Development of A Land-River-Ocean Coupled Model for Compound Floods Jointly

Caused by Heavy Rainfalls and Storm Surges in Large River Delta Regions

Anyifang Zhang¹ and Xiping Yu²

¹ Department of Hydraulic Engineering, Tsinghua University, Beijing, China.

² Department of Ocean Science and Engineering, Southern University of Science and Technology, Shenzhen,

China.

5

10

15

20

Correspondence: Xiping Yu (yuxp@sustech.edu.cn)

Abstract. Simultaneous or sequential occurrence of different flood processes, including extreme

storm surges and heavy precipitation, tends to trigger compound floods, which are often

destructive to life and property. However, numerical models that fully represent the effect of

various flood processes and their interactions have not yet been firmly established. In this study, a

coupled land-river-ocean model is developed that considers storm surge, storm wave, astronomical

tide, river flow, and precipitation. The coupled model is applied to the simulation of compound

floods induced by tropical cyclones in the Pearl River Delta. The numerical results obtained on

river flow and ocean surface elevation are shown to agree well with observations for cases

considered, with Wilmott Skill values of 0.96 and 0.88, respectively. The coastal inundation area

obtained with the model covers approximately 80% of the area identified by remote sensing. An

attribution analysis implies that ocean processes contribute to more than half of the total flood

volume, while precipitation accounts for 5% to 15% through a tropical cyclone event in the Pearl

River Delta region. Significantly, the attribution of river base flow varies from 2% to 30%

depending on the landfall time of the tropical cyclone. It is also emphasized that the completeness

of the coupling method substantially affects the numerical accuracy.

1 Introduction

25

30

35

40

45

50

In major river deltas, devastating floods frequently result from simultaneous or sequential occurrence of multiple events, including severe storm surges and heavy regional rainfalls (Zscheischler et al., 2020). The synergistic impact of multiple events may substantially amplify the spatial extent and time duration of inundation, resulting in more severe damages than a linear addition of the damages caused by each contributing factor. The problem is further exacerbated since climate change leads to an increase in the temporal and spatial frequency of extreme flooding events (Wahl et al., 2015), while rapid urbanization of major river deltas (Chan et al., 2021) results in the annual escalation of losses due to compound floods.

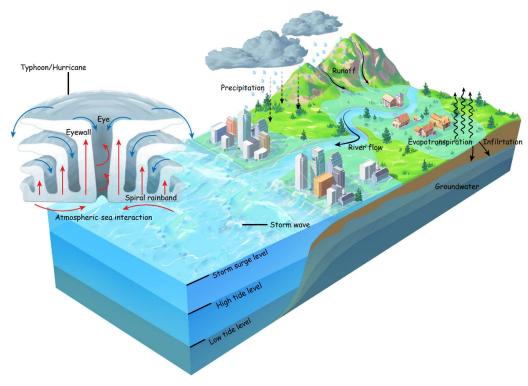
The study of compound floods has attracted the increasing attention of the scientific community in recent decades. Considerable research efforts have been devoted to elucidating the statistical dependencies among the various mechanisms of flood events, which are crucial to risk assessment. Wahl et al. (2015) reported the temporal variability in the dependence between storm surge and precipitation for the coastal cities in America. Their findings indicated a significant increase in compound flooding events over the past century, as evidenced by rising Kendall correlation coefficients. Moftakhari et al. (2017) evaluated the bivariate return period of the sea level and river discharge in the future scenario, which indicated that both the failure probability and degree of flood drivers will likely worsen due to global warming.

A close relationship between compound floods and tropical cyclone events has been widely recognized (Wahl et al., 2015; Fang et al., 2021; Lai et al., 2021; Hendry et al., 2019). Tropical cyclones (TCs), often characterized by a simultaneous occurrence of heavy rainfalls, storm surges, and storm waves, are the most typical weather systems causing compound floods. In a TC-contributed compound flood, none of the component events may have reached extreme conditions, but their interdependent occurrence can be historically disastrous.

Simulation of TC-contributed compound floods in a major river delta region requires coupled models. An ocean circulation model can be used to describe the ocean surface elevation and the ocean flow jointly caused by storm surges and astronomical tides; an ocean wave model is able to

predict the wind wave spectrum; a river flow model usually results in the water level and the flow rate within the river channel; a hydrologic model may be used to represent the rainfall-runoff process. When all or part of these models are integrated into a system, the framework of a land-river-ocean coupled model becomes available. The degree of coupling determines whether the synergistic effect of multiple events can be reasonably obtained. A properly coupled model system can simulate different kinds of compound floods as long as the atmospheric forcing can be provided (Gori et al., 2020b; Feng et al., 2022; Revel et al., 2023; Xu et al., 2023; Du et al., 2024; Zhong et al., 2024). Lee et al. (2019) proposed a coupled model for TC landfall in Korea, highlighting the importance of the rainfall-runoff process in studying the inundation. Gori et al. (2020a) coupled the hydrological model with river and ocean dynamic models to investigate the compound flood induced by six TCs in the Cape Fear Estuary, with an emphasis on the effect of rainfall structure on compound floods. Most of the existing models, however, are oversimplified in some parts or limited in the coupling degree. Particularly, an accurate estimation of the air-sea momentum exchange under extreme wind speed (Zhang and Yu, 2024) has not been taken into consideration when modeling TC induced compound floods.

In this study, a land-river-ocean coupled model is developed, which can comprehensively describe the dynamic details of storm surge, storm wave, astronomic tide, river flow, inundation, and precipitation, as well as their interactions (**Figure 1**). The atmospheric wave boundary layer model is employed to improve the accuracy of the atmospheric forcing on the ocean. The coupled model is then applied to the simulation of TC induced compound flood in the Pearl River Delta. The computed water surface elevation, river discharge, and inundation areas during typical TC events are satisfactorily verified by measured data.



75 **Figure 1.** Physical processes represented in the land-river-ocean coupled model.

2 Model Integration

80

85

2.1 General Description

The coupled model system to be established in this study aims to correctly represent the temporal and spatial variation of the water surface elevation and flow rate in rivers and the coastal ocean, and also in the inundation area if overflows occur, which are jointly caused by storm surge, storm wave, astronomical tide, river flow, and regional rainfalls. The details of the model system, as well as the method of coupling, are shown in **Figure 2**.

A complete model system may also require a general atmospheric circulation model, usually called the General Circulation Model (GCM) by meteorologists (Satoh, 2013), so that the wind flow velocity, atmospheric pressure, precipitation, etc., can be numerically determined. In the present study, however, the atmospheric forcing is directly derived from reliable reanalysis data

for a past event and may have to be obtained with numerical weather prediction for a coming event. We may rely on an appropriate long-term climate model for a future scenario.

As a basic feature, we require that the model we established can resolve the instantaneous water surface elevation and flow rate caused by both astronomical tides and storm surges. The astronomical tides are considered to be oscillations forced at open boundaries. The storm surges are jointly caused by the wind shear at the air-water interface, the air pressure acting on the ocean surface, and the effect of the radiation stresses originating from the ocean surface waves. The storm waves should also be paid attention to not only because they contribute to the mean water level variation but also because they directly cause a significant elevation of the water surface. The storm-wave induced water flows are not fully resolved in our model because their contribution to the wave-filtered water level is of a second order so that it is enough only to include the effect of wave radiation stress (Longuet-Higgins and Stewart, 1964; Dietrich et al., 2012). Since the wind stress on the free water surface is an important parameter for both storm surge and ocean wave modeling, an enhanced atmospheric wave boundary layer model is necessary considering the condition of strong wind and shallow water (Zhang and Yu, 2024).

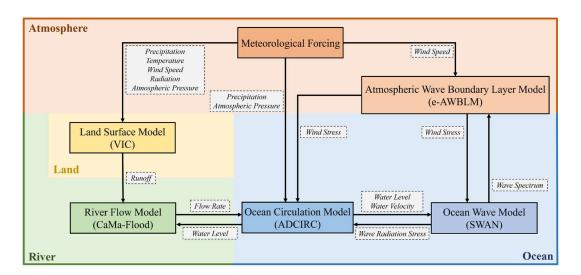


Figure 2. Flowchart of the coupled model for compound floods.

90

95

We also require that the model we established can accurately describe the physical process of the flow routing in the river system, consisting of main streams and their tributaries, which receive runoff generated by a land surface model. The flow routing model, with which the water depth and the flow discharge must be determined, may be based on the governing equations for unsteady open channel flows or a significantly simplified conceptual model preferred by applied hydrologists. The land surface model must be able to yield the runoff given the precipitation and other necessary parameters related to atmospheric forcing, land cover, and soil properties.

2.2 Components of Model System

110

115

120

125

130

Ocean Circulation Model. Advanced CIRCulation (ADCIRC; Luettich et al., 1992), solving the two-dimensional shallow water equations, is adopted to determine the water surface elevation and the vertically-averaged flow rate in the ocean. The shallow water theory assumes that the water depth is much smaller than the length scale in the horizontal directions of the problem. Therefore, ADCIRC can represent only long waves, such as astronomical tides and storm surges, but not wind waves. The contribution of precipitation has been considered a source term in the mass conservation equation (Bilskie et al., 2021). The astronomical tides induced water surface elevation is forced at the otherwise undisturbed open boundaries. A smooth water surface and continuous flow rate are required at the boundary where the ocean circulation model and the rive flow model match. Along the coastline, free run-up conditions are specified at beaches while nopenetration conditions are given at seawalls.

Ocean Wave Model. Simulating WAves Nearshore (SWAN; Booij et al., 1999) is utilized to predict the evolution of the phase-averaged wave energy spectrum, from which the wave height can be evaluated in a statistical sense. The governing equation of the model is based on the conservation of wave action, which is generalized from the conservation of wave energy when there is a steady current at present. Wind energy input, wave energy dissipation, and wave energy redistribution due to nonlinear wave-wave interactions are treated as sources for wind wave development.

Atmospheric Wave Boundary Layer Model. The enhanced Atmospheric Wave Boundary Layer Model (e-AWBLM; Zhang and Yu, 2024) is employed to estimate the wind stress acting on the ocean surface, which is an indicator of the intensity of momentum transfer through the air-sea interface and an important parameter in both the ocean circulation model and the ocean wave model. The model is essentially based on the momentum and energy conservation within the atmospheric wave boundary layer over the ocean surface. It was recently improved to correctly describe the effect of wave breaking under very strong wind conditions and also the effect of finite water depth (Chen and Yu, 2016; Xu and Yu, 2021; Zhang and Yu, 2024).

River Flow Model. Catchment-based Macro-scale Floodplain (CaMa-Flood; Yamazaki et al., 2011) is chosen to determine the flow rate and water depth in the river system, consisting of a mainstream and its tributaries. The model is based on a significantly simplified form of the basic equations for open channel flows in order to achieve a high computational efficiency. The lateral inflow is given by a land surface model. The river mouth is connected to the ocean, and the matching boundary conditions must be satisfied. If truncated at any place, an inflow condition, called river base flow, must be prescribed at the upper end of the mainstream. A significant advantage of CaMa-Flood, as compared to many other river flow models, is that inundation can be simulated.

Land Surface Model. Variable Infiltration Capacity (VIC; Hamman et al., 2018), a distributed macroscale hydrologic model, is employed to estimate the runoff into the river system. The model takes into consideration key hydrological processes, including evaporation, infiltration, moisture movement, and runoff generation. With known meteorological forcings, the surface runoff and the baseflow are evaluated based on the variable soil moisture capacity curve (Liang et al., 1994) and the Arno model (Franchini and Pacciani, 1991), respectively.

3 Model Application in the Pearl River Delta Region

135

140

145

150

155

This study hindcasts five tropical cyclone (TC) events [Hagupit (2008); Koppu (2009); Vicente (2012); Hato (2017); Mangkhut (2018)] that caused destructive floods in the Pearl River

Delta region over the past two decades, to validate the effectiveness of the model on description of compound floods. The landfall intensity of Koppu (2009) is classified as a Typhoon (TY), and the other tropical cyclone events are classified as Severe Typhoon (STY) according to the China Meteorological Administration (Lu et al., 2016). It is worthwhile to mention that all five TC events made landfall at the southwest part of the Pearl River Delta, with the right-front quadrant, i.e., the prolonged stronger wind and lower pressure condition, covering the area under our consideration (**Figure 3a**).

The boundary between rivers and the ocean is set at the cross-sections of the major tributaries of the river system where the 10 m topographic contour crosses. In the Pearl River Delta region, there are seven cross-sections, from which the discharge accounts for more than 97% of the rainfall-runoff generated in the entire catchment (**Figure 3b**).

3.1 Discretization of the River System

160

165

170

175

180

We discretize the seven sub-catchments, each with over 2,000 square kilometers of drainage area controlled by the cross-section where a river flows into the ocean (Figure 3b). In the VIC model, the soil with a 3-layer structure and the surface vegetation are parameterized with the OpenLandMap (Tomislav, 2018) and the Global Land Cover Facility (GLCF; Hansen et al., 2000) respectively. The soil properties, including infiltration capacity, saturated hydraulic conductivity, bulk density, and wilting point, are estimated according to the soil type (Twarakavi et al., 2010; Cosby et al., 1984). A database on global river width for large rivers is used to estimate the width of large rivers, i.e., river width larger than 300 m in this study (Yamazaki et al., 2014). For small rivers, the river width and the river depth are estimated with empirical formulas (Yamazaki et al., 2011). The meteorological forcings, including precipitation, wind speed, temperature, surface radiation, pressure, and humidity, are obtained from the ERA5-land dataset (Hersbach et al., 2020). Square grid cells are adopted for both VIC and CaMa-flood. The total number of land surface cells for VIC is 6141 with a spatial resolution of 5′, while the total number of river channel elements

for CaMa-flood is 14397 with a spatial resolution of 3'. The computational time step is set to 1 hour in VIC and 10 minutes in CaMa-flood, respectively.

3.2 Discretization of the Coastal Ocean

185

190

195

200

An unstructured mesh covering the Pearl River Delta region, which consists of very complex river networks, is carefully built (Roberts et al., 2019; Qiu et al., 2022). The land-ocean boundary extends from the coastline to the inland location where the 10 m contour reaches in order to fully include the floodplain (Figure 3c). The shoreline of the South China Sea is obtained from GSHHG (the Global Self-consistent, Hierarchical, High-resolution Geography), and the outline of the river network at Pearl River Delta is obtained from the Open Street Map. The bathymetry data is from two different sources. The bathymetry of the open sea is obtained from GEBCO (GEneral Bathymetric Chart of the Oceans), which provides the global ocean bottom elevation with a spatial resolution of 15'. The bathymetry of the Pearl River Delta, which has a higher resolution of 80 m, is obtained from the Pearl River Water Resources Commission with a special permission. FABDEM (the Forest And Buildings removed Digital Elevation Model; Hawker et al., 2022), which removes trees and buildings to represent bare-land terrain, is utilized to determine the land elevation. The mesh resolution along the river and tributaries is refined to 50 m to resolve storm surge propagation within the river system and inundation over the land (Figure 3d). The computational domain consists of 1,413,038 elements and 721,704 nodes. The bottom friction of the land region is estimated based on Manning friction law. In the ocean circulation model, spatial variations of the hydraulic roughness are considered based on the variability of the land cover type (Mattocks and Forbes, 2008; Yang and Huang, 2021). The spatial variation of the land cover type and the relevant value of the Manning coefficient are shown in Figure S1 and Table S1.

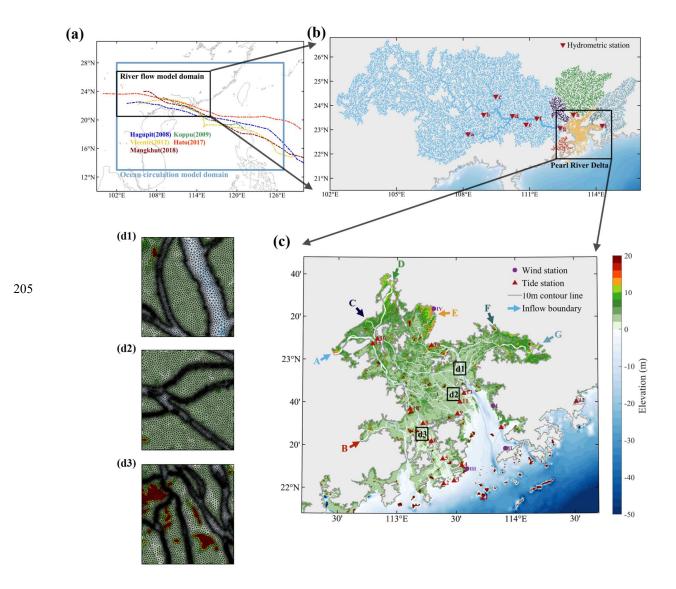


Figure 3. Detailed description of the compound flood model applied in the Pearl River Delta. (a) The computational domain and the tracks of selected tropical cyclones. (b) The river network in the seven sub-catchments of the Pearl River basin. The inverted red triangles marked with a-i are the locations of discharge stations. (c) Elevation of Pearl River Delta region used in the ocean circulation model. The red triangles marked with 1-16 are the locations of tide stations. The purple circles marked with I-IV are the locations of meteorological stations. The arrows marked with A-G are the inflow boundaries. The color of the river networks in (b) corresponds to the inflow boundaries in (c). (d) Enlarged view of refined mesh along the river channel.

Note that the seven inflow boundaries in the ocean circulation model are also the outflow boundaries of the relevant sub-catchment in the river flow model. The computational time step for coupling at the river-ocean confluences is set to 1 h. The astronomical tide, which consists of 13 tidal constituents (M2, S2, N2, K2, K1, O1, P1, Q1, MF, MM, M4, MS4, and MN4) from TPXO (Egbert and Erofeeva, 2002), is forced hourly at the open boundary. Moving boundaries in ADCIRC are treated with the conventional dry-and-wet approach. For numerical stability, the drying and wetting threshold is set to 0.1 m, and the computational time step is set to 1 s in ADCIRC. SWAN is dynamically coupled with ADCIRC every 10 min. In SWAN, the frequency range is set to 0.0157–1.57 Hz and the directional resolution is set to 10°. The data required to run the model, except for the high-precision bathymetry data for the delta region, are all publicly available and globally covered, which means that the model established in this study potentially has a global applicability.

Parametric models are employed to determine the wind velocity and the air pressure within a circular area surrounding the TC center obtained from the best track dataset (Lu et al., 2016). More specifically, the wind velocity and the air pressure are computed using the empirical models proposed by Emanuel and Rotunno (2011) and Holland (1980). The radius of max wind speed in the models is estimated using the formula proposed by Willoughby and Rahn (2004). When applied to the ocean circulation model and ocean wave model, the inflow angle (Bretschneider, 1972), the translational velocity of moving TCs (Jelesnianski, 1966), the spatial conversion factor (Georgiou et al., 1983), and the time conversion factor (Powell et al., 1996) are involved to obtain the 10 m-10 min (10 m above the mean sea level and 10 min average) wind velocity field. Far away from the TC center, the meteorological forcings are derived from the reanalysis data in ERA5 (Hersbach et al., 2020). The eventual forcing fields are then weighted by the empirical results and reanalysis data in terms of the distance from the position of interest to the TC center (Carr Iii and Elsberry, 1997). Detailed information on the construction of the meteorological forcings due to the presence of tropical cyclones can be referred to previous studies (Lin et al., 2012; Yang et al., 2019;

Xu and Yu, 2023; Zhang and Yu, 2024). The wind velocity fields are validated as demonstrated in **Figures S2-S6**.

4 Numerical Results

4.1 River discharge

245

250

255

The river flow model is validated by comparing the computed and measured daily discharge at the nine hydrometric stations (

Figure 4). The simulated results show satisfactory agreement with measured data in general, with a Wilmott Skill level of 0.960. Exceptions are noted at the upstream stations such as Qianjiang and Liuzhou during the dry season. The discrepancy is considered to be caused by an omission of the reservoir operation at further upstream of the Pearl River basin. It is worthwhile to emphasize that the model is capable of capturing the peak discharge events rather accurately.

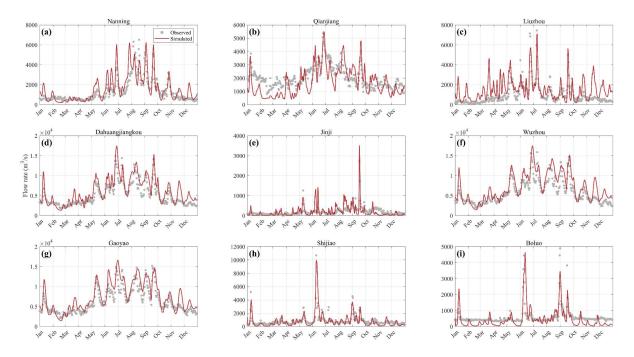


Figure 4. The simulated (red lines) and observed (gray points) river flow rate in 2018 are compared at different stations.

4.2 Storm Surge

260

265

270

275

The computed water level elevation due to storm surges is compared with the observations at the tide gauge stations, as shown in . The agreement between computational and observational results is very good in general. Some discrepancies at particular places are known to be caused by a mismatch between available topographic data and the actual situation due to human activities (Zhang et al., 2021). It is worthwhile to mention that all storm surges nearly coincided with the high tide level, especially during Typhoon Mangkhut (2018) and Typhoon Hagupit (2008). Since the maximum surge levels are the primary concern from the disaster prevention point of view, good agreement between the computed and observed maximum surge levels, with a Wilmott Skill level of 0.887, is very important. To emphasize the advantage of an improved description on the air-sea momentum exchange, the wind drag formula of Garratt (1977), which is a default setting in ADCIRC, is also used to hindcast the selected storm events (Figure 6). It is clearly shown that the maximum surge levels obtained with the e-AWBLM are more accurate. We may also have to mention that our coupled model overestimates the maximum surge level by about 0.5 m at stations 15 and 16 during Typhoon Hato (2017). Even so, the present results are significantly better when compared to the previous ones, which were overestimated by about 2 m (Qiu et al., 2022; Zhang and Yu, 2024). Previous overestimations are partially due to an incomplete coupling between river and coastal flows and partially due to an omission of possible inundation. In Figure S7, we demonstrate the effect of the completeness of the coupling method on storm surge simulation. The one-way coupling approach is shown to overestimate the maximum surge levels and the surge recession phase. This overestimation is related to a fake accumulation of storm water at the ocean side of the river-ocean boundary in the one-way coupling approach.

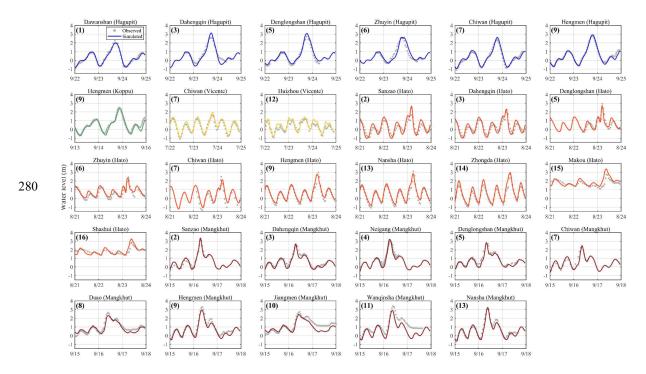


Figure 5. The simulated (solid lines) and observed (gray points) storm tides are compared for selected Typhoons.

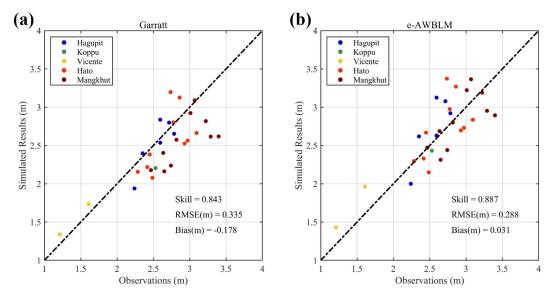


Figure 6. The simulated maximum surge levels are compared with observations in two methods: (a) Garratt (1977) and (b) e-AWBLM

4.3 Inundation

We employed the daily MODerate resolution Imaging Spectrometer (MODIS, https://ladsweb.modaps.eosdis.nasa.gov) dataset, with a resolution of 500 m, to identify inundation areas during each Typhoon event. Seven days, starting from the landfall time of each Typhoon, is selected for each event to ensure a comprehensive assessment of the inundation extent. Despite some problems caused by cloud cover, remote sensing techniques have been widely used for flood identification (Brakenridge et al., 2013). The identification approach and threshold utilized in this study followed Tellman et al. (2021).

The computed inundation area during Typhoon Mangkhut (2018) is compared with the satellite results derived from MODIS, as presented in Figure 7. Comparisons for other Typhoon events are demonstrated in Figures S8-S11. We introduce two metrics to assess the performance of the numerical model on describing inundation. The common area of the remotely sensed and numerically simulated flooded areas is defined as the verified zone. R1 represents the proportion of the verified zone to the remotely sensed flooded area, while R2 represents the proportion of the verified zone to the numerically simulated flooded area. As shown in Figure 7 and Figures S8-S11, the numerical model leads to satisfactory results on inundation areas. Most of the flooded regions identified by remote sensing are covered by the simulated results, as indicated by an average value of R1 equal to 0.79. On the other hand, the simulated flooded area is usually broader than that obtained with remote sensing, indicated by an average value of R2 equal to 0.51. Given that the cloud-cover removing technique in Tellman et al.'s (2021) method is known to result in an underestimation of the flooded area, it is rather reasonale that the numerically simulated inundation range exceeds the remotely sensed area to some extent.

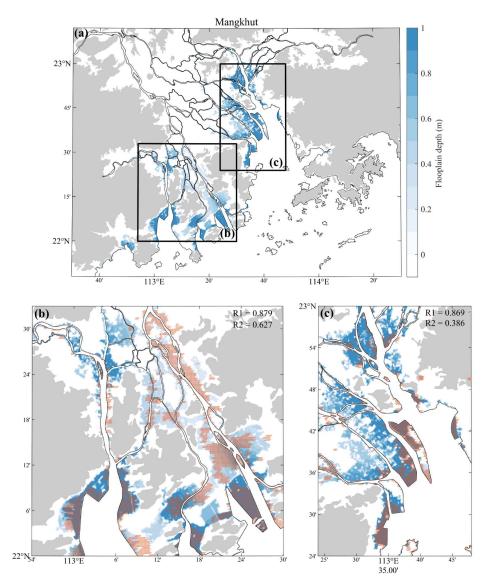


Figure 7. The contour plot (blue) of simulated inundation depth caused by Typhoon Mangkhut.

The orange dots show the inundation range estimated by MODIS datasets.

5 Discussions

315

5.1 Attribution analysis of compound floods

It may be useful to understand the attribution of the land, river, and ocean processes to a compound flood in the Pearl River Delta region (**Figure 3c**). For this purpose, two additional scenarios are computed for each Typhoon case, i.e., ocean processes plus river base flow only and ocean processes plus precipitation only. To isolate the attributions of precipitation and river base

flow, we can thus subtract the results of these two scenarios from the inclusive results (ocean processes plus river base flow and precipitation). The residual may then be attributed to the ocean processes, which include storm surges, storm waves, and astronomic tides. It is worth acknowledging that the nonlinear interactions among the various contributing factors are completely neglected in such an approach (Bilskie and Hagen, 2018). Nonetheless, it is still reasonable to consider the ocean processes as the dominant contributor to the compound flood and discuss the additional attributions of precipitation and river base flow to the ocean processes.

The spatial distributions of the inundation depth due to the ocean processes, the river base flow, and the precipitation during Typhoon Mangkhut (2018) are presented in **Figure 8**. The distribution of the inundation depth during other Typhoon events can be found in **Figures S12-S15**. It is demonstrated that the ocean processes, as anticipated, cause inundation near the coastline. In most cases, the inundation areas due to ocean processes account for over 90% of the total (**Figure 8a**). River base flow, on the other hand, plays a vital role in the upstream regions along the river channels (**Figure 8b**). Precipitation affects a broader area but with some concentrations in locally lower inland regions (**Figure 8c**).

These distribution characteristics remain almost the same for all Typhoon events, although some quantitative discrepancies do exist among different events. For instance, Typhoon Hagupit (2008), which was more significantly affected by the ocean process, caused heavy flooding in the coastal regions (**Figure S12**), while Typhoon Hato (2017), which occurred when the river base flow was considerably strong, resulted in a large inundation area in the inland regions (**Figure S15**).

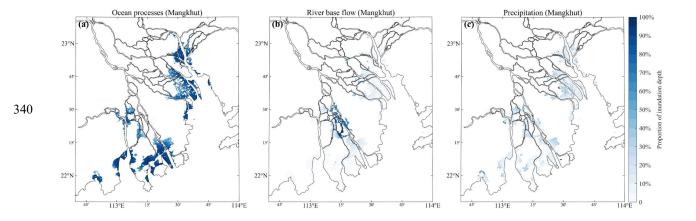


Figure 8. The spatial distribution of inundation depth due to (a) ocean processes, (b) river base flow, and (c) precipitation during Typhoon Mangkhut (2018).

345

350

To further quantify the attribution of each factor, we estimate the inundation volume due to the precipitation, the river base flow, and the ocean processes based on numerical results presented in **Figure 8** and **Figures S12-S15**. For all Typhoon events under our consideration, the flooding volume caused by precipitation is relatively small, ranging from 5% to 15%. In contrast, the ocean processes are responsible for more than half of the flooding volume. Inundation caused by river base flow varies from approximately 30% during Typhoon Vicente (2012) and Typhoon Hato (2017) to around 2% to 10% during Typhoon Hagupit (2008), Typhoon Koppu (2009), and Typhoon Mangkhut (2018) (**Figure 9**). Note that Typhoon Vicente (2012) and Typhoon Hato (2017) made landfall on 23 July and 22 August, when the daily average discharge of river base flow was 10,975 m³/s and 13,389 m³/s, respectively. While Typhoon Hagupit (2008), Typhoon Koppu (2009), and Typhoon Mangkhut (2018) made later landfall on September 23, 14, and 16, when the daily average river discharge was 2,967 m³/s, 2,829 m³/s, and 7,172 m³/s, respectively.

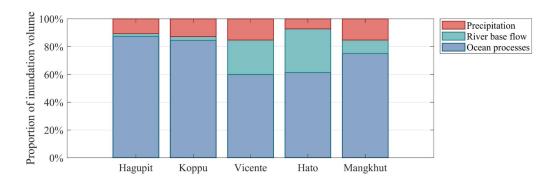


Figure 9. The attribution of flooding volume due to ocean processes, river base flow, and precipitation during disastrous Typhoon events.

5.2 Comparison between two-way and one-way coupling

355

360

365

370

375

Existing coupled models for simulating compound floods often adopt the one-way coupling approach, i.e., the river flow model transfers information to the ocean circulation model without receiving feedback (Deb et al., 2023; Gori et al., 2020b; Du et al., 2024; Bakhtyar et al., 2020). To illustrate the differences between the two-way and one-way coupling between the river flow model and ocean circulation model, the discharge and water level at cross-section A, where the largest sub-catchment meets the ocean (Figure 3b and Figure 3c), are compared in Figure 10. The actual discharge shows rhythmic fluctuations due to the influence of astronomic tides, which are omitted in the one-way approach. This may lead to an underestimation of the extent of inland inundation resulted from the river flow model on some occasions. The compound flood induced by the ocean processes and rainfall-runoff resulting from the river flow model is shown in Figure 11 and Figures S16-19, where the inundation area is mainly located along the river channels. Compared to the two-way model, the one-way coupled model significantly underestimates the inundation area, especially near the boundaries where rivers meet the ocean. It may be interesting to note that the ocean surface oscillations affect a longer distance of the tidal river when the river flows are relatively weak (Figure 11, Figure S16, and Figure S17) and vice versa (Figure S18 and Figure S19).

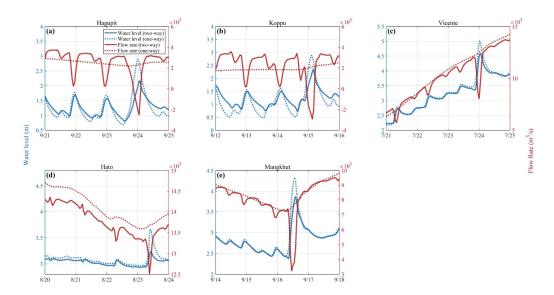


Figure 10. Water level and flow rate at coupled boundary A (Figure 3c). The solid lines and dashed lines are the results of two-way and one-way coupling approaches, respectively.

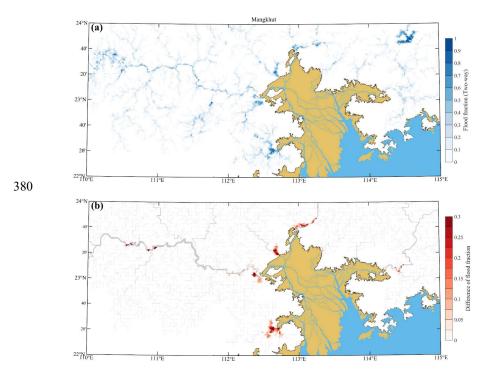


Figure 11. (a) The fraction of flooding area during Typhoon Mangkhut (2018) estimated by the CaMa-flood model with two-way coupling approach. (b) The difference in the fraction of flooding area between the two-way and one-way coupling approaches. The river channels are marked with gray lines, with the width of the river channel indicated by the line thickness.

6 Conclusions

A land-river-ocean coupled model is developed in this study for simulating compound floods, considering the possible effect of storm surges, astronomical tides, storm waves, precipitation, and river flow. In the proposed model, the air-sea interactions as well as the river-ocean interactions, are paid special attentions. It is demonstrated that the coupled model provides a more physically reasonable description of the complex interactions among various flood processes and more accurate numerical results while maintaining a satisfactory computation efficiency. The model is applied to the hindcasting of the compound floods induced by five Typhoon events that occurred in the Pearl River Delta region. The numerical results obtained on river flow and ocean surface elevation are shown to agree well with observations for all cases. The coastal inundation area obtained with the model covers approximately 80% of the area identified through remote sensing, which is a significant success considering that incomplete cloud-cover removing leads to an underestimation of the remotely sensed flooding area. Based on numerical results from the coupled model, the attribution of land, river, and ocean processes to compound floods can be discussed in the Pearl River Delta region. The ocean processes are demonstrated to be the dominant contributor in all events, while the land and river processes also play indispensable roles. It is shown that the one-way coupling approach results in an underestimation of the inundation area given by the river flow model owing to the absence of feedback from the ocean circulation model. However, the improved coupling approach requires more than doubled computational cost.

405

410

390

395

400

Code availability. All models employed in this study are available as open source. The source code of eAWBLM can be downloaded from https://github.com/anyifang/e-AWBLM. The source code of coupled SWAN and ADCIRC can be requested at https://adcirc.org. The source code of Cama-Flood can be downloaded from http://hydro.iis.u-tokyo.ac.jp/~yamadai/cama-flood. The source code of VIC can be downloaded from https://github.com/UW-Hydro/VIC.

Data availability. Meteorological forcings on ocean and land were obtained at https://cds.climate.copernicus.eu/datasets/derived-era5-land-daily-statistics?tab=overview. https://cds.climate.copernicus.eu/datasets/derived-era5-land-daily-statistics?tab=overview.

- Tropical cyclone parameters were obtained at https://tcdata.typhoon.org.cn/. Bathymetry of the South China Sea was obtained at https://download.gebco.net/. The shoreline of South China SeaLand elevation was obtained at https://www.ngdc.noaa.gov/mgg/shorelines/shorelines.html. The outline of the river network at Pearl River Delta is obtained from https://www.openstreetmap.org. Land elevation was obtained at
- https://data.bris.ac.uk/data/dataset/25wfy0f9ukoge2gs7a5mqpq2j7. The land cover type was obtained at https://zenodo.org/records/5210928. Surface vegetation type was obtained at https://app.earth-observer.org/data/basemaps/images/global/LandCover_512/LandCoverUMD_512.html. Soil type was obtained at https://zenodo.org/records/2525817. Tide information was obtained at
- https://g.hyyb.org/archive/Tide/TPXO/TPXO WEB/

430

435

Author contributions. Conceptualization, methodology: AZ and XY; Formal analysis, validation, visualization and writing (original draft): AZ. Project management, writing (review and editing): XY. All the authors have read and agreed to the submitted version of the paper

Competing interests. The contact author has declared that none of the authors has any competing interests.

Acknowledgments. We thank Prof. Dai Yamazaki for installing the Cama-flood model. We also thank Dr. Yue Xu and Prof. J C Dietrich for their support in running coupled SWAN and ADCIRC. We want to thank two anonymous reviewers for their valuable comments, which have significantly enhanced the quality of our paper.

Financial support. This study is financially supported by the National Natural Science Foundation of China under Grant No. 41961144014.

References

- Bakhtyar, R., Maitaria, K., Velissariou, P., Trimble, B., Mashriqui, H., Moghimi, S., Abdolali, A., Van der Westhuysen, A., Ma, Z., and Clark, E.: A new 1D/2D coupled modeling approach for a riverine estuarine system under storm events: Application to Delaware River Basin, J.
- Geophys. Res.-Oceans, 125, e2019JC015822, https://doi.org/10.1029/2019JC015822, 2020.
 Bilskie, M. and Hagen, S.: Defining flood zone transitions in low gradient coastal regions,
 Geophys. Res. Lett., 45, 2761-2770, https://doi.org/10.1002/2018GL077524, 2018.
 Bilskie, M. V., Zhao, H., Resio, D., Atkinson, J., Cobell, Z., and Hagen, S. C.: Enhancing flood hazard assessments in coastal Louisiana through coupled hydrologic and surge processes, Front.
- Water, 3, 609231, https://doi.org/10.3389/frwa.2021.609231, 2021.

 Booij, N., Ris, R. C., and Holthuijsen, L. H.: A third generation wave model for coastal regions: 1. Model description and validation, J. Geophys. Res.-Oceans, 104, 7649-7666, https://doi.org/10.1029/98JC02622, 1999.
 - Brakenridge, G. R., Syvitski, J., Overeem, I., Higgins, S., Kettner, A., Stewart-Moore, J., and
- Westerhoff, R.: Global mapping of storm surges and the assessment of coastal vulnerability, Nat. Hazards, 66, 1295-1312, https://doi.org/10.1007/s11069-012-0317-z, 2013.
 - Bretschneider, C. L.: A non-dimensional stationary hurricane wave model, Offshore Technology Conference, Houston, Tex, 10.4043/1517-MS, 1972.
- Carr III, L. E. and Elsberry, R. L.: Models of tropical cyclone wind distribution and beta-effect propagation for application to tropical cyclone track forecasting, Mon. Weather Rev., 125, 3190-3209, https://doi.org/10.1175/1520-0493(1997)125 3190:MOTCWD>2.0.CO;2., 1997.
 - Chan, F. K. S., Yang, L. E., Scheffran, J., Mitchell, G., Adekola, O., Griffiths, J., Chen, Y., Li, G., Lu, X., and Qi, Y.: Urban flood risks and emerging challenges in a Chinese delta: The case of the Pearl River Delta, Environ. Sci. Policy, 122, 101-115,
- 465 https://doi.org/10.1016/j.envsci.2021.04.009, 2021.

- Chen, Y. and Yu, X.: Enhancement of wind stress evaluation method under storm conditions, Clim. Dyn., 47, 3833-3843, https://doi.org/10.1007/s00382-016-3044-4, 2016.
- Cosby, B., Hornberger, G., Clapp, R., and Ginn, T.: A statistical exploration of the relationships of soil moisture characteristics to the physical properties of soils, Water Resour. Res., 20, 682-
- 470 690, https://doi.org/10.1029/WR020i006p00682, 1984.
 - Deb, M., Sun, N., Yang, Z., Wang, T., Judi, D., Xiao, Z., and Wigmosta, M. S.: Interacting effects of watershed and coastal processes on the evolution of compound flooding during Hurricane Irene, Earth's Future, 11, e2022EF002947, https://doi.org/10.1029/2022EF002947, 2023.
- Dietrich, J. C., Tanaka, S., Westerink, J. J., Dawson, C. N., Luettich, R., Zijlema, M., Holthuijsen, L. H., Smith, J., Westerink, L., and Westerink, H.: Performance of the unstructured-mesh, SWAN+ ADCIRC model in computing hurricane waves and surge, J. Sci. Comput., 52, 468-497, https://doi.org/10.1007/s10915-011-9555-6, 2012.
- Du, H., Fei, K., Wu, J., and Gao, L.: An integrative modelling framework for predicting the compound flood hazards induced by tropical cyclones in an estuarine area, Environ. Modell. Softw., 105996, https://doi.org/10.1016/j.envsoft.2024.105996., 2024.
 - Egbert, G. D. and Erofeeva, S. Y.: Efficient inverse modeling of barotropic ocean tides, J. Atmos. Ocean. Technol., 19, 183-204, https://doi.org/10.1175/1520-0426(2002)019%3C0183:EIMOBO%3E2.0.CO;2, 2002.
- Emanuel, K. and Rotunno, R.: Self-stratification of tropical cyclone outflow. Part I: Implications for storm structure, J. Atmos. Sci., 68, 2236-2249, https://doi.org/10.1175/JAS-D-10-05024.1, 2011.
 - Fang, J., Wahl, T., Fang, J., Sun, X., Kong, F., and Liu, M.: Compound flood potential from storm surge and heavy precipitation in coastal China: dependence, drivers, and impacts, Hydrol.
- Earth Syst. Sci., 25, 4403-4416, https://doi.org/10.5194/hess-25-4403-2021, 2021. Feng, D., Tan, Z., Engwirda, D., Liao, C., Xu, D., Bisht, G., Zhou, T., Li, H.-Y., and Leung, L. R.: Investigating coastal backwater effects and flooding in the coastal zone using a global river transport model on an unstructured mesh, Hydrol. Earth Syst. Sci., 26, 5473-5491, https://doi.org/10.5194/hess-26-5473-2022, 2022.
- Franchini, M. and Pacciani, M.: Comparative analysis of several conceptual rainfall-runoff models, J. Hydrol., 122, 161-219, https://doi.org/10.1016/0022-1694(91)90178-K, 1991.

- Garratt, J.: Review of drag coefficients over oceans and continents, Mon. Weather Rev., 105, 915-929, https://doi.org/10.1175/1520-0493(1977)105<0915:RODCOO>2.0.CO;2, 1977.
- Georgiou, P., Davenport, A. G., and Vickery, B.: Design wind speeds in regions dominated by tropical cyclones, J. Wind Eng. Ind. Aerodyn., 13, 139-152, https://doi.org/10.1016/0167-6105(83)90136-8, 1983.
 - Gori, A., Lin, N., and Smith, J.: Assessing compound flooding from landfalling tropical cyclones on the North Carolina coast, Water Resour. Res., 56, e2019WR026788, https://doi.org/10.1029/2019WR026788, 2020a.
- Gori, A., Lin, N., and Xi, D.: Tropical cyclone compound flood hazard assessment: From investigating drivers to quantifying extreme water levels, Earth's Future, 8, e2020EF001660, https://doi.org/10.1029/2020EF001660, 2020b.
 - Hamman, J. J., Nijssen, B., Bohn, T. J., Gergel, D. R., and Mao, Y.: The Variable Infiltration Capacity model version 5 (VIC-5): Infrastructure improvements for new applications and
- reproducibility, Geosci. Model Dev., 11, 3481-3496, https://doi.org/10.5194/gmd-11-3481-2018, 2018.
 - Hansen, M. C., DeFries, R. S., Townshend, J. R., and Sohlberg, R.: Global land cover classification at 1 km spatial resolution using a classification tree approach, Int. J. Remote Sens., 21, 1331-1364, https://doi.org/10.1080/014311600210209, 2000.
- Hawker, L., Uhe, P., Paulo, L., Sosa, J., Savage, J., Sampson, C., and Neal, J.: A 30 m global map of elevation with forests and buildings removed, Environ. Res. Lett., 17, 024016, 2022.
 Hendry, A., Haigh, I. D., Nicholls, R. J., Winter, H., Neal, R., Wahl, T., Joly-Laugel, A., and Darby, S. E.: Assessing the characteristics and drivers of compound flooding events around the UK coast, Hydrol. Earth Syst. Sci., 23, 3117-3139, https://doi.org/10.5194/hess-23-3117-2019,
 2019.
 - Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz Sabater, J., Nicolas, J., Peubey, C., Radu, R., and Schepers, D.: The ERA5 global reanalysis, Q J R Meteorol Soc, 146, 1999-2049, https://doi.org/10.1002/qj.3803, 2020.
 - Holland, G. J.: An Analytic Model of the Wind and Pressure Profiles in Hurricanes, Mon.
- 525 Weather Rev., 108, 1212-1218, <a href="https://doi.org/10.1175/1520-0493(1980)108<1212:AAMOTW>2.0.CO;2, 1980.">https://doi.org/10.1175/1520-0493(1980)108<1212:AAMOTW>2.0.CO;2, 1980.

- Jelesnianski, C. P.: Numerical computations of storm surges without bottom stress, Mon. Weather Rev., 94, 379-394, https://doi.org/10.1175/1520-0493(1966)094<0379:NCOSSW>2.3.CO;2, 1966.
- Lai, Y., Li, J., Gu, X., Liu, C., and Chen, Y. D.: Global compound floods from precipitation and storm surge: Hazards and the roles of cyclones, J. Clim., 34, 8319-8339, https://doi.org/10.1175/JCLI-D-21-0050.1, 2021. Lee, C., Hwang, S., Do, K., and Son, S.: Increasing flood risk due to river runoff in the estuarine area during a storm landfall, Estuar. Coast. Shelf Sci., 221, 104-118,
- https://doi.org/10.1016/j.ecss.2019.03.021, 2019.
 Liang, X., Lettenmaier, D. P., Wood, E. F., and Burges, S. J.: A simple hydrologically based model of land surface water and energy fluxes for general circulation models, J. Geophys. Res.-Atmos., 99, 14415-14428, https://doi.org/10.1029/94JD00483, 1994.
- Lin, N., Emanuel, K., Oppenheimer, M., and Vanmarcke, E.: Physically based assessment of hurricane surge threat under climate change, Nat. Clim. Chang., 2, 462-467, https://doi.org/10.1038/nclimate1389, 2012.
 - Longuet-Higgins, M. S. and Stewart, R.: Radiation stresses in water waves; a physical discussion, with applications, Deep sea research and oceanographic abstracts, 11, 529-562, https://doi.org/10.1016/0011-7471(64)90001-4, 1964.
- Lu, X., Zhuang, Q., Liu, Y., Zhou, Y., and Aghakouchak, A.: A large scale methane model by incorporating the surface water transport, J. Geophys. Res.-Biogeosci., 121, 1657-1674, https://doi.org/10.1002/2016JG003321, 2016.
 - Luettich, R. A., Westerink, J. J., and Scheffner, N. W.: ADCIRC: An Advanced Three-Dimensional Circulation Model for Shelves, Coasts, and Estuaries. Report 1. Theory and
- Methodology of ADCIRC-2DDI and ADCIRC-3DL, Coastal Engineering Research Center, Vicksburg, Mississippi, 1992.
 - Mattocks, C. and Forbes, C.: A real-time, event-triggered storm surge forecasting system for the state of North Carolina, Ocean Model., 25, 95-119, https://doi.org/10.1016/j.ocemod.2008.06.008, 2008.
- Moftakhari, H. R., Salvadori, G., AghaKouchak, A., Sanders, B. F., and Matthew, R. A.: Compounding effects of sea level rise and fluvial flooding, Proc. Natl. Acad. Sci., 114, 9785-9790, https://doi.org/10.1073/pnas.1620325114, 2017.

- Powell, M. D., Houston, S. H., and Reinhold, T. A.: Hurricane Andrew's landfall in south Florida. Part I: Standardizing measurements for documentation of surface wind fields, Weather Forecast, 11, 304-328, https://doi.org/10.1175/1520-0434(1996)011https://doi.org/10.1175/1520-0434(1996)011h
 - Qiu, J., Liu, B., Yang, F., Wang, X., and He, X.: Quantitative Stress Test of Compound Coastal Fluvial Floods in China's Pearl River Delta, Earth's Future, 10, e2021EF002638, https://doi.org/10.1029/2021EF002638, 2022.
- Revel, M., Zhou, X., Yamazaki, D., and Kanae, S.: Assimilation of transformed water surface elevation to improve river discharge estimation in a continental-scale river, Hydrol. Earth Syst. Sci., 27, 647-671, https://doi.org/10.5194/hess-27-647-2023, 2023.
 - Roberts, K. J., Pringle, W. J., and Westerink, J. J.: OceanMesh2D 1.0: MATLAB-based software for two-dimensional unstructured mesh generation in coastal ocean modeling, Geosci. Model
- 570 Dev., 12, 1847-1868, https://doi.org/10.5194/gmd-12-1847-2019, 2019.
 - Satoh, M.: Atmospheric circulation dynamics and general circulation models, Springer Science & Business Media, 10.1007/978-3-642-13574-3, 2013.
 - Tellman, B., Sullivan, J. A., Kuhn, C., Kettner, A. J., Doyle, C. S., Brakenridge, G. R., Erickson, T. A., and Slayback, D. A.: Satellite imaging reveals increased proportion of population exposed to floods, Nature, 596, 80-86, https://doi.org/10.1038/s41586-021-03695-w, 2021.
 - Tomislav, H.: Soil texture classes (USDA system) for 6 soil depths (0, 10, 30, 60, 100 and 200 cm) at 250 m (Version v02) (v02) [dataset], 10.5281/zenodo.1475451, 2018.
 - Twarakavi, N. K., Šimůnek, J., and Schaap, M.: Can texture based classification optimally classify soils with respect to soil hydraulics?, Water Resour. Res., 46,
- 580 <u>https://doi.org/10.1029/2009WR007939</u>, 2010.

- Wahl, T., Jain, S., Bender, J., Meyers, S. D., and Luther, M. E.: Increasing risk of compound flooding from storm surge and rainfall for major US cities, Nat. Clim. Chang., 5, 1093-1097, https://doi.org/10.1038/nclimate2736, 2015.
- Willoughby, H. and Rahn, M.: Parametric representation of the primary hurricane vortex. Part I: Observations and evaluation of the Holland (1980) model, Mon. Weather Rev., 132, 3033-3048, https://doi.org/10.1175/MWR2831.1, 2004.

- Xu, H., Ragno, E., Jonkman, S. N., Wang, J., Bricker, J. D., Tian, Z., and Sun, L.: Combining statistical and hydrodynamic models to assess compound flood hazards from rainfall and storm surge: a case study of Shanghai, Hydrol. Earth Syst. Sci., 2023, 1-17, 2023.
- Xu, Y. and Yu, X.: Enhanced atmospheric wave boundary layer model for evaluation of wind stress over waters of finite depth, Prog. Oceanogr., 198, 102664, https://doi.org/10.1016/j.pocean.2021.102664, 2021.
 - Xu, Y. and Yu, X.: Dynamic interdependence of wind stress and sea state under action of a tropical cyclone moving from deep to shallow waters, Ocean Dyn., 73, 639-661,
- 595 https://doi.org/10.1007/s10236-023-01574-8, 2023.
 - Yamazaki, D., Kanae, S., Kim, H., and Oki, T.: A physically based description of floodplain inundation dynamics in a global river routing model, Water Resour. Res., 47, https://doi.org/10.1029/2010WR009726, 2011.
 - Yamazaki, D., O'Loughlin, F., Trigg, M. A., Miller, Z. F., Pavelsky, T. M., and Bates, P. D.:
- Development of the global width database for large rivers, Water Resour. Res., 50, 3467-3480, https://doi.org/10.1002/2013WR014664, 2014.
 - Yang, J. and Huang, X.: 30 m annual land cover and its dynamics in China from 1990 to 2019, Earth Syst. Sci. Data, 2021, 1-29, https://doi.org/10.5194/essd-13-3907-2021, 2021.
 - Yang, J., Li, L., Zhao, K., Wang, P., Wang, D., Sou, I. M., Yang, Z., Hu, J., Tang, X., and Mok,
- K. M.: A comparative study of Typhoon Hato (2017) and Typhoon Mangkhut (2018)—Their impacts on coastal inundation in Macau, J. Geophys. Res.-Oceans, 124, 9590-9619, https://doi.org/10.1029/2019JC015249, 2019.
 - Zhang, A. and Yu, X.: A Major Improvement of Atmospheric Wave Boundary Layer Model for Storm Surge Modeling by Including Effect of Wave Breaking on Air-Sea Momentum Exchange,
- J. Phys. Oceanogr., https://doi.org/10.1175/JPO-D-23-0233.1, 2024.
 - Zhang, P., Yang, Q., Wang, H., Cai, H., Liu, F., Zhao, T., and Jia, L.: Stepwise alterations in tidal hydrodynamics in a highly human-modified estuary: The roles of channel deepening and narrowing, J. Hydrol., 597, 126153, https://doi.org/10.1016/j.jhydrol.2021.126153, 2021.
- Zhong, M., Xiao, L., Li, X., Mei, Y., Jiang, T., Song, L., and Chen, X.: A study on compound flood prediction and inundation simulation under future scenarios in a coastal city, J. Hydrol.,
- 628, 130475, https://doi.org/10.1016/j.jhydrol.2023.130475, 2024.

Zscheischler, J., Martius, O., Westra, S., Bevacqua, E., Raymond, C., Horton, R. M., van den Hurk, B., AghaKouchak, A., Jézéquel, A., and Mahecha, M. D.: A typology of compound weather and climate events, Nat. Rev. Earth Environ., 1, 333-347,

620 <u>https://doi.org/10.1038/s43017-020-0060-z</u>, 2020.