

1 >>>Thank you for your well-balanced report. My replies are behind>>>

2

3 *Editor report*

4

5 Dear Dr. van Haren,

6

7 One reviewer rates your revised manuscript as good in terms of scientific
8 significance and quality, but fair in presentation quality. The other reviewer rates
9 it as fair in all three aspects and recommends rejection. Our review criteria
10 require a manuscript to be rated excellent or good in all principal criteria and
11 specific aspects.

12

13 I find the spectral slope analysis and insights from deep observations interesting
14 and worthy of publication in Ocean Science, subject to some clarifications (see
15 below). However, the links to larger-scale circulation are either far-fetched or not
16 sufficiently supported in the current analysis. I agree that AMOC predictions using
17 models may lack important feedback related to deep ocean mixing and thus be
18 inaccurate. However, this is not the main question you address in detail, and it
19 could better serve as a discussion and speculation point in your manuscript.

20

21 I invite you to prepare a revised technical note, focusing on spectral slopes in the
22 deep, weakly-stratified ocean and the importance of coupling between sub-
23 mesoscale motions, low-frequency internal waves, and convection turbulence.
24 The links to AMOC and responses to inaccurate claims can be included as part of
25 the discussion. A suggested title could be: "Spectral slopes in deep, weakly-
26 stratified ocean and coupling between sub-mesoscale motions and small-scale
27 mechanisms"

28 >>>Thank you for the appreciation. I have carefully reread the manuscript and
29 modified accordingly, using the suggestions including the proposed title.

30

31 Additional major comments:

32 The short summary must be entirely revised to remove the previous focus on
33 AMOC collapse.

34 >>>This has been revised now removing AMOC collapse predictions (and the
35 lack thereof).

36

37 The abstract should be revised to emphasize the spectral observations and
38 findings, with reduced mention of AMOC links.

39 >>>The abstract has been thoroughly revised as suggested.

40

41 The question posed in lines 125-127 is not satisfactorily addressed or answered
42 in the present paper and should not be raised as the main question for this
43 technical note. The closing part of the introduction must be rewritten.

44 >>>Both are rewritten, emphasizing the little studied deep-sea convection
45 turbulence and sub-mesoscale part of spectra.

46

47 Fig 1 and the discussion of data. Why do we expect the buoyancy / convective
48 turbulence 1150 m above the seafloor?

49 >>> Following observations presented in van Haren and Millot (OA2003): in
50 winter, stratification becomes near near-homogeneous down to about 300-500 m,
51 as observed in limited CTD-profiling, while occasionally the few moored current
52 meter temperature records showed significant inversions, with values highest at

53 1100 demonstrating strong instability. Also in winter, sub-mesoscale variability is
54 larger due to intensified boundary flows. Current speeds are up to 3 times larger
55 than in summer and polarization of motions is more irregular and rectilinear than
56 in summer, suggesting gyroscopic waves in the internal wave band.

57

58 Fig 2 and the discussion of data: This time series is from thermistors at the
59 seafloor (assumed 1 cm above seabed) because the thermistor string fell off the
60 mooring. 1) why is this a good record to study turbulence or related spectral
61 slope? It is far too close to a boundary. Turbulent eddies will be squeezed and
62 affected by the presence of the seafloor. Is near-inertial subrange expected in
63 such conditions? Is convection turbulence scaling valid in such conditions?
64 >>> The data from T-sensor at 0.01 m is presented because of high precision/low
65 noise and because they show convection-turbulence over an extended frequency
66 range partially due to geothermal heating, indeed also because shear is expected
67 to be limited. For reference, I have now added spectrum from data of an older-
68 type, noisier T-sensor that was attached to a drag-parachute stuck at 140 m ab.

69

70 Li 340- You claim that the observations at the seafloor suggest direct coupling
71 between sub-mesoscale motions, IGW, and convection turbulence. I am not
72 convinced that this coupling can be observed 1 cm above the seafloor. This must
73 be clarified and discussed in more detail. At a minimum, please include a caveat.
74 >>>See above, now a record is included from 140 m ab.

75

76 Li 352-355: I don't understand why you bring this up. It seems to be an attempt
77 to link to the broader question of AMOC and the representativeness of the
78 surface data. Even if your 18-day seafloor temperature dataset does not show
79 dense-water formation and convection at this time and location, many other
80 datasets elsewhere could. You certainly do not claim that deep convection does
81 not exist in the world's oceans. This closing statement is misleading and must be
82 reworded to reflect this particular dataset and location.

83 >>>Indeed, I do not mean to link to the AMOC. Reworded now.

84

85 Minor comments and technical edits:

86 Li 65: please avoid use of brackets like this: "(sub-)mesoscale gyre (eddy)
87 transport", and reword as needed. Also e.g. line 209: "a(n active) scalar>

88 >>>Manuscript reread and modified where necessary.

89

90 Li 88-91: the missing mixing and the link to boundaries is outdated
91 (e.g. <https://eur01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fdoi.org%2F10.1175%2FJPO-D-16-0082.1&data=05%7C02%7C%7C10ae098f79d04a44719b08dd1d09bb75%7C9a1651bf58af435b86a83e9334b4b732%7C0%7C0%7C638698649849783121%7CUnknown%7CTWFpbGZsb3d8eyJFbXB0eU1hcGkiOnRydWUsIIYiOiwlLjAuMDAwMCIslAIiOjXaW4zMltsIkFOljoITWFpbCIsIldUljoyfQ%3D%3D%7C0%7C%7C%7C&sdata=Ra%2BwCbTjUperH80BpITmnFQ2%2FbgB6fh3oArDMF2FLk0%3D&reserved=0> and the following up literature). I see you refer to Ferrari et al 2016 in
98 line 124. This can be integrated here too.

99 >>>I somewhat disagree with this remark, as internal wave breaking is still
100 needed and explaining the mixing of heat downward, to maintain the ocean
101 stratification. What Ferrari et al 2016 (was also cited in old I.76) and McDougall &
102 Ferrari 2017 (reference now included in old I.77) showed was a need for
103 upwelling in a, probably thin, layer above the seafloor, given the observationally
104

105 *demonstrated increase of turbulence with depth towards steeply sloping*
106 *seafloors. The requirement for the upwelling in this thin boundary layer, if*
107 *existent, reads, following computations by McDougall&Ferrari (2017), in terms of*
108 *eddy diffusivity: $K_z = 1.5 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ for a transport of 100 Sv. This K_z -value*
109 *has been observed using high-resolution moored instrumentation, e.g., van*
110 *Haren&Gostiaux JMR2012 found double this value due to internal tide breaking*
111 *over slope of Great Meteor Seamount. This is better indicated now, near the*
112 *beginning of Section 2.*

113
114 Li 172: please consider rewording sub-sub-mesoscale, which I do not recognize
115 as a common term

116 >>>Reworded to 'smaller than sub-mesoscale'.

117
118 li 208: what are you referring to with "buoyancy range"? Please clarify
119 >>>Apologies, it should have been 'buoyancy subrange' (of turbulence).

120
121 li 230: please show how the omega_min value is obtained
122 >>>OK, definition given now as eq. (1), with that of omega_max.

123
124 li 250: typo in "flow field"
125 >>>Thanks.

126
127 li 251: I see that the spectrum is from 1150 m above seafloor, but could the
128 spectral bump be attributed to slope critical for near-f frequencies? Or is such
129 physics not allowed for such very weak stratification? Is the stratification near the
130 current meter same in winter and summer periods? Why do we not have a hint of
131 the spectral bump in the winter spectrum? It is difficult to claim the lack of bump
132 to higher energy level in winter.
133 >>>Data from the central part of the Ligurian Sea show similar results as in Fig.
134 1, so slope criticality is unlikely, also because inertial peaks are generally
135 common throughout the ocean. Local stratification is more or less the same
136 between seasons, see old l.198, but upper-sea stratification not. This could imply
137 that atmospheric-generated near-inertial waves have smaller amplitudes in winter
138 than in summer. Besides, the sub-mesoscale activity is much larger in winter,
139 with, as suggested, more spin-off to turbulence range motions. These definitely
140 affect the polarization which is not circular at f in winter (van Haren&Millot, 2003).

141
142 Li 284: reword "which bounds are close to"
143 >>>Reworded now.

144
145 Li 296: so omega_min is a hypothetical limit? (see comment on li 230)
146 >>>No, it depends on N, latitude and wave direction, see definition given now as
147 eq. (1) near old l.230.

148
149 Li 297: one would require $N = 0.21f$ -please clarify required for what
150 >>>For omega_min. Now, an explanatory sentence is inserted around the first
151 mentioning of omega_min, omega_max (old l.240): 'The omega_min and
152 omega_max are functions of N, latitude and wave propagation direction.'

153
154 Li 315-316: please reword: " no (cooler) dense-water convection was formed"
155 (e.g., cold, dense water production through convection was not observed).
156 Please avoid excessive use of brackets, which is generally confusing for a

157 reader.
158 >>>*Reworded as suggested, thank you.*
159
160 Li 323 and also fig 2 caption: this is one spectrum stitched together using two
161 spectra with different smoothing, DOF before and after 5 cpd. Please clarify /
162 reword.
163 >>>*Reworded as suggested.*
164
165 Li 379-381 / li 425-426 - please avoid excessive use of brackets that can confuse
166 the reader.
167 >>>*Brackets removed from these sentences, thank you.*
168
169 Li 402 - "and which speed is of the same order" doesn't read well.
170 >>>*'speed' removed now.*
171
172 Li 411-412: these citations must be in text.
173 >>>*'such as in' removed now.*
174
175 Li 434: grammar doesn't look right. Perhaps "...mixing induced by, e.g....coupling,
176 cannot be"
177 >>>*OK, 'is' removed now.*
178
179 Fig 1. Are the winter and summer spectra offset from each other? (It could be
180 clarifying to mention that they are not offset, if this is the case.) Reading the text, I
181 understand that winter is more energetic (hence not offset), but I doubt the noise
182 level would be different between the records.
183 >>>*The spectra are not deliberately offset from each other, as has been indicated*
184 *now. I understand your doubt, but the 3600-s sampled data apparently contain*
185 *not only instrumental noise but also other physics signals near the Nyquist*
186 *frequency.*
187
188 Fig 2. Please clarify that this is one spectrum stitched together using two spectra
189 with different smoothing. Please bring the actual spectrum above the other lines.
190 Showing the spectrum in color can help some readers (can also consider in other
191 figures). You can consider showing the 95% confidence intervals for the two
192 different smoothing.
193 >>>*Improved as suggested. The error bar for the most important heavily*
194 *smoothed spectrum was shown in upper right corner, which is moved now for*
195 *better visibility.*
196
197 Fig 3- please bring the spectrum above other lines (and use color if you don't
198 object). Placement of the slope numbers should be improved. I think _I can see a
199 small bar showing the confidence interval. Please define it in the caption.
200 >>>*OK, improved as suggested.*
201 -----
202 *Referee #1*
203 >>>*I thank the reviewer for the time to comment my manuscript. My replies are*
204 *behind >>>*
205
206 This new version of the ms. has a much more balanced invocation of the AMOC,
207 and it can be sensibly published. One might indeed question the reliance on

208 apparent power laws, but the approach is defensible and possibly useful. There
209 are a few small remaining issues, mainly of English usage:
210 >>> *Thank you for the appreciation.*
211
212 Perhaps cite Chunchuzov et al. Tellus 2021 On eddy internal wave generation.
213 (Or one of several other papers.)
214 >>> *Cited now.*
215
216 Line 71. Presumably "ineffective" should be "effective"
217 >>> *Yes, of course, thank you.*
218
219 Line 72+ It would be useful to cite the recent paper of Liu et al. Wind-steered
220 pathway of Atlantic MOC. Nature Geoscience 2024 .. on wind control of AMOC
221 >>> *Cited now.*
222 104. "secondly" should be "secondary"
223 >>> *Ok, modified.*
224
225 132 end of the line, is missing "an order"
226 >>> *Yes, thank you.*
227
228 157 "associate" should be "associated"
229 >>> *Like the sentences above and below, the sentence is in present tense, as*
230 *they indicate more general than particular findings.*
231
232 215 The sentence says the lab experiments are inconclusive. But then goes on
233 to quote their results and I'm left confused.
234 >>> *The following sentence now includes 'On the one hand....on the other hand'.*
235
236 250 "filed" should be "field"
237 >>> *Yes, thank you.*
238
239 268 "if existent" should be "if it exists"
240 >>> *Ok, modified.*
241
242 276+ I don't understand this sentence "without reflexion", but comes back?
243 >>> *As it was meant that inertial waves can propagate from well stratified to near-*
244 *homogeneous and to well stratified layer, now modified: 'back' → 'vice versa'.*
245 *Perhaps 'without attenuation' is better wording than 'without reflection', as*
246 *modified now.*
247
248 297 "not" should be "non-"
249 >>> *OK.*
250
251 331 "steeper" should be "more steeply"
252 >>> *Yes, thank you.*
253
254 346 "due to difference" should be "due to the difference"
255 >>> *Yes.*
256
257 382 Internal waves exist in the range $f < N$ or $N < f$. But what is the
258 meaning of internal waves when $N=f$? (the frequency vanishes from the
259 controlling parameter).

260 >>>Good point. When $N = O(f)$, including $N = f$, the traditional approximation is no
261 longer valid and one has to consider the full equations of motion, including the
262 horizontal Coriolis parameter. This results in an inertio-gravity wave band, not
263 only internal gravity waves but also gyroscopic waves (e.g., LeBlond&Mysak,
264 1978). This band has wider ranges $\omega_{min} = f$ $\omega_{max} = 2\Omega$, as
265 provided now (around old 1.240), and internal waves at $N=f$ can propagate freely,
266 with one component in horizontal direction only (e.g., Gerkema et al., 2008).

267
268 422 "required to evidence" should be something like "required to demonstrate"
269 >>>OK, modified as suggested.

270
271 430 I don't think anyone has ever suggested that the AMOC was the main driver
272 of the ocean circulation.

273 >>>'rather than the AMOC' removed now.

274
275

Referee #3

276
277 >>>I thank the reviewer for the time to comment my manuscript. My replies are
278 behind >>>

279
280 The revised version of the paper is clearer and easier to understand. However, it
281 combines two very different components that are nearly incompatible:
282 >>>Thank you for appreciation of improvements.

283
284 The central part of the paper is about small-scale mixing dynamics. It uses a few deep
285 moored temperature and velocity records from the northwest Mediterranean to argue
286 that the dynamics is a form of convectively driven stratified turbulence, i.e. B-O
287 dynamics. The large increase in spectral level and lack of an inertial peak in winter
288 compared to summer is particularly interesting. Since the mooring is on the edge of the
289 deep convection region, one might expect interesting and unique dynamics here.

290 However, the argument is weak and incomplete:

291 - What is B-O dynamics? I had never heard of it, so I expect many other readers will
292 also be mystified. It seems to be controversial in the fluids community (Liot
293 doi:10.1017/jfm.2016.190655).

294 >>>I would rather say BO-scaling instead of BO-dynamics, as it, like KO-scaling, proposes
295 a model for energy transfer of basically turbulent and intermittent motions. While the KO-
296 scaling is most known, describing the inertial subrange for a passive scalar, the BO-scaling
297 describes deviations from KO-scaling, notably the 'buoyancy subrange' for an active scalar.
298 Its description exists since 1959. I would not say it is controversial. As outlined in the
299 manuscript various model conditions yield various scalings and, as of to date, the energy
300 cascade governing BO-scaling has not been well-defined, in contrast with that of KO-scaling.
301 No problem to add a few extra citations on this, like Liot et al 2016 who describe KO-scaling
302 for RTi, but also indicate that it may have to do with their Lagrangian data, as proper
303 transfer brings their data closer to BO-scaling. Others, like Boffetta et al. PRE2009 but
304 especially also Poujade PRL2006 and Celani et al. PRL2006 demonstrate clear BO-scaling
305 for RTi modelling. I agree that thus far BO-scaling has seldom been observed in the ocean,
306 presumably because it is difficult to directly observe convection, and also because standard
307 oceanographic instrumentation and sampling is not precise enough to properly resolve
308 turbulence. This is better indicated now.

309
310 - What is the density profile of the moored record? What does velocity show? Was
311 there deep convection at that time? Did it extend to the location of the mooring?

312 >>>I wonder what the referee is implying with a density profile of a moored record? What
313 data is referred to? Assuming the remark is on Fig. 1: Following observations presented in

314 *van Haren&Millot (OA2003): in winter, stratification becomes near-homogeneous down to*
315 *about 300-500 m, as observed in limited CTD-profiling, while occasionally moored current*
316 *meter temperature records showed significant inversions, with values highest at 1100*
317 *demonstrating strong instability. Also in winter, sub-mesoscale variability is larger due to*
318 *intensified boundary flows. Current speeds are up to 3 times larger than in summer and*
319 *polarization of motions is more irregular and rectilinear than in summer, suggesting*
320 *gyroscopic waves in the internal wave band.*

321
322 - There are other oceanographic papers that should be cited (Huang <https://doi.org/10.1017/jfm.2024.1030>). The author has also argued that B-O scaling occurs in the
323 ocean in other locations (Van Haren et al. 2024 [https://doi.org/10.1016/](https://doi.org/10.1016/j.dsr.2024.104277)
324 [j.dsr.2024.104277](https://doi.org/10.1016/j.dsr.2024.104277)).

325 >>>Yes, it has also been observed in various other locations, including $O(100)$ m above the
326 seafloor in the deep Mediterranean (van Haren OD2023). The Huang et al. paper, quite
327 recently published online on 25 November 2024, is from close to the seafloor in a shelf sea,
328 where (shear) flows may be relatively large. I would not mind citing that paper, if it not
329 contained strange errors in the spectral extent, with the 16-Hz sampling rate (they probably
330 mean a sampling rate of 16 times per second) transferred to 2π wrong rad s^{-1} . Also, their
331 lowest frequency, when shifted by 2π , would imply almost raw (unsmoothed) spectra,
332 resulting in large error bars, which are not given. It seems they mixed up Hz, s^{-1} and rad s^{-1} ,
333 unfortunately. Sampling rate in their Fig.4 is not 16 Hz as indicated in the caption, but
334 once per 60 s. Citation is not always correct (e.g., Polzin et al. (2021) do not reveal BO-like
335 scaling).

336
337
338 There may well be a need for an overview paper bringing all these ocean observations
339 together with theoretical and modeling results, to argue the case for B-O scaling in the
340 ocean under certain conditions. This paper is too incomplete to be publishable as such.
341 >>>I disagree, more oceanographic observations were not available at the time of writing,
342 several extra modelling references are given now.

343
344 This small scale argument is concluded by arguing that this data “suggests a direct
345 coupling between sub-mesoscale motions, IGW motions, comprising internal gravity
346 and gyroscopic waves, and convection turbulence.” Without quantification, this is
347 certainly true. None of these processes exist in isolation. All have energy cascades,
348 which means that they modify and are modified by other things. It would be much
349 shorter to just say this in a paragraph with a few references and omit the detailed
350 discussion of B-O scaling.

351 >>>Initially I did so as a matter of fact, but elaboration was suggested. So, extended work
352 has been done to demonstrate a possibility reflecting such interaction, and BO-scaling is an
353 example, but indeed it is by no means the only one, and several other examples are indicated.

354
355 All of the above is used to argue that predictions of the future evolution of the AMOC,
356 based on simple physics with a few parameters, are unlikely to be accurate. It appears
357 to be a response to claims in two other papers. Ditlevsen and Ditlevsen (2024) claim
358 “We estimate a collapse of the AMOC to occur around mid-century under the current
359 scenario of future emissions” and Van Westen et al. claim “Reanalysis products
360 indicate that the present-day AMOC is on route to tipping.” This paper makes a
361 sensible response to such predictions, by saying that they may not include all of the
362 relevant feedbacks and thus be inaccurate. This response could be strengthened by
363 omitting the small-scale discussion of B-O scaling, and instead citing selective papers
364 about the many processes that the above two articles omit.

365 >>>Thank you, much appreciated. See also response above. Such citations of the many
366 relevant processes was given to a certain level, and somewhat extended now.

367
368 In summary, this article makes a weak argument that an obscure type of ocean physics

369 sometimes occurs and therefore models of the AMOC might be wrong. Harmless, but
370 not of the highest quality. Copernicus describes itself as publishing “highly reputable
371 peer-reviewed” articles. By that criteria the paper should be rejected.
372 >>>*I disagree, as it is a, perhaps overlooked, example of how such interaction between*
373 *small- and mesoscales can exist, proving the complexity of ocean dynamics.*
374
375