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Study on the influence of topography on wind shearnumerical simulation based on WRF-CALMET

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8 Abstract

9 This study focuses on the critical issue of low-altitude wind shear, vital for aircraft safety during takeoff and landing. Using the WRF-CALMET model, we assess 10 the impact of topography on low-level wind shear at Zhongchuan Airport. CALMET 11 outperforms WRF, showing improved simulation accuracy.CALMET's simulation 12 13 highlights diurnal variations in vertical wind shear, especially pronounced from 13:00 to 24:00. Notably, CALMET indicates 1-2 hazard levels higher wind shear for 14 aircraft operations compared to WRF in a significant area. Terrain sensitivity 15 experiments reveal CALMET's responsiveness to terrain changes during high wind 16 shear periods, with reduced impact at higher altitudes. CALMET's incorporation of 17 18 kinematic terrain influences, blocking effects, slope flow, and strengthened diversion of near-surface airflow on complex terrain contribute to these 19 findings. This study confirms the efficacy of CALMET in simulating low-altitude wind 20 shear, emphasizing its superiority in capturing terrain influences and reducing the 21 aviation safety threat posed by low-altitude wind shear. 22

Keywords — wind shear; wind field-numerical simulation; airport; CALMET;
 aeronautical meteorology; topographic effect

25 **1. Introduction**

26 According to the definition of the International Civil Aviation Organization (ICAO), low-level windshear refers to the sharp change of spatial wind speed or 27 direction within a 600-meter altitude range. Wind shear includes both vertical and 28 horizontal components and typically occurs near fronts, coastlines and the surface. 29 In the process of taking off and landing, low-level wind shear will affect the airspeed 30 of the aircraft, causing great risks and even terrible accidents in serious cases 31 (Evans J,1989). In June 1975, a Boeing 727 aircraft crashed during its landing at 32 Kennedy Airport due to encountering low-level wind shear, resulting in 113 33 fatalities and 11 injuries(Fujita T T.1997); In June 2000, a Wuhan Airlines aircraft 34 crashed during landing, also due to encountering low-level wind shear. In 2017, a 35





New Zealand Airlines A320-200 aircraft experienced low-level wind shear during landing, resulting in severe damage to the aircraft and significant economic losses. Therefore, accurate simulation and prediction of low-level wind shear, especially on complex terrain, is of great significance for ensuring the safety of aircraft takeoffs

40 and landings at airports.

However, achieving accurate predictions remains a primary challenge faced by 41 numerical weather forecasting models (Colman B.2012). Low-level wind shear is 42 43 influenced by multiscale weather systems and characterized by small temporal and spatial scales, high intensity, and sudden occurrences, thus making it difficult to 44 detect, study and predict. The numerical weather forecast (NWP) model with 200-45 meter resolution was used to forecast low-level wind shear at Hong Kong 46 International Airport, During the whole research period, the results consistent with 47 the model forecast were observed on both runways(Hon K K.2020). The Weather 48 Research and Forecasting (WRF) model, designed for high-resolution mesoscale 49 weather forecasting, simulates airflow under realistic atmospheric conditions. 50 However, due to the grid resolution of WRF being greater than 1 km, it struggles to 51 52 simulate the small-scale airflow movements in complex terrain. Hong Kong International Airport previously attempted to predict wind shear using the WRF 53 model, affirming its capability to forecast wind shear induced by terrain changes 54 several hours in advance. However, providing precise warnings for the airport 55 proved challenging(Chan PW.2016). Since then, Hong Kong International Airport 56 57 has carried out improved research on wind shear simulation based on WRF, and captured the characteristics of wind and micro-scale airflow in many airports by 58 WRF-LES (Chen F.2022) 59

Lanzhou Zhongchuan International Airport stands as one of the largest aviation 60 hubs in Northwest China, situated in the southeastern part of the Qinwangchuan 61 alluvial-fan basin, surrounded by mountains on all sides. The region is known for 62 frequent wind shear occurrences, a phenomenon that has become increasingly 63 common at Lanzhou Zhongchuan Airport due to the rapid growth in the number of 64 flights. Most wind shear events occur during spring and summer, particularly in May, 65 June, and July (Li L.2020). Statistical reports on wind shear at Lanzhou Zhongchuan 66 Airport indicate that the majority of incidents occur in the afternoon and evening. 67 This trend is attributed to the downward momentum in the afternoon, enhanced 68 convective activity from increased ground heating, and higher wind speeds. Severe 69 70 convective weather is more likely to occur in the late afternoon to evening, contributing to a higher frequency of reported low-level wind shear events. 71 Conversely, fewer flights operate during the night, accompanied by reduced 72 convective weather, resulting in relatively fewer reports of aircraft encountering 73 low-level wind shear (Dang B.2013). In May 2016, Zhongchuan Airport installed 74 coherent Doppler lidar near the runway to study the characteristics of low-level 75 wind shear and provide warnings (Li L.2020). Numerical simulation studies on 76 wind shear at Zhongchuan Airport have been ongoing. Jiang L. et al. selected a 6 77 km×6 km area near the runway at Zhongchuan Airport to establish a digital 78 elevation model of the terrain. They used FLUENT software for numerical 79





80 simulation, solving iterative calculations to obtain the distribution characteristics of wind speed and pressure in the simulated area (Jiang Lihui.2018). However, 81 FLUENT, being a Computational Fluid Dynamics (CFD) simulation software widely 82 used in engineering, science, and research fields, only considers the local turbulence 83 of terrain and buildings on the flow field. It does not account for factors such as 84 gravity and heat exchange in real atmospheric conditions. Therefore, relying solely 85 on FLUENT for simulating and warning wind shear at Zhongchuan Airport has its 86 limitations. Improvements in simulating low-level wind shear still require 87 88 enhancements built upon numerical weather forecasting models.

In both domestic and international research, the CALMET model is frequently 89 90 employed to downscale WRF, providing a finer representation of microscale terrain structures. Particularly in weak wind conditions, the CALMET downscaling coupling 91 model outperforms WRF in simulating near-surface wind directions(Zhang D.2020). 92 93 The WRF/CALMET coupled system demonstrates satisfactory performance in various challenging scenarios, including the complex terrain of the Qinghai-Tibet 94 Plateau(Liao R.2021) and the intense weather system of Super Typhoon Meranti 95 (2016) (Tang S.2021). Up to now, no one has used WRF/CALMET coupling system to 96 97 simulate and test the occurrence of low-altitude wind shear. The aim of this study is to leverage the CALMET model's dynamic downscaling effect on local micro-terrain, 98 providing an improved method for simulating low-level wind shear within the WRF 99 model. 100

101 **2.Mode, Data, Method and Experimental Setup**

102 2.1 Models and Experimental Setup

In this study, the WRF model (version 4.2) was employed to simulate a severe 103 convective weather event occurring in the vicinity of Zhongchuan Airport over a 104 duration of 96 hours, starting from July 2, 2022, at 0000 UTC. The simulated wind 105 106 field results were then downscaled to 100 meters through coupling with the CALMET model. The model utilized a three-layer, two-way nested domain 107 configuration (Figure 1a), with horizontal grid spacings of 9 km, 3 km, and 1 km. In 108 the vertical direction, there were 39 complete Eta layers from the surface to 0 hPa. 109 The physical schemes employed by WRF are detailed in Table 1. 110







Figure 1. Three-layer Nested Domains of the WRF Model (a) and Simulation Area of the CALMET Model © Google Maps(b), with the Zhongchuan Airport Highlighted in

Blue

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Table 1. Model Configuration

Physical Scheme	WRF Option			
Microphysics	Thompson graupel scheme (2-moment scheme in V3.1)			
Cumulus parameterization	Tiedtke scheme			
Longwave radiation	RRTMG			
Shortwave radiation	RRTMG			
Surface layer	Monin-Obukhov (Janjic Eta) scheme			
Land surface	Noah			
Boundary layer	MYJ			

The diagnostic model utilized in this research is the CALMET model (version 117 6.5), which constitutes the meteorological component of the California Puff 118 Dispersion Model (Scire J S.2000). In the configuration of this study, the initial 119 120 guessed wind field is obtained from the grid wind field generated by the innermost domain of WRF, with a horizontal grid spacing of 1 km (D3 in Figure 1(a)). Since no 121 objective analysis procedure is employed, we only pay attention to the first step 122 wind field. The coverage area of the CALMET model encompasses Zhongchuan 123 Airport and its surrounding 38km×38km region (Figure 1(b)), with a horizontal 124 125 resolution of 100m. The vertical layers are set to 10 height levels within 600 m from the ground (the height range influenced by low-level wind shear). 126

127 Terrain Sensitivity Experiments for Demonstrating the Impact of Terrain on128 Wind Shear Simulation in CALMET:

(1) CALMET: CALMET model configured with default settings as describedearlier.





(2) CALEMT_FLAT: Modification in the TERREL terrain processing module
 where the elevation of all grid points is adjusted to 2000 meters. This adjustment
 facilitates CALMET simulation on a flat underlying surface.

(3) CALEMT_RAISE: Modification in the TERREL terrain processing module
where the elevation of grid points with an altitude exceeding 2050 meters is
increased by 1.5 times. This modification enables CALMET to simulate wind shear
over a more rugged terrain.

These terrain sensitivity experiments are designed to showcase how variations in terrain impact wind shear simulation within CALMET. The CALMET_FLAT experiment simulates wind shear on a flat surface, while the CALMET_RAISE experiment explores wind shear simulation over steeper terrain. The comparison of results from these experiments with the default CALMET setting will provide insights into the sensitivity of wind shear simulations to terrain variations.

144 2.2 data

The terrain data comes from the global 90 m digital elevation data set of Shuttle
Radar Topography (SRTM3 V4.1) of NASA, and the land use data comes from the
global land cover type data with 10m resolution of Pengcheng Laboratory
(https://data-starcloud.pcl.ac.cn/zh) of Tsinghua University in 2017.

The horizontal resolution of the ECMWF Reanalysis v5 (ERA5) dataset is $0.25^{\circ} \times 0.25^{\circ}$, with a temporal resolution of 1 hour. This dataset is employed as both the initial input and boundary fields for WRF model. Additionally, this study utilizes ERA5 variables, specifically geopotential height and temperature, for analyzing weather systems during periods of intense convection.

154 Observational data for ground-level 10m wind speed at Lanzhou Zhongchuan Airport are sourced from historical wind speed records provided by the National 155 Oceanic Atmospheric Administration 156 and (NOAA) (https://www.ncei.noaa.gov/maps/daily/) with a temporal resolution of 1 hour. 157 The ground 10m wind speed data of WRF model, CALMET model and ERA5 158 159 reanalysis data are interpolated to the location of Zhongchuan Airport, and compared with the observed data to verify the performance of the models. 160

161 2.3 method

162 To quantify the differences in 10m wind speed among the experiments, the 163 following statistical metrics are employed:

164 Index of agreement (IA):

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$$IA = 1 - \frac{\sum_{i=1}^{N} (P_i - \bar{0}_i)^2}{\sum_{i=1}^{N} (|P_i - \bar{0}| + |O_i - \bar{0}|)^2}$$
(1)





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167 Root-mean-squared error (RMSE):

RMSE =
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (O_i - P_i)^2}$$
. (2)

169 Mean relative error (MRE):

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$$MRE = \frac{1}{n} \sum_{i=1}^{n} \frac{(P_i - O_i)}{O_i}$$
(3)

171 Here, \overline{O} and \overline{P} represent the average values of observational and simulated data, 172 respectively. Each observed value is denoted as O_i , and each simulated value is 173 denoted as P_i . Smaller values for MRE and RMSE, and an IA closer to 1.0, indicate 174 better simulation performance.

175 Wind shear can be categorized into three types: vertical shear β , meridional horizontal shear α_1 , and zonal horizontal shear α_2 . Among these, vertical shear of 176 horizontal wind has a more significant impact on aircraft takeoff and landing 177 compared to the other types(Bretschneider, L.2022). It results in changes in wind 178 179 speed and direction as an aircraft moves through different altitudes, which can lead to drastic changes in airflow during ascent or descent, thereby increasing flight 180 difficulty, particularly during takeoff and landing(Keohan, C.2007; Eggers, A.J., 181 182 Jr.2003; Eggers, A.J., Jr.1992).

183 **3.Result**

184 3.1 Improvement of WRF/CALMET coupling model for simulation of low-level185 wind shear.

We evaluated the performance of two models in simulating near-surface wind 186 speeds, as shown in Figure 2 and Table 2. Both models showed better agreement 187 with observed data during periods of low wind speeds before convective 188 development (06:00 on July 3) and after convective cessation (02:00 on July 5). 189 190 During periods of intense convection, both models captured wind speed variability. Although both experiments underestimated or overestimated peak wind speeds on 191 July 3 and July 4, CALMET slightly outperformed WRF in simulating high wind 192 speeds. Furthermore, Table 2 indicates that CALMET's Mean Relative Error and 193 Root Mean Squared Error were lower than those of WRF throughout the entire 194 simulated period, with improvements of 11.13% and 7.24%, respectively. CALMET's 195 196 Index of Agreement was also closer to 1 compared to the WRF experiment, with an improvement of 12.06%. These results demonstrate CALMET's superior overall 197 198 simulation performance compared to WRF.







Figure 2 :the time series of 10m surface wind speed for both numerical simulations and observational data

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Table 2:	Statistic results of	f near-surf	tace wind	speed	simul	ations	in diff	erent
		experimer	nts averag	ged.				

	WRF	CALMET	Improvement (%)
MRE (%)	43.255	38.425	11.13
RMSE(m/s)	2.713	2.517	7.24
IA	0.454	0.509	12.09

At 16:00 on July 3, significant fluctuations in surface wind speeds mark the 206 onset of convective development (Figure 2). Figure 3 illustrates the distribution of 207 Vertical Wind Shear (VWS) simulated by both models. In the layer between 10m and 208 30m above ground level, CALMET's maximum VWS values, while consistent in 209 location with WRF's, are notably higher. Terrain analysis reveals CALMET simulates 210 high VWS values near mountain foothills and western slopes (Figure 8). WRF's 211 high VWS values primarily occur in mountainous regions. Details for the height 212 layers of 200m-300m and 500m-600m can be found in the appendix. Overall, both 213 models exhibit decreasing VWS with increasing height. From the overall distribution 214 215 of VWS, CALMET can simulate a wider range of third and fourth level wind shears, which are associated with severe and extreme turbulence affecting aircraft takeoff 216





and landing. Furthermore, this capability provides valuable warnings for aircraftoperations at Nakawa Airport.

The atmosphere above and surrounding the mountainous terrain is 219 characterized by three distinct regions or inclined layers, comprising the thermal 220 structure undergoing diurnal variations and forming diurnal winds: slope 221 atmosphere, valley atmosphere, and mountain atmosphere(Zardi, D.2013). It is 222 223 challenging to observe any pure form of diurnal mountain wind system, as each 224 component interacts with the others. Well-organized thermally driven flows can be identified over a broad spatial scale, ranging from the dimensions of the largest 225 mountain ranges to the smallest local topography. Therefore, concerning wind shear 226 in mountainous and foothill areas, wind shear in mountainous areas tends to be 227 228 smaller. When airflow passes through mountain ridges, the lower-level airflow experiences significant compression. According to the conservation of flux, the 229 acceleration effect on lower-level airflow exceeds that on upper-level airflow, 230 resulting in an overall reduction in wind shear. When the acceleration effect on 231 lower-level airflow is significant while the upper-level acceleration effect is weak or 232 233 absent, negative wind shear occurs. Overall, the intensity of low-level wind shear may be greater near mountain foothills or ridges and lesser in valleys or slopes. 234 Hence, the regions of maximum wind shear simulated by CALMET near mountain 235 foothills or ridges are more consistent with reality than those by WRF. 236



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Figure 3: Vertical Wind Shear (VWS) at 16:00 on July 3, 2022, simulated by
 CALMET (a) and WRF (b) (Unit: m/s/10m). Triangles indicate the locations of
 maximum values.

Figure 4 presents the time series of maximum VWS simulated by WRF and CALMET. It can be observed that both WRF and CALMET simulations exhibit a clear diurnal pattern in maximum VWS: maximum values are relatively small around dawn and in the morning (1:00 to 12:00), with minimal fluctuations, while they increase significantly in the afternoon and evening (13:00 to 24:00), showing larger





variations. However, the maximum values simulated by WRF are generally lower
than those by CALMET, with this difference being more pronounced in the afternoon
and evening. On July 3rd and 4th, during periods of intense convective activity,
CALMET is able to simulate larger fluctuations in maximum VWS compared to
normal conditions.

In summary, utilizing CALMET for downscaling WRF output of wind fields provides higher resolution and more precise surface conditions, which are advantageous for simulating mesoscale wind shear. This is primarily manifested in the following aspects: the distribution of VWS in the mid-to-low levels is more significantly influenced by terrain, and VWS decreases more rapidly with increasing altitude; the diurnal variation of maximum VWS within VWS regions follows a clear pattern and can reflect the characteristics of intense convection.

4.2 Impact of Topography on Wind Shear Simulation

Through different terrain configurations, we explored CALMET's detailed terrain impact on low-level wind shear. We found that valley winds affect VWS diurnal variation. Terrain, blocking effects, and slope flow kinematics enhance nearsurface airflow diversion, deflection, and ascent over complex terrain, significantly influencing VWS, with the impact decreasing with height.

In the CALMET_FLAT experiment, the increase in maximum VWS during the 264 afternoon and evening is minimal (Figure 4), with slight fluctuations and values 265 around 2 m/s/10m, sometimes even lower than WRF. However, good agreement is 266 267 observed among the three experiments during the early morning and morning periods. In CALMET_RAISE, particularly on July 3rd and 4th during intense 268 convective development, fluctuations in the afternoon and evening are more 269 pronounced compared to CALMET. However, CALMET_RAISE shows stability 270 271 similar to CALMET just before convective development on July 2nd, except for an unusually high value at 09:00 on July 4th, where fluctuations are more pronounced, 272 but numerically close to CALMET. 273

274 In the afternoon and evening, CALMET_FLAT shows a significant decrease in maximum VWS, while CALEMT_RAISE exhibits more pronounced fluctuations. For 275 example, at 19:00 on July 3rd (Figure 5(a)-(c)), in the CALMET experiment, the 276 maximum VWS (3.56 m/s/10m) occurs in the southeastern foothills and valley 277 areas. In CALMET_FLAT, except for the absence of a high-value area in the southeast, 278 279 the distribution is similar to CALMET, with a maximum value of 1.77 m/s/10m in the central region, which is also a flat valley area in CALMET. In CALMET_RAISE, due 280 to a sudden 1.5-fold increase in terrain elevation above 2050m, the steep terrain 281 causes chaotic wind shear distribution, with scattered high values in the central 282 region, and the maximum value increases to 4.31 m/s/10m. In summary, 283 transitioning from complex to flat terrain shifts the location of maximum VWS from 284 mountainous areas to flat valleys. 285







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Figure 4: Time series of 10m-30m maximum VWS values of different simulation experiments in the study area

289 This phenomenon is a typical result of valley winds, driven by the interaction 290 between terrain and solar radiation. During the day, sunlight heats the surface, leading to differential heating rates between slopes and valleys due to their distinct 291 292 topographies. Slopes, receiving direct sunlight, warm up faster than valleys. At night, the surface loses heat, particularly in valleys with good heat dissipation, resulting in 293 strong nighttime cooling effects. The temperature difference between slopes and 294 295 valleys during the day induces upslope airflow along the slopes. As the heated air ascends, airflow forms over the valleys, as depicted in Figure 5(a) where maximum 296 VWS occurs near mountainous areas. At night, cold air flows downhill along the 297 298 slopes, forming downslope winds, which reverse the airflow pattern observed 299 during the day.







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Figure 5: VWS distribution of 10m-30m.(a)-(c): 19:00 on July 3, 2022; (d)-(f):
 09:00 on 4 July 2022; (a),(d):CALMET; (b),(e):CALMET_FLAT; (c),(f):CALMET_RAISE.

303 The results indicate that CALMET model simulations of VWS are highly sensitive to terrain: VWS values are generally lower in flat terrain compared to 304 complex terrain, and the influence of terrain on wind shear diminishes rapidly with 305 height. In extremely steep terrain, near-surface distribution appears chaotic, but 306 VWS values notably increase above the surface compared to complex terrain. Across 307 the three experiments, the absolute differences in VWS decrease with height, 308 309 suggesting a diminishing impact of terrain on CALMET model simulations of VWS with increasing altitude. 310

To investigate extreme high values of VWS in the CALMET_RAISE experiment at 311 09:00 on July 4th, Figures 5(d)-(f) display the VWS distribution for all experiments 312 at this time, while Figure 6 presents wind vector maps for three hours for both 313 CALEMT and CALEMT RAISE. The VWS distribution for CALEMT and CALEMT FLAT 314 is similar, with a peak of 3.27m/s/10m in the central region. Compared to July 3rd 315 at 19:00, both experiments show extensive high-value areas in the southeast, with 316 CALMET_RAISE reaching an exceptional maximum of 12.62m/s/10m in the 317 318 southeastern valley area. Additionally, CALMET_RAISE exhibits large areas of exceptionally high values compared to the other experiments. 319

In Figure 6, at 08:00 and 10:00 on July 4th, the prevailing wind direction in the area is northeast. Both CALMET and CALEMT_RAISE show similar wind field



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- structures, transitioning from northeast to north as terrain slopes southward. When airflow passes through the southern valley, mountain ranges create denser wind vectors and increased speeds. However, at 09:00, a strong northwest airflow converges with the northern airflow, forming a distinct "micro-front." The terrain blocking induces diversion, deflection, and upward motion of the northwesterly wind, creating extensive high-value VWS areas in the southeast. Compared to
- 328 CALMET, CALEMT_RAISE exhibits a more chaotic wind field due to increased terrain.





333 In conclusion, the widespread high-value VWS area observed at 09:00 on July 4th resulted from a shift in wind direction to the northwest, encountering minimal 334 335 velocity reduction before reaching the tall terrain in the south, where the 336 mountainous obstruction led to diversion, deflection, and upward motion. The anomalously high values in the CALEMT_RAISE experiment were attributed to the 337 338 elevation of the terrain, significantly intensifying the effects of diversion, deflection, and upward motion. This suggests that terrain has a more pronounced impact on 339 CALMET-simulated wind shear during high wind speeds, while its influence is less 340 341 evident during low wind speeds. Therefore, heightened awareness of low-level wind shear occurrence is warranted in complex terrain. 342





343 **4 Conclusion**

In order to investigate whether higher-resolution numerical models yield better simulation results for low-level wind shear, this study focuses on a severe convective weather event that occurred in the vicinity of Zhongchuan Airport on July 2, 2022. The WRF/CALEMT coupled model is utilized to simulate the wind field, and the influence of terrain variations on CALMET-simulated wind shear is explored. The main conclusions are as follows:

(1) CALMET improves the simulation of near-surface winds, bringing them
 closer to observed data than WRF, thereby facilitating more accurate modeling of
 low-level wind shear.

(2) The diurnal variation of VWS shows a distinct pattern. CALMET exhibits higher VWS compared to WRF, especially during the afternoon and evening. During periods of intense convective activity, CALMET captures larger VWS fluctuations, including higher peak values. CALMET's finer terrain features result in a VWS distribution that better aligns with terrain effects, with VWS generally higher near foothill areas compared to mountains, and a more pronounced decrease with altitude.

(3) Terrain sensitivity experiments show that during early morning and 360 morning hours, the maximum VWS of the three experiments were similar, occurring 361 in flat regions with minimal terrain influence. However, in the afternoon and 362 evening, CALMET_FLAT shows decreased maximum VWS values, while 363 CALMET RAISE exhibits drastic fluctuations, with peak values near mountainous 364 areas, indicating significant terrain influence. Moreover, the impact of terrain on 365 366 CALMET-simulated VWS diminishes with altitude. These findings highlight the substantial influence of terrain on CALMET, particularly during periods of high wind 367 368 speeds.

(4) The occurrence of abnormally high VWS values in the simulations is attributed to strong disturbances caused by tall terrain features: wind direction shifts to northwest winds, encountering minimal reduction in wind speed before encountering the tall terrain in the southern region. CALMET_RAISE elevates the terrain from its original level, enhancing channeling, swirling, and updraft effects.

The research findings of this study are solely based on a short-term simulation period of weather events in the Zhongchuan Airport area. Our future work will expand to include longer simulation periods in more airports and regions with complex terrain. This expansion aims to examine and quantify the additional value provided by CALMET in simulating low-level wind shear.

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Competing Interests. The corresponding author declares that all authors have nocompeting interests.





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