Selection and Characterisation of the Target Fault for Fluid-Induced Activation and Earthquake Rupture Experiments

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Abstract. Performing stimulation experiments at approximately 1 km depth in the Bedretto Underground Laboratory for Geosciences and Geoenergies necessitates identifying and characterizing the target fault zone for on-fault monitoring of induced fault-slip and seismicity, a current challenge in understanding seismogenic processes. We discuss the multidisciplinary approach for selecting the target fault zone for the experiments planned within the Fault Activation and Earthquake Ruptures (FEAR) project, aiming to induce fault-slip and seismicity up to a magnitude 1.0 earthquake while enhancing monitoring and control of fluid-injection experiments.

Structural geological mapping, remote sensing, exploration drilling and borehole logging, ground-penetration radar, and laboratory investigations were employed to identify and characterize the target fault — a ductile-brittle shear zone several meters wide with intensely fractured volume spanning over 100 m. Its orientation in the in-situ stress field favors reactivation in normal to strike-slip regimes. Laboratory tests showed slight velocity strengthening of the fault gouge. The fault's architecture, typical for crystalline environments, poses challenges for fluid flow, necessitating detailed hydraulic and stress characterization before each of the FEAR experiments. This multidisciplinary approach was crucial for managing rock volume heterogeneity and understand implications for the dense monitoring network. Successfully identifying the fault sets the stage for seismic activation experiments commencing in spring 2024.

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1 Introduction

Earthquakes are a natural hazard affecting millions of people globally each year. They result from complex physical and chemical processes that are not well understood, involving scales from micrometers to kilometers and seconds to years. Our understanding is limited by the challenge of collecting high-quality multidisciplinary data near causative faults, as most earthquakes originate several kilometers deep. Waves from the source scatter and attenuate, losing information on the rupture process. Scientists must extract data from far-field sources with limited resolution and significant uncertainties. Consequently, fundamental aspects of earthquakes, such as initiation, nucleation, precursor signals, rupture propagation, and cessation, remain poorly understood. This hampers earthquake prediction and hazard assessment, especially near causative faults, and limits the ability to manage earthquakes from human activities like mining, waste fluid injection, and geothermal reservoir stimulation.

Laboratory experiments simulating crustal depths on rock samples provide data on dynamic ruptures, fault rheology, and frictional properties, but are limited by the small sample size and the specific stress and strain conditions applied. Deep boreholes intersecting fault zones have been used for decades to gather near-fault data, study earthquake nucleation mechanisms, and understand rupture propagation and source parameter scaling with magnitude and depth. Significant projects include the German Continental Deep Drilling Programme (KTB; Shapiro et al., 2006), the San Andreas Fault Observatory at Depth (SAFOD) in California (Zoback et al., 2011), the Taiwan Chelungpu-fault Drilling Project (TCDP; Ma et al., 2006), the Deep Fault Drilling Project (DFDP-1) in New Zealand (Sutherland et al., 2012), the Geophysical borehole Observatory at the North Anatolian Fault (GONAF) in Turkey (Kiliç et al., 2020), and the Integrated Ocean Drilling Program (IODP) in the Nankai subduction zone of Japan (Tobin et al., 2022). While these projects have made important discoveries, they are limited to direct observations from small sections of fault zones and drill core analyses.

Another method to observe earthquake nucleation is through experiments in underground laboratories (URLs) on a scale closer to natural seismic events. Research on radioactive waste storage and host rock integrity has long studied fault slip and seismicity, using URLs in low-permeable sedimentary and low-porosity basement rocks. The Rustrel Low Noise Underground Laboratory (LSBB URL) in SE France provides access to a 500 m long fault at 280 m depth (Guglielmi et al., 2015a; Jeanne et al., 2012). The Tournemire Underground Laboratory, also in France, accesses a fault in shales at 250 m depth (Guglielmi et al., 2015b). The Mont Terri Underground Laboratory in NW Switzerland intersects a thrust fault at 300 m depth (Guglielmi et al., 2015b). These experiments involve fault stimulation by water injection, monitoring slip and microseismic events (Guglielmi et al., 2015a, 2017, 2020; Kakurina et al., 2019).

The growing interest in deep geothermal energy has spurred research on seismicity induced by fluid injections needed for creating underground heat exchangers. Relevant URLs are located in crystalline bedrock, such as Whiteshell URL (Canada; e.g., Ophori et al., 1995) or Mizunami URL (Japan; e.g., Sakuma et al., 1998), or are currently performed only at few hundred meters depth such as Äspö (Sweden at 500 m depth; e.g., Kickmaier and McKinley, 1997), Onkalo (Finland at 450 m depth; e.g., Siren, 2017), KURT (South Korea at 200 m depth; e.g., Kim et al., 2017), Bukov (Czech Republic at 550 m depth; e.g., Bukovská et al., 2019) or Grimsel Test Site (Switzerland; e.g., Vomvoris et al., 2004). Grimsel is situated in the Aar Massif at 450 m depth, hosting reactivated ductile shear zones (Schneeberger et al., 2019). Since 2016, various hydraulic shearing and

fracturing tests have been conducted in a 20x20x20 m³ volume of rock (Amann et al., 2018; Jalali et al., 2018; Dutler et al., 2019, 2021; Gischig et al., 2019; Krietsch et al., 2020a, b; Villiger et al., 2020, 2021).

The examples above provide access to faults at depths of a few hundred meters, which is far shallower than seismogenic depths. Underground laboratories at kilometer depths are rare and difficult to access. Deep mines allow comparisons of in-situ stress variations and induced seismicity, often using extensive seismic monitoring systems for safety. The JAGUARS project, for instance, monitors microseismic activity at 3.5 km depth in the Mponeng gold mine, South Africa (Kwiatek et al., 2011). Data from these systems offer key insights into earthquake sources. Monitoring can continue even after mining stops, making abandoned deep mines potential laboratories. The Sanford Underground Research Facility in South Dakota, formerly the Homestake Gold Mine, is an example of a deep underground facility (up to 1490 m; Lesko, 2015). Recent hydraulic fracturing and shearing experiments, including strain monitoring, have been conducted there at 1500 m depth in a phyllitic series (Guglielmi et al., 2021).

In the panorama of experimental approaches (boreholes, underground labs, deep mines) aimed at observing earthquake sources, the Bedretto Underground Laboratory for Geosciences and Geoenergies (BULGG) in the Swiss Alps offers an ideal environment for the "Fault Activation and Earthquake Rupture" (FEAR) project. Located in a 5211 m long tunnel, BULGG provides easy access to a large volume of crystalline faulted rocks at depths of 1000-1500 m (Fig. 1; Ma et al., 2022). The FEAR project aims to re-activate a natural fault at this depth and observe the nucleation of a Magnitude 1 event using advanced instruments. Detailed in Meier (2024), the project involves controlled 50-100 m scale fault stimulation experiments, stress pre-conditioning for real-time testing, data-driven forecasting, and integrating results from various experimental and observational approaches. For the experiment, a new 120 m long tunnel parallel to the target fault will be excavated, providing extensive instrumentation for close-range monitoring (Fig. 2). Conducted by ETH Zurich, INGV Rome, and RWTH Aachen University, and funded by a European Research Council (ERC) Synergy grant, FEAR integrates fault mechanics, seismology, and numerical modeling from laboratory to natural earthquakes (Meier, 2024). The project's success depends on selecting a fault with specific characteristics: favorable geometrical orientation, continuity for hundreds of meters, limited water inflows, homogeneity and isotropy of the host rock, and evidence of past seismogenic activity. The ultimate goal is to stimulate and monitor the fault zone's slip episodes, strain perturbations, stress changes, seismicity, and pressure evolution at significant seismogenic depths (Meier, 2024). A novel aspect of the experiment is the unique opportunity to thoroughly characterize a fault in extreme detail before the project begins. This in-depth knowledge of the fault's characteristics will inform crucial decisions on how to instrument the fault, which parameters to observe with optimal instruments, and how to design the instrumentation architecture. One of the novelty of the experiment is in the unique opportunity to target a fault that can be characterized in extreme detail before the project starts. The deep knowledge of the fault characteristics will drive important decisions on how to instrument the fault, which parameter to observe, with which optimal instruments, define the architecture of the instrumentation assets. It will also be a unique opportunity to correlate in great details direct observations (e.g. structure of the rock, fracture systems, distribution of gouge, asperities, etc.) with the geophysical observations (e.g seismic anisotropy at various scales, localization of the seismic events, their propagation in time domain in correlation with pressure distribution propagation).

This paper aims to present and discuss the multidisciplinary approach used to identify the target fault zone (termed MC fault

zone) for the FEAR experiments. We first summarize the information on the selected site, the Bedretto tunnel, and then outline the constraints and criteria based on experimental requirements for selecting the target fault zone. We describe the data and parameters analyzed in the site investigation program and present the observations and their interpretation used to determine the architecture, geometry, and key properties of the selected fault zone.

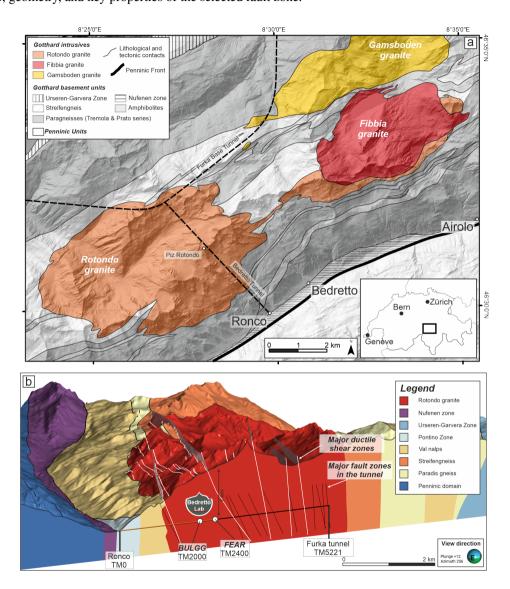


Figure 1. (a) Schematic geological map showing the location of the Bedretto Tunnel in the Rotondo granite. (b) 3D Geologic cross section along the Bedretto Tunnel highlighting the main geological features of the Rotondo granite.

2 Site description

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2.1 The Bedretto Tunnel and the Bedretto Underground Laboratory for Geoenergies and Georesources

The Bedretto tunnel is located near the Gotthard Pass in the Swiss Central Alps. The Bedretto tunnel is oriented N43°W with a slope of .002-0.017 towards Ronco Portal, and it connects the Bedretto Valley (Ronco Portal is Tunnel Meter 0 or "TM0") with the Furka Base Tunnel (TM5221) owned by the Matterhorn Gotthard Railway line (Fig. 1a). A detailed description of the tunnel is available in Ma et al. (2022). The 5221 m long tunnel is entirely in crystalline rocks of the Helvetic domain and generally unsupported, allowing continuous and direct access to the rock walls. For about 4 km it crosscuts the almost undeformed Rotondo granite (Fig.1, (Hafner et al., 1975; Rast et al., 2022). Since its construction between 1971 and 1982, the tunnel was surveyed for geotechnical relevant structures by Hafner et al. (1975); Schneider (1985); Lützenkirchen and Loew (2011).

2.2 Geology

The Rotondo granite is one of the major Post-Variscan granite intrusions characterizing the Gotthard massif (Berger et al., 2017). The host rock consists of polymetamorphic paragneisses, migmatites, and amphibolites of the Tremola and Prato series (Berger et al., 2017; Rast et al., 2022). The Rotondo intrusion is mainly composed of two granitic bodies of Early Permian age (295 Ma; Rast et al., 2022), the younger equigranular Rotondo granite (RG1), intruding the older porphyritic Rotondo granite (RG2). The latter RG2 is only observed in the tunnel, where it crops out between TM2805 and TM3437. After the emplacement of the Rotondo granite, the Gotthard massif underwent extensional tectonics related to the incipient opening of the Paleo-Tethys ocean in Permian-to-Jurassic times (Guillot and Ménot, 2009). During the Eocene to Miocene, the Gotthard massif was involved in the Alpine orogeny (Rast et al., 2022; Herwegh et al., 2017, 2020). During the Alpine convergence and subduction, the Gotthard massif reached peak metamorphic conditions larger than 550 °C and 0.9 GPa at 20-30 Ma (Ceccato et al., 2024). The following Alpine continental collision led to fast exhumation of the Gotthard massif at 18-19 Ma (Ceccato et al., 2024). During this tectonic phase, the main set of NE-SW and ENE-WSW-trending, ductile shear zones formed in the Rotondo granite at 520 °C and 0.8 GPa. This set of ductile shear zones localized on a pre-existent set of brittle faults and shear fractures (Ceccato et al., 2024). The following ductile-to-brittle evolution of the massif during exhumation and cooling was dominated by strike-slip tectonics, leading to the development of mainly dextral ductile-to-brittle shear zones and major brittle fault zones from 12 to 5 Ma (Kralik et al., 1992; Pleuger et al., 2012). These late stages of strike-slip tectonics at upper crustal conditions (T < 200 °C, depth < 7 km) led to the development of zeolite- and gouge-bearing fault zones, localizing on pre-existent ductile shear zones and shear fractures (Lützenkirchen and Loew, 2011). Tectonic structures, such as ductile shear zones and faults, especially those in the host paragneisses, have been exploited during Neogene as nucleation sites for toppling zones and deep-seated gravitational slope movements (Fig. 1b; Ustaszewski et al., 2008).

3 The FEAR Project and the Required Fault Properties

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Such a complex geological history results in a variety of ductile, ductile-to-brittle, and brittle discontinuities. Therefore, criteria must be established to identify a suitable "target" fault zone to effectively use resources and maximize outcomes. The primary objective of the FEAR Project is to induce seismic activity (maximum magnitude $M_w = 1.0$) through hydraulic stimulation within a natural fault zone, equipped with a multidisciplinary monitoring network offering unprecedented spatial resolution and proximity to the source. As part of the preparatory work, we are excavating a 120-meter-long access tunnel parallel to the fault zone, 50 meters away. This tunnel will be used to install a dense, remotely controlled monitoring system on and off the target fault, allowing us to monitor fluid pressure, strain, temperature, and seismic signals. The goal is to induce fault movement in areas with the most comprehensive monitoring. Various fluid injection and production strategies will be tested in borehole sections to (re-)activate different fault segments and assess their response to fluid stimulation.

Therefore, the selection criteria for the fault zone took into account the following components: (1) geometry and spatial extent of the natural fault zone; (2) the logistics, installation, and cost/efficiency/density ratios of the equipment and deployment of the monitoring system, as well as the sensitivity of the monitoring equipment; (3) hydro-mechanical characteristics and (4) geological properties of the fault zone.

(1) Geometrical and spatial requirements. The ideal outcome of the FEAR experiments is to induce dynamic ruptures with moment magnitudes on the order of $M_w = 1.0$. Assuming typical stress drops of 3 MPa, and a shear rigidity of 30 MPa, this would correspond to ruptures with equivalent circular rupture areas with radii of 18 m, and with average slip of 1 mm Kanamori and Anderson (1975). Such ruptures would be large enough for us to potentially resolve spatio-temporal evolution of coseismic slip. In order to activate different sections of the same fault zone in a suite of experiments, the ideal fault zone candidate would have a spatial extent on the order of at least 50 by 100 meters.

In addition, the structure should also be favorably oriented with respect to the in-situ stress field, with a high slip tendency (Morris et al., 1996) close to the static frictional resistance of the slipping zone (i.e., the conditions for which a fault is critically stressed). Minimal variation in terms of geometry and thickness would be required to minimize the complexity of logistics, monitoring, experimental and analytical operations. Therefore, planar, continuous structures with minor variations in orientation and extent are most favorable because they are more predictable (in terms of spatial development). Allowing for the planned four experiments (Meier, 2024), the fault zone needs a minimum lateral extent of 200 m.

(2) Monitoring requirements. A dense monitoring network of sophisticated and accurate monitoring sensors (cementable tube pore pressure sensors, fiber-optics cable for strain and temperature monitoring, stress boreholes probes, acoustic emission sensors, high frequency accelerometers, etc.) will be deployed to provide high resolution data within a (limited) volume around the fault zone. From the 120 m long fault-parallel access tunnel, we can instrument a fault zone segment of about 100 x 40 m with manageable cost and effort. Because the stressing of the fault patches will be monitored and predicted in real-time, the maximal thickness of the structure that can be instrumented and reliably monitored is limited to 1-10 m. The lower boundary results from empirical correlations of spatial dimensions of architectural elements of fault zones derived by Kolyukhin and Torabi (2012), additionally accounting for that the mostly ductile precursor of the fault zones in the Rotondo granite results

in smaller damage zones Lützenkirchen (2002). The upper boundary is defined by the technical constraints of the planned monitoring network. The requirement assures that several $M_w = 1.0$ size main shocks are likely to be induced, monitored and studied over the course of the project.

(3) **Hydraulic requirements.** The hydraulic characteristics of the fault zone play a crucial role in enabling the pressurization to induce slip during the hydraulic stimulation experiments. Thus, the primary focus are the hydraulic properties, such as hydraulic conductivity (or permeability), hydraulic connectivity and in-situ fluid pressure within the fault zone and in the surrounding rock mass. Fluid permeabilities should be high enough to allow the pressurisation of fault segments of significant extent. At the same time, we avoid fault zones with very high fluid permeabilities, since in such structures pressure may rapidly dissipate and we may not reach the necessary pressures to activate the fault.

As direct measurements of permeability are unavailable for the entire tunnel and are also not practical to conduct, the proxy of tunnel inflow categories were used to estimate the permeability of fault zones (similar to Lützenkirchen, 2002; Masset and Loew, 2010; Achtziger-Zupančič et al., 2017). Therefore, fault zones with a wet or dripping character or those displaying some minor flow to the current Bedretto Tunnel were considered suitable, while those with no flow or highly productive structures are unsuitable.

(4) Geological requirements. Homogeneity of the host rock is fundamental to receive optimal inversions of the seismic and hydraulic signals resulting from the experiments. Anisotropic host rocks, such as metamorphic rocks and ductile shear zones characterized by pervasive planar foliations introduce a mechanical anisotropy in the system that affects the complexity of the monitoring, analytical, experimental and modelling operations. Additionally, geological characteristics of the faults suggesting past seismic activity in geological or recent times, as well as geological characteristics favouring the nucleation and propagation of seismic rupture during fault (re-)activation, are preferred for the fault zone. In particular, the occurrence of granular and gouge (velocity-weakening) fault rocks (e.g., Niemeijer and Spiers, 2007; Volpe et al., 2023) were considered favourable characteristics to induce seismic fault reactivation. In addition, fault intersections were also considered in the selection. Faults offset by other discontinuities have more complex fault zone geometries, altering stresses and hydraulics locally, and therefore different slip tendencies; thus fault zones offset by younger fault sets were given lower priority.

4 A Multidisciplinary Approach to Fault Selection and Characterization

Following the constraints defined above, a multidisciplinary and multi-scale approach has been developed to evaluate the hundreds of faults that crop out in the Bedretto tunnel, in an effort to find the structure that best matches the criteria for the FEAR experiments. Integrated data was collected from regional to sample scale, both at the surface and in the tunnel. Methods include:

- geological characterization at the field and tunnel scale, in order to illuminate the geological characteristics of the faults in the Rotondo granite, their geometrical properties and spatial extents;
- borehole drilling, logging and core analyses;

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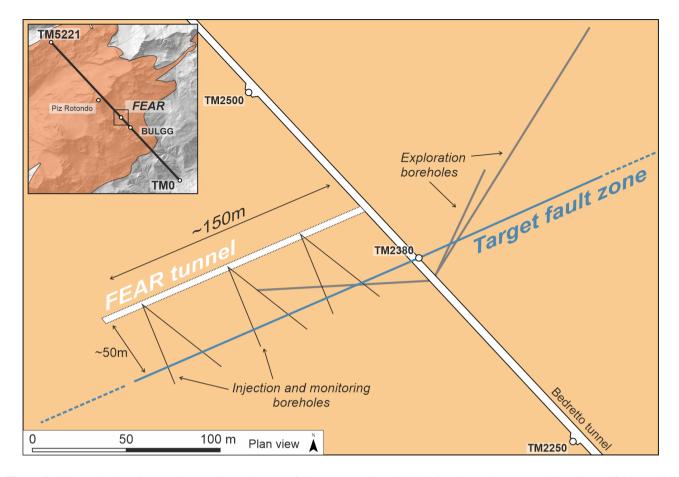


Figure 2. Schematic plan view on the experimental setup of the FEAR project, highlighting the Bedretto tunnel, the planned fault-parallel access tunnel (FEAR tunnel), the steeply dipping target fault zone (blue; termed MC fault zone), and the planned boreholes hosting the monitoring instrumentation and the injection system.

- geophysical investigations with Ground Penetrating Radar (GPR);
 - laboratory- and sample characterization;
 - hydro-mechanical characterization.

The methods were applied in a three-stage process: (A) General inventory of structures in the Rotondo granite; (B) Narrowing of the tunnel section and selection of the most suitable fault zone(s) based on the selection criteria; (C) Characterization of the fault zone(s).

- (A) Structural inventory. The general existence of suitable structures has been analysed by remote sensing and field investigations.
 - geological characterization, structural and remote sensing analysis in surface outcrops (Section 5.1, Fig. 3);

- subsurface geological and structural analyses (Section 5.2; Fig. 4);
- 195 **(B) Fault zone selection.** For finding and selecting the most suitable fault zones within the selected tunnel section a prioritisation process has been applied based on:
 - The tunnel sections has been constrained by general geological, logistic and operational considerations, and ongoing operations in the BULGG (Section 5.2);
 - slip tendency analysis based on poles of faults (Section 5.2: Ma et al., 2022):
 - GPR investigations along the tunnel wall (Section 5.2, Fig. 5).

The criteria-oriented investigations reduced the number of suitable fault zones to a few candidates.

- (C) Fault zone characterization. The selected fault zone(s) have been characterized by an integrated analytical and methodological workflow, including:
 - detailed geological and structural characterization in the tunnel (Section 5.3; Fig. 7);
- laboratory characterization of the mineralogy and microstructure of fault rocks, including experimental deformation to constrain the frictional, mechanical, and permeability properties of the fault rocks in the selected fault zone (Section 5.3; Volpe et al., 2023; Osten et al., 2024);
 - drilling of exploration boreholes at an angle to the selected fault zone to constrain the actual lateral extent and continuity (Section 5.4; Fig. 6);
- logging of cores retrieved from boreholes (Section 5.4; Fig. 8);
 - borehole logging adopting Acoustic and Optical Televiewer (ATV, OTV) (Section 5.4; Fig. 8);
 - GPR logging (Section 5.4; Fig. 9).

The final result is a preliminary geological-geometrical model of the fault zone (Section 5.5; Fig. 10), integrating all the multiscale observations resulting from multidisciplinary characterization. The proposed preliminary geometrical model is tested against synthetic GPR profiles computed with forward modelling as explained below (Section 5.6; Fig. 11). The detailed analytical techniques and methodological approaches adopted for fault zone selection and characterization are described in the following sections.

4.1 Remote Sensing and Geological Field Investigations in the Rotondo Granite

Large-scale remote sensing and field geological surveys were used to document the structural elements of the Rotondo massif 220 exposed at the surface above the Bedretto Tunnel. Remote sensing analyses involved the manual interpretation of lineaments and structural features identified in multidirectional hillshade models, computed from high-resolution digital elevation models (DEM, 25 cm/pxl, SwissSURFACE3D, Swisstopo), high-resolution aerial images draped on the 3D DEM (10 cm/pxl, SwissIMAGE database, Swisstopo), and orthophotos and DEM of limited outcrops (200-400 m²) obtained through local surveys with UAV-drones (0.5cm/pxl, DJI Mavic 2). The interpretation of lineaments was manually performed in ArcGIS on hillshaded DEM and aerial orthoimages. The result is a database containing the orientation (dip, strike, and dip direction) and the projected length of each element, which has been analyzed following the approach of Ceccato et al. (2022). The strike of lineaments was plotted in a moving-average rose diagram (Munro and Blenkinsop, 2012) to constrain sets with dominant orientations and the variation in relative frequencies from regional to local scale.

The field investigations focused on identifying the different deformation structures in the Rotondo granite and validating the remote sensing interpretations. The structural characterization included systematic collection of oriented and georeferenced data of planar (Dip/DipDirection) and linear (Plunge/Trend) features. The kinematics of deformation structures, the thickness of deformation zones, the mineralogy and the fabrics of relevant shear zones (mineral composition, foliations, etc.), and the cross-cutting relationship between characteristic sets of deformation structures were documented. The results of the field investigations have been compiled as a georeferenced database in Ceccato et al. (2024), with particular focus on the identification and characterization of secondary geological features, such as hydrothermal alteration of the Rotondo granite related to deformation structures, likely affecting its petrophysical/geomechanical properties.

4.2 Drilling, Geophysical and Core Investigations

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To assess the lateral continuity, planarity, and thickness of fault zones in the tunnel a ground penetrating radar (GPR) measurement campaign has been conducted along the tunnel walls and inside the exploration boreholes BFE_A_05, _06 and _07. Both GPR systems consist of a transmitter and receiver antenna pair (developed by MALÅ - GuidelineGeo AB), which emits and records electromagnetic signals in the megahertz (MHz) range. A 160 MHz system has been used for the acquisition at the tunnel walls, and a 20 MHz and a 100 MHz system has been used in the borehole campaigns. Previous experience in BULGG demonstrated successful imaging of faults up to distances of 60 m into the rock volume caused by the strong di-electrical contrast between the intact Rotondo granite and water-bearing or gouge-filled structures (Shakas et al., 2020). Since the conditions and environment are identical to Shakas et al. (2020), we do not elaborate here on the processing steps applied to raw data and show structurally interpreted results instead.

Potential candidate fault zones have been mapped in detail by the scanline approach along the tunnel. Three sub-horizontal (approx. $10\text{-}20^\circ$ downdip) exploration boreholes have been drilled to a depth of $\simeq 216$ m (BFE_A_05, NE side), $\simeq 55$ m (BFE_A_06, NE side), and $\simeq 101$ m (BFE_A_07, SW side). The boreholes were diamond-drilled and the resulting cores were integrally documented with digital images and catalogued using a local dbase compatible with the Mobile Drilling Information System adopted by ICDP projects (Harms, 2021). Cores allowed the mapping of structural discontinuities and the identification of a small number of core facies (Fig. 8a). Five main core facies have been delineated, from F0 (intact host Rotondo granite) to F4 (altered and faulted granite).

The increasing core facies identifier, from F0 to F4, represents the increasing degree of fracturing, occurrence of open fractures or gouge-filled fractures, and the presence of hydrothermal alteration in the host granite (Fig. 8b). Increasing core facies

numbering also qualitatively reflects the primary, secondary (fracture) and tertiary (dissolution) porosity and cohesion characteristics of the rock, where experience from previous boreholes show that it correlates with permeability. The facies have been correlated between the boreholes to trace the lateral continuity of structural and lithological features.

Apart from core-logging, wireline logging measurements have been conducted inside these boreholes. In addition to GPR (as described above), optical and acoustic televiewers (ATV, OTV) from Advanced Logic Technology (ALT) have been deployed. The wireline-logging allowed to (a) obtain accurate measurements for the orientation of the borehole, in terms of its tilt and azimuth, along its entire depth, and (b) characterize both the orientation and type of structures that intersect the borehole. The combination of cores, televiewer imaging and borehole GPR provide a comprehensive dataset to correlate the candidate structures between the tunnel and the boreholes. In Fig. 6, the geometry of the three exploration boreholes is shown as drilled from the Bedretto tunnel.

4.3 Petrological, Petrophysical and Hydro-Mechanical Investigations

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Lab investigations consisted of the analysis of rock mineralogy, rock fabric, frictional properties of fault gouges (cataclastic product of the high-strain fault cores), water chemistry, porosity, density, permeability and frictional properties. Physicochemical properties of ground water (temperature, pH, and electrical conductivity) have been characterized on regularly acquired samples from torrents, natural tunnel inflows, and borehole outflows (Arnet, 2022). Analyses include water composition and isotopic ratios.

Rock samples from field and tunnel outcrops, and from segments of borehole logs have been analyzed with thin sections and optical microscopy. Fault gouge mineralogy has been assessed using x-ray powder diffraction using a Bruker D8 Advance X-ray system provided with Lynxeye XE-T silicon-strip detector (Volpe et al., 2023). Additionally, rock deformation experiments have been conducted using the natural gouge sampled from the fault cores at TM2380 and TM2800 (Volpe et al., 2023). These experiments yielded information on the frictional properties and permeability of the sampled gouges with implications for fault slip behavior during reactivation. Permeability and porosity of rocks from fault zones were measured by helium-pycnometry and water saturation methods, flow-through experiments at confined conditions, and unconfined gas permeameter measurements (Osten et al., 2024). These samples were collected at TM1590 (4 boreholes of <1m), TM2380 (4 boreholes of <1m) and TM2780 (3 boreholes of 10m). Lab investigations are briefly summarized here. The reader is referred to the published theses and referenced articles for further details.

4.4 Geological-Geometrical Data Integration, Geometrical Modeling and GPR-Simulations

The different datasets obtained from regional-to borehole-scale characterization of the target fault have been integrated into a 3D model to constrain the geometrical characteristics and spatial persistence of the selected target fault zone. In particular, tunnel observations, LiDAR scanning and virtual outcrop models, the distribution of fracture intensity, and core facies distribution in boreholes were integrated and adopted as input datasets to compute 3D geometrical models of the fault zone with two different approaches.

A first geometrical model of the selected fault zone has been computed using the 3D geological modelling software Leapfrog

GEO (Seequent, Bentley Systems Inc.). Leapfrog GEO is based on implicit modelling methods, using the FastRBF^(TM) (Radial Basis Functions) method to interpolate large datasets of sparse points to create continuous meshes with variable geometry. To have a first constraint on the lateral extent and geometry of the selected fault zone(s), a 3D model has been established from tunnel observations and core facies distribution in the cores.

This fault zone model has been used for forward GPR simulation, following the modeling approach of Shakas and Linde (2015) for comparison to the GPR measurements. To account for the slight curvature resulting from the interpolation, the novel meshing approach has been employed, which is introduced in Escallon et al. (2023).

5 Multiscale Characterization of Fault Zones in the Rotondo Granite and the Resulting Target Fault Zone

5.1 Structural Inventory above the Bedretto Tunnel

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The results from remote sensing allowed us to identify three main sets of lineaments, showing different orientation, spatial distribution, lateral persistence and relative frequency at the scales of observation (Fig. 3a). These lineament sets include (Fig. 300 3c-f):

- Set (1) NE-SW to ENE-WSW-striking lineaments, with a lateral persistent of up to several hundreds of meters, organized in a hierarchical spatial distribution, predominant in terms of frequency at the regional scale (lineament lengths 100-1000 m);
- Set (2) N-S trending lineaments, usually consisting of short segments, with limited lateral persistence;
- Set (3) WNW-ESE to NW-SE trending lineaments, with limited lateral persistence and scattered occurrence in the Rotondo granite.

The results from field analyses provided more information on the geological characteristics of the remotely sensed lineaments and allowed us to define a relative chronology between the lineament sets. The deformation sequence inferred from field analyses includes a complex series of brittle, ductile and ductile-to-brittle shear zones that dissect the Rotondo granite. The detailed description of the deformation structures, the deformation sequence, and the related tectonic interpretation is described in a separate paper (Ceccato et al., 2024). Here is a brief summary of the main characteristics relevant to the FEAR project. The sequence (from older to younger) includes three main types of structures (Fig. 3b, g-j):

- Type 1: NE-SW to E-W striking, NW-steeply dipping ductile shear zones with reverse kinematics (Fig. 3h). These shear zones commonly are superimposed on pre-existent magmatic features (mafic and aplitic dykes) and structural discontinuities (shear fractures, cataclasites and breccias) related to the pre-Alpine tectonic evolution of the Rotondo granite (Fig. 4a). The Type 1 structures exhibit a synkinematic mineral paragenesis suggesting amphibolite to upper greenschist facies conditions. The thickness of these shear zones ranges from few millimeters to several meters (Fig. 4b,c). These ductile shear zones are included in lineament Set (1) inferred from remote sensing.

- Type 2: Strike-slip ductile shear zones, mainly ENE-WSW and E-W-striking, overprinting pre-existent ductile shear zones with dominant dextral kinematics. Strike-slip shear zones are again included in the Set (1) defined by remote sensing.
 - Type 3: These structures are composed of two conjugate sets of steeply dipping, N-S and WNW-ESE-trending brittle-ductile faults with transpressional kinematics inferred from the slightly oblique, shallowly N-plunging lineation (Fig. 3j). These structures define the lineament Sets (2) and (3) identified by remote sensing. The transpressional faults are usually characterized by chlorite and quartz mineralization in dilational step-overs and tensional veins, suggesting their formation at lower-greenschist facies conditions (e.g., Fig. 4d). In addition, these faults are in some cases related to a local hydrothermal alteration of the granite related to quartz leaching and the development of episyenites, spatially heterogeneous volumes of highly-porous and permeable granite (Pennacchioni et al., 2016).
- Type 4: Brittle fault zones and shear fractures, typically defined by zeolite- and -gouge bearing shear surfaces. Their dominant strike is ENE-WSW-trending, even though reactivation of other minor structures with different orientations is also observed (Fig. 3b,k). These faults mainly localize at the rheological/compositional/mechanical contact between undeformed host rock and major mylonitic shear zones (Fig. 4b,c-e,f). Therefore, Type 4 structures are included in the lineament Set (1) identified by remote sensing. Zeolite-bearing faults are commonly decorated by thin plane-parallel layers of whitish breccia made of host rock clasts in a zeolite-rich cement. Gouge-bearing faults are characterized by a phyllosilicate-rich gouge layer up to 10 cm in thickness.

Multiscale, remote sensing analyses and field investigations of lineament sets and structure types provide fundamental constraints on the spatial organization and geometry, such as lateral extent, of deformation zones. The geometry and spatial organization of Set (1) lineaments and Type 4 brittle fault zones are of particular relevance for the selection of the fault candidate. Set (1) lineaments are the most laterally continuous at the regional scale, composed of both ductile shear zones and localized faults reaching lengths of more than 600 m as continuous planes. The Type 4 brittle faults that define a part of lineament Set (1) are organized in clusters and fault zones at the outcrop scale, with across-strike thicknesses between 2 to 10 m, and lateral persistence of several hundreds of meters (green fractures in Figure 3c). However, these clusters and fault zones are composed of discrete and discontinuous shear surfaces and fracture planes, each of which is usually less than 30 m in length (Fig. 3c). Additionally, Type 4 brittle fault zones exhibit zeolite-bearing shear planes, fault mirrors and cataclasites. Indeed, such zeolite-bearing fault rocks are quite widespread in crystalline basement units of the Alps (Weisenberger and Bucher, 2010), and have been interpreted to likely result from past seismic activity related to (hydrothermal) fluid injection (Dempsey et al., 2014; Ceccato and Pennacchioni, 2018).

5.2 Fault Zone Selection

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In the process of selection of the candidate fault zones, the southern section of the Bedretto tunnel was excluded, from the entrance at Ronco to TM1100. This section crosscuts the polymetamorphic sequences of the Tremola and Prato series, which are highly anisotropic lithologies where the overburden stress is oblique, making predictions of the stresses acting on the

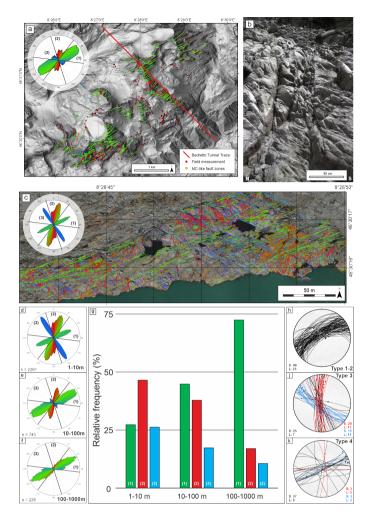


Figure 3. Summary figure presenting the preliminary results of the remote sensing data and field survey in the Rotondo granite area. (a) DTM of the Rotondo granite area showing the trace of the Bedretto Tunnel relative to the investigated points on the surface (red dots). Field occurrences of MC-like fault zones are represented by yellow dots. The lineaments interpreted from remote sensing are color coded according to their strike (Hillshaded DEM from SwissALTI3D). (b) Field example of MC-like fault zones, represented by a narrow fracture corridor with multiple principal slip planes. (c) Interpreted outcrop map with the traced lineament. Ortho-images obtained from drone surveys. (d-f) Rose diagrams obtained from the analyses of the lineament length data obtained from remote sensing, showing the distribution of lineament strike for each length class (d: 1-10 m; e: 11-100 m; f: 101-1000 m). (g) Histogram showing the relative frequency of the lineament sets (1-3) identified by remote sensing at each resolution scale. (h-k) Equal area, lower-hemisphere stereographic projections of the structural inventory from field analyses. Great circles: slip planes (S); Dots and contour: lineations (L). Blue and red planes and dots represent dextral and sinistral kinematics, respectively. Data from Ceccato et al. (2024). (h) Type 1-2 ductile shear zones. (j) Type 3 brittle-ductile faults. (k) Type 4 Zeolite- and gouge-bearing brittle fault zones.

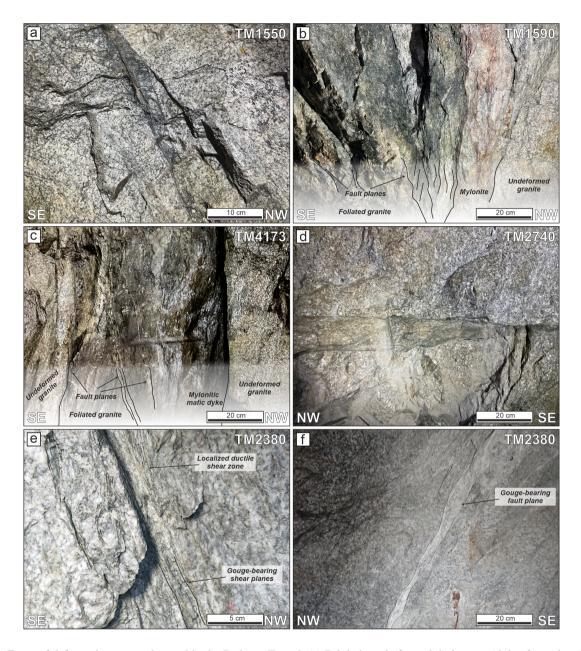


Figure 4. Types of deformation zones observed in the Bedretto Tunnel. (a) Brittle breccia formed during pre-Alpine formation (D₁ brittle cataclasite described in Ceccato et al. (2024). (b-c) Type 1 ductile shear zone localized on pre-existent compositional and structural discontinuities. Note the occurrence of late-stage Type 4 fault planes. (d) Type 2 brittle-ductile faults. (e-f) Type 4 zeolite- and gouge bearing brittle faults. These pictures represent the fault planes at the MC fault zone outcrop.

faults highly uncertain, leaving the Rotondo granite section of the Bedretto tunnel. The section extending up TM1800 was also excluded due to the small rock overburden (< 1000 m), resulting in insufficient stress magnitudes for the FEAR project.

The estimated stress components in the BULGG, based on mini-frac tests in boreholes at TM1750-TM2250, are SHmax = 25.4 ± 2.3 MPa, and Shmin = 14.6 ± 1.4 MPa (Bröker and Ma, 2022). The average vertical stress Sv, calculated assuming an overburden of 1000-1100 m with a constant rock density of 2.62 g/cm³, is 26.5 MPa. SHmax direction is approximately N100E (Ma et al., 2022), albeit with some variations (Bröker et al., 2024). Thus, fault zones that exhibit steep to intermediate dips and strike orientation between NE-SW and NW-SE yield the highest slip tendency (up to 0.4, Ma et al., 2022) and have been selected as primary subjects of investigation. It is worth noting that the absolute values of slip tendency are lower than the empirical Byerlee's friction values (≥ 0.6) expected for granite, and possible stress variations near the fault zone have been observed (Zhang et al., 2023), which could modify the slip tendency significantly. Determined by He-pycnometry and water saturation methods, drill core samples from fault zones yielded a density of around 2.6 g/cm³, and total and connected porosity of 1.9-2.8 % and 0.9-1.1 %, respectively (Osten et al., 2024). These properties varied little across fault zones.

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Section TM1800-TM2000 was excluded as it comprises a highly dissected rock mass, which is hydraulically connected to the neighboring section (TM2000 to TM2100), with ongoing experiments run by other teams at the BULGG. The TM2100-2350 section consists of massive Rotondo granite and is devoid of relevant faults. Beyond TM3000 the granite has been deformed into ductile shear zones with a gneissic, anisotropic fabric and lacking evidence of fault reactivation; therefore, structures in this section are considered less favourable. The section between TM3200 and the connection to the Furka Tunnel was also excluded due to infrastructural and administrative restrictions associated with the borders of cantons Ticino, Wallis, and Uri. Hence, the research was restricted to the section TM2350-TM3000, which includes c.a. 50 potential structures that could roughly correspond to the requirements for the fault reactivation experiments.

Structural mapping revealed a higher density of suitably oriented structures with different degrees of complexity in section TM2350-TM2550. Detailed scanline surveys (performed at the SW wall; Osten, 2022) indicate multiple overlapping fault zones, clustered in four sets with dip/dip direction of: 53/287 (set 1), 64/319 (set 2), 67/349 (set 3), and 83/88 (set 4). The first two sets can be grouped into a single set with average orientation of 58/303. Fault zones oriented sub-parallel to the tunnel (striking NW-SE) were excluded due to the difficulty in resolving their positions and geometries and the risk posed to existing infrastructure in case of reactivation.

GPR measurements conducted along the tunnel walls, between TM2350 and TM3200, indicate clear reflectors as lines subparallel to the tunnel. This is a result of the acquisition geometry of the GPR system, which is primarily sensitive to reflectors along the direction of travel, as seen in Fig. 5. While the entire section has been scanned on both sides, it has been observed that the section from TM2750-TM3200 corresponds to a significant water-bearing, interconnected "reservoir". This observation agrees with consistent compositions and similar physico-chemical properties of fluids measured across this section (Arnet, 2022). However, lower temperatures with a higher pH and low mineralization have been measured in larger fault zones, partially resembling the meteoric or glacial surface water (Arnet, 2022). Notably, the transition in water chemistry, GPR reflections and anisotropic rock fabric corresponds to the change from equigranular Rotondo granite (RG1) to the porphyritic Rotondo granite (RG2). Considering these results, we further restricted our assessment to the tunnel section around TM2350 to TM2750 for the selection of the candidate fault zone.

Roughly 5 MPa - 7 MPa of water pressure have been measured in the exploration boreholes some 15 m from the tunnel

wall, agreeing with previous measurements in boreholes around TM2050 in about 100 m - 200 m below the tunnel floor (Ma et al., 2022) and at the junction to the Furka Basetunnel (Ofterdinger et al., 2014; Lützenkirchen and Loew, 2011). These head measurements indicate an almost horizontal head distribution in the overburden ranging from 800 m - 1300 m across the mountain ridge. Thus, the rock mass is under-pressurized, which potentially is a result from the long lasting drainage of the tunnel. Permeability, as determined using a) unconfined gas permeameter measurements on cores, b) flow through cells on confined core samples, and c) hydraulic testing in various boreholes varies in the range of 1E-20 m² and 9E-14 m² in close proximity. Fluid flow is strongly focused onto single open or partially mineralized fractures with permeabilities in the range of of 9E-17 m² to 9E-14 m² (Osten et al., 2024). As observed from tunnel inflows and borehole flow logs the main flow paths are along the bounding faults which are partially well connected through the more fractured rock-mass in between. Average protolith permeability range between E-18 to E-19 m² (Osten et al., 2024; Braun, 2023).

5.3 Fault Zone Characterization

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400 The constraints from analyses in the tunnel reduced the selection to a total of five candidate structures with a good fit to the ideal characteristics for the FEAR project (see Section 3). The relevant properties of the remaining five fault zones are briefly described in comparison to the ideal fault zone for the FEAR planned experiments in Table 1. As shown in the compilation, the fault zone at TM2380-TM2390 is slightly more suitable than the other structures. This structure is further termed MC fault zone. The MC fault zone is located at TM2380-TM2390, and the GPR reflections on either side of the tunnel reveal 405 that this fault should extends more than 15 m beyond the tunnel walls and into the rock volume (Fig. 5c). The reflections are more intensely observable at the South-East wall of the tunnel (Fig. 5a). The MC fault zone is located in a section of RG1 granite showing few and small deformation structures. The MC fault zone belongs to the Type 4 structure set (Section 5.1), its overall orientation is 65/330 and the lateral persistence of this fault zone is likely to exceed 100 m, as inferred from the analysis of surface lineaments with compatible orientation (Type(4)-Set (1) lineaments, Fig. 3). On the tunnel wall, the fault 410 zone is composed of a set of shear fractures bounded by two discrete main shear planes (labelled F#+48 and F#+49 in Fig. 7), sandwiching a roughly 2-7 m wide zone of higher fracture density compared to the intact Rotondo granite. Roughly in the center, another main central shear plane was observed (labelled F#+48.1 in Fig. 7), which is decorated by a gouge layer. Each main shear plane (F#+48, 48.1, 49) localized on a pre-existent 5-10-cm thick ductile shear zone defined by a biotite-rich foliation and weak lineation (Fig. 4e-f). Overall, the lineations on the shear planes of the MC fault zone show a wide range 415 of orientations, suggesting a long-lasting multi-mode history of movement, dominated by reverse (compressive) to strike-slip shear senses, as inferred from meso-structural kinematic indicators showing a SE-ward shear movement of the hanging-wall. The MC fault zone contains several other discrete fracture planes that differ in strike by 20-30° compared to the shear planes bounding the fault zone. The central main shear plane (labelled F#+48.1; Figs. 7a, 4f) is oriented 58/318. The fault rocks observed along the main shear plane are composed of a zeolite-rich cemented cataclasite/breccia (< 50 mm, Fig. 4f) that 420 features a thin (< 10 mm) gouge layer, with a patchy, discontinuous distribution on the shear plane. The gouge composition is very close to that of the host granite, with a slight enrichment in phyllosilicates, mainly muscovite and minor chlorite (for detailed description and illustration we refer to Volpe et al., 2023). Laboratory shear experiments were performed on the gouge

Table 1. Selection criteria applied to candidates.

		MC		DG	FA	
Candidate# (after Jordan, 2019)	Ideal	(#+48/+48.1/+49)	+57.2	(89+#)	(#+71/+72/+73)	+75.1&2
TM		2380-2390	2430-2440	2489-2524	2578-2590	2600
Damage zone thickness/extent	1-10 m	6 m	2 m	5 m	7-10 m	>7 m
Fault core thickness/extent	abundant	3x<0.1 m	<0.05 m	2x<0.1 m	3x<0.1 m	unclear
Planarity/curvature	planar	planar	planar	offsets possible	planar	planar
Fault intersections/ connectivity to nearby faults	no intersections	NW-SE W-E	all sets	all sets	NW-SE N-S	NW-SE N-S W-E
Vertical and horizontal extent	>50 m	>40 m	uncertain likely>30 m	m 06<	uncertain likely >50 m	>60m
In between two favorable faults?	yes	yes	yes	yes	yes	yes
Permeable 1	wet to heavily dripping	heavily dripping	dry-wet	small inflow	heavily dripping	wet
hydraulic connectivity with surrounding rock mass	no connection	loose network	SW: dense network NE: loose network	dense network	loose network	SW: no network NE: loose network
hydraulic connectivity with surface or bottom?	connection to surface	likely	likely	likely	likely	likely
Gouge	yes	yes	yes	yes	yes	unclear
favorable coating?	yes	yes	yes	yes	yes	yes
homogeneity/isotropy in the rock mass	homogeneous/ isotropic	small shear zone?	homogeneous/ isotropic	highly anisotropic/ asymmetrical	homogeneous/ isotropic asymmetric?	unclear
Orientation (dip/dip direction)	1	42-68/305-318	61-64/351-359	43-56/17-23	54-73/356-10	62-76/230-237
proximity to failure	>0.6	0.24-0.28	0.31	\sim 0.3	0.26-0.31	0.27
representative fault trending	NE-SW	NE-SW	W-E	none	W-E	NW-SE
favorable dip in normal-faulting or strike-slip faulting environment	both	both	both	NF	both	both

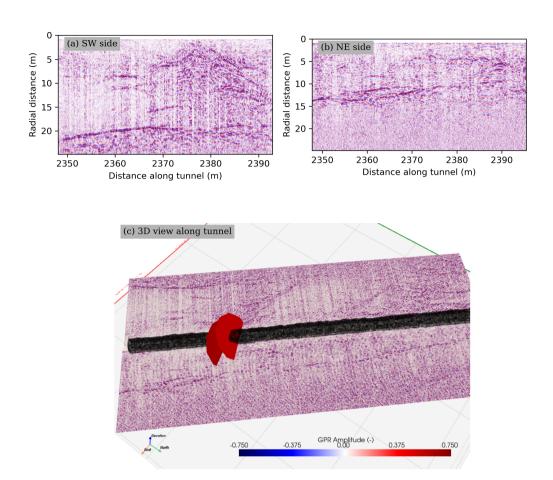


Figure 5. Directional GPR scans along the a) SW side of the Bedretto Tunnel around the MC FZ (TM2345-TM2395); b) NE side of the Bedretto Tunnel around the MC FZ (TM2345-TM2395); c) Oriented 3D view of the GPR sections with the orientation of the MC FZ

from the MC fault zone to simulate in-situ stresses and fluid pressures (Volpe et al., 2023). The analysed gouge is overall slightly velocity strengthening but it was demonstrated that it still can slip seismically if the hydraulic pressure is sufficiently large (Volpe et al., 2023). Based on the laboratory experiments, the hydraulic and the stress field observation, a scenario analysis for slip tendency of the MC fault zone has been performed, indicating over-pressure in the range of 6 MPa to 10 MPa is needed to reactivate the faults.

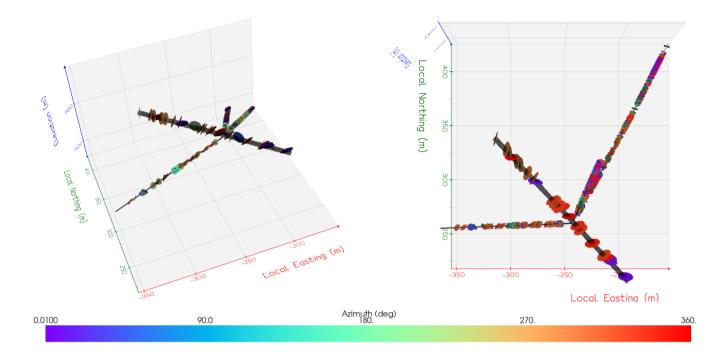


Figure 6. Structures (both faults and fractures) observed along the tunnel and boreholes in the vicinity of the FEAR experimental volume, with an extent of roughly 250 m³. To highlight their orientation, we plot the structures colored according to their azimuth.

5.4 Borehole Investigation of the Target Fault Zone

To gain insights on the lateral continuity of the MC fault zone and its geometry, data from borehole logging, cores, and GPR imaging has been integrated into a 3D geological-geometrical model as explained below.

5.4.1 Borehole Logs, Cores and Facies Description

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In order to identify the occurrence of the MC fault zone at depth along the boreholes, rock cores from BFE_A05, _A06, _A07 were analyzed and classified in different Core Facies, representing segments of the cores with similar geological characteristics. Comparing the Core Facies to the characteristics of the MC fault along the tunnel wall, and defining the position of the different Core Facies along the boreholes we constrained the overall geometry and occurrence of the MC fault at depth.

The Core Facies adopted for the classification of the cores include (Fig. 8):

- F0: intact rock with rare (« 5/m) thin and cohesive fractures filled with phyllosilicates.
- F1: facies characterized by a dense set of oriented cohesive fractures filled with phyllosilicates, and with mylonitic fabric.
- F2: similar to F1, but fractures are not cohesive; the granite is characterized by enhanced porosity and incipient (hydrothermal) alteration.

- F3: severely damaged rocks with oriented fractures and presence of cataclastic breccia or fine gouge. The gouge is usually accidentally removed during core extraction, but small patches persist on some fractures.
- F4: cataclasites/ heavily fractured granite cemented by zeolites with high matrix porosity (rare).

Each facies is characterized by different petrophysical (permeability) and mechanical (cohesion) properties, which are briefly summarized in Fig. 8b. The analysis allowed to define the position of the MC fault zone at depth along the boreholes. that in particular, core facies F3 resembles the main plane F#+48.1 with a higher density of open fractures, the occurrence of loose gouge material and minor hydrothermal alteration seen as porous granite. Accordingly, BFE_A05 and BFE_A06 intersect the MC fault zone at 37-45 m (Fig. 8c) and 22-27 m from the tunnel wall, respectively. BFE_A07 crosscuts only a thinner and less developed (minor brittle damage) part of the fault zone at 71-72 m (Fig. 8d).

OTV and ATV logs confirm the observations independently, adding orientation information for the fractures. Five clusters have been identified in the MC borehole sections resembling the MC fault zone: 71/350 (strike 80°), 63/350 (strike 80°), 58/38 (strike 128°), 66/237 (strike 147°), and 59/300 (strike 30°) with consistently open fractures (Fig. 8d,10a). The orientation of the fractures associated with the MC fault zone at the tunnel wall is more variable but consistent with the observations from the boreholes (Fig. 10a).

455 5.4.2 Single-Hole GPR-Imaging

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Single-hole GPR measurements were collected along each of the BFE-boreholes (Fig. 9. The radargrams yield with the 20 MHz antennas are presented and interpreted, showing the MC fault zone clearly. The GPR reflections generated from the MC fault zone are evident in all three boreholes and reach more than 100 m laterally into the adjacent rock mass. The possible tunnel intersection of these reflectors are consistent with those of the main planar features measured in the tunnel. Nevertheless, the azimuthal ambiguity prevents delineation of the exact origin of the reflections. Along the radargrams, the boundaries of the MC fault zone have been delineated by V-shaped (chevron) patterns, which result from the intersection of faults and boreholes at a high angle (Olsson et al., 1992). The reflectors intersect the boreholes at the depths where the interpretation of the core and wireline logs suggest the presence of the fault zone. The rock mass between the two to three main reflectors appears as a high-contrast zone suggesting the presence of a water-filled fracture network. The GPR in BFE_A05 additionally shows that the MC fault zone crosses a 20 m wide, W-E striking shear zone (called DG or FZ#+68).

5.5 3D Geometrical Model of the MC Fault Zone

The model of the MC fault zone (Fig. 10a-c) resulting from the field investigation consists of two main bounding faults. These two planes crosscut the tunnel at the approx. location of F#49 and F#48 at the wall. The planes have slightly different orientations but converge towards the SW side of the tunnel (Fig. 10b). These two bounding planes boarder a roughly 2-7 m wide zone of higher fracture density compared to the intact Rotondo granite (Figs. 8a, 10a). This model is supported by the fracture intensity distribution along the boreholes and the analyses of similar structures at the surface, which suggests that the fault zone presents a laterally variable fracture intensity (Figs. 3c, 10d).

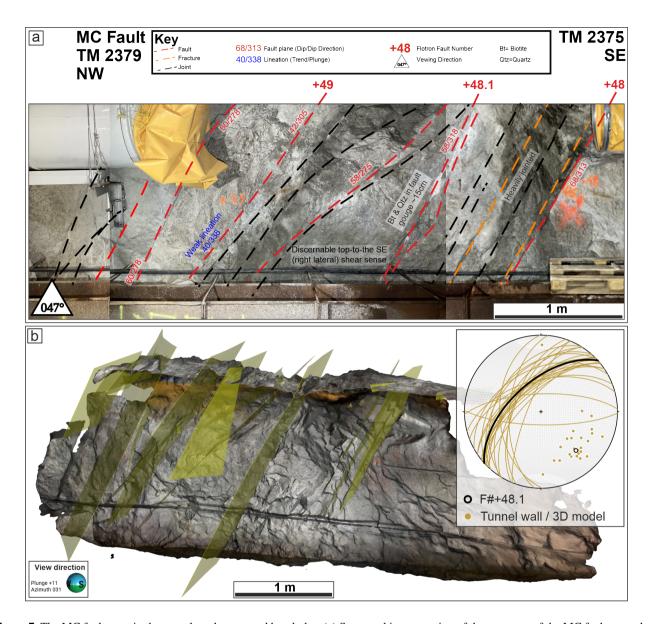


Figure 7. The MC fault zone in the tunnel, rock cores and boreholes. (a) Structural interpretation of the exposure of the MC fault zone along the NE wall of the Bedretto Tunnel at TM2380. (b) 3D model of the NE tunnel wall around the MC fault zone at TM2380. The yellow transparent planes represent the fracture planes interpolated from manual fitting in Leapfrog GEO. The stereoplot in the upper right inset reports the orientation (great circles and poles to planes) of the observed and modelled fracture planes, including: F#+48.1 on the tunnel wall; Orientation of the fractures inferred from analysis of the 3D model of the tunnel wall.

Tunnel wall mapping, borehole and core logging, and GPR profiles support the interpretation that the MC fault zone is a laterally continuous deformation zone over more than 100 m, extending at least from the intersection of BFE_A07 in the west to the intersection with the DG/F#+68 fault zone in the east (Fig. 10b). Similarly to the Set (1) - Type 4 structures identified by

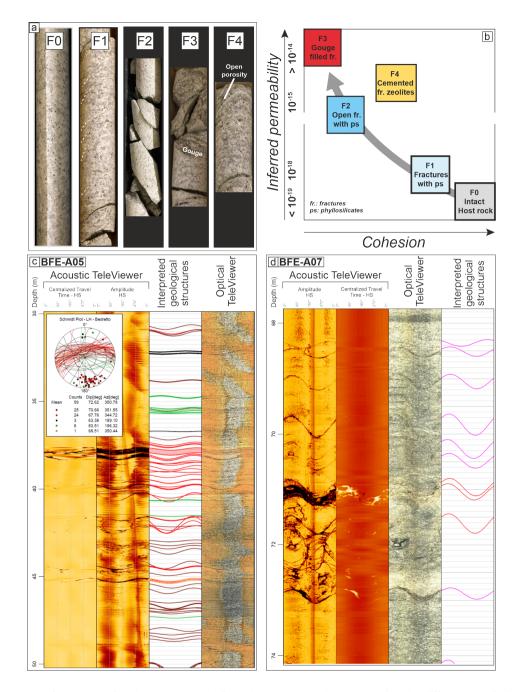


Figure 8. (a) Examples of segments of rock cores extracted from the BFE-boreholes representing the different core facies. (b) Qualitative diagram describing the relationship between core facies numbering, cohesion level of the rock core and the inferred permeability. (c-d) Example of integrated logging of borehole (BFE_A05, 30 to 50 m depth; BFE_A07, 68 to 74 m depth) from ATV and OTV with the associated orientation of the interpreted geological structures plotted in the inset stereoplot.

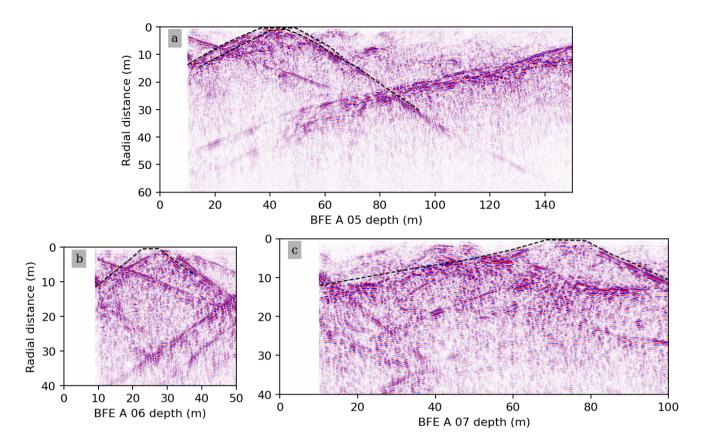


Figure 9. GPR profiles along the exploration boreholes with trace of the MC FZ indicated a) BFE_A_05; b) BFE_A_06; c) BFE_A_07.

field and remote sensing analyses (Figs. 3b, 10d), the MC fault zone is composed of two main sets of fractures and shear planes (< 30 m in length), differing by 20-30 °in strike, which define an overall "anastomosing" geometry of the MC fault plane(s).

5.6 3D GPR Simulation

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To further examine the consistency and validity of the proposed 3D geometry for the MC fault zone, we performed forward simulations of the GPR response in 3D, using the method described in Shakas and Linde (2015). The synthetic GPR response has been simulated based on a fractured volume bound by two faults in a volume of intact Rotondo granite with a source that is representative of the transmitter similar to that used in the field. The aim has been to test whether the proposed geometry is corroborated by the GPR data, but not to further adjust the geometry and seek a "best-fitting" response. The results of the simulation are shown in Fig. 11. The resulting two V-shaped synthetic GPR reflectors are plotted against the radargrams measured in the boreholes penetrating the MC fault zone (see Fig. 9). Overall, the field and synthetic data agree in both shape and extent for the continuity of the MC fault zone. Some discrepancies occur, as for example the mismatch in radial distance of the MC FZ from the BFE_07 borehole, notably in the first 60 m. Causes for this discrepancy may be multifold, including

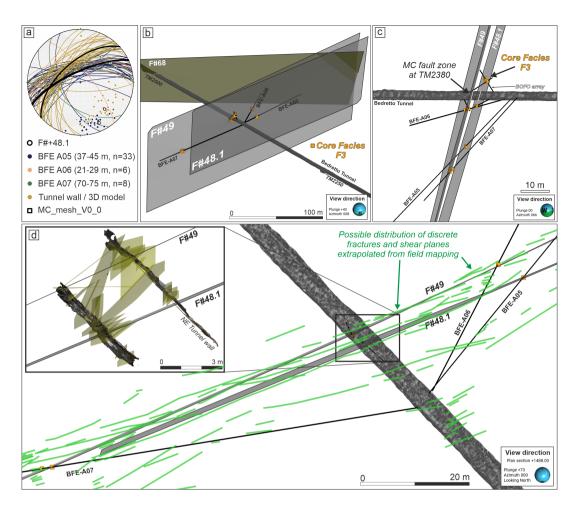


Figure 10. 3D Geometrical model of the MC fault zone from tunnel observation, core facies distribution, and borehole logging. (a) 3D model of the NE tunnel wall around the MC fault zone at TM2380. The yellow transparent planes represent the fracture planes interpolated from manual fitting in Leapfrog GEO. The stereoplot in the upper right inset reports the orientation (great circles and poles to planes) of the observed and modelled fracture planes, including: F#+48.1 on the tunnel wall; orientation of the fractures in BFE-boreholes as inferred from OTV/ATV logging; Orientation of the fractures inferred from analysis of the 3D model of the tunnel wall; orientation of the two main meshes (MC_mesh_V0_0) defined through interpolation of tunnel, core and borehole data. (b) Overview of the 3D model of the MC fault zone, composed of two main surfaces (meshes F#+49 and F#+48.1 bounding a zone of high fracture intensity. (c) Side view of the 3D model showing the distribution of the borehole arrays and the location of the F3 facies in each borehole. (d) Plan view of the MC fault zone showing the two main surfaces interpolated from core facies distribution. The light green traces represent the likely heterogeneous distribution of discrete fractures and shear planes as inferred from field mapping of structures similar to the MC fault (Fig. 3c). The inset shows the fractures interpreted from LiDAR scanning and structural analysis of the tunnel wall. Note the slight difference in orientation between the tunnel wall fractures and the interpolated surfaces.

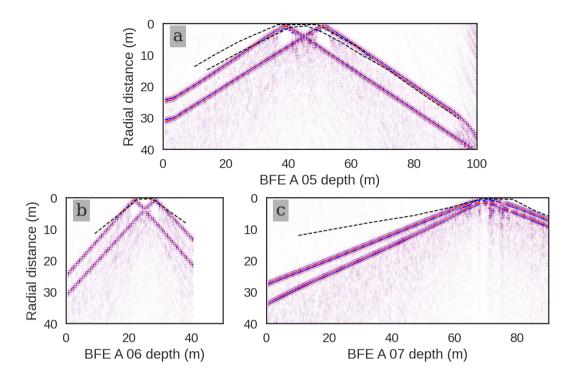


Figure 11. GPR forward model using the methodology from Shakas and Linde (2015) and the 3D geometry for the MC fault zone, both planes 48 and 49. The simulation aimed to reproduce the results observed in borehole BFE_A_05. The (dashed) line superimposed on the image is the trace of the MC FZ picked from field observations (see Fig. 9).

deviations of the fault geometry from a plane, minor corrections in the velocity model used to convert travel time to distance, or simply an inability of the simplified geometry and forward solver to capture the true nature of the complex fault zone. In future work, we will focus on addressing these topics individually.

6 Discussion

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Site investigations typically assess rock masses for construction or excavation, where fault zones pose significant hazards (e.g., Hunt, 2005 Fookes et al., 2015). Similar studies are conducted for siting underground laboratories like GeoLab and Bukov Underground Lab (Schill et al., 2016; Bukovská et al., 2019) or smaller-scale experiments in other facilities (e.g., Amann et al., 2018). However, identifying a single structure within a tunnel for a large-scale experiment requires a comprehensive, constraint-driven approach. To identify the ideal site amid complex conditions, the structural inventory of the Rotondo granite was systematically narrowed down to the MC fault zone. In addition to conventional methods like legacy data screening, remote sensing, and field mapping, unconventional techniques such as tunnel inflow evaluation and Ground Penetrating Radar (GPR) were employed. A similar constraint-based approach was used for siting the EGS-Collab-Hydroshear-Experiment 2

(Dobson et al., 2018), though it faced limitations involving geometrical fracture analysis and logistical considerations. Despite the specific and stringent constraints of FEAR's scientific goals and experimental design, this approach is promising for other projects requiring fault zone identification, such as siting disposal chambers in underground nuclear waste facilities or locating prospective fault zones for hydrothermal exploration. All methods posses a special value at targeting single questions of the experiment siting. Remote sensing and surface field surveys provide information on the geological and structural framework, which more detailed studies can be compared with. The GPR measurements allowed to constrain the 3D geometry of the fault zone beyond the tunnel wall and the local information gathered from the cores and borehole logs. The detailed tunnel and borehole surveys are crucial for understanding the target fault zone and its direct environment, which provide the framework for parameterization of the fault zone model performed by tests on samples, plugs, cores and in the boreholes. The propertzies in turn inform the geomechanical behavior of the fault zone and the rock mass, which was a constraint for the fault zone selection.

Selecting the fault zone is a pivotal milestone for the FEAR project, crucial for achieving its objectives and implementing experimental designs. This selection is vital for developing the Bedretto on-fault observation system (BOFO) by deploying a dense monitoring network of seismic, pore pressure, stress, and strain sensors. The process depends on geological, geometrical, hydraulic, and geomechanical constraints, characterizing each fault zone's architecture, geometry, and complexity. A multidisciplinary approach is essential to manage the natural fault zones' heterogeneity and complexity. The insights gained inform the experimental design and the excavation of the 120-meter experimental tunnel, finalizing the BOFO configuration. Information on the target fault zone will be refined during and after each FEAR stimulation experiment, progressively enhancing the understanding of its heterogeneity and complexity.

The FEAR stimulation experiments will differ from existing underground experiments by injecting fluids into a well-identified fault zone rather than an undefined fractured rock volume. FEAR's objectives extend beyond generating induced seismicity: it aims to induce fault motion and seismicity in a pre-conditioned fault zone. This requires constraining and continuously monitoring the stress state and pore-pressure conditions of the target fault zone. The multidisciplinary approach to fault zone selection and characterization presented here is crucial for interpreting the stimulation experiments and their response to variations in rheological, frictional, hydraulic, and poro-elastic parameters.

As indicated by Table 1, the MC fault zone meets several of the geological and geometrical criteria outlined for the FEAR project in Section 3. The brittle fault system of the MC fault zone consists of a fractured rock mass, where fractures and shear planes are localized along ductile precursors, sometimes associated with hydrothermal alteration (e.g., episyenites). Similar brittle shear zones from ductile precursors have been studied in basement geothermal systems. The geological character of the MC fault zone is compared to selected case studies, such as those in the Karelia region (Finland), Soultz-sous-Forêts (France), and the Basel and Aar massifs (Switzerland), to derive preliminary constraints on the induced seismic behavior of the fault zone.

- Fault zone structure and dimension

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The MC fault zone is relatively simple from a structural geology perspective as it is immature, with an absence of gradual transition from core to wall zones. Indeed, the principal fault planes are likely not developed by progressive shear

localization (sensu Faulkner et al., 2010) by cataclastic means, but rather by preferential splitting and limited dislocation along pre-existing anisotropies (e.g., hydrothermal breccias and mylonitic foliation). It consists of a 2-7 m wide fracture corridor (fracture density < 30 m⁻¹), which is bound by two main fault planes from the protolith, and presents a thin cataclastic zone (<5 cm thick) in a third plane localized between the previous two, here referred to as "fault core". Thus, the MC fault zone is a rather thin structure, composed of an immature fault core and less-developed "damage zone" when compared to the other case studies. Additionally, the fracture density within the fracture zone appears asymmetric; outside the bounding faults the fracture density declines to background values within a short distance. Although, the width of the stimulated fault zones in the Soult-sous-Forêts granite is in the order of 10 m, the fault zone structure is much more complex, including several well-developed cataclastic fault cores (each of which several tens of cm wide) surrounded by hydrothermally altered granite (e.g., Evans et al., 2005). These fault zones belong to a set of regional structures persistent over several kilometers along strike. A similar geological situation occurs in the Basel 1 EGS site, in which the induced seismicity localized along a major, regional-scale fault zone in a similar granitic basement unit (Häring et al., 2008). In both cases, the described fault zones were capable of hosting micro-seismic events with moment magnitude between -1 and 3 (e.g., Evans et al., 2005; Häring et al., 2008).

In contrast, the faults and shear zones stimulated during the injection experiments at Grimsel Test Site (GTS, Aar massif) are comparable in terms of dimension and geological evolution to the MC fault zone (e.g., Krietsch et al., 2020b). Still, the shear zones in GTS present a pervasive ductile fabric over a thick (20-50 cm) volume of rock, and are continuous over very long distances (> 500 m). During stimulation at the GTS, the maximum observed moment magnitude of induced seismicity was in the order of -2 to -3 (e.g., Gischig et al., 2019). Both brittle fault zones and shear zones in the Rotondo granite grow by linking disconnected segments of pre-existing structural discontinuities (Ceccato et al., 2024). The potential curvature and the roughness of the fault zone is thus a result of the complex sequence of reactivation of structures through the brittle-ductile-brittle tectonic evolution of the Rotondo granite. The MC fault zone is composed of multiple segments of finite (maximum 30-50 m) length aligned over large distances, and it is therefore theoretically capable of hosting seismic events of the same magnitude, if not higher (depending on the injection procedures) than the GTS induced seismicity (e.g., Gischig et al., 2019).

Porosity and permeability

Another important geological characteristics is the occurrence and distribution of permeable fluid pathways in the fault zone. In the previous reported case studies, the porosity/permeability is mainly controlled by pervasive alteration of the granite (e.g., Evans et al., 2005; Ledésert et al., 2010). Recently, Bischoff et al. (2024) investigated a hydrothermally altered brittle shear zone along roughly 10-20 m normal thickness indicated by higher fracture intensity in monzonite and granite, showing a complex architecture with porous, multiple fault cores, composed of breccia and altered fault rocks, adjacent to impermeable ultramafic intrusions (compare Fig. 7 in Bischoff et al., 2024). Lenses of variably damaged and altered rock are incorporated into the shear zone. The breccia and the altered core sections show increased effective porosities of up to 18% in comparison to the intact rock mass, which has a density of 2.6 g/cm³ and porosity of 0.4-1.3%

similar to the Rotondo granite RG1 around MC fault zone (David et al., 2020; Osten et al., 2024).

The yielded permeability of the MC fault zone is comparable to the measurements by Bischoff et al. (2024) for 1 MPa confinement, ranging around E-18 m² for micro-fractured granite, fractured granite between E-12 to E-15 m², and hydrothermally altered granite up to E-14 m² (Osten et al., 2024) for unconfined gas permeameter measurements. Although hydrothermal alteration has been observed in cores drilled through the MC fault zone, which has some contribution to the hydraulic behavior at large, the spatial distribution of the phenomenon along fault remains unknown. Similar to the observations at the MC fault zone, bounding faults showing increased water flow (Cheng and Renner, 2017) and more than 20% of porosity (Caspari et al., 2020) have been reported from a hydro-geophysical analysis of a borehole penetrating a brittle shear zone in the Aar-massif (Grimsel Pass, Switzerland). At higher pressures however, open fracture permeability has been observed to break down to E-20 to E-16 m² at 20 to 50 MPa confining pressure (Bischoff et al., 2024). Hydrothermally altered granite almost remains unaffected with increasing confinement (Bischoff et al., 2024). Nonetheless, the local permeability at the selected experimental patches likely will be controlled by the discrete shear planes bounding the MC fault zone and cross connections between them. Their geometry and distribution is quantitatively constrained by the geophysical interpretations, leading to a much more predictable hydraulic behavior.

- Isotropic host rock

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The host rock surrounding the MC fault zone is characterized by the absence of pervasive ductile fabrics, as observed in many of the other case studies (e.g., Krietsch et al., 2020b). The isotropic host rock relates to predictable elastic (and petrophysical) properties, which leaves the seismic response of the host rock unaffected and eases the seismological analyses of the induced seismic events (e.g., Gischig et al., 2019; Wenning et al., 2018).

The characteristics of the MC fault zone are similar to the ones of faults zone encountered in most of the crystalline environments with similar mineralogical composition and resulting similar distribution of physical properties. Therefore the MC fault zone can be considered representative of such crystalline environments and the results of the FEAR experiments will be transferable to other regions in the world, may it be for the analysis of induced or natural seismicity. However, some of the properties are indicated as non-ideal, which impact is discussed below.

- Challenges for FEAR

Despite the rather simple structural composition, the MC fault zone proves to be still complex from the perspective of the FEAR experiments as it is a composite structure (several fault planes) with potential interaction at intersections with other fault zones such as with the DG fault zone. This poses some challenges for the experiments, mainly for 1) fault zone characterization (stress and permeability distribution), 2) flow path prediction and development (e.g. hydraulic short-circuits), 3) density of the monitoring network and 4) increased complexity of computational modelling approaches. The controlling factors for triggering small earthquakes with high-pressure fluid injections are flow path distribution and pressure evolution within the fault zone in space and time. Intense hydraulic characterization methods facilitate the development of high-resolution hydraulic and flow models valid for relatively low pressures. As shown by Krietsch et al. (2020b), high-pressure injection may cause new or changing flow path during an injection. This complex interaction

of the characterization and the fault zones architecture affects the in-situ characterization phase, and raises the risk of an ambiguous determination of stress and hydraulic characteristics based on packer testing. This challenge increases proportional with the fault zone complexity. At the same time, smaller scale complexity ("fault roughness") stemming from fault zone intersections are points of earthquake nucleation and earthquake arrest avoiding runaway-seismic events. The inferred characteristics of the fault zone serve as key input properties for numerical simulations needed for designing the sensor network geometry and the stimulation strategy. To mitigate these risks, we have integrated a variety of geomechanical data, the complexity of faults zone, the associated experimental uncertainties in our approach to identify the target fault zone. Still, the monitoring setup (i.e. special and temporal resolution) and characterization strategy must account for the anticipated experimental complexity and must be adopted along the experimental sequence accordingly.

7 Concluding Remarks

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In the framework of the Fault Activation and Earthquake Rupture (FEAR) project, a densely instrumented fault zone will be repeatedly activated and controlled by hydraulic simulations, aiming at generating earthquakes up to magnitude 1. The design and execution of experiments require the detailed knowledge of several site properties, such as rock mass characteristics, size, persistence and architecture of the target fault zone, the petrophysical and seismo-hydro-mechanical properties, the stress state as well as the monitoring infrastructure.

In this paper we have discussed the selection process of the target fault zone, starting from the experimental goals and associated requirements; presenting and interpreting available data to restrict the search perimeter. Subsequently, a single structure has been selected based on an interdisciplinary, multi-scale and multi-method campaign conducted in the Rotondo granite, consisting of structural geology field work on the surface and in the sub-surface, near- and far-field remote sensing, geophysical investigations along the Bedretto tunnel and in boreholes, borehole logging, mineralogical and petrological analysis, field-and lab-based rock mass and structural characterizations. We further corroborated the fault's continuity into the rock volume by performing ground penetrating radar forward simulations on the 3D geometry of the MC fault zone.

The chosen structure is described as a several meters wide brittle overprinted shear zone, consisting of an fractured volume sandwiched by two or more main fault planes, persistent over more than 100 m. It consists of multiple interlinked planes along strike. The structure is steeply NNW-dipping and thus, preferentially oriented for slip in the regional stress field.

The results are already confirmed by the establishment of the Bedretto-On-Fault-Observatory (BOFO) in short boreholes drilled from the Bedretto tunnel through the MC fault zone. To further facilitate close-range instrumentation, a tunnel up to 120 m long has been designed, parallel to the MC fault zone. The final decision on the trajectory of the tunnel parallel to the MC fault zone and the placement of the first experiments within the MC fault zone, starting in spring 2024, has been assessed driven by the assessment of the structural inventory in close range around the existing Bedretto tunnel and permeability of preferential flow paths. Some features of the MC fault zone are extensively presented in this paper (geological, rheological characterization), while others require further investigations in-situ and in the lab (frictional, hydraulic and poro-elastic characterization). Ongoing detailed exploration will focus onto the fault zone patches selected for experiments, providing insights into

the distribution of geometrical-seismo-hydro-mechanical properties of the fault zone in higher spatial resolution. Although, the described procedure is specifically designed to a local challenge, we believe that the - in parts unusual - integrated, multidisciplinary, multi-method and multi-scale approach executed here is of interest to other sites and experimental volumes elsewhere.

Data availability. The data supporting the discussion and conclusions presented in our article are available at the links referenced in the relevant papers we cite. Additionally, data can be requested from co-authors.

Sample availability. Samples will be available on request to the Bedretto Lab Rock Repository, bedrettolab@erdw.ethz.ch

Author contributions. All authors of this paper collectively contribute as a team of the FEAR/BULGG project. The role of each team member is described on the BedrettoLab website. Please use the following link: http://fear-earthquake-research.org/about/people/ last accessed: 2023-08-04. Specifically, AC, AZ, GP, JO, PAZ, WMB conducted the geological characterization. XM, FA conducted the geomechanics analysis. AS, DEB and MH conducted the geophysical characterization. AC, AS, DEB and WMB derived the geometrical model and forward simulations. DG, FA, MAM, MC and SW supervised the project, contributed to the experimental design and to the decision-making associated with the multidisciplinary approach adopted for fault zone identification. All co-authors contributed to the writing of the paper.

Competing interests. The authors declare no competing interests.

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