In-plume and out-of-plume analysis of aerosol-cloud interactions derived from the 2014-15 Holuhraun volcanic eruption

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Abstract. Aerosol effective radiative forcing (ERF) has persisted as the most uncertain aspect of anthropogenic forcing over the industrial period, limiting our ability to constrain estimates of climate sensitivity and to confidently predict 21st century climate change. Aerosol-cloud interactions are the most uncertain component of aerosol ERF. The 2014-15 Holuhraun volcanic eruption acted as large source of sulphur dioxide, providing an opportunistic experiment for studying aerosol-cloud interactions at a climatically relevant scale. We evaluate the observed aerosol-induced perturbation to <u>marine liquid</u> cloud properties inside the volcanic plume in the first month of the eruption and compare the results to those from UKESM1 (UK Earth System

- 15 Model). In the first two weeks, as expected, we find an in-plume shift to smaller and more numerous cloud droplets in both the observations and the simulations, We find as well as an observed changeincrease in the distribution of liquid water path (LWP) values inside the plume that is not captured in UKESM1. However, in the third week, the in-plume shift to smaller and more numerous cloud droplets is neither observed nor modelled, and there are discrepancies between the observed and modelled response in the fourth week. Analysis of the model simulations and trajectory modelling reveals that airmass history
- 20 and background meteorological factors can strongly influence aerosol-cloud interactions between the weeks of our analysis. Overall, our study supports the findings of many previous studies; that the aerosol impact on cloud effective radius is significant, with <u>differences in the observed and modelled response a less significant effect</u> on in-cloud LWP.

1 Introduction

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- 25 The evolution of aerosol emissions is thought to have profoundly impacted climate over the industrial period. Increasing emissions of anthropogenic aerosols and their gaseous precursors has exerted a negative radiative forcing on the climate system through the interaction of aerosols with clouds and radiation (Bellouin et al., 2020). The negative radiative forcing of aerosols has masked a proportion of warming from rising greenhouse gas emissions (Eyring et al., 2021), and led to large-scale changes in the water cycle and atmospheric circulation (Douville et al., 2021). Over the coming decades reductions in anthropogenic
- 30 aerosol emissions are expected due to more ambitious climate change and air quality mitigation policies (Rao et al., 2017). Despite the importance of aerosol-climate interactions, aerosol radiative forcing is the most uncertain component of anthropogenic radiative forcing over the industrial period (Forster et al., 2021). The uncertainty in the magnitude of aerosol radiative forcing impacts the accuracy in which we can project near-term future climate changes (Andreae et al., 2005; Seinfeld et al., 2016; Peace et al., 2020; Watson-Parris and Smith, 2022). Aerosol-cloud interactions (ACI) make up the largest
- 35 component of the uncertainty in aerosol radiative forcing (Bellouin et al., 2020). It is therefore an important task to continue to improve our understanding of ACI to predict future climate change more confidently.

Marine low-level liquid clouds strongly reflect shortwave radiation. Only small changes in their properties can have a significant impact of the radiative balance of the Earth system (Wood, 2012). Understanding how aerosols modify the properties of these clouds has therefore been the focus of much research. Conceptually, aerosols modify the properties of clouds through a chain of events (e.g. Haywood and Boucher, 2000). Firstly, aerosols act as cloud condensation nuclei (CCN). An increase in aerosol leads to an increase in cloud droplet number concentrations (N_d), and for a constant amount of cloud

- water, a reduction in cloud droplet effective radius (r_{eff}). Smaller and more numerous cloud droplets increase the albedo of clouds (Twomey, 1974). These effects have been widely observed (e.g. Bréon et al., 2002; Feingold et al., 2003). An increase
- 45 in N_d may initiate further adjustments to cloud properties, such as changes in liquid water path (LWP) and cloud fraction, although bidirectional responses in LWP to an increase in N_d have been observed (e.g. Toll et al., 2019) and simulated (e.g. Ackerman et al., 2004). The directionality of the LWP response likely depends on the meteorological conditions present and accordingly whether smaller cloud droplets lead to precipitation suppression which can potentially increase LWP (Albrecht, 1989; Pincus and Baker, 1994), or if the smaller droplets lead to enhanced evaporation and decreased sedimentation which can
- 50 enhance entrainment and decrease LWP (Ackerman et al., 2004; Bretherton et al., 2007). Recent research has shown significant cancellation of the positive and negative LWP responses is likely at large scales resulting in a weak LWP response to increased aerosol globally (Toll et al., 2019). However, global climate models (GCMs) can disagree with evidence from observations and higher resolution models on the magnitude and sign of the LWP response to increased N_d (Toll et al., 2017; Gryspeerdt et al., 2019). The uncertain response of LWP to increased N_d demonstrates why cloud adjustments to an increase in N_d remain
- 55 poorly constrained, despite being able to enhance or counteract an increase in cloud albedo due to an increase in smaller cloud droplets.

'Opportunistic' experiments offer a way to improve our understanding of aerosol-cloud interactions in a system where both the aerosol-perturbed and unperturbed background cloud state are reasonably well established (Christensen et al., 2022). The magnitude and sign of ACI can depend on numerous factors including background aerosol concentrations, meteorology and

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cloud properties (e.g. Stevens and Feingold, 2009; Carslaw et al., 2013). Opportunistic experiments can therefore provide a way to isolate ACI in environments with similar conditions or provide insight into how background conditions affect ACI. Key opportunistic experiments that have been used to study ACI include ship tracks, industrial plumes, wildfires and volcanic eruptions (e.g. Malavelle et al., 2017; Toll et al., 2017; Christensen et al., 2022). In this study, we utilise the 2014-15 Holuhraun effusive volcanic eruption as an opportunistic experiment to assess and improve our understanding of ACI.

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The 2014-15 Holuhraun eruption in Iceland (64.85°N, 16.83°W) began on 31st August 2014 and ended on 27th February 2015. This eruption was one of the largest sources of tropospheric volcanic emissions since the 1783-1784 Laki eruption (Ilyinskaya et al., 2017). Ground-based and satellite observations show that the Holuhraun eruption emitted large amounts of SO₂ (up to ~100 kt SO₂ day⁻¹) into the troposphere (Pfeffer et al., 2018; Carboni et al., 2019). The daily SO₂ emitted from the eruption was at least a factor of 3 larger than anthropogenic emissions from the whole of Europe (Schmidt et al., 2015). Once emitted, SO₂ is readily oxidised into sulphate aerosol, therefore, the Holuhraun eruption created a large aerosol plume. As a result, the 2014-15 Holuhraun eruption provides an opportunistic experiment to investigate ACI hypotheses at a large, climatically relevant scale.

- A handful of studies have leveraged the Holuhraun eruption to study ACI using differing approaches. Malavelle et al., (2017) used a climatological approach to identify aerosol-cloud interactions following the eruption. Their results showed a decrease in r_{eff} during October 2014 in both satellite observations and climate model simulations compared to the climatological mean. Yet, satellite observations revealed no clear perturbation to LWP or cloud fraction, unlike climate model responses showing varying LWP changes. Chen et al., (2022) used a machine learning approach to predict the cloud properties that would be expected for September and October 2014 without the presence of the volcanic eruption, given the meteorological conditions. The predicted cloud properties were then compared to satellite observations to isolate the aerosol perturbation to cloud
- properties following the eruption. Similarly to the climatological approach of Malavelle et al. (2017), the machine learning approach isolated a decrease in r_{eff} but no detectable change in LWP. However, the machine learning approach revealed an aerosol-induced increase in cloud fraction. Lastly, Haghighatnasab et al., (2022) focused on the first week following the
- eruption, comparing cloud properties inside and outside the SO₂ eruption plume in satellite observations and a high-resolution model. This plume analysis approach showed an increase in N_d and decrease in r_{eff} inside the eruption plume in line with the results from Malavelle et al. (2017) and Chen et al., (2022). However, Haghighatnasab et al., (2022) show an observed shift in the distribution of in-plume LWP values, with <u>a</u> decreased likelihood of low LWP values-to and <u>an</u> increased likelihood of higher LWP values, which is further exaggerated in the high-resolution model.

Our study builds on these previous analyses of aerosol-cloud interactions derived <u>for September 2014</u> following the 2014-15 <u>Holuhraun eruption</u>. We use satellite observations of aerosol and cloud properties to evaluate the observed ACI following the <u>start of the</u> volcanic eruption and compare our results to simulations from UKESM1 (UK Earth System Model). We add to the plume analysis approach utilised in Haghighatnasab et al., (2022) by using a more detailed plume masking method that <u>isolates</u>

- 95 areas close to the plume excludes pixels which are not likely to be near the plume and hence unlikely that are likely to be more representative of the cloud fields being perturbed. We also extend the plume analysis from the first week of September 2014 that was analysed in Haghighatnasab et al., (2022) to the rest of the month. The eruption was at its most powerful in September 2014 with large amounts of SO₂ released that then reduced during October 2014 (Carboni et al., 2019). The The longer time 4-week time period_allows us to investigate how airmass history and background meteorological factors influence
- 100 aerosol-cloud interactions between the weeks of our analysis using the HYSPLIT trajectory model (The Hybrid Single-Particle Lagrangian Integrated Trajectory model). A week-by-week analysis is performed showing that the aerosol conditions in the first two weeks and the last week of September are close to pristine, but during the third week, the background aerosol is significantly perturbed owing to airmass trajectories originating over continental Europe. This breakdown into weeks provides a convenient framework for developing statistical analyses over the month.

105 2 Data and methods

2.1 Defining a plume mask from satellite observation of SO₂

We use the column amount of SO_2 in the lower troposphere to define a plume mask that is used to compare cloud properties inside and outside of the aerosol plume following the eruption.

- We obtain the SO₂ data product from the Ozone Mapping and Profiler Suite (OMPS) Nadir Mapper (NM) onboard the NASA-NOAA Suomi National Polar-orbiting partnership (SNPP) satellite that was launched in October 2011 (Flynn et al., 2014; Seftor et al., 2014). The Nadir Mapper is a UV spectrometer that measures backscattered solar UV radiance from the Earth and solar irradiance. SO₂ absorbs strongly in the UV and therefore the vertical column density of SO₂ can be retrieved from satellite measurements of the UV spectrum. The column amount of SO₂ is retrieved from OMPS using a principal component analysis (PCA) algorithm (Li et al., 2017, 2020b). We use V2.0 of the SO₂ data product in our analysis (NMSO2_PCA_L2
- V2.0) (Li et al., 2020a).

The PCA algorithm provides six estimates of the total SO_2 vertical column density based on a priori profiles of the centre of mass altitude (Li et al., 2020a). We use the data product that is based on an SO_2 plume height in the lower troposphere (TRL)

120 at 3 km, which is a typical height of volcanic degassing and moderate eruptions. Carboni et al., (2019) showed the altitude of the centre of mass of the SO₂ Holuhraun eruption plume was mainly confined to within 0-6 km. Following the OMPS quality control procedure, pixels near the edge of the swath and where the solar zenith angle (SZA) $> 70^{\circ}$ are excluded. OMPS has a

nadir resolution of 50 x 50 km and crosses the equator about 13:30 local time. We resample swath data to a regular grid with resolution of $1.00.5 \times 1.00.5^{\circ}$ using a nearest neighbour method. $1.0 \times 1.0^{\circ}$ is the same resolution as the dataset of cloud

- 125 property observations that we use. When creating the plume mask for use with the model simulations, we first re-grid the 10.05x 10.05° OMPS data to the coarsersame resolution of as the model simulations. The OMPS SO₂ vertical column density is unavailable 1 day in each week and we exclude these dates from our analysis. We apply the following analysis in a "Holuhraun" domain of longitude 45°W to 30°E and latitude of 45°N to 80°N (e.g. as in Figure 1).
- 130 After processing the SO_2 data product to gridded data, the next step in our analysis is to define a suitable plume mask and bounding region around the plume to use in isolating in-plume versus out-of-plume cloud properties. We use a threshold exceedance and filtering approach to define the eruption plume mask. Firstly, Wwe define grid cells where the total column amount of $SO_2 > 1$ DU as being in-plume. This masking approach and threshold exceedance choice was also used in Haghighatnasab et al., (2022). Next, we apply a 3 x 3 pixel median filter to reduce noise in the mask and minimise individual 135 grid cells with $SO_2 > 1$ DU that are not likely to be part of the volcanic plume and would affect the bounding box region. Using the median filter, for every n x n pixels, the centre pixel is replaced by taking the median of the values inside the matrix. The median filter approach has previously been used to remove random classification errors when detecting methane plumes from point sources (Varon et al., 2018). Lastly Next, e^{-e} ach day we define a bounding box around the plume as the minimum to
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to minimise differences in meteorological conditions between inside and outside the plume, which can confound the aerosol effect on cloud properties (e.g. McCoy et al., 2020). An example of the The plume mask and bounding region for each day is shown with and without the median filter is shown in Figure 1, and the daily column amount of SO₂ and bounding region is shown in in the animation S1.

maximum latitude and longitude of the plume extent. We use this bounding box approach rather than using the whole domain

28-Sep-2014

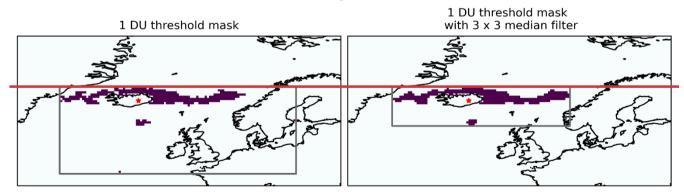


Figure 1: An example of the plume mask and bounding region on 28th Sep 2014 where total column amount of $SO_2 > 1$ DU 145 without filtering (left) and with (right) a 3 x 3 median filter.

2.2 Satellite observations of SO₂ plume height

Nadir spectrometer instruments in the ultraviolet and infrared can be used to deduce information on SO_2 plume altitude (Carboni et al., 2016). The Infrared Atmospheric Sounding Interferometer (IASI) is a Fourier transform interferometer on

150 board the MetOp-A and -B satellites. SO₂ height information can be obtained from IASI through the optimal estimation retrieval scheme as explained in Carboni et al., (2012, 2016). In the algorithm, retrievals are performed when detection of SO₂ is above a given threshold. The threshold defined for the Holuhraun eruption is 0.49 effective DU (Carboni et al. 2019). The retrieval algorithm determines SO₂ column amount and the altitude (mean of a Gaussian profile) of the SO₂ plume. We use the output from the IASI retrieval to compare the height of the volcanic SO₂ plume against cloud top height.

155 2.32 Satellite observations of cloud properties

We use Level 2 Collection 6.1 products of the products of the MODerate resolution Imaging Spectroradiometer (MODIS) onboard the polar-orbiting Aqua and Terra satellites (Platnick et al., 2015; Platnick et al., 2017) to evaluate perturbations to cloud properties inside the SO₂ plume as determined in Section 2.1. We use the MODIS COSP Level 3 daily (MCD06COSP) dataset that combines pixel-scale observations from Terra (MODO6_L2) and Aqua (MYDO6L2) (Pincus et al., 2023) to a

- 160 regular 1 x 1 ° grid. We use the mean of the sampled Level 2 pixels in each Level 3 grid. The dataset was recently produced to facilitate comparison with results from the MODIS simulator and is therefore particularly useful for observation-model comparison. -We analyse marine liquid N_d , r_{eff} , in-cloud LWP and cloud fraction and in cloud LWP. In the Level 2 MODIS products, r_{eff} , cloud water path and cloud optical thickness are retrieved from observed multispectral reflectances using a radiative transfer model at 1 km nadir resolution. Cloud phase is retrieved through the phase retrieval algorithm at 1 km
- 165 resolution. Cloud fraction is retrieved at 5 km resolution by averaging the presence of cloud identified at pixel level (Platnick et al., 2017).

We derive liquid N_d from liquid cloud r_{eff} and cloud optical thickness (τ_c) assuming an adiabatic cloud:

$$N_d = \alpha \tau_c^{0.5} r_{eff}^{-2.5} \tag{1}$$

- Where, α is 1.375 10⁻⁵ m^{-0.5}. Only data pixels where cloud optical thickness is between 4 and 70, and r_{eff} between 4 and 30 µm are retained where the retrieval is the most reliable (Quaas et al., 2006), but N_d derived in this way is still subject to uncertainties related to the cloud adiabaticity assumption and uncertainty in underlying cloud property retrievals (Gryspeerdt et al., 2022). We use cloud water path as a proxy for LWP which is a suitable assumption for non-raining liquid clouds (Zhou et al., 2016). the liquid cloud retrieval fraction rather than cloud mask fraction to study cloud fraction. The cloud retrieval fraction is lower than
- 175 the cloud mask fraction in most regions as it excludes pixels identified as sunglint, heavy aerosol or partly cloudy (Pincus et al, 2023). We aggregate the Level 2 swath data to a 0.5 x 0.5 degree resolution grid for each day.

In addition, we use the Level 2 Collection 6.1 MODIS Aqua products (Platnick et al., 2015; Platnick et al., 2017) sampled to a $0.5 \times 0.5^{\circ}$ grid to obtain cloud top height for comparison to the IASI observations of SO₂ plume altitude.

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We examine differences in cloud properties inside vs outside the plume mask described in Section 2.1 for marine liquid cloud with cloud top heights between 1.5 km to better isolate where the aerosol plume interacts with liquid clouds. Satellite observations show the SO₂ plume centre of mass is within 0.6 km (Carboni et al., 2019; Jordan et al., 2023) and ground base observations show eruption plume top heights of 0.3 5.5 km (Pfeffer et al., 2018).

185 2.34 UKESM1 simulations

We conduct a model simulation of the Holuhraun eruption and a corresponding control simulation with no volcanic emissions using the atmosphere-only version 1.0 of the UK Earth System Model (hereafter UKESM1-A) (Sellar et al., 2019; Mulcahy et al., 2020). We compare the perturbation of in-plume cloud properties observed from MODIS to these simulations and the atmosphere only version of the UK Earth System Model (hereafter UKESM1-A) (Sellar et al., 2019; Mulcahy et al., 2020). We compare the perturbation of in-plume cloud properties observed from MODIS to these simulations and the atmosphere only version of the UK Earth System Model (hereafter UKESM1-A) (Sellar et al., 2019; Mulcahy et al., 2020). We

190 we also use the UKESM1-A simulations to further investigate the influence of meteorology on aerosol-cloud interactions.

UKESM1.0 is the first version of the UK Earth System Model and contributed to the sixth Coupled Model Intercomparison Project (CMIP6) (Eyring et al., 2016; Sellar et al., 2019). UKESM1 is based on the HadGEM3-GC3.1 physical climate model (Kuhlbrodt et al., 2018; Williams et al., 2018) coupled to several earth system processes including interactive stratospheretroposphere chemistry from the UK Chemistry and Aerosol model (UKCA) (Archibald et al., 2020). In the atmosphere-only version of UKESM1 (UKESM1-A), sea surface temperatures and sea ice concentrations are prescribed from the Program for Climate Model Diagnosis and Intercomparison (Rayner et al., 2003). Vegetation and ocean biological fields are prescribed from a member of the UKESM1 CMIP6 historical ensemble (Sellar et al., 2019).

200 The aerosol scheme within UKCA is the modal version of the Global Model of Aerosol Processes (GLOMAP-mode) which simulates new particle formation, gas-to-gas particle transfer, aerosol coagulation, cloud processing of aerosol, and aerosol deposition of sulfatesulphate, sea salt, black carbon, and particulate organic matter -(Mann et al., 2010; Mulcahy et al., 2020). Mineral dust is simulated separately using the CLASSIC dust scheme (BellouinWoodward et al., 2001+1). The aerosol chemistry is coupled to the UKCA stratospheric-tropospheric aerosol scheme where chemical oxidants are interactively

- 205 simulated (Archibald et al., 2020). UKCA uses aspects of the Unified Model Global Atmosphere (GA7.1; Walters et al., 2019) within the UKESM for the large-scale advection, convective transport and boundary layer mixing of aerosol. Aerosol particles are activated into cloud droplets using the the activation scheme of Abdul-Razzak and Ghan (2000) activation scheme. Large-scale cloud microphysics is a single-moment scheme based on Wilson and Ballard (1999) with improvements based on Boutle et al. (2014). Cloud microphysics is a single moment, three phase representation (Field et al. 2023). Acrosol particles are
- 210 activated into cloud droplets using the activation scheme of Abdul Razzak and Ghan (2000). For the liquid phase there are

prognostic variables for cloud water and rain mixing ratio. Changes in cloud droplet number concentration (N_d) can impact cloud droplet effective radius (Jones et al., 2001) and also influence the autoconversion of cloud liquid water to rain water through the Khairoutdinov and Kogan (2000) scheme. Aerosol–cloud interactions are simulated in large-scale liquid clouds. Convection is parameterized separately to large-scale clouds and does not consider aerosol. Bulk properties of large-scale

215 clouds are simulated using the prognostic cloud fraction and prognostic condensate (PC2) scheme (Wilson et al., 2008a, b) with the modification described in Morcette (2012). The GA7.1 model and its coupling to UKCA utilised are described in further detail in Walters et al. (2019) and Mulcahy et al. (2020). For the liquid phase there are prognostic variables for cloud water and rain mixing ratio.

In the atmosphere only version of UKESM1 (UKESM1 A), sea surface temperatures and sea ice concentrations are prescribed from Program for Climate Model Diagnosis and Intercomparison (Rayner et al., 2003). Vegetation and ocean biological fields are prescribed from a member of the UKESM1 CMIP6 historical ensemble (Sellar et al., 2019). the cloud microphysics, cloud

cover. and convection scheme utilized in your simulations. Initialization

 It uses a two-moment pseudo-modal approach and simulates multicomponent global aerosol, which includes sulfate, black

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 carbon, organic matter and sea spray. Dust is simulated separately using a difference scheme (Woodward, 2001). GLOMAP mode includes aerosol microphysical processes of new particle formation, condensation, coagulation, wet scavenging, dry deposition and cloud processing. The aerosol particle size distribution is represented using five log normal modes, nucleation soluble, Aitken soluble, accumulation soluble, coarse soluble and Aitken insoluble, with their size ranges shown in Table AI (Appendix A). UKCA is coupled to other modules in UKESM to handle tracer transport by convection, advection and boundary

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 laver mixing. Single moment cloud scheme — aerosol not coupled to convection.

We use <u>a-global model simulations</u> The with a model resolution used is of N96L85, which is a horizontal resolution of 1.875 x 1.25° (~208 × 139 km at the equator and ~86 x 139 km near the Holuhraun eruption) at the equator, with 85 atmospheric levels. The model resolution is coarser than the MODIS and OMPS datasets we use that are at 1.0 x 1.0° resolution.

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In the Holuhraun eruption simulation of this UKESM1 setup, the volcanic SO₂ emissions are distributed equally between 0.8 km and 3 km in the grid cell containing the eruption vent following the magnitude and altitude profile of emissions (Malavelle et al., 2017). The prescribed volcanic SO₂ emissions vertical profile is in agreement with satellite observations from the IASI shows the SO₂ plume height during September and October 2014 is mostly between 0.8 and 2.5 km (Jordan et al., 2024). We refer to the simulation that includes volcanic emissions as UKESM1-Hol hereafter. A control simulation was also performed without the Holuhraun eruption emissions which we refer to as UKESM1-Ctrl. The control simulations enables us to assess whether any of the differences in our model simulations are simply due to differences in the meteorology, rather than due to the aerosol perturbations. The eruption and control simulations include background aerosol emissions from anthropogenic and natural sources. The modelled horizontal winds and potential temperature between approximately 1.3 to 80

- km are nudged towards ERA-Interim reanalysis on a 6-hourly time scale to reduce model internal variability. The model output fields are extracted at high temporal resolution (3 or 6-hourly output) for comparison to observational data. The spatial and chemical evolution of the Holuhraun aerosol pollution in these UKESM<u>1</u>-A simulations has recently been evaluated in a multi-model comparison framework in Jordan et al. (202<u>34</u>).
- To aid the comparison of modelled cloud properties with MODIS, we use the Cloud Feedback Model Intercomparison Project (COSP) MODIS simulator for model output where possible instruments (Bodas-Salcedo et al., 2011, Pincus et al., 2012). COSP is a software tool that uses output from climate models to produce data comparable to that retrieved from several satellite instruments (Bodas Salcedo et al., 2011). N_d was calculated from COSP output using the same calculation and filtering as for the MODIS data. Likewise to the MODIS analysis, we focus on marine liquid clouds. In our plume analysis of the model simulations, we use the OMPS SO₂ plume mask that was created from OMPS data regridded to the coarser model resolution.

2.4<u>5</u> Trajectory modelling

The Hybrid Single Particle Lagrangian Trajectory (HYSPLIT4) model (Stein et al., 2015) was used to calculate 10-day back trajectories from the Holuhraun eruption vent. For consistency with UKESM1-A simulations, ERA-Interim 6-hourly reanalysis (Dee et al., 2011), re-gridded to 1.0° x 1.0° were used to drive HYSPLIT. For every hour during September 2014, a 27-member anaembla of 10 day backward trajectories was initiated from the aruntion site (64.85°N, 16.82°W) at a starting altitude of 2000.

260 ensemble of 10-day backward trajectories was initiated from the eruption site (64.85°N, 16.83°W) at a starting altitude of 2000 m agl (above ground level). The 27-member ensemble was created to sample the uncertainty associated with location accuracy. The centre trajectory of the ensemble is initialised at the coordinates above, with the remaining 26 members offset by a fixed grid factor of 1.0° of latitude/longitude in the horizontal and 0.01 sigma units in the vertical, forming a 3-dimensional space with 27 trajectory initialisation points.

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We create transport probability function maps to investigate the dominant movement path of the air masses during September 2014. The transport probability function, $P(A_{i,j})$, represents the probability (%) of a backward trajectory passing through a specific grid cell. $A_{i,j}$ was calculated as:

$$A_{i,j} = \frac{n_{i,j}}{N} \tag{2}$$

270 Where $n_{i,j}$ corresponds to the number of distinct trajectory visits within a grid cell, and *N* corresponds to the total number of trajectories. The maps allow a qualitative assessment of whether the air-masses reaching Holuhraun are from geographic areas that are relatively pristine or influenced by anthropogenic emissions and also help characterise the thermodynamic properties of those air-masses.

275 **3 Results**

3.1 Evolution of the Holuhraun SO₂ plume

Our analysis uses the plume masks derived from the observed column amount of SO₂ to isolate cloud properties inside versus outside the aerosol plume formed from the 2014-15 Holuhraun eruption (see section 2.1). Variability in meteorology and cloud state across a domain can make the impact of aerosol perturbations to cloud properties difficult to isolate, for example, if the aerosol influenced cloud fields experience different conditions than the unperturbed cloud fields e.g. (e.g. Christensen et al., 2022). Therefore, we define a bounding box area around our plume mask to minimise differences in meteorological conditions. Figure 12 shows a snapshot of the column amount of SO₂ within our plume mask and the corresponding bounding regions for the middle day in each of the four weeks in September 2014 that we analyse. Supplementary Figure S1 shows an animation of the plume mask and bounding region for all the days analysed.

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On many of the days in September 2014, the observed SO_2 plume disperses to the north-east of the eruption site. There are a handful of days within the month when the plume was transported towards Western Europe where it triggered air pollution events (Ialongo et al., 2015; Schmidt et al., 2015; Boichu et al., 2016; Steensen et al., 2016; Twigg et al., 2016; Zerefos et al., 2017). Our plume masking and bounding box method appears to track the spatial evolution of the observed SO_2 plume well for most days in September.

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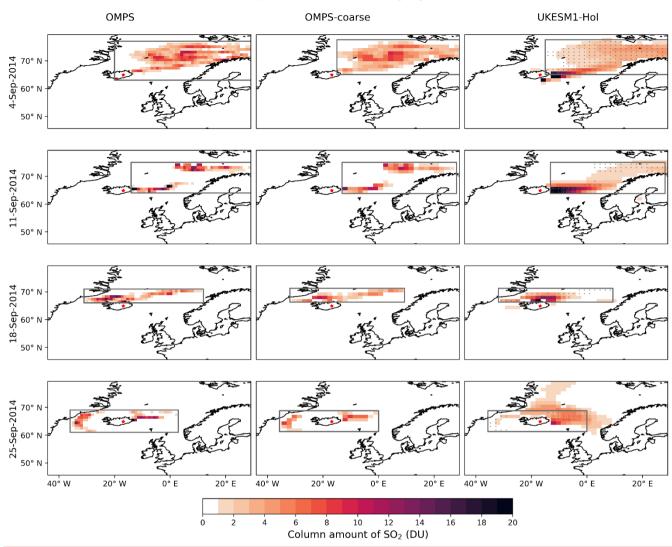
Figure 12 and supplementary Figure S2 show the daily mean total column amount of SO₂ for the UKESM1-Hol simulations, and the corresponding plume mask and bounding region if when derived from the modelOMPS-coarse simulations mask. In common with simulations of explosive volcanic eruptions that are nudged to ERA reanalyses (Haywood et al., 2010; Wells et

295 al., 2023), in general the SO₂ plume simulated in the model agrees well with the spatial location of the SO₂ plume observed from OMPS. Jordan et al., (20243) also show that the UKESM1-Hol simulations accurately capture the evolution of the volcanic plume in September and October 2014 when compared SO₂ retrieved from the IASI (Infrared Atmospheric Sounding Interferometer) satellite instrument. This agreement which gives us confidence in using the SO₂ mask derived from observations to evaluate the model simulations, but there may be days where there are differences in the spatial location of the

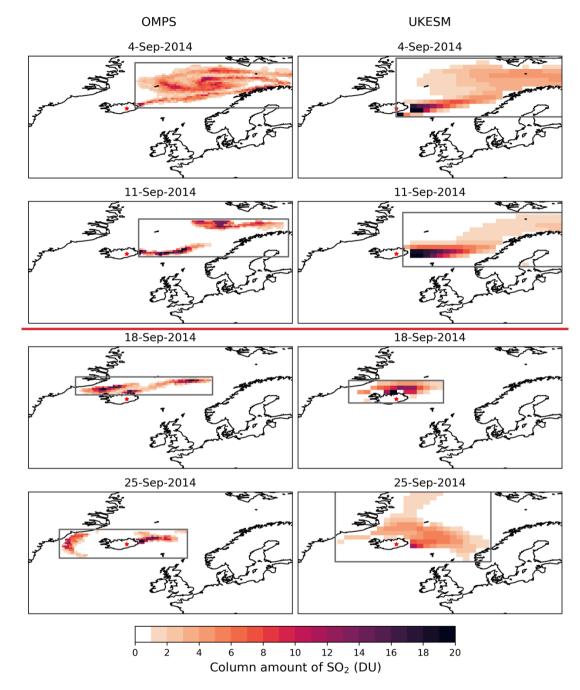
300 plume and bounding box derived from observations compared to the model simulations (e.g. 25th September). Jordan et al., (2023) also show that the UKESM1-Hol simulations accurately capture the evolution of the volcanic plume in September and October 2014 when compared SO₂ retrieved from the IASI (Infrared Atmospheric Sounding Interferometer) satellite instrument_. The recommended quality control procedure for OMPS involves excluding pixels where the SZA > 70°. Due to the high latitude of the eruption, this procedure excludes pixels at the top of our domain as September progresses and would

also exclude pixels from the MODIS dataset that are less reliable. The <u>column amount of SO₂ in UKESM1-Hol plume mask and bounding region-therefore has a further northward extent than the OMPS plume mask towards the end of September.</u>

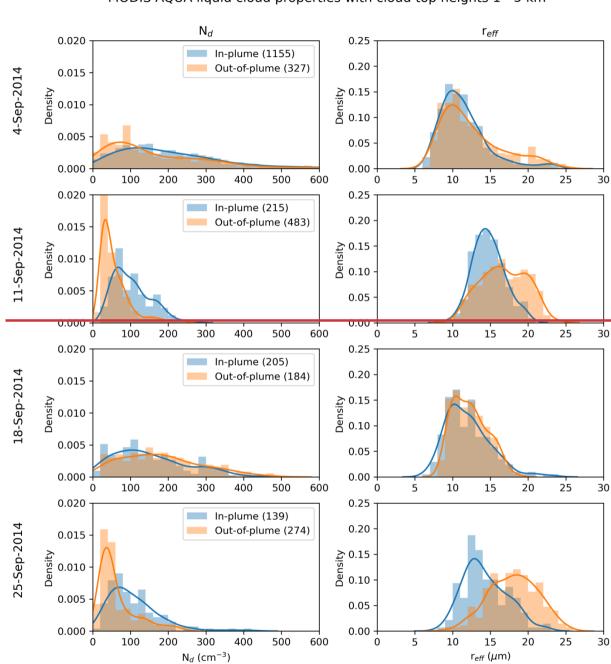
SO₂ plume mask and bounding region



SO₂ plume mask and bounding region



310 Figure 12: Total column amount of SO₂ (Dobson Units) retrieved from OMPS and simulated in UKESM1-Hol within the plume mask for the midweek day of the four weeks in September 2014 being analysed. The plume mask is defined where the total amount of SO₂ exceeds 1 DU. A 3 x 3 median filter is applied to the OMPS mask to reduce noise, as described in Section 2.1. We do not apply the mask to UKESM1-Hol due to the coarser data. The grey box shows the bounding box region surrounding the plume mask which



Plume analysis of MODIS AQUA liquid cloud properties with cloud top heights 1 - 5 km

Figure 3: Histogram of MODIS AQUA liquid cloud droplet number concentration (left column) and effective radius (right column) in-plume (blue) and out-of-plume (orange) within the bounding box region for snapshot midweek days in September 2014. Only

marine cloud properties with cloud top heights between 1-5 km are evaluated. The number of in-plume and out-of-plume data points 320 are displayed.

23.2 Aerosol perturbation to observed in-plume cloud properties

The next stage of our analysis compares cloud properties retrieved from <u>MODIS the MODIS</u> AQUA-COSP dataset inside the SO₂ plume mask to areas outside the plume mask yet still within the bounding region. Supplementary Figure S42 shows the plume mask bounding region overlaid on MODIS observations of marine liquid cloud N_d and r_{eff} for our snapshot days.

325 This figure gives an indication of the spatial variation in cloud properties across the domain and the as well as the data coverage when we isolate liquid clouds with cloud top heights of 1 to 5 km.

We evaluate if there is an aerosol induced perturbation to N_d , r_{eff} , and LWP and cloud fraction in marine liquid clouds for days in September 2014 when both OMPS and MODIS observations are available. Animations of daily cloud properties and their

- in-plume vs out-of-plume distribution are shown in Supplementary Animations S³⁴-S⁷⁵. As an example of the daily analysis, Figure 3<u>S3</u> shows the distribution of N_d and r_{eff} in-plume and out-of-plume for our snapshot days. For each day we use the Mann-Whitney U test (Mann and Whitney, 1947) to evaluate if the sample of in-plume cloud properties is significantly different to the sample of the out-of-plume cloud properties. The results of this statistical significance test are summarised in Figure 42.
- 335

In more than over-half the days we analyse (143 out of 224) observed *N*_d is statistically significantly higher inside the plume compared to outside. Between the 1st to 12th September there is onlyare only one two days (7thth and 10th-September) where *N*_d is not higher within the plume. However, between 14th and 19th-21st September no days display significantly higher *N*_d inside the plume. If we exclude 14th September due to its small sample size (supplementary Figure-Animation S45), the remaining days in this collection fall within the 3rd week of September; which leads us later in the study weto aggregate our results into the weeks of September_later in the study. In the 4th week of September, 4-5 of the 6 days analysed have significantly higher *N*_d within the plume. Across our analysis, all but one of All the days that display significantly larger values of *N*_d in-plume have corresponding statistically significantly smaller values of r_{eff} in-plume. An aerosol induced increase in *N*_d and decrease in r_{eff} is consistent with the Twomey effect (Twomey, 1974) which has been widely observed (e.g. Christensen et al., 2022). Most days (6 out of -89) within the first two weeks of September that have an increase in in-plume *N*_d show a significant increase in LWP. No days One day within the first two weeks show a significant decrease in LWP. Yet the days in the 4th week of September that display an in-plume increase in *N*_d reveal a different picture for the LWP response. Two thirds of these days (4 out of 6) show a decrease of in plume LWP, with no consistent response in LWP.

To investigate the lack of perturbation to the in-plume N_d for many days of the 3rd week of September and why there is a variation in the in-plume LWP response across September, we aggregate our daily plume analysis into the weeks of September. We also use the weekly-aggregated data to compare the observed in-plume perturbation to cloud properties to thatthat are simulated by UKESM1-A. Figure 5-3 shows the weekly in-plume and out-of-plume distributions for N_d and r_{eff} . LWP is shown

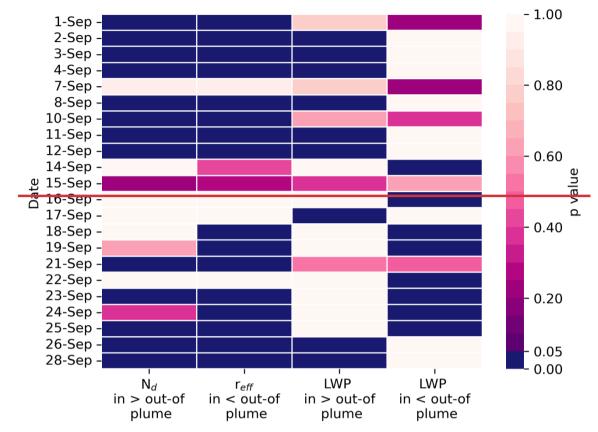
in Figure \$24. The weekly aggregated results confirm our daily plume analysis; there is a statistically significant increase in

diagnostics available from the model simulations in combination with airmass back trajectory analysis to untangle the

355 N_d and decrease in r_{eff} for the 1st, 2nd and 4th weeks of September, which is absent in the 3rd week. The sample of in-plume LWP is statistically significantly greater in these 3 weeks but not the third week-first two weeks of September, but not in the last week. However, the mean in-plume enhancement in LWP during the first two weeks is negligible (slightly negative) which results from a decrease in frequency of low and very high values of LWP and an increase in frequency of mid to high values of LWP inside the plume. We next compare our observed weekly plume analysis results to those from UKESM1-A and use

differences in the aerosol-perturbation to cloud properties over the first four weeks of the Holuhruan eruption.

Plume analysis of MODIS AQUA liquid cloud properties Mann-Whitney U test



Mann-Whitney U test - 1.00 1-Sep -2-Sep 3-Sep -4-Sep -5-Sep -- 0.80 7-Sep -8-Sep -9-Sep -10-Sep -11-Sep -- 0.60 12-Sep -한 14-Sep - 0 15-Sep -16-Sep -17-Sep -- 0.40 18-Sep -19-Sep -21-Sep -22-Sep -- 0.20 23-Sep -

p value

0.05

0.00

Plume analysis of MODIS liquid cloud properties

365 Figure 24: Statistical significance of daily changes in observed MODIS marine cloud properties inside vs outside of the SO2 plume mask. Significance is evaluated using the Mann-Whitney U test. The colour bar displays the p value, with dark blue indicating a statistically significant perturbation to cloud properties inside the plume for that day.

r_{eff}

in < out-of

plume

Т LWP

in > out-of

plume

LWP

in < out-of

plume

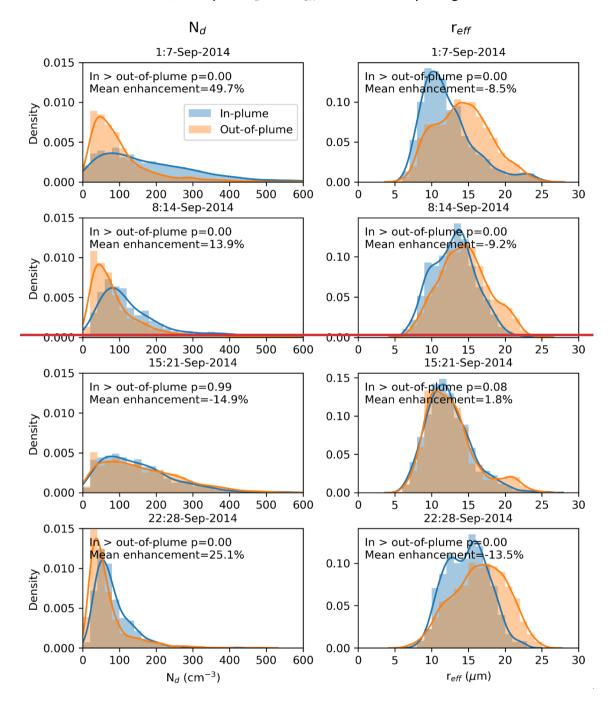
24-Sep -25-Sep -26-Sep

28-Sep -

 N_d

in > out-of

plume



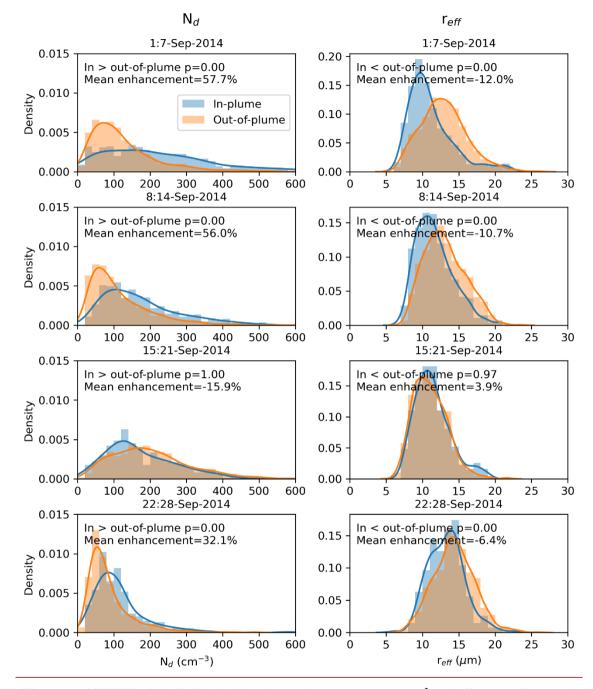
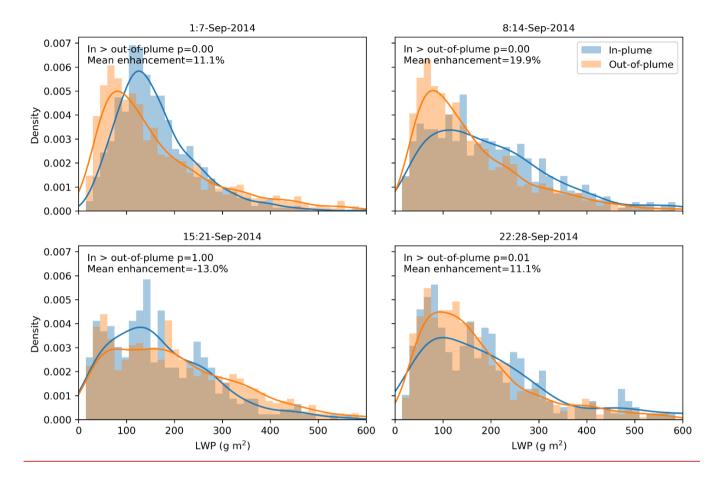


Figure 35: Histogram of MODIS AQUA-liquid cloud droplet number concentration (cm⁻³) and effective radius (μ m) inside (blue) and outside (orange) the plume mask aggregated by week. Only <u>marine</u> cloud properties over sea with cloud top heights between 1-5-km are considered. The Mann-Whitney U test is used to calculate if the in-plume N_d is statistically <u>higher_greater</u> than outside of the plume. The p value and mean in-plume enhancement is displayed for each week.

MODIS LWP



380 Figure 4: Histogram of MODIS in-cloud liquid water path (g m²) inside (blue) and outside (orange) the plume mask aggregated by week. Only marine cloud properties are considered. The Mann-Whitney U test is used to calculate if the in-plume LWP is statistically greater than outside of the plume. The p value and mean in-plume enhancement is displayed for each week.

23.3 Comparison of observed vs modelled perturbation to in-plume cloud properties

Table 1 shows the <u>area-weighted geometric</u>regional mean values of <u>marine</u> liquid cloud properties inside and outside of the plume mask, and the corresponding <u>mean</u>-in-plume perturbation to cloud properties. The UKESM1-Hol simulation shows significantly greater N_d and significantly smaller r_{eff} inside the plume in the first two weeks of September, with no statistically significant perturbation in th<u>at direction in the</u> control simulation. The lack of perturbation to N_d and r_{eff} in UKESM1-Ctrl indicates the perturbation to cloud properties inside the plume is not explained by meteorological variability and is therefore aerosol-induced. In the 3rd week, UKESM1-Hol features no significant perturbations to N_d and r_{eff} which is in line with our

results from MODIS a significantly decreased N_d inside the plume which is consistent with MODIS and UKESM1-Ctrl, and indicates the reason for the lack of observed perturbation to N_d is represented in UKESM1-A. In the 4th week there is not a<u>a</u> non--significant increase in N_d and decrease in r_{eff} or decrease in r_{eff} in UKESM1-Hol, in comparison to a significant change in the -or UKESM1-Ctrl, despite the presence of these perturbations in the MODIS observations. The statistical significance of daily changes in modelled cloud properties is summarised in Figure S45.

395

There is not a significant increase or decrease in in-plume LWP in UKESM1-Hol during the first two weeks of September. This contrasts with MODIS where the distribution of in-plume LWP values is significantly greater than out-of-plume. However, in both simulations and observations, the in-plume mean change in LWP is small during the first two weeks. In the

- 3rd week, there is an observed decrease in LWP inside the plume. The in-plume decrease in LWP is represented in the eruption and control simulations, indicating that the decrease in LWP in the 3rd week could be due to the sampling of different cloud conditions inside the plume rather than an aerosol effect. During the 4th week there-the distribution of in-plume LWP is statistically greater in MODIS, in contrast to a is a mean in-plume reduction in LWP in MODISUKESM1-Hol and UKESM1-Hol and UKESM1-Hol and UKESM1-Ctrl, although the sample of LWP values inside the plume is not statistically significantly lower than outside the plume. Any
- 405 reduction in LWP during the 4th week is not reproduced in the UKESM1 Hol simulations.

We also evaluate perturbations to cloud fraction in our weekly analysis. In the first week cloud fraction is statistically greater in-plume in MODIS, UKESM1-Hol and UKESM1-Ctrl. The consistency of the increase in in-plume cloud fraction between UKESM1-Hol and UKESM1-Ctrl indicates that the large in-plume enhancement in cloud fraction is mostly driven from

- 410 meteorology variability across the field. In the second week, MODIS observations shows a more modest statistically significant increase in cloud fraction in-plume whilst UKESM1-A simulations show a statistically significant decrease in-plume. In the third week in-plume cloud fraction is statistically significantly lower in-plume compared to out-of-plume. Likewise to the first week, the consistency in cloud fraction changes between the UKESM1-Hol and UKESM1-Ctrl indicates this is primarily driven by meteorological variability. In the fourth week there is a non-significant decrease in observed in-plume cloud fraction,
- 415 but the decrease is statistically significant in both model simulations. We tested the robustness of our observed cloud fraction results to using different MODIS cloud fraction variables as shown in Figure S6. Total (all phases) cloud retrieval fraction and total cloud mask fraction showed a statistically significant increase in in-plume cloud fraction during the first two weeks and decrease in the third week but the magnitude of response is lowered. Whereas the direction of the response in the 4th week was of opposite sign to the liquid cloud retrieval fraction.

420

These results indicate that UKESM1-A captures the observed change in N_d and r_{eff} in the first two weeks of September 2014 but there is not a significant change in simulated in-plume LWP during these two weeks. The model control simulations help elucidate that changes in cloud properties inside the plume during the 3rd week are likely not due to ACI. Next, we use the

UKESM1-Hol simulation and trajectory modelling to investigate the aerosol-cloud interaction mechanisms at play during the

425 different weeks in September 2014.

		Inside (outside) plume values				In-plume perturbation (%)				
Week		1	2	3	4	1	2	3	4	
N _d	MODIS	1 <u>48</u> 44	1 <u>47</u> 21	1 <u>144</u> 42	<u>95</u> 78	<u>58</u> 50	<u>56</u> 14	<u>-16</u> -15	<u>32</u> 25	
(cm ⁻³)		(<u>94</u> 9 6)	(<u>94</u> 107)	(<u>+172</u> 67)	(<u>72</u> 63)					
	UKESM <u>1</u> -	<u>221</u>	<u>235</u>	<u>188</u>	<u>202</u>	<u>56</u> 59	<u>16</u> 21	<u>-20</u> -9	<u>8</u> 3	
	Hol	<u>(141)</u> 252	<u>(203)</u> 260	<u>(188)</u> 181	<u>(200)</u> 226					
		(159)	(216)	(198)	(219)					
	UKESM <u>1</u> -	<u>85</u>	<u>93</u>	<u>101</u>	<u>105</u>	<u>-20-12</u>	<u>-2</u> -10	<u>-24</u> -25	<u>-13</u> 4	
	Ctrl	<u>(105)</u> 102	<u>(103)</u> 96	<u>(130)</u> 101	<u>(123)</u> 113					
		(115)	(106)	(133)	(108)					
r _{eff}	MODIS	1 <u>1.1</u> 3.4	1 <u>21.3</u> 8	1 <u>1.9</u> 2.7	<u>14.13.0</u> 5	<u>-12</u> -9	<u>-11</u> -9	<u>4</u> 2	<u>-6</u> -14	
(µm)		(1 <u>2</u> 4. <u>7</u> 6)	(1 <u>2.7</u> 4.1)	(1 <u>2.2</u> 2.5)	(<u>16.13.9</u> 7)					
	UKESM <u>1</u> -	<u>9.6</u>	<u>9.4</u> 9.4	<u>10.6</u>	<u>10.2</u>	<u>-14-13</u>	<u>-5</u> -5	<u>6</u> 7	<u>-3-8</u>	
	Hol	<u>(11.1)</u> 10.0	(9.9)	<u>(10.0)</u> 10.7	<u>(10.5)</u> 10.7					
		(11.5)	<u>(9.9)</u>	(10.0)	(11.6)					
	UKESM <u>1</u> -	<u>12.5</u>	<u>12.3</u>	<u>12.0</u>	<u>12.3</u>	<u>4</u> 1	<u>0-1</u>	<u>8</u> 5	<u>3</u> 1	
	-Ctrl	<u>(12.0)</u> 12.4	<u>(12.3)</u> 11.8	<u>(11.1)</u> 12.1	<u>(12.0)</u> 12.6					
		(12.3)	(11.8)	(11.5)	(12.4)					
LWP	MODIS	1 <u>37</u>	1 <u>49</u> 72	<u>17134</u>	1 <u>45</u>	<u>11</u> -1	<u>20</u> -4	<u>-13-22</u>	<u>11-23</u>	
(g m ⁻²)		71 (1<u>23</u>72)	(1 <u>24</u> 7 9)	4	53					
				(<u>154</u> 223)	(<u>130</u> 199)					
	UKESM <u>1</u> -	<u>110</u>	<u>110</u>	<u>75</u>	<u>125</u>	<u>3</u> -1	<u>-5</u> 5	<u>-31</u> -23	<u>-822</u>	
	Hol	<u>(107)</u> 136	<u>(116)</u> 103	<u>(109)</u> 96	<u>(135)</u> 171					
		(138)	(99)	(125)	(140)					
	UKESM <u>1</u> -	<u>93</u>	<u>85</u>	<u>79</u>	<u>113</u>	<u>-7</u> 6	<u>-9</u> -7	<u>-30</u> -34	<u>-15</u> - 15	
	Ctrl	<u>(100)</u> 124	<u>(92)</u> 76	<u>(103)</u> 78	<u>(132)</u> 103					
		(117)	(82)	(119)	(121)					
cloud	MODIS	<u>66</u>	<u>56</u>	<u>72</u>	91.8<u>28</u>	<u>87</u> 3	<u>18</u> -4	<u>-14</u> -3	<u>-15</u> 2	
<u>retrieval</u>		96.7	88.3	93.4	-(<u>33</u> 89.8)					
		(<u>36</u> 93.7)	(<u>46</u> 91.6)	(<u>958</u> 6.1)						

fraction	UKESM1-	<u>60</u>	<u>38</u>	<u>24</u>	<u>31</u>	<u>85</u>	<u>-21</u>	<u>-45</u>	<u>-20</u>
(%)	<u>Hol</u>	<u>(32)</u>	<u>(49)</u>	<u>(44)</u>	<u>(39)</u>				
	UKESM1-	<u>55</u>	<u>36</u>	22	<u>32</u>	<u>73</u>	<u>-26</u>	<u>-44</u>	-12
						<u>15</u>	-20		-12
	<u>Ctrl</u>	<u>(32)</u>	<u>(49)</u>	<u>(40)</u>	<u>(37)</u>				

430 Table 1: <u>Weekly-Weekly means of the a a</u>rea-weighted <u>regional geometric</u> mean of MODIS and UKESM1_-A <u>marine</u> liquid cloud properties inside and outside of the plume mask. The last four columns display the <u>mean-mean</u> in-plume perturbation (%) of each cloud property. The in-plume perturbation is calculated <u>daily</u> as (area-mean inside plume-area mean outside of plume)/area mean outside of plume <u>where the mean is the area-weighted geometric mean</u>. Bold text in the in-plume perturbations represents where <u>The daily in-plume enhancement is then averaged to obtain the in-plume perturbation for each week</u>. The blue and green shading indicates where the -weekly aggregated in-plume values are respectively respectively statistically greater or less than outside of the

440 23.4 Disentangling aerosol-cloud interaction mechanisms during September 2014

3.4.1 Air mass history

In the previous section we showed that the lack of in-plume perturbation to N_d and r_{eff} in the 3rd week of September featured in both the MODIS observations and the UKESM1-A Holuhraun simulation. In the 3rd week, the MODIS out-of-plume N_d distribution shown in Figure 5-2 more closely resembles the polluted in-plume distributions of N_d than the clean out-of-plume backgrounds. We use back-trajectory modelling to explore the air mass origins during the different weeks of our analysis. Figure 65 shows that during weeks 1, 2 and 4 back trajectories initialised at the eruption site mostly pass through pristine air to the west of Iceland enroute to the Holuhraun eruption site. However, in week 3, a larger proportion of the back-trajectories pass over Western Europe. The air masses passing over Europe will experience greater aerosol pollution from anthropogenic sources, which is a plausible reason for higher background N_d during week 3. This polluted background is also well simulated by UKESM1-A (Figure 55S7).

The activation of the Holuhraun aerosol plume into cloud droplet depends on multiple factors. These factors include the number, size and hygroscopicity of aerosol particles, as well as the updraft velocity at cloud base and the water vapour

455 <u>supersaturation. Reutter et al. (2009) showed the activation of aerosol into cloud droplets can occur under three regimes:</u> <u>updraft-In addition, CCN activation and cloud droplet formation can occur under updraft-limited</u>, aerosol-limited or aerosoland updraft-sensitive regimes. <u>(Reutter et al., 2009)</u>. The updraft-limited activation regime is <u>more characterized by low ratios</u> <u>of updraft velocity/aerosol number concertation and hence is more</u>-likely to occur under polluted air masses, such as week 3

⁽⁴⁵⁵⁾ Indicates where<u>the</u> weekly aggregated in-plume values are <u>respectively</u> statistically greater or less than outside of the plume. <u>Table S1 shows the sample size of Nd weekly aggregated data.</u>

in our analysis (Jones et al., 1994; Reutter et al., 2009; Carslaw et al., 2013; Spracklen and Rap, 2013). In this updraft-limited

460 regime the activation of aerosol to cloud droplets depends on updraft velocity rather than aerosol concentration. As a result, under this regime, polluted air masses arriving in the region of the Holuhraun aerosol plume during the 3rd week would be less susceptible to further aerosol-induced increases in N_d . In comparison, in the aerosol-limited region the activation of aerosol to cloud droplets is proportional to the aerosol number concentration.

Transport probability map

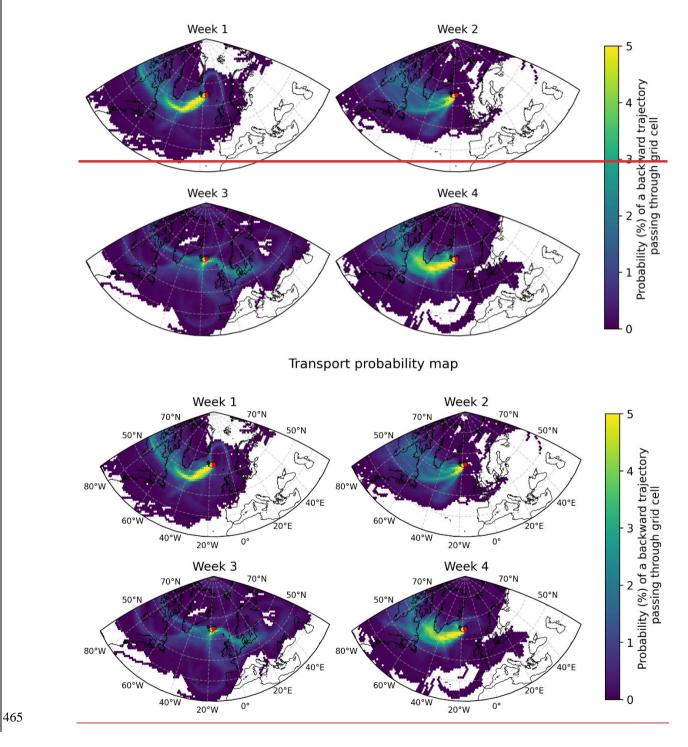


Figure 65: An ensemble of back trajectories was initialised each hour at 2000 m above the Holuhraun eruption site (64.85°N, 16.83°W), as explained in Section 2.4. The probability (%) of a backward trajectory passing through a specific grid cell $(A_{i,j})$ is shown here. The start dates of the trajectories are grouped by the weeks of our analysis.

470 <u>3.4.2 Background meteorology</u>

We also explore if the meteorological conditions during the weeks of our analysis affect ACI. During week 3, the MODIS <u>and</u> <u>UKESM1-A simulations</u> in-plume LWP and cloud fraction is lower than outside the plume. In the absence of a clear aerosolcloud interaction inside the Holuhraun plume, a difference in LWP and cloud fraction may indicate the area inside the plume

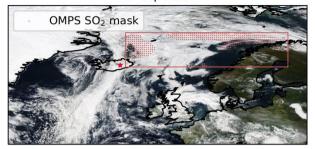
475

has different meteorological conditions and cloud properties to outside of the plume. Figure 7-6 shows visible satellite imagery in the 3rd week overlayed by the plume mask and bounding box region. On 16th – 19th September there is a region of clear sky that persists in the north of the bounding box. Since there is agreement between the lack of ACI signal in observations and simulations in the 3rd week, we use the UKESM1-Hol simulation to investigate differences in meteorological conditions during the 3rd week that may contribute towards the negligible in-plume aerosol perturbation to cloud properties.

MODIS AQUA corrected reflectance overlayed by OMPS SO_2 plume mask and bounding region

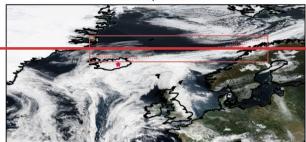
15-Sep-2014

16-Sep-2014

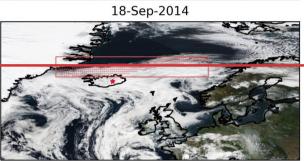


17-Sep-2014

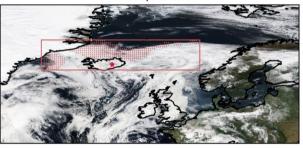




19-Sep-2014



21-Sep-2014





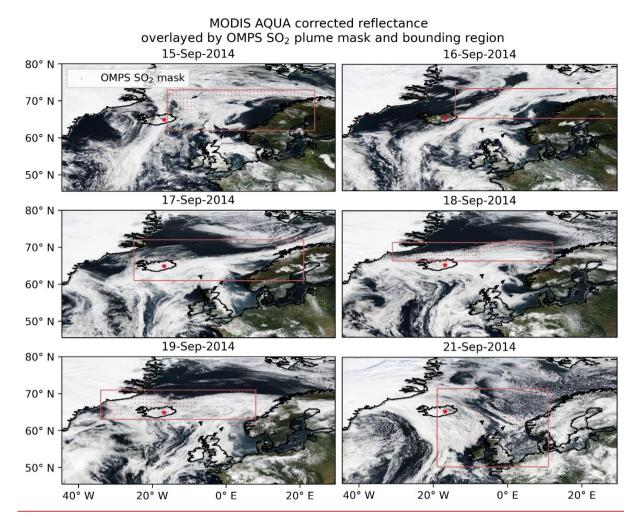


Figure 76: Visible image from MODIS AQUA for 15th - 21st September 2014. The OMPS SO₂ plume mask and bounding region is overlaid on the visible imagery. 20th September is excluded due to no OMPS SO₂ retrieval on that day. Visible imagery is obtained MODIS from the corrected reflectance (true colour) AQUA data available on NASA Worldview (https://worldview.earthdata.nasa.gov/, last access 1st June 2023).

Figure <u>87</u> shows meteorological variables inside the bounding box in the UKESM1-Hol simulation. <u>The model simulations</u> are nudged to ERA-Interim reanalysis horizontal winds and potential temperatures. The 3rd week is noticeably drier in terms of precipitation and relative humidity at 950 hPa which is representative of the clear-sky region in the north of the bounding box during the 16th – 19th September. There is a slightly lower median and smaller interquartile range of lower tropospheric

490 stability (LTS) during the 3rd week but there are many outliers that represent grid cells with higher LTS values. The number of outliers with high LTS values implies a contrast in the conditions in the bounding box during 3rd week. A higher LTS value indicates a strong, low-lying inversion that traps moisture more efficiently in the boundary layer and favours greater cloud cover (Wood and Bretherton, 2006). <u>We calculate LTSLTS is calcualted as the difference in potential temperature between 720 and 1000 hPa.</u>

Variables affecting the production of sulphate aerosol and the number of aerosols activated to cloud droplets are also shown in Figure 8. The first box plot shows the ratio of vertical mean gas-phase to aqueous-phase production rate of sulphate aerosol (SO_4^{2-}) inside the plume. The median and quartiles of the ratio have higher values in week 3. A higher ratio indicates either more gas-phase production or less aqueous-phase production of sulphate which is consistent with the plume location partly 500 covering a region with less cloud during week 3. In the gas-phase, sulphate aerosol is formed through the reaction of SO_2 with OH to form H₂SO₄ vapour. Nucleation and condensation then occur to produce aerosols with larger size and number. In UKESM1, these gas-phase aerosol processes produce sulphate aerosol in all size modes whereas in clouds, SO₂ dissolves and undergoes oxidation with H_2O_2 and O_3 to form sulphate (Turnock et al., 2019). The sulphate aerosol produced through incloud oxidation is split into the soluble accumulation and coarse modes (Mulcahy et al., 2020). Less aqueous-phase production 505 of sulphate aerosol is therefore in line with the lower values of in-plume soluble accumulation mode aerosol (i.e. an effective size for droplet nucleation) during week 3. The magnitude of SO₂ emissions in the Holuhraun simulations follow that described in Malavelle et al., (2017) (as shown in their Supporting Information). Emissions during the first two weeks of the eruption were larger than during weeks 3 and 4 which also contributes to lower amount of soluble accumulation mode aerosol during these weeks in the Holuhraun simulations. However, emissions were still large at an average estimated as 57.5 kHt SO₂/day 510 during the latter weeks and we would expect an aerosol perturbation to $N_{\rm d}$ in an environment susceptible to aerosol perturbation.

Accumulation mode aerosol dominate the contribution to CCN concentrations over polluted land regions (e.g. Chang et al., 2017). In UKESM1, aerosols are activated into cloud droplets using the activation scheme of Abdul-Razzak and Ghan, (2000).

515 Once per timestep the activation scheme calculates N_d at cloud base and imposes it on all grid cells above the cloud base within the same liquid cloud. The activation scheme also depends on the subgrid vertical velocity variance (West et al., 2014). The box plots shows that although soluble accumulation mode aerosol is lower during the last two weeks of September than the first two weeks, the difference in the number of activated particles at the lowest cloud base in the bounding region is less evident. In an updraft-limited activation regime that is more likely to occur under polluted air masses (such as week 3), cloud

⁴⁹⁵

- 520 droplet formation is proportional to updraft velocity and essentially independent of aerosol number concentration (Reutter et al., 2009). The last two weeks of September exhibit larger variance in subgrid vertical velocity at the lowest cloud base. Hence, an updraft-limited regime would explain why week 3 has a similar number of activated particles at the lowest cloud base compared to other weeks despite lower accumulation mode aerosol inside the bounding box. Haghighatnasab et al. (2022) showed how increasing the updraft velocity can increase the background CCN concentration in the Holuhraun domain in a
- 525 cloud-resolving model. Yet, further study would be needed to definitively identify the activation regime during each week of our study to support these results.

<u>The LWP response to an increase in N_d likely depends on the meteorological conditions present, as noted in the introduction.</u> <u>Our results show a shift in the distribution of MODIS LWP inside the plume during weeks 1-and, 2 and 4 that results from</u>

- 530 more values in the range ~ 100-300 g m⁻² and less values ~ < 100 g m⁻² inside the plume. An increase in LWP is traditionally associated with reduced collision coalescence in clouds with smaller droplets that can delay the onset of precipitation and result in the accumulation of in-cloud water content (Pincus and Baker, 1994). LWP has been found to increase in low, precipitating marine liquid clouds below moist air; whereas in thicker, non-precipitating clouds below dry air there may be a decrease in LWP due to an increase in cloud top entrainment (Toll et al., 2019). The simulations show that humid conditions
- 535 are present during week 1 and 2 these weeks and some clouds are likely to be precipitating (indicated by reff > 14 μ m as shown in Figure 3 and Animation S45) which would be in support of conditions favourable for an increase LWP. However, T the inplume LWP in the Holuhraun simulation were not significantly greater or less-than the values out-of-plume during weeks 1. and 2 and 4 which contrasts with climate models' tendency to produce unrealistic high LWP increase large increase in LWP when N_d increases (Malavelle et al., 2017, Toll et al., 2019). A weak LWP response to aerosol perturbation in UKESM1-Hol
- 540 The result is is-consistent with results from HadGEM3-UKCA that is an earlier version of the aerosol-climate model used in this work (Ghan et al., 2016, Zhang et al., 2016, e.g.-Malavelle et al., 2017). Ghan et al. (2016) hypothesized that the weak LWP response in HadGEM3-UKCA could be partly due to the autoconversion scheme used. Why does not model not have increase in LWP?

If instead the meteorological conditions were favourable to the entrainment processes that can decrease LWP, we would not expect a decrease in LWP to be simulated since most <u>+</u>current and previous generations of climate models do not include a parameterization where aerosol can impacts on cloud top entrainment (Toll et al., 2019). <u>-We do not discuss the LWP response</u> in week <u>3s 3 and 4</u> -further here due to the missing causal processes of ACI-in week 3 and the insignificant observed and modelled LWP response in week 4.

550 <u>3.4.3 Differences betweenLimitations of using observed column amount of SO₂ to identify the aerosol plumeand in cloud $SO_4^{\frac{2}{2}}$ </u> In our analyses we use the column amount of SO₂ to track the aerosol plume as this information is readily available from satellite observations and model simulations. We assume that the column amount of SO₂ is a good proxy for where sulphate aerosol is produced, as this information is not observable from satellite observations. Figure S1 shows how the column amount of SO₂ compares to the vertical mean sulphate mass concentration in the UKESM1-Hol simulations. The spatial location of

- 560 sulphate aerosol is in good agreement with the location of the column amount of SO₂ for our snapshot days. However, the unmasked sulphate mass concentration is elevated across a larger area both inside and outside of the plume mask bonding box. The more widespread enhanced aerosol load revealed by the sulphate mass concentration, in combination with slight differences between the modelled and observed SO₂, is likely why the out-of-plume N_d in the UKESM1-Hol concentration is larger than in the UKESM1-Ctrl. The absolute values of N_d observed by MODIS are lower than in UKESM1-Hol, and the MODIS out-of-plume N_d is comparable to the out-of-plume N_d in UKESM1-Ctrl. In the UKESM1-O simulations...
 - In addition, \overline{T} the OMPS column amount of SO₂ does not provide information on when the sulphate plume is within the cloud layer where we expect the aerosol-cloud perturbation to take takes place. Therefore, we compare the SO₂ plume height obtained from IASI (Carboni et al., 2016) and the height of the maximum SO₂ mole fraction form the UKESM1-Hol
- 570 simulations to the liquid cloud height obtained from MODIS Aqua. Animation S68 showsshows for each day when the SO₂ plume height in the IASI observations and the height of maximum SO₂ mole fraction in the Holuhraun simulations is below or above the MODIS Aqua liquid cloud top. On most days, there are grid cells within the SO₂ plume that are both above and below the observed liquid cloud top height. Animation S9 shows the vertical mean profile of UKESM1-Hol 4.0-SO₂ mole fraction, IASI SO₂ plume height and MODIS liquid cloud top height when averaged over latitude. The MODIS Aqua liquid
- 575 <u>cloud top height in the latitudinal mean is close to the altitude of maximum SO₂, with SO₂ generally spanning above and below this height. Therefore, we expect the sulphate aerosol produced in the SO₂ plume to be interacting with liquid water clouds. However, the decipheringisolating when the sulphate aerosol plume is interaction with clouds is difficult to decipher and a limitation of using only using satellite observations alone.</u>

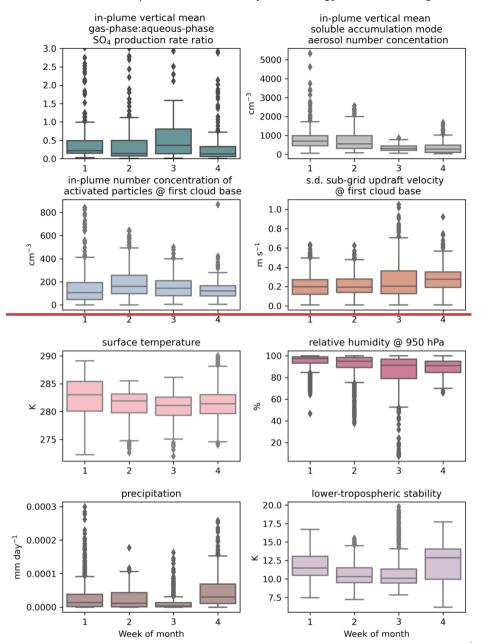
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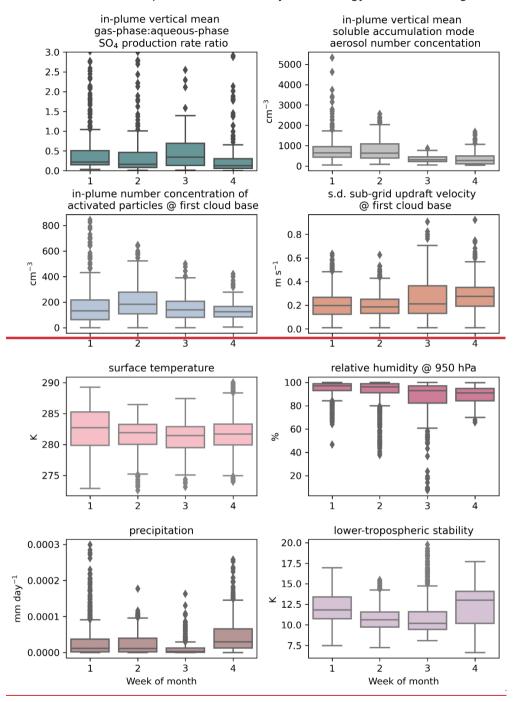
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The analysis of sulphate mass aerosol and SO_2 plume height shows the limitations in identifying an aerosol plume mask for informing satellite-model comparisons. At smaller scales, the near-infrared reflectance observed by MODIS Terra has been used to identify polluted clouds and unpolluted clouds (Trofimov et al., 2020).

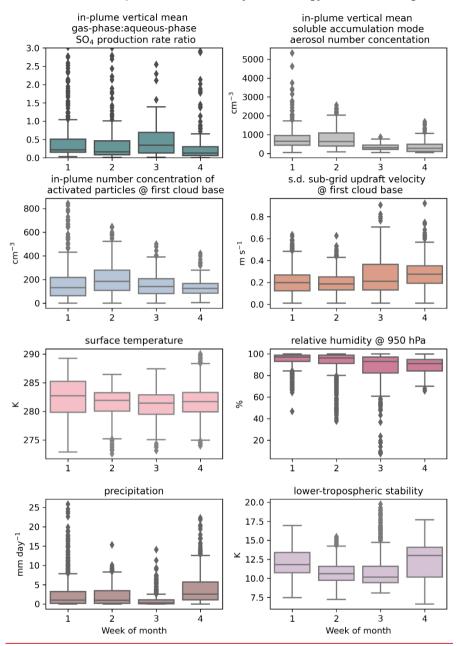
The LWP response to an increase in N_{\perp} likely depends on the meteorological conditions present, as noted in the introduction. Our results show a shift in the distribution of MODIS LWP inside the plume during weeks 1 and 2 that results from more 590 values in the range - 100-300 g m⁻² and less values - < 100 g m⁻² inside the plume. An increase in LWP is traditionally associated with reduced collision coalescence in clouds with smaller droplets that can delay the onset of precipitation and result in the accumulation of in-cloud water content (Pineus and Baker, 1994). LWP has been found to increase in low precipitating marine liquid clouds below moist air: whereas in thicker, non-precipitating clouds below dry air there may be a decrease in LWP (Toll et al., 2019). The simulations show that humid conditions are present during week 1 and 2 and some 595 elouds are likely to be precipitating (indicated by reff > 14 µm as shown in Figure 3 and Animation S4) which would be in support of conditions favourable for an increase LWP. The in-plume LWP in the Holuhraun simulation were not significantly greater or less than the values out of plume during weeks 1 and 2, which contrasts with climate models' tendency to produce unrealistic high LWP increase when N_L increases (e.g. Malavelle et al., 2017). We do not discuss the LWP response in weeks 3 and 4 further here due to the missing causal processes of ACI in week 3 and the insignificant observed and modelled LWP 600 response in week 4.

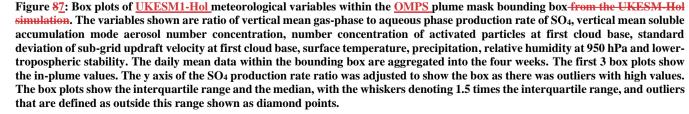
UKESM-Hol September 2014 weekly meteorology within bounding box





UKESM1-Hol September 2014 weekly meteorology within bounding box





615 4 Discussion and conclusions

Opportunistic experiments with a known aerosol source, such as degassing volcanic eruptions, offer a way to investigate aerosol-cloud interactions (e.g. Christensen et al., 2022). Our study has built on previous analyses of ACI following the 2014-15 Holuhraun eruption (McCoy and Hartmann, 2015; Malavelle et al., 2017; Chen et al., 2022; Haghighatnasab et al., 2022). We utilise an in-plume versus out-of-plume analysis approach to isolate aerosol perturbations to <u>marine</u> cloud properties

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in satellite observations and UKESM1-A simulations, and trajectory modelling to understand the impact of airmass history on ACI. Particularly we build on the study of Haghighatnasab et al. 2022 who also used a plume analysis approach, but we use a more detailed plume tracking method and extend the plume analysis approach to the rest of September. The extension of the analysis time frame allows us to group our analysis into weeks that experience differing airmass history and meteorological conditions and elucidate their role on ACI.

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We have shown during the first two weeks of September that there is an increase in N_d and decrease in r_{eff} , observed, and simulated by UKESM1-Hol when the eruption aerosol plume likely interacts with liquid clouds. As expected, the increased N_d and decreased r_{eff} inside the plume are not reproduced in UKESM1-Ctrl, indicating the perturbation is due to ACI and not differences in meteorology. Our results, which reveal an increase in N_d and decrease in r_{eff} due to Holuhraun eruption aerosol plume are in line with previous ACI studies of the eruption (McCoy and Hartmann, 2015; Malavelle et al., 2017; Haghighatnasab et al., 2022; Chen et al., 2022). However, during the 3rd week in September an increase in N_d is neither observed nor modelled. In the 4th week of September, we observe an increase in N_d and decrease in r_{eff} , but an insignificant change in the simulations. To understand what caused the different responses of clouds to increased aerosol across the weeks of our analysis, we used trajectory modelling to track the air mass history in the region, alongside assessing the meteorology and activation of aerosols into cloud droplets using the UKESM1-A simulations.

The 10-day back trajectories reveal that air masses arriving at the Holuhraun eruption site during the 3rd week will likely be more polluted than the other weeks due to passing over Western Europe rather than originating in pristine regions. Polluted air masses are also more likely to experience updraft-limited rather than aerosol-limited <u>CCN</u> activationactivation into cloud droplets (Reutter et al., 2009). Hence, the conditions in the 3rd week may be less susceptible to further aerosol-induced increases in *N*_d than the other weeks of our analysis due to the polluted background (e.g. Jones et al., 1994; Carslaw et al., 2013). The meteorological fields in the UKESM1-Hol simulation show the 3rd week is drier in terms of relative humidity and precipitation, with the satellite imagery indicating a region of persistent clear-sky in the north of the bounding box region the likely cause. The meteorological conditions during the 3rd week therefore support the higher ratio of gas-phase to in-cloud production of sulphate aerosol which produceds less soluble accumulation mode aerosol in the 3rd week, the dominate aerosol mode in the contribution to CCN concentrations over polluted land regions. Overall, we therefore conclude that a combination of the airmass history and background meteorological factors strongly influence aerosol-cloud interactions in the third week. The ability of background N_d and meteorology in the modulation of ACI, illustrates the importance of improving knowledge of background conditions for accurately calculating ACI. For example, the pre-industrial aerosol loading is a dominant source

- 650 of uncertainty in present-day aerosol ERF (Carslaw et al., 2013), and present-day analogues to pristine environments can contribute towards constraining aerosol forcing uncertainty (McCoy et al., 2020b; Regayre et al., 2020).
- We assessed the LWP response in the first two weeks three weeks where we isolated an observed and modelled shift to smaller and more numerous liquid cloud droplets inside the aerosol plume. We find an observed decrease in the likelihood of small LWP values (< 100 g m⁻²) and increase in likelihood of LWP values in the range of $\sim 100-300$ g m⁻² inside the plume, resulting 655 in a negligible meanstatistically significant increase in in-plume perturbation-to LWP. While Malavelle et al. (2017) and Chen et al. (2022) did not isolate an observed perturbation to LWP in monthly means, Haghighatnasab et al., (2022) showed an inplume decrease in the probability of values with low LWP and an increase of values with high LWP in satellite observation and cloud-resolving simulations for the 1st week, which is consistent with our results. Cloud-resolving simulations of the 660 Holuhraun eruption suggest there is a decrease in light rain and increase in heavy rain during the 1st week (Haghighatnasab et al., 2022). A decrease in light rain may be due to reduced collision coalescence of smaller droplets that can delay precipitation, and lead to droplets growing larger in size before precipitating, increasing heavy rain and shifting the distribution of in-plume LWP values (Fan et al., 2016; Haghighatnasab et al., 2022). This mechanism of an increase in LWP due to precipitation suppression supports our observed increase in LWP values inside the plume during the first two weeks of September. However, 665 in UKESM1-Hol, the distribution of LWP values in-plume is not significantly different to out-of-plume. Malavelle et al. 2017 showed that HadGEM3-UKCA (a previous generation of the aerosol-climate model used in UKESM1) produced a minimal LWP response following the Holuhraun eruption, but that models generally overestimate the increase in LWP due to increased aerosol (Malavelle et al., 2017; Toll et al., 2017).
- 670 Previous research has shown mixed effects of an aerosol perturbation on cloud fraction. The climatological approach in <u>Malavelle et al. (2017) showed no impact of the Holuhraun eruption aerosol on cloud fraction. Whereas, Chen et al. (2022)</u> showed a significant increase in satellite observations cloud fraction following the Holuhraun eruption when useding a machine learning approach that accountsto account for meteorological confounders. Consistently, , and showed an increase in cloud fraction following the Holuhraun eruption. Oour results show an observed increase in cloud fraction during the first two weeks
- of September 2014. In the first week the increase is simulated by the volcanic and control UKESM1 simulations, although the increase in cloud fraction is larger in the volcanic simulation. However, in the second week the simulations show a decrease in cloud fraction. In the fourth week, there is a non-significant decrease in observed cloud fraction but a significant decrease in the model simulations. The similarity in the in-plume perturbation to cloud fraction between the volcanic and control simulations across our analysis indicates much of the simulated cloud fraction change is likely dominated by meteorological covariability. Further simulations would be needed to isolate if the smaller differences between the in-plume perturbation to
- cloud fraction in the control and Holuhraun simulations could be attributed to aerosols. For example, Grosvenor and Carslaw

(2020) examined the contributions of changes in N_d , LWP and cloud fractions to pre-industrial to present-day aerosol ERF in UKESM1-A. Their results showed that LWP and cloud fraction were the dominant terms in the radiative forcing of aerosolcloud interactions over the North Atlantic, and that cloud fraction changes are more dominant in regions of broken cloud. An

- 685 additional simulation was conducted in the Grosvenor and Carslaw (2020) study where N_d was prevented from modifying rain formation through the autoconversion parameterisation, and in these simulations there was a negligible change in cloud fraction over the North Atlantic.
- To conclude, the causal chain of events highlighted over two decades ago (e.g. Haywood and Boucher, 2000) of increases in 690 cloud droplet number concentration decreasing cloud effective radius (Twomey, 1974), which delays auto-conversion and precipitation processes leading to greater cloud liquid water (Albrecht, 1989) appears to apply in this study. Because our study targets the impacts of aerosols on clouds from an observational basis using an in-plume/out of plume mask, it cannot explicitly account for changes in cloud fraction. Significant changes in cloud fraction have been demonstrated for the Holuhraun eruption using a machine learning approach (Chen et al., 2022). We recommend that ensembles of climate model simulations (e.g. 695 Jordan et al. 2023<u>4</u>), higher resolution nested simulations and a more comprehensive use of a Lagrangian framework (e.g. Coopman et al., 2018,) of this opportunistic experiment would provide a more detailed assessment on the causality of meteorological conditions affecting the aerosol perturbation to cloud properties.

700 Code and data availability

The MODIS cloud and products from Aqua (MYD08_L2) used in this study are available from the Atmosphere Archive and Distribution System Distributed Active Archive Center of National Aeronautics and Space Administration (LAADS-DAAC, NASA), https://ladsweb.modaps.eosdis.nasa.gov. The OMPS SO₂ (OMPS_NPP_NMSO2_PLC_L2 v2) data used in this study is available to download from GES-DISC, NASA, https://disc.gsfc.nasa.gov/datasets/OMPS_NPP_NMSO2_PCA_L2_2/summary. Simplified data and code required to reproduce the main figures in this article will be provided on Zonodo (link placeholder).

Author contributions

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AP and JH designed the study. Gridded MODIS <u>Aqua</u> data <u>was was</u> created from Level 2 products by YC. GJ ran the model simulations. ED and DP provided guidance in the use of HYSPLIT trajectories. Data analysis and figure preparation was

710 completed by AP. All co-authors provided discussion on the interpretation of results. AP wrote the manuscript with advice from all co-authors.

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 - **Competing interests**

The authors declare that they have no conflicts of interest.

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