Relevance of feedbacks between water availability and crop systems using a coupled hydrological – crop growth model

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Abstract

Individual hydrological and crop growth models often oversimplify underlying processes, reducing the accuracy of both simulated hydrology and crop growth dynamics. While crop models tend to generalize soil moisture processes, most hydrological models commonly use constant vegetation parameters and prescribed phenologies, neglecting the dynamic nature of crop growth. Despite some studies that have coupled hydrological and crop models, a limited understanding exists regarding the feedbacks between hydrology and crop growth. Our objective is to quantify the feedback between crop systems and hydrology on a fine-grained spatio-temporal level. To this end, the PCR-GLOBWB 2 hydrological model was coupled with the WOFOST crop growth model to quantify both the one-way and two-way interactions between hydrology and crop growth on a daily timestep and at 5 arc minutes (~10 km) resolution. Our study spans the Contiguous United States (CONUS) region and covers the period from 1979 to 2019, allowing a comprehensive evaluation of the feedback between hydrology and crop growth dynamics. We compare individual (stand-alone) as well as one-way and two-way coupled WOFOST and PCR-GLOBWB 2 model runs and evaluate the average crop yield and its interannual variability for rainfed and irrigated crops as well as simulated irrigation water withdrawal for maize, wheat and soybean. Our results reveal distinct patterns in the temporal and spatial variation of crop yield depending on the included interactions between hydrology and crop systems. Evaluating the model results against reported yield and water use data demonstrates the efficacy of the coupled framework in replicating observed irrigated and rainfed crop yields. Our results show that two-way coupling, with its dynamic feedback mechanisms, outperforms one-way coupling for rainfed crops. This improved performance stems from the feedback of WOFOST crop phenology to the crop parameters in the hydrological model. Our results suggest that when crop models are combined

38 with hydrological models, a two-way coupling is needed to capture the impact of interannual
39 climate variability on food production.

41 **1 Introduction**

42 Global trends in population and economic growth are expected to increase the demand for
43 water, food, and energy, threatening the sustainable and equitable use of natural resources
44 (Sophocleous, 2004; Tompkins and Adger, 2004). Water as a resource plays a crucial role in
45 crop growth, cooling of thermoelectric plants, hydropower generation, and covering domestic
46 and industrial demand. Water, therefore, is an essential resource at the core of the Water-
47 Energy-Food-Ecosystem (WEFE) nexus. Currently, 70% of total global freshwater
48 withdrawals are accounted for by agriculture, making it the largest water user among all sectors
49 (Dubois, 2011). The Food and Agriculture Organization (FAO) of the United Nations estimated
50 that the demand for water and food resources will likely increase by 50% by 2050 compared
51 to 2015 (IRENA, 2015; Corona-López et al., 2021). The increasing demand for water and food
52 will likely have negative impacts on the environment and will inhibit socio-economic
53 development if a gap opens between growing water demand and water availability.

54 The critical interplay between hydrology and crop growth becomes evident during
55 hydroclimatic extremes (e.g. droughts, heatwaves), as rising demands coincide with potential
56 declines in both water resources and food production (crop yield) (Jackson et al., 2021). In
57 addressing the complexities associated with these challenges, studies by Jägermeyr et al.
58 (2017), utilizing a dynamic vegetation model (LPJmL), evaluated achievable irrigated crop
59 production under sustainable water management. Their findings revealed that 41% of global
60 water use currently compromises environmental flow requirements crucial for river
61 ecosystems, potentially leading to losses in irrigated croplands. Concurrently, research by
62 Vörösmarty et al. (2000) and Leclère et al., (2014) projects the impacts of climate change on
63 global agricultural systems, foreseeing an increase in irrigated areas in the future, underscoring
64 the necessity for significant investments in irrigation, energy, and water resource management.

65 Biophysical process-based models, as highlighted by Siad et al., (2019) and Zhang et al.,
66 (2021), are instrumental in understanding the intricate relationship between hydrology and crop
67 growth, particularly in response to changing hydroclimatic conditions. Considering factors like
68 irrigation water use and soil-groundwater dynamics, these models explore how meteorological
69 events influence water availability for crops, as well as the impacts of diminished growth and

premature senescence on hydrology through effects on root water uptake and evapotranspiration. This understanding becomes crucial when assessed at the regional to global scale, where local deficits can have cascading consequences for both water and food security.

In the context of studying the impact of climate change and variability on crop yields, aside from biophysical models, numerous crop models have been employed. However, these models often incorporate a simplified soil-water balance (Zhang et al., 2021) that overlooks local hydrological processes and often do not account for water use for irrigation and non-agricultural sectors. Conversely, most hydrological models simplify or neglect the effects of land cover, phenology and vegetation changes on hydrological fluxes and the state of available water resources (Tsarouchi et al., 2014). These simplifications arise due to computational expediency, disparities in process scales between hydrology at the river basin level and crop yield at the field level, incomplete understanding of the other domain by model developers, or because of epistemological uncertainty (Siad et al. 2019; McMillan et al., 2018; Shafiei et al. 2014). Recognizing the strengths of both crop models and global hydrological models, a coupling allows for the exploration of dynamic crop growth's influence on hydrology and water use and the incorporation of accurate spatio-temporal variations in hydrological fluxes, including water use, in estimates of crop yield.

Noteworthy efforts by Droppers et al. (2021) have successfully coupled hydrological and crop models, primarily focusing on achieving attainable crop production. However, these efforts were conducted at half-degree (~50 km) spatial resolution and focused on long-term average crop yield. They therefore fall short in exploring the aspects of fine-scale spatiotemporal variability in particular as a result of interannual climate variability. Other recent efforts to couple crop growth models and global hydrological models (Jägermeyr et al., 2017) predominantly focus on assessing yield under different scenarios or adaptation measures. However, limited work focused on delving into how two-way interactions and feedback mechanisms between crop growth and hydrological systems operate.

In addition, integrated assessment models have been instrumental in studying the combined effects of climate change and socio-economic developments on crop yield and water resources at a large scale. Typically, these models operate on a macro-regional level (Easterling, 1997) and use annual (or 5 to 10 yearly timesteps), neglecting the impacts of inter- and intra-annual variability and particularly short-term hydroclimatic extremes. Furthermore, integrated

101 assessment models often adopt an optimization modelling approach, making them less suitable
102 for studying the effects of hydroclimatic extremes (Ewert et al., 2015).

103 Another class of efforts to link water to crop production are water-food nexus studies, that,
104 however, tend to concentrate on local linkages or provide qualitative descriptions of existing
105 connections (Momblanch et al., 2019). For instance, a recent review of water-food nexus
106 studies focusing on the contiguous United States (CONUS), shows that such studies focus
107 mainly on water security indicators (Veettil et al., 2022) or climate variability impacts on crop
108 yields (Huang et al., 2021). However, knowledge gaps persist, as water and food resources are
109 often evaluated separately (Corona-López et al., 2021), exploring allocations through an
110 optimization model (Mortada et al., 2018) that lacks spatiotemporal variability considerations.
111 Notably, there is a lack of effort to understand the interactions between hydrology and crop
112 growth. Further research is needed to bridge these gaps and enhance our understanding of the
113 dynamic and interlinked processes shaping the water-food nexus.

114 To address this knowledge gap, our objective is, therefore, to quantify the feedback between
115 crop growth and hydrology. Although eventually global scale in scope, we limit this analysis
116 to the Contiguous United States (CONUS) region, to keep the analysis tractable and because
117 CONUS has detailed information on yearly crop production and water use.

118 CONUS is a major producer and contributor to the global production of three primary crops:
119 maize, soybean, and wheat. These crops were selected due to their substantial impact on the
120 agricultural landscape and their pivotal role in shaping global food production trends. The
121 CONUS serves as an ideal study area owing to its extensive availability of relevant data,
122 particularly on agricultural statistics and irrigation water withdrawals, which can provide a
123 basis for analysis and model evaluation. Additionally, the CONUS region exhibits diverse
124 climatic and geographic conditions, contributing to a better understanding of crop and water
125 system dynamics and their responses to various environmental factors.

126 To this end, we developed a coupled global hydrological-crop growth model framework to
127 investigate the intricate feedback between water availability and crop growth, focusing on three
128 key scientific objectives: 1) assessing the impacts of irrigation and hydrology on crop growth;
129 2) investigating the feedbacks of crop growth on the hydrological system when accounting for
130 interannual variability; and 3) evaluating the importance of the two-way coupling between
131 hydrology and crop growth to provide realistic water resources and crop yield simulations. By
132 delving into these aspects, we aim to contribute valuable insights into the feedback processes

133   between hydrology and crop growth, thereby addressing the current research gap in a more
134   comprehensive manner.

135   The rationale behind coupling the hydrological and crop growth models lies in the need to
136   accurately capture the dynamic interactions between these systems, ensuring that both the water
137   availability and crop growth are represented with a sufficient level of sophistication in the
138   simulations to understand crop-water interactions. The coupling allows for the exchange of
139   critical variables such as soil moisture, evapotranspiration, and crop water uptake, which are
140   essential for understanding and predicting the impacts of environmental changes on agricultural
141   productivity and water resources. The justification for this coupling, including its expected
142   benefits and the technical approach, is detailed in section 2.2.

143   We hypothesize that the feedback between hydrology and crop growth is significant and
144   complex. Changes in soil moisture and water availability are expected to directly influence
145   crop water uptake, growth rates, and yield outcomes. Conversely, crop processes such as
146   evapotranspiration and root water uptake are likely to impact soil moisture levels, groundwater
147   recharge, and surface water flows, thereby altering water resources. Furthermore, we anticipate
148   that the integration of real-time crop data into hydrological models will enhance the accuracy
149   of predictions regarding water stress, irrigation needs, and crop productivity.

150   To address this, the PCR-GLOBWB 2 hydrological model (Sutanudjaja et al., 2018) is coupled
151   to the WOFOST crop model (de Wit et al., 2019) at a daily timestep and at a 5-arc minute (~10
152   km) spatial resolution applied to CONUS (section 2.1). In examining the interaction between
153   hydrology and crop growth, we consider both one-way and two-way interactions. First, a one-
154   way coupling is established to evaluate the effect of the simulated water availability of PCR-
155   GLOBWB 2 for rainfed and irrigated crop growth in WOFOST (section 2.1; section 2.3.1). In
156   addition, a two-way coupling is established in which, additional to passing water availability
157   from PCR-GLOBWB 2 to WOFOST, the crop phenology of WOFOST in terms of actual
158   evapotranspiration, leaf area index and rooting depth is fed back into PCR-GLOBWB 2
159   (section 2.1, 2.3.2;).

160   Furthermore, our framework was tested by comparing individual WOFOST and coupled one-
161   way and two-way model runs to evaluate the impact of feedbacks on crop yield and irrigation
162   water use (section 2.4). The results of these simulations are compared with and evaluated
163   against reported yield statistics and reported annual irrigation withdrawals to assess their
164   validity (section 2.5; section 3). In the end, we elaborate on the uncertainties, strengths, and

165 usability of our coupled model framework for studying the water-food nexus under global
166 change (section 4).

2 Methods

168 A newly coupled hydrological-crop model framework (Fig. 1) is developed to include the
169 feedback between crop growth and hydrology. Here, we chose WOFOST as the crop growth
170 model because of its detailed crop phenology and development and PCR-GLOBWB 2 as the
171 hydrological model because of its detailed hydrological process simulation and large-scale
172 applicability. This framework includes both a one-way and two-way coupling between the
173 PCR-GLOBWB 2 global hydrological and water resources model (Sutanudjaja et al., 2018)
174 and the WOFOST crop growth model (de Wit et al., 2019). The coupled framework was then
175 used to quantify the impacts of included feedbacks between hydrology and crop growth on a
176 daily timestep and 5 arcminutes resolution for CONUS.

177 The following (sub)sections provide a description of the PCR-GLOBWB 2 and WOFOST
178 models and modules used (2.1), justification of coupling (2.2), the model coupling setup (2.3),
179 model coupling simulation experiments and parametrization (2.3), validation of crop yield and
180 of irrigation water use (2.4).

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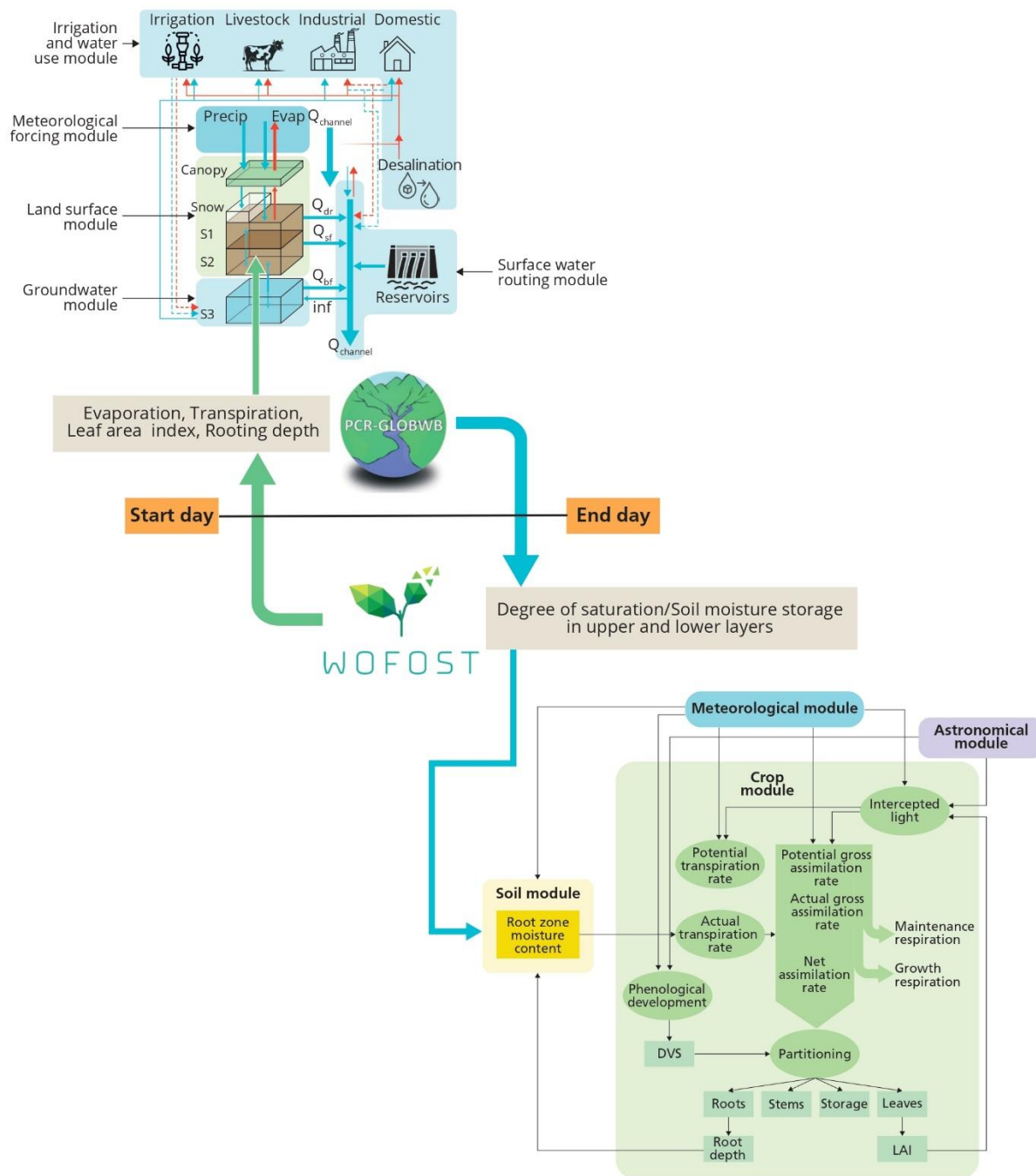
Figure 1: The coupled model framework of the PCR-GLOBWB 2 hydrological and water resource model and the WOFOST crop growth model along with their model structures. The blue arrow represents the one-way coupling from PCR-GLOBWB 2 to WOFOST and the variables that are exchanged; the green arrow is added in case the full two-way coupling is considered. At the start of the day, WOFOST computes evapotranspiration, leaf area index, and rooting depth that is used by PCR-GLOBWB 2 to compute soil moisture status. At the end of the day, soil moisture storage in the upper and lower layers from PCR-GLOBWB 2 is fed to WOFOST to compute crop growth for the next day.

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2.1. Model description

PCR-GLOBWB 2

The PCRaster Global Water Balance (PCR-GLOBWB 2) model (Sutanudjaja et al., 2018), developed at Utrecht University, is a global hydrological and water resource model that operates on a latitude-longitude grid. This model simulates the terrestrial hydrological cycle with daily resolution, incorporating anthropogenic impacts like man-made reservoirs, sectoral water demands, withdrawals, consumptive use, and return flows. PCR-GLOBWB 2 is applied and tested across local to global scales.

PCR-GLOBWB 2 utilizes time-explicit schemes for all dynamic processes, running on daily time steps for hydrology and water use, and sub-daily steps for hydrodynamic river routing. It simulates moisture storage in two upper soil layers and manages water exchange among the soil, atmosphere, and groundwater. Atmospheric interactions include precipitation, evaporation, transpiration, and snow processes. The model considers sub-grid variability in land use, soils, and topography, influencing run-off, interflow, groundwater recharge, and capillary rise. Run-off is routed through river networks using methods ranging from simple accumulation to kinematic wave routing, supporting floodplain inundation and surface water temperature simulation.

The model includes a reservoir operation scheme for over 6000 human-made reservoirs from the GRanD database, integrated according to their construction year. Human water use is comprehensively modeled, estimating sectoral water demands and converting them into withdrawals from groundwater, surface water, and desalination sources, while accounting for resource availability and groundwater pumping capacity. Consumptive use and return flows are calculated for each sector.

PCR-GLOBWB 2's flexible structure encompasses five main hydrological modules: meteorological forcing, land surface, groundwater, surface water, irrigation, and water use. The meteorological module uses gridded temperature and precipitation data. Reference potential evaporation is calculated using Hamon's method and employed in the land surface module to determine crop-specific potential evaporation. The groundwater and surface water modules handle fluxes and stores for groundwater and surface water, respectively. The irrigation and water use module simulates water demand, withdrawals, consumption, and return flows, sourcing water from surface water (rivers and reservoirs), groundwater (both renewable and

223 non-renewable), and desalinated water, depending on availability. Detailed descriptions of
224 each module are provided by Sutanudjaja et al., (2018).

WOFOST

226 WOFOST (WOrld FOod STudies) is a crop simulation model developed at Wageningen
227 'School of De Wit', in the Netherlands, designed to quantitatively analyze the crop growth and
228 potential production of annual field crops at the field scale (Supit et al., 1994). WOFOST
229 employs a fixed time step of one day to simulate crop growth based on eco-physiological
230 processes such as phenological development and growth (de Wit et al., 2019). WOFOST has
231 found extensive application in assessing the impacts of climate change and management
232 strategies on crop growth and yield at local to global scales (Droppers et al. 2021).

233 The WOFOST crop model comprises of four modules: meteorological, crop, astronomical and
234 soil (Fig. 1). The WOFOST modules simulate a range of processes, including phenological
235 development, CO_2 assimilation, leaf development, light interception, transpiration, respiration,
236 root growth, assimilated partitioning to the various organs and the formation of dry matter. The
237 model's output includes simulated crop biomass total, crop yield and variables such as leaf area
238 and crop water use.

239 Temperature effects on crop development within WOFOST are modeled using temperature
240 sums, which accumulate daily temperatures above a specified threshold. These sums influence
241 germination and phenological stages, thereby affecting CO2 assimilation. Additionally, the
242 model accounts for the direct and indirect effects of suboptimal daytime temperatures on crop
243 growth and development, which are critical to overall plant performance. Daily photosynthesis
244 in the crop growth model is simulated by considering absorbed radiation and water stress. After
245 accounting for the assimilates used in maintenance respiration, the remaining resources are
246 allocated among the plant's leaves, stems, roots, and storage organs. A key internal driver of
247 this process is the leaf area index (LAI), which results from leaf area dynamics governed by
248 photosynthesis, biomass allocation, leaf age, and developmental stage. LAI, in turn, influences
249 the daily rates of photosynthesis.

250 WOFOST has been finely tuned to account for diverse climate and soil conditions, particularly
251 for commonly studied crops such as maize, soybean, and wheat, thereby, reducing the need for
252 further recalibration. This pre-tuning ensures that simulations reliably capture the growth and
253 yield responses of these crops under varying environmental conditions. For more detailed
254 information on the fine-tuning of crop variables, see (de Wit & Boogaard, 2021).

WOFOST employs a classic water balance approach designed for freely draining soils where groundwater is too deep to affect soil moisture content in the rooting zone. This approach divides the soil profile into two compartments: the rooted zone and the lower zone extending from the actual rooting depth to the maximum rooting depth. The subsoil below this maximum rooting depth is not considered. As roots extend deeper towards the maximum rooting depth, the lower zone gradually merges with the rooted zone. This approach is suitable for regional applications with limited soil property information. Soil moisture in the root zone serves as a primary link between the WOFOST model and the underlying soil module. For a detailed description of the WOFOST crop growth model, we refer to de Wit & Boogaard, (2021) and Supit et al., (1994).

2.2. Justification of model coupling

The integration of the hydrological model PCR-GLOBWB 2 (Sutanudjaja et al., 2018) with the crop growth model WOFOST (Supit et al., 1994) is crucial for accurately simulating the complex interactions between water availability and crop development. The hydrological model PCR-GLOBWB 2 is designed to simulate hydrological processes such as river discharge, groundwater flow, and water storage dynamics. It provides detailed representation and insights into the state and dynamics of water resources over large spatial scales and long temporal scales. On the other hand, the crop growth model WOFOST is focused on simulating crop phenology, including the stages of crop development, growth, and yield formation under varying environmental conditions.

Despite the strengths of each model, they individually have limitations that can affect the accuracy of simulations. PCR-GLOBWB 2 relies on static vegetation parameters, such as fixed Leaf Area Index (LAI) and root depth, which can limit its ability to reflect the dynamic nature of crop growth. On the other hand, WOFOST offers a detailed and dynamic representation of crop phenology and development, adjusting parameters like LAI and root depth based on actual growth stages. However, WOFOST employs a simplified water balance model, that may not adequately capture complex hydrological interactions.

To address these limitations, it is important to combine the strengths of both models to enhance hydrological and crop modelling performance. By integrating, WOFOST's detailed crop growth simulation capabilities with the robust hydrological process simulations of PCR-GLOBWB 2, we can better understand and represent the soil-plant-atmosphere interactions. Therefore, this study integrates PCR-GLOBWB 2 and WOFOST by passing soil moisture data

from PCR-GLOBWB 2 to WOFOST and feeding vegetative fluxes from WOFOST back into PCR-GLOBWB 2 on a daily basis. Additionally, to understand the intricate dynamics between hydrology and crop model, PCR-GLOBWB 2 is coupled to the WOFOST in one-way and two-way interactions.

In evaluating various coupling methods for integrating hydrological and crop models, we identified several approaches, including one where the hydrological model directly provides detailed irrigation schedules and percolation rates to the crop model. While this method offers highly detailed hydrological inputs, it often leads to inconsistencies due to the separate handling of soil moisture dynamics between the models, resulting in errors in soil moisture management and water balance. Commonly used coupling procedures, such as those described by Li et al., (2014) and Tsarouchi et al., (2014), calculate potential evapotranspiration and vegetation water uptake within the hydrological model, which is then passed to the crop model to simulate crop growth. The crop model then calculates state variables like leaf area index, root depth, and canopy height, which are subsequently fed back into the hydrological model. However, these methods can introduce system errors, particularly in the transpiration module, if there is a discrepancy between evapotranspiration calculated by the crop and hydrological model, as highlighted by Wang et al., (2012). Our chosen coupling method, where soil moisture is calculated by PCR-GLOBWB 2 and passed to WOFOST and vegetative dynamics and evapotranspiration fluxes are then fed back into PCR-GLOBWB 2, offers a balanced approach that ensures consistency, and the necessary complexity, and efficiency in the simulations.

The selected coupling approach also addresses specific challenges associated with the models. PCR-GLOBWB 2 allows for flexible land cover classification and parameterization, which is essential for accurately representing diverse crop types and their interactions with water resources. For this study, we defined 12 land cover types (tall natural, short natural, pasture, irrigated maize, irrigated soybean, irrigated wheat, non-paddy irrigated crops (irrigated other crops), paddy irrigated crop, rainfed maize, rainfed soybean, rainfed wheat and rainfed others). WOFOST's role in this coupling is to pass the fluxes of irrigated and rainfed maize, soybean and wheat to PCR-GLOBWB 2, ensuring a detailed simulation of crop water use.

One of the key considerations in this coupling is accurately calculating the soil-water balance. Given its more advanced soil moisture accounting scheme, PCR-GLOBWB 2 handles this aspect, as WOFOST's simpler single-layer leaky bucket approach could introduce complexities if soil moisture data were passed from WOFOST to the multi-layered soil model

319   of PCR-GLOBWB 2. Therefore, the coupling approach we selected minimizes potential

320   discrepancies while maximizing the strengths of each model.

321   It is important to acknowledge, that individual models come with inherent uncertainties, related

322   to model structure, parameters and data. When coupling these models, the level of uncertainty

323   compounds further (Kanda et al., 2018). Additionally, the nature of coupling itself can

324   introduce another layer of uncertainty. According to Antle et al., (2001), coupling models lead

325   to further conceptualization and computational problems, elevating uncertainty levels.

326   Therefore, an efficient coupling is essential to minimize these risks. There are three primary

327   methods for coupling models (Vereecken et al., 2016): light/loose coupling,

328   external/framework coupling using a central coupler, and full coupling.

329   In light or loose coupling, the output of one model serves as the input for the other, which can

330   lead to a straightforward but limited interaction. Framework coupling uses a central coupler for

331   communication between models without requiring code modification, offering a balance

332   between integration and flexibility. Full coupling involves both models sharing the same

333   boundary conditions, drivers, and variables, which requires significant code modification.

334   **Implementation of the (BMI) framework coupling**

335   Given the complexity of integrating the PCR-GLOBWB 2 and WOFOST models and the need

336   for efficient simulations, we opted for framework coupling. This approach was chosen because

337   WOFOST and PCR-GLOBWB 2 are written in different programming languages (C and

338   PCRaster-Python, respectively). Framework coupling allows for seamless interaction between

339   the models at each time step, facilitating dynamic exchanges while limiting I/O-related

340   computation times. We employed the Basic Model Interface (BMI) for this purpose (Hutton et

341   al., 2020; Peckham et al., 2013). The decision to use BMI over alternative techniques was

342   driven by its non-interfering nature, ensuring no code entanglement and facilitating seamless

343   connection between the two models. BMI functions act as a bridge, enabling direct variable

344   exchange between WOFOST and PCR-GLOBWB 2 without modifying their source code. This

345   non-invasive approach ensures a flexible and robust coupling framework, allowing continuous

346   model development without interruptions. Integrating BMI functions into both models

347   provides a set of functions for retrieving or altering model variables, enhancing adaptability

348   and efficiency.

349   An additional wrapper was required to translate the model-specific BMI functions into Python-

350   compatible information to establish a Python-based coupling framework. The Babelizer

351 wrapper (CSDMS, 2024) was utilized for this purpose with the WOFOST BMI. Conversely,

352 no supplementary wrapper is needed in the PCR-GLOBWB 2 BMI, as the model is inherently

353 Python-compatible due to its programming language.

354 The Babelizer wrapper facilitates the integration of the WOFOST model by utilizing an input

355 file that provides essential details, including the model library, entry point, packages, and

356 author information. This input file guides the construction of the necessary dependencies to

357 generate Python bindings. Once these Python bindings are created, Babelizer ensures the

358 successful integration of the WOFOST BMI into Python by verifying that the bindings are

359 correctly built and loaded.

360 **Workflow of PCR-GLOBWB 2 - WOFOST model framework**

361 In the PCR-GLOBWB 2 - WOFOST coupling framework, the workflow after implementing

362 BMI functions remains consistent for both one-way and two-way coupling, up until the

363 initialization of the hydrological and crop models (Fig. 2).

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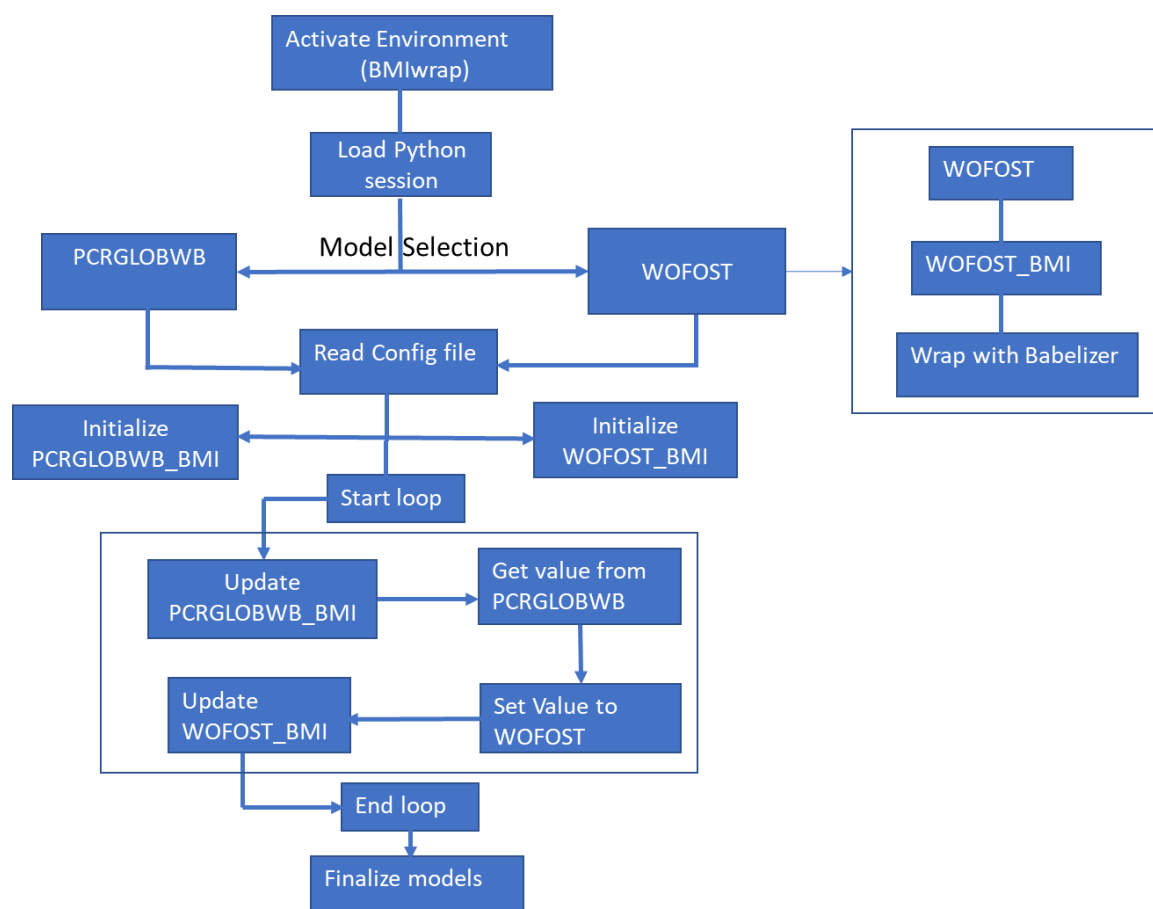
365 **Figure 2: Schematization of the workflow of the coupled PCR-GLOBWB 2 - WOFOST model framework**

Before initiating the Python session, it is crucial to activate the BMI wrap environment, which includes all necessary libraries for both hydrological and crop models. After this setup, the PCR-GLOBWB 2 and WOFOST models, along with their configuration files that define the coupling settings, are loaded into the Python session. BMIwrap reads the configuration file, initializing the model-specific configuration settings before establishing both models as a coupled entity.

Once the coupled models are initialized, a loop is initiated, commencing at the start time and concluding at the end time. During each iteration of this loop, variables are exchanged between the models based on the one-way or two-way coupling configuration. This iterative process ensures a continuous and seamless flow of information between the PCR-GLOBWB 2 hydrological model and the WOFOST crop model throughout the simulation period.

2.3.Model coupling setup

The developed PCR-GLOBWB 2 - WOFOST coupled model framework integrates hydrological and crop models through both one-way and two-way couplings, as illustrated in Fig. 1 &3. This model coupling aims to assess the intricate interactions between hydrology and crop growth under different agricultural conditions, specifically irrigated and rainfed settings. The one-way coupling examines the impact of water availability on crop growth, while the two-way coupling incorporates the exchange of soil moisture status and hydrological parameters and fluxes based on crop status.

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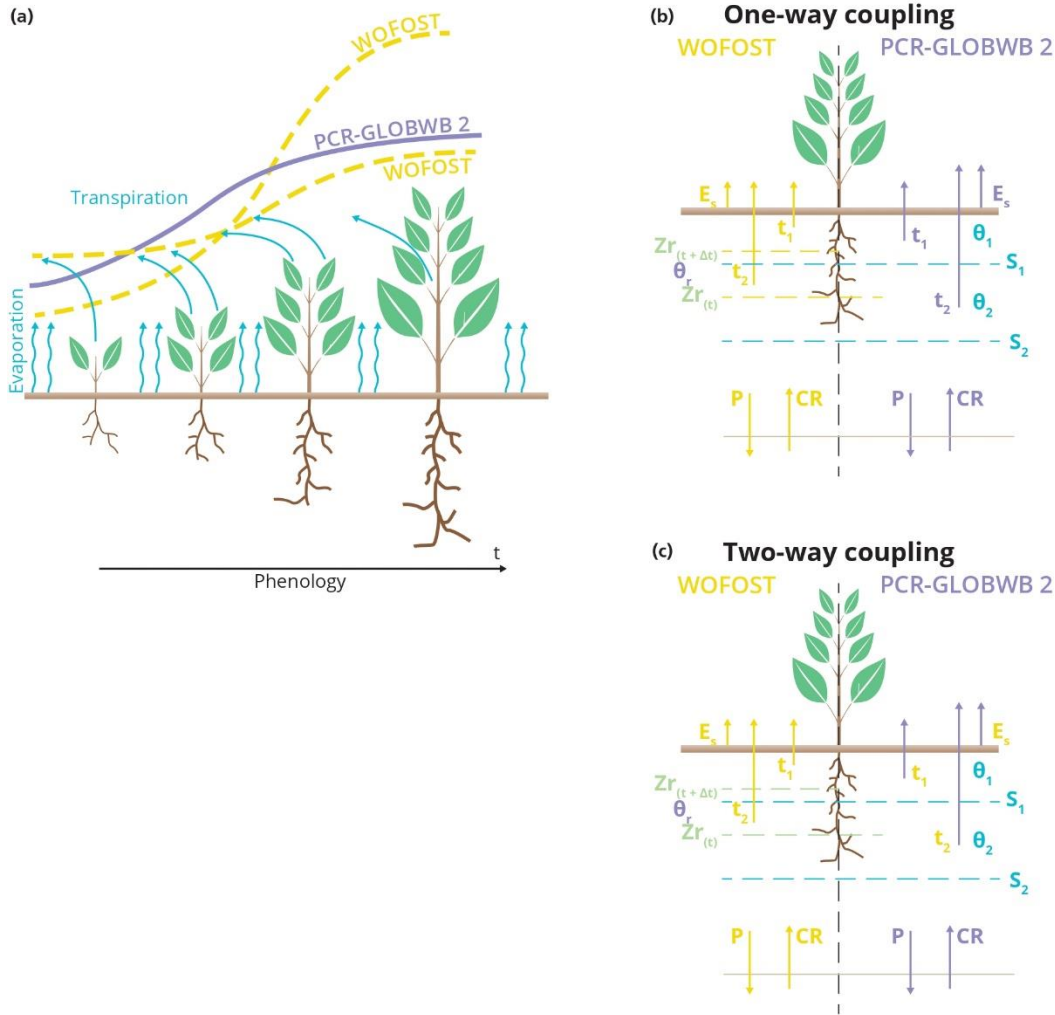
Figure 3: Schematic view of the coupled model framework: a) shows the calculated phenology from WOFOST and PCR-GLOBWB 2 over time along with the associated fluxes. b) displays a detailed representation of the one-way coupling approach, where variables such as soil moisture are exchanged from PCR-GLOBWB 2 to WOFOST and (c) illustrates the two-way coupling approach, where variables are exchanged in both directions between PCR-GLOBWB 2 and WOFOST.

2.3.1. One-way coupling

In the one-way coupling, information on soil moisture status is passed from PCR-GLOBWB 2 to WOFOST (Fig 3(b)). Here, PCR-GLOBWB 2 simulates soil moisture content for every day and the soil water storage is simulated separately for each land cover type. Consequently, WOFOST receives the soil moisture content from PCR-GLOBWB 2 as input, with generally higher values of soil moisture for irrigated crops than of nearby rainfed crops. WOFOST then simulates the crop yield based on the simulated soil moisture content and the same meteorological inputs as PCR-GLOBWB 2 uses.

The combined model framework captures the impact of hydroclimatic conditions by assessing water stress and heat stress. Water stress, influenced by soil moisture levels derived from PCR-

GLOBWB 2, affects various processes in WOFOST such as a reduction in the leaf area, a decrease in the assimilation of biomass (growth), changes in the partitioning of biomass, and an increase in various plant organs of senescence (ageing processes). Elevated temperatures have varying effects across different stages of crop development. They can accelerate crop growth by promoting faster accumulation of Growing Degree Days, which are essential for determining crop maturity. However, prolonged exposure to high temperatures can also induce heat stress, adversely impacting crop health and potentially shortening the overall duration of the crop's growth cycle. Insufficient water availability that limits the evapotranspiration also reduces the amount of assimilation and the corresponding yield.

2.3.2. Two-way coupling

- In addition to one-way coupling, the two-way coupling approach involves iterating data exchange between WOFOST and PCR-GLOBWB 2 twice per day. WOFOST calculates the vegetation states, (such as leaf area index (LAI), biomass and root depth) and fluxes (e.g., evapotranspiration) for irrigated and rainfed maize, soybean and wheat crops, while other vegetation and non-vegetation fluxes for other crops are simulated within PCR-GLOBWB 2. To be more specific, for the fraction of land cover that is different from maize, wheat and soybean, the vegetation states and fluxes are calculated within the PCR-GLOBWB 2. For these land cover types, vegetation phenology in the form of crop factors, is approximated by a yearly climatology. In the two-way coupling, data is exchanged between PCR-GLOBWB 2 and WOFOST as follows (Fig. 3c): At the start of the day, PCR-GLOBWB 2 passes the previous day's soil moisture to the WOFOST, assuming no root development has occurred overnight. WOFOST then computes the potential evapotranspiration based on the meteorological variables at the current time step and the pertinent vegetation states from the previous time step (leaf area index (LAI), rooting depth, and crop height). It also calculates the actual bare soil evaporation, actual transpiration (actual evapotranspiration), potential evaporation and open water evaporation;

- The calculated fluxes are passed to PCR-GLOBWB 2, together with the root depth. The root depth is used to partition the actual transpiration from the single root zone of WOFOST over the two soil layers of PCR-GLOBWB 2, dependent on the root content. For both irrigated and rainfed crops, the actual evapotranspiration from WOFOST is forced to PCR-GLOBWB 2 and used to update the soil moisture content of the two soil layers in PCR-GLOBWB 2 for the current daily timestep;

- In the case of irrigated crops, the stages of vegetated development are used to compute the amount of irrigation in PCR-GLOBWB 2. Potential evaporation is used to calculate the irrigation water demand for paddy crops (not considered here), whereas the irrigation water requirement for non-paddy crops is computed based on the soil moisture status according to the FAO guidelines (Allen et al., 1998). The irrigation water requirement is withdrawn from the available water resources in PCR-GLOBWB 2, and the available irrigation water supply is applied to the crops in addition to any natural precipitation;

- At the end of the day, the resulting soil moisture from the two soil layers from PCR-GLOBWB 2 is aggregated to provide a total for the root zone of each crop, which is then passed back to WOFOST;

- Using the updated soil moisture from PCR-GLOBWB 2, WOFOST computes the actual transpiration and updates crop growth and the crop status. The new fluxes and crop parameters are then passed to PCR-GLOBWB 2 again on the next day (Fig.1, Fig. 3c).

In this two-way coupling, the crop phenology from WOFOST determines evapotranspiration and thus the soil hydrology of PCR-GLOBWB 2, particularly during dry spells. Compared to the predefined phenology of PCR-GLOBWB 2, the LAI, rooting depth and evapotranspiration as simulated by WOFOST will lag during dry spells and less water may be lost from PCR-GLOBWB 2. However, the thinner rooting depth will also lead to an earlier drying out of the soil and reduced capillary rise. This subsequently leads to reduced soil moisture (compared to PCR-GLOBWB 2 standalone) which in turn feeds back to a reduced simulated yield in WOFOST, in particular for rainfed crops. For irrigated crops, the extra water supplied will largely offset these feedbacks and result in near-optimum growth.

2.4. Model coupling simulation experiments and parametrization

Hydrological simulations were conducted with a daily timestep at a 5-arcminute grid resolution, where for each grid cell WOFOST was used to simulate crop growth for irrigated and rainfed maize, soybean, and wheat. To assess the impact of hydrology on crop growth and understand the interactions between hydrology and crop growth, three sets of simulations were carried out for both irrigated and rainfed crops: a) standalone simulations using the WOFOST crop model solely, b) one-way coupled, and c) two-way coupled PCR-GLOBWB 2 - WOFOST simulations. Note that for the standalone simulations with WOFOST under irrigation the potential crop yield is simulated, which is potential yield without water (and nutrient) stress

466 except for temperature effects. When coupled to PCR-GLOBWB 2, water stress can occur even
467 for irrigated crops in case there is not enough water available (in PCR-GLOBWB 2) to fully
468 satisfy the crop water demand. For rainfed crops, growth is influenced by available soil
469 moisture for all simulations and is thus sensitive to water stress and temperature. Green water
470 from natural rainfall is the primary water supply in rainfed analysis, while irrigated crops get
471 water from both green and blue water (from surface water and renewable groundwater) and
472 non-renewable groundwater leading to groundwater depletion.

473 Daily timestep simulations covered the period from 1979 and 2019, using weather variables
474 (minimum and maximum air temperature, short wave radiation, precipitation, vapour pressure,
475 windspeed, and humidity) from the W5E5 forcing data (Lange et al., 2021) as input to PCR-
476 GLOBWB 2 (Sutanudjaja et al., 2018) and WOFOST. Cropland areas and growing seasons
477 were determined from the MIRCA2000 (Portmann et al., 2010) global monthly irrigated and
478 rainfed crop area dataset. The focus of the coupled framework was to comprehend the impacts
479 and feedback between hydrology and crop growth. Crop parameters, atmospheric CO_2
480 concentrations, and fertilizer application were obtained from the WOFOST crop parameter
481 dataset for each crop (WOFOST Crop Parameters, 2024). Cultivars in the WOFOST crop
482 parameter datasets were calibrated for each crop against reported agricultural yields from the
483 United States Department of Agriculture (USDA) National Agricultural Statistics Service
484 (USDA, 2024), with the closest matching cultivar selected for final simulations. Detailed
485 information on the cultivar calibration for each crop (i.e., irrigated and rainfed maize, soybean
486 and wheat) is provided in the supplementary information section III.

487 Comparisons were made between simulations from standalone WOFOST and the one-way and
488 two-way coupled PCR-GLOBWB 2 - WOFOST runs. This comparative analysis involved
489 evaluating the results from different model runs for crop growth against reported crop yields.
490 Furthermore, irrigation water withdrawals of coupled model runs are compared against the
491 USGS Water Use Database (USGS, 2023) (section 2.4).

492 **2.5.Model evaluation**

493 We evaluated the three different model configurations by comparing simulated results against
494 reported USDA crop yields of maize, soybean and wheat. Furthermore, we cross-referenced
495 our simulations with irrigation water withdrawal data spanning five years from the USGS
496 Water Use Database. Specifically, we compared data for the years 2005, 2010, and 2015, as
497 the USGS census data is collected at five-yearly intervals.

2.5.1. Crop yields model evaluation

To assess the model's performance, we employ three key metrics: correlation coefficients (r), Normalized Root Mean Square Error (NRMSE) and Normalized Bias (NBIAS). These metrics were selected for their ability to capture the strength, accuracy and systematic errors in the relationship between simulated and observed values.

$$r = \frac{\sum(P_i - \bar{P})(O_i - \bar{O})}{\sqrt{\sum(P_i - \bar{P})^2 \cdot \sum(O_i - \bar{O})^2}} \tag{1}$$

$$NRMSE = \frac{\sqrt{\frac{1}{n}\sum_{i=1}^{n}(P_i - O_i)^2}}{\bar{O}} \tag{2}$$

$$NBIAS = \frac{\frac{1}{n}\sum_{i=1}^{n}(P_i - O_i)^2}{\bar{O}} \tag{3}$$

Where, P_i and O_i are the individual predicted and observed values, respectively and $\bar{P}$ and $\bar{O}$ are the means of the predicted and observed values.

The evaluation was done both temporally for average CONUS yields per year, as well as for multi-year averages per state-per-state to evaluate the model's ability to capture spatial variations in crop yield. This was done for both irrigated and rainfed maize, soybean and wheat.

To further characterize the dataset and evaluate the impact of the degree of coupling on simulated yields, additional statistical analyses were conducted on the 41 years of simulated data at the 5-arcminute grid scale. To this end, the mean and coefficient of variation (CV) were computed for both one-way and two-way datasets for the three crops under irrigated and rainfed conditions. The purpose of this analysis was to examine the central tendency and year-to-year variability of yield simulations and how these are related to the way hydrology and crop growth are coupled.

2.5.2. Irrigation water use model evaluation

The USGS reported irrigation water use data provides a comprehensive representation of the total irrigation water utilized by all crops for a number of states (USGS, 2023). The irrigated crop area used in this dataset is however not the same as that used in PCR-GLOBWB 2 which is based on MIRCA2000 (Portmann et al., 2010). Thus, directly comparing USGS data with our simulated water withdrawals would result in bias. To ensure a fair comparison between the simulated and reported data for all crops, we adjusted the USGS irrigation water use data by multiplying these with the ratio of the irrigated area from MIRCA2000 to the reported total

526 USGS irrigated area. Additionally, our simulated irrigation water withdrawal volumes did not
527 yet account for irrigation efficiency. We intend to implement this in future development.
528 Hence, we introduced an additional correction by dividing the simulated withdrawal data by
529 the irrigation efficiency as is commonly used in PCR-GLOBWB 2 when it is not coupled to a
530 crop model.

531 After these corrections, the coupled model simulated irrigation water withdrawals for all crops
532 were evaluated against actual irrigation data obtained from the USGS database through spatial
533 (multi-year averages per state) and temporal (multi-state totals per year) analysis, providing
534 insights into the model's ability to replicate observed irrigation water use patterns.

535 This comparison was limited to the years with available reported area data for the simulation
536 period (2005, 2010, 2015) and to the states with reported irrigation water withdrawal volumes
537 for these years (37 states).

538 **3. Results**

539 In this section, we present the key findings obtained from the implementation of the coupled
540 hydrological-crop growth model framework based on WOFOST and PCR-GLOBWB 2. We
541 present our findings sequentially, first delving into observed hydrological impacts on crop
542 growth (one-way coupling) and then exploring how feedback mechanisms between crop
543 growth and hydrology impact the crop growth system (two-way coupling).

544 **3.1 Comparative temporal and spatial analysis of stand-alone, one-way, and two-way**
545 **coupling for irrigated and rainfed crops**

546 Temporal analysis (Fig. 2) compares the simulated yields with reported yields for irrigated and
547 rainfed maize, soybean, and wheat crops spanning from 1979 to 2019 in the CONUS region.
548 Notably, the reported yields exhibit discernible trends for the CONUS region across the three
549 crops and in both irrigated and rainfed analysis. This temporal evolution is primarily attributed
550 to technological advancements, encompassing improved agricultural practices and the
551 introduction of enhanced crop varieties over the study period (Arata et al., 2020). In contrast,
552 simulated yields of our coupled PCR-GLOBWB 2–WOFOST model framework do not capture
553 such trends, as the modelling approach intentionally omitted to incorporate trends in
554 technology and management practices. This intentional omission was to focus on the intrinsic
555 biophysical processes and climatic conditions affecting crop yields, providing a baseline
556 understanding unaffected by external advancements.

The trends in reported yields differ significantly across all crops and between irrigated and rainfed systems. For maize, both irrigated and rainfed yields show an increasing trend, particularly post-2000, which is not reflected in the simulated yields. Soybean yields exhibit a gradual upward trend in irrigated systems, while rainfed soybean yields show little to no discernible trend until 2007, followed by a slight increase. Wheat yields, both irrigated and rainfed, demonstrate fluctuations with a slight upward trend towards the end of the period. These discrepancies can be attributed to various factors, including technological advancements, improved agricultural practices, and the introduction of enhanced crop varieties, which were not incorporated into the modelling approach. To ensure a consistent and meaningful analysis, we selected the years 2006-2019 for further analysis (spatial analysis (Fig. 5) and evaluation metrics (Table. 1)). This period was selected because reported yields during these years appear more stable and are better aligned with the simulated yields, allowing for a fair evaluation of the model's accuracy and reliability. For the selected periods, we think that the results are convincing, and, except for rainfed, Soybean, they are certainly up to par with the results from other crop growth modelling studies at continental scales.
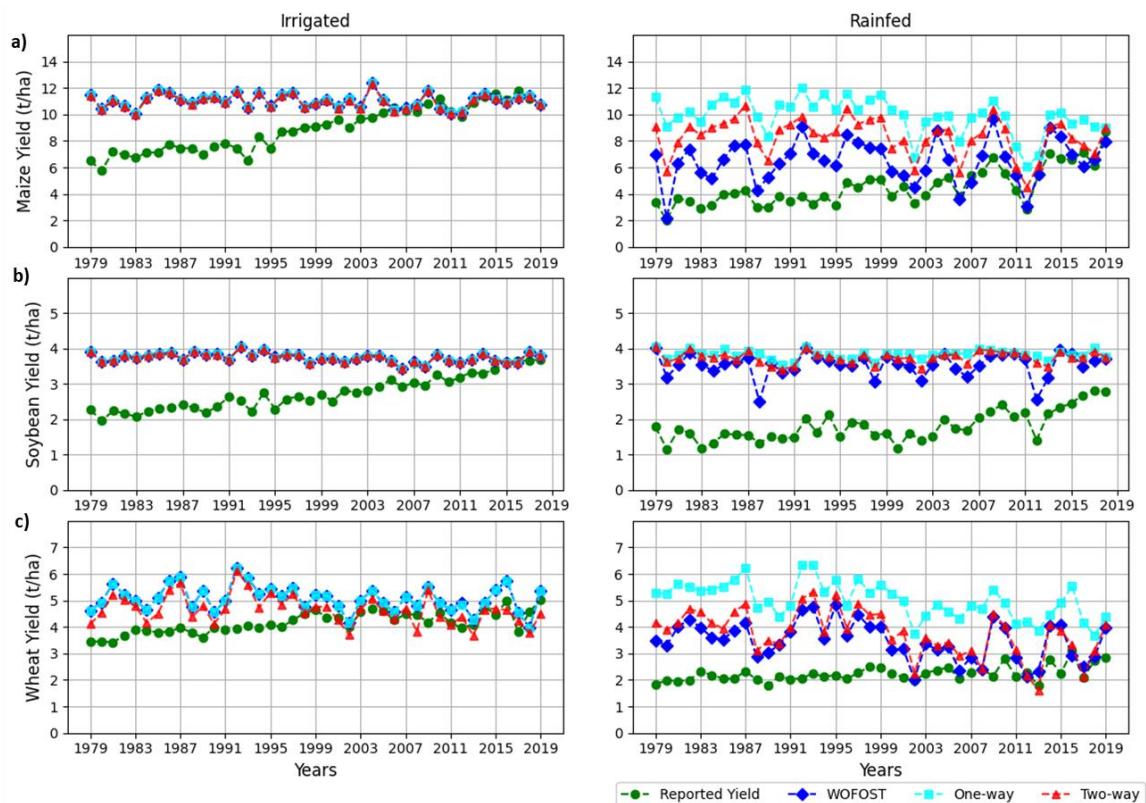
Figure 4: Temporal analysis of irrigated and rainfed crops of a) maize, b) soybean and c) wheat for the years 1979 to 2019 of a CONUS region
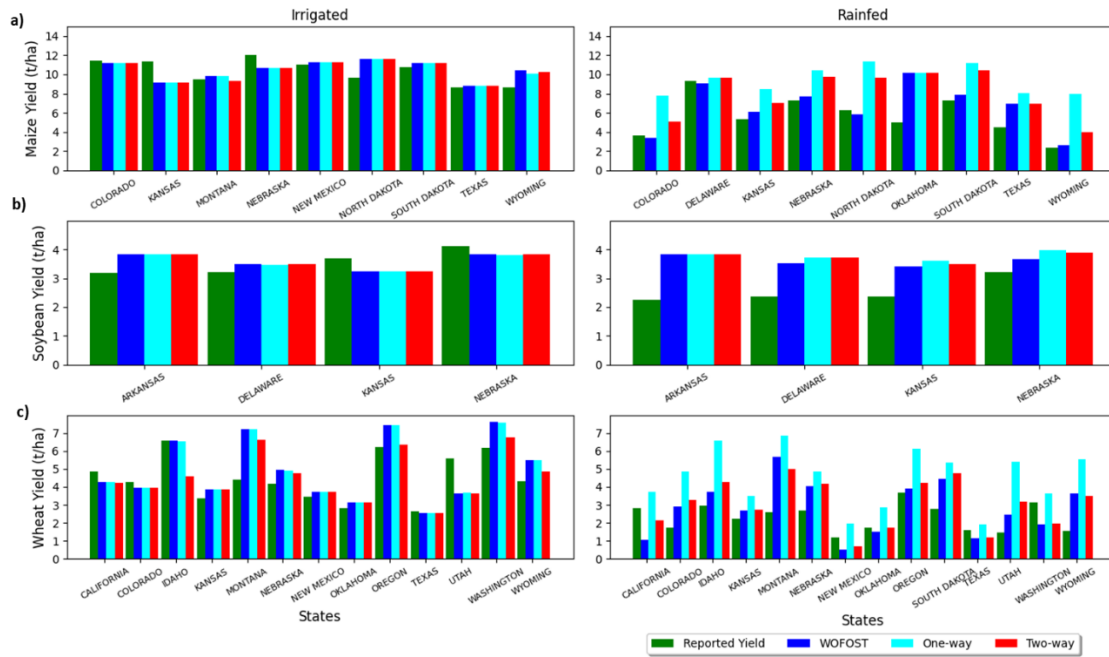
Figure 5: Spatial (i.e., state level) analysis of irrigated and rainfed crops of a) maize, b) soybean and c) wheat for the years 2006 to 2019 for the CONUS region.

Figures 4 and 5 show the outcomes of comparing simulated irrigated and rainfed analyses yields for maize, soybean, and wheat with reported yields. For the irrigated crops, the obtained yields by standalone WOFOST represent the potential productivity for the three crops. Notably, one-way, and two-way model runs for irrigated crops yielded nearly identical results to the standalone runs, indicating that there is generally enough irrigation water to completely satisfy crop water demands. This similarity arises because in irrigated conditions, water supply is managed to meet crop water demands fully, thereby minimizing the influence of soil moisture variability on yield outcomes. In other words, since the primary constraint, water availability is alleviated by irrigation, the simulations naturally converge, regardless of the model coupling approach. Although not shown here, we note that this is at the expense of non-renewable groundwater use in states overlying the Southern Great Plains aquifer system.

Conversely, for rainfed crops that rely solely on rainfall, we generally expect similar yields from stand-alone and two-way coupled simulations, since the primary water input, is rainfall. However, differences were observed between these models, especially more pronounced in maize crops and less significant in soybean and wheat. These variations between the two models can be attributed to various factors. The coupled model incorporates detailed soil moisture dynamics, including processes like infiltration, percolation, and runoff, which directly influence water availability for crops. For example, higher infiltration rates can increase soil moisture, thereby increasing water available to crops, whereas greater percolation rates might

597   lead to water loss beyond the root zone, reducing available moisture. In contrast, standalone

598   WOFOST cannot accurately capture such variability, leading to differences in simulated yields.

599   Additionally, the coupled model integrates simulations of surface runoff and lateral water

600   redistribution, which impact local soil moisture levels. In areas with significant runoff, less

601   water infiltrates the soil, thereby reducing moisture availability for crops. This aspect is often

602   simplified or omitted in standalone crop models, which might assume uniform water

603   availability from rainfall. These differences contribute to the differences in simulated yields,

604   with the coupled model providing a more comprehensive simulation of hydrological conditions

605   affecting crop productivity.

606   The one-way coupling approach tended to exhibit an overestimation of yields relative to stand-

607   alone and two-way simulations, particularly for wheat and to a lesser degree for maize. This

608   discrepancy arises from the fact that in one-way coupling soil moisture calculations in PCR-

609   GLOBWB 2 under drought conditions assume a full rooting depth development (the phenology

610   is fixed) which could, as described before, lead to an over-estimation of soil moisture

611   availability that is then passed to WOFOST, eventually leading to an overestimation of yield.

612   In contrast, the two-way coupling approach feeds back information about the lagging behind

613   of crop development to PCR-GLOBWB 2 and dynamically adjusts the root zone depth based

614   on actual crop development stages. As a result, the two-way coupling approach results in more

615   realistic soil moisture and crop yield simulations.

616   The temporal analysis (Fig. 4) of simulated and reported yields reveals distinct trends and year-

617   to-year fluctuations for each crop. For maize, both irrigated and rainfed conditions show

618   considerable variability in yields over the years. Rainfed maize, in particular, exhibits a

619   discernible pattern with certain years marked by notable peaks in yields, highlighting its

620   sensitivity to varying environmental conditions. These variations are also observed in reported

621   maize yields. This indicates that maize yields, especially under rainfed conditions, are highly

622   influenced by annual climatic variability.

623   For wheat, the simulated yields under both irrigated and rainfed conditions show similar year-

624   to-year patterns, which are not as evident in the reported yields. This suggests that the

625   discrepancies might be due to the model's sensitivity to water and temperature variability,

626   which may not fully capture the complexities of actual wheat production. Specifically, factors

627   such as the use of different wheat varieties, the differentiation between winter and spring wheat,

628   and their respective growth parameters could influence the observed yields. These varietal and

629 seasonal distinctions introduce variability that the model might not fully incorporate, leading

630 to differences between simulated and reported yields.

631 Soybean yields present a different scenario. Both irrigated and rainfed simulated yields

632 consistently surpass the reported values, with the discrepancy being more pronounced in

633 rainfed conditions. This overestimation could be due to the model's assumptions or parameters

634 that do not fully capture the limitations faced by soybean crops in real-world rainfed

635 environments, such as variations in soil fertility, pest pressures, crop varieties and other

636 management practices not accounted for in the model.

637 In the spatial analysis (Fig. 5), simulated irrigated maize yields from stand-alone (WOFOST),

638 one-way, and two-way coupling align almost identical with reported irrigated maize yields.

639 Conversely, in rainfed maize analysis, stand-alone and two-way simulations outperform

640 reported yields in states such as Colorado, Kansas, North Dakota, and Wyoming, while one-

641 way coupling exhibits an overestimation of yields compared to stand-alone (WOFOST) and

642 two-way coupling.

643 For soybeans, the spatial analysis reveals identical yields among stand-alone (WOFOST), one-

644 way, and two-way simulations for both irrigated and rainfed crops. For irrigated crops,

645 simulated yields were overestimated in states like Arkansas and Delaware and underestimated

646 in Kansas and Nebraska compared to reported values. For irrigated and rainfed wheat,

647 simulated yields of the two-way coupling outperform stand-alone WOFOST and one-way

648 coupling, particularly in states like Idaho, Montana, Oregon, and Wyoming. The one-way

649 coupling, lacking feedback from the crop growth model to the hydrological model, leads to an

650 overestimation of rainfed yields across all states compared to stand-alone WOFOST and two-

651 way coupling. This underscores the importance of incorporating two-way interactions and

652 feedback mechanisms for more accurate yield simulation results.

653 **3.2 Evaluation statistics**

654 Table 1 presents model performance metrics (correlation, normalized RMSE and normalized

655 bias), evaluating simulations for the three model setups (i.e., standalone WOFOST, one-way,

656 two-way coupling) for irrigated and rainfed maize, soybean, and wheat.

657 For irrigated crops, simulation approaches exhibit positive correlations. Specifically, for maize,

658 the correlation coefficients are high (0.63), moderate for soybean and rather low for wheat. The

659 normalized root mean square errors (RMSE) remain consistently low, with values ranging from

660 0.13 to 0.18 across three crops, indicating a reasonable fit of the simulated values to the

observed data. Moreover, normalized biases are also low, ranging from 0.01 to 0.20. The two-way coupling demonstrates overall slightly lower biases compared to stand-alone and one-way simulations, particularly for wheat.

Table 1: Model performance metrics (i.e. correlation, normalized RMSE and normalized bias) for simulated irrigated and rainfed maize, soybean, and wheat.

S.NO	Metrics	Maize			Soybean			Wheat		
Irrigated crops		**Stand alone**	**One-way**	**Two-way**	**Stand alone**	**One-way**	**Two-way**	**Stand alone**	**One-way**	**Two-way**
1	Correlation	0.63	0.63	0.63	0.46	0.46	0.45	0.22	0.22	0.24
2	Normalized RMSE	0.13	0.13	0.13	0.06	0.06	0.06	0.18	0.18	0.18
3	Normalized Bias	0.20	0.20	0.20	0.01	0.01	0.01	0.12	0.12	0.06
Rainfed crops										
1	Correlation	0.77	0.65	0.77	0.57	0.22	0.33	0.44	0.51	0.55
2	Normalized RMSE	0.22	0.50	0.50	0.42	0.57	0.57	0.37	0.66	0.66
3	Normalized Bias	0.31	1.65	0.84	0.42	0.78	0.63	0.28	0.91	0.32

For rainfed crops, the correlation coefficients vary, with two-way coupling displaying the highest correlations. Higher correlation coefficients are obtained for maize (0.65-0.77) compared to soybean (0.22-0.57) and wheat (0.44-0.55). Normalized RMSE values are generally higher in rainfed conditions compared to irrigated, ranging from 0.22 to 0.66. Normalized biases show variations across simulation approaches and crops, ranging from 0.28 to 1.65. Specifically, one-way coupling exhibits higher biases in rainfed maize, soybean and wheat compared to stand-alone and two-way simulations.

Overall, the validation results affirm the overall effectiveness of the simulation approaches in accurately representing observed irrigated and rainfed crop yields, with stand-alone and two-way coupling slightly outperforming one-way simulations.

3.3 Relevant feedbacks revealed by two-way coupling between hydrology and crop growth

We further investigated the impact of the developed model coupling by looking at its impact on simulated crop yield in terms of the CONUS-wide 5-arcminute spatial variation and multi-year variability. To evaluate the impact of coupling dynamics, we assessed key indicators,

682 including mean crop yields, the coefficient of variation (CV) of crop yields expressing
683 interannual variability, and the relative difference in mean and CV between two-way and one-
684 way couplings.

685 Spatial patterns of the 1979-2019 mean simulated crop yields of maize, soybean and wheat are
686 shown under irrigated (Fig. 6) and rainfed (Fig. 7) conditions across the CONUS region. The
687 stand-alone simulations show the yield distribution without coupling between the hydrological
688 and crop models, relying on the internal soil moisture calculation using a simple one-layer
689 tipping bucket approach. In contrast, one-way and two-way coupled simulations involve
690 dynamic interaction between the hydrological model (PCR-GLOBWB 2) and crop growth
691 model (WOFOST), where soil moisture from PCR-GLOBWB 2 is passed to the WOFOST,
692 with two-way coupling also incorporating feedback from WOFOST to the PCR-GLOBWB 2.

693 . For irrigated crops (Fig. 6), the regions show similar yields for stand-alone, one-way and two-
694 way coupled simulations. This is expected since soil moisture is kept at optimal levels in
695 irrigated conditions, ensuring that water availability does not become a limiting factor.
696 Consequently, in one-way coupling, the feedback from WOFOST to PCR-GLOBWB 2 is
697 inconsequential, as the continuous supply of water minimizes the need for dynamic interaction
698 between the models.

699 For rainfed conditions (Fig. 7), where water availability relies on green water, the yields are
700 comparatively lower than in irrigated conditions. Differences between the various coupling
701 approaches become apparent, particularly in the western part of the CONUS. Notable
702 differences in yields between stand-alone and two-way simulations are observed in maize and
703 wheat crops, both under irrigated and rainfed conditions. However, these differences are more
704 pronounced in rainfed crops, where water availability is a crucial factor influencing crop yields.
705 In the case of rainfed soybean yields, there is a clear distinction between stand-alone and
706 coupled models, especially in the northern and southern regions of the eastern CONUS. The
707 stand-alone model's tendency to overpredict yields in rainfed conditions underscores the
708 limitation of using a simple one-layer leaky bucket approach in regions where water availability
709 is crucial for crop growth.

710 Notably, one-way coupling tends to simulate higher yields for maize and wheat compared to
711 two-way coupling. This discrepancy arises from the transmission of soil moisture from the
712 hydrological model to the crop growth model in one-way coupling, without receiving feedback
713 from crop development to the hydrological model. As stated before (3.1), this may overestimate

soil moisture availability under drier conditions subsequently leading to a likely overestimation of simulated crop yield by the one-way coupling. Clearly, this feedback is more important in the western part of CONUS, which is likely related to larger interannual climate variability (with more dry conditions) compared to the eastern part (see the section hereafter). The larger differences in mean yields for rainfed crops, particularly in the western CONUS, that occur between one-way and two-way coupled simulations are further illustrated by looking at the relative differences between the two coupling methods (see Supplementary Information IV; Fig. S5).

Additionally, the two-way coupling reveals that during dry spells, the interaction between declining soil moisture and crop growth leads to an earlier onset of crop stress. In contrast, one-way coupling which does not account for feedback from crop stress to soil moisture, tends to overestimate the severity and timing of water stress on crops. In the two-way coupled simulations, the slower crop development due to water stress in dry years feeds back into the hydrological cycle by reducing evapotranspiration rates. This reduction in evapotranspiration helps conserve soil moisture, thereby influencing the hydrological model's predictions of soil moisture availability. Such feedbacks are absent in one-way coupling, where the fixed phenology leads to an overestimation of water uptake by crops, further exaggerating yield estimates. In some regions of the western CONUS, one-way coupling underestimates yields for rainfed crops of maize and wheat compared to two-way coupling, as the crop growth model WOFOST does not influence hydrological processes in the one-way coupling.
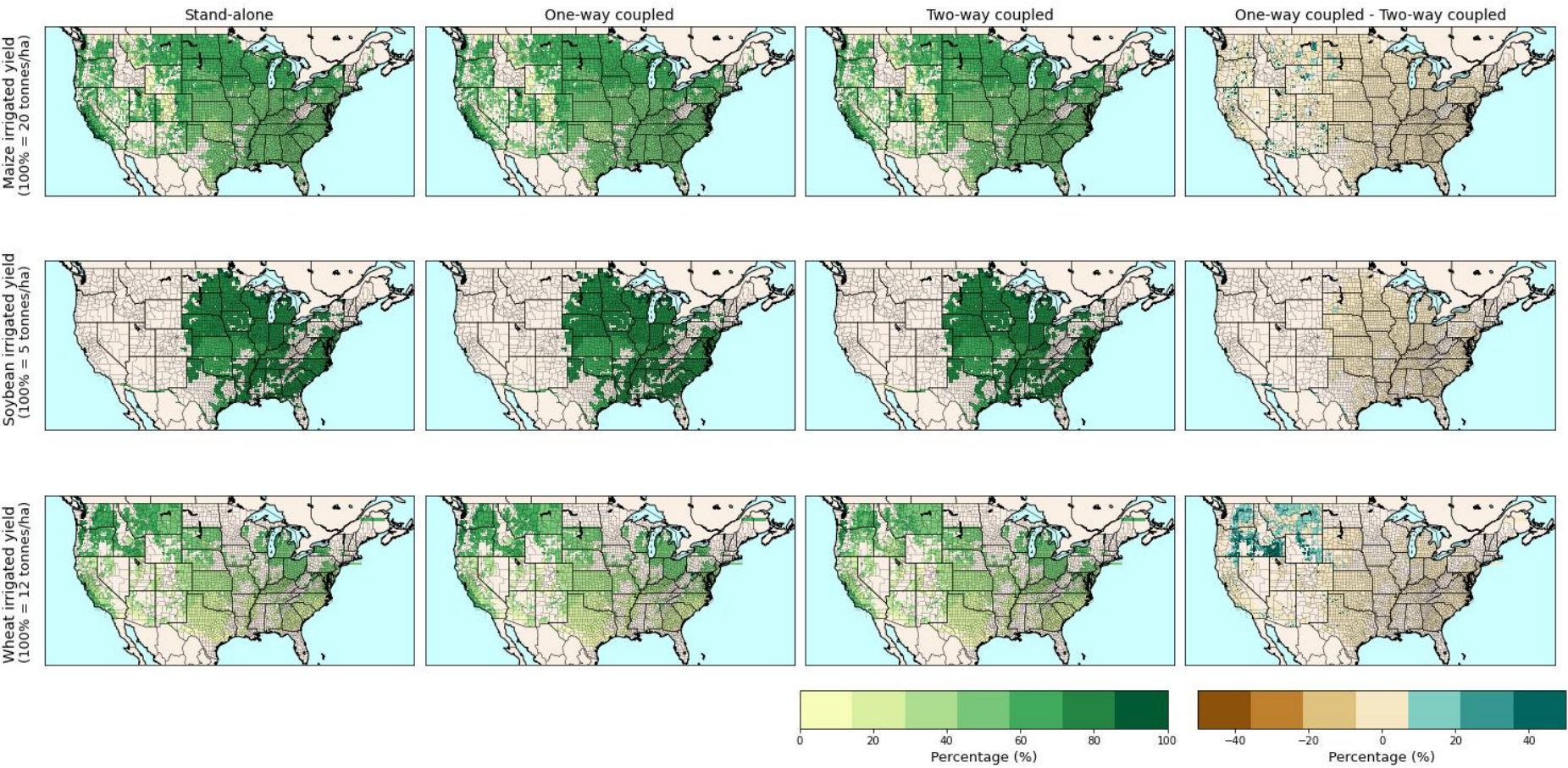
Figure 6: Mean irrigated crop yields for maize, soybean, and wheat within CONUS as obtained from stand-alone, one-way and two-way coupled simulations and differences between one-way and two-way coupled simulations for 1979-2019. Legend in % of values shown on the y-axes.

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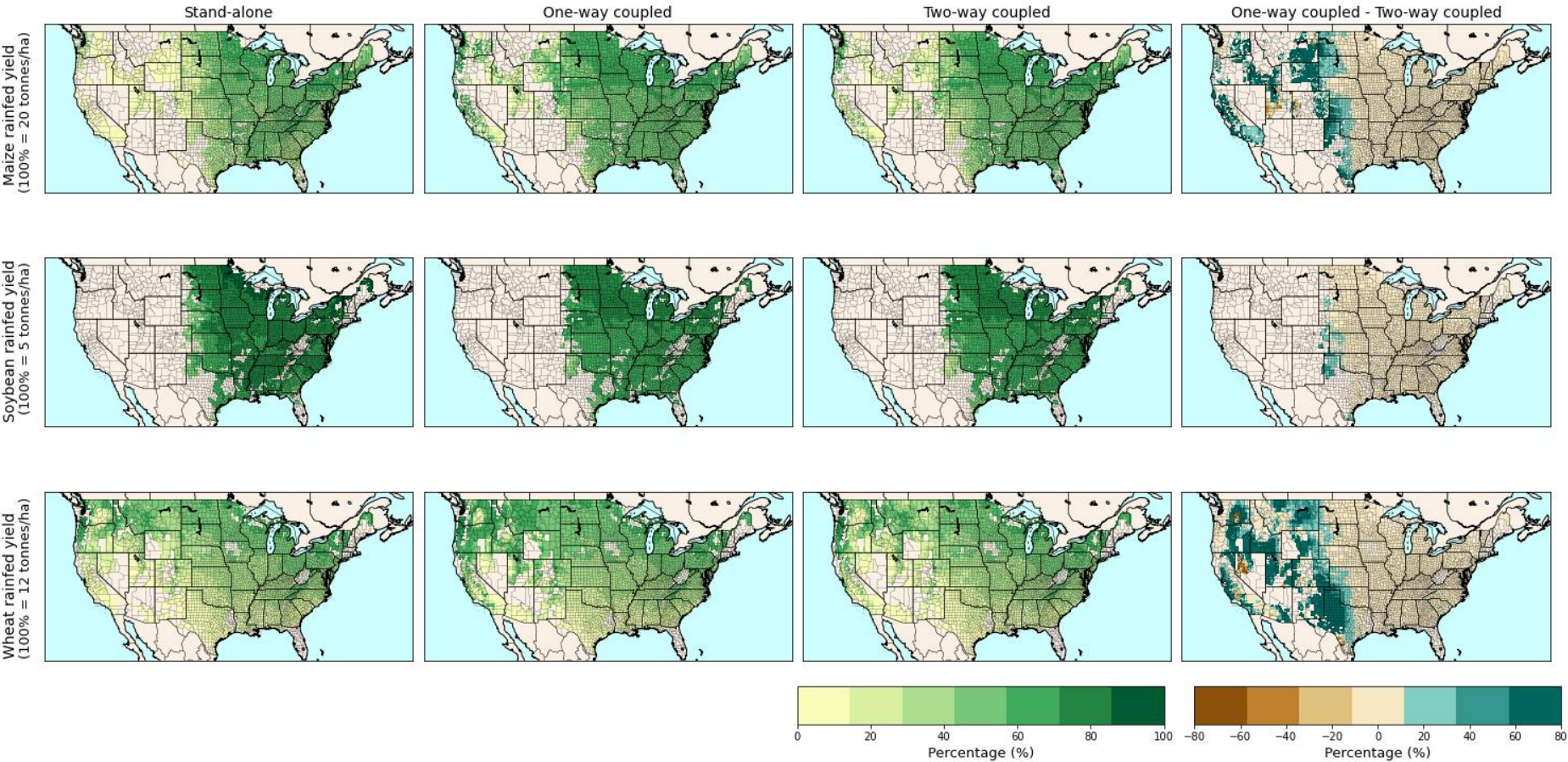
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742 **Figure 7: Mean rainfed crop yields for maize, soybean, and wheat within CONUS as obtained from stand-alone, one-way and two-way coupled simulations and**
743 **differences between one-way and two-way coupled simulation for 1979-2019. Legend in % of values shown on the y-axes.**

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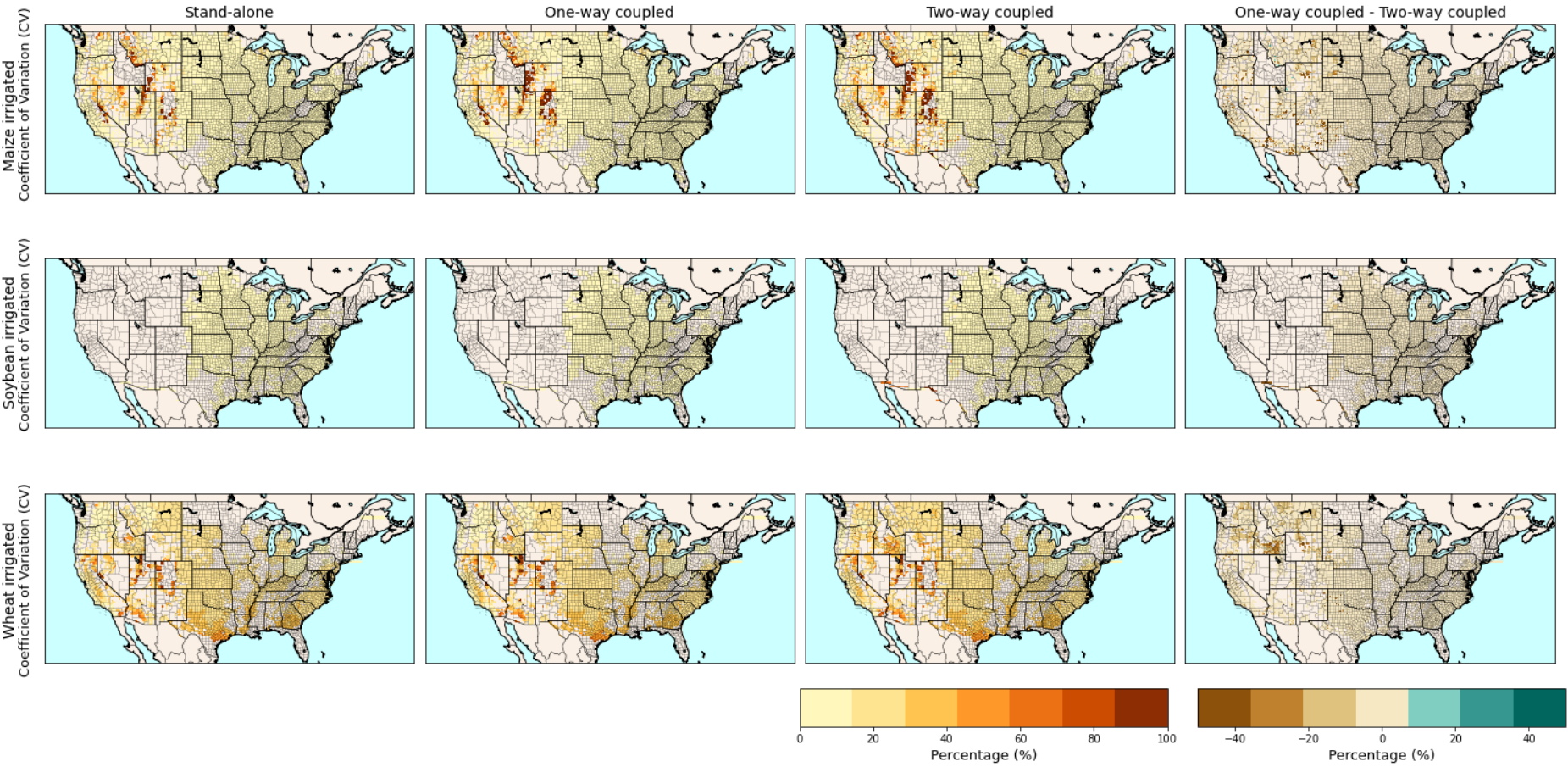
Figure 8: Coefficient of Variation (CV) over 1979-2019 of irrigated crop yields for maize, soybean, and wheat within CONUS as obtained under stand-alone, one-way and two-way coupling and difference between one-way and two-way coupling.

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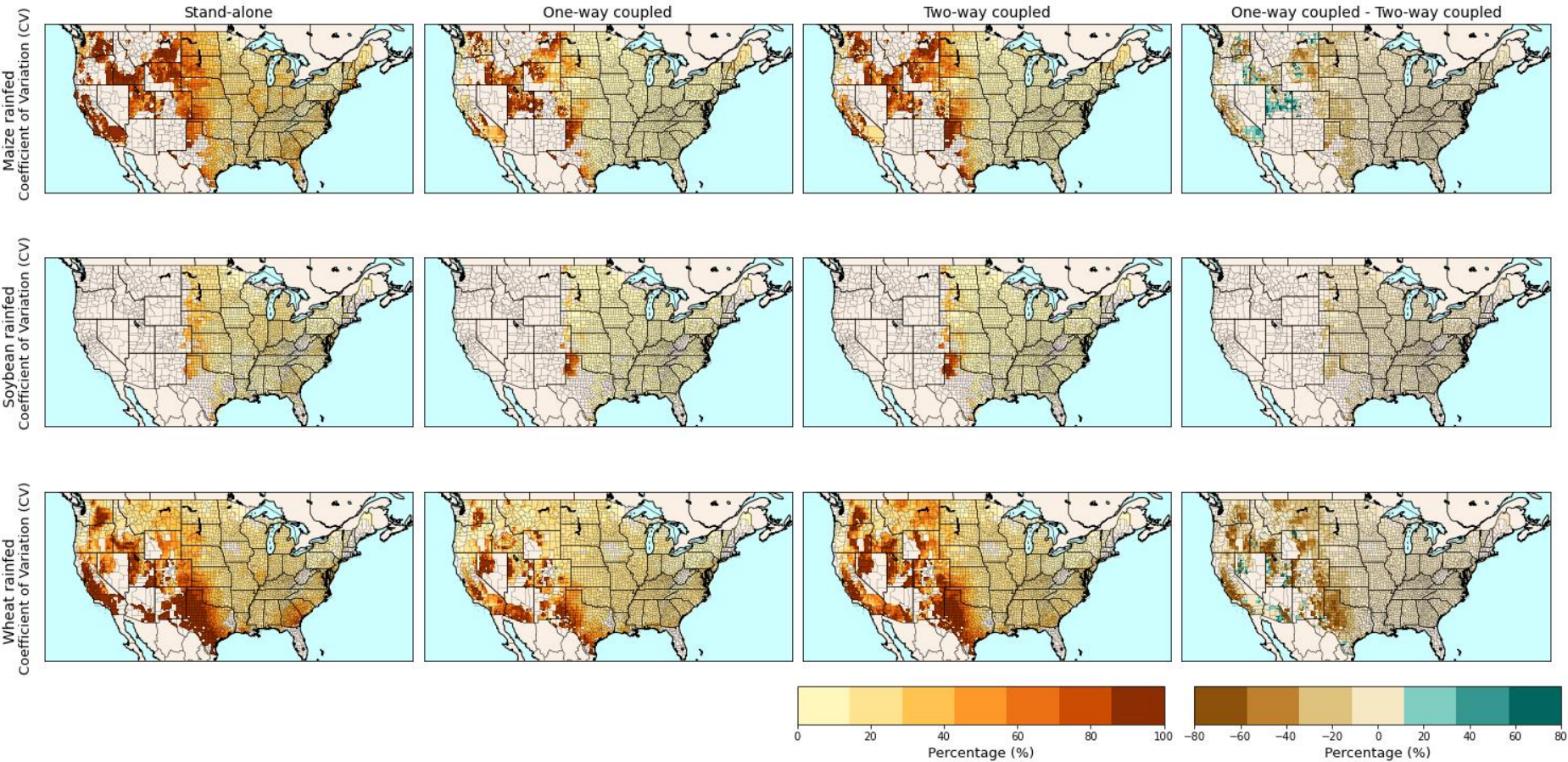
754 **Figure 9: Coefficient of Variation (CV) over 1979-2019 of rainfed crop yields for maize, soybean, and wheat within CONUS as obtained under stand-alone, one-way**
755 **and two-way coupling and difference between one-way and two-way coupling.**

756 Spatial patterns of the coefficient of variation (CV) (in % of the mean) across CONUS for
757 maize, soybean and wheat are shown under irrigated (Fig. 8) and rainfed conditions (Fig. 9)
758 comparing the simulations of the stand-alone, one-way and two-way coupling. High CV values
759 entail a larger inter-annual variability in crop yield.

760 In the eastern part of CONUS, the CV values both in irrigated and rainfed conditions are
761 notably lower, suggesting a more stable and consistent pattern of crop growth in these regions.
762 Conversely, in the mid-western and western CONUS, inter-annual variability is higher, owing
763 to larger inter-annual climate variability in these parts. For irrigated crops, a larger CV is mostly
764 apparent for maize and wheat. For a small number of instances, this could be caused by
765 insufficient irrigation water availability during very dry and hot years, but most likely this is a
766 temperature signal. Also, we note that in these parts of CONUS, some pixels have very low to
767 minimal cropping areas, resulting in more pronounced fluctuations in yields. As can also be
768 seen from Supplementary Information IV Fig. S6, the differences between one-way and two-
769 way coupled runs are generally small, except for some northwestern states.

770 Rainfed crops show larger values of CV, especially in the western part of CONUS, reflecting
771 the larger sensitivity of rainfed agriculture to inter-annual climate variability (Fig. 9). It is also
772 clear that the simulated inter-annual variability of simulated crop yield is larger for two-way
773 than for one-way coupling, reflecting the importance of including crop phenology, in particular
774 variation in rooting depth, when simulating available soil moisture. We also refer to
775 Supplementary Information IV Fig. S6 for relative differences between the two model coupling
776 approaches. This larger inter-annual variability also partly explains the lower mean yields for
777 rainfed crops and two-way coupling as was shown in Fig 7.

778 **3.4 Irrigation water use**

779 The scatter plot (Fig. 10) shows the relationship between reported USGS (after correction for
780 area and irrigation efficiency – see 2.5.2) and simulated irrigation water withdrawals under
781 one-way and two-way coupling. The plot shows that the simulated irrigation water withdrawals
782 are correct in order of magnitude when compared to reported data across different states. The
783 temporal variations (Fig. 11) illustrate that year-to-year changes in total irrigation water
784 withdrawal over time are small for both one-way and two-way coupling and the reported totals.

785 Figures 10 and 11 show that irrigation water withdrawal is underestimated in total and for most
786 states. The underestimation of irrigation water use by PCR-GLOBWB 2 was previously noted
787 by Ruess et al., (2023). This underestimation was partly accounted for when using more

788   detailed crop cover data, irrigation efficacies and meteorological forcing than currently used in

789   the global version of PCR-GLOBWB 2.

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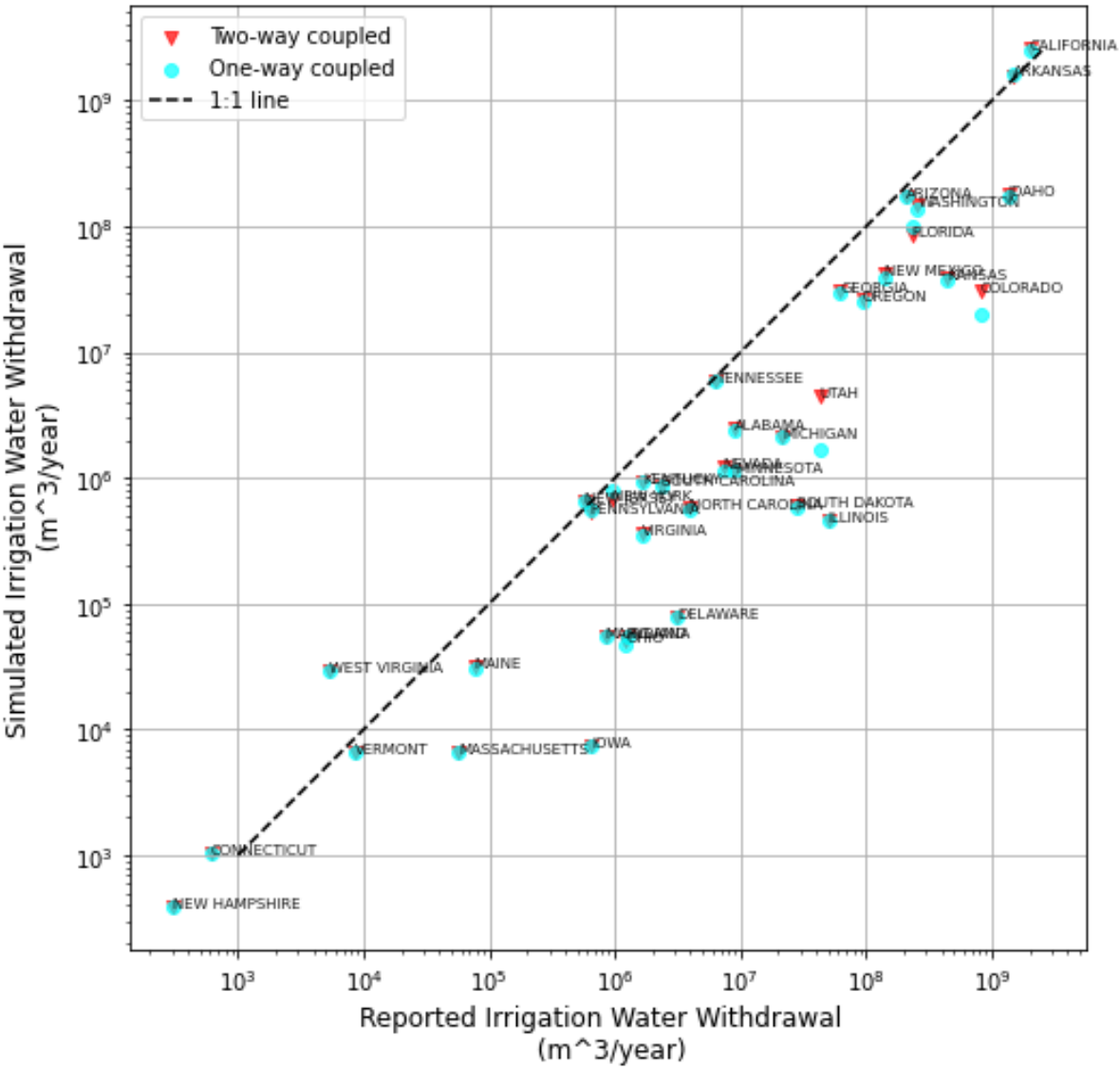
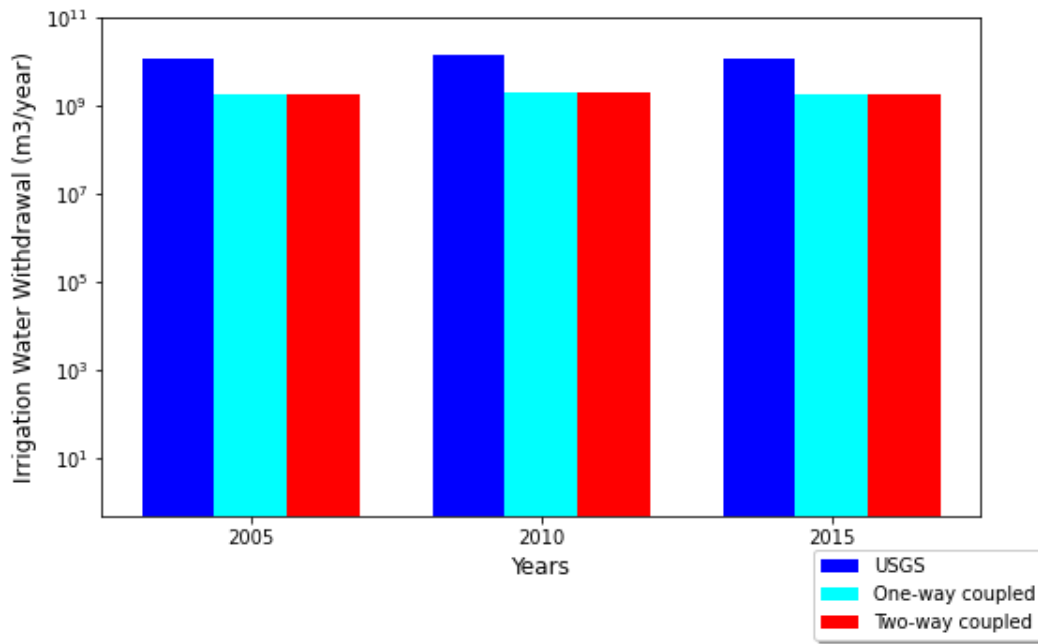


Figure 10: Spatial variation of one-way and two-way irrigation water withdrawal compared with USGS-reported water withdrawal data per state for all crops across the CONUS region with a logarithmic scale

794 **Figure 11: Temporal variation of one-way and two-way irrigation water withdrawal compared with USGS**
795 **water withdrawal data of 5-year intervals across the CONUS region with a logarithmic scale.**

4 Discussion and Conclusion

In this study, we developed a coupled hydrological-crop model framework to investigate the intricate feedbacks between water availability and crop growth within the CONUS region focusing on maize, soybean and wheat. This discussion delves into the implications of the findings, emphasizing their significance and addressing both methodological considerations and inherent uncertainties.

The spatiotemporal analysis of hydrological impacts on crop growth reveals distinctive patterns for both irrigated and rainfed conditions. Notably, the improved performance of the two-way coupling in capturing more realistic yield outcomes for rainfed conditions highlights the importance of incorporating the full feedback loop between hydrology and crop growth. The discrepancy in one-way coupling results, leading to overestimation in simulated compared to reported yields, underscores the importance of feeding back the actual crop phenology to the hydrological model in coupled hydrological-crop growth modelling.

Our studies adds to previous work by Droppers et al., (2021), which investigated worldwide water constraints and sustainable irrigation by coupling the Variable Infiltration Capacity (VIC) hydrological model with WOFOST and Zhang et al. (2021) who focused on refining the coupled VIC hydrological model with a crop growth model EPIC by incorporating the evapotranspiration module at a regional scale. In comparison, our research extends the analysis to a finer spatial scale and places a stronger emphasis on the comprehensive integration of

815   feedback loops between hydrology and crop growth. Particularly, we demonstrate the
816   importance of two-way coupling in capturing realistic yield outcomes, which is particularly
817   evident for rainfed crops. This is mainly because the two-way coupled system addresses the
818   influence of crop status on evapotranspiration and rooting depth, thereby impacting soil
819   moisture content, which in turn feed backs on crop growth. The two-way coupling approach
820   provides a more realistic depiction of water availability for crops, which results in larger inter-
821   annual variability and lower mean crop yields when inter-annual climate variability is
822   significant. Including this two-way interaction is particularly important under drier conditions
823   or if the coupled framework is used to assess reduced surface water availability under climate
824   change or the impact of environmental constraints on groundwater and surface water use. The
825   significance of implementing a two-way coupling between hydrology and crop growth is also
826   evident when calculating high-resolution long-term mean crop yields and inter-annual
827   variability of yield, as measured by the coefficient of variation (CV) of simulated yield. In
828   irrigated conditions, both one-way and two-way coupling yield similar results, demonstrating
829   the stability in water availability.

830   Validation results affirm the reliability of the coupled PCR-GLOBWB 2 – WOFOST model
831   framework, demonstrating close agreement with observed data through overall strong positive
832   correlations, low normalized RMSE, and minimal bias. Here, the difference in performance
833   between one-way and two-way coupling is small. In rainfed conditions, where variability is
834   inherent, the better performance of two-way coupling emphasizes the added value of dynamic
835   feedback mechanisms for more accurate simulation results. Even though the stand-alone
836   WOFOST performed similarly to the two-way coupled model framework, the latter is still
837   beneficial for comprehensively understanding the joint impacts on both crop growth and
838   irrigation water use, particularly in situations of limited water availability.

839   While the results of this study offer valuable insights into the coupled hydrological-crop model
840   framework, it is essential to recognize and address the uncertainties associated with the
841   structure and parametrization, as well as inherent limitations in the research. A significant
842   limitation is that the study does not account for potential advancements in agricultural
843   technology and evolving farming practices, which could impact crop yields (section 3.1; Fig.
844   2). The ignorance of technological innovations may contribute to discrepancies between
845   simulated and actual yields.

846 Furthermore, uncertainties linked to input datasets (Porwollik et al., 2017; Roux et al., 2014)
847 such as crop calendars, cultivars and land-use changes introduce potential limitations and
848 implications for the study results. Accurate representations of crop growth dynamics hinge on
849 accurate crop calendar definitions (Wang et al., 2022), encompassing planting, maturation, and
850 harvesting periods. Variations in these timelines due to climate change or evolving agricultural
851 practices potentially introduce uncertainties in yield predictions. Additionally, the assumption
852 of static cultivars neglects potential shifts in agricultural practices or the introduction of new
853 varieties, influencing crop growth responses to environmental stressors over time. Land-use
854 changes further contribute to uncertainties (Prestele et al., 2016; Eckhardt et al., 2003;
855 Dendoncker et al., 2008) as dynamic shifts in agricultural practices alter water demand,
856 evapotranspiration patterns, and overall hydrological dynamics. Ignoring these potential shifts
857 limits the model's ability to capture the complex interactions between water and crop systems,
858 and this should be considered in future development steps.

859 Hence, future work should also consider representing the dynamic nature of crop areas,
860 including both irrigated and rainfed crop harvest areas, as well as the total crop area. The
861 assumption of constant areas, as made in prior studies (Müller et al., 2017; Ai and Hanasaki,
862 2023; Jägermeyr et al., 2021) was based on data availability constraints, but acknowledging
863 the potential variability in these factors over time. Addressing this aspect is crucial for
864 enhancing the accuracy of yield calculations and, consequently, advancing the overall
865 understanding of hydrological-crop growth interactions. The integration of such variability into
866 modelling frameworks is not only essential for improving the accuracy of assessments but also
867 for contributing to an enhanced understanding of the broader water-food nexus. Additionally,
868 within the nexus context, the developed coupled framework can be integrated into various
869 models across different programming languages, providing a flexible and adaptable tool to
870 address a wide range of research needs.

871 In conclusion, the development and application of the two-way coupled hydrological-crop
872 growth model framework presented in this study represents a significant advancement in our
873 ability to understand the cascading mechanisms and feedbacks between water and crop
874 systems. This versatile framework not only enhances our understanding of the interplay
875 between hydrology and crop growth but, through the sectoral water use modules of PCR-
876 GLOBWB 2, has the necessary components to evaluate large-scale water use management
877 strategies, and simulate the large-scale impacts of informed decision-making under change,
878 particularly when dealing with hydroclimatic extremes.

Author contribution

SC designed the study, performed the analyses, validation and visualization of the results under the supervision of LPHvB, MTHvV and MFPB. SC developed the coupled framework in close collaboration with LPHvB. JA contributed to the conceptualization of software. SC wrote the original draft manuscript and all co-authors reviewed and edited the manuscript.

Code and data availability

The developed coupled PCR-GLOBWB 2-WOFOST model framework is available at https://zenodo.org/doi/10.5281/zenodo.10681452. The datasets used in the coupled model framework are available at https://opendap.4tu.nl/thredds/catalog/data2/pcrglobwb/version_2019_11_beta/pcrglobwb2_input/catalog.html.

Competing interests

The contact author has declared that none of the authors has any competing interests.

Acknowledgement

The authors acknowledge dr. Bram Droppers (Utrecht University) and dr. Iwan Supit (Wageningen University) for their valuable advices on the WOFOST crop model.

Financial support

This research has been funded by the European Union Horizon Programme GoNexus project (Grant Agreement Number 101003722). MTHvV was financially supported by the Netherlands Scientific Organisation (NWO) by a VIDI grant (VI.Vidi.193.019) and the European Research Council (ERC) under the European Union's Horizon Europe research and innovation program (grant agreement 101039426 B-WEX).

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