thermosphere OH and HO₂ play a significant role in many physicochemical processes. Thus, monitoring their spatiotemporal evolution together with 10 11 other chemically active trace gases is one of the most important problems for this atmosphere region, in 12 which direct measurements are difficult. The paper studies the nighttime OH and HO_2 chemical equilibria 13 using the 3D chemical transport modeling within the general approach, which includes the identification of the main sources and sinks in the equilibrium space-time areas and derivation of analytical criteria for 14 15 equilibrium validity. The presented analysis shows, that there are extended areas, where nighttime HO₂ and OH are close to their local equilibrium concentrations, determined mainly by the reaction between 16 HO_x - O_x components among themselves and with H₂O₂, N, NO, NO₂ and CO. In the upper mesosphere -17 lower thermosphere the equilibrium expressions can be shortened, so that they include the $HO_x - O_x$ 18 chemistry only. These expressions describe the HO₂ and OH equilibria from the top down to some 19 20 boundaries, the altitude positions of which vary in the interval between 72-73 and 85 km and depend 21 essentially on season and latitude. The developed analytical criteria almost everywhere reproduce well the 22 main features of these boundaries. Due to weak sensitivity to uncertainties of reaction rates and other parameters, the criteria can be considered a robust instrument for HO₂ and OH equilibrium validation. 23 24 The obtained results allow us to extend previously proposed methods for the retrieval of poorly measured 25 components from measurement data and to develop new approaches.

27 **1 Introduction**

28 Monitoring the spatiotemporal evolution of chemically active trace gases is one of the most 29 important problems in atmospheric research. Despite the increase of the experimental data volume 30 nowadays, primarily due to the development in remote sensing methods, many important trace gases 31 continue to be unavailable for direct and regular measurements. A well-known way to increase the 32 information content of experimental campaigns is to use the available experimental data in conjunction 33 with a certain chemical or physicochemical model to derive unmeasured characteristics indirectly. Within 34 the framework of this approach, the model acts as a priori relationship between directly measured and retrieved characteristics. The simplest model, that makes it possible to implement this approach, is based 35 36 on the condition of local (in both time and space) photochemical/chemical balance (local equilibrium) between sources and sinks of the so-called "fast" components: trace gases with short lifetimes relatively, 37 38 in particular, to the characteristic transport times. Mathematically this condition does not mean that the 39 fast variables are at equilibrium, but when it is fulfilled, the corresponding concentrations are close to 40 their instantaneous equilibrium values. At the same time due to the strong dissipation in most cases (except the special cases where the ensemble of fast components includes the slow family of these 41 components), there is no need to follow the law of matter conservation. It is possible to disregard 42 43 insignificant sinks and sources, including those caused by transport, in the corresponding balance 44 equations without the loss of accuracy. The resulting algebraic equations are the simplest *a priori* local 45 relations between measurable and retrieved trace gases. These relationships can be used to derive information about hard-to-measure atmospheric species, determine key atmospheric characteristics (for 46 47 example, temperature (Marchand et al., 2007)), validate the data quality of simultaneous measurements of 48 several atmospheric components (Kulikov et al., 2018a), estimate reaction rate constants (Stedman et al., 49 1975; Avallone and Toohey, 2001), evaluate sources/sinks (Cantrell et al., 2003), etc.

50 For several decades the photochemical/chemical equilibrium approximation has been used to solve many atmospheric tasks. It is applied (see, e.g., the short review in Kulikov et al. (2018a) and references 51 52 therein) in investigations of the surface layer and free troposphere chemistry in different regions (over megapolises, in rural areas, in the mountains, over the seas), in stratospheric chemistry studies, including 53 derivation of critical parameters in the ozone destruction catalytic cycles, and in studies of the $HO_x - O_x$ 54 chemistry and airglows ($O(^{1}S)$) green-line, O_{2} A-band, OH Meinel band emissions) at the heights of the 55 mesosphere – lower thermosphere. In the latter case the distributions of unmeasured characteristics are 56 determined from the data of daytime and nighttime rocket and satellite measurements (e.g., Evans and 57 Llewellyn, 1973; Good, 1976; Pendleton et al., 1983; McDade et al., 1985; McDade and Llewellyn, 1988; 58 59 Evans et al., 1988; Thomas, 1990; Llewellyn et al., 1993; Llewellyn and McDade, 1996; Russell and Lowe, 2003; Russell et al., 2005; Kulikov et al., 2006, 2009, 2017, 2022a, 2022b; Mlynczak et al., 2007, 60

2013a, 2013b, 2014, 2018; Smith et al., 2010; Xu et al., 2012; Siskind et al., 2008, 2015; Fytterer et al., 2019) with the use of equilibrium assumptions for ozone and excited states of OH, O, and O₂. For example, such an approach is applied to the data of the SABER (Sounding of the Atmosphere using Broadband Emission Radiometry) instrument onboard the TIMED (Thermosphere Ionosphere Mesosphere Energetics and Dynamics) satellite, which since 2002 continues to measure simultaneous profiles of temperature, ozone and volume emission rates of OH* transitions in wide ranges of altitude, local time and latitude with a rather high space-time resolution.

68 Note a number of general aspects of the application of equilibrium conditions in the above 69 examples. First of all, there are no clear criteria, indicating the conditions under which the use of 70 equilibrium approximation is justified. Usually a certain component is taken to be a fast variable, if its 71 lifetime is much shorter, than the lifetimes of other components of studied photochemical/chemical system or the duration of a day, daytime, nighttime, etc. For example, in the papers on SABER data 72 73 processing (Mlynczak et al., 2013a, 2013b, 2014, 2018) it is assumed, that the nighttime ozone chemical 74 equilibrium in the mesopause is well fulfilled at altitudes of 80-100 km, since the nighttime ozone 75 lifetime at these altitudes varies in the range from several minutes to several tens of minutes. Note, that 76 this assumption is quite popular and used in different tasks (e.g., Swenson and Gardner, 1998; Marsh et 77 al., 2006; Smith et al., 2009; Nikoukar et al., 2007; Xu et al., 2010, 2012; Kowalewski et al., 2014; 78 Grygalashvyly et al., 2014; Grygalashvyly, 2015; Sonnemann et al., 2015; Kulikov et al., 2021). 79 Belikovich et al. (2018) and Kulikov et al. (2018b, 2019, 2023a) analyzed the nighttime ozone chemical equilibrium numerically, analytically, and with the use of SABER/TIMED data. It was revealed, that the 80 81 short lifetime is not a sufficient condition, so, this equilibrium may be significantly disturbed above 80 82 km. The local ratio between true and equilibrium concentrations may vary widely and reach up to several 83 orders of magnitude (e.g., Figure 5 in Kulikov et al. (2018b)). Thus, without special restrictions the error 84 in retrieved characteristics due to the use of equilibrium approximation is uncontrollable and may significantly exceed all other errors in the retrieval procedure due to, for example, uncertainties in the 85 86 measurement data and rate constants.

- Since the papers of Belikovich et al. (2018) and Kulikov et al. (2018b, 2019, 2023a), we developed the general approach to correctly identify fast components, employing the data from a global 3D chemical transport model. It includes:
- 90 1. Plotting of the equilibrium space-time maps of the components of interest.
- 91 2. Identification of the main sources and sinks in the found equilibrium areas.
- 92 3. Derivation and subsequent use of analytical criteria that make it possible to determine the fulfillment of
- 93 the equilibrium condition locally (in time and space) with the use of the measurement data only.
 - 3

The latter point is based on the theory of chemical equilibrium of a certain trace gas, acquired from estimations of its lifetime and equilibrium concentration, and time dependences of these characteristics (Kulikov et al., 2023a). Note, that when equilibrium condition is applied to measurement data in the retrieval of unmeasured characteristics, the criterion allows controlling and limiting the possible error caused by the equilibrium approximation.

99 The main goal of the paper is to apply this approach to the analysis of nighttime OH and HO₂ chemical equilibria in the mesosphere – lower thermosphere. Along with O and H, OH and HO₂ are 100 important components of $HO_x - O_x$ chemistry, participating (a) in chemical heating through, in particular, 101 $O+OH \rightarrow O_2+H$ and $O+HO_2 \rightarrow O_2+OH$ exothermic reactions, (b) in formation of airglows, (c) in 102 catalytic cycles of the ozone destruction. Moreover, the equilibrium conditions of OH and HO₂ are 103 104 additional *a priori* relationships, that can be used to retrieve these components or other characteristics from measured data. In particular, Panka et al. (2021) proposed the method for nighttime total OH 105 retrieval from SABER/TIMED data at 80-100 km, which does not use the ozone chemical equilibrium. 106 107 However, the method applies the equilibrium between sources and sinks not only to excited states of OH with ultrashort lifetimes, but also to the ground state. Therefore, this point is verified in our paper. 108

In the next section we present the used model and methods. In Section 3 the model data are used to plot HO_2 and OH equilibrium maps. In Sections 4-5 we identify the main reactions, determining equilibria of these gases, and present their shortened equilibria conditions at the upper mesosphere and lower thermosphere altitudes. In Section 6 the criteria for HO_2 and OH equilibria validity are developed. In Section 7 we discuss the obtained results and their possible applications.

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115 **2 Used 3D model and Approaches**

The analysis of OH and HO₂ nighttime chemical equilibria was carried out, using the data, obtained with calculation of 3D chemical transport model of the middle atmosphere, developed at the Leibniz Institute of Atmospheric Physics (e.g., Sonnemann et al., 1998; Körner & Sonnemann, 2001; Grygalashvyly et al., 2009; Hartogh et al., 2004, 2011) to investigate the mesosphere – lower thermosphere chemistry, in particular, in the extended mesopause region. A number of papers (e.g., Hartogh et al., 2004, 2011; Sonnemann, et al., 2006, 2008) validated the model with measurements, in particular, for ozone and water vapor.

123 The space-time distribution of temperature and winds were taken from the model of the dynamics 124 of the middle atmosphere COMMA-IAP (e.g., Kremp et al., 1999; Berger and von Zahn, 1999) with an 125 updated frequency of 1 day and linear smoothing between subsequent updates to avoid unrealistic jumps 126 in the calculated concentrations of trace gases. 3D advective transport is taken into account with the use of the Walcek-scheme (Walcek, 2000). The vertical diffusive transport (turbulent and molecular) is 127 calculated with the use of the implicit Thomas algorithm (Morton and Mayers, 1994). The model grid has 128 118 pressure-height levels (0-135 km), 16 latitudinal and 32 longitudinal levels. The chemical module 129 (see Table 1) comprises 25 constituents (O, O(¹D), O₃, H, OH, HO₂, H₂O₂, H₂O, H₂, N, NO, NO₂, NO₃, 130 N₂O, CH₄, CH₂, CH₃, CH₃O₂, CH₃O, CH₂O, CHO, CO, CO₂, O₂, N₂), 54 chemical reactions between 131 132 them and 15 photo-dissociation reactions. The model utilizes the pre-calculated dissociation rates (Kremp et al., 1999) and their dependence on the altitude and solar zenith angle. 133

134 The model was used to calculate a one-year global evolution of the above mentioned trace gases. To 135 remove the transition regions corresponding to sunset and sunrise, we use only local times when the solar zenith angle $\chi > 105^{\circ}$. As a result, we find the spatiotemporal series of the OH/OH^{eq} and HO_2/HO_2^{eq} 136 ratios. Here OH and HO_2 are the local nighttime values of hydroxyl and hydroperoxyl radicals, calculated 137 by the model, OH^{eq} and HO_2^{eq} are their local equilibrium values, corresponding to the instantaneous 138 balance between production and loss terms respectively. To determine each local value of OH^{eq} and 139 HO_2^{eq} we used the local values of the parameters (temperature, O_2 , and N_2) and the concentrations of 140 other trace gases, determining local chemical sources and sinks of OH and HO_2 . Then the OH/OH^{eq} and 141 HO_2/HO_2^{eq} series were averaged over the zonal coordinate and time during each month and were 142 presented as height-latitude maps, depending on the month. Each map contains lines, marking the 143 144 boundaries of the equilibrium areas, where the following conditions are satisfied:

145
$$\begin{cases} |< OH/OH^{eq} > -1| \le 0.1 \\ \sigma_{OH/OH^{eq}} \le 0.1 \end{cases}, \begin{cases} |< HO_2/HO_2^{eq} > -1| \le 0.1 \\ \sigma_{HO_2/HO_2^{eq}} \le 0.1 \end{cases},$$
(1)

146 where the angle brackets are used to denote the values averaged in time and space, $\sigma_{OH/OH^{eq}}$ and 147 $\sigma_{HO_2/HO_2}{}^{eq}$ are standard deviations of the OH/OH^{eq} and $HO_2/HO_2{}^{eq}$ ratios from 1 respectively.

Then we plotted spatiotemporal maps, showing the relative contribution of each reaction to a summarized source or sink at all altitudes and latitudes. These maps helped us to identify the main sources and sinks, describing the chemical equilibrium of nighttime OH and HO_2 in the equilibrium areas to an accuracy of better than a few percent.

Finally, we obtained and verified the analytical criteria of OH and HO₂ nighttime chemical equilibria according to Kulikov et al. (2023a). The paper considered the pure chemical evolution of a certain trace gas n:

155
$$\frac{dn}{dt} = I_n - S_n = -\frac{1}{\tau_n}(n - n^{eq})$$

156
$$\tau_n = \frac{n}{s_n}, \ n^{eq} = \frac{n \cdot I_n}{s_n},\tag{2}$$

where t is time, I_n and S_n are total photochemical/chemical sources and sinks of n respectively, τ_n is the n lifetime and n^{eq} is its equilibrium concentration, corresponding to the condition $I_n = S_n$. The lifetime determines the characteristic time scale, for which n approaches n^{eq} , when $n^{eq} = const$. In general case τ_n and n^{eq} are functions of time. Kulikov et al. (2023a) showed strictly mathematically, that the local values of n and n^{eq} are close to each other $(n(t) \approx n^{eq}(t))$, when $\tau_n \ll \tau_{n^{eq}}$, where $\tau_{n^{eq}}$ is the local time scale of n^{eq} :

163
$$\tau_{n^{eq}} \equiv \frac{n^{eq}}{|dn^{eq}/dt|}.$$
(3)

The expression for τ_n is found from the total sink of *n*. The expression for $\tau_{n^{eq}}$ is derived from Eq. (3) with the use of differential equations, describing chemical evolution of other reacting components, which determine the expression for n^{eq} . Kulikov et al. (2023a) also showed, when $\tau_n \ll \tau_{n^{eq}}$, $n \cong n^{eq}(1 - sign(\frac{dn^{eq}}{dt}) \cdot \frac{\tau_n}{\tau_n eq})$ in the first order approximation. Thus, the criterion

$$168 \quad \tau_n / \tau_{n^{eq}} \le 0.1 \tag{4}$$

169 is sufficient, in order to the possible relative difference between n and n^{eq} to be no more than 0.1.

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171 **3 Nighttime HO₂ and OH chemical equilibria**

According to the Table 1 HO₂ chemical sources in nighttime are determined by the following reactions:

174 $H+O_2+M \rightarrow HO_2+M$ (R20), $OH+O_3 \rightarrow O_2+HO_2$ (R22), $H_2O_2+OH \rightarrow H_2O+HO_2$ (R29), $H_2O_2+O \rightarrow HO_2+M$

175 OH+HO₂ (R19), CHO+O₂
$$\rightarrow$$
 HO₂+CO (R40), CH₃O+O₂ \rightarrow CH₂O+HO₂ (R37),

176 whereas chemical sinks of this component are as follows:

177
$$HO_2+O \rightarrow OH+O_2$$
 (R18), $HO_2+O_3 \rightarrow OH+2O_2$ (R23), $OH+HO_2 \rightarrow H_2O+O_2$ (R28), $H+HO_2 \rightarrow 2OH$

178 (R14), H+HO₂ \rightarrow H₂O+O (R15), H+HO₂ \rightarrow H₂+O₂ (R16), NO+HO₂ \rightarrow NO₂+OH (R50), HO₂+HO₂ \rightarrow

- 179 $H_2O_2+O_2$ (R30), $HO_2+HO_2+M \rightarrow H_2O_2+O_2+M$ (R31).
- 180 Thus, HO₂ local equilibrium concentration is described by the following equation:

181
$$HO_{2}^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_{2} + k_{22} \cdot O H \cdot O_{3} + k_{29} \cdot H_{2} O_{2} \cdot O H + k_{19} \cdot H_{2} O_{2} \cdot O + k_{40} \cdot C H O \cdot O_{2} + k_{37} \cdot C H_{3} O \cdot O_{2}}{k_{18} \cdot O + k_{23} \cdot O_{3} + k_{28} \cdot O H + (k_{14} + k_{15} + k_{16}) \cdot H + k_{50} \cdot N O + 2 \cdot (k_{30} + k_{31} \cdot M) \cdot H O_{2}}$$
(5)

Figure 1 plots height-latitude cross sections for the $\langle HO_2/HO_2^{eq} \rangle$ ratio for each month. The 182 black solid lines mark the boundaries of equilibrium areas, where according to condition (1) local values 183 of HO₂ are close to their equilibrium values with a possible bias of less than 10%. At low and middle 184 latitudes one can see the presence of the main equilibrium area, which extends from the top of the 185 analyzed altitude range to the lower boundary. The height of this equilibrium boundary, z_{HO}^{eq} , depends 186 on the season and latitude and varies in the interval between 73 and 85 km. It is the highest and the lowest 187 during the summer and winter respectively at the middle latitudes. Near the equator $Z_{HO_2^{eq}}$ demonstrates 188 the weakest annual variations and varies in the 81-83 km range. There are local areas below the upper 189 190 longest black line, but they are small and irregular and can be omitted from our consideration. Note that 191 the maps show the existence of equilibrium near 50 km, which can be assumed to be the beginning of the main equilibrium area in the stratosphere. At high latitudes there is the main equilibrium area as at low 192 193 and middle latitudes, but this area above 70-75° of latitude can extend down to 50 km.

194 In accordance to the Table 1 OH chemical sources are determined by the following reactions:

- 196 NO+HO₂ \rightarrow NO₂+OH (R50), H₂O₂+O \rightarrow OH+HO₂ (R19), H +NO₂ \rightarrow OH+NO (R51), O(¹D)+H₂O \rightarrow
- 197 2OH (R7), $O(^{1}D)+H_{2} \rightarrow H+OH$ (R8), $CH_{4}+O(^{1}D) \rightarrow CH_{3}+OH$ (R9),
- 198 whereas chemical sinks of this component are as follows:

199 OH+O \rightarrow H+O₂ (R17), OH+O₃ \rightarrow O₂+HO₂ (R22), OH+HO₂ \rightarrow H₂O+O₂ (R28), OH+OH \rightarrow H₂O+O 200 (R26), OH+OH+M \rightarrow H₂O₂+M (R27), H+OH+N₂ \rightarrow H₂O+N₂ (R24), H₂O₂+OH \rightarrow H₂O+HO₂ (R29), 201 OH+CO \rightarrow H+CO₂ (R32), CH₄+OH \rightarrow CH₃+H₂O (R33), OH+H₂ \rightarrow H₂O+H (R25), N+OH \rightarrow NO+H 202 (R49).

203 Thus, OH local equilibrium concentration is described by the following equation:

204
$$OH^{eq} = (k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot HO_2 + k_{23} \cdot HO_2 \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{50} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{10} \cdot HO_2 \cdot NO + k_{19} \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot HO_2 + k_{10} \cdot HO_2 + k_{10} \cdot O_3 + 2 \cdot K_{10}$$

- 205 $H_2O_2 \cdot O + k_{24} \cdot H \cdot N_2 + k_{51} \cdot NO_2 \cdot H + 2 \cdot k_7 \cdot O(^1D) \cdot H_2O + k_8 \cdot O(^1D) \cdot H_2 + k_9 \cdot O(^1D) \cdot H_2 + k_9 \cdot O(^1D) \cdot H_2 + k_8 \cdot O(^$
- 206 CH_4 / $(k_{17} \cdot O + k_{22} \cdot O_3 + k_{28} \cdot HO_2 + 2 \cdot (k_{26} + k_{27} \cdot M) \cdot OH + k_{29} \cdot H_2O_2 + k_{32} \cdot CO + k_{33} \cdot CO + k_{4} + k_{25} \cdot H_2 + k_{25} \cdot N)$ (6)

Figure 2 shows height-latitude cross sections for the $\langle OH/OH^{eq} \rangle$ ratio for each month. In this case the equilibrium covers up to 70-80% of the presented ranges of heights and latitudes, so that the black solid lines mark the external boundaries of non-equilibrium areas. In March and September this area is almost symmetrical to the equator. In April-August it is shifted towards the northern hemisphere. In October-February this area is higher in the southern hemisphere. In all months it is below 85-86 km. In the polar regions there are latitudinal ranges, where OH is close to equilibrium throughout the entire range

of heights.

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233

216 4 The main reactions, determining HO₂ and OH equilibria

Figure 3 presents height-latitude contour maps, showing the relative contribution of a certain 217 reaction to the total source and sink of HO₂ in January, taken as an example. To increase the information 218 content of the panels, the altitude range is cut off everywhere to 10^{-3} hPa, since there are no significant 219 changes above. Note firstly, that reaction $H+O_2+M \rightarrow HO_2+M$ determines a major (up to 95% and more) 220 contribution in the main equilibrium area almost everywhere, except for the polar regions above 70-75° of 221 latitude and below 75-80 km, where the reactions $OH+O_3 \rightarrow O_2+HO_2$ and $H_2O_2+OH \rightarrow H_2O+HO_2$ 222 become important and should be taken into account. Other reactions $(H_2O_2+O \rightarrow OH+HO_2, CHO+O_2 \rightarrow OH+O_2)$ 223 $HO_2+CO, CH_3O+O_2 \rightarrow CH_2O+HO_2$) together contribute less than 2-3% to the total source of HO_2 in the 224 main equilibrium area and may be omitted. Secondly, the reaction $HO_2+O \rightarrow OH+O_2$ determines a major 225 (up to 95% and more) contribution to the total sink in the main equilibrium area almost everywhere, 226 except for the same small polar areas, as in the considered case with the sources, where the reactions 227 $HO_2+O_3 \rightarrow OH+2O_2$ and $NO+HO_2 \rightarrow NO_2+OH$ are important and should be taken into account. The 228 229 reactions $OH+HO_2 \rightarrow H_2O+O_2$, $H+HO_2 \rightarrow 2OH$, $H+HO_2 \rightarrow H_2O+O$, and $H+HO_2 \rightarrow H_2+O_2$ contribute 230 cumulatively up to 10-15% of the total sink near the boundary of the main equilibrium area. The remaining reactions (HO₂+HO₂ \rightarrow H₂O₂+O₂, HO₂+HO₂+M \rightarrow H₂O₂+O₂+M) are not important in the 231 232 main equilibrium area and can be omitted.

Therefore, the expression for HO₂ local equilibrium concentration can be simplified as follows:

234
$$HO_2^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_2 + k_{22} \cdot O H \cdot O_3 + k_{29} \cdot H_2 O_2 \cdot O H}{k_{18} \cdot O + k_{23} \cdot O_3 + k_{28} \cdot O H + (k_{14} + k_{15} + k_{16}) \cdot H + k_{50} \cdot N O}$$
(7)

Figure 4 presents height-latitude contour maps, showing the relative contribution of a certain 235 reaction to the total source and sink of OH in January, taken as an example in Figure 3. As in the previous 236 case, the altitude range is cut off at 10^{-3} hPa, because only the panels for the reactions H+O₃ \rightarrow OH+O₂ 237 and HO₂+O \rightarrow OH+O₂ consist of interesting variations above. Note, that firstly these reactions are the 238 main OH sources in the upper part of the presented distributions down to 70-75 km, where they jointly 239 provide up to a 95% contribution to the equilibrium concentration. Also the reaction $HO_2+O_3 \rightarrow OH+2O_2$ 240 is major source in the lower part of the presented distribution from 50 to 60-70 km. The reaction 241 $NO+HO_2 \rightarrow NO_2+OH$ is important around non-equilibrium areas of OH and should be taken into 242 account, whereas the reaction H +NO₂ \rightarrow OH+NO is important in compact altitude-latitude areas near the 243 244 poles, the reaction H+HO₂ \rightarrow 2OH gives up to 10-15% contribution in small areas near the equilibrium

boundary. Other reactions $(O(^{1}D)+H_{2}O \rightarrow 2OH, O(^{1}D)+H_{2} \rightarrow H+OH, CH_{4}+O(^{1}D) \rightarrow CH_{3}+OH, H_{2}O_{2}+O$ 245 \rightarrow OH+HO₂) together contribute less than 2-3% of the total source of OH in the main equilibrium area 246 and can be omitted. Secondly, the reaction $OH+O \rightarrow H+O_2$ is the main OH sink in the upper part of the 247 presented distributions down to 70-80 km, where it provides up to 95% of the equilibrium concentration. 248 The reactions $OH+CO \rightarrow H+CO_2$ and $OH+O_3 \rightarrow O_2+HO_2$ are major in the lower part of the presented 249 distributions from 50 to 70-80 km. The reaction $OH+HO_2 \rightarrow H_2O+O_2$ is significant enough around non-250 equilibrium areas of OH, whereas the reaction $H_2O_2+OH \rightarrow H_2O+HO_2$ is important in the compact 251 altitude-latitude area near the poles. Other reactions (OH+OH \rightarrow H₂O+O, OH+H₂ \rightarrow H₂O+H, N+OH \rightarrow 252 NO+H, $CH_4+OH \rightarrow CH_3+H_2O$, $H+OH+N_2 \rightarrow H_2O+N_2$, $OH+OH+M \rightarrow H_2O_2+M$) together contribute 253 less than 2-3% to the total sink of OH in the main equilibrium area and can be omitted. 254

255 Therefore, the expression for OH local equilibrium concentration can be simplified as follows:

256
$$OH^{eq} = \frac{k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot H O_2 + k_{23} \cdot H O_2 \cdot O_3 + 2 \cdot k_{14} \cdot H \cdot H O_2 + k_{24} \cdot H \cdot N_2 + k_{50} \cdot H O_2 \cdot N O + k_{51} \cdot N O_2 \cdot H}{k_{17} \cdot O + k_{22} \cdot O_3 + k_{28} \cdot H O_2 + k_{29} \cdot H_2 O_2 + k_{32} \cdot C O}$$
(8)

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5 Shortened equilibrium conditions of HO₂ and OH in the upper mesosphere and lower thermosphere

260 The above analysis revealed, that the reactions describing the equilibrium conditions (7-8) in the lower and middle mesosphere are different from those in the upper mesosphere and lower thermosphere. 261 262 This means that the task of applying these conditions can be divided into two parts depending on the selected altitude range. At the upper mesosphere and lower thermosphere altitudes, we can consider only 263 the $HO_x - O_x$ chemistry, excluding the reactions with participation of H_2O_2 , N, NO, NO₂, and CO. In 264 addition, we can omit the reactions $HO_2+O_3 \rightarrow OH+2O_2$, $OH+O_3 \rightarrow O_2+HO_2$, and $OH+HO_2 \rightarrow H_2O+O_2$ 265 due to their insignificance here. As a result, the shortened equilibrium conditions of HO₂ and OH for this 266 altitude range are as follows: 267

268
$$HO_{2sh}^{eq} = \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H},$$
(9)

269
$$OH_{sh}^{eq} = \frac{k_{21} \cdot H \cdot O_3 + k_{18} \cdot O \cdot H O_2 + 2 \cdot k_{14} \cdot H \cdot H O_2}{k_{17} \cdot O}$$
(10)

Figure 5 shows height-latitude cross sections for the $\langle HO_2/HO_{2sh}^{eq} \rangle$ ratio for each month. In each panel the upper longest black line marks the lower boundary of the main equilibrium area, where according to condition (1) $HO_2 \approx HO_{2sh}^{eq}$ with possible bias of less than 10%. As in the case of Figure 1, this area extends from the top of the analyzed altitude range. There are also very small equilibrium areas below, which can be omitted from our consideration. The height of the lower boundary of the main equilibrium area, $z_{HO_2}{}_{sh}^{eq}$, depends essentially on the season and latitude. Comparing with Figure 1 one can see, that it reproduces many features of $z_{HO_2}{}_{sh}^{eq}$ at low and middle latitudes. In particular, $z_{HO_2}{}_{sh}^{eq}$ varies in the interval between 73 and 85 km, as in the case of $z_{HO_2}{}_{sh}^{eq}$. In the middle latitudes $z_{HO_2}{}_{sh}^{eq}$ in summer is several km higher than in winter. Near the equator $z_{HO_2}{}_{sh}^{eq}$ demonstrates the weakest annual variations and varies in the range of 81-83 km. So, one can conclude, that the exclusion of a number of reactions does not lead to significant changes in the space-time distributions of the HO₂ equilibrium.

Figure 6 plots height-latitude cross sections for the $\langle OH/OH_{sh}^{eq} \rangle$ ratio for each month. As in the 281 previous case, this is the lower boundary of the equilibrium area, where according to condition (1) 282 $OH \approx OH_{sh}^{eq}$ with good precision. The dependence of the boundary height, $z_{OH_{sh}^{eq}}$, on the season and 283 latitude mainly repeats the behavior of $z_{HO_{2sh}}^{eq}$. In particular $z_{OH_{sh}}^{eq}$ varies in the interval between 73 and 85 284 km. At middle latitudes $z_{OH_{sh}^{eq}}$ in summer is several km higher than in winter. Near the equator $z_{OH_{sh}^{eq}}$ also 285 demonstrates the weakest annual variations and varies in the range of 81-83 km. Nevertheless, in some 286 287 cases the OH equilibrium boundary lies slightly higher than the HO₂ boundary. In particular it can be seen in April-August above 50°S, which can be explained by the difference between HO₂ and OH lifetimes 288 $(\tau_{HO_2} < \tau_{OH})$, mainly due to $k_{18} > k_{17}$. Comparing with Figure 2, one can see the exclusion of the 289 290 mentioned reactions from consideration results in the absence of the OH equilibrium areas at the low and 291 middle mesosphere altitudes, as expected.

292

293 6 The criteria for HO₂ and OH equilibrium validity in the upper mesosphere and lower 294 thermosphere

Firstly we determine HO₂ and OH lifetimes and the local time scales of HO_{2sh}^{eq} and OH_{sh}^{eq} , according to Section 2.

From Eqs. (2-3) and (9), HO₂ lifetime and the local time scales of HO_{2sh}^{eq} are as follows:

298
$$au_{HO_2} = \frac{1}{k_{18} \cdot 0 + (k_{14} + k_{15} + k_{16}) \cdot H},$$
 (11)

299
$$au_{HO_{2sh}}^{eq} = \frac{HO_{2sh}}{|dHO_{2sh}^{eq}/dt|}.$$
 (12)

300 Then we find the expression for dHO_{2sh}^{eq}/dt :

$$301 \qquad \frac{dHO_{2sh}^{eq}}{dt} = \frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot \frac{d}{dt} \binom{H}{O} \cdot O^{2}}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}} = -\frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot \frac{d}{dt} \binom{O}{H} \cdot H^{2}}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}}.$$
(13)

302 Kulikov et al. (2023a) analyzed the local nighttime evolution of O and H within the framework of pure 303 $HO_x - O_x$ chemistry and found the expression for $\frac{d}{dt} \left(\frac{O}{H}\right)$:

$$304 \quad \frac{d}{dt} \left(\frac{O}{H}\right) = -2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) - k_{21} \cdot O_3 - k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}.$$
(14)

305 Thus, Eq. (13) can be rewritten in the following form:

$$306 \qquad \frac{dHO_{2}^{eq}_{sh}}{dt} = \frac{k_{18} \cdot k_{20} \cdot M \cdot O_{2} \cdot H^{2} \cdot (2 \cdot k_{20} \cdot M \cdot O_{2} \cdot (1 - \frac{k_{15} + k_{16}}{k_{18}}) + k_{21} \cdot O_{3} + k_{12} \cdot M \cdot O_{2} \cdot \frac{O}{H})}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^{2}}.$$
(15)

By combining Eqs. (9), (12), and (15) we obtain the expression for the local time scales of HO_{2sh}^{eq} :

$$308 \quad \tau_{HO_{2sh}}^{eq} = \frac{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)}{k_{18} \cdot H \cdot (2 \cdot k_{20} \cdot M \cdot O_2 \cdot (1 - \frac{k_{15} + k_{16}}{k_{18}}) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H})}$$
(16)

Thus, taking into account Eqs. (4), (11) and (16), the criterion for HO_2 equilibrium validity is written in the form:

311
$$Crit_{HO_2} = \frac{\tau_{HO_2}}{\tau_{\tau_{HO_2}sh}^{eq}} = \frac{k_{18} \cdot H \cdot (2 \cdot k_{20} \cdot M \cdot O_2 \cdot (1 - \frac{k_{15} + k_{16}}{k_{18}}) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H})}{(k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H)^2} \le 0.1.$$
(17)

We calculated $Crit_{HO_2}$, using the global 3D chemical transport model, and included the zonally and monthly averaged lines $\langle Crit_{HO_2} \rangle = 0.1$ in Figure 5 (see magenta lines). One can see that, depending on the month, each magenta line reproduces well the lower boundary of the main HO₂ equilibrium area and follows almost all its features and variations. Note, that in the zeroth order approximation the criterion (17) can be simplified as

317
$$Crit_{HO_2} \approx \left(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}\right) \cdot \frac{H}{k_{18} \cdot O^2} \le 0.1.$$
 (18)

From Eqs. (2-3) and (10), OH lifetime and the local time scales of OH_{sh}^{eq} are as follows:

319
$$au_{OH} = \frac{1}{k_{17} \cdot 0},$$
 (19)

Before determining the expression for dOH_{sh}^{eq}/dt one should keep in mind, that the expression (10) depends on the HO₂ concentration. As previously mentioned, near and above the OH equilibrium boundary HO₂ is in equilibrium $(HO_2 \approx HO_{2sh}^{eq})$ and we can use Eq. (9). In view of $k_{18} \cdot O \gg$ $(k_{14} + k_{15} + k_{16}) \cdot H$,

325
$$HO_{2sh}^{eq} \approx \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O} \left(1 - \frac{(k_{14} + k_{15} + k_{16}) \cdot H}{k_{18} \cdot O}\right).$$
(21)

326 The substitution of Eq. (21) into Eq. (10) yields:

$$327 \qquad OH_{sh}^{eq} = k_{20} \cdot H \cdot M \cdot O_2 \cdot \frac{(1 + \frac{2 \cdot k_{14} \cdot H}{k_{18} \cdot O}) \cdot (1 - \frac{(k_{14} + k_{15} + k_{16}) \cdot H}{k_{18} \cdot O})}{k_{17} \cdot O} + \frac{k_{21} \cdot H \cdot O_3}{k_{17} \cdot O} \approx \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{17} \cdot O} \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + 328 \frac{k_{21} \cdot H \cdot O_3}{k_{17} \cdot O} \qquad (22)$$

329 Thus, the expression for dOH_{sh}^{eq}/dt is:

$$330 \quad \frac{dOH_{sh}^{eq}}{dt} = \frac{d}{dt} \left(\frac{H}{O}\right) \cdot \left(\frac{k_{20} \cdot M \cdot O_2}{k_{17}} \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + \frac{k_{21} \cdot O_3}{k_{17}}\right) + \frac{k_{21} \cdot H}{k_{17} \cdot O} \frac{dO_3}{dt}.$$
(23)

Taking into account Eq. (14) and the differential equation for O_3 time evolution:

332
$$\frac{dO_3}{dt} = k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3$$

. .

the expression (23) can be rewritten in following form:

$$334 \quad \frac{dOH_{sh}^{eq}}{dt} = \frac{(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H} \cdot H^2}{O^2} \cdot \left(\frac{k_{20} \cdot M \cdot O_2}{k_{17}} \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + \frac{k_{21} \cdot O_3}{k_{17}}\right) + 335 \quad \frac{k_{21} \cdot H \cdot (k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3)}{k_{17} \cdot O}.$$

$$(24)$$

Thus, by combining Eqs. (4), (19), (20), (22), and (24) we obtain the expression for the criterion for OH equilibrium validity:

$$338 \quad Crit_{OH} = \frac{\tau_{OH}}{\tau_{\tau_{OH}}^{eq}} = \frac{\left(\left(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 \cdot \frac{H}{O} + k_{12} \cdot M \cdot O_2\right) \cdot \left(k_{20} \cdot M \cdot O_2 \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_3\right) + k_{21} \cdot (k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3)\right)}{k_{17} \cdot O \cdot (k_{20} \cdot M \cdot O_2 \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_3)} \leq 340 \quad 0.1.$$

$$(25)$$

We calculated $Crit_{OH}$, using the global 3D chemical transport model, and included the zonally and monthly averaged lines $\langle Crit_{OH} \rangle = 0.1$ in Figure 6 (see magenta lines). One can see that, depending on the month, the magenta line almost everywhere reproduces the lower boundary of the OH equilibrium area and repeats mainly its features and variations. Nevertheless, there are a few (by latitude) narrow areas (in April-August near 70°S and in October-December near 70°N), where the criterion gives a few km lower position of the OH equilibrium boundary, these are discussed in the next section. Note, that our numerical analysis shows that in the zeroth order approximation the criterion (25) can be simplified as:

348
$$Crit_{OH} \approx \left(2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_3 + k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H}\right) \cdot \frac{H}{k_{17} \cdot O^2} \le 0.1.$$
 (26)

349

350 7 Discussion

351 We now discuss obtained results and their possible applications.

Pay attention to the fact, that the presented results were plotted, using the lower threshold at 105° for the nighttime solar zenith angle (χ) to exclude the twilight transition processes. Nevertheless, our additional analysis revealed, that OH and HO₂ equilibrium conditions are fulfilled at χ >95°. Evidently, during the processing of the measurement data, taking twilight χ in (95°,105°) range into account extends the latitude range of OH and HO₂ equilibria application and allows us to include a noticeable part of the data into consideration. However, in this case one should check for additional condition (Kulikov et al., 2023a):

359
$$e^{\int_{lt_{bn}}^{lt} \tau_{HO_2}^{-1} dt} \gg 1, e^{\int_{lt_{bn}}^{lt} \tau_{OH}^{-1} dt} \gg 1,$$
 (27)

where τ_{HO_2} and τ_{OH} are the HO₂ and OH lifetimes, determined by Eqs. (11) and (19), *lt* is local time of data, *lt*_{bn} is the local time at the beginning of the night. Mind, that at night O and H tend to decrease due to the shutdown of the O_x and HO_x family photochemical sources, so τ_{HO_2} and τ_{OH} increase. Thus, analyzing the measurement data one can apply more stringent conditions:

$$364 \quad e^{\frac{lt-lt_{bn}}{\tau_{HO_2}}} \gg 1, e^{\frac{lt-lt_{bn}}{\tau_{OH}}} \gg 1.$$

$$(28)$$

The main results were obtained using a 3D model, where temperature and wind distributions are 365 updated every 24 hours. This excluded the influence of the atmospheric wave motion, in particular, 366 associated with tides, which is one of the main dynamical drivers in the tropical mesopause. We carried 367 out additional modeling with the distributions of the main characteristics, calculated by the Canadian 368 Middle Atmosphere Model for the year 2009 (Scinocca et al., 2008) with a 6-hourly frequency for 369 370 updating. The analysis of the time-height evolution of OH and HO₂, especially at low latitudes, showed that our criteria reproduce quite well the local variations of the OH and HO₂ equilibrium boundaries in 371 372 such conditions.

We evaluated the sensitivity of the presented HO₂ and OH criteria ($Crit_{HO_2}$ and $Crit_{OH}$) to the 373 374 uncertainties of characteristics, involved in the expressions (17) and (25). The local heights of the OH and HO₂ equilibrium boundaries $(z_{HO_2}^{crit})$ and z_{OH}^{crit} and z_{OH}^{crit} according to the criteria are determined as the 375 altitudes, at which $Crit_{HO_2} = 0.1$ and $Crit_{OH} = 0.1$ respectively. We considered the whole dataset of 376 nighttime profiles, obtained by the numerical simulation of a one-year global evolution of mesosphere -377 lower thermosphere, and estimated total uncertainties to determination of $z_{HO_2}^{crit}$ and z_{OH}^{crit} from each 378 local (in time and space) dataset (profiles of O, H, O₃, M, O₂ and temperature). Following the typical 379 analysis presented, for example in Mlynczak et al. (2013a, 2014), each uncertainty was calculated as a 380 381 root sum square of the sensitivities to the individual perturbations of certain variables or parameters in the

382 expressions (17) and (25). The following uncertainties of the variables were used: 5K in the temperature and 30% in O₃, O, and H. The uncertainties in reaction rates and their temperature dependencies were 383 384 taken from Burkholder et al. (2020). As the result (see Figure 7), the monthly and longitudinally mean of total uncertainties in determination of $z_{HO_2}^{crit}$ and z_{OH}^{crit} were found varying in the range 0.02-1 km, 385 depending on altitude and season. Note, that these values are comparable with the typical height 386 resolution of satellite data. The latter allows us to consider our criteria as a robust instrument for 387 equilibrium condition validation. The main reason of relatively low sensitivity of $z_{HO_2}^{crit}$ and z_{OH}^{crit} is 388 389 the strong height-dependence of $Crit_{HO_2}$ and $Crit_{OH}$ near the value of 0.1.

As noted, Figs. 5-6 represent an interesting peculiarity. At the middle latitudes summer $z_{HO_{2sh}^{eq}}$ and 390 $z_{OH_{sh}^{eq}}$ are remarkably higher than winter ones. Recently, Kulikov et al. (2023b) found such a feature in 391 the evolution of nighttime ozone chemical equilibrium boundary, derived from SABER/TIMED data, 392 which was accompanied by the same variation of the transition zone, separating deep and weak 393 394 photochemical oscillations of O and H, caused by the diurnal variations of solar radiation. The authors analyzed this effect near and below the transition zone. It was shown firstly, that nighttime O decreases 395 with the characteristic time scale $\tau_0 = 0/|d0/dt|$ proportional to the 0/H ratio at the beginning of the 396 night. Secondly, during the summer the daytime O/H at the middle latitudes is remarkably less than the 397 one in winter. Consequently, summer values of τ_0 are significantly shorter than winter ones, so summer 398 O during the night decreases much faster than in winter. In our case lifetimes of HO₂ and OH are 399 proportional mainly to $\frac{1}{0}$ (see Eqs. (11) and (19)), so the summer rise of $z_{HO_{2}}^{eq}_{sh}$ and $z_{OH_{sh}}^{eq}$ can be 400 401 explained by the season difference in O diurnal evolution at these latitudes.

As noted, there are a few narrow areas near 70°S/N (Figure 6), where the criterion (25) does not correspond well to the OH equilibrium boundary. Our analysis revealed, that the main reason is neglecting the reaction OH+CO \rightarrow H+CO₂ as the source of H in the corresponding differential equation of its chemical balance. In order to improve the criterion we revised the derivation of expression (14) for $\frac{d}{dt} \left(\frac{0}{H}\right)$ following to Kulikov et al. (2023a):

$$407 \quad \frac{d}{dt} \left(\frac{O}{H} \right) = -2 \cdot k_{20} \cdot M \cdot O_2 \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}} \right) - k_{21} \cdot O_3 - k_{12} \cdot M \cdot O_2 \cdot \frac{O}{H} - \frac{k_{32} \cdot CO}{k_{17} \cdot H} \cdot \left(k_{20} \cdot M \cdot O_2 \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O} \right) + k_{21} \cdot O_3)).$$

$$(29)$$

409 As a result the corrected criterion for OH equilibrium validity is as follows:

$$410 \quad Crit_{OH}{}^{m} = \frac{2 \cdot k_{20} \cdot M \cdot O_{2} \cdot \left(1 - \frac{k_{15} + k_{16}}{k_{18}}\right) + k_{21} \cdot O_{3} + k_{12} \cdot M \cdot O_{2} \cdot \frac{O}{H} + \frac{k_{32} \cdot CO}{k_{17} \cdot H} \cdot \left(k_{20} \cdot M \cdot O_{2} \cdot \left(1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_{3}\right)}{k_{17} \cdot O \cdot \left(k_{20} \cdot M \cdot O_{2} \cdot \left(1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_{3}\right)} \cdot \frac{H}{O} \cdot \frac{1}{2} \cdot$$

$$411 \quad \left(k_{20} \cdot M \cdot O_2 \cdot \left(1 + \frac{2 \cdot (k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}\right) + k_{21} \cdot O_3\right) + \frac{k_{21} \cdot (k_{12} \cdot M \cdot O_2 \cdot O - k_{21} \cdot H \cdot O_3)}{k_{17} \cdot O \cdot (k_{20} \cdot M \cdot O_2 \cdot (1 + \frac{(k_{14} - k_{15} - k_{16}) \cdot H}{k_{18} \cdot O}) + k_{21} \cdot O_3)} \le 0.1 \quad (30)$$

We calculated this criterion, using the global 3D chemical transport model and included the zonally and monthly averaged lines $\langle Crit_{OH}^{m} \rangle = 0.1$ on the OH equilibrium maps (see Figure 8). One can see that, the inclusion of this additional term actually eliminates the noted discrepancy between OH boundary and criterion. But the application of this criterion requires CO data.

416 As noted in the Introduction, the conditions of nighttime OH and HO₂ equilibria together with one 417 for O₃ equilibrium and their analytical criteria constitute a useful tool for retrieval of these components or other characteristics (for example, O and H) from measured data. At the altitudes of upper mesosphere -418 419 lower thermosphere these conditions can be applied, for example, to MLS/Aura database (measured characteristics: OH, HO₂, O₃, and CO), SMILES (HO₂ and O₃), SCIAMACHY (O(¹S) green-line, O₂ A-420 band, and OH Meinel band emissions), SABER/TIMED (O₃, OH Meinel band emissions at 2.0 μ m (9 \rightarrow 7 421 and $8 \rightarrow 6$ bands) and at 1.6 μ m (5 \rightarrow 3 and 4 \rightarrow 2 bands)) and other, including improvement of existing 422 423 retrieval approaches. In particular, Panka et al. (2021) proposed the method of simultaneous derivation of O and OH at the levels v=0-9 from SABER data (volume emission rates at 2.0 and 1.6 µm, VER_{2µm} and 424 VER_{1.6um}) at 80-100 km, taking into account the equilibrium condition for all states of OH. Such 425 approach is valid for excited states due to their very short lifetimes determined by radiative transitions 426 427 and quenching with O₂, N₂, and O. In the case of the OH ground state its lifetime is determined by the reaction $OH+O \rightarrow H+O_2$ only. It means, that Panka et al. (2021) used an equilibrium condition for total 428 OH, which, as one can see from Figure 6, may be significantly disrupted above 80 km. On the other hand, 429 430 there are latitude ranges and months, when the OH equilibrium boundary drops remarkably below 80 km. Moreover, the Panka et al. method requires external data about HO₂ since the reaction HO₂+O \rightarrow OH+O₂ 431 becomes the important source for OH below 87 km (Panka et al., 2021; see also Figure 4 in our paper). 432

The results of our paper allow modifying the Panka et al. method to extend its capabilities. The simplest development of this method seems to be the following. First of all, note that the HO_2 equilibrium condition (9) depends on H and O only and can be used within the self-consistent retrieval procedure, considering the following system of equations:

437
$$OH(v = 1 - 9) = \frac{k_{12} \cdot H \cdot O_3 \cdot M \cdot f(v) + \sum_{v' > v} (a_1(v', v) + a_2(v', v) \cdot O_2 + a_3(v', v) \cdot N_2 + (a_4(v', v) + a_5(v', v)) \cdot O) \cdot OH(v')}{a_6(v)) \cdot O + \sum_{v > v'} (a_1(v, v') + a_2(v, v') \cdot O_2 + a_3(v, v') \cdot N_2 + (a_4(v, v') + a_5(v, v')) \cdot O)},$$

438
$$OH(0) = \frac{\sum_{v'>0} (a_1(v',0) + a_2(v',0) \cdot O_2 + a_3(v',0) \cdot N_2 + (a_4(v',0) + a_5(v',0)) \cdot O) \cdot OH(v') + k_{18} \cdot O \cdot HO_2 + 2 \cdot k_{14} \cdot H \cdot HO_2}{k_{17} \cdot O}$$

439
$$HO_2 = \frac{k_{20} \cdot H \cdot M \cdot O_2}{k_{18} \cdot O + (k_{14} + k_{15} + k_{16}) \cdot H},$$

- 440 $VER_{2um} = a_1(9,7) \cdot OH(9) + a_1(8,9) \cdot OH(8),$
- 441 $VER_{1.6\mu m} = a_1(5,3) \cdot OH(5) + a_1(4,2) \cdot OH(4),$

where a_{1-6} are the constant rates of the processes $OH(v) \rightarrow OH(v' < v) + hv$, $OH(v) + O_2 \rightarrow OH(v < v') + hv$ 442 $O_2, OH(v) + N_2 \rightarrow OH(v < v') + N_2, OH(v) + O(^3P) \rightarrow OH(v' \le v-5) + O(^1D), OH(v) + O(^3P) \rightarrow OH(v' < v) + O(^3P) \rightarrow OH(v' < v) + O(^3P) \rightarrow OH(v' < v') + O(^3P) \rightarrow OH(v' < v') + O(^3P) \rightarrow OH(v' \le v-5) + O(^3P) \rightarrow OH(v' \ge v-5)$ 443 $O(^{3}P)$, and $OH(v) + O(^{3}P) \rightarrow H + O_{2}$ respectively. Take into consideration, that this system includes 13 444 equations with 13 unknown variables. Therefore, the solution to the system for a single set of the SABER 445 measurements (simultaneously measured profiles of O₃, T, pressure, VER_{2µm}, and VER_{1.6µm}) gives one 446 simultaneously retrieved profiles of O, H, OH(v=0.9), and HO_2 . By applying the criteria (17) and (25) to 447 obtained O and H profiles, we verify the fulfillment of OH and HO₂ equilibrium conditions and determine 448 449 the height, below which the resulting profiles should be cut. More advanced retrieval procedure would be statistical, based on Bayesian theorem, taking into account the uncertainties in measurement data and rate 450 constants. Similarly, for example, to Kulikov et al. (2018a), it should include a derivation of posterior 451 452 conditional probability density function of retrieved characteristics and numerical analysis of this function. Detailed development of this retrieval method is outside of this paper and should be carried out 453 454 in a separate work.

455

456

457 8 Conclusions

458 The presented analysis shows, that there are extended areas in mesosphere and lower thermosphere, where nighttime HO₂ and OH are close to their local equilibrium concentrations, determined mainly by 459 the reactions between $HO_x - O_x$ components among themselves and with H_2O_2 , N, NO, NO₂, and CO. In 460 upper mesosphere - lower thermosphere the shortened expressions for their local equilibrium 461 concentrations are valid, including the $HO_x - O_x$ chemistry only. These conditions describe the HO_2 and 462 OH equilibrium from the top to some lower boundaries, the altitude position of which vary in the interval 463 between 73 and 85 km and depends essentially on the season and latitude. We proposed analytical 464 criteria, which almost everywhere reproduces quite well the main features of these boundaries. Due to 465 weak sensitivity to uncertainties of reaction rates and variables, these criteria can be considered a robust 466 instrument for HO₂ and OH equilibrium validation. The obtained results allow extending the abilities of 467 468 the Panka et al. (2021) method to retrieve unmeasured components from SABER data. The simultaneous application of OH and HO₂ equilibrium conditions to the SABER data together with the OH and HO₂ 469 470 criteria to control this equilibrium validity allows us to retrieve all unknown HO_x – O_x components (O, H, OH, and HO₂) and to extend the altitude range of retrieval downward below 80 km and without external 471 472 information.

474	Data availability. CMAM data are obtained from the website (https://climate-					
475	modelling.canada.ca/climatemodeldata/cmam/cmam30/, last access: 18 May 2024).					
476						
477	Code availability. Code is available upon request.					
478						
479	Author contributions. Conceptualization: MK, MB, AC, SD, AF. Methodology: MK, AF.					
480	Investigation: MK, MB, AC, SD. Software: MB, AC. Visualization: MB, AC. Funding Acquisition: MK.					
481	Writing – original draft preparation: MK, MB. Writing – review & editing: AC, SD. Supervising: AF.					
482						
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490	access: 18 May 2024). The analysis in Discussion was carried out at the expense of state assignment No.					
491	0729-2020-0037.					
492						
493	Supplement link:					
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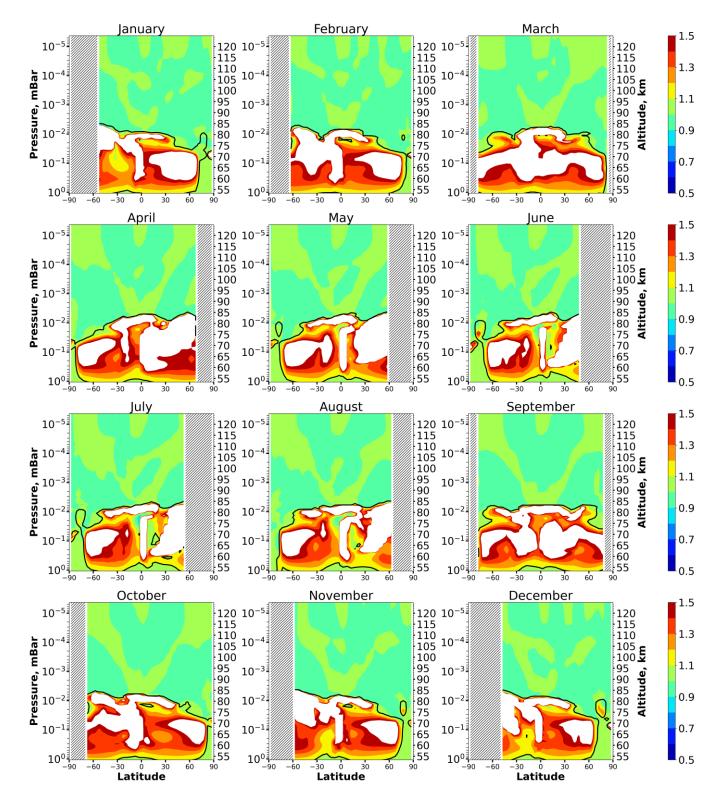
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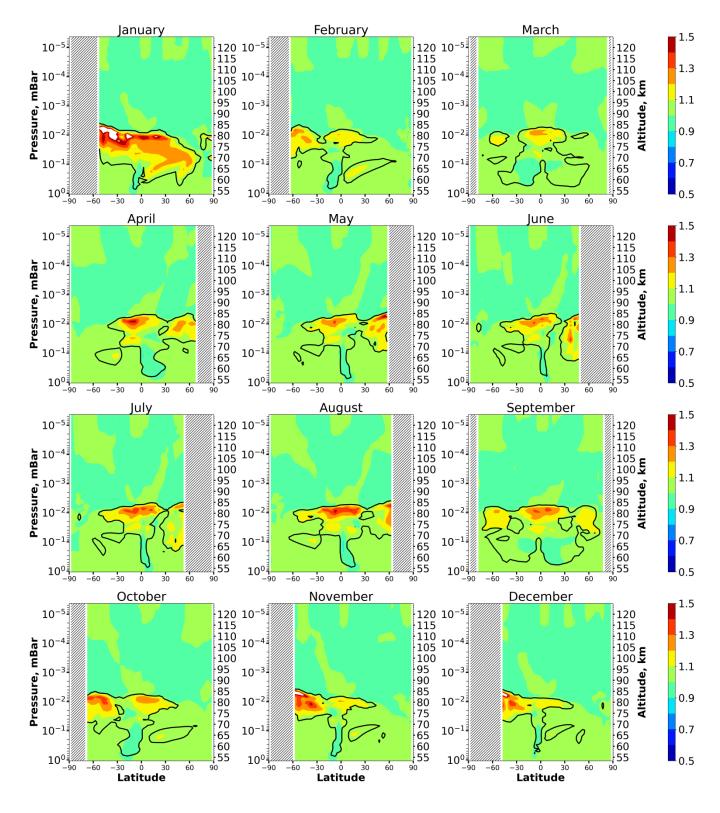
- **Table 1.** List of reactions included in 3-d chemical transport model with the corresponding reaction rates
- taken from Burkholder et al. (2020).

$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$						
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	1	$O(^{1}D)+O_{2} \rightarrow O+O_{2}$	24	$H+OH+N_2 \rightarrow H_2O+N_2$	47	$NO+O_3 \rightarrow NO_2+O_2$
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	2	$O(^{1}D)+N_{2} \rightarrow O+N_{2}$	25	$OH+H_2 \rightarrow H_2O+H$	48	$NO_2+O_3 \rightarrow NO_3+O_2$
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	3	$O(^{1}D)+O_{3} \rightarrow O_{2}+2O$	26	$OH+OH \rightarrow H_2O+O$	49	$N+OH \rightarrow NO+H$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	4	$O(^{1}D)+O_{3} \rightarrow 2O_{2}$	27	$OH+OH+M \rightarrow H_2O_2+M$	50	$NO+HO_2 \rightarrow NO_2+OH$
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	5	$O(^{1}D)+N_{2}O \rightarrow 2NO$	28	$OH+HO_2 \rightarrow H_2O+O_2$	51	$H + NO_2 \rightarrow OH + NO$
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	6	$O(^{1}D)+N_{2}O \rightarrow N_{2}+O_{2}$	29	$H_2O_2+OH \rightarrow H_2O+HO_2$	52	$NO_3+NO \rightarrow 2NO_2$
9 $O(^1D)+CH_4 \rightarrow CH_3+OH$ 32 $OH+CO \rightarrow H+CO_2$ 55 $O_2+hv \rightarrow 2O$ 10 $O(^1D)+CH_4 \rightarrow H_2+CH_2O$ 33 $CH_4+OH \rightarrow CH_3+H_2O$ 56 $O_2+hv \rightarrow O+O(^1D)$ 11 $O+O+M \rightarrow O_2+M$ 34 $CH_3+O_2 \rightarrow CH_3O_2$ 57 $O_3+hv \rightarrow O_2+O$ 12 $O+O_2+M \rightarrow O_3+M$ 35 $CH_3+O \rightarrow CH_2O+H$ 58 $O_3+hv \rightarrow O_2+O(^1D)$ 13 $O+O_3 \rightarrow O_2 + O_2$ 36 $CH_3O_2+NO \rightarrow CH_3O+NO_2$ 59 $N_2+hv \rightarrow 2N$ 14 $H+HO_2 \rightarrow 2OH$ 37 $CH_3O+O_2 \rightarrow CH_2O+HO_2$ 60 $NO+hv \rightarrow N+O$ 15 $H+HO_2 \rightarrow H_2O+O$ 38 $CH_2O \rightarrow H_2+CO$ 61 $NO_2+hv \rightarrow NO+O$ 16 $H+HO_2 \rightarrow H_2+O_2$ 39 $CH_2O \rightarrow H+CHO$ 62 $N_2O+hv \rightarrow N_2+O(^1D)$ 17 $OH+O \rightarrow H+O_2$ 41 $O_3+N \rightarrow NO+O_2$ 64 $NO_3+hv \rightarrow NO_2+O$ 18 $HO_2+O \rightarrow OH+O_2$ 41 $O_3+N \rightarrow NO_2+O_2$ 65 $H_2O+hv \rightarrow H+OH$ 20 $H+O_2+M \rightarrow HO_2+M$ 43 $O+NO+M \rightarrow NO_2+M$ 66 $H_2O_2+hv \rightarrow CH_2+H_2$ 21 $H+O_3 \rightarrow O_2+HO_2$ 44 $NO_2+O \rightarrow NO+O_2$ 67 $CH_4+hv \rightarrow CH_2+H_2$ 22 $OH+O_3 \rightarrow O_2+HO_2$ 45 $NO_2+O+M \rightarrow NO_3+M$ 68 $CH_4+hv \rightarrow CH+H_2+H$	7	$O(^{1}D)+H_{2}O \rightarrow 2OH$	30	$HO_2+HO_2 \rightarrow H_2O_2+O_2$	53	$N+NO \rightarrow N_2+O$
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	8	$O(^{1}D)+H_{2} \rightarrow H+OH$	31	$HO_2+HO_2+M \rightarrow H_2O_2+O_2+M$	54	$N+NO_2 \rightarrow N_2O+O$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	9	$O(^{1}D)+CH_{4} \rightarrow CH_{3}+OH$	32	$OH+CO \rightarrow H+CO_2$	55	$O_2 + hv \rightarrow 2O$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	10	$O(^{1}D)+CH_{4} \rightarrow H_{2}+CH_{2}O$	33	$CH_4+OH \rightarrow CH_3+H_2O$	56	$O_2+hv \rightarrow O+O(^1D)$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	11	$O+O+M \rightarrow O_2+M$	34	$CH_3+O_2 \rightarrow CH_3O_2$	57	$O_3+hv \rightarrow O_2+O$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	12	$O+O_2+M \rightarrow O_3+M$	35	$CH_3+O \rightarrow CH_2O+H$	58	$O_3+hv \rightarrow O_2+O(^1D)$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	13	$O+O_3 \rightarrow O_2 + O_2$	36	$CH_3O_2+NO \rightarrow CH_3O+NO_2$	59	$N_2 + hv \rightarrow 2N$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	14	$H+HO_2 \rightarrow 2OH$	37	$CH_3O+O_2 \rightarrow CH_2O+HO_2$	60	$NO+hv \rightarrow N+O$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	15	$H+HO_2 \rightarrow H_2O+O$	38	$CH_2O \rightarrow H_2+CO$	61	$NO_2+hv \rightarrow NO+O$
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	16	$H+HO_2 \rightarrow H_2+O_2$	39	$CH_2O \rightarrow H+CHO$	62	$N_2O+hv \rightarrow N_2+O(^1D)$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	17	$OH+O \rightarrow H+O_2$	40	$CHO+O_2 \rightarrow HO_2+CO$	63	$N_2O+hv \rightarrow N+NO$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	18	$HO_2+O \rightarrow OH+O_2$	41	$O_3+N \rightarrow NO+O_2$	64	$NO_3+hv \rightarrow NO_2+O$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	19	$H_2O_2+O \rightarrow OH+HO_2$	42	$NO_3+O \rightarrow NO_2+O_2$	65	$H_2O+hv \rightarrow H+OH$
$22 OH+O_3 \rightarrow O_2+HO_2 \qquad 45 NO_2+O+M \rightarrow NO_3+M \qquad 68 CH_4+h\nu \rightarrow CH+H_2+H$	20	$H+O_2+M \rightarrow HO_2+M$	43	$O+NO+M \rightarrow NO_2+M$	66	$H_2O_2 + hv \rightarrow 2OH$
	21	$H+O_3 \rightarrow OH+O_2$	44	$NO_2+O \rightarrow NO+O_2$	67	$CH_4 + hv \rightarrow CH_2 + H_2$
$23 HO_2+O_3 \rightarrow OH+2O_2 \qquad 46 N+O_2 \rightarrow NO+O \qquad 69 CO_2+hv \rightarrow CO+O$	22	$OH+O_3 \rightarrow O_2+HO_2$	45	$NO_2+O+M \rightarrow NO_3+M$	68	$CH_4+hv \rightarrow CH+H_2+H$
	23	$HO_2+O_3 \rightarrow OH+2O_2$	46	$N+O_2 \rightarrow NO+O$	69	$CO_2 + hv \rightarrow CO + O$



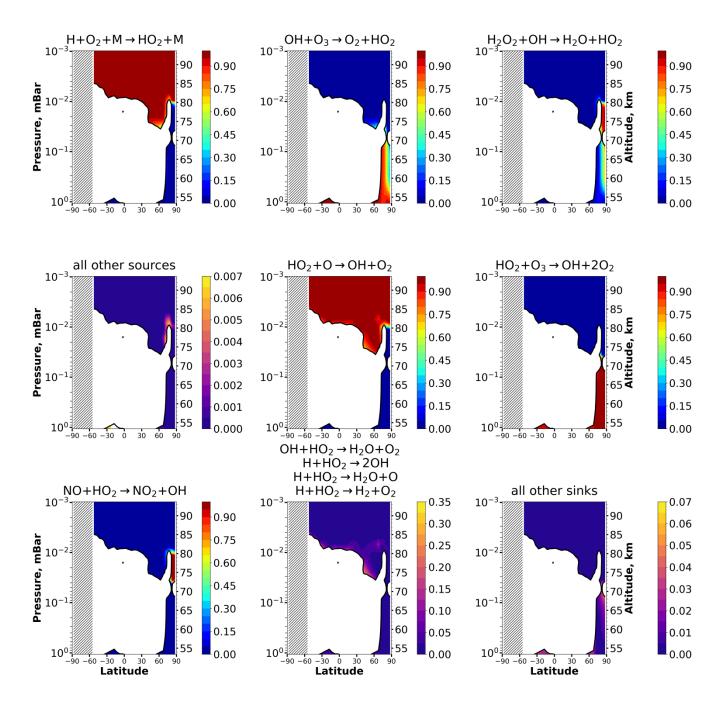
693

Figure 1. Nighttime mean and monthly averaged HO_2/HO_2^{eq} , where HO_2^{eq} is equilibrium concentration determined by Eq. (5). Black line shows the boundary of HO₂ equilibrium according to condition (1). The stippling corresponds to $\chi < 105^{\circ}$. The white area represents the $< HO_2/HO_2^{eq} >$ ratio outside the [0.5, 1.5] interval.



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Figure 2. Nighttime mean and monthly averaged OH/OH^{eq} , where OH^{eq} is equilibrium concentration determined by Eq. (6). Black line shows the boundary of OH equilibrium according to condition (1). The stippling corresponds to $\chi < 105^{\circ}$. The white area represents the $< OH/OH^{eq} >$ ratio outside the [0.5, 1.5] interval.



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Figure 3. Nighttime mean and monthly averaged relative contribution of a certain reaction to the total source or sink of HO₂ in equilibrium areas. The stippling corresponds to χ <105°. White color indicates nonequilibrium areas of HO₂.

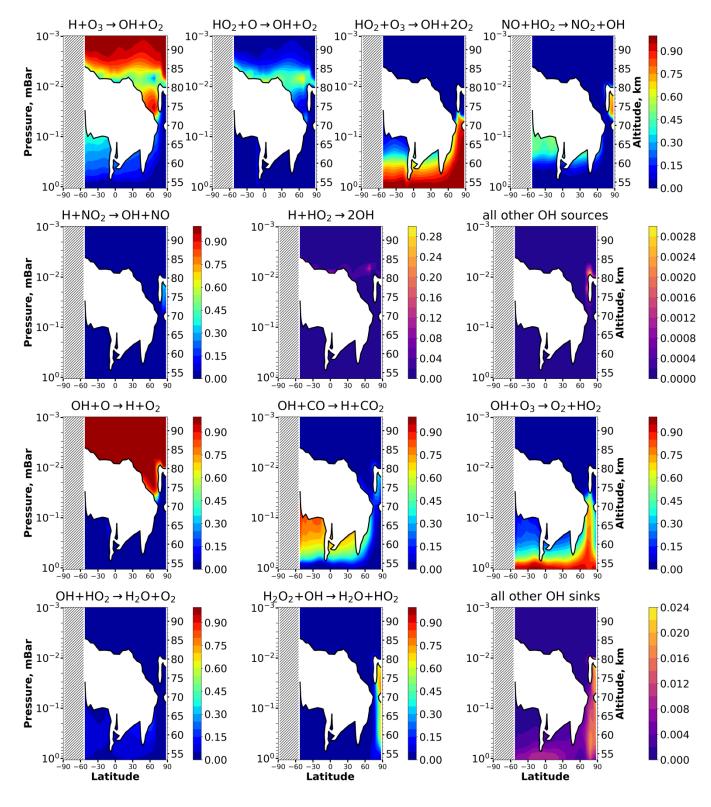


Figure 4. Nighttime mean and monthly averaged relative contribution of a certain reaction to the total source or sink of OH in equilibrium areas. The stippling corresponds to χ <105°. White color indicates nonequilibrium areas of OH.

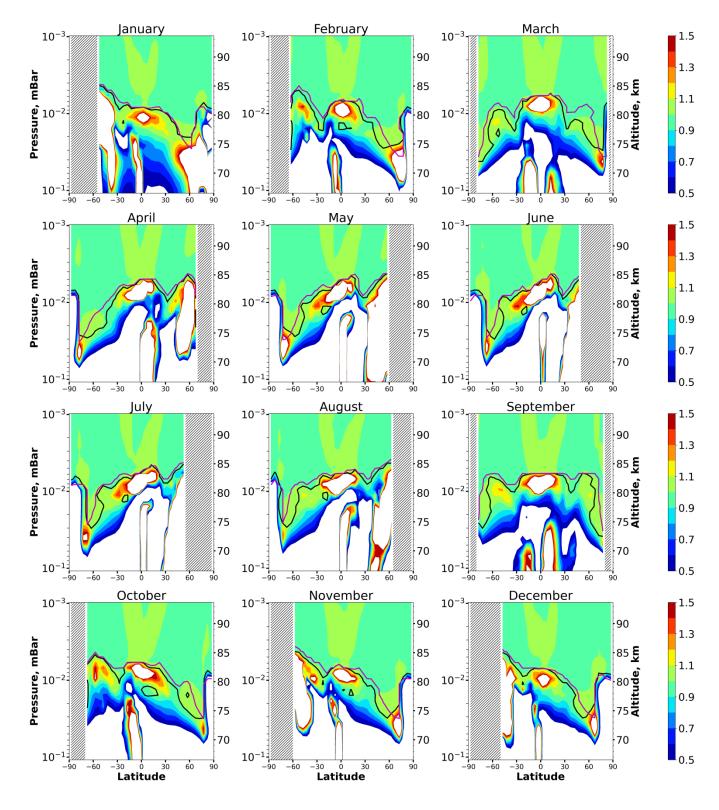




Figure 5. Nighttime mean and monthly averaged HO_2/HO_{2sh}^{eq} , where HO_{2sh}^{eq} is shortened equilibrium concentration determined by Eq. (9). Black line shows the boundary of HO₂ equilibrium according to condition (1). Magenta line shows $\langle Crit_{HO_2} \rangle = 0.1$. The stippling corresponds to $\chi < 105^{\circ}$. The white area represents the $\langle HO_2/HO_2^{eq} \rangle$ ratio outside the [0.5, 1.5] interval.

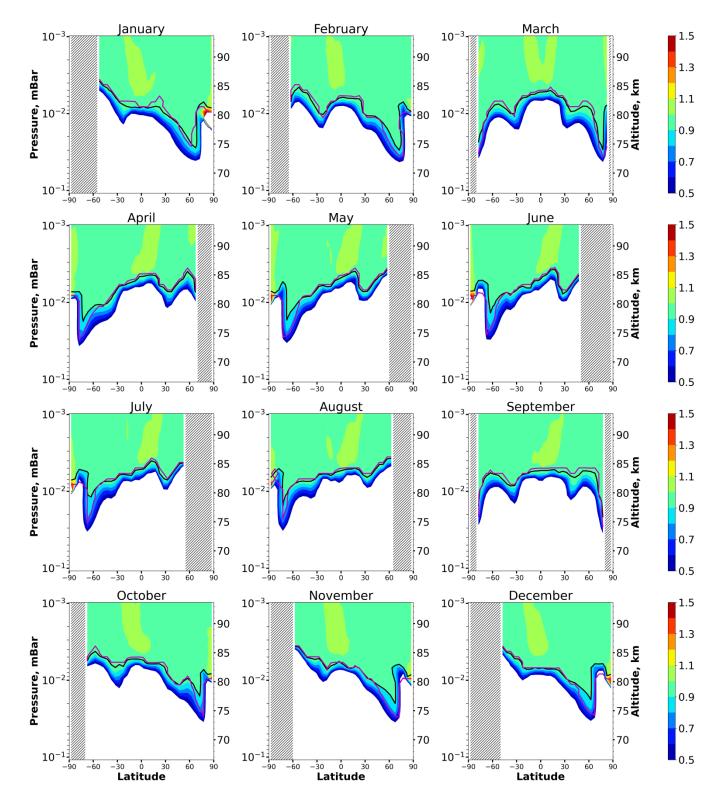
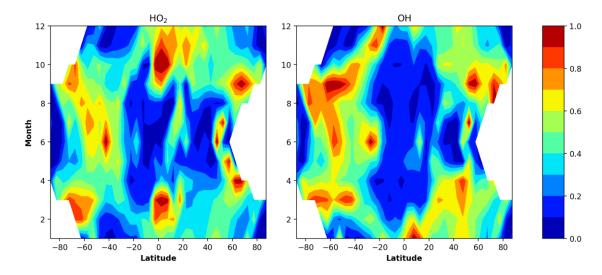




Figure 6. Nighttime mean and monthly averaged OH/OH_{sh}^{eq} , where OH_{sh}^{eq} is shortened equilibrium concentration determined by Eq. (10). Black line shows the boundary of OH equilibrium according to condition (1). Magenta line shows $\langle Crit_{OH} \rangle = 0.1$. The stippling corresponds to $\chi < 105^{\circ}$. The white area represents the $\langle OH/OH^{eq} \rangle$ ratio outside the [0.5, 1.5] interval.



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Figure 7. Monthly and longitudinally mean of total uncertainties in determination of the local heights of the OH and HO₂ equilibrium boundaries according to the criteria (17) and (25). The white color indicates the absence of data due to polar day.

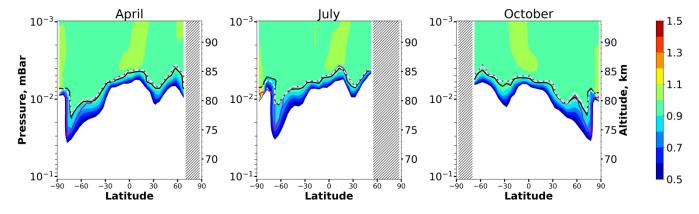


Figure 8. Nighttime mean and monthly averaged OH/OH_{sh}^{eq} . Black line shows the boundary of OH equilibrium according to condition (1). Magenta line shows $\langle Crit_{OH} \rangle = 0.1$, dotted white line shows $\langle Crit_{OH}^{m} \rangle = 0.1$.