



1 **Global assessment of climatic responses to the ozone-vegetation**

2 **interactions**

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4 Xinyi Zhou<sup>1</sup>, Xu Yue<sup>1</sup>, Chenguang Tian<sup>1</sup>, Xiaofei Lu<sup>1</sup>

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6 <sup>1</sup> Jiangsu Key Laboratory of Atmospheric Environment Monitoring and Pollution

7 Control, Collaborative Innovation Center of Atmospheric Environment and Equipment

8 Technology, School of Environmental Science and Engineering, Nanjing University of

9 Information Science & Technology, Nanjing, 210044, China

10

11 *Corresponding author:* Xu Yue (Email: [yuxu@nuist.edu.cn](mailto:yuxu@nuist.edu.cn))



12 **Abstract.** The coupling between surface ozone ( $O_3$ ) and vegetation significantly  
13 influences regional to global climate.  $O_3$  uptake by plant stomata inhibits  
14 photosynthetic rate and stomatal conductance, impacting evapotranspiration through  
15 land surface ecosystems. Using the climate-vegetation-chemistry coupled ModelE2-  
16 YIBs model, we assess the global climatic responses to  $O_3$ -vegetation interactions  
17 during boreal summer of 2010s (2005-2014). High  $O_3$  pollution reduces stomatal  
18 conductance, resulting in the warmer and drier conditions worldwide. The most  
19 significant responses are found in the eastern U.S. and eastern China, where local latent  
20 heat flux decreases by -8.17% and -9.48%, respectively. Consequently, surface air  
21 temperature rises by +0.33 °C and +0.56 °C, and sensible heat flux rises by +16.54%  
22 and +25.46% in the two hotspot regions. The  $O_3$ -vegetation interaction also affects  
23 atmospheric pollutants. Surface  $O_3$  concentrations increase by +1.26 ppbv in eastern  
24 China and +0.98 ppbv in eastern U.S. due to the  $O_3$ -induced inhibition of stomatal  
25 uptake. With reduced atmospheric stability following the warmer climate, increased  
26 cloudiness but decreased relative humidity jointly reduce aerosol optical depth (AOD)  
27 over eastern China. This study suggests that vegetation feedback should be considered  
28 for a more accurate assessment of climatic perturbations caused by tropospheric  $O_3$ .



## 29 **1 Introduction**

30 Tropospheric ozone ( $O_3$ ), one of the most detrimental air pollutants (Myhre et al.,  
31 2013), not only poses threats to human health (Norval et al., 2011; Nuvolone et al., 2018)  
32 but also induces phytotoxic effects to vegetation (Mills et al., 2007; Pleijel et al., 2007).  
33 When exposed to certain levels of  $O_3$ , plant photosynthesis and stomatal conductance  
34 is inhibited due to the  $O_3$  oxidation of cellular, enzyme, and chlorophyll (Dizengremel,  
35 2001; Fiscus et al., 2005; Jolivet et al., 2016). Consequently, the carbon assimilation of  
36 terrestrial ecosystems is limited (Yue and Unger, 2014; Oliver et al., 2018) and the land-  
37 air exchange rates of water and heat fluxes are altered (Lombardozi et al., 2015).

38 Experimental studies have shown that the excessive  $O_3$  exposure reduced both  
39 plant photosynthesis and stomatal conductance (Ainsworth et al., 2012; Lombardozi  
40 et al., 2013). The reduction rates are dependent on the  $O_3$  stomatal fluxes as well as the  
41 damaging sensitivities that vary among different vegetation types (Nussbaum and  
42 Fuhrer, 2000; Karlsson et al., 2004; Pleijel et al., 2004). Traditional exposure-based  
43 indexes like accumulated hourly  $O_3$  concentrations over a threshold of 40 ppb (AOT40)  
44 are widely used to assess  $O_3$ -induced vegetation damage (Fuhrer et al., 1997). However,  
45 such statistical schemes fail to account for the dynamic adjustment of vegetation  
46 physiological processes. Taking into account the variability of plant sensitivities,  
47 different  $O_3$  damage schemes were proposed to quantify the  $O_3$  impacts on land carbon  
48 assimilation from regional to global scales (Anav et al., 2011; Lam et al., 2023; Lei et  
49 al., 2020). For example, Sitch et al. (2007) calculated the simultaneous damages to both  
50 photosynthesis and stomatal conductance based on the instantaneous  $O_3$  stomatal  
51 uptake. In contrast, Lombardozi et al. (2012) estimated the inconsistent reductions in  
52 plant photosynthesis and stomatal conductance using different response relationships  
53 to the cumulative  $O_3$  stomatal uptake. Applications of different schemes resulted in a  
54 wide range of reductions in gross primary productivity (GPP) by 2-12% globally with  
55 regional hotspots up to 20-30% (Lombardozi et al., 2015; Unger et al., 2020; Zhou et  
56 al., 2024).

57 The  $O_3$ -induced inhibition in stomatal conductance decreases dry deposition and  
58 consequently enhances surface  $O_3$  concentrations (Clifton et al., 2020; Wesely and



59 Hicks, 2000; Zhang et al., 2006). Using the Sitch et al. (2007) scheme with high O<sub>3</sub>  
60 damaging sensitivities in the climate model ModelE2-YIBs, Gong et al. (2020) revealed  
61 that O<sub>3</sub>-vegetation interactions increased regional O<sub>3</sub> concentrations by 1.8 ppbv in the  
62 eastern U.S., 1.3 ppbv in Europe, and 2.1 ppbv in eastern China. As a comparison, Sadiq  
63 et al. (2017) found a consistent but stronger positive feedback on O<sub>3</sub> concentrations in  
64 these polluted regions using the scheme of Lombardozzi et al (2012) embedded in a  
65 different climate model. Inclusion of O<sub>3</sub>-vegetation interactions will cause stronger  
66 damages to land carbon assimilation due to the feedbacks of both ecosystem and surface  
67 O<sub>3</sub>. On one hand, the damages to leaf photosynthesis inhibit plant growth and decrease  
68 leaf area index (LAI), leading to higher reduction percentage in GPP compared to  
69 simulations without LAI changes (Yue et al., 2020). On the other hand, the O<sub>3</sub>  
70 enhancement due to vegetation feedback may cause additional vegetation damage and  
71 result in further GPP losses (Lei et al., 2021). As a result, the O<sub>3</sub>-vegetation interactions  
72 should be considered in the global estimate of O<sub>3</sub> damages to ecosystem functions.

73 In addition to affecting surface O<sub>3</sub>, the O<sub>3</sub>-vegetation interaction can also alter the  
74 water and energy exchange between land and atmosphere. For example, Lombardozzi  
75 et al. (2015) used the Community Land Model (CLM) and estimated that the cumulative  
76 uptake of O<sub>3</sub> by the leaves resulted in reduction of 2.2% in transpiration but increase of  
77 5.4% in runoff globally. Arnold et al. (2018) used the Community Earth System Model  
78 (CESM) and found that plant exposure to O<sub>3</sub> could decrease the land-air moisture fluxes  
79 and atmospheric humidity, which further reduced shortwave cloud forcing in polluted  
80 regions and induced widespread surface warming up to +1.5 K. Two recent studies  
81 utilized the WRF-chem model and revealed considerable warming and the associated  
82 meteorological perturbations due to the O<sub>3</sub>-vegetation interactions in China (Zhu et al.,  
83 2022; Jin et al., 2023). However, all these modeling studies applied the same O<sub>3</sub>  
84 vegetation damage scheme proposed by Lombardozzi et al. (2012). It's necessary to  
85 assess the climatic responses to O<sub>3</sub>-vegetation interactions using different schemes so  
86 as to explore the robust responses and the associated uncertainties.

87 In this study, we quantified the global impacts of O<sub>3</sub>-vegetation interaction on  
88 climatic conditions and surface air pollutants during 2010s using the Earth system



89 model NASA GISS ModelE2 coupled with Yale Interactive terrestrial Biosphere (YIBs)  
90 model (Yue and Unger, 2015). This fully coupled framework was implemented with the  
91 semi-mechanistic O<sub>3</sub> damage scheme proposed by Sitch et al. (2007), which calculated  
92 aggregate O<sub>3</sub> damage to photosynthesis based on varied sensitivities to instant stomatal  
93 O<sub>3</sub> uptake for eight plant functional types (PFTs). We performed sensitivity experiments  
94 to quantify the responses of surface air temperature and precipitation to O<sub>3</sub>-vegetation  
95 interaction. The feedbacks to aerosols and O<sub>3</sub> concentrations were also examined.

96

## 97 **2 Method**

### 98 **2.1 Model descriptions**

99 The ModelE2-YIBs is a fully coupled climate-carbon-chemistry model combining  
100 the NASA GISS ModelE2 with the YIBs vegetation model. ModelE2 is a general  
101 circulation model with the horizontal resolution of 2°×2.5° in latitude and longitude  
102 and 40 vertical layers up to 0.1 hPa. It dynamically simulates gas-phase chemistry (NO<sub>x</sub>  
103 - HO<sub>x</sub> - O<sub>x</sub> - CO - CH<sub>4</sub> - NMVOCs), aerosols (sulfate, nitrate, black and organic carbon,  
104 dust, and sea salt), and their interactions (Menon and Rotstayn, 2006). The radiation  
105 module includes direct and indirect aerosol radiative effects and accounts for absorption  
106 of multiple greenhouse gases (GHGs). For cloud optical parameters, it uses Mie  
107 scattering, ray tracing, and matrix theory (Schmidt et al., 2006). The model outperforms  
108 20 other IPCC-class climate models in simulating surface solar radiation (Wild et al.,  
109 2013) and has been extensively validated for meteorological and hydrological variables  
110 against observations and reanalysis data (Schmidt et al., 2014).

111 The YIBs model employs the well-established Farquhar model for leaf  
112 photosynthesis and Ball-Berry model for stomatal conductance (Farquhar et al., 1980;  
113 Ball et al., 1987) as follows:

$$A_{tot} = \min(J_c, J_e, J_s) \quad (1)$$

$$g_s = m \frac{(A_{tot} - R_d) \times RH}{c_s} + b \quad (2)$$

114 Here, the total leaf photosynthesis, denoted as  $A_{tot}$ , is calculated as the minimum value  
115 among the ribulose-1,5-bisphosphate carboxylase-limited rate of carboxylation ( $J_c$ ),



116 light-limited rate ( $J_e$ ), and export-limited rate ( $J_s$ ). The stomatal conductance ( $g_s$ ) is  
117 linked to the variations of  $A_{tot}$  with parameters such as dark respiration rate ( $R_d$ ),  
118 relative humidity ( $RH$ ), and  $CO_2$  concentration at the leaf surface ( $c_s$ ). The model  
119 simulates the biophysical processes of eight PFTs including tundra,  $C_3/C_4$  grass,  
120 shrubland, deciduous broadleaf forest, evergreen broadleaf forest, evergreen needleleaf  
121 forest, and cropland. Different values are assigned to parameters  $m$  and  $b$  for each PFT  
122 (Table S1). The carbon uptake by the leaf is then accumulated and allocated to different  
123 organs to support the plant development with dynamical changes in LAI and tree  
124 growth.

125

## 126 2.2 The $O_3$ -vegetation damage scheme

127 The YIBs model employs a semi-mechanistic parameterization proposed by Sitch  
128 et al. (2007) to estimate the impact of  $O_3$  on photosynthesis through stomatal uptake.

129 The scheme applies an undamaged factor ( $F$ ) to both  $A_{tot}$  and  $g_s$  as follows:

$$A_{totd} = A_{tot} \cdot F \quad (3)$$

$$g_{sd} = g_s \cdot F \quad (4)$$

130 where  $A_{totd}$  and  $g_{sd}$  are the unaffected photosynthesis and stomatal conductance  
131 separately. The factor  $F$  is defined as:

$$F = 1 - a_h \cdot \max [F_{O_3} - F_{O_3,crit}, 0.0] \quad (5)$$

132  $a_h$  is the high  $O_3$  sensitivity coefficient and  $F_{O_3,crit}$  is the specific threshold for  $O_3$   
133 damages, both of which varies with vegetation types (Table S1).

$$F_{O_3} = \frac{[O_3]}{R_a + \left[ \frac{k_{O_3}}{g_{sd}} \right]} \quad (6)$$

134 where  $[O_3]$  represents surface  $O_3$  concentrations,  $R_a$  stands for the aerodynamic and  
135 boundary layer resistance. The constant  $k_{O_3}=1.67$  is the ratio of stomatal resistance for  
136  $O_3$  to that for water.

137

## 138 2.3 Experiments

139 To explore the coupled  $O_3$ -vegetation effect, we performed two sets of simulations  
140 using the ModelE2-YIBs model. The control experiment “10NO3” was conducted



141 without the O<sub>3</sub> damages to vegetation. As a comparison, the sensitivity experiment  
142 “10HO3” contained online O<sub>3</sub>-vegetation interaction with high O<sub>3</sub> sensitivity. For both  
143 experiments, the 2010s anthropogenic emissions for 8 species (BC, OC, CO, NH<sub>3</sub>, NO<sub>x</sub>,  
144 SO<sub>2</sub>, Alkenes, and Paraffin) from 8 economic sources (agriculture, energy, industry,  
145 transportation, resident, solvent, waste, and international shipping) and biomass  
146 burning source were collected from the Coupled Model Intercomparison Project phase  
147 6 (CMIP6) (van Marle et al., 2017; Hoesly et al., 2018). The ensemble mean of monthly  
148 sea surface temperature (SST) and sea ice fraction (SIC) simulated by 21 CMIP6  
149 models during the time period 2005-2014 was employed as the boundary conditions.  
150 The cover fraction of 8 PFTs (Fig. S1) were adopted from the land use harmonization  
151 (LUH2) dataset (Hurt et al., 2020). For each simulation, the model was run for 30 years  
152 with the first 10 years used as the spin up. We calculated the average of the last 20 years  
153 and focused on the boreal summer season (June-July-August, JJA) when surface O<sub>3</sub>  
154 reaches the maximum in one year. We explored the climatic responses to O<sub>3</sub>-vegetation  
155 interactions as the differences between “10HO3” and “10NO3” on the global scale with  
156 the special focus over the hotspot regions such as eastern U.S. (30–40° N, 80–90 ° W)  
157 and eastern China (22.5–38° N, 106–122° E).

158

#### 159 **2.4 Data for evaluations**

160 We evaluated the simulated air pollutants, carbon fluxes, and meteorological  
161 variables using observational and reanalysis datasets. The worldwide observations of  
162 O<sub>3</sub> concentrations were collected from three regional networks: Air Quality Monitoring  
163 Network operated by Ministry of Ecology and Environment (AQMN-MEE) in China,  
164 the Clean Air Status and Trends Network (CASTNET) in the U.S., and the European  
165 Monitoring and Evaluation Programme (EMEP) in Europe. For the latter two networks,  
166 we chose the average over 2009-2011, while for AQMN-MEE, the mean value of 2014-  
167 2018 was used due to its establishment in 2013. The simulated aerosol optical depth  
168 (AOD) was validated using satellite-based data from the Moderate Resolution Imaging  
169 Spectroradiometer (MODIS) retrievals (Remer et al., 2005) for the years 2009-2011.  
170 The simulated GPP was evaluated against the data product upscaled from the



171 FLUXNET eddy covariance measurements for 2009-2011 (Jung et al., 2009). The daily  
172 temperature at 2m ( $T_{2m}$ ) in 2009-2011 was obtained from the National Centers for  
173 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR)  
174 reanalysis 1 (NCEP1) (Kalnay et al., 1996). For precipitation, we used the monthly data  
175 from Global Precipitation Climatology Project (GPCP) (Huffman et al., 1997; Adler et  
176 al., 2018). All these datasets were interpolated to the same resolution as ModelE2-YIBs  
177 model. Normalized mean biases (NMBs) were applied to quantify the deviations of  
178 simulations from observations as follows:

$$NMB = \frac{\sum_i^n (S_i - O_i)}{\sum_i^n O_i} \times 100\% \quad (7)$$

179 Here,  $S_i$  and  $O_i$  represent the simulated and observed values, respectively.  $n$  denotes the  
180 total grid number used in the comparisons.

181

### 182 **3. Results**

#### 183 **3.1 Model evaluations**

184 We first evaluated the air pollutants simulated by the ModelE2-YIBs model (Fig.  
185 1). Over a total of 491 grids with site-level  $O_3$  measurements (Fig. 1b), the model  
186 adequately replicated both the magnitude and spatial distribution of the maximum daily  
187 8-hour average (MDA8)  $O_3$  concentrations ( $[O_3]$ ), with correlation coefficient ( $r$ ) of  
188 0.58 and NMB of -1.27% (Fig. 1c). Simulated summertime surface  $[O_3]$  was high in  
189 regions with large anthropogenic emissions, such as western Europe and eastern China  
190 (Ohara et al., 2007), as well as in central Africa with frequent fire emissions (van der  
191 Werf et al., 2017). On the global scale, the model yielded an average  $[O_3]$  of 44.36 ppbv  
192 and observations showed an average of 44.57 ppbv over the same grids. However, the  
193 modeled result is overestimated over the North China Plain and slightly underestimated  
194 over the U.S. Simulated AOD at 550 nm (Fig. 1d) showed similar spatial pattern as the  
195 satellite retrievals (Fig. 1e) with a high  $R=0.77$  and low NMB of -6.27% globally (Fig.  
196 1f). Both the simulations and observations showed AOD hotspots over North Africa  
197 and the Middle East where dust emissions dominate, and in northern India and eastern  
198 China where anthropogenic emissions are large.





199 We then evaluated the simulated GPP and LAI for the boreal summer period (Fig.  
200 2). Observations showed GPP hotspots over boreal forests such as eastern U.S., Eurasia,  
201 and East Asia and the tropical forests such as Amazon, central Africa, and Indonesia  
202 (Fig. 2b). The seasonal total GPP was estimated to be 41.63Pg[C], which accounted for  
203 35% of the annual amount. Simulations well captured the observed GPP pattern on the  
204 global scale, with  $r = 0.63$  and  $NMB = -12.44\%$  over 2581 grids (Fig. 2c), with  
205 underestimation in the tundra area and slight overestimation in the tropical rain forest  
206 and evergreen forest regions. The model simulated a seasonal total GPP of 36.45 Pg[C],  
207 equivalent to 34% of the annual amount. Simulated LAI showed similar patterns as  
208 GPP (Fig. 2d) and resembled observed LAI (Fig. 2e) with a high spatial correlation  $r =$   
209  $0.79$  and a low  $NMB = -5.19\%$  over 4435 grids globally (Fig. 2f).

210 We further validated the simulated meteorology (Fig. S2). For temperature, the  
211 model (Fig. S2a) reproduced observed (Fig. S2b) pattern with low  $NMB$  of 8.49% and  
212 high  $r$  of 0.99 against observations (Fig. S2c). For precipitation, both simulations (Fig.  
213 S2d) and observations (Fig. S2e) showed high values in the tropical oceans with  $NMB$   
214  $= 16.91\%$  and  $r = 0.74$  between them (Fig. S2f). Overall, the model showed good  
215 performance in the simulations of air pollutants, biospheric parameters, and  
216 meteorological fields, and provided a useful tool for studying the  $O_3$ -vegetation  
217 interactions.

218

### 219 **3.2 $O_3$ damage to terrestrial ecosystems**

220 We assessed the damaging effects of surface  $O_3$  to ecosystems (Fig. 3). The  
221 impacts of  $O_3$  on biospheric variables were mainly located in regions characterized by  
222 abundant vegetation cover and elevated  $O_3$  concentrations. On the global scale,  $O_3$   
223 induced the GPP reduction of  $-0.87 \text{ PgC yr}^{-1}$  ( $-3.09\%$ , Fig. 3a). This deleterious effect  
224 was more pronounced in specific regions, notably eastern China and eastern U.S., with  
225 significant GPP declines of  $-18.43\%$  and  $-16.12\%$ , respectively, under high  $O_3$   
226 sensitivity conditions (Fig. 3a and Table S2). Meanwhile, stomatal conductance  
227 significantly decreased in the middle latitudes of Northern Hemisphere (Fig. 3b). The  
228 most substantial relative change of  $-30.62\%$  was observed in eastern China, followed



229 by -25.65% in the eastern U.S. (Fig. 3b and Table S2). These values were stronger than  
230 that for GPP (Fig. 3a), likely due to the climatic feedback to O<sub>3</sub>-vegetation interactions.  
231 The opening of plant stoma plays a crucial role in regulating the energy and water  
232 exchange between land surface and the atmosphere. The inhibition of stomatal  
233 conductance by surface O<sub>3</sub> leads to the warmer (Fig. 4a) and drier (Fig. 4b) climate in  
234 those hotspot regions, resulting in even stronger inhibition effects on stomatal  
235 conductance. Following the changes in GPP, global LAI on average decreased by 0.01  
236 m<sup>2</sup> m<sup>-2</sup> (-0.62%) with regional maximums of -4.53% in eastern China and -5.87% in  
237 eastern U.S. (Table S2).

238

### 239 **3.3 Global climatic responses to O<sub>3</sub>-vegetation interactions**

240 In response to the O<sub>3</sub>-induced inhibition of stomatal conductance, surface air  
241 temperature increased by 0.05°C (Fig. 4a) while precipitation decreased by -0.01 mm  
242 day<sup>-1</sup> (Fig. 4b) on the global scale. The most significant change was the warming of  
243 0.56°C and precipitation reduction of -0.79 mm day<sup>-1</sup> (-16.18%) in eastern China (Table  
244 S3), following the largest inhibition to stomatal conductance (Fig. 3b). Such warming  
245 and rainfall deficit also appeared in eastern U.S. and western Europe, where the O<sub>3</sub>-  
246 vegetation interactions were notable. The O<sub>3</sub>-induced inhibition to stomatal  
247 conductance decreased latent heat flux (Fig. 4e) and the consequent precipitation (Fig.  
248 4b) in those hotspot regions. Meanwhile, the reduction of latent heat flux promotes  
249 surface temperature (Fig. 4a), resulting in the increase of sensible heat flux (Fig. 4f).  
250 Such warming was also reported in field experiments, where relatively high O<sub>3</sub>  
251 exposure resulted in noticeable increases of canopy temperature along with reductions  
252 of transpiration (Bernacchi et al., 2011; VanLoocke et al., 2012). Globally, temperature  
253 and precipitation showed patchy responses with both positive and negative anomalies,  
254 suggesting that the regional hotspots of O<sub>3</sub>-induced meteorological changes propagate  
255 to surrounding areas through atmospheric perturbations.

256 We further examined the changes in air humidity and cloudiness. Surface relative  
257 humidity decreased by 0.18% globally with a similar pattern as that of precipitation



258 (Fig. 4c). The most significant reductions were over eastern China and eastern U.S.,  
259 where both the warming (Fig. 4a) and rainfall deficit (Fig. 4b) contributed to the  
260 drought. However, in the adjacent regions such as northern China and central U.S., both  
261 rainfall and surface relative humidity showed certain enhancement. These changes were  
262 associated with the regional increase of cloud cover (Fig. 4d). The sensible heat flux  
263 increased by  $6.3 \text{ W m}^{-2}$  (16.54%) and  $7.12 \text{ W m}^{-2}$  (25.46%) in eastern U.S. and eastern  
264 China, respectively, suggesting a transfer of thermal energy from land to the atmosphere  
265 by  $\text{O}_3$ -vegetation interactions (Fig. 4f and Table S3). The warming effect further  
266 triggered anomalous updrafts in the lower troposphere, represented by the changes in  
267 vertical velocity (Fig. 5), leading to enhanced convection, reduced atmospheric stability,  
268 and consequently an increase in low-level cloudiness (Fig. 4d). However, despite the  
269 usual cooling effect associated with increased cloud cover due to reductions in radiation,  
270 in regions predominantly influenced by  $\text{O}_3$ -vegetation interactions, this cooling effect  
271 was outweighed by the  $\text{O}_3$ -induced warming through inhibition of stomatal  
272 conductance. Therefore, temperatures exhibited an overall increase of  $0.56 \text{ }^\circ\text{C}$  in  
273 eastern China and  $0.33 \text{ }^\circ\text{C}$  in the eastern U.S. (Table S3).

274

### 275 **3.4 Changes of air pollution by $\text{O}_3$ -vegetation interactions**

276 Changes in surface water and heat fluxes induced by  $\text{O}_3$ -vegetation interactions  
277 could feed back to affect air pollutants such as  $\text{O}_3$  and aerosols. As Fig. 6a and Table  
278 S4 show, surface  $\text{O}_3$  concentrations enhanced 1.26 ppbv in eastern China and 0.98 ppbv  
279 in eastern U.S. due to the decreased dry deposition following  $\text{O}_3$  inhibition on stomatal  
280 conductance. It indicates that the high contemporary  $\text{O}_3$  pollution may worsen air  
281 quality through  $\text{O}_3$ -vegetation interactions. However, negative  $\text{O}_3$  changes were  
282 predicted in central U.S. and western China, where the increased rainfall dampened  $\text{O}_3$   
283 through chemical reactions and wet deposition. On a global scale, surface  $\text{O}_3$  showed a  
284 limited increase of 0.02 ppbv due to the offset between positive and negative feedbacks.  
285 The enhancement of  $\text{O}_3$  concentrations in polluted regions may exacerbate the warming  
286 effect of  $\text{O}_3$  and cause additional damages to vegetation.

287 Aerosols also exhibited evident changes by the  $\text{O}_3$ -vegetation interactions. The



288 AOD showed significant reductions over the hotspot regions such as eastern China and  
289 eastern U.S. (Fig. 6b). In the ModelE2-YIBs model, sulfate was especially sensitive to  
290 cloud which could enhance the aerosol scavenging through cloud water precipitation  
291 (Koch et al., 2006). The large enhancement of cloudiness removed sulfate more  
292 efficiently than other aerosol species, leading to an average decline of  $-1.94 \mu\text{g m}^{-3}$  (-  
293 8.52%) in  $\text{PM}_{2.5}$  loading over eastern China (Fig. S3 and Table S4). Meanwhile, the  
294 reduction of surface relative humidity (Fig. 4c) in the regions with strong  $\text{O}_3$ -vegetation  
295 interactions limited the hygroscopic growth of aerosols, leading to a more noticeable  
296 decrease in AOD (Petters and Kreidenweis, 2007; Revised algorithm for estimating  
297 light extinction from IMPROVE particle speciation data, 2023) by  $-0.06$  (-14.67%) in  
298 eastern China (Table S4). The similar aerosol changes were found in eastern U.S. but  
299 with smaller reductions of  $\text{PM}_{2.5}$  by  $-0.27 \mu\text{g m}^{-3}$  (-6.01%) and AOD by  $-0.01$  (-8.25%)  
300 (Table S4). Beyond the key  $\text{O}_3$ -vegetation coupling regions, positive but insignificant  
301 changes in AOD were predicted, leading to the moderate AOD changes on the global  
302 scale (Fig. 6b).

303

#### 304 **4. Conclusions and discussion**

305 We examined the  $\text{O}_3$ -vegetation feedback to climate and air pollution in the 2010s  
306 using the fully coupled climate-carbon-chemistry model ModelE2-YIBs. During boreal  
307 summer, surface  $\text{O}_3$  resulted in strong damages to GPP and inhibitions to stomatal  
308 conductance with regional hotspots over eastern China and eastern U.S. Consequently,  
309 surface transpiration was weakened, leading to decreased latent heat fluxes and relative  
310 humidity but increased surface air temperature. Meanwhile, the surface warming  
311 increased cloud cover by reducing atmospheric stability. The enhancement of  
312 cloudiness further decreased surface temperature and promoted precipitation nearby the  
313 key regions with intense  $\text{O}_3$ -vegetation interactions. The  $\text{O}_3$ -induced inhibition to  
314 stomatal conductance resulted in a localized increase in  $\text{O}_3$  concentrations. In contrast,  
315 the increased cloud cover and decreased relative humidity jointly reduced AOD in  
316 hotspot regions. On the global scale, the mean changes of both climate and air pollution  
317 were moderate due to the offset between the changes with opposite signs.



318 Our predicted changes in water/heat fluxes by O<sub>3</sub>-vegetation interactions were  
319 consistent with previous studies (Lombardozzi et al., 2015; Arnold et al., 2018; Gong  
320 et al., 2020). For example, the simulations by Lombardozzi et al. (2015) revealed that  
321 surface O<sub>3</sub> reduces global GPP by 8%-12% and transpiration by 2-2.4% with regional  
322 reductions up to 20% for GPP and 15% for transpiration in eastern China and U.S.  
323 These changes were in general consistent with our results though we predicted larger  
324 reductions in transpiration than GPP due to O<sub>3</sub>-vegetation interactions. Using the same  
325 scheme as Lombardozzi et al. (2015), Sadiq et al. (2017) showed that O<sub>3</sub>-vegetation  
326 coupling induced the surface warming of 0.5-1°C and O<sub>3</sub> enhancement of 4-6 ppbv in  
327 eastern China and eastern U.S. The magnitude of these responses was much stronger  
328 than our predictions. In contrast, the regional simulations by Jin et al. (2023) revealed  
329 that O<sub>3</sub>-vegetation coupling led to the increases of temperature up to 0.16°C and surface  
330 O<sub>3</sub> up to 0.6 ppbv in eastern China, both of which were smaller than our predictions.  
331 The damage scheme they use, which depends on cumulative O<sub>3</sub> uptake, omits the  
332 difference in impact on sunlit or shaded leaves and will overestimate the O<sub>3</sub> damage on  
333 GPP compared to the scheme we use, which considers transient O<sub>3</sub> flux (Cao et al.,  
334 2023). The discrepancies of O<sub>3</sub>-vegetation feedback using the same O<sub>3</sub> damage schemes  
335 revealed the uncertainties from climate and chemistry models. Our predictions were  
336 within the range of previous estimates for both climatic and O<sub>3</sub> changes.

337 There were some limitations in our simulated O<sub>3</sub>-vegetation interactions. First, the  
338 semi-mechanistic O<sub>3</sub> damage scheme we used in the study linked the damages to  
339 photosynthesis with those to stomatal conductance (Sitch et al., 2007), leading to  
340 stronger inhibition percentage in stomatal conductance than that in photosynthesis  
341 considering the O<sub>3</sub>-vegetation feedback. However, some observations showed that the  
342 damage to stomatal conductance occurred more slowly and might not be proportional  
343 to the decline of photosynthetic rates (Gregg et al., 2006; Lombardozzi et al., 2012).  
344 Second, observations have shown large variability of plant sensitivities to O<sub>3</sub> damages.  
345 The Sitch et al. (2007) scheme employed the low to high ranges of sensitivity to indicate  
346 the inter-specific variabilities. In this study, we employed only the high O<sub>3</sub> sensitivity



347 to explore the maximum responses. The possible uncertainties due to varied O<sub>3</sub> damage  
348 sensitivities deserved further investigations. Third, large-scale observations were not  
349 available to validate the simulated regional to global responses of climate and air  
350 pollutants. The O<sub>3</sub> vegetation damage scheme was extensively validated against site-  
351 level measurements of both photosynthesis (Yue and Unger, 2018) and stomatal  
352 conductance (Yue et al., 2016). However, we were conservative about the derived  
353 global responses given that previous studies showed large discrepancies using the same  
354 O<sub>3</sub> damage scheme but implemented in different climate and/or chemistry models  
355 (Lombardozzi et al., 2015; Sadiq et al., 2017; Jin et al., 2023). Furthermore, the 2°×2.5°  
356 resolution of current ModelE2-YIBs has limitation due to the high computational  
357 demands. Ito et al. (2020) shows that the ModelE2.1 with fixed vegetation traits  
358 reproduces carbon fluxes well, and that the model results are involved in the CMIP6  
359 Coupled Climate-Carbon Cycle MIP (C4MIP). However, analysis of the climate model  
360 shows that high-resolution exhibits improved simulations of extreme events (Chang et  
361 al., 2020; Ban et al., 2021), and the application of chemical transport model shows that  
362 relatively coarse resolution can raise biases in simulated air pollutants, though it  
363 captures the large-scale general pattern almost the same as fine-resolution results and  
364 is reasonable as compared to observational data (Wang et al., 2013; Li et al., 2016; Lei  
365 et al., 2020). Moreover, we omit the slow climatic feedback caused by air-sea  
366 interaction in the simulations. Studies have revealed that these interactions may result  
367 in different climatic perturbations from those simulations with fast responses of land  
368 surface alone (Yue et al., 2011). A dynamic ocean model is considered to enrich the  
369 future research. Meanwhile, this study does not isolate the different impacts of aerosols,  
370 even though the radiation module includes both direct and indirect radiative effects. We  
371 will investigate this further in the future by identifying the main processes.

372 Despite these uncertainties, our simulations revealed considerable changes of both  
373 climate and air pollutants in response to O<sub>3</sub>-vegetation interactions. The most intense  
374 warming, dryness, and O<sub>3</sub> enhancement were predicted in eastern China and eastern  
375 U.S., affecting the regional climate and threatening public health for these top two  
376 economic centers. In contrast, we for the first time revealed the reduction of aerosol



377 loading in those hotspot regions, suggesting both positive and negative effects to air  
378 pollutants by O<sub>3</sub>-vegetation feedback. Such interactions should be considered in the  
379 Earth system models so as to better project future changes in climate and air pollutants  
380 following the anthropogenic interventions to both O<sub>3</sub> precursor emissions and  
381 ecosystem functions.  
382



383 **Data Availability**

384 The observational data and model outputs that support the findings in this study are  
385 available from corresponding authors upon reasonable request.

386

387 **Author contributions**

388 XY conceived the project. XZ performed the model simulations, conducted results  
389 analysis and wrote the draft manuscript. XY, CT and XL assisted in the interpretation  
390 of the results and contributed to the discussion and improvement of the paper.

391

392 **Competing interests**

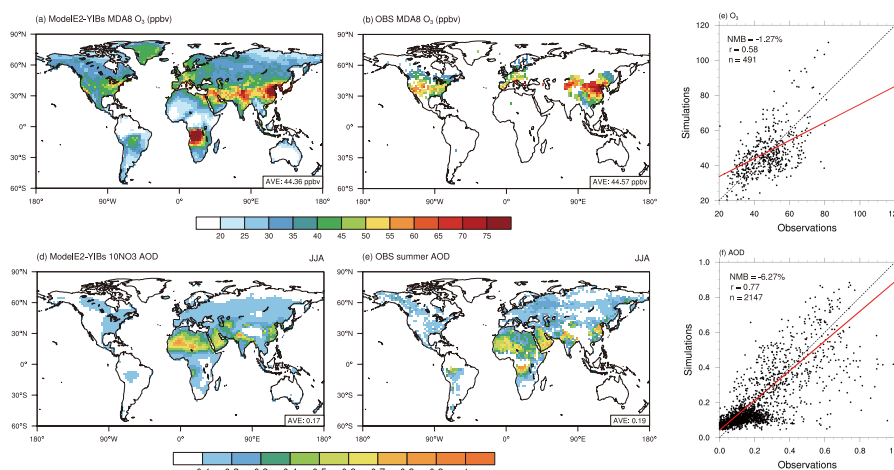
393 The authors declare that they have no conflict of interest.

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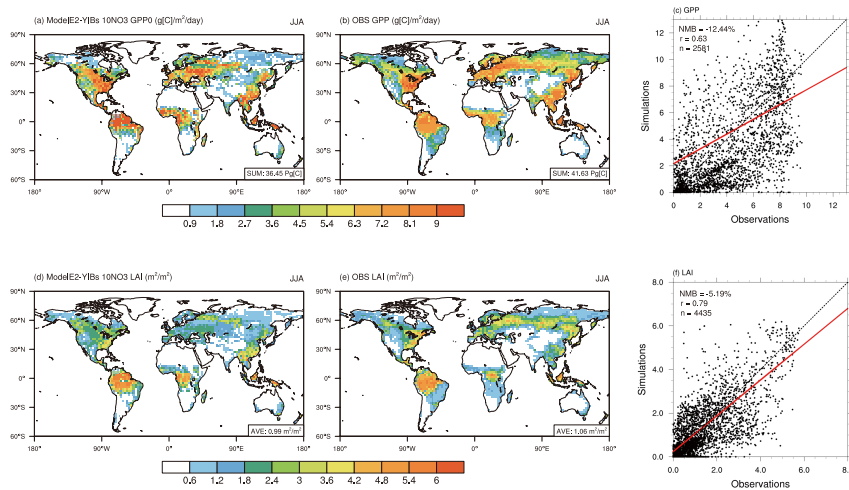
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**Figure 1.** Evaluation of the boreal summertime (June-August) air pollutants in 2010s simulated by the ModelE2-YIBs model. Surface daily maximum 8-hour ozone (MDA8 O<sub>3</sub>, upper) and aerosol optical depth (AOD, bottom) from the simulation 10NO3 (left) and observations (middle) are compared. The correlation coefficients ( $r$ ), normalized mean bias (NMB), and number of grid cells ( $n$ ) for the comparisons are listed on the scatter plots (e & f). The dashed line denotes the 1 : 1 ratio. The red line is the linear regression between the simulation and observation.

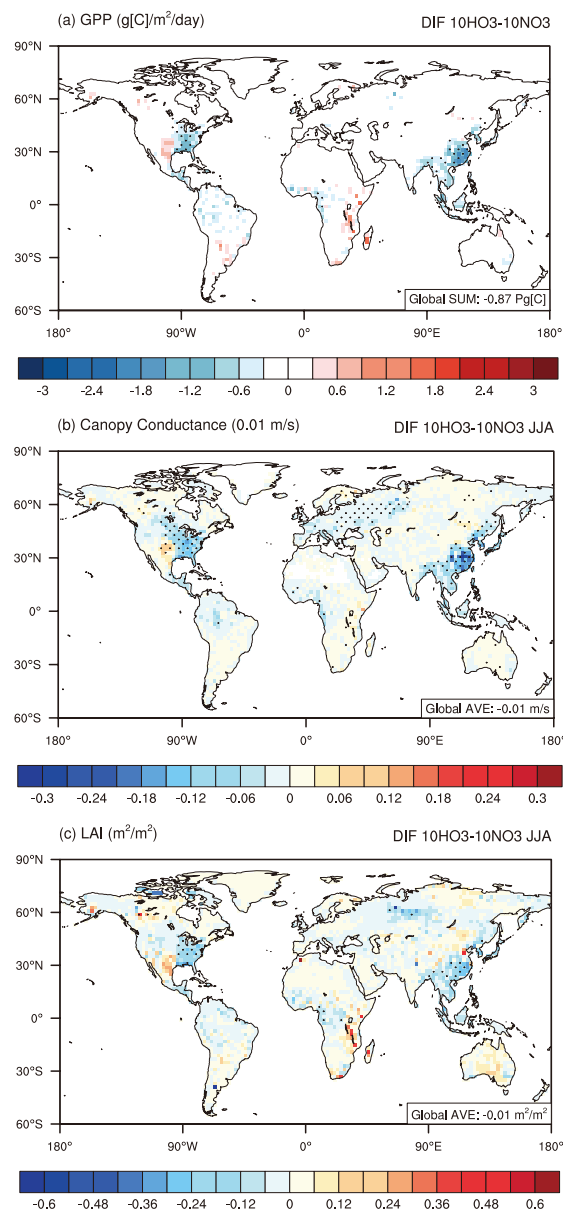


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409 **Figure 2.** The same as Fig. 1 but for gross primary productivity (GPP, upper panels) and  
410 leaf area index (LAI, bottom panels).



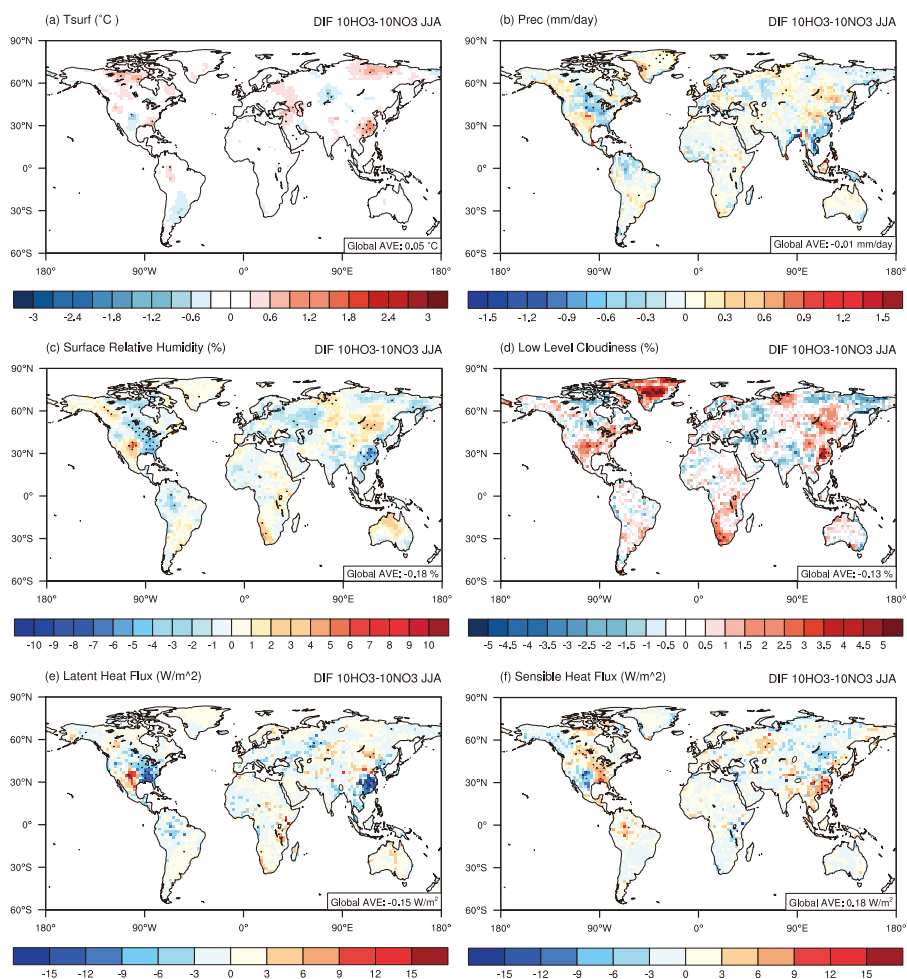
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412 **Figure 3.** Changes of boreal summertime biospheric variables induced by  $\text{O}_3$  damages

413 in 2010s. Results shown are changes of (a) GPP, (b) canopy conductance, and (c) LAI

414 between simulations 10HO3 and 10NO3. Black dots denote areas with significant

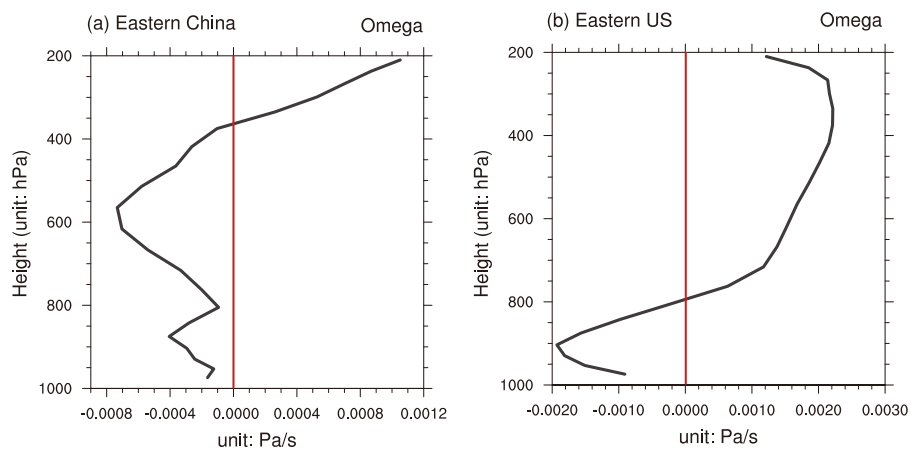
415 changes ( $p < 0.1$ ). Please notice the differences in the color scales.



416  
 417 **Figure 4.** Changes of boreal summertime meteorological fields by ozone-vegetation  
 418 interactions in 2010s. Results shown are changes of (a) surface temperature, (b)  
 419 precipitation, (c) surface relative humidity, (d) low level cloudiness, (e) latent heat flux,  
 420 and (f) sensible heat flux between simulations 10HO3 and 10NO3. For heat fluxes,  
 421 positive values (shaded in red color) indicate the upward fluxes change. Black dots  
 422 denote areas with significant changes ( $p < 0.1$ ). Please notice the differences in the color  
 423 scales.



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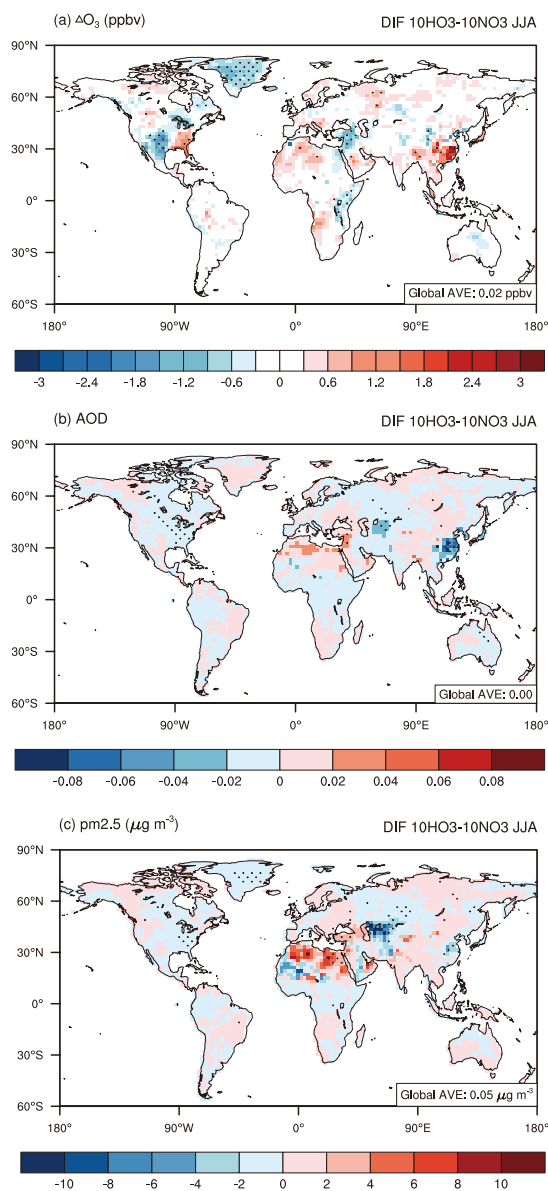
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**Figure 5.** Vertical profile of vertical velocity. Results shown are changes of the vertical velocity in (a) Eastern China and (b) Eastern US between simulations 10HO3 and 10NO3. Solid red line denotes the value 0. Please notice the differences in the scales.



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**Fig. 6.** Changes of summertime atmospheric pollution caused by ozone-vegetation interactions in 2010s. Results shown are changes of (a) ozone, (b) AOD, and (c)  $PM_{2.5}$  between 10HO3 and 10NO3. Black dots denote areas with significant changes ( $p < 0.1$ ). Please notice the differences in the color scales.



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