



Effects of 2010-2045 climate change on ozone levels in China under 1 carbon neutrality scenario: Key meteorological parameters and 2 processes 3

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13 Abstract. We examined the effects of 2010-2045 climate change on ozone (O₃) levels in China under carbon neutrality scenario using the Global Change and Air Pollution version 2.0 (GCAP 2.0). In eastern China (EC), GCAP 2.0 and other six 14 models from Coupled Model Intercomparison Projection Phase 6 (CMIP6) all projected increases in daily maximum 2-m 15 16 temperature (T2max), surface incoming shortwave radiation (SW), and planet boundary layer height, and decreases in relative 17 humidity (RH) and sea level pressure. Future climate change is simulated by GCAP 2.0 to have large effects on O₃ even under carbon neutrality pathway, with summertime regional and seasonal mean MDA8 O_3 concentrations increased by 2.3 ppbv 18 19 (3.9%) over EC, 4.7 ppbv (7.3%) over North China Plain, and 3.0 ppbv (5.1%) over Yangtze River Delta. Changes in key 20 meteorological parameters were found to explain 58-76% of the climate-driven MDA8 O₃ changes over EC. The most 21 important meteorological parameters in summer are T2max and SW in northern and central EC and RH in southern EC. Analysis showed net chemical production was the most important process that increases O₃, accounting for 34.0-62.5% of the 22 sum of all processes within the boundary layer. We also quantified the uncertainties in climate-induced MDA8 O₃ changes by 23 24 using CMIP6 multi-model projections of climate and a stepwise multiple linear regression model. GCAP 2.0 results are in the 25 lower-end of the climate-induced increases in MDA8 O_3 from the multi-models. These results have important implications for

26 policy-making regarding emission controls under the background of climate warming.

1 Introduction 27

28 Tropospheric ozone (O_3) is a major secondary gas pollutant produced by the complicated photochemical reactions of 29 methane (CH₄), carbon monoxide (CO), volatile organic compounds (VOCs) and nitrogen oxides (NO_x) in the presence of 30 sunlight. It has adverse effects on human health (Lu et al., 2020; Li et al., 2021; Hong et al., 2019; Dang and Liao, 2019a), ecosystem (Yue et al., 2017; Grulke and Heath, 2020; Ainsworth et al., 2020), and climate (Checa-Garcia et al., 2018; Dang 31





and Liao, 2019a). Chinese government has implemented the Air Pollution Prevention and Control Action Plan since 2013, leading to large decline in NO_x emissions and PM_{2.5} concentrations (Zheng et al., 2018; Zhai et al., 2019), but O₃ pollution in eastern China (EC) became worse over the same time period (Tang et al., 2022; Li et al., 2020; Gong et al., 2020; Dang et al., 2021). Ozone pollution was particularly severe in the North China Plain (NCP), and observed summer mean maximum daily 8-h average (MDA8) O₃ concentrations increased at a rate of 3.3 ppb yr¹ in NCP from 2013 to 2019, and reached 83 ppb by 2019 (Li et al., 2020). Therefore, it is worth paying attention to the mid-to-long-term changes in O₃ concentrations in China in the future.

39 The projections of future climate or air quality rely on the future emission pathways under different socioeconomic 40 scenario assumptions. Shared Socioeconomic Pathways (SSPs) are the state-of-the-art global emission scenarios, which 41 combines socioeconomic and technological development with future climate radiative forcing outcomes into a scenario matrix 42 architecture (Gidden et al., 2019). Gidden et al. (2019) constructed nine scenarios of future emissions trajectories, including 43 SSP1-1.9, SSP1-2.6, SSP2-4.5, SSP3-7.0, SSP3-LowNTCF, SSP4-3.4, SSP4-6.0, SSP5-3.4-Overshoot (OS), and SSP5-8.5. 44 Among all scenarios, only the SSP1-1.9 scenario achieves net negative emissions of carbon dioxide (CO₂) for China and the 45 world by 2060 (Gidden et al., 2019; Wang et al., 2023), and thus we defined it as the carbon neutrality scenario and applied in 46 this work. The SSPs scenarios are used in Scenario Model Intercomparison Project (ScenarioMIP) in Coupled Model Intercomparison Projection Phase 6 (CMIP6) to facilitate the integrated analysis of future climate impacts, vulnerabilities, 47 48 adaptation, and mitigation (Gidden et al., 2019; Riahi et al., 2017).

49 Future O_3 concentrations depend on the future emissions. Shi et al. (2021) projected the O_3 concentration changes in 50 China over 2020-2060 with no changes in meteorological conditions based on the Chinese Academy of Environmental Planning Carbon and Air Quality Pathways (CAEP-CAP) for pursuing the carbon neutrality. The 90th percentile of daily 51 maximum 8-h average (MDA8) O₃ (90th MDA8 O₃) in China reduced from 138 µg m⁻³ in 2020 to 93 µg m⁻³ in 2060 (a 84% 52 53 reductions in 90th MDA8 O₃). Based on Ambitious-pollution-Neutral-goals scenario from the Dynamic Projection model for 54 Emissions in China (DPEC), Xu et al. (2022) used a regional climate-chemistry-ecology model to assess the impacts of regional 55 emission reductions in China with the goal of achieving carbon neutrality by 2060, and found that the national average annual O₃ concentrations would decline by 35.6 µg m⁻³ over 2015-2060. Wang et al. (2023) reported by using the GEOS-Chem model 56 57 that the O₃ levels in Beijing-Tianjin-Hebei Region (BTH), Yangtze River Delta Region (YRD), Pearl River Delta Region (PRD), Sichuan Basin Region (SCB), and Fenwei Plain (FWP) under SSP1-1.9 scenario could meet the air quality standard 58 59 by 2030, while those under SSP5-8.5 could not meet even by 2060. The 90th MDA8 O₃ in BTH, YRD, PRD, SCB, and FWP during 2015-2060 would change by -27.3%, -27.6%, -33.1%, -33.1%, and -31.8% under SSP1-1.9 scenario, and by +8.6%, 60 +7.6%, +5.2%, -0.5%, and +2.9% under SSP5-8.5 scenario (Wang et al., 2023), respectively. However, these studies did not 61 62 examine the effects of future climate change on O₃ concentrations.

Future O_3 concentrations also depend on future climate. Using the Weather Research and Forecasting Model with Chemistry (WRF-Chem) driven by Community Climate System Model version 3 (CCSM3), Liu et al. (2013) predicted that climate change caused a 1.6 ppb increase in surface O_3 over South China in October 2000-2050 under the IPCC A1B scenario.





They show that future elevated near-surface temperature (1.6 °C) and increased emissions of isoprene (5-55%) and 66 67 monoterpenes (5-40%) would lead to increases in chemical production of O_3 . By using GEOS-Chem model driven by NASA Goddard Institute for Space Studies (GISS) general circulation model (GCM) 3 under the A1B scenario, Wang et al. (2013) 68 69 reported that climate change would cause a 0.55 ppbv increase in annual mean surface O_3 in EC over 2000-2050, in which more than 40% could be attributed to climate-induced increases in biogenic VOCs (BVOCs) emissions. Climate-induced 70 71 increases in O_3 levels over EC are most pronounced and spatially extensive in summer, with a summer-average of 1.7 ppbv and a maximum of 10 ppbv. By employing a combination of models, Hong et al. (2019) projected that warm-season (April-72 73 September) averages of daily 1-h maximum O₃ levels would increase by 2-8 ppb in most of EC from 2006–2010 to 2046– 74 2050 under the Representative Concentration Pathway 4.5 (RCP4.5), in which 14% could be attributable to increased future 75 heat wave days. Based on sensitivity simulations from five CMIP6 models by fixing sea surface temperatures (SSTs) at present-76 day or future conditions in the SSP3-7.0 scenario, Zanis et al. (2022) reported that the sensitivity of O₃ to temperature would enhance in regions close to anthropogenic sources or BVOCs emission sources (e.g., southern EC), with the values ranging 77 from 0.2 to 2 ppbv $^{\circ}C^{-1}$. However, the scenarios utilized in these studies are not the representative scenarios in China in the 78 context of carbon neutrality. 79

80 Few studies have examined the impacts of climate change under low-carbon or carbon-neutrality scenario. Li et al. (2023) 81 showed that the annual mean surface O_3 during 2025-2095 increased by 0-2 ppb over EC under the SSP1-2.6 scenario by using 82 a machine learning (ML) model along with multi-source data, with reduced relative humidity and enhanced downward solar 83 radiation in the future favouring photochemical formation of surface O₃. Zhu et al. (2024) investigated the effects of global and regional SSTs changes on surface O₃ levels in China during the warm season in 2050 (averaged over 2045-2054) based 84 85 on global chemistry model simulations. They found that, compared with SSP5-8.5 scenario, future cooling of global ocean, 86 North Pacific Oceans, and Southern Hemisphere oceans in SSP1-1.9 scenario would contribute to 0.79, 0.48, and 0.58 ppbv 87 decreases in surface O₃ concentrations over EC, respectively, as a result of the weakened chemical production and anomalous upward airflow. However, these studies did not quantify the impacts of the dominant meteorological parameters and processes. 88

89 Climate change can influence tropospheric O_3 through altering meteorological fields and meteorology-sensitive physical 90 and chemical processes. Integrated process rate (IPR) analysis, multiple linear regression (MLR) model and Lindeman, 91 Merenda, and Gold (LMG) method are widely used to examine the contributions of main processes and key meteorological 92 parameters to O_3 changes in China (Gong et al., 2022; Dang et al., 2021; Li et al., 2019). Liu et al. (2013) found that climate-93 induced changes in boundary layer O_3 budget were dominated by chemical processes, with gas-phase chemical reaction yield 94 increasing by 3ppb h⁻¹ in PRD over 2000-2050. The maximum increases in O₃ by chemical process were located in areas with 95 significant warming as well as high anthropogenic and biogenic emissions of precursors. By combining MLR model and LMG 96 method, Dang et al. (2021) showed that higher temperature and anomalous southerlies were key meteorological contributors 97 to summer O₃ increases in NCP in 2017 relative to 2012, while weaker wind speeds and lower relative humidity were the key 98 contributors in YRD. Gong et al. (2022) found by using the IPR analysis that net chemical production, diffusion, dry deposition,





99 horizontal advection and vertical advection during O_3 pollution events in 2014-2017 changed by 3.3, -1.1, -0.4, -9.1 and 8.1 100 Gg O_3 d⁻¹ in North China relative to the seasonal mean values. The positive effects of net chemical production and vertical 101 advection were associated with a typical weather pattern characterized by high daily maximum temperatures, low relative 102 humidity, anomalous southerlies and divergence in the low troposphere, and anomalous downward airflow from 500 hPa to 103 the surface. However, to our knowledge, no study has combined these approaches to quantify the roles of key meteorological 104 parameters and associated processes in climate-induced changes in tropospheric O_3 levels in China under the carbon neutrality 105 scenario.

106 In this study, based on the version 2.0 of the Global Change and Air Pollution (GCAP 2.0) model framework, we examine the effects of 2010-2045 climate change on O_3 levels in China under carbon neutrality scenario, focusing on the key 107 108 meteorological parameters and processes for climate-induced O₃ changes by using the stepwise MLR model, LMG method 109 and IPR analysis. The observations and CMIP6 data, numerical models and experiments, and statistical analysis methods are 110 given in Sect. 2. Section 3.1 shows GCAP 2.0 projected climate change over 2010-2045 and the comparison with other six 111 CMIP6 model projections. Simulated present-day O₃ concentrations and model evaluation, and future tropospheric O₃ changes 112 driven by 2010-2045 climate change are presented in Sect. 3.2. Section 3.3 quantifies the key meteorological parameters and processes for climate-induced O₃ changes. The climate-driven MDA8 O₃ changes predicted by stepwise MLR model using 113 114 climate outputs from CMIP6 models are shown in Sect. 3.4. Section 3.5 examines briefly the effects of emission change alone 115 on O₃ levels. The conclusions are presented in Sect. 4.

116 2 Data and methods

117 2.1 Observations

118 The real-time monitoring air quality data released by the China National Environmental Monitoring Center (CNEMC) became operational in 2013. O₃ concentrations are measured by the ultraviolet spectrophotometry method, following the China 119 'HJ 654-2013' 120 Environmental Protection Standards (https://www.mee.gov.cn/ywgz/fgbz/bz/bzwb/jcffbz/201308/W020130802491142354730.pdf). 121 We used hourly O3 concentrations at 1479 sites nationwide in 2015 and converted the data unit from micrograms per cubic meter (µg m⁻³) to parts 122 123 per billion per volume (ppbv). Data quality control went through the following steps: (1) negative or missing values were 124 removed; (2) MDA8 O_3 concentration was calculated if there were at least 6 hours of valid data in each 8-hour period; (3) a 125 site with more than 95% valid data in 2015 was retained (1047 sites after data quality control). For model evaluation, observed 126 MDA8 O₃ concentrations were averaged over sites within each of the 2° latitude by 2.5° longitude model grid cell (with a total 127 of 118 grids).





128 2.2 Numerical models and experiments

129 2.2.1 GCAP 2.0 model framework

GCAP 2.0 model framework is a one-way offline coupling between the version E2.1 of the NASA Goddard Institute for Space Studies (GISS-E2.1) GCM and the global 3-D chemical transport model GEOS-Chem (Murray et al., 2021). Both the GISS-E2.1 GCM and the GEOS-Chem models have a horizontal resolution of 2° latitude by 2.5° longitude with 40 vertical layers extending from the surface to 0.1 hPa.

134 GISS-E2.1 GCM participated in CMIP6 experiments was described in detail by Kelley et al. (2020) and Miller et al. 135 (2021). GISS-E2.1 contributed several configurations to CMIP6, and Murray et al. (2021) used the atmosphere-only configuration with the prescribed sea surface temperatures to re-perform the simulation of "rlip1f2" variant label and 136 137 archived the subdaily meteorological diagnostics necessary for driving GEOS-Chem, namely GCAP 2.0 meteorology. The 138 GCAP 2.0 meteorology (http://atmos.earth.rochester.edu/input/gc/ExtData/GCAP2/CMIP6/) for driving GEOS-Chem model (version 13.2.1, http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem 13.2.1) only covered the periods of the pre-139 industrial era (1851-1860), the recent past (2001-2014), the near-future (2040-2049), and the end-of-the-century (2090-2099) 140 141 for seven future scenarios. 142 Version 13.2.1 of the GEOS-Chem model has Ox-NOx-hydrocarbon-aerosol tropospheric chemistry mechanism (Bey et

al., 2001; Pye et al., 2009) with the updated stratospheric chemistry mechanism from NASA's Global Modeling Initiative
(GMI). Photolysis rates are calculated based on Fast-JX v7.0 scheme (Eastham et al., 2014; Jiang et al., 2013). Aerosols
influence tropospheric O₃ through heterogeneous reactions and the changes in photolysis rates (Lou et al., 2014; Li et al., 2019).
Dry deposition is computed using a resistance-in-series model (Wesely, 1989) with a number of modifications (Wang et al.,
147 1998). Vertical mixing in planetary boundary layer (PBL) is calculated by a nonlocal scheme (Lin and Mcelroy, 2010). Cloud
convection is parameterized as a single plume acting under the mean upward convective, entrainment, and detrainment mass
for each level of a model column as archived from the GCM (Murray et al., 2021).

150 2.2.2 Emissions

The available emission years of SSPs inventory are 2015, 2020, 2030, 2040, 2050, 2060, 2070, 2080, 2090, and 2100. Therefore, corresponding to the mid-term climate change, we chose 2015 and 2050 emissions to represent the present-day and future emissions, respectively. Present-day (year 2015) and future (year 2050) anthropogenic and biomass burning emissions are given in Table 1. Year 2050 anthropogenic and biomass burning emissions are based on the SSP1-1.9 scenario of CMIP6 experiments. The anthropogenic and biomass burning emissions of NO_x, CO, and NMVOCs are 27.2, 161.8, and 24.8 Tg yr⁻¹ in EC in 2015, respectively, and are projected to decrease by 80.0%, 63.2%, and 70.0% in 2050 relative to 2015, respectively. These changes are larger than the decreases in global total emissions (64.1%, 52.3%, and 31.6%, respectively). The

158 anthropogenic emissions of sulfur dioxide (SO₂), organic carbon (OC), and black carbon (BC) are projected to decrease by





159 95.3%, 67.1%, and 84.8% in EC, and by 79.9%, 69.1%, and 82.6% globally, respectively, while ammonia (NH₃) emission 160 remains stable.

161 Table 1 also lists the climate-sensitive natural emissions, including lightning and soil emissions of NO_x and biogenic 162 emissions of VOCs which are calculated online based on the GCAP 2.0 meteorology. Lightning and soil emissions of NOx are calculated using the cloud-top height scheme of Price and Rind (1992) and the Berkeley-Dalhousie Soil NOx 163 Parameterization (BDSNP) scheme developed by Hudman et al. (2012), respectively. Biogenic VOCs (BVOCs) emissions are 164 computed using the Model of Emissions of Gases and Aerosols from Nature Version 2.1 (MEGAN v2.1) (Guenther et al., 165 2012). In present-day, the lightning and soil emissions of NO_x and biogenic emissions of VOCs are 0.6, 1.1, and 16.0 Tg yr⁻¹ 166 167 in EC, respectively. Note that VOCs from the biogenic sources (16.0 Tg yr⁻¹) are comparable to those from the anthropogenic emissions (24.4 Tg yr⁻¹) in EC. Compared to 2015, lightning and soil emissions of NO_x and the BVOCs emissions are predicted 168

169 to increase by 8.8%, 5.6%, and 15.5% in EC, respectively.

170 Table 1. The annual anthropogenic, biomass burning, and natural emissions (Tg yr⁻¹) for the present-day (year 2015) and the future

171 (year 2050) under SSP1-1.9 scenario. The domain of eastern China (EC) is 21.00° - 45.00° N, 106.25° - 123.75° E.

		Global		Eastern China			
		2015	2050	Change (%)	2015	2050	Change (%)
NO _x	Anthropogenic	119.82	36.27	-69.73	27.14	5.38	-80.18
	Biomass burning	13.74	11.72	-14.70	0.07	0.06	-14.29
	Lightning	20.25	21.13	4.35	0.57	0.62	8.77
	Soil	35.64	36.98	3.76	1.08	1.14	5.56
CO	Anthropogenic	608.00	188.74	-68.96	159.61	57.69	-63.86
	Biomass burning	328.44	258.18	-21.39	2.19	1.81	-17.35
NMVOCs	Anthropogenic	284.21	189.46	-33.34	24.41	7.14	-70.75
	Biomass burning	49.11	38.35	-21.91	0.34	0.28	-17.65
	Biogenic VOCs	941.17	1029.46	9.38	15.95	18.42	15.49
SO_2	Anthropogenic	98.63	19.87	-79.85	20.67	0.98	-95.26
	Biomass burning	2.16	1.75	-18.98	0.02	0.01	-50.00
NH ₃	Anthropogenic	61.34	61.73	0.64	7.65	7.71	0.78
	Biomass burning	3.91	2.97	-24.04	0.03	0.03	0.00
OC	Anthropogenic	19.59	6.05	-69.12	4.26	1.40	-67.14
	Biomass burning	15.23	11.34	-25.54	0.12	0.09	-25.00
BC	Anthropogenic	7.99	1.39	-82.60	2.10	0.32	-84.76
	Biomass burning	1.75	1.41	-19.43	0.01	0.01	0.00





172 2.2.3 Numerical experiments

Considering the available GCAP 2.0 meteorology, 2005-2014 meteorology is used to represent the present-day climate 173 (2010), and 2040-2049 meteorology under SSP1-1.9 scenario is used to represent the future climate (2045). To examine the 174 respective and combined effects of future changes in climate and emissions on surface O₃ levels, four numerical experiments 175 176 are set up (Table 2). The simulations of CpdEpd, CpdEfut, CfutEpd, and CfutEfut represent, respectively, O₃ levels under 177 present-day climate and emissions, present-day climate and future emissions, future climate and present-day emissions, and future climate and emissions. Therefore, (CfutEpd minus CpdEpd) or (CpdEfut minus CpdEpd) indicates the individual effect 178 179 of climate change or emission change on O₃ concentrations, and (CfutEfut minus CpdEpd) indicates the combined effect of 180 climate and emission changes. To smooth out the noise of natural climate variabilities, each simulation is conducted for 10 181 years after a 1-year spin-up. Unless otherwise noted, all the results presented in this study are 10 yr averages of 2005-2014 or 182 2040-2049.

183 Table 2. Experiment design.

Description	Meteorological fields	Natural emissions	Anthropogenic emissions	Biomass burning emissions
CpdEpd	2005-2014	2005-2014	2015	2015
CpdEfut	2005-2014	2005-2014	2050	2050
CfutEpd	2040-2049	2040-2049	2015	2015
CfutEfut	2040-2049	2040-2049	2050	2050

184 2.3 Statistical analysis methods

185 2.3.1 Stepwise MLR model and LMG method

To identify meteorological variables that have a significant effect on climate-induced MDA8 O_3 changes, we applied 186 stepwise multiple linear regression (MLR) model to relate 10 yr daily MDA8 O_3 anomalies to 10 yr daily meteorological 187 188 parameter anomalies in the target region or each grid cell. The time series of 10 yr daily MDA8 O₃ anomalies are obtained by 189 (CfutEpd minus CpdEpd), and 10 yr daily meteorological parameter anomalies are obtained by subtracting 2005-2014 from 190 2040-2049. Nine meteorological variables are considered in the MLR analysis (Table 3), including daily maximum 2-m air temperature (T2max), relative humidity (RH), surface incoming shortwave radiation (SW), planet boundary layer height 191 192 (PBLH), precipitation (PREC), sea level pressure (SLP), and 850 hPa wind fields (U850, V850, and WS850). We first 193 correlated 10 yr daily MDA8 O₃ anomalies with 10 yr daily meteorological parameter anomalies, and excluded meteorological variables that are not significantly correlated with MDA8 O_3 at the 95% confidence level. We then performed collinearity 194 195 statistics on the retained meteorological variables based on the variance inflation factor (VIF): the meteorological variable with





the largest VIF was sequentially excluded until the VIFs of all meteorological variables were less than 10. After these steps,
the reserved meteorological variables were read into the stepwise MLR model, which is in the following form (Li et al., 2019):

198 $y = \beta_0 + \sum_{k=1}^N \beta_k x_k + interaction term$,

(1)

- where *y* is the daily MDA8 O₃ anomalies, $(x_1, ..., x_N)$ are the *N* meteorological variable screened by stepwise MLR model, and β_k is the regression coefficient for the *k*-th meteorological variable. The adjusted coefficient of determination (R²_adj) of MLR equation represents the proportion of climate-induced MDA8 O₃ changes that can be explained by the changes in key meteorological variables.
- We then used the Lindeman, Merenda, and Gold (LMG) method (Grömping, 2006) to quantify the relative contribution of each meteorological variable reserved in MLR equation. The LMG method decomposes the MLR model-explained total
- $205 \quad R^2_adj \ into \ non-negative \ individual \ R^2_adj \ contribution \ from \ each \ correlative \ regressor.$

206 Table 3. Meteorological variables considered in the statistical analysis.

Abbreviation	Description
T2max	Daily maximum 2-m temperature (K) ^a
RH	Relative humidity (%) ^b
SW	Surface incoming shortwave radiation (W m ⁻²) ^a
PBLH	Planet boundary layer height (m) ^a
PREC	Precipitation (mm d ⁻¹) ^a
SLP	Sea level pressure (hPa) ^a
U850	850 hPa zonal wind (m s ⁻¹) $^{\rm b}$
V850	850 hPa meridional wind (m s ⁻¹) ^b
WS850	850 hPa wind speed (m s ⁻¹) $^{\circ}$

207 ^a Temporal resolution is 1-hour

208 ^b Temporal resolution is 3-hour

209 ^cCalculated from the horizontal wind vectors (U850, V850).

210 2.3.2 IPR analysis

211 Integrated process rate (IPR) analysis is used to quantify the contributions of climate-driven change in physical and

212 chemical processes to O_3 mass changes in different seasons in EC (21.00-45.00°N, 106.25-123.75°E). Five processes that

213 influence O3 levels are investigated, including net chemical production, PBL mixing, dry deposition, cloud convection, and

214 horizontal and vertical advection transport, which jointly determine the O3 mass balance. All of the processes are diagnosed at

215 every timestep and then summed over each day. The contribution of each process was calculated following Eqs. (2) and (3)

216 (Dang and Liao, 2019b):





217
$$PC_{DIFF_{i}} = PC_{CfutEpd_{i}} - PC_{CpdEpd_{i}},$$
(2)

218
$$\[\%PC_{DIFF_i} = \frac{PC_{DIFF_i}}{\sum_{i}^{n} abs(PC_{DIFF_i})} \times 100\%\], (3)$$

where *n* is the number of processes (n = 5), PC_{CpdEpd_i} and $PC_{CfutEpd_i}$ are the seasonal mean O₃ mass by process *i* from the CpdEpd and CfutEpd simulations, respectively, and PC_{DIFF_i} is the climate-driven change in O₃ mass by process *i*. $\% PC_{DIFF_i}$ is the proportion of process *i* in the total O₃ mass change caused by all processes. Note that the sum of absolute values of $\% PC_{DIFF_i}$ for all processes equals 100%. The IPR analysis method has been widely used in previous studies to identify the key processes that contribute to air pollution episodes (Gong and Liao, 2019; Dai et al., 2023; Dang and Liao, 2019b) or drive the interannual and decadal variations in air pollutants (Yang et al., 2022; Mu and Liao, 2014).

225 2.4 CMIP6 data

226 The projected climate change by GCAP 2.0 may have uncertainties. To identify the range of uncertainties of the effects 227 of climate change on MDA8 O₃, we downloaded multi-model results of monthly means of the meteorological variables 228 consistent with those in Table 3 in present-day (2005-2014) and future (2040-2049) under SSP1-1.9 scenario from the CMIP6 229 data repository (https://esgf-node.llnl.gov/search/cmip6/). Since only six climate models in CMIP6 can provide PBLH, we 230 selected outputs with the "r1" variant label from these models (Table S1). Note that GISS-E2.1-G and GISS-E2.1-H are 231 coupled models of the GISS-E2.1 atmospheric model with the GISS and HYCOM ocean models, respectively, while the GCAP 232 2.0 (or GISS-E2.1) is the atmosphere-only model with the prescribed sea surface temperatures. We extracted the monthly 233 values for 2005-2014 and 2040-2049 from the raw data and interpolated them into GCAP 2.0 resolution ($2^{\circ} \times 2.5^{\circ}$) by bilinear 234 interpolation.

235 3 Results

236 **3.1 Projected future climate change over China**

237 3.1.1 Projected climate change over 2010-2045 by GCAP 2.0

238 Figure 1 shows the projected 2010-2045 changes in seasonal mean T2max, RH, SW, PBLH, PREC, U850 and V850, and 239 SLP in winter (December-January-February, DJF), spring (March-April-May, MAM), summer (June-July-August, JJA), and 240 autumn (September-October-November, SON) over China by GCAP 2.0 (or GISS-E2.1 GCM) under SSP1-1.9 scenario. The projected T2max, SW, and PBLH generally increase over EC while RH generally decreases. Regionally, the maximum 241 242 increases in T2max occur in the northeastern China in DJF (2.0-2.5 K). NCP (green rectangle in Fig. 1) has the largest 243 temperature increases in other seasons, with values of 2.0-2.5 K in MAM, 1.5-2.0 K in JJA, and 1.0-1.5 K in SON. RH has a decrease of 2-6% over northern China in MAM and JJA, and of 2-4% over southern China in SON. Changes in SW and PBLH 244 245 have similar spatial distributions, both of which increase largely over northern China in MAM and JJA. Precipitation generally





- increases over southeastern China in DJF and SON, and decreases in northern China in MAM. With respect to atmospheric circulations, over the Northwestern Pacific Ocean, there is an anomalous high-pressure in DJF and an anomalous low-pressure in other seasons. As a result, over EC, anomalous southerlies prevail in DJF and anomalous northwesterlies/northerlies prevail
- 249 in other seasons.



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Figure 1. Projected 2010-2045 changes in seasonal mean (a) daily maximum 2-m air temperature (T2max, K), (b) surface relative humidity (RH, %), (c) surface incoming shortwave radiation (SW, W m⁻²), (d) planet boundary layer height (PBLH, m), (e) precipitation (PREC, mm d⁻¹), and (f) wind fields at 850 hPa (arrows, m s⁻¹) and sea level pressure (SLP, shades, hPa) by GCAP 2.0 under SSP1-1.9 scenario. The dotted areas and red arrows represent a statistically significant difference at 95% confidence according to Student's two sample t test. The black, green and blue rectangles in (a) indicate the domain of eastern China (EC, 21.00-45.00°N, 106.25-123.75°E), North China Plain (NCP, 35.00-41.00°N, 113.75-118.75°E), and Yangtze River Delta (YRD, 29.00-33.00°N, 118.75-123.75°E), respectively.





258 **3.1.2** Comparisons with projected climate change from other CMIP6 models

The projected 2010-2045 changes in meteorological parameters (Table 3) under SSP1-1.9 scenario over EC by GCAP 259 2.0 are compared with those from six other CMIP6 models in Fig. 2. Increases in T2max, SW, and PBLH throughout the year 260 are robust features among all CMIP6 models. Most models projected reductions in RH and SLP and increases in PREC. 261 262 However, there are large model differences in winds at 850 hPa with inconsistent sign of changes. On a multi-model mean (MMM) basis, projected annual mean changes over EC in T2max, SW, PBLH, PREC, RH, and SLP are 1.4 K, 11.8 W m⁻², 263 30.6 m, 0.3 mm day⁻¹, -0.7%, and -0.3 hPa, respectively. Consistent with the MMM, the GCAP 2.0 projections show overall 264 increases in T2max, SW, PBLH, and PREC and decreases in RH and SLP, with the annual mean changes of 1.1 K, 7.3 W m⁻ 265 ², 23.7 m, 0.03 mm day⁻¹, -1.3%, and -0.3 hPa, respectively. Therefore, relative to the MMM, GCAP 2.0 underestimates the 266 increases in T2max, SW, PBLH, and PREC and overestimates the decreases in RH. The uncertainties in simulated future O₃ 267 268 caused by the uncertainties in future climate change will be quantified in Sect. 6.





Figure 2. Comparisons of simulated 2010-2045 changes in seasonal and annual mean meteorological parameters over EC by GCAP 2.0 with those by other six CMIP6 models under SSP1-1.9 scenario. Note that GISS-E2.1-G and GISS-E2.1-H are coupled models of the GISS-E2.1 atmospheric model with the GISS and HYCOM ocean models, respectively, while the GCAP 2.0 (or GISS-E2.1) is the atmosphere-only model with the prescribed sea surface temperatures. The multi-model mean (MMM) is calculated from the

274 average of the six CMIP6 models. Different markers represent different models, black lines represent MMM, and red stars represent

275 GCAP 2.0 results.





276 **3.2 Simulated present-day and future tropospheric O**₃

277 3.2.1 Present-day tropospheric O₃ and model evaluation

278 Figure 3 shows simulated present-day MDA8 O₃ concentrations from CpdEpd simulation and the observations in 2015 279 from CNEMC. We use 2015 observations to evaluate the simulated present-day MDA8 O₃ concentrations because emissions 280 of year 2015 are used for present-day. Simulated MDA8 O₃ concentrations in EC are highest in JJA (50-70 ppbv), followed 281 by MAM (35-55 ppbv), SON (30-50 ppbv), and DJF (10-45 ppbv). The model generally captures the spatial distributions of 282 the observed seasonal mean MDA8 O₃ levels over China, with spatial correlation coefficients (R) of 0.63, 0.12, 0.54, and 0.33 in DJF, MAM, JJA, and SON, respectively. Dang and Liao (2019a) also reported a low spatial correlation coefficient (R of 283 (0.08) between observed and simulated seasonal mean O₃ in China in MAM of 2014-2017, which was attributed to the negative 284 285 biases in NCP and YRD whereas the positive biases outside these two regions. The model overestimates MDA8 O₃ 286 concentrations in China, with normalized mean biases (NMBs) of 7.1-18.6% in different seasons. Figure S1 shows monthly variations in simulated and observed MDA8 O₃ levels over EC, NCP, and YRD. Both observed and simulated monthly mean 287 MDA8 O₃ concentrations are high during warm months (April-September) in these three regions. The NMBs in EC, NCP, and 288 289 YRD are 11.1%, -12.8% and -0.9%, respectively, which is consistent with results of Dang and Liao (2019a). The scattering 290 plots of model results vs. observations for grids in these three regions show correlation coefficients (R) of 0.76 to 0.94 when 291 all of the year 2015 data are considered.







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Figure 3. Spatial distributions of observed (CNEMC, circles) and simulated (CpdEpd, shades) seasonal mean MDA8 O₃ concentrations (ppbv) in 2015. Observed (OBS) and simulated (MOD) values that averaged over 118 grids, and their spatial correlation coefficients (R) and normalized mean biases (NMB) are also shown at the bottom right corner of each panel.

296 **3.2.2** Future changes in tropospheric O₃ driven by climate change

Figure 4a shows future changes in seasonal mean MDA8 O_3 concentrations due to climate change (CfutEpd minus CpdEpd). Climate change alone causes large increases in MDA8 O_3 values over EC in MAM and JJA, and the maximum value reaching 7.6 ppbv in NCP in JJA. In DJF, MAM, JJA, and SON, the regional and seasonal mean MDA8 O_3 values increase by 0.5 (1.5%), 1.3 (2.7%), 2.3 (3.9%), and 0.4 ppbv (1.0%) in EC, by 0.4 (2.0%), 2.8 (6.7%), 4.7 (7.3%), and 1.5 ppbv (4.6%) in NCP, and by 1.1 (3.5%), 1.7 (3.3%), 3.0 (5.1%), and 0.3 ppbv (0.6%) in YRD, respectively.







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Figure 4. Predicted future changes in seasonal mean MDA8 O₃ concentrations (ppbv) due to (a) climate change alone (CfutEpd minus CpdEpd), (b) emission change alone (CpdEfut minus CpdEpd), and (c) combined climate and emission changes (CfutEfut minus CpdEpd) under SSP1-1.9 scenario. The black, green and blue rectangles indicate the domain of EC, NCP, and YRD, respectively. The dotted areas represent a statistically significant difference at the 95% level according to Student's two sample *t* test. The values at the top right of each panel are the regional mean values of EC, NCP, and YRD, respectively.

The pressure-latitude cross sections of climate-driven seasonal mean O_3 changes from the surface to 500 hPa for EC, NCP, and YRD are shown in Fig. 5. Vertically, O_3 increases of exceeding 1 ppbv extend from the surface to 500 hPa altitude over the three regions in JJA. The maximum O_3 increases of 4-5 ppbv in NCP occur both at the surface and around 850 hPa, and those of 3-5 ppbv in the YRD occur between 930 and 736 hPa. The O_3 increases over EC is large below 700 hPa over 25-41 °N, and the location of high values shifts from north to south with altitude, which is dominated by the pattern of NCP. In other seasons, the O_3 increases of 1-3 ppbv are generally near the surface.







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Figure 5. The pressure-latitude cross sections of climate-driven seasonal mean O₃ changes (ppbv) averaged over the longitudes of (a)
106.25-123.75°E for EC, (b) 113.75-118.75°E for NCP, and (c) 118.75-123.75°E for YRD.

317 3.3 Key meteorological parameters and processes for climate-induced O₃ changes

318 3.3.1 Key meteorological parameters for climate-induced MDA8 O₃ changes

For climate-induced changes in MDA8 O_3 , the stepwise MLR model is used to identify key meteorological variables that have statistically significant effect on MDA8 O_3 , and the obtained R²_adj represents the proportion of climate-induced MDA8 O_3 changes that can be explained by the changes in these key meteorological variables retained in MLR equation. Then, the LMG method decomposes the MLR model-explained total R²_adj and get the relative contribution of each meteorological variable.

Table 4 shows the MLR equations between the daily anomalies of MDA8 O_3 and daily anomalies of meteorological variables over EC for each season. The daily anomalies of both MDA8 O_3 and meteorological variables are 10 yr daily values, which were derived from (CfutEpd minus CpdEpd) and ((2040-2049) minus (2005-2014)), respectively. For each key meteorological variable, the positive or negative regression coefficient represents statistically significant positive or negative effect of this variable on MDA8 O_3 concentrations. The R²_adj of the MLR equations are 0.76, 0.74, 0.58, and 0.76 in DJF,





- 329 MAM, JJA, and SON, respectively, indicating 76%, 74%, 58%, and 76% of the climate-induced changes in MDA8 O₃ can be 330 explained by the changes in the key meteorological variables retained in MLR equations. Figure 6 shows LMG decomposed 331 contribution of each key meteorological variable in fitting climate-driven MDA8 O3 changes over EC. The top three important meteorological variables are T2max, SW, and RH, with the total contributions of 71.2% (T2max + SW + RH) in DJF, 78.2% 332 333 (T2max + SW + RH) in MAM, 70.1% (SW + RH + T2max) in JJA, and 49.9% (T2max + RH) in SON. PBLH is also a major meteorological variable with the contributions of 9.6-24.5% in different seasons. The total contributions of the circulation 334 changes are 13.4% (SLP + WS850 + V850), 9.8% (V850 + U850), 11.4% (WS850 + V850 + SLP), and 9.5% (SLP + V850 + 335 336 WS850) in DJF, MAM, JJA, and SON, respectively.
- 337 Table 4. Stepwise multiple linear regression (MLR) equations between the daily anomalies of MDA8 O₃ (CfutEpd minus CpdEpd)
- 338 and daily anomalies of meteorological parameters in EC. All the regression coefficients shown in the equations passed the *t*-test of
- 339 significance at 0.05 level.

Season	Stepwise MLR equation	Adjusted coefficients of determination (R ² _adj)
DJF	$\label{eq:mds} \begin{split} MDA8 \ O_3 &= -0.807 + 0.050 \\ *SW + 0.596 \\ *T2max + 0.016 \\ *PBLH + 0.247 \\ *PREC \\ + 0.111 \\ *V850 \\ + 0.066 \\ *SLP \\ + 0.124 \\ *WS850 \\ - 0.058 \\ *RH \end{split}$	0.76
MAM	$\label{eq:mds} \begin{array}{l} MDA8 \; O_3 = \; -0.599 + 0.034 * SW + 0.845 * T2 max + 0.324 * V850 + 0.011 * PBLH \\ - \; 0.111 * RH - 0.138 * U850 \end{array}$	0.74
JJA	$ \begin{array}{l} MDA8 \ O_3 = 0.451 + 0.067 * SW + 0.530 * T2 max + 0.552 * V850 - 0.219 * RH - 0.739 * WS850 + 0.012 * PBLH - 0.122 * SLP \end{array} \\ \end{array} $	0.58
SON	$ \begin{array}{l} MDA8 \; O_3 = -1.183 - 0.076 * RH + 1.303 * T2max + 0.035 * PBLH - 0.370 * WS850 \\ + \; 0.151 * V850 - 0.134 * PREC + 0.066 * SLP \end{array} $	0.76







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Figure 6. The LMG decomposed contribution (%) of each meteorological variable screened by stepwise MLR model in fitting climate-driven MDA8 O₃ changes over EC. See Table 3 for the meanings of the abbreviations of meteorological variables.

Large-scale regional average could obscure local characteristics, so we further conducted MLR and LMG analysis on 343 each grid cell to identify the first and second most important meteorological parameters (hereafter called "1st MET" and "2nd 344 MET") in China as shown in Fig. 7. In DJF, the 1st MET is T2max in southern EC and is SW or PBLH in northern EC, which 345 has the relative contributions of 30-70% from LMG analyses. In JJA, the 1st MET is T2max in most parts of northern EC (north 346 347 of 36°N), SW in most parts of central EC (26-36°N), Beijing, and Tianjin, and RH and WS850 in southern EC (south of 26°N). In the corresponding areas, T2max and SW have relative contributions of 30-70% and RH has relative contributions of 10-348 30%. The regional heterogeneity of the 2nd MET increases compared to the 1st MET. In DJF, the 2nd MET is RH in northern 349 EC and SW in southern EC, with relative contributions of 10-30%. In JJA, the 2nd MET is mainly SW or T2max in northern 350 EC and RH or WS850 in southern EC. The relative contribution of 2nd MET (SW or T2max) in central EC can have relative 351 contributions of 30-50% in JJA. In summary, the key meteorological parameters for climate-induced MDA8 O_3 changes are 352 not only temperature, but also SW, RH, and PBLH, depending on locations and seasons. 353





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Figure 7. The (a) 1^{st} and (b) 2^{nd} important meteorological parameters (1^{st} MET and 2^{nd} MET, respectively) for climate-induced MDA8 O₃ changes in China and their relative contributions in DJF and JJA., All 1^{st} MET and 2^{nd} MET in each $2^{\circ} \times 2.5^{\circ}$ grid cell are statistically significantly correlated with MDA8 O₃ (p < 0.05). The overlaid fill patterns represent the relative contribution of the meteorological variable at this grid.

359 3.3.2 Key processes for climate-induced O₃ changes

We performed IPR analysis to understand the intrinsic mechanism of the impact of climate change on O_3 in EC. Figure 8 show the vertical profiles of present-day seasonal mean O_3 mass and climate-driven O_3 mass changes of five processes (net chemical production, PBL mixing, dry deposition, cloud convection, and horizontal and vertical advection transport) in EC. Since surface O_3 concentrations are determined by the processes within the boundary layer (Gong and Liao, 2019), we also listed in Table 5 the present-day O_3 budget of five processes in EC within the boundary layer and the climate-driven O_3 budget changes by each process.

In present-day (Fig. 8a), net chemical production is negative at the surface due to the O_3 titration effect by abundant NO_x and is positive in the upper levels due to the decreases in NO_x concentrations and the strong solar radiation (Gong and Liao, 2019). PBL mixing refers to O_3 mass fluxes by turbulence within the boundary layer, which transports O_3 based on the concentration gradient. Since O_3 concentrations are higher in the upper boundary layers than at the surface (Fig. S3), PBL mixing leads to the decreases in O_3 in upper layers (950 to 800 hPa) and increases in surface-layer O_3 levels. Dry deposition occurs only at the surface, with the values of -122.1 to -37.5 Gg d⁻¹ in different seasons. Cloud convection process in GEOS-





372 Chem model describes the redistribution of species concentrations due to upward convection inside the cumulus and 373 subsidence outside the cumulus. Cloud convection has a large positive value below 950 hPa in all seasons due to the frequent non-precipitation shallow convection in GISS-E2.1 (Wu et al., 2007; Miller et al., 2021) and higher O₃ concentrations above 374 375 950 hPa. Horizontal and vertical advection below 850 hPa is positive in DJF and negative in other seasons. For the presentday O3 budget within the boundary layer (Table 5, PC_{cpdEpd}), net chemical production is the dominant process that contributes 376 to O₃ budget in JJA, MAM, and SON, with the values of 136.3, 56.5, 37.6 Gg d⁻¹, respectively. Cloud convection has 377 contributions of 11.0-34.4 Gg d⁻¹ to O₃ budget. The horizontal and vertical advection is 0.4 Gg d⁻¹ in DJF and -23.8 to -2.7 Gg 378 379 d⁻¹ in other seasons.

380 Under the impact of climate change (Fig. 8b), net chemical production exhibits distinct increases below 850 hPa in all seasons, especially in MAM and JJA. Increases in T2max and SW (Figs. 1a and c) result in increases in BVOC emission rates 381 by 0.4-2.9 10⁻¹¹ kg m⁻² s⁻¹ (Fig. S3) and in photochemical reaction rates, while decreases in RH (Fig. 1b) result in decreases in 382 O3 destruction (Gong and Liao, 2019), which together promote the net chemical production of O3. Increase in surface O3 mass 383 384 by PBL mixing indicates that more O_3 enters the boundary layer and mixes to the surface as a result of increased PBLH (Fig. 1d). The importance of chemical process and PBL mixing corresponds well with the 1^{st} and 2^{nd} MET shown in Fig. 7. Dry 385 deposition removes more O₃ due to the increases in net chemical production of O₃. Cloud convection increases near-surface 386 O₃ mass in DJF and MAM but decreases those in JJA. Changes in horizontal and vertical advection reduce O₃ mass in EC at 387 388 layers below 850 hPa. Anomalous low pressure over EC in DJF indicates the presence of anomalous upward advection (Fig. 389 1f). Anomalous northwesterlies over northern China in other seasons obstruct the northward transport of BVOCs from southern 390 China and promote the outflow of O_3 and its precursors from EC. Circulation changes have an important effect on JJA O_3 concentrations, which are also confirmed by the 1st and 2nd MET (RH or WS850) in southern EC (Fig. 7). 391





Figure 8. (a) Vertical profile of seasonal mean O₃ mass (Gg d⁻¹) by five processes (bottom axis: net chemical production (Chem),
PBL mixing (PBL), dry deposition (Ddep), cloud convection (Cloud_conv), and horizontal and vertical advection (Trans_adv)) over





EC in present-day (CpdEpd), and (b) the climate-driven changes in seasonal mean O₃ mass of each process (CfutEpd minus CpdEpd). All the panels have the same vertical axis in hPa.

The sums of the climate-driven O_3 mass changes by all processes in EC are 0.6, 2.5, 6.5, and 1.7 Gg d⁻¹ in DJF, MAM, 397 398 JJA, and SON, respectively (Table 5, PC_{DIFF}), which are consistent with the seasonal variations in climate-induced MDA8 O₃ (Fig. 4). The net chemical production, dry deposition, and horizontal and vertical advection change by 3.3 to 16.4, -9.3 to -1.0, 399 and -4.3 to -0.8 Gg d⁻¹ in different seasons, respectively. The cloud convection increases by 1.5 Gg d⁻¹ in DJF and MAM and 400 decrease by 1.0 Gg d⁻¹ in JJA. Considering the relative contributions of individual processes (Table5, $\ensuremath{\%}PC_{DIFF}$), net chemical 401 402 production is the most important process contributing to the increases of O₃ mass in all seasons, with the relative contribution of 34.0-62.5%. Horizontal and vertical advection in JJA (-16.6%) or dry deposition in other seasons (-37.9% to -13.7%) is the 403 404 major process that reduces O3 mass as the O3 mass increases from chemical reactions.

Table 5. Seasonal mean O₃ budgets (Gg d⁻¹) within the boundary layer over EC in CpdEpd (PC_{cpdEpd}) and CfutEpd ($PC_{cfutEpd}$). The climate-driven O₃ budget changes of five process (PC_{DIFF}), and the relative contribution of each process to the total O₃ mass changes (% PC_{DIFF} , %) are also listed, following Eqs. (2) and (3) described in Sect. 2.3.2.

Season		Chemistry	PBL	Dry	Cloud	Advection	Total
			mixing	deposition	convection	transport	
DJF	PC_{CpdEpd}	-12.02	47.58	-37.46	11.01	0.39	9.50
	$PC_{CfutEpd}$	-8.74	47.93	-41.11	12.52	-0.46	10.13
	PC _{DIFF}	3.28	0.34	-3.65	1.51	-0.85	0.64
	%PC _{DIFF}	34.04	3.56	-37.88	15.71	-8.80	/
MAM	PC_{CpdEpd}	56.48	50.39	-80.71	25.83	-11.43	40.56
	$PC_{CfutEpd}$	68.13	50.84	-89.96	27.37	-13.35	43.03
	PC _{DIFF}	11.65	0.45	-9.25	1.54	-1.92	2.47
	%PC _{DIFF}	46.95	1.81	-37.28	6.21	-7.75	/
JJA	PC_{CpdEpd}	136.26	35.23	-122.07	34.37	-23.78	60.01
	$PC_{CfutEpd}$	152.61	34.75	-126.09	33.41	-28.13	66.55
	PC _{DIFF}	16.35	-0.48	-4.03	-0.96	-4.34	6.54
	%PC _{DIFF}	62.49	-1.84	-15.39	-3.67	-16.59	/
SON	PC_{CpdEpd}	37.58	41.58	-73.96	22.75	-2.71	25.23
	$PC_{CfutEpd}$	41.99	40.61	-74.95	22.82	-3.50	26.97
	PC _{DIFF}	4.42	-0.97	-0.99	0.07	-0.79	1.74
	%PC _{DIFF}	61.02	-13.45	-13.65	0.97	-10.90	/





408 **3.4 Projections of climate-driven MDA8 O₃ changes from the CMIP6 models**

409 In Sect. 5.1, we applied the stepwise MLR model to relate 10 yr daily MDA8 O₃ anomalies to 10 yr daily meteorological parameter anomalies at each grid cell and obtained the corresponding MLR equation. The climate-driven seasonal mean MDA8 410 O₃ concentration changes projected by stepwise MLR model at each grid cell can be obtained by substituting the corresponding 411 412 seasonal mean meteorological parameter anomalies of GCAP 2.0 into the regression equations obtained by daily anomalies 413 above, which will be referred to as Dev_MLR_MDA8 hereafter. The Dev_MLR_MDA8 values for a target region are then obtained by averaging over all the grid cells in the region. We selected EC, NCP, and YRD as the target regions in this study. 414 Figures 9a-c evaluate the seasonal and annual mean Dev_MLR_MDA8 values averaged over EC, NCP, and YRD by 415 comparing them with the simulated values by GCAP 2.0 (hereafter called Dev_GCAP2_MDA8). The seasonal and annual 416 417 mean values of Dev_MLR_MDA8 and Dev_GCAP2_MDA8 are exactly the same, with the R value of 1.0 and the NMB value 418 of 0.0% in all three regions. In China, the spatial distributions and magnitudes of the seasonal mean Dev_MLR_MDA8 values are consistent with the seasonal mean Dev_GCAP2_MDA8 values (Fig. S4), with high pattern correlation coefficients of 1.0 419 420 in four seasons, indicating that it is feasible to predict climate-driven MDA8 O₃ concentration changes by stepwise MLR model. 421 Therefore, we input the corresponding seasonal mean meteorological parameter anomalies from the six CMIP6 models into the regression equations to obtain multi-model projections of climate-induced MDA8 O₃ changes under carbon neutrality 422 423 scenario.

424 Figures 9d-f shows the climate-driven seasonal and annual mean MDA8 O₃ changes averaged over EC, NCP, and YRD 425 regions predicted by stepwise MLR model using meteorology anomalies from the GCAP 2.0 and other six CMIP6 models 426 under SSP1-1.9 scenario. The Dev_MLR_MDA8 values of GCAP 2.0 and all six CMIP6 models are positive throughout the 427 year in all three regions, indicating that climate change will increase MDA8 O₃ concentrations over polluted regions in China 428 even under carbon neutrality scenario. Similar to the GCAP 2.0 results, the Dev_MLR_MDA8 values of all six CMIP6 models 429 in the three regions are much larger in JJA than in other seasons, with the values in the range of 2.9-4.2, 6.5-9.4, and 3.3-8.5 ppbv in EC, NCP, and YRD, respectively. In JJA, the Dev_MLR_MDA8 values of MMM (average of six CMIP6 models) are 430 3.5, 7.5, and 5.1 ppbv in EC, NCP, and YRD, respectively, higher than the Dev_MLR_MDA8 values of GCAP 2.0 of 2.3, 4.7, 431 and 3.0 pbbv, respectively. In other seasons, the Dev_MLR_MDA8 values of MMM are in the range of 0.9-1.4, 1.2-2.3, and 432 433 1.2-2.2 ppbv in EC, NCP, and YRD, respectively, and the Dev_MLR_MDA8 values of GCAP 2.0 are in the range of 0.4-1.3, 434 0.4-2.8, and 0.3-1.7 pbby, respectively. Overall, the Dev_MLR_MDA8 values of GCAP 2.0 tend to be in the lower end of the 435 multi-model projection results, especially in JJA.





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Figure 9. (a)-(c) The scatterplot of climate-induced MDA8 O₃ changes (ppbv) simulated by GCAP 2.0 (Dev_GCAP2_MDA8) versus those projected by MLR model (Dev_MLR_MDA8) in EC, NCP, and YRD regions. The correlation coefficient (R), normalized mean biases (NMB), and linear fit (grey solid line and equation) are also shown. (d)-(f) The climate-driven seasonal and annual mean MDA8 O₃ concentration changes (ppbv) projected by MLR model using the climate outputs from GCAP 2.0 and six CMIP6 models under SSP1-1.9 scenario. The multi-model mean (MMM) is calculated from the average of the six CMIP6 models. Different markers represent different models, black lines represent MMM, and red stars represent GCAP 2.0 results.

443 **3.5** Future changes in tropospheric O₃ driven by changes in anthropogenic emissions

444 We show large impact of climate change on tropospheric O_3 in previous sections, so it is of interest to examine briefly the effects of emission changes on surface O₃ levels (CpdEfut minus CpdEpd) under carbon neutrality scenario as shown in Fig. 445 4b. Emission change alone leads to decreases in MDA8 O₃ concentrations of 0.5 (1.6%), 8.0 (16.7%), 15.8 (27.1%), and 7.0 446 447 ppbv (16.5%) over EC in DJF, MAM, JJA, and SON, respectively. Although the regional mean MDA8 O₃ concentrations in EC decrease in all seasons, the nationwide decreases in MDA8 O₃ concentration occur only in JJA. In other seasons, MDA8 448 449 O₃ concentrations in northern China increase owing to changes in anthropogenic emissions, with the maximum increases of 8-12 ppbv in DJF. The regional mean MDA8 O₃ concentrations in NCP increase by 6.7 (34.3%) in DJF and 2.2 ppbv (6.7%) in 450 SON, and those in YRD increase by 3.0 ppbv (9.5%) in DJF. 451

The increases in MDA8 O_3 concentrations by changes in anthropogenic emissions under carbon neutrality scenario can be explained by O_3 formation regime. Figure 10 shows the present-day seasonal mean formaldehyde nitrogen ratio (FNR), which was introduced by Jin and Holloway (2015) to show O_3 sensitivity to its precursors (see S1 in Supplementary Material). In DJF, FNR values in eastern China are lower than 1, indicating a general VOC-limited regime. In MAM and SON, the VOClimited regime shrinks toward the North China, and South China is in the NO_x-limited (FNR values exceeding 2) or transitional (FNR values between 1 and 2) regime. In JJA, most of China is in the NO_x-limited regime, while the NCP region is still in the VOC-limited or transitional regime. Although the anthropogenic emissions of VOCs and NO_x in NCP decrease largely (70-





90%) under SSP1-1.9 scenario (Fig. S5), MDA8 O₃ concentrations in this region increase in the future in DJF, MAM, and
SON because NCP is in the VOC-limited regime.

Overall, considering the combined effects of climate change and emission change (CfutEfut minus CpdEpd), the spatial distributions and magnitudes of MDA8 O₃ changes are similar to those considering the emission changes alone (Fig. 4c), indicating that future MDA8 O₃ concentrations are dominated by emission changes. However, the effects of climate penalty (0.5-2.3, 0.4-4.7, and 0.3-3.0 ppbv in EC, NCP, and YRD, respectively) cannot be ignored. Note that changes in both climate and emissions lead to increases in MDA8 O₃ in DJF and SON over NCP and in DJF over YRD, calling for more attention to

466 these regions in future O₃ pollution control strategies.



Figure 10. Distributions of seasonal mean tropospheric columns of (a) nitrogen dioxide (NO₂) and (b) formaldehyde (HCHO) (10¹⁵
 molec cm⁻²), and (c) formaldehyde nitrogen ratio (FNR) in present-day.

470 4 Conclusions

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In this study, we quantify the effects of climate changes over 2010-2045 on O_3 levels in China under carbon neutrality scenario (SSP1-1.9 scenario), focusing on the key meteorological parameters and processes for understanding the climateinduced O_3 changes by using the GCAP 2.0, stepwise MLR model, LMG method, and IPR analysis. The uncertainties in future O_3 levels resulted from the uncertainties in simulated future climate are also quantified by using outputs of climate from CMIP6 models.

Under carbon neutrality scenario, over EC, GCAP 2.0 and all six CMIP6 models project the increases in T2max, SW,
and PBLH in all seasons, and most models project reductions in RH and SLP and increases in PREC. Projected annual mean
changes over EC in T2max, SW, PBLH, PREC, RH, and SLP are, respectively, 1.4 K, 11.8 W m⁻², 30.6 m, 0.3 mm day⁻¹, -





0.7%, and -0.3 hPa on a multi-model mean (MMM) basis and 1.1 K, 7.3 W m⁻², 23.7 m, 0.03 mm day⁻¹, -1.3%, and -0.3 hPa
from GCAP 2.0. Relative to the MMM, GCAP 2.0 underestimates the increases in T2max, SW, PBLH, and PREC and
overestimates the decreases in RH.

- 482 The GCAP 2.0 model generally reproduces the spatial distribution and magnitude of observed seasonal mean MDA8 O₃ concentrations, with R values of 0.12-0.63 and NMB values of 7.1-18.6% in different seasons. Climate change over 2010-483 2045 under the carbon neutrality scenario is simulated by GCAP 2.0 to increase the regional mean MDA8 O₃ concentrations 484 485 by 0.4-2.3 ppbv (1.0-3.9%) over EC, 0.4-4.7 ppbv (2.0-7.3%) over NCP, and 0.3-3.0 ppbv (0.6-5.1%) over YRD in different seasons, with the maximum increases in JJA. By using the stepwise MLR model, we find that changes in the key meteorological 486 487 variables retained in MLR equations can explain 58-76% of the climate-driven MDA8 O₃ concentration changes over EC. By using the LMG method, we find that the most important meteorological parameters for climate-induced MDA8 O₃ changes 488 489 are not only temperature, but also SW, RH, and PBLH, depending on locations and seasons. Corresponding to these changes 490 in meteorological parameters, IPR analysis shows that net chemical production (accounting for 34.0-62.5% of total O₃ mass 491 change caused by all processes within the boundary layer) is the most important process contributing to the climate-induced 492 increases of O₃ mass in all seasons. Horizontal and vertical advection in JJA (-16.6%) or dry deposition in other seasons (-37.9% to -13.7%) is the major process that reduces O₃ mass. 493
- Under carbon neutrality scenario, future MDA8 O_3 concentration changes in EC are dominated by changes in anthropogenic emissions (decrease by 0.5-15.8 ppbv), however, the effects of climate penalty (increase by 0.5-2.3 ppbv from GCAP 2.0) cannot be ignored. Both climate changes and emission changes increase MDA8 O_3 values in DJF and SON over NCP and in DJF over YRD, indicating that these regions require more attention in future O_3 pollution control.
- The estimate of the effect of climate change on O_3 pollution by using a single model GCAP 2.0 may have uncertainties. Therefore, we also obtain the multi-model projection results of future MDA8 O_3 changes driven by 2010-2045 climate change under carbon neutrality scenario by using stepwise MLR model. In JJA, six CMIP6 models project increases in MDA8 O_3 ranging from 2.9-4.2, 6.5-9.4, and 3.3-8.5 ppbv in EC, NCP, and YRD, respectively, indicating that GCAP 2.0 results (2.3, 4.7, and 3.0 pbbv) are in the lower end of the multi-model projections.

503 Data availability

The observed hourly surface O₃ concentrations in 2015 are derived from the China National Environmental Monitoring Center 504 505 (https://air.cnemc.cn:18007/, CNEMC). The satellite observations of NO₂ and HCHO are downloaded from https://www.temis.nl/airpollution/. The climate outputs from GCAP 2.0 and other six CMIP6 models can be downloaded from 506 507 http://atmos.earth.rochester.edu/input/gc/ExtData/GCAP2/CMIP6/ and https://esgf-node.llnl.gov/search/cmip6/, respectively. The GEOS-Chem model is available at http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem 13.2.1. 508 The 509 anthropogenic of SSP1-1.9 and biomass burning emission inventory available from are





- 510 https://aims2.llnl.gov/search/input4mips/. The simulation results are available upon request from the corresponding author
- 511 (<u>hongliao@nuist.edu.cn</u>).

512 Author contributions

513 LK and HL conceived the study and designed the experiments. LK carried out the model simulations and performed the data
514 analysis. KL, XY, YY, and YW provided useful comments on the paper. LK and HL prepared the paper.
515

516 Competing interests

517 The authors declare that they have no conflict of interest.

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