1 Effect of the 2022 summer drought across forest types

in Europe

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13 Abstract

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14 Forests in Europe experienced record-breaking dry conditions during the 2022 summer. 15 The direction in which various forest types respond to climate extremes during their 16 growing season is contingent upon an array of internal and external factors. These factors 17 include the extent and severity of the extreme conditions and the tree ecophysiological 18 characteristics adapted to environmental cues, which exhibit significant regional 19 variations. In this study we aimed to: 1) quantify the extent and severity of the extreme 20 soil and atmospheric dryness in 2022 in comparison to two most extreme years in the 21 past (2003 and 2018), 2) quantify response of different forest types to atmospheric and 22 soil dryness in terms of canopy browning and photosynthesis, and 3) relate the functional 23 characteristics of the forests to the emerging responses observed remotely at the canopy 24 level. For this purpose, we used spatial meteorological datasets between 1970 to 2022 25 to identify conditions with extreme soil and atmospheric dryness. We used the near-26 infrared reflectance of vegetation (NIRv) derived from the MOderate Resolution Imaging 27 Spectroradiometer (MODIS), and the OCO-2 solar induced fluorescence (GOSIF) as an 28 observational proxy for ecosystem gross productivity, to quantify the response of forests 29 at the canopy level.

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36	In summer 2022, southern regions of Europe experienced exceptionally pronounced
37	atmospheric and soil dryness. These extreme conditions resulted in a 30% more
38	widespread decline in GOSIF across forests compared to the drought of 2018, and 60%
39	more widespread decline compared to the drought of 2003. Although the atmospheric
40	and soil drought were more extensive and severe (indicated by a larger observed
41	maximum z-score) in 2018 compared to 2022, the negative impact on forests, as
42	indicated by declined GOSIF, was significantly larger in 2022. Different forest types were
43	affected in varying degrees by the extreme conditions in 2022. Deciduous broad-leaved
44	forests were the most negatively impacted due to the extent and severity of the drought
45	within their distribution range. In contrast, areas dominated by Evergreen Needle-Leaf
46	Forests (ENF) in northern Europe experienced a positive soil moisture (SM) anomaly and
47	minimal negative vapor pressure deficit (VPD) in 2022. These conditions led to enhanced
48	canopy greening and stronger solar-induced fluorescence (SIF) signals, benefiting from
49	the warming. The higher degree of canopy damage in 2022, despite less extreme
50	conditions, highlights the evident vulnerability of European forests to future droughts.
51	Υ
52	Keywords: photosynthesis, soil drought, atmospheric drought, canopy browning, gross

53 primary production

54 Introduction

55	The frequency and intensity of drought events have been rising globally, and future global	
56	warming is expected to further increase their occurrence (Seneviratne et al. 2012;	
57	Röthlisberger and Papritz 2023). Particularly over the past two decades, many regions in	1
58	Europe, have experienced widespread drought conditions, notably during the summers of	1
59	2003, 2010, and 2018 (Bastos et al. 2020; Zhou et al. 2023). The extreme conditions	1
60	caused widespread ecological disturbances (Müller and Bahn 2022) and reduced the	V
60 61	<u>caused</u> widespread ecological disturbances (Müller and Bahn 2022) and reduced <u>the</u> capacity of forests for carbon uptake, <u>thereby</u> diminishing their potential for mitigating	
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61	capacity of forests for carbon uptake, thereby diminishing, their potential for mitigating	A A A A A A A A A A A A A A A A A A A

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Deleted: Higher degree of canopy damage in 2022 in spite of less extreme conditions compared to the previous extreme year points to a legacy effect on forest canopies, and a declined forest resilience in response to more frequent drought events.

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64	and a loss of biodiversity	among plants a	and animals living	at the edge of	their temperature

65 tolerance. These conditions also alter phenology and plant development, causing

66 <u>cascading effects on ecosystem functioning (Seidl et al. 2017).</u>

- The spatial extent and severity of drought events vary, and the<u>ir</u> impacts depend on <u>Jocal</u> ecological characteristics of the forests, species-specific temperature and moisture threshold<u>s</u> that limit tree functioning, <u>as well as</u> adaptation strategies and acclimation of trees to more frequent and intense extreme conditions (Gessler et al. 2020). For example,
- 171 comparing the 2003 and 2018 extreme years, the year 2018 was characterized by a 172 climatic dipole, featuring extremely hot and dry weather conditions north of the Alps but

173 comparably cool and moist conditions across large parts of the Mediterranean. Negative

174 drought impacts appeared to affect an area 1.5 times larger and to be significantly

175 stronger in summer 2018 compared to summer 2003 (Buras et al. 2020).

In 2022, Europe <u>faced</u> its second hottest and driest year on record, <u>with</u> the summer of that year being the warmest summer ever recorded. Conditions in summer 2022 led to

178 record-breaking heatwave and drought events across many regions (Copernicus Climate)

179 Change Service, 2023). Compound drought and heatwave conditions in 2022 caused

180 widespread crop damage, water shortages, and wildfires across Europe. The hardest-hit

areas were the Iberian Peninsula, France, and Italy, where temperatures exceeded 2.5°C

above normal, and severe droughts persisted from May to August (Tripathy and Mishra

183 2023). The reduced soil moisture due to precipitation deficits and high temperatures,

184 contributed to the persistence and severity of drought, creating a positive feedback loop

185 where dry soils led to even drier conditions (Tripathy and Mishra 2023).

B6 Drought and heatwaves have a range of detrimental effects on trees and forests. The

- 87 most immediate impact is that elevated air temperatures and increased dryness, whether
- in the soil or in the atmosphere, disrupt mesophyll and stomatal conductance, thereby
- impairing, carbon uptake (Marchin et al. 2021). Plants reduce stomatal conductance under

severe drought to reduce water stress at the expense of reduced rates of photosynthesis

- (Oren et al., 1999). Drought also increases the chance of hydraulic failure, which can lead
- 192 to tree mortality (Choat et al. 2018). <u>Additionally, rising temperatures reduce the</u>
- enzymatic activity in trees, which in turn diminishes the forest's gross primary productivity
- 194 (Gourlez de la Motte et al. 2020). Elevated temperatures can also increase respiration

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225 rates in both soil and trees, which reduces the forest's, net carbon uptake and their ability 226 to mitigate anthropogenic CO₂ emissions (van der Molen et al. 2011; Anjileli et al. 2021). 227 Drought also restricts the movement of nutrients in soil water, reducing their availability 228 to trees and consequently impacting their growth and productivity (Bauke et al. 2022). 229 Changes in plant water-use and nutrient cycling can trigger feedback loops that magnify 230 the effects of drought and heat stress. For instance, reduced plant cover can increase 231 soil temperatures and further accelerate water loss and increase plant water demand 232 (Haesen et al. 2023). On the other hand, increased atmospheric dryness or reduced soil 233 moisture levels increase stomatal closure which limits transpiration and leads to higher 234 leaf temperature that intensifies heat stress on plants (Drake et al. 2018). Reduced 235 transpiration and photosynthesis <u>elevate</u> surface temperatures and <u>atmospheric CO2</u> 236 concentrations, altering local and regional climate patterns and intensifying the frequency 237 and severity of extreme events (Humphrey et al. 2018). These effects vary significantly 238 depending on forest type and species composition. Together with the characteristics of 239 the extreme events themselves - such as their extent and severity- this variability 240 complicates our understanding of how drought affects the functionality of different forest 241 ecosystems (Gharun et al. 2020; Shekhar et al. 2023a). These feedback loops highlight 242 the <u>urgent</u> need to <u>assess how</u> climate extremes <u>impact</u> different forest types, which are 243 crucial for sequestering significant portions of anthropogenic emissions. Our study aims 244 to 1) quantify the extent and severity of the extreme conditions in 2022 - focusing on soil 245 and atmospheric dryness-, and compare them to those of two previous extreme years 246 (2003, 2018), 2) quantify the responses of different forest types to drought in terms of 247 canopy browning and photosynthesis, and 3) connect the functional characteristics of the 248 forests with the canopy-level responses observed.

249 Methods

250 Meteorological dataset

We used Europe-wide <u>gridded datasets covering</u> daily mean air temperature (Tair; °C), daily mean relative humidity (RH; %) and daily mean soil moisture (SM; m³m⁻³) <u>for the</u> topsoil layer (0-7 cm depth), spanning from 2000-2022. <u>The study area encompasses</u> Deleted: from the...n both soil and from the ...rees, which leads to ...educes the forest'sd...net capacity of forests for ...arbon uptake and their ability reducing...o mitigate anthropogenic CO₂ emissions (van der Molen et al. 2011; Anjileli et al. 2021). Drought also limits restricts the movement of nutrients in the ...oil water, reducing and decreases nutrient ...heir availability to trees and consequently which would affect (...[2])

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406	longitudes from 11°W to 32°E, and latitudes from 35.8°N to 72°N, approximately 4.45	1
407	million km ² . We sourced the Tair and RH datasets from the E-OBS v27.0e dataset which	
408	provides daily data at 0.1°×0.1° spatial resolution (Cornes et al., 2018; Klein et al., 2002)	
409	We calculated daily mean vapor pressure deficit (VPD; kPa) from Tair and RH using	
410	Equation 1 <u>. (Dee et al. 2011)</u>	
411		
412	$VPD = (1 - \frac{RH}{100}) \times 0.6107 \times 10^{\frac{7.5 \times Tair}{237.3 + Tair}} $ (1)	
 413	1007	
414	The SM dataset was extracted from the most recent reanalysis data from ECMWF's	
415	(European Centre for Medium-range Weather Forecasts) new land component of the fifth	
416	generation of European Reanalysis (ERA5-Land) dataset (daily at 0.1°×0.1° resolution;	
417	Munoz-Sabater et al., 2021). ERA5-Land provides soil moisture (SM) data at an hourly	
418	interval with a spatial resolution of $0.1^{\circ} \times 0.1^{\circ}$. For our analysis, we aggregated the hourly	
419	SM data into daily averages. Recent validation studies using in-situ measurements and	
420	satellite data have confirmed the high accuracy of surface SM simulations from ERA5-	
421	Land (Albergel et al., 2012; Lal et al., 2022; Muñoz-Sabater et al., 2021). Additionally, SM	
422	data from ERA5-Land have been utilized to investigate drought and global SM patterns	
423	(see Lal et al., 2023; Shekhar et al., 2024b). We re-sampled the Tair, VPD, and SM data	
424	from daily (0.1° × 0.1°) to 8-day (0.05° × 0.05°) intervals to align with the temporal and	
425	spatial resolution of the vegetation response dataset,	
ļ		
426	Forest canopy response dataset	
427	In order to assess the forest canopy response to drought stress, we used two satellite-	
428	based proxies:	
429	1) The structure-based NIRv (near-infrared of vegetation index derived from MODIS	
430	(Moderate Resolution Imaging Spectroradiometer; 8-day 500m x 500m MOD09Q1 v6.1	
431	product) which is calculated using surface spectral reflectance at near-infrared band	
432	(RNIR) and red band (RRed) as shown in Equation 2 (Badgley et al. 2017). The	
433	calculated NIRv at 500m resolution was aggregated to a 0.05°×0.05° resolution (daily)	\bigtriangledown
434	by averaging.	

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<u>2) The</u>	physiological-b	ased reconstruc	ted global O	<u>CO-2 (Observa</u>	ation Carbon
<u>Observa</u>	<u>tory - 2) sola</u>	r induced fluore	escence (GOSI	F) dataset.	Solar-induced
fluoresce	ence (SIF) <u>is an e</u>	energy flux (unit: \	Nm <mark>-²µm.sr⁻¹) ree</mark>	mitted as fluore	scence by the
<u>chloroph</u>	<u>yll a molecules</u>	in the plants (Ba	aker, 2008). <mark>Re</mark>	cent extensive	research has
<u>establish</u>	ied a strong link	k between Solar-	Induced Fluore	scence (SIF) a	nd vegetation
photosyr	<u>nthesis, validatin</u>	<u>g SIF as an ef</u>	ffective proxy fo	or ecosystem g	gross primary
productiv	<mark>∕ity (GPP),(</mark> Li et a	al. 2018; Magney	et al. 2019 <u>; She</u>	<u>khar et al., 2022</u>	<u>). The GOSIF</u>
dataset v	<u>was created by tr</u>	aining a Cubist R	egression Tree	<u>model to gap-fill</u>	SIF retrievals
from OC	O-2 satellite. This	s was done using	MODIS Enhance	ed Vegetation In	dex (EVI) and
meteoro	logical reanalysis	data from MER	RA-2 (Modern-E	Era Retrospectiv	e analysis for
Researc	h and Applicatio	ns), which includ	es photosynthe	tically active rac	diation (PAR),
VPD, an	d air temperature	e (see Li and Xiao	<u>, 2019). We dov</u>	vnloaded GOSIF	- data set (v2)
from	the	Global	Ecology	Data	Repository
(http://da	<u>ita.globalecology</u>	.unh.edu/data/GC	DSIF_v2/, last <mark>ac</mark>	cessed on 25 Ju	uly 2024). The
GOSIF v	vas available fron	n 2000-2022 at 8-	-day temporal so	ale with a spatia	al resolution of
0.05°×0	.05° (Li and Xiao	, 2019).			
GOSIF s	ignals provide inf	formation about p	hysiological resp	oonse of forest p	hotosynthesis
while NI	Rv (a recently dev	veloped vegetatio	on index) signals	provide informa	tion about the
health st	atus of the cano	py. NIRv is prefe	erred over NDVI	and EVI as it c	an isolate the
vegetat <u>ic</u>	<mark>on,</mark> signal, mitiga	te mixed-pixel i	ssue, and partl	y address the	influences of
backgrou	und brightness a	nd soil contamina	ation (Zhang et	al. 2022). The t	wo vegetation
proxies,	used in this study	are anticipated to	o <mark>offer,</mark> complem	entary <mark>insights, i</mark>	nto, vegetation
response	e to drought,				
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In this study, we focused on five different types of forests (and woodlands) across Europe,
namely, evergreen needleleaf forest (ENF), evergreen broadleaf forest (EBF), deciduous

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549 broadleaf forest (DBF), mixed forest (MF), and woody savannas (WSA). The spatial 550 distribution of the five different forest types across Europe is shown in Figure 1. We used 551 the yearly MODIS land cover product (MCD12C1 version 6.1 at 0.05°×0.05° resolution) 552 for the years of 2001, 2006, 2011, 2016 and 2021, to extract total areas covered by each 553 forest type. Area of each grid cell was calculated using trigonometric equations 554 considering the latitudinal and longitudinal variations arising due to Earth's spherical 555 shape (Ellipsoid). Only areas that were consistently identified as each forest type over the 556 five_year period, were included in the analysis. This means that only pixels common 557 across these five years were selected, and with more than 50% of the 0.05°×0.05° pixel 558 area identified as forests, The forested areas selected for this study encompassed 559 907,875 km², which represents approximately 24% of Europe's total land area, Out of the 560 total area about 23% (206'212 km²) was dominated by ENFs distributed largely across 561 Northern Europe (NEU). Approximately 1% (7'000 km²) of the area was dominated by 562 EBFs, located entirely in Mediterranean Europe (MED), and about 10% (92'209 km²) was 563 dominated by DBF which was largely distributed across MED. Approximately 20% 564 (174'934 km²) of the total forested area was dominated by MFs largely dominating Central 565 Europe (CEU), and about 47% (427'529 km²) was dominated by WSA mostly found in 566 NEU (Figure 1). 567

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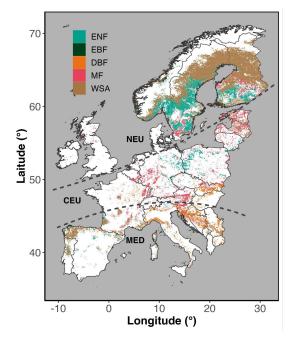


Figure 1 Spatial coverage of forests (ENF - evergreen needleleaf forest; EBF - evergreen
broadleaf forest; DBF - deciduous broadleaf forest; MF - mixed forest), and woodlands
(WSA - woody savannas) across Europe, Areas are differentiated into Northern Europe
(NEU), Central Europe (CEU), and Mediterranean Europe (MED) following Markonis et
al. (2021). The map is based on MODIS land cover product MCD12C1 (version 6.1),

585 <u>Drought detection and statistical data analysis</u>

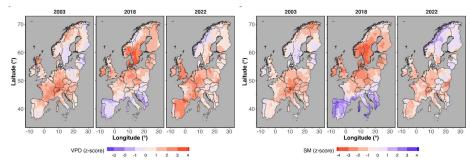
586 The focus of our analysis was on the summer months during three extreme years of 2003, 587 2018 and 2022. For this purpose, we subset VPD, soil moisture (SM), and both vegetation 588 proxies, (NIRv and GOSIF) for the months of June, July, August (JJA) which consisted of 589 fourteen 8-day periods, for each forested pixel between 2000 and 2022. We restricted 590 our analysis to the months of June-July-August so our study is 1) comparable with existing 591 studies focused on the summer drought 2) to capture the peak of the warm and dry 592 conditions across Europe, that would be most stressful for the vegetation functioning, 593 from the perspective of heat and water supply.

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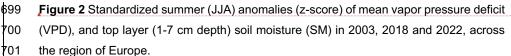
604	To account for the impact of the observed greening trend across Europe on vegetation	~	Deleted: In order to exclude any
605	proxy anomalies during the extreme years (2003, 2018, 2022), we applied a detrending		Deleted: the
606	process to the summer mean NIRv and GOSIF data. This detrending was performed		Deleted: of vegetation proxies Deleted: used detrended
607	pixel-wise from 2000 to 2022 using a simple linear regression model (Buras et al., 2020).		Deleted: Used detrended
608	We then calculated pixel-wise standardized summer anomalies, expressed as z-scores		from 2000-2022 was done pixel-wise based on a simple linear regression model
609	(Varz), for all variables-VPD, SM, and the detrended NIRv and GOSIF (hereafter		Formatted: Subscript
610	referred to as NIRv and GOSIF)-for each year, including the extreme years, using		
611	Equation 3		Deleted: We calculated pixel-wise standardized summer
l 612			anomalies (in terms of z-score, Var _z) for all the variables (Var), i.e., Precip, Tair, VPD, SM, detrended NIRv, and
613	$Var_{z} (unitless) = \frac{Var - Var_{mean}}{Var_{sd}} $ (3)		detrended SIF (referred to NIRv and GOSIF from hereafter), for each extreme year (including extreme year) using Equation 3.
1 614		$\langle \rangle$	Deleted: ¶
615	where, Var_{mean} and Var_{sd} are mean and standard deviation of any variable over the 2000-		Deleted: Z-scores less than -1 and more than 1 indicate significant negative and significant positive anomalies beyond normal variability. Var ₂ is calculated as:
616	2022 period.	/	Deleted: 1
617			
618	In drought identification studies, classification of 'normal' (not to be confused with normal		
619	distribution), 'drought' (used synonymously with 'dry'), or 'wet', is largely done using a		
620	standardized index, such as SPI (Standardized Precipitation Index), SPEI (Standardized		
621	Precipitation Evapotranspiration Index), and z-score among others (see Mishra and		Deleted: Z
622	Singh, 2011). All studies that use a standardized index for classification, classify "normal"		
623	conditions when the index is between -1 and 1, and "below normal" conditions when the		
624	index is < -1, and "above normal" conditions when the index > 1 (Jain et al., 2015, Wable		
625	et al., 2019, Dogan et al., 2012, Tsakiris and Vangelis, 2004). In this study, we classified,		Deleted: y
626	drought conditions as occurring when soil moisture is below normal (SMz < -1) and VPD		Deleted: the
627	is above normal (VPDz > 1), indicating both soil AND atmospheric dryness. This,		Deleted: i.e., presence of
628	threshold-based approach using standardized anomalies aligns with established methods		Deleted: dryness
629	for drought identification and is pertinent for studying drought impacts on forests. Both		Deleted: approach
630	soil moisture and VPD directly affect vegetation functioning, making them effective	/ /	Deleted: (
631	proxies for identifying environmental constraints on plant physiological performance.		Deleted: methods
			Deleted: in the literature and is relevant for studying
632 633	Furthermore, such classification of 'normal' (and thus, 'above normal' and 'below normal' used in this study) based on z-scores (also called standardized anomalies) can be done		drought impact on forests as we know from the body of literature that both SM and VPD directly influence vegetation functioning and thus are suitable proxies for identifying environmental limitations to plant physiological functioning

667	for any meteorological and/or response variables, such as NIRv and GOSIF done in this		Deleted: (
668	study, making the narration of results coherent across different variables.		Deleted:)
669	We used the Pearson correlation coefficient (r) and partial correlation coefficients (Pr) to	-	Deleted: Therefore, a
670	understand the spatial (across space for each year) and temporal (during each year)		Deleted: Areas were categorized as under drought if $VPD_z > 1 \& SM_z < -1$, and as normal areas if $-1 < VPD_z$
671	correlation of $\underline{GO}SIF$ and NIR_{v} anomalies with SM and VPD anomalies (Dang et al.,		$< 1 \& -1 < SM_z < 1.$
672	2022). We calculated the partial correlation coefficient using equations 4-7:		Formatted: Border: Top: (No border), Bottom: (No border), Left: (No border), Right: (No border), Between : (No border)
673			
674	$Pr(GOSIF, SM) = \frac{r(GOSIF, SM) - r(GOSIF, VPD) \times r(SM, VPD)}{\sqrt{1 - r(GOSIF, VPD)^2} - \sqrt{1 - r(SM, VPD)^2}} $ (4)		
 675	$\sqrt{1-r(GUSIF,VPD)^2} - \sqrt{1-r(SM,VPD)^2}$		
	$r(GOSIF, VPD) - r(GOSIF, SM) \times r(SM, VPD) $ (5)		
676	$Pr(GOSIF, VPD) = \frac{r(GOSIF, VPD) - r(GOSIF, SM) \times r(SM, VPD)}{\sqrt{1 - r(GOSIF, SM)^2} - \sqrt{1 - r(SM, VPD)^2}} $ (5)		
677			
678	$Pr(NIRv,SM) = \frac{r(NIRv,SM) - r(NIRv,VPD) \times r(SM,VPD)}{\sqrt{1 - r(NIRv,VPD)^2} - \sqrt{1 - r(SM,VPD)^2}} $ (6)		
679			
680	$Pr(NIRv, VPD) = \frac{r(NIRv, VPD) - r(NIRv, SM) \times r(SM, VPD)}{(m_{1} + m_{2} + m_{3})^{2}} $ (7)	_	Deleted: <i>SIF</i>
	$Pr(NIRv, VPD) = \frac{r(NIRv, VPD) - r(NIRv, SM) \times r(SM, VPD)}{\sqrt{1 - r(NIRv, SM)^2} - \sqrt{1 - r(SM, VPD)^2}} $ (7)		
681	Results		
682	Severity of the 2022 summer drought compared to 2018 and 2003		
683	Figure 2 shows the extent and magnitude of anomalies (z-score) of VPD and top layer (0-		
684	7 cm) soil moisture content during the summer months in 2003, 2018, and 2022 across		
685	Europe. In summer 2022, particularly southern regions of Europe experienced the most		Deleted: the entire region of
686	pronounced increase in atmospheric (z-score > 1) and soil dryness (z-score < -1) (Figure		
687	2) while in 2018 we observed the most widespread VPD and SM anomalies, in northern		Deleted: widespread drought

688 Europe (Figure 2).



698



703 Figure 3 shows the intensity of atmospheric and soil drought via z-score values of VPD 704 and SM anomalies over the summer months (JJA) in 2003, 2018, and 2022. The total '05 affected area displayed in Figure 3 is the sum of all pixels within the given z-score bin 706 during the summer period where z-scores are averaged for each bin for the summer 07 period. Restricted to forested areas, atmospheric and soil drought was 55% and 58% 708 more extensive in 2018 compared to 2022, and in both years more extensive than in 2003 709 (Figure 3). In 2022, 28 Mha of forested areas in Europe experienced an extremely high 710 VPD (z-score > 1), while in 2018, 63 Mha experienced such extreme conditions. In 2022, . 711 21 Mha of forested areas experienced an extremely low soil moisture content (z-score < 712 -1) while in 2018, 50 Mha of forests in Europe were affected by such extreme conditions. 713 In 2003 an area of 25 Mha was affected by extremely dry air and a similar area was 714 affected by extremely dry soil (Figure 3). A comparison of soil drought detected from SM 715 at 0-100 cm showed a similar result in terms of area and magnitude of drought and thus 716 we used SM at 0-7 cm soil layer for our analysis (see Supplementary Figure 1). 717

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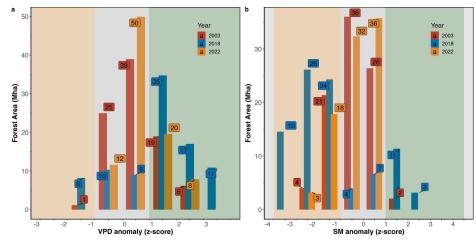


Figure 3 Intensity (z-score) and extent (area affected, Mha) of (a) VPD, and (b) SM
anomalies across forested areas during the summer months (JJA). Z-score, values from
-1 and 1 are considered normal (within 1 standard deviation of the mean). <u>Orange-shaded</u>
area marks below normal and green-shaded area marks above normal conditions.

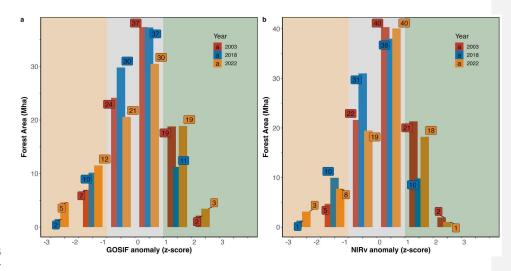
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726 Forest canopy response to the 2022 drought

720

727 The intensity of GOSIF and NIRv anomalies over the summer months (JJA) in 2003, 728 2018, and 2022 are displayed in Figure 4. The extent shown in Figure 4 is the sum of all 729 pixels within the given z-score bin during the summer period (z-scores are averaged for 730 each bin). Compared to 2018, the extremely dry conditions in 2022 led to 30% increase 731 in forested areas that exhibited declined photosynthesis (17 Mha in 2022 compared to 12 732 Mha in 2018) (Figure 4). The extent of the canopy browning observed in 2022 was similar 733 to 2018, which in both years was 120% of the extent of observed canopy browning in 734 2003 (11 Mha compared to 5 Mha observed in 2003) (Figure 4). 735

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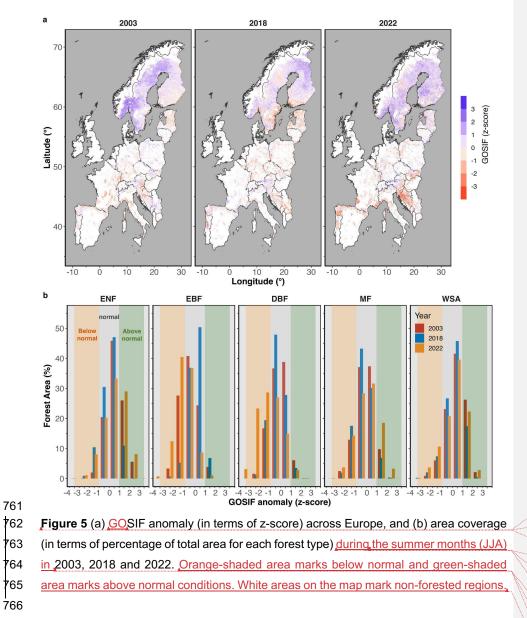
738	Figure 4 Intensity (z-score) and extent (area affected, Mha) for (a) GOSIF, and (b) NIRv
739	anomalies across forested areas, during the summer months (JJA), Z-score, values from
740	-1 and 1 are considered normal (within 1 standard deviation of the mean). <u>Orange-shaded</u>
741	area marks below normal and green-shaded area marks above normal conditions.
742	

Figure 5a shows the GOSIF anomalies (z-score) across all forested areas in Europe. The
intensity and extent of the GOSIF anomalies during the summer months (JJA) in each
year are shown for different forest types in Figure 5b. Across specific forest types, DBFs
showed the largest negative GOSIF anomaly in 2022 but the ENFs showed a positive
GOSIF anomaly in 2022, both in terms of magnitude and in terms of the spatial extent of
negative GOSIF anomalies (Figure 5).

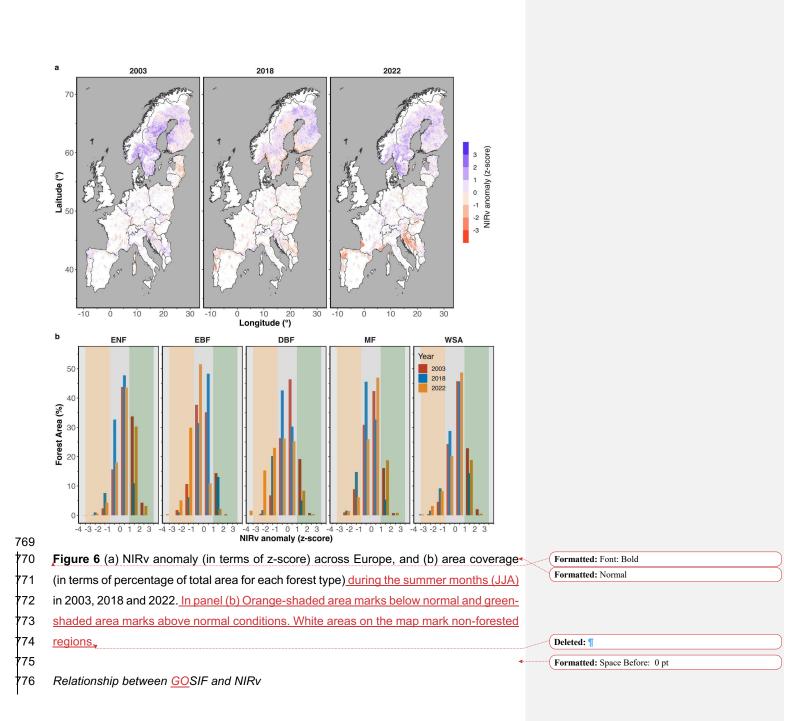
Figure 6a shows the anomalies of NIRv (average z-score over the summer months) across all forested areas in Europe. The intensity and extent of the NIRv anomalies during the summer months (JJA) in each year are shown for different forest types in Figure 6b. In terms of canopy browning response (NIRv anomalies), the largest negative NIRv anomalies in 2022 were observed in southern Europe (Figure 6). Largest negative NIRv anomalies (indicated by the maximum anomaly) were observed in the DBFs in 2022,

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755	fitting the declined GOSIF signals. The ENFs showed positive NIRv anomalies in 2022,	 Deleted: however
756	in terms of magnitude spatial coverage, and % of total area affected (Figure 6).	 Deleted: also both
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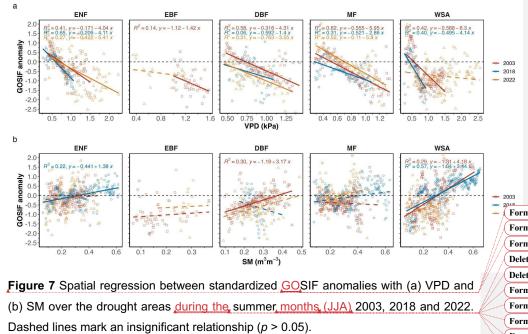


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778	In general, the values of NIRv and \underline{GO} SIF were highly correlated (Supplementary Figure	
779	2). The anomalies of NIRv and GOSIF were most correlated across WSAs ($r_{2}^{2} = 0.73$ in	-
780	2018) and least correlated across the ENFs (Supplementary Figure 2). Figure 7 shows	
781	the spatial regression between standardized GOSIF anomalies with (a) VPD and (b) SM	
782	and Figure 8 shows the spatial regression between standardized NIRv anomalies with (a)	\
783	VPD and (b) SM over the drought areas in summers 2003, 2018 and 2022. With the	
784	increase in VPD (i.e., increased atmospheric dryness), GOSIF values declined across all	
785	forest types, across all years, except in 2022 in the WSA, and in 2018 and 2022 in EBFs	
786	(Figure 7). With decrease in soil moisture (i.e., increased soil dryness), GOSIF values	
787	also declined overall ($r^2 = 0.34$), but not as strongly as with the increase in air dryness (r^2	
788	= <u>0.</u> 39) (Figure 7). Across different forest types, <u>GO</u> SIF responded most strongly to VPD	
789	anomalies in the MFs (mean r^2 = 0.48), and responded most directly to changes in the	
790	soil moisture in the WSA (Figure 7).	

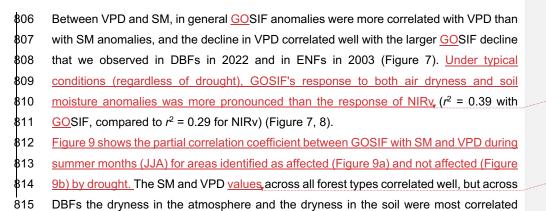
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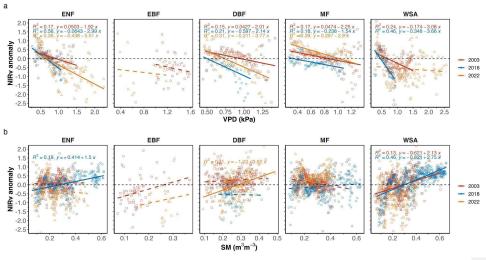


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816 (Figure 9). Regarding canopy response to VPD, European Needleleaf Forests (ENF)

817 exhibited the strongest reaction to changes in atmospheric dryness (Figure 9),



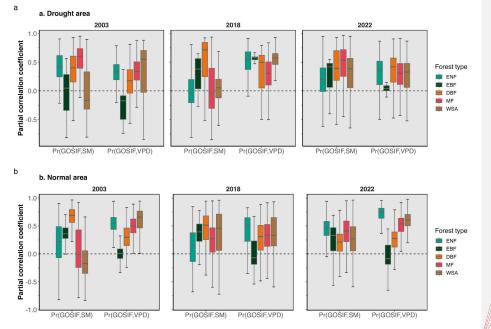
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819 Figure 8. Spatial (over all pixels) regression between standardized NIRv anomalies with 820 (a) VPD and (b) SM over the drought areas and normal areas in 2003, 2018 and 2022, 821 during the summer months (JJA). 822

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Figure 9. Temporal partial correlation coefficient of GOSIF with the absolute values of
SM and VPD during the summer months (JJA) in 2003,2018 and 2022, for detected (a)
drought areas and (b) normal areas. A <u>comparable</u> figure for NIRv <u>can be found in</u>
Supplementary Figure <u>3</u>.

837 Discussion

- 838 Severity of the 2022 summer drought
- Although the years 2003, 2018, and 2022 are all categorized as "extreme," the specific
- 840 characteristics of the extreme conditions varied significantly among these years. For
- 841 example<u>in 2003</u>, widespread negative anomalies in soil moisture signaled a significant
- soil drought, whereas in 2022, widespread positive VPD anomalies, indicated a notably
- 843 drier atmosphere (Figure 3). It is important to note that ERA-5 Land datasets have been
- shown to underestimate the extent of European heatwaves in 2003, 2010, and 2018
- **445** <u>(Duveiller et al., 2023), partly due to the use of a static leaf area index in their modeling</u>

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	Deleted: While the three selected years (2003, 2018, 2022) are all characterized as "extreme" years, characteristics of the extreme conditions varied largely across the yearsIn 2003 f In 2003 f
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863	framework. Consequently, the SM droughts in the years 2003, 2018, and 2022 may be	-
864	more severe than indicated by our study, suggesting that our results might be somewhat	7
865	conservative. The extensive summer drought in 2022 primarily impacted southern	A CONTRACTOR OF
866	Europe, in contrast to the 2003 summer drought, which affected central Europe, and the	1
867	2018 drought, which extended to central and northern Europe (Figure 2) (Bastos et al.,	
868	2020). Consequently, the severe dry conditions in 2022 resulted in an average decline in	
869	GOSIF across forests that was 30% more widespread compared to 2018, and 60% more	
870	widespread compared to 2003 (Figure 4), These above-normal dry conditions during the	
871	summer reduced the photosynthetic capacity of plants and, consequently, the	
872	ecosystem's ability to absorb carbon from the atmosphere (Peters et al., 2018; van der	
873	Woude et al., 2023). Although the atmospheric and soil droughts in 2018 were more	
874	extensive and severe compared to 2022 (as indicated by the maximum observed z-	
875	scores), the adverse impact on forests, as reflected by the decline in GOSIF, was greater	
876	<u>in 2022, </u>	Ϊ
077		
877	Canopy response to soil versus atmospheric dryness	۲ //
878	The GOSIF dataset used in this study has been shown to be a reliable proxy for	\langle
879	vegetation gross productivity, as <u>demonstrated by</u> comparisons with ground-based flux	
880	measurements, (Shekhar et al. 2022; Pickering et al. 2022). It is important to note that	·····.
881	GOSIF estimates are derived from a machine learning model trained with OCO-2 SIF	
882	observations, MODIS EVI data, and meteorological reanalysis data. As a result, the	
883	meteorological data used in our analyses are not entirely independent of the SIF data.	
884	However, this overlap is unlikely to impact our findings. A recent study that compared	
885	GOSIF with original OCO-2 data to assess the impacts of the 2018 U.S. drought found	
886	similar responses to drought between the two datasets (Li et al., 2020),	
887	NIRv and SIF signals are well-correlated and effectively capture seasonal patterns in GPP]
888	"Getachew Mengistu et al. 2021). Although the strength of their relationship can vary with	f_{i}
889	time, location, and forest type (see Supplementary Figure 2), reductions in SIF signals	/
890	are directly associated with decreased photosynthesis. While both SIF and NIRv are	J
891		
	reliable indicators of canopy responses to extreme climate events, SIF is more responsive,	and in
892	reliable indicators of canopy responses to extreme climate events, SIF is more responsive, to short-term climatic changes (Figure 7).	<

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959 Our analysis showed that across different regions, GOSIF anomalies corresponded more 960 strongly to increased atmospheric dryness than to increased soil dryness (Figure 7). This 961 supports the understanding that vapor pressure deficit plays a larger role in controlling 962 SIF signals for trees over shorter time scales than soil moisture (Pickering et al. 2022). Over shorter time frames, trees can often mitigate soil moisture deficits through 963 964 mechanisms within the rooting zone and by accessing deeper water sources, whereas 965 there is no such buffer for the impact of atmospheric dryness on tree canopies. 966 Ground-based observations in forest ecosystems, including both ecosystem and tree-967 level measurements, have shown that atmospheric dryness can constraint canopy gas 968 exchange, even when soil moisture is not limiting (Gharun et al. 2014, Fu et al. 2022, 969 Shekhar et al. 2024a). These findings highlight the importance of considering atmospheric 970 dryness as a limiting factor for tree photosynthesis during extremely dry conditions, and 971 demonstrate the rapid, response of various canopy types to increased levels of 972 environmental dryness. 973 Canopy response to drought across different forest types

974 The spread of drought, measured as the total area across z-scores, exhibited distinct 975 patterns in different years, leading to varied responses of different forest types to the 976 climatic anomalies. Impact of drought on forests can significantly differ depending on the **9**77 forest type, tree species, species composition, and past exposure to extreme conditions 978 (Arthur and Dech 2016; Chen et al. 2022). Our analysis showed that conditions in summer 979 2022 reduced vegetation functioning across DBFs the most, as it was indicated by 980 declined GOSIF signals (Figure 5). While deciduous broad-leaved forests were most 981 negatively affected by the extreme conditions in 2022, Evergreen Needle-Leaf Forests 982 (ENF) distributed in northern regions of Europe were not exposed to extremely dry 983 conditions in 2022 and even showed enhanced canopy greening and GOSIF signals, 984 through benefiting from the episodic warming (Forzieri et al. 2022). Under similar drought 985 conditions, the mechanisms to cope with the level of drought stress vary largely among 986 forest types, and depend on a combination of characteristics that control water loss 987 through the coordination of stomatal regulation, hydraulic architecture, and root 988 characteristics (e.g., rooting <u>depth</u>, root distribution, root morphology) (Gharun et al. 2020;

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1024	Peters et al. 2023). Stomata of trees exhibit a high sensitivity to VPD fluctuations, causing
1025	a reduction in stomatal conductance as VPD increases, which, in turn, limits the exchange
1026	of CO_2 with the atmosphere during photosynthesis (Bonal and Guehl in 2011; Li et al.
1027	2023). Different tree species show varying degrees of sensitivity in their stomatal
1028	responses to atmospheric dryness (Oren et al., 1999). For example, ring-porous species
1029	tend to maintain robust gas exchange under dry conditions, while diffuse-porous species,
1030	like those in ENFs, exhibit stronger stomatal regulation, reducing stomatal conductance
1031	as water availability decreases (Klein, 2014). This variability places plants on a spectrum
1032	of drought tolerance, reflecting their specific water relations strategies and leading to
1033	different responses among forests in similar climatic regions,
1034	Increased frequency of extremes and declined resilience of forests
1035	The increased canopy damage observed in 2022, despite less severe conditions
1036	compared to the previous extreme year, suggests a lasting impact on forest canopies that
1037	could lead to a decline in forest resilience in the face of more frequent drought events
1038	(Forzieri et al., 2022). A potential decline in the resilience of forests has significant
1039	implications for vital ecosystem services, including the forest's capacity to mitigate climate
1040	change. Consequently, there is an urgent need to consider these trends when formulating

- 1041 robust forest-based mitigation strategies. This need is especially critical given future
- 1042 projections indicating that the frequency and intensity of extreme dryness across Europe
- 1043 will more than triple by the end of the 21st century (Shekhar et al., 2024b). In this context,
 1044 it is increasingly important to investigate the vulnerability of forests to external
- 1045 perturbations and to develop mitigation strategies tailored to site-specific
- 1046 ecophysiological and environmental factors that influence forest resilience to drought.
- 1047 Effective management strategies should be based on an understanding of these factors
- 1048 to mitigate the legacy effects of drought (McDowell et al., 2020; Wang et al., 2023;
- 1049 <u>Shekhar et al., 2024a)</u>

1051 Conclusion

1052 The severity of the 2022 summer drought, marked by increased atmospheric dryness,
 1053 significantly compromised the photosynthetic capacity of trees, leading to widespread
 1054 declines in vegetation functioning, especially in deciduous broad-leaved forests. Our

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1092	findings underscore the importance of considering atmospheric dryness as a critical factor	
1093	influencing canopy responses during extreme climatic events, alongside soil moisture	
1094	deficits. Despite less severe overall conditions compared to previous extreme years, the	
1095	greater canopy damage observed in 2022 suggests a growing vulnerability of forests to	
1096	drought. This raises concerns about the future climate mitigation capacity of forest	
1097	ecosystems, particularly as projections indicate a continued increase in the frequency and	
1098	intensity of extreme dryness across Europe	
1099		
1100	Competing interests	
1101	Mana Gharun is a guest editor of the Special Issue and the authors also have no other	
1102	competing interests to declare	
1103		
1104	Acknowledgements	
1105	AS acknowledges funding from the SNF funded project EcoDrive (IZCOZ0 198094).	

Deleted: The severity of the 2022 summer drought, characterized by increased atmospheric dryness, significantly compromised the photosynthetic capacity of trees, leading to widespread declines in vegetation functioning, particularly evident in deciduous broad-

leaved forests. Our findings highlight the importance of considering atmospheric dryness as a critical factor influencing canopy responses during extreme climatic events, alongside soil moisture deficit. Despite less severe overall conditions compared to previous extreme

severe overall conditions compared to previous extreme years, the observed higher degree of canopy damage in 2022 suggests a declining resilience of forests to drought, raising concerns about the future climate mitigation capacity of forest ecosystems, as projections indicate a continued increase in the frequency and intensity of extreme dryness across Europe.¶

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