Source-explicit estimation of brown carbon in the polluted atmosphere over North China Plain: implications for distribution, absorption and direct radiative effect

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| 16 | Abstract. Brown carbon (BrC) plays a significant role in altering atmospheric radiation. Beyond biomass and 设置了格式: 突出显示 |
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| 17 | biofuel combustion, recent studies identify fossil fuel sources—especially residential coal burning and vehicle |
| 18 | exhaust—as major contributors to BrC. This underscores a gap in climate models, which often assume fossil fuel |
| 19 | organic aerosols (OA) are non-absorbing or treat all OA as light-scattering. In this study, we simulate BrC over |
| 20 | the North China Plain (NCP) during a winter pollution event using the WRF-Chem model, incorporating explicit |
| 21 | BrC absorption properties. The model aligns well with observed pollutant and aerosol levels, revealing an average |
| 22 | near-surface BrC concentration of 5.24.8 μg m ⁻³ , contributing 11-26.4% to aerosol absorption at 365 nm. Using a 设置了格式: 突出显示 |
| 23 | diagnostic adjoint approach, we estimate that BrC exerts a direct radiative effect (DRE) averaging -0.0940 W m |
| 24 | ² at the top of the atmosphere, reducing the cooling effect of organic carbon by 28.04% and producing a local |
| 25 | warming effect of up to +0.4034-W m ⁻² . Coal combustion is the largest BrC source in the NCP in 2014, though 设置了格式: 突出显示 |

secondary BrC also significantly impacts the regional radiation balance.

1 Introduction

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dependent light-absorption properties_(Mukai and Ambe, 1986; Kirchstetter et al., 2004; Andreae and Gelencsér, 2006).- BrC has been recognized as an important short-lived climate forcer contributing considerably to climate change by warming of the atmosphere (IPCC, 2013; Feng et al., 2013; Jacobson, 2014; Jo et al., 2016; Brown et al., 2018). A study suggested that Tthe light absorption induced by BrC can be equal to or even higher than that of black carbon (Pokhrel et al., 2017), and substantially influences atmospheric radiative forcing. Recent studies have shown that BrC accounts for 30%~50% of the total absorption of aerosols in Atlanta USA, Brazil and Hebei China (Hoffer et al., 2006; Yang et al., 2009; Liu et al., 2013). The direct radiation effect (DRE) caused by BrC is greater than +1 W m⁻² in some regions, such as South Asia, Africa and Southeast Asia, much higher than the global average (Park et al., 2010; Feng et al., 2013; Lin et al., 2014; Saleh et al., 2014; Jo et al., 2016; Wang et al., 2018; Yan et al., 2018). As a result, BrC reduces the cooling effect caused by organic aerosols by approximately 16%. However, the modelled evaluated DRE associated with BrC is remains highly uncertain, with variations spanning an order of magnitude difference. e.g.In particular, the estimated global DRE of BrC is in the range between +0.03 W/m² to +0.57 W/m² (Hammer et al., 2016), which is caused by the limited observations of BrC mass and absorption properties observations (Tuccella et al., 2020; Saleh, 2020). It has been well established that BrC is not a single substance, but a general term for light-absorbing organic acrosols. Currently, the sources and chemical composition of BrC is not completely understood yet. A series of laboratory measurements and observations in the earlier years demonstrate that BrC is mainly associated with smoldering biomass burning (BB) or biofuel (BFs) combustion (Chakrabarty et al., 2010; Chen and Bond, 2010; Lack et al., 2012; Washenfelder et al., 2015; Kumar et al., 2018), On the other hand, OA from fossil-fuel combustion are generally assumed to be non-absorbing as the combustion conditions for fossil fuels (FFs) are typically not conducive for BrC formation (Hecobian et al., 2010; Shapiro et al., 2009; Bond et al., 2013). Therefore, earlier climate model studies have assumed that primary OA from BB and BFs combustion is the main

Brown Carbon (BrC) is a collective component for those colored organic compounds with wavelength

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or sole BrC source (Feng et al., 2013; Jacobson, 2014; Saleh et al., 2014; Hammer et al., 2016; Brown et al., 2018).

Recent studies have also incorporated the ageing of secondary organic aerosol (SOA) (Jo et al., 2016; Wang et al.,

2018; Zhang et al., 2020). However, more recent exceptions are being found in low-efficiency residential-coa

combustion (RCC), (Bond, 2001; Yan et al., 2017; Xie et al., 2019; Tian et al., 2019; Zhang et al., 2022a), and fuel-

oil combustion in vehicle and ship engines (Xie et al., 2017; Corbin et al., 2019; Tang et al., 2020; Huang et al.,

| 58 | 2022), It is now generally accepted that the formation of BrC is not exclusively linked to the chemical make-up |
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| 59 | of biomass fuels but is most critically determined by the combustion conditions (Saleh et al., 2018; Cheng et al., |
| 60 | 2020; Saleh, 2020; Wang et al., 2022a), The key factor contributing to the high levels of BrC observed from |
| 61 | biomass fuels is their combustion under relatively low-temperature and fuel-rich conditions, which are highly |
| 62 | favorable for BrC formation. In contrast, fossil fuels, such as those burned in internal combustion engines, |
| 63 | typically undergo combustion at higher temperatures and under more fuel-lean conditions, which are less |
| 64 | conducive to BrC production (Saleh, 2020), China, as a developing country, coal is commonly used for residential |
| 65 | heating in cold season, causing massive emissions of organic particles (Yan et al., 2017; Li et al., 2018), According |
| 66 | to the National Bureau of Statistics of China (https://data.stats.gov.cn), the coal consumption in 2014 was about |
| 67 | 4000 Tg, accounting for 65.8% of the total primary energy use of China. Of this, around 93 Tg is used as household |
| 68 | fuel. The poor burning conditions and limited emission control facilities in this region could lead to substantial |
| 69 | emissions of BrC. This could explain why, to date, all reported instances of coal-derived BrC have originated from |
| 70 | China. Both Yan et a., (2017) and Mo et al., (2021) have used dual carbon isotope-based source apportionment |
| 71 | method reported that fossil fuel, especially coal combustion from the residential sector is important source in |
| 72 | northern China, even the largest contributor in some regions. |
| 73 | These recent findings indicate a critical gap on the treatment of BrC inin chemical transport models, |
| 74 | atmospheric chemistry models and climate models as well-climate models, which present an even greater concern, |
| 75 | as they typically do not consider BrC at all (Ma et al., 2021; Jo et al., 2023; Gao et al., 2025; Ge et al., 2025), = |
| 76 | This includes Addressing this gap requires expanding the scope of BrC sources,and_assigning distinct optical |
| 77 | properties for each source and incorporating those that have been underrepresented or overlooked in past |
| 78 | assessments into numerical models. In this study, we include the main primary emission sources (RCC, BB, BFs, |
| 79 | vehicle emissionsFFs-TRA) of BrC and secondary derived BrC in a regional model, the Weather Research and |
| 80 | Forecasting model coupled with Chemistry (WRF-Chem). A representative region, North China Plain (NCP), is |
| 81 | chosen as the study domain with high anthropogenic carbonaceous aerosols due to the widespread use of coal and |
| 82 | biomass burning for heating during winter and the increasing number of motor vehicles. We performed a month |
| 83 | simulation to evaluate the surface distribution, absorption and the DRE of BrC in the NCP, by updating BrC |
| 84 | optical properties of different sources. Sensitivity experiments have also been devised to assess the contribution |
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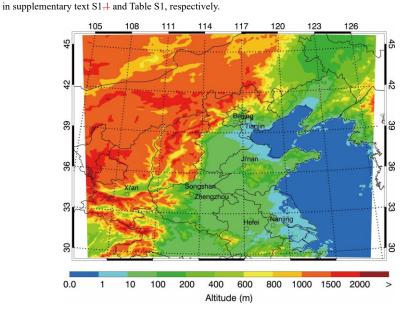
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2 Model and Method

2.1 WRF-Chem Model and configurations

The WRF-Chem model (Grell et al., 2005; Fast et al., 2006) modified by Li et al., (2010; 2011a; 2011b; 2012) is used to quantitatively estimate the BrC in the NCP. A heavily polluted month from January 1 to 30, 2014 is selected for the simulation period. The anthropogenic emissions are developed by Zhang et al. (2009) and Li et al. (2017), including five sources-contributed from-, namely agriculture, industry, power generation, residential, and transportation-sources. The biogenic emissions are calculated online using the MEGAN (Model of Emissions of Gases and Aerosol from Nature) model developed by Guenther et al. (2006). Additionally, the grid-based RCC, BB and BFs combustion emissions are used to update the BrC sources in this study-which will be, described later. The model simulation domain is shown in Fig. 1. The detailed model description and configurations can be found



 $\label{prop:prop:condition} \textbf{Figure 1. WRF-Chem simulation domain with topography. The square denotes the field sites for simulation and observation comparison$

2.2 Aerosol radiative module

The aerosol radiative module developed by Li et al., (2011a) has been incorporated into the WRF-Chem model to calculate the aerosol optical depth (AOD or τ_a), single scattering albedo (SSA or ω_a), and the asymmetry factor (g_a). In the aerosol module, aerosols are represented by a three-moment approach with a

104 lognormal size distribution:

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$$n(lnD) = \frac{N}{\sqrt{2\pi ln\sigma_g}} exp\left[-\frac{1}{2} \left(\frac{lnD - lnD_g}{ln\sigma_g}\right)^2\right]$$
 (1)

Where D is the particle diameter, N is the number distribution of all particles in the distribution, D_g is the geometric mean diameter, and σ_g is the geometric standard deviation. To calculate the aerosol optical properties, the aerosol spectrum is divided into 48 bins from 0.002 to 20.0 μ m, with radius r_i . The aerosols are classified into four types: (1) internally mixed sulfate, nitrate, ammonium, hydrophilic organics and black carbon (BC), and water; (2) hydrophobic organics; (3) hydrophobic BC; and (4) other unidentified aerosols (generally dust-like aerosols). These four kinds of aerosols are assumed to be mixed externally. For the internally mixed aerosols, the complex refractive index at a specific wavelength (λ) is calculated based on the volume-weighted average of the

individual refractive index. Given the particle size and complex refractive index, the extinction efficiency (Q_e) ,

 ω_a and g_a are calculated using the Mie theory at a certain wavelength (λ). The look-up tables of Q_e , ω_a and

 g_a are established according to particle sizes and refractive indices to avoid multiple Mie scattering calculation.

The aerosol optical parameters are interpolated linearly from the look-up tables with the calculated refractive

index and particle size in the module. The au_a at a certain λ in a given atmospheric layer k is determined by

the summation over all types of aerosols and all bins:

119 $\tau_a(\lambda, k) = \sum_{i=1}^{48} \sum_{j=1}^{4} Q_e(\lambda, r_i, j, k) \pi r_i^2 n(r_i, j, k) \Delta Z_k$

where $\underline{n(r_i, j, k)}$ is the number concentration of \underline{j} -th kind of aerosols in the i-th bin. ΔZ_k is the depth of an

atmospheric layer. The weighted-mean values of ω_a and g_a are then calculated by using D'Almeida et al.,

122 (1991):

$$\omega_{\alpha}(\lambda, k) = \frac{\sum_{i=1}^{48} \sum_{j}^{4} Q_{e}(\lambda, r_{i}, j, k) m r_{i}^{2} n(r_{i}, j, k) \omega_{\alpha}(\lambda, r_{i}, j, k) \Delta Z_{k}}{\sum_{l=1}^{48} \sum_{j}^{4} Q_{e}(\lambda, r_{i}, j, k) m r_{l}^{2} n(r_{i}, j, k) \Delta Z_{k}}$$

$$(3)$$

$$g_{a}(\lambda, k) = \frac{\sum_{i=1}^{48} \sum_{j}^{4} q_{e}(\lambda r_{i,j} k) \pi r_{i}^{2} n(r_{i,j} k) \omega_{a}(\lambda r_{i,j} k) g_{a}(\lambda r_{i,j} k) \Delta Z_{k}}{\sum_{i=1}^{48} \sum_{j}^{4} q_{e}(\lambda r_{i,j} k) \pi r_{i}^{2} n(r_{i,j} k) \omega_{a}(r_{i,j} k) \Delta Z_{k}}$$

$$(4)$$

When the wavelength-dependent τ_a , ω_a , and g_a are calculated, they can be used in the Goddard shortwave

module.

It is worth noting that the aerosol liquid water content in the study is predicted with the inorganic aerosols using a computationally efficient thermodynamic equilibrium model, ISORROPIA (version 1.7,

(Nenes et al., 1998; Fountoukis and Nenes, 2007). In this study, ISORROPIA is mainly used to predict the

130 thermodynamic equilibrium between the ammonium-sulfate-nitrate-chloride-water aerosols and their gas-phase

131 precursors H₂SO₄-HNO₃-NH₃-HCL-water vapor, and water uptake of aerosols is calculated using the Zdanovskii-

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132 Stokes-Robinson (ZSR) correlation (Stokes and Robinson, 1966): $W = \sum_{i} \frac{M_i}{m_{oi}(a_w)}$ 133 (5) 134 Where W is the mass concentration of aerosol liquid water (kg m⁻³ air), M_i is the molar concentration of **带格式的:**缩进:首行缩进:0字符,制表位:不在2字符 species i (mol m⁻³ air), and $m_{oi}(a_w)$ is the molality of an aqueous binary solution of the i-th electrolyte 135 136 with the same a_w (i.e. relative humidity) as in the multicomponent solution. 137 The BrC in the model has an effective density of 1.2 g cm⁻³ for primary BrC (Turpin and Lim, 2001) and of 1.00 设置了格式: 突出显示 设置了格式: 突出显示 138 g cm⁻³ for secondary BrC- (Hurley et al., 2001)-. The imaginary refractive index of BrC used in this study is 设置了格式: 突出显示 139 discussed in 2.3.2. 2.3 Model modifications 140 141 2.3.1 Source separation of BrC 142 The definition of BrC in the model is dependent on its sources. Due to the lack of BrC emission inventories, 设置了格式: 突出显示 143 most of the previous studies either simply use OA from BB as a proxy of BrC or estimate the emission of BrC based on the emission ratio of BrC versus BC (Zhu et al., 2021). According to the characteristics of energy 144 145 structure in China, assumptions and code modifications of the WRF-Chem model have been made to consider the 设置了格式: 突出显示 146 primary-BrC from different sources. These involve three separated primary BrC sources, including BB emissions, 147 fossil fuelFFs emissions from RCC and on-road vehicles (FFs-TRA), and a part of SOA which has light absorption 148 property whereas other types of primary OA (POA) and SOA is are assumed to be purely scattering. In this study, 149 BB source corresponds to open fire, household biomass burning and biofuel consumption emissions. 150 For the primary emissions, previous BrC simulations have substituted it with a proportion of POA directly 151 (Feng et al., 2013; Lin et al., 2014; Wang et al., 2014; Tuccella et al., 2020; Xu et al., 2024), derived it from the 152 relationship between the burning efficiency and the observed aerosol light absorption (Jo et al., 2016; Zhu et al., 2021), or determined it through parameterization where BrC absorption is a function of the BC-to-OA emission 153 ratio (Zhang et al., 2020). In the present work, we calculated the primary BrC emissions based on the bottom-up 154 155 OA emission inventory combined with reported annual BrC emissions from various primary sources, as shown in 156 Table 1. Firstly, we collected the reported annual emissions of BrC from RCC, BB and FFs-TRA by using bottom-设置了格式: 突出显示 设置了格式: 突出显示 157 up inventory method, as shown in Table 1. It should be noted that given the proximity of the study period (January 设置了格式: 突出显示 158 2014) to 2013, we use the emissions of BrC from RCC and BB in 2013 provided by Sun et al., (2017; 2021), 设置了格式: 突出显示 设置了格式: 突出显示 159 which is 592Gg and 712Gg, respectively. The emissions of FFs-TRA derived BrC is 76Gg, which is calculated 设置了格式: 突出显示 160 pased on the value of 2017 **(Wang et al., 2022a)** and scaled by a factor of 0.70 to reflect the ratio change of annua 设置了格式: 突出显示

| 161 | civilian-owned motor vehicles. We assume that the spatial and seasonal variation of BrC is similar to OA. TThen |
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| 162 | bottom-up emissions inventory we induced monthly BrC emissions in the NCP in January 2014 by is the annual |
| 163 | BrC emissions multipliedied by the ratio of OA emissions of in NCP vs China, and the R ratio of OA emissions |
| 164 | in January 2014 vs the whole year, resulting in a value of 65.5 Gg, 56.8 Gg and 4.4 Gg for RCC, BB and FFs- |
| 165 | TRA, respectively. Finally, the proportion of the three primary emissions of BrC used in the model is 36.3%, |
| 166 | 100.8% and 15.8%, respectively. |
| 167 | Up to now, the study for BrC emissions is limited (Sun et al., 2017; Sun et al., 2021; Wang et al., 2022b). |
| 168 | Quantifying emission factors of BrC is still challenging due to the need to isolate BrC from other-pollutants, and |
| 169 | the factors vary greatly depending on the methods and proxies used. There are usually two methods to estimate |
| 170 | the emissions of BrC, one is the traditional inventory method, and the other is calculating by using the ratio of |
| 171 | BrC to other combustion products like BC. The gap between these two methods, even the same method, could be |
| 172 | very wide. Sun et al., (2017; 2021) reported that the BrC from RCC and BB-emission is 592 Gg-and 712 Gg, |
| 173 | respectively in 2013 in China but it likely to be biased low because of the proxy of BrC. Whereas Zhu et al., |
| 174 | (2021) obtained a large RCC emissions (2.42 Tg-year ⁴) by using the emission ratio method. In the present-work, |
| 175 | we have taken consideration of the observation results that fossil sources significantly contribute to water soluble |
| 176 | organic carbon (WSOC), especially in colder seasons, and that both the contribution and light absorption |
| 177 | efficiency of WSOC are higher in northern China than in southern China (Feng et al., 2013; Mo et al., 2021). |
| 178 | Follow on this study, we use a proportion of OA from different sources to estimate the emissions of BrC. RCC is |
| 179 | responsible for about 45% of primary BrC emissions resulting in an annual emission of 616 Gg in the NCP. While |
| 180 | all the BB emissions of OA are considered to be absorption, contributing approximately 45% to primary BrC |
| 181 | emissions which is 664-Gg per year in NCP. The vehicle emissions of BrC is are based on the calculations made |
| 182 | by Wang et al., (2022), and are about 84 Gg year in this study. The total yearly BrC primary emissions in the |
| 183 | NCP is over 1364 Gg year ⁴ which is closer to the estimation of traditional inventory method <u>(加文献)</u> . |
| 184 | Figure 2 shows the contributing regions and burdens of the three separated primary sources of BrC. 图要数 |

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185 Table 1 The data for primary BrC emissions calculation

| Primary sources of BrC | RCC | BB | FFs-TRA |
|---|--------------------|-------------------|--------------------|
| Annual BrC emissions (Gg) in China | 592.0 ^a | 712.0^{b} | <mark>76.0°</mark> |
| Ratio of OA emissions in the NCP vs China ^e | <mark>57.7%</mark> | 51.0% | <mark>69.4%</mark> |
| Ratio of OA emissions in January 2014 vs the whole year ^c | 19.2% | 14.0% | <mark>8.3%</mark> |
| Bottom-up emissions inventory induced monthly BrC emissions in the NCP in January | <mark>65.5</mark> | 56.8 ^d | 4.4 |
| Emissions in the NCP in January 2014e | 180.2 | 56.4 | <mark>27.9</mark> |
| BrC emissions ratio for primary sources used in the model | <mark>36.3%</mark> | 100.8% | 15.8% |

^a The BrC emissions from China's RCC in 2013 was reported by Sun et al., (2017) based on experiments involving seven coals

were burned in four typical stoves as both chunk and briquette styles.

188 The calculated BrC emissions from China's household biomass burning in 2013 reported by Sun et al., (2021) using 11 widely

used biomass types in China burned in a typical stove.

^C The estimated BrC emissions from vehicle exhaust in 2017 was 109 Gg reported by Wang et al., (2022a) In this study, the

emissions of FFs-TRA derived BrC is 76.0 Gg with a yearly scale factor 0.70 which derived by the annual civilian-owned

motor vehicles between 2014 and 2017.

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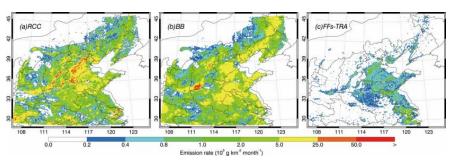
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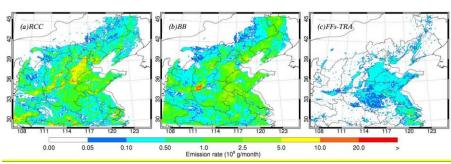
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d The value of BrC emissions in NCP in January 2014 is additionally added with OA emitted from the open-biomass burning

194 (6 Gg) which is assumed to be entirely light-absorbing.

^eThese values were derived from the OA emission inventory described in Sec. 2.1





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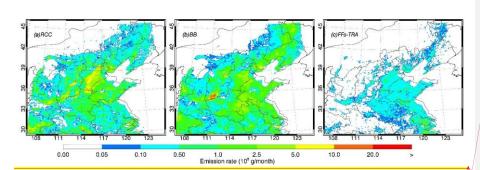


Figure 2 Monthly BrC emissions burdens in January 2014 in NCP from RCC, BB and FFs-TRA.

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213 214 SOA has also shown light absorption in the atmosphere (Lin et al., 2014). Laboratory experiments have revealed that most of the light-absorbing SOA is associated with aromatic earbonyls SOA (Jacobson, 1999; Laskin et al., 2015; Li et al., 2020) 文献 and the absorption from biogenic SOA in the field has been found to be negligible (Washenfelder et al., 2015) 文献 Therefore, —In this work, here —we assume aromatic derived SOA only secondary as secondary BrC in the model following previous studies (Jo et al., 2016; Wang et al., 2018).—

Moreover, it is worth noting that both primary and SOA light absorption were shown to be dynamic, where BrC can be bleached when they undergo photodissociation (Forrister et al., 2015; Wong et al., 2019), or be darken by cloud and fog processing of aerosols (Moise et al., 2015; Lin et al., 2017; Cheng et al., 2020). These processes are not considered in this study yet. More detailed parameterization of the chemical aging of BrC are needed in future BrC models. a proportion of 10% of the total SOA is included as a part of BrC. Applying the emission fractions above, OA is split into white scattering POA, white scattering SOA and BrC at each time step.—

*There values were derived from the OA emission inventory described in Sec. 2.1

ine-Bottom-up emissions inventory induced monthly e

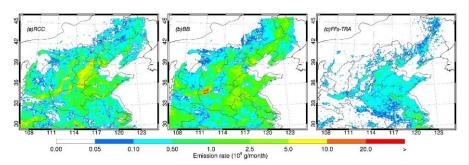


Figure 2 Monthly BrC emissions burdens in January 2014 in NCP from FFs-coal, BB and FFs-traffic.

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2.3.2 BrC optical properties

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The refractive indices of BrC as a function of wavelength are used for radiative transfer calculations. The complex refractive index (m = n + ik) of aerosol components enables practical implementation in the model, where n is the real part primarily associated with the scattering efficiency efficiency, and k is the imaginary part primarily associated with the absorption efficiency (Bohren and Huffman, 1998). The real part of BrC refractive index is the same of non-absorbing OA which is fairly constrained with reported values typically ranging from 1.5 to 1.7 (Saleh et al., 2014; Browne et al., 2019; Li et al., 2020). In this study, it follow the study by Li et al. (2011a) and Wu et al. (2020). The imaginary part (k) of BrC refractive index exhibit strong wavelength dependence and the values range over several orders of magnitude_(Saleh et al., 2018; Sengupta et al., 2018) (Saleh et al., 2018; Sengupta et al., 2018). Limited studies on the optical properties of BrC from fossil fuel combustions are reported at present. The average mass absorption efficiency (MAE) of RCC at 365nm is ranging from 0.80 m² g⁻¹ to 2.47 m² g⁻¹ (Yan et al., 2017; Li et al., 2019; Tang et al., 2020; Wang et al., 2020; Ni et al., 2021; Song et al., 2021; Wang et al., 2021). In this studyHere, as shown in Table 2, we use two sets of MAE are used for the sensitivity experiments of BrC. We choose a field optical measurement of BrC from all sources made by Zhang et al., (2022b) Zhang et ... as the high absorption case (HI-BRC-ABS). The optical properties of BB and FFs-TRA obtained in laboratory by Xie et al., (Xie et al., (2017), as well as MAE of RCC and secondary BrC obtained in laboratory by Ni et al. (2021)(2021) are adopted as the low absorption case (LOW-BRC-ABS) in the study. The imaginary part of the two cases have shown wavelength dependent light-absorption properties and the changes in anthropogenic emissions affect the optical properties of BrC. The imaginary part of both two cases are interpolated to 11 wavelengths to match the aerosol radiation calculation of Goddard module in WRF-Chem. Considering that BrC has a high light absorption efficiency in northern China during cold season (Mo et al., 2021), we choose a field measurement of MAE in the wavelength range between 370 nm and 660 nm, showing that the changes in anthropogenic emissions affect the optical properties of BrC (Zhang et al., 2022b). This MAE is higher than that reported by Ni et al., (2021) and Xie et al., (2017) to implement the absorption of BrC. The MAE of SOA follows the suggestion made by Ni et al., (2021)(2021). -The value of k in this work is derived from the measured MAE using the following Eq.(6+) (Liu et al., 2013; Lu et al., 2015) as shown in Table2:_÷

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 $242 k_{Brc,\lambda} = \frac{\rho \times \lambda \times MAE_{\lambda}}{4\pi}$

243 (64)

244 Where MAE_{λ} (m² g⁻¹) is the bulk mass absorption efficiency of BrC at the corresponding wavelength λ . ρ (g 245 cm⁻³) is the density of organic aerosols, which is assigned as 1.2 g cm⁻³ (Turpin and Lim, 2001) in this study. 246 the imaginary part of both two cases were interpolated to 11 wavelength to match the aerosol radiation calculation 247

of Goddard module in WRF Chem.

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Table 1_2 The refractive index of BrC used in the model

| Aerosols | Wavelength (nm) | <mark>k</mark> | References |
|----------------------|------------------|---------------------|-----------------|
| | 370 | 0.1890 | |
| | <mark>470</mark> | 0.0608 | |
| BrC-RCC | 520 | 0.0272 | |
| | 590 | 0.0173 | |
| | <mark>660</mark> | 0.0081 | |
| | 370 | 0.0587 | 1 |
| | <mark>470</mark> | 0.0219 | |
| BrC-BB | <mark>520</mark> | 0.0120 | Zhang Y. 2022 |
| | 590 | 0.0092 | |
| | <mark>660</mark> | 0.0046 | |
| | 370 | 0.0509 | |
| | <mark>470</mark> | 0.0194 | |
| BrC-FFs-Tra | <mark>520</mark> | 0.0085 – | |
| | 590 | 0.0046 | |
| | <mark>660</mark> | 0.0018 | |
| | 365 | 0.00490 | |
| <mark>BrC-SOA</mark> | 500 | 0.00070 | Ni et al., 2021 |

| Aerosols | Wavelength (nm) | k values for HI-BRC-ABS | k values for LOW-BRC-ABS |
|-------------|------------------|-------------------------|--------------------------|
| | 365 | - | 0.0320 |
| | <mark>370</mark> | 0.1890 | - |
| | <mark>470</mark> | 0.0608 | <u>-</u> |
| BrC-RCC | <mark>500</mark> | <mark>-</mark> | 0.0020 |
| | <mark>520</mark> | 0.0272 | |
| | <mark>590</mark> | 0.0173 | |
| | <mark>660</mark> | 0.0081 | |
| | <mark>365</mark> | | 0.0300 |
| | <mark>370</mark> | 0.0587 | <u>-</u> |
| | <mark>405</mark> | - | 0.0016 |
| BrC-BB | <mark>470</mark> | 0.0219 | |
| ріс-вв | 520 | 0.0120 | - <mark>-</mark> |
| | <mark>550</mark> | <mark>-</mark> | 0.0026 |
| | <mark>590</mark> | 0.0092 | |
| | <mark>660</mark> | 0.0046 | |
| | <mark>365</mark> | | 0.0180 |
| | <mark>370</mark> | 0.0509 | <u>-</u> |
| | <mark>405</mark> | - | 0.0130 |
| BrC-FFs-Tra | <mark>470</mark> | 0.0194 | |
| DIC-118-11a | 520 | 0.0085 | |
| | <mark>550</mark> | | 0.0045 |
| | <mark>590</mark> | 0.0046 | <u>-</u> |
| | <mark>660</mark> | 0.0018 | |

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| | <mark>365</mark> | <u>-</u> | 0.0049 |
|---------|------------------|----------|----------|
| | 370 | 0.0251 | - |
| | <mark>470</mark> | 0.0166 | |
| BrC-SOA | 500 | <u>-</u> | 0.0007 |
| | 520 | 0.0114 | <u>-</u> |
| | <mark>590</mark> | 0.0107 | |
| | <mark>660</mark> | 0.0063 | - |

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2.3.3 Shortwave direct radiative effect calculation and experimental design

The shortwave DRE calculations of BrC follow the method reported by Chen et al (2021) as shown in Eq. (72). The DRE of BrC is calculated by the difference between the net radiant flux with and without BrC, where the net radiant flux is the difference between the downward (F_{\downarrow}) and upward radiant flux (F_{\uparrow}) .

$$256 DRE_{TOA} = (F_{\downarrow TOA}^a - F_{\uparrow TOA}^a) - (F_{\downarrow TOA}^0 - F_{\uparrow TOA}^0) (72)$$

Where DRE_{TOA} represent the shortwave DRE at the top of the atmosphere (TOA). F^a and F^0 is are 258 radiant flux with and without BrC aerosols, respectively.

An adjoint methodology proposed by Zhao et al (2013) and Huang et al. (2015) has been used to diagnose the optical depth and DRE of BrC aerosols. Optical properties and radiative transfer of different sources BrC are calculated multiple times with one or a group of aerosol mass removed or without BrC absorption from each of calculation as the following Eq. (83) and Eq. (94). In addition, the model also takes into account the reduced

$$AOD_{[species i]} = AOD_{[all species]} - AOD_{[without species i/without species i absorption]}$$
(83)

aerosol masses along with the change in aerosol number concentration and size distribution.

This method is more efficient than the traditional approach of running the model multiple times with the exclusion of a specific aerosol component. It not only saves computational time but also provides a more accurate estimation focused solely on the direct radiative effect of aerosols.

3 Results and Discussions

3.1 Model performance

Before evaluating the DRE of BrC further, results from the standard simulation are used to validate the model performance. Using available measurements, we first validate the spatial distribution and temporal variation of air pollutants (PM2.5, O3, NO2, SO2) in the NCP, the temporal variation of downward shortwave flux at the surface (SWDOWN) in Beijing, Tianjin, Zhengzhou, Hefei and Ji'nan, and the temporal variation of aerosol species (OA, 设置了格式:字体颜色:文字1,突出显示

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| 275 | elemental carbon, ammonium, sulphate and nitrite) in Beijing and, Tianjin and of primary OA from BB, RCC, | | 设置了格式: 突出显示 | |
|-----|---|-------|---------------------|--|
| 276 | motor vehicles and SOA in Beijing in January, 2014. Detailed data descriptions and quantitative statements of | | | |
| 277 | model biases can be found in supplementary text S2.1 and S3. In general, the model simulates reasonably well | | 设置了格式: 突出显示 | |
| 278 | simulates the air pollutants, SWDOWN, and aerosol species against measurements. | | | |
| 279 | SSA determines the strength of aerosols in absorbing solar radiation. Here we conduct three sensitivity | | 次要之格子 , 南山日二 | |
| 219 | SSA determines the strength of aerosois in absorbing solar fadiation. There we conduct three sensitivity | | 设置了格式: 突出显示 | |
| 280 | experiments to evaluate the effect of BrC with different k values on the simulated aerosol absorption. The first | | 设置了格式: 突出显示 | |
| 001 | | | 设置了格式: 突出显示 | |
| 281 | experiment is the control simulation in which all organic aerosols are treated as purely scattering particles with no | | 设置了格式: 突出显示 | |
| 282 | absorption contribution of BrC, which is referred to as NOBRC. The hi-absorption scenario (HI-BRC-ABS) and | | 设置了格式: 突出显示 | |
| | | | 设置了格式: 突出显示 | |
| 283 | low-absorption scenario (LOW-BRC-ABS) characterize BrC light absorption by using the higher and lower | | 设置了格式: 突出显示 | |
| 284 | imaginary refractive index derived from Section 2.3.2, respectively. Figure 3 shows the comparisons of simulated | | 设置了格式: 突出显示 | |
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| 285 | versus observed SSA at 440 nm (SSA ₄₄₀) at Sun-sky radiometer Observation NETwork (SONET) sites in Beijing, | - | 设置了格式: 突出显示 | |
| 286 | Songshan, Xi'an, Hefei, Nanjing in January 2014. Due to the influence of clouds, the observational data from | \ | 设置了格式: 突出显示 | |
| 200 | Songshan, Ar an, riefel, ivanjing in January 2014. Due to the influence of clouds, the observational data from | \ \\\ | 设置了格式: 突出显示 | |
| 287 | SONET are not continuous, resulting in a total of 237 valid data points are available for comparison. Moreover, | \ \\ | 设置了格式: 突出显示 | |
| 200 | SSA retrieval typically have larger uncertainties at low AOD values (Dubovik et al., 2002). Therefore, we have | // | 设置了格式: 突出显示 | |
| 288 | SSA retrieval typically nave larger uncertainties at low AOD values (Dubovik et al., 2002). Therefore, we have |) | 设置了格式: 非突出显示 | |
| 289 | excluded the SSA data when AOD is less than 0.5, which has 206 valid points in each case. We find that the | | 设置了格式: 突出显示 | |
| 290 | inclusion of BrC in the model reduces the bias of simulated SSA. The HI-BRC-ABS case demonstrated a largest | | | |
| 291 | improvement with the correlation coefficient increasing to 0.54, making it the best simulation in the study. It | | 设置了格式: 突出显示 | |
| | | | 设置了格式: 突出显示 | |
| 292 | suggests that stronger BrC absorption case, as prescribed in HI-BRC-ABS, better captures the aerosol optical | | 设置了格式: 突出显示 | |
| 293 | properties observed in northern China during winter. Consequently, the HI-BRC-ABS case can serve as the the | | | |
| 294 | base simulation for further investigation of radiative effects of BrC in this study, Overall, the model tends to | | 设置了格式: 突出显示 | |
| 295 | underestimate SSA ₄₄₀ . The underestimation might be partly caused by the overestimation of absorbing aerosols | | 设置了格式: 突出显示 | |
| 296 | like BC or dust. Meanwhile, the uncertainties of the simulated SSA can be caused by other factors, such as mixing | | | |
| 297 | state of aerosols, particle shape, wavelength, and mass ration of non-black carbon to BC (Liu et al., 2017; Jeong | | | |
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et al., 2020).___

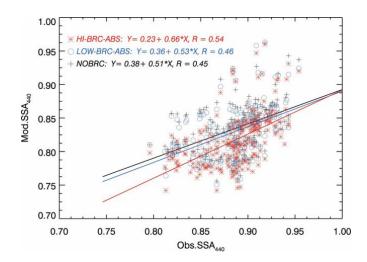


Figure 3. Scatter plot and linear fitting of modelled and observed column integrated SSA at 440 nm in case HI-BRC-ABS (red), LOW-BRC-ABS (blue) and NOBRC (black) case.

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The daily AOD at 550 nm (AOD₅₅₀) from the dataset of Long-term Gap-free High-resolution Air Pollutant (LGHAP), derived via tensor-flow-based multimodal data fusion method (Bai et al., 2022), is compared with the simulation. This gap-free daily AOD dataset at 1 km resolution for 2000-2020 in China was generated by integrating multimodal data from satellites, numerical models, and in situ measurements. Data gaps in Moderate Resolution Imaging Spectroradiometer (MODIS) AOD are reconstructed through spatial pattern recognition and statistical knowledge transfer. Validation against Aerosol Robotic Network (AERONET), observations showed strong agreement, with an R of 0.91 and an RMSE of 0.21. Figure 43a and 43b shows the pattern comparison of the monthly simulated and retrieved AOD₅₅₀. The model reasonably reproduces the retrieved AOD distribution compared to the retrieval in the NCP reasonably, but slightly underestimates the AOD₅₅₀. The monthly <mark>average</mark> simulated and retrieved AOD550 is 0.45 and 0.48 on average in the NCP, respectively. Figure 3c shows the scatter plot of the daily simulated and retrieved AOD₅₅₀ averaged in the NCP during the simulation period. The simulated daily average AOD₅₅₀ correlates quite well with the retrieved valueval, with a regression slope of 1.085 and correlation coefficient of 0.832. Generally, the retrieved and simulated AOD increases with deterioration of the particulate pollution. Figure 45 provides the pattern comparison of the simulated and Ozone Monitoring Instrument (OMI) retrieved AOD at 440 nm (AOD₄₄₀) averaged during the simulated episode.- OMI aboard NASA's Aura satellite offers global atmospheric measurements at a spatial resolution of 0.25°×0.25°, with

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Beijing's overpass occurring at approximately 13:45 local time, The averaged simulated AOD₄₄₀ from the model simulation at 143:00 local time showspattern—is—generally in–agreement with the OMI retrieval, but the underestimation still exists. The average simulated and retrieved AOD₄₄₀ is 0.510 and 0.53 in the NCP, respectively. Overall In—brief, the model generally performs well in simulating the AOD distribution, but is subject to underestimating AOD. It is worth noting that the simulated AOD is not only dependent on the column aerosol content and composition, but is also substantially influenced by relative humidity (RH) which determines the aerosol hygdroscopic growth. Additionally, the satellite retrieved AOD is subject to contamination by the presence existence of clouds, and considering the high occurrence frequency of clouds during haze days, the retrieved AOD might be overestimated is generally higher than the simulation (Satheesh et al., 2010; Chand et al., 2012).

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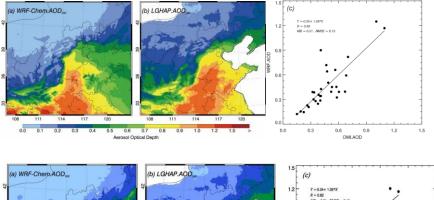
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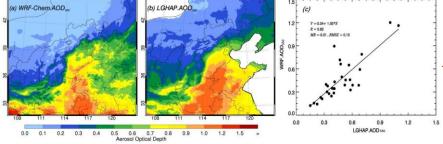


Figure 43 (a) monthly simulated AOD_{\$50} of WRF-Chem, (b) monthly retrieved AOD_{\$50} of reanalysis dataset LGHAP, and (c) scatter plot of the daily simulated and retrieved AOD_{\$50} averaged in the NCP from 01 January to 30 January

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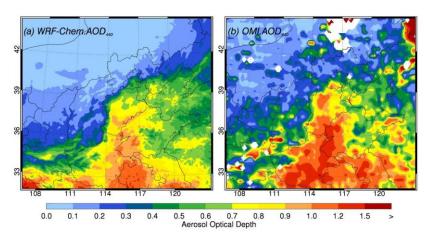
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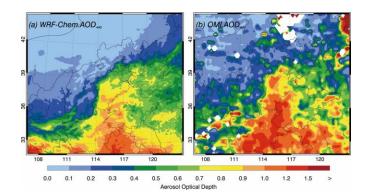


Figure $\underline{54}$ (a) monthly simulated AOD₄₄₀ of WRF-Chem, (b) monthly retrieved AOD₄₄₀ of OMI in the NCP from 01 January to 30 January 2014_

SSA determines the strength of aerosols in absorbing solar radiation. Figure 5 shows the comparisons of simulated versus observed SSA at 440 nm (SSA₄₄₆) at Sun sky radiometer Observation NETwork (SONET) sites including Beijing, Songshan, Xi'an, Hefei, Nanjing in January 2014. Due to the influence of clouds, the observational data from SONET are not continuous, consequently, a total of 237 valid data points are available for comparison. The model tends to underestimate SSA₄₄₆ with a MB of -0.04. The relationship indicates that the model might predict more absorbing aerosols or underestimate RH. Meanwhile, the uncertainties of the simulated SSA can be caused by other factors, such as mixing state of aerosols, particle shape, wavelength, and mass ration

of non-black carbon to BC (Liu et al., 2017). Jeong et al. (2020) have proposed that the density of BC is an important factor for the SSA estimation, and dust size distribution reduces SSA₄₄₀—

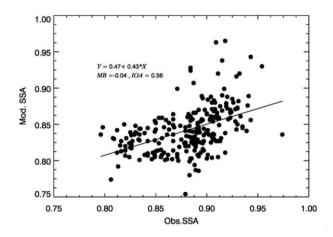
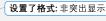
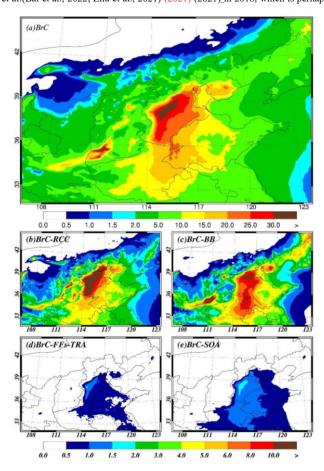


Figure 5. Scatter plot and liner fitting of column integrated SSA at 440 nm

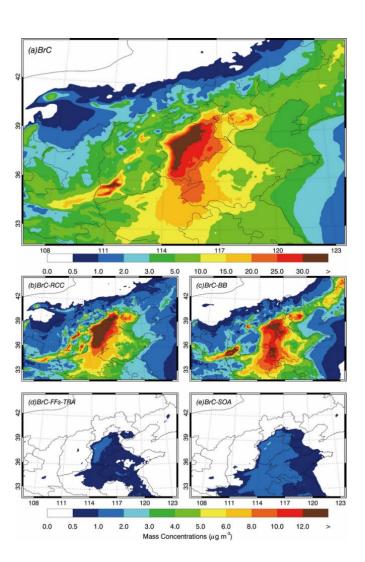
3.2 Surface mass concentrations of BrC in NCP

The simulated distribution of average near-surface BrC concentrations and each source contribution in January 2014 is shown in Fig. 6a. In January, the monthly mean concentrations of BrC in the NCP vary from 0.05 $\mu g \ m^3$ to $\frac{39.042.340.8}{2.0042.340.8} \ \mu g \ m^3$, with an average of $\frac{4.85.2}{4.85.2} \ \mu g \ m^3$. The spatial distribution of near-surface BrC concentrations is like that of PM_{2.5} in the NCP, with the highest concentration areas located in Hebei Province with an average concentration of $\frac{13.614.95}{4.95} \ \mu g \ m^3$. The simulated BrC concentrations are higher than those reported by Zhu et al. (Bai et al., 2022; Zhu et al., 2021) (2021) in 2018, which is perhaps caused by the





more severe particulate pollution in January 2014. 2014.



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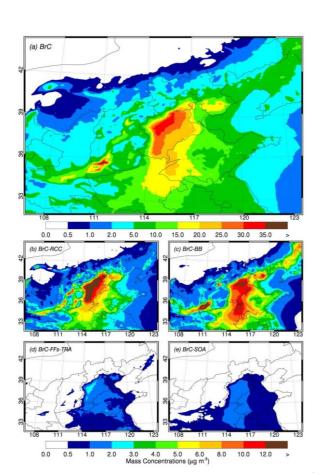


Figure 6. Simulated mean surface concentrations of BrC (a) and contributions from each anthropogenic source of

RCC (b), of BB (c), of FFs-TRA (d) and secondary BrC (e) in January 2014 in NCP

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Figure 6b-e present the average near-surface BrC concentrations from different anthropogenic sources and secondary formation in January 2014 in the NCP. The BrC in the NCP predominantly originates from RCC and BB, with an average contribution of 2.3 and 2.49 μg m⁻³ and a maximum of 28.231.93.8 and 31.629.031.3 μg m⁻³, respectively. A relatively small proportion of BrC is contributed by related to FFs-TRA and secondary transformations with an average concentration of 0.25 μg m⁻³ and 0.434 μg m⁻³, respectively. The BrC from RCC accounts for 5456.83% of total BrC concentrations in the NCP, which is highest in Beijing, Hebei, and Tianjin, reaching 6783.72%, 4854.45.50% and 53.3447.17% respectively. The BrC from BB counts 36.941.9% of total BrC concentrations, with the-a contribution of about 40% in most provinces of the NCP but only 26.58.9% in

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Beijing. This result shows that the RCC is one of the major sources of BrC in NCP due to the wide use of coal for heating and cooking in winter with the low combustion efficiency and fewer-little emission control. The BrC emitted by RCC is mainly concentrated in the Beijing-Tianjin-Hebei BTH) region in January 2014, while the BrC emitted by BB is distributed in the whole NCP. The Fen-Wei plain exhibits notably high contributions from BB, which is consistent with the emission distribution and with previous studies (Cao and Cui, 2021; Zhang et al., 2021). The Fen-Wei Plain is one of the most densely populated and heavily polluted areas in northern China where biomass is usually used for heating during winter. Although the BrC concentration from FFs-TRA is generally higher in Hebei Province than that in other regions, the highest BrC contribution from FFs-TRA is in Jiangsu Province, reaching up to 16.9%, with an average of 6.1%.

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3.3 BrC absorption in the NCP

BrC absorbs visible to near-ultraviolet light with its absorption capabilities extending prominently at shorter wavelengths. Therefore, we calculate the AAOD (aerosol absorption optical depth) to evaluate the absorption contribution of BrC versus bulk aerosols, each anthropogenic source and SOA versus BrC at 365 nm (Fig. 7), by differentiating the AAOD between the model runs with and without the contribution of BrC-model runs. The average contribution of BrC to the total AAOD of aerosols at 365 nm is 14-216.4% and the maximum is 33-69.5% in the NCP in January 2014. The BrC to BC and OC ratios in surface air in the study is 2.2 and 0.31, respectively, and They, are higher than the surface ratio used in the global models (Jo et al., 2016; Park et al., 2010) (Jo. Park), but lower than the ratio of Fcng et al., 2013(2013). Althought the concentrations of BrC is relatively high and Compared with the global mean ratio of BrC to BC which is 1.24 (Jo et al., 2016), the near-surface BrC to BC ratio of thatdetermined for in the NCP is relatively low, with an average of 0.85, resulting from the because of high concentrations of BC in this area. It This ratio is also much lower than that found in South America and Africa where BB emissions is generally heavy (Jo et al., 2016). On the other hand, although the absorption of BrC in the ultraviolet band is comparable to that of BC, but the imaginary index of BrC (about 0.1) is still much lower than that of BC (about 0.76). As a result, the light absorption contribution of BrC at 365 nm is not as significant during the study period.

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Furthermore, the light absorption properties of BrC during the winter season are predominantly attributed to

RCC, followed by BB, SOA, and FFs-TRA in the NCP. The average contribution of RCC and BB to the AAOD

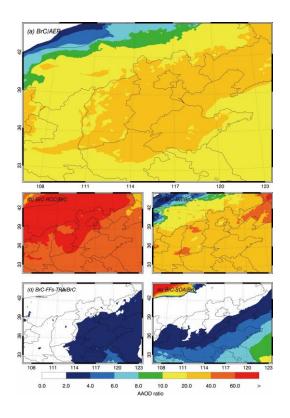
of BrC is 595.3% and 26.46.3%, respectively. Although the concentration of BrC from RCC is comparable to that

overall light absorption caused by BrC. The concentration of secondary BrC is lowest lower compared to that from primary sources, but contributes considerably to BrC AAOD with an average up to 10.6% Despite lower surface concentrations compared to primary BrC, secondary BrC contributes significantly to AAOD of BrC, averaging ~10.0% with elevated contributions in the sea and remote regions, which is likely due to the highly oxidized character of organic aerosols and its chemical aging in aging air masses leading to the formation of BrC (Gouw et al., 2005; Kawamura et al., 2005; Tsigaridis and Kanakidou, 2018). While AOD represents column-integrated concentrations, the secondary BrC to Primary BrC ratio increases from 8.9% at the surface to 12.0% of atmospheric burden. It reaches 14.3% at an altitude of 500m as shown in Figure S6, which could lead to a higher absorption contribution of secondary BrC (Wang et al., 2022b). Moreover, the observations indicate that a substantial SOA is water-soluble (Maria et al., 2003; Peng et al., 2021) which is treated as hygroscopic components in the model and its absorption could be magnified. — which could be explained by its low density and mixing state. The AAOD contribution of BrC from vehicles sources is the lowest, about 31.8% on average, but its contribution in Shanghai and southern Jiangsu and southeast Anhui ranges from 45% to 810%, higher than in other regions, which is consistent with the its surface mass concentration distribution.

This may suggest that motor vehicle emissions account for a significant proportion of pollution in this area.

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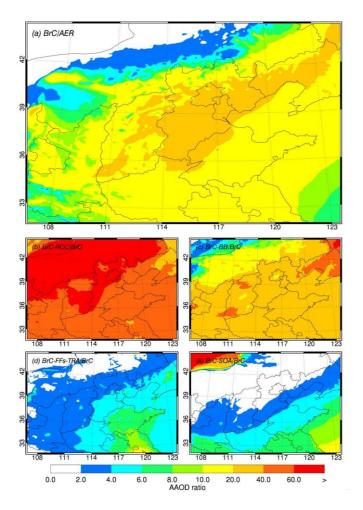


Figure 7 SThe simulated monthly BrC AAOD versus aerosol at 365nm (a) and each anthropogenic source of RCC (b), BB(c), FFs-TRA (d) and secondary BrC (d) AAOD versus BrC in NCP

3.4 Direct radiative effect of BrC

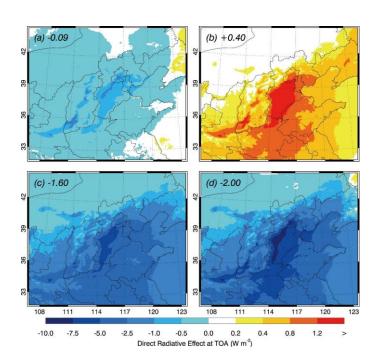
Figure. 8a shows the distribution of the average DRE_{TOA} caused by BrC at the top of the atmosphere during the episode. The DRE_{TOA} of BrC in the NCP is -0.4409 W m⁻² on average, with a maximum of +0.465 W m⁻² and a minimum of -2.4574 W m⁻². Compared to the average DRE_{TOA} of BC +3.9 W m⁻² and a maximum of +21.6 W m⁻², the average DRE_{TOA} of BrC in the NCP is close to zero. However, in terms of the spatial distribution, the DRE_{TOA} of total BrC in the NCP is predominantly negative, especially in those areas with high BrC concentrations

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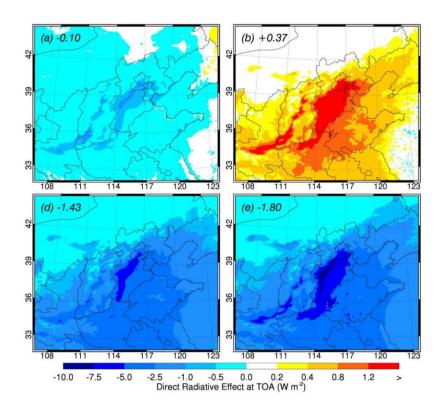
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| including BTH area and Fen-wei Plain. The largest negative DRE _{TOA} is in Shanxi province, where the with the | 设置了格式: 突出显示 |
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| highest BrC concentration produced by contributor to BrC is - BB. The results indicate that the overall scattering | 设置了格式: 突出显示 |
| effect of BrC is greater than its absorption effect, so that the BrC populations have a net cooling effect. As we | 设置了格式: 突出显示 |
| know, tThe solar irradiance in the UV band contributes only 10% for of the total solar irradiation. T and the | 设置了格式: 突出显示 |
| imaginary refractive indices of BrC are much lower than those strongly absorbing BC, especially in the visible | |
| band. On the other hand, although these reasonsthis leads to a small heating effect by BrC, the increased DRE _{TOA} | 设置了格式: 突出显示 |
| induced by BrC which is usually considered as its scattering effect, is up to an average of +0.4037 W m ⁻² and a | |
| maximum of +1.832.45 W m ⁻² as shown in Fig. 8b. The DRE _{TOA} of OA without BrC is -2.00 W m ⁻² over the NCP | |
| (Fig. 8d) and is increased to -1.603 W m ⁻² (Fig. 8c) after considering BrC. Consequently, the cooling effect of OA | |
| is reduced by BrC with by an average of 248.0%. So far, almost all estimates of the radiation effects of BrC have | 设置了格式: 突出显示 |
| been based on a global basis. The study of Wang et al. (2014) have shown that reported a the global DRE _{TOA} of | 设置了格式: 突出显示 |
| BrC offs -0.02 W m ⁻² , resulting in a DRE _{TOA} increasinge of +0.07 W m ⁻² . Brown et al. (2018) have also reported | 设置了格式: 突出显示 |
| a global annual increased DRE _{TOA} of +0.13 W m ⁻² , —with the maximum forcing (~+1.75 W m ⁻²) occurring at soff | 设置了格式: 突出显示 |
| a global annual increased DRE _{TOA} of +0.13 w m -,with the maximum forcing (~+1.73 w m -) pocuring als -oil | 设置了格式: 突出显示 |
| the west coast of southern Africa. As a result, BrC reduces the cooling effect caused by organic aerosols by | 设置了格式: 突出显示 |
| approximately 16% (Jo et al., 2016), — However, Aall these estimates only considered BB, biofuels or SOA. This | 设置了格式: 字体颜色: 文字 1 |
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| result indicate the solar radiation changes caused by BrC in NCP is notebaly and that the and the significance of | 设置了格式: 突出显示 |
| BrC becomes apparent in the estimates for those these studiesy typically based on the assumption that OA | |
| primarily scatters sun light. Our results indicate that the solar radiation changes caused by BrC in NCP is notable. | |
| The absorption effect of BrC should be considered in climate models to accurately assess the aerosol impact on | |

atmospheric heating and climate change.



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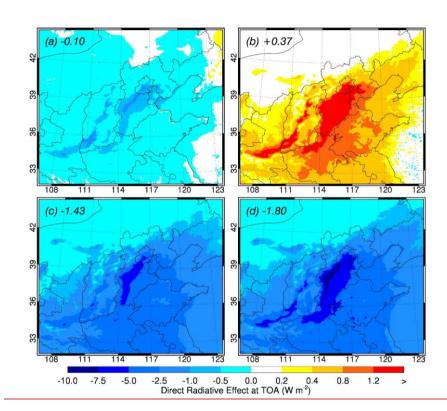
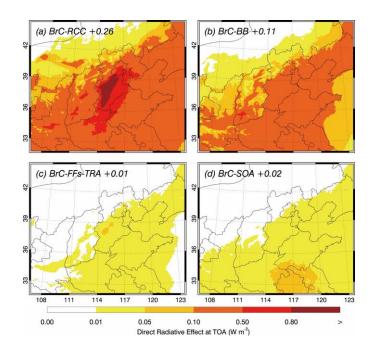
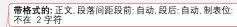
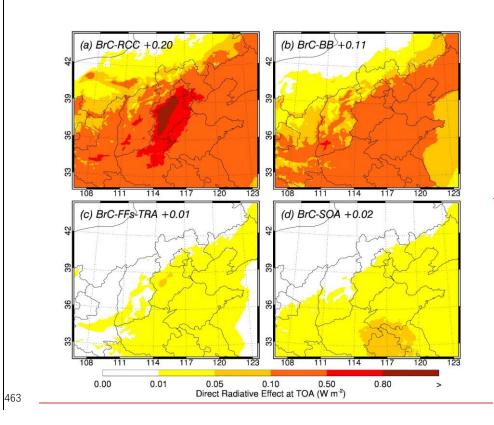


Figure 8, The Estimated DRE $_{TOA}$ of BrC (a) and the DRE $_{TOA}$ increase of OA owing to the absorption of BrC (b). The DRE $_{TOA}$ of total OA with absorbing BrC (c) and DRE $_{TOA}$ of total OA with BrC is assumed to be scattering (d). The averages of DRE are shown in the upper left of each panel.

Figure 9a-d shows the estimated DRE_{TOA} of BrC from RCC, BB, FFs-TRA and secondary BrC in the NCP during the episode. Similar to the contribution of BrC sources to the AAOD at 365 nm, the most important source contributing to DRE_{TOA} of BrC is RCC (+0.260 W m⁻²), followed by BB (+0.11 W m⁻²), secondary BrC (+0.02 W m⁻²), and FFs-TRA (+0.01 W m⁻²) in the NCP, as shown in Fig. 9. HoweverIn addition, the DRE_{TOA} of BrC from various sources exhibits distinct spatial distribution characteristics in the NCP. The highest DRE_{TOA} of BrC from fossil sources, which include RCC and FFs-TRA, are predominantly appeared concentrated in Hebei. The highest positive DRE_{TOA} of BrC from BB is found in Fen-Wei Plain. Meanwhile, the secondary BrC contributes most to the DRE_{TOA} in the south part of the NCP. The persistent high values over southern China might stem from the model's representation of secondary BrC as hygroscopic components (Peng et al., 2021), whose light-absorbing capacity is amplified in the region with high ambient humidity-properties.







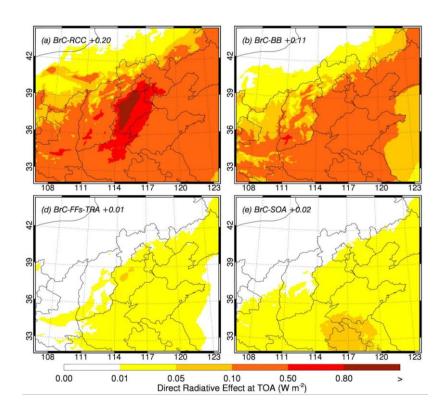


Figure 9. The estimation DRE_{TOA} of <u>BrC from</u> each anthropogenic source (a)RCC(a), (b)BB(b), (c)-FFs-TRA(c) and (d)-secondary BrC(d) in NCP in January 2014

4 Conclusions

A source-explicit BrC simulation in January 2014 in the NCP is conducted by using the WRF-Chem model. We define the BrC based on varying proportions of RCC, BB, FFs-TRA and SOA sources, assigning each a distinct imaginary refractive index to represent their differing light absorption characteristics. Model simulations are evaluated with various data sets. Besides the well reproduction of temporal and spatial variations of aerosol components and SWDOWN in the model, AOD and SSA are used to evaluate the aerosol optical properties.

Near-surface mass concentrations of simulated BrC in the NCP range from 0.05 to $40.842.3~\mu g~m^{-3}$ with an average of $4.85.2~\mu g~m^{-3}$, which is mainly attributed to contributed by RCC and BB, especially evident in the BTH region and Fen-Wei Plain. Estimation of the BrC contribution to AAOD shows that the BrC accounts for an average of 11.26.4% and up to 33.69.5% of the total aerosol absorption at 365~nm. The largest contributor to the

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absorption of BrC is the BrC from RCC derived BrC, reaching 55.39.3%. BrC generally has a net cooling effect in the NCP if we consider both the absorption and scattering properties, with its-DRE_{TOA} of BrC of around -0.1009 W m⁻² on average and in the ranging e-between -2.4574 W m⁻² and +0.456 W m⁻². However, the absorption of BrC increases the DRE_{TOA} of OA by 24.08.0% with an average of +0.4037 W m⁻² and up-a maximum of to +2.451.83 W m⁻². The average increased increase in DRE_{TOA} of BrC from RCC, BB, secondary formation, and FFs-TRA is +0.296 W m⁻², +0.11 W m⁻², +0.02 W m⁻², +0.01 W m⁻², respectively. Our results indicate that BrC derived from RCC may have significant implications for regions relying heavily on coal as their primary energy source, such as northern China. Climate models should not only incorporate the absorption of BrC but also account for residential coal burning as a potentially important BrC emission source. Additionally, although we conducted simulations with a relatively conservative secondary BrC. The study indicates that although the level of secondary BrC is lower than that of BrC from FFs-TRA, it causes more absorption and larger radiative effects. The pronounced radiative effect of secondary BrC may be not only attributed to their internal mixing with other watersoluble aerosols and aerosol liquid water, but also associated with the relatively low density adopted in the simulation. Although we conducted simulations with low secondary BrC, the impact of secondary BrC on radiative processes should not be overlooked. More field observation and model experiments should be carried out in the future for better understanding of its role in atmospheric radiation balance.

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It should be noted that China has started to switch from coal to cleaner and more efficient energy such as natural gas or liquid petroleum gas in recent years. According to the latest report of National Bureau of Statistics of China, the total coal consumption for residential use was 55.5 Gg in 2022 (https://data.stats.gov.cn) with a 40.3% decrease compared to 2014. Therefore, our diagnosis of the sources of BrC and their radiative effects is specifically targeted at the winter season in 2014. Moreover, future simulations should strengthen the parameterization for the evolution of BrC, such as bleaching or darkening processes.

The simulation of BrC in climate models is fraught with uncertainties due to its diverse sources which result in a wide range of optical properties. The absorption characteristics of BrC can change significantly as it undergoes atmospheric aging, impacting its radiative forcing estimates. Additionally, interactions of BrC with other atmospheric particles and its effects on cloud microphysics and albedo introduce further complexities in modeling its climate impact. These uncertainties necessitate enhanced observational data and better integration of BrC properties in climate models to improve the accuracy of climate predictions and assessments. Although the simulations have been evaluated with extensive aerosol mass and optical measurements, more field measurements

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and lab experiments are needed, especially for the inventory development of BrC, the vertical profiles from aircrafts, and physicochemical properties which would be useful for further evaluating and improving model performance.

Author contributions

Guohui Li and Xuexi Tie designed the study. Jiamao Zhou and Guohui Li wrote the paper. Jiamao Zhou, Jiarui Wu, Xi Li, Ruonan Wang performed the model simulations. Xiaoli Su and Jiang Qian collected satellites and ground-based observation data. Ting Zhang, Wenting Dai and Junji Cao performed field observation and laboratory analysis. Imad El Haddad and Andre S.H. Prevot provided the data of OA components in Beijing, kindly reviewed the language writing of the manuscript and provided some supplementary suggestions for the

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paper. All authors reviewed and commented on the paper.

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Data availability

The Chinese Ecosystem Research Network (CERN) provided the radiation observation data. The AOD₅₅₀ data is supported from "National Earth System Science Data Center (https://www.geodata.cn)" and AOD₄₄₀ provided by OMI Science Team (https://www.earthdata.nasa.gov/learn/find-data/near-real-time/omi). The SSA data is supported by Sun-sky Radiometer Observation NETwork (http://www.sonet.ac.cn) and the historic profiles of the observed ambient air pollutants provided by Ministry of Ecology and Environment of China (https://www.aqistudy.cn/).

Competing interests

The authors declare that they have no conflict of interest.

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S1 WRF-Chem model general description and configuration

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In this study, a specific version of the WRF-Chem model (Grell et al., 2005) with modified by Li et al. (2010; 2011a; 2011b; 2012) is used to quantitatively estimate the radiative effect of brown carbon in the NCP. The model was run at a horizontal resolution of 6km with 35 vertical levels, and configured with a single domain (no nesting) of 300×300 grid cells centered at grid point at latitude of 38.0 N and longitude of 116.0 W as shown in Table S1. The model contains a new flexible gas phase chemical module which utilized with SAPRC chemistry mechanism based on the available emission inventory in the present study. The gas-phase chemistry is solved by an Eulerian backward Gauss-Seidel iterative technique with a number of iterations, inherited from NCAR-HANK (Hess et al., 2000). For the aerosol simulations, the CMAQ/models3 aerosol module (AERO5) developed by US EPA has incorporated into the model (Binkowski and Roselle, 2003). The particle size distribution is represented as the superposition of three lognormal modes. The processes of coagulation, particles growth by the addition of mass, and new particle formation are included. The wet deposition follows the method in the CMAQ module and the dry deposition of chemical species is parameterized following Wesely (1989). The photolysis rates are calculated using the Fast Tropospheric Ultraviolet and Visible (FTUV) Radiation Model ((Tie, 2003; Li et al., 2005), with the aerosol and cloud effects on the photochemistry (Li et al., 2011a). The inorganic aerosols is predicted with ISORROPIA (version 1.7) (Nenes et al., 1998) which calculates the thermodynamic equilibrium between the ammonia-sulfatenitrate-chloride-water aerosols and their gas phase precursors of H₂SO₄-HNO₃-NH₃-HCl-water vapor. The organic aerosol (OA) module is based on the volatility basis-set (VBS) approach with aging (Li et al., 2011b). The primary organic aerosol (POA) are assumed semi-volatile and photochemically reactive (Robinson et al., 2007) and distributed in logarithmically spaced volatility bins. Nine surrogate species are used for POA components followed by Shrivastava et al. (2008) with saturation concentrations (C*) ranging from 10⁻² to 10⁶ µg m⁻³ at room temperature. The secondary organic aerosol (SOA) formation from each anthropogenic or biogenic precursor is calculated using four semi-volatile VOCs with effective saturation concentrations of 1, 10, 100, and 1000 μg m⁻³ at 298 K. The SOA formation via the heterogeneous reaction of glyoxal and methylglyoxal is parameterized as a first-order

irreversible uptake by aerosol particles with an uptake coefficient of 3.7×10⁻³ (Liggio, 2005; Zhao et al.,

29 2006; Volkamer et al., 2007). The OA module has reasonably reproduced the POA and SOA concentration against measurements, and detailed model performance can be found in Li et al. (2011b),

31 Feng et al. (2016), and Xing et al. (2019).

Table S1 WRF-Chem model configurations.

| Parameter | Configuration |
|--|---|
| Regions | The North China Plain (NCP) |
| Simulation period | January 1 to 30, 2014 |
| Domain size | 300×300 |
| Domain center | 38.0°N, 116.0°E |
| Horizontal resolution | 6km × 6km |
| Vertical resolution | 35 vertical levels with a stretched vertical grid with spacing ranging from 30m near the surface, to 500m at 2.5km and 1km above 14km |
| Microphysics scheme | WSM 6-class graupel scheme (Hong and Lim, 2006) |
| Boundary layer scheme | MYJ TKE scheme (Janjić, 2002) |
| Surface layer scheme | MYJ surface scheme (Janjić, 2002) |
| Land-surface scheme | Unified Noah land-surface model (Chen and Dudhia, 2001) |
| Long-wave radiation scheme | Goddard longwave scheme (Chou et al., 2001) |
| Short-wave radiation scheme | Goddard shortwave scheme (Chou and Suarez, 1999) |
| Meteorological boundary and initial conditions | NCEP 1°×1° reanalysis data |
| Chemical initial and boundary conditions | MOZART 6-hour output (Horowitz et al., 2003) |
| Anthropogenic emission inventory | SAPRC-99 chemical mechanism emissions developed by Zhang et al. (2009) and Li et al. (2017) |
| Biogenic emission inventory | MEGAN model developed by Guenther et al. (2006) |
| Four-dimension data assimilation | NCEP ADP Global Air Observational Weather Data |
| Model spin-up time | 24 hours |

S2 Data and methodology

S2.1 Observation data description

The hourly near-surface measurements of O₃, NO₂, SO₂, CO and PM_{2.5} concentrations have been released in public by the Ministry of Ecology and Environment of China since 2013. The submicron sulfate, nitrate, ammonium, elemental carbon and organic aerosols obtained in two cities including Beijing, Tianjin and the hourly observation of primary OA from, BB, RCC and motor vehicles emissions and SOA in Beijing in January, 2014 are provided by Institute of Earth Environment, Chinese Academy of Sciences. The organic carbon and elemental carbon concentrations are measured using a thermal/optical reflectance carbon analyzer (Model 2001, DRI, USA) (Chow et al., 2004) and water-soluble ions are measured using a DX600 ion chromatograph (Dionex Inc., Sunnyvale, CA, USA)

44 (Zhang et al., 2011). The SWDOWN is measured by CM-11 pyranometers at five sites from Chinese 45 Ecosystem Research Network (CERN) in the NCP, including Beijing, Tianjin, Zhengzhou, Hefei, and 46 Ji'nan. The hourly measurement of OA in Beijing is measured by the Aerodyne high-resolution time-of-47 flight aerosol mass spectrometer (HR-ToF-AMS) with a PM_{2.5} lens from 9 to 25 January, 2014 at the 48 Institute of Remote Sensing and Digital Earth, Chinese Academy of Sciences (Li et al., 2018). The 49 positive matrix factorization (PMF) method is used to distinguish the sources of OA as hydrocarbon-like 50 OA, biomass burning OA, coal combustion OA (Elser et al., 2016), which are interpreted for surrogates 51 of primary OA (POA)-TRA, POA-BB, POA-COAL, and oxygenated OA is the surrogate of SOA in this 52 paper.

S2.2 Statistical metrics for simulation comparisons

In this study, the mean bias (MB), root mean square error (RMSE) and the index of agreement (IOA) are used to evaluate the model performance in simulating air pollutants.

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$$MB = \frac{1}{N} \sum_{i=1}^{N} (P_i - O_i)$$
 (1)

57 RMSE =
$$\left[\frac{1}{N}\sum_{i=1}^{N}(P_i - O_i)^2\right]^{\frac{1}{2}}$$
 (2)

58
$$IOA = 1 - \frac{\sum_{i=1}^{N} (P_i - O_i)^2}{\sum_{i=1}^{N} (|P_i - \overline{O}| + |O_i - \overline{O}|)^2}$$
 (3)

- Where P_i and O_i are the simulated and observed variables, respectively. N is the total number of the
- simulations for comparisons, and \bar{O} donates the average of the observations. The IOA ranges from 0 to
- 1, with 1 showing a perfect agreement of the simulations with the observations.

62 **S3 Model performance**

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S3.1 Air pollutants simulations in the NCP

Comparison of observed (black dots) and simulated (solid dark blue lines) near-surface hourly mass concentrations of (a) $PM_{2.5}$, (b) O_3 , (c) NO_2 , (d) SO_2 , and (d) CO averaged at available monitoring sites in the NCP from January 1 to January 30, 2014 is shown in Fig. S1. The model successfully reproduces the diurnal variation of near-surface $PM_{2.5}$ concentrations in the NCP with an IOA of 0.92 and a slightly overestimation with a MB of 3.8 μ g m⁻³. The model generally captures well the temporal variations of near-surface O_3 concentrations compared to observations in the NCP with an IOA of 0.90 while a generally overestimates the O_3 concentrations a MB of 0.6 μ g m⁻³. The model also reasonably well yields

the temporal variation of NO₂, SO₂ and CO compared with observation, with IOA and MB of 0.82 and 4.0 μg m⁻³, 0.72 and -13.2 μg m⁻³, 0.85 and 0.0 μg m⁻³, respectively.

The spatial pattern of calculated and observed average near-surface concentrations of PM_{2.5}, SO₂, NO₂ and O₃ along with simulated winds in January 2014 in the NCP is shown in Fig. S2. The simulations of four air pollutants distributions are general in good agreement with the observations in the NCP, while partly biases of modeling still exist. It shows that the air in the NCP in January 2014 is much polluted with the monthly near-surface PM_{2.5} concentrations over 150 µg m⁻³. The observed and simulated highest average near-surface PM_{2.5} concentrations are found in Beijing, Hebei, Henan, Shandong, north Anhui and north Jiangsu. Highest observed and simulated near-surface SO₂ and NO₂ concentrations almost occurs in same areas in the NCP. But simulated highest SO₂ concentrations are mainly concentrated around cities, while the distribution of NO₂ shows more area uniformly which likely due to their sources are different, the former mainly emits from point sources and the latter mainly comes from more area sources. The simulated O₃ concentrations are rather low in the NCP which is consistent with measurements.

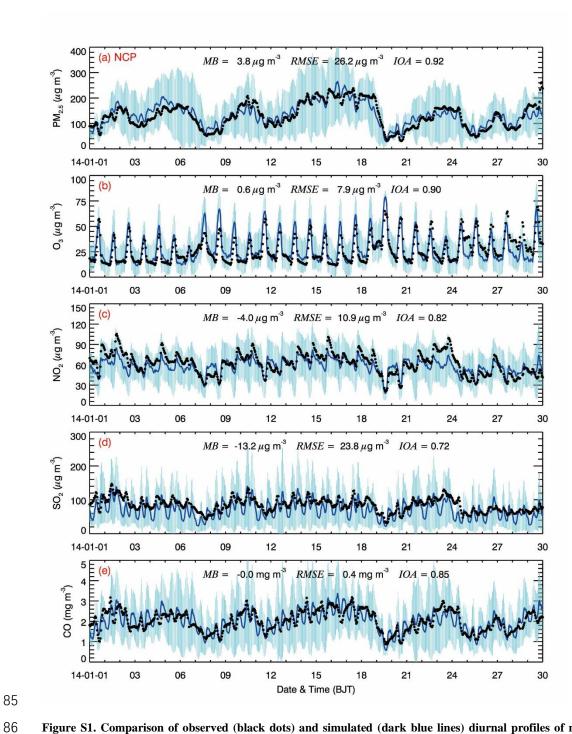


Figure S1. Comparison of observed (black dots) and simulated (dark blue lines) diurnal profiles of near-surface hourly mass concentrations of (a) PM_{2.5}, (b) O₃, (c) NO₂, (d) SO₂, and (d) CO averaged at monitoring sites in the NCP from January 1 to January 30, 2014. The light blue wavy lines represent error bars plotted using standard deviation.

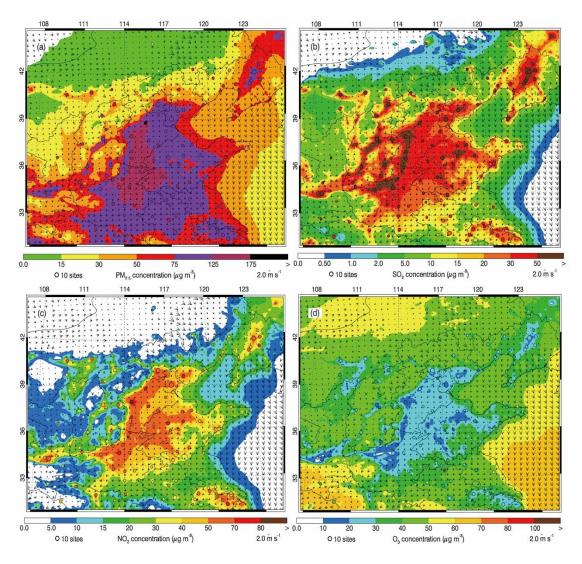


Figure S2. Pattern comparisons of simulated (color counters) vs. observed (colored circles) near-surface mass concentrations of (a) $PM_{2.5}$, (b) SO_2 , (c) NO_2 , and (d) O_3 averaged in January 2014. The black arrows indicate simulated surface winds.

Figure S3 provides the time series variations of simulated and observed aerosol species including OA (1.6 times of measurement OC), EC, ammonium, sulfate, and nitrate at Beijing and Tianjin city from January 1 to January 30, 2014. It shows that the WRF-Chem model generally predicts the temporal variations of the aerosol species against the field measurements reasonably with relatively high IOA value. The model yields the main peaks of aerosol species but with some frequently underestimates or overestimates which is mostly linked to the uncertainty of emission inventory and meteorological variations.

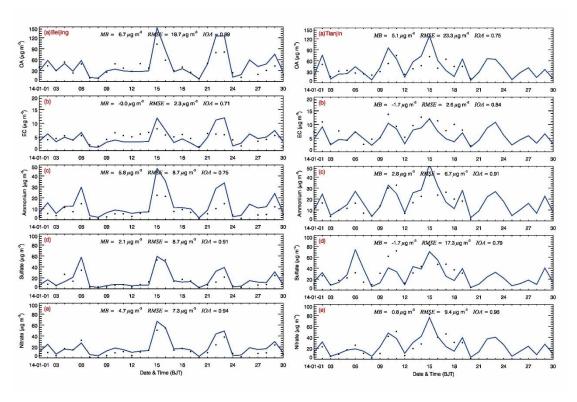


Figure S3. Comparison of measured (black dots) and simulated (blue lines) daily profiles of submicron aerosol species of (a) OA, (b) EC, (c) ammonium, (d) sulfate, and (e) nitrate at two sites (Beijing and Tianjin) in the NCP from January 1 to January 30, 2014.

S3.2 Downward shortwave flux comparison

Figure S4 shows the comparison of measured (black dots) and simulated (blue lines) diurnal profiles of the SWDOWN reaching the ground surface in (a) Beijing, (b) Tianjin, (c) Zhengzhou, (d) Hefei, and (e) Ji'nan from 01 January 2014 to 30 January 2014. Although the MB and RMSE values suggest bias in the model performance, but in overall, the model generally captures the diurnal patterns quite well, as reflected by the average IOA values up to 0.95 across all five cities. The biases of SWDOWN between model and field study may be caused by the cloud cover and optical thickness calculation in the model, which is due to the horizontal resolution of the model is insufficient to resolve the cumulus clouds.

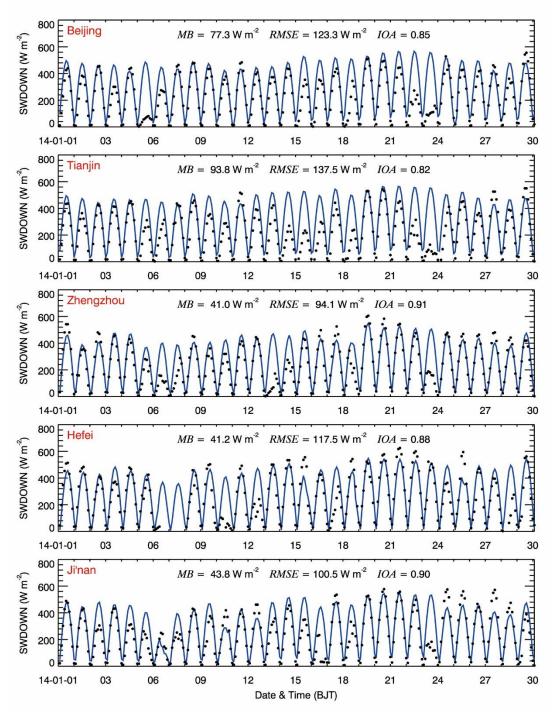


Figure S4. Comparison of measured (black dots) and simulated (blue lines) diurnal profiles of the SWDOWN reaching the ground surface in (a) Beijing, (b) Tianjin, (c) Zhengzhou, (d) Hefei, and (e) Ji'nan from January 1 to January 30, 2014.

S3.3 OA from different sources comparison in Beijing

Figure S5 presents a comparative analysis of temporal profiles of measured and simulated OA, POA from coal combustion (POA-COAL), biomass burning combustion (POA-BB), POA from vehicle exhaust (POA-TRA) and SOA in Beijing from January9 to 25, 2014. The model shows a good fit with

observed data with an IOA of 0.85, suggesting a reasonably accurate representation of OA variations, despite some discrepancies in peak values and slightly overestimates as indicated by an RMSE of 33.1 μg/m³ and an MB of 5.0 μg/m³, respectively. The model also generally tracks the measured diurnal variations in POA-COAL mass concentrations, with an IOA of 0.81. The model frequently underestimates or overestimates the POA-COAL mass concentrations and is also subject to missing the observed POA-COAL peaks. The POA-COAL is mainly emitted from industries and residential coal combustion. In general, the POA-COAL emissions from industries have clear diurnal variations but are opposite for those from residential coal combustion, causing large model biases for the POA-COAL simulation. The model performs well in capturing the general trend of POA-BB with an IOA of 0.86 and a lower RMSE of 4.0 µg/m³, while POA-Tra has a lower IOA of 0.56. Although the model captured the major vehicle pollution events, some smaller peaks were not well reflected in the model. Modeled SOA shows a fair correlation with observed data (IOA of 0.73) but also exhibits some of the higher variance in peak concentrations, reflected in an RMSE of 11.3 µg/m³. In general, the IOA values of all types of OA suggest a reasonable model performance, particularly in capturing the temporal dynamics with some quantitative in accuracies which largely associated with the influence of meteorological conditions and emission sources uncertainties.

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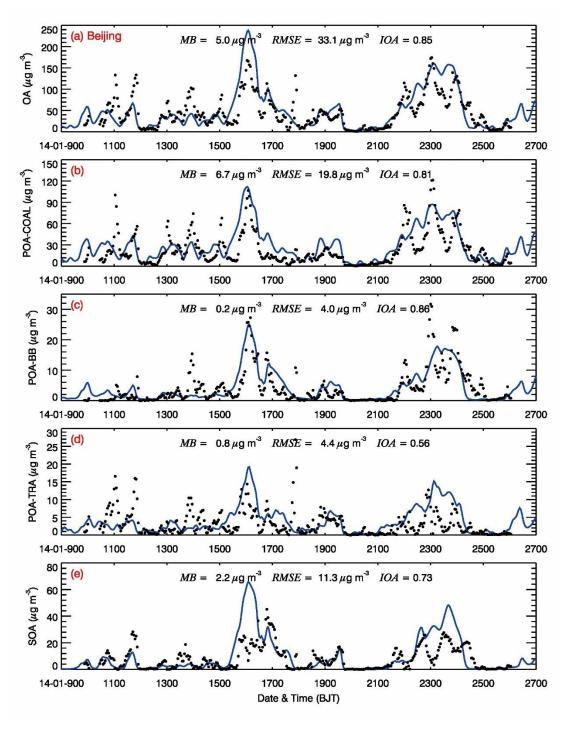


Figure S5. Temporal profiles of measured (black dots) and simulated (blue lines) OA (a), POA-Coal (b), POA-BB (c), POA-Tra (d) and SOA (e) in Beijing from January 9 to 25, 2014.

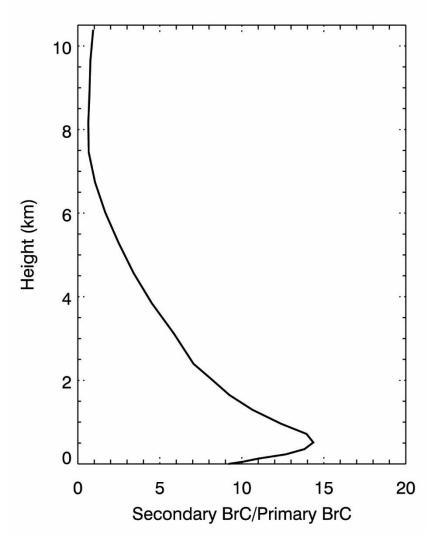


Figure S6. Vertical profile of secondary BrC to primary BrC ratio in NCP in January, 2014

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