



# Compound soil and atmospheric drought events and CO<sub>2</sub> fluxes of a mixed deciduous forest: Occurrence, impact, and temporal contribution of main drivers

4 Liliana Scapucci<sup>1,\*,•</sup>, Ankit Shekhar<sup>1,•</sup>, Sergio Aranda-Barranco<sup>2</sup>, Anastasiia Bolshakova<sup>3</sup>, Lukas
5 Hörtnagl<sup>1</sup>, Mana Gharun<sup>4</sup>, Nina Buchmann<sup>1</sup>

6 <sup>1</sup> Department of Environmental Systems Science, ETH Zürich, Switzerland

- 7<sup>2</sup> Department of Ecology, University of Granada, Granada, Spain
- 8 <sup>3</sup> University of Natural Resources and Life Sciences, Vienna (BOKU), Austri
- 9 <sup>4</sup> Department of Geosciences, University of Münster, Germany

10 \*Correspondence to: Liliana Scapucci (<u>liliana.scapucci@usys.ethz.ch</u>)

11 \*Both the authors contributed equally to the manuscript

12 Abstract. With global warming, forests are facing an increased exposure to compound soil and atmospheric drought (CSAD) events, characterized by low soil water content (SWC) and high vapor pressure deficit (VPD). Such CSAD events trigger 13 responses in both ecosystem and forest floor CO<sub>2</sub> fluxes, of which we know little about. In this study, we used multi-year daily 14 15 and daytime above canopy (18 years; 2005-2022) and daily forest floor (five years; 2018-2022) eddy-covariance CO<sub>2</sub> fluxes 16 of a Swiss forest site (montane mixed deciduous forest; CH-Lae). The objectives were (1) to characterize CSAD events at CH-17 Lae; (2) to quantify the impact of CSAD events on ecosystem and forest floor daily  $CO_2$  fluxes; and (3) to identify the major drivers and their temporal contributions to changing ecosystem and forest floor CO<sub>2</sub> fluxes during CSAD events and CSAD 18 19 growing seasons. Our results showed that the growing seasons of 2015, 2018, and 2022, were the top three driest (referred as 20 CSAD years) at CH-Lae since 2005, with similar intensity and duration of the respective CSAD events, but considerably 21 different pre-drought conditions. The CSAD events reduced daily mean net ecosystem productivity (NEP) in all three CSAD 22 years, with highest reduction during 2022 (30% decrease). This reduction in daily mean NEP was largely due to decreased 23 gross primary productivity (GPP; >15% decrease) rather than increased ecosystem respiration (Reco) during CSAD events. 24 Furthermore, forest floor respiration (Rff) decreased during the CSAD events in 2018 and 2022 (no measurements in 2015), 25 with a larger reduction in 2022 (>40%) than in 2018 (<25%) compared to the long-term mean (2019-2021). Using data-driven machine learning methods, we identified the major drivers of NEP and Rff during CSAD events. While daytime mean NEP 26 27 during 2015 and 2018 CSAD events was limited by VPD or SWC, respectively, daytime mean NEP during the 2022 CSAD event was strongly limited by both SWC and VPD. Air temperature always had always negative effects, net radiation positive 28 29 effects on daytime mean NEP during all CSAD events. Daily mean Rff during the 2018 CSAD event was driven by soil temperature and SWC, but severely limited by SWC during the 2022 CSAD event. We found that a multi-layer analysis of 30





CO<sub>2</sub> fluxes in forests is necessary to better understand forest responses to CSAD events, particularly if the first signs we saw of acclimation to such CSAD events for our forest are found elsewhere as well. We conclude that such events have multiple drivers with different temporal contributions, making prediction of site-specific CSADs and forest long-term responses to such conditions more challenging.

## 35 1 Introduction

Forests play an essential role in mitigating climate change thanks to their ability to partially offset anthropogenic CO<sub>2</sub> emissions 36 37 (Harris et al., 2021). However, the increasing frequency of droughts and heatwaves is compromising the carbon uptake capacity 38 of forests worldwide (Anderegg et al., 2022). According to IPCC (2022), the temperature increase over Europe (1850-1990) 39 has been about twice the global mean since the pre-industrial period, accompanied with an increase in frequency of drought 40 events (Spinoni et al., 2018). Recent studies have revealed that European forests are showing increasing rates of tree mortality, 41 induced by low soil water content (SWC) (George et al., 2022). In addition, recent studies have highlighted the role of high 42 vapor pressure deficit (VPD), an indicator of atmospheric drought and a distinct characteristic of heatwaves, in further 43 exacerbating tree mortality (Birami et al., 2018; Gazol and Camarero, 2022; Grossiord et al., 2017, 2020). Due to enhanced land-atmosphere feedback due to climate change, the frequency of co-occurrence of low soil moisture and high VPD has also 44 45 increased (Dirmeyer et al., 2021; Miralles et al., 2019; Orth 2021; Zhou et al., 2019), resulting in so called compound soil and atmospheric drought (CSAD) conditions. The 21st century European droughts in 2003, 2015, 2018, and the most recent one in 46 47 2022, were indeed characterized by CSAD conditions (Dirmeyer et al., 2021; Ionita et al., 2021, 2017; Lu et al., 2023; Tripathy and Mishra, 2023). In 2022, Europe experienced its hottest and driest year on record, with the summer being the warmest ever 48 49 recorded, which ultimately led to numerous CSAD events across the continent (Copernicus Climate Change Service, 2023). 50 Such CSAD events have multiple impacts on forest ecosystems. They can lead to reduced net ecosystem productivity (NEP) by decreasing gross primary productivity (GPP) and/or increasing ecosystem respiration (Reco) (Xu et al., 2020). Additionally, 51 52 soil respiration (SR) can be reduced due to water scarcity in the soil, which limits both heterotrophic and autotrophic respiration 53 (Ruehr and Buchmann, 2009; Ruehr et al., 2010; van Straaten et al., 2011; Sun et al., 2019; Schindlbacher et al., 2012). Still, 54 high soil temperature (TS) can increase SR rates when soil moisture is not limiting metabolic reactions in the soil 55 (Schindlbacher et al., 2012), affecting the sensitivity of respiration to soil temperature (Sun et al., 2019). The summer of 2022 in Europe, characterized by CSAD conditions (Tripathy and Mishra, 2023; van der Woude et al., 2023), showed an extensive 56 57 reduction in forest greenness (about 30% of temperate and Mediterranean European forest area; Hermann et al., 2023), and a 58 reduction in GPP (van der Woude et al., 2023), comparable to summer 2018 CSAD events. In 2018, this resulted in drought-59 induced tree mortality in Scots pine (Pinus sylvestris L.) and European beech (Fagus sylvatica L.) forests (Haberstroh et al., 2022; Obladen et al., 2021; Rukh et al., 2023; Schuldt et al., 2020). Clearly, most drought impact studies use data measured 60 above the canopy, be it net carbon dioxide (CO<sub>2</sub>) exchange or remote sensing of vegetation, particular the latter largely 61 62 neglecting the below canopy component of the forest (also known as forest floor), which might show contrasting responses to





63 drought conditions (Chi et al., 2021). The forest floor, composed of soil, tree roots, woody debris, and understory vegetation, 64 provides an essential interface for soil-atmosphere CO<sub>2</sub> exchange, with photosynthesis of understory vegetation and forest floor respiration (Rff), both representing major CO<sub>2</sub> exchange pathways (Chi et al., 2017; Paul-Limoges et al., 2017). 65 Therefore, separating the ecosystem-level drought response from the forest floor drought response provides a more 66 comprehensive insight into drought impacts than one level alone (Chi et al., 2017; Martinez-Garcia et al., 2022). Furthermore, 67 the intensity and duration of CSAD events, and their impacts on forests can largely vary at regional scale (Pei et al., 2013; Kim 68 69 et al., 2020). Thus, more attention is needed on temperate forest ecosystems across Central Europe, such as in Switzerland, 70 where forests are accustomed to humid and cool climates, with ample amount of summer rainfalls (Schuldt and Ruehr, 2022). 71 In Switzerland, 2022 was the warmest year on record since the beginning of instrumental measurements in 1864, with average air temperatures 1.6 °C above the long-term mean (1991-2020), and annual precipitation amounting to only 60% of the long-72 73 term average (MeteoSvizzera, 2023). Such hot and dry conditions as in 2022 were bound to result in CSAD events which 74 could ultimately compromise the carbon dioxide uptake capacity of forests. Thus, the objectives of this study were as follows: 75 (1) to characterize compound soil and atmospheric drought (CSAD) events at a Swiss montane mixed deciduous forest site, 76 (2) to quantify the impact of CSAD events on ecosystem and forest floor  $CO_2$  fluxes, and (3) to identify the major drivers of 77 ecosystem and forest floor CO<sub>2</sub> fluxes and their temporal contributions during CSAD events and CSAD growing seasons.

#### 78 2 Material and methods

# 79 2.1 Forest site

80 The study was conducted in a managed mixed deciduous mountain forest (CH-Lae at 682 m a.s.l.) located at the Lägeren, in 81 the far east of the Jura Mountain range in Switzerland. The CH-Lae forest has a complex canopy structure with a rather high 82 species diversity, the dominant species are European beech (Fagus sylvatica, 40% cover), ash (Fraxinus excelsior, 19% cover), 83 Sycamore maple (Acer pseudoplatanus, 13% cover), European silver fir (Abies alba, 8% cover), large-leaved linden (Tilia 84 platyphyllos, 8%) and Norway spruce (Picea abies, 4% cover) (Paul-Limoges et al., 2020). The soils at CH-Lae are 85 characterized by two main types, rendzic leptosols and haplic cambiosols, with bedrocks of limestone marl, sandstone, and 86 transition zones between the two (Ruehr et al., 2010). The mean annual temperature at CH-Lae was  $8.8 \pm 1.3$  °C (mean  $\pm$  sd), and mean annual precipitation was  $831 \pm 121$  mm (mean 2005-2022). The understory vegetation at CH-Lae is dominated by 87 88 wild garlic (Allium ursinum, height ~ 30 cm) which grows for a short period in spring and early summer (March-June) (Ruehr 89 and Buchmann, 2009). The net carbon uptake period of CH-Lae is from May to September (Figure A1, appendix A).

#### 90 **2.2 Ecosystem-level measurements**

91 Measurements of ecosystem CO<sub>2</sub> fluxes above the canopy using the eddy covariance (EC) technique (Aubinet et al., 2012)

92 started in April 2004. Here we used data from 2005 to 2022 (full years) of net ecosystem productivity (NEP), gross primary

productivity (GPP), and ecosystem respiration (Reco). The EC system (eddy tower coordinates: 47°28'42.0" N and 8°21'51.8"





E) was mounted at a height of 47 m (mean canopy height of 30 m). We performed high frequency (20 Hz) measurements of 94 95 wind speed and wind direction using a three-dimensional sonic anemometer and used an infrared gas analyser (IRGA) to 96 measure CO<sub>2</sub> molar density (with open-path IRGA from 2004-2015) or dry mole fraction (closed-path IRGA from 2016-2022; 97 for details of instrumentation used in the EC system, see Table A1, appendix A). The time-lag between turbulent fluctuations 98 of vertical wind speed and CO<sub>2</sub> molar density or dry mole fraction was calculated by covariance maximization (Fan et al., 99 1990), and half-hourly fluxes of CO<sub>2</sub> (F<sub>c</sub>,  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup>s<sup>-1</sup>) were then calculated from the 20 Hz measurements using the 100 EddyPro software v7 (v7.0.9, LI-COR Inc., Lincoln, NE, USA), following established community guidelines (Aubinet et al., 101 2012; Sabbatini et al., 2018). Fluxes from the open-path IRGA LI-7500 were corrected for air density fluctuations (Webb et 102 al., 1980). Spectral corrections for high-pass (Moncrieff et al., 2004) and low-pass filtering (Fratini et al., 2012; Horst, 1997) 103 losses were applied to the raw fluxes. The impact of self-heating of the open-path IRGA on F<sub>C</sub> was corrected following the 104 method described by Kittler et al. (2017). Thereafter,  $F_C$  were filtered for turbulent conditions based on the steady state test 105 statistic and integrated turbulence criterion test (Foken et al., 2004). Additional quality control flags (QCF) for each half-hourly 106 CO<sub>2</sub> flux were calculated based on Sabbatini et al. (2018); fluxes with QCF=2 (unreliable flux value) were removed. The net 107 ecosystem exchange (NEE) was then calculated as the sum of  $F_C$  and the CO<sub>2</sub> storage term. Thereafter, four quality checks 108 were applied to the calculated NEE, namely (1) despiking using a Hampel filter to reject NEE values higher or lower than five 109 times the standard deviation from the median absolute deviation in a 9-day running window, (2) absolute threshold filtering to remove values outside a physically plausible range of -50 to 50  $\mu$ mol m<sup>-2</sup>s<sup>-1</sup>, (3) removal of potential non-biotic fluxes during 110 cold season using a trimming mean approach (see Etzold et al., 2011), (4) constant u\* (friction velocity) filtering of 0.3 ms<sup>-1</sup> 111 for CH-Lae (Etzold et al., 2010). Then, the missing and filtered NEE time series was gap-filled using the marginal distribution 112 sampling (MDS) approach as implemented in the ReddyProc v1.3.2 R-package (Reichstein et al., 2005; Wutzler et al., 2018). 113 114 Finally, the gap-filled NEE was partitioned into GPP and Reco using the day-time partitioning method (Lasslop et al., 2010). 115 In this study, we used net ecosystem productivity (NEP = -NEE) for further data analyses. Positive NEP fluxes represent  $CO_2$ 116 uptake by the forest, whereas negative NEP represent CO<sub>2</sub> release. Along with fluxes, we also measured half-hourly air temperature (Tair), relative humidity (RH), incoming short-wave radiation (Rg) and precipitation (Precip) at the top of the EC 117 118 tower from 2005-2022 (see Table A1 for instrumentation details). We estimated half-hourly VPD from half-hourly 119 measurements of air temperature and relative humidity. For more information about the processing chain refer to Shekhar et 120 al., 2024.

### 121 2.3 Forest floor measurements

122 We measured forest floor fluxes of CO<sub>2</sub> based on the EC technique (Aubinet et al., 2012) below the canopy from 2018 to 2022

123 to estimate net ecosystem exchange of the forest floor (NEE<sub>ff</sub>), which includes CO<sub>2</sub> fluxes from the soil and understory

124 vegetation. We partitioned NEE<sub>ff</sub> into gross primary productivity of the forest floor (GPP<sub>ff</sub>) and respiration of the forest floor

125 (Rff; Lasslop et al., 2010). The below-canopy station at CH-Lae site was located in a distance of c. 100 m from the main tower

126 (47°28'42.9" N and 8°21'27.6" E) and has a height of 1.5 m. Wind speed and direction were measured with a sonic anemometer





and CO<sub>2</sub> concentrations with an open-path IRGA (LI-7500; Table A1) at a frequency of 20 Hz. We calculated NEE<sub>ff</sub>, and the 127 128 partitioned fluxes, using the same process and corrections as for above canopy measurements, (except for the self-heating 129 correction). We used a seasonal u\* filtering to account for changes in the canopy, with 0.024 ms<sup>-1</sup> for spring (day 60-151), 130  $0.027 \text{ ms}^{-1}$  for summer (day 152-243),  $0.039 \text{ ms}^{-1}$  for autumn (day 244-334), and  $0.025 \text{ ms}^{-1}$  for winter (day 335-60). Additionally, we continuously measured air temperature (Tairff), relative humidity (RHf), incoming short-wave radiation 131 (Rg<sub>ff</sub>), soil temperature (TS) and soil water content (SWC) at multiple depths at the forest floor meteorological station next to 132 the below-canopy EC system (Table A1, appendix A). In 2020, we installed an additional soil moisture profile. To account for 133 134 spatial heterogeneity, we centre-normalised the SWC data and used z-scores of SWC for further analyses.

#### 135 2.4 Soil respiration measurements

136 Ten PVC collars (diameter 20 cm, height 13 cm, depth = 2 cm) were installed at CH-Lae in spring 2022, at the same locations 137 within the footprint of the tower as described in Ruehr et al. (2010). Soil respiration (SR) measurement campaigns were performed at least once a month from March until November 2022, with a LI-8100-103 analyser and a closed chamber (Table 138 A1, appendix A). Collars were measured once a day in a random order during each campaign. Every measurement lasted 90 139 140 seconds from the moment the LI-8100 chamber closed on top of the collar. Next to each collar, we measured SWC<sub>8</sub> (SWC 141 from survey measurements) at 5 cm with a soil moisture sensor, and  $TS_S$  (TS from survey measurements) at 5 cm with a 142 temperature sensor (Table A1, appendix A). When the Swiss meteorological service (MeteoSwiss) forecasted a two-week heatwave starting on 14<sup>th</sup> of July 2022, we intensified the measurements of SR to one campaign every second day with two 143 144 rounds of measurements per day for two weeks (at 09:00 and at 16:00). The order of measurements was inverted every fieldwork day. Since the portable soil moisture sensor broke on 22<sup>nd</sup> of July 2022 and was only available on 11<sup>th</sup> of August 145 146 2022, we calculated the SWC based on continuous measurements at the forest floor meteorological station for these days 147  $(SWCs = 1.34 * SWC - 10.7; R^2 = 0.82).$ 

### 148 2.5 Data analyses

In this study, we focused all our analysis on the growing season, between May and September, when the long-term mean of 149 ecosystem NEP (2005-2022) was positive, implying that GPP of the vegetation overcompensated all respiratory losses (Figure 150 A1, appendix A; Körner et al., 2023). We conducted all data analyses using R programming language (R core team, 2021). 151 152 We compared cumulative precipitation (indicating total water supply to the forest) and cumulative VPD (indicating total atmospheric water demand) during the growing seasons of 18 years at our forest site and chose the three years with the driest 153 growing seasons i.e., with low cumulative precipitation and high VPD. Then, we identified the CSAD events during the CSAD 154 155 years as periods when both soil and atmosphere were significantly drier than usual for more than 10 consecutive days, implying 156 a compound drought condition. To identify drier than usual periods, we compared 5-day moving daily means (assigned to the 157 centre of 5 days) of SWC and VPD with their long-term (2005-2022) means. So, a period of 10 or more consecutive days with





SWC being significantly lower (p<0.05) and VPD being significantly higher (p<0.05) than the long-term mean, were identified as CSAD events.

We quantified the impact of CSAD events based on anomalies of NEP, GPP, Reco, and Rff by comparing them with their respective long-term means (NEP, GPP, Reco: mean of 2005-2022; Rff: mean of 2019-2021). Since CSAD events occurred in two of the five years of flux data available at the forest floor station (Rff), we excluded 2018 and 2022 from the calculation of long-term mean. To understand the major drivers of NEP and Rff, we performed two different driver analyses in this study, first focusing on the CSAD years, and second focusing on the CSAD events in the CSAD years.

(I) For the first driver analysis, we used the conditional variable importance (CVI) feature based on random forest regression model (Breiman, 2001). For modelling daily mean NEP, the predictors were Rg, VPD, and Tair measured above the canopy, and SWC measured at the forest floor station, whereas for modelling daily mean Rff, the predictors were Rg (Rg<sub>ff</sub>) and Tair (Tair<sub>ff</sub>) as well as soil temperature (TS) and SWC, measured at the forest floor station. The CVI considers the multi-collinearity between the predictors (i.e., Tair, VPD, Rg), while estimating the importance of predictor variables. For estimating CVI, we used the *cforest* and *varimp* function from the R-package *party* (Hothorn et al., 2006).

171 (II) For the second driver analysis, we used daytime mean NEP (excluding nighttime data) to avoid potential biases if GPP 172 were used since some predictors, i.e., Tair and Rg, were used for the partitioning of NEE into GPP and Reco. We used a 173 TreeExplainer-based SHapley Additive exPlanations (SHAP) framework (Lundberg and Lee, 2017; Lundberg et al., 2020), 174 with a tree-based ensemble learning extreme gradient boosting (XGB) model (Chen and Guestrin, 2016). The XGB model was used to model daytime mean NEP and daily mean Rff, applying the GridSearchCV methodology to optimize the parameters 175 of the XGB model for NEP and Rff (see Wang et al., 2022 for more details). The TreeExplainer-based SHAP framework 176 integrates explanatory models (here the XGM model) with game theory (Shapley, 1953) which allowed us to estimate the 177 178 marginal contribution (known as SHAP value) of each predictor variable (i.e., Tair, VPD, SWC, TS) to predicted response 179 variables. We used the function xgboost (eXtreme Boosting Training) from the R-package xgboost to train the model, and the functions shap.values and shap.prep from the R-package SHAPforxgboost (Chen and Guestrin, 2016) to obtain the SHAP 180 181 values of each predictor variable for daytime mean NEP (for 2005-2022) and daily mean Rff (for 2018-2022). Then we 182 calculated the mean SHAP value during the CSAD events for each predictor of daytime mean NEP and daily mean Rff for the 183 CSAD years. For comparison, we also calculated the mean SHAP values of the predictors during the respective reference periods (long-term means: 2005-2022 for daytime mean NEP; 2019-2021 for daily Rff). The respective reference period 184 185 includes all days in which a CSAD event occurred independent of the year, i.e., ranging from 7<sup>th</sup> July to 23<sup>rd</sup> August for daytime mean NEP during 2005-2022 (including CSAD years due to the large number of years available with measurements), and from 186

187 14th July to 23rd August for daily mean Rff during 2019-2021 (excluding CSAD years due to the small number of years

188 available with measurements; Figure A2, appendix A).

189 Moreover, we used SHAP values of drivers (VPD, Tair and SWC for NEP; TS and SWC for Rff) to derive driver thresholds,

190 i.e., the absolute driver values related to largest effects as indicated by highest marginal contributions to the response variables

191 NEP and Rff for each CSAD year (Gou et al., 2023; Wang et al., 2022). For this, we fitted a local polynomial regression





(LOESS curve) between the SHAP values of the driver variable and the driver variable itself, and then identified the absolute
driver value corresponding to the highest SHAP value. We specifically derived VPD\_NEP<sub>max</sub>, Tair\_NEP<sub>max</sub>, SWC\_NEP<sub>max</sub>,
i.e., VPD, Tair and SWC, associated with highest marginal contributions to daytime mean NEP, and TS\_Rff<sub>max</sub> and
SWC Rff<sub>max</sub>, i.e., TS and SWC, associated with highest marginal contributions to daily mean Rff for each CSAD year.

196 Finally, we used linear models to explain daily mean SR responses to TS and SWC during the CSAD events and the rest of

the years, based on the measurements from the survey campaigns in 2022. The amount of data was not sufficient to use machinelearning approaches.

#### 199 **3 Results**

## 200 3.1 Detected CSAD events

201 The growing seasons (May to September) of 2015, 2018, and 2022 were the three driest in the last 18 years (2005-2022) which 202 the mountain forest site experienced (Figure 1). The growing seasons in these three years were characterized by very high 203 atmospheric drought (indicated by cumulative VPD) and low water supply (indicated by cumulative precipitation, a proxy for 204 soil drought), called compound soil and atmospheric drought (CSAD) years hereafter. In particular, the summer months (June-205 August) of these three years were significantly warmer and drier (Figures 1, 2). Mean summer temperatures of 2018 (19.8 °C) and 2022 (20.3 °C) were more than 2.5 °C higher than the long-term mean summer temperature at the forest site (17.2 °C); 206 207 summer precipitation sums in 2018 and 2022 were more than 20% and 10% lower than the long-term mean cumulative summer 208 precipitation (300 mm), respectively. Furthermore, during the month July of both years 2015 and 2022, less than one-third of long-term mean cumulative summer precipitation was recorded. Coupled with a more than 50% increase in average VPD this 209 210 resulted in intense soil and atmospheric drought conditions.

211 Moreover, we detected two distinct CSAD events in 2015, i.e., periods of 10 or more consecutive days with significantly lower

- 212 SWC and significantly higher VPD than the long-term mean: one from 7<sup>th</sup> July 2015 to 21<sup>st</sup> July 2015, and a second one from
- 213 2<sup>nd</sup> August 2015 to 13<sup>th</sup> August 2015 (Figure 2a, d, g), comprising a total of 25 days with a mean maximum temperature of
- 214 26.9 °C, mean maximum VPD of 2.24 kPa, and mean minimum normalized SWC of -1.83 (Table 1). For comparison, in 2018,
- 215 the CSAD event lasted for 31 days, from 23<sup>rd</sup> July 2018 to 23<sup>rd</sup> August 2018 (Figure 2b, e, h), with a mean maximum
- 216 temperature of 27.7 °C, mean maximum VPD of 2.19 kPa, and mean minimum normalized SWC of -1.94 (Table 1). In 2022,
- the CSAD event lasted 21 days, from 14<sup>th</sup> July 2022 to 4<sup>th</sup> August 2022. Thus, although it was shorter than in those in 2015
- 218 and 2018 (Figure 2c, f, i), it more intense than those in 2015 and 2018, with mean maximum temperature of 28.3 °C, mean
- 219 minimum VPD of 2.43 kPa, and mean minimum normalized SWC of -2.51 (Table 1). We measured the highest air temperature
- 220 (33.56 °C) and the third highest VPD (3.83 kPa) ever recorded at the forest site in the past 18 years (2005-2022) on the last
- day of the 2022 CSAD event, i.e., on 4th August 2022 between 16:30 and 17:00 (Figure A3, appendix A). Furthermore, the
- 222 2022 CSAD event was characterized by multiple tropical nights (i.e., nighttime temperature > 20  $^{\circ}$ C; Figure A3, appendix A)
- and progressive soil drying (Figure 2).





Thus, the CSAD events were not only slightly different in terms of intensities, but also in terms of time of CSAD occurrence 224 225 (Table 1), and initial drought development. In both years 2015 and 2018, wetter (than long-term mean; 2015) or normal (2018) 226 soil conditions continued from late spring (mid-May) until end of June, with a quick soil drought intensification in July due to 227 high air temperatures (> 30°C), high VPD (>3.8 kPa) (Figure 2), and low precipitation (more than 40% lower than the long-228 term July average). The year 2022, however, was already characterized by exceptionally low soil water content and high VPD 229 (> 2.5 kPa) in May (Figure 2i), which intensified with low precipitation and high temperatures into early summer. Nighttime 230 VPD exceeded 2 kPa on a few days in June, before the CSAD event occurred mid-July to beginning of August (see Figure A3, appendix A). Even the heavy rainfall on 5th August 2022 (28 mm) only resulted in a minor increase of SWC. Nevertheless, 231 after 4th August, air temperature and VPD conditions became near-normal, thereby marking the end of the 2022 CSAD event 232 (Figure 2). 233



234

Figure 1. Cumulative VPD and cumulative precipitation from May to September (growing season of the Lägeren forest) of each year (2005-2022). The numbers (5-9) on the cumulative lines depict the end of each month.







237

Figure 2. Comparison of 5 day moving averages of daily mean (a-c) Tair, (d-f) VPD, and (g-i) SWC in the years when a CSAD event happened against the long-term means (2005-2022). The coloured areas mark the CSAD events, i.e., periods with co-occurring lowest SWC and highest VPD.

241 Table 1. Characterization of CSAD events in 2015, 2018 and 2022. Duration, maximum (Max.) and standard deviation (± SD) of

```
242 daily mean Tair, maximum (Max.) and standard deviation (± SD) of daily mean VPD, and minimum (Min.) and standard deviation
```

243 (± SD) of daily mean normalized SWC recorded during the CSAD events in 2015, 2018 and 2022 are given.

Year	Duration (days)	Max. ± SD	Max. ± SD	Min. ± SD
		Tair (°C)	VPD (kPa)	SWC (normalized)
2015	11 + 14 = 25	$26.9\pm3.03$	$2.24 \pm 0.4$	$-1.83 \pm 0.20$
2018	31	$27.7\pm2.88$	$2.19\pm0.5$	$-1.93\pm0.10$
2022	21	$28.3\pm2.64$	$2.43\pm0.5$	$-2.51\pm0.20$

# 244 3.2 Impacts of CSAD events on CO<sub>2</sub> fluxes

All CSAD events had immediate negative impacts on ecosystem  $CO_2$  fluxes, showing a decrease in the  $CO_2$  fluxes compared to the long term-means (Table 2, Figure 3a, c, e, g). Mean daily NEP, GPP, Reco and Rff tended to be lower during the CSAD events compared to the respective long-term means of the reference periods 2005-2022 (for NEP, GPP and Reco) and 2019-

248 2021 (for Rff; Table 2), with much larger variations during CSAD events compared to those of the reference periods (except





- for Rff; Figure 3b, d, f, h). The lowest average NEP was recorded in the CSAD event of 2022, followed by NEP in the 2018 and 2015 CSAD events, while the lowest average GPP and Reco were found in the 2018 CSAD event (Table 2).
- 251 All cumulative CO<sub>2</sub> fluxes decreased during CSAD events in 2015, 2018 and 2022 compared to the long-term means (Figure
- 252 3b, d, f, h), with the only exception of Reco in 2022. The cumulative NEP during the CSAD events in 2015 and 2018 decreased
- 253 by 34  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> and 26  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, respectively, compared to the respective long-term means of the reference
- 254 periods (2005-2022; Figure 3b). During both CSAD years 2015 and 2018, cumulative GPP and Reco decreased considerably,
- although cumulative GPP tended to decrease more (>40  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) than Reco (>30  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>; Figure 3d, f). In
- 256 contrast, during the CSAD event in 2022, cumulative NEP decreased by 27  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> compared to long-term mean
- 257 (Figure 3b), due to a decrease in cumulative GPP (by 44  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) and only negligible changes in Reco (Figure 3d, f).
- 258 Furthermore, Rff fluxes during the 2018 and 2022 CSAD events were lower compared to the long-term mean of the reference
- 259 period (2019-2021), with 23  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> and 32  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, respectively (Figure 3h). This decrease in Rff was
- 260 supported by decreasing daily mean SR rates measured in 2022 (Figure 3g).

Table 2. Daily mean CO<sub>2</sub> fluxes during CSAD events in 2015, 2018 and 2022 as well as their long-term means during the respective reference periods. Means and standard deviation ( $\pm$  SD) of net ecosystem production (NEP), partitioned gross primary productivity (GPP) and ecosystem respiration (Reco) as well as forest floor respiration (Rff) are given. The reference period for NEP, GPP and Reco represents all days between the 7<sup>th</sup> of July and the 23<sup>rd</sup> of August during 2005 and 2022; the reference period for Rff represents all days between the 14<sup>th</sup> of July and 23<sup>rd</sup> of August during 2019 and 2021. All fluxes are given in µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup> n.a. = not available.

	NEP	GPP	Reco	Rff
CSAD 2015	$2.09\pm2.14$	7.33 ± 2.54	$5.05 \pm 2.11$	n.a.
CSAD 2018	$1.99 \pm 1.36$	6.31 ± 1.44	$4.23\pm0.89$	$3.19\pm0.68$
CSAD 2022	$1.89 \pm 1.77$	$6.69 \pm 1.33$	$5.73 \pm 1.55$	$2.24\pm0.20$
Reference period	$3.2\pm0.82$	$8.77\pm0.85$	$6.14\pm0.65$	$3.81\pm0.26$







# 266

Figure 3. Comparison of daily mean (a) net ecosystem production (NEP), (b) gross primary productivity (GPP), (c) ecosystem respiration (Reco), and (d) forest floor respiration (Rff) of the years when a CSAD event occurred (2015, 2018 and 2022) against the respective long-term means (a, c, e, g). Soil respiration (SR) measurements are given as daily means (± SD) measured manually in 2022 only. Thicker lines represent CSAD events. The right panels (b, d, f, h) show the cumulative difference between the actual fluxes recorded during a CSAD event and the respective long-term mean fluxes (2005-2022 for NEP, GPP and Reco; 2019-2021 for Rff).

## 273 **3.3 Drivers of NEP and Rff in 2015, 2018 and 2022**

# 274 **3.3.1** Comparison of drivers during the 2015, 2018, and 2022 with the long-term means

275 Daily mean NEP during the growing seasons in 2015 and 2018, were mainly driven by daily mean incoming solar radiation

276 (Rg), similar to the long-term daily mean NEP during 2005-2022 (Figure 4a). However, NEP during the 2022 growing season

was more strongly driven by daily mean SWC than by Rg, as indicated by its high CVI (Figure 4a). Daily mean Tair and VPD

278 were the second most important drivers of daily mean NEP in 2015 and 2018, with a CVI higher than the ones for the long-

term mean 2005-2022. Differently to NEP, daily mean Rff during the growing seasons 2019-2021 was mainly driven by daily

280 mean SWC, followed by daily mean Tair<sub>ff</sub> and daily mean TS (Figure 4b). We found that daily mean SWC was the main driver





- of daily mean Rff in 2018, with a much higher CVI compared to those of other years, followed by daily mean TS. Overall, the
- 282 CVI of all variables was much lower in 2022 compared to those of the other years (Figure 4b).



283

Figure 4. Driver analysis for daily mean (a) net ecosystem production (NEP) and (b) forest floor respiration (Rff) for the growing seasons 2015, 2018, 2022, compared with the daily mean NEP 2005-2022 and the daily mean Rff 2019-2021. Note: Rff was not measured in 2015. The impact of driver variables is given by their conditional variable importance (CVI), Rg (incoming solar radiation), Tair (air temperature), VPD (vapor pressure deficit) and SWC (soil water content) were considered.

# 288 3.3.2 Temporal development of important drivers of daytime NEP and daily Rff

Testing the temporal development in the main drivers of daytime NEP with SHAP analysis revealed that overall, SWC, VPD 289 290 and Tair decreased NEP during all CSAD events (Figure 5), while Rg increased daytime NEP. During the two CSAD events in 2015, both Tair and VPD were always associated with a decrease in NEP, while SWC exhibited a less consistent pattern, 291 increasing NEP during the first CSAD event and decreasing NEP during the second (Figure 5a). Nevertheless, the mean 292 contributions of Tair, SWC and VPD to daytime mean NEP during the CSAD events of 2015 were negative, with Tair having 293 294 the largest effect in reducing NEP (Figure 5a). As stated previously, Rg enhanced daytime mean NEP in both CSAD events of 295 2015, contributing positively to NEP (Figure 5a). During the CSAD event of 2018, the mean contributions of Tair, VPD and SWC to daytime mean NEP were also all negative, leading to a decrease in NEP (Figure 5b). In contrast to 2015, SWC showed 296 297 the largest negative effect on daytime NEP during the 2018 CSAD event, although it had clear positive effects prior to the 298 CSAD onset. Rg both enhanced and decreased daytime mean NEP during the CSAD event of 2018, which resulted in a small 299 mean positive contribution (Figure 5b). As observed for 2018, the mean contributions of Tair, VPD and SWC were all negative 300 during the CSAD event of 2022, leading to a decrease in NEP (Figure 5c). Similarly to 2018, prior to the 2022 CSAD, SWC 301 had a positive effect on daytime NEP, but then contributed the most to the decrease in NEP during the 2022 CSAD. As observed 302 previously, Rg increased daytime NEP also during the 2022 CSAD event, shown by its positive contribution (Figure 5c). Lastly, during the reference period 2005-2022 (from 7<sup>th</sup> of July to 23<sup>rd</sup> of August), Tair, VPD and SWC affected daytime NEP 303 304 negatively, although the contributions of VPD and SWC were close to zero (Figure 5d). In contrast, the mean contribution of





305 Rg to daytime mean NEP was positive, resulting in an increase of daytime mean NEP during the reference period 2005-2022 306 (Figure 5d). In accordance with the previous analysis for NEP, the decrease in daily Rff during both CSAD events of 2018 and 307 2022 was mainly driven by negative effects of SWC (Figure 6a, b). In contrast, TS increased Rff during both CSAD events, 308 but with much larger effects during the CSAD in 2018 compared to that in 2022. This coincided with negative effects of SWC 309 on Rff already starting in mid-June, one month prior to the 2018 CSAD event (Figure 6a), while during the 2022 CSAD event, SWC effects only became negative shortly before the 2022 event (Figure 6b). The effect of Rg<sub>ff</sub> during both CSAD events in 310 2018 and 2022 was positive, but overall close to zero (Figure 6a, b). For comparison, during the reference period (from 14<sup>th</sup> of 311 July to 23<sup>rd</sup> of August 2019-2021), TS had the largest positive effect on Rff compared to the CSAD events in 2018 and 2022, 312 313 which persisted typically until September when senescence and leaf fall set in (Figure 6c). On the other hand, the effects of Rg<sub>ff</sub> and SWC varied around zero throughout all reference period summers (June, July, and August) (Figure 6c). Overall, mean 314 315 contributions to changes in Rff during the reference period 2019-2021 were dominated by positive effects by TS, and close to

316 zero contributions of  $Rg_{ff}$  and SWC (Figure 6c).









Figure 5. Temporal course of driver contributions to daytime mean net ecosystem production (NEP) during the growing seasons of (a) 2015, (b) 2018, (c) 2022, and (d) the long-term mean (2005-2022) indicated by SHAP values for Tair, incoming radiation (Rg), VPD, and SWC. The small inserts on the left show the CSAD events (a-c) and the reference period for 2005-2022 (d). The small inserts on the right show mean (± SD) SHAP values for Tair, SWC, Rg and VPD during the CSAD events (a-c) and during the





reference period for 2005-2022 (d). Positive SHAP values indicate positive effects on the response variable NEP, while negative SHAP values indicate negative effects. Colour bands show the period in which a CSAD occurred in 2015, 2018 and 2022 (a-c); they are also shown in panel (d) to highlight the reference period for the long-term mean (2005-2022).



325

Figure 6. Temporal course of driver contributions to daily mean forest floor respiration (Rff) during the growing seasons of (a) 2018, (b) 2022, and (c) the non-CSAD years 2019-2021 indicated by SHAP values for soil temperature (TS), incoming radiation at the forest floor (Rgfr), and SWC. The small inserts on the left show the CSAD events (a-b) and the reference period for 2019-2021 (from 14<sup>th</sup> July to 23<sup>rd</sup> August) (d). The small inserts on the right show mean ( $\pm$  SD) SHAP values for TS, Rgfr, and SWC during the CSAD events (a-b) and during the reference period for 2019-2021 (c). Positive SHAP values indicate a positive effect on the response variable Rff, while negative SHAP values indicate negative effects. Colour bands show the period in which a CSAD event occurred; they are also shown in panel (c) to highlight the reference period for 2019-2021.





# 333 **3.3.3** Driver thresholds with largest effects on daytime mean NEP and daily mean Rff for the CSAD years

334 We derived thresholds for the drivers VPD, SWC, Tair, and TS to understand if the absolute values of these drivers during the CSAD events actually differed from the absolute values that showed largest effects on daytime mean NEP or daily mean Rff 335 336 (based on the maximum marginal contributions from SHAP analysis). Threshold values differed among the CSAD years, particularly for SWC\_NEP<sub>max</sub> and SWC\_Rff<sub>max</sub> which were positive in 2015 and 2018 but negative in 2022 (Table 3). 337 VPD\_NEP<sub>max</sub> were relatively low for all CSAD years (between 0.7 and 0.8 kPA), while Tair\_NEP<sub>max</sub> increased from around 338 10 °C in 2015 to 13 °C in 2018 to 16 °C in 2022. For comparison, TS\_Rff<sub>max</sub> were around 19 °C in 2018 and 15.6 °C in 2022. 339 340 Comparing measured driver values to those thresholds revealed that most daytime mean VPD values during the CSAD events 341 were typically higher than the respective VPD\_NEP<sub>max</sub> threshold for each of the CSAD years, reaching values of up to 2.5 342 kPA (Figure 7a, d, g), only few exceptions occurred. In contrast, all daytime mean SWC values measured during the CSAD 343 events were far below the SWC\_NEP<sub>max</sub> thresholds in all CSAD years (Figure 7b, e, h), resulting in very negative effects on 344 daytime NEP. We also observed a decrease in SWC NEP<sub>max</sub> values from 2015 to 2022 (Figure 7b, e, h; Table 3). Likewise, 345 daytime mean Tair measured during the CSAD events was far above the Tair\_NEP<sub>max</sub> threshold for all CSAD events (Figure 346 7c, f, i; Table 3). In addition, we observed an increase in Tair\_NEP<sub>max</sub> values from 2015 to 2022 (Figure 7c, f, i; Table 3). 347 Applying the same analysis to daily mean Rff (Figure 8) revealed that daily mean TS measured during the CSAD event in 348 2018 varied around the TS\_Rffmax threshold of 2018 (Figure 8a), while measured TS were higher than the TS\_Rffmax threshold 349 during the CSAD event in 2022 (Figure 8b). As observed for the NEP, SWC values measured during the CSAD events of 2018 350 and 2022 were far below the respective SWC\_Rff<sub>max</sub> thresholds (Figure 8b, d), with measured data as well as SWC\_Rff<sub>max</sub> 351 thresholds being much lower in 2022 than in 2018 (Figure 8b, d; Table 3).

352	Table 3. Absolute driver thresholds (mean ± SE) related to the most positive effect on NEP or Rff during the three CSAD years and
353	the long-term means (2005-2022 for NEP and 2019-2021 for Rff). Identification was based on the maximum marginal contribution
354	of the respective driver (VPD, SWC, Tair and TS) in the SHAP analysis for each year.

Year	VPD_NEP <sub>max</sub> (kPa)	SWC_NEP <sub>max</sub> (normalised)	Tair_NEP <sub>max</sub> (°C)	TS_Rff <sub>max</sub> (°C)	SWC_Rff <sub>max</sub> (normalised)
2015	$0.66\pm0.04$	$0.40\pm0.43$	$9.79\pm0.56$	n.a.	n.a.
2018	$0.84\pm0.05$	$0.14\pm0.6$	$13.13\pm0.30$	$19.15\pm0.07$	$0.58\pm0.07$
2022	$0.77\pm0.06$	$-0.86\pm0.4$	$15.95{\pm}0.37$	$15.60\pm0.07$	$-0.73 \pm 0.09$







355

Figure 7. Detection of VPD, SWC and Tair values corresponding to the maximum rate of daytime mean net ecosystem production (NEP) during the growing seasons of 2015, 2018 and 2022. Positive or negative SHAP values represent positive or negative effects on NEP. The vertical dashed lines and the grey ribbons show VPD (a, d, g), SWC (b, e, h), and Tair (c, f, i) and their standard deviations corresponding to the most positive effect on NEP based on the respective maximum marginal contribution of the respective driver in the SHAP analysis for each year to NEP for 2015, 2018 and 2022.







# 361

Figure 8. Detection of soil temperature (TS) and SWC values corresponding to the maximum rate of daily mean forest floor respiration (Rff) in 2018 and 2022. Positive or negative SHAP values represent positive or negative effects on Rff. The vertical dashed lines and grey ribbons show TS (a, c) and SWC (b, d) and their standard deviations corresponding to the most positive effect on Rff based on the respective maximum marginal contribution of the respective driver in the SHAP analysis for each year to Rff in 2018 and 2022.

# 367 3.4 SR responses to TS and SWC in 2022

368 As seen above, daily mean SR rates mirrored the responses of Rff (Figure 3), though with a much coarser time resolution. The

369 relationships of SR with TS and SWC varied depending if CSAD events were considered or not (Figure 9). When no CSAD

370 event was recorded, daily mean SR significantly increased with TS ( $R^2$  of 0.76, P of 0.002; linear regression). However, during

371 the CSAD event, daily mean SR tended to decrease with increasing TS (Figure 9a). On the other hand, when no CSAD event

372 was recorded, daily mean SR did not respond to variation in SWC ( $R^2 < 0.01$ ), while daily mean SR tended to increase with

373 SWC during the CSAD event ( $R^2$  of 0.3; Figure 9b).







374

Figure 9. Linear relationships of daily mean soil respiration (SR) with (a) soil temperature (TS) and (b) soil water content (SWC) during the CSAD event 2022 and the rest of the year 2022. Two models were fitted separately for the periods with and without the CSAD event. The goodness of the fit is expressed with R<sup>2</sup> and p-values (P) in the respective panels according to the colour scale.

#### 378 4 Discussion

379 In this study, we identified three compound soil and atmospheric drought (CSAD) events during the last 18 years (i.e., 2015, 2018, and 2022) for a mountain mixed deciduous forest. Although they were of comparable intensity, they differed in terms 380 381 of their timing. We further assessed the mainly negative impacts of these CSAD events on ecosystem CO<sub>2</sub> fluxes (NEP, GPP, Reco) and forest floor respiration (Rff). Moreover, we quantified the temporal contribution of the main drivers to these fluxes 382 383 during the CSAD events and the respective growing seasons (VPD, Tair, Rg, SWC, TS). Pronounced differences in driver impacts as well as their temporal development were found, for ecosystem vs. forest floor fluxes, but also among drivers and 384 385 among CSAD events. In addition, we saw first signs of acclimation to such CSAD events, making predictions of site-specific 386 CSADs and their impacts more challenging in the future.

# 387 4.1 Compound soil and atmospheric drought (CSAD) events

388 Several recent studies have shown that Europe already did and also will experience an increase in intensity and frequency of

- 389 CSAD conditions in the future (e.g., Shekhar et al., 2023; Markonis et al., 2021). Such increased occurrence of extremes was
- also evident during the 18 years (2005-2022) of eddy-covariance measurements at CH-Lae, with three years (2015, 2018,
- 391 2022) being characterized by CSAD events, all within the last eight years (2015-2022). Two other years, 2019 and 2020, also
- 392 characterized by atmospheric drought, albeit at lower intensity than the three years chosen (Figure 1), did not show co-
- 393 occurring soil drought at our forest site, and were therefore not identified as CSAD years. This nicely illustrated site-specific





394 environmental conditions playing a relevant role when discussing the impact of extreme compound events at larger spatial 395 scales (Shekhar et al., 2023). Interestingly, even though the intensities of the CSAD events of 2015, 2018 and 2022 were comparable in terms of SWC and VPD values, the pre-conditions and the time of occurrence were different. Pre-conditions 396 397 (late-spring or early summer), especially in terms of soil moisture and temperature or VPD, can be wet and cool, near-normal, 398 or dry and warm. Thus, depending on these pre-conditions, the impact of any CSAD event on forest performance will differ as shown here. Prior to a CSAD event, soil moisture plays a vital role in determining how well the forest can resist and also 399 400 recover from the stress of a CSAD event (Jiao et al., 2021). Dry and warm vs. non-limiting conditions before the CSAD event 401 can put the forest under additional water stress during the CSAD event, making it more susceptible to drought and heat stress 402 (da Costa et al., 2018). However, even prior normal soil moisture and warm conditions in spring which favour productivity, 403 but are also accompanied by increased water demands for evapotranspiration, lead to increased soil drying, and can thus 404 amplify extreme dryness stress during summer drought as observed during the 2018 CSAD event at our mixed deciduous forest 405 site (CH-Lae) and across Central Europe (Gharun et al., 2020; Bastos et al., 2020; Shekhar et al., 2020). Thus, CSAD events 406 will require our full attention in the future, since their impacts will strongly differ not only depending on their frequency, 407 duration, and intensity, but also depending on the prior site-specific environmental conditions the ecosystem experiences.

### 408 4.2 CO<sub>2</sub> fluxes and their drivers

# 409 4.2.1 Net Ecosystem Productivity, NEP

410 The CSAD events of 2015, 2018 and 2022 resulted in a significant decrease in NEP, which was largely due to decreasing GPP 411 (between 15 and 30%), while ecosystem respiration (Reco) either decreased or did not change compared to the long-term mean 412 at the mixed deciduous forest. Such reductions in GPP during CSAD events have been observed in earlier studies, particularly 413 for beech, the dominant species at our forest site (Ciais et al. 2005; Bastos et al., 2020; Dannenberg et al., 2022; D'Orangeville 414 et al., 2018; Xu et al., 2020; Gharun et al., 2020). Increased stomatal closure in response to high VPD and low soil moisture 415 (i.e., stomatal response), reduction of photosynthesis due to reduced carboxylation rate (Rubisco activity) at high temperatures 416 (i.e., non-stomatal response; Buckley, 2019; Gourlez de la Motte et al., 2020) at leaf level as well as reduced canopy 417 conductance at ecosystem level (Ciais et al. 2003, Granier et al. 2007, Gharun et al. 2020) are typically associated with such 418 CSAD events.

419 Our driver analysis revealed that air temperature did not have an important impact on reducing NEP during all three CSAD 420 events, suggesting that stomatal responses on GPP were more relevant than temperature-related non-stomatal responses 421 (Granier et al. 2007). Moreover, the major drivers we identified, i.e., VPD and SWC, support stomatal responses as underlying 422 mechanisms for the reduction of net  $CO_2$  uptake via GPP (Dannenberg et al., 2022; Fu et al., 2022; van der Woude et al., 2023) 423 during all three CSAD events in 2015, 2018, and 2022. However, the contributions of those dryness-related variables varied 424 among the CSAD events, suggesting that the response of the forest differed depending on the respective intensity of soil 425 dryness (SWC) and of air dryness (VPD) during the CSAD events. Also, the conditions prior to the CSAD event seemed to





play an important role, as SWC was more important for NEP during the 2022 CSAD event, which followed upon a prevailing
soil drought, compared to the 2015 and 2018 CSAD events.

428 Another line of argumentation towards dryness-related vs. temperature-related drivers of reduced NEP during CSAD events 429 is related to Reco with its two major components, i.e., plant and soil respiration. In our study, Reco was between 6-30 % lower 430 during the three CSAD events compared to the other years, supporting the dryness- over the temperature-related argumentation. 431 While plant respiration typically increases in response to high temperatures (Schulze et al. 2019), it also depends on the 432 intensity of the event: if substrate (i.e., carbohydrate) availability is diminished during a CSAD event due to reduced GPP, 433 respiration can also decrease (Janssens et al. 2001; Ciais et al., 2005; Von Buttlar et al., 2018), albeit typically less than GPP 434 (Schwalm et al. 2010). Similarly, soil respiration decreases when substrate supply for root and microbial respiration is low 435 (Högberg et al. 2001; Ruehr et al. 2009). Moreover, soil respiration is known to be small when soil moisture is low (due to 436 reduced microbial and root respiration) during CSAD events (Ruehr et al. 2010; Von Buttlar et al., 2018; Wang et al., 2014),

437 as seen at our site in 2022.

438 In addition to the standard response of NEP (and its components GPP and Reco) to abiotic factors (VPD, SWC and Tair), NEP 439 sensitivity to abiotic factors could change from one growing season to another, especially during drought conditions, indicating drought acclimation of NEP (Crous et al., 2022; Aspinwall et al., 2017: Sendall et al., 2015; Sperlich et al., 2019). This 440 441 difference in NEP sensitivity to VPD, SWC and Tair during 2015, 2018, and 2022 growing season was clearly observed in our 442 study (see response curves in Figure 7). The thresholds derived from the response curves of SHAP values vs. the abiotic factors (Figure 7) indicated drought acclimation of NEP to higher VPD (in 2018 and 2022), and lower SWC (in 2022). Such drought 443 acclimations could be due to biophysical adjustments such as access of soil water from deeper soil layers (Brinkmann et al., 444 445 2019), changes in photosynthetic thermal acclimation and changes in stomatal sensitivity to VPD (Aspinwall et al., 2017; 446 Smith and Dukes, 2017; Gessler et al., 2020). Such NEP acclimation to higher VPD and lower SWC will be critical in the 447 future, enabling forests to persist (longer) during CSAD events (Kumarathunge et al., 2019).

### 448 **4.2.2 Forest floor and soil respiration, Rff and SR**

449 The CSAD event in 2022 resulted in a more pronounced and rapid decrease of Rff than in 2018, leading to smaller CO<sub>2</sub> losses from the forest floor compared to 2018 CSAD and the reference period 2019-2021. We observed a similar seasonal trend of 450 451 Rff and SR, but SR was consistently higher than Rff (Figure 3d). Rff is indeed composed by soil and understory vegetation 452 respiration. At the CH-Lae site, the understory LAI (Leaf Area Index) decreased in late spring (Paul-Limoges, 2017) when trees leaf-out and light reaching the forest floor diminishes. Thus, during the growing season, most of the respiratory  $CO_2$ 453 454 fluxes from below the canopy consist of SR. Yet, a small part of the SR can be offset by photosynthesis of the vegetation still 455 growing below the canopy (i.e., seedlings of Fagus sylvatica and other herbaceous plants). As we observed that GPPff was 456 not different from zero during the growing seasons (Figure A4, appendix A), we here assumed the effect of photosynthesis in 457 the daily Rff being negligible. European mixed forests are usually more resistant to drought than monospecific ones in terms of microbial soil respiration (Gillespie et al., 2020). For example, Gillespie et al. (2020) found that CO<sub>2</sub> emissions were not 458





459 decreasing under drought in natural mixed European forests. However, a reduction of SR during drought has been widely 460 reported in other studies (e.g., Ruehr et al., 2010; Schindlbacher et al., 2012; Wang et al., 2014; Sun et al., 2019), but the interplay of intensity, duration, and biotic components can trigger different responses of the forest floor in the respective 461 462 ecosystems (Talmon et al., 2011; Jiao et al., 2021). The decreased importance of TS during the CSAD event of 2018 and 2022 compared to the reference period 2019-2021 (Figure 6) was driven by the limitation of Rff and SR by SWC. In accordance 463 with the SR analysis, we found no effect of TS during the CSAD event in 2022 (Figure 9). Drought periods in forests can 464 465 indeed diminish the temperature sensitivity of the SR (Jassal et al., 2008; Ruehr et al. 2010; Sun et al., 2019; Schindlbacher et al., 2012; van Straaten et al., 2011; Wang et al., 2014). Generally, SWC is not limiting at the CH-Lae site, but exceptions can 466 467 occur during summer (Knhol et al., 2008; Ruehr et al., 2010; Trabucco and Zomer, 2022). We know that SR is the sum of heterotrophic and autotrophic respiration (Ruehr and Buchmann, 2009; Wang et al., 2014; Zheng et al., 2021). A large 468 469 component of heterotrophic respiration is microbial activity in the soil. Under drought, the microbial activity is typically 470 reduced by the limited diffusion of soluble carbon substrate for extracellular enzymes (Manzoni et al., 2012). Consequently, 471 litter decomposition rates also decrease (Deng et al., 2021). If decomposition rates decrease, soil organic matter increases in 472 the soil, resulting in higher C and N in the soil (van der Molen et al., 2011). At the same time, drought reduces photosynthesis 473 and so plants tend to keep non-structural carbohydrates in the leaves to sustain the living tissues (Högberg et al., 2008). 474 Thereby, root activity and production are downregulated (Deng et al., 2021), which can lead to a decoupling of photosynthetic 475 and underground activities (Ruehr et al., 2009; Barba et al., 2018). Eventually, soil drought can significantly alter the N and C 476 cycle in the ecosystem (Deng et al., 2021). The TS and SWC at which Rff<sub>max</sub> was observed varied from growing season to growing season, as we saw for 2018 and 2022 (Figure 8). The SWC recorded during the CSAD events was clearly below than 477 the SWC Rff<sub>max</sub>, but the TS recorded during the CSAD events was observed to be in the range of TS Rff<sub>max</sub> in 2018. The 478 479 interplay and the seasonal trends of TS and SWC can thus determine at which abiotic conditions the highest respiration rate is 480 found. Even though SR is projected to increase under global warming (Schindlbacher et al., 2012), the more frequent 481 occurrence of droughts (Grillakis, 2019) could partially offset those emissions (Zheng et al., 2021), as we observed in the decrease of Rff during CSAD events. However, the decrease in CO<sub>2</sub> emissions can be compensated by CO<sub>2</sub> bursts from rain 482 483 events occurring after drought periods (Lee et al., 2002) as we observed in after the CSAD event in 2022 (Figure 3d). In 484 general, a recovery of SR is expected if the soil moisture quickly returns to normal conditions (Yao et al., 2023). Yet, biotic 485 factors like fine roots are crucial for trees recovery after drought (Netzer et al., 2016; Hikino et al., 2021; Hikino et al., 2022). 486 For example, it is well known that the fine roots of Fagus sylvatica can grow to deeper soil depths during drought, but only if the drought is not too severe, then they can be shed (Hildebrandt, et al., 2020). Indeed, Nickel et al., (2017) found a progressive 487 decrease in vital fine roots after repeated drought in a mixed deciduous forest in Europe. Hence, the pre-and post-conditions, 488 489 the timing, the intensity, and the duration of a CSAD are very important to predict the consequences in terms of respiratory 490 CO<sub>2</sub> emissions (Wang et al., 2014).





# 491 5 Conclusions

In this study, we found first signs of forest's NEP to acclimate to more extreme soil (low SWC) and atmospheric drought (high 492 493 VPD) conditions, which will be fundamental for drought resistance in the future. Nevertheless, we expect to witness a larger 494 reduction of GPP with more extreme CSAD events in the future, even if complemented by a reduction in Reco. Hence 495 responses to CSAD events might lead to a reduction of the CO<sub>2</sub> sink capacity of the forest in the future. The study also 496 highlighted a decoupling between the drivers and the responses of the above canopy and the forest floor CO<sub>2</sub> fluxes during 497 CSAD events. With further global warming in Europe, we expect an increase in Rff, but with more extreme droughts and more intense precipitation events, we assume a higher variability of the  $CO_2$  emissions from forest soils and thus uncertain 498 499 consequences for the respective soil carbon stocks. Ultimately, the consequences of such events will influence the annual 500 carbon budget of a forest, and thus jeopardising many restoration/reforestation projects or nature-based solutions as proposed 501 in the Paris Agreement.

## 502 Appendix A

#### 503 Table A1. List of instruments, models and manufacturers used in this study.

Instrument	Model	Manufacturer	
Infrared gas analyser (IRGA) <sup>1</sup>	LI-7500 (2004-2015)	LI-COR Inc., Lincoln, NE, USA	
Infrared gas analyser (IRGA) <sup>1</sup>	LI-7200 (2016-2022)	LI-COR Inc., Lincoln, NE, USA	
3-D Sonic anemometer <sup>1</sup>	HS-50	Gill Instruments Ltd., Lymington, UK	
Air temperature and relative		Rotronic AG Bassersdorf	
humidity <sup>2</sup>	Rotronic MP 101 A	Switzerland	
Incoming radiation <sup>2</sup>	BF2_BF2116	Delta-T Devices Ltd, Cambridge, UK	
Infrared gas analyser (IRGA) <sup>3</sup>	LI-7500	LI-COR Inc., Lincoln, NE, USA	
3-D Sonic anemometer <sup>3</sup>	R-350	Gill Instruments Ltd., Lymington, UK	
Air temperature and relative		Campbell Scientific Ltd., UG.	
humidiy <sup>4</sup>	CS215_E16511	USA	
Soil temperature and water	Decement ECU20 EC 20		
content <sup>5</sup>	probes (2004-2020)	Pullman, WA, USA	
Soil temperature and water	TEROS 12 00007171 (2020-		
content <sup>5</sup>	2022)	METER Group AG, NE, USA	





Incoming radiation <sup>4</sup>	LI190SB-L	LI-COR Inc., Lincoln, NE, USA
Infrared gas analyser (IRGA) <sup>6</sup>	LI-8100	LI-COR Inc., Lincoln, NE, USA
Soil temperature <sup>6</sup>	GTH 175 PT	GHM Messtechnik GmbH, Regenstauf, Germany
Soil water content <sup>6</sup>	HH2 Moisture Meter	Delta-T Devices, Cambridge, United Kingdom

<sup>1</sup>Above-canopy EC system (47 m height)

<sup>2</sup>Above-canopy meteorological measurements (54 m height)

<sup>3</sup>Below-canopy EC system (1.5 m height)

<sup>4</sup>Below-canopy meteorological station (2 m height)

<sup>5</sup>Forest floor meteorological station (profile measurements up to 50 cm depth)

<sup>6</sup>Portable sensors (SR survey measurements)



504

505 Figure A1. Long-term (2005-2022) daily mean and standard deviation of net ecosystem productivity (NEP) of CH-Lae. The zero-506 line highlights whether the daily NEP is positive or negative. The growing season (GS) was identified as the period in which daily

507 NEP was positive (1<sup>st</sup> May to 31<sup>st</sup> September).









510 Figure A2. Graphical definition of reference periods. The five horizontal bends display the three growing seasons in which a CSAD 511 event occurred, and the two long term means used as a comparison period (2005-2022 for ecosystem level measurements and 2019-2021 for forest floor measurements). The CSAD periods are marked for each growing season in the CSAD years. The reference 512 513 period of the mean 2005-2022 used in the analysis corresponds to the interval of time between day 188 (7th July) and day 235 (23rd 514 of August), while the one of the mean 2019-2021 corresponds to the interval of time between day 195 (14th July) and day 235 (23rd of August).

515





517 Figure A3. Diurnal (x-axis) and intra-annual (y-axis) variation of (a) air temperature (Tair), (b) VPD, (c) soil water content (SWC 518 at 20 cm depth) (d) net ecosystem production (NEP), (e) gross primary productivity (GPP), and (f) ecosystem respiration (Reco) in





519 2022 growing season. 30 min averages are plotted in all panels. The two black dashed lines extending from 14th July 2022 to 4th 520 August 2022 mark the compound soil and atmospheric drought (CSAD) event of summer 2022.



521

Figure A4. Forest floor CO<sub>2</sub> fluxes in 2022. The continuous lines show gap-filled and partitioned daily mean fluxes and standard deviations (coloured ribbons) of the forest floor. 30 min averages are plotted. The diamonds represent daily means of manual soil respiration measurements, standard deviations are given as well. The orange bend represents the CSAD event of 2022.

# 525 Code availability

526 The R scripts used for the data analysis and plots are available.

# 527 Author contribution

- 528 LS, AS, MG, NB conceptualization of the study, LS, AS, SAB, AB field campaigns, LS, AS, LH data processing and
- 529 management, LS, AS data analyses and manuscript writing, and all the authors revision and editing of the manuscript.

## 530 **Competing interests**

531 The contact author has declared that none of the authors has any competing interests.

## 532 Acknowledgements

- 533 Authors acknowledge funding from the ETH Zürich project FEVER (ETH-27 19-1), and the SNF funded projects COCO
- 534 (200021\_197357), ICOS-CH Phase 3 (20F120\_198227), and EcoDrive (IZCOZ0\_198094), and the great support of the
- 535 Grassland Sciences group members, especially Anna Katarina Gilgen, Luana Krebs, Julia Hauri, Franziska Richter, Yi Wang,
- 536 Fabio Turco, Ruikun Gou, Roland Anton Werner, and Davide Andreatta.





# 537 References

- 538 Anderegg, W. R. L., Wu, C., Acil, N., Carvalhais, N., Pugh, T. A. M., Sadler, J. P., and Seidl, R.: A climate risk analysis of
- 539 Earth's forests in the 21st century, Science, 377, 1099-1103, 10.1126/science.abp 9723, 2022.
- 540 Aspinwall, M. J., Vårhammar, A., Blackman, C. J., Tjoelker, M. G., Ahrens, C., Byrne, M., Tissue, D. T., and Rymer, P. D.:
- 541 Adaptation and acclimation both influence photosynthetic and respiratory temperature responses in, Tree Physiology, 37,
- 542 1095-1112, 10.1093/treephys/tpx047, 2017.
- Aubinet, M., Vesala, T., and Papale, D.: Eddy covariance: a practical guide to measurement and data analysis, Springer Science
  & Business Media2012.
- 545 Barba, J., Lloret, F., Poyatos, R., Molowny-Horas, R., and Yuste, J. C.: Multi-temporal influence of vegetation on soil 546 respiration in a drought-affected forest, Iforest-Biogeosciences and Forestry, 11, 189-198, 10.3832/ifor2448-011, 2018.
- 547 Bastos, A., Ciais, P., Friedlingstein, P., Sitch, S., Pongratz, J., Fan, L., Wigneron, J. P., Weber, U., Reichstein, M., Fu, Z.,
- 548 Anthoni, P., Arneth, A., Haverd, V., Jain, A. K., Joetzjer, E., Knauer, J., Lienert, S., Loughran, T., McGuire, P. C., Tian, H.,
- 549 Viovy, N., and Zaehle, S.: Direct and seasonal legacy effects of the 2018 heat wave and drought on European ecosystem
- 550 productivity, Science Advances, 6, ARTN eaba2724, 10.1126/sciadv.aba2724, 2020.
- 551 Bates, D., Mächler, M., Bolker, B. M., and Walker, S. C.: Fitting Linear Mixed-Effects Models Using Ime4, Journal of
- 552 Statistical Software, 67, 1-48, DOI 10.18637/jss.v067.i01, 2015.
- 553 Birami, B., Gattmann, M., Heyer, A. G., Grote, R., Arneth, A., and Ruehr, N. K.: Heat Waves Alter Carbon Allocation and
- 554 Increase Mortality of Aleppo Pine Under Dry Conditions, Frontiers in Forests and Global Change, 1, ARTN 8, 555 10.3389/ffgc.2018.00008, 2018.
- 556 Bogati, K. and Walczak, M.: The Impact of Drought Stress on Soil Microbial Community, Enzyme Activities and Plants,
- 557 Agronomy-Basel, 12, ARTN 189, 10.3390/agronomy12010189, 2022.
- 558 Breiman, L.: Random forests, Machine Learning, 45, 5-32, Doi 10.1023/A:1010933404324, 2001.
- 559 Brinkmann, N., Eugster, W., Buchmann, N., and Kahmen, A.: Species-specific differences in water uptake depth of mature 560 temperate trees vary with water availability in the soil, Plant Biology, 21, 71-81, 10.1111/plb.12907, 2019.
- 561 Buckley, T. N.: How do stomata respond to water status?, New Phytologist, 224, 21-36, 10.1111/nph.15899, 2019.
- 562 Chen, T. and Guestrin, C.: XGBoost: A Scalable Tree Boosting System, Proceedings of the 22nd ACM SIGKDD International
- 563 Conference on Knowledge Discovery and Data Mining, San Francisco, California, USA, 10.1145/2939672.2939785, 2016.
- 564 Chi, J. S., Zhao, P., Klosterhalfen, A., Jocher, G., Kljun, N., Nilsson, M. B., and Peichl, M.: Forest floor fluxes drive differences
- 565 in the carbon balance of contrasting boreal forest stands, Agricultural and Forest Meteorology, 306, ARTN 108454,
- 566 10.1016/j.agrformet.2021.108454, 2021.
- 567 Ciais, P., Reichstein, M., Viovy, N., Granier, A., Ogée, J., Allard, V., Aubinet, M., Buchmann, N., Bernhofer, C., Carrara, A.,
- 568 Chevallier, F., De Noblet, N., Friend, A. D., Friedlingstein, P., Grünwald, T., Heinesch, B., Keronen, P., Knohl, A., Krinner,
- 569 G., Loustau, D., Manca, G., Matteucci, G., Miglietta, F., Ourcival, J. M., Papale, D., Pilegaard, K., Rambal, S., Seufert, G.,





- 570 Soussana, J. F., Sanz, M. J., Schulze, E. D., Vesala, T., and Valentini, R.: Europe-wide reduction in primary productivity 571 caused by the heat and drought in 2003, Nature, 437, 529-533, 10.1038/nature03972, 2005.
- 572 Copernicus Climate Change Service: European State of the Climate 2019, 2019.
- 573 Copernicus Climate Change Service (C3S): European State of the Climate 2022, 2023.
- 574 Crous, K. Y., Uddling, J., and De Kauwe, M. G.: Temperature responses of photosynthesis and respiration in evergreen trees
- from boreal to tropical latitudes, New Phytologist, 234, 353-374, 10.1111/nph.17951, 2022.
- 576 da Costa, A. C. L., Rowland, L., Oliveira, R. S., Oliveira, A. A. R., Binks, O. J., Salmon, Y., Vasconcelos, S. S., Junior, J. A.
- 577 S., Ferreira, L. V., Poyatos, R., Mencuccini, M., and Meir, P.: Stand dynamics modulate water cycling and mortality risk in
- 578 droughted tropical forest, Global Change Biology, 24, 249-258, 10.1111/gcb.13851, 2018.
- 579 Dannenberg, M. P., Yan, D., Barnes, M. L., Smith, W. K., Johnston, M. R., Scott, R. L., Biederman, J. A., Knowles, J. F.,
- 580 Wang, X., Duman, T., Litvak, M. E., Kimball, J. S., Williams, A. P., and Zhang, Y.: Exceptional heat and atmospheric dryness
- amplified losses of primary production during the 2020 U.S. Southwest hot drought, Glob Chang Biol, 28, 4794-4806,
  10.1111/gcb.16214, 2022.
- 583 de la Motte, L. G., Beauclaire, Q., Heinesch, B., Cuntz, M., Foltynová, L., Sigut, L., Kowalska, N., Manca, G., Ballarin, I. G.,
- 584 Vincke, C., Roland, M., Ibrom, A., Lousteau, D., Siebicke, L., Neiryink, J., and Longdoz, B.: Non-stomatal processes reduce 585 gross primary productivity in temperate forest ecosystems during severe edaphic drought, Philosophical Transactions of the
- 586 Royal Society B-Biological Sciences, 375, ARTN 20190527, 10.1098/rstb.2019.0527, 2020.
- 587 Deng, L., Peng, C. H., Kim, D. G., Li, J. W., Liu, Y. L., Hai, X. Y., Liu, Q. Y., Huang, C. B., Shangguan, Z. P., and Kuzyakov,
- 588 Y.: Drought effects on soil carbon and nitrogen dynamics in global natural ecosystems, Earth-Science Reviews, 214, ARTN
- 589 10350, 10.1016/j.earscirev.2020.103501, 2021.
- 590 Dirmeyer, P. A., Balsamo, G., Blyth, E. M., Morrison, R., and Cooper, H. M.: Land-Atmosphere Interactions Exacerbated the
- 591 Drought and Heatwave Over Northern Europe During Summer 2018, Agu Advances, 2, ARTN e2020AV000283, 592 10.1029/2020AV000283, 2021.
- 593 D'Orangeville, L., Maxwell, J., Kneeshaw, D., Pederson, N., Duchesne, L., Logan, T., Houle, D., Arseneault, D., Beier, C. M.,
- 594 Bishop, D. A., Druckenbrod, D., Fraver, S., Girard, F., Halman, J., Hansen, C., Hart, J. L., Hartmann, H., Kaye, M., Leblanc,
- 595 D., Manzoni, S., Ouimet, R., Rayback, S., Rollinson, C. R., and Phillips, R. P.: Drought timing and local climate determine
- the sensitivity of eastern temperate forests to drought, Global Change Biology, 24, 2339-2351, 10.1111/gcb.14096, 2018.
- 597 Etzold, S., Buchmann, N., and Eugster, W.: Contribution of advection to the carbon budget measured by eddy covariance at a 598 steep mountain slope forest in Switzerland, Biogeosciences, 7, 2461-2475, 10.5194/bg-7-2461-2010, 2010.
- 599 Etzold, S., Ruehr, N. K., Zweifel, R., Dobbertin, M., Zingg, A., Pluess, P., Häsler, R., Eugster, W., and Buchmann, N.: The
- 600 Carbon Balance of Two Contrasting Mountain Forest Ecosystems in Switzerland: Similar Annual Trends, but Seasonal
- 601 Differences, Ecosystems, 14, 1289-1309, 10.1007/s10021-011-9481-3, 2011.





- Fan, S. M., Wofsy, S. C., Bakwin, P. S., Jacob, D. J., and Fitzjarrald, D. R.: Atmosphere-Biosphere Exchange of Co2 and O3
  in the Central-Amazon-Forest, Journal of Geophysical Research-Atmospheres, 95, 16851-16864, DOI
  10.1029/JD095iD10p16851, 1990.
- 605 Foken, T., Göockede, M., Mauder, M., Mahrt, L., Amiro, B., and Munger, W.: Post-field data quality control, in: Handbook
- 606 of micrometeorology: a guide for surface flux measurement and analysis, Springer, 181-208, 2004.
- 607 Fratini, G., Ibrom, A., Arriga, N., Burba, G., and Papale, D.: Relative humidity effects on water vapour fluxes measured with
- 608 closed-path eddy-covariance systems with short sampling lines (vol 165, pg 53, 2012), Agricultural and Forest Meteorology,
- 609 166, 234-234, 10.1016/j.agrformet.2012.10.013, 2012.
- 610 Gazol, A. and Camarero, J. J.: Compound climate events increase tree drought mortality across European forests, Science of
- 611 the Total Environment, 816, ARTN 151604, 10.1016/j.scitotenv.2021.151604, 2022.
- 612 George, J. P., Bürkner, P. C., Sanders, T. G. M., Neumann, M., Cammalleri, C., Vogt, J. V., and Lang, M.: Long-term forest
- 613 monitoring reveals constant mortality rise in European forests, Plant Biology, 24, 1108-1119, 10.1111/plb.13469, 2022.
- 614 Gessler, A., Bottero, A., Marshall, J., and Arend, M.: The way back: recovery of trees from drought and its implication for
- 615 acclimation, New Phytologist, 228, 1704-1709, 10.1111/nph.16703, 2020.
- 616 Gharun, M., Hörtnagl, L., Paul-Limoges, E., Ghiasi, S., Feigenwinter, I., Burri, S., Marquardt, K., Etzold, S., Zweifel, R.,
- 617 Eugster, W., and Buchmann, N.: Physiological response of Swiss ecosystems to 2018 drought across plant types and elevation,
- 618 Philosophical Transactions of the Royal Society B-Biological Sciences, 375, ARTN 20190521, 10.1098/rstb.2019.0521, 2020.
- 619 Gillespie, L. M., Fromin, N., Milcu, A., Buatois, B., Pontoizeau, C., and Hättenschwiler, S.: Higher tree diversity increases
- 620 soil microbial resistance to drought, Communications Biology, 3, ARTN 377
- 621 10.1038/s42003-020-1112-0, 2020.
- 622 Gillespie, L. M., Fromin, N., Milcu, A., Buatois, B., Pontoizeau, C., and Hättenschwiler, S.: Higher tree diversity increases
- 623 soil microbial resistance to drought, Communications Biology, 3, ARTN 377
- 624 10.1038/s42003-020-1112-0, 2020.
- 625 Grillakis, M. G.: Increase in severe and extreme soil moisture droughts for Europe under climate change, Science of the Total
- 626 Environment, 660, 1245-1255, 10.1016/j.scitotenv.2019.01.001, 2019.
- 627 Grossiord, C., Buckley, T. N., Cernusak, L. A., Novick, K. A., Poulter, B., Siegwolf, R. T. W., Sperry, J. S., and McDowell,
- N. G.: Plant responses to rising vapor pressure deficit, New Phytologist, 226, 1550-1566, 10.1111/nph.16485, 2020.
- 629 Grossiord, C., Sevanto, S., Borrego, I., Chan, A. M., Collins, A. D., Dickman, L. T., Hudson, P. J., McBranch, N., Michaletz,
- 630 S. T., Pockman, W. T., Ryan, M., Vilagrosa, A., and McDowell, N. G.: Tree water dynamics in a drying and warming world,
- 631 Plant Cell and Environment, 40, 1861-1873, 10.1111/pce.12991, 2017.
- 632 Haberstroh, S., Werner, C., Grün, M., Kreuzwieser, J., Seifert, T., Schindler, D., and Christen, A.: Central European 2018 hot
- drought shifts scots pine forest to its tipping point, Plant Biology, 24, 1186-1197, 10.1111/plb.13455, 2022.
- Harris, N. L., Gibbs, D. A., Baccini, A., Birdsey, R. A., de Bruin, S., Farina, M., Fatoyinbo, L., Hansen, M. C., Herold, M.,
- 635 Houghton, R. A., Potapov, P. V., Suarez, D. R., Roman-Cuesta, R. M., Saatchi, S. S., Slay, C. M., Turubanova, S. A., and





- Tyukavina, A.: Global maps of twenty-first century forest carbon fluxes, Nature Climate Change, 11, 10.1038/s41558-02000976-6, 2021.
- 638 Hermann, M., Röthlisberger, M., Gessler, A., Rigling, A., Senf, C., Wohlgemuth, T., and Wernli, H.: Meteorological history
- 639 of low-forest-greenness events in Europe in 2002-2022, Biogeosciences, 20, 1155-1180, 10.5194/bg-20-1155-2023, 2023.
- 640 Hikino, K., Danzberger, J., Riedel, V. P., Rehschuh, R., Ruehr, N. K., Hesse, B. D., Lehmann, M. M., Buegger, F., Weikl, F.,
- 641 Pritsch, K., and Grams, T. E. E.: High resilience of carbon transport in long-term drought-stressed mature Norway spruce trees
- 642 within 2 weeks after drought release, Global Change Biology, 28, 2095-2110, 10.1111/gcb.16051, 2022.
- 643 Hikino, K., Danzberger, J., Riedel, V. P., Hesse, B. D., Hafner, B. D., Gebhardt, T., Rehschuh, R., Ruehr, N. K., Brunn, M.,
- 644 Bauerle, T. L., Landhäusser, S. M., Lehmann, M. M., Rötzer, T., Pretzsch, H., Buegger, F., Weikl, F., Pritsch, K., and Grams,
- 645 T. E. E.: Dynamics of initial carbon allocation after drought release in mature Norway spruce-Increased belowground
- allocation of current photoassimilates covers only half of the carbon used for fine-root growth, Global Change Biology, 28,
- 647 6889-6905, 10.1111/gcb.16388, 2022.
- Hildebrandt, A.: Root-Water Relations and Interactions in Mixed Forest Settings, Forest-Water Interactions, 240, 319-348,
  10.1007/978-3-030-26086-6\_14, 2020.
- 650 Högberg, P., Nordgren, A., Buchmann, N., Taylor, A. F. S., Ekblad, A., Högberg, M. N., Nyberg, G., Ottosson-Löfvenius, M.,
- and Read, D. J.: Large-scale forest girdling shows that current photosynthesis drives soil respiration, Nature, 411, 789-792,
- 652 Doi 10.1038/35081058, 2001.
- Högberg, P., Högberg, M. N., Göttlicher, S. G., Betson, N. R., Keel, S. G., Metcalfe, D. B., Campbell, C., Schindlbacher, A.,
- Hurry, V., Lundmark, T., Linder, S., and Näsholm, T.: High temporal resolution tracing of photosynthate carbon from the tree
  canopy to forest soil microorganisms, New Phytologist, 177, 220-228, 10.1111/j.1469-8137.2007.02238.x, 2008.
- 656 Horst, T. W.: A simple formula for attenuation of eddy fluxes measured with first-order-response scalar sensors, Boundary-
- 657 Layer Meteorology, 82, 219-233, Doi 10.1023/A:1000229130034, 1997.
- Hothorn, T., Hornik, K., and Zeileis, A.: Unbiased recursive partitioning: A conditional inference framework, Journal of
  Computational and Graphical Statistics, 15, 651-674, 10.1198/106186006x133933, 2006.
- 660 Intergovernmental Panel on Climate, C.: Climate Change 2022 Impacts, Adaptation and Vulnerability: Working Group II
- 661 Contribution to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press,
- 662 Cambridge, DOI: 10.1017/9781009325844, 2023.
- 663 Ionita, M., Dima, M., Nagavciuc, V., Scholz, P., and Lohmann, G.: Past megadroughts in central Europe were longer, more
- severe and less warm than modern droughts, Communications Earth & Environment, 2, ARTN 61
- 665 10.1038/s43247-021-00130-w, 2021.
- 666 Ionita, M., Tallaksen, L. M., Kingston, D. G., Stagge, J. H., Laaha, G., Van Lanen, H. A. J., Scholz, P., Chelcea, S. M., and
- Haslinger, K.: The European 2015 drought from a climatological perspective, Hydrology and Earth System Sciences, 21, 13971419, 10.5194/hess-21-1397-2017, 2017.





- 669 Janssens, I. A., Lankreijer, H., Matteucci, G., Kowalski, A. S., Buchmann, N., Epron, D., Pilegaard, K., Kutsch, W., Longdoz,
- B., Grünwald, T., Montagnani, L., Dore, S., Rebmann, C., Moors, E. J., Grelle, A., Rannik, Ü., Morgenstern, K., Oltchev, S.,
- 671 Clement, R., Gudmundsson, J., Minerbi, S., Berbigier, P., Ibrom, A., Moncrieff, J., Aubinet, M., Bernhofer, C., Jensen, N. O.,
- 672 Vesala, T., Granier, A., Schulze, E. D., Lindroth, A., Dolman, A. J., Jarvis, P. G., Ceulemans, R., and Valentini, R.:
- 673 Productivity overshadows temperature in determining soil and ecosystem respiration across European forests, Global Change
- 674 Biology, 7, 269-278, DOI 10.1046/j.1365-2486.2001.00412.x, 2001.
- 575 Jassal, R. S., Black, T. A., Novak, M. D., Gaumont-Guay, D., and Nesic, Z.: Effect of soil water stress on soil respiration and
- 676 its temperature sensitivity in an 18-year-old temperate Douglas-fir stand, Global Change Biology, 14, 1305-1318,
- 677 10.1111/j.1365-2486.2008.01573.x, 2008.
- Jiao, T., Williams, C. A., De Kauwe, M. G., Schwalm, C. R., and Medlyn, B. E.: Patterns of post-drought recovery are strongly
- 679 influenced by drought duration, frequency, post-drought wetness, and bioclimatic setting, Global Change Biology, 27, 4630-
- 680 4643, 10.1111/gcb.15788, 2021.
- 681 Kim, J. B., So, J. M., and Bae, D. H.: Global Warming Impacts on Severe Drought Characteristics in Asia Monsoon Region,
- 682 Water, 12, ARTN 1360
- 683 10.3390/w12051360, 2020.
- Kittler, F., Eugster, W., Foken, T., Heimann, M., Kolle, O., and Göckede, M.: High-quality eddy-covariance CO
- budgets under cold climate conditions, Journal of Geophysical Research-Biogeosciences, 122, 2064-2084,
  10.1002/2017jg003830, 2017.
- Knohl, A., Soe, A. R. B., Kutsch, W. L., Göckede, M., and Buchmann, N.: Representative estimates of soil and ecosystem
  respiration in an old beech forest, Plant and Soil, 302, 189-202, 10.1007/s11104-007-9467-2, 2008.
- Körner, C., Möhl, P., and Hiltbrunner, E.: Four ways to define the growing season, Ecology Letters, 10.1111/ele.14260, 2023.
- 690 Kumarathunge, D. P., Medlyn, B. E., Drake, J. E., Tjoelker, M. G., Aspinwall, M. J., Battaglia, M., Cano, F. J., Carter, K. R.,
- 691 Cavaleri, M. A., Cernusak, L. A., Chambers, J. Q., Crous, K. Y., De Kauwe, M. G., Dillaway, D. N., Dreyer, E., Ellsworth, D.
- 592 S., Ghannoum, O., Han, Q. M., Hikosaka, K., Jensen, A. M., Kelly, J. W. G., Kruger, E. L., Mercado, L. M., Onoda, Y., Reich,
- 693 P. B., Rogers, A., Slot, M., Smith, N. G., Tarvainen, L., Tissue, D. T., Togashi, H. F., Tribuzy, E. S., Uddling, J., Vårhammar,
- 694 A., Wallin, G., Warren, J. M., and Way, D. A.: Acclimation and adaptation components of the temperature dependence of
- 695 plant photosynthesis at the global scale, New Phytologist, 222, 768-784, 10.1111/nph.15668, 2019.
- 696 Lal, P., Shekhar, A., Gharun, M., and Das, N. N.: Spatiotemporal evolution of global long-term patterns of soil moisture,
- 697 Science of the Total Environment, 867, ARTN 161470, 10.1016/j.scitotenv.2023.161470, 2023.
- Lasslop, G., Reichstein, M., Papale, D., Richardson, A. D., Arneth, A., Barr, A., Stoy, P., and Wohlfahrt, G.: Separation of net
   ecosystem exchange into assimilation and respiration using a light response curve approach: critical issues and global
- 700 evaluation, Global Change Biology, 16, 187-208, 10.1111/j.1365-2486.2009.02041.x, 2010.
- 701 Lee, M. S., Nakane, K., Nakatsubo, T., Mo, W. H., and Koizumi, H.: Effects of rainfall events on soil CO<sub>2</sub> flux in a cool
- 702 temperate deciduous broad-leaved forest, Ecological Research, 17, 401-409, UNSP ere\_498.sgm





- 703 DOI 10.1046/j.1440-1703.2002.00498.x, 2002.
- Lloyd, J. and Taylor, J. A.: On the Temperature-Dependence of Soil Respiration, Functional Ecology, 8, 315-323, Doi
  10.2307/2389824, 1994.
- 706 Lu, R. Y., Xu, K., Chen, R. D., Chen, W., Li, F., and Lv, C. Y.: Heat waves in summer 2022 and increasing concern regarding
- heat waves in general, Atmospheric and Oceanic Science Letters, 16, ARTN 100290, 10.1016/j.aosl.2022.100290, 2023.
- Lundberg, S. M. and Lee, S. I.: A Unified Approach to Interpreting Model Predictions, Advances in Neural Information
  Processing Systems 30 (Nips 2017), 30, 2017.
- 710 Lundberg, S. M., Erion, G., Chen, H., DeGrave, A., Prutkin, J. M., Nair, B., Katz, R., Himmelfarb, J., Bansal, N., and Lee, S.
- 711 I.: From local explanations to global understanding with explainable AI for trees, Nature Machine Intelligence, 2, 56-67,
- 712 10.1038/s42256-019-0138-9, 2020.
- Manzoni, S., Schimel, J. P., and Porporato, A.: Responses of soil microbial communities to water stress: results from a metaanalysis, Ecology, 93, 930-938, Doi 10.1890/11-0026.1, 2012.
- Markonis, Y., Kumar, R., Hanel, M., Rakovec, O., Máca, P., and AghaKouchak, A.: The rise of compound warm-season
  droughts in Europe, Science Advances, 7, ARTN eabb9668, 10.1126/sciadv.abb9668, 2021.
- 717 Martinez-Garcia, E., Nilsson, M. B., Laudon, H., Lundmark, T., Fransson, J. E. S., Wallerman, J., and Peichl, M.: Overstory
- 718 dynamics regulate the spatial variability in forest-floor CO2 fluxes across a managed boreal forest landscape, Agricultural and
- 719 Forest Meteorology, 318, ARTN 108916, 10.1016/j.agrformet.2022.108916, 2022.
- 720 MeteoSvizzera. (2023). Rapporto sul clima 2022.
- 721 Miralles, D. G., Gentine, P., Seneviratne, S. I., and Teuling, A. J.: Land-atmospheric feedbacks during droughts and heatwaves:
- state of the science and current challenges, Annals of the New York Academy of Sciences, 1436, 19-35, 10.1111/nyas.13912,
  2019.
- Moncrieff, J., Clement, R., Finnigan, J., and Meyers, T.: Averaging, detrending, and filtering of eddy covariance time series,
- in: Handbook of micrometeorology: A guide for surface flux measurement and analysis, Springer, 7-31, 2004.
- 726 Moravec, V., Markonis, Y., Rakovec, O., Svoboda, M., Trnka, M., Kumar, R., and Hanel, M.: Europe under multi-year
- droughts: how severe was the 2014-2018 drought period?, Environmental Research Letters, 16, ARTN 03406210.1088/17489326/abe828, 2021.
- 729 Netzer, F., Thöm, C., Celepirovic, N., Ivankovic, M., Alfarraj, S., Dounavi, A., Simon, J., Herschbach, C., and Rennenberg,
- H.: Drought effects on C, N, and P nutrition and the antioxidative system of beech seedlings depend on geographic origin,
  Journal of Plant Nutrition and Soil Science, 179, 136-150, 10.1002/jpln.201500461, 2016.
- 732 Nickel, U. T., Weikl, F., Kerner, R., Schäfer, C., Kallenbach, C., Munch, J. C., and Pritsch, K.: Quantitative losses vs.
- 733 qualitative stability of ectomycorrhizal community responses to 3 years of experimental summer drought in a beech-spruce
- 734 forest, Global Change Biology, 24, E560-E576, 10.1111/gcb.13957, 2018.





- 735 Obladen, N., Dechering, P., Skiadaresis, G., Tegel, W., Kessler, J., Höllerl, S., Kaps, S., Hertel, M., Dulamsuren, C., Seifert,
- T., Hirsch, M., and Seim, A.: Tree mortality of European beech and Norway spruce induced by 2018-2019 hot droughts in
   central Germany, Agricultural and Forest Meteorology, 307, ARTN 108482
- 738 10.1016/j.agrformet.2021.108482, 2021.
- 739 Orth, R.: When the Land Surface Shifts Gears, Agu Advances, 2, ARTN e2021AV000414, 10.1029/2021AV000414, 2021.
- Paul-Limoges, E., Wolf, S., Eugster, W., Hörtnagl, L., and Buchmann, N.: Below-canopy contributions to ecosystem CO<sub>2</sub>
- 741 fluxes in a temperate mixed forest in Switzerland, Agricultural and Forest Meteorology, 247, 582-596,
- 742 10.1016/j.agrformet.2017.08.011, 2017.
- 743 Paul-Limoges, E., Wolf, S., Schneider, F. D., Longo, M., Moorcroft, P., Gharun, M., and Damm, A.: Partitioning
- rad evapotranspiration with concurrent eddy covariance measurements in a mixed forest, Agricultural and Forest Meteorology,
- 745 280, ARTN 10778610.1016/j.agrformet.2019.107786, 2020.
- Pei, F. S., Li, X., Liu, X. P., and Lao, C. H.: Assessing the impacts of droughts on net primary productivity in China, Journal
- 747 of Environmental Management, 114, 362-371, 10.1016/j.jenvman.2012.10.031, 2013.
- Reichstein, M., Falge, E., Baldocchi, D., Papale, D., Aubinet, M., Berbigier, P., Bernhofer, C., Buchmann, N., Gilmanov, T.,
- 749 Granier, A., Grünwald, T., Havránková, K., Ilvesniemi, H., Janous, D., Knohl, A., Laurila, T., Lohila, A., Loustau, D.,
- 750 Matteucci, G., Meyers, T., Miglietta, F., Ourcival, J. M., Pumpanen, J., Rambal, S., Rotenberg, E., Sanz, M., Tenhunen, J.,
- Seufert, G., Vaccari, F., Vesala, T., Yakir, D., and Valentini, R.: On the separation of net ecosystem exchange into assimilation and ecosystem respiration:: review and improved algorithm, Global Change Biology, 11, 1424-1439, 10.1111/j.1365-
- 753 2486.2005.001002.x, 2005.
- Ruehr, N. K. and Buchmann, N.: Soil respiration fluxes in a temperate mixed forest: seasonality and temperature sensitivities
  differ among microbial and root-rhizosphere respiration, Tree Physiology, 30, 165-176, 10.1093/treephys/tpp106, 2010.
- 756 Ruehr, N. K., Knohl, A., and Buchmann, N.: Environmental variables controlling soil respiration on diurnal, seasonal and
- annual time-scales in a mixed mountain forest in Switzerland, Biogeochemistry, 98, 153-170, 10.1007/s10533-009-9383-z,
  2010.
- Ruehr, N. K., Offermann, C. A., Gessler, A., Winkler, J. B., Ferrio, J. P., Buchmann, N., and Barnard, R. L.: Drought effects
  on allocation of recent carbon: from beech leaves to soil CO<sub>2</sub> efflux, New Phytologist, 184, 950-961, 10.1111/j.1469-
- 761 8137.2009.03044.x, 2009.
- 762 Rukh, S., Sanders, T. G. M., Krüger, I., Schad, T., and Bolte, A.: Distinct Responses of European Beech (
- 763 L.) to Drought Intensity and Length-A Review of the Impacts of the 2003 and 2018-2019 Drought Events in Central Europe,
- 764 Forests, 14, ARTN 24810.3390/f14020248, 2023.
- 765 Sabbatini, S., Mammarella, I., Arriga, N., Fratini, G., Graf, A., Hörtriagl, L., Ibrom, A., Longdoz, B., Mauder, M., Merbold,
- 766 L., Metzger, S., Montagnani, L., Pitacco, A., Rebmann, C., Sedlák, P., Sigut, L., Vitale, D., and Papale, D.: Eddy covariance
- raw data processing for CO<sub>2</sub> and energy fluxes calculation at ICOS ecosystem stations, International Agrophysics, 32, 495-+,
- 768 10.1515/intag-2017-0043, 2018.





- Schindlbacher, A., Wunderlich, S., Borken, W., Kitzler, B., Zechmeister-Boltenstern, S., and Jandl, R.: Soil respiration under
  climate change: prolonged summer drought offsets soil warming effects, Global Change Biology, 18, 2270-2279,
  10.1111/j.1365-2486.2012.02696.x, 2012.
- 772 Schuldt, B., Buras, A., Arend, M., Vitasse, Y., Beierkuhnlein, C., Damm, A., Gharun, M., Grams, T. E. E., Hauck, M., Hajek,
- 773 P., Hartmann, H., Hiltbrunner, E., Hoch, G., Holloway-Phillips, M., Körner, C., Larysch, E., Lübbe, T., Nelson, D. B.,
- 774 Rammig, A., Rigling, A., Rose, L., Ruehr, N. K., Schumann, K., Weiser, F., Werner, C., Wohlgemuth, T., Zang, C. S., and
- 775 Kahmen, A.: A first assessment of the impact of the extreme 2018 summer drought on Central European forests, Basic and
- 776 Applied Ecology, 45, 86-103, 10.1016/j.baae.2020.04.003, 2020.
- Schulze ED, B. E., Buchmann N, Clemens S, Müller-Hohenstein K, Scherer-Lorenzen M Springer (Ed.): Plant Ecology, 2,
  928 pp.2019.
- 779 Schwalm, C. R., Williams, C. A., Schaefer, K., Arneth, A., Bonal, D., Buchmann, N., Chen, J. Q., Law, B. E., Lindroth, A.,
- 780 Luyssaert, S., Reichstein, M., and Richardson, A. D.: Assimilation exceeds respiration sensitivity to drought: A FLUXNET
- 781 synthesis, Global Change Biology, 16, 657-670, 10.1111/j.1365-2486.2009.01991.x, 2010.
- 782 Sendall, K. M., Reich, P. B., Zhao, C. M., Hou, J. H., Wei, X. R., Stefanski, A., Rice, K., Rich, R. L., and Montgomery, R. A.:
- Acclimation of photosynthetic temperature optima of temperate and boreal tree species in response to experimental forest
  warming, Global Change Biology, 21, 1342-1357, 10.1111/gcb.12781, 2015.
- Shekhar, A., Humphrey, V., Buchmann, N., and Gharun, M.: More than three-fold increase of extreme dryness across Europe
  by end of 21st century, 10.21203/rs.3.rs-3143908/v2, 2023.
- 787 Shekhar, A., Chen, J., Bhattacharjee, S., Buras, A., Castro, A. O., Zang, C. S., and Rammig, A.: Capturing the Impact of the
- 788 2018 European Drought and Heat across Different Vegetation Types Using OCO-2 Solar-Induced Fluorescence, Remote
- 789 Sensing, 12, ARTN 3249, 10.3390/rs12193249, 2020.
- 790 Shekhar, A., Hörtnagl, L., Paul-Limoges, E., Etzold, S., Zweifel, R., Buchmann, N., and Gharun, M.: Contrasting impact of
- extreme soil and atmospheric dryness on the functioning of trees and forests, Science of The Total Environment, 169931,2024.
- Smith, N. G. and Dukes, J. S.: Short-term acclimation to warmer temperatures accelerates leaf carbon exchange processes
  across plant types, Global Change Biology, 23, 4840-4853, 10.1111/gcb.13735, 2017.
- 795 Sperlich, D., Chang, C. T., Peñuelas, J., and Sabaté, S.: Responses of photosynthesis and component processes to drought and
- temperature stress: are Mediterranean trees fit for climate change?, Tree Physiology, 39, 1783-1805, 10.1093/treephys/tpz089,
  2019.
- 798 Spinoni, J., Vogt, J. V., Naumann, G., Barbosa, P., and Dosio, A.: Will drought events become more frequent and severe in
- 799 Europe?, International Journal of Climatology, 38, 1718-1736, 10.1002/joc.5291, 2018.
- 800 Sun, S. Q., Lei, H. Q., and Chang, S. X.: Drought differentially affects autotrophic and heterotrophic soil respiration rates and
- their temperature sensitivity, Biology and Fertility of Soils, 55, 275-283, 10.1007/s00374-019-01347-w, 2019.





- Talmon, Y., Sternberg, M., and Grünzweig, J. M.: Impact of rainfall manipulations and biotic controls on soil respiration in
   Mediterranean and desert ecosystems along an aridity gradient, Global Change Biology, 17, 1108-1118, 10.1111/j.1365-
- 804 2486.2010.02285.x, 2011.
- Team, R. C.: R: a language and environment for statistical computing. Vienna: R Foundation for Statistical Computing, (No Title), 2021.
- 807 Trabucco, A.: Global aridity index and potential evapotranspiration (ET0) climate database v2, CGIAR Consort Spat Inf, 2019.
- Tripathy, K. P. and Mishra, A. K.: How Unusual Is the 2022 European Compound Drought and Heatwave Event?, Geophysical
  Research Letters, 50, ARTN e2023GL105453, 10.1029/2023GL105453, 2023.
- 007 Research Letters, 50, ARTIV 020250 L105 + 55, 10.1027 20250 L105 + 55, 2025.
- 810 van der Molen, M. K., Dolman, A. J., Ciais, P., Eglin, T., Gobron, N., Law, B. E., Meir, P., Peters, W., Phillips, O. L.,
- 811 Reichstein, M., Chen, T., Dekker, S. C., Doubkova, M., Friedl, M. A., Jung, M., van den Hurk, B. J. J. M., de Jeu, R. A. M.,
- 812 Kruijt, B., Ohta, T., Rebel, K. T., Plummer, S., Seneviratne, S. I., Sitch, S., Teuling, A. J., van der Werf, G. R., and Wang, G.:
- B13 Drought and ecosystem carbon cycling, Agricultural and Forest Meteorology, 151, 765-773, 10.1016/j.agrformet.2011.01.018,
  B14 2011.
- 815 van der Woude, A. M., Peters, W., Joetzjer, E., Lafont, S., Koren, G., Ciais, P., Ramonet, M., Xu, Y. D., Bastos, A., Botia, S.,
- 816 Sitch, S., de Kok, R., Kneuer, T., Kubistin, D., Jacotot, A., Loubet, B., Herig-Coimbra, P. H., Loustau, D., and Luijkx, I. T.:
- 817 Temperature extremes of 2022 reduced carbon uptake by forests in Europe, Nature Communications, 14, ARTN 6218,
- 818 10.1038/s41467-023-41851-0, 2023.
- van Straaten, O., Veldkamp, E., and Corre, M. D.: Simulated drought reduces soil CO<sub>2</sub> efflux and production in a tropical
  forest in Sulawesi, Indonesia, Ecosphere, 2, Artn 119, 10.1890/Es11-00079.1, 2011.
- 821 von Buttlar, J., Zscheischler, J., Rammig, A., Sippel, S., Reichstein, M., Knohl, A., Jung, M., Menzer, O., Arain, M. A.,
- 822 Buchmann, N., Cescatti, A., Gianelle, D., Kiely, G., Law, B. E., Magliulo, V., Margolis, H., McCaughey, H., Merbold, L.,
- 823 Migliavacca, M., Montagnani, L., Oechel, W., Pavelka, M., Peichl, M., Rambal, S., Raschi, A., Scott, R. L., Vaccari, F. P.,
- 824 van Gorsel, E., Varlagin, A., Wohlfahrt, G., and Mahecha, M. D.: Impacts of droughts and extreme-temperature events on
- 825 gross primary production and ecosystem respiration: a systematic assessment across ecosystems and climate zones,
- 826 Biogeosciences, 15, 1293-1318, 10.5194/bg-15-1293-2018, 2018.
- 827 Wang, Y. F., Hao, Y. B., Cui, X. Y., Zhao, H. T., Xu, C. Y., Zhou, X. Q., and Xu, Z. H.: Responses of soil respiration and its
- components to drought stress, Journal of Soils and Sediments, 14, 99-109, 10.1007/s11368-013-0799-7, 2014.
- 829 Wang, H., Yan, S. J., Ciais, P., Wigneron, J. P., Liu, L. B., Li, Y., Fu, Z., Ma, H. L., Liang, Z., Wei, F. L., Wang, Y. Y., and
- 830 Li, S. C.: Exploring complex water stress-gross primary production relationships: Impact of climatic drivers, main effects, and
- 831 interactive effects, Global Change Biology, 28, 4110-4123, 10.1111/gcb.16201, 2022.
- 832 Wang, H., Yan, S. J., Ciais, P., Wigneron, J. P., Liu, L. B., Li, Y., Fu, Z., Ma, H. L., Liang, Z., Wei, F. L., Wang, Y. Y., and
- 833 Li, S. C.: Exploring complex water stress-gross primary production relationships: Impact of climatic drivers, main effects, and
- 834 interactive effects, Global Change Biology, 28, 4110-4123, 10.1111/gcb.16201, 2022.





- 835 Webb, E. K., Pearman, G. I., and Leuning, R.: Correction of Flux Measurements for Density Effects Due to Heat and Water-
- Vapor Transfer, Quarterly Journal of the Royal Meteorological Society, 106, 85-100, DOI 10.1002/qj.49710644707, 1980.
- 837 Wutzler, T., Lucas-Moffat, A., Migliavacca, M., Knauer, J., Sickel, K., Sigut, L., Menzer, O., and Reichstein, M.: Basic and
- extensible post-processing of eddy covariance flux data with REddyProc, Biogeosciences, 15, 5015-5030, 10.5194/bg-155015-2018, 2018.
- 840 Xu, B., Arain, M. A., Black, T. A., Law, B. E., Pastorello, G. Z., and Chu, H. S.: Seasonal variability of forest sensitivity to
- 841 heat and drought stresses: A synthesis based on carbon fluxes from North American forest ecosystems, Global Change Biology,
- 842 26, 901-918, 10.1111/gcb.14843, 2020.
- 843 Yao, Y., Liu, Y. X., Zhou, S., Song, J. X., and Fu, B. J.: Soil moisture determines the recovery time of ecosystems from
- drought, Global Change Biology, 29, 3562-3574, 10.1111/gcb.16620, 2023.
- 845 Zheng, P. F., Wang, D. D., Yu, X. X., Jia, G. D., Liu, Z. Q., Wang, Y. S., and Zhang, Y. G.: Effects of drought and rainfall
- 846 events on soil autotrophic respiration and heterotrophic respiration, Agriculture Ecosystems & Environment, 308, ARTN
- 847 107267, 10.1016/j.agee.2020.107267, 2021.
- 848 Zhou, S., Williams, A. P., Berg, A. M., Cook, B. I., Zhang, Y., Hagemann, S., Lorenz, R., Seneviratne, S. I., and Gentine, P.:
- 849 Land-atmosphere feedbacks exacerbate concurrent soil drought and atmospheric aridity, Proceedings of the National Academy
- 850 of Sciences of the United States of America, 116, 18848-18853, 10.1073/pnas.1904955116, 2019.