



# **Impact of Topographic Wind Conditions on Dust Particle Size Distribution: Insights from a Regional Dust Reanalysis Dataset**

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- 10 **Abstract.** The size of windblown dust particles plays a critical role in determining their geochemical and climate impacts. This study investigates the relationship between topographic wind conditions (speed and direction relative to land slope) and the particle size distribution of dust emissions on a regional scale. We used the Multiscale Online Nonhydrostatic Atmosphere Chemistry (MONARCH) dust reanalysis dataset, which assimilates satellite data on coarse-mode dust optical depth (DODcoarse). Validation against flight measurements from the 2011 Fennec campaign confirms the reanalysis's effectiveness
- 15 in capturing coarse to super coarse dust. A 10-year dust reanalysis underwent selective screening to identify events with fresh emissions. Associated meteorological and land characteristics obtained from various datasets were incorporated into multiple linear regression models. Results indicate that dust particle size increases with wind speed, likely due to a higher fraction of fresh emissions and reduced deposition of coarse dust under stronger winds. A positive correlation between dust size and uphill slope suggests that enhanced vertical transport of dust by topography outweighs the impact of shifting emission microphysics
- 20 over veering winds. Both positive correlations weaken in the afternoons and summer, likely due to the turbulence during haboob storms, which can suspend coarse dust from aged emissions, competing with the effect of uphill slopes. These findings on dust size dependency on topographic winds could improve dust cycle representation and its impacts.

### **1 Introduction**

- 25 Windblown dust particles emitted from arid and semi-arid areas are the largest terrestrial contributor to global aerosols (Brasseur and Jacob, 2017). Understanding the size of the airborne dust particles is crucial for assessing their impacts on global climate and biogeochemistry. Size plays an important role in determining the emission and deposition of dust particles and thus the redistribution of soil nutrients around the globe (Knippertz, 2017; Duce and Tindale, 1991; Wang et al., 2023). Additionally, particle size can affect the likelihood of microorganisms' attachment to dust aerosols (Polymenakou et al., 2008;
- 30 Yamaguchi et al., 2012) and the distance these associated microorganisms can travel (Prospero et al., 2005; Kellogg and Griffin, 2006). Particle size, along with other factors such as mineralogy, chemical composition, and shape, controls the climate impacts of dust particles which can vary drastically from warming to cooling (Adebiyi and Kok, 2020; Kok et al., 2023; Mahowald et





al., 2014). Realistic representation of dust particle size distribution (PSD) in the atmosphere requires an understanding of the dependencies of dust size at emission (Kok, 2011; Rosenberg et al., 2014).

- 35 Relationships between dust PSD and various environmental factors have been extensively studied. Soil moisture has been widely reported to increase the proportion of coarse dust in emissions by enhancing bonding forces among fine particles within soil aggregates (Dupont, 2022; González-Flórez et al., 2023; Shao et al., 2020), but one recent study also argues that the effect is non-monotonic (Ma et al., 2023). Another surface characteristic of interest is soil texture. Emitted dust PSD during wind tunnel experiments was reported to be significantly influenced by the fully dispersed soil size distribution (Wang et al., 2021),
- 40 and proportion of emitted submicron particles and PM10 increased after tillage practices which broke down soil aggregates (Fernandes et al., 2023; Katra, 2020). The effect of atmospheric stability on dust size is inconsistent across studies. Some reported that unstable atmospheric boundary is associated with richer submicron particles (Khalfallah et al., 2020; Shao et al., 2020), whereas others found no effect (Dupont, 2022; González-Flórez et al., 2023). Deposition impacts are universally acknowledged and were evaluated using characteristics of dust events or dust measurements, including fetch length (González-
- 45 Flórez et al., 2023), dust age (Dupont et al., 2015; Ryder et al., 2013), and dust measurement height (Khalfallah et al., 2020; Shao et al., 2020). Nevertheless, the effects of multiple factors often intertwine during dust events, making the overall impact on dust size obscure.

Wind speed, or the resulting friction velocity  $(u<sub>*</sub>)$  exerted on the ground surface, as the driving force of dust emissions, is one of the most essential parameters for dust emissions. The relationship between wind speed and PSD of dust emissions has been

- 50 widely studied, yet consensus is lacking. Saltation-bombardment and aggregate disintegration are usually considered the primary mechanism for dust emissions (Kok et al., 2012). Parametrization of saltation-bombardment proposed that higher u\* leads to higher energy in saltating particles and thus enhances the breaking down of soil aggregates and ejection of fine particles (Shao, 2001). This theory is supported by multiple wind tunnel experiments (Alfaro et al., 2022; Wang et al., 2021) and field measurements (Chkhetiani et al., 2021; Dupont, 2022; Khalfallah et al., 2020). The brittle fragmentation theory, on the other
- 55 hand, postulates that PSD of vertical dust flux is independent of u\*, backed by compiled data from multiple wind tunnel and field measurements (Kok, 2011). Some also proposed that detachment of submicron particles from the surface of soil aggregates is more common when kinetic energy of impacting particles is low and the ejection of coaster particle from fragmentation becomes increasingly dominant with higher impaction intensity (Malinovskaya et al., 2021). These discrepancies are partially due to the interplay of other factors, such as inconsistencies in dust emission measurements
- 60 (Khalfallah et al., 2020; Shao et al., 2020), soil moisture (Ishizuka et al., 2008; Shao et al., 2020; Webb et al., 2021), and whether steady state saltation is reached (Mahowald et al., 2014). Selection of the dust emission properties (e.g., dust flux or dust concentration; Shao et al., 2020) and the height of dust measurements (Khalfallah et al., 2020) can alter the dust PSD. The effects of soil moisture on shifting dust PSD at emission can get entangled with the potential effects of u\*. For instance, the fine fraction in dust emissions counterintuitively increasing with decreasing u\* after light rain was caused by drying of the





65 weakly crusted soils over time (Shao et al., 2020). With interferences of various factors, predicting the general dependency of PSD of dust emission on u\* at regional scales over longer time becomes complex.

The role of topography in altering size of dust emission is of emerging interest. The orographic channeling of winds can affect the dust emission or transport (Caton Harrison et al., 2021; Rosenberg et al., 2014). Uphill winds can enhance the vertical transport of dust particles through flow separation, especially increase the proportion of coarse particles in the elevated dust 70 based on computational simulations (Heisel et al., 2021). Moreover, the veering angle between wind vectors and the surface

- inclination affected the emitted dust PSD over a local field (Malinovskaya et al., 2021). Compared to winds that blew more parallel to the ridges of the slopes (i.e., tangential winds), frontal uphill winds generated a higher fraction of fine particles (0.2- 2 µm) because of more sputtering of fine particles on the windward slope due to resistance from the secondary aeolian structures, as well as less generation of coarse particles  $(2-5 \mu m)$  on the leeward slope with the recirculation zone. Other
- 75 potential topographic impacts include the generation of erodible material by certain orographic winds (Washington et al., 2006) and the triggering of convective storms by mountains (Knippertz et al., 2007). However, their associations with dust PSD are understudied. Overall, it remains unclear whether the observed effects of wind over local topography on the PSD of dust emissions is detectable at regional scales and what the overall relationship between topography and dust PSD will be.
- Understanding the relationship between topographic wind conditions and PSD of dust emission on a regional scale is important 80 for simulating dust activities and impacts in atmospheric or climate models. Complementing the accumulating field data on PSD of dust emissions (Shao et al., 2020; González-Flórez et al., 2023; Fernandes et al., 2023), this study aims to explore the impacts of topographic wind conditions on dust PSD on a regional scale through data analysis. The regional scale means that the dust emission of concern inevitably includes near-source transport and deposition. Here, we selected "fresh" dust emissions from the Multiscale Online Nonhydrostatic AtmospheRe Chemistry model (MONARCH) dust reanalysis data (Di Tomaso et
- 85 al., 2022) and constructed models to investigate the correlations between PSD of dust emission and wind conditions over slopes, while taking into account other relevant meteorological and surface conditions. Our methodology, sensitivity analysis, and evaluations are described in Section 2, and the discussion of our findings followed by the main takeaways are presented in Sections 3 and 4.

#### 90 **2 Data and Methods**

## **2.1 Datasets and variables**

The study domain (12-38° N, 18W-36° E) encompasses the Sahara Desert, the largest dust source on Earth, which contributes to around 60% of the global dust loading (Tanaka and Chiba, 2006). Various monitoring or reanalysis datasets are available for this region, providing information on African dust sizes and the associated environmental conditions needed for this study.





- 95 The Multiscale Online Nonhydrostatic AtmoshpheRe Chemistry model (MONARCH) dust reanalysis (Di Tomaso et al., 2022) dataset provides size-resolved dust information from 2007 to 2016 with a 3-hour intervals. The dataset covers North Africa, the Middle East, and Europe using a rotated-pole projection with a spatial resolution of 0.1°. The reanalysis assimilated the coarse-mode dust optical depth (DODcoarse) derived from the Moderate Resolution Imaging Spectroradiometer (MODIS)-Aqua Deep Blue level 2 aerosol products, including aerosol optical depth (AOD) and the Ångström exponent. The DOD<sub>coarse</sub>
- 100 observations were incorporated with the model ensemble simulations (first-guess) during each 24-hour assimilation window. We hypothesize that even though the dust PSDs at emission are fixed in the dataset for the first-guess, the dust concentration reanalysis captures the potential regional effects of topographic wind conditions on the dust PSD via assimilation of the satellite DODcoarse observations, nudging the size-resolved concentrations to generate expected optical properties. We showcase the adjustments in dust concentration PSD during data assimilation by investigating the ratio of the first-guess dust concentration
- 105 to its reanalysis across eight size bins (see section 2.2 and Fig. S1). The MONARCH model was run in 40 hybrid pressuresigma model layers and the dust concentration in the lowest layer is saved as the surface dust concentration. The surface dust concentration best represents near-source dust emissions and transport, and was chosen for this study. The concentration is available in eight size bins (i.e., 0.2–0.36, 0.36–0.6, 0.6–1.2, 1.2–2.0, 2.0–3.6, 3.6–6.0, 6.0–12.0, and 12.0–20.0 μm; Klose et al., 2021)). For easier comparison, these size-resolved concentrations were condensed into a single index called the "coarse
- 110 fraction", defined as the sum of mass concentrations of the coarsest two bins  $(6-12 \mu m)$  divided by the total mass concentration of all eight bins. The delineation of fine and coarse particles is somewhat arbitrary and case-specific across studies. In general, a cutoff diameter from submicron to above 10 µm was used (Dupont, 2022; Fernandes et al., 2023; Panebianco et al., 2023) and the most common range is roughly 2-5 µm (Ishizuka et al., 2008; Ryder et al., 2019; Shao et al., 2020; Webb et al., 2021), which generally aligns boundary of 6  $\mu$ m used in this study.
- 115 Because wind conditions associated with dust concentrations are not available from the MONARCH dust reanalysis dataset, we sourced the information from the Modern-Era Retrospective analysis for Research and Applications (MERRA-2) data (Gelaro et al., 2017). The available wind components nearest to the surface and most relevant to dust emissions are at 2 meters above ground, provided as hourly average with a spatial resolution of  $0.5^\circ$  latitude  $\times 0.625^\circ$  longitude in the product M2I1NXASM. Wind speed and wind direction are subsequently calculated.

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**Figure 1.** (a) Variables used in the calculation of upwind slope. The elevations in the target grid, E(i,j) and the upwind grids (in orange if the wind components were positive; in light blue if the wind components were negative) were used to calculate the upwind slope components in x and y directions. (b) Methods for assigning the type of wind direction over topography. The angle between the upwind slope and the 125 wind vector, α, and the predefined cut-off angle, β, together determine whether the wind from an event is typical for any of the three categories of relative wind direction, namely downhill, tangential, and uphill winds.

The wind direction types relative to topography (hereafter "relative wind direction type") were determined based on the angle,  $\alpha$  ( $0 \le \alpha < 360^{\circ}$ ) between the wind vector ( $u(i,j)$ ) and  $v(i,j)$ ) and the slope vector ( $S_x(i,j)$ ) and  $S_y(i,j)$ ). Elevation data for calculating 130 the slope were retrieved from the NASA Shuttle Radar Topography Mission Global 3 arc-second (SRTM GL3) dataset (Farr et al., 2007). Dust concentration is most relevant to emissions in upwind grids, therefore, slope vector components in each grid (*i,j*) were determined as gradients of elevation between the target grid and the two neighboring grids in the upwind directions (Figure 1(a) & Eq. (1)). To the best of the authors' knowledge, this study presents the first derivation of the upwind slope over North Africa.

135 The wind direction over topography was categorized into tangential, uphill, and downhill winds depending on the angle  $\alpha$ between the slope and wind vectors as well as a predefined cut-off angle,  $β$  ( $0 < β \le 45°$ ) (Figure 1(b) & Eq. (2)). A smaller cut-off angle results in a more selective process for assigning the relative wind direction types.

$$
S_x(i,j) = \begin{cases} \frac{E(i,j) - E(i-1,j)}{dx}, & u(i,j) > 0\\ \frac{E(i,j) - E(i+1,j)}{dx}, & u(i,j) < 0 \end{cases}, S_y(i,j) = \begin{cases} \frac{E(i,j) - E(i,j-1)}{dy}, & v(i,j) > 0\\ \frac{E(i,j) - E(i,j+1)}{dy}, & v(i,j) < 0 \end{cases}
$$
(1)

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where  $(i,j)$  denotes the location of a grid cell,  $E(i,j)$  represents the elevation, and  $S_x(i,j)$  and  $S_y(i,j)$  represent the slope components in *x* and *y* directions, respectively. The *u(i,j)* and *v(i,j)* are horizontal wind components.





$$
\text{wind type} = \begin{cases} \text{uphill}, & 0 < \alpha < \beta \text{ or } (360^\circ - \beta) < \alpha < 360^\circ\\ \text{tangential}, & (90^\circ - \beta) < \alpha < (90^\circ + \beta) \text{ or } (270^\circ - \beta) < \alpha < (270^\circ + \beta),\\ \text{downhill}, & (180^\circ - \beta) < \alpha < (180^\circ + \beta) \end{cases} \tag{2}
$$

- 145 The land characteristics of soil texture and soil moisture that are expected to cast impacts on PSD of dust emissions were considered. Spatial distribution of soil texture was adopted from the map used in the Global Land Data Assimilation System version 2 (GLDAS2) Noah land surface model (Rodell et al., 2004), derived from the global soil dataset by Reynolds et al. (2000). The texture of the top layer of soil was categorized into the 16 classes developed by the Food and Agricultural Organization (FAO), varying in sand, silt, and clay fractions (Jahn et al., 2006). Soil moisture data were retrieved from the
- 150 MERRA-2 product M2T1NXLND, providing average water content in the top 5-centimeter layer of soil hourly with a spatial resolution of  $0.5^{\circ}$  latitude  $\times 0.625^{\circ}$  longitude.

All datasets were co-registered onto a universal 0.1° Plate Carrée coordinate. MERRA-2 and MONARCH data were regridded using the Python xesmf package version 0.7.1 (Zhuang et al., 2023). The 2 m wind vectors and the soil moisture from the MERRA-2 reanalysis underwent upsampling using the "nearest source to destination" algorithm to match MONARCH's finer

- 155 resolution. This algorithm does not bring in artificial variations so is the safest choice for regridding. Coarser spatial resolution of the MERRA-2 data means some neighboring grids will inevitably share the same wind vector and soil moisture, diminishing the potential effects of wind conditions on dust PSD. The SRTM elevation data with a much higher original resolution were downsampled using the "average" method provided by the Python package of geowombat version 2.1.6 (Graesser, 2023). Subgrid information on topography was lost, but handling topography information at the same scale as the wind data is reasonable
- 160 because the terrain variations at finer resolution are deemed smooth when scaling up. Soil texture data at 0.25° lat-lon coordinates were also projected to the 0.1° coordinates. To match the instantaneous 3-hourly timesteps of the MONARCH reanalysis, we picked the average wind components and soil moisture from the precedent hour, relevant to the initial dust emissions that can remain airborne during that period. Maps showing the average wind speed, the average upwind slope, the most common wind direction types, and the average surface dust concentration at 0.1° resolution over the 10 years are
- 165 presented in Figure 2.







**Figure 2.** General spatial patterns of (a) 2-m wind speed from the MERRA-2 reanalysis, (b) calculated upwind slope, (c) derived wind direction type relative to slope using cut-off angle of 10°, and (d) MONARCH total dust concentration at ground surface from 2007 to 2016. 170 The average values are shown for wind speed, upwind slope, and dust surface concentration, and the most frequent types are shown for the relative wind direction.

#### **2.2 Validation against the Fennec measurements**

- The 3-hourly MONARCH reanalysis of DODcoarse at 550 nm was evaluated against the coarse-mode AOD at 500 nm from the 175 Aerosol Robotic Network (AERONET) retrievals over Sahara. The Pearson correlation was 0.81 with a root mean square error of 0.15 (Di Tomaso et al., 2022). We expanded the evaluation of MONARCH dust concentrations using data of the 2011 Fennec campaign, where size-resolved dust emissions over western Africa were intensively sampled using wing-mounted instruments (Ryder et al., 2013). Segments of three flights (b600–602), each lasted 10 minutes, over northern Mali on 17–18 June 2011, were identified to be associated with fresh dust uplifts by low-level jets (Ryder et al., 2013; Ryder et al., 2015).
- 180 Measured dust number concentration during these flight segments was converted to volumetric concentration for easier comparison with the MONARCH reanalysis data. The MONARCH reanalysis grids containing any portion of these flight trajectories were identified, and the associated dust mass concentrations were retrieved. These concentrations were weighted averaged by flight duration in each grid cell to yield an overall binned dust concentration. The MONARCH dust mass concentrations were also converted into volumetric concentrations using an average dust particle density of  $2,650 \text{ kg m}^3$ ,
- 185 which had previously been used to convert number concentration of dust particles to mass concentration for Fennec measurements (Ryder et al., 2019). As shown in Figure 3, the trends of two dust PSDs generally agree well across all the eight size bins of the MONARCH dataset. Most notably, MONARCH reanalysis is effective at capturing the coarse to super coarse





modes represented by the last three bins (3.6–20 µm), outperforming several recent dust simulations that lack the data assimilation (Adebiyi and Kok, 2020; Meng et al., 2022). Additional investigations (see Fig. S1) revealed that the reanalysis 190 dust concentration changes non-monotonically from its first-guess, leading to the conclusion that not only the total dust concentration but also its PSD has changed due to assimilation. Overall, the comparison confirms the reliability of the MONARCH dust reanalysis in representing dust size distribution.



195 **Figure 3.** The black line shows the average volumetric concentration ( $\mu$ m<sup>3</sup> cm<sup>-3</sup>) of dust sampled during three Fennec flights (6 flight segments) and the grey shaded area denotes the range of values. The blue bars show the volumetric concentration  $(\mu m^3 \text{ cm}^3)$  of dust in corresponding grids from the MONARCH reanalysis calculated from the weighted average mass concentration, assuming a particle density of 2650 kg m<sup>-3</sup>. The error bars denote the range of values.

## 200 **2.3 Event selection and sensitivity tests**

Addressing the specific goals of this study requires the selection of the most relevant dust events from a decade of data over northern Africa. Two goals guided the screening process: 1) excluding the aged dust, and 2) focusing on wind conditions over distinctive terrain variations. Several screening criteria were accordingly developed that must be met simultaneously for dust events to be eligible for further analysis. Specifically, the selected dust events must occur within dust sources and over terrain

205 with prominent slopes, be concurrent with high wind speeds and typical wind directions over slope, and have notable increases in dust surface concentrations. The procedures for screening these events are illustrated in Figure 4 and described below in detail. This highly selective approach was made possible by the abundance of data from the MONARCH reanalysis.





To start with, dust events were confined to dust sources to exclude long-range transported and likely aged dust far from dustsource regions. The 10-year average of surface dust concentrations was calculated for the entire study domain, and pixels with 210 values above the threshold percentile were designated as dust sources. For example, dust sources selected using the  $80<sup>th</sup>$ percentile of the 10-year average dust concentration as the threshold are presented in Figure 4(a). The map of dust sources features the Bodélé Depression, Great Sand Sea, Tanezrouft, and the Atlantic Coastal Desert, consistent with the dust sources identified in other studies (Formenti et al., 2011; Di Tomaso et al., 2022). Further screening steps were performed independently within these dust sources.





**Figure 4.** Schematics showing the procedures for screening the 10-year dust reanalysis data for fresh dust emissions. First, dust sources were assigned to regions where the annual average of dust surface concentration is among the top percentile (i.e., 20% as shown in (a)) of all values across the study domain of North Africa. Subsequently, additional screening criteria were applied simultaneously to events that 220 occurred within these dust sources. These criteria include (b) high wind speed, (c) wind direction over topography, (d) high increase in dust surface concentration, and (e) steep slope. Maps (b–e) are examples of filters used in the "initial" run (see in Table 1) for 1 January 2016, at 2:30 UTC.

To maximize the likelihood of capturing predominantly fresh dust emissions, high wind speed was a necessary criterion, as 225 low wind speeds are unlikely to generate sufficient fresh dust. A single cut-off value for high wind speed was chosen as a top percentile of the 10-year average wind speed over the whole domain. Similarly, a single threshold slope was used to select the events over prominent terrain variations. Dust events occurring over relative flat surfaces were excluded to magnify the potential signals of shifted PSD due to terrain variation. Wind direction over slopes was categorized following procedures described in Section 2.1 and a cut-off angle smaller than 45° was used to exclusively select typical uphill, tangential, or

230 downhill winds over slopes. Another criterion for increasing the probability of capturing dominantly fresh emissions was identifying sharp increases in surface concentrations. This approach favored the initiation of significant dust emissions with relative clean background dust levels. Examples of selected dust events based on each of the above criteria at 2:30 UTC on 1 January 2016, are provided in Figure 4(b–e), using the configurations for the "initial" run (i.e., a cut-off angle of  $10^{\circ}$  for





categorization of wind direction, the 80<sup>th</sup> percentile as threshold for high wind speeds and steep slope, and 80% as the threshold 235 ratio of the increased surface dust concentration to the total dust concentration as shown in Table 1).

We performed several sensitivity tests to account for the uncertainties associated with the criteria used for event selection. We perturbed each of the threshold percentiles or the cut-off values used in the "initial" run in a pair of sensitivity runs. Specifically, we added and subtracted 10% from the threshold percentiles of 80% used for screening dust sources, steep slope, high wind speed, and high fraction of fresh emissions. Cut-off angles, β of 5° and 20° were tested compared to the initial 10° for typical 240 wind direction. Configurations of all the sensitivity runs are shown in Table 1. A combination of all the stricter criteria was

used in the "final" run.

**Table 1.** Thresholds or cut-off values used in all runs. Locations where the 10-year average surface dust concentration is above the threshold percentile value of all locations were designated as dust sources. Events over dust sources with slope and wind speed above the threshold 245 percentiles of temporally averaged values over the whole domain, and increases in dust surface concentration above the threshold values were selected. The wind direction relative to topography was assigned using the cut-off angle. Values marked in bold represent the different criteria compared to the "initial" run.



## **2.4 Statistical analysis**

250 Based on the selected events for "fresh" dust emissions, we explored the relationships between wind conditions and the PSD of emitted dust, taking into consideration the effects of various meteorological and landscape factors. Specifically, the dependent variable was the coarse fraction of surface dust, and the independent variables of focus were the wind speed and the three variables of slope associated with uphill, tangential, and downhill winds. We separated the slope by wind direction types to provide a more holistic representation of the effects of veering wind over topography. The five additional independent 255 variables are environmental variables that can potentially affect dust PSD as well as the relationships between dust PSD and





wind conditions, including the continuous variable of year and soil moisture, and the categorical variables of time of day, season, and soil texture type. Dust events with missing values in soil texture class or wind direction type were excluded.

Exploratory data analysis was conducted to assess the quality and to identify the patterns of data, as well as to inform the selection of regression models. One potential choice is the multiple linear regression model, which has high explainability.

- 260 Significant coefficients for continuous variables such as wind speed indicate the amount of change in coarse fraction of dust concentrations per unit change in the independent variables. Categorical variables (e.g., time of day) are converted into dummy binary variables, each representing a category, and their coefficients indicate the changes in coarse fraction when switching from the randomly decided reference category. To reflect the interplay between wind conditions and other factors, interaction terms are considered. An interaction can be expressed as  $\beta_{mp}x_mx_p$ , where  $x_m$  is one of the four wind condition variables and
- 265  $x_p$  is one of the additional continuous variables (i.e., year and soil moisture) or a dummy variable for the one of the additional categorical variables (i.e., time of day, season, and soil texture). The adjustments in the coefficient for  $x_m$  due to  $x_p$  would be  $\beta_{mp}x_p$ . A valid linear model requires linear relationships, normality, and constant variance on the errors (homoscedasticity), and low correlations among independent variables.

When assumptions of normality and constant variances were violated, standardization, and transformation, such as the Box-270 Cox transformation (Box and Cox, 1964) and the logit transformation (Berkson, 1944), were applied on the variables to tackle the issues. Weighted linear regression, also known as weighted least squares (WLS) (Kiers, 1997)), was also tested to handle the non-constant variance (heteroscedasticity).

Beta regression model was also considered, which is particularly useful for fractional variables that range between 0 and 1 such as the coarse fraction in this study (Douma and Weedon, 2019). It has been used for variables such as air quality-related 275 health metrics within the standard unit interval (Lu et al., 2021) and particle size with skewed distributions (Peleg, 2019).

The final model was chosen based on its compliance with model assumptions, ability to explain the variability of the coarse fraction, and model complexity. The adjusted  $\mathbb{R}^2$  was used for comparison among linear models to simultaneously evaluate the amount of variability in coarse fraction explained by the model, with penalty on complexed models. For more complex models, selection criteria based on the model likelihood values, such as the Akaike information criterion (AIC; Bozdogan, 1987),

280 Bayesian information criterion (BIC; Neath and Cavanaugh, 2011), and the likelihood ratio test (Lewis et al., 2010) were considered. The statistical analyses were performed using R (R. Core Team, 2023) and the relevant packages (Zeileis and Hothorn, 2002; Grün et al., 2012; Cribari-Neto and Zeileis, 2010; Fox and Weisberg, 2019; Venables and Ripley, 2002).





## **3 Results and Discussion**

## 285 **3.1 Sensitivity tests show minor variations**

As a result of the screening processes, a total number of 461,183 dust events were identified in the "initial" run and 25,884 events were identified in the "final" run from around 3.5 billion records of dust concentrations at specific locations and times. Figure 5 shows the percentage changes in median coarse fraction by wind direction types from all sensitivity runs. In general, nearly all perturbations of any single screening criterion result in around ±1% of changes in average or median coarse fraction 290 grouped by wind direction. The exception is restricting wind speed to the top 10% percentile (the "90%wdsp" run), which

- leads to a roughly 2% increase in the median values for each wind direction type. Coarse fraction of dust emissions with downhill winds are usually more sensitive to the threshold values used for screening than emissions with the other two wind directions. When all criteria were restricted simultaneously in the "final" run, the median coarse fraction of dust emission increases by less than 2% under tangential or uphill winds and around 3.5% under downhill winds. Considering the minor
- 295 variations in coarse fraction among sensitivity runs, we decided to focus on one run for more detailed analysis. The "final" run was chosen because, in theory, the selected events are most representative for fresh dust emissions.



**Figure 5.** Percentage change in the median coarse fraction by wind direction type for all sensitivity runs as compared to the "initial" run.

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## **3.2 Exploratory analysis**

of wind conditions in Figure 6. Across all four panels, a pattern of heteroscedasticity is revealed, that is, the variance of coarse fraction is greater for dust events associated with lower wind speed or slope than for those with high wind speed or slope. The 305 vertically aligned scatter points at a slope of around 1.7 in the panel for "slope under uphill winds" represent 93 dust events that occurred at a same location near the northern border of Western Sahara during 2007–2016, illustrating how a larger number of dust events can lead to higher variance. No obvious non-linear relationships between the four wind condition variables and the coarse fraction are observed. The trend lines based on simple linear regression models of the coarse fraction against each wind condition variable indicate general trends in the dust PSD with varying wind conditions, but the significance of these

To gain an impression of the general distribution of the data, we started by plotting dust coarse fraction against four variables

310 relationships is not assured.



Figure 6. Scatter plots and linear trend lines of relationships between the coarse fraction of surface dust concentration and the wind speed and the slope under three different wind directions.





## **3.3 General associations between wind conditions and dust coarse fraction**

Due to the absence of obvious non-linear relationships between coarse fraction and wind conditions from the exploratory analysis, we first constructed linear regression models. The linear model that includes all independent variables has an adjusted  $R<sup>2</sup>$  value of 0.224. Additionally, we added all possible interactions into the model and then removed the insignificant interaction 320 terms, resulting in an adjusted  $R^2$  of 0.239. Additional analyses suggest that the residuals violate the assumptions of evenly distributed variance and normality (Fig. S2). We attempted variations of linear models, including standardization, Box-Cox transformation, logit transformation, and WLS but they all failed to resolve the issues. Beta-regression models were also tested, and the best link functions were the log-log link function for the mean and the identity link function for the dispersion. However, the residuals still exhibit heteroscedasticity against the wind condition variables. Following all these tests and considering

325 model complexity and interpretability, we decided to proceed with the linear regression models. The results can serve as initial guidance for our study. To better understand the general effects of wind conditions, we first present the model without interaction terms.

The multiple linear regression model for the dust coarse fraction includes four independent variables for wind conditions (speed and three options for slope) and additional factors that may affect the PSD of dust emissions. It allows us to investigate 330 the effects of topographic wind conditions while controlling other environmental factors. The model can be expressed as:

$$
y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_4 x_4 + \beta_5 x_5 + \beta_6 x_6 + \beta_7 x_7 + \beta_8 x_8 + \beta_9 x_9 + \epsilon,\tag{3}
$$

where, y represents the coarse fraction of dust emissions,  $x_1$  represents wind speed, and  $x_2$ ,  $x_3$ , and  $x_4$  represent slope under 335 uphill, tangential, and downhill winds, respectively. The  $x<sub>5</sub>$  is the categorical variable of time of day, including three levels of morning (6:00–12:00 local time), afternoon (12:00–18:00 local time), and evening (18:00–6:00 local time). The  $x_6$  is the categorical variable of season, comprising DJF (winter months of December, January, and February), MAM (spring months of March, April, and May), JJA (summer months of June, July, and August), and SON (autumn months of September, October, and November). The  $x_7$  and  $x_8$  represent the continuous variables of year and soil moisture. The  $x_9$  is the categorical variable 340 of soil texture class, which contains eight levels of the FAO soil texture classes (Jahn et al., 2006). Coefficients  $\beta_i$  are the slopes of the linear relationships between variable  $x_i$  and  $y$  for continous variables, and are the changes in the intercept when switching from the reference level to another level for categorial variables. The  $\epsilon$  represents the residuals of the model.

The estimated values, standard errors, and significance of the wind condition coefficients are presented in Table 2, and the complete information for all coefficients can be found in Table S1. The coefficient for wind speed is significant and positive, 345 suggesting that excluding the effects of other factors, the coarse fraction of dust emissions increases with the wind speed. The

observed trend contradicts the theory based on the saltation-bombardment emission mechanism, which states that the higher





kinetic energy of impact particles under higher wind speed can intensify the disintegration of soil aggregates and thus the release of finer particles (Shao, 2001; Alfaro et al., 1997). Conversely, the shift in the dominant emission mechanism from "shaking-off" of submicron particles to the generation of coarser microparticles from fragmentation as the velocity of saltating 350 particles increases (Malinovskaya et al., 2021) agrees with the observed trend. An alternative possibility for the observed positive correlation is related to soil conditions (Ishizuka et al., 2008; Panebianco et al., 2023). Emissions of coarse dust (> 10  $\mu$ m) increased with wind speed while the emissions of ultrafine dust (< 1  $\mu$ m) remained nearly invariant over sandy soil

(Panebianco et al., 2023); in addition, the fraction of fine dust  $(< 2 \mu m$ ) decreased with friction velocity on slightly crusted

- surfaces (Ishizuka et al., 2008). Both phenomena were likely due to weaker cohesive forces and thus easier emission for coarse 355 particles than fine particles. Although soil texture and soil moisture were controlled in the model, subtle discrepancies among events with the same soil class or soil moisture are not eliminated. Yet another potential explanation is that as wind speed increases, more fresh emissions are generated, which undergo less deposition and contain a higher fraction of coarse particles than the aged background dust (González-Flórez et al., 2023).
- 360 **Table 2.** Estimates, standard errors, and p-values of wind condition coefficients for the multiple linear model of dust coarse fraction. The model includes the independent variables of wind conditions (i.e., wind speed and slope under three wind direction types), time of day, season, year, soil moisture, and soil texture. The symbols of coefficients are defined in Eq. (3).



- The coefficients for slopes under three wind direction types are all significant and positive (Table 2), suggesting that while 365 holding the conditions of other factors constant, the coarse fraction of dust emissions increases with the slope regardless of the relative wind direction. Between the three slopes, however, uphill winds show the strongest effect on dust coarse fraction. The strong increase in coarse fraction with uphill slope aligns with previous findings using large eddy simulations and was explained by the enhancement in vertical transport of dust particles, which is more prominent for coarser particles (Heisel et al., 2021). On the contrary, the microphysics of dust emission proposed that compared to tangential winds, uphill winds against
- 370 the slope resulted in more detachment of fine particles from the surface of soil aggregates on the windward slope due to the secondary aeolian structures, and meanwhile less ejection of coarse particles from the fragmentation of soil aggregates upon hitting the leeward slope (Malinovskaya et al., 2021). The overall trend of coarse fraction with slope under uphill winds suggests that at the regional scale of our analysis, the effect of near-source transport of emitted dust at scales of hundreds to thousands of meters is more dominant than the impact of microphysics of dust emission related to secondary dune structure at
- 375 scales of centimeter to meters. On downhill slopes, increasing coarse fraction of dust emission with slope may be related to the acceleration of saltating particles under gravity and strong impaction effective in break down soil aggregates into coarse





particles. The positive correlation between slope under tangential winds and coarse fraction may be explained by the increasing fraction of sand with slope (Samuel-Rosa et al., 2013) or the increased mobility of coarse particles by gravity on hills.

### **3.4 Associations between wind conditions and dust coarse fraction under varying environmental conditions**

380 Interaction terms in the model allow us to investigate how the relationships between wind conditions and dust coarse fraction may vary depending on the additional variables for time and surface characteristics. The model including all significant interactions is shown in Eq. (4). Results for coefficients related to the interactions are shown in Table 3 and the complete results are included in Table S2.

$$
385 \quad y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_4 x_4 + \beta_5 x_5 + \beta_6 x_6 + \beta_7 x_7 + \beta_8 x_8 + \beta_9 x_9 + \beta_{15} x_1 x_5 + \beta_{16} x_1 x_6 + \beta_{18} x_1 x_8 + \beta_{25} x_2 x_5 + \beta_{26} x_2 x_6 + \beta_{28} x_2 x_8 + \beta_{35} x_3 x_5 + \beta_{38} x_3 x_8 + \beta_{46} x_4 x_6 + \epsilon, \tag{4}
$$

where,  $x_1 - x_9$ , y, and  $\beta_1 - \beta_9$  are the same as in Eq. (3). The  $\beta_{ij}$  are coefficients for interactions between  $x_i$  (1  $\le i \le 4$ ) and  $x_i$  (5  $\leq$  j  $\leq$  9) and their interpretations are described in Section 2.4.

- 390 With interactions in the model, the coefficient *β1* indicates the slope of linear correlation between wind speed and the coarse fraction when the variables that have interactions with wind speed are at the reference levels for categorical variables (i.e., "afternoon" for time of day and "DJF" for season) or at zero for continuous variable (i.e., soil moisture). Adjustments of the correlation under other conditions are indicated by the coefficients for interactions with wind speed (*β15*, *β16*, and *β18*). The overall coefficient for wind speed stays positive with varying time of day and season, except for the rare cases when soil
- 395 moisture is high  $(> 50\%)$ , which agrees with the results from the model without interactions (Table 2). As suggested by the adjustments of coefficients, the positive correlation between wind speed and coarse fraction is weakened during events that happen in the afternoon, in summer, or are associated with higher soil moisture. All these conditions are typical for haboob dust storms which are capable of generating intense dust emissions (Heinold et al., 2013; Knippertz, 2017). Therefore, a potential explanation for the observed patterns is that the dust PSD dependency on wind speed is reduced during convective
- 400 conditions associated with haboobs. Reasons behind the weakened correlation could be related to turbulent atmospheric conditions. Based on the above assumption that the coarse fraction increases with wind speed due to the associated higher proportion of fresh emissions, during convective events, the role of turbulent flows in keeping dust particles suspended regardless of the magnitude of wind speed may blur the effects of wind speed. Moreover, the positive relationship between wind speed and coarse fraction diminishes with soil moisture. Higher soil moisture may inhibit fresh dust emissions, which,
- 405 as discussed in Section 3.3, potentially contribute to the positive correlation between wind speed and coarse dust fraction, thereby weakens the correlation.





**Table 3.** Estimates, standard errors, and p-values of the interaction coefficients for the multiple linear model of dust coarse fraction. The model includes the independent variables of wind conditions (i.e., wind speed and slope under three wind direction types), time of day, 410 season, year, soil moisture, and soil texture, as well as significant interaction terms between wind conditions and other independent variables. The interaction coefficients represent wind conditions (speed and direction) under various situations of time of day, season, and soil moisture. The symbols of coefficients are defined in Eq. (3) and (4). The significantly positive, significantly negative, and insignificant coefficients at a confidence level of 95% (p-value > 0.05) are marked with orange, blue, and grey backgrounds, respectively.







- 415 The adjustments of the relationship between slope and coarse fraction with other environmental variables are generally consistent across three wind direction types. Overall, the coefficients for slope with three wind directions stay positive under most circumstances, aligning with results from the model without interactions (Table 2). Notably, the effect of the uphill slope is strongest in morning. Unlike Harmattan surges, which can induce dust emission throughout the day, or haboob storms, which mostly occur in the afternoons, dust uplift due to the breakdown of night-time low-level jets (NLLJs) is limited to the period
- 420 around sunrise to midday (Fiedler et al., 2015; Heinold et al., 2013). Therefore, the result indicates that the role of uphill slope in facilitating transport of coarse dust is particularly relevant during emissions related to NLLJs. Moreover, the effect of slope in increasing coarse fraction is weakest during afternoon events under both uphill and tangential winds. With both uphill and downhill winds, the positive correlation between slope and coarse fraction is the strongest in winter, and the weakest in spring and summer. The reduced correlation of dust PSD with uphill slope in both afternoon and summer suggests a diminished effect
- 425 of slope during haboob dust storms. This can be explained by the stronger turbulence associated with convective storms, which readily stirs up the air and facilitate particles transport, thereby weakening the additional effect of uphill slope by elevating coarse particles through flow separation.

The effect of slope on increasing coarse fraction of dust also becomes more apparent with increasing soil moisture under uphill and tangential winds. Low soil moisture might be associated with low water vapor content in lower-Saharan Air Layer, which 430 can lead to continued vertical motions of the atmosphere into the night due to increase atmospheric longwave heating (Ryder,

2021). Conversely, the air is more stable with higher relative humidity, making the enhancement by topography more critical for the transport of coarse dust.

## **4 Conclusion**

- 435 This study aims to explore the relationship between topographic wind conditions and particle size distribution (PSD) of dust emissions on a regional scale through data analysis. The Multiscale Online Nonhydrostatic AtmospheRe Chemistry model (MONARCH) dust concentrations were first evaluated against flight measurements of fresh dust emissions from the 2011 Fennec campaign and were proven to be effective in capturing concentrations of coarse to super coarse dusts. For our analysis, size-resolved surface dust mass concentrations from the MONARCH dust reanalysis over the Sahara during 2007–2016 were
- 440 condensed into an index of coarse fraction (the ratio of the sum of concentrations in the top two bins (6–20 µm) to the total concentration in eight bins  $(0.2–20 \text{ }\mu\text{m})$ , serving as the proxy for size distribution. Information on wind vectors and soil moisture, elevation, and soil texture was obtained from the Modern-Era Retrospective analysis for Research and Applications (MERRA-2) reanalysis data, the NASA Shuttle Radar Topography Mission Global 3 arc-second (SRTM GL3) dataset, and the inputs to the Global Land Data Assimilation System version 2 (GLDAS2) Noah land surface model, respectively. Several
- 445 highly selective criteria were applied to maximize the probability of selecting fresh dust emissions with typical wind conditions





over topography. Scatter plots of coarse fraction against four wind conditions variables (i.e., wind speed, slope under uphill, tangential, and downhill winds) reveal unevenly distributed variance without obvious non-linear trends. We finally chose the multiple linear models after testing several model variations to quantify and explain the trends in data.

The linear model without and with significant interaction terms can explain 22% and 24% of the variability of coarse fraction, 450 respectively. The model, however, fails to fulfill the assumptions on homoscedasticity (constant variance) and normality, and this issue could not be resolved by other modelling approaches including linear regression models with transformations of variables, weighted least square, or Beta regression models with several options for link functions. The strong intrinsic pattern of non-constant variance and the abundance of data points require more advanced models, which is beyond the scope of our current work. Other uncertainties came from the varying original resolution of datasets and the resampling process. Moreover,

455 even though we applied multiple criteria to exclusively pick fresh dust emissions, we cannot totally exclude the portion of transported dust. The analysis is general for North Africa, and more detailed insights rely on analysis for smaller geographic domains.

Despite the limitations, the multiple linear models reveal positive correlations between coarse fraction and both wind speed and slope under all relative wind directions, while controlling for other factors. The increase in coarse fraction with wind speed 460 may be attributed to a higher proportion of fresh emissions or the surface soil's resistance to disintegration into fine dust particles. The observed effect of uphill slope aligns with transport enhancement by topography over distances of hundreds to thousands of meters, which overrides the potential impact of local emission mechanisms at scales of centimeters to meters. Including interaction terms in the model allows us to investigate shifts in the effects of wind conditions on dust size under different environmental conditions. The positive correlation between wind speed and coarse fraction diminishes during

- 465 afternoon and summer events and under high soil moisture. This reduction is likely due to decreased differences in dust size distribution by deposition during haboob convective storms when turbulence is strong. The uphill slope exhibits the strongest effect on increasing dust size in the morning, suggesting that the enhanced vertical transport is particularly effective in uplifting coarse dust during emissions related to the breakdown of night-time low-level jets. The effect of uphill slope is weakened during summer and afternoons, indicating that turbulence during haboob dust storms has competing effects in sustaining
- 470 airborne coarse dust.

This work provides insights into the controlling factors of dust PSD on a regional scale using a meta-analysis of a 10-year dust reanalysis dataset, which complements the accumulating knowledge from recent field measurements. The study highlights the overlapping effects and interactions among various environmental factors on size distribution of dust emissions and calls for more work focusing on different factors and their interactions at various scales. Meanwhile, the workflow for screening fresh

475 dust events developed in this study will facilitate future work of utilizing datasets at different scales.





## **Data Availability**

The open-access MONARCH dust reanalysis data, prepared by the Barcelona Supercomputing Center (BSC), are available at https://earth.bsc.es/thredds\_dustclim/homepage/. The Modern-Era Retrospective analysis for Research and Applications 480 (MERRA-2) data (Gelaro et al., 2017) are managed by the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) and can be accessed at https://disc.gsfc.nasa.gov/datasets?project=MERRA-2. The NASA Shuttle Radar Topography Mission Global 3 arc-second (SRTM GL3) dataset is available at https://lpdaac.usgs.gov/products/srtmgl3v003/. The soil texture map input to the Global Land Data Assimilation System version 2 (GLDAS2) Noah land surface model (Rodell et al., 2004) is described and provided by NASA at 485 https://ldas.gsfc.nasa.gov/gldas/soils.

## **Competing Interests**

The authors declare that they have no conflict of interests.

#### 490 **Author Contribution**

HF secured funding for the research. HF and XH conceptualized the study, developed methodology for data collection and processing, and provided the scientific interpretation of the results. XH processed the data and created the data visualizations. WG conducted the statistical analysis of the processed data, drafted the corresponding methods (Section 2.4), and verified the initial interpretation based on the statistical results. XH wrote the initial draft of the manuscript, and all authors contributed to 495 the final version.

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