



1 Secondary Organic Aerosols Derived from Intermediate Volatility

2 n-Alkanes Adopt Low Viscous Phase State

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18 Abstract.

19 Secondary organic aerosol (SOA) derived from n-alkanes, as emitted from vehicles and volatile 20 chemical products, is a dominant component of anthropogenic particulate matter, yet its 21 chemical composition and phase state are poorly understood and hardly constrained in aerosol 22 models. Here we provide a comprehensive analysis of n-alkane SOA by explicit chemistry 23 modeling, machine learning, and laboratory experiments to show that, counterintuitively, n-24 alkane SOA adopt low viscous semisolid or liquid states. Our study underlines the complex 25 interplay of molecular composition and SOA viscosity: n-alkane SOA with higher carbon 26 number mostly consists of less functionalized first-generation products with lower viscosity, 27 while the lower carbon number SOA contains more functionalized multigeneration products 28 with higher viscosity. This study opens up a new avenue for analysis of SOA processes and the 29 results indicate little kinetic limitations of mass accommodation in SOA formation, supporting 30 the application of equilibrium partitioning for simulating n-alkane SOA formation in large-31 scale atmospheric models.

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33 Introduction

34 Secondary organic aerosols (SOA) are ubiquitous in the atmosphere, affecting climate, air quality and public health (Pöschl and Shiraiwa, 2015; Jimenez et al., 2009). They are generally 35 36 formed by multigenerational oxidation of volatile organic compounds (VOCs) emitted by both 37 anthropogenic and biogenic sources followed by condensation of semi-volatile oxidation 38 products into the particle phase (Ziemann and Atkinson, 2012; Kroll and Seinfeld, 2008). As 39 an important class of SOA precursors, there is a growing attention to intermediate volatile 40 organic compounds (IVOCs), which can partition to the gas phase upon dilution of primary 41 organic aerosols after fresh emission sources such as vehicle tailpipes, combustion of fossil and 42 fuel oils, and volatile chemical products (Robinson et al., 2007; Mcdonald et al., 2018). The 43 inclusion of IVOCs in the model simulations helps to reduce the gap between model simulation 44 and field observation of SOA (De Gouw et al., 2011; Li et al., 2022; Zhao et al., 2016).

SOA can adopt different particle phase states (liquid, amorphous semisolid, and glassy solid), depending on its chemical composition, relative humidity and temperature (Virtanen et al., 2010; Petters et al., 2019; Reid et al., 2018; Renbaum-Wolff et al., 2013) and also evolving upon chemical aging and photochemistry (Baboomian et al., 2022). SOA phase state plays an important role in a number of atmospheric multiphase processes (Shiraiwa et al., 2017). The occurrence of glassy SOA in the free troposphere can impact activation pathways of ice crystals and cloud droplets (Knopf and Alpert, 2023). Slow diffusion in viscous particles induces kinetic





52 limitations in heterogeneous and multiphase reactions (Zhang et al., 2018; Zhou et al., 2019; 53 Shiraiwa et al., 2011), affecting long-range transport (Shrivastava et al., 2017; Mu et al., 2018). 54 The timescale of SOA partitioning can be prolonged in viscous particles (Schervish and Shiraiwa, 2023), retarding uptake of semi-volatile compounds and mixing of different particle 55 populations (Ye et al., 2016). Particle phase state also modulates SOA growth to cloud 56 57 condensation nuclei sizes, affecting cloud life cycle (Zaveri et al., 2022). While the phase states 58 of SOA generated by biogenic VOCs such as terpenes and isoprene have been extensively 59 studied (Virtanen et al., 2010; Petters et al., 2019; Renbaum-Wolff et al., 2013; Baboomian et 60 al., 2022; Zhang et al., 2018; Zhou et al., 2019), those derived from IVOCs are hardly 61 investigated and remain poorly constrained.

62 Viscosity (η) is a dynamic property that characterizes the particle phase state, which can 63 be derived from the glass transition temperature (T_g) of the constituting species (Koop et al., 64 2011; Shiraiwa et al., 2011). Several structure-activity relationships models have been 65 developed to predict the T_g of an organic compound using various molecular properties 66 including molar mass, atomic O:C ratio (Shiraiwa et al., 2017), elemental composition (Derieux 67 et al., 2018), and volatility (Li et al., 2020; Zhang et al., 2019). These parameterizations do not consider molecular structure nor functionality explicitly. Galeazzo and Shiraiwa (2022) 68 69 overcame this limitation by developing a machine learning-based model, tgBoost, with an 70 application of cheminformatics "molecular embeddings" that retains detailed information on 71 atomic composition, molecular structure and connectivity (Galeazzo and Shiraiwa, 2022). The 72 main novel feature introduced by tgBoost is model capability to predict different $T_{\rm g}$ for 73 structural isomers and high sensitivity of T_g to various functional groups, consistent with 74 viscosity measurements for functionalized compounds (Rothfuss and Petters, 2017; Grayson et 75 al., 2017).

76 Long-chain linear alkanes (n-alkanes) are representative IVOCs and account for a 77 substantial fraction of non-methane hydrocarbons in urban air as mainly emitted from 78 anthropogenic activities such as vehicle exhausts and incomplete fuel combustion (Li et al., 79 2022). Gas-phase oxidation of n-alkanes by OH radicals can trigger the formation of SOA with 80 high yields, as observed in laboratory experiments (Aimanant and Ziemann, 2013a; Lim and 81 Ziemann, 2009b) and field observations (Gentner et al., 2012; Li et al., 2022). Gas-phase 82 oxidation pathways of n-alkanes are relatively well understood and successfully simulated by 83 detailed gas-phase chemistry modeling (Aumont et al., 2012; La et al., 2016), but the chemical 84 composition of n-alkane SOA has only been fully characterized for the C16 n-alkane (Ranney 85 et al., 2023) and the phase state and viscosity of alkane SOA are unknown. Therefore, the n-





alkane SOA system provides an ideal benchmark for the investigation of the interplay of
 chemical composition, particle phase state and kinetic limitations influencing SOA growth and
 evolution.

89 In this study, we implemented tgBoost in an explicit gas-phase chemistry model 90 GECKO-A to investigate the complex interplay of chemical composition, kinetic partitioning, 91 and phase state of n-alkane SOA generated under dry and high NOx conditions. The GECKO-92 A model is to date one of the most comprehensive generators of gas-phase chemical schemes, 93 as it automatically generates detailed gas-phase chemical mechanisms involving thousands to 94 millions of oxidation products from a given VOC precursor based on established reaction 95 pathways and structure-activity relationships (Aumont et al., 2012; La et al., 2016). The 96 simulations were conducted with variable effective mass accommodation coefficient to 97 consider potential kinetic limitations in amorphous semisolid particles (Shiraiwa and Pöschl, 98 2021). The simulated results were compared with chamber experimental data on SOA yields 99 (Lim and Ziemann, 2009b) as well as new measurements on thermal desorption temperatures 100 and functional group distributions.

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102 Methods:

103 Model simulations.

104 We applied the Generator for Explicit Chemistry and Kinetics of the Organics in the 105 Atmosphere (GECKO-A) (Aumont et al., 2012; La et al., 2016) to obtain detailed reaction 106 schemes of gas-phase OH oxidation of n-alkanes along with rate constants. The GECKO-A 107 generator used for oxidation of linear n-alkanes includes the latest structure-activity 108 relationships to treat the chemistry of organic compounds with OH radical (Jenkin et al., 2018a, 109 b; Jenkin et al., 2019), the bimolecular reactions of peroxy radicals (Jenkin et al., 2019), as well 110 as alkoxy radical decomposition and H migration reaction rates (Vereecken and Peeters, 2009; 111 La et al., 2016). The vapor pressures of semi-volatile species were estimated by using 112 Nannoolal's group contribution method (Nannoolal et al., 2008) implemented in GECKO-A, as described in detail in Valorso et al. (2011). Species with vapor pressure below 10^{-13} atm are 113 114 assumed to be of low enough volatility to completely partition to the condensed phase and their 115 gas phase chemistry is then not generated in the mechanism. The model treats unimolecular 116 particle-phase reactions including cyclization of hydroxyketones and dehydration of cyclic 117 hemiacetals to form dihydrofurans (La et al., 2016). The model does not treat autoxidation and 118 dimerization in the gas phase, but these processes should be minor pathways during n-alkane 119 oxidation in the presence of high NOx as the reaction of peroxy radicals with NOx should be





dominant (Praske et al., 2018; Pye et al., 2019); thus, their absence from GECKO-A chemical
schemes should not have major impacts on the simulated results.

122 These explicit chemical mechanisms were implemented into a box model to simulate 123 the multigenerational oxidation of n-alkanes, partitioning of oxidation products into the particle 124 phase based on their vapor pressures, and vapor wall loss to mimic chamber experiments (La 125 et al., 2016). We replicated the experimental conditions used in Lim and Ziemann (2009b) to 126 generate SOA from OH oxidation of C_8 - C_{17} n-alkanes at high NO_x conditions in the presence 127 of non-volatile dioctyl sebacate (DOS) seed particles with particle radius of 150 nm and mass 128 loading of 200 µg m⁻³. Temperature was held constant at 295.15 K, pressure was set at 1 atm 129 and RH was fixed at 0.5%. Photolysis frequencies were calculated based on the cross sections, 130 quantum yields as described in Aumont et al. (2005) and the photonic flux of blacklight lamps. 131 Each simulation ran for 1 hour and the time evolution of species concentration were computed 132 through a two-step method that solves stiff ordinary differential equations (Verwer, 1994; Verwer et al., 1996). To investigate effects of mass concentrations, we also simulated 133 134 experiments of n-alkane photooxidation under high NO_x conditions with low mass loadings by 135 Presto et al. (2010). The number concentration of seed particles with particle diameter of 200 136 nm was \sim 5000 cm⁻³, corresponding to the mass concentration of \sim 20 µg m⁻³. Initial mixing ratios of n-alkane and NO_x were in the range of 3 - 99 ppb and 1 - 5 ppm, respectively, as 137 138 reported in Presto et al. (2010) and these conditions were applied in the model.

139 The box model accounts for mass transfer kinetics of organic species between gas and 140 particle phases. Partitioning follows Raoult's law at equilibrium and partitioning kinetics is 141 described by the gas-particle mass transfer coefficient with the Fuchs-Sutugin approach 142 (Seinfeld and Pandis, 2016). For the base case scenario, we fixed the mass accommodation 143 coefficient (α) to be 1 based on molecular dynamics simulations (Julin et al., 2014), assuming 144 particles being low viscous liquids without kinetic limitations of bulk diffusion. To account for 145 potential kinetic limitations in viscous particles, we applied an effective mass accommodation 146 coefficient (α_{eff}) that is a function of volatility and bulk diffusivity (Shiraiwa and Pöschl, 2021):

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$$\alpha_{\rm eff} = \alpha_{\rm s} \frac{1}{1 + \frac{\alpha_{\rm s} \,\omega \, C^0}{4 \, D_{\rm b} \, \rho_{\rm p} \, 5} \cdot 10^{-12} \frac{\rm g \, cm^{-3}}{\mu \rm g \, m^{-3}}} \tag{1}$$

148 where α_s is the surface accommodation coefficient assumed to be 1, ω (cm s⁻¹) is the mean 149 thermal velocity of the organic compound in the gas phase, r_P (cm) is the particle radius, ρ_P (g 150 cm⁻³) is the particle density, and C^0 (µg m⁻³) is the pure compound saturation mass 151 concentration. D_b (cm² s⁻¹) is bulk diffusivity as simulated by conversion of viscosity as detailed





below. α_{eff} values are shown as a function of D_b and vapor pressure p^o in Fig S3a. We accounted for a reversible gas-to-chamber wall partitioning of gases and assumed a fixed firstorder deposition rate constant of 5×10⁻⁴ s⁻¹ based on experimental observations and previous modeling studies (Krechmer et al., 2016; La et al., 2016; Lim and Ziemann, 2009b). A desorption rate constant from wall to the gas phase was derived by using a parameter of $C_w/M_w\gamma_w$ of 9 µmole m⁻³ for n-alkanes and 120 µmole m⁻³ for oxidation products based on chamber observations (Matsunaga and Ziemann, 2010), as discussed in La et al. (2016).

159 The glass transition temperatures (T_g) of organic compounds were predicted by the 160 machine learning-based model tgBoost (Galeazzo and Shiraiwa, 2022) and the 161 parameterization based on elemental composition (Derieux et al., 2018; Li et al., 2020). The 162 implementation of the compositional parametrization into the GECKO-A box model was done 163 in Galeazzo et al. (2021) with a thorough description of all the equations, assumptions and steps adopted for the implementation of this viscosity estimation method. In this study we 164 implemented tgBoost, a newly developed machine learning model for better prediction of T_{g} . 165 166 tgBoost is a powerful model that can discern compositional isomers by functionality and predict the glass transition temperature of an organic compound i $(T_{g,i})$ with an uncertainty of ± 18.3 K 167 168 using the canonical SMILES notation of a molecule (Galeazzo and Shiraiwa, 2022). We have 169 implemented a pipeline (i.e., gecko2vec) into GECKO-A to predict T_g of compounds from the 170 chemical mechanism in a fast and computationally efficient manner. Gecko2vec executes three main steps: first, it translates the IDs of the compounds of interest of the GECKO-A mechanism 171 172 into the respective canonical SMILES notations (translation step); second, it transforms the 173 canonical SMILES notations into the respective molecular embeddings (i.e., unique 300-174 dimensional numerical representations of molecules; embedding step); and finally, the 175 pretrained tgBoost model and its weights are loaded and used to predict T_{g} of each species 176 (prediction step). Within the box model, the T_g of total SOA particles ($T_{g,org}$) resulting from the 177 combination of its organic component and water mixture is computed using the Gordon-Taylor 178 equation (Dette et al., 2014; Koop et al., 2011; Zobrist et al., 2008). T_{g,org} can be converted to 179 viscosity based on the Vogel-Tammann-Fulcher approach (Derieux et al., 2018) and viscosity 180 is further converted into bulk diffusivity using the fractional Stokes-Einstein equation (Evoy et 181 al., 2019).

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183 Laboratory experiments.

184 SOA particles were generated from OH oxidation of C_8 - C_{17} n-alkanes in a 5.9 m³ Teflon 185 environmental chamber filled with clean air under high NO_x conditions in the presence of non-





186 volatile dioctyl sebacate (DOS) seed particles, as described in detail elsewhere (Lim and 187 Ziemann, 2009b). Briefly, 1 ppm of n-alkane, 10 ppm of methyl nitrite, and 10 ppm of NO were added to the chamber from a glass bulb, and $\sim 200-400 \ \mu g \ m^{-3}$ of seed particles were added 188 189 from an evaporation-condensation apparatus. Relatively high mass concentrations of seed 190 particles were used so that semi-volatile compounds would condense to particles, minimizing 191 vapor deposition to chamber walls (Zhang et al., 2014; Matsunaga and Ziemann, 2010). 192 Blacklights covering two of the chamber walls were then turned on for 60 min to form OH 193 radicals by methyl nitrite photolysis (Atkinson et al., 1981). The amount of n-alkane reacted 194 was measured by collecting Tenax samples before and after the experiment and analyzing by 195 gas chromatography with flame ionization detection (GC-FID). Aerosol volume concentrations 196 were measured using a scanning mobility particle sizer (Docherty et al., 2005) and converted 197 to an SOA mass formed using a density of 1.06 g cm⁻³. SOA mass yields (mass of SOA formed/mass of n-alkane reacted) were calculated from the measured SMPS mass (corrected 198 199 for particle wall loss using the ~20% h⁻¹ decay in mass after the lights were turned off) and the 200 GC-FID analyses. The SOA yields measured in these experiments were reported previously 201 (Lim and Ziemann, 2009b), but in light of a recent comparison of the accuracy of our SMPS 202 measurements with filter sampling the values reported here are higher by a factor of 1.24 203 (Bakker-Arkema and Ziemann, 2021). A temperature-programmed thermal desorption (TPTD) 204 method was also used to measure the composition and volatility of aerosol particles. Particles 205 were sampled directly from the chamber into a thermal desorption particle beam mass 206 spectrometer (Tobias et al., 2000), where they were formed into a beam inside an aerodynamic 207 lens, transported into a high vacuum chamber, and impacted on a copper rod vaporizer that was 208 coated with a non-stick polymer and cooled to -40° C. After sampling for 30 min, the vaporizer 209 was warmed by room air to -5°C and then heated at 2°C min⁻¹ to 200°C. Compounds desorbed 210 according to volatility and entered a quadrupole mass spectrometer, where they were ionized 211 by 70 eV electrons prior to mass analysis. In one recent n-hexadecane experiment, we also 212 measured the composition of nitrate, hydroxyl, carbonyl (ketone + aldehyde), carboxylic acid, 213 ester, and peroxide functional groups in SOA using derivatization-spectrophotometric methods, 214 with the amount of -CH₂- groups calculated by difference (Ranney et al., 2023). We note that 215 in that experiment the SOA yield measured by filter sampling was nearly identical to the one 216 we measured previously after applying the above correction. 217

218 Results and discussion

219 SOA yields and viscosity.





Figure 1(a) shows the measured yields of SOA generated from the oxidation of n-220 221 alkanes (C_nH_{2n+2} ; n = 8 - 17) (Lim and Ziemann, 2009b). The model base case (black line) with 222 mass accommodation coefficient of 1 for all species represents no kinetic limitations in the 223 particle phase and the results are similar to previous simulations performed by La et al. (2016). 224 Vapor wall loss was considered based on experimental observations and previous modeling 225 studies (Krechmer et al., 2016; La et al., 2016; Lim and Ziemann, 2009b), which is important 226 to account for as no wall loss would lead to a significant overestimation of SOA yields, as 227 shown in the black dotted line and was discussed in detail in La et al. (2016). Both experimental 228 and simulated SOA yields increase with an increase of n, reflecting the decrease in volatility of 229 the precursor and its oxidation products (Shiraiwa et al., 2014). The observed SOA yield trend is consistent with measurements by a thermal desorption particle beam mass spectrometer, 230 231 showing that n-alkane SOA are composed of less oxidized products with volatility lower for 232 precursors with higher *n* (Lim and Ziemann, 2009b, a).

233 The overall good agreement suggests that multigenerational chemistry in the gas phase 234 and partitioning of semi- and low-volatile products, as explicitly treated by GECKO-A box 235 modeling, are the dominant pathway of n-alkane SOA formation under these conditions. It also 236 suggests that peroxy radicals (RO2) mainly react with NOx, minimizing auto-oxidation and 237 gas-phase dimerization by RO₂ + RO₂ reactions. Good model agreement also suggests minor 238 contributions from particle-phase oligomerization chemistry, while particle-phase unimolecular 239 reactions including cyclization of hydroxyketones and dehydration of cyclic hemiacetals 240 forming dihydrofurans are treated in the model as they are important for the further oxidation 241 due to the presence of a double bound in the dihydrofurans (Lim and Ziemann, 2009a; La et al., 242 2016). Thus, the GECKO-A model seemingly treats all essential processes for simulations of 243 n-alkane SOA formation under high NOx conditions. Note that particle-phase chemistry was 244 shown to be substantial in n-alkane SOA formation under low NOx conditions through 245 peroxyhemiacetal and oligomer formation (Shiraiwa et al., 2013; Ziemann and Atkinson, 2012).

246 To explore the potential impacts of particle phase state on SOA formation and 247 partitioning, we implemented effective mass accommodation coefficient (α_{eff}) that can 248 effectively consider kinetic limitations of bulk diffusion and also account for the effect of vapor 249 pressure on partitioning kinetics for species with various volatilities (Shiraiwa and Pöschl, 250 2021). Bulk diffusivity evolves upon SOA formation, which can be derived by viscosity and 251 glass transition temperature as predicted from the machine learning-based tgBoost model 252 (dashed green line) and the compositional parametrization (CP, dashed orange line). The 253 simulated SOA yields with tgBoost are very similar to the base case scenario with $\alpha = 1$, while





254 the application of the CP leads to smaller SOA yields for n = 15-17. These results indicate that 255 α_{eff} is close to 1 with little kinetic limitations of bulk diffusion for most cases, except some 256 limitations are predicted by CP for large precursors. Deviations of tgBoost and CP stem from 257 the difference in phase state and viscosity predicted by the two methods.

258 Figure 2(a) shows the simulated viscosity and corresponding bulk diffusivity of n-259 alkane SOA. Remarkably, the two models predict contrasting trends. The simulated glass 260 transition temperature ($T_{g,org}$) of SOA is presented in Fig. A1. The CP predicts a decrease in 261 $T_{g,org}$ for C₈₋₁₂ with the lowest $T_{g,org}$ of ~250 K followed by an increase of $T_{g,org}$ with *n* to reach \sim 270 K with C₁₇. These values correspond to viscosity of 10⁴ - 10⁶ Pa s, indicating that n-alkane 262 263 SOA adopts viscous semisolid phase state. The increase of viscosity for larger precursors is 264 apparently reasonable, as their oxidation products would have higher molar mass which would 265 generally correspond to higher $T_{g,org}$ (Koop et al., 2011; Shiraiwa et al., 2017). Based on the Stokes-Einstein relation, bulk diffusivity would be in the range of $3 \times 10^{-15} - 10^{-12}$ cm² s⁻¹. The 266 characteristic timescale of bulk diffusion in an average particle diameter of 300 nm can be as 267 268 low as ~ 2 hours (Shiraiwa et al., 2011), which is longer than experimental timescale of one 269 hour. These low diffusivities and long diffusion timescale can induce concentration gradients 270 in the particle bulk, reducing α_{eff} to cause significant kinetic limitations to retard SOA growth, 271 which is not consistent with the measured SOA yields.

272 Surprisingly, tgBoost predicts the opposite trend, predicting a monotonic decrease of 273 $T_{g,org}$ and viscosity with an increase of *n*, suggesting that SOA phase state shifts from an 274 amorphous semisolid state $(10^2 < \eta < 10^5 \text{ Pa s})$ towards a liquid-like phase state $(\eta < 10^2 \text{ Pa s})$. 275 These results are counter-intuitive as T_g values of n-alkanes increases with an increase of n, 276 which can be reproduced with great precision by tgBoost (Galeazzo and Shiraiwa, 2022). The 277 determinants explaining this unexpected trend are chemical composition and molecular 278 structure of the oxidation products as discussed below. The characteristic timescale of bulk 279 diffusion is less than one second in a low viscous state and high bulk diffusivity (Shiraiwa et 280 al., 2011) and SOA particles are expected be homogeneously well-mixed. Hence, α_{eff} remains 281 very close to 1 with little kinetic limitation of bulk diffusion.

Unfortunately, no direct viscosity measurements of n-alkane SOA generated under high NO_x conditions are available to date, while there are two studies for n-alkane SOA generated under NOx-free conditions. Saukko et al. (2012) (Saukko et al., 2012) observed that nheptadecane ($C_{17}H_{36}$) SOA with low O:C ratio did not bounce from an impactor plate. It indicates that these particles adopted a liquid-like state, as indicated by the violet shading in





Fig. 2(a), which is consistent with the tgBoost prediction. Shiraiwa et al. (2013) estimated bulk diffusivity of n-dodecane ($C_{12}H_{26}$) SOA generated without NO_x to be 10^{-12} cm² s⁻¹ using a kinetic multilayer model to simulate evolution of particle size distribution. While these two data points cannot be directly compared with the viscosity predictions of high NOx n-alkane SOA, they serve as reference data points for now and direct viscosity or bulk diffusivity measurements of high NO_x n-alkane SOA are warranted in future studies.

293 Figure 2(b) shows the thermal desorption profiles of DOS that was present as seed 294 particles within the SOA formed from oxidation of the n-alkanes. Since DOS desorption 295 involved diffusion through the SOA prior to escape into vacuum, these profiles provided a 296 means for probing the SOA viscosity. The peaks in the DOS profiles for the C8-13 and C14-17 nalkanes are closely grouped, with vaporizer temperature at ~80 °C and ~65 °C, respectively, 297 with the peak for pure DOS occurring in between at \sim 72°C. The observed decrease in desorption 298 299 temperatures from low to high carbon numbers suggests an increase in effective volatility of 300 DOS in SOA generated from larger n-alkanes. In addition, Lim and Ziemann (2009) have 301 observed that C10 n-alkane SOA generated under high NOx conditions evaporate at higher 302 temperatures compared to C12 and C15 n-alkane SOA based on total ion thermal desorption 303 measurements (Lim and Ziemann, 2009b). Volatility and T_g were shown to exhibit clear 304 anticorrelation (Li et al., 2020); hence, these results strongly indicate that C_{8-13} SOA have higher 305 $T_{\rm g}$ and viscosity compared to C₁₃₋₁₇ SOA. It is remarkable to note that the C₁₃ profile is bimodal with peaks at ~80 °C and ~65 °C (Fig. 2b), which is in line with tgBoost prediction that the 306 307 viscosity of C_{13} alkane SOA is at the edge of amorphous semi-solid and liquid phase states (Fig. 308 2a). These results indicate that n-alkane SOA generated by larger precursors adopt low viscous 309 liquid-like states, while n-alkane SOA generated by smaller precursors adopt viscous semisolid 310 states, in agreement with tgBoost predictions. The major strength of tgBoost is that it considers 311 molecular structure and functionality for $T_{\rm g}$ predictions, while the compositional 312 parameterization does not account for this effect, leading to intuitive but erroneous predictions.

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314 Chemical composition of SOA.

Figure 1 also shows the simulated (b) N:C and (c) O:C ratios of SOA with $\alpha = 1$ (black line) and $\alpha = \alpha_{eff}$ with T_g determined with tgBoost (green line) or the compositional parameterization (orange line). The N:C ratio is very similar among all simulations being ~0.2 for C₈ and decreasing progressively to ~0.03 with each addition of a carbon atom in the precursor. O:C ratios were calculated in two different ways by treating a nitrate (-ONO₂) group





320 to contain either three (solid lines) or one (dashed lines) oxygen atoms. One oxygen atom is 321 also considered because O:C ratios reported from aerosol mass spectrometer measurements 322 generally treat a nitrate group the same as a hydroxyl group, since they have the same effect on 323 oxidation state (Farmer et al., 2010). Similar to the N:C ratio, there is a constant decrease in 324 O:C of SOA with increasing n, which is consistent with previous measurements for n-325 pentadecane (C15H32) SOA (Aimanant and Ziemann, 2013a) and n-hexadecane (C16H34) SOA 326 in this study, even though the simulated values are \sim 45% and 15% lower than the measured 327 N:C and O:C ratios, respectively.

328 We measured functional group distributions in n-hexadecane SOA using derivatization-329 spectrophotometric methods described in Aimanant and Ziemann (2013b), as shown in Fig. 330 1(d) and summarized in Table A1. Experimental measurements report high presence of -CH₂-331 (13.81) and -ONO₂ (0.91), followed by ROH (0.41), RC(=O) (0.38), and RC(=O)OR (0.28), 332 with the average measured number of groups per C_{16} molecule in parenthesis. Figure 1(d) 333 includes simulation results by GECKO-A with CP and tgBoost, showing overall satisfactory 334 agreement. The simulated results with tgBoost show excellent agreement for hydroxyl and 335 methylene groups, while the simulated nitrates and carbonyls (ketones + aldehydes) are lower 336 than the measurements. The simulation by CP has also a similar trend, but with significantly 337 lower presence of nitrates, carbonyls, and esters.

338 Figure 3(a) shows the top 15 oxidation products in the particle phase formed by the 339 oxidation of n-hexadecane simulated by GECKO-A box model with tgBoost. Note that 340 positional isomers are lumped into one species and five species in the first row constitute 341 majority (~86%) of SOA mass. The simulated SOA is composed mostly by 1st generation 342 products including alkyl nitrates, hydroxynitrates, and hydroxyketones. There is also a 343 significant presence of 2nd and 3rd generation products such as esters and dinitrates. We also 344 found multi-functionalized decomposition products including smaller chain hydroxy nitrates 345 and alkyl lactones as well as particle-phase products from cyclization of hydroxyketones and 346 dehydration of cyclic hemiacetals to form dihydrofurans. There are notable differences in 347 molecular composition for SOA simulated by CP (Fig. A2): the major compounds are 1st 348 generation single and multi-functionalized products, followed by some 2nd and 3rd generation 349 products, without decomposition products in the top species.

The simulated T_g by both methods for each compound are listed in Fig. 3. Overall tgBoost predicts T_g to be 157 – 221 K which are much lower compared to CP, especially with significant differences for organic nitrates and multi-functionalized species. As tgBoost considers the molecular structure, functional group and atomic interconnectivity of a molecule,





354 it should make better predictions for multi-functionalized compounds based on the presence of 355 different functional groups. CP is based on elemental composition and it predicts high $T_{\rm g}$ for 356 compounds with high molar mass, predicting same T_g for isomers. In addition, the CP for 357 CHON compounds was developed based on $T_{\rm g}$ values mainly estimated from their melting 358 points, as there are limited number of CHON compounds with measured $T_{\rm g}$ available. $T_{\rm g}$ of 359 organic nitrates are especially scarce and future Tg measurements for organic nitrates are desired 360 to improve T_{g} parameterizations. For these reasons, CP overestimates T_{g} for oxidation products 361 of n-alkane with long chain on average by ~66 K compared to tgBoost, overpredicting SOA 362 viscosity as shown in Fig. 2(a).

363 Figure 3 also lists $\alpha_{\rm eff}$ values, showing that they are very close to 1 for tgBoost, with 364 SOA to be low viscous liquid with little kinetic limitations in mass accommodation. Additional 365 oxidation products with lower concentrations are listed in Fig. A3 and their α_{eff} remain also close to 1. In contrast, as CP predicts SOA phase state to be viscous amorphous semisolid, α_{eff} 366 367 values for semi-volatile compounds become significantly smaller to kinetically limit mass 368 accommodation. This decrease of α_{eff} is larger for compounds with higher volatility, as such 369 compounds have higher re-evaporation rate on viscous particles with lower rate of bulk 370 diffusion (Shiraiwa and Pöschl, 2021) (Fig. A3). a_{eff} for lower volatility compounds remain 371 high, as they exhibit much lower desorption rates and are less likely to re-evaporate, even if 372 their diffusion into the bulk is slow. Consequently, SOA simulated with CP mainly consists of 373 later generation products with higher functionalization and molar masses.

Figure 3(b) shows top 15 oxidation products of n-decane (C10H26) as predicted by 374 GECKO-A with tgBoost. SOA is mostly composed of 2nd and 3rd generation products with 375 376 multiple functional groups including nitrates, ketones, and alcohols. These highly oxidized 377 products have T_g in the range of 225 - 304 K, with similar predictions by CP and tgBoost. This 378 is consistent with previous studies that demonstrated successful applications of CP to predict 379 the measured viscosity of SOA derived from biogenic and other relatively small precursors 380 (Derieux et al., 2018; Smith et al., 2021; Baboomian et al., 2022). These results are consistent 381 with total ion thermal desorption profiles of n-alkane SOA formed in the presence of NOx (Lim and Ziemann, 2009b): C₁₀ SOA was observed to have a broad single peak around ~75 °C, 382 383 indicating the presence of low volatility multigenerational products; in contrast, C12 and C15 384 SOA exhibited two peaks with one larger peak at lower temperature, corresponding to 1st 385 generation products and another smaller peak for multigenerational products. The phase state





 $386 \qquad of n-decane \ SOA \ is \ predicted \ to \ be \ semisolid, \ but \ kinetic \ limitations \ are \ not \ strong \ as \ \alpha_{eff} \ values$

- for most compounds are only slightly reduced from 1.
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389 Effects of mass loadings on viscosity.

390 The use of higher mass loadings in chamber experiments than ambient conditions 391 assured that the condensation of semi-volatile vapors to suspended particles is a dominant 392 process over vapor wall deposition (Zhang et al., 2014; Matsunaga and Ziemann, 2010). 393 Chamber experiments of n-alkane photooxidation at high NO_x were also conducted with lower 394 mass loading by Presto et al. (2010). As shown in Fig. 4(a), SOA yields are increased with an 395 increase of SOA mass concentrations, which is in consistent with SOA absorptive partitioning 396 theory (Pankow, 1994). The oxidation of larger precursors leads to higher SOA yields, in 397 agreement with Lim and Ziemann (2009b) as presented in Fig. 1a. As shown with solid lines, 398 the GECKO-A box model simulated experimental observations very well.

Figure 4(b) depicts the simulated SOA viscosity. We observed the same trend as Fig. 2(a) with lowering of viscosity upon an increase of carbon number *n*. SOA phase state is predicted to be semisolid for low carbon *n*, while it is expected to be liquid for high *n*. The predicted viscosity is about one order of magnitude higher compared to Fig. 2(a). Lower mass loadings suppress partitioning of higher volatility compounds, resulting in higher viscosity as condensation would be dominated by lower volatility compounds with higher T_g (Jain et al., 2018; Champion et al., 2019; Grayson et al., 2016; Derieux et al., 2018).

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407 Atmospheric Implications.

408 The phase state and viscosity of SOA formed by IVOCs have been largely unknown 409 and unexplored. We demonstrated in this study that SOA derived from small and middle size 410 n-alkane (C₁₂ and smaller) mostly consists of multigenerational oxidation products to adopt an amorphous semisolid state, while larger n-alkane SOA are mainly composed of first generation 411 412 lightly oxidized products to adopt a low viscous liquid state. This result is surprising and 413 counterintuitive, as it has been established that higher molar mass would lead to higher glass 414 transition temperature, and hence, higher viscosity (Koop et al., 2011; Shiraiwa et al., 2017). In 415 fact, the viscosity of biogenic SOA follows this trend: the viscosity of isoprene (C_5H_8) SOA is 416 reported to be lower than monoterpene (C10H16, such as a-pinene and limonene) SOA 417 (Renbaum-Wolff et al., 2013; Zhang et al., 2019), while oxidation products of sesquiterpene 418 $(C_{15}H_{24})$ increase viscosity of SOA (Smith et al., 2021), which is captured by empirical 419 parameterizations based on elemental composition (Derieux et al., 2018; Li et al., 2020). In





420 contrast, n-alkane SOA exhibits an opposite trend, as indicated by thermal desorption 421 measurements that show that DOS in SOA formed by oxidation of large n-alkanes has higher 422 volatility. Hence, the SOA has lower viscosity, due to the enhanced presence of less 423 functionalized first-generation products (Li et al., 2020; Zhang et al., 2019). This trend is 424 successfully predicted by GECKO-A combined with machine learning-based model tgBoost, 425 which emphasizes the importance of consideration of functionality and molecular structure in 426 accurate predictions of $T_{\rm g}$. The relationship between viscosity and composition is also reflected 427 in the atomic O:C and N:C ratios of n-alkane SOA, which decrease monotonically upon an 428 increase of carbon number of the n-alkane, since higher oxidation state and functionalization 429 can increase T_g (Derieux et al., 2018; Koop et al., 2011; Shiraiwa et al., 2017; Saukko et al., 430 2012).

431 IVOCs gain growing attention for better characterization of urban air quality, as they 432 represent an important source of SOA as shown by chamber experiments (Aimanant and 433 Ziemann, 2013a; Lim and Ziemann, 2009b) and as observed in field observations (Gentner et 434 al., 2012; Li et al., 2022; Robinson et al., 2007; Mcdonald et al., 2018). While a few large-scale 435 aerosol models treat IVOC SOA to achieve better agreement with ambient measurements (De 436 Gouw et al., 2011; Li et al., 2022; Zhao et al., 2016), IVOC SOA is still highly uncertain in 437 terms of chemical composition and particle phase state and model parameters and treatments 438 for SOA formation and partitioning are poorly constrained. Our study provides critical insights for these aspects, showing that n-alkane SOA formation under high NOx conditions (as usually 439 440 the case for ambient urban air) is dominated by gas-phase chemistry followed by partitioning. 441 As the generated SOA particles adopt a low viscous state, there is little kinetic limitations of 442 mass accommodation and bulk diffusion, which supports the application of equilibrium SOA 443 partitioning in the boundary layer. While the experiments and modeling were conducted for dry 444 conditions in this study, the phase state and viscosity of ambient n-alkane SOA would be 445 expected to be even lower under humid conditions due to hygroscopic growth and water acting 446 as plasticizer. Note that further experiments and model simulations are required for different 447 conditions for middle and upper free troposphere, as viscosity is expected to become higher 448 under low temperatures.

It is highly remarkable that the combination of tgBoost and GECKO-A box model accurately simulates SOA yields, functional group distributions and phase state. This new model represents a unique and comprehensive tool for simulating formation, partitioning and chemical evolution of SOA, opening up a new avenue for analyzing complex interplay of gasphase chemistry and particle-phase processes and composition in SOA for detailed analysis and





interpretation of laboratory experiments and field observations. In addition, we propose to pursue the application of this model as a basis for the development of a detailed master mechanism of multiphase aerosol chemistry as well as for the derivation of simplified but realistic parameterizations for air quality and climate models. In regional and global air quality models, it is challenging and computationally very expensive to treat complex SOA multiphase processes. Thus, such processes should be treated in efficient but effective way and the new model shall serve as benchmark for the development of simplified SOA descriptions.

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469 Authors contributions. TG and MS designed the study. TG conducted model simulations and 470 data analysis. RV, MC, and BA developed the GECKO-A model. YL and PZ conducted 471 experimental measurements. All authors discussed the results. TG and MS wrote the manuscript 472 with contributions from all coauthors.

473 Competing interests. At least one of the (co-)authors is a member of the editorial board of474 Atmospheric Chemistry and Physics.

475 Code/Data availability. The simulation data may be obtained from the corresponding author

476 upon request. The model tgBoost is available in Github (https://github.com/U0M0Z/tgpipe) and

477 in the homepage (https://azothai.ps.uci.edu/).







480 Figure 1: (a) Yields of SOA generated from OH oxidation of linear n-alkanes as measured by 481 Lim and Ziemann (2009) (markers) (Lim and Ziemann, 2009b) and modeled by the GECKO-482 A box model (lines). The black line represents the base case with mass accommodation 483 coefficient (α) of 1. The dashed lines represent simulations with effective mass accommodation coefficient (α_{eff}) as a function of bulk diffusivity from tgBoost (green) and the compositional 484 parameterization (orange). (b) N:C and (c) O:C ratios in SOA formed by n-alkane oxidation 485 simulated by the GECKO-A box model. The black line represents the base case with α of 1. 486 487 The dashed lines represent simulations with α_{eff} with tgBoost (green) and the compositional 488 parameterization (orange). (d) Simulated functional group distributions of n-hexadecane 489 $(C_{16}H_{34})$ oxidation products in the particle phase. The blue bars represent experimental 490 measurements. The green and orange bars represent GECKO-A box model simulations with 491 α_{eff} with tgBoost and the compositional parameterization, respectively.







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Figure 2: Phase state of n-alkane SOA. (a) Predicted viscosity of SOA generated from nalkanes as computed by the GECKO-A box model with the T_g compositional parametrization (orange line) and tgBoost (green line) at the last step of the simulations (t = 3600 s). (b) Thermal desorption temperatures of n-alkane SOA (C₈₋₁₇) formed on dioctyl sebacate (DOS) seed particles under high NOx conditions.

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501 Figure 3: Molecular composition of oxidation products of n-alkanes under high NOx 502 conditions in the particle phase. Top 15 SOA contributors with highest concentrations in (a) n-503 Hexadecane ($C_{16}H_{34}$) SOA and (b) n-Decane ($C_{10}H_{32}$) simulated by GECKO-A with effective 504 mass accommodation coefficient (α_{eff}) with tgBoost. The species are reported in descending 505 concentrations from left to right and from top to bottom. Positional isomers are lumped into 506 one species. Listed values are T_g as calculated by tgBoost and CP and α_{eff} values at the end of simulation (3600 s) in brackets. Types of compounds are also noted (1st, 2nd, and 3rd generation 507 508 products, decomposition products).







Figure 4: Effects of mass loadings on SOA yields and viscosity. (a) SOA yields from photooxidation of n-decane (C10), n-dodecane (C12), n-pentadecane (C15), and n-heptadecane
(C17) at high NOx as a function of SOA mass concentration, as measured in Presto et al. (2010)
(markers) and as modeled by the GECKO-A box model combined with tgBoost (lines). (b)
SOA viscosity as modeled by the GECKO-A box model combined with tgBoost.





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785	Appendix.

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- 787 Table A1: Experimental and simulated functional group distributions, O:C and N:C ratios of
- 788 SOA generated from C16 oxidation by OH in presence of high NO_x

FG/C16 molecule	Experimental	Simulated (tgBoost)	Simulated (CP)
Nitrate	0.91	0.65	0.54
Carbonyl	0.38	0.26	0.15
Hydroxyl	0.41	0.43	0.45
Carboxyl	0.09	0.0	0.0
Ester	0.28	0.2	0.07
Peroxide	0.12	0.01	0.0
Methylene	13.81	12.12	12.07
O:C	0.28	0.25	0.25
N:C	0.06	0.04	0.03
H:C	1.85	/	/
MW	294	/	/
Density (g cm ⁻³)	1.10	1.06	1.06

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- Figure A1: Predicted $T_{g,org}$ of SOA generated from n-alkanes as computed by the GECKO-A
- 794 box model with the $T_{\rm g}$ compositional parametrization (orange line) and tgBoost (green line) at 795 the last step of the simulations (t = 3600 s).







Figure A2. Top 15 species with highest concentrations in oxidation products of n-hexadecane (C₁₆H₃₄) under high NOx conditions simulated by GECKO-A with effective mass accommodation coefficient (α_{eff}) with the compositional parameterization. The species are reported in descending concentrations from left to right and from top to bottom. Listed values are T_g as calculated by tgBoost and CP and α_{eff} values at the end of simulation (3600 s) in brackets. Types of compounds are also noted (1st, 2nd, and 3rd generation products).

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Figure A3. a) α_{eff} isolines as a function of bulk diffusivity D_b and saturation vapor pressure 807 p^{o} of semi-volatile species. b) Selection of various representative SOA contributors produced 808 809 during the oxidation of n-hexadecane. The species are ordered by decreasing vapor pressure. The reported α_{eff} values for each SOA contributor are calculated for D_b estimated with tgBoost 810 $(D_b = 1 \times 10^{-8} \text{ cm}^2 \text{ s}^{-1})$ and CP $(D_b = 3 \times 10^{-13} \text{ cm}^2 \text{ s}^{-1})$. The values of α_{eff} for the selected species 811 812 are reported as points in the top panel. It shows that for the liquid-like state estimated with the 813 tgBoost configuration, α_{eff} tend towards 1 for all species. This behavior is not observed in the 814 amorphous semi-solid state estimated using the CP model configuration for species with p° 815 above 10^{-9} atm. For the simulated conditions, species with p° between 10^{-8} and 10^{-6} atm are of enough low volatility to partition between the particle and gas phases at equilibrium. For species 816 817 in that volatility range, no mass transfer limitation is observed with the tgBoost configuration, 818 unlike the CP configuration. Using the CP configuration, the most volatile SOA contributors 819 are subjected to substantial mass transfer limitation and are therefore mainly eliminated by gas-820 phase oxidation or wall deposition.