# Differences in aerosol and cloud properties along the central California coast when winds change from northerly to southerly

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- 16 Abstract. Wind reversals resulting in southerly flow along the California coast are not well understood in terms of
- 17 how aerosol and cloud characteristics change. This gap is addressed using airborne field measurements enhanced with
- 18 data from space-borne remote sensing (Moderate Resolution Imaging Spectroradiometer), surface stations
- (Interagency Monitoring of Protected Visual Environments), and models (Navy Aerosol Analysis and Prediction
   System and Coupled Ocean/Atmosphere Mesoscale Prediction System), with a focus on sub- and supermicron aerosol,
- System and Coupled Ocean/Atmosphere Mesoscale Prediction System), with a focus on sub- and supermicron aerosol,
   and cloud microphysical variables: cloud droplet number concentration (N<sub>d</sub>), cloud optical thickness (COT), and cloud
- 22 droplet effective radius ( $r_e$ ). Southerly flow coincided with higher values of submicron aerosol concentration ( $N_a$ ) and
- mass concentrations of species representative of fine aerosol pollution ( $NO_3^-$  and nss-SO<sub>4</sub><sup>2-</sup>) and shipping/continental
- emissions (V, oxalate,  $NH_4^+$ , Ni, OC, and EC). Supermicron  $N_a$  did not change, however, heightened levels of acidic
- 25 species in southerly flow coincided with reduced Cl<sup>-</sup>:Na<sup>+</sup> suggestive of Cl<sup>-</sup> depletion in salt particles. Clouds responded
- 26 correspondingly in southerly flow, with more acidic cloud water, higher levels of similar species as in the aerosol
- 27 phase (e.g.,  $NO_3^-$ , nss- $SO_4^{2-}$ ,  $NH_4^+$ , V), along with elevated values of  $N_d$  and COT and reduced  $r_e$  during campaigns
- 28 with similar cloud liquid water paths. Case study flights help to visualize offshore pollution gradients and highlight
- 29 the sensitivity of the results to the presence of widespread smoke coverage including how associated plumes have
- 30 enhanced supermicron N<sub>a</sub>. These results have implications for aerosol-cloud interactions during wind reversals, and
- 31 have relevance for weather, public welfare, and aviation.

#### 32 1 Introduction

33 The northeastern Pacific Ocean is one of the most heavily studied regions as it relates to aerosol-cloud 34 interactions due to the persistent and spatially broad stratocumulus cloud deck that is influenced by a variety of 35 emissions sources, notably shipping (Wood, 2012; Russell et al., 2013). One aspect of that region that warrants more 36 attention is the predominant direction of lower tropospheric winds, as recent work has suggested that it can have 37 significant implications for aerosol and cloud properties (Juliano et al., 2019a; 2019b; Juliano and Lebo, 2020). The 38 wind direction along the North American west coast is influenced by its topography, namely the coastal mountains 39 (e.g., National Research Council, 1992), and during the California (CA) warm season (April through September) it is 40 primarily from the north along the coast. An important weather phenomenon during that season is the infrequent and 41 short-lived (from one to several days) transition from northerly to southerly flow near the coast up to 100 km offshore 42 (e.g., Nuss et al., 2000). Particularly, the northerly winds weaken (e.g., Winant et al., 1987; Melton et al., 2009) and 43 eventually reverse. Along with a decrease in temperature and increases in pressure and cloud fraction (e.g., increases 44 in low clouds and fog), there is also a change in overall wind speed: most northerlies (~75%) have a wind speed 45 component less than 5 m s<sup>-1</sup> (Bond et al., 1996), whereas southerly "surges" are characterized by sudden increases in 46 wind speed to 15 m s<sup>-1</sup> or greater (Mass and Albright, 1987). This is not a phenomenon that is unique to the U.S.; a 47 handful of studies have noted these events along the coasts of South America (e.g., Garreaud et al., 2002; Garreaud 48 and Rutllant, 2003), southern Africa (e.g., Reason and Jury, 1990), and even Australia (e.g., Holland and Leslie, 1986; 49 Reason et al., 1999; Reid and Leslie, 1999).

50 These wind reversals – referred to as either coastally trapped disturbances (CTDs), coastally trapped wind 51 reversals (CTWRs), stratus surges, or southerly surges, to name a few – have been studied since the 1970s (Gill, 1977; Dorman, 1985). There have been a fair number of publications discussing the dynamics and forcing mechanisms for 52 53 such events (thoroughly reviewed by Nuss et al., 2000) primarily using data from buoys, radars, and research aircraft. 54 Buoy (e.g., Bond et al., 1996) and satellite studies (e.g., Parish, 2000; Rahn and Parish, 2010) mainly discussed the 55 topics related to mesoscale structure, while the research aircraft studies (e.g., Ralph et al., 1998; Rahn and Parish, 56 2007) have attempted to document physical characteristics of the wind reversal. For example, Rahn and Parish (2007) 57 used sawtooth maneuvers to depict the vertical structure of the 22-25 June 2006 reversal through examining surface 58 pressure, temperature, wind direction, wind speed, along-shore wind, and cross-shore wind. Additionally, there have 59 been multiple studies attempting to model these wind reversals (e.g., Rogerson and Samelson, 1995; Guan et al., 1998; 60 Skamarock et al., 1999; Mass and Steenburgh, 2000; Thompson et al., 2005) to better understand their initiation, 61 propagation, and cessation. These studies found that CTDs are initiated by changes in synoptic-scale flow, particularly 62 offshore, and that the coastal mountains dampen the flow, deepen the marine layer, and propagate a mesoscale coastal 63 ridge of higher pressure northward that ultimately leads to the development of a coastally trapped southerly wind 64 component.

65 However, there have been limited attempts to look into aerosol and cloud characteristics during a southerly 66 surge (e.g., Juliano et al., 2019a; 2019b), and among them were studies that happened to encounter them by chance 67 without these surges having been the study's focus (Crosbie et al., 2016; Dadashazar et al., 2020). Juliano et al. (2019a) 68 was, to our best knowledge, the first study to focus on CTD aerosol-cloud interactions using 23 cases identified 69 between 2004 and 2016 with buoy data and satellite imagery. They found notable differing characteristics between 70 non-CTD (northerly flow) and CTD (southerly flow) conditions, with higher cloud droplet number concentration (Nd) 71 and lower droplet effective radius (re) for CTD cases. Compared to non-CTD events, CTD events had re values that 72 were ~20-40% lower (i.e., differences often exceeding ~3  $\mu$ m) and N<sub>d</sub> values (~250 cm<sup>-3</sup>) that were almost twice as 73 large in many areas. They attributed this to some combination of (i) mixing of sea salt particles into the boundary layer 74 due to an observed wind stress-sea surface temperature cycle; (ii) offshore flow transporting continental aerosol into 75 areas offshore of CA; and (iii) extended periods of time that southerly air spends in shipping lanes. Some continental 76 sources they noted include agricultural emissions from the CA Central Valley, biogenic emissions from various major 77 sources such as forests around Oregon and northern CA, smoke from biomass burning, and urban emissions from 78 major CA cities such as Los Angeles, San Jose, Sacramento, and San Francisco. These sources have been confirmed 79 in various studies conducted in coastal areas of central CA (Wang et al., 2014; Maudlin et al., 2015; Braun et al., 2017; 80 Dadashazar et al., 2019; Ma et al., 2019). A subsequent study (Juliano et al., 2019b) analyzed three CTD events using 81 satellite and aircraft observations, as well as numerical simulations. That study's usage of aircraft data was limited to 82 cloud water composition, to support results from their previous study that non-CTD days were primarily influenced 83 by marine sources like sea salt, whereas CTD days exhibited more relative influence from continental and shipping

84 (i.e., higher  $SO_4^{2-}$  and  $NO_3^{-}$ ) sources. Those studies noted that additional observations, specifically of an in situ nature, 85 were needed to confirm results that were mostly based on modeling and remote sensing.

86 The goal of this study is to contrast aerosol and cloud characteristics between southerly and northerly flow 87 regimes in the lower troposphere (below 3 km) offshore of central CA. Note that this study's primary objective is not 88 to characterize meteorological and large-scale features associated with wind reversals and we do not classify events 89 based on whether they are CTDs but rather categorize events based on boundary layer wind direction. As a way to 90 address the shortage of in situ observational data used for this research application, an important inventory of airborne 91 data is leveraged that have been collected over the last two decades (Sorooshian et al., 2018) that afford increased 92 sampling density of southerly flow cases relative to Juliano et al. (2019b). Such cases are difficult to sample owing to 93 their lower frequencies (Table 1) compared to days with northerly flow and because aircraft flights do not occur each 94 day, so some southerly cases are missed during airborne campaigns. In total, 17 days of data exist from Naval 95 Postgraduate School (NPS) Twin Otter campaigns coinciding with southerly flow, with some days including multiple 96 flights. One thing that has yet to happen in past studies is to use in situ data to compare more than just cloud water 97 composition but also relevant variables such as aerosol number concentration  $(N_a)$  and  $N_d$ , which is crucial to 98 intercompare with satellite data and put previous speculations about aerosol and cloud responses to southerly flow on 99 sturdier ground. As the aircraft data are still limited, we complement the analysis with other datasets, including those 100 from satellite remote sensors, models, and surface stations.

101 The structure of this paper is as follows: Sect. 2 reports on methods used; Sect. 3 shows results beginning 102 with a discussion of how well a model can represent southerly winds, followed by assessing how well the datasets 103 show more fine pollution during southerly days and if clouds respond accordingly with the usual chain of events 104 associated with the Twomey effect (Twomey, 1974) whereby clouds have more but smaller drops at similar liquid 105 water path; and Sect. 4 provides conclusions. The results of this work have implications for numerous societal and 106 environmental factors sensitive to aerosol and cloud characteristics such as transportation (especially aviation), 107 agriculture, biogeochemical cycling of nutrients and contaminants, and coastal ecology (Dadashazar et al., 2020). 108

### 109 2 Methods

110 This study relies on the use of multiple datasets to examine how aerosol and cloud characteristics vary 111 between traditional northerly flow along the CA coastline as compared to less common southerly flow periods. This 112 study was initially inspired by airborne field measurements (Table 1) whereby on a few opportune flight days, 113 southerly flow was encountered off the CA coast. Because these events were rare in comparison to the majority of 114 flights with northerly flow (Southerly Winds % in Table 1), several campaigns worth of data are compiled to increase 115 data points for southerly flow days. The airborne data used here are all from summer periods, which is when most 116 field studies have focused on this region to investigate aerosol-cloud interactions (e.g., Russell et al., 2013) allowing for easier intercomparison for interested readers. We enhance data volume by also conducting complementary 117 118 analyses with data obtained from spaceborne remote sensing, surface-based stations, and models. Below we first 119 describe the airborne datasets, followed by the wind classification method, and then descriptions of the models, surface 120 data, and satellite data.

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# 122 2.1 Airborne Field Missions

123 This study utilizes data from six airborne missions based out of Marina, CA (white diamond; Fig. 1) using 124 the Naval Postgraduate School (NPS) Twin Otter aircraft. Marina is approximately 5 km away from the coastline. The 125 scientific target of these campaigns included a mix of aerosol-cloud interactions, aerosol microphysical processes, and 126 characterization of wildfire emissions: the Eastern Pacific Emitted Aerosol Cloud Experiment (E-PEACE), the 127 Nucleation in California Experiment (NiCE), the Biological and Oceanic Atmospheric Study (BOAS), the Fog and 128 Stratocumulus Evolution Experiment (FASE), the Marine Aerosol Cloud And Wildfire Study (MACAWS), and the 129 California Smoke Mission (CSM) (Table 1). Another Twin Otter mission from 2019 (Monterey Aerosol Research 130 Campaign - MONARC) is not included in this analysis due to the lack of southerly flow days sampled during the 131 campaign. The research flight (RF) paths for each campaign are shown in Fig. 1. In some instances, multiple flights 132 were conducted on a single day, either to capture time-sensitive atmospheric features or to collect data beyond the 133 endurance limit of the instrumented aircraft. For those days, RFs are assigned the same number but are distinguished 134 with endings 'A,' 'B,' and 'C,' for successive flights, respectively. E-PEACE and NiCE had the most cases of 135 southerly flow owing partly to those campaigns having had the most flights: five out of 30 flights for E-PEACE; four out of 23 flights for NiCE. BOAS also had four flights with southerly flow (out of 15 flights), but they were spread
 across two flights days as compared to E-PEACE and NiCE whose southerly flights were all on distinct days.

138The Twin Otter flew at ~55 m s<sup>-1</sup> and conducted measurements during level legs and sounding profiles, over139both the land and the ocean, and within and above the boundary layer during flight periods ranging from one to five140hours. Additional information regarding aircraft and flight characteristics, as well as the general flight strategy is141summarized in Sorooshian et al. (2019). The general area of focus in this study was within the following range of142coordinates, with many of the results specifically targeting just the ocean areas in this spatial domain:  $35.31^{\circ}$  N –143 $40.99^{\circ}$  N,  $125.93^{\circ}$  W –  $118.98^{\circ}$  W.

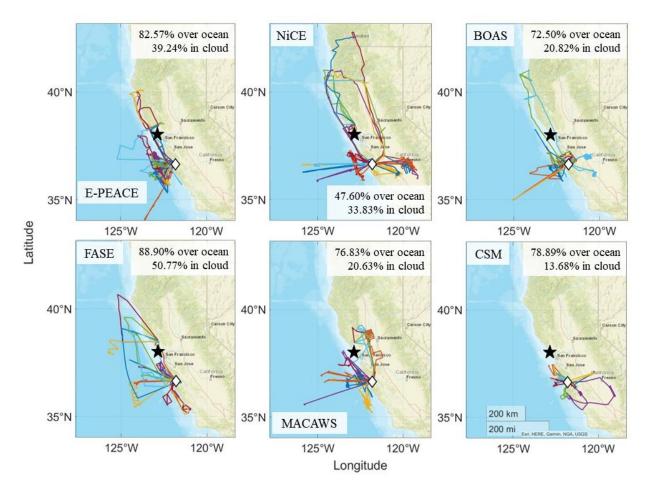
This study's analysis focuses on maximizing the number of southerly and northerly cases available from the flight data rather than keeping a similar number of flights to represent southerly and northerly conditions. The rationale to include all available northerly flight days (which exceed southerly days; Table 1) is that their combined use is more representative of typical northerly conditions and less sensitive to inter-day variations. That being said, a random selection of northerly flight days was still used to compare to the more limited number of southerly flight days (not shown here), with the same general conclusions reached as compared to using all northerly flight days.

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151 Table 1: Summary of NPS Twin Otter campaigns used in this study, including dates, number of RFs per campaign, RFs 152 that are categorized as having had southerly flow, and percentage of southerly days during the campaign period (including 153 all days in those months and not just RF days). Days are categorized as having southerly flow based on the analysis in Sect. 154 2.2.

Campaign	Dates	Total RFs	<b>RF # (Flight Date)</b> with Southerly Winds	Southerly Winds % (# Southerly days / Total days in period )
E-PEACE	07/08 - 08/18/2011	30	RF11 (07/23), RF12 (07/24), RF14 (07/27), RF15 (07/28), RF16 (07/29)	12.90% (8/62)
NiCE	07/08 - 08/07/2013	23	RF7 (07/16), RF8 (07/17), RF9 (07/18), RF16 (07/29)	14.52% (9/62)
BOAS	07/02 - 07/24/2015	15	RF10A & 10B (07/16), RF11A & 11B (07/17)	32.26% (10/31)
FASE	07/18 - 08/12/2016	16	RF6A, 6B, & 6C (07/29)	14.52% (9/62)
MACAWS	06/21 - 07/12/2018	16	RF12 (07/05), RF16 (07/12)	4.92% (3/61)
CSM	09/01 - 09/25/2020	14	RF1 (09/01), RF5 (09/09), RF6 (09/10)	13.33% (4/30)

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157 Figure 1: Research flight paths for the six Twin Otter campaigns used in this study. The aircraft base at Marina, CA is 158 denoted by a white diamond, and the IMPROVE station used in this study is indicated by a black star (Pt. Reyes National 159 Seashore). The legends in each panel report on the percentage of flight time spent over the ocean and in cloud over the 160 ocean.

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#### 162 2.1.1 Twin Otter Instrumentation

Table 2 summarizes the relevant instruments used for each Twin Otter mission pertinent to this work. More
 extensive details about the instruments, and those not listed below such as relevant navigational and meteorological
 instruments, are described in Sorooshian et al. (2018).

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Table 2: Summary of Twin Otter payload during the field campaigns used for this study. The six farthest right columns
 show instrument availability for each campaign.

Instrument	Measured variable	Size range	Time resolution	E-PEAC	E NiCE	BOAS	FASE 1	MACAW	S CSM
TSI Ultra-fine Condensation Particle Counter (CPC) 3025	N <sub>a&gt;3nm</sub>	> 0.003 µm	1 s	Х	Х	X	Х	Х	X
TSI Condensation Particle Counter (CPC) 3010	N <sub>a&gt;10nm</sub>	$> 0.01 \ \mu m$	1 s	Х	Х	Х	Х	Х	Х

PMS/DMT Passive Cavity Aerosol Spectrometer Probe (PCASP)	N <sub>a0.1-1µm</sub> , N <sub>a&gt;1µm</sub>	~0.1 – 3.4 µm	1 s	Х	X	Х	Х	Х	Х
DMT Cloud and Aerosol Spectrometer - Forward Scattering (CASF)	N <sub>d</sub>	~0.6 - 60 µm	1 s	Х	X		X	X	X
PMS/DMT Forward Scattering Spectrometer Probe (FSSP)	N <sub>d</sub>	1 - 46 µm	1 s		X	Х	Х	X	
ARI Aerosol Mass Spectrometer (AMS)	Speciated mass conc.	~60 - 600 nm	< 15 s	Х	X	Х			
Mohnen Cloud Water Collector - pH, IC, ICPMS	pH, air- equivalent mass conc.	N/A	~ 5 - 60 min	Х	Х	Х	Х	Х	

170 Condensation particle counters (CPCs; TSI, Inc.) were used to measure particle number concentrations for diameters greater than 3 (Na>3nm or Na3) and 10 nm (Na>10nm or Na10), respectively, as well as the Passive Cavity Aerosol 171 172 Spectrometer Probe (PCASP; Particle Measuring Systems (PMS), Inc., modified by Droplet Measurement 173 Technologies (DMT), Inc.) for diameters between ~100 nm and 3.4 µm. The Cloud and Aerosol Spectrometer -174 Forward Scattering (CASF; DMT, Inc.) measured the size distribution of larger particles and droplets between 0.6 -175 60 µm for all missions except for BOAS when the Forward Scattering Spectrometer Probe (FSSP; PMS, Inc. modified 176 by DMT, Inc.) was used in its place. The cloud probes were calibrated before each field campaign to ensure 177 consistency between the instruments (Sorooshian et al., 2018). The CASF and FSSP size distributions were integrated 178 to determine total  $N_d$  and liquid water content (LWC) when the aircraft was in cloud using the criterion of LWC >0.02 179 g m<sup>-3</sup>; all instances of LWC <0.02 g m<sup>-3</sup> were considered cloud-free and only considered for quantification of aerosol 180 variables such as total N<sub>a</sub> in different size ranges (Fig. S1). Additionally, RFs categorized as southerly flow were 181 filtered to only include data during periods when the horizontal wind direction was between 135° and 225°. A variety 182 of statistics were calculated for the reported and derived variables (e.g.,  $N_{a>3nm}$ ,  $N_{a>10nm}$ ,  $N_{a10-100nm}$  ( $N_{a>10nm} - N_{a0,1-1um}$ ), 183  $N_{a0,1-1um}$ ,  $N_{a>1um}$ , the ratio of  $N_{a3}$  to  $N_{a10}$  ( $N_{a3}$ : $N_{a10}$ ),  $N_d$ , horizontal wind speed and direction) in categories of interest 184 including medians and minimum/maximum values. The mode wind direction was calculated for each RF as well as 185 each overall campaign, since that statistic is assumed here to be a better representation of typical wind directions rather than the median. 186

187 An Aerosol Mass Spectrometer (AMS; Aerodyne Research Inc. (ARI)) was used during some campaigns to 188 measure sub-micrometer (submicron) aerosol composition, specifically for non-refractory components ( $SO_4^{2-}$ ,  $NO_3^{-}$ , NH<sub>4</sub><sup>+</sup>, Cl<sup>-</sup>, and organics). Coggon et al. (2012; 2014) discuss in detail the AMS operational details and results from 189 190 some of the campaigns. Cloud water (CW) was collected using a Mohnen CW collector, which was manually placed 191 above the fuselage of the Twin Otter during cloud penetrations for sample collection into vials kept inside the aircraft. 192 After flights, samples were analyzed for pH and speciated concentrations of various water-soluble ions and elements, 193 with a number of studies summarizing the operational details and selected results (e.g., Wang et al., 2014; Wang et 194 al., 2016; MacDonald et al., 2018). An Oakton Model 110 pH meter was used for E-PEACE, NiCE, and BOAS, and 195 a Thermo Scientific Orion 8103BNUWP Ross Ultra Semi-Micro pH probe was used for FASE and MACAWS. Water-196 soluble ionic composition was measured via Ion Chromatography (IC; Thermo Scientific Dionex ICS - 2100 system), 197 except some ions during E-PEACE, including Na<sup>+</sup>, could not be measured. Water-soluble elemental composition was 198 measured via Inductively Coupled Plasma Mass Spectrometry (ICP-MS; Agilent 7700 Series) for E-PEACE, NiCE, 199 and BOAS, and via Triple Quadrupole Inductively Coupled Plasma Mass Spectrometry (ICP-QQQ; Agilent 8800 200 Series) for FASE and MACAWS. Cloud water was not collected during CSM. The IC species analyzed in this study 201 are Cl<sup>-</sup>, NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, non-sea salt (nss)-SO<sub>4</sub><sup>2-</sup>, and oxalate, and the ICPMS species analyzed are Ca<sup>2+</sup>, K<sup>+</sup>, Na<sup>+</sup>, and V. We used the following equation to calculate  $nss-SO_4^{2-}$  under the assumption that all Na<sup>+</sup> is from sea salt (e.g., AzadiAghdam et al., 2019):

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 $[nss - SO_4^{2^-}] = [SO_4^{2^-}] - 0.253 \times [Na^+]$ <sup>(1)</sup>

207Aqueous concentrations of ions and elements were converted into air-equivalent concentrations using the mean LWC208encountered when the aircraft was in cloud (LWC >  $0.02 \text{ g m}^{-3}$ ) during collection of individual samples.

209 Aircraft data were analyzed four different ways over the study domain. The primary focus of the analysis is using data within the spatial domain listed in Sect. 2.1 only when the aircraft was over the ocean (Fig 1). In addition 210 211 to a LWC maximum of 0.02 g m<sup>-3</sup>, another screening criterion was utilized to omit data during RFs strongly influenced by wildfire emissions (Table 3), which was when the median flight-wide  $N_{a>10nm}$  value exceeded 7,000 cm<sup>-3</sup> for 212 213 altitudes less than 800 m. This value was determined by closely examining flights that flew through areas with reported wildfire influence using flight notes. Data were alternatively analyzed for RF segments only over the ocean without 214 215 the  $N_{a>10nm}$  criterion applied, and then also when the aircraft flew within the spatial domain over land and ocean both 216 with and without the same wildfire criterion; those results are shown in Tables S1 - S3. Note that CSM was the only 217 campaign for which this criterion was not applied, as smoke was the sole focus of the mission and the flights are 218 considered to all have been influenced to some extent. Moreover, CSM is unique amongst the campaigns examined 219 where the scientific hypotheses to be tested are not as applicable due to the widespread smoke coverage, but we still 220 examine it as it can provide useful insights.

221 Mann-Whitney U tests were performed for the aircraft data and the CW data, where the null hypotheses ( $p \le 0.05$ ) were that the medians of certain variables ( $N_a$ ,  $N_d$ , wind speed and direction) and species concentrations of southerly and northerly wind days were similar within a campaign.

#### 225 2.2 Wind Direction Classification

226 To determine boundary layer wind direction in the study region, we used a number of data products, as each 227 provided unique advantages either related to temporal, spatial, or vertical coverage. Data from NOAA's National Data 228 Buoy Center (NDBC) were analyzed to verify the ocean surface wind direction was between 135° and 225°, which is 229 considered southerly in this study. We focused on wind direction during 1400 - 2200 UTC to overlap with when the 230 majority of RFs occurred (Marina, CA is 7 hours behind UTC). Other days classified as northerly flow adhered to 231 surface wind direction between 315° and 45°. Five buoys were used to match the ones used in Juliano et al. (2019a): 232 46011 (Santa Maria: 34.94° N, 120.99° W), 46013 (Bodega Bay: 38.24° N, 123.32° W), 46014 (Point Arena: 39.23° 233 N, 123.98° W), 46028 (Cape San Martin: 35.77° N, 121.90° W), and 46042 (Monterey: 36.79° N, 122.40° W). Buoy 234 locations relative to the CA coast are shown in Fig. 1 of Juliano et al. (2019a).

235 The National Oceanic and Atmospheric Administration (NOAA) Hybrid Single-Particle Lagrangian 236 Integrated Trajectory (HYSPLIT; Stein et al., 2015; Rolph et al., 2017) model was used to obtain back trajectories 237 based on North American Mesoscale Forecast System (NAM) meteorological data (12 km resolution) ending at 238 Marina, CA (36.67° N, 121.60° W; white diamond in Fig. 1) for 500, 900, 2,500, and 4,500 m AGL. Marina, CA was selected as the ending point for the back-trajectories as this was the takeoff/landing location for all six campaigns. 239 240 These altitudes were selected to both capture marine boundary layer (MBL) and free troposphere (FT) winds and 241 reflect the variety of altitudes the Twin Otter aircraft flew at during the six campaigns in Table 1; however, the 242 trajectories at 500 m were most important for connecting to the aircraft data analysis.

For Twin Otter flight days, aircraft wind data were used to confirm that wind direction was either southerly or northerly in the lowest 800 m of the flights (over ocean and land), which was the altitude range of most of the flight time. For a case-by-case basis, archived surface weather charts were accessed via the NOAA Weather Prediction Center (WPC) to investigate wind direction at specific sites (like Pt. Reyes).

We also used Multi-Channel RGB data from the Geostationary Operational Environmental Satellite-WEST Full Disk Cloud Product (GOES-15) to investigate cloud motion on northerly and southerly flow days. The analysis utilized time resolutions of every three hours for E-PEACE, hourly for NiCE, BOAS, FASE, and MACAWS, and every half-hour for CSM. We investigated all days within a campaign month, and not just days coinciding with a RF. For example, E-PEACE comprised flights from 9 July to 18 August 2011, and thus GOES data from 1 July through 31 August 2011 were investigated for that year. While not an exact tracer for air motion, we did observe that clouds

# 255 2.3 NAAPS and COAMPS

256 Both the Navy Aerosol Analysis and Prediction System (NAAPS; Lynch et al., 2016; 257 https://www.nrlmry.navy.mil/aerosol/) and the Coupled Ocean/Atmosphere Mesoscale Prediction System (COAMPS; 258 Hodur, 1997) are used to support the analysis of airborne data collected during the six Twin Otter campaigns and 259 assess how well they can simulate southerly flow on days when observational datasets indicate such flow directions 260 offshore of CA. NAAPS is a global aerosol forecast model run by the U.S. Naval Research Laboratory (NRL) in 261 Monterey, CA that predicts 3-dimensional anthropogenic and biogenic fine (ABF), dust, sea salt, and biomass burning 262 smoke particle concentrations in the atmosphere. NAAPS relies on meteorological data derived from the Navy Global 263 Environmental Model (NAVGEM; Hogan et al., 2014) and considers 25 vertical levels in the troposphere. For this study, we utilized the reanalysis version of NAAPS (NAAPS-RA, hereafter called NAAPS) that assimilates aerosol 264 265 depth observations to get a general sense of the simulated differences between southerly and northerly flow days for 266 our region of focus and as a complement to the aircraft data.

267 The motivation for the usage of these models is two-fold. The NAAPS-RA has a coarse horizontal resolution; 268 however, it provides large-scale aerosol conditions with observational constraints on the model fields (i.e., 269 incorporates satellite retrieved aerosol optical depth). It is important to have this relatively accurate large-scale aerosol background information for regional aerosol-cloud interaction research, as some of the background aerosol 270 271 information (e.g., biomass burning smoke) and pollution are advected into the interested study area. Another minor 272 reason is for model evaluation purposes: to see if models with different resolutions can resolve the studied phenomena, 273 as this is less studied and is of interest to check if models have the capability to represent them. The use of NAAPS 274 and COAMPS provides insight into how aerosol-cloud interactions from in situ data are represented by coarse 275 resolution models.

276 We investigated data for northward wind speed (v<sub>wind</sub>, where northward (i.e., southerly) flow is indicated by 277 positive values) and mass concentrations for ABF aerosols and sea salt (Fig. 2), along with smoke, dust, coarse aerosol, and fine aerosol (Fig. S2). Note that ABF represents secondarily formed species (SO<sub>4</sub><sup>2-</sup> and secondary organic aerosol) 278 279 and primary organic aerosol generally within the fine mode (<1  $\mu$ m). To be approximately similar to the average boundary layer height of all the missions used in this study, the first five vertical levels (max height of ~668 m above 280 sea level) of NAAPS were used for data analysis. Vertical profiles of temperature for each campaign categorized by 281 282 flow regime are provided in Fig. S3 using aircraft data over the ocean, to show the general structure of the lower 283 troposphere in relation to the first five vertical levels of NAAPS.

For our analysis, the NAAPS data were first separated into southerly and northerly flow days for each campaign based on results from Sect. 2.2, and the average value of each parameter was calculated for four reported times: 0000, 0600, 1200, and 1800 UTC. The most focus is placed on 1800 UTC, as that time coincided with most Twin Otter flight periods (results for the remaining time periods are in Fig. S4-S10). Then, all the parameters except v<sub>wind</sub> were summed across the five vertical levels to get a total mass concentration ( $\mu g m^{-3}$ ) up to ~668 m above sea level, whereas the average was calculated for v<sub>wind</sub>. Those values were used to calculate the difference between southerly and northerly flow days at  $1.0^{\circ} \times 1.0^{\circ}$  spatial resolution.

291 COAMPS is a high-resolution meteorological forecast model developed by the NRL's Marine Meteorology 292 Division (MMD) that outputs parameters like air temperature, winds, precipitation, cloud base and top heights, and 293 mass concentrations for the same aerosol species as those in NAAPS. For this study, we assessed the wind 294 speed/direction and smoke from COAMPS and NAAPS for the purpose of contrasting with observational data. 295 COAMPS maps were generated for this study by NRL at three different resolutions: 45 km, 15 km, and 5 km. To 296 compare to NAAPS, 15 km resolution grids were used. To assess the efficacy of COAMPS and NAAPS at forecasting 297 heavy pollution on a day with southerly winds, we performed a comparison of the two models for CSM RF 6 at 1800 298 UTC to match the flight time. The focus areas for both COAMPS and NAAPS matched that of the aircraft data 299 mentioned in Sect. 2.1.1. The altitudes used for the COAMPS maps for wind speed/direction and smoke were 762 m 300 and 660 m, respectively, as the best match to the NAAPS maximum altitude used in this work. 301

# 302 2.4 IMPROVE

To investigate the difference in surface-level aerosol measurements between southerly and northerly flow
 days, this study utilized composition data from the Interagency Monitoring of Protected Visual Environments
 (IMPROVE) network (Malm et al., 1994; http://views.cira.colostate.edu/fed/). Data were taken from the Pt. Reyes

National Seashore surface station  $(38.07^{\circ} \text{ N}, 122.88^{\circ} \text{ W})$  for the full campaign months shown in Table 1. Every third day, gravimetric mass of particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>) was measured. The PM<sub>2.5</sub> fraction was further analyzed via ion chromatography and X-ray fluorescence (XRF) for water-soluble ions and elements, respectively, along with organic and elemental carbon (OC and EC).

This study specifically investigated ( $\mu$ g m<sup>-3</sup>): PM<sub>2.5</sub>, coarse mass (PM<sub>coarse</sub> = PM<sub>10</sub> – PM<sub>2.5</sub>), Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Ni, K<sup>+</sup>, Si, V, EC, OC, and fine soil. The total OC measurement comes from a summation of four fractions of OC, which are categorized by a method of carbon analysis detection temperature (e.g., Chow et al., 1993; Watson et al., 1994). This method quantifies methane produced via volatilization of particulate species in pure helium at 120°C (OC1), 250°C (OC2), 450°C (OC3), and 550°C (OC4). Similarly, the total EC measurement is a summation of three fractions categorized via combustion temperatures in a 98% pure helium and 2% pure oxygen environment: 550°C (EC1), 700°C (EC2), and 800°C (EC3). Fine soil concentrations are calculated as follows (Malm et al., 1994):

317

332

318 Fine soil ( $\mu g m^{-3}$ ) = 2.2 × [Al] + 2.49 × [Si] + 1.63 × [Ca] + 2.42 × [Fe] + 1.94 × [Ti] (2) 319

This equation was confirmed by several studies (e.g., Cahill et al., 1981; Pitchford et al., 1981; Malm et al., 1994)
 through comparisons of resuspended soils and ambient particles.

322 Upon examination, it was decided to only use data for E-PEACE and BOAS because those campaign periods 323 had more than a single point with valid data for southerly days (three and two, respectively); recall that IMPROVE 324 data are only available every third day due to the sample collection procedure, so some southerly days would not 325 necessarily have available IMPROVE data. All the species analyzed had a status flag of "V0" ("Valid value") or "V6" 326 ("Valid value but qualified due to non-standard sampling conditions"), which are both considered valid data. We chose to include data flagged as "V6" (Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, and SO<sub>4</sub><sup>2-</sup> for BOAS) due to the small quantity of usable data for southerly 327 328 Additional information, like sampling protocols, days. are provided elsewhere 329 (http://vista.cira.colostate.edu/Improve/sops/). Like the aircraft and CW data, Mann-Whitney U tests were performed 330 on this dataset to determine if the median species concentrations were equivalent for southerly and northerly days 331 across a campaign.

### 333 2.5 MODIS

334 To assess cloud characteristics of southerly and northerly flow days during the campaign months of this 335 study, we retrieved daily mean values within the same focus region defined for aircraft data in Sect. 2.1.1 (35.31° N - 40.99° N, 125.93° W - 118.98° W) for the following properties from the MODerate resolution Imaging 336 337 Spectroradiometer (MODIS) on Aqua through NASA Giovanni (https://giovanni.gsfc.nasa.gov/giovanni/): cloud 338 effective particle radius (r<sub>e</sub>; µm), cloud liquid water path (LWP; g m<sup>-2</sup>), cloud optical thickness (COT), cloud fraction 339 (from cloud mask), and aerosol optical depth (AOD, combined dark target and deep blue at 0.55 µm for land and 340 ocean). Nd (cm-3) was calculated from MODIS properties based on the following equation (Painemal and Zuidema, 341 2011):

342 
$$N_d = 1.4067 \times 10^{-6} [cm^{-0.5}] \times \frac{coT^{0.5}}{r^{2.5}}$$

(3)

Additionally, retrieval data were only used when cloud fraction  $\geq$  30% to maximize both data reliability and sample size (Mardi et al., 2021). The focus of the analysis is comparing median values of these remotely sensed variables between southerly and northerly days for E-PEACE and BOAS due to a similar LWP value for the two flow regimes (66.48/67.17 g m<sup>-2</sup> and 84.40/89.90 g m<sup>-2</sup>, respectively). Data for the other campaigns are included in the SI. Additionally, this study used MODIS visible imagery on NASA Worldview to qualitatively identify smoke plumes, in addition to fire radiative power from the MODIS Fire Information for Resource Management System (FIRMS; <u>https://earthdata.nasa.gov/firms</u>).

350

# 351 3 Results and Discussion

#### 352 3.1 Lower Tropospheric Wind Profile

We first examine NAAPS and airborne observations for the lower tropospheric wind profile during the periods of analysis shown in Table 1. Note that the other datasets described in Sect. 2.2 are consistent with the airborne wind results and thus only NAAPS and aircraft data are discussed here for two reasons: NAAPS results are used to assess how such a model quantifies differences in winds between southerly and northerly flow days as identified with methods in Sect. 2.2, whereas aircraft data provide insight into typical wind speeds during southerly and northerlyflow periods.

359 Beginning with the aircraft data, results are discussed here only for measurements over the ocean with the 360 Na>10nm filter applied to remove smoke influence (Table 3). The mode of wind directions during southerly and northerly 361 flow days in each campaign expectedly aligned with southerly  $(144^{\circ} - 194^{\circ})$  and northerly flow  $(327^{\circ} - 332^{\circ})$ , respectively, because of how the classification was done (Sect. 2.2). Median wind speeds across each campaign ranged 362 from 2.35 - 7.75 m s<sup>-1</sup> for southerly flow in contrast to 5.12 - 8.87 m s<sup>-1</sup> for northerly flow. This finding differs from 363 364 what has been observed in previous studies, likely due to the difference in sampling location: aircraft observations 365 from the surface to 800 m versus buoy/surface observations, respectively. All campaigns featured higher median wind 366 speeds for northerly flow flights. However, when looking at the vertical wind profiles of each campaign for southerly 367 and northerly flow days (Fig. S11), there were several instances where median wind speed at the surface for southerly 368 flow days was greater than for northerly flow days. Both the median wind speeds and directions of southerly and 369 northerly days were significantly distinct from one another for all of the studied campaigns (Table S4).

370

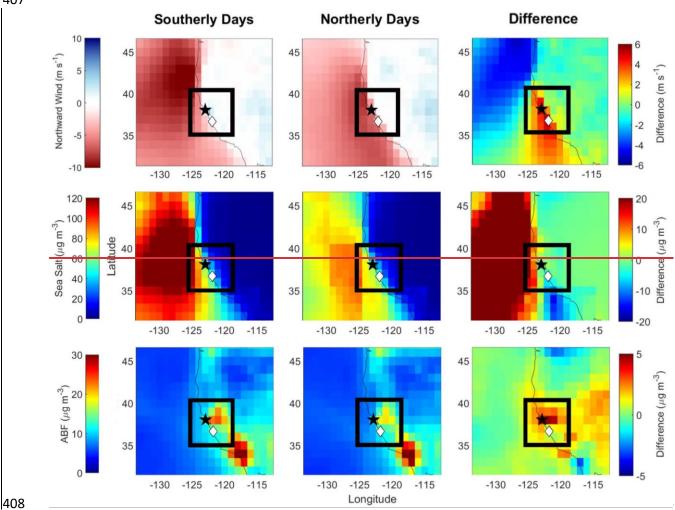
371 Table 3: Median values (southerly/northerly) of various parameters over the ocean with an Na>10nm filter such that RFs with 372 median  $N_{a>10nm} > 7,000$  cm<sup>-3</sup> were removed from the final analysis to eliminate smoke interference. Mode values are used 373 for wind direction. The instruments used for the parameters from left to right are as follows: CPC 3010, CPC 3010 -374 PCASP<1µm, PCASP<1µm, PCASP>1µm, CPC 3025/CPC 3010, CASF. The far right-hand columns indicate the number of 375 datapoints used from each campaign, with n<sub>Na</sub> indicating the amount of data used for all N<sub>a</sub> calculations, n<sub>Nd</sub> is for cloud 376 data, and nwind is for wind speed and direction. FSSP data were used for Nd data only during BOAS, whereas CASF was 377 used in other campaigns. These data are for the lowest 800 m above sea level. The reader is referred to Fig. S12 for box 378 plots corresponding to the analysis in this table, as well as Table S4 for Mann-Whitney U p-values.

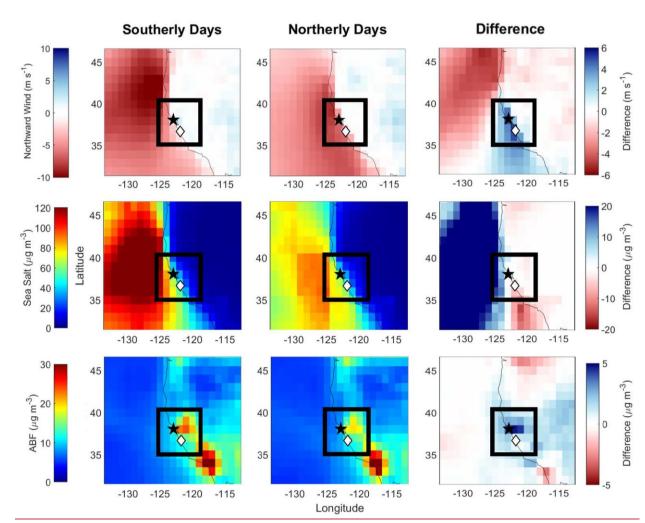
	N <sub>a&gt;10nm</sub>	N <sub>a10-100nm</sub>	$N_{a0.1-1\mu m}$	$N_{a>l\mu m}$	$N_{a3}$ : $N_{a10}$	N <sub>d</sub>	Wind	Wind	n <sub>Na</sub>	n <sub>Nd</sub>	n <sub>Wind</sub>
	(cm <sup>-3</sup> )	(cm <sup>-3</sup> )	(cm <sup>-3</sup> )	(cm <sup>-3</sup> )	(-)	(cm <sup>-3</sup> )	Speed (m s <sup>-1</sup> )	Direction (°)	(×10 <sup>3</sup> )	(×10 <sup>3</sup> )	(×10 <sup>3</sup> )
E-PEACE	861 / 703	501 / 454	338 / 197	0 / 1.25	1.09 / 1.10	252 / 163	3.38 / 7.58	177.61 / 330.48	20.3 / 202.7	17.1 / 127.1	37.4 / 330.8
NiCE	953 / 606	248 / 245	471 / 260	2.51 / 0	1.12 / 1.17	249 / 254	3.80 / 5.12	180.81 / 327.20	1.4 / 66.8	1.5 / 39.6	3.0 / 112.8
BOAS	750 / 497	553 / 256	204 / 196	0 / 1.24	1.20 / 1.18	143 / 127	5.49 / 6.35	166.97 / 328.58	5.8 / 72.1	3.9 / 20.5	11.8 / 104.7
FASE	836 / 916	423 / 635	326 / 180	0 / 0	1.29 / 1.16	203 / 223	2.35 / 6.82	144.03 / 331.29	1.0 / 95.5	0.3 / 99.2	1.3 / 194.9
MACAWS	722 / 815	560 / 635	154 / 164	0 / 0	1.25 / 1.26	189 / 165	7.75 / 8.87	162.15 / 330.28	10.3 / 118.9	6.6 / 27.0	16.9 / 145.9
CSM	5,558 / 3,451	5,081 / 3,366	515 / 365	1.00 / 0	1.30 / 1.67	334 / 314	6.10 / 6.77	193.93 / 332.16	4.8 / 31.5	1.8 / 4.1	6.9 / 41.3

379

For context, boundary layer flow patterns from NAVGEM are provided in Fig. S13 for all southerly and northerly days at 1800 UTC (Fig. S14 and S15 provide flow maps for each individual campaign). The average southerly flow pattern (Fig. S13a) captures generally weaker flow, particularly near Marina, CA, where a slight reversal can be observed. When looking at the flow maps for each campaign (Fig. S14 and S15), only BOAS and FASE captured a small wind reversal by Marina, CA during southerly flow days. Both MACAWS and CSM had a circulatory-pattern north of Marina, CA, near Pt. Reyes, and southerly flow is more clearly observed during the CSM campaign along the coast.

387 NAAPS values are discussed for  $v_{wind}$  for the lowest ~668 m above sea level, with positive (negative) values 388 representing southerly (northerly) flow (Fig. 2). This altitude range coincides with the airborne data shown in Table 389 3. The vwind data are categorized into "Southerly Days," "Northerly Days," and "Difference" (i.e., southerly - northerly 390 values) for 1800 UTC, which overlaps with most of the Twin Otter flight times (Fig. 1); results for 0000, 0600 and 1200 UTC are provided in Fig. S4. Both southerly and northerly days had weaker  $v_{wind}$  closer to the coast (up to 35° 391 392 N) compared to farther offshore over the ocean ( $\sim -3/-9$  and -4/-6 m s<sup>-1</sup>, respectively, for southerly/northerly flow). 393 Slow, slightly northerly winds extended farther north to Marina and west to 123.5° W for southerly days, which is 394 illustrated in red (differences exceeding ~3 m s<sup>-1</sup> between flow regimes) in the "Difference" panel. Northerly days also had an area of weaker v<sub>wind</sub> north of 43.5° N, which is emphasized in the "Difference" panel in blue (differences 395 396 of -4 - -6 m s<sup>-1</sup>). Generally, NAAPS was not able to fully capture southerly winds over the ocean and along the coast 397 in that v<sub>wind</sub> was not clearly positive (i.e., not northward); however, when looking at southerly flow for individual 398 campaigns, NAAPS was sometimes able to capture areas with positive northward wind (i.e., southerly flow). When 399 looking at the five vertical levels closest to the surface during periods when NAAPS was able to simulate positive 400 northward winds, this feature was observed across all the levels, primarily along the coast near Marina, CA or south 401 of 34° N at 1800 UTC, with lower wind speeds closer to the surface. Additionally, when looking at the averaged maps, 402 the magnitude of the wind speed difference along the coastal area of the study domain appeared to align with the 403 mechanics of coastal wind reversal and CTDs: the weakening of northerly wind and ultimate reversal of flow (e.g., 404 Winant et al., 1987; Melton et al., 2009). A key conclusion from NAAPS is that the difference between southerly and 405 northerly flow days matches expectations with southerly days having a greater tendency towards higher v<sub>wind</sub> compared 406 to northerly days, but on average, still not necessarily distinctly positive vwind values.





409

Figure 2: Average northward wind speed ( $v_{wind}$ ; m s<sup>-1</sup>), total sea salt mass concentration ( $\mu$ g m<sup>-3</sup>), and total ABF mass concentration ( $\mu$ g m<sup>-3</sup>) of campaign months at 1800 UTC for 1<sup>st</sup> through 5<sup>th</sup> NAAPS levels (up to ~668 m above sea level) for southerly and northerly flow wind days. The right-most panel illustrates the difference between southerly and northerly flow days. The airbase in Marina, CA is denoted by a white diamond, Pt. Reyes is indicated with a black star, and the black box indicates the region of focus in this study.

416 3.2 Aerosol Response to Southerly Flow

#### 417 **3.2.1** Fire Radiative Power Maps

418 Prior to discussing aerosol results, we address the influence of wildfire emissions, which is an aerosol 419 source that varies in terms of strength between the six campaign periods in contrast to shipping and other forms of 420 continental emissions that are more consistent year to year. Past studies using airborne and surface-based data at 421 Marina, CA (airbase indicated by a white diamond in Fig. 1 and 2) overlapping with the six campaigns in Table 1 422 revealed the following in terms of notable biomass burning influence around Marina and offshore areas (e.g., 423 Prabhakar et al., 2014; Braun et al., 2017; Mardi et al., 2018): (i) E-PEACE/BOAS: no major influence of note; (ii) 424 NiCE: influence around the last week of July 2013; (iii) FASE: influence between 25 July and 12 August; (iv) 425 MACAWS: significant influence on flights during 28 June and 3 July owing to the aircraft having flown close to 426 wildfire areas inland in northern CA; (v) CSM: significant influence throughout the campaign. These archived notes 427 do not preclude the possibility of biomass burning influence during other periods of those campaigns as it relates to 428 Twin Otter aerosol and cloud measurements.

429 Spatial maps of fire radiative power (FRP; Fig. 3), indicative of burn intensity, show relatively less burning
430 activity in immediate proximity to Marina during E-PEACE and BOAS. In contrast, the other campaigns show
431 clusters of burning spots around Marina. Note that CSM, by virtue of its name, was focused largely on wildfires
432 with dedicated RFs to sample smoke. MACAWS also was designed as a wildfire study but had less cases of strong

433plumes to sample, which included RFs on 28-29 June farther inland than most RFs, resulting in very high aerosol434number concentrations ( $N_{a>10nm} > 10,000 \text{ cm}^{-3}$ ). These maps are mainly contextual to show the spatial distribution of435fire sources and specific conclusions cannot be gleaned solely based on these regarding which campaigns had more436or less wildfire influence overlapping with the flight tracks. This is especially the case because smoke can be437advected from far distances away from the study region. The wildfire filter described in Sect. 2.1 aims to filter out a438large portion of smoke influence, at least at the regional level.

439 440 **3.2.2 Fine Aerosol** 

446

The first hypothesis of this study is that southerly flow yields higher fine aerosol levels associated with anthropogenic and continental tracer species due to more perceived influence from land and shipping sources (Juliano et al., 2019a; 2019b). This was also speculated by Hegg et al. (2008) although it was not examined in great detail by that study. Here we rely on results from a number of datasets including measurements from the Twin Otter (Tables 3 and 4) and the Pt. Reyes IMPROVE site (Fig. 4), along with NAAPS model results (Fig. 2).

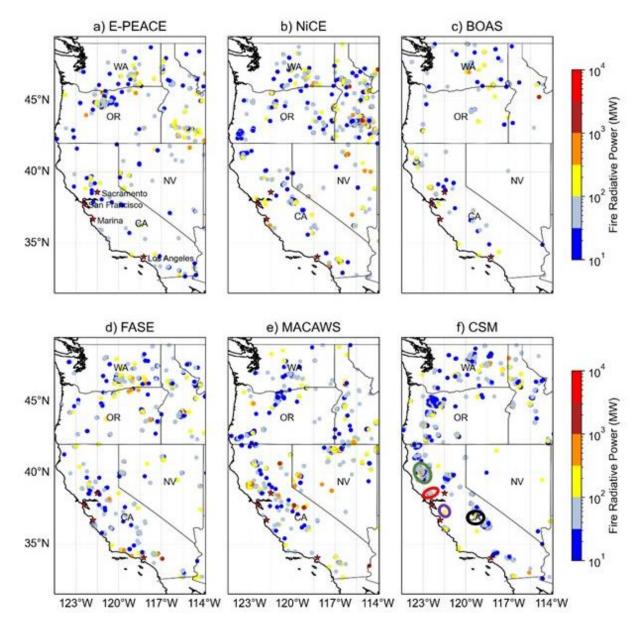
447 3.2.2.1 Airborne: Particle Concentration

Beginning with the Twin Otter data, aerosol data for 17 southerly flight days corresponding to 21 RFs were compared to 93 other flight days with predominantly northerly flow in Table 3 (box plots of the variables are in Fig. S12, and Mann-Whitney U test results are in Table S4), as well as Tables S1-S3. We focus primarily on flight data over the ocean with the  $N_{a>10nm}$  filter applied to omit wildfire influence; the other aircraft data result tables in the Supplement generally show the same trends as Table 3. We caution that the results of FASE, and to a slightly lesser extent NiCE, are not as meaningful as the other campaigns owing to the least amount of data for southerly conditions, with numbers of datapoints shown in the tables.

455 The total submicron aerosol number concentration, N<sub>a>10nm</sub>, was far larger for southerly flow (722-5,558 cm<sup>-</sup> 456 <sup>3</sup>) as compared to northerly flow flights (497-3,451 cm<sup>-3</sup>). Of the six campaigns, the only ones with higher median 457 values in northerly flow were FASE and MACAWS, with small  $\Delta N_{a>10nm}$  of -80 cm<sup>-3</sup> and -93 cm<sup>-3</sup>, respectively. CSM 458 exhibited the largest difference in median values for  $N_{a>10nm}$  between southerly and northerly flow ( $\Delta N_{a>10nm} = 2,107$ 459 cm<sup>-3</sup>), followed by NiCE ( $\Delta N_{a>10nm} = 347 \text{ cm}^{-3}$ ) and BOAS ( $\Delta N_{a>10nm} = 253 \text{ cm}^{-3}$ ). While these campaigns have a smaller relative sample size of southerly data ( $n_{Na} < 6 \times 10^3$ ; CSM: 4.8×10<sup>3</sup>, NiCE: 1.4×10<sup>3</sup>; and BOAS: 5.8×10<sup>3</sup>), E-460 461 PEACE has a sizable amount of southerly data  $(20.3 \times 10^3)$  and the least fire influence of the missions included in this 462 study, so we find it may be the most reliable campaign to analyze. There was a distinct difference between southerly and northerly days during E-PEACE as well, with a  $\Delta N_{a>10nm}$  of 158 cm<sup>-3</sup>. As the number concentration in the 463 464 submicron range dominates the total CPC concentrations, these results convincingly point to an enhancement of fine 465 aerosol pollution in southerly flow even without the N<sub>a>10nm</sub> filter (Table S1).

466 We examined various size ranges of particles in the submicron range as well. For particles between 10-100 467 nm, southerly conditions generally had higher number concentrations except again for FASE and MACAWS and with 468 more comparable levels during NiCE. As particles larger than 100 nm are more relevant for cloud condensation nuclei 469 (CCN) activity, we also examined number concentrations for diameters between 0.1 and 1 µm, which show higher 470 southerly levels except for MACAWS. Between campaigns, CSM overall exhibited the highest particle concentrations 471 in this size range due to extensive wildfire emissions in the area, which are known to be linked with enhanced levels 472 of particles larger than 100 nm in the same region (Mardi et al., 2018), which is why this campaign shows relatively 473 large PCASP enhancements in both southerly and northerly flow conditions relative to the other campaigns (see in 474 particular Tables S1-S2). Without the CPC filter (Table S1), only the medians for NiCE and BOAS on northerly wind 475 days changed, resulting in the Na10-100nm median during NiCE to be lower during southerly flow days compared to 476 northerly days. When looking within the region of focus, the inclusion of land data in addition to ocean data (Tables 477 S2-S3) leads to significant N<sub>a</sub> differences (to a lesser extent for the filtered data, Table S3) compared to Table 3, 478 including higher submicron concentrations for NiCE, BOAS, and FASE.

479





481 Figure 3: Spatial maps of fire radiative power (FRP), downloaded from the MODIS Fire Information for Resource 482 Management System (FIRMS; https://earthdata.nasa.gov/firms) for the entire months spanning individual field 483 campaigns in Table 1. Only FRP values with a high detection confidence level (≥ 80%) are shown (Giglio et al., 2015). The 484 circled areas in panel (f) correspond to some of the largest wildfires in CA state history that occurred in 2020 that are

485 referred to in Sect. 3.4.2: August Complex fire (green), SCU Lightning Fire Complex (purple), Creek fire (black), and 486 LNU Lightning Complex fire (red).

488 Although new particle formation (NPF) was not expected to be prominent in the lower 800 m owing mostly 489 to high aerosol surface areas especially due to sea spray emissions, we still examined the ratio of Na above 3 nm 490 relative to 10 nm (Na3:Na10), as this ratio is a commonly used marker for identifying NPF. Such instances are more 491 common in the free troposphere in the study region owing to reduced aerosol surface areas (Dadashazar et al., 2019). 492 The results suggest that the Na3:Na10 ratios for the two flow regimes were significantly different for all the campaigns

493 except for MACAWS (higher ratios in southerly flow for BOAS and FASE), with median flow direction-dependent

<sup>487</sup> 

values per campaign ranging from 1.09 to 1.30. During CSM, the median ratio value was 1.67 in northerly flowconditions due to presumed influence from high precursor levels in smoke plumes.

496

# 497 3.2.2.2 Airborne: Tracer Species in Cloud Water

498 We next turn to CW composition data (Table 4) to continue learning more about the effect of southerly flow 499 and its associated emission sources. NiCE and FASE were not included in the CW calculations of Table 4 (but shown 500 in Fig. S16) because there were fewer than five samples from RFs with southerly wind direction for those two 501 campaigns, and CW was not collected during CSM. NO3<sup>-</sup> and nss-SO4<sup>2-</sup>, both representative of fine aerosol pollution, 502 were higher for southerly days, with a significant difference (Table S5) apparent in E-PEACE (1.80/0.30 and 2.10/0.81 503  $\mu$ g m<sup>-3</sup> for southerly and northerly days, respectively), as well as for NO<sub>3</sub><sup>-</sup> during BOAS (1.02/0.23  $\mu$ g m<sup>-3</sup> for southerly and northerly days, respectively). The same trend was observed for V (ship exhaust tracer) and NH4+, which can be 504 505 used as a tracer for continental sources such as agriculture (Juliano et al., 2019b). Thus, these results help to provide 506 more confidence in results from Juliano et al. (2019b) but with increased sampling across more campaigns. For E-507 PEACE and MACAWS, there were also lower southerly flow concentrations of K<sup>+</sup> (0.01/0.05 and 0.06/0.11  $\mu$ g m<sup>-3</sup>) 508 and  $Ca^{2+}$  (0.05/0.07 and 0.06/0.16 µg m<sup>-3</sup>), suggestive of less influence from biomass burning and dust sources with 509 the caveat that K<sup>+</sup> and Ca<sup>2+</sup> have sources other than biomass burning and dust.

510 There were also higher concentrations of oxalate during southerly days, which can be used as a tracer for 511 aqueous processing (Hilario et al., 2021), wherein cloud droplets are formed from oxidized volatile organic 512 compounds (Ervens et al., 2011; Ervens, 2015; Mcneill, 2015). Further, there were significant differences in median 513 concentrations between southerly and northerly flow days during BOAS and MACAWS (0.12/0.05 and 0.08/0.03 µg 514 m<sup>-3</sup>, respectively). Precursors to oxalate are diverse including from biogenic sources, biomass burning, combustion 515 (e.g., Stahl et al., 2020 and references therein), shipping, along with being associated with sea salt and dust owing to 516 gas-particle partitioning (Sorooshian et al., 2013; Stahl et al., 2020; Hilario et al., 2021); such sources are presumed 517 to be influential during southerly flow based on the notion that air masses are influenced by some combination of 518 continental emissions and extended time in shipping lanes.

519 Cloud water pH was lower and thus more acidic on southerly days for all three campaigns (3.85/4.54, 520 4.30/4.34, 4.33/4.62 for southerly/northerly days during E-PEACE, BOAS, and MACAWS, respectively, and 521 statistically different for E-PEACE and BOAS), which is another indicator for anthropogenic pollution enriched with 522 acidic species (Pye et al., 2020). Increased acid levels can result in more Cl<sup>-</sup> depletion when considering sea salt 523 particles (e.g., Edwards et al., 2023 and references therein); interestingly, southerly days were characterized by lower 524 Cl::Na<sup>+</sup> ratios with median values of 1.39 (MACAWS), 1.63 (E-PEACE) (both campaigns of which southerly days were significantly different from northerly flow days), and 2.48 (BOAS), although the difference in MACAWS was 525 526 only 0.12. Braun et al. (2017) noted that, theoretically, over 60% of the Cl<sup>-</sup> depletion in the submicron range could be 527 attributed to nss-SO<sub>4</sub><sup>2-</sup>, and greater than 20% in the supermicron range could be attributed to NO<sub>3</sub><sup>-</sup>. As was noted 528 previously, nss-SO<sub>4</sub><sup>2-</sup> and NO<sub>3</sub><sup>-</sup> were noticeably enhanced during southerly flow days while the Cl<sup>-</sup>:Na<sup>+</sup> ratios were 529 reduced. Schlosser et al. (2017) also reported that organic acids, notably oxalate, were significantly enhanced during 530 periods of Cl<sup>-</sup> depletion, which is reflected in our CW data. As E-PEACE was statistically the most robust dataset (and 531 all CW species except  $Ca^{2+}$ ,  $NH_4^+$ , and oxalate had medians that were significantly different between southerly and 532 northerly flow days), the results from CW convincingly align with more shipping and/or continental influence in 533 southerly flow to impact cloud composition.

534

Table 4: Median values (southerly/northerly) of water-soluble CW composition (μg m<sup>-3</sup>) over the entirety of three campaigns with sufficient data. The starred (\*) values are reported in ng m<sup>-3</sup>. The number of samples used in each campaign is in the far-right hand column (n). The reader is referred to Table S5 which shows the p-values from the Mann-Whitney U tests, as well as Fig. S16 which shows box plots of the CW composition results for the five campaigns with available data.
Values shown as "–" denote when samples were below the limit of detection.

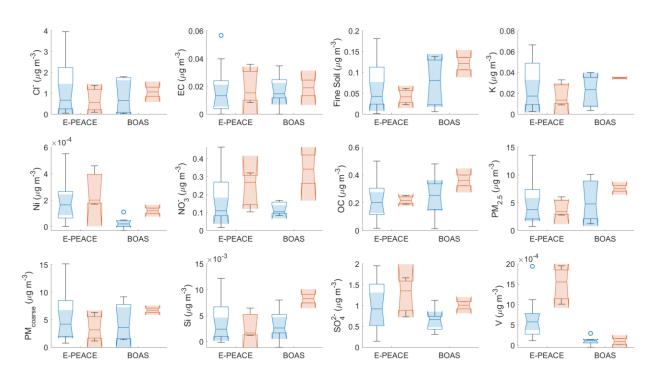
	Ca <sup>2+</sup>	Cl/Na <sup>+</sup>	K <sup>+</sup>	Na	$\operatorname{NH}_4^+$	NO3	Oxalate	pН	nss-SO <sub>4</sub> <sup>2-</sup>	V	n
E-PEACE	0.05 / 0.07	1.63 / 2.15	0.01 / 0.05	0.42 / 1.21	_/_	1.80 / 0.30	0.02 / 0.02	3.85 / 4.54	2.10 / 0.81	2.16* / 0.38*	10 / 65
BOAS	0.11 / 0.08	2.48 / 2.74	0.06 / 0.06	1.99 / 1.55	0.44 / 0.04	1.02 / 0.23	0.12 / 0.05	4.30 / 4.34	1.08 / 0.83	— / 0.15 <b>*</b>	5 / 21

MACAWS	0.06 / 0.16	1.39 / 1.51	0.06 / 0.11	1.30 / 2.70	0.08 / 0.05	0.55 / 0.38	0.08 / 0.03	4.33 / 4.62	0.56 / 0.26	0.07* / 0.05*	15 / 51
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#### 3.2.2.3 **Surface: Aerosol Composition**

542 We next examine surface composition data from the Pt. Reyes IMPROVE site. Mass concentrations of twelve 543 PM composition variables were investigated to analyze important tracers along the coast (Fig. 4), with Mann-Whitney 544 U test p-values for comparing southerly and northerly flow days shown in Table S6. It is important to recall that E-545 PEACE and BOAS were the only campaigns that had more than a single day of valid data coinciding with southerly 546 flow because of the added challenge of IMPROVE sampling occurring every third day; therefore, northerly days had 547 significantly more data points (18 for E-PEACE and seven for BOAS) compared to southerly days (three and two, 548 respectively). That is the general reason for the large whiskers on the box plots for northerly RFs during E-PEACE 549 and the lack of whiskers for southerly RFs during BOAS. Another feature to note is the 'folded over' appearance of 550 some of the box plots. This indicates a high variance within the dataset and a skewed distribution. We caution that this 551 analysis is not very statistically robust owing to the rare nature of southerly days in overlap with IMPROVE sampling; 552 however, we take a 'better than nothing' approach to use in a supportive role in comparison to other datasets used to 553 assess differences between southerly and northerly flow.

554



555 556

Figure 4: Box plots of IMPROVE data from the Pt. Reyes surface station. The southerly data for E-PEACE and BOAS 557 (three and two points, respectively) are represented by the red boxes, and the northerly data (18 and seven, respectively) 558 are represented by the blue boxes.

559

560 SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, OC, V, Ni, and EC are reasonable tracer species representative of either shipping and/or 561 continental sources in the study region, as they have been utilized as tracers for these sources in previous studies 562 (Wang et al., 2014; Maudlin et al., 2015; Wang et al., 2016; Dadashazar et al., 2019; Ma et al., 2019). These species 563 were hypothesized to be more enhanced in the coastal CA zone on southerly flow days due to air spending time over 564 shipping lanes and land upwind of the study region. Even with the limited southerly flow sample data, the results of 565 Fig. 4 support this idea as southerly conditions coincide with higher median concentrations of these species than 566 northerly days. The most striking relative differences were for NO<sub>3</sub><sup>-</sup> (southerly/northerly): 0.27/0.11 and  $0.34/0.10 \mu g$ 567  $m^{-3}$  for E-PEACE and BOAS, respectively. NO<sub>3</sub><sup>-</sup> was the only species during BOAS that was found to have a median 568 concentration that was statistically different between southerly and northerly days (Table S6). Ni and V are the primary

trace metals in heavy ship fuel oils and are commonly used as tracers for ship emissions (Celo et al., 2015; Corbin et

al., 2018), and V was previously found enhanced in CW linked to ship emissions in E-PEACE (Coggon et al., 2012;

- 571 Prabhakar et al., 2014). There were mostly higher concentrations of these species on southerly flow days (E-PEACE southerly/northerly: 0.20/0.17 and 1.56/0.58 ng m<sup>-3</sup>, respectively; BOAS southerly/northerly: 0.12/0.02 and 0.09/0.11
- southerly/normerly: 0.20/0.17 and 1.50/0.58 ng m<sup>-1</sup>, respectively; BOAS southerly/normerly: 0.12/0.02 and 0.09/0.11
   ng m<sup>-3</sup>, respectively), supporting the hypothesis of elevated shipping emissions. Also, a Mann-Whitney U test found
   that the median V concentrations during E-PEACE were statistically different for southerly and northerly days (Table
- 575

S6).

576 Only BOAS exhibited higher  $PM_{2.5}$  during southerly days compared to northerly days (7.61/4.82 µg m<sup>-3</sup>, 577 respectively), with E-PEACE having roughly equivalent concentrations for the two flow regimes (3.39/3.78 µg m<sup>-3</sup>, 578 respectively). This is likely owing to how  $PM_{2.5}$  is not the best marker for shipping and continental emissions owing 579 to its inclusion of other species of marine and natural origin.

580

# 581 3.2.2.4 NAAPS: Aerosol Composition

582 To round out discussion of fine aerosol pollution, we discuss NAAPS model results (Fig. 2). The largest 583 enhancements in ABF mass concentrations occurred inland both north of Marina around Pt. Reyes and near the Ports of Los Angeles and Long Beach. There was  $>5 \ \mu g \ m^{-3}$  difference in ABF concentration between southerly and 584 585 northerly days near Pt. Reves. This suggests that while there were elevated levels of anthropogenic emissions in this 586 area regardless of the flow regime, there were increased concentrations during southerly flow days according to NAAPS. An example HYSPLIT back-trajectory for a southerly flow day (Fig. S17) shows air masses with likely 587 588 influence from as far south as southern California and the U.S.-Mexico border. Additionally, there is a strong ABF 589 signal (>30 µg m<sup>-3</sup>) around 34° N, 118° W for both categories of days, which is close to the Ports of Los Angeles and 590 Long Beach, two of the busiest container ports (in terms of cargo volume processed) in the United States and areas 591 with elevated levels of NO<sub>x</sub> and SO<sub>x</sub> due to the ship exhaust and port emissions (Corbett and Fischbeck, 1997). As 592 can be seen in the Fig. S6, the ABF concentrations around 34° N, 118° W and 38° N, 122° W increase throughout the 593 day, with more significant increases north of the ports for southerly flow days. On southerly flow days, NAAPS results 594 point to marked enhancements in fine aerosol and smoke mass concentration north of Pt. Reyes over water but with 595 mostly a reduction in such values to the south of Pt. Reyes over water. ABF represents the category of species that are 596 most tied to the tracer species shown already to be enhanced in southerly flow, and thus at least this result from 597 NAAPS is consistent with enhanced values across most of the study domain in southerly flow.

#### 598 599

# 3.2.3 Supermicron Aerosol

600 While this study hypothesizes that most of the aerosol changes in southerly flow will pertain to submicron 601 aerosol, we still discuss supermicron aerosol characteristics to determine if there was any change observed. With all 602 the complexities leading to sea salt emissions in the region (Schlosser et al., 2020), which is the predominant 603 supermicron aerosol type in the study region's boundary layer, combined with the shifting wind directions and speeds 604 leading up to and after a wind reversal (e.g., Juliano et al., 2019a), there was no underlying expectation for a change 605 in levels concentrations during southerly flow events. Beginning with the aircraft observations,  $N_{a>lum}$  levels were 606 generally low and usually zero in terms of flight median values simply due to so many zero values during a RF. 607 Northerly flow conditions yielded median levels exceeding zero for E-PEACE (1.25 cm<sup>-3</sup>) and BOAS (1.24 cm<sup>-3</sup>). In contrast, southerly flow led to levels of 2.51 cm<sup>-3</sup> and 1.00 cm<sup>-3</sup> during NiCE and CSM, respectively. The enhancement 608 609 during southerly flow during at least CSM is presumed to be due to pervasive smoke during many of those RFs. 610 However, the small median concentrations for each campaign make it hard to definitively determine if the lower 611 concentrations during E-PEACE and BOAS were due to changes in flow regime or another factor. Figure S1 shows a 612 scatterplot of total CASF number concentration versus effective diameter to separate out where cloud droplets are 613 relative to probable sea salt particles and then coarse aerosol associated with the wildfires. There is considerable data 614 coverage at LWC < 0.02 g m<sup>-3</sup>, with effective diameters below 5  $\mu$ m and number concentrations exceeding 10 cm<sup>-3</sup>, 615 with the latter surpassing what would be expected from sea salt (e.g., Gonzalez et al., 2022). It is very likely that dust 616 particles can be entrained into regional smoke plumes as discussed in past work for the region (e.g., Maudlin et al., 617 2015; Schlosser et al., 2017). This will be discussed in more detail for a case flight demonstrating such high levels 618 during southerly flow in Sect. 3.4.2.

619 Airborne CW results reveal generally no strong trends in either sea salt or dust tracer species between the 620 flow regimes. The sea salt tracer species Na<sup>+</sup> was lower for southerly days during E-PEACE (and statistically different) 621 and MACAWS (0.42/1.21 and 1.30/2.70  $\mu$ g m<sup>-3</sup> for southerly/northerly days) but with an increase during BOAS (1.99 622 versus 1.55  $\mu$ g m<sup>-3</sup>). The dust tracer species Ca<sup>2+</sup> was, expectedly, much less abundant compared to Na<sup>+</sup>, without 623 significant differences between flow regimes. However, as already noted (Sect. 3.2.2.2), the fine pollution in southerly 624 flow likely still influenced supermicron aerosol characteristics via Cl<sup>-</sup> depletion in salt particles.

In terms of IMPROVE data, PM<sub>coarse</sub>, Si, fine soil, and Cl<sup>-</sup> are the variables that would best coincide with typical sources of supermicron aerosol (i.e., dust and sea salt). They did not reveal any consistent trend for the two campaigns. Based on the lack of a general trend and reduced data for southerly flow days, it is concluded that there is insufficient evidence from IMPROVE to conclude that there is more or less dust or salt influence on southerly days.

629 The wind profile discussed in Sect. 3.1 has implications for sea salt aerosol production, which is influenced 630 by wind speed. The breaking of wave crests to produce (mostly coarse mode) spray droplets occurs at strong wind 631 conditions (>10 m s<sup>-1</sup>) (Monahan et al., 1986). Additionally, jet droplets are produced via bubble bursting at lower 632 wind speeds (>5 m s<sup>-1</sup>; Blanchard and Woodcock, 1957; Fitzgerald, 1991; Wu, 1992; Moorthy and Satheesh, 2000). 633 On southerly days, there were faster northerly winds over the open ocean offshore west of 125° W, which corresponded to high sea salt concentrations (>100 µg m<sup>-3</sup>) according to NAAPS, whereas northerly days had slower 634  $v_{wind}$  and less sea salt (65 – 90 µg m<sup>-3</sup>) in those same areas farther offshore. In contrast, in the coastal areas south of 635 636  $35^{\circ}$  N, northerly days had higher sea salt concentrations (by  $10 - 20 \ \mu g \ m^{-3}$ ) than southerly days with weaker (less 637 negative) v<sub>wind</sub>. NAAPS shows the same general trends for coarse aerosol mass compared to sea salt, with dust being 638 far less abundant and more spatially heterogeneous in terms of enhancements and reductions between southerly and 639 northerly conditions. In general, the NAAPS results are consistent with aircraft and IMPROVE results in that in the 640 study domain, there was not any pronounced difference in coarse aerosol characteristics during southerly flow. More 641 research and data would be helpful, though, to put this conclusion on firmer ground.

#### 643 3.3 Cloud Responses

642

654

### 644 3.3.1 Airborne In Situ Results

645 As most campaigns exhibited higher  $N_a$  on southerly flight days, it matches expectation that most campaigns 646 exhibited higher Nd values for southerly days (southerly/northerly values): E-PEACE (252/163 cm<sup>-3</sup>), BOAS (143/127 647 cm<sup>-3</sup>), MACAWS (189/165 cm<sup>-3</sup>), and CSM (334/314 cm<sup>-3</sup>). These campaigns had southerly N<sub>d</sub> values that were ~ 648  $20\pm4$  cm<sup>-3</sup> greater than the median values on northerly days, with a significant difference during E-PEACE ( $\Delta N_d \sim$ 649 89 cm<sup>-3</sup>). E-PEACE also had the most cloud data points compared to the other missions, qualifying it as the most 650 robust campaign for inspection of cloud properties. The remaining two campaigns had the least amount of cloud data 651 during southerly flow conditions (NiCE and FASE) and thus those results are of less importance to discuss. CSM had the highest  $N_d$  concentrations for both southerly and northerly days due to the strongest levels of pollution (from 652 653 smoke) relative to the other campaigns.

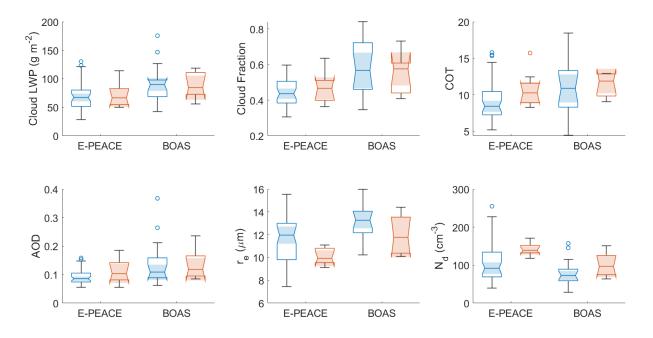
#### 655 3.3.2 Satellite Data Results

656 The second part of our hypothesis was that there would be a noticeable difference in cloud properties like  $N_d$ , 657 re, and COT between southerly and northerly flow days (at fixed LWP), namely due to the change in emissions sources. 658 In particular, we anticipated higher  $N_d$  and COT and lower  $r_e$  for southerly flow periods due to the Twomey effect 659 (Twomey, 1974) and higher particle concentrations from continental pollution and shipping emissions. Six parameters 660 were retrieved from MODIS, divided into southerly and northerly days for E-PEACE and BOAS, and visualized as 661 box plots (Fig. 5). Cloud LWP medians for southerly and northerly days within E-PEACE (66.48/67.17 g m<sup>-2</sup>) and 662 BOAS ( $84.40/89.90 \text{ g m}^{-2}$ ) were not significantly different. Therefore, these two campaigns are the focus here, unlike the other campaigns that had larger differences (Table S7). The medians for  $N_d$  were higher for southerly days 663 (138.54/91.99 cm<sup>-3</sup> and 96.59/72.80 cm<sup>-3</sup> for southerly/northerly wind days during E-PEACE and BOAS, 664 665 respectively), and the southerly and northerly medians during E-PEACE were significantly different from one another. 666 Consistent with the Twomey effect (Twomey, 1974), the median  $r_e$  for southerly flow days was lower than northerly 667 flow days (9.94/11.97 µm and 11.77/13.29 µm), with the medians during E-PEACE being significantly different. 668 Cloud optical thickness was also higher for southerly days compared to northerly days for both campaigns (10.27/8.42 669 and 11.88/10.87 for E-PEACE and BOAS, respectively); however, the medians for each flow regime were not found 670 to be significantly different from one another. We note that even NiCE with LWP values being slightly higher for 671 southerly days (82.78 g m<sup>-2</sup> versus 74.54 g m<sup>-2</sup>), the same general results are observed with southerly days having 672 higher  $N_d$ /COT and reduced  $r_e$  (Table S7); the other three campaigns did not follow these  $N_d$ /COT/ $r_e$  trends due to the 673 larger LWP differences between flow regimes.

Although no differences were necessarily expected, we still examined cloud fraction and AOD, which were similar within a campaign for the two types of days (0.47/0.44 versus 0.58/0.57, and 0.10/0.09 versus 0.12/0.11, respectively, for southerly and northerly wind days during E-PEACE versus BOAS). Based on these results, N<sub>d</sub>, r<sub>e</sub>, and COT differences between flow regimes match our hypothesis, and two out of the three parameters during E-

678 PEACE were found to be significantly different between southerly and northerly days.

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680

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Figure 5: Box plots of MODIS data within the study region during the periods overlapping with E-PEACE and BOAS. The southerly data for E-PEACE and BOAS (eight points each) are represented by the red boxes, and the northerly data (44 and 17 points, respectively) are represented by the blue boxes. The notches (and shading, which helps to more clearly indicate where the notches end) of the boxes assist in the determination of significance between multiple medians. If the notches overlap, the medians are not significantly different from one another.

# 687 3.4 Case Studies

688 In addition to looking at whole campaigns, we also looked closely at two RFs with southerly wind direction: 689 NiCE RF 16 (29 July 2013) and CSM RF 6 (10 September 2020). NiCE RF 16 was a unique flight, which coincided 690 with a CTD event (Bond et al., 1996; Nuss, 2007) and its flight path extended past 125° W into a large stratocumulus 691 cloud clearing (Crosbie et al., 2016; Dadashazar et al., 2020), which was unusual for the Twin Otter flights. CSM RF 692 6 was on a heavily polluted day owing to biomass burning emissions during one of the worst wildfire periods in CA 693 history. These case studies help emphasize the complexity of flow patterns in the region that influence the ability of 694 aerosols from different sources to arrive at the boundary layer in the study region. The observed changes in aerosol 695 and cloud properties between northerly and southerly days are likely not due to an instant switch in flow direction but 696 rather there is critical nuance in the timing, strength, and duration of the wind reversal, along with likely influence 697 from free tropospheric aerosol which can be sourced from various continental areas across California and even farther 698 away (Dadashazar et al., 2019).

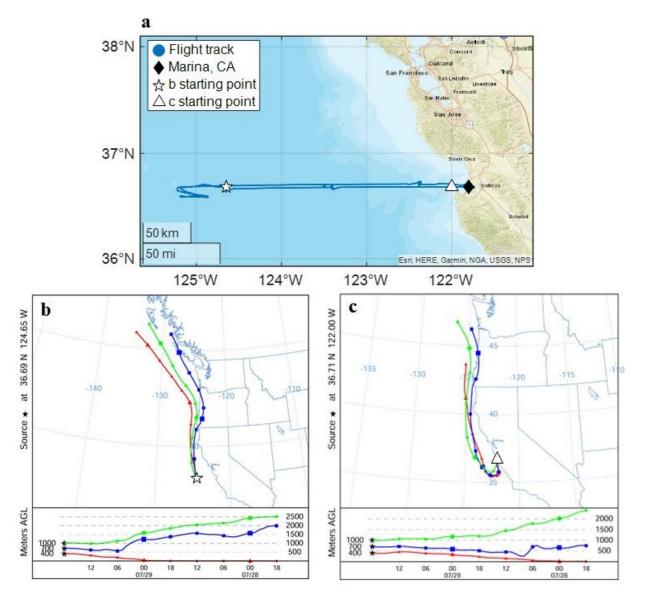
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### 700 3.4.1 NiCE Research Flight 16

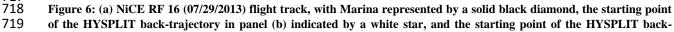
NiCE RF 16 (29 July 2013) occurred on a day with a large stratocumulus cloud deck clearing, which, at its
 widest point, was 150 km (Crosbie et al., 2016). As noted in Crosbie et al. (2016), this was a CTD event during the

703 time of the flight, and the boundary layer wind reversal (and resulting northwesterly flow) occurred under the 704 stratocumulus cloud deck within 100 km of the coast (~ 36.7° N, 123° W). The location of the wind reversal was 705 known, which allowed us to investigate if there was any apparent gradient in aerosol and cloud variables from the 706 coast to out over the ocean. The aircraft departed from Marina at approximately 1700 UTC, with a nearly straight, 707 westward path (Fig. 6a) toward the clear-cloudy boundary (reader is referred to Fig. 1a of Crosbie et al., 2016 for 708 boundary location). At the clear-cloudy interface (~ 36.7° N, 125° W, 1845 – 2000 UTC), stacked legs were performed 709 at multiple levels in both the MBL and FT on both sides of the boundary. Subsequently, the aircraft returned to Marina 710 following the initial outbound path. To visualize the location and general timing of the wind reversal (Fig. 6b-c), 48-711 hr back-trajectories from HYSPLIT were used. This contrasts with the 24-hr back trajectories used to confirm 712 southerly wind flow in Sect. 2.2. For the case studies, 48-hr periods were used to have a better understanding of air 713 mass history. This case of southerly wind is one where the sampled air mass was likely to have spent more time in the 714 coastal area just south of Marina as compared to traditional northerly flow, where there was presumed influence from 715 shipping emissions and possibly advected continental air.









trajectory in panel (c) indicated by a white triangle. (b) 48-hour back trajectory of a point (36.69° N, 124.65° W) along the
 flight path outside of the southerly wind zone (HYSPLIT end time: 1800 UTC). (c) 48-hour back trajectory of a point (36.71°

721 722

N, 122.00° W) along the flight path at the beginning of the RF (HYSPLIT end time: 1700 UTC) where there was southerly

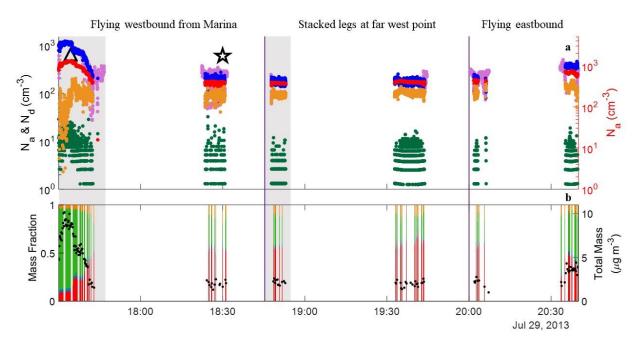
- flow. Panels (b) and (c) detail back-trajectories for three different altitudes: 400, 700, and 1000 m.
- 724

725 We investigated gradients from the coast to farther offshore including past the wind reversal for several 726 parameters, including N<sub>a</sub>, N<sub>d</sub>, and AMS total mass and mass fractions, both in the sub-cloud MBL (<525 m AGL, Fig. 727 7) and in the FT (>765 m AGL, Fig. S18), both altitudes of which were defined in Crosbie et al. (2016). There was a 728 general trend of decreasing number concentration, especially for N<sub>a0.1-1µm</sub>, N<sub>a>10nm</sub>, and N<sub>d</sub>, from the coast to slightly before the stacked legs at the far west point  $(1,245/189, 1,240/390, \text{ and } 772/263 \text{ cm}^{-3}, \text{ respectively, at } \sim 1732/1830$ 729 730 UTC). There was a wide range of supermicron concentrations for the whole flight duration, however, generally, there 731 was a slight decrease of  $N_{a>l\mu m}$  along the flight path going west as well, but it was not as pronounced as the other 732 variables  $(24/4 \text{ cm}^{-3})$ .

733 The eastbound leg to Marina was an interesting situation as there was no longer southerly flow closer to the 734 coast yet there was still a concentration increase for number and cloud drop concentrations but not up to the same 735 maximum levels that were observed on the westbound portion of the flight, probably owing to the reduced influence 736 from areas south of the sampling area ( $N_{a0,1-lum}$ : 248/435,  $N_{a>10nm}$ : 454/752,  $N_d$ : 272/434, and  $N_{a>lum}$ : 5/19 cm<sup>-3</sup>, for 737 eastbound/westbound legs at ~2000/2037 UTC). AMS mass concentrations dropped significantly in the outbound 738 portion of the flight, from total mass as high as 10.16 µg m<sup>-3</sup> (~1730 UTC) to 1.55 µg m<sup>-3</sup> (~1745 UTC), the latter of 739 which was approximately 10 km offshore. During that period, organic mass fraction decreased from 0.81 to 0.28 in favor of growing  $SO_4^{2-}$  mass fraction from 0.11 to 0.50. On the inbound track, similar to  $N_a/N_d$  results, there was not 740 741 as much of an enhancement in total mass (max of 4.41  $\mu$ g m<sup>-3</sup> at ~2040 UTC) and the chemical profile revealed more comparable levels of  $SO_4^{2-}$  and organic mass fractions (0.39 and 0.52, respectively, at ~2040 UTC) in contrast to the 742 743 outbound track that showed higher organic mass fraction right by the coast.

744 The results suggest that the enhanced residence time of air masses (due to the wind reversal) in an area with 745 presumed influence from shipping emissions (see Fig. 9 in Coggon et al., 2012) and continental pollution yielded an 746 offshore gradient in Na, Nd, and aerosol composition. Also, the results help show that this general coastal zone area in 747 the location of the wind reversal is enhanced with fine pollution, which generally will affect aerosol and cloud 748 characteristics if air masses spend prolonged time in it during southerly flow conditions. This all being said, it is hard 749 to unambiguously attribute the aerosol and cloud changes to emissions from a particular area and source due to the 750 complex flow nature in both the horizontal and vertical directions during the wind reversal period. This case study 751 helps motivate continued research studying these events.

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<sup>753</sup> 

754 Figure 7: Data from NiCE RF 16 in the MBL (<525 m). The grey shading indicates time periods with mostly southerly 755 winds, and the purple lines across all graphs indicate flight zones (outbound track, stacked legs at farthest west point, and 756 inbound track). (a) The colored points on the left-hand axis correspond to Na0.1-1µm (blue, PCASP<1µm), Na>1µm (green, 757 PCASP>1µm), and Nd (light purple, CASF). The colored points on the right-hand axis correspond to Na>10nm (red, CPC) and 758 Na10-100nm (yellow, CPC 3010 - PCASP<1 µm). The triangle corresponds to the HYSPLIT back-trajectory end point seen in 759 Fig. 6c, and the star corresponds to the HYSPLIT back-trajectory end point seen in Fig. 6b. (b) Stacked bar plot of AMS 760 mass fractions of SO<sub>4</sub><sup>2-</sup> (red), NO<sub>3</sub><sup>-</sup> (blue), organics (green), and NH<sub>4</sub><sup>+</sup> (orange), overlayed with total mass concentration (µg 761 m<sup>-3</sup>; black). 762

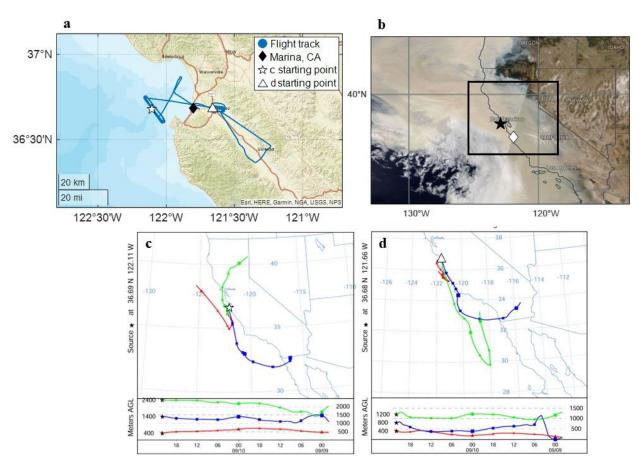
The trends in the FT are much more ambiguous than those in the MBL (Fig. S18). Similar to the MBL, there was a decrease in  $N_{a0.1-1\mu m}$  and  $N_{a>10nm}$  from the coast to near the stacked legs (2,467/395 and 2,820/689 cm<sup>-3</sup>, respectively, at ~1726/1844 UTC), however there was no discernable trend for  $N_{a>1\mu m}$ . There were no apparent offshore trends for AMS total mass or speciated mass fractions. Additionally, on the eastbound flight leg, there was not a clear trend for any of the parameters. This suggests that the effects of the southerly winds were stronger in the MBL than the FT.

#### 770 3.4.2 CSM Research Flight 6

CSM stands out among all of the examined campaigns owing to the strength and temporal persistence of wildfire plumes, which was also the main focus of the mission. Of the top 3% (n = 12) of the largest fires in CA in the historical record, four occurred in 2020 (circled in Fig. 3): the August Complex fire (16 August, Mendocino County), the SCU Lightning Fire Complex (18 August, Santa Clara County), the Creek fire (4 September, Madera County), and the LNU Lightning Complex fire (16 August, Hapa County) (Keeley and Syphard, 2021). These four fires were a mix of both merged (August Complex) and unmerged (LNU Lightning Complex) fires that burned over 417, 160, 153, and 146 kha, respectively, and burned for months after they were ignited.

778 CSM RF 6 (10 September 2020) included two major components (Fig. 8a): a spiral over Salinas (max altitude 779 of 6,172 m at ~2000 UTC) and a spiral over Monterey Bay (max altitude of 4,822 m at ~2170 UTC). The entire region 780 was heavily impacted by smoke during CSM RF 6 (Fig. 8b). Additionally, around 36.5° N, 125° W, there is an area 781 not dominated by smoke, but rather, clouds, pointing to the likelihood of smoke-cloud interactions in the region on 782 not just this day but other CSM days with similar smoky conditions. HYSPLIT back-trajectories for the two spirals 783 for a 48-hr period were generated (Fig. 8c and 8d). For the spiral over Monterey Bay (Fig. 8c), the lowest altitude 784 trajectory (trajectory beginning at 400 m) is mostly northwesterly, the second lowest altitude (trajectory beginning at 785 1400 m) is primarily southerly, and the highest altitude (trajectory beginning at 2400 m) is approximately 786 northeasterly. The highest altitude back-trajectory passes over the LNU Lightning Complex fire (red oval; circled in

787 Fig. 3). For the spiral over Salinas (Fig. 8d), all three altitude levels (400, 800, and 1200 m AGL) reveal southerly 788 trajectory paths, and the air masses from the second-highest altitude back-trajectory possibly had some influence from 789 the SCU Lightning Fire Complex (purple oval) and the August Complex Fire (green oval) due to offshore and 790 northerly flow in the preceding 36-hr (Fig. 3).





792 793 Figure 8: (a) CSM RF 6 (09/10/2020) flight track, with Marina, CA represented by a solid black diamond, the starting point 794 of the HYSPLIT back-trajectory in panel (c) indicated by a white star, and the starting point of the HYSPLIT back-795 trajectory in panel (d) indicated by a white triangle. (b) NASA Worldview image, with Marina, CA represented by a white 796 diamond, and Pt. Reyes denoted by a black star. (c) 48-hour back trajectory of a point (36.69° N, 122.11° W) along the flight path during the sounding over Monterey Bay (HYSPLIT end time: 2100 UTC) at three different altitudes: 400, 1400, and 797 798 2400 m. (b) 48-hour back trajectory of a point (36.68° N, 121.66° W) along the flight path during the sounding over Salinas 799 (HYSPLIT end time: 1900 UTC) at three different altitudes: 400, 800, and 1200 m. (c) and (d) utilized different altitudes 800 for the back-trajectories to reflect the different maximum altitudes of the two major soundings of the flight.

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802 The vertical profiles of temperature, wind speed, and wind direction are provided in Fig. S19 for context. 803 Notably, the vertical region with southerly flow was thicker over the ocean (approximately 370 - 3700 m) versus over 804 land (540 - 2900 m). N<sub>a</sub> for different size ranges and N<sub>a3</sub>:N<sub>a10</sub> are shown separately for land and over the ocean (Fig. 805 9). There was more variability in N<sub>a>10nm</sub> (Fig. 9a) over the ocean, with a general decrease in concentration with 806 increase in altitude for both data over land and ocean, followed by increasing  $N_{a>10nm}$  above the region of primarily 807 southerly flow (non-shaded points). As illustrated by the composite boundary layer flow pattern in Fig. S15e-f, smoke 808 along the coast during southerly flow periods was re-circulated northwest of Marina, CA nearby the flight path (which 809 was not observed for the northerly composite flow pattern), which could have also influenced the elevated aerosol 810 concentrations during this flight. There was not much change in  $N_{a>lum}$  (medians = 1 - 3 cm<sup>-3</sup>; range = 0 - 6 cm<sup>-3</sup>; Fig. 811 9c) until >2.5 km, where concentration increases over land (medians = 5 - 97 cm<sup>-3</sup>; range = 0 - 297 cm<sup>-3</sup>) where there 812 is primarily northerly flow, likely from sampling smoke plumes. Over the ocean, low supermicron particle concentrations are observed ( $\leq 7 \text{ cm}^{-3}$ ). These results show that during extensive smoky periods, the flow regime does not matter in cases like RF6 due to smoke generally being all across the region. Furthermore, the results show that supermicron particle concentrations are certainly enhanced in smoke plumes, as has been observed before in the study region (Mardi et al., 2018) but not to this pronounced extent, especially at high altitudes over land.

817 The  $N_{a3}:N_{a10}$  ratio (Fig. 9d) was generally consistent over land across all vertical levels, with a good number 818 of outliers in the region of primarily southerly flow. The medians of the ratios over the ocean were usually lower than 819 the medians over land until 3.5 km. There was no discernable difference in the  $N_{a3}:N_{a10}$  ratio over land between 820 southerly and northerly flow (medians approximately 1.35 until >5.5 km) or over the ocean (medians for both flow 821 regimes approximately 1.20, with a slight bump to 1.26 and 2.14 between 3.5 and 4.5 km). The reader is referred to 822 Sect. S1 (Supplement) for discussion about NAAPS and COAMPS results for this case study as they relate to flow 823 behavior and aerosol characteristics.

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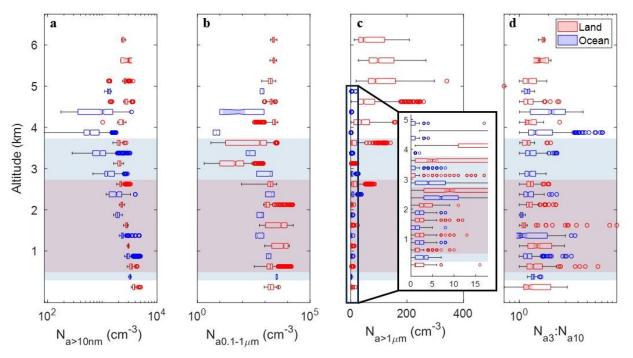


Figure 9: CSM RF 6 box plot vertical profiles of (a)  $N_{a>10nm}$  (cm<sup>-3</sup>), (b)  $N_{a0.1-1\mu m}$  (cm<sup>-3</sup>; PCASP<sub><1µm</sub>), (c)  $N_{a>1µm}$  (cm<sup>-3</sup>; PCASP<sub>>1µm</sub>), and (d)  $N_{a3}$ : $N_{a10}$ . Data are shown every 500 m over land (red) and ocean (blue) above the MBL, which is the maximum altitude of the first bins for all the panels. Panel (c) has an additional focus on altitudes  $\leq 5$  km ( $N_{a>1µm} \leq 18$  cm<sup>-3</sup>). The red and blue shading indicates altitudes over the land and ocean, respectively, with southerly winds.

#### 831 4 Conclusions

832 In this study, we utilized multiple types of data, including a large repository of NPS Twin Otter data, to 833 compare coastal aerosol and cloud characteristics near central CA for northerly and southerly wind regimes in the 834 lower troposphere. Juliano et al. (2019a) had previously called for future studies to utilize in situ observations to 835 support their investigation into cloud properties using satellite observations. Our study is among the first to investigate 836 aerosol and cloud droplet number concentrations through in situ aircraft data in addition to CW composition, and 837 intercompare those results with satellite data, as well as models and surface station data. This builds upon previous 838 studies, such as Juliano et al. (2019b), by utilizing similar data sources in greater proportions a broader range 839 of sources.

840 OurWe find strong support for our first hypothesis is proven correct inhypotheses that more fine aerosol 841 pollution is present off the CA coast during southerly flow due to likely influence from shipping exhaust and 842 continental emissions. We caution that there is considerable complexity in flow patterns both horizontally and 843 vertically when northerly winds change to southerly winds and this warrants more research to study for instance how

844 influential free tropospheric air is for the boundary layer aerosol changes occurring on southerly flow days. Submicron 845 aerosol pollution is found to be higher during southerly flow days (particularly during E-PEACE), with respect to both 846 Na (Na>10nm, Na10-100nm, Na0.1-1µm) and concentrations of shipping and continental tracer species in surface data (SO42-, 847 NO<sub>3</sub><sup>-</sup>, OC, V, Ni, and EC) and CW samples (nss-SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, V and oxalate). Cloud water is shown to be more 848 acidic during southerly flow along with more CI<sup>-</sup> depletion based on lower CI<sup>-</sup>:Na<sup>+</sup> ratios. A secondary hypothesis was 849 that increased influence from shipping and/or continental emissions would lead to enhanced N<sub>d</sub> and COT and lower 850 re (at fixed LWP) due to the Twomey effect (Twomey, 1974). Both the airborne in situ data and satellite retrievals 851 show increased N<sub>d</sub> on southerly days. The satellite retrieval data also reveal higher COT and lower r<sub>e</sub> during southerly 852 flow The increase in  $N_d$  and decrease in  $r_e$  associated with the northerly to southerly reversal matches results of a 853 previous study in the region (Juliano et al., 2019a). The analysis of CSM RF 6 reveals that during heavy biomass 854 burning periods with prevailing smoke, there is relatively no difference in aerosol or cloud properties associated with 855 changes in flow regime. Based on the NAAPS evaluation, while coarse-gridded models can capture differences in 856 wind direction and aerosol concentration between southerly and northerly flow days, they are not fully able to 857 reproduce southerly flow. During cases when there was known southerly wind, NAAPS was only sometimes able to 858 represent it, which is a topic encouraged for pursuit in future work.

859 A limitation in this type of study to address in the future is the difficulty of obtaining detailed in situ data 860 during southerly wind conditions. As noted already, wind reversals along coasts extend to a number of other global regions (e.g., South America, southern Africa, Australia) and thus it is recommended to continue increasing the sample 861 862 data volume to better understand changes in aerosol and cloud properties as a function of wind direction along coastal 863 regions. Intercomparisons with models, as partly done here, can aid with determining if model resolution should 864 improve to better simulate these events. Generally speaking, the prevalence of fine aerosol on southerly flow days and 865 associated changes in cloud microphysical properties are important findings with implications for weather, health, 866 coastal ecology, and aviation.

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# 868 Data availability

869 Airborne data used in this work can be accessed at https://doi.org/10.6084/m9.figshare.5099983.v11 (Sorooshian et 870 al., 2017). Buoy data from the NOAA's NDBC can be accessed at https://www.ndbc.noaa.gov/. The archived data 871 from GOES-West Full Disk Cloud Product (GOES-15) can be accessed at https://satcorps.larc.nasa.gov/. The archived 872 WPC surface weather plots from NOAA's can be accessed at 873 https://www.wpc.ncep.noaa.gov/archives/web\_pages/sfc/sfc\_archive.php. The surface data from IMPROVE can be 874 accessed at http://views.cira.colostate.edu/fed/. The MODIS-Aqua data can be accessed through NASA Giovanni at https://giovanni.gsfc.nasa.gov/giovanni/. The FIRMS data can be accessed at https://earthdata.nasa.gov/firms. 875

#### 876 Author contributions

AW and PX aided with access and interpretation of COAMPS and NAAPS data, respectively. KZ and GB conducted
the data analysis. KZ and AS conducted data interpretation. KZ and AS prepared the manuscript. All authors edited

the manuscript.

#### 880 Competing interests

881 At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics.

#### 882 Disclaimer

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