



# **Groundwater head responses to droughts across Germany**

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# **Abstract**

Groundwater is a crucial resource for society and the environment, e.g. for drinking water supply and dry-weather stream

- 10 flows. The recent severe drought in Europe (2018-2020) has demonstrated that these services could be jeopardized by ongoing global warming and the associated increase in the frequency and duration of hydroclimatic extremes such as droughts. To assess the effects of meteorological variability on groundwater heads throughout Germany, we systematically analyzed the response of groundwater heads at 6,626 wells over a period of 30 years. We characterized and clustered groundwater head responses, quantified response time scales, and linked the identified patterns to spatial controls such as land cover and
- 15 topography using machine learning. We identified eight distinct clusters of groundwater responses with emerging regional patterns. Meteorological variations explained about 50% of the groundwater head variations, with response time scales ranging from a few months to several years between clusters. The differences in groundwater head responses between the regions could be attributed to regional meteorological variations, while the differences within the regions depended on local landscape controls. Here, the depth to groundwater best explained the time scale of the observed head response, with shorter response
- 20 times in shallower groundwater. Two of the clusters showed consistent long-term trends that exceeded meteorological controls and could be attributed to anthropogenic impacts. Our study contributes to a better understanding of the regional controls of groundwater head dynamics and to the classification of groundwater vulnerability to hydroclimatic extremes.

# **1. Introduction**

Groundwater is the largest available freshwater resource worldwide serving numerous water demands such as for drinking, 25 irrigation and industrial water as well as for groundwater-dependent ecosystems, minimum discharges in streams, and dilution of pollutants (Taylor et al., 2013). Droughts can threaten the availability and usability of groundwater to meet these demands and cause severe socioeconomic and ecological impacts (Stahl et al., 2016). The recent multi-year drought in Europe (2018- 2020) has set a new benchmark with extreme socioeconomic damage, resulting in increased public and stakeholder awareness





of the vulnerability of water resources to droughts (Rakovec et al., 2022; Blauhut et al., 2022; Hari et al., 2020). With ongoing 30 climate warming, climatic extremes are intensifying (IPCC, 2023). This includes both increasing frequency, intensity and duration of droughts (Rakovec et al., 2022; Hari et al., 2020; Rodell and Li, 2023) as well as frequency and intensity of extreme precipitation events (IPCC, 2023). This raises the need to develop a thorough understanding of the effects of hydroclimatic variability (including droughts) on groundwater resources and their vulnerabilities to enable an improved knowledge-based water management.

- 35 Droughts are periods with persistent below normal water availability often differentiated by the affected compartments, which the drought signal may propagate through, i.e. meteorological, agricultural (soils), and hydrological droughts (groundwater and surface water) (Van Loon, 2015; Entekhabi, 2023). Generally, when drought signals propagate from the meteorological driving force to groundwater, the landscape acts as a low-pass filter so that the drought response gets attenuated, elongated and delayed from a more erratic forcing variable to a dynamic with higher memory (Van Loon, 2015; Bloomfield and
- 40 Marchant, 2013; Kumar et al., 2016). However, this propagation is highly variable across the landscape, with the result that groundwater heads can respond very differently to the driving meteorological forces (Bloomfield and Marchant, 2013; Kumar et al., 2016). Previous studies have investigated the controls of groundwater dynamics at different spatial scales (local to integral catchment scales) and temporal representations (daily heads to monthly anomalies). They have highlighted the importance of hydrogeological conditions and the well location, more specifically, the aquifer type (Bloomfield et al., 2015;
- 45 Hellwig and Stahl, 2018), confinement status (Haaf et al., 2020; Bloomfield and Marchant, 2013), the hydrological conductivity (Hellwig et al., 2020), the unsaturated zone thickness or depth to groundwater (Bloomfield et al., 2015; Haaf et al., 2020; Lischeid et al., 2021; Wossenyeleh et al., 2020; Kumar et al., 2016), the distance to stream (Haaf et al., 2020), and the location along the topographic gradient (Haaf et al., 2020; Schuler et al., 2022; Rinderer et al., 2017). Haaf et al. (2023) and Peters et al. (2006) also highlighted the non-linearity of processes, which can cause different controls of groundwater
- 50 dynamics to dominate during wet and dry conditions or groundwater recharge and discharge. Nevertheless, uncertainties in future groundwater resource availability (Marx et al., 2021; Wunsch et al., 2022; Kumar et al., 2024; Reinecke et al., 2021; Berghuijs et al., 2024) are not only related to uncertainties in climate projections (e.g., Naumann et al., 2021) and model implementation (e.g., Kumar et al., 2024; Reinecke et al., 2021), but also to the challenge to fully understand spatial variability of groundwater head responses across locations (e.g., Lischeid et al., 2021)*.* Consequently, we argue that it is still insufficiently
- 55 known how the different meteorological and landscape controls play out together to create spatial and temporal variability in groundwater heads and to what extent the controls can be generalized.

Large-sample data-driven analyses of groundwater responses to climatic drivers and underlying controls of spatial variability can be a promising way to further elucidate this interplay in controls. Standardized indicators create comparability across stations, regions and compartments of the hydrological cycle. Often meteorological drought indicators are used to assess

60 hydrological droughts as the data is comprehensive and easily accessible (Van Loon, 2015; Bachmair et al., 2016), although





they are not directly transferable into groundwater droughts observed locally at groundwater wells (Kumar et al., 2016). In contrast, large-sample analyses of groundwater droughts are challenged by the limited availability of consistent groundwater head data sets, as the indicators are sensitive to the covered time periods (Van Loon, 2015; Bloomfield and Marchant, 2013; Bachmair et al., 2016). Groundwater data sets often have systematic gaps, cover different periods and sampling frequencies, 65 and/or are not fully accessible (Bikše et al., 2023; Barthel et al., 2021). This limited data availability and consistency often hampers large-scale and comparative groundwater analysis (Barthel et al., 2021; Haaf et al., 2020), the understanding of the

spatial variability in groundwater responses and drought propagation, and the inference of drought vulnerability.

The vulnerability of a system can be interpreted as its disability to maintain or return to its state in the face of particular stresses. For groundwater heads, the most obvious example of stress is a meteorological anomaly, such as an extreme meteorological

- 70 drought. However, hydroclimatic extremes can have different manifestations, e.g. short duration with a high intensity or long duration with a lower intensity (Hari et al., 2020; Hosseinzadehtalaei et al., 2020; Westra et al., 2014; e.g., Christian et al., 2023). Moreover, as indicated above, groundwater responses and associated response time scales are highly variable in space (e.g., Lischeid et al., 2021). The different manifestations of groundwater head responses suggest different vulnerabilities of their corresponding groundwater systems with implications, for example, for surface-groundwater interactions, ecosystems or
- 75 groundwater management, and with distinct sensitivities regarding expected changes in climate. Therefore, a better understanding of types of vulnerability and their controls is required.

In this study, we perform a large-sample data-driven analysis of groundwater head responses to meteorological anomalies to understand their spatial variability and controlling factors. We use a consistent large-sample dataset of 6,626 monthly groundwater head time series of 30 years across Germany to identify similarities and differences in groundwater responses

80 and quantify time scales of propagation from meteorological anomalies to groundwater. Finally, we link the response patterns to spatial controls including climatic and landscape properties. On this basis, we can classify different vulnerabilities of groundwater to meteorological droughts and discuss implications for water management and ecology.

# **2. Methods**

#### **2.1. Data**

85 The groundwater head data used in this study are monthly mean groundwater head time series (from originally daily to monthly observations), aggregated and provided by journalists of the CORRECTIV.Lokal network across Germany for the period from 1990 to 2021 (Donheiser, 2022; Joeres et al., 2022). For an initial selection of stations, we used the 6,677 stations identified by CORRECTIV based on the criteria: having data for at least 95% of the months, showing no shifts in the head time series, and having station coordinates (Donheiser, 2022).





- 90 We filled gaps in monthly heads with linear interpolation without extrapolation using the function *na.interp* (R package forecast, Version 8.21; Hyndman and Khandakar, 2008; Hyndman et al., 2023). For 4,197 of the wells, at least one missing value had been filled, out of which 69.1% of the wells (2,899) had a maximum gap length filled less than 3 months and overall the maximum gap length was 19. We finally selected 6,626 stations covering the complete period from January 1991 to December 2020.
- 95 The wells of the data set (Fig. 1) tend to be located in highly productive porous aquifers (60.3% of wells; aquifer types from IHME1500; BGR and (eds.), 2014), coarse sediments (i.e. gravels and sands, lithology from IHME1500; BGR and (eds.), 2014) and medium to high hydraulic conductivities (62.5%; BGR and SGD, 2016). Moreover, wells are predominantly in shallow aquifers, i.e. 50.7% of wells have a mean groundwater depth <5m and 74.5% <10m (based on *mean\_gwdepth*, see Table 2). Such a sampling bias is typical for groundwater wells, as these locations are more relevant for water management
- 100 (e.g., Barthel et al., 2021). The majority of wells (50.2%) are located in agricultural areas, 27.0% in urban and 21.7% in forested areas (EEA, 2019b). About one-third of the wells are located in areas classified as riparian zones (EEA, 2021). Regionally, particularly high densities of wells are found in the city of Berlin (388 wells, i.e. 0.44 wells/km2) and in the southwestern Upper Rhine Plain (Ger.: Oberrheinische Tiefebene), whereas low densities (<0.01 wells/km2) are found in the federal states of Mecklenburg-Vorpommern, North Rhine-Westphalia and Bavaria (Fig. 1). No data is available for the federal states of
- 105 Saarland, Bremen and Hamburg.

For time series of meteorological drivers at each well location, we extracted daily time series of climate variables (i.e., precipitation, maximum, minimum and average air temperature) from the gridded (approx. 1km resolution) products derived based on measurements from the German weather service (DWD; Boeing et al., 2022; Zink et al., 2017) from 1971 until 2020. Potential evapotranspiration was calculated by the approach from Hargreaves and Samani (1985). Daily time series were then

110 aggregated to monthly mean values.







**Figure 1: Study area with groundwater wells (Donheiser, 2022), hydrogeological classes of aquifer types (HY1000 v1 © BGR 2019; BGR (2019)), and major rivers (from Strahler order 6; EEA, 2020) (a) and time series of monthly precipitation and accumulated precipitation of preceding 12 months (P12, green line), monthly P-PET and 12 months**  115 **accumulated P12-PET<sup>12</sup> (pink line), SPI1, SPI<sup>12</sup> and SGI as spatial average across wells (b). The thin gray lines in panel b indicate the 25th and 75th percentile across wells. P - precipitation; PET - potential evapotranspiration; SGI - Standardized Groundwater Index, SPI - Standardized Precipitation Index;**

# **2.2. Characterizing anomalies**

To characterize the groundwater responses with a focus on droughts and to ensure comparability across locations (Van Loon, 120 2015), we standardize the groundwater and meteorological time series representing anomalies.

# **2.2.1. Groundwater**

Groundwater head anomalies were characterized using the non-parametric Standardized Groundwater Index (SGI; Bloomfield and Marchant, 2013). We used normal scores transform by assigning equally spaced probabilities to the ranked groundwater heads of each month of a given time series and applying the inverse normal cumulative distribution function to get standard

125 normal distributed values (mean of zero, standard deviation of one, Bloomfield and Marchant, 2013). This non-parametric standardization is particularly suitable for irregular and different distributions, typical for groundwater heads, as it does not





require fitting different distribution functions that hamper comparability of resulting SGI time series (Bloomfield and Marchant, 2013).

To characterize groundwater droughts, we calculated different intrinsic properties of the SGI time series (Table 2). Firstly, we 130 determined the autocorrelation length, which we defined as the maximum lag, where both the lag itself and all smaller lags exhibit correlation coefficients greater than 0.11 referring to approx. 5% significance level (Bloomfield and Marchant, 2013). Secondly, we identified groundwater drought events defined as consecutive months with SGI < -1 (i.e. a probability of <15.9% according to the standard normal distribution). We then calculated the number, average duration and severity of drought events

for fully covered events within the 30-year time period. The event severity is defined as the integral event anomaly determined

135 by cumulative SGI values during the event. Thirdly, we quantified monotonic trends in the SGI time series applying Mann-Kendall trend and Sen's slope analysis. We used functions *mk.test* (p-value<0.01) and *sens.slope* from R package *trend* (version 1.1.5; Pohlert, 2023).

# **2.2.2. Meteorology**

Hydrometeorological anomalies generally represent deviations from average conditions at a specified location and time, 140 e.g. precipitation deficits or surplus. To characterize them, we computed the Standardized Precipitation Index (SPI, McKee et al., 1993) from monthly mean precipitation and the Standardized Precipitation Evapotranspiration Index (SPEI, Vicente-Serrano et al., 2010) from monthly mean differences between precipitation and potential evapotranspiration. The SPI and SPEI were estimated based on the monthly mean values, using the same non-parametric standardization as for the SGI (Sect. 2.2.1).

- To represent meteorological anomalies across longer antecedent time periods and thereby account for the different 145 relationships that SGI and meteorological variables may have (Kumar et al., 2016; e.g., Bloomfield and Marchant, 2013), we calculated the SPI and SPEI for different accumulation periods of precipitation and precipitation-potential evapotranspiration preceding the corresponding month by up to 132 months. For example, the  $SPI<sub>3</sub>$  is calculated based on precipitation sums of three months and thus characterizes the precipitation anomaly of the past three months.
- The advantage of the SPEI over the SPI is that it is sensitive to temperature effects on drought severity and thus global warming 150 (Vicente-Serrano et al., 2010; Van Loon, 2015). However, we acknowledge that the period of 30 years covered in this study is short to robustly represent climate change effects as generally trend analysis of groundwater heads and drought indicators have been shown to be sensitive to the covered periods (Bloomfield and Marchant, 2013; Hellwig and Stahl, 2018; Lischeid et al., 2021).





# **2.3. Clustering of groundwater anomalies**

155 To find regional similarities and differences in the responses of the groundwater wells, we clustered the SGI time series using k-means as an unsupervised machine learning algorithm. We applied the *kmeans* function implemented in R's stats package (R Core Team, 2023) using Euclidean distance to quantify (dis-)similarities.

We selected the optimal number of clusters (k) based on the average silhouette distance, which measures the compactness of the clusters and the separation from other clusters based on dissimilarity of the members within one cluster and to the members

- 160 of the nearest neighboring cluster. The silhouette coefficient ranges from [-1, 1] with one being the optimal value, zero indicates that the member is placed exactly in between two clusters, while a negative value indicates the identity would rather belong to a neighboring cluster. To compute the distances, we used the *silhouette* function from R package *cluster* (version 2.1.4; Maechler et al., 2022) with 25 iterations for starting points of cluster centers (nstart=25). Across different k up to 20, the silhouette distance showed local maxima at  $k=2$ , 5 and 8 with average distances around 0.11 (in decreasing order).
- 165 To take a confident decision, we additionally consulted the total within-cluster sum of square as a measure of cluster compactness in a scree plot. This method is known as "elbow method", where the inflection point indicates the optimal number of clusters. Finally, we decided for eight clusters as an optimum between differentiating and generalizing the individual identities.

# **2.4. Response times of groundwater to meteorological drivers**

- 170 To investigate propagation of meteorological drought to groundwater drought, we calculated cross-correlation between SGI time series and meteorological drivers (SPI, SPEI) in positive direction (i.e. meteorological forcing preceding the groundwater response). The cross-correlation is calculated for the different accumulation periods up to lag times of 5 years (60 months) using *ccf* function in R. The maximum cross-correlation coefficient (cc) result yielded the optimal accumulation time (acc) and corresponding lag time (lag; Table 2).
- 175 To quantify trends in meteorological drivers in comparison to the SGI, we calculated Mann-Kendall trend and Sen's slope on standardized meteorological variables (SPI, SPEI) and on the residuals from a linear regression between SGI and SPI<sub>acc</sub> (and  $SPEI_{\text{acc}}$ ) applying the cross-correlation results of each well. Assuming a simple linear relationship between SGI and  $SPI_{\text{acc}}$  and SPEIacc respectively, this provides an estimate of trends not reflected in the meteorological driving forces which could hint towards other relevant drivers, such as anthropogenic impacts.





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**Table 1: Groundwater response characteristics, including intrinsic properties and linkages between meteorological drivers and groundwater responses. For each parameter, the corresponding method used to calculate it, the unit and the minimum, median and maximum values across all groundwater wells are provided (although the latter**  185 **constitute results). Note: the minimum (Min), median, maximum (Max) values in brackets refer to the characteristics regarding the SPEI, instead of the SPI. Number of wells n=6,626.**



# **2.5. Spatial controls of groundwater responses**

# **2.5.1. Spatial properties**

To investigate controls of groundwater drought response patterns, we determined several spatial properties including 190 topographical, climatic, land cover and hydrogeological characteristics as well as the relative location of the well in the landscape. The total set includes 26 parameters. Details on the calculated properties is provided in Table 2.





**Table 2: Spatial properties calculated for each groundwater well. For each parameter, the corresponding method used to calculate it (including the data source if applicable), the unit and the minimum, median and maximum values within the data set are provided.**

Category	Parameter	Method	Unit	Min	Median	Max
Topography	dem	elevation extracted from EU DEM with 100m resolution (Ebeling et al., 2022; EEA, 2013)	m	$-4.5$	95.1	920.3
	slo	topographic slope based on DEM (Ebeling et al., 2022; EEA, 2013)	$\circ$	$\boldsymbol{0}$	$0.8\,$	21.9
	twi	topographic wetness index based on DEM (Ebeling et al., 2022; EEA, 2013; Beven and Kirkby, 1979)		10.5	14.2	28.2
Climate	$P_{mm}$	Mean annual precipitation (1971-2020)	mm	466	698	2062
	PET_mm	Mean annual potential evapotranspiration $(1971 - 2020)$	mm	543	773	882
	${\rm AI}$	Mean annual aridity index $AI = PET\_mm$ $/P_{mm}$		0.34	1.19	1.77
	$P_SI$	Precipitation seasonality index as the sum of absolute differences between the mean monthly and one twelfth of the annual precipitation ( $P_{mm}/12$ ) normalized by $P_{mm}$		0.07	0.17	0.39
	PET_SI	Potential evapotranspiration seasonality index as the sum of absolute differences between the mean monthly and one twelfth of the annual PET (PET_mm/12) normalized by PET_mm		0.60	0.67	0.72
Relative location	mean_gwdepth	difference between elevation (dem) and the mean groundwater level based on the filled head time series analyzed in this study	m	$-11.3$	4.9	141.6
	river_dist_m	Horizontal distance to the closest stream from EU-Hydro (EEA, 2020)	m	0.14	518.16	10952.35
	lake_dist_m	Horizontal distance to the closest lake from EU-Hydro $(EEA, 2020)$	m	$\boldsymbol{0}$	1816	20324
	dsd <sub>order</sub>	distance to stream and catchment divide from the data set Multiorder Hydrological Position (MOHP, Nölscher et al., 2022a, b). "Order" refers to the stream order considered for assessing the point location relative to the stream network. To reduce redundancies only orders 2, 4, and 6 were used, note: values from order 6	m	930	48526	133638
	<b>I</b> P <sub>order</sub>	lateral position from the MOHP (Nölscher et al., $2022a$ , b), see also $dsd_{order}$ . The lateral position indicates proximity to stream relative to the watershed divide, with $0$ - at stream, $1$ - at boundary		0.0003	0.4	$\mathbf{1}$







# 195 **2.5.2. Machine learning**

To identify spatial controls on the observed groundwater responses, we first trained different random forest (RF) classification and regression models to predict the identified clusters (Sect. 2.3) and groundwater response times (Sect. 2.4) of the 6,626 wells from the 26 spatial controls (Sect. 2.5.1). Second, we use interpretable machine learning tools to reveal insights into the relationships learned by the machine learning models. More specifically, we apply the global model-agnostic methods 200 permutation feature importance and partial dependence plots (PDP), allowing us to investigate average model behavior and

thus discuss prevalent relationships.

In detail, to predict the clusters of groundwater responses and groundwater response times, we train (1) two RF classification models for (i) all clusters, (ii) clusters with regional prevalence, and (2) RF regression models for the characteristics acf\_lag, acc, respt, and resid\_sen for SPI and SPEI each (Table 1). We used 5-fold cross-validation to evaluate the models, i.e. five

205 iterations for each model. Model performance was evaluated on the five sets of test data using the mean accuracy (percentage of correct classifications) for classification and the mean coefficient of determination  $\mathbb{R}^2$  for regression models.





Feature importance was evaluated by the relative increase in model error with permutation using the classification error (percentage of incorrect classifications) and root mean square error for regression as loss functions. Each feature was permuted ten times for each of the five test data sets of the cross-validation to acquire robust results. Subsequently, the importance results

210 were aggregated across the five resamplings providing an average and range of importance for each feature. For selected features and models, we created partial dependence plots (PDP) to analyze the effect of features on the model output by using the RF models trained on the full dataset. For model training and evaluation we used the *mlr3* package in R (version 0.17.0, Lang et al. 2019) and for model agnostics we used the *iml* package (version 0.11.1, Molnar 2018).

# **2.6. Classifying vulnerability to droughts**

- 215 Vulnerability of groundwater systems to droughts is here defined based on the response times to meteorological anomalies, with different possible implications for management and ecology. We understand response times as the time delay in the propagation of the anomaly signal from the driving force to the responding variable. The accumulation time includes this temporal delay as it accounts for meteorological anomalies preceding the corresponding time (Sect. 2.4). The center of these anomalies can be considered as half of the accumulation time. We thus calculated the response times from the SPEI (respt<sub>SPEI</sub>)
- 220 by taking half of the optimal accumulation time ( $acc<sub>SPEI</sub>$ ) and adding the corresponding identified cross-correlation time lag  $(\text{lag<sub>SPEI</sub>)}$  (Table 1). The respt<sub>SPEI</sub> can thus be interpreted as a response time from center to center (or in other words, peak to peak). Finally, we classified the wells into fast, medium and slow responding groundwater systems based on three quantiles of the distribution of respt<sub>SPEI</sub> (i.e. the 33<sup>rd</sup> and the 67<sup>th</sup> percentiles). These classes can serve as an important element in vulnerability assessments of groundwater to meteorological droughts with different characteristics.

# 225 **3. Results**

# **3.1. Variability in groundwater responses**

Overall, groundwater head responses were diverse both in terms of temporal and across-site variability. Regional patterns emerged with distinct groundwater drought responses grouped into eight clusters based on the similarities in the SGI time series (Fig. 2). Two clusters spread across the entire country (clusters lt\_inc and lt\_dec), while two clusters each predominated

230 in three distinct regions, i.e. in northeastern (ne\_lf, ne\_hf), northwestern (nw\_hf, nw\_lf) and southern (sw\_lf, sw\_hf) Germany, respectively. More closely, the cluster lt\_inc, although scattered across Germany, was still more prevalent around Berlin and in the Upper Rhine Plain. The number of wells within each cluster ranged from 570 (8.6%, cluster lt\_dec) to 1179 (17.8%, cluster sw\_hf).

Across all wells, about 36% of the wells have their minimum mean annual SGI in the last two years of the covered period, 235 i.e. 2019 and 2020. Significant negative trends across the 30 years of monthly SGI were observed in 48.2% of the wells and



series (Fig. S1).



positive trends in 26.2%. Across clusters, the number of positive and negative trends in SGI varied, with cluster lt\_inc being dominated by positive (98.4%) and cluster lt\_dec being dominated by negative (100%) trends, respectively. All other clusters were more balanced, with a maximum of 76.8% of cluster wells (cluster nw\_lf with negative trends) in one trend class (Fig. S4). In contrast, in monthly SPI<sub>1</sub> only 11.4% of well locations showed negative trends and the majority had no significant 240 trends (88.5%) and  $\langle 0.1\%$  showing positive trends. The SPEI<sub>1</sub> time series have 60.2% negative trends, 39.8% non-significant, and <0.1% positive trends. For longer accumulation periods, the meteorological trends shift towards more negative trends, e.g. 56.9% for SPI<sub>24</sub> and 92.5% for SPEI<sub>24</sub>. The SPI and SPEI show systematic differences, with SPEI showing more

pronounced negative trends, linking to higher SPEI values during the 1990s and lower values in the last decade of the time

- 245 Intrinsic time series properties also varied across sites and clusters. Autocorrelation length across the SGI time series ranged from 1 month to 11.4 years, with a median of 1.75 years (Table 1, Fig. S4). The distribution of mean drought durations across stations was right-skewed with a median of 3.6 months and average severity of -5.12, while the median number of events during the 30 years is 13. The hf clusters (nw\_hf, ne\_hf and sw\_hf) had considerably lower autocorrelation lengths on average (median acf\_lag between 0.5 and 1.5 years) than other clusters (nw\_lf, ne\_lf, sw\_lf) dominating within the same region (acf\_lag
- 250 between 1.5 and 2.1 years; see Fig. S4). Similarly, the clusters with shorter autocorrelation lengths (nw\_hf, ne\_hf and sw\_hf) had more drought events (median of 14–22 events compared to 8–9) with shorter mean drought duration (median *event\_length* between 2.7 and 3.5 compared to 5.0 and 5.9) and lower mean drought severity (median *event\_cumm* above -5 compared to below -7) than the clusters nw\_lf, ne\_lf, sw\_lf. Cluster lt\_inc and lt\_dec on the other hand had the highest autocorrelation lengths on average (median of 4.67 and 5.00 years respectively) although generally also covering a broad range of values.
- 255 According to these observed characteristics, clusters were named in terms of regional prevalence (nw northwest, ne northeast, sw - southwest), their intrinsic frequency in change of the SGI (lf - low frequency, hf - high frequency) or the dominant trend in SGI time series (lt\_inc - increasing trend, lt\_dec - decreasing trend).







**Figure 2: Cluster locations and major rivers (from Strahler order 6; EEA, 2020) (a) and SGI, SPIacc and SPEIacc time**  260 **series of cluster centers (i.e. mean time series across cluster) (b). Orange shading in (b) refers to negative SGI values (i.e. relatively dry conditions), and blue shading to positive SGI values (relatively wet conditions). The orange line at SGI=-1 indicates the threshold used for drought events, while orange segments below indicate occurrences of drought events for cluster centers. The time series in gray (purple) denote the SPI acc (SPEIacc) of the highest cross-correlation derived for the cluster centers (i.e. the mean across cluster members). Cluster names according to their prevalent**  265 **region (nw - northwest, ne - northeast, sw - southwest) and intrinsic SGI pattern (hf - high frequency, lf - low frequency, lt\_dec - long-term decrease, lt\_inc - long-term increase; for details refer to the text).**

# **3.2. Response times of groundwater to meteorological drivers**

Relationships between individual SGI time series and the meteorological variables varied strongly with cross-correlation coefficients from around 0 up to 0.95 (Fig. 3, Fig. S4), with a median around 0.70 for both SPI and SPEI. This corresponds to

270 50% of the variance explained by meteorological time series on average. The optimal accumulation periods yielding maximum cross-correlation had a median of 13 months. The lag at maximum cross-correlation was mostly zero, nevertheless it is important to note that there is a delay from the driver to the groundwater response implicitly included in the corresponding accumulation period considering the antecedent climatic variables.





The cross-correlation results indicate that hf clusters (nw\_hf, ne\_hf and sw\_hf) with shorter autocorrelation lengths (Sect. 3.1) 275 were characterized by shorter optimal accumulation periods (Fig. 3, Fig. S4), i.e. representing systems with shorter system memories. This is also reflected in cluster centers, with acc<sub>SPI</sub> and acc<sub>SPEI</sub> of clusters nw\_hf, ne\_hf and sw\_hf being considerably lower (between 0.3 and 1.1 years) than their regional counterparts nw\_lf, ne\_lf, sw\_lf (2-2.5 y, Fig. 2b). The cross-correlations were weakest for the cluster lt\_inc, particularly for the SPEI with a median coefficient of 0.41 across cluster members, while the median was 0.60 for the SPI (Fig. S3 and S4). Cluster lt\_dec, in contrast, had higher median cross-280 correlations for the SPEI (0.70) compared to the SPI (0.61, Fig. 10).

This weaker link of cluster lt\_inc and lt\_dec with the meteorological driver is also reflected in predominant trends in the residuals between the SGI and corresponding meteorological SPEI time series (see Fig. 5 a). Cluster lt\_inc has 98.4% positive trends in the SGI-SPEIacc residuals and 88.2% in the SGI-SPIacc residuals, whereas cluster lt\_dec has 94.6% negative trends in the SGI-SPI<sub>acc</sub> residuals and  $84.7\%$  in the SGI-SPEI<sub>acc</sub> residuals.







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**Figure 3: Groundwater memory time scales to meteorological drivers: (a) Relationship between cross-correlation between SGI and SPEI with different accumulation periods for cluster centers (lines) and maximum crosscorrelations of cluster centers and of individual wells (points) and (b) distribution of optimal SPEI accumulation times of wells within the clusters as violin plots with additional boxplots visualizing summary statistics (median, the**  290 **25th and 75th percentiles). n - number of cluster members.**

# **3.3. Spatial controls of groundwater responses**

Random forest (RF) models were trained and evaluated to identify controls of groundwater response patterns and time scales to meteorological drivers. Results of selected models including the three most important features are presented in Table 3 (all models in Table S1 of the supporting material). The full feature importance results of the selected models are provided in Fig. 295 S6.





**Table 3: Random forest (RF) results including the three most important features from permutation. Performance is given as mean accuracy for classification and coefficient of variation (R<sup>2</sup> ) for regression models across the crossvalidation iterations. Note: For RF regression only results with R<sup>2</sup>>0.4 are shown, all RF models are provided in the supporting material Table S1. Feature importance is given as the mean (across cross-validation iterations) of**  300 **median importances of the permutation repetitions.**



The RF classification model of all eight clusters reached an accuracy of 0.68, with accuracies ranging from 0.22 and 0.51 for the lt\_dec and lt\_inc clusters up to 0.85 for the sw\_hf cluster. The performance improved to an accuracy of 0.79 when predicting only the six regional clusters and excluding clusters lt\_inc and lt\_dec. In both models, the most important features were the seasonality in potential evapotranspiration (PET\_SI) and the mean depth to groundwater (mean\_gwdepth) with higher feature 305 importance values for the 6-cluster model.

In the case of RF regressions, the highest  $R^2$  in the models including all wells was 0.42 for the trend in SGI-SPEI<sub>acc</sub> residuals (resid\_senspEI), followed by SGI-SPI<sub>acc</sub> residuals (resid\_senspI) with  $R^2=0.41$ . Both models showed PET\_mm and y18\_artificial\_10km as the most important features. Similar performances were reached for the models predicting the response  $(respt_{SPEI})$  and accumulation  $(access_{PEI})$  time of the 6-cluster data subset, with mean\_gwdepth and PET\_SI resulting as the most

310 important features. All other regression models had lower performances ( $R^2 < 0.4$ ) and thus feature importance is not discussed further, although there is high overlap in rankings (Table S1).

The most important feature distinguishing the clusters in the RF models from each other is the seasonality in evapotranspiration (PET\_SI). This meteorological spatial feature differs for the different regions, particularly, cluster sw\_lf and sw\_hf prevalent in Southern Germany have lower PET\_SI values, whereas the northeast (esp. ne\_lf) has the highest PET\_SI values (Fig. 4panel

315 a, Fig. S5). RF predictions reflect these differences as shown in the PDP plots for the 6-cluster model (Fig. 4panel a).







**dependence plot (PDP) of the effects of mean\_gwdepth and PET\_SI on the predicted probabilities of the 6 -cluster RF classification model, (b) time series of the mean SGI and SPEI<sup>24</sup> of all (gray) and of the regional "lf" clusters (colored lines) and the 5th -95th** 320 **percentile range as shaded areas, (c) response time to SPEI respt SPEI versus mean depth to groundwater for cluster members of the six regional clusters with 2D kernel density estimates for probabilities 0.05 and 0.1. Note that mean\_gwdepth can be negative in some cases due to data uncertainty from the approximation method using a DEM or in case of artesian conditions.**

Apart from the control-response relationships learned by the RF models, comparing the SGI and meteorological time series 325 reveals that groundwater anomalies vary more across locations than those in the meteorological driver. This is shown by the different bandwidths representing the spatial variability of the SGI versus the SPEI<sub>12</sub> across all wells (Fig. S2) and the SPEI<sub>24</sub> across wells of the lf clusters only (Fig. 4 panel b). The latter also shows that main differences between the SGI time series of the slower responding clusters (nw\_lf, ne\_lf, sw\_lf) are also apparent in the mean regional meteorological anomalies (Fig. 4





b). Examples are the drought in 1997 which was more pronounced in the northwest, the dry period in 2003 in the northeast, 330 while the southwest was rather wet, and the wetter period in the northeast in 2012. This shows that regional differences in the anomalies of the meteorological drivers transfer into the groundwater, thus resulting in distinct groundwater response clusters for different regions.

The second most important feature to distinguish the clusters in the RF models and the most important for groundwater response times was the mean\_gwdepth. Here, clusters with higher frequency in their internal SGI change rate (cluster nw\_hf, 335 ne\_hf, sw\_hf) compared to their regional counterparts overall linked to smaller mean groundwater depth below surface

- (i.e. shallower groundwater). This is apparent in higher sample density of the "hf" clusters at lower mean\_gwdepth values and is also reflected in higher predicted probabilities by RF models as shown in the PDPs of the 6-cluster RF model (Fig. 4 panel a). Similarly, the tendency of higher depth to groundwater linking to higher response times (respt<sub>SPEI</sub>) within regions is apparent in the data (Fig. 4c) and also reflected in the relationships learned by the RF regression model (Fig. S7).
- 340 The elevation (dem) was identified as the third most important predictor in the RF classification and regression models of respt<sub>SPEI</sub> and acc<sub>SPEI</sub>. For the elevation, the differences between If and hf clusters varied between regions: in the northwest the hf cluster was located at lower elevations on average, whereas in the northeast and southwest the hf clusters were located in higher elevations compared to the respective lf cluster (Fig. S5). Additionally, we found that the "hf" clusters tend to be closer to the streams compared to their regional lf counterparts (Fig. S5). The distance to streams of fourth order (sd\_order\_4) was
- 345 ranked as the sixth most important and significant feature (whole range of importances >1; see Fig. S6 panel a and b), followed by the second order stream distance (sd\_order\_2) in the resptspEI and accspEI RF models. Similarly, the hf clusters more often intersected riparian zones outlined in EEA (2021) with at least 19.6% (nw\_hf) and a maximum of 59.8% (sw\_hf), while the lf clusters varied between 4.5% (nw\_lf) and 31.8% (sw\_lf) only.

The RF regression models for predicting the trends in the residuals between SGI and the meteorological anomalies (resid\_sen) 350 for all wells include land cover characteristics as a dominant feature, namely the fraction of artificial surfaces within a 10km radius. Here, a higher urbanization links to more positive trends in the residuals, predominant in cluster lt\_inc (Fig. 5 panel a, and b). Cluster sw\_lt also shows a tendency towards higher resid\_sen<sub>SPEI</sub> and fraction of artificial surfaces. Cluster lt\_inc was additionally more often located in proximity to mining areas as compared to other clusters (Fig. 5 panel c). Thus, cluster lt\_inc was found to be overall linked to higher urbanization levels and mining areas.







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**Figure 5: Trends beyond meteorological drivers link to anthropogenic controls, particularly the cluster lt\_inc has higher fraction of artificial land cover and proximity to mining areas than other clusters: (a) cluster-wise distributions of the trend in the residuals of the SGI with the identified SPEI acc (resid\_senSPEI), (b) cluster-wise distributions of the fraction of artificial land cover class within a 10km dista nce based on the CLC map from 2018**  360 **(EEA, 2019b), (c) the number of wells in mining-proximity (3km) per cluster and heatmap color according to the fraction of cluster within proximity (yes or no).**

# **3.4. Vulnerability of groundwater to meteorological droughts**

The vulnerability of groundwater systems was classified into vulnerability to short, medium and long-term meteorological anomalies based on percentiles of the respt<sub>SPEI</sub> characteristic of the six regional clusters (Fig. 6). The class of short-term 365 vulnerability has response times up to 3.5 months (containing 35.2% of wells), whereas the class of long-term vulnerability responds only after more than 9 months (31.8%). Note that the clusters with long-term trends overlaying the meteorological controls (lt\_inc, lt\_dec) were excluded from this assessment, as the response time metrics cannot be considered representative of the climatic-groundwater system response for these two clusters.

The spatial pattern of vulnerabilities shows a high variability within regions, reflecting the individual response time scales and 370 the concurrent occurrence of both hf and lf clusters within regions. Nevertheless, the represented northeastern groundwater wells have a slight tendency towards medium or long-term vulnerabilities, as particularly the faster responding cluster (ne\_hf) tends to have higher response times with a median of 6 months, compared to 2.5 (sw\_hf) and 3.5 (nw\_hf) months for the other hf clusters. This reflects the slightly higher  $acc<sub>PEI</sub>$  values within ne\_hf (Fig. 3).







375 **Figure 6: Vulnerability of groundwater systems to short, medium and long -term meteorological anomalies based on resptSPEI percentiles for the six regional clusters.**

# **4. Discussion**

#### **4.1. Spatial variability in groundwater responses**

Out of the eight identified clusters, six, in three pairs of two, were predominant in three distinct regions, while two clusters 380 were distributed across Germany (Fig. 2). Overall, the spatial variability in groundwater head anomalies was found to be larger than that in the meteorological driving forces (Fig. 4 b, Fig. S2). This is not surprising, as a relatively high similarity in meteorology arises from spatial coherence in the occurrence of meteorological extremes like precipitation deficits and temperature anomalies resulting from stable atmospheric conditions across large scales (Hari et al., 2020; e.g., Christian et al., 2023). This contrasts with a high variability in hydrological processes in the subsurface and resulting site-specific groundwater





385 dynamics (e.g., Heudorfer et al., 2019; Lischeid et al., 2021; Kumar et al., 2016). This applies even though the spatial extent, duration and severity of meteorological droughts strongly vary across drought events (Oikonomou et al., 2020; Rakovec et al., 2022). Similar observations have been described, for example, by Bloomfield and Marchant (2013) and Kumar et al. (2016).

We found a majority of negative trends in the SGI time series and a high number of minimum SGI values in the last years, which is in line with prevalent negative monotonic trends and minimum heads described by CORRECTIV (Donheiser, 2022).

- 390 Negative trends in the regional clusters were more predominant in northwest Germany, followed by the northeast with still mostly negative trends and the southwest with a balanced distribution of trends (Fig. S4, sen slope SGI). These mostly reflected trends in meteorological drivers, in contrast to the trends of the clusters with dominant long-term trends (lt\_inc, lt\_dec) deviating from those of the drivers (Fig. 5). The SPEI shows higher negative trends than SPI (Fig. S1) in response to the increase in temperature and thus potential evapotranspiration with global warming during the study period including the
- 395 exceptionally hot summers in 2018 and 2019 (Vicente-Serrano et al., 2010; Hari et al., 2020), in line e.g. with differences in SPI and SPEI trends in Europe found by Ionita and Nagavciuc (2021). This explains the higher correlations between cluster lt\_dec with SPEI time series compared to lt\_inc and vice versa for the SPI.

The groundwater response characteristics differed across clusters with three clusters showing a prevalence of fast response and shorter system memories (nw\_hf, ne\_hf, sw\_hf) and three clusters with slower response and longer system memories (nw\_lf,

- 400 ne\_lf, sw\_lf; Fig. 3). The median accumulation time (as a measure of memory time scale) across the 6,626 wells of 13 months was similar to previous studies (Bloomfield and Marchant, 2013; Kumar et al., 2016). The differences in system memories were closely linked to the groundwater drought characteristics of the clusters, with systems with shorter memories experiencing shorter and less severe groundwater droughts (in terms of accumulated SGI), but facing drought events more often (Fig. S4), in line with previous studies (Bloomfield and Marchant, 2013; e.g., Bloomfield et al., 2015). The overall high variability in
- 405 optimal accumulation times underlines the finding by Kumar et al. (2016) that groundwater droughts cannot be described by a uniform meteorological drought index (in the form of SPI with one accumulation time) and corroborated this finding also in terms of the SPEI and for Germany as a whole.

Both the autocorrelation length and the optimal accumulation time identified with cross-correlations can be considered metrics of system memory. Accordingly, we found both to be lower on average in the identified hf cluster and higher in the lf clusters.

- 410 Bloomfield and Marchant (2013) found the two metrics autocorrelation length and SPI accumulation period to align with a correlation coefficient of 0.79 across 14 groundwater wells in the UK. However, across the large sample (6,626 wells) in our study, this relationship could not be confirmed with the same strength,  $r_{spearman}=0.64$  for  $\alpha c_{SPI}$  and  $r_{spearman}=0.60$  for  $\alpha c_{SPEI}$ . This questions the transferability of one metric to the other and the generality of this link at the level of individual wells. Although both metrics represent memory time scales and are related, they ultimately describe different properties: the optimal
- 415 accumulation time represents the system's memory for past meteorological drivers, while autocorrelation lengths represents





the overall persistence in the time series resulting from the sum of effects on groundwater dynamics. Nonetheless, both metrics can be affected by interacting confounding effects. For example, some deviations might be caused by the superposition of long-term trends that interfere with the identification of these intrinsic system response properties. Bloomfield et al. (2015) e.g. described weaker cross-correlations between SPI and SGI time series with trends. Interestingly, the SPEI accumulation 420 times and autocorrelation lengths deviate most for the clusters with dominating long-term trends and, although less, for the hf clusters and the ne\_lf cluster (Fig. S3). This could indicate that those systems are less strongly linked to meteorological drivers. Although, relatively high correlation coefficients (except for lt\_inc) do not generally support this interpretation. Another factor could be the method of identifying accumulation times based on the absolute maximum in correlation coefficients, while similar values could be reached for several different accumulation times in the case of stagnating accumulation, as observed,

425 for example, for cluster lt\_dec regarding the SPEI accumulation times (Fig. 3a).

# **4.2. Controls of groundwater response dynamics**

The interplay between the meteorological drivers and the landscape filtering in combination with anthropogenic impacts have shown to control groundwater response patterns and distinguish them into clusters as discussed based on the RF model results in the following. This means that different controls jointly operate to cause distinct groundwater head responses at the 430 individual locations. This is also supported by the fact that similar features ranked high in the different RF models e.g. the 8 cluster and 6-cluster classification model, although with varying performances and feature importance (Table 3). The model performances of a mean accuracy of 0.79 for predicting the six regional clusters and 0.42 for the groundwater response times regarding the SPEI<sub>acc</sub> of the best performing RF models are comparable to that of Schuler et al. (2022). They reached a model performance (R<sup>2</sup>=0.49) in the out-of-bag evaluation of RF models for predicting autocorrelation lengths at 114 wells in Ireland.

# 435 **4.2.1. Different responses across regions link to meteorological drivers**

Meteorological drivers were identified as the major control for distinguishing groundwater head anomalies across regions based on the RF results and the regionally temporal coherence in SPEI<sup>24</sup> and SGI time series (Fig. 4). Three main regions with predominant clusters were identified, i.e. Northwest, Northeast, and Southern Germany.

On average, the meteorological drivers (SPEI, SPI) could explain 50% of the temporal variability in groundwater heads 440 (corresponding to the median of cross-correlation coefficients of  $r=0.7$ ). This is in the same range as in previous SGI investigations (Kumar et al., 2016; Bloomfield et al., 2015). This high predictive power was predominant in the six regional clusters, whereas clusters lt\_inc and lt\_dec were less strongly cross-correlated with the SPEI and SPI respectively (Fig. 3, Fig. S4).





We argue that meteorological anomalies control interregional differences in groundwater head anomalies. As shown for 445 clusters with longer response times (Fig. 4 panel b), major temporal differences in the regional meteorological anomalies are reflected in the average groundwater anomalies of the same regions. For example, drier periods in the northwest occurred around the years 1997 and 2004, as well as wetter periods in the northeast around 2012 and in the southwest around 2001. In recent years (around 2018-2020), Germany as a whole faced a severe meteorological multi-annual drought (e.g., Rakovec et al., 2022) with similar average meteorological anomalies (based on SPEI24), translating into wide-spread severe groundwater 450 droughts across Germany. Regarding the lf groundwater clusters, the two northern clusters faced more severe groundwater droughts (lower SGI values) on average in these recent years.

The feature importance results from the RF classification models for predicting the clusters underline this observation. In both classification models, the seasonality in evapotranspiration PET\_SI turned out to be the most important predictor. Nevertheless, in the 6-cluster model, the model performance (accuracy=0.79) and feature importance of PET\_SI (1.81, i.e. prediction error

- 455 reduced by more than 80%) were higher than in the 8-cluster RF model. This indicates that the predictive power of PET\_SI relates to the regional differentiation of the clusters rather than the two long-term trend clusters. PET\_SI varies dominantly across regions with a general gradient from southwest to northeast due to the variations in temperature and solar radiation depending on latitude and proximity to the sea (i.e. continentality of the climate), leading to the highest seasonal variations in northeastern and northern and lowest values in southern Germany. Accordingly, it has a well-defined regional gradient, which
- 460 proved to be able to distinguish major regional differences in the driver and resulting response patterns.

# **4.2.2. Different responses within regions link to landscape filtering**

Even though a region is subject to similar meteorological forcing (in terms of anomalies), we found a large variety of groundwater responses within regions. These different responses were characterized by different response time scales, i.e. the frequency in change of the SGI or in other words the system memories, and closely linked to the number, duration and severity 465 of droughts. Within the three identified regions, landscape filtering (i.e. modulations of the driver signal by the landscape) was identified as the main control of the response time scale.

The mean depth to groundwater (mean\_gwdepth) was found to be the second most important feature in the RF classifications and the highest-ranked feature in the RF regressions for respt $_{SPEI}$  and acc $_{SPEI}$  (Table 3). The depth to groundwater can be referred to as the thickness of the unsaturated zone in the case of an unconfined aquifer and the depth of the water pressure

470 head below the surface in the case of a confined aquifer. The unsaturated zone or depth to groundwater has been discussed as a major control of memory effects and groundwater dynamics in previous studies (Bloomfield and Marchant, 2013; Haaf et al., 2020; Haaf et al., 2023; Kumar et al., 2016; Lischeid et al., 2021; Wossenyeleh et al., 2020). Mechanistically, this can be explained by the delay of water transport from precipitation to groundwater recharge with long flow paths and water travel times through the vadose zone and by the attenuation as the infiltration front widens due to different flow paths and flow





- 475 velocities through the unsaturated pore space. Wossenyeleh et al. (2020), for example, showed that the groundwater recharge delay is closely linked to the depth of groundwater and can be longer than four years in Belgium by modelling the flow through the unsaturated zone. Although mean\_gwdepth clearly turned out as the most important landscape predictor in the RF models and showed a clear tendency of shallower groundwater linking to shorter response times (Fig. Fig. 4), there is scatter around this relationship (Fig. Fig. 4 panel c) resulting from interactions with other spatial controls.
- 480 Additionally, response time scales are linked to the topography. The different linkages between elevation and lf and hf clusters in the regions suggest different effects of elevation on response times. On the one hand, higher elevations are usually linked to deeper unsaturated zones (i.e. mean\_gwdepth, Haitjema and Mitchell-Bruker, 2005) and thus higher response times, while groundwater heads at lower elevations close to streams fluctuate at a shorter time scales (Peters et al., 2006; e.g., Haaf et al., 2023). This could be a dominant process in the northwest of Germany. On the other hand, high elevations can be linked to
- 485 small depths to bedrock and aquifer thickness and thus shorter response times and memories, as e.g. described for Ireland with depths to bedrock below 10m by Schuler et al. (2022). The regional cluster-pairs in the northeast and southwest have smaller overlap in their locations, e.g. in the south the hf cluster includes more wells in the Upper Rhine Plain and southeastern regions compared to the respective lf cluster. In the northeast the hf cluster extends more towards the south into more mountainous areas, e.g. the Ore mountains, while the lf cluster is centered more in Brandenburg. Note the positive (although not strong)
- 490 correlation between the mean\_gwdepth and topographic variables: for the elevation  $r=0.20$ , for the slope  $r=0.43$  or the twi r=0.38 (Spearman correlation). High mean\_gwdepth was linked to higher topographic slopes and lower wetness indices, while it was less clear for elevation, potentially because of its higher relevance in terms of relative height in the hydrologic system between water divide and stream (Schuler et al., 2022; Haaf et al., 2020; Rinderer et al., 2017; Haitjema and Mitchell-Bruker, 2005). This could explain the importance of elevation in the RF models, which can represent nonlinear relationships, while the
- 495 underlying processes cannot be uniquely interpreted across regions.

Closely linked to the topography, the models further indicated a link between clusters and response times to the distance to stream. The hf clusters with shorter memories (i.e. SGI changes at a higher frequency) tended to be located closer to streams than their regional counterparts with longer memories (Fig. S5). This is in line with Peters et al. (2006), who found higher attenuation in groundwater droughts closer to the water divide than closer to the stream. Similarly, Haaf et al. (2023) showed

- 500 that overall locations closer to streams tend to show higher flashiness in daily groundwater heads and pointed out the nonlinearity in the controls with higher control of stream distance during wet conditions. In proximity to streams, groundwater dynamics are typically directly linked to interactions between groundwater and surface waters (Haaf et al., 2023; Nogueira et al., 2021). For example, near-stream groundwater heads often respond quickly to stream water level fluctuations via a pressure response and show very similar variability due to the confined or semi-confined conditions commonly found in alluvial
- 505 aquifers (Bartsch et al., 2014; Gianni et al., 2016). The distance to 4th order streams in our study also varied systematically between southwestern and northern regions, which likely results from the proximity to the Rhine (order 7) of the sw clusters.





For larger stream orders, Belitz et al. (2019) also pointed out that the distances are more descriptive of overall location than process, in contrast to smaller stream orders. For these Belitz et al. (2019) showed a generally positive relationship between the well locations relative to stream and water divide and the water table depths based on random forest models. In our study, 510 this link was however weak across wells, i.e. the highest correlation with mean\_gwdepth was the stream distance of first order

(river\_dist\_m r=0.2 and sd\_order\_1 r=0.16, Spearman correlation; note: sd\_order\_1 was not used in RF to reduce redundancies, Table 1).

The hydrogeological setting, as a landscape property controlling the water flow in the subsurface, has been identified as a dominant control on groundwater dynamics in several studies. Hellwig and Stahl (2018) found hydrogeological conditions to

- 515 control response times of baseflow from headwater catchments, which were shorter in the fractured medium compared to in porous medium. Several other studies discuss a dominant effect of aquifer transmissivity, effective porosity, storativity or aquifer thickness on groundwater dynamics (Bloomfield and Marchant, 2013; Haaf et al., 2023; Schuler et al., 2022). In our study, we used only hydraulic conductivity, which we extracted from a hydrogeological map representing the upper aquifers (BGR and SGD, 2016), because of a lack of data on subsurface characteristics in the groundwater data set, including
- 520 information on the aquifer that the wells tap into. For the kf\_rank control, we could not identify a clear relationship between response times and cluster, in line with Kumar et al. (2016). The different dominance of hydrogeological controls could have several reasons, e.g. overdominance of a certain hydrogeologic condition, mismatches between local hydrogeological conditions at a well location and coarse hydrogeological maps (esp. when local borehole data are missing), differences in investigated variables (e.g. groundwater heads with strong seasonal variations (e.g., Haaf et al., 2020), anomalies (e.g.,
- 525 Bloomfield et al., 2015), or groundwater discharge as baseflow (e.g., Hellwig and Stahl, 2018) and in their spatial and temporal resolutions or indeed distinct dominant controls in represented hydrogeological settings across study regions. For example, Haaf et al. (2020) found different controls of groundwater head dynamics for confined and unconfined aquifers for Southern Germany. Also, differences between controls on local and integral representations are likely, as they represent different system characteristics and have been shown to not be directly transferable (Kumar et al., 2016; Hellwig et al., 2020; Van Loon et al.,
- 530 2017). Studies representing area-based signals (e.g., raster or catchment integrated indicators) seem to find a higher relevance of hydrogeological conditions (Hellwig and Stahl, 2018; Hellwig et al., 2020).

In summary, the identified and discussed landscape controls above suggest that the spatial variability of local groundwater drought response time scales (i.e. system memories) within meteorologically distinct regions is dominantly controlled by vertical low-pass filtering through the unsaturated zone and secondarily by controls affecting the lateral flow conditions linking

535 to subsurface hydraulic and storage conditions. At integral landscape (or catchment) scale, the hydrogeological control on storage discharge seems to be a more dominant control on drought propagation time scales. In this study, we did not find a dominant and clear influence of the hydrogeological controls, however, information on the aquifer tapped by the well like confinement status and screening depth is not available for the used data set.





Although differences in response times within regions were found to be larger than between regions in Germany and 540 dominantly controlled by landscape filtering, systematic regional differences in groundwater response times may also be linked to general climatic conditions (humid vs. drier) and related groundwater recharge rates (Berghuijs et al., 2024). The feature importance results from RF models for respt<sub>SPEI</sub> and acc<sub>SPEI</sub> also ranked climatic variables high, i.e. PET\_SI as 2nd, precipitation and aridity (AI) as 4th and 5th ranked feature. Cuthbert et al. (2019) showed that globally arid areas with small recharge have much longer groundwater response times (i.e. hydraulic memories), which they defined as the time to re-545 equilibrate when recharge conditions change. This could be one reason for the overall slightly higher response times of the groundwater systems (memories) in the less humid northeast of Germany than in the northwest and southern parts. Especially for the hf clusters, ne\_hf has longer response times compared to nw\_hf and sw\_hf (Fig. 3b).

## **4.2.3. Anthropogenic impacts cause superimposing trends**

Across Germany, two clusters were clearly characterized by long-term trends in SGI and also in the residuals between the SGI 550 and the SPI or SPEI respectively. More specifically, they showed dominant increasing (cluster lt inc) and decreasing (cluster lt\_dec) trends that clearly deviated from trends present in the meteorological drivers (Fig. 5 panel a). Those trends are presumably caused by anthropogenic activities, as indicated by the linkage of respts<sub>PEI</sub> to artificial areas and mining data and as discussed in the following.

- Upward trends in groundwater heads (cluster lt\_inc) were more prevalent in regions with mining activities, such as the open 555 lignite mining areas in Western and central East Germany, and urban areas including the metropolitan area of Berlin. This suggests dominant controls of changes in water management. Mining is commonly linked to extensive water management activities with groundwater level drops up to several hundred meters. Observations of increasing groundwater levels can thus be linked to decreased groundwater pumping due to the relocation or closure of opencast lignite mines and occur in the proximity of falling groundwater levels. For example, cluster lt\_inc and lt\_dec both occurred in the Rhenish lignite area (Ger.: 560 Rheinisches Braunkohlegebiet) in central western Germany (west of Cologne). Many of the lignite mines in the Central
- German lignite area (Ger.: Mitteldeutsches Braunkohlerevier) were closed in the early 90s after the German reunification, where positive trends in groundwater heads (lt\_inc) prevail in our study. These effects can be expected to continue, as lignite mining is decreasing because of the Coal Exit Act to reduce CO2 emissions in Germany.

In urban areas, changing groundwater heads can be linked to changes in water use. Potential causes include changes in the 565 water demand due to demographic or industrial developments or in the used water sources. Overall, water use in Germany has drastically decreased by more than 50% since the 90s for several reasons including technological improvements (Umweltbundesamt, 2022b). Water demand for energy (mostly cooling water) as the greatest user has strongly decreased, but also the public water use has decreased from 144l/day/capita in 1991 to 128l/day/capita in 2019 (Umweltbundesamt, 2022a, b). Particularly in Berlin, we found a prevalence of the lt\_inc cluster, while the water demand in this densely populated area





570 has decreased by about 42% since the 90s (Umweltatlas Berlin, 2018). Another reason for changing groundwater levels could be the relocation of resources and supply wells, e.g. due to decaying water quality such as high nitrate or sulfate concentrations, e.g. in Berlin (Marx et al., 2023)*.* It should be noted here that 25% of the wells of cluster lt\_inc are located in Berlin with a very high station density in the data set, esp. in western Berlin, which could bias the identified controls. Nevertheless, the identified effects of changing water demands can be considered transferable to other urban areas, depending on local 575 demographic and industrial settings. Additionally, active groundwater resources management such as managed aquifer recharge can lead to rising groundwater levels. The region Hessian Ried (Ger.: Hessisches Ried) in the Upper Rhine Plain is a prominent example, where treated Rhine water is infiltrated since 1989 to increase groundwater resources to supply water demand for agriculture and the population of the metropolitan region including the city of Frankfurt (Main) (Staude, 2023; Weber and Mikat, 2011). In line with the subsequent groundwater level increases, our study showed a strong prevalence of 580 cluster lt\_inc. Overall, several reasons could be identified for increasing groundwater heads, all related to decreased anthropogenic abstractions or managed increase in the respective groundwater resources.

Downward trends in SGI not explainable be the meteorological signal alone (cluster lt\_dec) could similarly be linked to changes in anthropogenic water use, though no clear spatial controls could be identified. Increased water abstractions can result from various factors, including demographic change, changes in mining activities or agricultural needs, which can temporarily

- 585 be higher during droughts and heat waves, representing a positive feedback loop on water resources. The spatial controls associated with such potential increase in water abstractions are either non-unique or missing in our analysis. This is also reflected in a generally low predictability of cluster lt\_dec (22% correct classifications). National data on groundwater abstractions are thus crucial to clearly identify and assess controls and to differentiate between meteorological and human influences on observed changes in groundwater heads.
- 590 Overall, the anthropogenic controls identified in the random forest regression for the trends in residuals proved to be more indicative for the positive trend deviations gathered in cluster lt\_inc than for the less predictable cluster lt\_dec.

# **4.3. Implications**

This study indicated that there is a large spatial variability in groundwater response time scales to meteorological forcing, even within the same region. This implies different vulnerability to the different types of driving meteorological drought events, 595 i.e. meteorological extremes with respect to different time scales represented by different accumulation times.

Systems with short response times, i.e. wells with high frequency of head changes, are more prone to respond heavily to short meteorological anomalies, but also can recover faster when the climatic drivers return to "normal" or wetter conditions. Extreme short-term anomalies can be particularly critical for stream ecosystems, as members of the high-frequency clusters are more closely connected to streams. In our study, 61.5% of the short-term vulnerable class are located within 500m distance





- 600 of the nearest stream and 49% within riparian zones. The highest share of short response times (respt $_{SPEI}$ <3.5 months) was allocated to the southern clusters (49.9% of wells). However, the southern wells are generally more strongly represented in proximity to streams (Fig. Fig. 2), so that regional differences in processes cannot be fully disentangled due to this data bias. Stream ecosystems and groundwater-dependent ecosystems, such as riparian wetlands, may be severely impacted by shortterm droughts if groundwater levels drop, stream discharge falls below the ecological minimum flows, and streams become 605 losing or even fall dry. Moreover, baseflow has been shown to have short response times too, in a range of a few months only
- (Hellwig et al., 2020). Thus, the groundwater systems with short response times seem to imply a high ecological drought vulnerability. In addition, small, fast-responding aquifers, like local riparian aquifers, may be highly susceptible to short-term droughts (Schuler et al., 2022) and thus require backup water supply resources.

Systems with long response times, i.e. wells with a low frequency of changes, show a response when anomalies accumulate 610 over longer periods, such as more than a year. At the same time, these systems recover only slowly and retain a long memory, potentially leading to legacy effects from past management or climate conditions even after (driving) conditions normalize. While they can buffer short-term climatic fluctuations and thus serve to bridge short droughts of a few months regarding water demands, they are more vulnerable to extended droughts or overuse due to their long recovery times. Consequently, they may be particularly at risk from consecutive droughts, increasing with climate change (e.g., Rakovec et al., 2022), if the intervals 615 between extreme events are too brief for recovery. Across regions in Germany, we found the highest share of long memories

(respt $_{\text{SPE}}$ ) months) in the northeastern clusters, with 45.4% of the wells. Identifying and understanding these systems might be particularly crucial for water management, and thus be classified in terms of management-sensitivity.

Different implications for the different response patterns can be derived from expected changes in the climatic variability.

• Firstly, hydroclimatic seasonality is expected to increase, i.e. longer periods of heat waves and precipitation deficits 620 in summer as well as more heavy rainfalls in the wet winter periods (IPCC 2023). Different trends in seasonal meteorological drought indices with more negative trends in summer SPEI<sup>3</sup> reflect that (Ionita et al. 2020). In this context, Hellwig and Stahl (2018) discussed that catchments with short response times could be more prone to decreasing low flows, as precipitation deficits in summer could not be buffered across seasons. Wunsch et al. (2024) also found that summer mainly controls low groundwater levels in fall in shallow unconfined aquifers, which cannot 625 be prevented by a preceding wet winter. However, there has been little reflection on the dependence on response times, which we found to be spatially highly variable. Indeed, we also found groundwater wells with subseasonal response times (about one third respt $_{SPEIS} \leq 3.5$  mon). These locations with short-term memories could potentially be more strongly affected by increasing hydroclimatic seasonality. As these systems are often located in proximity to streams, this could moreover result in more losing or intermittent streams, as mentioned above.





- 630 Secondly, multi-year or consecutive meteorological droughts are expected to increase in frequency and intensity with climate warming (e.g., Rakovec et al., 2022; Intergovernmental Panel on Climate Change (IPCC), 2023). They will likely affect the groundwater systems with long memory more heavily as time for recovery in between is too short, e.g. in the recent multi-year drought 45.9% of the lf wells experienced their minimum mean annual SGI since 1991. Droughts of this severity or more challenge water management, particularly, considering the positive climate-human 635 feedback as water demand increases during heatwaves and droughts.
	- Lastly, increasing temperatures impact water balance components in multiple ways, such as by increasing potential evaporation and decreasing snow-fall depths and glacier melt (e.g., Fontrodona Bach et al., 2018). The latter contribute to groundwater recharge with changes likely affecting future groundwater resources, however, this is out of scope in this study.
- 640 As the derived response times of the cluster members with a dominant long-term trend are likely not representative for the climatic-groundwater system response, the vulnerability map only refers to the other 6 cluster members. Nevertheless, the clusters with long-term decreasing trend might be specifically vulnerable, not only to variability in the climatic driver but also to superimposed changes in boundary conditions, such as from anthropogenic activities or long-term changes in the climate.
- Inspired by the discussion in Bloomfield et al. (2015), the identified SGI clusters could also be used to identify representative 645 wells for larger regions or aquifers (i.e. the specific clusters) and for short term forecasting using seasonal hydroclimatic forecasts. Both aims could be combined into representative (for cluster) and meteorologically "well-behaved" (high anomaly cross-correlation) wells representing characteristic systems. This would however need further evaluation.

Further, spatially comprehensive predictions of response time scales would be desirable to inform water management which relies on spatially representative information on the groundwater status. However, the fact that the main identified control of

650 response time scales within regions, i.e. mean depth to groundwater, is not known in space and, in addition, can change in the long term challenges this goal. Potentially, for areas where mean groundwater depth can be associated with other controls, such as the topography, spatial predictions could be tested (Schuler et al., 2022). Further research is needed to establish a spatially seamless mapping of groundwater drought vulnerabilities in Germany.

# **5. Conclusions**

655 This large-sample analysis of groundwater head anomalies across 6,626 wells in Germany overall revealed a high spatial variability in groundwater head responses to meteorological anomalies. Within this variability, wells were grouped by similarity in groundwater head anomalies into six regional clusters, distinguished by three meteorologically distinct regions and two response time scales, and two countrywide clusters. The identified regions with similar response patterns were the





northwest, northeast and the south of Germany. The median response time scales in terms of meteorological accumulation 660 times ranged from a few months for systems with shorter memory to several years for systems with longer memory (or persistence). The characteristic response time scales were closely linked to the frequency, duration, and severity of groundwater droughts.

The main cause for distinct groundwater responses (represented by the SGI) across regions was found to be differences in the meteorological driving forces (SPI, SPEI). These drivers could on average yield cross-correlation results of r=0.7 considering

- 665 the individual optimal response time scales. Variables defining landscape filtering, in particular, the depth to groundwater, were the main controls of response time scales distinguishing the high and low frequency clusters within the same regions. Apart from that, long-term trends in the SGI superimposing the meteorological drivers defined the two countrywide clusters and were attributed to changes in anthropogenic impacts. In particular, the long-term increasing trend cluster was linked to urban and mining areas potentially associated with decreased abstractions due to ceased mining (e.g. in the Central German
- 670 lignite mining area), and declining water use (e.g. in the city of Berlin), or to actively recharged groundwater (e.g. in Hessian Ried).

The vulnerability of groundwater systems to different meteorological droughts was classified into short-, medium- and longterm response times with different implications for ecosystems and water management. Fast responding systems, prevailing in the proximity of streams, might be at higher risk with increasing seasonality in meteorological drivers under climate change.

675 Slow-responding systems could be more affected by consecutive and multi-year droughts, as experienced recently and projected to increase in frequency and severity under climate change.

Overall, this study increased the understanding of dynamic groundwater responses to droughts and their different regional and local controls and derived vulnerability classes within Germany. The distinct responses to meteorological drivers reveal different implications to be expected under climate change. These insights can inform policymakers, water resource managers, 680 and stakeholders for developing effective strategies for mitigating the impacts of droughts on groundwater systems and

ensuring sustainable water management practices.

## **Author contributions**

PE designed the study and conducted the research. RK and AM contributed to the development of the methodological design and supervised the work together with JF. RK provided the meteorological data. PE prepared the manuscript with contributions 685 from all co-authors.





# **Competing interests**

At least one of the authors is member of the editorial board of the journal.

# **Data availability**

The groundwater head data used in this study is available from the repository (https://github.com/correctiv/grundwasser-data) 690 provided by CORRECTIV (Donheiser, 2022). Additional extracted data is referenced in the manuscript in Sect. 2 and Table 2. The SGI time series for 6626 wells, derived drought characteristics and spatial properties are available under http://www.hydroshare.org/resource/f0f661457b5e42288378b13ad0951d90 (Ebeling, 2024) [please note, the repository is findable and will get a permanent DOI with final publication].

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