



# Exploring Atmospheric Nitrate Formation Mechanisms during the Winters of 2013 and 2018 in the North China Region via Modeling and Isotopic Analysis

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## Abstract.

Nitrate ( $\text{NO}_3^-$ ) has surpassed sulfate as the dominant secondary inorganic ion, posing a significant challenge to air quality improvement in China. We utilized the WRF-CMAQ model and isotopic analysis to investigate nitrate formation mechanisms in inland and coastal cities in North China during the winters  
15 of 2013 and 2018. Among the seven nitrate formation pathways, the oxidation reaction of OH radicals with  $\text{NO}_2$  ( $\text{OH} + \text{NO}_2$ ) and the heterogeneous reaction of  $\text{N}_2\text{O}_5$  ( $\text{hetN}_2\text{O}_5$ ) were dominant pathways (88%-95.5%  $\text{NO}_3^-$ ), while others contributed less than 12.4%. In inland cities, 63.7%-85.6% of nitrate formed via  $\text{OH} + \text{NO}_2$ , and 8.3%-27.7% from  $\text{hetN}_2\text{O}_5$ . In coastal cities, about half of nitrate (48.2%-56.5%) was produced from  $\text{OH} + \text{NO}_2$ , while  $\text{hetN}_2\text{O}_5$  contributed 37.0%-45.7% due to higher  $\text{N}_2\text{O}_5$  concentrations  
20 and longer  $\text{NO}_3$  radical lifetimes. Compared with 2013, the  $\text{OH} + \text{NO}_2$  contribution in 2018 increased by 7.6% in inland cities and 3.6% in coastal cities, driven by greater atmospheric oxidizing capacity. Scenario simulations showed that a 60% reduction in  $\text{NO}_x$  emissions could lower nitrate levels by 38.4%, while combined reductions in  $\text{NH}_3$ ,  $\text{NO}_x$ , and VOCs led to a 59.8% decrease, from  $14.6 \mu\text{g}/\text{m}^3$  to  $5.9 \mu\text{g}/\text{m}^3$ . These results highlight the need for comprehensive strategies targeting  $\text{NH}_3$ ,  $\text{NO}_x$ , and VOCs to  
25 reduce nitrate pollution.

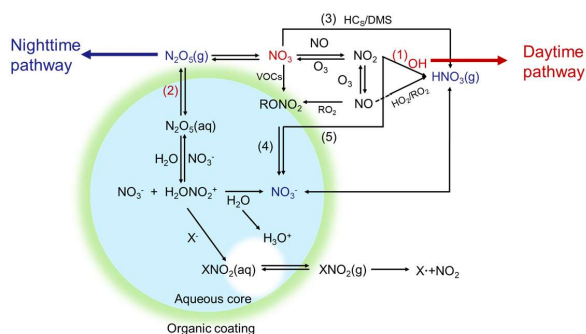
## 1 Introduction

As a key component of fine particulate matter ( $\text{PM}_{2.5}$ ), nitrate ( $\text{NO}_3^-$ ) exacerbates health risks, such as respiratory and cardiovascular diseases and premature death (Tie et al., 2009; Sun et al., 2014). Nitrate



substantially affects the physical and chemical properties of particulates, such as their hygroscopicity,  
30 light absorption, and acidity (Cao et al., 2013; Wang et al., 2023). These properties directly influence the  
atmospheric radiation balance (Ramanathan and Feng, 2009; Tegen et al., 2000), atmospheric visibility  
and air quality. Furthermore, nitrate serves as a key source of cloud condensation nuclei, affecting cloud  
formation and precipitation patterns, which subsequently influence the global water cycle and climate  
regulation (Yu et al., 2020; Kalkavouras et al., 2019). Moreover, the photolysis process of nitrate in  
35 atmospheric boundary layers is highly active, serving as an important source of NO<sub>x</sub> and regulating the  
atmospheric oxidation capacity, thus influencing the formation of secondary pollutants such as sulfate  
(SO<sub>4</sub><sup>2-</sup>) and brown carbon (BrC) (Ye et al., 2016; Xue et al., 2019; Zheng et al., 2020; Yang et al., 2021).  
Therefore, nitrate is closely related to regional haze pollution occurrence (Zhai et al., 2021; Zhang et al.,  
2021; Xu et al., 2019a; Fu et al., 2020; Xu et al., 2019b). Understanding the formation mechanism of  
40 nitrate is essential not only for advancing the atmospheric chemical processes but also for providing  
scientific evidence to develop effective strategies for mitigating regional haze pollution.

In tropospheric atmospheres, nitrate formation primarily follows two pathways (Figure 1). During the  
daytime, NO<sub>2</sub> is oxidized by hydroxyl radicals (OH) to produce gaseous HNO<sub>3</sub> (R1). Conversely, at night,  
O<sub>3</sub> oxidizes NO<sub>2</sub>, leading to the formation of the NO<sub>3</sub> radical, which combines with NO<sub>2</sub> to form N<sub>2</sub>O<sub>5</sub>.  
45 This compound can subsequently be absorbed onto particles via heterogeneous reactions, resulting in the  
formation of HNO<sub>3</sub> (R2) (Hallquist et al., 1999; Pathak et al., 2011). These dynamics highlight the  
intrinsic dependence of atmospheric nitrate formation on NO<sub>x</sub> and oxidants, notably O<sub>3</sub> and OH radicals.  
The generation of OH and O<sub>3</sub> is intricately linked to the photochemical reactions of NO<sub>x</sub> and volatile  
organic compounds (VOCs) (Atkinson, 2000). OH radicals and hydroperoxyl radicals (HO<sub>2</sub>) are  
50 produced via the photolysis of ozone (O<sub>3</sub>), nitrous acid (HONO), oxygenated volatile organic compounds  
(OVOCs), and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), as well as via reactions between O<sub>3</sub> and VOCs. Subsequently,  
OH reacts with VOCs to generate organic peroxy radicals (RO<sub>2</sub>) and HO<sub>2</sub>, which then recycle back to  
OH via their interaction with nitrogen monoxide (NO). During this cycle, NO is transformed into NO<sub>2</sub>,  
which, upon photolysis, yields O<sub>3</sub> (Fu et al., 2020).



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**Figure 1 Formation mechanisms of nitrate in the atmosphere (Wang et al., 2023)**

Nitrate formation mechanisms exhibit significant regional differences, especially in winter. For example, coastal areas, which are influenced by high humidities, high sea salt levels and the combined effect of marine emissions and air masses (Zhong et al., 2023; Athanasopoulou et al., 2008; Zhao et al., 2024), often exhibit nitrate formation mechanisms that are distinct from those in inland regions. In Beijing, which is an inland city, the contribution of the OH pathway to winter nitrate formation is 66–92%, whereas the contribution of the heterogeneous reaction of the  $N_2O_5$  (het $N_2O_5$ ) pathway ranges from 8–34% (Chen et al., 2020). In Xi'an, which is situated in the Guanzhong Basin, the contribution of the het $N_2O_5$  pathway to winter nitrate formation ranges from 13% to 35% (Wu et al., 2021). In coastal cities in China, the contribution of the OH pathway is greater than that in inland cities, whereas the het $N_2O_5$  pathway plays a more significant role. In Shanghai, the contribution of the OH +  $NO_2$  pathway to winter nitrate formation is 48–74% (He et al., 2020). In Xiamen, the contributions of the OH, het $N_2O_5$ , and  $NO_3$  + HC pathways to winter nitrate formation is 20.2%, 38.2%, and 21.6%, respectively (Li et al., 2022). Broader coastal studies have revealed similar significant regional differences. Michalski et al. (2003) noted that in coastal California, approximately 90% of winter nitrate originates from the het $N_2O_5$  pathway. Kunasek et al. (2008) reported that in the polar environment of Greenland, nearly all nitrate is formed via the  $NO_3$  + HC/DMS and het $N_2O_5$  pathways in winter, with contributions of 60% and 40%, respectively. Furthermore, the impacts of continental and marine air masses on nitrate formation mechanisms differ. In the South Yellow Sea, under the dominance of marine air masses, the contributions of the OH +  $NO_2$ ,  $NO_3$  + HC/DMS, and het $N_2O_5$  pathways to nitrate formation are 43.9%, 22.4%, and 33.6%, respectively, while the contributions (12.6%, 59.0%, and 28.4%, respectively) in the Bohai and North Yellow Seas are affected by continental pollution (Zhao et al., 2024). These studies have confirmed substantial regional differences in nitrate formation mechanisms. However, present research remains



confined to individual regions, and a thorough land–ocean comparison analysis is lacking. Additionally,  
80 the environmental factors and interactions driving these differences have not been systematically  
explored, leading to a limited understanding of the regional differences in nitrate formation mechanisms.  
The North China Plain (NCP) region is a focal point because of regional winter haze issues, which  
consistently ranks among the areas with the highest concentrations of PM<sub>2.5</sub> globally (Chen et al., 2016).  
Before 2013, sulfate was the primary pollutant during haze events in the NCP, comprising a significant  
85 proportion of PM<sub>2.5</sub> (Li et al., 2019). In 2013, the Clean Air Action Plan (CAAP) was initiated in China  
to reduce PM<sub>2.5</sub> concentrations and promote air quality improvement (Air Pollution Prevention and  
Control Action Plan, 2013). Following the initiation of this clean air campaign, winter haze pollution  
shifted from being dominated by sulfate to being dominated by nitrate (Li et al., 2019; Xu et al., 2019a).  
According to data from the Multiresolution Emission Inventory for China (MEIC) (Zheng et al., 2018)  
90 from 2013 to 2017, anthropogenic SO<sub>2</sub>, NO<sub>x</sub>, CO, and NH<sub>3</sub> emissions decreased by 59%, 21%, 23%,  
and -3%, respectively. Correspondingly, the national annual average PM<sub>2.5</sub> level decreased by 30–50%  
from 2013–2018 (Zhai et al., 2019). Therefore, emission reduction measures led to a substantial decrease  
in the CO and SO<sub>2</sub> levels, as well as a reduction in particulate sulfate concentrations. However, the  
reduction in particulate nitrate levels was smaller than anticipated. In major cities, the mass concentration  
95 of nitrate has even increased (Xu et al., 2019b; Shao et al., 2018; Zhou et al., 2019; Fu et al., 2020). The  
difference in these changes between nitrate and sulfate highlights the complex relationship between  
nitrate and its precursors, emphasizing the importance of fully understanding nitrate chemistry in  
particulate matter.

Currently, most studies have focused on nitrate formation mechanisms in inland cities (Fan et al., 2020b;  
100 Fu et al., 2020; Yang et al., 2024; Chen et al., 2020), but the formation mechanisms in coastal cities  
remain poorly understood. Therefore, it is necessary to compare nitrate formation mechanisms between  
inland and coastal cities, especially during periods dominated by different primary pollutants. To address  
this issue, we selected the winters of 2013, when the CAAP was initiated, and 2018, after the plan had  
concluded, to explore the nitrate formation mechanisms in five inland cities and two coastal cities on the  
105 NCP. This approach allowed us to better understand the evolution of major nitrate aerosol formation  
mechanisms before and after the implementation of emission control measures in inland and coastal  
regions. Overall, these results increase our understanding of the role of nitrate formation mechanisms in

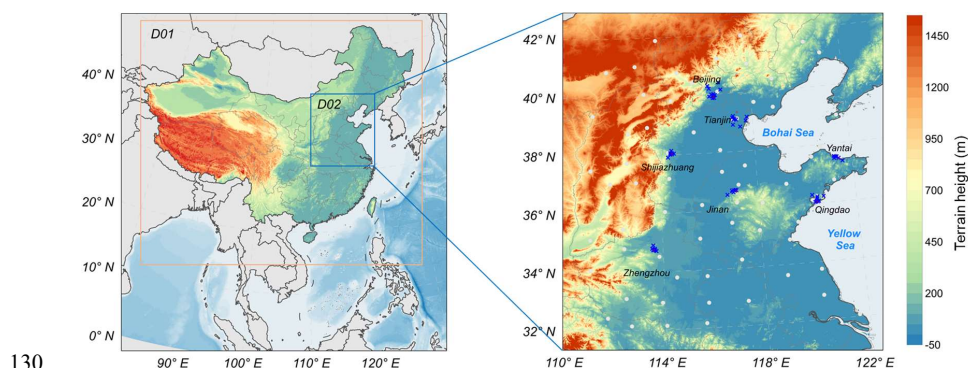


atmospheric chemistry.

## 2 Methods

### 110 2.1 Model configuration

The Community Multiscale Air Quality (CMAQ) model (version 5.3.3) was used to simulate the chemical reactions and physical processes that contribute to  $\text{NO}_3^-$  and  $\text{TNO}_3$  ( $\text{HNO}_3 + \text{NO}_3^-$ ) formation in the NCP region. Two distinct periods were considered, i.e., one period from December 1, 2013, to February 28, 2014, representing the period when the CAAP was initiated, and one period from December 1, 2018, to February 28, 2019, representing the period after the CAAP was completed. As shown in Figure 2, a dual-layer grid nesting method was implemented in our simulations. Domain 1 (D01) encompasses the majority of China with a 36-km horizontal resolution, whereas domain 2 (D02) covers the NCP with a 12-km horizontal resolution. The major cities within the NCP include Beijing (BJ), Tianjin (TJ), Shijiazhuang (SJZ), Jinan (JN), Zhengzhou (ZZ), Qingdao (QD), and Yantai (YT). The model was vertically segmented into 14 layers, stretching from the Earth's surface up to the troposphere, with the first layer height at approximately 31 m. The CB6 chemical mechanism was chosen to simulate gas-phase chemistry (Luecken et al., 2019; Yan et al., 2021) and the aerosol mechanism. Aerosol module version 7 (AERO7, Appel et al. 2020) was used to simulate particulate-phase chemistry. The initial and boundary conditions used as the inputs of D01 were provided via the GEOS-Chem global simulation results, and D02 was provided via D01. To minimize the impact of the initial conditions, we initiated the model run 7 days before the analysis period. Anthropogenic emission data were sourced from the MEIC source emission inventory maintained by Tsinghua University (<http://meicmodel.org/>), while biogenic emission estimates were derived from the Model of Emissions of Gases and Aerosols from Nature version 2.0.4 (MEGANv2.0.4, <http://lar.wsu.edu/megan/>).



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**Figure 2** Terrain heights of the NCP region and locations of the major cities (Beijing, Tianjin, Shijiazhuang, Jinan, Zhengzhou, Qingdao, and Yantai) within the study domain. The white dots indicate meteorological observation stations, and the blue crosses indicate air quality monitoring stations.

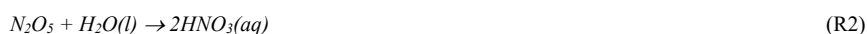
The required meteorological data were generated with the Weather Research and Forecasting Model (WRF) version 3.7, a system for predicting mesoscale weather patterns. The selection of physics options for this model conforms with the methodologies applied in our earlier studies (Liu et al., 2021; Chen et al., 2021). The WRF simulations in this study depend on the Final Operational Global Analysis (FNL) datasets, which are global reanalysis data with temporal and spatial resolutions of 6 hours and  $1^\circ \times 1^\circ$ , respectively, provided by the U.S. Environmental Prediction Center and the National Center for Atmospheric Research (<https://rda.ucar.edu/datasets/ds083-2/>). The WRF model outputs were subsequently processed via Model-3/CMAQ Modeling System Interface Processor (MCIP) version 4.3, ensuring compatibility with the CMAQ model format.

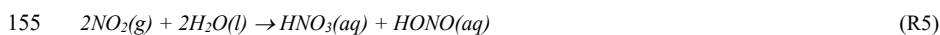
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## 2.2 Process analysis

Within the CMAQ modeling framework, the Integrated Reaction Rate (IRR) module of the Process Analysis (PA) tool was used to simulate the formation reactions of TNO<sub>3</sub> (HNO<sub>3</sub> + NO<sub>3</sub><sup>-</sup>). The reaction rates of the chemical reactions at each moment were provided by the IRR module, enabling the quantitative evaluation of the target reactions. The complex chemical formation of TNO<sub>3</sub> involves seven reaction pathways, which are categorized into three main pathways on the basis of their significance, i.e., OH + NO<sub>2</sub> (R1), hetN<sub>2</sub>O<sub>5</sub> (R2), and other formation pathways (R3 + R4 + R5 + R6 + R7). The chemical equations for these seven production reaction pathways are as follows:

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### 2.3 Observation data

In this study, the simulation results, including meteorological parameters and atmospheric pollutants, for the major cities in the NCP were validated in depth. The meteorological parameters included the 2-m temperature (T2), relative humidity (RH), 10-m wind speed (WS10), and 10-m wind direction (WD10). Atmospheric pollutants included the maximum 8-hour moving average ozone (MDA8O<sub>3</sub>), NO<sub>2</sub>, PM<sub>2.5</sub>, and PM<sub>2.5</sub> components.

#### 2.3.1 Meteorological data and sources

165 Meteorological data, comprising T2, WS10, WD10, and RH, were sourced from the National Climatic Data Center (NCDC) of the National Oceanic and Atmospheric Administration (NOAA) (<https://www.ncdc.noaa.gov/cdo-web/>, last access: 17 October 2023). Data were collected from a total of 68 stations, with a temporal resolution of either 3 hours or 1 hour.

#### 2.3.2 Atmospheric pollutant concentrations

170 Data of O<sub>3</sub>, NO<sub>2</sub>, and PM<sub>2.5</sub> concentrations were retrieved from the real-time national urban air quality dataset disseminated by the China Environmental Monitoring Center (<https://air.cnemc.cn:18007/>). Data of SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> concentrations were sourced from our own observations in Qingdao and published data (detailed information can be found in Table S3).

In Qingdao city, we collected total suspended particle (TSP) samples during two winter seasons. The TSP samples were collected on preheated quartz fiber filters with a high-volume (1.05 m<sup>3</sup> min<sup>-1</sup>) aerosol sampler (Qingdao Laoshan Electronics Co., Ltd., China). The sampling site was situated on the roof of Darwin Hall (36°06'N, 120°33'E, 16 m) at Ocean University of China, approximately 1 km away from the beach. Detailed information can be found in Ding et al. (2019). Water-soluble inorganic ions, such as NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, and NH<sub>4</sub><sup>+</sup>, were extracted with ultrapure water (> 18.2 MΩ·cm) via a Thermo Scientific Dionex ICS-1100 IC system, as reported in a previous study (Qi et al., 2020). The ionic concentrations in the TSP samples were calibrated by subtracting the concentrations in the blanks. The δ<sup>18</sup>O and δ<sup>15</sup>N

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values of  $\text{NO}_3^-$  in the TSP samples were determined via the denitrifier method (Casciotti et al., 2002; Sigman et al., 2001). For analytical calibration, four international reference standards were employed, namely, USGS32, USGS34, USGS35, and IAEA- $\text{NO}_3^-$ . The standard deviations of the replicates were  $\pm 0.2\%$  for  $\delta^{15}\text{N}-\text{NO}_3^-$  and  $\pm 0.5\%$  for  $\delta^{18}\text{O}-\text{NO}_3^-$ . The analytical procedures for determining  $\delta^{15}\text{N}-\text{NO}_3^-$  and  $\delta^{18}\text{O}-\text{NO}_3^-$  have been detailed in a previous study (Luo et al., 2021).

#### 2.4 Dual-isotopic Bayesian mixing model

The Bayesian isotope mixing model (Stable Isotope Analysis in R, SIAR) and dual-isotopic compositions ( $\delta^{15}\text{N}-\text{NO}_3^-$  and  $\delta^{18}\text{O}-\text{NO}_3^-$ ) determined in Qingdao and adapted from a reference study in Beijing (Zong et al., 2020; Fan et al., 2020b) were employed to estimate the contributions of OH radicals and the  $\text{hetN}_2\text{O}_5$  pathway to particulate  $\text{NO}_3^-$ . Eqs. (S1) to (S7) for calculating the isotopic compositions of nitrogen oxides in the atmosphere are provided in the Supporting Information. The atmospheric  $\delta^{15}\text{N}-\text{NO}_3^-$  and  $\delta^{18}\text{O}-\text{NO}_3^-$  can be expressed by Eqs. (S1) and (S2), respectively. The end-members of  $[\delta^{15}\text{N}-\text{HNO}_3]_{\text{OH}}$ ,  $[\delta^{15}\text{N}-\text{HNO}_3]_{\text{N}_2\text{O}_5}$ ,  $[\delta^{18}\text{O}-\text{HNO}_3]_{\text{OH}}$  and  $[\delta^{18}\text{O}-\text{HNO}_3]_{\text{N}_2\text{O}_5}$  can be expressed by Eqs. (S3), (S4), (S5) and (S6), respectively (Walters and Michalski, 2016). The  $\delta^{15}\text{N}$  values of tropospheric  $\text{NO}_x$  and the  $\delta^{18}\text{O}$  values of tropospheric  $\text{H}_2\text{O}_{(\text{g})}$ ,  $\text{NO}_x$ ,  $\text{O}_3$ , and OH occurred within a certain range, as described in Text S1. Therefore, the end-members of  $\delta^{15}\text{N}$  and  $\delta^{18}\text{O}$  for the two pathways can be estimated by  $f_{\text{NO}_2}$  (the molar ratio of  $\text{NO}_2$  and  $\text{NO}_x$ ) and the isotope fractionation values of nitrogen and oxygen, i.e.,  $\alpha_{\text{NO}_2/\text{NO}}$ ,  $\alpha_{\text{OH}/\text{H}_2\text{O}}$ , and  $\alpha_{\text{N}_2\text{O}_5/\text{NO}_2}$ . The nitrogen and oxygen isotope fractionations are temperature dependent and can be estimated via Eq. (S7) and Table S1. On the basis of the end-members of  $[\delta^{15}\text{N}-\text{HNO}_3]_{\text{OH}}$ ,  $[\delta^{15}\text{N}-\text{HNO}_3]_{\text{N}_2\text{O}_5}$ ,  $[\delta^{18}\text{O}-\text{HNO}_3]_{\text{OH}}$  and  $[\delta^{18}\text{O}-\text{HNO}_3]_{\text{N}_2\text{O}_5}$ , the contribution of the OH radical formation pathway ( $\gamma$ ) was estimated via the dual-isotopic Bayesian mixing model (Luo et al., 2021).

#### 2.5 Model evaluation

The following statistical indicators were used to evaluate the simulation effect: the mean deviation (MB), normalized mean deviation (NMB), normalized mean error (NME), correlation coefficient (R), root mean square error (RMSE), and index of agreement (IOA). The definitions and standards of all the statistical indicators are provided in Table S2. Emery et al. (2017) and Huang et al. (2021) proposed benchmarks for the concentrations of major air pollutants, including  $\text{PM}_{2.5}$ ,  $\text{NO}_2$ ,  $\text{MDA8O}_3$ , and  $\text{NO}_3^-$ . Similarly, Emery et al. (2001) provided benchmarks for major meteorological parameters such as T2, WS10 and





210 WD10.

## 2.6 Emission reduction scenario simulation design

Notably, emission reduction scenarios were designed to examine the effects of reducing NH<sub>3</sub>, NO<sub>x</sub>, and VOC emissions on nitrate concentrations in PM<sub>2.5</sub> in the NCP region. The simulations included single-pollutant reduction strategies for NH<sub>3</sub>, NO<sub>x</sub>, and VOCs, as well as combined reduction scenarios. For each pollutant, emissions were reduced by 20%, 40%, and 60%, with a focus on assessing the resulting influence on nitrate formation. The combined reduction scenarios entailed simultaneous reductions in NH<sub>3</sub>, NO<sub>x</sub>, and VOCs to evaluate synergistic effects. The nitrate concentration responses to these reductions were analyzed to determine the most effective strategies for controlling winter nitrate levels.

## 3 Results and discussion

### 3.1 Model evaluation

#### 3.1.1 Evaluation of meteorological parameters

**Table 1 Statistical performance of the modeled meteorological parameters in the NCP during the winters of 2013 and 2018 (68 sites).**

Parameters	Winter, 2013				Winter, 2018				Benchmark			
	MB	RMSE	IOA	R	MB	RMSE	IOA	R	MB	RMSE	IOA	R
T2/°C	0.60	3.25	0.93	0.88	1.15	3.14	0.94	0.90	≤±0.5	/	≥±0.8	/
RH/%	-3.67	17.53	0.82	0.69	-5.35	19.36	0.81	0.68	/	/	/	/
WS10/(m·s <sup>-1</sup> )	1.55	2.79	0.61	0.47	1.47	2.72	0.60	0.46	≤±0.5	≤±2.0	≥0.6	/
WD10/°	5.44	115.02	0.70	0.43	-1.65	121.00	0.69	0.42	≤±10	/	/	/

\* T2 denotes the 2-m temperature, RH denotes the relative humidity, WS10 denotes the 10-m wind speed, and

225 WD10 denotes the 10-m wind direction.

The statistical model performance for meteorological parameters such as T2, WS10, WD10, and RH in the NCP is summarized in Table 1, covering the winter months from December 2013 to February 2014 (winter, 2013) and from December 2018 to February 2019 (winter, 2018). The simulated T2 and RH values exhibited satisfactory reproducibility, with temperature simulations exhibiting slightly higher MB values above the recommended threshold (MB≤±0.5). The simulated wind speeds during both winters were slightly overestimated, with the RMSE slightly exceeding the good model performance criterion (2 m/s; Emery et al. (2001)), whereas the wind direction results fully satisfied the criterion. The observed wind speed overestimation by the WRF model could be attributed to its inability to accurately capture the impact of high aerosol loadings on shortwave radiation in winter, which likely reduced the near-surface wind speed (Tan et al., 2017; Jacobson and Kaufman, 2006). In general, the meteorological field



simulations of the WRF model are reliable and can effectively reveal the changes in various meteorological elements in the NCP.

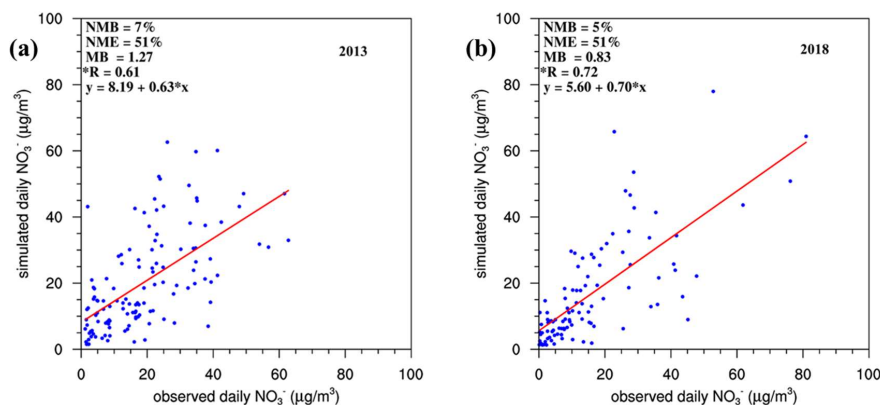
### 3.1.2 Evaluation of atmospheric pollutants

As indicated in Table 2, the model exhibited a favorable simulation ability for the concentrations of NO<sub>2</sub>, MDA8O<sub>3</sub> and PM<sub>2.5</sub> pollutants in the NCP region. Specifically, the simulations accurately captured NO<sub>2</sub> concentrations, with NMB values ranging from -30% to 32%. Notably, during the winter of 2018, the simulated NO<sub>2</sub> concentration in Beijing was slightly higher, exceeding the acceptable range by 2%, whereas that in other cities remained within the acceptable range. The MDA8O<sub>3</sub> simulations generally exhibited slight underestimation, whereas in Tianjin, Shijiazhuang, and Zhengzhou, the simulations slightly exceeded the standard. This was primarily due to the uncertainty in anthropogenic VOC emissions (Wang et al., 2014), making accurate ozone simulations particularly challenging (Sun et al., 2022; Yang et al., 2024). The PM<sub>2.5</sub> simulations were generally accurate, although the simulated concentrations in Tianjin during the winter of 2013 were slightly elevated, with an NMB of 32%, exceeding the standard by 2%, but those in the other cities met the standard requirements.

**Table 2 Model performance for the major air pollutants in typical cities of the NCP during the winters of 2013 and 2018.**

City	Pollutants (µg/m <sup>3</sup> )	2013 winter				2018 winter			
		NMB	NME	MB	R	NMB	NME	MB	R
Beijing (BJ)	MDA8 O <sub>3</sub>	-5%	25%	-2.04	0.76	-13%	25%	-6.80	0.68
	NO <sub>2</sub>	1%	19%	0.08	0.89	32%	46%	13.85	0.73
	PM <sub>2.5</sub>	-16%	28%	-17.41	0.88	-10%	45%	-5.11	0.64
Tianjin (TJ)	MDA8 O <sub>3</sub>	-4%	30%	-1.23	0.73	-29%	33%	-14.19	0.63
	NO <sub>2</sub>	8%	22%	5.53	0.76	26%	39%	14.16	0.68
	PM <sub>2.5</sub>	32%	41%	34.73	0.81	2%	51%	1.75	0.62
Shijiazhuang (SJZ)	MDA8 O <sub>3</sub>	-15%	29%	-5.49	0.64	-25%	35%	-11.25	0.58
	NO <sub>2</sub>	-5%	27%	-3.66	0.73	12%	32%	7.40	0.61
	PM <sub>2.5</sub>	-25%	34%	-48.32	0.80	-20%	39%	-23.13	0.59
Jinan (JN)	MDA8 O <sub>3</sub>	2%	25%	0.87	0.77	-11%	31%	-6.41	0.54
	NO <sub>2</sub>	-14%	20%	-10.20	0.73	-8%	30%	-5.01	0.61
	PM <sub>2.5</sub>	-7%	21%	-9.54	0.85	-10%	33%	-8.82	0.70
Zhengzhou (ZZ)	MDA8 O <sub>3</sub>	-6%	49%	-2.65	0.03	1%	34%	0.16	0.63
	NO <sub>2</sub>	12%	24%	7.52	0.69	11%	34%	6.10	0.61
	PM <sub>2.5</sub>	24%	33%	30.63	0.85	-9%	36%	-10.34	0.63
Qingdao (QD)	MDA8 O <sub>3</sub>	-1%	21%	-0.66	0.82	-3%	22%	-1.91	0.47
	NO <sub>2</sub>	11%	23%	7.52	0.69	-4%	28%	-1.99	0.76
	PM <sub>2.5</sub>	4%	21%	3.70	0.89	-3%	38%	-1.84	0.70
Yantai (YT)	MDA8 O <sub>3</sub>	5%	17%	2.48	0.79	-10%	22%	-6.50	0.27
	NO <sub>2</sub>	-30%	32%	-15.34	0.81	-9%	33%	-3.31	0.78
	PM <sub>2.5</sub>	-6%	25%	-4.09	0.84	-19%	33%	-10.84	0.78
Benchmark	MDA8 O <sub>3</sub>	≤±15%	< 25%	/	> 0.50	/	/	/	/
	NO <sub>2</sub>	≤±30%	≤75%	/	/	/	/	/	/
	PM <sub>2.5</sub>	≤±30%	< 50%	/	> 0.40	/	/	/	/

\* The benchmarks for these pollutants, including NO<sub>2</sub> according to Us-Epa (2007); MDA8 O<sub>3</sub> and PM<sub>2.5</sub>, were proposed by Emery et al. (2017) and Huang et al. (2021).



255 **Figure 3** Scatter plots of the simulated and observed daily mean  $\text{NO}_3^-$  concentrations in the NCP during the winter seasons of 2013 and 2018; the \* symbol indicates statistical significance ( $P < 0.05$ ).

Figure 3 shows a comparison of the simulated and observed  $\text{NO}_3^-$  concentrations in the six NCP cities during the winters of 2013 and 2018. Yantai was excluded from the comparison because of insufficient observation data. The comparison results indicated that the simulated  $\text{NO}_3^-$  trends in the NCP were accurate during the winters of both 2013 and 2018, with R values of 0.61 and 0.72, respectively, meeting  
260 the established standard of 0.60. However, the simulation for 2013 yielded slightly overestimated values, with an MB of 1.27, corresponding to NMB = 7% and NME = 51%. In contrast, the simulation results for 2018 were closer to the observed values, with NMB = 5% and NME = 51%. Overall, the model demonstrated suitable stability and accuracy in simulating atmospheric pollutants in the NCP region,  
265 providing a solid foundation for future analysis.

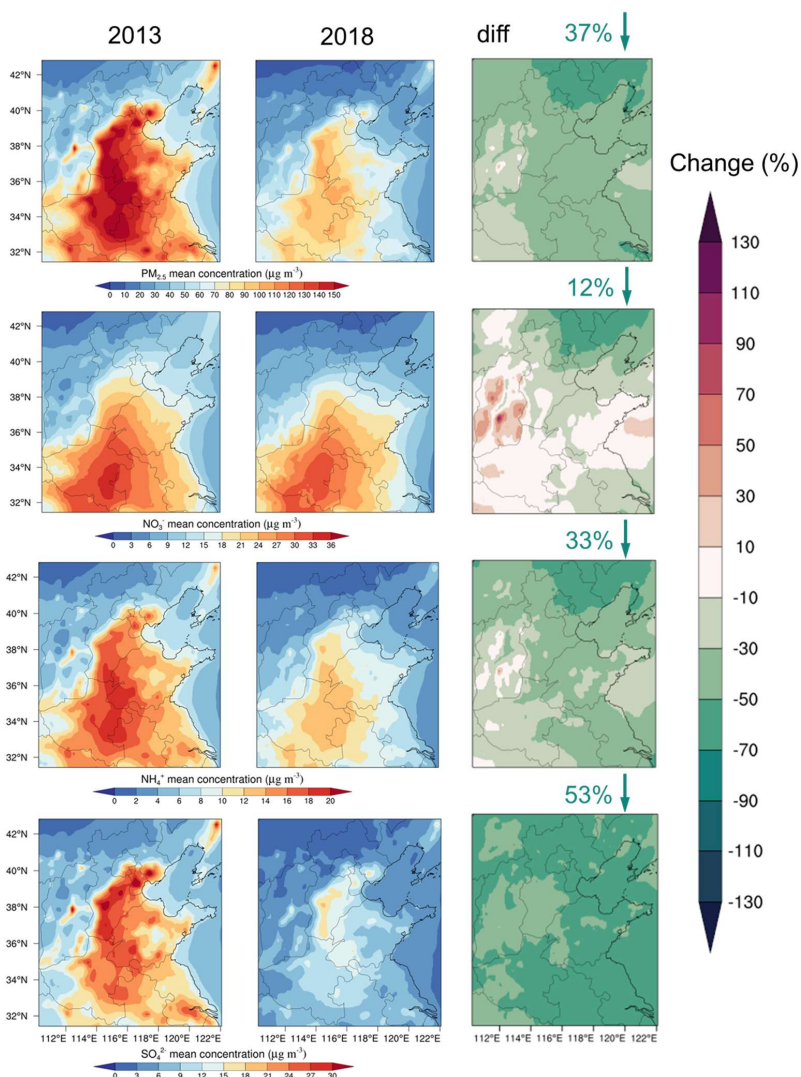
### 3.2 Variations in the concentrations of $\text{PM}_{2.5}$ and its components between 2013 and 2018

During the winter of 2013, the average  $\text{PM}_{2.5}$  concentration in the NCP reached  $80.52 \mu\text{g}/\text{m}^3$ , with high-concentration areas mainly concentrated in Beijing, Tianjin, southern Hebei, Henan, Shandong, and Anhui Provinces (Figure 4). However, the average  $\text{PM}_{2.5}$  concentration in this region decreased to  $50.74$   
270  $\mu\text{g}/\text{m}^3$  in 2018, a significant reduction of 37% compared with that in 2013. Li et al. (2023) also reported that between 2013 and 2018, the  $\text{PM}_{2.5}$  concentration in China decreased by 39.5%, with a reduction of 40.8% in the Beijing-Tianjin-Hebei region. Additionally, observation data from the China National Environmental Monitoring Center (CNEMC) showed that the annual average  $\text{PM}_{2.5}$  levels in China decreased by 30% to 50% from 2013–2018 (Zhai et al., 2019).  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and ammonium ( $\text{NH}_4^+$ )



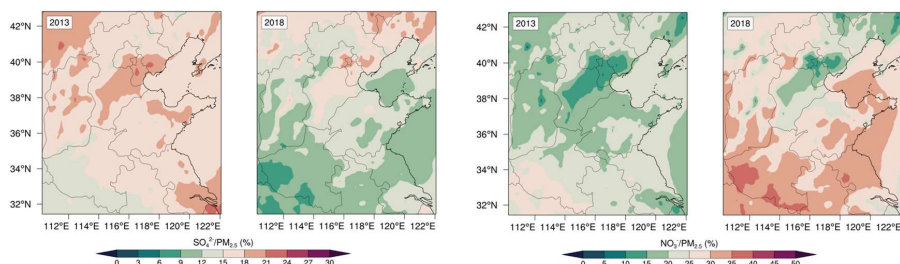
275 significantly affect  $PM_{2.5}$  concentration changes (Peng et al., 2024; Zheng et al., 2015). We found that the  $SO_4^{2-}$  concentration decreased significantly by 53%, whereas the  $NO_3^-$  and  $NH_4^+$  concentrations decreased by 13% and 33%, respectively (refer to Figure S1 for city-specific changes), affected by emission reduction. The observation data (Li et al., 2019; Zhang et al., 2019) also confirmed our model results, namely,  $SO_4^{2-}$  exhibited the greatest reduction, followed by  $NH_4^+$  and  $NO_3^-$ . For example, the  
280  $SO_4^{2-}$ ,  $NH_4^+$ , and  $NO_3^-$  concentrations in Beijing decreased by 52%, 43%, and 25%, respectively, from 2013 to 2017, whereas those in Tianjin decreased by 27%, 23%, and 7%, respectively (Zhang et al., 2019). The substantial reduction in the three inorganic components, namely,  $SO_4^{2-}$ ,  $NH_4^+$ , and  $NO_3^-$ , led to significant variation in the  $PM_{2.5}$  composition.

The decrease in the concentration led to a significant reduction in the proportion of  $SO_4^{2-}$  in  $PM_{2.5}$  but an  
285 increase in the proportion of nitrate during the winters of 2013 to 2018 (Figure 5). Specifically, the average  $SO_4^{2-}$  concentration decreased from  $13.46 \mu\text{g}/\text{m}^3$  during the winter of 2013 to  $6.37 \mu\text{g}/\text{m}^3$  during the winter of 2018, with its proportion in  $PM_{2.5}$  decreasing from 16.7% to 12.6% (Figure 6). In contrast, although the  $NO_3^-$  concentration slightly decreased from  $16.5 \mu\text{g}/\text{m}^3$  during the winter of 2013 to  $14.6 \mu\text{g}/\text{m}^3$  during the winter of 2018, its proportion in  $PM_{2.5}$  increased from 20.5% to 28.7%. The change in  
290 the  $NO_3^-/SO_4^{2-}$  ratio is an important indicator for assessing pollution sources and key chemical transformations in the atmosphere. Our results indicated that this ratio was 1.2 in 2013 and increased to 2.29 in 2018 in the NCP. The observation data for Beijing also demonstrated a similar variation trend, with the  $NO_3^-/SO_4^{2-}$  ratio increasing from  $0.72 \pm 0.59$  in 2014 to  $1.36 \pm 0.90$  in 2016 (Xu et al., 2019b). The variation in the  $NO_3^-/SO_4^{2-}$  ratio revealed that the main pollution components of  $PM_{2.5}$  in the NCP shifted  
295 from  $SO_4^{2-}$  to  $NO_3^-$ . This finding has also been widely confirmed in recent studies (Fu et al., 2020; Wang et al., 2020b; Xie et al., 2020; Xu et al., 2019b), suggesting that  $NO_3^-$  plays an increasingly important role in  $PM_{2.5}$  formation. Therefore, further research on the nitrate formation mechanism is crucial for alleviating  $PM_{2.5}$  pollution in the complex chemical environments of the NCP.



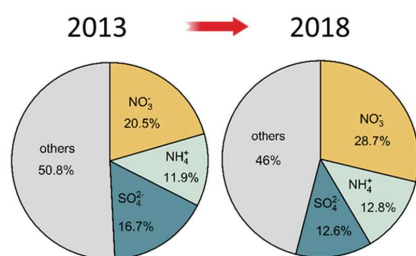
300

**Figure 4** Spatial distribution and changes in  $PM_{2.5}$  and its components in the NCP during the winters of 2013 and 2018. The up arrows indicate increases, and the down arrows indicate decreases.



305

**Figure 5** Spatial distributions of the nitrate and sulfate proportions in  $PM_{2.5}$  in the NCP region during the winters of 2013 and 2018



**Figure 6** Changes in the proportions of the PM<sub>2.5</sub> components in the NCP region during the winters of 2013 and 2018

### 3.3 Rates, contributions and diurnal variations in nitrate formation reactions in the major cities

310 As mentioned above, the NO<sub>3</sub><sup>-</sup> concentration slightly decreased from 2013 to 2018. We found that the decrease was due to a decrease in the TNO<sub>3</sub> production rate (0–0.05 ppb/h), which could be attributed to the production rate of the hetN<sub>2</sub>O<sub>5</sub> pathway (0–0.03 ppb/h), as detailed in Table 3. Owing to the decreased reaction rate of the hetN<sub>2</sub>O<sub>5</sub> pathway, its contribution in these seven cities was reduced by -2.1% to 7.8%. For the OH + NO<sub>2</sub> reaction pathway, the rate remained unchanged except in four cities (Beijing, Jinan, 315 Zhengzhou, and Qingdao), whereas it varied between -0.02 and 0.01 ppb/h in the other cities. However, the contribution of the OH + NO<sub>2</sub> reaction pathway to TNO<sub>3</sub> formation increased overall due to the decreased rate of the hetN<sub>2</sub>O<sub>5</sub> reaction pathway, although the production rate remained almost unchanged. Moreover, the contribution increase was greater in inland cities than in coastal cities. In the five inland cities (Beijing, Tianjin, Shijiazhuang, Jinan, and Zhengzhou), the OH + NO<sub>2</sub> reaction pathway 320 was the main nitrate formation mechanism, with its contribution ratio ranging from 63.7%–77.8% in 2013 increasing to 72.9%–85.6% in 2018. In contrast, in the two coastal cities (Qingdao and Yantai), the OH + NO<sub>2</sub> reaction pathway contributed 48.2%–56.5% to nitrate formation, whereas the contribution of the hetN<sub>2</sub>O<sub>5</sub> pathway ranged from 37.0%–45.7%, which indicated that both pathways were equally important in nitrate formation in the coastal cities. These findings highlighted a significant marine–land 325 contrast in the nitrate formation mechanisms within the NCP region. The differences between the inland and coastal cities were particularly notable, demonstrating that the nitrate formation mechanisms varied substantially with geographic location.



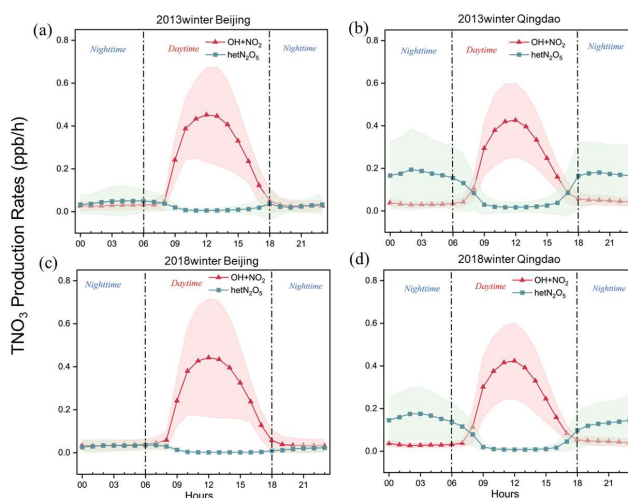
330 **Table 3 TNO<sub>3</sub> production rates (ppb/h) in the surface layer and contributions (%) of the major production pathways in the surface atmosphere in seven representative cities of the NCP in 2013 and 2018**

City	Year	Production rates (ppb/h)			Daytime Contribution (%)			Nighttime Contribution (%)			Total Contribution (%)		
		TNO <sub>3</sub>	OHNO <sub>2</sub>	hetN <sub>2</sub> O <sub>5</sub>	OHNO <sub>2</sub>	hetN <sub>2</sub> O <sub>5</sub>	Other	OHNO <sub>2</sub>	hetN <sub>2</sub> O <sub>5</sub>	Others	OHNO <sub>2</sub>	hetN <sub>2</sub> O <sub>5</sub>	Others
BJ	2013	0.19±0.15	0.15±0.16	0.03±0.02	90.2%	5.2%	4.6%	33.4%	46.9%	19.7%	77.8%	14.3%	7.9%
	2018	0.17±0.15	0.15±0.16	0.02±0.01	95.1%	2.6%	2.3%	48.4%	39.4%	12.2%	85.6%	9.9%	4.5%
TJ	2013	0.22±0.17	0.15±0.19	0.04±0.03	85.7%	6.5%	7.8%	15.2%	58.8%	25.9%	67.8%	19.7%	12.4%
	2018	0.17±0.15	0.13±0.17	0.03±0.02	92.2%	3.9%	3.9%	22.4%	57.1%	20.4%	77.5%	15.1%	7.4%
SIZ	2013	0.19±0.16	0.14±0.17	0.03±0.01	87.8%	5.5%	6.6%	21.2%	46.8%	32.0%	73.8%	14.2%	12.0%
	2018	0.18±0.17	0.15±0.18	0.02±0.01	93.8%	2.0%	4.2%	30.3%	41.1%	28.6%	83.6%	8.3%	8.1%
JN	2013	0.26±0.14	0.16±0.18	0.07±0.05	85.1%	8.9%	6.0%	21.1%	65.4%	13.5%	63.7%	27.7%	8.5%
	2018	0.22±0.15	0.16±0.18	0.04±0.03	90.7%	4.4%	4.9%	27.6%	59.5%	12.9%	72.9%	19.9%	7.1%
ZZ	2013	0.24±0.21	0.19±0.23	0.03±0.01	88.9%	4.7%	6.4%	26.8%	37.4%	35.8%	77.6%	10.6%	11.8%
	2018	0.24±0.20	0.19±0.22	0.03±0.02	91.9%	3.1%	5.0%	32.8%	47.5%	19.6%	79.2%	12.7%	8.2%
QD	2013	0.27±0.09	0.14±0.14	0.11±0.07	75.8%	17.8%	6.4%	16.5%	75.6%	7.9%	51.5%	41.5%	7.0%
	2018	0.25±0.09	0.14±0.15	0.09±0.06	82.2%	12.0%	5.8%	17.5%	74.8%	7.6%	56.5%	37.0%	6.5%
YT	2013	0.24±0.06	0.12±0.12	0.11±0.07	76.0%	18.1%	5.9%	14.7%	78.9%	6.4%	48.2%	45.7%	6.1%
	2018	0.21±0.06	0.11±0.11	0.09±0.07	79.9%	14.7%	5.4%	14.9%	78.4%	6.7%	50.4%	43.5%	6.0%

Since the OH + NO<sub>2</sub> and hetN<sub>2</sub>O<sub>5</sub> reactions are the major pathways affecting nitrate formation, we analyzed the diurnal variations in these two TNO<sub>3</sub> formation reactions in the NCP in detail. Figure 7 shows the average diurnal variations in the TNO<sub>3</sub> production rates via the different pathways in Qingdao and Beijing. We found that the OH + NO<sub>2</sub> reaction pathway exhibited similar diurnal characteristics in these two cities. Specifically, from nighttime to the early morning (0:00 to 8:00), the reaction rate under this pathway remained almost zero because of the lack of photochemical processes that generate OH radicals, which are limited by solar radiation (Liu et al., 2020; Sun et al., 2022; Tan et al., 2021). Observational data from the Pearl River Delta region support this result, showing that OH radicals only start to accumulate significantly after 6:00 AM (Hofzumahaus et al., 2009; Lu et al., 2012). From approximately 8:00 AM, the reaction rate rapidly increased, reaching a peak between 11:00 and 13:00 at approximately 0.4 ppb/h (approximately 2.8 μg/m<sup>3</sup>/h), similar to findings for the NCP and Shanghai (Tan et al., 2021; Sun et al., 2022; Liu et al., 2020). The reaction rate decreased with decreasing sunlight during the afternoon and returned to low levels at night. Compared with those during the winter of 2013, the peak reaction rates of the OH pathway slightly decreased in both Qingdao and Beijing in 2018, decreasing from 0.43 to 0.42 ppb/h and 0.45 to 0.44 ppb/h in Qingdao and Beijing, respectively. The contributions of the OH + NO<sub>2</sub> reaction pathway to TNO<sub>3</sub> formation ranged from 90.2%–95.1% and 75.8%–82.2% in inland Beijing and coastal Qingdao, respectively, during the daytime, while the other cities in the NCP



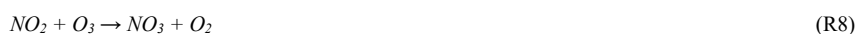
350 exhibited similar diurnal variations. Therefore, the OH + NO<sub>2</sub> reaction pathway dominated during the daytime in both the inland and coastal cities.



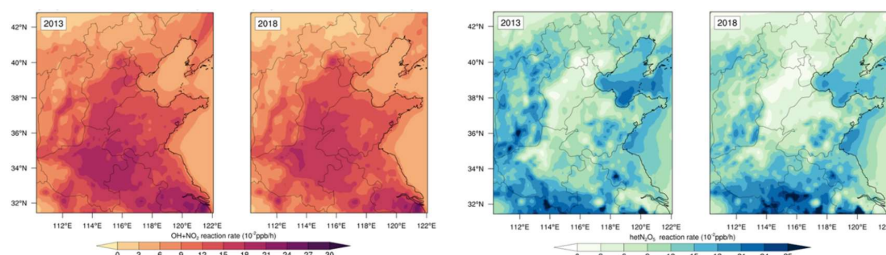
355 **Figure 7** Average diurnal variation in the TNO<sub>3</sub> production rate under the different pathways (daytime: 06:00–18:00 BJT; nighttime: 18:00–06:00 BJT): (a) Beijing, 2013; (b) Qingdao, 2013; (c) Beijing, 2018; (d) Qingdao, 2018

At night, the hetN<sub>2</sub>O<sub>5</sub> reaction pathway notably affected TNO<sub>3</sub> formation. The rate of the hetN<sub>2</sub>O<sub>5</sub> reaction pathway also showed similar bimodal diurnal variations in Qingdao and Beijing. However, the peak values occurred at 2:00 and 20:00 in Qingdao, with much higher peak values than those in Beijing, where the peak values occurred from 4:00–5:00 and at 18:00. The hetN<sub>2</sub>O<sub>5</sub> reaction rate was relatively high at night but decreased rapidly by early morning, reaching its lowest point during the daytime (9:00 to 16:00). This occurred because the N<sub>2</sub>O<sub>5</sub> hydrolysis reaction depends heavily on NO<sub>3</sub> radicals and N<sub>2</sub>O<sub>5</sub>, which are stable only in weak-sunlight environments (Tie et al., 2003b; Tie et al., 2003a; Atkinson et al., 2004).

365 High concentrations of NO<sub>2</sub> and O<sub>3</sub>, which are precursors for N<sub>2</sub>O<sub>5</sub>, are key in this process (Dentener and Crutzen, 1993):



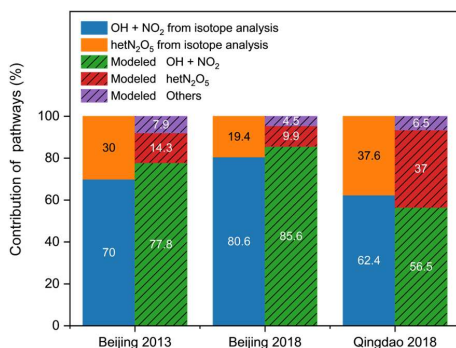




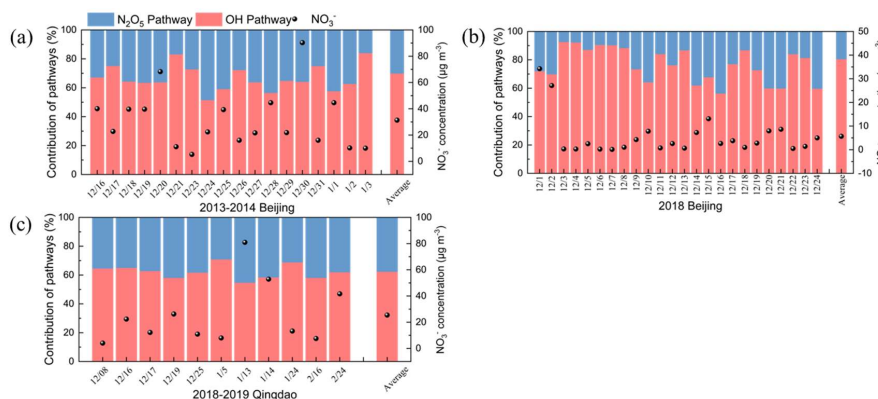
370 **Figure 8** Spatial distributions of the mean reaction rates for the nitrate formation pathways (OH + NO<sub>2</sub> and hetN<sub>2</sub>O<sub>5</sub>) in the NCP during the winters of 2013 and 2018.

However, owing to high photolysis during the day, NO<sub>3</sub> radicals and N<sub>2</sub>O<sub>5</sub> can accumulate only at night (Zhao et al., 2023; Atkinson et al., 2004). In Beijing, the hetN<sub>2</sub>O<sub>5</sub> reaction rate was relatively low, with a peak value of only 0.03 ppb/h and a nighttime contribution rate of 46.9%. However, it could contribute  
375 up to 56–97% to nitrate formation in Beijing on polluted days with high NH<sub>3</sub> concentrations (He et al., 2018). In contrast, Qingdao exhibited a peak hetN<sub>2</sub>O<sub>5</sub> reaction rate of 0.2 ppb/h (approximately 1.4 μg/m<sup>3</sup>/h) at night, with an average contribution of 75.2%. This was primarily due to the higher N<sub>2</sub>O<sub>5</sub> concentrations and RH levels in Qingdao than in Beijing, as detailed in section 3.5.3. The other inland cities exhibited diurnal variations similar to those in Beijing, whereas the coastal cities showed trends  
380 similar to those in Qingdao. Compared with those during the winter of 2013, the reaction rates of the hetN<sub>2</sub>O<sub>5</sub> pathway in both cities during the winter of 2018 decreased, from 0.19 to 0.18 ppb/h in Qingdao and from 0.07 to 0.03 ppb/h in Beijing. Through comparative analysis, we found significant variations in the nitrate formation pathways between the coastal and inland areas, particularly in the hetN<sub>2</sub>O<sub>5</sub> reaction rate, as shown in Figure 8. Further exploration of these variations is needed to better understand  
385 the marine–land differences in atmospheric nitrogen transformation.

### 3.4 Assessment of the simulated nitrate formation reactions via the isotopic method



**Figure 9** Comparison of the contributions of the atmospheric NO<sub>3</sub><sup>-</sup> formation pathways based on the dual-isotope results and model simulations for Beijing in 2013 and 2018 and for Qingdao in 2018.



390

**Figure 10** Time series of the contributions of the atmospheric NO<sub>3</sub><sup>-</sup> formation pathways based on the dual-isotope results for Beijing in 2013 (a), 2018 (b), and Qingdao in 2018 (c)

To verify the accuracy of the simulated nitrate formation reactions, we conducted an isotopic analysis for the cities of Qingdao and Beijing. By comparing the isotopic data and model simulation results for 2013 and 2018 (Figure 9), we found high consistency in the contributions of the OH + NO<sub>2</sub> and hetN<sub>2</sub>O<sub>5</sub> pathways to nitrate formation between these two methods, with differences ranging from 0.6% to 15.7%. The results validated the reliability of the simulated reaction pathways. The isotopic analysis also revealed that the contribution of the OH + NO<sub>2</sub> reaction pathway was significantly greater in Beijing than in Qingdao (Figure 10). The data for 2018 revealed that the contribution rate of the OH + NO<sub>2</sub> pathway in Beijing was 80.6%, which was significantly higher than that of 62.4% reported for Qingdao. Moreover, the contribution of the hetN<sub>2</sub>O<sub>5</sub> pathway in Qingdao (37.0%) was greater than that in Beijing (19.4%), verifying the regional difference in nitrate formation pathways between inland and coastal cities. Additionally, the isotopic analysis indicated that the contribution of the OH + NO<sub>2</sub> reaction pathway

400



increased in Beijing, from 70.0% in 2013 to 80.6% in 2018, which is consistent with the model simulation  
405 results. In summary, both the model simulations and isotopic analysis results revealed that nitrate  
formation processes depend on different reaction pathways in inland and coastal areas.

### 3.5 Factors influencing nitrate formation pathways

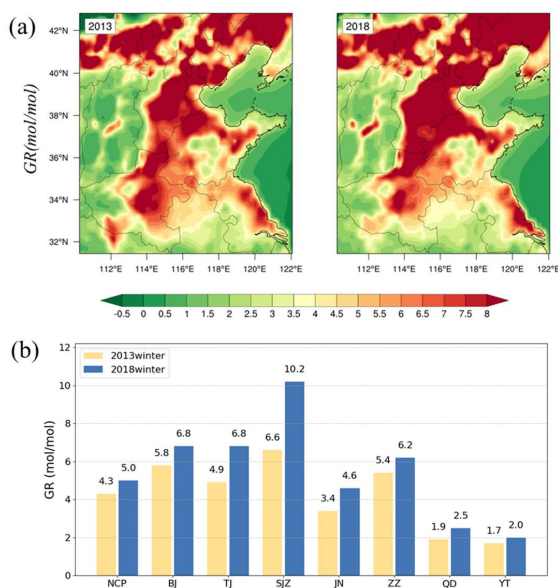
Since the nitrate formation pathways exhibited coastal–inland differences, we further investigated the  
influence of several key factors on these pathways (OH + NO<sub>2</sub>; hetN<sub>2</sub>O<sub>5</sub>), including NH<sub>3</sub>, NO<sub>2</sub>, OH, O<sub>3</sub>,  
410 RH, NO, and N<sub>2</sub>O<sub>5</sub>, and explored the reasons for the observed coastal–inland differences in nitrate  
formation.

#### 3.5.1 Influence of NH<sub>3</sub> on nitrate formation

The reaction of NH<sub>3</sub> with nitric acid (HNO<sub>3</sub>) to form ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) is an important  
process in the formation of atmospheric aerosols. In the absence of sufficient NH<sub>3</sub>, it first reacts with  
415 H<sub>2</sub>SO<sub>4</sub> to form (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and then with HNO<sub>3</sub> to form NH<sub>4</sub>NO<sub>3</sub> (Zhai et al., 2021). This process  
highlights the importance of NH<sub>3</sub> in the formation of nitrates. Therefore, we examined the impact of NH<sub>3</sub>  
on the formation of nitrates. The gas ratio (GR) (Fu et al., 2020; Ansari and Pandis, 1998) was used to  
study whether NH<sub>3</sub> limits nitrate formation. The GR can be calculated as follows:

$$GR = \frac{([NH_3] + [NH_4^+]) - 2 \times [SO_4^{2-}]}{[NO_3^-] + [HNO_3]}$$

420 When the GR exceeds 1, the NH<sub>3</sub> concentration in the atmosphere is sufficient. A GR value between 0  
and 1 indicates NH<sub>3</sub>-neutral conditions, whereas a value less than 0 suggests NH<sub>3</sub>-poor conditions, thus  
limiting NO<sub>3</sub><sup>-</sup> formation due to insufficient NH<sub>3</sub>. In the NCP region, the GR values were generally greater  
than 2 in 2013 and 2018 (Figure 11(a)), indicating that the region mainly exhibited a state of sufficient  
NH<sub>3</sub>. Similar phenomena have also been observed by other researchers in the NCP region (Zhai et al.,  
425 2021; Xu et al., 2019c; Li et al., 2018). Therefore, in the NCP region, the formation of NH<sub>4</sub>NO<sub>3</sub> is not  
limited by NH<sub>3</sub> in terms of supply.



**Figure 11 (a) Spatial distribution of the GR in the NCP (2013, 2018); (b) the GR in the NCP and seven major cities (2013, 2018)**

430 The GR in 2018 was greater than that in 2013 because of the minimal changes in  $\text{NH}_3$  emissions and the significant reduction in sulfate and slight reduction in nitrate in  $\text{PM}_{2.5}$  (Figure 11(b)), indicating an increasing surplus of  $\text{NH}_3$ . This can be verified from an emission perspective, the total ammonia emissions in China increased from 9.64 to 9.75 Tg from 2013–2015 and then gradually decreased to 9.12 Tg by 2018 (Liao et al., 2022). Additionally, there are coastal–inland differences in  $\text{NH}_3$  surplus levels. Notably, the  $\text{NH}_3$  surplus ratio was consistently greater than 3.4 in inland regions, while it ranged from 1.7 to 2.5 in coastal cities. This difference was primarily due to the more intensive use of fertilizers and high  $\text{NH}_3$  emissions from livestock in inland areas.

The  $\text{NH}_3$  supply was relatively high in the NCP region, but its impact on the increase in the nitrate proportion in  $\text{PM}_{2.5}$  was not significant (Wang et al., 2023). However, Zhai et al. (2021) reported that 440 controlling  $\text{NH}_3$  emissions could effectively reduce the nitrate content in  $\text{PM}_{2.5}$  in  $\text{NH}_3$ -rich atmospheres. Notably, when  $\text{NH}_3$  is abundant,  $\text{NO}_3^-$  formation is constrained primarily by the conversion of  $\text{HNO}_3$  from the gas phase to the particulate phase (Sun et al., 2022; Zhai et al., 2021). Our simulations revealed that the reduction in sulfates resulted in an excess of  $\text{NH}_3$ , which in turn promoted the partitioning of more nitrates into the particulate phase, increasing from 88.5% in 2013 to 91.7% in 2018 (Figure S2). 445 This process not only affects the chemical composition of  $\text{PM}_{2.5}$  but also significantly extends the



residence time of nitrates in the atmosphere because particulate nitrate exhibits a longer lifespan than that of gaseous  $\text{HNO}_3$  (several days versus a few hours) (Liang et al., 1998; Brown et al., 2004; Millet et al., 2004), thereby increasing the risk of prolonged haze pollution.

In summary,  $\text{NH}_3$  plays a crucial role in nitrate formation by affecting gas-to-particle conversion of  $\text{HNO}_3$  in the NCP region, although it is sufficient. Additionally, changes in  $\text{NH}_3$  emissions and regional land-ocean differences influence the generation and distribution of nitrates.

### 3.5.2 Factors influencing the OH + $\text{NO}_2$ reaction rate

As previously mentioned, the OH +  $\text{NO}_2$  reaction significantly contributed to  $\text{TNO}_3$  formation, with the OH and  $\text{NO}_2$  concentrations serving as key factors. The  $\text{NO}_2$  concentration in the NCP was  $30.3 \mu\text{g}/\text{m}^3$  during the winter of 2013 and decreased to  $24.7 \mu\text{g}/\text{m}^3$  during the winter of 2018, and its concentration remained several orders of magnitude greater than that of OH radicals. Figure S3 shows that the  $\text{NO}_2/\text{OH}$  molar ratio in the NCP region generally exceeded  $10^8$ , indicating that the  $\text{NO}_2$  concentration was still sufficient under emission reduction. Therefore, the reaction primarily depended on the OH radical concentration. Next, we examined the impact of the OH radical concentration on the reaction rate. OH radicals are produced primarily via photochemical reactions, such as the decomposition of HONO into OH and NO under sunlight (Song et al., 2023), which can account for 20% to 90% of the total primary production of OH radicals (Song et al., 2023; Xue et al., 2020; Kim et al., 2014). Additionally, OH radicals can also be produced indirectly via  $\text{O}_3$  photolysis in the presence of water vapor (Fu et al., 2020; Kim et al., 2014).

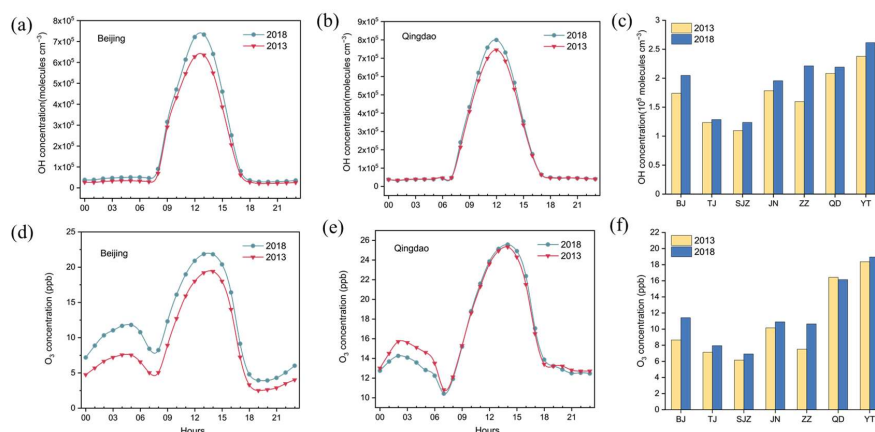
**Table 4 Observations and CMAQ model simulation results for the winter OH radical concentration**

Species	Location	Obs. period	Obs. average	Sim. average	Reference
OH ( $10^6 \text{ cm}^{-3}$ )	Huairou Beijing (40.41°N, 116.68°E)	Jan-Mar, 2016	Peak 2.4	Peak 0.8	Tan et al. (2018)
	Beijing PKU (40°N, 116.3°E)	Winter, 2017	Peak 1.5 ~ 2.0 Daily average 0.3	Peak 0.8 Daily average 0.2	Ma et al. (2019)

We compare the simulated and observed OH radical concentrations in Table 4. The simulated daily average OH radical concentrations were relatively close to the observations. However, the peak concentrations of OH radicals were lower than those observed. Underprediction of the OH peak concentration is a common issue in current model simulations (Czader et al., 2013; Stone et al., 2012; Xue et al., 2020). Although the CMAQ model often underestimates high daytime concentrations (Czader et al., 2013), it satisfactorily captures the diurnal variation in OH radicals, likely because it



underestimates HONO concentrations (Zhang et al., 2023b). The data (Figure 12 a-c) revealed that the peak value of OH radicals in Beijing significantly increased by  $0.98 \times 10^6$  molecules  $\text{cm}^{-3}$  in 2018 compared with that in 2013. A similar trend was observed in Qingdao, with an increase of  $0.58 \times 10^6$  molecules  $\text{cm}^{-3}$ . The GEOS-Chem simulations of Zhang et al. (2023a) also indicated a stable upward trend in OH radical concentrations across the NCP from 2014 to 2017, ranging from 0.05 to  $0.17 \times 10^6$  molecules  $\text{cm}^{-3} \text{ a}^{-1}$ . Our study data suggested that despite China's emission reduction measures, these efforts did not effectively control OH radical concentrations. The continuous increase in OH radicals accelerated the nitrate formation rate via the OH + NO<sub>2</sub> pathway.



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**Figure 12 Diurnal variations in OH radicals and O<sub>3</sub> in Beijing and Qingdao and average concentrations across seven major cities in the NCP (2013, 2018): (a) Diurnal variation in OH radicals in Beijing, (b) diurnal variation in OH radicals in Qingdao, (c) average OH radical concentration in the seven cities, (d) diurnal variation in O<sub>3</sub> in Beijing, (e) diurnal variation in O<sub>3</sub> in Qingdao, and (f) average O<sub>3</sub> concentration in the seven cities**

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One of the primary pathways for the production of OH radicals is the photolysis of nitrous acid (HONO) in the tropospheric atmosphere. This photolytic reaction can be expressed as:



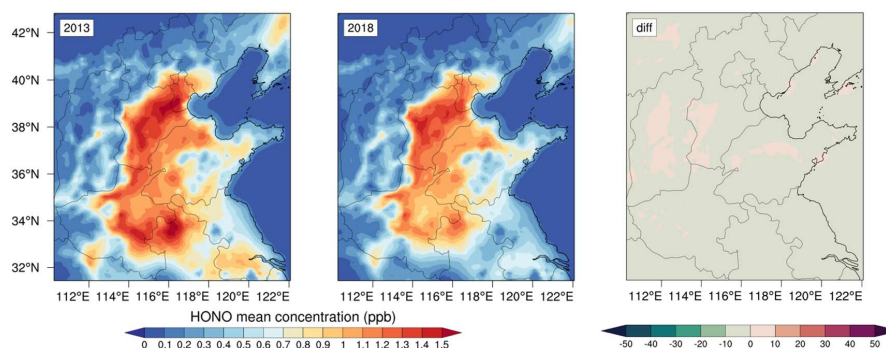
However, the data indicated that the HONO concentration decreased between 2013 and 2018 (Figure 13), suggesting that this production pathway did not significantly contribute to the increase in the OH radical concentration.

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In atmospheric chemistry, another source of OH is the reaction between water vapor (H<sub>2</sub>O) and excited oxygen atoms (O(<sup>1</sup>D)). Notably, excited oxygen atoms are typically produced via O<sub>3</sub> photolysis (Kim et al., 2014; Tan et al., 2019).



Subsequently, the excited oxygen atoms ( $O(^1D)$ ) react with water vapor to produce OH radicals.



**Figure 13 Changes in the HONO concentration in the NCP region during the winters of 2013 and 2018**

500 Therefore,  $O_3$  photolysis plays an indirect but crucial role in their formation.  $O_3$  can oxidize NO to  $NO_2$ , which then reacts with OH radicals to form  $HNO_3$ , significantly influencing the OH +  $NO_2$  pathway. As shown in Figure 12(d-f), the increase in the  $O_3$  concentration indirectly increased the OH +  $NO_2$  reaction rate for nitrate formation. This effect was greater in the inland cities, where the increase in the  $O_3$  concentration was greater than that in the coastal cities, leading to a greater contribution of the OH +

505  $NO_2$  pathway. Thus, the OH and  $O_3$  concentrations collectively affect the formation rate, and their concentration variation affects the contribution of the OH +  $NO_2$  pathway to nitrate formation. Although  $NO_2$  emissions decreased, the excess  $NO_2$  concentration indicated that the OH +  $NO_2$  reaction rate remained relatively unchanged, which depends on OH and  $O_3$  levels.

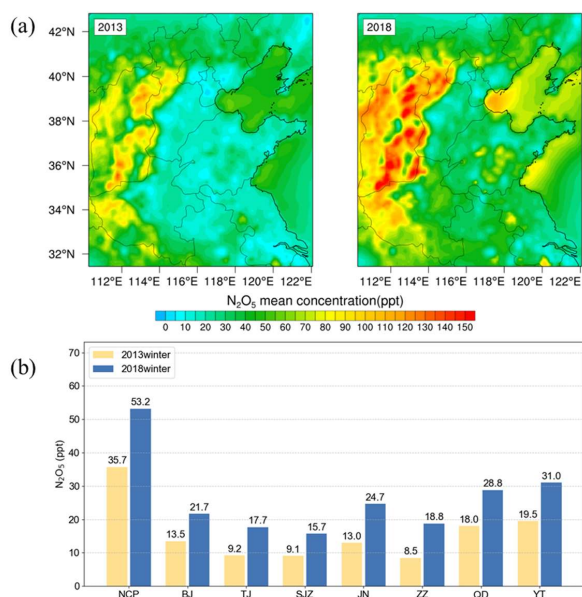
### 3.5.3 Factors influencing het $N_2O_5$ reaction rates

510 The heterogeneous  $N_2O_5$  reaction is also a major pathway for nitrate formation and is influenced by many factors, including the  $N_2O_5$  concentration, aerosol surface area, and absorption coefficient of  $N_2O_5(\gamma_{N_2O_5})$ . The value of  $\gamma_{N_2O_5}$  varies widely, ranging from an extremely low value of  $10^{-4}$  to a relatively high value of 0.1 (Wang et al., 2023), demonstrating its high variability. Experimental results have indicated that environmental factors, such as temperature and humidity, as well as the composition

515 of aerosols (such as  $NO_3^-$ ,  $Cl^-$ , and  $SO_4^{2-}$ ), the liquid water content in aerosols, the presence of organic compounds, and the mixing state of aerosols, are critical factors influencing  $\gamma_{N_2O_5}$  (Folkers et al., 2003; Mitroo et al., 2019; Thornton and Abbatt, 2005; Wahner et al., 1998; Wang et al., 2020a). These factors



collectively influence the reaction rate and yield of heterogeneous  $\text{N}_2\text{O}_5$  reactions.



520 **Figure 14 (a) Spatial distributions of average  $\text{N}_2\text{O}_5$  concentrations in the NCP in 2013 and 2018; (b) average**  
 **$\text{N}_2\text{O}_5$  concentrations in the NCP and seven major cities in 2013 and 2018**

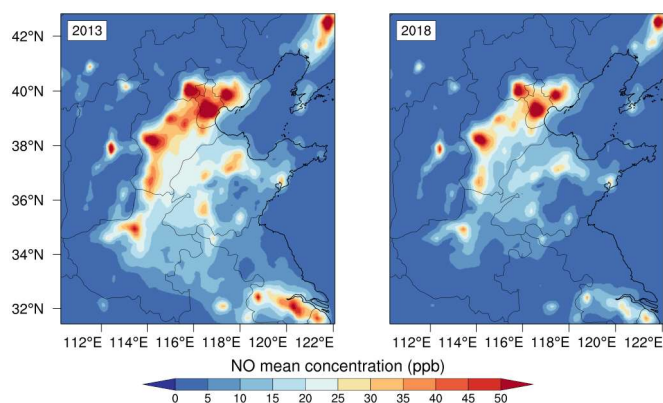
With increasing concentration of atmospheric  $\text{O}_3$  (as shown in Figure 12(f)), the generation rate of  $\text{N}_2\text{O}_5$  significantly increased. Consequently, the average  $\text{N}_2\text{O}_5$  concentration in the NCP was 35.7 ppt in 2013 and increased to 53.2 ppt in 2018 (Figure 14). From a spatial distribution perspective, the  $\text{N}_2\text{O}_5$  concentrations are generally higher in ocean areas and western mountainous regions than in central inland areas. Thus, the  $\text{N}_2\text{O}_5$  concentrations in the coastal cities were generally higher than those in the inland cities. Therefore, we reasoned that the decreased reaction rate of heterogeneous  $\text{N}_2\text{O}_5$  was influenced more by other factors, such as the surface area of aerosol particles and RH. The total dry surface area of particles in the CMAQ model is calculated as the sum of the modal dry surface areas (SRFATKN, SRFACC, and SRFACOR), corresponding to particles in the Aitken, accumulation, and coarse modes, respectively (Bergin et al., 2022). During the winter of 2013 in the NCP, the average SRF value was 689  $\mu\text{m}^2/\text{cm}^3$ , which decreased to 425  $\mu\text{m}^2/\text{cm}^3$  during the winter of 2018, a reduction of 38.3%. This significant reduction in the aerosol surface area likely contributed to the observed decrease in the reaction rate of heterogeneous  $\text{N}_2\text{O}_5$ . Air quality monitoring data also verified that  $\text{PM}_{10}$  decreased by 20% and that  $\text{PM}_{2.5}$  decreased by 28% in China from 2014 to 2018 (Fan et al., 2020a). Compared with that in 2013, the RH in the NCP decreased by 3.1% in 2018 (Figure S4). However, the sensitivity experiments revealed

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that both the  $N_2O_5$  reaction rate and its contribution to total nitrates remained essentially unchanged when the humidity decreased by 10%. This indicated that humidity changes were not the main factor influencing the decrease in the reaction rate of heterogeneous  $N_2O_5$  in the NCP. Therefore, we considered  
540 that the reduction in the  $PM_{2.5}$  concentration was a major factor contributing to the decrease in the  $hetN_2O_5$  generation rate.

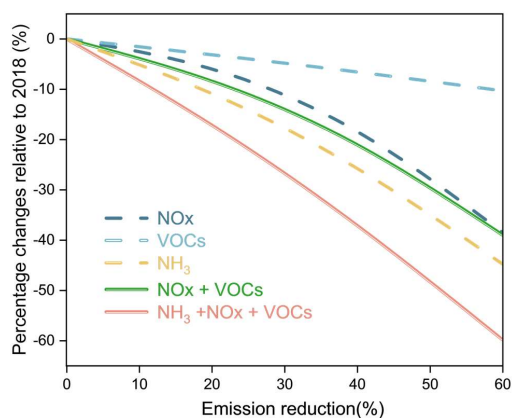


**Figure 15 Spatial distribution of the average NO concentrations in the NCP (2013, 2018)**

545 We then investigated the differences in the spatial distribution of  $N_2O_5$  and found that NO played a significant role in the spatial distribution of  $N_2O_5$ . In urban areas, especially during severe haze nighttime periods, the rapid titration of NO with  $O_3$  led to near-zero concentrations of surface  $O_3$  (Zang et al., 2022), thereby inhibiting the in situ generation of  $NO_3$  radicals and  $N_2O_5$  (Zhao et al., 2023). Therefore, high surface NO concentrations are unfavorable for the in situ generation of  $NO_3$  radicals and  $N_2O_5$ . As shown  
550 in Figure 15, the NO concentrations were high in central inland areas and low in coastal and western mountainous areas, which explained the low  $N_2O_5$  concentrations in inland cities. Additionally, observations have shown that the lifetime of  $NO_3$  radicals in marine atmospheres could reach 30 minutes, which is longer than 1 minute in inland atmospheres (Crowley et al., 2011), also contributing to high  $N_2O_5$  concentrations in coastal cities. This difference may be due to the low  $NO_x$  mixing ratio in marine  
555 air masses. Observations in Hebei Province also revealed low  $N_2O_5$  concentrations in summer, with lifetimes ranging from 0.1 to 10 minutes (Tham et al., 2018). Therefore, the spatial differences in  $hetN_2O_5$  reaction rates depend on NO and the lifetime of  $NO_3$  radicals, providing insights into the complexity and regional characteristics of atmospheric chemical processes.



### 3.6 Impact of reducing NO<sub>x</sub> and VOCs emissions on the nitrate concentration



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**Figure 16** Variation in PM<sub>2.5</sub> nitrate percentages in response to emission reduction within the North China Plain relative to the winter of 2018.

Reducing the nitrate content in PM<sub>2.5</sub> is crucial for improving the air quality in China, especially in winter when pollution levels peak. As discussed above, O<sub>3</sub> and OH radicals play central roles in the OH + NO<sub>2</sub> pathway of nitrate formation, whereas the formation of N<sub>2</sub>O<sub>5</sub> relies on the synergistic action of NO<sub>2</sub> and O<sub>3</sub>. Effective control strategies must target key factors, such as OH radicals, O<sub>3</sub>, and the photochemical reactions of NO<sub>x</sub> and VOCs. However, a previous study revealed that even with a 30% reduction in VOCs and NO<sub>x</sub> emissions, the winter nitrate concentration in PM<sub>2.5</sub> in the NCP decreased by only 8.6% (Fu et al., 2020). NH<sub>3</sub> plays a crucial role in the gas-to-particle conversion process of HNO<sub>3</sub> in the NCP region. Therefore, we designed single and combined pollutant reduction strategies for NH<sub>3</sub>, NO<sub>x</sub>, and VOCs to examine the effects of the emission of these species on nitrate in PM<sub>2.5</sub>.

The emission reduction results (Figure 16) revealed that single VOCs reduction imposed a limited effect on controlling nitrate. When emissions were reduced by 60%, nitrate decreased by only 10.3%. In contrast, NO<sub>x</sub> reduction imposed a greater effect on controlling nitrate. When NO<sub>x</sub> emissions were reduced by 60%, nitrate decreased by approximately 38.4%. However, this simulated reduction level was significantly greater than the current NO<sub>x</sub> reduction outcomes in China. When both NO<sub>x</sub> and VOCs were reduced, the effect slightly increased to 39.0%. When NH<sub>3</sub> emissions were reduced by 60%, nitrate decreased by 44.8%. The best results were achieved when NH<sub>3</sub>, VOCs, and NO<sub>x</sub> were jointly reduced by 60%, and nitrate decreased by 59.8%. These findings suggest that for effective control of nitrate concentrations, combined reduction in NO<sub>x</sub>, VOCs, and NH<sub>3</sub> emissions is necessary. To reduce the nitrate

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concentration via a single emission source, the most effective measure would be to decrease  $\text{NH}_3$  emissions.

#### 4 Conclusion

In this study, nitrate formation mechanisms and influencing factors in seven cities across the NCP during the winters of 2013 and 2018 were investigated. Our findings revealed that nitrate formation was significantly influenced by  $\text{NH}_3$ ,  $\text{NO}_2$ , OH radicals,  $\text{O}_3$ , NO, and  $\text{N}_2\text{O}_5$ , with distinct differences between regions. In inland cities, the OH +  $\text{NO}_2$  reaction pathway dominated, contributing 63.7%–85.6% to nitrate formation. This was largely driven by the increased concentrations of OH radicals and  $\text{O}_3$ , resulting in a 7.6% greater contribution of this pathway in inland cities in 2018 than in 2013. In contrast, coastal cities exhibited a greater contribution of the  $\text{hetN}_2\text{O}_5$  pathway (37.0% to 45.7%) because of the higher  $\text{N}_2\text{O}_5$  concentrations and longer  $\text{NO}_3$  radical lifetimes. High NO concentrations in inland areas facilitate  $\text{O}_3$  titration, inhibiting  $\text{N}_2\text{O}_5$  formation and further differentiating the nitrate formation processes between the two regions.

Our emission reduction experiments demonstrated the critical need for a comprehensive and coordinated approach to effectively mitigate nitrate pollution in the NCP. A 60% reduction in  $\text{NO}_x$  emissions alone led to a 38.4% decrease in nitrate concentrations. However, combined reduction in  $\text{NO}_x$ , VOCs, and  $\text{NH}_3$  yielded a greater decrease of 59.8%. These results highlight the necessity of region-specific, multipollutant control strategies to achieve significant reductions in  $\text{PM}_{2.5}$  nitrate levels and alleviate associated health risks. Furthermore, our study increases the understanding of atmospheric nitrogen chemistry, providing critical insights for developing more targeted and effective measures to mitigate nitrate pollution and improve the air quality.

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#### **Declaration of Competing Interest**

610 The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### **CRedit authorship contribution statement**

**Zhenze Liu:** Visualization, Formal analysis, Writing – original draft. **Xiaohuan Liu:** Project administration, Writing – review & editing. **Yuanze Ni:** Validation.. **Likun Xue:** Resources. **Jianhua Qi:** Conceptualization, Methodology, Writing - review & editing

#### **Data availability**

The data will be made available upon request.

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