# Response of the link between ENSO and the East Asian winter

# 2 monsoon to Asian anthropogenic sulfate aerosols

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- 12 Correspondence to: Zixuan Jia (zx.jia@nuist.edu.cn)
- 13 Abstract. We use coupled and atmosphere-only simulations from the Precipitation Driver and
- 14 Response Model Intercomparison Project to investigate the impacts of Asian anthropogenic sulfate
- 15 aerosols on the link between the El Niño-Southern Oscillation (ENSO) and the East Asian Winter
- 16 monsoon (EAWM). In fully-coupled simulations, aerosol-induced cooling extends southeastward to the
- 17 Maritime Continent and the north-western Pacific. Remotely, this broad cooling weakens the easterly
- 18 trade winds over the central Pacific, which reduces the east-west equatorial Pacific sea surface
- 19 temperature gradient. These changes contribute to increasing ENSO's amplitude by 17%, mainly
- 20 through strengthening the zonal wind forcing. Concurrently, the El Niño-related warm SST anomalies
- 21 and the ensuing Pacific-East Asia teleconnection pattern (i.e. the ENSO-EAWM link) intensify, leading
- 22 to an increased EAWM amplitude by 18% in the coupled simulations. Therefore, in response to the
- 23 increasing frequency of El Niño and La Niña years under Asian sulfate aerosol forcing, the interannual
- 24 variability of the EAWM increases, with more extreme EAWM years. The opposite variations in the
- 25 interannual variability of the EAWM to Asian aerosols in atmosphere-only simulations (-19%) further
- 26 reflect the importance of ENSO-related atmosphere-ocean coupled processes. A better understanding
- 27 of the changes of the year-to-year variability of the EAWM in response to aerosol forcing is critical to
- 28 reducing uncertainties in future projections of variability of regional extremes, such as cold surges and
- 29 flooding, which can cause large social and economic impacts on densely populated East Asia.

#### 30 1 Introduction

- 31 The East Asian winter monsoon (EAWM) is one of the most prominent features of the northern
- 32 hemisphere atmospheric circulation during the boreal winter and has a pronounced influence on weather
- and climate of the Asian-Pacific region from the northern latitudes to the equator (e.g. Chang, 2006;
- 24 Zhou and Wu., 2010; Wang et al., 2022b). As such, the year-to-year variations of the EAWM have the
- 35 potential to cause extreme cold disasters and severe flooding in Southeast Asian countries (e.g. Huang

et al. 2012; Yang et al., 2020; Zuo et al., 2022), with consequent marked social and economic impacts

2 (e.g. Chen et al., 2005; Zhou et al. 2011). Thus, it is very important to understand the mechanisms

underpinning its variability and associated drivers, and to ultimately develop more robust projections

of its future evolution. 4

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6 The EAWM is fundamentally driven by the thermal contrast between the cold Asian continent and the adjacent warm oceans (e.g. Yang et al., 2002; Huang et al., 2012; Chen et al., 2019). Its climatological 7 pattern is mainly characterized by dry cold low-level northwesterlies along the eastern flank of the Siberian High and low-level northeasterlies along the coast of East Asia, triggering cold air outbreaks in northern China and generating cold surges over southern China as well as the South China Sea (Li 10 and Wang, 2012; He et al., 2013). The EAWM exhibits distinct interannual variability (e.g. Gong et al., 2014; Chen et al. 2015) that mainly originates from intrinsic atmospheric processes (e.g., Wu et al. 2014; Wang et al. 2021, 2022b) and the El Niño-Southern Oscillation (ENSO) forcing through the Pacific-East Asia teleconnection (Zhang et al., 1996). Associated with an El Niño event, the anomalous 14 anticyclone over the western tropical Pacific (the most remarkable low-level circulation feature of the 16 PEA) induces southwesterlies on its western flank, which weaken the EAWM flow and lead to warmer and wetter conditions over southeastern China and the South China Sea (Wang et al., 2000, 2013). In turn, the EAWM tends to be strong during La Niña winters, with widespread cooling and reduced 19 precipitation.

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Previous studies indicated that magnitude and location of ENSO-induced teleconnection patterns are 21 influenced by ENSO characteristics, such as amplitude and location of its sea surface temperature (SST) 22 23 anomalies (Cai et al., 2021; Jiang et al., 2022). However, future projections of ENSO characteristics are 24 highly uncertain, even in the latest CMIP6 models (Huang and Xie, 2015; Yan et al., 2020; Beobide-Arsuaga et al., 2021). Therefore, there is no consensus on future changes in the ENSO-induced 25 teleconnections, including projections of the PEA pattern (e.g. Wang et al., 2013; Jia et al., 2020). The 26 characteristics of ENSO and its induced atmospheric teleconnections are closely related to the tropical 27 Pacific mean state via ocean-atmosphere feedbacks (Jin, 1997; Wang, 2002; Cai et al., 2014). Based on 28 ocean-atmosphere reanalyses, observed mean state changes since the 1980s feature a La Niña-like 29 30 warming (i.e. the tropical Pacific warming center is mainly located in the western basin; Rayner et al., 31 2003; Kobayashi et al., 2015; Huang et al., 2017). However, both a La Niña-like and an El Niño-like 32 warming (i.e. tropical Pacific warming centered in the eastern basin) are projected in the future, with a 33 large spread across different climate models (e.g. Power et al., 2013; Lian et al., 2018). These two 34 different warming patterns will cause a corresponding strengthening and weakening of the easterly trade winds over the tropical Pacific Ocean, respectively, resulting in opposite changes in the characteristics 35 of ENSO (Vecchi et al., 2006; Collins et al., 2010). While the majority of the studies have focused on 36

the influence of increasing greenhouse gas concentrations on the tropical Pacific mean state (e.g. Wang 2 et al., 2017; Yan et al., 2020), the impact of anthropogenic aerosols has been largely overlooked.

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Due to the intensification of human industrial activities, the global mean atmospheric burden of 4 anthropogenic aerosols has continued to increase over the past century, exerting a significant imprint 5 on worldwide climate (Liao et al., 2015; Forster et al., 2021; Persad, 2023). Anthropogenic aerosols can 6 affect climate by modulating shortwave radiation and, to some extent, longwave radiation directly, and 7 through their interactions with clouds and precipitation indirectly (Boucher et al., 2013; Myhre et al., 2013; Zhao and Suzuki, 2019). Unlike greenhouse gases, which are distributed evenly across the globe, anthropogenic aerosols reside in the atmosphere for a short time (days to weeks) due to numerous chemical and physical removal processes, which causes their distribution and associated radiative forcing to be spatially heterogeneous (Allen et al., 2015; Wilcox et al., 2019). As such, aerosols can induce substantial changes in local atmospheric circulation and extend their influence over long distances, even over the surrounding ocean, triggering ocean-atmosphere interactions (Rotstayn and Lohmann, 2002; Ramanathan et al., 2005; Westervelt et al., 2020). Some studies indicated that the influence of anthropogenic aerosols from remote sources can even outweigh that of locally-emitted ones (Shindell et al., 2012; Lewinschal et al., 2013). Since the start of the industrial age, vast emissions of aerosols and their precursors over the Northern Hemisphere have had a profound cooling effect, and this preferential cooling has been linked to a southward shift of the Intertropical Convergence Zone (e.g. Hwang et al., 2013; Navarro et al., 2017).

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The emissions of anthropogenic aerosols and their precursors in Asia have increased rapidly since 1980, 22 23 and many studies have focused on Asian as well as Northern Hemispheric climate (e.g. Bollasina et al., 24 2014; Bartlett et al., 2018; Wilcox et al., 2019; Li et al., 2022). While Asian anthropogenic aerosols can significantly affect the Asian monsoon, the large majority of the current literature has focused on the 25 effects of aerosols on the summer or annual mean climatology (e.g., Westervelt et al., 2018; Song et al., 26 27 2014; Persad et al., 2022). Only a limited number of studies have focused on the influence of aerosols on the EAWM (Jiang et al., 2017; Liu et al., 2019; Wilcox et al., 2019), while their effect on the 28 interannual variability of the EAWM and the link to ENSO remains unexplored. In boreal winter, 29 30 intensive combustion of coal and fossil fuels across Asia leads to sulfate aerosols dominance (Gao et 31 al., 2018; Cheng et al., 2019), setting the stage for a potential important influence on continental climate 32 and the mean EAWM circulation. Moreover, ENSO and the associated PEA teleconnection pattern peak in the winter, representing a major driver of interannual fluctuations of the EAWM. The extent to which 33 34 aerosols may affect ENSO and the related ocean-atmosphere feedbacks has not been thoroughly investigated and is unclear (Westervelt et al., 2018; Wilcox et al., 2019). Given the rapid variations in 35 aerosol emissions over Asia, addressing this knowledge gap is both compelling and timely for 36

- 1 enhancing our understanding and projections of the ENSO-EAWM link in the near future, and potential
- 2 causes of changes in the interannual variability of the EAWM.

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- 4 In this study, we use multi-model mean data from regional aerosol perturbation experiments conducted
- 5 with coupled and atmosphere-only models (Section 2) to investigate the impacts of Asian sulfate
- 6 aerosols on the ENSO-EAWM link and the interannual variability of the EAWM (Section 3). We then
- 7 link changes in the PEA pattern to the remote impacts of Asian aerosols on ENSO (Section 4).
- 8 Mechanisms driving changes in the tropical Pacific mean state and ENSO characteristics are further
- 9 investigated in Section 5. Finally, Section 6 summarises the main results and provides key conclusions.

### 10 2 Data and methodology

11 Model data from the Precipitation Driver and Response Model Intercomparison Project (PDRMIP;

12 Myhre et al., 2017) are used to investigate the impact of Asian anthropogenic aerosols on the ENSO-

13 EAWM link. PDRMIP offers a unique opportunity for elucidating the complexities of the ENSO-

14 EAWM-aerosol nexus and its mechanisms, particularly with regard to the role of air-sea interactions in

modulating the aerosol-driven response. Indeed, one approach that has provided valuable insights, is

16 the decomposition of the response into two complementary components: a fast response involving

17 atmospheric and land surface adjustments but fixed sea surface temperature (SST), acting on short

18 timescales (a few years) and a slow response, which includes the full extent of the oceanic circulation

19 response, thus effective on decadal or longer time scales (e.g. Samset et al., 2016; Liu et al., 2018; Dow

20 et al., 2021; Fahrenbach et al., 2024; Liu et al., 2024). The baseline simulation was forced by present-

21 day (year 2000) levels of aerosols and greenhouse gas emissions/concentrations. The regional aerosol

22 experiment analysed in this study has sulfate concentrations/emissions over Asia (10°-50°N, 60°-

23 140°E) increased by a factor of 10 compared to the baseline values (hereafter SUL×10Asia). Note that

24 sulfate is the predominant aerosol component in boreal winter over Asia (e.g. Liu et al., 2009; Zhang et

25 al., 2018). The response to Asian aerosols is identified as the difference between the SUL×10Asia and

26 the baseline experiments. Of the 10 models that contributed to PDRMIP, seven performed the

27 SUL×10Asia experiment: GISS-E2, HadGEM3-GA4, IPSL-CM5A, MIROC-SPRINTARS, ESM1-

28 CAM4, CESM1-CAM5, and NorESM1 (details on the resolution and aerosol setup for each model can

29 be found in Table 1 of Liu et al. (2018)). For each model and experiment, a pair of simulations was

30 performed: one in a fully coupled atmosphere-ocean setting (called "coupled"), and one with fixed

31 climatological sea surface temperatures (called fSST). The coupled simulations were run for 100 years

32 and the fSST simulations for 15 years. The concentrations of all non-aerosol anthropogenic forcers and

33 natural forcing were kept at present-day levels (typically year 2000) in all the experiments, as are the

34 SSTs for the fSST simulations. In this study, we use output from the last 50 winters (DJF, December of

35 the current year and January and February of the following year) of coupled simulations and the last 12

winters of the fSST simulations to discard the model spin-up time and consistently with existing literature (Liu et al., 2018; Dow et al., 2021; Fahrenbach et al., 2024).

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Reanalysis and observational data for DJF 1965-2014 (50 years) are used to evaluate the PDRMIP-4 simulated EAWM and ENSO-related patterns in the baseline experiment. Monthly meteorological 5 reanalysis data are from the fifth-generation atmospheric reanalysis ERA5 provided by the European Centre for Medium-Range Weather Forecasts at a spatial resolution of 0.25° (Copernicus Climate 7 Change Service, 2017; Hersbach et al., 2023). Monthly gridded observations are from the Hadley Centre 8 9 Sea Ice and Sea Surface Temperature (HadISST) dataset for sea surface temperature at a spatial resolution of 1° (Rayner et al., 2003), and from the Climatic Research Unit (CRU) v4.07 data set for 10 land surface temperature with a spatial resolution of 0.5° (Harris et al., 2020). To quantify the EAWM 11 interannual variability, we use the Ji et al. (1997) index (the negative 1000 hPa meridional wind 12 anomaly averaged over 10°-30°N, 115°-130°E) as it represents the spatio-temporal characteristics of 13 the ENSO-EAWM relationship well (Gong et al., 2015; Jia et al., 2020). Positive values indicate a 14 stronger-than-normal EAWM. ENSO is described by the Niño3.4 index (area-averaged SST anomaly 15 over 5°S-5°N, 120°-170° W). The ENSO-related PEA pattern is deduced by regression analysis, and 16 17 the statistical significance is evaluated using the two-tailed Student's *t-test*. Among the seven PDRMIP models with the SUL×10Asia experiment, coupled baseline simulations in CESM1-CAM5, MIROC-18 19 SPRINTARS, HadGEM3-GA4, and NorESM1 can well capture the observed pattern and magnitude of the ENSO-related circulation anomalies across East Asia and the Pacific (Fig. S1) and are used in this 20 study. These four models include parameterisations of both aerosol-radiation and aerosol-cloud 21 interactions, while the others don't include indirect effects, or include only the first indirect effect (Liu 22 et al., 2018; Dow et al., 2021). (Table 1). All the data are interpolated to a 3.75° × 2° (longitude × 23 latitude) resolution before the analysis for consistency between all models. 24

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## 3 Impacts of Asian aerosols on the PEA pattern and the EAWM interannual variability

The ENSO-related circulation and precipitation anomalies across East Asia and the Pacific (i.e. the PEA 27 pattern) (Figs. 1a-c) are well reproduced by the multi-model mean of the PDRMIP coupled baseline 28 29 simulations (Figs. 1d-f). The pattern is characterised by the El Niño-related warm SST anomalies over the equatorial Pacific and cold SSTs over the north-western Pacific (Fig. 1a), the anomalous anticyclone 30 over the western tropical Pacific and the anomalous low over the northern extratropical Pacific (Fig. 31 1b). On the western flank of the anticyclone, near-surface and lower tropospheric southerly winds along 32 the East Asian coast (Figs. 1a-b) lead to warm surface air temperature and precipitation over 33 southeastern China and even over central China (Figs. 1a, c), while the lower tropospheric northerly 34 winds on the western flank of the cyclone bring cold air to northeastern China (Fig. 1b). The spatial 35 patterns of simulated anomalies are broadly similar to those found in observations, including the 36

position and magnitude of El Niño-related warm SST anomalies, anticyclone and cyclone anomalies, and precipitation anomalies (Figs. 1d-f). The multi-model mean from PDRMIP shares common biases with other CMIP5 and CMIP6 models, such as a slightly westward shift of the equatorial Niño warming with associated circulation and precipitation anomalies (Gong et al., 2015; Wang et al., 2022a). Overall,

the multi-model mean coupled PDRMIP baseline simulations successfully reproduce the PEA pattern.

In response to Asian aerosols, the El Niño-related warm SST anomalies intensify over the eastern equatorial Pacific, associated with an intensification of the anomalous SST cooling over the western tropical Pacific (Figs. 1g, j). Concurrently, the anticyclonic anomalies over the western tropical Pacific strengthen and stretch northwestward, while the cyclone over the northern Pacific strengthens and covers a broader region (Figs. 1h, k). This enhanced anticyclone results in an intensification of southerly anomalies along the Asian coast from the South China Sea (Figs. 1j-k), advecting warm and humid air (Figs. 1i, l). Over land, warm anomalies over southeastern and central China weaken, as well as cold

anomalies over northeastern China (Figs. 1d, g, j), primarily associated with the Asian aerosol-induced

cooling (Fig. S2k) and the enhanced, northwestward-expanding PEA teleconnection pattern (Figs. 1e,

16 h, k, Fig.S3), respectively. Overall, these changes suggest that the ENSO signal and its induced PEA

pattern enhance with northwestward expansion under increased Asian aerosols. Given the interannual

variability of the EAWM is strongly influenced by the PEA pattern, the intensification of southerly

anomalies along the Asian coast associated with the enhanced PEA may lead to an increase in the

20 interannual variability of the EAWM.

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Changes in the interannual variability of the EAWM in response to Asian aerosol increase are shown by the probability distributions of the EAWM index (Fig. 2a, S4a). The simulated amplitude of the EAWM (defined as the standard deviation of the EAWM index) is slightly smaller than the observed amplitude in baseline simulations, which is a general known bias in models (Wang et al., 2010; Gong et al., 2014). In coupled simulations, the multi-model mean EAWM amplitudes increase by 18% due to the Asian aerosols at both the 12-year (Fig. 2a) and 50-year (Fig. S4a) timescales, together with more extreme EAWM years in the SUL×10Asia experiment. Note however differences in the shifts of the tails of the distributions of 12-year and 50-years periods due to sampling. Differences in standard deviations of V1000 between SUL×10Asia and baseline experiments (Fig. 2b, S4c) further confirm that the prevailing northerly wind region of the EAWM, with large V1000 standard deviations (mainly along the East Asian coast) in baseline experiment (Fig. S4b), exhibits an increase in SUL×10Asia simulations. These changes are consistent with the aerosol-enhanced PEA pattern identified above. However, in fSST simulations, the multi-model mean EAWM amplitude decreases by 19% at the 12-year timescale, accompanied by more strong-EAWM years and less weak-EAWM years in SUL×10Asia experiments (Fig. 2c-d). These changes can be explained by aerosol-induced cooling over

the emission region and the formation of an anomalous anticyclonic circulation (e.g. Hu et al., 2015; 2 Liu et al., 2019; Dow et al., 2021), and indicate an enhanced climatological pattern of the EAWM under 3 increased aerosols (Figs. S2a-f). In addition to this atmospheric-only response, the influence of Asian aerosols can extend over the Maritime Continent and the north-western Pacific (Wilcox et al., 2019; 4 Dow et al., 2021; Figure Sg-l). In coupled simulations, the climatological pattern of the EAWM extends 5 southeastward, which is mainly represented by an anomalous anticyclone centred over the southwest of the Philippines (Figs. S2g, j). This anomalous anticyclone, attributed to the southward shift of the 7 Hadley circulation to compensate for the interhemispheric asymmetry in aerosol radiative cooling (Liu 8 et al., 2019), enhances the northerlies over the Maritime Continent but slightly weakens the northerlies along the East Asian coast (Figs. S3g-h, j-k). This pattern cannot explain the increased interannual 10 variability of the EAWM in coupled simulations as it is not associated with an evident modulation of 11 the climatological monsoon flow. The EAWM-related circulation and precipitation anomalies brought 12 about by increased aerosols in the coupled experiments (Fig. S5) feature an enhanced PEA pattern. This 13 further suggests the contribution of the enhanced ENSO-induced PEA pattern to increased interannual 14 variability of the EAWM. The opposite variations in the interannual variability of the EAWM to Asian 15 aerosols in fully coupled experiments and atmosphere-only (+18% and -19%, respectively) also reflect 16 17 the importance of ENSO-related atmosphere-ocean coupled processes.

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## 4 The response of ENSO amplitude to increased Asian aerosols

20 Following previous studies (e.g. Wang et al., 2013; Wang et al., 2022a), the increased ENSO signal and its induced teleconnection pattern can be further linked to changes in the ENSO amplitude (defined as 21 22 the standard deviation of the Niño3.4 index). Figure 3a shows the observed standard deviation of SST 23 across the tropical Pacific, with the highest values over the central-eastern equatorial Pacific. This spatial pattern is well captured by the multi-model mean in the coupled baseline simulation (Fig. 3b), 24 25 albeit the core values are slightly underestimated in magnitude and spatial extent, especially in the meridional direction. Increased aerosols lead to significant increases in the SST standard deviation over 26 the Maritime Continent and the central-eastern equatorial Pacific (Fig. 3c-d). This is consistent with the 27 increased ENSO signal and the related changes in SST anomalies over these two regions (Fig. 1j). 28 29 Figure 3e shows the probability distributions of the Niño3.4 index from the coupled baseline (blue curve and shading) and SUL×10Asia (red curve and shading) simulations. The multi-model mean ENSO 30 amplitude increases by 17% under aerosol forcing (from 0.7 °C to 0.82 °C). 31

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Consistent with the increased ENSO amplitude, Table 1 shows that there are more El Niño (Niño3.4 index > 0.5 °C) and La Niña (the Niño3.4 index < -0.5 °C) years in the coupled SUL×10Asia simulation compared to the baseline for each model, with the increase up to 100% (from 14 to 28 events in the 50-year record). Figure 4 shows the joint distributions of multi-model mean aerosol-driven changes in the

- 1 Niño3.4 index compared with the EAWM index in coupled simulations. Both the Niño3.4 index and
- 2 the EAWM index have a wide range of variations (i.e. from -1.5 to 1.5 °C and -1 to +1 m s<sup>-1</sup> respectively),
- 3 suggesting that both the ENSO amplitude and the interannual variability of the EAWM increase under
- 4 Asian aerosol forcing as indicated above. Remarkably, changes in the Niño3.4 index are significantly
- 5 anti-correlated (p < 0.01) with those in the EAWM index (r = -0.38). In particular, when the Niño3.4
- 6 index decreases by less than 0.5 °C due to Asian aerosol forcing, the EAWM is 2.5 times more likely to
- 7 strengthen than weaken, and vice versa. This is consistent with the negative relationship between ENSO
- 8 and the EAWM induced by the ensuing PEA teleconnection pattern (Wang et al., 2000). These results
- 9 show that Asian aerosols lead to an increase in the ENSO amplitude, resulting in increased interannual
- variability of the EAWM through the associated PEA pattern.

### 5 Changes in the tropical Pacific mean state and ocean-atmosphere feedbacks

It is well-known that ENSO is fundamentally governed by ocean-atmosphere coupled processes in the 12 tropical Pacific (Timmermann et al., 2018; Rashid et al., 2022). It is therefore interesting to examine 13 14 how the tropical Pacific mean state and atmosphere-ocean coupling are affected by Asian aerosol forcing. Figure 5 shows the climatological annual variation of key surface variables across the 15 16 equatorial Pacific Ocean in the coupled baseline simulation and their changes under increased Asian 17 aerosols. In the baseline simulation, the equatorial Pacific mean state is characterised by easterly trade 18 winds with maximum magnitude over the central-eastern Pacific, an east-west SST gradient, and strong 19 SST amplitudes (i.e. standard deviations of SST) over the eastern Pacific (Figs. 5a-c). These features are altered in the SUL×10Asia experiment relative to the baseline experiment, with significant seasonal 20 differences. In particular, anomalous westerlies develop from spring over the eastern Pacific, then 21 22 gradually strengthen until the peak in September while moving towards the central Pacific (the Niño4 region, purple bar) (Fig. 5d). Westerly wind anomalies are considered to play an important role during 23 the development stage (i.e. boreal autumn) of ENSO events, by generating warm SST anomalies in the 24 eastern equatorial Pacific via the thermocline and the advective feedbacks (McPhaden, 1999; Lian and 25 26 Chen, 2021; Xuan et al., 2024). This anomalous westerly flow weakens the climatological easterly trade winds in the coupled SUL×10Asia simulation compared to the baseline (Figs. 5a, d). Furthermore, 27 anomalous SST warming appears over the eastern Pacific (the Niño3 region, green bar) from autumn 28 to winter (peak around October) (Fig. 5h), which decreases the east-west equatorial Pacific SST gradient 29 30 (Fig. 5b, e). Note that Figures 5b, e and 5h show SST minus zonal mean and SST difference minus zonal mean respectively to clarify the east-west SST changes gradient. Given the broad aerosol-induced 31 32 cooling over the Pacific (Fig. S2k), warming SST anomalies on Figure 5h represent less cooling. Although the east-west equatorial Pacific SST gradient weakens (Fig. 5h), westerly wind anomalies 33 34 over the central Pacific are larger (Fig. 5g), sustaining the eastward advection of warm water and reinforcing the positive SST anomalies over the eastern Pacific. Correspondingly, the SST amplitude 35 increases with maximum values in the winter mainly over the central-eastern Pacific (the Niño3.4 36

region) (Fig. 5i), which is consistent with the increased ENSO amplitude under Asian aerosol forcing

2 indicated above. Besides, anomalous SST warming over the eastern Pacific can further strengthen

westerly wind anomalies over central Pacific (Zebiak & Cane, 1987). Previous studies have found a

4 link between warmer SST in the eastern than in the western equatorial Pacific with an increase in ENSO

5 amplitude (Zheng et al., 2016; Ying et al., 2019; Hayashi et al., 2020).

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Given the above marked changes over the equatorial Pacific mean state in autumn and winter, we further 7 explore the response of the tropical Pacific mean state to Asian aerosols in these two seasons. In autumn 8 9 (SON, September-October-November), there are a zonally wider anticyclone, cooling and negative precipitation anomalies stretching from Asia to the whole North Pacific (Figs. 6a-c) compared to those 10 in winter (Figs. 6d-f). As in Figure 5h, Figure 6b and 6e show surface air temperature (SST over the 11 ocean) difference minus domain mean, on which warming SST anomalies represent less cooling. These 12 differences between SON and DJF are related to the climatological pattern in SON when the Siberian 13 High is close to the broad North Pacific subtropical high and the Aleutian Low is weak (Fig. S6). The 14 associated midlatitude westerlies (Fig. S6a) transport aerosols downstream, extending the region of 15 aerosol-induced negative effective radiative forcing (ERF) anomalies to the northwestern Pacific (Fig. 16 17 S7a-c), leading to the zonally wider cooling. The cooling and associated anticyclonic anomalies trigger 18 cross-equatorial wind anomalies from the Northern Hemisphere to the Southern Hemisphere, which 19 shift the ITCZ southward (Figs. 6a-c), as indicated by previous studies on the interhemispheric 20 difference in aerosol emissions (Navarro et al., 2017; Voigt et al., 2017; Wilcox et al., 2019). Deflected by the Coriolis force, the cross-equatorial wind anomalies present a westerly anomaly near the equator 21 mainly over the central Pacific (purple box in Fig. 6a), which can weaken the easterly trade winds, 22 generating warm SST anomalies over the eastern Pacific (green box in Fig. 6b) and excess rainfall (Fig. 23 24 6c). From SON to DJF, the climatological Siberian High strengthens, and the Aleutian Low deepens with a southward shift in the coupled baseline simulation (Figs. S6). The associated northwesterlies 25 along the eastern flank of the Siberian High and northeasterlies along the coast of East Asia confine the 26 negative ERF anomalies primarily south of 30°N (Fig. S7d-f). Therefore, the Asian aerosol-induced 27 cooling and associated anticyclone are more concentrated over the Maritime Continent and the north-28 29 western Pacific (Fig. 6d), altering the SST gradient anomaly from north-south (Fig. 6b) to northwestsoutheast (Fig. 6e). This SST anomaly pattern leads to the southward shift of anomalous westerly winds 30 31 over the central-eastern Pacific, as well as warm SST and positive precipitation anomalies over eastern 32 Pacific (Figs. 6d-f). These anomalies are conducive to increasing the ENSO amplitude as explained below. 33

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The processes that most significantly contribute to ENSO amplitude are surface wind responses to the equatorial eastern Pacific SST variations (the atmospheric Bjerknes or zonal wind feedback), the zonal advection of mean SSTs by the anomalous current (the zonal advective feedback) and the vertical

advection of anomalous subsurface temperatures by the mean upwelling (the thermocline feedback) 2 (e.g. Timmermann et al., 2018; Ying et al., 2019; Peng et al., 2024). The two latter feedbacks are related 3 to the ocean dynamic responses to zonal wind forcing that cause in-phase variations of eastern Pacific SST anomalies (Jin and An, 1999; Kim et al., 2014). A diagnostic quantity that includes both these two 4 feedback processes is the zonal wind forcing of SST anomalies, which was found to be useful for 5 studying ENSO-amplitude changes under global warming (Rashid et al., 2016). To further quantify the changes in the strength of the ocean-atmosphere coupling that modulate the ENSO amplitude, we focus 7 on two main processes, the atmospheric Bjerknes feedback and the zonal wind forcing, which are related 8 to the formation of the westerly anomalies over the central Pacific and warm SST anomalies over the eastern Pacific indicated above. Figure 7 shows the lag-regression coefficients between the SST 10 anomalies averaged over the Niño3 region (green box in Fig. 6b) (the Niño3 SST index) and near-11 surface zonal winds (U1000) anomalies averaged over the Niño4 region (purple box in Fig. 6a) (the 12 Niño4 U1000 index) to represent the atmospheric Bjerknes feedback and the zonal wind forcing. In 13 each panel, regression coefficients between two variables at different lags are plotted for observations 14 15 (black curve) and the coupled baseline (blue curve and shading) and SUL×10Asia (red curve and shading) simulations. The left panel shows the Niño4 U1000 anomalies response to the Niño3 SST 16 index (i.e. the atmospheric Bjerknes feedback). As in most CMIP models (e.g. Bellenger et al., 2014, 17 Rashid et al., 2016), the simulated atmospheric Bjerknes feedback is weaker than in observations (Fig. 18 7a). The strength of the feedback for lags between –5 and 5 months almost doesn't change in the coupled 19 20 SUL×10Asia simulation relative to the baseline (Fig. 7a). The right panel shows the Niño3 SST anomalies response to the Niño4 U1000 index (i.e. the zonal wind forcing). In this case, the simulated 21 22 SST responses are somewhat stronger than the observed responses, and the maximum responses are 23 found at small positive lags (e.g. when U1000 leads SST by 1-2 months) (Rashid et al., 2022). The 24 zonal wind forcing, defined as the maximum of the regression coefficients (lag=1), strengthens from the baseline (0.51°C m<sup>-1</sup> s) to the SUL×10Asia experiment (0.55°C m<sup>-1</sup> s) by 8%. Therefore, the zonal 25 wind forcing plays a more important role than the atmospheric Bjerknes feedback in increasing the 26 27 ENSO amplitude under Asian aerosol forcing. In summary, the Asian aerosol-induced cooling weakens 28 the easterly trade winds over the central Pacific, which reduces the east-west equatorial Pacific SST gradient through the zonal wind forcing, leading to increased ENSO amplitude. 29

#### 6 Summary and conclusions

- 31 This study investigates the response of the ENSO-EAWM link and related interannual variability of the
- 32 EAWM to Asian sulfate aerosols, including the induced changes in the ENSO-related ocean-
- 33 atmosphere feedbacks, using a set of experiments carried out as part of the PDRMIP initiative.
- 34 Accounting for two-way atmosphere-ocean coupling, the El Niño-related warm SST anomalies
- 35 intensify over the eastern equatorial Pacific, associated with an enhancement of the anomalous

anticyclone anomaly over the western tropical Pacific and corresponding stronger southerlies along the 2 Asian coast from the South China Sea. This enhanced ENSO signal and its induced PEA pattern contribute to explaining the increased interannual variability of the EAWM (+18%). When the ocean is 3 not allowed to respond, the interannual variability of the EAWM varies in the opposite direction (-4 19%), which further reflects the importance of ENSO-related atmosphere-ocean coupled processes for 5 explaining the increased variability. The PEA-like EAWM-related circulation and precipitation 7 anomalies also hint at a link between increased interannual variability of the EAWM and changes in ENSO in response to Asian aerosols. The increased ENSO signal can be further linked to changes in 8 the ENSO amplitude. The multi-model mean ENSO amplitude increases by 17% with increased sulfate aerosols, with more El Niño and La Niña years in all the PDRMIP models used in this study. Changes 10 in the Niño3.4 index are significantly correlated with changes in the EAWM index. 11

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In coupled simulations, the aerosol-induced broad cooling alters the mean state over the tropical and equatorial Pacific, generating westerly anomalies over the central Pacific (peak in autumn) and warm SST anomalies over the eastern Pacific from autumn to winter, which are key factors in increasing ENSO amplitude. Using a diagnostic analysis, the contribution of two main processes, the atmospheric Bjerknes feedback and zonal wind forcing is estimated. The zonal wind forcing is identified to strengthen from the baseline experiment to the SUL×10Asia experiment by 8%, while the strength of the atmoshpheric Bjerknes feedback almost doesn't change. Therefore, the aerosol-induced cooling weakens the easterly trade winds over the central Pacific, which reduce the east-west equatorial Pacific SST gradient through the zonal wind forcing, causing the increased amplitude of ENSO and the EAWM. In summary, the findings of this study provide a better understanding of the change to the year-to-year variability of the EAWM in response to aerosol forcing. This is critical to reducing uncertainties in future projections of variability of regional extremes, such as cold surges and flooding, which can cause large social and economic impacts on densely populated East Asia.

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27 We acknowledge some limitations and potential extensions of this study. Only a limited number of models is available as part of PDRMIP, as some others do not parameterise aerosol-cloud interactions 28 29 which are critical to realise the total aerosol response across Asia (e.g. Dong et al., 2016; Liu et al., 30 2024). Also, some models prescribed concentrations, rather than emissions, perturbations, the implications of which are difficult to ascertain given the limited model sample. Including more models 31 and making use of coordinated perturbed aerosol experiments to Asian aerosols, such as those planned 32 as part of RAMIP (Wilcox et al., 2023) would further increase the robustness of our study. This would 33 allow to better characterise the individual model responses as a function of the underlying bias (e.g., 34 Liu et al., 2024). It would be interesting to extend this analysis to future projections for the 21<sup>st</sup> century, 35 for example using CMIP6 models or large ensembles, and examine the externally-forced changes 36 accounting also for the role of internal climate variability. It would also be interesting to examine the 37

- 1 extent to which the ENSO-EAWM link varies across the various future aerosol pathways, which are
- 2 uncertain and display very different, but equally plausible, patterns over Asia (Persad et al., 2022; Wang
- 3 et al., 2023). Finally, we only considered the role of Asian aerosol changes. A more comprehensive
- 4 analysis, should similar experiments be available, could also consider aerosols from South and East
- 5 Asia separately as well as from other geographical regions, such as Europe and North America, which
- 6 can also affect the Pacific and, via atmospheric teleconnections, East Asia (e.g. Dong et al., 2016; Liu
- 7 et al., 2019).

9 Code availability. The python code generated in this study is available upon request (contact author).

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- 11 Data availability. The CRU land temperature dataset is obtained from
- 12 https://crudata.uea.ac.uk/cru/data/hrg/cru ts 4.07, while the HadISST sea surface temperature dataset
- can be found at https://www.metoffice.gov.uk/hadobs/hadisst/. The ERA5 reanalysis is provided by the
- 14 European Centre for Medium-Range Weather Forecasts at
- 15 https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5. The PDRMIP data can be accessed
- 16 through the World Data Center for Climate (WDCC) data server at
- 17 https://doi.org/10.26050/WDCC/PDRMIP 2012-2021.

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- 19 Author contribution. ZJ and MAB designed the study and discussed the results. ZJ carried out the
- 20 analysis and drafted the manuscript. All authors edited the paper.

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22 **Competing interests.** The authors have no competing interests to declare.

23

- 24 Acknowledgements. ZJ thanks the Startup Foundation for Introducing Talent of Nanjing University of
- 25 Information Science and Technology (NUIST) (grant no. 2024r034) and Natural Science Fund for
- 26 Colleges and Universities in Jiangsu Province (grant no. 24KJB170015). MB acknowledges support
- 27 from the Natural Environment Research Council (grant no. NE/N006038/1) and the Research Council
- of Norway (grant no. 324182; CATHY).

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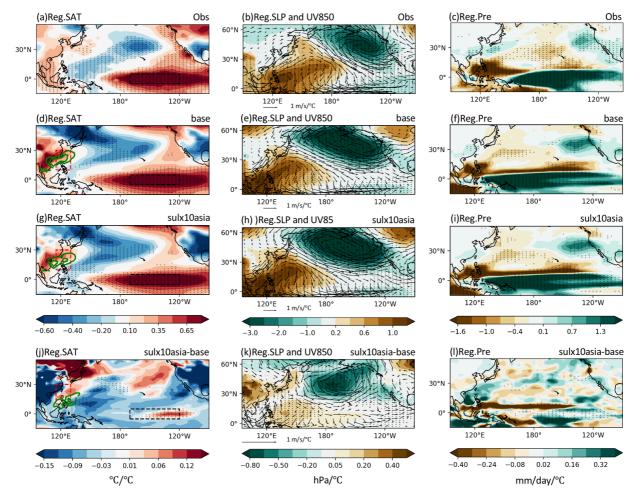
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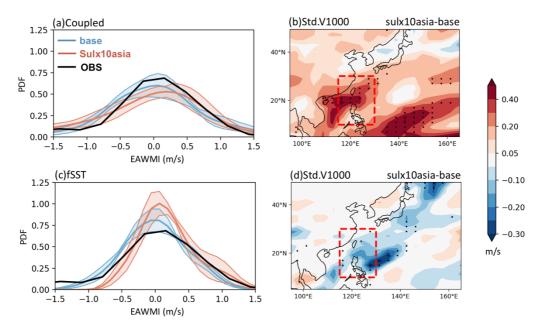
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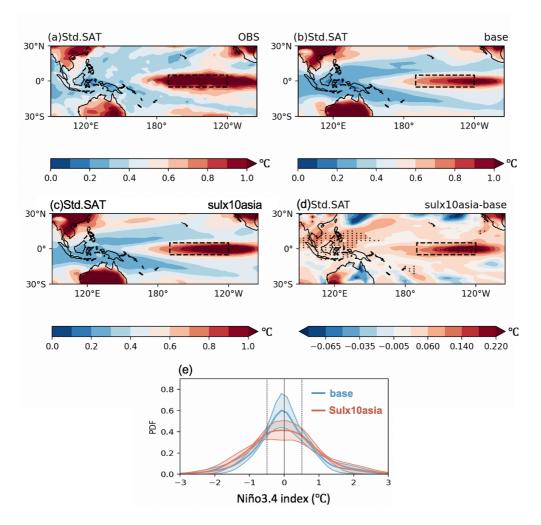
#### l Figures



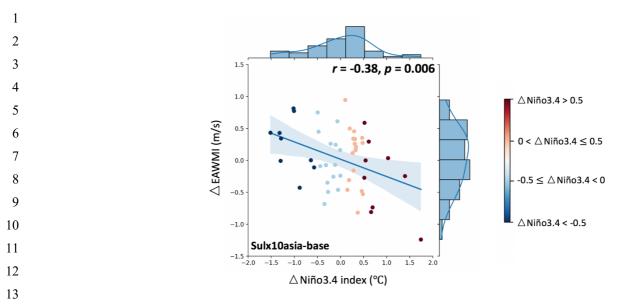
**Figure 1.** DJF regressions of (a)(d)(g) surface air temperature (SAT, SST over the ocean, °C, shading) and 1000 hPa meridional wind (V1000) over the broad East Asia (green contours, values plotted only when larger than 0.1 m s<sup>-1</sup> °C<sup>-1</sup>), (b)(e)(h) sea level pressure (SLP; hPa, shading) and 850 hPa wind (UV850; m s<sup>-1</sup>, vector), (c)(f)(i) precipitation (Pre, mm d<sup>-1</sup>) onto the Niño3.4 index from (a-c) observations during 1965-2014, multimodel mean coupled (d-f) baseline and (g-i) SUL×10Asia simulations in PDRMIP. Dotted regions indicate significant correlations at the 95% level from the two-tailed Student's *t* test. Differences in regressions of (j) SAT and V1000 (green contours, values plotted only when larger than 0.05 m s<sup>-1</sup> °C<sup>-1</sup>), (k) SLP and UV850, (l) Pre between coupled SUL×10Asia and baseline simulations. Dotted regions represent differences that remain significant after false discovery rate (FDR) correction of *p-values* from two-tailed Student's *t*-test (Wilks et al., 2016). The definition regions of the EAWM index and the Niño3.4 index are marked by red and black rectangles respectively.



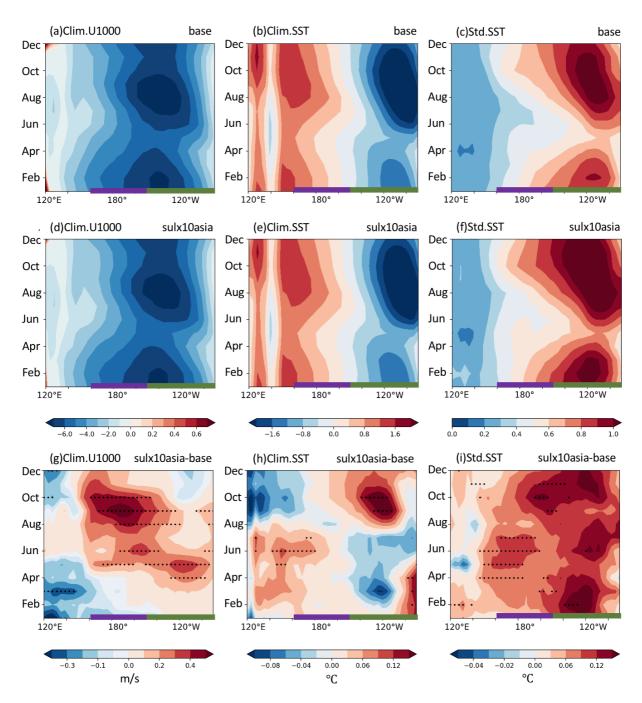
**Figure 2.** Frequency distributions of the EAWM index from observations during DJF 1994-2005 (black curve) and (a) coupled simulations during DJF for years 50-61, (c) fSST simulations during DJF for years 3-14 in PDRMIP with multimodel-means (thick coloured curves) and the associated 95% confidence intervals (coloured shades). The confidence intervals are estimated from different models by using bootstrap resampling (e.g. Wang, 2001). Differences in multimodel mean standard deviations of V1000 (m s<sup>-1</sup>) between SUL×10Asia and baseline experiments from (b) coupled simulations during DJF for years 50-61, (d) fSST simulations during DJF for years 3-14 in PDRMIP. Dotted regions indicate significant differences at the 95% level from the two-tailed *F*-test. The definition region of the EAWM index is marked by a red rectangle.



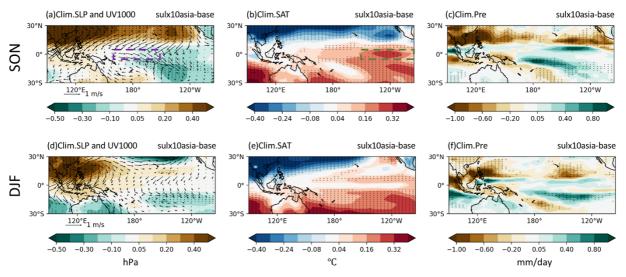
**Figure 3.** DJF multimodel mean standard deviations of SAT (SST over the ocean, °C) from (a) observations during 1965-2014, (b) coupled baseline simulations, (c) coupled SUL×10Asia simulations. (d) Differences in standard deviations of SAT (SST over the ocean, °C) between coupled SUL×10Asia and baseline simulations. Dotted regions indicate significant differences at the 95% level from the two-tailed *F*-test. (e) Frequency distributions of the Niño3.4 index from coupled simulations in PDRMIP with multimodel-means (thick coloured curves) and the associated 95% confidence intervals (coloured shades). The confidence intervals are estimated from different models by using bootstrap resampling.



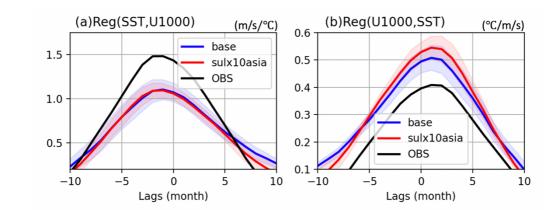
**Figure 4.** Joint distributions of multimodel mean differences in the EAWM index against corresponding differences in the Niño3.4 index between coupled SUL×10Asia and baseline simulations, including the linear fits with 95% confidence intervals.



**Figure 5.** Multimodel mean longitudinal transect of the monthly climatological (a, d) 1000 hPa zonal wind (U1000, m s<sup>-1</sup>), (b, e) SST minus zonal mean (°C), (c, f) SST standard deviation (°C) for the equatorial Pacific (5°S–5°N) from coupled (a, b, c) baseline and (d, e, f) SUL×10Asia simulations; and their changes in (g) U1000, (h) SST, (i) SST standard deviation between coupled SUL×10Asia and baseline simulations. Dotted regions in (g)(h) indicate significant changes at the 95% level from the two-tailed Student's t test; in (i) indicate significant changes at the 95% level from the two-tailed t-test. The definition longitudes of the Niño3 and Niño4 indices are marked by green and purple thick bars respectively along the x axis.



**Figure 6.** (a-c) SON, (d-f) DJF multimodel mean changes in (a)(d) sea level pressure (SLP; hPa, shading) and 1000 hPa wind (UV1000, vector), (b)(e) surface air temperature (SAT, SST over the ocean) minus domain mean (°C), (c)(f) precipitation (Pre, mm d<sup>-1</sup>) between coupled SUL×10Asia and baseline simulations. Dotted regions indicate significant changes at the 95% level from the two-tailed Student's t test. The definition regions of the Niño3 and Niño4 indices are marked by green and purple rectangles in panels a-b respectively.



**Figure 7.** Multimodel mean lag-regression coefficients of (a) the Niño4 U1000 index onto the Niño3 SST index (indicative of the atmospheric Bjerknes feedback) (m s<sup>-1</sup> °C<sup>-1</sup>), (b) the Niño3 SST index onto the Niño4 U1000 index (indicative of the zonal wind forcing of SST) (°C m<sup>-1</sup> s) from observations (black curve) and coupled simulations in PDRMIP with multimodel-means (thick coloured curves) and the associated 95% confidence intervals (coloured shades). The confidence intervals are estimated from different models by using bootstrap resampling.

# Table 1. Models used in this study and their specifications.

Model	Version	Indirect effects included	References	
CESM1-CAM5	1.1.2	Sulfate: all indirect effects	Hurrell et al. (2013); Kay et al. (2015)	
MIROC- SPRINTARS	5.9.0	Sulfate: all indirect effects	Takemura et al. (2009); Watanabe et al. (2010)	
HadGEM3	GA 4.0	Sulfate: all indirect effects	Bellouin et al. (2011); Walters et al. (2014);	
NorESM1	NorESM1-M	Sulfate: all indirect effects	Bentsen et al. (2013); Iversen et al. (2013);	

4 Table 2. Number of El Niño and La Niña years for each model from coupled baseline and SUL×10Asia

# 5 simulations in PDRMIP.

Years	CESM1- CAM5 (base)	CESM1- CAM5 (sulx10asia)	MIROC- SPRINTARS (base)	MIROC- SPRINTARS (sulx10asia)	HadGEM3 (base)	HadGEM3 (sulx10asia)	NorESM1 (base)	NorESM1 (sulx10asia)
Niño3.4 > 0.5	16	17	8	15	10	11	12	14
Niño3.4 < -0.5	17	22	6	13	9	9	10	14