



### 1 Effects of spatial resolution of digital terrain obtained by drone on mountainous

# 2 urban fluvial flood modelling

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- 9 Abstract: The effect of resolution and quality of terrain data, as the most sensitive input to 2D hydrodynamic modelling, has

10 been one of the main research areas in flood modelling. However, previous studies have lacked the discussion on the limitation

11 of the target area and the data source, as well as the underlying causes of simulation bias due to different resolutions. This

- 12 study first discusses the performance of high-resolution DSM acquired by drone for flood modelling in a mountainous riverine
- 13 city, and the effect of DSM resolution on results using grid resolutions from 6 cm to 30 m. The study then investigates the root
- 14 causes of the effect based on topographic attributes. Xuanhan city, a riverine city in the mountainous region of southwest China,
- 15 was used as the study area. The HEC-RAS 2D model was used for all simulations, and the results generated using 6 cm DSM
- 16 acquired by drone were used as a benchmark. Results show that the simulation effect of flood characteristics shows a certain
- 17 step change with the change of DSM resolution. DSMs with a resolution within 10 m can better capture the undulating features
- 18 of the topography in the study area, which is crucial for the modelling of the inundation area. However, if features with specific
- 19 elevation difference values are involved, it is best to keep the resolution within 5 m, which will have a direct impact on the
- 20 accuracy of the modelling of the flood depth. The analysis of topographic attributes provides theoretical support for obtaining
- 21 the optimal resolution to match simulation requirements.
- 22
- 23 Keywords: Drone, digital surface model, spatial resolution, urban fluvial flood modelling, mountainous topographic attributes

### 24 1 Introduction

- In the past decade, floods, storms and droughts together have caused 80%-90% of the worldwide natural disasters, with floods accounting for more than 40% (WHO,2020). More than 2 billion people worldwide were affected by flood events, and their death toll accounted for half of all deaths caused by natural disasters (Alderman et al., 2012;Samela et al., 2016). With the
- 28 continuous development of residential areas on the flood plains and the increase of extreme precipitation events caused by the





- El Niño phenomenon induced by global warming, nearly 40% of the global cities will be located in flood-prone areas by 2030,
  especially the mountainous cities along the rivers (Güneralp et al., 2015; Corringham and Cayan, 2019; Muthusamy et al.,
- **31** 2021).
- 32

33 Taking the southwest mountainous area of China as an example, influenced by the complex topography and steep terrain, a 34 large number of towns have chosen to expand along the major rivers in the past few decades. Different from the urban 35 waterlogging caused by the impermeable surface and drainage network in the plain cities, for the mountainous cities along the 36 rivers, on the one hand, the steep terrain accelerates the process of runoff entering the river during heavy rain, on the other 37 hand, the financial budget and planning foresight were limited in the early urban construction, the construction standards of 38 the river flood control projects were generally low and the residential areas were set up irrationally, resulting in their inundation 39 mainly affected by the rapid rise and fall of the river floods. Facing climate change and extreme weather, flood inundation will 40 bring far more than expected damage (Xing et al., 2018; Utlu and Özdemir, 2021). Therefore, understanding the potential flood 41 inundation areas of the mountainous cities along the rivers is essential for assessing flood risk and future planning. 42 Hydrodynamic flood modelling methods play a crucial role in flood inundation simulation and risk management, and various 43 GIS-based hydrodynamic flood models have been developed in recent years (Azizian and Brocca, 2020; Utlu and Özdemir, 44 2021).

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46 Using hydrodynamic models for flood risk assessment and management requires various types of data, such as topography and 47 hydrological data. In the past decade, the rapid development of satellite remote sensing technology and computer performance 48 has enabled the wider application of 2D hydrodynamic models for flood modelling (Bates, 2012; Yan et al., 2015; Utlu and 49 Ozdemir, 2020). The most sensitive input affecting the 2D flood inundation simulation attributes (depth, extent, velocity) is 50 the digital elevation model (DEM), which places higher requirements on the quality and resolution of the DEM (Cook and 51 Merwade, 2009; da Costa et al., 2019). Currently, the freely available global DEM data with different resolutions are mainly 52 derived from satellite imagery, such as SRTM DEM (30-90m), ASTER GDEM (30m), MERIT DEM (90m), ALOS DEM 53 (12.5-30m). Coarse resolution DEM (>30m) can meet the simulation needs of large-scale flood events in large basins, but it is 54 difficult to accurately capture the topographic features of mountainous areas or complex urban environments (Saksena and 55 Merwade, 2015; Ogania et al., 2019; Utlu and Özdemir, 2021). Developed countries could use satellite- and airborne-based lidar or synthetic aperture radar to obtain Digital Terrain Models (DTMs) and Digital Surface Models (DSMs) with more 56 57 topographic details, with resolution accuracy up to centimeter level (Md Ali et al., 2015).

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- 59 In recent years, drone technology has developed rapidly, and civilian small-scale drones, equipped with functionalities such as 60 flight path planning, automatic flight control, and mountable sensors, have successfully overcome the challenges of traditional 61 drone surveying equipment, including inconvenient portability, high operational thresholds, and costs. The civilian drones are now widely applied in various fields such as hydrology, agriculture, and forestry (Castaldi et al., 2017; Loladze et al., 2019; 62 63 Acharya et al., 2021). Using drones to obtain high-resolution DSMs is not easily constrained by time and space, and could be 64 deployed on demand. This provides a reliable terrain input for precise and accurate inundation simulation of 2D hydraulic 65 models (Meesuk et al., 2015; Cook, 2017). Theoretically, as long as the model can process high-resolution DSM, the higher 66 the resolution, the more accurate the simulation results produced (Muthusamy et al., 2021). However, when acquiring and 67 processing high-resolution DSMs over large areas, limitations due to drone endurance and computer processing capability 68 increase the difficulty of operation and processing as the resolution required for flood simulation increases. This poses a 69 significant practical challenge for researchers and professionals outside the surveying field (Abily et al., 2016). Therefore, 70 considering simulation accuracy and efficiency, obtaining the optimal resolution that matches simulation requirements is more 71 important than simply pursuing the highest resolution (Xing et al., 2018).
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73 Since the gradual application of remote sensing images to hydraulic models, research on the effect of digital terrain data 74 resolution on flood simulation has been a hot topic in this field. Saksena and Merwade (2015) used resampling technique and 75 hydraulic model to analyse the relationship between a series of DEM resolutions from 3m to 100m and the extent of flood 76 inundation in different rivers. They found that both the water surface elevation and the area of flood inundation show a positive 77 linear relationship with DEM resolution. The coarser the resolution, the larger the inundation extent, leading to over-prediction. 78 However, the application of this conclusion is limited to specific rivers and watershed characteristics, and some researchers 79 found that when it comes to surface flooding (such as roads and towns along rivers), the relationship between DEM resolution 80 and flood characteristic simulation results (such as range and depth) is not simply a positive linear one. At the same time, some 81 studies have found that even if the resolution is the same, the simulation results of terrain data from different sources also show 82 significant differences. Saksena and Merwade (2015) found that the simulation results of 30m DEM resampled from LiDAR 83 DEM are much better than those directly using the publicly available 30m DEM from remote sensing images. These studies 84 all indicate that discussing the effect of terrain data resolution on flood simulation requires restrictions on the target area and 85 data source to improve the applicability of the conclusions (Shen and Tan, 2020).

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87 Most previous studies have primarily focused on comparing errors in flood simulation characteristics (inundation area, depth),

88 with a lack of discussion on the fundamental causes of simulation errors caused by different resolution data. For fluvial flood





- modelling in mountainous cities, the main factors affecting water flow should be the variation of river floodplains, riverside roads, and city streets and buildings with the undulation of the mountains. Considering the cost and difficulty of obtaining high-resolution terrain data, more in-depth discussions are generally centred around developed plains or coastal cities (Henonin et al., 2015; Xing et al., 2018; Leitao and De Sousa, 2018). The conclusions of these studies are basically around the impact of drainage network density, building size and gaps in different resolution DEMs on flood simulation, which is difficult to generalise to mountainous riverside cities affected by terrain undulation and rapid river level changes.
- 96 The objectives of this study are to: (a) discuss the application of high-resolution DSMs obtained by drones for fluvial flood 97 modelling in the mountainous city; (b) use resampling techniques to examine the effect of DSMs obtained by drones at different 98 resolutions on fluvial flood inundation simulation in the mountainous city; (c) analyse the representation of terrain features by
- 99 DSMs at different resolutions based on topographic attributes, and investigate the fundamental causes of the effect. Ultimately,
- this study aims to provide support for the appropriate DSM resolution needed for fluvial flood modelling in mountainous cities.

#### 101 2 Materials and methods

#### 102 2.1 study area

103 The study area, Xuanhan, is a mountainous city located in the southwestern region of China, at the southern foot of the Daba 104 Mountain. The built-up area of its main city is 23 km<sup>2</sup>, with a population of 153,000 people. The city is located at the head of 105 Zhou River, a primary tributary of the Qujiang River Basin, where the Qian River, Zhong River, and Hou River converge. At 106 the confluence, there is a large reservoir which was fully completed and put into operation in 1992, with a regulation capacity 107 of 102 million m3 (Fig. 1). The county has an average annual rainfall of 1248 mm, with the rainy season accounting for over 108 80% of the total annual rainfall due to the influence of the rainstorm area of the Daba Mountain. The heavy rain mainly occurs 109 from July to September. Between 1949 and 2021, the city experienced 14 major floods (peak flow of 6000-10000 m<sup>3</sup>/s), with 1982, 2004, 2005 and 2010 being particularly severe floods (peak flow exceeding 10000 m<sup>3</sup>/s). In the past decade, the floods 110 111 caused by rainfall in the upstream Qian River, Zhong River, Hou River, and the discharge from the Jiangkou Reservoir have 112 resulted in direct economic losses of more than 2 billion RMB (around 279 million US dollars).

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As can be seen from the satellite map in Fig. 1, the study area is surrounded by water on three sides, and the city is distributed

along the slopes, making it a typical mountainous riverside city. According to the 2022 Flood Control Plan of Xuanhan





(Xuanhan County People's Government, 2022), this study delineates a drone survey and flood inundation simulation area that extends along the river to the left and right banks. This area covers six warning points corresponding to the discharge flow of the Jiangkou Reservoir and the inundation points of the city, as shown in Fig. 1 and Table 1. This series of inundation points is distributed in the upper, middle, and lower positions of the simulation area and can be used for subsequent inundation simulation verification. The selected flood event is a typical flood process obtained by the local hydrological bureau based on the analysis of the severe flood events in 2005 and 2010, and the rising process of the flood event includes the flow values corresponding to six inundation points.

#### 124 2.2 drone images acquisition and processing for generating DSM

The general process of drone image acquisition and processing is shown in Fig. 2, and the drone flight campaign was flown on January 10, 2023, when the study area was in the winter dry season, with shallow river depths and large areas of exposed riverbeds. The DJI Matrice 300 RTK equipped with a Ruibo five-lens oblique photography sensor was used for the drone survey. The DJI Pilot 2 was used as the flight control program to set automatic flight paths and shooting parameters. In order to minimize the influence of building obstructions in the survey area, the flight altitude was set to 200 m, and the overlaps for the images were set at 80% for the longitudinal direction and 70% for the side direction (Cunliffe et al., 2016). Finally, 1467 vertical images were obtained, and the ground sample distance was 3 cm.

132 The ground control points (GCPs) were plotted using the Hi-Target GNSS receiver in real-time kinematic (RTK) positioning 133 mode. DJI Terra was used to process the drone images, using five control points to optimize the sensors' position and direction 134 data, and further check the positional accuracy. The final output products were orthoimages and initial DSM. PCI Geomatica was used to filter out noise on vegetation, water surfaces, and roads in the initial DSM. Considering the need for flood 135 136 inundation simulation, all buildings along the riverbank were retained. To maximize the preservation of spatial details, all 137 processing steps are carried out using the highest quality settings. However, there was still a certain degree of accuracy loss, 138 and the final spatial resolution of the DSM was 6 cm, it was then resampled to produce coarser DSMs with 1 m, 5 m, 10 m, 15 139 m and 30 m resolutions.

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Currently, sensors mounted on drones are unable to penetrate the water surface for underwater terrain surveys, and the underwater terrain still needs to be combined with bathymetric surveys and terrain interpolation. In this study, an unmanned boat equipped with a single beam sonar system was used to measure the underwater cross-section of the non-dried river section. Based on the research of Zhao et al. (2017), during the dry season, drones could be used to capture the downward trend of the





exposed river floodplains on both sides of the river cross-section. Combined with the measured water depth, the underwater cross-section of the river is generalised into rectangular, trapezoidal, or arc shapes (Fig. 3). The complete underwater terrain data was obtained through cross-section interpolation, and the interpolation correction can be implemented through the terrain processing tool RAS Mapper.

#### 149 2.3 Flood inundation modelling

The hydraulic model used in this study is the Hydrologic Engineering Center's River Analysis System (HEC-RAS) model, 150 version 6.3.1. HEC-RAS is developed by the Hydrologic Engineering Center of the U.S. Army Corps of Engineers (U.S. Army 151 152 Corps of Engineering, 2016). This software allows you to perform one-dimensional steady flow, one- and two-dimensional unsteady flow hydraulics modelling, sediment transport/mobile bed computations, water temperature modelling, and 153 154 generalised water quality modelling (U.S. Army Corps of Engineering, 2016). It is one of the most widely used hydraulic 155 models globally that is publicly available. This model includes two computational solvers, the two-dimensional Saint-Venant 156 equations (Equation 1) and the two-dimensional diffusion wave equation (Equation 2). The vector forms of the momentum 157 equations are as follows:

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$$\frac{\partial \boldsymbol{v}}{\partial t} + (\boldsymbol{V} \cdot \nabla)\boldsymbol{V} + f_c \boldsymbol{k} \times \boldsymbol{V} = -g\nabla \boldsymbol{z}_s + \frac{1}{h}\nabla \cdot (\boldsymbol{v}_t h \nabla \boldsymbol{V}) - \frac{\boldsymbol{\tau}_b}{\rho R} + \frac{\boldsymbol{\tau}_s}{\rho h} - \frac{1}{\rho}\nabla \boldsymbol{p}_a \tag{1}$$

$$\frac{gn^2}{R^{4/3}} |\mathbf{V}| \mathbf{V} = -g \nabla Z_s - \frac{1}{\rho} \nabla p_a + \frac{\tau_s}{\rho h}$$
(2)

where here the velocity vector is  $\mathbf{V} = (u, v)^T$ ,  $\mathbf{v}_t$  is the eddy viscosity tensor,  $\nabla$  is the gradient operator,  $\mathbf{k}$  is the unit vector in the vertical direction,  $\tau_b$  and  $\tau_s$  is the bottom shear and wind surface stress vector, h is water depth,  $f_c$  is coriolis parameter,  $p_a$  is atmospheric pressure, R is hydraulic radius, $Z_s$  is water surface elevation, g is gravitational acceleration, n is manning's roughness coefficient,  $\rho$  is water density.

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165 The 2D unsteady flow equation solvers both use the implicit finite volume solution algorithm. The implicit solution

algorithm allows for a larger computational time step than explicit methods. Compared with traditional finite

167 difference and finite element techniques, the finite volume method significantly improves the stability and robustness

- of the solution process (Mourato et al., 2021). For specific model introductions and usage, please refer to the HEC-
- 169 RAS Applications Guide and HEC-RAS User's Manual (U.S. Army Corps of Engineering, 2016).
- 170

171 The flood inundation modelling in this study used a full two-dimensional unsteady flow model. Figure 4 shows the

172 topographic data of the area, the two-dimensional computational grid, and the upstream and downstream boundary





- 173 conditions (blue line in Fig. 4). The input data for the upstream boundary of the model is a typical flood process with a
- 174 time step of 1 hour. The normal water depth calculated using the river slope drop is used under the downstream
- boundary conditions. The downstream river slope drop is calculated based on the DSM obtained by the drone, which is
- 176 0.00084 m/m.

#### 177 2.4 Topographic attributes analysis

Obtaining topographic attributes from digital terrain data is a common method for capturing digital terrain features, evaluating 178 179 the quality of terrain data, and analysing the uncertainty of terrain representation in different resolution terrain data. There are 180 more than ten commonly used topographic attributes, which are used in hydrological analysis, land use, and soil vegetation 181 analysis. Each indicator uses different methods to describe the terrain structure and shape, and the undulation of the terrain 182 directly affects the flow of water on the surface. Therefore, this study selected six topographic attributes closely related to 183 hydraulic simulation and hydrological analysis, and further analysed the effect of DSMs of different resolutions on flood 184 inundation simulation based on topographic attributes. The topographic attributes are: Elevation, Topographic Position Index 185 (TPI), Terrain Ruggedness Index (TRI), Wind Exposition Index (WEI), Morphometric Protection Index (MPI), Vector 186 Ruggedness Measure (VRM). The specific meanings are shown in Table 2.

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Salekin et al. (2023) found that when extracting topographic attributes, it is much more reasonable to use the average value of the plot than to directly measure the centre point of the plot, as the latter has poor spatial representativeness. Therefore, this study established 894 square plots of 30 m x 30 m in the analysis area based on the coarsest resolution (30m) as the plot side length. This ensures that at the coarsest resolution, the calculation of the plot contains complete grid pixels, and at a finer resolution, each plot can contain multiple complete grid pixels. All geospatial processing and data extraction were performed using ArcGIS and the System for Automated Geoscientific Analysis (SAGA v8.5.1) (Conrad et al., 2015). The Mean Absolute Error (MAE) was used to analyse the differences in DSM topographic attributes at different resolutions:

195  $MAE = \frac{1}{m} \sum_{i=1}^{m} |x_i - y|$ (3)

Where m is the total number of plots calculated,  $x_i$  is the average value of the topographic indicators of each plot in the resampled DSM, and y is the topographic attribute value as a benchmark and control value, i.e., the value of topographic attributes of the 6cm DMS obtained by the drone.

#### 199 3 Results and discussion





#### 200 3.1 Performance of drone DSM in mountainous urban fluvial flood modelling

201 This study used the original high-precision DSM (6 cm) obtained by drone as the benchmark topographic data input into the 202 HEC-RAS model for 2D flood inundation simulation. Through the investigation of historical flooding traces, inundation 203 boundaries, and flooding depths on site, the historical flooding characteristics of six inundation points were obtained. These 204 characteristics were used to verify the simulation results and adjust the model parameters. The elevation positions of the six 205 inundation points increase with the corresponding flood flow, and their distribution covers the upper, middle, and lower parts 206 of the study area, allowing the model results to be verified from different situations and different locations (Fig. 5). 207 208 The red line in Fig. 5 of the on-site photos is the historical flood inundation boundary line of the inundation point, which is 209 obtained based on historical flood photos, inundation trace investigations, and local flood control plan. As shown in the flood mapping results in Fig. 5, flood inundation modelling and historical flood inundation boundary lines at the six inundation 210 points fit well, indicating good consistency between the model simulation and actual flood inundation. Therefore, in subsequent 211 212 analyses, the calibrated simulation results of the 6 cm DSM are used as the benchmark conditions for comparative analysis 213 (flood inundation boundary line, inundation area, inundation depth).

#### 214 3.2 Effects of different resolutions on flood modelling

#### 215 **3.2.1** Overall comparison of flood area and depth

The resampled DSMs (1 m, 5 m, 10 m, 15 m, and 30 m) were sequentially input into the HEC-RAS for flood inundation modelling. Figure 6 shows the flood inundation situation simulated by different resolution DSMs at the maximum flood peak flow (12700m<sup>3</sup>/s). As shown in Fig. 6, it can be preliminarily seen that as the DSM resolution decreases from high to low, the inundation area and depth gradually show differences, and different changes in magnitude are presented within and outside the main river channel (floodplain and riverbank).

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Figure 7 shows the trend in inundation area and mean flood depth at maximum flood peak flow (12700m<sup>3</sup>/s) based on DSMs at different resolutions. As shown in Fig. 7(a), within the range of the main river channel, there is no obvious trend in the inundation area with the decrease of the DSM resolution, and only a slight fluctuation occurs. While the mean flood depth shows an obvious fluctuation when the resolution is greater than 5 m. The reason for the lack of significant trends in modelling results within the main channel should be: the coarsest resolution (30 m) used in the discussion is much smaller than the average river width (about 182 m) in the study area. Meanwhile, except for the exposed riverbed topographic data obtained





- by drone during the dry season, the rest of the underwater topography of the river channel was obtained by generalised crosssection interpolation based on the trend of the floodplain (obtained by the drone) combined with the maximum underwater depth (obtained by the unmanned boat), which has a limited capture of the undulating features of the underwater topography, resulting in insensitivity to the change in DSM resolution in the simulation of inundation in the main river channel. Although there is no clear change pattern, the fluctuation of the results also indicates that the impact of different DSM resolutions on flood inundation simulation is not a simple linear relationship.
- 235 As shown in Fig. 7(b), in the floodplain and riverbank outside the main channel, the inundation area shows a significant decreasing trend with the decrease of the DSM resolution. Taking the flood modelling result drawn at 6 cm DSM as a 236 237 benchmark, the inundation area decreases by 0.65%, 1.62%, 3.38%, 4.25%, and 7.67% respectively from 1 m to 30 m DSMs. 238 While the mean flood depth shows no obvious change at 1m and 5m DSMs, a clear increasing trend can be seen after the 239 resolution is greater than 5 m, increasing by 2.21%, 4.31%, and 10.41% respectively from 10 m to 30 m. Overall, both the 240 inundation area and mean flood depth show an obvious step change, namely, compared with the benchmark, the change 241 magnitude at 1 m and 5 m DSMs is small and similar, the change magnitude at 10 m and 15 m DSMs is large and similar, and 242 the change magnitude at 30 m DSM is the largest.
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244 For the floodplains and riverbank in the mountain city, as the DSM resolution decreases, the simulated inundation area becomes 245 smaller and the mean flood depth becomes greater. It indicates that the change in resolution significantly affects the 246 characterisation of DSM topography. More notably, both the flood area and depth showed some stage changes in the whole 247 mountainous urban fluvial flood modelling as shown in Fig. 7(a) and (b), and this was also supported by visualising inundation 248 area at different DSM resolutions (Fig. 6). The possible reason for this phenomenon is that as the resolution becomes coarser, 249 the topographic undulation of the inundation area changes from the original smooth trend to a step-like trend, thereby changing 250 the process of flood inundation in the model. This step-like trend of topographic undulation also makes the relationship between 251 resolution change and flood inundation characteristics present a non-linear relationship (step change). When the resolution 252 changes from fine to coarse to a certain extent, this step-like change in topographic undulation can show a significant change.

#### 253 **3.2.2** Specific effects of different resolution the inundation points

To further analyse the effect of DSM resolution on mountainous urban fluvial flood modelling, we discussed the simulation results of six inundation points at different resolutions. Figure 8 shows the inundation modelling results at point C(11200m<sup>3</sup>/s)





256 produced using different resolution DSMs, we identify the inundation situation simulated at this point with a 6 cm DSM as the 257 benchmark and reference, and the red line in Fig. 8 represents the inundation boundary line at this point of the benchmark, i.e. 258 the standard/control inundation boundary line.. By comparing the modelling results of different resolutions at point C, it was 259 found that the simulation performance of using 1 m and 5 m DSMs at this point presents a better fit to the benchmark boundary 260 line than that of other resolutions, and the corresponding inundation boundaries almost coincide with the benchmark. However, 261 the simulation performance of using 15m and 30m DSMs at this point is substandard, and the corresponding inundation 262 boundaries are far from the benchmark. The inundation boundary simulated using the 10 m DSM slightly exceeds the boundary 263 line of the benchmark. Although it basically coincides at 10 m DSM, the flood depth at this point is greater than the benchmark 264 (the colour is deeper than the benchmark).

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266 Table 3 presents the comparison of simulation results at six inundation points using DSMs of different resolutions with the 267 benchmark. A horizontal comparison of the results in Table 3 reveals that the larger the simulated discharge (the higher the 268 elevation of the inundation point), the greater the impact of DSM resolution changes on the accuracy of the inundation 269 simulation. When simulating the minimum discharge of 6000m<sup>3</sup>/s (point F), all simulated inundation boundaries are in 270 coincidence with the benchmark boundary (colors are green) using DSMs from 1 m to 15 m. However, when simulating the 271 maximum discharge of 12700m3/s (point A), only the simulation result corresponding to the 1 m DSM is coincident. For points 272 where the simulated inundation boundary is not in coincidence with the benchmark, the average distance of the simulated 273 boundary from the reference boundary basically tends to increase as the resolution becomes coarser.

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A vertical comparison of simulation results at different resolutions in Table 3 reveals that the inundation boundary simulated using a 1 m DSM is in perfect coincidence with the benchmark with minimal error in the flood depth. The inundation boundaries produced using 5 m and 10 m DSMs are basically consistent with the benchmark at all inundation points except at some extreme discharges, but the flood depth simulated using a 10 m DSM is much greater than the depth at a finer resolution. It is clear that DSMs of 15 m and 30 m cannot meet the requirements for mountainous urban fluvial flood modelling.

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Considering the results of Fig. 7 and Table 3, it can be seen that the simulation effect of flood characteristics shows a certain step change with the change of DSM resolution in the mountainous urban fluvial flood modelling. When the resolution is greater than 10 m, the simulation results of its flood characteristics cannot meet the requirements of flood inundation modelling in the mountainous riverside city. When the resolution does not exceed 5 m, its simulation results basically meet the requirements, and the results obtained by simulating with a 1 m DSM are in general coincidence with the results of centimetre-





286 level DSM simulation. However, the simulation results using a 10 m DSM are basically qualified in terms of inundation

287 boundaries, but there is an overestimation of the flood depth compared to 1 m and 5 m DSM.

#### 288 3.3 Analysing the causes of effects based on topographic attributes

289 Floods in mountainous riverside cities are mainly caused by rapid confluence of flash floods driven by heavy rain. To further 290 analyse the fundamental reasons for the impact of different resolution DSMs on the simulation of flood inundation in 291 mountainous riverside cities, six topographic attribute indicators, namely, elevation, TPI, TRI, WEI, MPI, and VRM were 292 selected to statistically analyse the topographic attributes of DSMs at different resolutions. Table 4 presents the statistical 293 results of the topographic features of the 6 cm DSM, reflecting the topographic undulation of the study area from multiple perspectives. For example, TPI represents the difference in height between the grid and the average height of the surrounding 294 295 grids, with a range from -2.87 to 28.51, and the average value is close to 0, indicating that the topography of this area is 296 significantly undulating, with certain distributions of high and low lands. The filtered DSM retains buildings for flood 297 modelling, so variables such as WEI, MPI, or VRM that describe steep ridge sites as well as accumulation areas can also be 298 used to characterise the DSM's capture of mountainous buildings and bare ground.

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300 Based on the topographic attributes of the 6 cm DSM as the benchmark, the characterisation of the topographic attributes of 301 the study area by the 1m to 30 m DSM was analysed. Figure 9 shows the distribution of absolute errors for the six topographic 302 attribute metrics calculated based on 1m to 30 m DSM in 894 square plots, and Table 5 presents the final MAE values. The 303 results show that as the resolution of the DSM becomes coarser, the overall error between the six topographic attribute 304 indicators and the benchmark increases. As shown in Fig. 9(a), (d), (e), and (f), the four indicators (elevation, WEI, MPI, and 305 VRM) show a significant step change around a resolution of 10 m, and the errors corresponding to 5 m and 10 m are not much 306 different. However, in the results of the remaining two indicators, TPI and TRI, the error corresponding to 10 m is much greater 307 than the error corresponding to 5 m. This suggests that there is a certain threshold for the effect of DSM resolution changes on 308 topographic attributes. Compared to DSMs with a resolution exceeding 10 m, DSMs with a resolution within 10 m can better 309 capture the undulating features of the topography in the study area, which is crucial for the modelling of the inundation area. 310 However, if features with specific elevation difference values are involved (such as TPI and TRI), it is best to keep the 311 resolution within 5 m, which will have a direct impact on the accuracy of the modelling of the flood depth.

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313 Considering the previous flood inundation modelling situation, it was found that as the resolution changes, there is consistency





314 between the simulation results of flood inundation characteristics and the changes in topographic attributes. This indicates that 315 the effect of DSM resolution on inundation modelling is mainly related to the complexity and undulation of the terrain, and the simulation accuracy is directly related to whether the DSM can accurately capture topographic features. The analysis of 316 317 topographic attributes provides theoretical support for obtaining the optimal resolution to match simulation requirements. For 318 mountainous urban fluvial flood modelling, sing the DSM obtained by drones as the terrain input, the resolution within 10 m 319 can basically meet the simulation needs of the inundation area, since the DSM within this resolution can accurately characterise 320 the features of the undulating and complex terrain (including buildings). However, considering the simulation needs of the 321 flood depth and balancing the computational cost and the simulation requirements, the resolution of 1 m to 5 m can present 322 better results, since the DSM with this resolution can accurately capture the characteristics of the specific difference in the 323 elevation.

#### 324 4 Conclusion

This study conducted a 2D flood inundation simulation of a mountainous riverside city in southwest China based on highprecision DSM obtained by drone. Considering the local government's flood prevention plan, field investigation of historical inundation traces, and inundation boundaries, the flood inundation simulation area and six inundation points for model validation were determined. The results showed that the flood inundation simulation and the historical flood inundation boundary lines at the six inundation points were well matched, and there was a good consistency between the model simulation results and the actual flood inundation.

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The initial 6 cm DSM obtained by drone was resampled into 1 m, 5 m, 10 m, 15 m and 30 m DSM, respectively, as the terrain input for the 2D flood inundation simulation, and the effect of different resolutions on mountainous urban fluvial flood modelling was discussed. The results showed that, in the floodplain and riverbank outside the main channel, the inundation area showed a significant decreasing trend with the decrease of resolution. The mean flood depth did not change significantly at 1 m and 5 m DSM, but showed a significant increasing trend after the resolution was greater than 5 m. Both inside and outside the river channel showed a certain step change.

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339 Similarly, based on the 6 cm DSM as the benchmark, the characterisation of topographic attributes by different resolution
340 DSMs was further analysed. We found that there was a certain threshold for the effect of DSM resolution on topographic
341 attributes. Compared with the DSM with a resolution of more than 10 m, the DSM with a resolution of less than 10 m could





342	better capture the undulating and complex terrain features of the study area, especially within 5 m.
343	
344	According to the analysis of terrain attributes, using the DSM obtained by drone to conduct a mountainous urban fluvial flood
345	modelling, the resolution of the terrain data used should be kept within 1 m to 5 m. However, if larger watersheds and larger
346	mountainous cities were involved, in the case of non-extreme discharges, considering the cost of acquisition and processing,
347	using a resolution of 5 m to 10 m could also meet certain requirements in terms of inundation area drawing, but there could be
348	a possibility of overestimation of flood depth.
349	
350	Data availability. The hydrological data used in this study were provided by Sichuan Dazhou Hydrological and Water
351	Resources Survey Centre.
352	
353	Author contribution. XZ, TA, and XH suggested the idea and formulated the overarching research goals and aims. XY and
354	XH operated the drone to get the image data. XZ, LM, and HY processed, corrected and managed the data. XZ prepared the
355	manuscript with contributions from all co-authors.
356	
357	Competing interests. The contact author has declared that neither they nor their co-authors have any competing interests.
557	competing increases. The conduct during has declared that notified they not then be during increases.
358	
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358 359 360 361	<i>Disclaimer.</i> The opinions expressed here are those of the authors and not those of other individuals or organizations. <i>Financial support.</i> This research has been supported by Sichuan University-Dazhou Municipal People's Government Strategic cooperation special fund project (grant nos. 2021CDDZ-12).
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358 359 360 361 362 363 364	<ul> <li>Disclaimer: The opinions expressed here are those of the authors and not those of other individuals or organizations.</li> <li>Financial support. This research has been supported by Sichuan University-Dazhou Municipal People's Government Strategic cooperation special fund project (grant nos. 2021CDDZ-12).</li> <li>Reference</li> <li>Abily, M., Bertrand, N., Delestre, O., Gourbesville, P., and Duluc, CM.: Spatial Global Sensitivity Analysis of High Resolution classified topographic data use in 2D urban flood modelling, Environ. Modell. Softw., 77, 183-195,</li> </ul>
357 358 359 360 361 362 363 364 365	<ul> <li>Disclaimer. The opinions expressed here are those of the authors and not those of other individuals or organizations.</li> <li>Financial support. This research has been supported by Sichuan University-Dazhou Municipal People's Government Strategic cooperation special fund project (grant nos. 2021CDDZ-12).</li> <li>Reference</li> <li>Abily, M., Bertrand, N., Delestre, O., Gourbesville, P., and Duluc, CM.: Spatial Global Sensitivity Analysis of High Resolution classified topographic data use in 2D urban flood modelling, Environ. Modell. Softw., 77, 183-195, https://doi.org/10.1016/j.envsoft.2015.12.002, 2016.</li> </ul>
<ul> <li>358</li> <li>359</li> <li>360</li> <li>361</li> <li>362</li> <li>363</li> <li>364</li> <li>365</li> <li>366</li> </ul>	<ul> <li>Disclaimer. The opinions expressed here are those of the authors and not those of other individuals or organizations.</li> <li><i>Financial support.</i> This research has been supported by Sichuan University-Dazhou Municipal People's Government Strategic cooperation special fund project (grant nos. 2021CDDZ-12).</li> <li><b>Reference</b></li> <li>Abily, M., Bertrand, N., Delestre, O., Gourbesville, P., and Duluc, CM.: Spatial Global Sensitivity Analysis of High Resolution classified topographic data use in 2D urban flood modelling, Environ. Modell. Softw., 77, 183-195, https://doi.org/10.1016/j.envsoft.2015.12.002, 2016.</li> <li>Acharya, B. S., Bhandari, M., Bandini, F., Pizarro, A., Perks, M., Joshi, D. R., Wang, S., Dogwiler, T., Ray, R. L., Kharel, G.,</li> </ul>

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Serial	Discharge flow of	Location of	ation of Serial Discharge flow of		Location of
number	the Jiangkou	inundation point	number	the Jiangkou	inundation point
	Reservoir			Reservoir	
		The gate of the			The Wangjia
А	12700m <sup>3</sup> /s	Local Tax	D	9800m <sup>3</sup> /s	square
		Bureau			
В	12000m <sup>3</sup> /s	The entrance of			Riverwalk outside
		China Construction	Е	7000m <sup>3</sup> /s	the Lower Town
		Bank			Street
		The gate of Red			Riverwalk outside
С	11200m <sup>3</sup> /s	Army Memorial	F	6000m <sup>3</sup> /s	the Westside Police
		Park			Station

## Table 1 Flood inundation points in Xuanhan City





Table 2 Description of topographic variables used as independent explanatory variables for modelling. Descriptions are based on Salekin et al., (2021), Harris and Baird (2019), and the SAGA-GIS Tool Library Documentation (v8.5.1).

Topographic variables	Description	Formula/Units
Elevation	Elevation above sea level in meters.	Meters
Topographic Position	Difference between elevation of the cell	No unit
Index	and the mean of the elevation in	Value $> 0$ when the cell is higher than its
	surrounding cells, calculated by	surroundings, zero when in a flat area or
	dividing the elevation difference by its	mid-slope and < 0 when lower than its
	standard deviation.	surroundings.
Terrain ruggedness index	A measure of terrain complexity/	Meters
	heterogeneity. It calculates the sum	Value is always $\geq 0$ m, where 0 represents
	change in elevation between a grid cell	the minimum roughness
	and its neighbouring grid cells.	
Wind Exposition Index	Calculates the average wind effect	No unit
	across all directions using an angular	Value < 1 indicates wind-shadowed ar
	step.	eas, value > 1 indicates areas expose
		d to wind.
Morphometric Protection	Analyses the immediate surrounding of	No unit
Index	each cell up to a given distance and	Value $> 0$ when the cell is protected and
	evaluates how the relief protects it.	< 0 when it is not.
Vector ruggedness	A measure of terrain complexity/vari	No unit
measure	ance that captures variability in slop	Natural terrain has values between 0 and
	e and aspect in a single measure.	0.4





Inundation	Discharge	Simulation results at different DSM resolution					
point	$(m^3/s)$	0.06m	1m	5m	10m	15m	30m
А	12700	0	-0.09m	8.3m	9.8m	12.2m	16.8m
В	12000	0	0.06m	0.07m	4.5m	14.0m	14.0m
С	11200	0	0.05m	0.10m	0.71m	87m	34m
D	9800	0	0.03m	-0.02m	0.19m	5.1m	37.1m
Е	7000	0	0.01m	0.02m	0.17m	11.5m	25.7m
F	6000	0	-0.03m	0.03m	0.50m	1.10m	8.0m

Table 3 modelling results of inundation boundary line and flood depth produced using different resolution DSMs at six inundation points

Note: The green colour indicates that the simulated inundation boundary is in coincidence with the benchmark boundary, and the numbers inside indicate the average error between the simulated inundation boundary's flood depth and the benchmark; the red colour indicates that the simulated inundation boundary is not in coincidence, and the numbers inside indicate the average distance that the simulated inundation boundary differs from the benchmark.





Topographic	6cm DSM					
attribute index	Min	Max	Mean	SD		
Elevation	262.29	355.70	290.64	16.23		
TPI	-2.87	28.51	0.04	1.05		
TRI	0.00	38.28	0.13	1.45		
WEI	1.26	0.79	1.01	0.10		
MPI	0.00	1.52	0.31	0.31		
VRM	0.00	0.70	0.03	0.09		

# Table 4 Summary of the topographic attributes index for the standard (6cm DSM)





topographic	MAE results at different DSM resolution					
attribute index	1m	5m	10m	15m	30m	
Elevation	0.404	1.403	1.617	5.438	8.255	
TPI	1.024	3.412	5.485	6.957	9.734	
TRI	1.437	5.014	8.024	10.140	14.102	
WEI	0.034	0.075	0.083	0.105	0.121	
MPI	0.148	0.253	0.259	0.321	0.312	
VRM	0.070	0.100	0.109	0.143	0.115	

# Table 5 MAE of six topographic attribute metrics at different resolutions

Note: Bold black values indicate abrupt/step changes before and after the value.





### Fig.1

Location of study area, Xuanhan City, China, and the flood core control area (yellow boundary line) shown on the satellite map (from <sup>©</sup>Google Earth) and the orthophoto, including drone survey area, flood modelling area and six inundation points







Fig.2

Flowchart of drone images acquisition and processing







# Fig.3

Generalization of the underwater cross-section







### Fig.4

Mesh used for all simulations with 6 cm DSM in the HEC-RAS and hydrograph of the typical flood event







### Fig.5

Comparison and validation of inundation point simulation results with historical flood boundary lines (The inner six images are on-site survey images about six inundation points and the outer six localized magnified orthophotos are the corresponding flood mapping results from HEC-RAS)







### Fig. 6

Flood inundation obtained by inputting different resolution DSM for hydraulic modelling (resolutions ranging from 6 cm to



### 30 m)





### Fig. 7

Trend of the inundation area and mean flood depth derived from DSMs with different resolution at the flood peak (a) main

river channel, (b) floodplain and riverbank (out of main river channel)







# Fig. 8

Inundation modelling results at point C(11200m<sup>3</sup>/s) produced using different resolution DSMs







### Fig. 9

Variations in absolute errors for topographic features derived at different resolutions of DSMs







#### figure captions

Fig.1 Location of study area, Xuanhan City, China, and the flood core control area (yellow boundary line) shown on the satellite map (from <sup>©</sup>Google Earth) and the orthophoto, including drone survey area, flood modelling area and six inundation points Fig. 2 Flowchart of drone images acquisition and processing Fig.3 Generalization of the underwater cross-section

Fig.4 Mesh used for all simulations with 6 cm DSM in the HEC-RAS and hydrograph of the typical flood event

Fig. 5 Comparison and validation of inundation point simulation results with historical flood boundary lines (The inner six images are on-site survey images about six inundation points and the outer six localized magnified orthophotos are the corresponding flood mapping results from HEC-RAS)

Fig.6 Flood inundation obtained by inputting different resolution DSM for hydraulic modelling (resolutions ranging from 6 cm to 30 m)

Fig.7 Trend of the inundation area and mean flood depth derived from DSMs with different resolution at the flood peak (a) main river channel, (b) floodplain and riverbank (out of main river channel)

Fig. 8 Inundation modelling results at point C(11200m<sup>3</sup>/s) produced using different resolution DSMs

Fig. 9 Variations in absolute errors for topographic features derived at different resolutions of DSMs