



# <sup>1</sup> **Effects of 2010-2045 climate change on ozone levels in China under**  <sup>2</sup> **carbon neutrality scenario: Key meteorological parameters and**  <sup>3</sup> **processes**

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13 **Abstract.** We examined the effects of 2010-2045 climate change on ozone  $(O_3)$  levels in China under carbon neutrality 14 scenario using the Global Change and Air Pollution version 2.0 (GCAP 2.0). In eastern China (EC), GCAP 2.0 and other six 15 models from Coupled Model Intercomparison Projection Phase 6 (CMIP6) all projected increases in daily maximum 2-m 16 temperature (T2max), surface incoming shortwave radiation (SW), and planet boundary layer height, and decreases in relative 17 humidity (RH) and sea level pressure. Future climate change is simulated by GCAP 2.0 to have large effects on  $O_3$  even under 18 carbon neutrality pathway, with summertime regional and seasonal mean MDA8 O<sub>3</sub> concentrations increased by 2.3 ppbv 19 (3.9%) over EC, 4.7 ppbv (7.3%) over North China Plain, and 3.0 ppbv (5.1%) over Yangtze River Delta. Changes in key 20 meteorological parameters were found to explain 58-76% of the climate-driven MDA8  $O_3$  changes over EC. The most 21 important meteorological parameters in summer are T2max and SW in northern and central EC and RH in southern EC. 22 Analysis showed net chemical production was the most important process that increases  $O_3$ , accounting for 34.0-62.5% of the 23 sum of all processes within the boundary layer. We also quantified the uncertainties in climate-induced MDA8  $O_3$  changes by 24 using CMIP6 multi-model projections of climate and a stepwise multiple linear regression model. GCAP 2.0 results are in the 25 lower-end of the climate-induced increases in MDA8  $O<sub>3</sub>$  from the multi-models. These results have important implications for

26 policy-making regarding emission controls under the background of climate warming.

## 27 **1 Introduction**

28 Tropospheric ozone  $(O_3)$  is a major secondary gas pollutant produced by the complicated photochemical reactions of 29 methane (CH<sub>4</sub>), carbon monoxide (CO), volatile organic compounds (VOCs) and nitrogen oxides (NO<sub>x</sub>) in the presence of 30 sunlight. It has adverse effects on human health (Lu et al., 2020; Li et al., 2021; Hong et al., 2019; Dang and Liao, 2019a), 31 ecosystem (Yue et al., 2017; Grulke and Heath, 2020; Ainsworth et al., 2020), and climate (Checa‐Garcia et al., 2018; Dang





 and Liao, 2019a). Chinese government has implemented the Air Pollution Prevention and Control Action Plan since 2013, 33 leading to large decline in  $NO_x$  emissions and  $PM_{2.5}$  concentrations (Zheng et al., 2018; Zhai et al., 2019), but  $O_3$  pollution in eastern China (EC) became worse over the same time period (Tang et al., 2022; Li et al., 2020; Gong et al., 2020; Dang et al., 2021). Ozone pollution was particularly severe in the North China Plain (NCP), and observed summer mean maximum daily 36 8-h average (MDA8)  $O_3$  concentrations increased at a rate of 3.3 ppb yr<sup>1</sup> in NCP from 2013 to 2019, and reached 83 ppb by 37 2019 (Li et al., 2020). Therefore, it is worth paying attention to the mid-to-long-term changes in  $O_3$  concentrations in China in the future.

 The projections of future climate or air quality rely on the future emission pathways under different socioeconomic scenario assumptions. Shared Socioeconomic Pathways (SSPs) are the state-of-the-art global emission scenarios, which combines socioeconomic and technological development with future climate radiative forcing outcomes into a scenario matrix architecture (Gidden et al., 2019). Gidden et al. (2019) constructed nine scenarios of future emissions trajectories, including SSP1-1.9, SSP1-2.6, SSP2-4.5, SSP3-7.0, SSP3-LowNTCF, SSP4-3.4, SSP4-6.0, SSP5-3.4-Overshoot (OS), and SSP5-8.5. 44 Among all scenarios, only the SSP1-1.9 scenario achieves net negative emissions of carbon dioxide  $(CO<sub>2</sub>)$  for China and the world by 2060 (Gidden et al., 2019; Wang et al., 2023), and thus we defined it as the carbon neutrality scenario and applied in this work. The SSPs scenarios are used in Scenario Model Intercomparison Project (ScenarioMIP) in Coupled Model Intercomparison Projection Phase 6 (CMIP6) to facilitate the integrated analysis of future climate impacts, vulnerabilities, adaptation, and mitigation (Gidden et al., 2019; Riahi et al., 2017).

49 Future  $O_3$  concentrations depend on the future emissions. Shi et al. (2021) projected the  $O_3$  concentration changes in China over 2020-2060 with no changes in meteorological conditions based on the Chinese Academy of Environmental 51 Planning Carbon and Air Quality Pathways (CAEP-CAP) for pursuing the carbon neutrality. The  $90<sup>th</sup>$  percentile of daily 52 maximum 8-h average (MDA8)  $O_3(90^{\text{th}}$  MDA8  $O_3)$  in China reduced from 138 µg m<sup>-3</sup> in 2020 to 93 µg m<sup>-3</sup> in 2060 (a 84% 53 reductions in 90<sup>th</sup> MDA8 O<sub>3</sub>). Based on Ambitious-pollution-Neutral-goals scenario from the Dynamic Projection model for Emissions in China (DPEC), Xu et al. (2022) used a regional climate-chemistry-ecology model to assess the impacts of regional emission reductions in China with the goal of achieving carbon neutrality by 2060, and found that the national average annual O<sub>3</sub> concentrations would decline by 35.6 μg m<sup>-3</sup> over 2015-2060. Wang et al. (2023) reported by using the GEOS-Chem model that the O<sup>3</sup> levels in Beijing-Tianjin-Hebei Region (BTH), Yangtze River Delta Region (YRD), Pearl River Delta Region (PRD), Sichuan Basin Region (SCB), and Fenwei Plain (FWP) under SSP1-1.9 scenario could meet the air quality standard 59 by 2030, while those under SSP5-8.5 could not meet even by 2060. The 90<sup>th</sup> MDA8  $O_3$  in BTH, YRD, PRD, SCB, and FWP during 2015-2060 would change by -27.3%, -27.6%, -33.1%, -33.1%, and -31.8% under SSP1-1.9 scenario, and by +8.6%, +7.6%, +5.2%, -0.5%, and +2.9% under SSP5-8.5 scenario (Wang et al., 2023), respectively. However, these studies did not 62 examine the effects of future climate change on  $O_3$  concentrations.

 Future O<sup>3</sup> concentrations also depend on future climate. Using the Weather Research and Forecasting Model with Chemistry (WRF-Chem) driven by Community Climate System Model version 3 (CCSM3), Liu et al. (2013) predicted that 65 climate change caused a 1.6 ppb increase in surface  $O_3$  over South China in October 2000-2050 under the IPCC A1B scenario.





66 They show that future elevated near-surface temperature (1.6 °C) and increased emissions of isoprene (5-55%) and 67 monoterpenes (5-40%) would lead to increases in chemical production of  $O_3$ . By using GEOS-Chem model driven by NASA 68 Goddard Institute for Space Studies (GISS) general circulation model (GCM) 3 under the A1B scenario, Wang et al. (2013) 69 reported that climate change would cause a 0.55 ppby increase in annual mean surface  $O_3$  in EC over 2000-2050, in which 70 more than 40% could be attributed to climate-induced increases in biogenic VOCs (BVOCs) emissions. Climate-induced 71 increases in  $O_3$  levels over EC are most pronounced and spatially extensive in summer, with a summer-average of 1.7 ppbv 72 and a maximum of 10 ppbv. By employing a combination of models, Hong et al. (2019) projected that warm-season (April-73 September) averages of daily 1-h maximum O<sub>3</sub> levels would increase by 2-8 ppb in most of EC from 2006–2010 to 2046– 74 2050 under the Representative Concentration Pathway 4.5 (RCP4.5), in which 14% could be attributable to increased future 75 heat wave days. Based on sensitivity simulations from five CMIP6 models by fixing sea surface temperatures (SSTs) at present-76 day or future conditions in the SSP3-7.0 scenario, Zanis et al. (2022) reported that the sensitivity of  $O_3$  to temperature would 77 enhance in regions close to anthropogenic sources or BVOCs emission sources (e.g., southern EC), with the values ranging 78 from 0.2 to 2 ppbv  $^{\circ}C^{-1}$ . However, the scenarios utilized in these studies are not the representative scenarios in China in the 79 context of carbon neutrality.

80 Few studies have examined the impacts of climate change under low-carbon or carbon-neutrality scenario. Li et al. (2023) 81 showed that the annual mean surface  $O_3$  during 2025-2095 increased by 0-2 ppb over EC under the SSP1-2.6 scenario by using 82 a machine learning (ML) model along with multi-source data,with reduced relative humidity and enhanced downward solar 83 radiation in the future favouring photochemical formation of surface  $O_3$ . Zhu et al. (2024) investigated the effects of global 84 and regional SSTs changes on surface  $O_3$  levels in China during the warm season in 2050 (averaged over 2045-2054) based 85 on global chemistry model simulations. They found that, compared with SSP5-8.5 scenario, future cooling of global ocean, 86 North Pacific Oceans, and Southern Hemisphere oceans in SSP1-1.9 scenario would contribute to 0.79, 0.48, and 0.58 ppbv 87 decreases in surface  $O_3$  concentrations over EC, respectively, as a result of the weakened chemical production and anomalous 88 upward airflow. However, these studies did not quantify the impacts of the dominant meteorological parameters and processes.

89 Climate change can influence tropospheric  $O_3$  through altering meteorological fields and meteorology-sensitive physical 90 and chemical processes. Integrated process rate (IPR) analysis, multiple linear regression (MLR) model and Lindeman, 91 Merenda, and Gold (LMG) method are widely used to examine the contributions of main processes and key meteorological 92 parameters to  $O_3$  changes in China (Gong et al., 2022; Dang et al., 2021; Li et al., 2019). Liu et al. (2013) found that climate-93 induced changes in boundary layer  $O_3$  budget were dominated by chemical processes, with gas-phase chemical reaction yield 94 increasing by 3ppb h<sup>-1</sup> in PRD over 2000-2050. The maximum increases in  $O_3$  by chemical process were located in areas with 95 significant warming as well as high anthropogenic and biogenic emissions of precursors. By combining MLR model and LMG 96 method, Dang et al. (2021) showed that higher temperature and anomalous southerlies were key meteorological contributors 97 to summer  $O_3$  increases in NCP in 2017 relative to 2012, while weaker wind speeds and lower relative humidity were the key 98 contributors in YRD. Gong et al. (2022) found by using the IPR analysisthat net chemical production, diffusion, dry deposition,





99 horizontal advection and vertical advection during  $O_3$  pollution events in 2014-2017 changed by 3.3, -1.1, -0.4, -9.1 and 8.1  $\,$  Gg O<sub>3</sub> d<sup>-1</sup> in North China relative to the seasonal mean values. The positive effects of net chemical production and vertical advection were associated with a typical weather pattern characterized by high daily maximum temperatures, low relative humidity, anomalous southerlies and divergence in the low troposphere, and anomalous downward airflow from 500 hPa to the surface. However, to our knowledge, no study has combined these approaches to quantify the roles of key meteorological 104 parameters and associated processes in climate-induced changes in tropospheric  $O<sub>3</sub>$  levels in China under the carbon neutrality scenario.

106 In this study, based on the version 2.0 of the Global Change and Air Pollution (GCAP 2.0) model framework, we examine 107 the effects of 2010-2045 climate change on  $O_3$  levels in China under carbon neutrality scenario, focusing on the key 108 meteorological parameters and processes for climate-induced  $O<sub>3</sub>$  changes by using the stepwise MLR model, LMG method 109 and IPR analysis. The observations and CMIP6 data, numerical models and experiments, and statistical analysis methods are 110 given in Sect. 2. Section 3.1 shows GCAP 2.0 projected climate change over 2010-2045 and the comparison with other six 111 CMIP6 model projections. Simulated present-day  $O_3$  concentrations and model evaluation, and future tropospheric  $O_3$  changes 112 driven by 2010-2045 climate change are presented in Sect. 3.2. Section 3.3 quantifies the key meteorological parameters and 113 processes for climate-induced  $O_3$  changes. The climate-driven MDA8  $O_3$  changes predicted by stepwise MLR model using 114 climate outputs from CMIP6 models are shown in Sect. 3.4. Section 3.5 examines briefly the effects of emission change alone 115 on  $O_3$  levels. The conclusions are presented in Sect. 4.

#### 116 **2 Data and methods**

## 117 **2.1 Observations**

118 The real-time monitoring air quality data released by the China National Environmental Monitoring Center (CNEMC) 119 became operational in 2013.  $O_3$  concentrations are measured by the ultraviolet spectrophotometry method, following the China 120 Environmental Protection Standards 'HJ 654-2013' 121 (https://www.mee.gov.cn/ywgz/fgbz/bz/bzwb/jcffbz/201308/W020130802491142354730.pdf). We used hourly O<sup>3</sup> 122 concentrations at 1479 sites nationwide in 2015 and converted the data unit from micrograms per cubic meter ( $\mu$ g m<sup>-3</sup>) to parts 123 per billion per volume (ppbv). Data quality control went through the following steps: (1) negative or missing values were 124 removed; (2) MDA8 O<sub>3</sub> concentration was calculated if there were at least 6 hours of valid data in each 8-hour period; (3) a 125 site with more than 95% valid data in 2015 was retained (1047 sites after data quality control). For model evaluation, observed 126 MDA8  $O_3$  concentrations were averaged over sites within each of the 2 $\degree$  latitude by 2.5 $\degree$  longitude model grid cell (with a total 127 of 118 grids).





#### **2.2 Numerical models and experiments**

#### **2.2.1 GCAP 2.0 model framework**

 GCAP 2.0 model framework is a one-way offline coupling between the version E2.1 of the NASA Goddard Institute for Space Studies (GISS-E2.1) GCM and the global 3-D chemical transport model GEOS-Chem (Murray et al., 2021). Both the GISS-E2.1 GCM and the GEOS-Chem models have a horizontal resolution of 2°latitude by 2.5°longitude with 40 vertical layers extending from the surface to 0.1 hPa.

 GISS-E2.1 GCM participated in CMIP6 experiments was described in detail by Kelley et al. (2020) and Miller et al. (2021). GISS-E2.1 contributed several configurations to CMIP6, and Murray et al. (2021) used the atmosphere-only configuration with the prescribed sea surface temperatures to re-perform the simulation of "r1i1p1f2" variant label and archived the subdaily meteorological diagnostics necessary for driving GEOS-Chem, namely GCAP 2.0 meteorology. The GCAP 2.0 meteorology (http://atmos.earth.rochester.edu/input/gc/ExtData/GCAP2/CMIP6/) for driving GEOS-Chem model (version 13.2.1, http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem\_13.2.1) only covered the periods of the pre- industrial era (1851-1860), the recent past (2001-2014), the near-future (2040-2049), and the end-of-the-century (2090-2099) for seven future scenarios.

142 Version 13.2.1 of the GEOS-Chem model has  $O_x$ -NO<sub>x</sub>-hydrocarbon-aerosol tropospheric chemistry mechanism (Bey et al., 2001; Pye et al., 2009) with the updated stratospheric chemistry mechanism from NASA's Global Modeling Initiative (GMI). Photolysis rates are calculated based on Fast-JX v7.0 scheme (Eastham et al., 2014; Jiang et al., 2013). Aerosols influence tropospheric O<sup>3</sup> through heterogeneous reactions and the changes in photolysis rates (Lou et al., 2014; Li et al., 2019). Dry deposition is computed using a resistance-in-series model (Wesely, 1989) with a number of modifications (Wang et al., 1998). Vertical mixing in planetary boundary layer (PBL) is calculated by a nonlocal scheme (Lin and Mcelroy, 2010). Cloud convection is parameterized as a single plume acting under the mean upward convective, entrainment, and detrainment mass for each level of a model column as archived from the GCM (Murray et al., 2021).

## **2.2.2 Emissions**

 The available emission years of SSPs inventory are 2015, 2020, 2030, 2040, 2050, 2060, 2070, 2080, 2090, and 2100. Therefore, corresponding to the mid-term climate change, we chose 2015 and 2050 emissions to represent the present-day and future emissions, respectively. Present-day (year 2015) and future (year 2050) anthropogenic and biomass burning emissions are given in Table 1. Year 2050 anthropogenic and biomass burning emissions are based on the SSP1-1.9 scenario of CMIP6 155 experiments. The anthropogenic and biomass burning emissions of NO<sub>x</sub>, CO, and NMVOCs are 27.2, 161.8, and 24.8 Tg yr<sup>-1</sup> in EC in 2015, respectively, and are projected to decrease by 80.0%, 63.2%, and 70.0% in 2050 relative to 2015, respectively. These changes are larger than the decreases in global total emissions (64.1%, 52.3%, and 31.6%, respectively). The anthropogenic emissions of sulfur dioxide (SO2), organic carbon (OC), and black carbon (BC) are projected to decrease by





159 95.3%, 67.1%, and 84.8% in EC, and by 79.9%, 69.1%, and 82.6% globally, respectively, while ammonia (NH3) emission 160 remains stable.

161 Table 1 also lists the climate-sensitive natural emissions, including lightning and soil emissions of  $NO<sub>x</sub>$  and biogenic 162 emissions of VOCs which are calculated online based on the GCAP 2.0 meteorology. Lightning and soil emissions of NOx 163 are calculated using the cloud-top height scheme of Price and Rind (1992) and the Berkeley-Dalhousie Soil  $NO<sub>x</sub>$ 164 Parameterization (BDSNP) scheme developed by Hudman et al. (2012), respectively. Biogenic VOCs (BVOCs) emissions are 165 computed using the Model of Emissions of Gases and Aerosols from Nature Version 2.1 (MEGAN v2.1) (Guenther et al., 166 2012). In present-day, the lightning and soil emissions of  $NO<sub>x</sub>$  and biogenic emissions of VOCs are 0.6, 1.1, and 16.0 Tg yr<sup>-1</sup> 167 in EC, respectively. Note that VOCs from the biogenic sources (16.0 Tg yr<sup>-1</sup>) are comparable to those from the anthropogenic 168 emissions (24.4 Tg yr<sup>1</sup>) in EC. Compared to 2015, lightning and soil emissions of NO<sub>x</sub> and the BVOCs emissions are predicted

169 to increase by 8.8%, 5.6 %, and 15.5% in EC, respectively.

**Table 1. The annual anthropogenic, biomass burning, and natural emissions (Tg yr-1** 170 **) for the present-day (year 2015) and the future** 

171 **(year 2050) under SSP1-1.9 scenario. The domain of eastern China (EC) is 21.00°**–**45.00°N, 106.25°**–**123.75°E.**







## 172 **2.2.3 Numerical experiments**

 Considering the available GCAP 2.0 meteorology, 2005-2014 meteorology is used to represent the present-day climate (2010), and 2040-2049 meteorology under SSP1-1.9 scenario is used to represent the future climate (2045). To examine the 175 respective and combined effects of future changes in climate and emissions on surface  $O<sub>3</sub>$  levels, four numerical experiments 176 are set up (Table 2). The simulations of CpdEpd, CpdEfut, CfutEpd, and CfutEfut represent, respectively,  $O_3$  levels under present-day climate and emissions, present-day climate and future emissions, future climate and present-day emissions, and future climate and emissions. Therefore, (CfutEpd minus CpdEpd) or (CpdEfut minus CpdEpd) indicates the individual effect 179 of climate change or emission change on  $O_3$  concentrations, and (CfutEfut minus CpdEpd) indicates the combined effect of climate and emission changes. To smooth out the noise of natural climate variabilities, each simulation is conducted for 10 years after a 1-year spin-up. Unless otherwise noted, all the results presented in this study are 10 yr averages of 2005-2014 or 2040-2049.

#### 183 **Table 2. Experiment design.**



#### 184 **2.3 Statistical analysis methods**

#### 185 **2.3.1 Stepwise MLR model and LMG method**

186 To identify meteorological variables that have a significant effect on climate-induced MDA8  $O_3$  changes, we applied 187 stepwise multiple linear regression (MLR) model to relate 10 yr daily MDA8  $O_3$  anomalies to 10 yr daily meteorological 188 parameter anomalies in the target region or each grid cell. The time series of 10 yr daily MDA8  $O_3$  anomalies are obtained by 189 (CfutEpd minus CpdEpd), and 10 yr daily meteorological parameter anomalies are obtained by subtracting 2005-2014 from 190 2040-2049. Nine meteorological variables are considered in the MLR analysis (Table 3), including daily maximum 2-m air 191 temperature (T2max), relative humidity (RH), surface incoming shortwave radiation (SW), planet boundary layer height 192 (PBLH), precipitation (PREC), sea level pressure (SLP), and 850 hPa wind fields (U850, V850, and WS850). We first 193 correlated 10 yr daily MDA8  $O_3$  anomalies with 10 yr daily meteorological parameter anomalies, and excluded meteorological 194 variables that are not significantly correlated with MDA8  $O_3$  at the 95% confidence level. We then performed collinearity 195 statistics on the retained meteorological variables based on the variance inflation factor (VIF): the meteorological variable with





196 the largest VIF was sequentially excluded until the VIFs of all meteorological variables were less than 10. After these steps, 197 the reserved meteorological variables were read into the stepwise MLR model, which is in the following form (Li et al., 2019):

198  $y = \beta_0 + \sum_{k=1}^{N} \beta_k x_k + interaction term$ , (1)

- 199 where y is the daily MDA8 O<sub>3</sub> anomalies,  $(x_1, ..., x_N)$  are the N meteorological variable screened by stepwise MLR model, 200 and  $\beta_k$  is the regression coefficient for the k-th meteorological variable. The adjusted coefficient of determination ( $\mathbb{R}^2$ -adj) of 201 MLR equation represents the proportion of climate-induced MDA8 O<sub>3</sub> changes that can be explained by the changes in key 202 meteorological variables.
- 203 We then used the Lindeman, Merenda, and Gold (LMG) method (Grömping, 2006) to quantify the relative contribution 204 of each meteorological variable reserved in MLR equation. The LMG method decomposes the MLR model-explained total
- 205  $\mathbb{R}^2$  adj into non-negative individual  $\mathbb{R}^2$  adj contribution from each correlative regressor.

# 206 **Table 3. Meteorological variables considered in the statistical analysis.**



207 a Temporal resolution is 1-hour

 $208$  b Temporal resolution is 3-hour

209  $\degree$  Calculated from the horizontal wind vectors (U850, V850).

## 210 **2.3.2 IPR analysis**

211 Integrated process rate (IPR) analysis is used to quantify the contributions of climate-driven change in physical and

212 chemical processes to  $O_3$  mass changes in different seasons in EC (21.00-45.00°N, 106.25-123.75°E). Five processes that

213 influence  $O_3$  levels are investigated, including net chemical production, PBL mixing, dry deposition, cloud convection, and

214 horizontal and vertical advection transport, which jointly determine the  $O_3$  mass balance. All of the processes are diagnosed at

215 every timestep and then summed over each day. The contribution of each process was calculated following Eqs. (2) and (3)

216 (Dang and Liao, 2019b):





$$
217 \quad PC_{DIFF\_i} = PC_{C\text{futEpd\_i}} - PC_{C\text{pdEpd\_i}} \,, \tag{2}
$$

218 
$$
\%PC_{DIFF\_i} = \frac{PC_{DIFF\_i}}{\sum_{i}^{n} abs(PC_{DIFF\_i})} \times 100\%
$$
\n(3)

219 where *n* is the number of processes ( $n = 5$ ),  $PC_{\text{CpdEpd}\_\ell}$  and  $PC_{\text{CfutEpd}\_\ell}$  are the seasonal mean O<sub>3</sub> mass by process *i* from the 220 CpdEpd and CfutEpd simulations, respectively, and  $PC_{DIFF\_i}$  is the climate-driven change in O<sub>3</sub> mass by process i. % $PC_{DIFF\_i}$ 221 is the proportion of process *i* in the total  $O_3$  mass change caused by all processes. Note that the sum of absolute values of 222  $\%PC_{DIFF_i}$  for all processes equals 100%. The IPR analysis method has been widely used in previous studies to identify the 223 key processes that contribute to air pollution episodes (Gong and Liao, 2019; Dai et al., 2023; Dang and Liao, 2019b) or drive 224 the interannual and decadal variations in air pollutants (Yang et al., 2022; Mu and Liao, 2014).

## 225 **2.4 CMIP6 data**

 The projected climate change by GCAP 2.0 may have uncertainties. To identify the range of uncertainties of the effects 227 of climate change on MDA8 O<sub>3</sub>, we downloaded multi-model results of monthly means of the meteorological variables consistent with those in Table 3 in present-day (2005-2014) and future (2040-2049) under SSP1-1.9 scenario from the CMIP6 229 data repository (https://esgf-node.llnl.gov/search/cmip6/). Since only six climate models in CMIP6 can provide PBLH, we selected outputs with the "r1" variant label from these models (Table S1). Note that GISS-E2.1-G and GISS-E2.1-H are coupled models of the GISS-E2.1 atmospheric model with the GISS and HYCOM ocean models, respectively, while the GCAP 2.0 (or GISS-E2.1) is the atmosphere-only model with the prescribed sea surface temperatures. We extracted the monthly 233 values for 2005-2014 and 2040-2049 from the raw data and interpolated them into GCAP 2.0 resolution ( $2^\circ \times 2.5^\circ$ ) by bilinear interpolation.

#### 235 **3 Results**

# 236 **3.1 Projected future climate change over China**

#### 237 **3.1.1 Projected climate change over 2010-2045 by GCAP 2.0**

 Figure 1 shows the projected 2010-2045 changes in seasonal mean T2max, RH, SW, PBLH, PREC, U850 and V850, and SLP in winter (December-January-February, DJF), spring (March-April-May, MAM), summer (June-July-August, JJA), and autumn (September-October-November, SON) over China by GCAP 2.0 (or GISS-E2.1 GCM) under SSP1-1.9 scenario. The projected T2max, SW, and PBLH generally increase over EC while RH generally decreases. Regionally, the maximum increases in T2max occur in the northeastern China in DJF (2.0-2.5 K). NCP (green rectangle in Fig. 1) has the largest temperature increases in other seasons, with values of 2.0-2.5 K in MAM, 1.5-2.0 K in JJA, and 1.0-1.5 K in SON. RH has a decrease of 2-6% over northern China in MAM and JJA, and of 2-4% over southern China in SON. Changes in SW and PBLH have similar spatial distributions, both of which increase largely over northern China in MAM and JJA. Precipitation generally





- increases over southeastern China in DJF and SON, and decreases in northern China in MAM. With respect to atmospheric circulations, over the Northwestern Pacific Ocean, there is an anomalous high-pressure in DJF and an anomalous low-pressure in other seasons. As a result, over EC, anomalous southerlies prevail in DJF and anomalous northwesterlies/northerlies prevail
- in other seasons.



 **Figure 1. Projected 2010-2045 changes in seasonal mean (a) daily maximum 2-m air temperature (T2max, K), (b) surface relative humidity (RH, %), (c) surface incoming shortwave radiation (SW, W m-2) , (d) planet boundary layer height (PBLH, m), (e) precipitation (PREC, mm d-1 ), and (f) wind fields at 850 hPa (arrows, m s-1 ) and sea level pressure (SLP, shades, hPa) by GCAP 2.0 under SSP1-1.9 scenario. The dotted areas and red arrows represent a statistically significant difference at 95% confidence according to Student's two sample t test. The black, green and blue rectangles in (a) indicate the domain of eastern China (EC, 21.00- 45.00**°**N, 106.25-123.75**°**E), North China Plain (NCP, 35.00-41.00°N, 113.75-118.75°E), and Yangtze River Delta (YRD, 29.00-33.00°N, 118.75-123.75°E), respectively.**





#### **3.1.2 Comparisons with projected climate change from other CMIP6 models**

 The projected 2010-2045 changes in meteorological parameters (Table 3) under SSP1-1.9 scenario over EC by GCAP 2.0 are compared with those from six other CMIP6 models in Fig. 2. Increases in T2max, SW, and PBLH throughout the year are robust features among all CMIP6 models. Most models projected reductions in RH and SLP and increases in PREC. However, there are large model differences in winds at 850 hPa with inconsistent sign of changes. On a multi-model mean 263 (MMM) basis, projected annual mean changes over EC in T2max, SW, PBLH, PREC, RH, and SLP are 1.4 K, 11.8 W m<sup>-2</sup>, 264 30.6 m, 0.3 mm day<sup>-1</sup>, -0.7%, and -0.3 hPa, respectively. Consistent with the MMM, the GCAP 2.0 projections show overall 265 increases in T2max, SW, PBLH, and PREC and decreases in RH and SLP, with the annual mean changes of 1.1 K, 7.3 W m<sup>-</sup>  $\degree$ , 23.7 m, 0.03 mm day<sup>-1</sup>, -1.3%, and -0.3 hPa, respectively. Therefore, relative to the MMM, GCAP 2.0 underestimates the 267 increases in T2max, SW, PBLH, and PREC and overestimates the decreases in RH. The uncertainties in simulated future  $O<sub>3</sub>$ caused by the uncertainties in future climate change will be quantified in Sect. 6.





- **average of the six CMIP6 models. Different markers represent different models, black lines represent MMM, and red stars represent**
- **GCAP 2.0 results.**





## 276 **3.2 Simulated present-day and future tropospheric O<sup>3</sup>**

## 277 **3.2.1 Present-day tropospheric O<sup>3</sup> and model evaluation**

 Figure 3 shows simulated present-day MDA8 O<sup>3</sup> concentrations from CpdEpd simulation and the observations in 2015 279 from CNEMC. We use 2015 observations to evaluate the simulated present-day MDA8  $O_3$  concentrations because emissions of year 2015 are used for present-day. Simulated MDA8 O3 concentrations in EC are highest in JJA (50-70 ppbv), followed by MAM (35-55 ppbv), SON (30-50 ppbv), and DJF (10-45 ppbv). The model generally captures the spatial distributions of the observed seasonal mean MDA8 O<sup>3</sup> levels over China, with spatial correlation coefficients (R) of 0.63, 0.12, 0.54, and 0.33 in DJF, MAM, JJA, and SON, respectively. Dang and Liao (2019a) also reported a low spatial correlation coefficient (R of 284 0.08) between observed and simulated seasonal mean  $O_3$  in China in MAM of 2014-2017, which was attributed to the negative 285 biases in NCP and YRD whereas the positive biases outside these two regions. The model overestimates MDA8 O<sub>3</sub> concentrations in China, with normalized mean biases (NMBs) of 7.1-18.6% in different seasons. Figure S1 shows monthly 287 variations in simulated and observed MDA8 O<sub>3</sub> levels over EC, NCP, and YRD. Both observed and simulated monthly mean 288 MDA8  $O_3$  concentrations are high during warm months (April-September) in these three regions. The NMBs in EC, NCP, and YRD are 11.1%, -12.8% and -0.9%, respectively, which is consistent with results of Dang and Liao (2019a). The scattering plots of model results vs. observations for grids in these three regions show correlation coefficients (R) of 0.76 to 0.94 when all of the year 2015 data are considered.







292

293 **Figure 3. Spatial distributions of observed (CNEMC, circles) and simulated (CpdEpd, shades) seasonal mean MDA8 O<sup>3</sup>** 294 **concentrations (ppbv) in 2015. Observed (OBS) and simulated (MOD) values that averaged over 118 grids, and their spatial**  295 **correlation coefficients (R) and normalized mean biases (NMB) are also shown at the bottom right corner of each panel.**

# 296 **3.2.2 Future changes in tropospheric O<sup>3</sup> driven by climate change**

297 Figure 4a shows future changes in seasonal mean MDA8 O<sub>3</sub> concentrations due to climate change (CfutEpd minus 298 CpdEpd). Climate change alone causes large increases in MDA8  $O<sub>3</sub>$  values over EC in MAM and JJA, and the maximum value 299 reaching 7.6 ppbv in NCP in JJA. In DJF, MAM, JJA, and SON, the regional and seasonal mean MDA8  $O_3$  values increase by 300 0.5 (1.5%), 1.3 (2.7%), 2.3 (3.9%), and 0.4 ppbv (1.0%) in EC, by 0.4 (2.0%), 2.8 (6.7%), 4.7 (7.3%), and 1.5 ppbv (4.6%) in 301 NCP, and by 1.1 (3.5%), 1.7 (3.3%), 3.0 (5.1%), and 0.3 ppbv (0.6%) in YRD, respectively.







#### 302

303 **Figure 4. Predicted future changes in seasonal mean MDA8 O<sup>3</sup> concentrations (ppbv) due to (a) climate change alone (CfutEpd**  304 **minus CpdEpd), (b) emission change alone (CpdEfut minus CpdEpd), and (c) combined climate and emission changes (CfutEfut**  305 **minus CpdEpd) under SSP1-1.9 scenario. The black, green and blue rectangles indicate the domain of EC, NCP, and YRD,**  306 **respectively. The dotted areas represent a statistically significant difference at the 95% level according to Student's two sample** *t* 307 **test. The values at the top right of each panel are the regional mean values of EC, NCP, and YRD, respectively.**

308 The pressure-latitude cross sections of climate-driven seasonal mean  $O_3$  changes from the surface to 500 hPa for EC, 309 NCP, and YRD are shown in Fig. 5. Vertically,  $O_3$  increases of exceeding 1 ppbv extend from the surface to 500 hPa altitude 310 over the three regions in JJA. The maximum  $O_3$  increases of 4-5 ppbv in NCP occur both at the surface and around 850 hPa, 311 and those of 3-5 ppbv in the YRD occur between 930 and 736 hPa. The  $O_3$  increases over EC is large below 700 hPa over 25-312 41 °N, and the location of high values shifts from north to south with altitude, which is dominated by the pattern of NCP. In 313 other seasons, the  $O_3$  increases of 1-3 ppbv are generally near the surface.







## **3.3 Key meteorological parameters and processes for climate-induced O<sup>3</sup> changes**

## **3.3.1 Key meteorological parameters for climate-induced MDA8 O<sup>3</sup> changes**

 For climate-induced changes in MDA8 O3, the stepwise MLR model is used to identify key meteorological variables that 320 have statistically significant effect on MDA8  $O_3$ , and the obtained  $R^2$  adj represents the proportion of climate-induced MDA8 O<sub>3</sub> changes that can be explained by the changes in these key meteorological variables retained in MLR equation. Then, the 322 LMG method decomposes the MLR model-explained total  $R^2$  adj and get the relative contribution of each meteorological variable.

 Table 4 shows the MLR equations between the daily anomalies of MDA8  $O<sub>3</sub>$  and daily anomalies of meteorological 325 variables over EC for each season. The daily anomalies of both MDA8  $O_3$  and meteorological variables are 10 yr daily values, which were derived from (CfutEpd minus CpdEpd) and ((2040-2049) minus (2005-2014)), respectively. For each key meteorological variable, the positive or negative regression coefficient represents statistically significant positive or negative 328 effect of this variable on MDA8 O<sub>3</sub> concentrations. The  $R^2$  adj of the MLR equations are 0.76, 0.74, 0.58, and 0.76 in DJF,

 **Figure 5. The pressure-latitude cross sections of climate-driven seasonal mean O<sup>3</sup> changes (ppbv) averaged over the longitudes of (a) 106.25-123.75**°**E for EC, (b) 113.75-118.75°E for NCP, and (c) 118.75-123.75°E for YRD**.





- 329 MAM, JJA, and SON, respectively, indicating 76%, 74%, 58%, and 76% of the climate-induced changes in MDA8  $O_3$  can be 330 explained by the changes in the key meteorological variables retained in MLR equations. Figure 6 shows LMG decomposed 331 contribution of each key meteorological variable in fitting climate-driven MDA8  $O_3$  changes over EC. The top three important 332 meteorological variables are T2max, SW, and RH, with the total contributions of 71.2% (T2max + SW + RH) in DJF, 78.2% 333 (T2max + SW + RH) in MAM, 70.1% (SW + RH + T2max) in JJA, and 49.9% (T2max + RH) in SON. PBLH is also a major 334 meteorological variable with the contributions of 9.6-24.5% in different seasons. The total contributions of the circulation 335 changes are 13.4% (SLP + WS850 + V850), 9.8% (V850 + U850), 11.4% (WS850 + V850 + SLP), and 9.5% (SLP + V850 + 336 WS850) in DJF, MAM, JJA, and SON, respectively.
- 337 **Table 4. Stepwise multiple linear regression (MLR) equations between the daily anomalies of MDA8 O<sup>3</sup> (CfutEpd minus CpdEpd)**
- 338 **and daily anomalies of meteorological parameters in EC. All the regression coefficients shown in the equations passed the** *t***-test of**
- 339 **significance at 0.05 level.**









340

341 **Figure 6. The LMG decomposed contribution (%) of each meteorological variable screened by stepwise MLR model in fitting**  342 **climate-driven MDA8 O<sup>3</sup> changes over EC. See Table 3 for the meanings of the abbreviations of meteorological variables.**

343 Large-scale regional average could obscure local characteristics, so we further conducted MLR and LMG analysis on 344 each grid cell to identify the first and second most important meteorological parameters (hereafter called "1<sup>st</sup> MET" and "2<sup>nd</sup> 345 MET") in China as shown in Fig. 7. In DJF, the  $1<sup>st</sup>$  MET is T2max in southern EC and is SW or PBLH in northern EC, which 346 has the relative contributions of 30-70% from LMG analyses. In JJA, the 1<sup>st</sup> MET is T2max in most parts of northern EC (north 347 of 36°N), SW in most parts of central EC (26-36°N), Beijing, and Tianjin, and RH and WS850 in southern EC (south of 26°N). 348 In the corresponding areas, T2max and SW have relative contributions of 30-70% and RH has relative contributions of 10- 349 30%. The regional heterogeneity of the  $2<sup>nd</sup> MET$  increases compared to the 1<sup>st</sup> MET. In DJF, the  $2<sup>nd</sup> MET$  is RH in northern 350 EC and SW in southern EC, with relative contributions of 10-30%. In JJA, the  $2<sup>nd</sup> MET$  is mainly SW or T2max in northern 351 EC and RH or WS850 in southern EC. The relative contribution of  $2<sup>nd</sup> MET$  (SW or T2max) in central EC can have relative 352 contributions of 30-50% in JJA. In summary, the key meteorological parameters for climate-induced MDA8  $O_3$  changes are 353 not only temperature, but also SW, RH, and PBLH, depending on locations and seasons.





354



**Figure 7. The (a) 1<sup>st</sup> and (b) 2<sup>nd</sup> important meteorological parameters (1<sup>st</sup> MET and 2<sup>nd</sup> MET, respectively) for climate-induced** 356 MDA8 O<sub>3</sub> changes in China and their relative contributions in DJF and JJA., All 1<sup>st</sup> MET and 2<sup>nd</sup> MET in each  $2^{\circ} \times 2.5^{\circ}$  grid cell 357 **are statistically significantly correlated with MDA8 O<sup>3</sup> (p < 0.05). The overlaid fill patterns represent the relative contribution of the**  358 **meteorological variable at this grid.**

## 359 **3.3.2 Key processes for climate-induced O<sup>3</sup> changes**

360 We performed IPR analysis to understand the intrinsic mechanism of the impact of climate change on  $O_3$  in EC. Figure 8 361 show the vertical profiles of present-day seasonal mean  $O_3$  mass and climate-driven  $O_3$  mass changes of five processes (net 362 chemical production, PBL mixing, dry deposition, cloud convection, and horizontal and vertical advection transport) in EC. 363 Since surface  $O_3$  concentrations are determined by the processes within the boundary layer (Gong and Liao, 2019), we also 364 listed in Table 5 the present-day  $O_3$  budget of five processes in EC within the boundary layer and the climate-driven  $O_3$  budget 365 changes by each process.

366 In present-day (Fig. 8a), net chemical production is negative at the surface due to the  $O_3$  titration effect by abundant NO<sub>x</sub> 367 and is positive in the upper levels due to the decreases in  $NO<sub>x</sub>$  concentrations and the strong solar radiation (Gong and Liao, 368 2019). PBL mixing refers to  $O_3$  mass fluxes by turbulence within the boundary layer, which transports  $O_3$  based on the 369 concentration gradient. Since O<sup>3</sup> concentrations are higher in the upper boundary layers than at the surface (Fig. S3), PBL 370 mixing leads to the decreases in  $O_3$  in upper layers (950 to 800 hPa) and increases in surface-layer  $O_3$  levels. Dry deposition 371 occurs only at the surface, with the values of  $-122.1$  to  $-37.5$  Gg d<sup>-1</sup> in different seasons. Cloud convection process in GEOS-





372 Chem model describes the redistribution of species concentrations due to upward convection inside the cumulus and 373 subsidence outside the cumulus. Cloud convection has a large positive value below 950 hPa in all seasons due to the frequent 374 non-precipitation shallow convection in GISS-E2.1 (Wu et al., 2007; Miller et al., 2021) and higher  $O_3$  concentrations above 375 950 hPa. Horizontal and vertical advection below 850 hPa is positive in DJF and negative in other seasons. For the present-376 day O<sub>3</sub> budget within the boundary layer (Table 5,  $PC_{\text{cpdEpd}}$ ), net chemical production is the dominant process that contributes 377 to  $O_3$  budget in JJA, MAM, and SON, with the values of 136.3, 56.5, 37.6 Gg d<sup>-1</sup>, respectively. Cloud convection has 378 contributions of 11.0-34.4 Gg d<sup>-1</sup> to O<sub>3</sub> budget. The horizontal and vertical advection is 0.4 Gg d<sup>-1</sup> in DJF and -23.8 to -2.7 Gg 379  $d^{-1}$  in other seasons.

380 Under the impact of climate change (Fig. 8b), net chemical production exhibits distinct increases below 850 hPa in all 381 seasons, especially in MAM and JJA. Increases in T2max and SW (Figs. 1a and c) result in increases in BVOC emission rates 382 by 0.4-2.9 10<sup>-11</sup> kg m<sup>-2</sup> s<sup>-1</sup> (Fig. S3) and in photochemical reaction rates, while decreases in RH (Fig. 1b) result in decreases in 383  $O_3$  destruction (Gong and Liao, 2019), which together promote the net chemical production of  $O_3$ . Increase in surface  $O_3$  mass 384 by PBL mixing indicates that more  $O_3$  enters the boundary layer and mixes to the surface as a result of increased PBLH (Fig. 385 1d). The importance of chemical process and PBL mixing corresponds well with the  $1<sup>st</sup>$  and  $2<sup>nd</sup>$  MET shown in Fig. 7. Dry 386 deposition removes more  $O_3$  due to the increases in net chemical production of  $O_3$ . Cloud convection increases near-surface 387  $O_3$  mass in DJF and MAM but decreases those in JJA. Changes in horizontal and vertical advection reduce  $O_3$  mass in EC at 388 layers below 850 hPa. Anomalous low pressure over EC in DJF indicates the presence of anomalous upward advection (Fig. 389 1f). Anomalous northwesterlies over northern China in other seasons obstruct the northward transport of BVOCs from southern 390 China and promote the outflow of  $O_3$  and its precursors from EC. Circulation changes have an important effect on JJA  $O_3$ 391 concentrations, which are also confirmed by the 1st and  $2<sup>nd</sup> MET (RH or WS850)$  in southern EC (Fig. 7).





**Figure 8. (a) Vertical profile of seasonal mean O<sup>3</sup> mass (Gg d-1** 393 **) by five processes (bottom axis: net chemical production (Chem),**  394 **PBL mixing (PBL), dry deposition (Ddep), cloud convection (Cloud\_conv), and horizontal and vertical advection (Trans\_adv)) over** 





395 **EC in present-day (CpdEpd), and (b) the climate-driven changes in seasonal mean O<sup>3</sup> mass of each process(CfutEpd minus CpdEpd).**  396 **All the panels have the same vertical axis in hPa.**

The sums of the climate-driven  $O_3$  mass changes by all processes in EC are 0.6, 2.5, 6.5, and 1.7 Gg d<sup>-1</sup> in DJF, MAM, 398 JJA, and SON, respectively (Table 5,  $PC_{DIFF}$ ), which are consistent with the seasonal variations in climate-induced MDA8  $O_3$ 399 (Fig. 4). The net chemical production, dry deposition, and horizontal and vertical advection change by 3.3 to 16.4, -9.3 to -1.0, 400 and -4.3 to -0.8 Gg d<sup>-1</sup> in different seasons, respectively. The cloud convection increases by 1.5 Gg d<sup>-1</sup> in DJF and MAM and 401 decrease by 1.0 Gg d<sup>-1</sup> in JJA. Considering the relative contributions of individual processes (Table5, % $PC_{DIFF}$ ), net chemical 402 production is the most important process contributing to the increases of  $O_3$  mass in all seasons, with the relative contribution 403 of 34.0-62.5%. Horizontal and vertical advection in JJA (-16.6%) or dry deposition in other seasons (-37.9% to -13.7%) is the 404 major process that reduces  $O_3$  mass as the  $O_3$  mass increases from chemical reactions.

**405 Table 5. Seasonal mean O<sub>3</sub> budgets (Gg d<sup>-1</sup>) within the boundary layer over EC in CpdEpd (** $PC_{CpdEpd}$ **) and CfutEpd (** $PC_{CfutEpd}$ **).** 406 The climate-driven  $O_3$  budget changes of five process ( $PC_{DIFF}$ ), and the relative contribution of each process to the total  $O_3$  mass 407 **changes (**%**, %) are also listed, following Eqs. (2) and (3) described in Sect. 2.3.2.**







#### **3.4 Projections of climate-driven MDA8 O<sup>3</sup> changes from the CMIP6 models**

409 In Sect. 5.1, we applied the stepwise MLR model to relate 10 yr daily MDA8  $O_3$  anomalies to 10 yr daily meteorological parameter anomalies at each grid cell and obtained the corresponding MLR equation. The climate-driven seasonal mean MDA8 O<sub>3</sub> concentration changes projected by stepwise MLR model at each grid cell can be obtained by substituting the corresponding seasonal mean meteorological parameter anomalies of GCAP 2.0 into the regression equations obtained by daily anomalies 413 above, which will be referred to as Dev MLR\_MDA8 hereafter. The Dev\_MLR\_MDA8 values for a target region are then obtained by averaging over all the grid cells in the region. We selected EC, NCP, and YRD as the target regions in this study. Figures 9a-c evaluate the seasonal and annual mean Dev\_MLR\_MDA8 values averaged over EC, NCP, and YRD by comparing them with the simulated values by GCAP 2.0 (hereafter called Dev\_GCAP2\_MDA8). The seasonal and annual 417 mean values of Dev MLR MDA8 and Dev GCAP2 MDA8 are exactly the same, with the R value of 1.0 and the NMB value of 0.0% in all three regions. In China, the spatial distributions and magnitudes of the seasonal mean Dev\_MLR\_MDA8 values 419 are consistent with the seasonal mean Dev GCAP2 MDA8 values (Fig. S4), with high pattern correlation coefficients of 1.0 420 in four seasons, indicating that it is feasible to predict climate-driven MDA8  $O_3$  concentration changes by stepwise MLR model. Therefore, we input the corresponding seasonal mean meteorological parameter anomalies from the six CMIP6 models into 422 the regression equations to obtain multi-model projections of climate-induced MDA8  $O_3$  changes under carbon neutrality scenario.

424 Figures 9d-f shows the climate-driven seasonal and annual mean MDA8  $O_3$  changes averaged over EC, NCP, and YRD regions predicted by stepwise MLR model using meteorology anomalies from the GCAP 2.0 and other six CMIP6 models under SSP1-1.9 scenario. The Dev\_MLR\_MDA8 values of GCAP 2.0 and all six CMIP6 models are positive throughout the 427 year in all three regions, indicating that climate change will increase MDA8  $O_3$  concentrations over polluted regions in China even under carbon neutrality scenario. Similar to the GCAP 2.0 results, the Dev\_MLR\_MDA8 values of all six CMIP6 models in the three regions are much larger in JJA than in other seasons, with the values in the range of 2.9-4.2, 6.5-9.4, and 3.3-8.5 430 ppby in EC, NCP, and YRD, respectively. In JJA, the Dev MLR MDA8 values of MMM (average of six CMIP6 models) are 3.5, 7.5, and 5.1 ppbv in EC, NCP, and YRD, respectively, higher than the Dev\_MLR\_MDA8 values of GCAP 2.0 of 2.3, 4.7, and 3.0 pbbv, respectively. In other seasons, the Dev\_MLR\_MDA8 values of MMM are in the range of 0.9-1.4, 1.2-2.3, and 1.2-2.2 ppbv in EC, NCP, and YRD, respectively, and the Dev\_MLR\_MDA8 values of GCAP 2.0 are in the range of 0.4-1.3, 0.4-2.8, and 0.3-1.7 pbbv, respectively. Overall, the Dev\_MLR\_MDA8 values of GCAP 2.0 tend to be in the lower end of the multi-model projection results, especially in JJA.







436

437 **Figure 9. (a)-(c) The scatterplot of climate-induced MDA8 O<sup>3</sup> changes (ppbv) simulated by GCAP 2.0 (Dev\_GCAP2\_MDA8) versus**  438 **those projected by MLR model (Dev\_MLR\_MDA8) in EC, NCP, and YRD regions. The correlation coefficient (R), normalized mean**  439 **biases (NMB), and linear fit (grey solid line and equation) are also shown. (d)-(f) The climate-driven seasonal and annual mean**  440 **MDA8 O<sup>3</sup> concentration changes (ppbv) projected by MLR model using the climate outputs from GCAP 2.0 and six CMIP6 models**  441 **under SSP1-1.9 scenario. The multi-model mean (MMM) is calculated from the average of the six CMIP6 models. Different markers**  442 **represent different models, black lines represent MMM, and red stars represent GCAP 2.0 results.**

#### 443 **3.5 Future changes in tropospheric O<sup>3</sup> driven by changes in anthropogenic emissions**

444 We show large impact of climate change on tropospheric  $O_3$  in previous sections, so it is of interest to examine briefly the 445 effects of emission changes on surface  $O_3$  levels (CpdEfut minus CpdEpd) under carbon neutrality scenario as shown in Fig. 446 4b. Emission change alone leads to decreases in MDA8  $O_3$  concentrations of 0.5 (1.6%), 8.0 (16.7%), 15.8 (27.1%), and 7.0 447 ppbv (16.5%) over EC in DJF, MAM, JJA, and SON, respectively. Although the regional mean MDA8  $O_3$  concentrations in 448 EC decrease in all seasons, the nationwide decreases in MDA8  $O<sub>3</sub>$  concentration occur only in JJA. In other seasons, MDA8 449 O<sup>3</sup> concentrations in northern China increase owing to changes in anthropogenic emissions, with the maximum increases of 8- 450 12 ppbv in DJF. The regional mean MDA8  $O_3$  concentrations in NCP increase by 6.7 (34.3%) in DJF and 2.2 ppbv (6.7%) in 451 SON, and those in YRD increase by 3.0 ppbv (9.5%) in DJF.

452 The increases in MDA8 O<sub>3</sub> concentrations by changes in anthropogenic emissions under carbon neutrality scenario can 453 be explained by  $O_3$  formation regime. Figure 10 shows the present-day seasonal mean formaldehyde nitrogen ratio (FNR), 454 which was introduced by Jin and Holloway (2015) to show  $O_3$  sensitivity to its precursors (see S1 in Supplementary Material). 455 In DJF, FNR values in eastern China are lower than 1, indicating a general VOC-limited regime. In MAM and SON, the VOC-456 limited regime shrinks toward the North China, and South China is in the NOx-limited (FNR values exceeding 2) or transitional 457 (FNR values between 1 and 2) regime. In JJA, most of China is in the NOx-limited regime, while the NCP region is still in the 458 VOC-limited or transitional regime. Although the anthropogenic emissions of VOCs and  $NO<sub>x</sub>$  in NCP decrease largely (70-





459 90%) under SSP1-1.9 scenario (Fig. S5), MDA8 O<sub>3</sub> concentrations in this region increase in the future in DJF, MAM, and 460 SON because NCP is in the VOC-limited regime.

461 Overall, considering the combined effects of climate change and emission change (CfutEfut minus CpdEpd), the spatial 462 distributions and magnitudes of MDA8  $O_3$  changes are similar to those considering the emission changes alone (Fig. 4c), 463 indicating that future MDA8  $O_3$  concentrations are dominated by emission changes. However, the effects of climate penalty 464 (0.5-2.3, 0.4-4.7, and 0.3-3.0 ppbv in EC, NCP, and YRD, respectively) cannot be ignored. Note that changes in both climate 465 and emissions lead to increases in MDA8  $O_3$  in DJF and SON over NCP and in DJF over YRD, calling for more attention to

466 these regions in future  $O_3$  pollution control strategies.



**Figure 10. Distributions of seasonal mean tropospheric columns of (a) nitrogen dioxide (NO2) and (b) formaldehyde (HCHO) (10<sup>15</sup>**468 **molec cm-2** 469 **), and (c) formaldehyde nitrogen ratio (FNR) in present-day**.

## 470 **4 Conclusions**

467

471 In this study, we quantify the effects of climate changes over 2010-2045 on  $O_3$  levels in China under carbon neutrality 472 scenario (SSP1-1.9 scenario), focusing on the key meteorological parameters and processes for understanding the climate-473 induced  $O_3$  changes by using the GCAP 2.0, stepwise MLR model, LMG method, and IPR analysis. The uncertainties in future  $474$  O<sub>3</sub> levels resulted from the uncertainties in simulated future climate are also quantified by using outputs of climate from CMIP6 475 models.

476 Under carbon neutrality scenario, over EC, GCAP 2.0 and all six CMIP6 models project the increases in T2max, SW, 477 and PBLH in all seasons, and most models project reductions in RH and SLP and increases in PREC. Projected annual mean 478 changes over EC in T2max, SW, PBLH, PREC, RH, and SLP are, respectively,  $1.4$  K,  $11.8$  W m<sup>-2</sup>,  $30.6$  m,  $0.3$  mm day<sup>-1</sup>,  $-$ 





479 0.7%, and -0.3 hPa on a multi-model mean (MMM) basis and 1.1 K, 7.3 W m<sup>-2</sup>, 23.7 m, 0.03 mm day<sup>-1</sup>, -1.3%, and -0.3 hPa 480 from GCAP 2.0. Relative to the MMM, GCAP 2.0 underestimates the increases in T2max, SW, PBLH, and PREC and 481 overestimates the decreases in RH.

- 482 The GCAP 2.0 model generally reproduces the spatial distribution and magnitude of observed seasonal mean MDA8  $O_3$ 483 concentrations, with R values of 0.12-0.63 and NMB values of 7.1-18.6% in different seasons. Climate change over 2010- 484 2045 under the carbon neutrality scenario is simulated by GCAP 2.0 to increase the regional mean MDA8  $O<sub>3</sub>$  concentrations 485 by 0.4-2.3 ppbv (1.0-3.9%) over EC, 0.4-4.7 ppbv (2.0-7.3%) over NCP, and 0.3-3.0 ppbv (0.6-5.1%) over YRD in different 486 seasons, with the maximum increases in JJA. By using the stepwise MLR model, we find that changes in the key meteorological 487 variables retained in MLR equations can explain 58-76% of the climate-driven MDA8  $O_3$  concentration changes over EC. By 488 using the LMG method, we find that the most important meteorological parameters for climate-induced MDA8  $O<sub>3</sub>$  changes 489 are not only temperature, but also SW, RH, and PBLH, depending on locations and seasons. Corresponding to these changes 490 in meteorological parameters, IPR analysis shows that net chemical production (accounting for  $34.0\text{-}62.5\%$  of total  $\text{O}_3$  mass 491 change caused by all processes within the boundary layer) is the most important process contributing to the climate-induced 492 increases of  $O_3$  mass in all seasons. Horizontal and vertical advection in JJA (-16.6%) or dry deposition in other seasons (-493 37.9% to -13.7%) is the major process that reduces  $O_3$  mass.
- 494 Under carbon neutrality scenario, future MDA8  $O<sub>3</sub>$  concentration changes in EC are dominated by changes in 495 anthropogenic emissions (decrease by 0.5-15.8 ppbv), however, the effects of climate penalty (increase by 0.5-2.3 ppbv from 496 GCAP 2.0) cannot be ignored. Both climate changes and emission changes increase MDA8  $O<sub>3</sub>$  values in DJF and SON over 497 NCP and in DJF over YRD, indicating that these regions require more attention in future  $O_3$  pollution control.
- 498 The estimate of the effect of climate change on  $O_3$  pollution by using a single model GCAP 2.0 may have uncertainties. 499 Therefore, we also obtain the multi-model projection results of future MDA8  $O_3$  changes driven by 2010-2045 climate change 500 under carbon neutrality scenario by using stepwise MLR model. In JJA, six CMIP6 models project increases in MDA8  $O<sub>3</sub>$ 501 ranging from 2.9-4.2, 6.5-9.4, and 3.3-8.5 ppbv in EC, NCP, and YRD, respectively, indicating that GCAP 2.0 results (2.3, 502 4.7, and 3.0 pbbv) are in the lower end of the multi-model projections.

# 503 **Data availability**

504 The observed hourly surface  $O_3$  concentrations in 2015 are derived from the China National Environmental Monitoring Center (https://air.cnemc.cn:18007/, CNEMC). The satellite observations of NO<sup>2</sup> and HCHO are downloaded from https://www.temis.nl/airpollution/. The climate outputs from GCAP 2.0 and other six CMIP6 models can be downloaded from http://atmos.earth.rochester.edu/input/gc/ExtData/GCAP2/CMIP6/ and https://esgf-node.llnl.gov/search/cmip6/, respectively. The GEOS-Chem model is available at http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem\_13.2.1. The anthropogenic and biomass burning emission inventory of SSP1-1.9 are available from





- https://aims2.llnl.gov/search/input4mips/. The simulation results are available upon request from the corresponding author
- (hongliao@nuist.edu.cn).

## **Author contributions**

 LK and HL conceived the study and designed the experiments. LK carried out the model simulations and performed the data analysis. KL, XY, YY, and YW provided useful comments on the paper. LK and HL prepared the paper. 

## **Competing interests**

The authors declare that they have no conflict of interest.

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