



1 **New insights into the nonlinear effects of NO<sub>x</sub> on SOA formation**  
2 **from isoprene photo-oxidation**

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28 **Abstract:** Atmospheric isoprene can be oxidized to SOA yield on  $\text{NO}_x$  concentrations was  
29 investigated by performing a series of batch chamber experiments; both the gas and aerosol  
30 phase chemical species were characterized using High-Resolution Time-of-Flight  
31 Chemical Ionization Mass Spectrometer (HR-TOF-CIMS) and High-Resolution Time-of-  
32 Flight Aerosol Mass Spectrometer (HR-TOF-AMS), along with an Observation-Based  
33 Model (OBM) incorporated with the Master Chemical Mechanism (OBM-MCM model)  
34 simulation. We found that  $\text{NO}_x$  could influence the formation of the ultralow volatility  
35 organic compounds (ULVOCs,  $\log_{10} C^* < -8.5$ ), low volatility organic compounds (LVOCs,  
36  $-4.5 < \log_{10} C^* < -0.5$ ) and extremely low volatility organic compounds (ELVOCs,  $-8.5 <$   
37  $\log_{10} C^* < -4.5$ ) by changing the  $\text{RO}_2$  fate, which are the critical compounds in nucleation  
38 and condensation in particle phase respectively. The SOA of isoprene photooxidation was  
39 mainly from  $\text{RO}_2 + \text{HO}_2$  and  $\text{RO}_2 + \text{NO}$  pathways. When  $\text{RO}_2 + \text{HO}_2$  was the dominant  $\text{RO}_2$   
40 fate, the SOA yield increased with the fraction of  $\text{RO}_2 + \text{HO}_2$  and  $\text{RO}_2 + \text{NO}$  increasing.  
41 While when  $\text{NO}$  is the major sink for  $\text{RO}_2$ ,  $\text{RO}_2 + \text{NO}$  would inhibit the formation of low  
42 volatile VOCs and affect the SOA yield. The branching ratio term ( $\beta$ ) is used to denote the  
43 competitive relationship between the two  $\text{RO}_2$  fates ( $\text{RO}_2 + \text{HO}_2$  and  $\text{RO}_2 + \text{NO}$ ). The loss  
44 rate of  $\text{RO}_2 + \text{HO}_2$  pathway was maximized at a branching ratio  $\beta$  of 0.5  
45 ( $[\text{NO}_x]/[\text{Isoprene}] = 0.77$ ), when more low volatiles were produced and the SOA yield  
46 reached maximum. The branching ratio term ( $\beta$ ) can be used as a reference for field  
47 campaign and modeling.

48 **Key words:** Isoprene;  $\text{NO}_x$  dependence; SOA yield; HOMs;  $\text{RO}_2$  fate.

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## 52 1. Introduction

53 Secondary organic aerosol (SOA) is largely formed from photochemical oxidation of  
54 anthropogenic and biogenic volatile organic compounds (VOCs), which is a major  
55 component of tropospheric fine particles and significantly affect air quality, human health,  
56 and climate change (Zhang et al., 2015; Wang et al., 2014; Fan et al., 2008; Pope Iii et al.,  
57 2002). With an annual global emission of around 500 Tg yr<sup>-1</sup>, isoprene is the most  
58 prevalent non-methane hydrocarbon (NMHC) released into the atmosphere (Guenther et  
59 al., 2006). As a five-carbon conjugated diene, isoprene reacts rapidly with OH and NO<sub>3</sub>  
60 radicals and O<sub>3</sub> in the atmosphere to form SOA (Wennberg et al., 2018; Kamens et al.,  
61 1982; T. A. Biesenthal, 1998; Nguyen et al., 2010).

62 NO<sub>x</sub> plays an important role in the photooxidation of isoprene in the atmosphere. NO<sub>x</sub>  
63 can alter the sinks of peroxy radicals (RO<sub>2</sub>) produced from the oxidation of isoprene by  
64 oxidants and addition of O<sub>2</sub>, thus affecting the composition of reaction products such as  
65 oligomers and organic nitrates in nucleation and particles growth processes (Surratt et al.,  
66 2006). By affecting the branching ratios of the main RO<sub>2</sub> reactions (RO<sub>2</sub>+HO<sub>2</sub> and  
67 RO<sub>2</sub>+NO), NO<sub>x</sub> determines the reaction products and final SOA generation of isoprene  
68 photooxidation. The RO<sub>2</sub>+HO<sub>2</sub> pathway produces organic hydroperoxide species, which is  
69 of lower volatility. In contrast, the RO<sub>2</sub>+NO pathway generates RO radicals that are  
70 fragmented into more volatile products, with a small portion of RO<sub>2</sub> reacting with NO<sub>2</sub> to  
71 form relatively lower volatile organic nitrates (Kroll et al., 2006; Wennberg et al., 2018).  
72 Changes on NO<sub>x</sub> levels can influence the formation of the main oxidants OH, O<sub>3</sub> and NO<sub>3</sub>  
73 and thus affect the oxidation process of isoprene (Mayhew et al., 2023). Up to now,  
74 researches on isoprene photochemical reaction in the presence of NO<sub>x</sub> have focused on the  
75 gas- and particle-phase products and chemical mechanism, but few studies have  
76 simultaneously and quantitatively investigated the nonlinear effects of NO<sub>x</sub> on the  
77 isoprene-SOA yield and the fate of RO<sub>2</sub> radicals (Kroll et al., 2005, 2006; Xu et al., 2014;  
78 D'ambro et al., 2017; Surratt et al., 2006).

79 Kroll et al. (2006) and Xu et al. (2014) explored the nonlinear effect of NO<sub>x</sub> level on  
80 isoprene-SOA mass yield, finding that the SOA yield increased first and then decreased  
81 with an increasing NO<sub>x</sub> levels. Kroll et al. (2006) reported that the high concentration NO



82 could suppress the formation of SOA by inhibiting hydroperoxide formation and the SOA  
83 is mainly from the oxidation of methacrolein formed from the reaction of RO radicals  
84 under high-NO<sub>x</sub> conditions. Xu et al. (2014) found that the hydroxyhydroperoxides and  
85 dihydroxyepoxides oxidation was the most important pathway of isoprene-SOA formation  
86 at low NO<sub>x</sub> concentrations, and an increasing NO level could promote the SOA formation  
87 by enhancing methacrolein reaction pathway. Besides RO<sub>2</sub> chemistry, the nonlinear  
88 influence of NO<sub>x</sub> on SOA yield can also be affected by the changes of oxidant  
89 concentrations. In the above studies, the additional addition of OH precursors into the  
90 reaction system made the OH become the dominant oxidant throughout the reaction due to  
91 the continual formation of OH from its precursor photolysis, yet the roles of O<sub>3</sub> and NO<sub>3</sub>  
92 were ignored (Kroll et al., 2006; Xu et al., 2014). However, isoprene tends to be  
93 synergistically oxidized by multiple oxidants in the real atmosphere (Geyer et al., 2003;  
94 Mondal et al., 2021). The ignorance of the synergistic oxidation may bring deviations to  
95 the understanding of the photooxidation process of isoprene in the atmosphere especially in  
96 urban regions.

97 In this study, we systematically investigated the SOA formation by photo-oxidation of  
98 isoprene in different [NO<sub>x</sub>]/[Isoprene] environments, and identified the key factors  
99 affecting the SOA yield by analyzing the competition effects between various oxidation  
100 pathways. We first explored the direct effect of NO<sub>x</sub> on the physical properties of isoprene  
101 SOA, then investigated the mechanisms of NO<sub>x</sub> on the formation of SOA precursors,  
102 finally recognized the changes in RO<sub>2</sub> fate under different NO<sub>x</sub> levels conditions.

## 103 **2 Experiment Section**

### 104 **2.1 Chamber experiments and online monitoring**

105 A home-made 5 m<sup>3</sup> Teflon PFA environmental chamber was used in this study, which is  
106 equipped with 365 nm black light bulbs (40 W, F40BLB, GE, USA)(Liu et al., 2021). The  
107 chamber was cleaned with dry zero air for at least 12 h prior to each experiment. During  
108 the experiments, about 1 ppm of isoprene and different levels NO<sub>x</sub> were added into the  
109 chamber before the lights were turned on. The detailed experimental conditions were listed  
110 in Table 1. All experiments were carried out under dry (<15% RH) conditions.  
111 Concentration and size distribution of particles in the chamber throughout the experiments



112 were detected utilizing a scanning mobility particle sizer (SMPS, 3082, TSI, USA).  
113 Chemical composition of aerosols in the chamber was monitored by an online High-  
114 Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS, Aerodyne Research  
115 Inc., USA). The variations in the concentrations of isoprene and gas-phase organic  
116 products were monitored using a proton transfer reaction Time-of-Flight Mass  
117 Spectrometry (PTR-TOF-MS, Ionicon Analytik, Innsbruck, Austria). Nitrogen oxides and  
118 ozone were monitored using a NO-NO<sub>2</sub>-NO<sub>x</sub> analyzer (model 42i, Thermo scientific, USA)  
119 and a O<sub>3</sub> analyzer (model 49i, Thermo scientific, USA), respectively. The gas-phase low  
120 volatile organic compounds in the chamber were also measured by using nitrate ion time of  
121 flight chemical ionization mass spectrometer (NO<sub>3</sub><sup>-</sup>-ToF-CIMS).

## 122 **2.2 Wall loss correction and SOA yield calculation**

123 Wall loss has been confirmed to be one of the major factors affecting the accuracy of  
124 determining the SOA yield (Zhang et al., 2014). The aerosol mass concentrations were  
125 corrected according to Pathak et al. (2007) and Zhang et al. (2024). As the gas-phase VOC  
126 wall loss coefficients we calculated was very low, the gas-phase wall losses were neglected  
127 in this study. The calculation method for vapor wall loss corrections is detailed in Section  
128 S1. Calculating the SOA yield according to the following formula:

$$129 \quad Y = \frac{\Delta M_0}{\Delta HC} \quad (1)$$

130 where  $Y$  is the SOA yield,  $\Delta M_0$  is the maximum concentration of organic aerosols in  
131 the chamber ( $\mu\text{g m}^{-3}$ ), and  $\Delta HC$  is the concentration of reacted isoprene ( $\mu\text{g m}^{-3}$ ).

## 132 **2.3 OBM-MCM model**

133 We use an observation-based model (OBM) incorporated with the Master Chemical  
134 Mechanism (MCM) to further investigate the changes in the levels of OH, HO<sub>2</sub> and loss rates  
135 of main RO<sub>2</sub> radicals in isoprene photo-oxidation under different NO<sub>x</sub> conditions. In this  
136 work, the RO<sub>2</sub> self- and cross- reaction chemistry (Wennberg et al., 2018) were added into  
137 the latest version 3.3.1 of MCM (MCM v3.3.1; available at <https://mcm.york.ac.uk/MCM>)  
138 to improve the simulation.

## 139 **3. Results and Discussion**

### 140 **3.1 NO<sub>x</sub> dependence on isoprene SOA**



141 Figure 1 shows the time series of NO<sub>x</sub>, isoprene, the oxidants O<sub>3</sub> and OH radicals, the  
142 main gas organic products methacrolein + methyl vinyl ketone (MACR+MVK, C<sub>4</sub>H<sub>6</sub>O), and  
143 SOA mass concentration in the whole reaction process under 936 ppb isoprene and 712 ppb  
144 NO<sub>x</sub> condition (Table 1). During the experiment, concentrations of O<sub>3</sub> and OH radicals  
145 increased rapidly after the black lights were turned on, which were produced from the  
146 reactions of O<sub>2</sub> and H<sub>2</sub>O with the oxygen atom formed from the photolysis of NO<sub>2</sub>,  
147 respectively (Eq. (1-5)) (Seinfeld and Pandis, 2006). Rapid increases in MACR and MVK  
148 levels were observed as isoprene was oxidized, which are the first generation of oxidation  
149 products in the oxidation process of isoprene by OH radicals and O<sub>3</sub> (Kroll et al., 2006;  
150 Nguyen et al., 2016; Galloway et al., 2011). Meantime, a series of complex RO<sub>2</sub> chemistry  
151 occurred and produced abundant low volatile organic compounds, such as organic  
152 hydroperoxides, organic nitrate and highly oxygenated organic molecules (HOMs) formed  
153 from the RO<sub>2</sub>+HO<sub>2</sub>, RO<sub>2</sub>+NO and RO<sub>2</sub> autoxidation, respectively, which undergo nucleation  
154 and condensation on particles to generate SOA (Jiang et al., 2017). In the chamber, 80 μg m<sup>-3</sup>  
155 SOA was formed (Figure 1) and the corrected SOA yield was 3.0% (Table 1) under 712  
156 ppb NO<sub>x</sub> condition.



157 The effect of NO<sub>x</sub> on SOA yield was explored and the results are shown in Table 1 and  
158 Figure 2a. Obviously, SOA yield showed a trend of first increasing and then decreasing along  
159 with an increasing NO<sub>x</sub> level, which is consistent with the results reported by Kroll et al.  
160 (2006) and Xu et al. (2014). The turning point of SOA yield was at 712 ppb NO<sub>x</sub>. Such a  
161 dependence of SOA yield on the NO<sub>x</sub> levels likely resulted from the difference of gas-phase  
162 peroxy radicals (RO<sub>2</sub>) chemistry and succeeding particle-phase oligomerization reactions  
163 under different NO<sub>x</sub> conditions (Xu et al., 2014). Such a SOA yield turning point can also be  
164 reflected by the changes of physical properties of the particles in the chamber, such as  
165 particle surface area, diameter and number concentration. As shown in Figure 2b and c, both



166 the number concentrations and particle size increased along with an increasing  $\text{NO}_x$  level.  
167 However, when the  $\text{NO}_x$  further increased to a level larger than 712 ppb, both started to  
168 quickly decrease. The particle diameter and number concentration at 712 ppb  $\text{NO}_x$  were 1.5  
169 and 1.3 times larger than those at 1500 ppb  $\text{NO}_x$ , respectively (Figure 2b), indicating an  
170 enhanced new particle formation and subsequent particle growth at 712 ppb  $\text{NO}_x$  level due  
171 to much more low volatile products formed. Meanwhile, the bigger particle surface area at  
172 712 ppb  $\text{NO}_x$  was more favorable for the growth of SOA by condensation than at 1500 ppb  
173  $\text{NO}_x$  condition. As shown in Figure 2c, the SOA formed under higher  $\text{NO}_x$  level conditions  
174 showed higher OSc. The results were consistent with Li et al. (2022) and Xu et al. (2014),  
175 whom found that the O:C ratio increased as  $[\text{NO}_x]/[\text{VOC}]$  increased. OSc of SOA hinges on  
176 the SOA composition, which can be attributed to more highly oxygenated products produced  
177 from  $\text{RO}_2$  chemistry in the gas phase. These results indicate that the SOA formation pathway  
178 may be different under the various  $\text{NO}_x$  levels in the chamber.

### 179 **3.2 The effects of $\text{NO}_x$ levels on SOA precursors**

180 Low-volatile organic products formed from the gas-phase multiple autoxidation and/or  
181 bimolecular reactions of  $\text{RO}_2$  have been verified to be the pivotal SOA precursors both in  
182 nucleation itself and particle growth (Li et al., 2024; Nie et al., 2023). To explore the effect  
183 of  $\text{RO}_2$  fate on the isoprene-SOA, low volatile organic products in the chamber under  
184 different  $\text{NO}_x$  levels were analyzed by ToF-CIMS equipped with a nitrate ion source. Figure  
185 3 shows the mass spectra of the gas products in the chamber under 712 ppb and 2060 ppb  
186  $\text{NO}_x$  levels. The product signal intensity was normalized by the sum of ion source signals  
187 ( $\text{I}_{\text{NO}_3^-} + \text{I}_{\text{HNO}_3\text{NO}_3^-} + \text{I}_{\text{HNO}_3\text{HNO}_3\text{NO}_3^-}$ ). As shown in Figure 3, monomers  $\text{C}_5\text{H}_{8-11}\text{N}_{2,3}\text{O}_{8-11}$ , dimers  
188 including  $\text{C}_6\text{H}_{10}\text{N}_2\text{O}_{14}$ ,  $\text{C}_{10}\text{H}_{17}\text{N}_3\text{O}_{14,16}$ , and larger oligomers including  $\text{C}_{12}\text{H}_{20}\text{NO}_{17}$ ,  
189  $\text{C}_{15}\text{H}_{20}\text{N}_2\text{O}_{14}$  and  $\text{C}_{15}\text{H}_{17}\text{N}_2\text{O}_{17}$  are the most significant signals at different  $m/z$  range  
190 observed by the CIMS. These low volatile organic products was mainly produced from  
191 different  $\text{RO}_2$  chemistry (Zhao et al., 2021; Chen et al., 2022).

192 Figure S1 illustrates the simplified formation mechanism of four monomers in this system.  
193  $\text{C}_5\text{H}_8\text{N}_2\text{O}_8$  is formed from the H shift and unimolecular autoxidation of the  $\text{C}_5\text{H}_8\text{NO}_5\text{-RO}_2$ .  
194 The primary  $\text{RO}_2$  radicals  $\text{C}_5\text{H}_9\text{O}_3$  could react with NO to produce  $\text{C}_5\text{H}_9\text{NO}_4$ , which can  
195 react with OH radicals and NO sequentially to form  $\text{C}_5\text{H}_{10}\text{N}_2\text{O}_8$ .  $\text{C}_5\text{H}_9\text{O}_3$  could also react



196 with nitrate radicals, NO or HO<sub>2</sub> radicals sequentially to form C<sub>5</sub>H<sub>9</sub>N<sub>3</sub>O<sub>10</sub> or C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>9</sub>.  
197 The polymers mainly produced from the RO<sub>2</sub> multiple bimolecular reactions, in which the  
198 HOMs accretion products (HOMs-ACCs) generated by RO<sub>2</sub> self- and cross-reactions have  
199 an important influence on the generation of new particles (Kulmala et al., 2013; Berndt et  
200 al., 2018).

201 Figures 4a and b show the time series of these products during the reaction. Because the  
202 rate coefficients of OH-oxidation were higher than NO<sub>3</sub>-oxidation and unimolecular  
203 autoxidation, the formation of C<sub>5</sub>H<sub>10</sub>N<sub>2</sub>O<sub>8</sub> was faster than other products and displayed  
204 higher concentration (Wennberg et al., 2018). When the reaction went on for 30 min, particle  
205 formation and diameter growth were observed by SMPS (Figure 4c). At the same time, the  
206 signals of lower m/z gaseous products rapidly decreased (Figure 4a), but signals of the higher  
207 m/z products increased first and then decreased, indicating that the roles of these compounds  
208 on SOA formation were different. The latter may be related to the nucleation and generation  
209 of new particles, while the former may contribute to the particle growth by condensing on  
210 the new particles. Such a conclusion can be confirmed by the volatility of VOCs that  
211 determines their effectiveness on SOA formation. The saturation vapor pressure (C\*) of these  
212 VOCs were calculated (calculation method shown in Text S2) and the results were displayed  
213 in Table S1. Based on the log C\* values, we found that the HOMs-ACCs are ultralow  
214 volatility organic compounds (ULVOCs, log<sub>10</sub> C\* < -8.5), which are the critical compounds  
215 in nucleation, and others are low volatility organic compounds (LVOCs, -4.5 < log<sub>10</sub> C\* <  
216 -0.5) and extremely low volatility organic compounds (ELVOCs, -8.5 < log<sub>10</sub> C\* < -4.5).  
217 As the HOMs-ACCs increased, nucleation occurred and new particles were formed. After  
218 isoprene were depleted, the increasing of HOMs-ACCs levels ceased and started to reduce,  
219 while LVOCs, ELVOCs started to condense on the surface of the new particles, resulting in  
220 the increases in particle diameter. The continuous increase of particle diameters after 90 min  
221 was mainly due to the condensation of LVOCs and ELVOCs on particles and collision  
222 between particles (Tu and Johnston, 2017).

223 When 2060 ppb of NO<sub>x</sub> was injected into the system, as shown in Figures 3 a and b, the  
224 signals of most of products are lower obviously than those at 712 ppb NO<sub>x</sub>, especially for  
225 HOM-ACCs (Figure 3b). Such a similar inhibition of excess NO<sub>x</sub> on HOMs formation was





226 also observed by Rissanen et al. (2018), who found that the addition of excess NO<sub>2</sub>  
227 intercepted the autoxidation of the acylperoxy radicals and inhibited gas-phase highly  
228 oxidized dimer products in their cyclohexene ozonolysis experiments. Nie et al. (2023)  
229 reported that when the reaction of RO<sub>2</sub> with NO became the main sink for RO<sub>2</sub>, HOMs  
230 production was inhibited in their experiments. These results indicated that the addition of  
231 excess NO<sub>x</sub> into the chamber could interfere with the formation of E/ULVOC from isoprene-  
232 RO<sub>2</sub> autoxidation/bimolecular reaction, thereby terminating the subsequent reaction pathway.

### 233 **3.3 Relative changes in oxidation pathway**

#### 234 **3.3.1 The influence of oxidants on SOA yield**

235 Figure S2 shows the variations in the concentrations of OH and O<sub>3</sub> under different NO<sub>x</sub>  
236 levels. By calculating the reaction rate constants of isoprene with OH and O<sub>3</sub> ( $1.0 \times 10^{-10}$   
237 and  $1.3 \times 10^{-17}$  cm<sup>3</sup> molecules<sup>-1</sup> s<sup>-1</sup>, respectively) (Ziemann and Atkinson, 2012) and their  
238 concentrations, we found that the reaction rate of isoprene with O<sub>3</sub> was one order of  
239 magnitude lower than its reaction with OH. Thus, the OH radicals were much more  
240 important than O<sub>3</sub> in the oxidation of isoprene in this study. The concentrations of OH  
241 appeared a nonlinear variation trend with the increasing NO<sub>x</sub>, similar to the SOA yield.  
242 Increasing NO<sub>x</sub> can promote the formation of OH radicals via Eq.(1-5) under 365 nm  
243 irradiation conditions. However, as shown in Eq.(6), excessively high NO<sub>x</sub> consumes OH  
244 can also inhibit OH regeneration (Sarrafzadeh et al., 2016). Thus, the variation of OH may  
245 be one important reason resulting in the nonlinear effects of NO<sub>x</sub> levels on SOA yield .



246 Generally, the role of NO<sub>3</sub> radicals on SOA formation is ignored, because NO<sub>3</sub> can be  
247 photolyzed under irradiation (Stark et al., 2007). However, as mentioned in section 3.2,  
248 NO<sub>3</sub> radicals formed from the reaction of NO<sub>x</sub> and O<sub>3</sub> could also contribute to the  
249 production of HOMs and SOA due to high concentration NO<sub>2</sub> in this study. Hence the role  
250 of NO<sub>3</sub> in SOA formation under different NO<sub>x</sub> levels was analyzed in this work. Figure S3  
251 shows the NO<sub>3</sub> concentrations during different NO<sub>x</sub> levels, which reached up to 0.46 ppb  
252 ( $1.13 \times 10^{10}$  molecules cm<sup>-3</sup>), rendering the reaction rates of isoprene with NO<sub>3</sub> similar to  
253 that with OH radicals and further suggesting the importance of NO<sub>3</sub> in the oxidation of  
254 isoprene. The NO<sub>3</sub> formation was enhanced by the increasing NO<sub>x</sub>. However, it was



255 suppressed by the decreasing  $\text{NO}_3$  under very high  $\text{NO}_x$  concentrations due to the decrease  
256 of  $\text{O}_3$  levels. Figure S3b shows that the  $\text{NO}_3$  concentrations and SOA yield were strongly  
257 linearly positively correlated, indicating that the effective contribution of  $\text{NO}_3$  on SOA  
258 formation.

### 259 3.3.2 The influence of $\text{RO}_2$ fate on SOA yield

260 Different  $\text{RO}_2$  fate could be another reason lead to the nonlinear dependence of SOA  
261 yield on  $\text{NO}_x$  levels. To further investigate the difference of  $\text{RO}_2$  fate under various  $\text{NO}_x$   
262 levels, we compute the loss rate of all  $\text{RO}_2$  in the complex multigenerational oxidation of  
263 isoprene by OH,  $\text{O}_3$  and  $\text{NO}_3$  at different  $\text{NO}_x$  levels using OBM-MCM, and then group  
264 their reactions into  $\text{RO}_2+\text{NO}$ ,  $\text{RO}_2+\text{HO}_2$  and  $\text{RO}_2+\text{RO}_2$  (Figure 5a). The concentrations of  
265 NO and  $\text{HO}_2$  were shown in Figure 5(b). In the presence of  $\text{NO}_x$ ,  $\text{RO}_2+\text{NO}$  and  $\text{RO}_2+\text{HO}_2$   
266 are the main reactions of  $\text{RO}_2$ . When the concentration of  $\text{NO}_x < 577$  ppb,  $\text{RO}_2+\text{HO}_2$  was  
267 the main  $\text{RO}_2$  fate. The concentrations of  $\text{HO}_2$  and NO increased along with the increase of  
268  $\text{NO}_x$  concentrations, leading to an increase in the proportion of  $\text{RO}_2+\text{HO}_2$  and  $\text{RO}_2+\text{NO}$ .  
269 The low volatility hydroperoxides were the main products of  $\text{RO}_2+\text{HO}_2$ , which can  
270 contribute to new particle and SOA formation (Sarrafzadeh et al., 2016; Wennberg et al.,  
271 2018; Wang et al., 2023). In addition, when  $\text{RO}_2+\text{NO}$  is not a major sink for  $\text{RO}_2$ , the  
272 enhancement of  $\text{RO}_2+\text{NO}$  could promote the autoxidation of p-HOMs- $\text{RO}_2$  ( $n_0 < 7$ ) that can  
273 undergo autoxidation to produce HOMs by reacting with non-HOMs- $\text{RO}_2$  that cannot  
274 autoxidize (Li et al., 2022). HOMs are low volatility and can condense on particle phase to  
275 form SOA. Therefore, the SOA yield increased with the increasing  $\text{NO}_x$  concentrations.  
276 The more HOMs formed, the higher oxidation state of SOA. However, when  $\text{NO}_x > 577$   
277 ppb, the concentrations of  $\text{HO}_2$  decreased due to being consumed by abundant NO, which  
278 caused the decreases of the fraction of  $\text{RO}_2+\text{HO}_2$  and the hydroperoxides concentrations.  
279 On the contrary, with the elevation of NO, the fraction of  $\text{RO}_2+\text{NO}$  increased. When NO  
280 was a major sink for p-HOMs- $\text{RO}_2$ , the reaction can generate RO radicals and volatile  
281 products but inhibit the autoxidation reaction of p-HOMs- $\text{RO}_2$ . Previous studies reported  
282 that RO autoxidation also was also an important pathway in HOMs formation (Nie et al.,  
283 2023). Therefore, the effect of NO on HOMs formation was nonlinear and complicated.  
284 While the influence of  $\text{NO}_2$  on HOMs formation was specific, which can abort the chain



285 reaction of acyl RO<sub>2</sub> (Rissanen, 2018).

286 The yield turning point for SOA is considered to be the result of competition between the  
287 NO and HO<sub>2</sub> reaction pathways, which can be represented by the branching ratio term ( $\beta$ ) to  
288 denote the competitive relationship between the two RO<sub>2</sub> fates. The  $\beta$  parameter can be  
289 calculated using Eq.(7)

$$290 \quad \beta = \frac{k_{RO_2+NO}[NO]}{k_{RO_2+HO_2}[HO_2]+k_{RO_2+NO}[NO]} \quad (7)$$

291 where  $k_{RO_2+NO}$  ( $8.8 \times 10^{-12}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>) and  $k_{RO_2+HO_2}$  ( $17.4 \times 10^{-12}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>)  
292 are the reaction constants of RO<sub>2</sub> with NO and HO<sub>2</sub> (Peng et al., 2022); [HO<sub>2</sub>] and [NO] are  
293 the gas-phase concentrations of HO<sub>2</sub> and NO, respectively.

294 In this study,  $\beta$  presented the same nonlinear dependence with SOA yield (Figure 6). At  
295  $\beta$  of 0.5, the SOA yield was the maximum when the reaction rates of RO<sub>2</sub>+HO<sub>2</sub> and RO<sub>2</sub>+NO  
296 were equal and the [NO]/[HO<sub>2</sub>] ratio was 2. It could be assumed that the turning point of  
297 SOA yield from isoprene photooxidation may be controlled by [NO]/[HO<sub>2</sub>] ratio. The  
298 inference was consistent with Kroll et al. (2006). When the [NO]/[HO<sub>2</sub>] < 2, the RO<sub>2</sub>+HO<sub>2</sub>  
299 was the dominant fate and the low volatility hydroperoxides were formed; while the  
300 RO<sub>2</sub>+NO would compete with RO<sub>2</sub>+HO<sub>2</sub> and inhibit the formation of the low volatility  
301 hydroperoxides when [NO]/[HO<sub>2</sub>] > 2. Compared with previous work, the [NO<sub>x</sub>]/[VOCs]  
302 ratios corresponding to the yield turning point with or without OH radical precursors in the  
303 reaction systems were about 3 and 0.77 (in this work) respectively (Table 2). Higher OH  
304 concentration would lead to higher HO<sub>2</sub> and increased the corresponding [NO<sub>x</sub>]/[Isoprene]  
305 ratio of the turning point.

#### 306 4. Conclusion

307 In this study, isoprene photo-oxidation experiments with different concentrations of  
308 NO<sub>x</sub> were carried out and a nonlinear dependence of SOA mass yield on NO<sub>x</sub> concentrations  
309 was found. In the presence of NO<sub>x</sub>, abundant low volatile gaseous organic products can be  
310 formed from the gas-phase multiple autoxidation and/or bimolecular reactions of RO<sub>2</sub>.  
311 ULVOC are the critical compounds in nucleation, and LVOC and ELVOC can condense on  
312 the surface of particles readily resulting in increasing in particle diameter. Excess NO<sub>x</sub> can



313 interfere with the formation of E/ULVOC from isoprene-RO<sub>2</sub> autoxidation/bimolecular  
314 reaction, thereby suppressed the SOA formation.

315 The nonlinear dependence of SOA yield is mainly the result of nonlinear variations of  
316 oxidants concentrations and competition between RO<sub>2</sub> reaction pathways. Both OH and NO<sub>3</sub>  
317 can contribute the SOA formation effectively in the reaction due to the high NO<sub>2</sub> levels. The  
318 OBM-MCM model results showed that RO<sub>2</sub>+HO<sub>2</sub> and RO<sub>2</sub>+NO are the main RO<sub>2</sub> pathways.  
319 When RO<sub>2</sub>+HO<sub>2</sub> was the dominant RO<sub>2</sub> sink, the enhancement of RO<sub>2</sub>+HO<sub>2</sub> and RO<sub>2</sub>+NO  
320 pathways along with an increasing NO<sub>x</sub> level would promote the generation of low volatility  
321 gaseous products, thus promoting the generation and growth of new particles. But, with the  
322 further increase of NO<sub>x</sub>, the RO<sub>2</sub>+NO became the main RO<sub>2</sub> pathway, which not only  
323 inhibited RO<sub>2</sub> autoxidation reactions, but also inhibited the RO<sub>2</sub>+HO<sub>2</sub> pathway, thus  
324 affecting nucleation and condensation of low volatility gaseous products. We found that  
325 when the proportion of these two pathways is equal, the SOA yield is the highest. These  
326 results are important for further understanding of isoprene oxidation in the atmosphere.

327 **Author contributions.** GW designed the whole work. XX, SZ and GW performed the  
328 data interpretation and wrote the paper. All authors contributed to the paper with useful  
329 scientific discussions or comments.

330 **Competing interests.** The authors declared that they have no conflict of interest.

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## Figure Captions

485

486 **Figure 1.** Time profiles for a typical experiment (exp. 5).

487

488 **Figure 2.** NO<sub>x</sub> dependence of SOA: (a) SOA yield. (b) Particle surface, diameter and particle  
489 number concentration at the highest SOA mass. (c) OS<sub>C</sub> from AMS

490

491 **Figure 3.** An example of NO<sub>3</sub><sup>-</sup> chemical ionization mass spectra illustrating the  
492 suppression of highly oxygenated organic molecules formation by NO<sub>x</sub>. The upper panel  
493 shows spectrum measured at 712 ppb NO<sub>x</sub> (the highest yield level) and the lower panel  
494 shows the spectrum measured at 2060 ppb NO<sub>x</sub> (excess NO<sub>x</sub>, no SOA was produced). Both  
495 experiments were measured throughout using ToF-CIMS only. Gas phase species  
496 identification is based on the literature references as follows: (a) Chen et al. (2022), (b)  
497 Zhao et al. (2021), (c) Rissanen (2018), (d) Wu et al. (2021).

498

499 **Figure 4.** Isoprene SOA nucleation process: (a) evolution of key HOMs detected by ToF-  
500 CIMS under 712 ppb NO<sub>x</sub> condition; (b) evolution of key HOMs-ACCs detected by ToF-  
501 CIMS under 712 ppb NO<sub>x</sub> condition; (c) particle diameter detected by SMPS under 712 ppb  
502 NO<sub>x</sub> condition.

503

504 **Figure 5.** (a) Loss rates of main RO<sub>2</sub> from different oxidation pathways as a function of NO<sub>x</sub>  
505 concentration calculated by MCMv3.3.1. (b) Variations of NO and HO<sub>2</sub> concentrations.

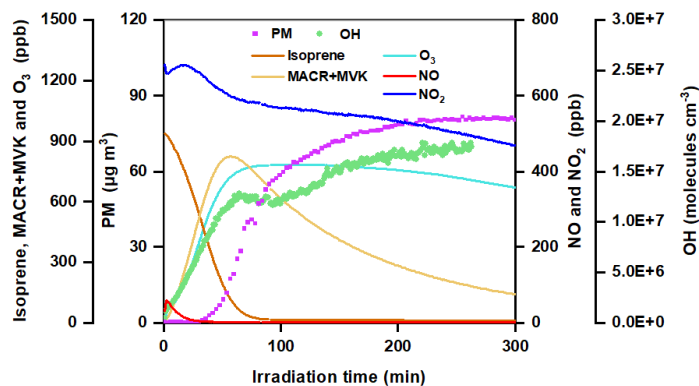
506

507 **Figure 6.** The relationship between  $\beta$  and SOA yield; color mapping from NO<sub>x</sub>.

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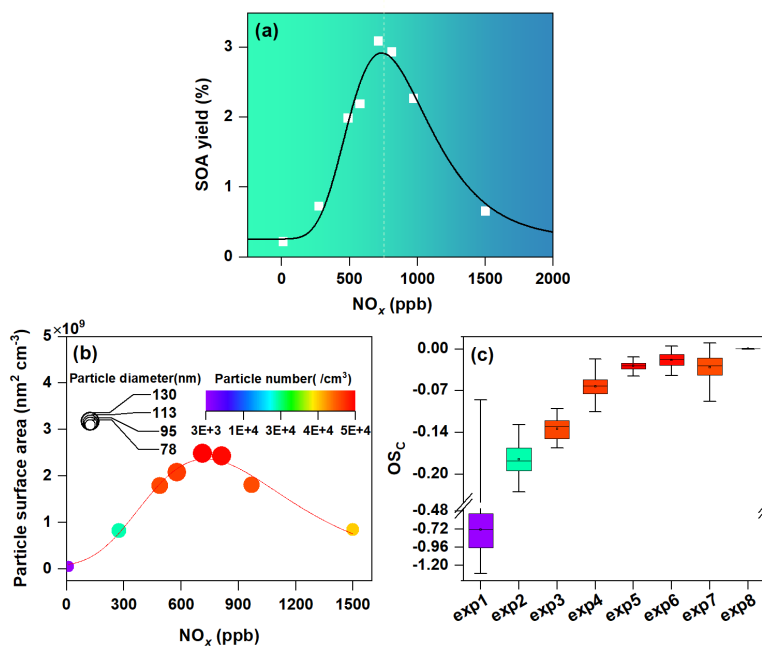
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**Figure 1.** Time profiles for a typical experiment (exp. 5).

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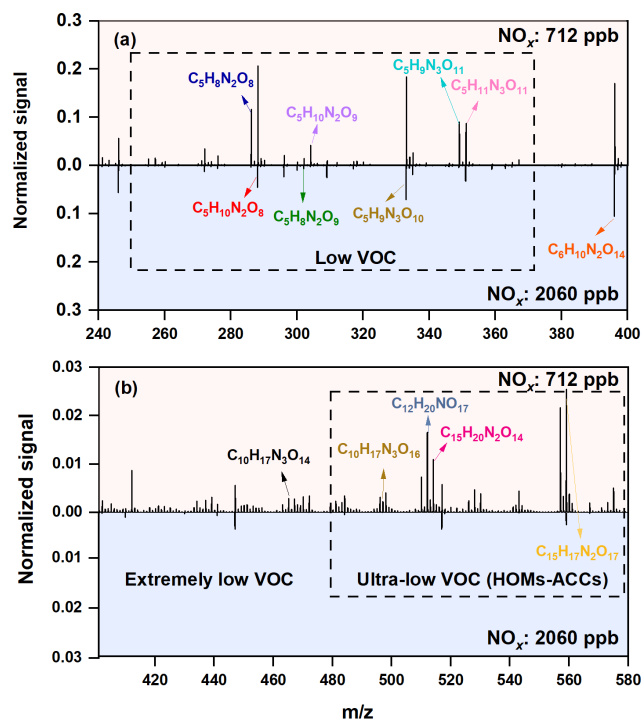
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**Figure 2.**  $\text{NO}_x$  dependence of SOA : (a) SOA yield. (b) Particle surface, diameter and particle number concentration at the highest SOA mass. (c)  $\text{OSC}$  from AMS

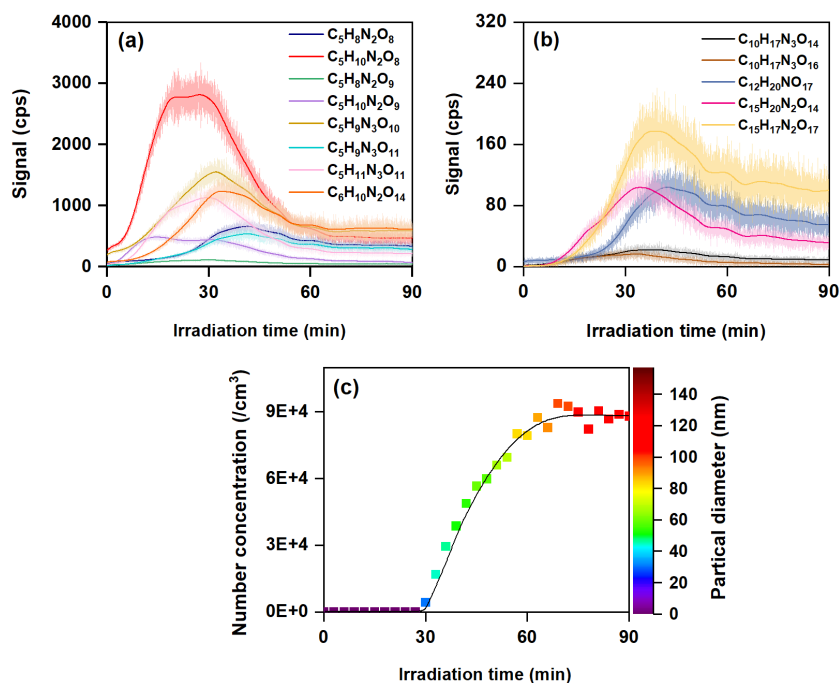
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520 **Figure 3.** An example of  $\text{NO}_3^-$  chemical ionization mass spectra illustrating the suppression of highly  
521 oxygenated organic molecules formation by  $\text{NO}_x$ . The upper panel shows spectrum measured at 712 ppb  
522  $\text{NO}_x$  (the highest yield level) and the lower panel shows the spectrum measured at 2060 ppb  $\text{NO}_x$   
523 (excess  $\text{NO}_x$ , no SOA was produced). Both experiments were measured throughout using ToF-CIMS  
524 only. Gas phase species identification is based on the literature references as follows: (a) Chen et al.  
525 (2022), (b) Zhao et al. (2021), (c) Rissanen (2018), (d) Wu et al. (2021).

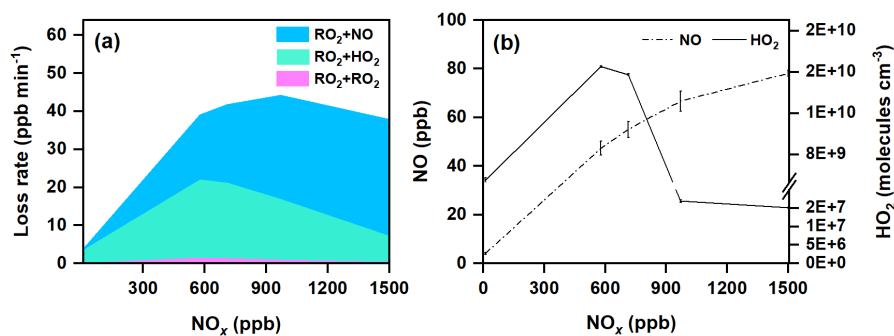
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528 **Figure 4.** Isoprene SOA nucleation process: (a) evolution of key HOMs detected by ToF-CIMS under  
529 712 ppb  $NO_x$  condition; (b) evolution of key HOMs-ACCs detected by ToF-CIMS under 712 ppb  $NO_x$   
530 condition; (c) particle diameter detected by SMPS under 712 ppb  $NO_x$  condition.

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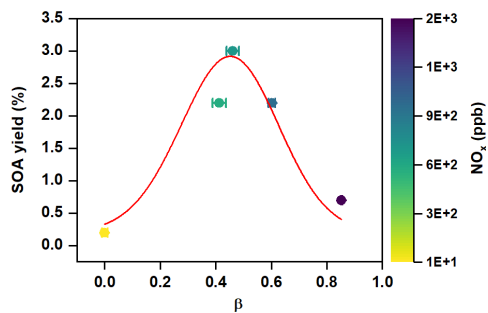


532

533 **Figure 5.** (a) Loss rates of main RO<sub>2</sub> from different oxidation pathways as a function of NO<sub>x</sub>

534 concentration calculated by MCMv3.3.1. (b) Variations of NO and HO<sub>2</sub> concentrations.

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**Figure 6.** The relationship between  $\beta$  and SOA yield; color mapping from NO<sub>x</sub>.



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### Table Captions

541

542 **Table 1.** Detailed experimental conditions in this Study.

543

544 **Table 2.** The ratio of productivity turning point and corresponding production field in  
545 different VOC-OH oxidations experiments.

546



547

**Table 1.** Detailed experimental conditions in this Study.

Exp. no	ISOP (ppb)	NO <sub>0</sub> (ppb)	NO <sub>2,0</sub> (ppb)	NO <sub>x,0</sub> (ppb)	[NO <sub>x</sub> ] <sub>0</sub> /[ISOP] <sub>0</sub> (ppb ppb <sup>-1</sup> )	ΔISOP (ppb)	O <sub>3</sub> (ppb)	ΔM (μg m <sup>-3</sup> )	SOA yield (%)	Corrected SOA yield (%)
1	996	4	6	10	0.01	153	32	1.1	0.1%	0.2%
2	1030	18	255	273	0.27	975	466	20.0	0.6%	0.7%
3	890	7	481	488	0.55	877	734	49.2	1.5%	2.0%
4	989	20	557	577	0.58	976	703	60.3	1.7%	2.2%
5	936	29	683	712	0.76	925	783	80.6	2.3%	3.0%
6	939	40	770	811	0.86	927	818	76.7	2.2%	2.9%
7	957	43	927	970	1.01	948	879	60.7	1.4%	2.2%
8	976	49	1451	1500	1.54	962	708	17.8	0.6%	0.7%
9	1014	60	2000	2060	2.03	985	301	-	-	-

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553 **Table 2.** The ratio of productivity turning point and corresponding production field in different VOC-OH  
 554 oxidations experiments.

VOC	Seed	OH precursor	RH (%)	[NO <sub>x</sub> ]/[VOC]	SOA yield (%)	Reference
Isoprene	/	/	<15	0.77	3.0	This study
Isoprene	(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	H <sub>2</sub> O <sub>2</sub>	<10	3.0	5.5	Kroll et al. (2006)
Isoprene	/	H <sub>2</sub> O <sub>2</sub>	<5	3.0	8.5	Xu et al. (2014)
Isoprene	/	CH <sub>3</sub> ONO	9-11	5.0	7.4	Chan et al. (2010)

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