



Counteracting Influences of Gravitational Settling Modulate Aerosol Impacts on Cloud Base Lowering Fog Characteristics

Nathan H. Pope¹ and Adele L. Igel¹

¹University of California, Davis

Correspondence: Nathan H. Pope (nhpope@ucdavis.edu)

Abstract.

One common process for marine fog formation is cloud base lowering (CBL), which is frequently observed, for example, off the coast of California and in Canada's Grand Banks, as well as other foggy ocean regions. While previous studies have extensively examined the meteorological controls on CBL fog, its microphysical characteristics have received comparatively less attention. We employ PAFOG, a single-column model, to investigate the interplay among aerosols, microphysics, and CBL fog evolution under diverse meteorological conditions. We find that lower aerosol concentrations make fog formation more probable, but that if fog does form, fog water concentrations are lower. Particularly at low aerosol concentration, lower aerosol concentrations lead to earlier fog formation due to faster gravitational settling of larger droplets, which serves to flux moisture downward. Faster gravitational settling (among other mechanisms at low aerosol concentration) also suppresses entrainment at cloud top which aids in keeping the liquid water path high. However, faster gravitational settling also limits the fog water concentration through faster liquid deposition to the surface. It is these counteracting influences of gravitational settling that appear to cause both prolonged fog duration and suppressed fog water concentration. The relative strength of these counteracting influences depends on the environmental conditions.

1 Introduction

Marine fog poses a significant meteorological hazard, and its annual economic impact can rival that of hurricanes (Gultepe et al., 2007). A disproportionate number of maritime accidents occur in foggy conditions, including the tragic sinking of the RMS Titanic (Koračin et al., 2014). The complexity of marine fog formation mechanisms makes modeling and forecasting marine fog a challenge (Leipper, 1994; Lewis et al., 2004; Koračin et al., 2014; Koračin, 2017).

During a study of fog along the California coast, Anderson (1931) observed that turbulent mixing within pre-existing stratus clouds could lower the cloud base, leading to fog formation. Subsequent research by Oliver et al. (1978) and Pilić et al. (1979) investigated this phenomenon, referred to as "stratus lowering fog" or cloud base lowering (CBL) fog, and identified it as one of the most prevalent fog formation mechanisms in California. Additionally, data from the 2018 C-FOG campaign (Fernando et al., 2021) indicated the common occurrence of CBL in the Grand Banks Dorman et al. (2021). Notably, the California coast and the Grand Banks represent two types of regions highly susceptible to marine fog, characterized by cold coastal upwelling (California) or cold protected waters with nearby western ocean boundary currents (Grand Banks) (Lewis et al., 2004; Gultepe



et al., 2007; Koračín, 2017). Furthermore, it is worth noting that land-based fog also frequently forms through CBL (Haeffelin et al., 2010). Therefore, we think it is plausible that CBL serves as one of the predominant mechanisms for fog formation on a global scale.

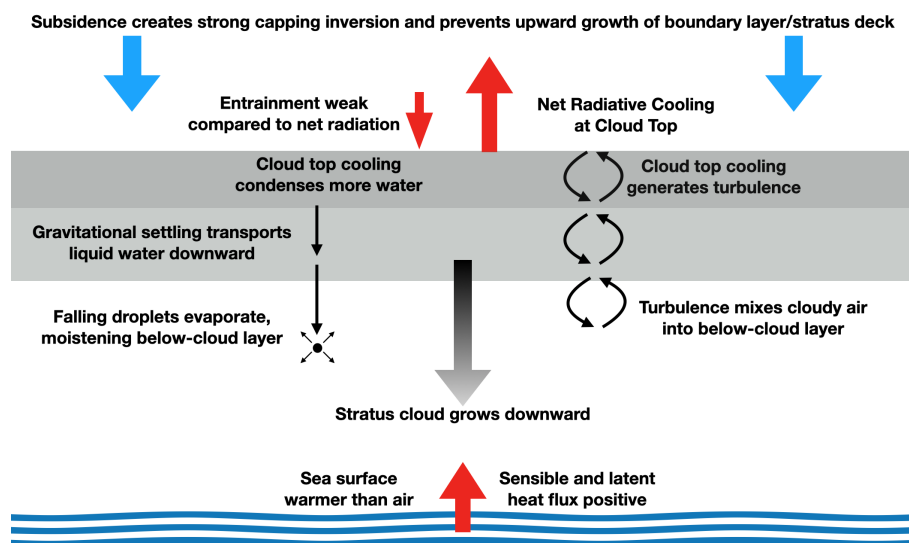


Figure 1. Schematic adapted from Pilić et al. (1979) representing the processes involved in CBL fog formation

Since stratus lowering is one of the most common fog formations in the region, many studies on stratus lowering fog have focused on the California coast (Pilić et al. (1979), Leipper (1994), Koračín et al. (2001), Koračín et al. (2005)). During the summer months, the North Pacific high creates a large anti-cyclonic system that generates upper-level offshore flow at the California coast, which drives subsiding air that and caps a boundary layer. The cool sea surface temperatures limit surface heat fluxes, leading to a shallow boundary layer that is favorable for CBL fog formation (Leipper (1994), Lewis et al. (2004), Koračín et al. (2014), Koračín (2017)). Studies indicate that radiative cloud-top cooling is the primary driver of CBL along the California coast which strengthens at night with the lack of solar heating and drives fog formation in the early morning (Pilić et al. (1979), Leipper (1994), Koračín et al. (2001), Koračín et al. (2005)). The findings of Wagh et al. (2021) for Atlantic Canada agree, noting that stratus lowering fog cases during the C-FOG campaign were related to cloud top cooling, stability, and entrainment at the top of the boundary layer. Although the sea surface is cool, studies have noted that the air is nonetheless cooler than the sea surface during CBL events. The sea surface fluxes both sensible and latent heat into the boundary layer, counteracting moisture loss due to entrainment and supporting turbulent mixing (Pilić et al., 1979; Koračín et al., 2001; Lewis et al., 2003). Though differential advection can play a role in the setup of a CBL fog case (Wagh et al., 2021), it is typically conceived of as a Lagrangian process occurring within a column (Pilić et al. (1979); Koračín et al. (2001)). As long as the conditions of a subsidence-capped boundary layer and net cooling of the boundary layer persist, the cloud base will tend to lower. As a result, (Koračín et al., 2001) posits that back-trajectories, or the path that an air parcel took and the conditions it experienced along that path, are the primary predictor of fog formation through CBL. Lewis et al. (2003) and Koračín et al.



(2001) both find that a cloudy air mass that remains offshore for a long time will tend to form fog, thus fog on the California coast can be predicted by wind patterns and CBL conditions along the Lagrangian path of an air mass. A diagram summarizing the processes involved in fog formation through CBL adapted from Pilié et al. (1979) is shown in figure 1.

This line of research largely ignores the degree to which the microphysical properties of the stratus clouds can influence the rate of cloud base descent. Microphysical impacts on fog have been investigated through observational (Gultepe et al. (1996), Duynkerke (1999), Zhao et al. (2013), Haeffelin et al. (2010), Niu et al. (2012)) and modeling (Gultepe and Milbrandt (2007), Tardif and Rasmussen (2010), Pope and Igel (2023)) studies. Gultepe and Milbrandt (2007) found that accurate parameterization of microphysical properties helped improve the accuracy of fog simulations. Studies on radiation fog on land find that higher aerosol concentration and larger diameter favor fog formation (Koračin (2017), Boutle et al. (2018), Stolaki et al. (2015)), but the dependence varies based on the type of fog being considered (Niu et al., 2012). Haeffelin et al. (2010) found that radiation fog and CBL fog near Paris probably had different sensitivities to microphysics. Pope and Igel (2023) indicates that marine fog formed through CBL is enhanced by lower aerosol concentrations due to greater evaporation below cloud base. Uncertainty around the microphysical sensitivity of different types of marine fog can limit accuracy of forecasts because assumptions made about microphysics within the models not be applicable to certain marine fog scenarios. Oliver et al. (1978) and Pilié et al. (1979) commented on the microphysical processes behind CBL fog. In particular, Pilié et al. (1979) noted that, though radiative cooling drove the downward propagation of cloud base, the propagation itself results from a combination of turbulent mixing and droplet settling. Dupont et al. (2012) note that high Doppler velocities in observed CBL fog cases point towards gravitational settling as a major driver of CBL.

While it is understood that aerosols can impact fog formed through cloud base lowering and that the response of CBL fog to aerosols is probably different to that of radiation fog, the relationship between aerosol and CBL fog is not well understood. This study uses a single column model to conduct a modeling experiment that tests how CBL fog responds to aerosol concentration. In addition to studying the relationship between aerosol and fog, our aim is examine the microphysical processes of marine CBL fogs as well as the relationship between microphysics and meteorology. By examining these relationships in detail, we can better understand the physical processes that drive cloud base lowering, and this knowledge can be applied to fog modeling and forecasting more generally.

2 Methods

2.1 Model and Parameterizations

PAFOG is a single column model (SCM) developed by Bott and Trautmann (2002). It uses a 2.5 level Yamada scheme for turbulence (Mellor and Yamada (1974); Mellor and Yamada (1982)), a surface scheme based off of Mccumber and Pielke (1981), and a δ -two stream radiation approximation from Zdunkowski et al. (1982). PAFOG includes a 2-D spectral bin microphysics scheme, MISTRA (Bott et al., 1996). MISTRA is a computationally-expensive microphysics scheme that can be afforded due to the computational cost savings of SCMs. In MISTRA, there is no distinction between aerosol particles and droplets. Particles are binned by the overall size of the droplet and by the aerosol particle dry size. As such, MISTRA does not



rely on a distinction between activated and non-activated droplets when calculating the droplet size distribution, and 2-D bin schemes have a particular advantage over 1-D schemes due to their ability to account for solute effects for both unactivated and activated particles. In a series of experiments, PAFOG was embedded within WRF and significantly improved fog simulations relative to WRF alone (Kim and Yum, 2013; Kim et al., 2020b, a). In a case study based upon data from the 2018 C-FOG campaign in the Grand Banks, PAFOG coupled with ERA5 advection terms performed well (Chen et al., 2021). PAFOG with MISTRA can recreate microphysical processes with a high level of fidelity, allowing for a detailed study of the response of CBL fog to microphysics. Specifically, it helps facilitate investigation into how changes to the microphysical properties of the fog propagate through into the evolution and life-cycle of a fog event.

For our experiment, we set up PAFOG to create an idealized case in which a persistent stratus deck lowers at its base, becomes fog, and then rises back up over the course of 24 hours. Fog is defined as occurring when the lowest model level is cloudy, and cloud is defined by a liquid water mass concentration of 0.01 g/kg when only hydrometeors with radii greater than 1 micron and less than 40 microns are considered. Relative to a fog identification based on visibility, this CWC-based definition will tend to identify more fog at lower aerosol concentration due to the relationship between N_a , droplet size, and visibility. However, removing the dependence of fog identification on the droplet size distribution gives us a simpler metric to use in this experiment. The entire modeled column has a height of 2400 m and a grid spacing of 4 m. We use a 2 second time-step. The initialization includes a 280 m thick boundary layer capped by an 8 K step inversion. Air subsides at 3 mm/s above the boundary layer, and the water vapor mixing ratio above the boundary layer is 3.5 g/kg. The boundary layer itself is very moist, with a water mixing ratio of 9.2 g/kg and a potential temperature of 12 °C at the start of the initialization. The initialization run begins at 1400 UTC because initial tests showed this time to be associated with the daily maximum of cloud base height. The solar radiation is consistent with late spring at 38°N and the sea surface temperature is 13°C. These values are meant to be broadly representative of coastal California. The remaining parameters are chosen primarily to ensure that the model produces a stable cloud layer that does not tend towards growth or dissipation over a simulation time of 60 hours.

For all simulations, we configured MISTRA with 40 aerosol size bins and 80 droplet size bins. The bins are logarithmically-spaced. Aerosol size bins have minimum radii ranging from 0.01 μm to 2.1 μm , while droplet size bins have minimum radii from 0.01 μm to 500 μm . The aerosol distribution is triple-peaked, with each peak represented as a log-normal distribution. The distribution is based on the marine aerosol distributions described in Fitzgerald (1991). The aerosol number distribution is given by:

$$\frac{dN}{d\log r} = 1.01N_a e^{-\left(\frac{\log_{10}\left(\frac{r}{0.03}\right)}{0.25}\right)^2} + 1.04N_a e^{-\left(\frac{\log_{10}\left(\frac{r}{0.1}\right)}{0.3}\right)^2} + 0.002N_a e^{-\left(\frac{\log_{10}\left(\frac{r}{0.8}\right)}{0.35}\right)^2} \quad (1)$$

where r is the particle radius in microns and N_a is the total aerosol concentration. If we define the Aitken mode as particles with diameters between 0.01 and 0.1 μm , accumulation mode between 0.1 and 1 μm , and coarse mode as having diameters greater than 1 μm , then we find that 45.7% of particles are in the Aitken mode, 54.2% are in the accumulation mode, and 0.1% are coarse mode aerosols. All particles are assumed to be ammonium sulfate. Aerosol concentration is initially constant throughout the modeled column. Activated droplets comprise the majority of cloud water by mass when cloud water is greater than 0.01 g/kg, though some non-activated droplets are large enough to be considered cloud water. Because we want to focus



115 this study on the indirect effects of aerosols, we suppress the direct radiative impact of aerosols above the boundary layer top. As such, the full particle spectrum is used for radiation calculations within and below the cloud/boundary layer, but aerosols above the inversion do not substantially impact the radiation budget at cloud top.

2.2 Experimental Design

We vary four parameters for our experiment to generate 1,250 test simulations. To mitigate model spin-up effects, each experiment is initialized with from an initialization run at 48 hours. At the time of the restart for the experiments, that is, the initial time of each experimental simulation, the potential temperature at 2 m is 11.5 °C and water mixing ratio is 8.45 g/kg. 120 After 10 minutes, cloud base is between 120 and 140 m depending upon the input parameters. Each experiment is run for 24 hours.

Three of the parameters modify the meteorological background in which our fog case is forming. The first of these "background" variables is the subsidence velocity above the boundary layer (w_{sub}) from 2.5 mm/s to 3.5 mm/s (Wood and Bretherton (2004)). The second is the geostrophic wind (U_g) which is varied from 5.0 m/s to 15.0 m/s. We evaluated and found that 125 applying both w_{max} and U_g as step changes to the velocity field had minimal adverse impacts on the simulations. Wind and turbulence quickly stabilized. In addition to these two parameters, we vary the rate of change of the sea surface temperature (dT_{surf}) from -2 to +2 K/day. This change mimics the effects of advection of the fog over a sea surface temperature gradient. This range is modest in a near-shore context, but is wide enough to begin to see its impact, particularly later in the simulation. All three of these background parameters have five possible values. Finally, we vary N_a , the aerosol concentration, in 10 (non- 130 constant) steps from 100 cm^{-3} to 1500 cm^{-3} . N_a acts as the independent variable for evaluating the impact of microphysics on our fog case. Table 1 shows all the values of each input parameter. Boldfaced values are used in the initialization run and constitute the experiment's "base case."

Parameter	Values				
N_a	100 cm^{-3}	150 cm^{-3}	200 cm^{-3}	300 cm^{-3}	400 cm^{-3}
...	500 cm^{-3}	750 cm^{-3}	1000 cm^{-3}	1250 cm^{-3}	1500 cm^{-3}
U_g	5.0 m/s	7.5 m/s	10.0 m/s	12.5 m/s	15.0 m/s
dT_{surf}	-2 K/day	-1 K/day	0 K/day	1 K/day	2 K/day
w_{sub}	2.50 mm/s	2.75 mm/s	3.00 mm/s	3.25 mm/s	3.50 mm/s

Table 1. Table showing input parameter values. There are 1,250 total simulations in the experiment. **Boldfaced** values are used in the initialization run and constitute the experiment's "base case."



3 Results and Discussion

3.1 Overall Response

135 We first look at fog density and thickness. We quantify fog density with the surface cloud water content (CWC). Figure 2 shows
the maximum surface CWC and the liquid water path at the time that maximum surface CWC occurs. All points included in
this plot correspond to simulations that produced fog. As such, maximum surface CWC has a minimum value of 0.01 g/kg.
Under adiabatic conditions, one would expect that the relationship between liquid water path and maximum surface CWC
depends only on cloud top height and temperature. However, the relationship between cloud top height, liquid water path, and
140 surface CWC is complicated by the non-adiabatic distribution of liquid water within the cloud, particularly near the surface,
which is affected by turbulence as well as by gravitational settling.

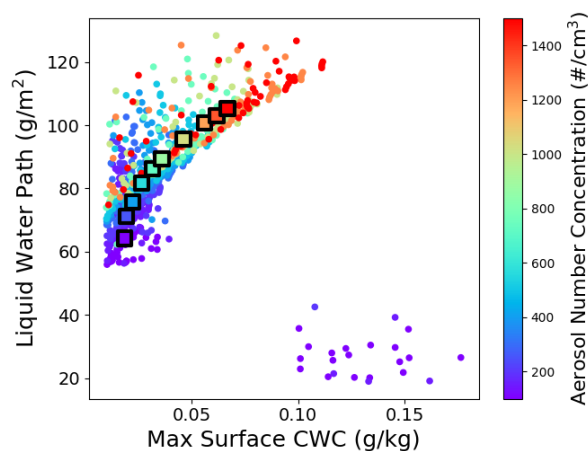


Figure 2. Scatter plot of maximum surface CLWC and liquid water path at the time of maximum surface CLWC for all simulations that produced fog. Points are colored by N_a . Note the well-separated group of points at the lower-right of the plot. In addition to low N_a , simulations in this outlier group had a cooling surface and typically low U_g . Square points with black borders represent mean values for each aerosol concentration with the outlier points excluded.

Figure 2 shows that in general the liquid water path increases as the maximum surface CWC increases as would be expected. It also shows that the maximum surface CWC and liquid water path of fog is typically enhanced by higher N_a . Figure 2 also elucidates the existence of "outlier" simulations. A subset of simulations with low N_a and a cooling surface formed dense
145 but atypically shallow fog layers. These simulations all ended with a much thinner boundary layer than they began with. The height of the inversion lowers by at least 100 m, with the lowering taking place after nightfall. Once fog forms in these simulations, it does not dissipate prior to simulation end. We suspect that the combination of input parameters present in these simulations, particularly the stabilizing influence of a cooling sea surface, suppresses mixing and causes the boundary layer to collapse. Despite being physically reasonable the gap between these outlier simulations and their non-outlier neighbors in



150 input parameter space is indicative of a some kind of discontinuity. In light of this, we perform the rest of this analysis with the outlier simulations removed unless otherwise noted.

Results regarding the frequency and duration of fog are summarized in Figure 3. Figure 3a shows the fraction of simulations that formed fog as a function of N_a and Figure 3 b shows the mean fog duration. Mean fog duration is calculated with non-foggy simulations included with a duration of zero. Figure 3c shows mean fog duration versus aerosol concentration averaged
155 over background condition subsets (combinations of U_g , w_{max} , and SST rate of change) in which all simulations form fog. For example, the "10 sims" line includes background conditions where simulations were foggy at all 10 aerosol concentrations, the "9 sims" line is for background conditions where only the highest aerosol condition was non-foggy, and so on down to the "6 sim" line, where no fog formed at aerosol concentrations greater than the "base case" value of $500 /cm^3$. The purpose of this additional analysis is to remove the influence of non-foggy simulations on the average fog duration.

160 Figure 3a shows that overall, greater aerosol concentration made fog less likely to form. Figure 3b shows that the mean fog duration (including non-foggy simulations) also decreases with increasing aerosol concentration. Fog formation fraction and mean fog duration line up well with each other and possibly suggests that increasing aerosol concentration makes fog less likely to form but does not impact the duration of fog that does form. However, figure 3c shows that aerosol concentration impacts both the likelihood of fog formation and the duration of fog that forms. Duration changes are largely driven by changes in
165 the onset time rather than changes in the dissipation time (not shown). In background conditions where only the highest aerosol concentrations did not form fog, fog duration decreased with increasing aerosol concentration. Therefore, increasing aerosol concentration makes fog less likely to form and tends to reduce the duration of fog that does form. This contrasts with fog density and thickness, which is enhanced by increased aerosol concentration (Fig. 2). Previous work in Pope and Igel (2023) indicated a trade-off between fog area and maximum fog density when varying microphysics parameters only, with
170 lower aerosol concentrations and wider DSD's leading to more widespread but less dense fog. That experiment used a double-moment bulk scheme and modeled 3-D space for a fog event related to the passage of a cyclonic system through the Canadian Grand Banks region. The fact that the same relationship is observed in these two very different modeling experiments, whose primary similarity is that they contain a fog event formed through cloud base lowering, lends weight to the supposition that a trade-off exists outside of models. A more detailed discussion of this phenomenon can be found in Section 3.2.

175 Figure 3 shows that, over the parameter ranges tested in this experiment, both fog formation likelihood and fog duration were least sensitive to the SST rate of change and most sensitive to the geostrophic wind speed making N_a neither the most nor least important factor. Geostrophic wind impacted average fog formation likelihood by nearly a factor of four, with over 95% of simulations with 10 m/s geostrophic wind forming fog while only 26% of simulations with 15 m/s geostrophic wind did the same. N_a , meanwhile, impacted fog formation likelihood by a just under a factor of two. Additionally, geostrophic wind speed modified the response of simulation fogginess to aerosol. The odds of fog formation and expected fog duration in the low
180 wind cases were not strongly impacted by aerosol concentration, deviating from the high-wind cases in which higher aerosol concentrations strongly inhibited fog formation. The inverse is also true, and aerosol concentration affected the response of fog to geostrophic wind. Both fog formation likelihood and fog duration were less sensitive to geostrophic wind at low aerosol concentrations.

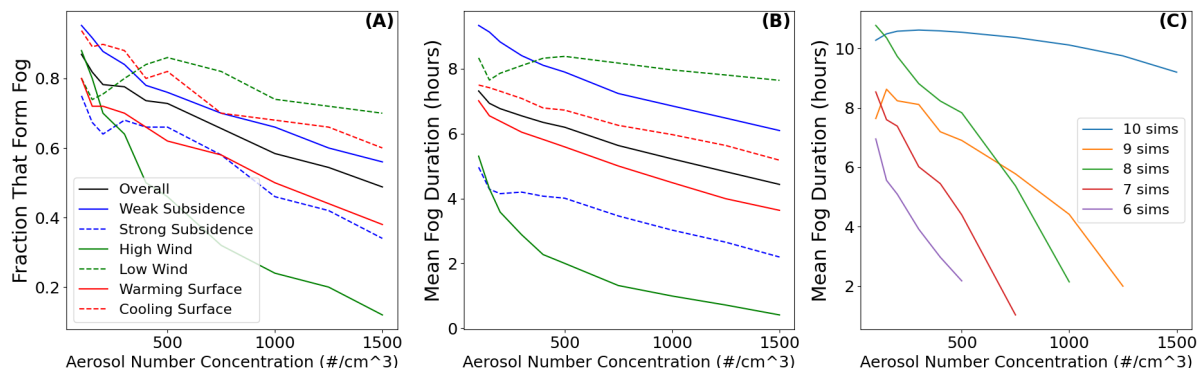


Figure 3. Relationships between N_a and the fraction of simulations that form fog at some time, and average duration difference of the fog that forms relative to the aerosol "base case".

185 These initial results raise several questions. First, why is it that increasing aerosol leads to increased liquid water path and density of fog at the surface, but decreases the likelihood of fog formation and duration of fog? Second, what processes drive each of these trends? Do the same processes simultaneously drive higher fog thickness and density and lower fog duration and odds of formation, or are different processes responsible for each? Furthermore, are the processes that drive the response of fog to aerosol primarily microphysical or thermodynamic? In the following sections, we examine these questions.

190 3.2 The Duration-Density Relationship

We first analyze the relationship between fog density and fog duration. To more clearly show the duration-density relationship, Figure 4 shows the relationship with respect to each input parameter, with larger points representing higher values of each input parameter. For all "background" input variables, longer mean fog duration is associated with higher max surface CWC. This is not a surprise, as we would expect meteorological conditions that produce longer-lasting fog to tend towards producing denser fog as well. However, this is not the case for aerosol concentration. As already discussed, we see a pronounced trade-off between fog density and duration with respect to aerosol that differs starkly from the relationship when only meteorological factors are varied. This contrast suggests that the processes controlling the duration-density relationship for varying aerosol concentration are microphysical rather than thermodynamic.

We can also look at how the aerosol concentration influences the duration-density relationship for varying meteorology. Figure 5a shows best-fit lines of the relationship between the square of fog duration and the maximum surface CWC. Each line is the best-fit relationship for the up to 125 experiments for each aerosol concentration (less than 125 only if outlier simulations have been removed). The squared duration was found to have a more linear relationship with the maximum surface CWC than duration alone.¹ The slopes of the best-fit lines show that the relationship between squared duration and maximum

¹If we think of the diurnal cycle as forcing fog onset and dissipation, then different parts of the day are conducive to fog formation and "densifying", or fog thinning and dissipation. The rate at which cloud base lowers prior to fog onset will be roughly proportional to the rate at which the fog becomes denser after fog onset. This means that when conditions are more favorable to fog, not only will it form earlier, giving it more time to densify before conditions become

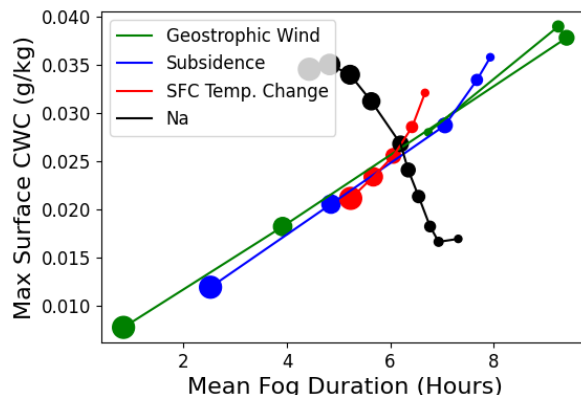


Figure 4. Plots of mean fog duration over all non-outlier simulations (both foggy and non-foggy) and max surface CLWC averaged over each input parameter value. Point size represents the relative value of each input parameter, with the largest points representing $U_g = 15$ m/s, $w_{sub} = 3.5$ mm/s, SST rate of change = 2 K/day, and $N_a = 1500$ cm⁻³.

surface CWC for varying meteorological conditions is stronger for higher aerosol concentrations. In fact, there is essentially
 205 no relationship between fog duration and density as meteorological conditions are varied for very low N_a .

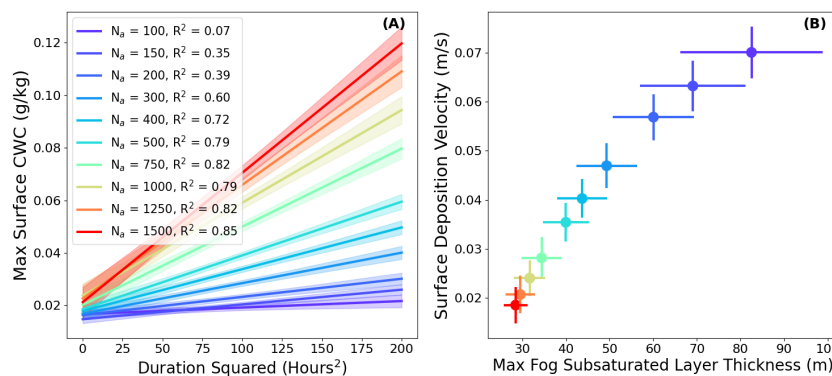


Figure 5. The left panel shows relationships between the square of fog duration (an assumed proxy for fog area) and max surface CLWC during the fog event. Trend-lines are shown for each input value of aerosol content with 95% confidence interval shaded. The right panel shows the maximum thickness of the subsaturated layer during fog and the cloud droplet deposition velocity in the lowest model level averaged for each value of N_a . The standard deviations of are shown for both axes. R^2 values are shown for each N_a . Note low R^2 values at low N_a , which result from the weak overall relationship between squared fog duration and maximum surface fog density. Relative to the overall simulation variability of fog density at the surface, the trend lines do a good job of describing the relationship between squared duration and density at low N_a .

unfavorable to fog, it will also become denser more rapidly during that time. When combining these effects, it tells us that peak fog density ought to be roughly proportional to the square of fog duration.



3.3 Aerosol Influence on Microphysical Controls

One explanation for the decrease in fog duration with higher aerosol concentration is presented in Figure 5b. Here, the x-axis shows the maximum thickness of subsaturated but still cloudy air at the bottom of the cloud during fog. It measures how high off the ground the saturation level can be while fog is present at the surface. Lower aerosol number concentration leads to a much thicker (up to 100 m) layer of subsaturated cloud because lower aerosol concentrations typically lead to larger cloud droplets, which fall faster (Figure 5b) and survive longer in subsaturated air before evaporating. The greater maximum thickness of the sub-saturated layer while fog is present is not primarily caused by a less-moist boundary layer (not shown) but is instead caused by aerosol concentration influencing the distribution of liquid water within the near-surface layer. Faster-falling droplets lead to a greater flux of liquid water below the saturation level, while slower evaporation allows droplets to persist longer in subsaturated air and continue falling. Essentially, lower N_a gives a stratus cloud the ability to reach down farther and touch the ground earlier and for a longer period than it otherwise would. Such considerations then can plausibly explain why fog has a longer duration at low aerosol concentrations.

However, we hypothesize that the higher fall speed of larger droplets also acts to limit the density of the fog that forms at ground level and that this limitation both explains the weaker relationship between squared fog duration and maximum 2m cloud liquid water concentration when meteorological conditions are varied and the duration-density trade-off when aerosol concentrations are varied. This hypothesis can be at least qualitatively understood by considering a very simple conceptual model of the liquid water path (LWP). The evolution of the LWP can be understood as a balance between the thermodynamic forcing for cloud growth (F_{thermo}) and the loss of water due to deposition to the surface. F_{thermo} includes all processes that lead to condensation or evaporation of water in the cloud as well as large-scale divergence. Surface deposition, neglecting turbulent contributions, will be given by the gravitational settling rate of droplets (v_T) and the surface cloud water content. Combined, we have

$$\frac{dLWP}{dt} = F_{thermo} - v_T CWC_{surf}. \quad (2)$$

When the LWP is at its maximum, the tendency is zero and CWC_{surf} is also maximized:

$$CWC_{surf,max} = \frac{F_{thermo}}{v_T}. \quad (3)$$

This equation clearly shows that if the thermodynamic forcing is unchanged by the aerosol concentration (which is not the case as we will discuss next), we expect lower maximum fog density for higher droplet fall speeds associated with reduced aerosol concentrations. Furthermore, to a first approximation, we expect that the (squared) fog duration is proportional to F_{thermo} given that stronger forcing for cloud water formation ought to imply longer-lived fog. As such, in order to see the fog density and duration scale together with a change in aerosol concentration, F_{thermo} would need to be more strongly dependent on the aerosol concentration than v_T is. Our simulations suggest that this is not typically the case. Moreover, Eq. 2 tells us that the relationship between fog density and duration (proportional to F_{thermo}) for a given aerosol concentration (or droplet size) and varying meteorology is given by a slope that is proportional to v_T^{-1} . That is, duration and fog density will be more weakly related given varying meteorological conditions when the droplet fall speed is high and aerosol concentration is low.



In summary, we see here that meteorological conditions have a reduced ability to modify the fog density when the aerosol concentration is low and that meteorological conditions are much more important for fog density when the aerosol concentration is high. At low aerosol concentrations, fog density at the surface is strongly controlled by the microphysical process of droplet settling. This settling causes liquid water to fall below the saturation level, causing cloud base to extend downward, but increases the rate of liquid water deposition on the surface. The net effect of the higher gravitational settling flux associated with low N_a is greater fog duration and reduced fog density at the surface. However, in this discussion, we have not examined any possible influence of the aerosol concentration on the thermodynamic forcing for fog. This is discussed next.

3.4 Aerosol Influence on Thermodynamic Controls

In the previous section, we discussed how cloud droplets can settle through a layer of sub-saturated but cloudy air. This layer allows the cloud to reach the surface earlier and more often than it would if this layer were not present in the cloud. However, this is not the only way that the larger, less numerous cloud droplets associated with lower aerosol concentration can lead to increased likelihood of fog formation and longer fog duration. Greater downward flux of liquid water into subsaturated air has the corollary effect of opposing the net upward transport of water vapor through the evaporation of cloud droplets below cloud base. This increases the vertical moisture gradient in the boundary layer and—all else being equal—leads to greater relative humidity near the surface (when the aerosol concentration is low. Additionally, low aerosol concentrations can enhance fog formation by suppressing entrainment. Entrainment warms and dries the boundary layer, which means that more entrainment will tend to suppress clouds and fog formation. A number of studies have found a decrease in liquid water path associated with increased aerosols in non-precipitating clouds and several mechanisms for this have been proposed involving changes to cloud top evaporation, sedimentation, and radiation that all lead to decreased entrainment for lower aerosol concentrations (Bretherton et al., 2007; Ackerman et al., 2004; Wang et al., 2003; Hill et al., 2008; Williams and Igel, 2021; Igel, 2024). The dominant mechanism by which aerosol concentration impacts entrainment is not important to this study, but the point remains that lower aerosol concentrations and the resulting larger and less-numerous droplets decrease the rate of entrainment and can lead to increased liquid water path.

Figure 6 shows the impact of N_a on boundary layer moisture loss due to both entrainment and surface deposition for the first half of the simulation. We choose the first half rather than the entire simulation because it is most relevant to fog formation. To isolate the impact of N_a on moisture flux and eliminate cases in which the cloud dissipated, we use only background conditions in which all aerosol concentrations led to fog formation and plot the difference in mean moisture loss for each aerosol concentration relative to the mean values for the aerosol "base case" of $500 / \text{cm}^3$. Positive values indicate more moisture loss in the boundary layer.

Based on Figure 6, we can see that higher aerosol concentrations tend to enhance moisture loss due to entrainment and suppress moisture loss due to surface deposition. The increased entrainment with higher aerosol concentration is consistent with the previous studies cited above. Greater entrainment flux also increases the temperature of the boundary layer, meaning that the thermodynamic response of the boundary layer to decreased aerosol concentration due to its impact on entrainment is favorable to fog. However, we also see that there is greater net moisture loss at low aerosol concentrations due to surface

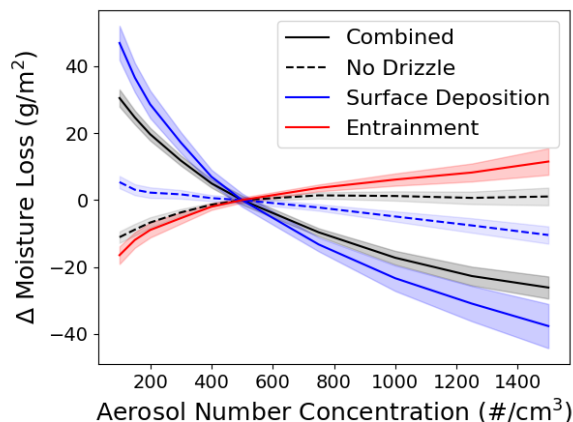


Figure 6. Plot of impacts of N_a on boundary layer moisture loss due to entrainment and surface deposition.

deposition, which is primarily comprised of drizzle (defined as droplets with radius greater than or equal to 40 microns). Although not directly comparable, the difference in moisture loss across the range of aerosol concentrations (about 50 g m^{-2}) is
275 consistent with the differences in LWP shown in figure 2. Despite the greater aerosol concentrations being thermodynamically less favorable to LWP and CWC, fog density is more strongly impacted by surface deposition. In other words, the two terms in Eq. 2 have opposite dependencies on the aerosol concentration and our simulations suggest that the dependence of the settling speed on aerosol concentration is greater.

In summary so far, we've seen that microphysical changes (enhanced precipitation formation and faster fall speeds) and
280 thermodynamic changes (reduced entrainment) at low aerosol concentrations compete with one another to determine the relationship between aerosol concentration and fog density. Our simulations suggest that the microphysical changes dominate. However, these processes work together to give rise to longer-lived (earlier-forming) fog at low aerosol concentrations. Faster falling droplets that form more easily at low N_a reach the surface faster and suppressed entrainment, while eventually being of less importance than precipitation enhancement for determining the LWP, will favor earlier fog formation.

285 3.5 Relative Importance of Microphysical and Thermodynamic Processes

To better understand the relative importance of thermodynamics and microphysics in the relationship between aerosol concentration and fog duration, we perform some additional analysis with alternative definitions of fog presence. The default fog condition in our experiment is a CWC of 0.01 g/kg in the lowest model level. This fog identification condition is sensitive to both proposed mechanisms and notably allows fog to be present even if the air is subsaturated. In an attempt to identify which
290 mechanism(s) are important for the simulated response of fog duration to aerosol, we created two pseudo-fog onset conditions. The first is simple and based on the relative humidity at the 2 m level. When the relative humidity near the surface reaches a threshold value of 99%, it is considered fog. We do not use $\text{RH} = 100\%$ because too few simulations achieve saturation at the 2 m level. This condition is still susceptible to the impact of below-cloud evaporation, which can increase relative humidity



below cloud base. It is also sensitive to redistribution of moisture throughout the boundary layer by any other means, like turbulence. To control for this as well, we create a "mixed boundary layer" onset condition that corrects for potential redistribution of moisture within the boundary layer and calculates what the relative humidity at 10 m would be if the boundary layer were well-mixed. To find this, we calculate the mean liquid water potential temperature and the density-weighted mean moisture (both liquid and vapor) concentration for the boundary layer. Then, we calculate what the relative humidity at 10 m would be if its potential temperature and water vapor mixing ratio were equal to these means to give us a relative humidity value for the 10 m level if energy and water content were constant with height within the boundary layer. We choose a threshold value of 98% as our fog condition to ensure that a reasonable number of simulations "form" fog.

Figure 7 shows expected fog duration as a function of N_a for each of our three fog identification conditions. We see that different relationships between our fog identification conditions at different aerosol conditions indicate that different proposed mechanisms for the relationship between aerosol and fog duration dominate over different portions of our aerosol concentration range.

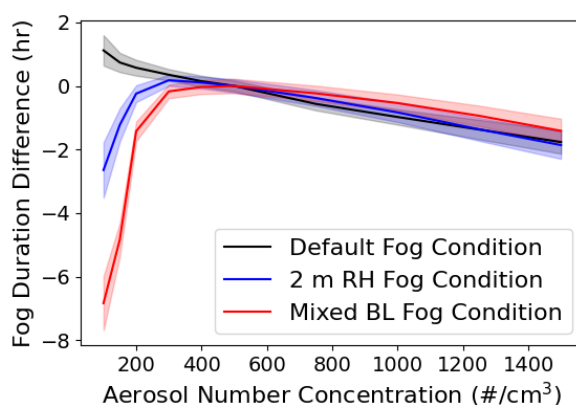


Figure 7. Plot of fog duration difference versus N_a for the standard fog condition, the 2 m relative humidity fog condition, and the mixed boundary layer fog condition. Shaded regions represent 95% confidence intervals.

At values of N_a of $\sim 300 \text{ cm}^{-3}$ and below, the three lines diverge. The default CWC threshold shows a fog enhancement at the lowest concentration while the 2 m RH conditions shows a moderate fog reduction and the mixed BL fog condition shows a substantial reduction in fog which indicates that the microphysical processes are most important for explaining the enhanced fog duration as aerosol concentration decreases. We suspect that the relationship between the mixed BL fog condition and N_a , as well as the relationships between the three lines, can be primarily explained by gravitational settling impacting both the relative humidity profile and cloud water concentration profile below the saturation level base.

Above $N_a \sim 500 \text{ cm}^{-3}$, the relationship between aerosol and fog is not significantly impacted by the fog identification condition. This indicates that entrainment is the most important of our proposed physical mechanisms within this range of aerosol concentrations. This explains the effect shown in figure 3, where fog is much more sensitive to aerosol when geostrophic wind is high compared to when it is low. Higher wind speed increases turbulence in the boundary layer through mechanical



shearing, which in turn increases entrainment. When geostrophic wind speed is low, leading to weaker entrainment and mixing between the boundary layer and free troposphere, then the impact of aerosol concentration on entrainment is correspondingly weakened. High geostrophic wind speed, on the other hand, both suppresses fog and enhances the suppressive effect that high N_a has on fog overall.

320 4 Conclusions

Our study investigates the sensitivity of marine fog formed through cloud base lowering to aerosols under different meteorological conditions. We used PAFOG, a single column model coupled with a 2-D spectral bin microphysics scheme, MISTRA. Varying aerosol number concentrations from 100 to 1500 /cm³ changed the overall simulation fog duration by about 60%. Moreover, lower aerosol concentration led to both lower fog density and longer-lived fog. These seemingly paradoxical results were explored by examining both microphysical and thermodynamic processes.

Lower aerosol concentrations lead to fewer, larger cloud droplets that have a higher gravitational settling rate. This higher gravitational settling rate enhances fog formation and duration by fluxing liquid water below cloud base. It also allows for the formation of a substantial layer of subsaturated but cloudy air. This is a microphysical response that cannot be understood by examining the thermodynamic properties of the boundary layer alone. That said, lower aerosol concentrations also suppress entrainment warming and drying which makes fog thermodynamically more favorable. At the beginning of the fog lifecycle, these processes support earlier fog formation. Which is more important may depend on the aerosol concentration with the microphysical responses being more important at low concentrations and the thermodynamic responses being more important at high concentrations.

As the fog matures, the higher gravitational settling at low aerosol concentration increases surface deposition. Suppressed entrainment still favors an enhancement in fog density at low aerosol concentrations, but the rapid removal of cloud water by surface deposition outweighs this thermodynamic response. Overall the fog density is limited at low aerosol concentrations. In addition, low aerosol concentration makes fog density much less sensitive to the environmental conditions in which it forms.

The sensitivity of fog formation to aerosol concentration was dependent on the environmental conditions. Conditions that support high entrainment rates, such as high wind speeds, are substantially more susceptible to the aerosol concentration likely as a result of the ability of aerosol concentration to modify the entrainment rate.

One caveat to our result that low aerosol concentration increases fog duration is that we used a cloud water threshold to define fog rather than a more traditional definition based on visibility. Visibility is greater for a given cloud water content when droplets are large, as is the case in low aerosol conditions. If we had used a visibility-based fog definition, the duration sensitivity to aerosol concentration would not have been as large.

This study highlights the importance of studying a the response of fog to microphysics under a variety of circumstances. Despite looking only at cloud base lowering, we observed two competing mechanisms driving the response of fog to aerosol that create multiple response regimes. Though gravitational settling could be considered the primary explanatory mechanism as it impacts both entrainment and settling flux at cloud base, these two processes vary in their importance relative to each other



over the tested aerosol range. Also, changes to the "background" of our fog case modulated the response of fog to aerosol. Even
350 relatively small changes can impact which physical processes drive fog formation, evolution, and dissipation. Further work can
help constrain the relative importance of the radiative impacts of microphysics, other mechanisms such as those resulting from
evaporation at cloud base or cloud top, and direct effects gravitational settling on cloud extent in determining fog life-cycles.
Moreover, observational work can help determine what sets of conditions tend to surround fog in each region of the globe
and provide insight into how these real-life fog events may be enhanced or inhibited by aerosols. With continued effort and
355 collaboration, we can refine our understanding of the impact of microphysics on fog and then apply this understanding to
improve models and forecasts going forward.

Code availability. Code is available at farm.cse.ucdavis.edu/nhpope

Author contributions. Nathan H. Pope performed the investigation and analysis and wrote the original draft. Adele L. Igel supervised the project and edited and reviewed the writing.

360 *Competing interests.* The authors declare no competing interests.

Acknowledgements. This work was supported by the Office of Naval Research grant N00014-20-1-2304-0. We would like to thank Andreas Bott for providing the source code for the PAFOG model.



References

- Ackerman, A. S., Kirkpatrick, M. P., Stevens, D. E., and Toon, O. B.: The impact of humidity above stratiform clouds on indirect aerosol
365 climate forcing, *Nature*, 432, 1014–1017, 2004.
- Anderson, J. B.: Observations from airplanes of cloud and fog conditions along the southern California coast, *Monthly Weather Review*, 59,
264–270, 1931.
- Bott, A. and Trautmann, T.: PAFOG—A new efficient forecast model of radiation fog and low-level stratiform clouds, *Atmospheric Research*,
64, 191–203, 2002.
- 370 Bott, A., Trautmann, T., and Zdunkowski, W.: A numerical model of the cloud-topped planetary boundary-layer: Radiation, turbulence and
spectral microphysics in marine stratus, *Quarterly Journal of the Royal Meteorological Society*, 122, 635–667, 1996.
- Boutle, I., Price, J., Kudzsotsa, I., Kokkola, H., and Romakkaniemi, S.: Aerosol–fog interaction and the transition to well-mixed radiation fog,
Atmospheric Chemistry and Physics, 18, 7827–7840, 2018.
- Bretherton, C., Blossey, P. N., and Uchida, J.: Cloud droplet sedimentation, entrainment efficiency, and subtropical stratocumulus albedo,
375 *Geophysical research letters*, 34, 2007.
- Chen, C., Zhang, M., Perrie, W., Chang, R., Gultepe, I., Fernando, H. J., and Chen, X.: A Case Study: Evaluation of PAFOG One-D
Model With Advection in Simulations of Fog/Stratus From C-FOG Experiment, *Journal of Geophysical Research: Atmospheres*, 126,
e2021JD034 812, 2021.
- Dorman, C. E., Grachev, A. A., Gultepe, I., and Fernando, H. J.: Toward Improving Coastal-Fog Prediction (C-FOG), 2021.
- 380 Dupont, J.-C., Haeffelin, M., Protat, A., Bouniol, D., Boyouk, N., and Morille, Y.: Stratus–fog formation and dissipation: a 6-day case study,
Boundary-layer meteorology, 143, 207–225, 2012.
- Duynkerke, P. G.: Turbulence, radiation and fog in Dutch stable boundary layers, *Boundary-Layer Meteorology*, 90, 447–477, 1999.
- Fernando, H. J., Gultepe, I., Dorman, C., Pardyjak, E., Wang, Q., Hoch, S., Richter, D., Creegan, E., Gaberšek, S., Bullock, T., et al.: C-FOG:
life of coastal fog, *Bulletin of the American Meteorological Society*, 102, E244–E272, 2021.
- 385 Fitzgerald, J. W.: Marine aerosols: A review, *Atmospheric Environment. Part A. General Topics*, 25, 533–545, 1991.
- Gultepe, I. and Milbrandt, J.: Microphysical observations and mesoscale model simulation of a warm fog case during FRAM project, in: *Fog
and boundary layer clouds: Fog visibility and forecasting*, pp. 1161–1178, Springer, 2007.
- Gultepe, I., Isaac, G., Leaitch, W., and Banic, C.: Parameterizations of marine stratus microphysics based on in situ observations: Implications
for GCMs, *Journal of Climate*, 9, 345–357, 1996.
- 390 Gultepe, I., Tardif, R., Michaelides, S., Cermak, J., Bott, A., Bendix, J., Müller, M. D., Pagowski, M., Hansen, B., Ellrod, G., et al.: Fog
research: A review of past achievements and future perspectives, *Pure and applied geophysics*, 164, 1121–1159, 2007.
- Haeffelin, M., Bergot, T., Elias, T., Tardif, R., Carrer, D., Chazette, P., Colomb, M., Drobinski, P., Dupont, E., Dupont, J.-C., et al.: PARIS-
FOG: Shedding new light on fog physical processes, *Bulletin of the American Meteorological Society*, 91, 767–783, 2010.
- Hill, A., Dobbie, S., and Yin, Y.: The impact of aerosols on non-precipitating marine stratocumulus. I: Model description and prediction of
395 the indirect effect, *Quarterly Journal of the Royal Meteorological Society: A journal of the atmospheric sciences, applied meteorology
and physical oceanography*, 134, 1143–1154, 2008.
- Igel, A. L.: Processes Controlling the Entrainment and Liquid Water Response to Aerosol Perturbations in Non-Precipitating Stratocumulus
Clouds, *Journal of the Atmospheric Sciences*, 2024.



- Kim, C. K. and Yum, S. S.: A study on the transition mechanism of a stratus cloud into a warm sea fog using a single column model PAFOG
400 coupled with WRF, *Asia-Pacific Journal of Atmospheric Sciences*, 49, 245–257, 2013.
- Kim, W., Yum, S. S., Hong, J., and Song, J. I.: Improvement of fog simulation by the nudging of meteorological tower data in the WRF and
PAFOG coupled model, *Atmosphere*, 11, 311, 2020a.
- Kim, W., Yum, S. S., and Kim, C. K.: Numerical simulation of sea fog over the Yellow Sea: comparison between UM+ PAFOG and WRF+
PAFOG coupled systems, *Asia-Pacific Journal of Atmospheric Sciences*, 56, 89–105, 2020b.
- 405 Koračin, D.: Modeling and forecasting marine fog, in: *Marine fog: challenges and advancements in observations, modeling, and forecasting*,
pp. 425–475, Springer, 2017.
- Koračin, D., Lewis, J., Thompson, W. T., Dorman, C. E., and Businger, J. A.: Transition of stratus into fog along the California coast:
Observations and modeling, *Journal of the atmospheric sciences*, 58, 1714–1731, 2001.
- Koračin, D., Businger, J. A., Dorman, C. E., and Lewis, J. M.: Formation, evolution, and dissipation of coastal sea fog, *Boundary-Layer*
410 *Meteorology*, 117, 447–478, 2005.
- Koračin, D., Dorman, C. E., Lewis, J. M., Hudson, J. G., Wilcox, E. M., and Torregrosa, A.: Marine fog: A review, *Atmospheric Research*,
143, 142–175, 2014.
- Leipper, D. F.: Fog on the US west coast: A review, *Bulletin of the American Meteorological Society*, 75, 229–240, 1994.
- Lewis, J., Koracin, D., Rabin, R., and Businger, J.: Sea fog off the California coast: Viewed in the context of transient weather systems,
415 *Journal of Geophysical Research: Atmospheres*, 108, 2003.
- Lewis, J., Koračin, D., and Redmond, K.: Sea fog research in the United Kingdom and United States: A historical essay including outlook,
Bulletin of the American Meteorological Society, 85, 395–408, 2004.
- Mccumber, M. C. and Pielke, R. A.: Simulation of the effects of surface fluxes of heat and moisture in a mesoscale numerical model: 1. Soil
layer, *Journal of Geophysical Research: Oceans*, 86, 9929–9938, 1981.
- 420 Mellor, G. L. and Yamada, T.: A hierarchy of turbulence closure models for planetary boundary layers, *Journal of the atmospheric sciences*,
31, 1791–1806, 1974.
- Mellor, G. L. and Yamada, T.: Development of a turbulence closure model for geophysical fluid problems, *Reviews of Geophysics*, 20,
851–875, 1982.
- Niu, S., Liu, D., Zhao, L., Lu, C., Lü, J., and Yang, J.: Summary of a 4-year fog field study in northern Nanjing, Part 2: Fog microphysics,
425 *Pure and applied geophysics*, 169, 1137–1155, 2012.
- Oliver, D., Lewellen, W., and Williamson, G.: The interaction between turbulent and radiative transport in the development of fog and
low-level stratus, *Journal of Atmospheric Sciences*, 35, 301–316, 1978.
- Pilić, R., Mack, E., Rogers, C., Katz, U., and Kocmond, W.: The formation of marine fog and the development of fog-stratus systems along
the California coast, *Journal of Applied Meteorology and Climatology*, 18, 1275–1286, 1979.
- 430 Pope, N. H. and Igel, A. L.: Identifying Important Microphysical Properties and Processes for Marine Fog Forecasts, *Monthly Weather*
Review, 151, 2427–2441, 2023.
- Stolaki, S., Haeffelin, M., Lac, C., Dupont, J.-C., Elias, T., and Masson, V.: Influence of aerosols on the life cycle of a radiation fog event. A
numerical and observational study, *Atmospheric Research*, 151, 146–161, 2015.
- Tardif, R. and Rasmussen, R. M.: Evaporation of nonequilibrium raindrops as a fog formation mechanism, *Journal of the Atmospheric*
435 *Sciences*, 67, 345–364, 2010.



- Wagh, S., Krishnamurthy, R., Wainwright, C., Wang, S., Dorman, C. E., Fernando, H. J., and Gultepe, I.: Study of Stratus-Lowering Marine-Fog Events Observed During C-FOG, *Boundary-Layer Meteorology*, 181, 317–344, 2021.
- Wang, S., Wang, Q., and Feingold, G.: Turbulence, condensation, and liquid water transport in numerically simulated nonprecipitating stratocumulus clouds, *Journal of the atmospheric sciences*, 60, 262–278, 2003.
- 440 Williams, A. S. and Igel, A. L.: Cloud top radiative cooling rate drives non-precipitating stratiform cloud responses to aerosol concentration, *Geophysical Research Letters*, 48, e2021GL094740, 2021.
- Wood, R. and Bretherton, C. S.: Boundary layer depth, entrainment, and decoupling in the cloud-capped subtropical and tropical marine boundary layer, *Journal of climate*, 17, 3576–3588, 2004.
- Zdunkowski, W., Panhans, W., Welch, R., and Korb, G.: Radiation scheme for circulation and climate models, *Contrib. Atmos. Phys.:(Germany, Federal Republic of)*, 55, 1982.
- 445 Zhao, L., Niu, S., Zhang, Y., and Xu, F.: Microphysical characteristics of sea fog over the east coast of Leizhou Peninsula, China, *Advances in Atmospheric Sciences*, 30, 1154–1172, 2013.