



- 1 Characterization of Non-Gaussianity in the Snow
- 2 Distributions of Various Landscapes
- 3

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#### 14 Summary

- 15 Snow distribution characterization is essential for accurate snow water estimation for water
- 16 resource prediction from existing in-situ observations and remote sensing data at a finite spatial
- 17 resolution. Four different observed snow distribution datasets were analyzed for Gaussianity. It
- 18 was found non-Gaussianity of snow distribution is a signature of wind redistribution effect.
- 19 Generally, seasonal snowpack can be well approximated by Gaussian distribution for fully snow-
- 20 covered area.





#### 21 Abstract

- 22 Seasonal snowpack is an important predictor of available water resources in the following spring 23 and early summer melt season. Total basin snow water equivalent (SWE) estimation usually 24 requires a form of statistical analysis that is implicitly built upon the Gaussian framework. 25 However, it is important to characterize the non-Gaussian properties of snow distribution for 26 accurate large-scale SWE estimation based on remotely sensed or sparse ground-based 27 observations. This study quantified non-Gaussianity using sample negentropy, the Kullback-28 Leibler divergence from Gaussian distribution, for field-observed snow depth data on the North 29 Slope, Alaska, and three representative SWE distributions in the western US from the Airborne 30 Snow Observatory (ASO). Snowdrifts around lakeshore cliffs and deep gullies can bring 31 moderate non-Gaussianity in the open, lowland tundra of North Slope, Alaska, while the ASO 32 dataset suggests that subalpine forests may effectively suppress the non-Gaussianity of snow distribution. Thus, non-Gaussianity is found in areas with partial snow cover and wind-induced 33 34 snowdrifts around topographic breaks in slope and other steep terrain features. The snowpacks 35 may be considered weakly Gaussian in coastal regions with open tundra in Alaska and alpine and 36 subalpine terrains in the western US if the land is completely covered by snow. The wind-37 induced snowdrift effect can be potentially partitioned from the observed snow spatial 38 distribution guided by its Gaussianity.
- 39

## 40 **1 Introduction**

41 Modeling of the spatial variability of snow is important for large-scale earth surface modeling 42 since atmospheric circulation is sensitive to snow cover presence (e.g., Aas et al., 2016; Meng, 2017; Mott et al., 2015, 2017; Nitta et al., 2014; Younas et al., 2017). Since subgrid variability 43 44 often causes appreciable bias in weather predictions, accurate snow cover quantification can 45 potentially improve the predictability of weather, planetary boundary-layer evolution, convective 46 cloud formation, and even tropical cyclogenesis (Santanello et al., 2018). Hence, the subgrid 47 variability of snow cover has been incorporated into operational regional weather forecasting 48 models such as the High-Resolution Rapid Refresh (HRRR) model (He et al., 2021).

49 Observations of seasonal snow storage in mountainous areas through remote sensing and ground-50 based measurements are a direct and reliable indicator of the water supply during the following 51 spring season in downstream regions (e.g. Fleming et al., 2023; Sengupta et al., 2022). However, 52 total basin snow water equivalent (SWE) estimation usually requires a statistical relationship 53 such as the snow depletion curve (SDC), which correlates with observables such as the snow 54 cover area fraction (SCF). Based on a study of the observed snow distributions in Reynolds 55 Creek Experimental Watershed in Idaho, Luce et al. (1999) showed that one snow distribution 56 can reasonably represent the SDC evolution for the rest of the season. Also, Luce and Turboton 57 (2004) showed a high degree of similarity in nine years of dimensionless depletion curves measured in the same basin. Shamir and Georgakakos (2007) demonstrated the consistency of 58 59 SDC over a season in the American River using a distributed model. The subseasonal and





- interseasonal consistency in SDCs suggests the possibility for subgrid snow characterization as
  well as SWE estimation from SCF data such as the MODIS product (Hall et al., 2006).
- 62 As remote sensing technologies advance, seasonal snow distribution characterization becomes
- 63 more approachable with multi-sensor methods. For example, Tarricone et al. (2023) analyzed
- 64 three Interferometric Synthetic Aperture Radar (InSAR) image pairs to assess SWE evolution
- using the snow-focused multi-sensor method with Uninhabited Aerial Vehicle Synthetic
- 66 Aperture Radar (UAVSAR) and an L-band InSAR data as well as optical fractional snow-
- 67 covered area (SCA) information. However, to estimate the total basin SWE in water resource
- 68 management practices, statistical empirical relationships are required to fill gaps in the spatial
- and temporal resolutions—even when using these remote sensing observations (Tsang et al.,
- 70 2022). For example, Meloche et al. (2022) assumed log-normal distribution to represent the sub-
- 71 pixel variability of remotely sensed data. Thus, uncertainty and subgrid variability must be
- 72 accounted for when using statistical characterization in SWE estimation.
- 73 The most popular choice for the probability density function (PDF) of snow is log-normal
- distribution, which inherently eliminates negative snow depth (Donald et al., 1995; Liston, 2004;
- and many others). Brubaker and Menoes (2001) chose a beta distribution, while Kolberg and
- 76 Gottschalk (2006) selected a two-parameter  $\gamma$ -distribution. Although these common distributions
- are in the exponential family, they were primarily chosen for convenience. Indeed, the
- 78 representativeness of these parametric probability distributions remains questionable for different
- <sup>79</sup> landscapes and snowpack ages (e.g., Skaugen & Randen, 2013; Egli & Jonas, 2009; He, Ohara,
- 80 & Miller, 2019). Moreover, these approaches for bounded distributions may not work for
- 81 evolving snowpacks with partial SCA where zero values are present in the probability domain.
- 82 In theory, since the landing location of each snow particle fallen from the atmosphere is
- 83 considered an independent and identically distributed (iid) random variable, the resulting snow
- 84 depth or SWE distribution should asymptotically approach a Gaussian distribution due to the
- 85 central limit theorem. He, Ohara, and Miller (2019) reported Gaussian snow distributions in
- 86 many of the forested, fully snow-covered areas during the peak snow season using airborne Light
- 87 Detection and Ranging (LiDAR) observations in the Snowy Range, Wyoming. This implies the
- 88 presence of both systematic (non-Gaussian) and random (Gaussian) mechanisms in snow
- accumulation and ablation processes. Therefore, it is possible to identify the potential factors as
- 90 "signals" that make the snow distribution deviate from a Gaussian distribution by analyzing the
- 91 resultant snow distributions.
- 92 This study applies negentropy to analyze the non-Gaussianity of snow distributions in Arctic
- 93 tundra, as well as alpine and subalpine landscapes in North America. Negentropy measures the
- 94 departure in entropy between a sampled distribution and Gaussian distribution of identical
- 95 variance and mean. Signals of interest (e.g., systematic snowdrift patterns) can be extracted as
- 96 non-Gaussian components because pure random noise asymptotically becomes Gaussian in
- 97 theory. This is the main idea of independent component analysis (ICA; Hyvärinen et al., 2000).
- 98 This work presents the quantified non-Gaussianity of the observed snow distributions through a
- 99 variety of snow distribution data, including intense direct hand measurements within 30-m grids
- 100 using a probe, and indirect measurements using a snowmachine-attached ground-penetrating





- 101 radar (GPR), UAV-based photogrammetry, as well as the Airborne Snow Observatory (ASO)
- 102 SWE products.

#### 2 Methods 103

#### 104 2.1 Negentropy

- 105 To measure the non-Gaussianity of any data, we implement the information-theoretic metric of 106
- negentropy as the objective function since negentropy is equal to the Kullback-Leibler
- 107 divergence between  $p_r$  and a Gaussian distribution with the same mean and variance as  $p_r$ . 108
- There is a well-known proposition that Gaussian density has the largest information entropy 109 among all unbounded distributions with the same first and second-order statistics. As such, the
- non-Gaussianity of an observed distribution can be quantified by negentropy J, which is defined 110
- 111 as follows (Hyvärinen et al., 2000):

112 
$$J(X) = S(X_{gauss}) - S(X)$$
(1)

113 where S is the information entropy of X. The information entropy can assume a diversity of

metrics ranging from the most general capturing microphysical event-scale codependence in 114

115 nonlinear statistical mechanics (Perdigão 2018) or simply assuming basic event-scale

- independence in classical information theory (Shannon (1948) statistical entropy). For the 116
- 117 purpose of this study, we take the latter simple form, which is defined as:
- $S(X) = -\int p_x(\eta) \log[p_x(\eta)] d\eta.$ 118 (2)

119 The Edgeworth expansion (Edgeworth, 1905) can provide an approximation for a PDF of X, as follows: 120

121 
$$p_{x}(X) = \frac{\phi(U)}{\sigma} \left[ 1 + \frac{\kappa_{3}}{6} H_{3}(U) + \frac{\kappa_{4}}{24} H_{4}(U) + \frac{\kappa_{3}^{2}}{72} H_{6}(U) + \cdots \right]$$
(3)

122 where

- 123 U = standardized random variable of X
- $H_k(U)$  = Chebyshev-Hermite polynomials 124
- $\phi(U)$  = standard normal density 125
- 126  $\kappa_k$  = k-th order cumulant of U.

127 Substituting the Edgeworth series into the negentropy definition, Comon (1994) obtained the 128 analytical expression:

129 
$$J(X) = \frac{1}{12}\kappa_3^2 + \frac{1}{48}\kappa_4^2 + \frac{7}{48}\kappa_4^4 - \frac{1}{8}\kappa_3^2\kappa_4 + O(n^{-2}).$$
(4)

130 This is the estimator of negentropy at fourth-order cumulant. In practice, a more intuitive

131 approximation is commonly used, as follows:

132 
$$J(X) = \frac{1}{12} \operatorname{skew}(U)^2 + \frac{1}{48} \operatorname{kurt}(U)^2$$
(5)





- 133 where skew and kurt are the skewness and kurtosis of standardized variable, U, respectively.
- 134 The sample estimation of the higher-order moment and cumulant (e.g., skew and kurtosis
- 135 coefficients) is known to be sensitive to the presence of outliers. In this study, the interquartile
- 136 range (IQR) method was adopted for outlier removal with a minimum removal that lies outside
- 137 the range of three times IQR.
- 138 While negentropy metrics and corresponding Edgeworth approximations have been previously
- 139 explored and further developed in atmospheric sciences and in physics, including derivations and
- 140 implementations to higher-order distributions, elaborate numerical and analytical estimators
- 141 (Pires and Perdigão 2007, Perdigão 2010, Perdigão 2017), the present study brings a simplified
- 142 treatment not yet explored in Hydrology and tailored for swift and seamless integration within
- 143 hydrological and water resource systems investigations.

#### 144 **2.2 Data collection**

- 145 We analyzed four types of data with different collection methodologies at various scales in this
- 146 study. The first is manual snow depth surveys using a GPS-aided snow probe (Magnaprobe;
- 147 Sturm & Holmgren, 2018), the second is snow depth transects using a snowmachine-attached
- 148 GPR, the third is snow depth maps using UAV-based photogrammetry, and the last is the SWE
- 149 product of the ASO. The first three datasets are for the open tundra in the Arctic Coastal Plain
- 150 (ACP) of Alaska while the ASO data are for the alpine and subalpine regions of the continental
- 151 USA. Detailed data specifications associated with the collection methodologies will be presented
- 152 in Results section below. Figure 1 displays the map of the snow depth surveys in North Slope,
- 153 Alaska, USA.



154 155

Figure 1: Map of the snow survey locations in Alaska, USA.

#### 156 **3 Results**

#### 157 **3.1 Manual snow surveys at Teshekpuk, North Slope, Alaska (May 2022)**

- 158 Snow depth data were collected using a Magnaprobe (Sturm & Holmgren, 2018) in five 30 x 30-
- m grids with 1 m grid spacing north of Teshekpuk Lake, North Slope, AK, in May 2022. The
- 160 GPS location of each measurement was automatically recorded. Figure 2 presents the





- 161 interpolated snow depth distributions and corresponding histograms (right columns) in five areas
- near Teshekpuk. The observer measured the point scale snow depth at approximately every 1 m
   along a line toward flags placed 1 m apart on the surface. Since the data points were selected
- 164 from undisturbed snow, the locations are unevenly distributed despite the snowpacks generally
- 165 being highly hardened by wind. The relative spatial locations are considered accurate since the
- 166 operator stood on the same side of the probe and followed pre-marked lines based on the tape
- 167 measure; however, the absolute plotted coordinate in the figures may not be trustworthy due to
- 168 the GPS horizontal accuracy < 3 m.
- 169 The graphics in the left column of Figure 2 present the point depth observation locations and
- 170 interpolated snow depth distributions using the nearest distance method. The number of data
- 171 points denoted by the black dots is n=951 (TL1-1), n=925 (TL2-1), n=904 (TL3-1), n=927
- 172 (Wadepiper Pond), and n= 960 (Wadepiper Basin).
- 173 The corresponding snow depth histograms and three fitted distributions are displayed in the right
- 174 column. The statistics mean, standard deviation, skew coefficient, and negentropy (J) are
- 175 reported on the top part of each graph. In general, the snow depth distributions in these areas are
- almost Gaussian distributions since the computed negentropy is small. However, the negentropy
- 177 of snow distribution affected by wind-induced snowdrift (sastrugi) on frozen lakes is larger than
- the tundra covered by sedge and herbaceous vegetation. In practice, the non-Gaussianity of
- seasonal snow depth may have been negligible in the coastal open tundra (including frozen open
- 180 waters) in the Teshekpuk study area in May 2022.







181

Figure 2: Manual snow distributions in the Teshekpuk Lake area, North Slope, Alaska (May
 2022) and corresponding histograms with fitted probability density functions (PDFs). *J* denotes

2022) and corresponding histograms with fitted probability density functions (PDFs). *J* denotes
the computed negentropy. Snow depth histograms in open tundra in 30 m x 30 m squares are

185 generally categorized as "weak-non-Gaussian." The approximated center coordinates of the grids





are 70.738°N, 153.970°W (TL1-1), 70.740°N, 153.956°W (TL2-1), 70.739°N, 153.928°W (TL3-1), 70.751°N, 153.870°W (Wadepiper Pond), and 70.746°N, 153.854° W (Wadepiper Basin).

- 188 **3.2 Snow depth surveys using GPR along multiple transects in Inigok, North Slope,**
- 189 **AK (April 2019**)
- 190 The Inigok area of North Slope, Alaska (70.001° N, 153.068° W) is characterized by paleo sand
- dunes (Carter, 1981), hydro-geomorphological processes, and permafrost landforms such as
- 192 thermokarst lake formation and drainage. The landscape is characterized by relatively steep
- 193 terrain and substantial wind-induced snowdrifts (deeper than 5 m), especially around lake shores
- and drainage channels (e.g., Rangel et al., 2023).

195 Snow depth surveys using a GPR are particularly effective for deep-snow areas since the

196 Magnaprobe is only 1.5 m long. Considering the lower limit of the selected GPR antenna, we

197 collected several GPR transects (Malå ProEx, 800 MHz, GuidelineGeo, Sundbyberg, Sweden)

around Inigok, where the snowpack was deeper than in the coastal area. The antenna was placed

199 on a sled towed by a snowmachine traveling < 5km h<sup>-1</sup>. The effect of compaction by the

200 snowmachine was considered negligible because the snow was highly wind-packed and therefore

- 201 was not affected by the weight of the snowmachine during data collection. The GPR data were
- 202 processed in ReflexW (Sandmeier Software, Karlsruhe, Germany) using a low frequency noise
- 203 removal (dewow) and a linear gain with topographic correction adapted from the ArcticDEM
- 204 (Rangel et al., 2023b). Maps of snow depth estimated from the GPR transects are shown in
- Figure 3. The line color denotes the observed snow depth (the darker, the deeper). A substantial
- snowdrift developed near the lakeshore's banks due to its steep topography.

207 Figure 4 displays the histograms of GPR snow depth data in Inigok, North Slope, Alaska, in May

- 208 2019 when using (A) all transect data and (B) the frozen lake sections only. The snow depth
- 209 histogram of all transects shows strong non-Gaussianity due to a mix of steep and flat terrain.
- 210 However, the histogram of the partial dataset only for the frozen lakes shows much weaker non-
- 211 Gaussianity. In fact, snow distribution after removing the deep-snow parts can be reasonably
- approximated by the Gaussian distribution with a negentropy of 0.037, which is the same level as

213 Wadepiper Pond (Figure 2) in the previous section (J = 0.040). Therefore, the snowdrift due to

steep terrain is considered a major source of non-Gaussianity in snow depth in open tundra.









- 216 Figure 3: Snow depth surveys using GPR along multiple transects in Inigok, North Slope, Alaska
- 217 (27 and 28 April 2019). The approximated center coordinates of the maps are 153.105W
- 218 70.005N (INI04 & INI01), 152.949W 69.993N (Lonely wolf), 153.274W 69.992N (Deep basin),

and 153.032W 69.942N (Three creatures & Independent fox).





224

220

# 3.3 Snow depth distribution based on UAV footage of a drained lake basin within the CALM 1-km grid near Utgiaġvik, AK (May 2019)

Figure 5 (left panel) presents the observed snow distribution of a drained thermokarst lake basin

referred to as Central Marsh, part of the Circumpolar Active Layer Monitoring (CALM)

229 Network located east of Utqiaġvik, Alaska. The snow depth was estimated by differentiating the

230 snow surface elevation and the snow-free ground elevation using UAV surveys with the

- 231 photogrammetry technique. The images were collected on August 4, 2019 (snow-free), and April
- 232 15, 2019 (snow-covered), using a Phantom 4 UAV (P4RTK). Images were post-
- 233 processed/georeferenced to NAD83 Zone 4 North in ellipsoid heights using a propeller aeropoint





and Pix4D (version 4.3.33 for the April survey, 4.4.12 for the August survey) at 0.25 m spatial
resolution (Nichols, 2020). The vertical accuracies of these measurements are 18 cm and 10 cm
for the April and August surveys, respectively. The horizontal resolution for the snow depth is 1
m.

238

The CALM site is situated in the ACP in northern Alaska, which has typical complex terrain due to the recently drained thermokarst lake with sparse or negligible vegetation and welldeveloped polygons. There is an obvious smoothed bluff in the center of the domain, and the west side of this bluff tapers into the drained lake basin. The incised drainage channels cause steep land features that capture sizable snowdrifts in the southern part. In the southern portion of the area, the polygons are formed by ground surface cracks with ice wedge development beneath.

246 The negentropy distribution in the moving window may be obtained from this gridded snow data 247 at a very high spatial resolution. The right panel of Figure 5 presents the computed negentropy 248 map in the CALM area with a 30-m moving window. Overall, non-Gaussianity in the CALM site 249 was found to be weak—even with the smoothed bluff and despite high snow depth. However, as 250 whiter parts in right panel of Figure 5 are found along the drainage channels, topographic 251 discontinuity around the incised gully seems to cause significant non-Gaussianity. Additionally, 252 vegetation patches may bring spotty non-Gaussianity in the northern part of the area. Conversely, 253 since the southern parts covered by the polygons except the drainage channels show darker color 254 (J < 0.025), the ground surface polygon does not make snow distribution non-Gaussian. Overall, 255 snowpack in the coastal parts of the ACP can reasonably be approximated by Gaussian 256 distribution since most of the CALM area showed a small negentropy of less than 0.2.



B) Computed negentropy distribution



257

Figure 5: Snow depth distribution based on UAV photogrammetry and the computed negentropy
distribution of 30-m moving windows in a drained lake basin in the CALM 1-km grid (71.3026°
N, 156.6008°W) near Utqiaġvik, Alaska.

Figure 6 presents the snow depth histogram, which looks like a Gaussian distribution with a long tail due to snowdrift around the gullies in the CALM grid. In fact, when the deep snowdrifts of





the gully and the bluff are removed from the samples, the histogram more closely resembles aGaussian distribution (see the right panel in Figure 6).



265

Figure 6: Snow depth histogram based on the UAV photogrammetry of a drained lake basin in

the CALM 1-km grid near Utqiagvik, Alaska. Removing the deep snow parts caused by wind-

268 induced snowdrift results in a near-perfect fit by Gaussian distribution.

#### 269 **3.4 SWE products based on ASO data for the selected watersheds**

270 SWE is a stable and direct indicator of snow/water distribution in landscapes. As such, the SWE

271 products from the Airborne Snow Observatory (ASO) were selected (Painter et al., 2016) to

examine the Gaussianity of snow distributions in different climate zones and landscapes with

alpine to subalpine snowpack. The snow depth and SWE distributions were estimated from the

coupled imaging spectrometer and scanning LiDAR, then combined with distributed snow

275 modeling (including snow density simulation). The ASO snow products are considered one of

the most comprehensive instantaneous snow distribution estimations at fine resolution (50 m).

We used the processed snow product to characterize the medium-scale snow distribution with the same outlier treatment (IQR method) as described above.

279 The analysis of three representative SWE datasets in the western US is presented. These include

280 Upper Tuolumne River watershed in California (USCATB, April 3, 2013), East River watershed

above Gunnison, Colorado (USCOGE, March 31, 2018), and the Olympic Mountains in

282 Washington (USWAOL, March 29, 2016).

#### 283 3.4.1 Tuolumne River Watershed, California

Figure 7 presents the composite graphics of the data and the analysis results for the Upper

Tuolumne River watershed on April 3, 2013. Panel A shows the SWE distribution estimated by

- the ASO, while panel B visualizes the normalized SWE histogram or PDF within the entire
- domain with the fitted theoretical distributions. Panels C and D are the negentropy distributions
- of the SWE within 1500-m moving windows with and without partially snow-covered cells.
- 289 Panel E shows the NLCD 2011 land cover map for reference. The watershed (1175 km<sup>2</sup>) is one
- 290 of the drainages to the California Central Valley through the Hetch Hetchy reservoir in the
- 291 southern Sierra Nevada Mountain Range. The boundary of the catchment is mostly comprised of





- 292 steep rocky alpine terrain (which contributes to the attractive land features of Yosemite National
- 293 Park), whereas the bottom of the valley is relatively flat due past glacial processes. The snow 294 distribution (panel A) shows a clear relationship with elevation, while the SWE barely exceeded
- 295
- 1 m during the observation period in peak snow season. The overall SWE histogram (panel B) 296 illustrates strong non-Gaussianity because of snow-free and shallow accumulation areas in the
- 297 watershed (bounded distribution effect).



298

Wetlands





- 299 Figure 7: (A) SWE distribution based on ASO data of the Upper Tuolumne River Basin,
- 300 California, USA from April 3, 2013 (USCATB, 37.461°N, 119.494°W); (B) normalized SWE
- 301 histogram; (C) negentropy map of the SWE within 1500-m moving windows; (D) negentropy
- 302 map of only fully snow-covered cells; (E) NLCD 2011 land cover map.
- 303 However, the local negentropy map with moving windows (panel C) shows small non-
- 304 Gaussianity except in the low-elevation areas. In fact, the majority of high non-Gaussianity cells
- are from partially snow-covered cells. When the partially snow-covered cells are removed in
- 306 panel D, the local negentropy falls by less than 0.15 in most of the watershed. Therefore, the
- 307 bounded distribution effect in the probability domain from the partially snow-covered cells
- 308 brings substantial non-Gaussianity into the snow distribution.

#### 309 3.4.2 East River, Colorado

- 310 The ASO dataset of the East River above Gunnison, Colorado (USCOGE) was selected as a
- 311 representative basin in the Rocky Mountains region. This dataset includes the U.S. Department
- 312 of Energy (DOE)'s East River community observatory, where comprehensive field data have
- recently been collected (Kakalia et al., 2020). The data domain, which does not agree with the
- 314 watershed boundary, is approximately 1670 km<sup>2</sup> with the elevation ranging from 2,343m
- 315 (Gunnison) to 3,901 m. Figure 8 displays the corresponding analysis results of the East River
- 316 area on March 31, 2018.







317

- 318 Figure 8: (A) SWE distribution based on ASO data for the East River watershed above Gunnison,
- 319 Colorado, USA from March 31, 2018 (USCOGE, 39.037°N 106.978°W); (B) normalized SWE
- 320 histogram; (C) negentropy map of the SWE within 1500-m moving windows; (D) negentropy
- 321 map of only fully snow-covered cells; (E) NLCD 2011 land cover map.
- 322 Besides the obvious bounded distribution effect of partially snow-covered cells, this case study
- 323 illustrates the non-Gaussianity induced by the steep topographic features around the high peaks
- 324 in the Rocky Mountains. Also, since the lower negentropy (darker colored) parts in panel D





- 325 generally agree with the evergreen and deciduous forest cover extent in the NLCD land cover
- 326 map in panel E, the subalpine forest may reduce non-Gaussianity in snow distribution. However,
- 327 the general characteristics of the sample's negentropy distribution in Upper Colorado are
- 328 consistent with the Upper Tuolumne River watershed in the Sierra Nevada Mountain Range.





#### 329 3.4.3 Olympic Mountains, Washington

- 330 The last example of snow non-Gaussianity quantification is the Olympic Mountains in
- 331 Washington, USA, which represent the Northern Pacific Coastal Range under strong oceanic
- influence. The elevation ranges from sea level to 2430 m. The Olympic Mountains consist of a
- 333 cluster of steep-sided peaks, heavily forested foothills, and incised deep valleys. The ASO data
- have a large spatial coverage  $(5,330 \text{ km}^2)$  when compared to the other two ASO datasets
- 335 presented here.



336

- 337 Figure 9: (A) SWE distribution based on ASO data for the Olympic Mountains, Washington,
- USA from March 29, 2016 (USWAOL, 47.792°N 123.650°W); (B) normalized SWE histogram;





(C) negentropy map of the SWE within 1500-m moving windows; (D) negentropy map of only
fully snow-covered cells; (E) NLCD 2011 land cover map.

341 The black areas in the high elevation range in panel A are the approximate glacier extent

342 excluded from the analysis (Painter et al., 2018). A large fraction of partially snow-covered cells

also introduces non-Gaussianity in SWE in this region. Meanwhile, dense evergreen forests in

344 the Olympic Mountains seem to effectively reduce the non-Gaussianity of SWE above the snow

345 line during the ASO scanning period. Overall the non-Gaussianity of the snowpack may be

346 considered small when compared to the other two examples, which is likely due to denser forest

347 cover. Presumably, the vegetation cover minimizes the wind-induced snow redistribution process

348 and makes the snow distribution more Gaussian. These characteristics—i.e., non-Gaussianity in

349 partially snow-covered areas and high Gaussianity in forested areas—are common features of the

350 SWE distributions throughout the western US.

## 351 **4 Discussion**

352 The sample negentropy values presented here are generally consistent with each other despite the variety of data collection methods used at different scales. The level of random noise in the 353 354 datasets depends on the data collection methods. Among the datasets discussed here, one may 355 anticipate that the ASO data have the largest Gaussian bias due to multiple remote sensing, 356 resampling, assimilating, and modeling procedures covering remarkable spatial coverages with 357 uniform data quality. The UAV-based LiDAR data at the North Slope CALM site are expected 358 to have a noticeable random bias with a vertical accuracy of approximately 12 cm. The GPR 359 snow depth observations should have a smaller but appreciable Gaussian bias due to snow 360 quality variation and non-flat snow surface elevation (antenna angle vibration), although the 361 continuous measurement minimizes the random relative error in the snow depth estimation. The 362 hand-measured snow depth data using a probe may include the least Gaussian bias, while the 363 sampling spacing was not uniform and in addition, due to relative poor spatial positioning 364 control with the Magnaprobe's onboard GPS unit. Despite these differences, it is encouraging 365 that the quantified Gaussian levels were comparable and consistent since they share common 366 features.

367 The stability of the sample estimator of negentropy may be a potential issue, especially when the 368 sample size is small. Additionally, since the higher-order statistical moments are sensitive to the 369 presence of outliers in the sample, an outlier removal filter is recommended for large samples. 370 The IQR method with a threshold of 3 IQR above the third quarter (Q3), which is much stricter 371 than the usual threshold (typically 1.5 IQR), has been applied for the UAV photogrammetry data 372 and the ASO datasets for computational stability. Even with the large threshold (small outlier 373 removal), the proposed method using negentropy appears to be effective in characterizing the Gaussianity of snow distribution, which has been a common implicit assumption for existing 374 375 gridded data and models. This study visualized the limitation of such a common distribution 376 assumption for snow distribution, specifically for areas with partial snow cover.

To summarize the analyses presented here, five categories of Gaussianity were defined and associated with a magnitude of sample negentropy value (see Table 1). Most of the fully snow-





covered areas fell into the category "almost Gaussian," with a negentropy less than 0.03. Notably,
a negentropy less than 0.01 is considered nearly perfect Gaussian, as can be seen in the previous
sections.

382 The Gaussianity characterization of snow distribution appears to be useful in distinguishing the

383 snowdrift-affected areas using the sample negentropy. Simultaneously, this finding can justify

- the implicit Gaussian assumption for snow distribution for overall SWE estimation, particularly
- 385 for snowpack characterization from remotely sensed information. For instance, the effect of
- 386 higher-order statistical moments can be negligible in most fully snow-covered areas. Conversely,
- 387 some additional statistical treatment for higher order statistics may be required for the areas with
- the non-Gaussian effects around snow lines, open wind-swept areas, and sharp terrains.
- 389 Additionally, since consistent pattern in skew coefficient was not identified from the snow

390 datasets, the commonly-used log-normal distribution may not be suitable for those areas.

Class	Negentropy	Landscape & land cover type	Examples
Strong non- Gaussian	0.2 < J	Partially snow-covered areas, mixture of landscapes (steep- flat)	CALM, Inigok, Upper Tuolumne, East River, Olympic Mountains
Non-Gaussian	$0.1 < J \leq 0.2$	Snowdrift around steep terrain	CALM
Weak non- Gaussian	$0.03 < J \le 0.1$	Snowdrift on a frozen lake, vegetation cluster	Teshekpuk, Inigok, CALM
Nearly Gaussian	$0.01 < J \le 0.03$	Most of the uniform terrain in open tundra and alpine forest	Teshekpuk, CALM, Upper Tuolumne, East River, Olympic Mountains
Gaussian	$J \le 0.01$	Open tundra (sedge, polygons), most forested areas	Teshekpuk, Upper Tuolumne, East River, Olympic

Table 1: Summary of the analysis using the sample negentropy.

392

#### 393 **5 Conclusions**

A Gaussian snow distribution is a common underlying assumption for finite scale models or gridded datasets. The present study tested this assumption using the sample negentropy of various snow data. We found two main sources of non-Gaussianity: (1) partial snow cover effect (bounded distribution) and (2) wind-induced snowdrift effect around steep terrain features. The second effect may amplify the first one in wind-swept alpine areas since snow erosion remains shallow on rocky ridges and peaks. The snowdrift around lakeshore cliffs and deep gullies can bring moderate non-Gaussianity in the open tundra of North Slope, Alaska. However, the wind-





- 401 packed snow in the coastal plain region of the North Slope may generally be categorized as
- 402 weakly Gaussian during mid to late winter due to the continuous snow cover. This implies that
- the non-Gaussianity of the snowpack may not be neglected during the snow accumulation season
- 404 and late spring season. Interestingly, small ground surface features (e.g., low-centered and high-
- 405 centered ice wedge polygons) make snow distribution more Gaussian, while snowdrift (snow
- 406 dunes) on a flat frozen lake seems to be less Gaussian than on tundra or in a drained lake basin.
- 407 Our analyses of the ASO SWE products reinforced the findings for snowpacks on the tundra.
- 408 Although SWE data was chosen instead of snow depth for practical reasons, the common
- 409 features in non-Gaussianity remain valid. Additionally, the snow diffuser effect of forests was
- 410 illustrated in three representative areas in the western US. This effect was reported by He et al.
- 411 (2019) based on airborne LiDAR snow depth measurements on the Snowy Range, Wyoming,
- 412 USA. Hence, it is likely that vegetation cover generally makes snow distribution more Gaussian
- 413 in the snow accumulation process; however, further verification of this relationship is
- 414 recommended.
- 415 Overall, a Gaussian distribution is a suitable approximation for snow spatial distribution when
- the ground is completely covered by snow. Higher-order statistics associated with landscape type
- 417 may potentially improve the SWE estimation in wind-swept open terrain and near snow lines.
- The level of non-Gaussianity will determine the choice of statistical tool to correct the systematic
- bias in snow measurements. Meanwhile, this study suggests the possibility of partitioning the
- 420 extent of wind-induced snowdrifts by means of independent component analysis (Comon et al.,
- 421 2010).

# 422 Author contribution

- NO performed the analysis, and RAPP offered technical advice. NO, ADP, RCR, and BMJ
  provided the field observed data for the case studies in Alaska. ADP, BMJ, KMH, RAPP, and
- 425 RCR actively participated in the discussions and manuscript improvement. NO prepared the
- 426 manuscript with contributions from all co-authors.

# 427 **Competing interests**

428 The authors declare that they have no conflict of interest.

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- 436 NSIDC.org.





## 437 Data Availability Statement

- 438 The data used in this research are publicly available at the NSF Arctic Data Center:
- 439 https://doi.org/10.18739/A24746T0K, and https://doi.org/10.18739/A2NV99C4P
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