

Local and transboundary contributions to nitrogen loadings across East Asia using CMAQ-ISAM and GEMS-informed emissions inventory during the winter-spring transition

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Abstract. We investigated source contributions of nitrogen oxides (NO_x) emissions to nitrogen loadings across East Asia during the 2022 winter-spring transition. Using the Community Multiscale Air Quality model and its Integrated Source

- 10 Apportionment Method, we conducted air quality simulations, leveraging top-down estimates of NO_x emissions informed by the Geostationary Environment Monitoring Spectrometer tropospheric nitrogen dioxide (NO₂) columns. After our GEMSinformed Bayesian inversion, the inventoried NO_x emissions increased by 50% in Korea and 33% in China, which substantially reduced the model's prior underestimation of surface $NO₂$ concentrations from -32.75% to -13.01% in Korea and from -10.26% to -3.04% in China. We compared local and transboundary contributions of NO_x emissions to reactive
- 15 nitrogen species (NOy) concentrations across East Asia. Local contributions showed a declining trend, from 32%-43% in January to 23%-30% by May, while transboundary contributions consistently increased from 16%-33% in January to 27%- 37% by May. North China consistently contributed over 10% to East Asia's NO^y loadings. East China and South Central China were significant contributors to each other's NO_y budget by 9%-12%. South Central China transboundary contributions consistently outweighed local contributions by 5%, indicating vulnerability to pollution transport. Korea,
- 20 initially the least influential, contributed $1\% 4\%$ to transboundary NO_y concentrations in January. This increased to 6%–7% by May, becoming comparable to other regions' contributions. These behaviors of NO^y were driven by distinct synoptic settings, where strong wintertime northwesterly winds directed pollutants southeastward, while their weakening in spring led to more multidirectional transport patterns, allowing pollutants to spread more broadly across the regions.

1 Introduction

25 Nitrogen oxides ($N\overline{O_x}$) emissions have long been a significant concern in East Asia due to their detrimental impact on air quality and public health, particularly in densely populated urban areas (Hoek et al., 2013; Newell et al., 2018). NO_x is also a major precursor of secondary aerosols, contributing to the formation of fine particulate matter $(PM_{2.5})$, exacerbating airborne health risks. Although NO_x has a relatively short atmospheric lifetime (Beirle et al., 2011; Lin et al., 2012; Liu et al., 2016; Lange et al., 2022), it rapidly transforms into longer-lived reactive nitrogen species, collectively known as NO_y, which can

30 be transported across long distances. NO_y species, such as nitric acid (HNO₃), nitrous acid (HONO), and peroxyacetyl nitrate (PAN), play a significant role in redistributing nitrogen across extensive regions (Hertel et al., 2012). This extends the impact of NO_x emissions beyond their sources, contributing to both local and transboundary air quality challenges.

The transport of air pollutants across Asia, particularly pronounced during the winter-spring transition, is largely determined by shifting synoptic systems that influence both the direction and extent of pollutant movement. In winter, the Siberian High

- 35 dominates the region, maintaining cold, stable high-pressure systems that drive strong northerly and northwesterly winds (Hui, 2007; Kim et al., 2013; Wyrwoll et al., 2016; Dong et al., 2020). These winds typically result in southeastward and southward pollutant transports, carrying air pollutants from densely industrialized areas in northern China toward downwind regions such as other parts of China, Korea, Southeast Asia, and Japan (Ikeda et al., 2015; Chen et al., 2021; Wu, 2021; Zhao et al., 2021; Gu et al, 2024; Kang et al., 2024). However, the Siberian High's stable nature can also induce significant
- 40 subsidence, which traps pollutants in the lower boundary layer, limiting vertical mixing and causing pollutants to accumulate locally near their sources (Zhang et al., 2007; Zhai et al., 2024). As winter progresses into spring, the Siberian High weakens, and while this leads to weaker northwesterly winds, the directionality of pollutant transport becomes more complex due to changes in synoptic patterns. Spring introduces slowly traveling high and low-pressure systems along with shifting wind directions, leading to multi-directional movements of air pollutants across Asia (Peterson et al., 2019). This seasonal
- 45 transition, along with enhanced vertical mixing from warmer air temperatures and weaker subsidence, allows pollutants to disperse more readily within the boundary layer, facilitating their transport across extended distances (Ryu and Min, 2024). This complexity makes it difficult to fully understand how region-specific pollutant emissions contribute to air quality across Asia, complicating efforts to develop effective air quality management strategies.

To better understand the transboundary behaviors of air pollutants, chemical transport models (CTMs) have been widely

- 50 used. CTMs, such as the Community Multiscale Air Quality (CMAQ) model (Byun & Schere, 2006), translate emissions inputs and underlying meteorology into three-dimensional representations of air pollutant loadings. This enables simulations of local and transboundary air pollution dynamics, providing insights into the origins and transport pathways of air pollutants. For example, Dong et al. (2020) conducted source apportionment of surface $PM_{2.5}$ concentrations in the Beijing-Tianjin-Hebei (BTH) region, northern China, using CMAQ and its Integrated Source Apportionment Method (CMAQ-ISAM). From
- 55 2014 to 2017, annual mean PM_{2.5} concentrations decreased by 33%, with local emissions reductions accounting for 47%, intra-regional transport contributing 25%, and transport from outside the region contributing 28%. The contribution of regional transport increased by up to 40% during spring and winter, driven by strong northwesterly winds. Yang et al. (2021) conducted source apportionment of ozone concentrations during a severe spring 2020 ozone peak event in the Sichuan Basin, southwestern China, using CMAQ-ISAM. Initially, northeasterly winds transported ozone precursors, including NO_x and
- 60 VOCs, from the northern boundary of the region, contributing over 50% of the ozone in the basin. As the synoptic pattern evolved, southeasterly winds trapped ozone and its precursors within the basin, leading to elevated ozone concentrations

from local emission sources. Similarly, Xian et al. (2024a)'s source apportionment study in the Sichuan Basin during the warm growing season of 2022, using CMAQ-ISAM, demonstrated that persistent northeasterly winds transported ozone precursors from outside the basin, contributing nearly 40% of the region's ozone concentrations, while the rest was driven by 65 local precursor emissions. Kashfi Yeganeh et al. (2024) quantified the contributions of transboundary NO_x and VOC sources to ozone concentrations in Seoul, Korea, during a June 2019 ozone exceedance event. Ozone precursors were transported by northwesterly and westerly winds from China, accounting for 57.7% of the ozone concentrations in Seoul, while local emissions contributed 42.3%. Gu et al. (2024) assessed the health impacts of surface $PM_{2.5}$ and ozone concentrations across Southeast Asian countries in 2018 using CMAQ-ISAM. Local emissions contributed up to 87% and 60% of PM2.5- and 70 ozone-related premature mortalities, respectively, while transboundary air pollution accounted for up to 13% and 40%. Beyond the studies mentioned above, a number of CTM-driven source apportionment efforts have highlighted the substantial contributions of both local and transboundary pollutants to air quality across Asia (Kajino et al., 2013; Wang et al., 2015; Li et al., 2017a; Li et al., 2019; Shen et al., 2022; Xian et al., 2024b). While such earlier efforts have provided valuable insights into Asia's pollution dynamics influenced by prevailing winds and dominant emission sources, the extent of source 75 contributions varied across the regions due to differences in the materials and methods employed in each study. A common issue highlighted in many of these studies is the uncertainty in emissions inventories, which can compromise the reliability of simulations. This often stems from the use of incomplete or outdated emissions inventories, as pointed out in several previous studies (Carmichael et al., 2002; Pan et al., 2014; Sargent et al., 2021; Russo, 2019; Han et al., 2021; Liu et al., 2021a), which may not effectively reflect current emission sources, industrial developments, or recent advancements in

80 pollution control.

In response to the need for more accurate air quality simulations, which are essential for proceeding with further analyses based on their outcomes, extensive efforts have been made to refine emissions inventories across Asia. Traditional bottomup methods, such as ground surveys and industrial reporting, however, can be time-consuming and often outpaced by rapidly evolving emissions patterns, making them less reflective of contemporary emissions activities (Placet et al., 2000; Rypdal &

- 85 Winiwarter, 2001; Li et al., 2021; Smith et al., 2022). To address this, satellite observation data have widely been used to update emissions inventories in a top-down manner, taking advantage of the instruments' broader geographic coverage. Instruments aboard sun-synchronous low Earth orbit satellites, such as the Ozone Monitoring Instrument (OMI) and the TROPOspheric Monitoring Instrument (TROPOMI), have been particularly effective in constraining the extent of air pollutant emissions. More recently, the Geostationary Environment Monitoring Spectrometer (GEMS) has further enhanced
- 90 this process by capturing daytime variations in pollutant loadings, such as tropospheric nitrogen dioxide $(NO₂)$ columns, offering unprecedented insights into the diurnal behaviors of pollutants across Asia. Leveraging the top-down information, a number of studies have successfully refined Asia's emissions inventories, achieving substantial improvements in CTM-based simulation accuracy (Itahashi et al., 2012; Yumimoto et al., 2014; Goldberg et al., 2019; Souri et al., 2020; Li et al., 2021b; Jung et al., 2022; Son et al., 2022; Feng et al., 2023; Mun et al., 2023; Park et al., 2023; Momeni et al., 2024; Park et al.,

- 95 2024). However, only a few have extended their scope to utilize these refined simulations for further analyses of crossregional pollutant dynamics across multiple seasons; updating emissions inventories itself is already a resource-intensive process, demanding a series of forward model runs and iterative adjustments. For example, Souri et al. (2020) refined NO_x and VOC emissions across East Asia during the 2016 KORUS-AQ campaign period, through analytical inversion using satellite data from OMI and Ozone Mapping and Profiler Suite Nadir Mapper (OMPS-NM) and CMAQ. The inversion led to
- 100 significant reductions in NO_x emissions by 22%-41% in China, Taiwan, and Malaysia, while Korea and Japan showed increases by 9%-12%. VOC emissions over the North China Plain were adjusted upward by 25%, a significant increase compared to the previously reported 5% since 2010. This involved shifts in chemical regimes across East Asia, with regions transitioning between NO_x -sensitive and VOC-sensitive conditions, providing more recent insights into ozone formation risks across different regions. Similarly, Jung et al. (2022) refined East Asia's NO_x emissions inventory for spring 2019
- 105 through Bayesian inversion using TROPOMI data and CMAQ-ISAM. The use of more up-to-date emissions substantially reduced model biases in simulating $NO₂$, ozone, and $PM_{2.5}$ concentrations, revealing that the prior emissions were underestimating the contributions of transboundary pollutants. NO_x emissions from neighboring regions contributed 22.96%–35.24% to local NO_x budgets and 24.23%–42.26% to ozone budgets in both China and Korea, reaffirming the critical role of anticyclonic systems over the Yellow Sea in driving pollutant transport. Beyond these studies, several others
- 110 successfully performed source apportionment of East Asia's air pollutants using their emissions inventories updated for recent years (Huang et al., 2021; Feng et al., 2022; Zhang et al., 2023). However, there is still a need for more rigorous efforts in this domain to better explore regional pollution dynamics. Particularly, the recurrent pollutant patterns during East Asia's winter and spring seasons deserve updated perspectives on the complex interplay between evolving synoptic systems and pollutant transport dynamics, which significantly affect air quality across the region.
- 115 Leveraging top-down estimates of NO_x emissions informed by GEMS tropospheric $NO₂$ columns, followed by improved accuracy in CTM simulations, our study aims to conduct a comprehensive source apportionment of East Asia's nitrogen loadings during the winter-spring transition in 2022. First, we applied diurnal updates to the inventoried extent of NO_x emissions using GEMS NO₂ columns as top-down constraints in our Bayesian inversion, enabling CMAQ to more accurately simulate NO_y concentrations. Then, using CMAQ-ISAM, we quantified the local and transboundary contributions
- 120 of NO_x emissions to NO_y concentrations within the planetary boundary layer (PBL) across five major NO_x source regions of East Asia during the period from January to May 2022. By capturing the response of pollutants to evolving seasonal dynamics, we assessed the source-receptor interplays between the regions, aiming to provide more up-to-date insights into the broader cross-regional pollution transport dynamics.

125 **2 Materials and Methods**

2.1 Models

Meteorology governs the dispersion and transport of air pollutants, making it a critical factor in CTM simulations. We used the Weather Research and Forecasting (WRF) model 3.8.1 (Skamarock et al., 2008) to simulate meteorological fields over the modeling domain (Figure 1). The simulation spanned from January 1 to May 31, 2022, covering East Asia's winter and 130 spring seasons. We simulated hourly meteorological fields over a 320×320 grid with 35 vertical layers at a spatial resolution of 27 km and then converted them into a CMAQ-compatible format using the Meteorology-Chemistry Interface

Processor (MCIP).

Using the WRF-simulated meteorology and established emissions as inputs, CMAQ simulates the behavior and distribution of pollutants in the atmosphere in a three-dimensional manner. We employed two different versions of CMAQ: CMAQ 5.2

- 135 with its Decoupled Direct Method in Three Dimensions (CMAQ DDM-3D) as a forward model in the emission adjustment process, and CMAQ 5.3.2 with its ISAM for performing source apportionment. CMAQ DDM-3D calculates the first-order coefficients that represent locally semi-normalized sensitivities of modeled pollutant concentrations to changes in relevant emissions input (Napelenok et al., 2006). CMAQ-ISAM tags pollutants emitted from user-defined source regions, and then tracks them through atmospheric processes such as advection, chemical transformation, and deposition (Kwok et al., 2015).
- 140 This allows for quantifying the contribution of specific emission sources to pollutant loadings at receptor locations across the modeling domain, offering detailed insights into source attribution. Building upon previous studies conducted in Asia (Jung et al., 2022; Park et al., 2023; Park et al., 2024), we employed CMAQ configurations that have been validated in comparable contexts across the region. Using CMAQ DDM-3D, we simulated hourly $NO₂$ concentrations over a 300 \times 300 grid and obtained their corresponding sensitivities to NO_x emissions, which were used for our Bayesian inversion to constrain the
- 145 inventoried extent of NO^x emissions (details in Sections 2.2 and 2.4). Using CMAQ-ISAM, we quantified the local and transboundary contributions of NO_x emissions to NO_y concentrations among five selected regions (Figure 1), including Korea, Northeast China, North China, East China, and South Central China. Our focus was on total NO^y concentrations within the PBL at receptor regions rather than surface NO_x or $NO₂$ concentrations due to the latter's short atmospheric lifetimes, which limit their long-range transport. The PBL facilitates the most efficient mixing and transport across regions,
- 150 making it an ideal layer for assessing cross-regional behaviors of pollutants (Li et al., 2017b). We quantified the extent to which NO_y remained near local sources versus how much was transported to neighboring receptor regions during the winterspring months. Note that we used the summation of nitric acid (HNO₃), nitrous acid (HONO), and peroxyacetyl nitrate (PAN) to represent NO_y , capturing the major reactive nitrogen species that contribute to total NO_y concentrations during the simulations. Both the WRF and CMAQ simulations began with a 10-day spin-up from December 22 to December 31, 2021.
- 155 Further technical details of our modeling setup are listed in Table S1.

Figure 1: Modeling domain and five source apportionment regions across East Asia.

2.2 Emissions

Emissions inventories provide CTMs with spatiotemporally resolved information on the extent of air pollutant emissions, 160 enabling the simulation of their behavior and resulting concentrations in the atmosphere. We prepared anthropogenic emissions over the modeling domain using the Emissions Database for Global Atmospheric Research (EDGAR) 6.1 (Crippa et al., 2020), which offers annual data (base year: 2018) for greenhouse gas and air pollutant emissions at a 0.1° spatial resolution. We processed these emissions into a CMAQ-compatible format using the Sparse Matrix Operator Kernel Emissions (SMOKE) 4.7 modeling system (Houyoux et al., 2000). This process involved re-gridding the emissions into a 27 165 km resolution and allocating the annual lumped emissions into hourly speciated emissions for the period from January 1 to

May 31, 2022, while accounting for time zones and weekday weekend profiles that vary across geographical locations.

We prepared biogenic and biomass burning emissions using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) 3.0 (Guenther et al., 2018) and the Fire Inventory from the National Center for Atmospheric Research (NCAR) (FINN) 1.5 (Wiedinmyer et al., 2011). MEGAN estimates the extent of gases and aerosol emissions from terrestrial

- 170 ecosystems based on vegetation responses to meteorological conditions. We obtained hourly biogenic emissions at a 27 km resolution using the WRF-simulated meteorological fields and Leaf Area Index (LAI) averaged over vegetative surfaces (referred to as LAIv) as an input, which can be calculated by dividing grid-specific LAI by the fraction of each grid cell covered by vegetation (Guenther, 2006). To calculate LAIv, we used the Reprocessed Moderate Resolution Imaging Spectroradiometer (MODIS) Version 6 LAI product (Yuan et al., 2011) and the Visible Infrared Imaging Radiometer Suite
- 175 (VIIRS) global Green Vegetation Fraction product (Jiang et al., 2016), following the method established in previous studies (Jung et al., 2021; Park et al., 2022). This ensures that MEGAN estimates biogenic emissions based on more contemporary information on vegetative surfaces over the modeling domain. FINN provides emissions from open biomass burning events, such as wildfires, agricultural fires, and prescribed burning, based on satellite observation data and fuel load parameters. We obtained hourly biomass burning emissions at a 27 km resolution, using the Fortran-based gridding program (fire_emis)
- 180 provided by NCAR, which spatiotemporally allocated FINN emissions into our modeling grid. We merged these anthropogenic, biogenic, and biomass-burning emissions to prepare a comprehensive emissions input for CMAQ (hereafter referred to as the a priori emissions). Note that our use of two different CMAQ versions required species mapping, as EDGAR emissions were provided in the CB05 mechanism, which CMAQ DDM-3D can digest, but CMAQ-ISAM requires those in CB6. We converted the chemical species from CB05 to CB6 using the species mapping method described in a 185 previous study (Collet et al., 2018).

2.3 Satellite data

GEMS is the first ultraviolet-visible geostationary instrument capable of capturing diurnal profiles of both gaseous pollutants and aerosols across the Asia-Pacific region, covering longitudes from 75° E to 145° E and latitudes from 5° S to 45° N (Choi et al., 2018). We used GEMS Level 2 NO² product (version 2.0) to obtain a top-down overview of NO² loadings across the

- 190 modeling domain. This product, including observations from November 2020 to the present, provides 6 to 10 consecutive snapshots of NO₂ column densities at hourly intervals during the daytime, at a spatial resolution of 3.5 km \times 8 km. For clarification, GEMS provided 6 observations per day from 00:45 to 05:45 UTC in January, 7 observations from 00:45 to 06:45 UTC in February, 8 observations from 23:45 to 06:45 UTC in March, and 10 observations from 22:45 to 07:45 UTC in April and March.
- 195 We used tropospheric $NO₂$ columns observed from January 1 to May 31, 2022, as top-down references for constraining the a priori emissions. In addition to the $NO₂$ columns, we incorporated several other variables during the inversion process (see Section 2.4), including averaging kernel, cloud fraction, tropospheric and stratospheric air mass factors, model-informed pressure profiles, data quality flags, and root mean square error. We used averaging kernels and air mass factors to adjust the retrievals by accounting for their sensitivity to $NO₂$ concentrations at different altitudes, which ensures that the influence of 200 the initial profile assumptions was properly corrected, following the established method (Souri et al., 2020). To ensure data
- quality, we used pixels with a quality flag of 0 bits (good sample) and cloud fractions below 0.3.

2.4 Top-down approach to constrain NO^x emissions

The extent of NO_x emissions is not directly measurable through GEMS's observations, which instead capture $NO₂$ column densities. While these are closely related to NO_x emissions, they do not provide direct quantitative measurements of the 205 emissions themselves. Therefore, to establish quantitative constraints on NO_x emissions and obtain the updated estimates accordingly, we employed a Bayesian approach for inverse modeling, which is suited for solving problems that are not grossly nonlinear (Rodgers, 2000).

Given the short atmospheric lifetime of $NO₂$, our approach assumes a local, linear relationship between $NO₂$ columns and NO_x emissions. However, it is noteworthy that the observed $NO₂$ columns at any given time are influenced not only by 210 current NO_x emissions but also by $NO₂$ remaining from previous hours. Additionally, nighttime chemical reactions involving ozone and hydroxyl radicals (OH) can introduce nonlinearity between NO_x emissions and $NO₂$ concentrations, which is a complexity beyond the scope of our study. Assuming that uncertainties in observations and emissions follow a Gaussian distribution, our approach aimed to derive the most probable estimate of a posteriori NO_x emissions by integrating prior knowledge (a priori emissions) and top-down observational constraints. This involves minimizing the cost function derived

215 from Bayes's theorem, as shown in Eq. 1 (Rodgers, 2000).

$$
J(x) = \frac{1}{2}(y - Fx)^{T}S_{0}^{-1}(y - Fx) + \frac{1}{2}(x - x_{a})^{T}S_{e}^{-1}(x - x_{a})
$$
(1)

This process determined the a posteriori emissions x for each grid cell, given multiple inputs: the a priori emissions x_a , observation constraints y (hourly GEMS NO₂ columns), and CMAQ-simulated NO₂ columns \vec{F} . Due to the 15-minute offset in GEMS's retrievals (from 22:45 to 07:45 UTC), we aligned the observations to the nearest subsequent hour (e.g., GEMS

- 220 data at 04:45 UTC were used to constrain emissions at 05:00 UTC). We assumed emissions uncertainties S_e at 50%, 200%, and 100% for anthropogenic, biogenic, and biomass burning emissions, respectively, based on previous modeling studies conducted across Asia (Souri et al., 2020; Jung et al., 2022; Park et al., 2023; Park et al., 2024). Observation uncertainty S_o was sourced from the GEMS Level 2 data.
- Once the first derivative of the cost function reached its minimum, we applied the Gauss-Newton method to iteratively refine 225 the emissions estimate, as shown in Eq. 2. This process involved adjusting the estimate \mathcal{X} (with each iteration noted as $\dot{\mathbf{i}}$; $\dot{\mathbf{i}} =$ 4 in January, February, and $\dot{\mathbf{i}} = 3$ in March, April, and May), gradually progressing towards a converged solution. The Jacobian matrix K , which represents the sensitivity between NO_x emissions and NO₂ concentrations, was calculated by CMAQ DDM-3D at the beginning of the simulations and remained fixed throughout the inversion process. Meanwhile, the forward model \bm{F} was updated with each iteration, guiding the inversion toward reducing discrepancies between the observed
- 230 and modeled NO₂ columns.

$$
\hat{x}_{i+1} = x_a + S_e K_i^T (K_i S_e K_i^T + S_o)^{-1} [y - Kx_i + K_i (x_i - x_a)]
$$
\n(2)

The inversion was applied whenever the top-down constraints were available, allowing us to constrain hourly NO_x emissions during GEMS's daylight retrieval hours, while keeping nighttime emissions unchanged. We chose not to adjust nighttime emissions primarily due to the absence of observational reference during these hours and also to isolate the daily emission 235 cycle, allowing the model to "pause" and mitigate carry-over effects from the previous day's emissions (Park et al., 2024).

2.5 Station measurements for model evaluation

Before using the WRF-simulated meteorological fields as input for CMAQ, we evaluated their accuracy against groundbased measurements at weather stations operated by the Korean Meteorological Administration. We used hourly measurements of 2 m air temperature and 10 m wind U and V components from 95 stations, collected for the period from 240 January 1 to May 31, 2022. The modeled meteorology showed fair agreement with station measurements (Figure S1), with

Pearson's correlation coefficients (R) ranging from 0.89 to 0.98 and Index of Agreement (IOA) values between 0.83 and 0.98.

To evaluate the accuracy of CMAQ simulations, we used hourly surface $NO₂$ and $PM_{2.5}$ concentrations observed at groundbased monitoring stations in Korea and China during the period from January 1 to May 31, 2022, sourced from Korea's

- 245 Ministry of Environment (AirKorea) and China's Ministry of Ecology and Environment (MEE). To ensure the quality of AirKorea measurements, from an original count of 515 stations, we excluded those with more than 50% missing data during the validation period (Park et al., 2022; Park et al., 2023), which resulted in a 9.68% data loss and retaining 465 stations. To ensure the quality of MEE measurements, we applied data filtering methods to data from 185 national control points, consisting of 20 sites in Northeast China, 38 sites in North China, 78 sites in East China, and 49 sites in South Central China.
- 250 These control points are strategically distributed across China and managed by its central government to ensure consistent and reliable air quality measurements (Liu et al., 2021a; Liu et al., 2022). We excluded negative values and duplicate records (> 4 consecutive repeats) caused by equipment failures, following the data-filtering methods in previous studies (Rohde, 2015; Silver, 2018; Zhai, 2019). This resulted in a decrease in the number of data points by 0.41% for NO² and 0.38% for PM_{2.5}, respectively. Note that we converted MEE's NO₂ measurements from its native unit μ g/m³ to ppb.

255 **3 Results and discussion**

3.1 Model evaluation

Prior to proceed with source apportionment, we first evaluated the accuracy of our CMAQ simulations across East Asia. We compared the observed and modeled tropospheric $NO₂$ columns from using the a priori and a posteriori NO_x emissions, in order to evaluate the improvement in the model's performances. Figure 2 shows monthly averages of hourly daytime NO²

- 260 columns during the period from January to May 2022. The prior model generally underestimated NO₂ columns across North China, Northeast China, and the northern half of East China, and the SMA of Korea (Figures 2a and 2b). The extent of underestimation was particularly pronounced in North China, failing to capture highly polluted areas observed throughout the simulation period. To a lesser extent, the model sporadically overestimated the columns across South Central China, the southern half of East China, and the rest of Korea except the SMA.
- 265 After the inversion, there were substantial adjustments to the extent of daytime NO_x emissions across the regions (Figure S2), seemingly counterbalancing the earlier model under- and overestimation. During the winter-spring months, on average, there were increases in the emissions by 50.12% in Korea, 30.86% in Northeast China, 78.63% in North China, 20.76% in East China, and 2.6% in South Central China (Table S2). Overall, these adjustments led to a closer alignment between the observed and modeled NO² columns (Figure 2c). For example, in regions such as North China, including Beijing, and parts
- 270 of Northeast China, such as Shenyang, $NO₂$ columns substantially increased by a factor of approximately 1.2 to 2.0 during winter months (January and February). Similarly, the Seoul Metropolitan Area (SMA) in Korea experienced moderate increases by a factor of 1.2 to 1.5, during the same period. In contrast, in South Central China, $NO₂$ columns showed a mix of increases and decreases depending on the month. In May, for instance, NO² columns in South Central China decreased by a factor of about 1.3, compensating for the model's earlier overestimation. Overall, our use of the a posteriori NO_x emissions
- 275 yielded a more accurate spatial representation of $NO₂$ concentrations across the regions during the winter-spring transition, demonstrating its effectiveness in refining emissions inventories and thereby improving model accuracy.

Tropospheric NO₂ columns observed and modeled in Asia

Figure 2: Monthly averages of hourly tropospheric NO₂ columns (molecules/cm²) observed and modeled during daylight hours (GEMS retrieval hours) from January to May 2022. (a) GEMS tropospheric NO₂ columns, (b) modeled NO₂ columns 280 using the a priori emissions, (c) modeled $NO₂$ columns using the a posteriori emissions.

The increases in NO_x emissions reduced the extent of model biases in simulating daytime surface $NO₂$ concentrations from -32.75% to -13.01% in Korea and from -10.26% to -3.04% in China on average (from -39.06% to -9.40% in Northeast China, from -2.78% to 2.76% in North China, from -9.65% to -3.32% in East China, and from -12.41% to -10.92% in South Central China) (Figure 3; Table 1). This led to closer alignment between the modeled and observed concentrations during the months, 285 showing improvements in R from 0.67 to 0.71 and IOA from 0.71 to 0.82 in Korea, and R from 0.65 to 0.76 and IOA from 0.79 to 0.86 in China. Despite the improvements, the extent of the prior underestimation still remained the largest in January, gradually decreasing as the months progressed to May. This suggests a potential underrepresentation of NO_x emissions in the a priori inventory during the colder months, possibly due to the use of the global emissions database, which may not fully capture localized, high energy usage in those periods. There were instances of overcompensation after the inversion, such as 290 in March and April for North China, as well as in April for East China and May for South Central China, where the prior model's underestimations turned into overestimations (Table 1). This could be attributed to the carry-over effect of $NO₂$ transport from neighboring grid cells, which our Bayesian inversion, which assumes a strictly local relationship between emissions and concentrations, cannot fully constrain (Park et al., 2024). Nevertheless, the reductions in mean absolute errors

across these regions suggest an overall improvement in simulation accuracy.

295

Daytime mean surface NO₂ concentrations across East Asia

Figure 3: Daytime mean surface NO₂ concentrations observed and modeled at ground-based measurement sites within each of the five source apportionment regions across East Asia during the period from January 1 to May 31, 2022. OBS: observed concentrations, CMAQ (prior): modeled concentrations using the a priori NO_x emissions, CMAQ (posterior): modeled 300 concentrations using the a posteriori NO_x emissions. Arrows indicate the changes in metrics from the prior model to the posterior model. R: Pearson's correlation coefficient, IOA: Index of Agreement, NMB: normalized mean bias (%), MAE: mean absolute error (ppb).

305 Table 1: Descriptive statistics comparing observed and modeled hourly surface NO₂ concentrations at ground-based measurement sites within each of the five source apportionment regions across East Asia during the period from January to May 2022. Prior: modeled concentrations using the a priori NO_x emissions, Posterior: modeled concentrations using the a posteriori NO_x emissions. R: Pearson's correlation coefficient, IOA: Index of Agreement, NMB: normalized mean bias (%), MAE: mean absolute error (ppb).

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3.2 Source apportionment

Upon improving model accuracy, we assessed the contributions of NO_x emissions from local and outside sources to total NO_y concentrations in each of the five regions of East Asia during the winter-spring transition. NO_y concentrations were generally higher near the source regions throughout the months, with notable transboundary transport extending to 315 downwind regions (Figure 4). While local contributions remained substantial across all regions, a clear decreasing trend was seen as the season progressed. Local sources' contributions were greater during the winter months compared to those from

- transboundary sources, whereas spring months (March, April, and May) showed a marked increase in transboundary contributions across the regions (Figure 4; Table 2). In January, local contributions were 31.50%, 42.62%, 37.42%, 33.69%, and 31.79% in Korea, Northeast China, North China, East China, and South Central China, respectively. By May, these
- 320 decreased to 23.24%, 26.29%, 25.28%, 29.05%, and 30.06%. Meanwhile, transboundary contributions steadily increased as the months progressed. In January, transboundary contributions were 27.16%, 16.17%, 16.79%, 30.46%, and 33.39% in Korea, Northeast China, North China, East China, and South Central China, respectively. By May, these increased to 36.89%, 32.46%, 27.64%, 35.57%, and 35.70%. The decreases in local contributions can be partially attributed to reduced energy use and subsequent decline in anthropogenic emissions as the seasons transitioned to warmer months. However, this
- 325 does not fully explain the concurrent increase in transboundary contributions, suggesting that NO_y concentrations from the sources did not readily remain near their origins but instead dispersed elsewhere, as reflected in the increasing transboundary contributions.

%Source contributions to total NO_v concentrations across East Asia

Figure 4: Percent contributions (%) of local and transboundary NO_x emissions to NO_y concentrations within the PBL in five 330 source apportionment regions across East Asia during the period from January to May 2022. Prior and Posterior: percent contributions quantified based on the simulations using the a priori and a posteriori NO_x emissions, respectively.

Table 2: Descriptive statistics comparing the percent contributions $(\%)$ of local and transboundary NO_x emissions to NO_y concentrations within the PBL in five source apportionment regions across East Asia during the period from January to May 2022. Prior and Posterior: percent contributions quantified based on the simulations using the a priori and a posteriori NO_x 335 emissions, respectively.

The direction, reach, and amount of NO_y transport varied noticeably from January to May, driven by seasonal synoptic settings that influenced prevailing winds. During the winter months, NO_y transport was predominantly directed southeastward and eastward, seemingly consistent with the influence of the Siberian High, as shown by the presence of

- 340 continental anticyclonic winds (Figure 5). This explains the relatively small transboundary contributions in upwind Northeast China and North China during this period (Table 2). Such transport pattern was more pronounced when using the a posteriori NO_x emissions, showing an overall increase in transboundary NO_y transport (Figure 5). After inversion, there was a noticeable increase in the amount of NO_y traveling from the source regions towards the southeast and east, reaffirming the typical wintertime pollution transport patterns. During the spring months (March, April, and May) (Figure 6), the reach of
- 345 NO_y transport expanded significantly, allowing pollutants to travel more freely across a broader range of directions, rather than being confined to the dominant southeastward flow seen in winter. This can be attributed to the weakening of the Siberian High and the associated northwesterly winds, which enabled a more dynamic and multidirectional transport of pollutants across the regions. In addition, the emergence of seasonal easterlies during this time can facilitate occasional westward transport of pollutants, especially when a low-pressure system travels from west to east across the region, passing
- 350 to the south of the weakening Siberian High (Peterson et al., 2019). This pattern was particularly evident when using the a posteriori NO_x emissions, as a broader reach of NO_y transport was shown in all directions, with greater pollutant dispersal across the regions.

This expansion of transboundary contributions during the winter-spring transition reaffirms the critical role of seasonal dynamics in governing pollutant transport and dispersion, leading to increasingly complex cross-regional interactions. While

355 some regions maintained consistent extents of transboundary contributions, others exhibited substantial fluctuations as the months progressed. For example, despite significant NO_x emissions (Figure S2), Korea was initially the least influential source region, contributing approximately from 1% to 5% to transboundary NO_y concentrations in neighboring regions during January due to the location relative to the dominant northwesterly. However, as the season transitioned, Korea's contribution to other regions grew, reaching up to 6%–7% by May, a notable increase comparable to the transboundary

- 360 contributions shown by other regions (Table 2). Meanwhile, North China consistently emerged as the most influential source region, contributing around 10% to the NO^y concentrations of neighboring regions throughout the months. Besides North China's dominance, East China and South Central China emerged as significant contributors to each other's NO^y budget, reflecting a close transboundary relationship between these regions. In addition, South Central China consistently experienced substantial transboundary contributions that outweighed local contributions by around 5% throughout the entire
- 365 study period, indicating the region's vulnerability to pollution transport. Even though the winter months offer meteorological conditions more favorable for the directional transport of pollutants, transboundary contributions to NO^y loadings across the regions in this season were generally smaller compared to spring. This can be attributed to the stronger winds typical of winter, which can facilitate rapid transport of pollutants, but also can drive them to pass through receptor regions more quickly, limiting their accumulation (Wang et al., 2023). In addition, the highly stable and subsiding nature of the Siberian
- 370 High could have trapped the NO_y in the lower boundary layer, further limiting the extent of their transport across longer distances (Zhang et al., 2007; Zhai et al., 2024). In contrast, during the spring months, the weakening of northwesterly winds seemed to allow for broader pollutant transport in a more multidirectional manner, leading to increased transboundary mixing of pollutants. This can also be attributed to the slower exit of pollutants due to the less forceful winds, allowing pollutants to linger longer in receptor regions' stable atmospheres and increasing the transboundary impact (Wang et al., 375 2019; Lee et al., 2022).

Source contributions to total NO_v concentrations across Asia: Winter 2022

Figure 5: Source contributions to wintertime total (sum) NO^y concentrations (ppb) within the PBL across Asia during the period from January to February 2022. (a) contributions quantified based on the simulations using the a priori NO_x emissions, 380 (b) contributions quantified based on the simulations using the a priori NO_x emissions, (c) differences (b - a).

Source contributions to total NO_v concentrations across Asia: Spring 2022

Figure 6: Source contributions to springtime total (sum) NO^y concentrations (ppb) within the PBL across Asia during the period from March to May 2022. (a) contributions quantified based on the simulations using the a priori NO_x emissions, (b) 385 contributions quantified based on the simulations using the a priori NO_x emissions, (c) differences (b - a).

3.3 Implications for PM2.5 concentrations

While our study primarily focused on nitrogen loadings, the updates to the inventoried extent of NO_x emissions also affected surface $PM_{2.5}$ concentrations across East Asia. While the posterior model generally underestimated $PM_{2.5}$ concentrations in 390 Korea and China, the overall increases in NO_x emissions after the inversion led to corresponding increases in $PM_{2.5}$ concentrations, which improved model accuracy to a certain extent in the regions (Figure 7; Table S3). In Korea, the use of the a posteriori NO_x emissions reduced the extent of model underestimation in $PM_{2.5}$ concentrations from -21.01% to -16.95% on average during the winter-spring transition, leading to a slight improvement in IOA from 0.86 to 0.88, indicating better alignment between modeled and observed concentrations in the region. The improvement was less pronounced in China, 395 where the model underestimation was only slightly reduced from -32.50% to -31.05%, with minor increases in R from 0.61 to 0.64 and IOA from 0.60 to 0.62. In both Korea and China, the increases in $PM_{2.5}$ concentrations seemed to be responsive to the concurrent increases in nitrate aerosol concentrations, a major component of $PM_{2.5}$. For instance, our previous study across East Asia (Park et al., 2023) suggested that secondary inorganic aerosols, such as nitrate, sulfate, and ammonium,

400 the year 2019, while primary particulate matter made up around 47%. The improvements in our model accuracy suggest that the overall upward adjustments to NO_x emissions, which likely promoted nitrate aerosol formation, helped remedy the model's prior underestimation of PM2.5 concentrations. However, the underestimation still persisted after the inversion, particularly in China, suggesting a possible underrepresentation of other $PM_{2,5}$ precursor emissions beyond NO_x , such as sulfur dioxide (SO₂) and ammonia (NH₃), which were outside the scope of our study. Nonetheless, the response of PM_{2.5}

contributed around 53% of total $PM_{2.5}$ loadings in Korea, with nitrate aerosols alone accounting for 21%, on average during

405 concentrations to the adjustments in NO_x emissions reaffirmed the substantial contribution of secondary aerosols, such as nitrate, to regional PM2.5 concentrations across East Asia.

Daytime mean surface NO₂ concentrations across East Asia

Figure 7: Daytime mean surface PM_{2.5} concentrations observed and modeled at ground-based measurement sites during the period from January 1 to May 31, 2022. OBS: observed concentrations, CMAQ PM_{2.5} (prior and posterior): modeled PM_{2.5} 410 concentrations using the a priori and a posteriori NO_x emissions, CMAQ ANO₃ (prior and posterior): modeled nitrate aerosol (within $PM_{2.5}$ diameter) concentrations using the a priori and posteriori NO_x emissions. Arrows indicate the changes in metrics from the prior model to the posterior model. R: Pearson's correlation coefficient, IOA: Index of Agreement, NMB: normalized mean bias (%), MAE: mean absolute error (ppb).

415 **4 Conclusions**

Our source apportionment effort, based on refined simulation accuracy, provided a comprehensive perspective on nitrogen loadings and their seasonal transport across East Asia during the 2022 winter-spring transition. Our diurnal updates to the NO_x emissions inventory led to overall increases in NO_x emissions in Korea and China by 50% and 33%, respectively. This suggests that the a priori estimates of NO_x emissions from the global dataset might have underrepresented emissions patterns 420 to a certain extent, demanding follow-up efforts to better account for local emissions in a more nuanced manner. These increases in the inventoried amount of NO_x emissions substantially reduced the extent of the prior model's underestimation of surface NO² concentrations from -32.75% to -13.01% in Korea and from -10.26% to -3.04% in China, underscoring the utility of GEMS data as top-down constraints.

Leveraging the refined simulations, we quantified the local and transboundary contributions of NO_x emissions to East Asia's 425 NO^y loadings during the winter-spring transition, focusing on our source apportionment regions including North China, Northeast China, East China, South Central China, and Korea. By comparing how much each region's NO_x emissions contributed to its own NO^y budget versus neighboring regions under seasonally varying synoptic settings, we assessed the cross-regional pollution transport dynamics and gained insights into source-receptor relationships across major NO_x -emitting regions of East Asia. During the winter months, pollutant transport was primarily influenced by strong northwesterly winds

- 430 driven by the Siberian High, leading to significant transboundary contributions from upwind to downwind areas. As the Siberian High weakened in spring, transport patterns became more multidirectional, allowing pollutants to disperse farther across the regions. This seasonal transition resulted in increased transboundary contributions by up to 16% as the months progressed, as pollutants spread more extensively across the regions and potentially remained for an extended time near the receptors. From January to May, local contributions steadily decreased from 32%-43% to 23%-30%, while transboundary
- 435 contributions showed an increasing trend from 16%-33% to 27%-37%. Some regions maintained their consistent contributions to East Asia's NO^y loadings, whereas others showed noticeable fluctuations in the contributions as the months progressed. North China consistently contributed over 10% to other regions' NO_y concentrations throughout the seasons, while Korea's contribution gradually increased from 1%-4% to 6%-7%, highlighting the critical role of seasonal synoptic conditions in governing pollution transport. This shift illustrates how a once less influential source region can become a
- 440 significant contributor as seasons progress. While East China and South Central China substantially contributed to each other's NO^y budget by 9%-12%, South Central China consistently experienced transboundary impacts that consistently exceeded its local contribution by 5%, indicating its vulnerability to pollution transport. These findings highlight the complexity of seasonal pollution dynamics and the evolving nature of transboundary impacts, underscoring the need for adaptive air quality management strategies that account for shifting transport patterns between emission sources and receptor 445 regions.

A limitation of our study is that the source apportionment was largely confined to East Asia, despite our simulation domain covering the entirety of Asia. This limitation was primarily due to our study's objective, which necessitated improving model accuracy through indirect evaluation of the a posteriori emissions inventory's reliability, comparing modeled surface $NO₂$ concentrations against station measurements, which were available only for Korea and China during the study period. The 450 absence of ground-based measurements from other regions in Asia during this period restricted the validation of the model's

- performance outside East Asia, limiting the broader applicability of our findings. Nonetheless, our study provides a comprehensive perspective on nitrogen loadings and pollution transport dynamics, addressing the broader geographic context and extended simulation period that demand a more rigorous investigation. Future studies could build upon this approach by extending the study period to cover additional seasons, such as summer and fall, to capture year-round pollution
- 455 transport dynamics. A long-term, decadal study could reveal evolving trends in transboundary pollution, particularly during the winter-spring transition, and provide deeper insights into how policy changes, economic developments, and climate variations shape pollution patterns over time. These follow-up investigations would offer a more comprehensive understanding of East Asia's air quality challenges and further support the development of adaptive, long-term strategies for managing transboundary air pollution.

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Data availability

- 465 GEMS Level 2 products are available through Open-API at https://nesc.nier.go.kr/ko/html/svc/openapi/explain.do (in Korean) (last accessed on October 16, 2024), managed by the NIER's Environmental Satellite Center. Quality-assured AirKorea measurement datasets are available on the AirKorea website at https://www.airkorea.or.kr/web/last_amb_hour_data?pMENU_NO=123 (in Korean) (last accessed on October 16, 2024). MEE measurement datasets are available on the online archive at https://quotsoft.net/air/ (in Chinese) (last accessed on April
- 470 11, 2024), originally sourced from the China National Environmental Monitoring Center (CNEMC) database.

Author contribution

JP took the lead in drafting the original manuscript. JP and YC set up the experimental design. JP set up the models and conducted air quality simulations. JP and SK performed top-down adjustments to the emissions inventory. JP conducted

source apportionment. JP, YC, and SK evaluated the emissions adjustment and source apportionment outcomes. YC 475 provided overall context as a principal investigator and supervised the entire research. All authors discussed the results and exchanged feedback to prepare the final version of the manuscript draft.

Competing interests

The authors declare that they have no conflict of interest.

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