

1 **Sensitivity of aerosol and cloud properties to coupling strength of**  
2 **marine boundary layer clouds over the northwest Atlantic**

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18 **Abstract**

19 Quantifying the degree of coupling between marine boundary layer clouds and the surface is critical for understanding  
20 the evolution of low clouds and explaining the vertical distribution of aerosols and microphysical cloud properties.  
21 Previous work has characterized the boundary layer as either coupled or decoupled but this study rather considers four  
22 degrees of coupling, ranging from strongly to weakly coupled. We use aircraft data from the NASA Aerosol Cloud  
23 meTeorology Interactions oVer western ATlantic Experiment (ACTIVATE) to assess aerosol and cloud characteristics  
24 for the following four regimes, quantified using differences in liquid water potential temperature ( $\theta_t$ ) and total water  
25 mixing ratio ( $q_t$ ) between flight data near-surface level ( $\sim 150$  m) and directly below cloud bases: strong coupling ( $\Delta\theta_t$   
26  $\leq 1.0$  K,  $\Delta q_t \leq 0.8$  g kg<sup>-1</sup>), moderate coupling with high  $\Delta\theta_t$  ( $\Delta\theta_t > 1.0$  K,  $\Delta q_t \leq 0.8$  g kg<sup>-1</sup>), moderate coupling with  
27 high  $\Delta q_t$  ( $\Delta\theta_t \leq 1.0$  K,  $\Delta q_t > 0.8$  g kg<sup>-1</sup>), weak coupling ( $\Delta\theta_t > 1.0$  K,  $\Delta q_t > 0.8$  g kg<sup>-1</sup>). Results show that (i) turbulence  
28 is greater in the strong coupling regime compared to the weak coupling regime, with the former corresponding to more  
29 vertical homogeneity in 550 nm aerosol scattering, integrated aerosol volume concentration, and giant aerosol number  
30 concentration ( $D_p > 3$   $\mu$ m) coincident with increased MBL mixing; (ii) cloud drop number concentration is greater  
31 during periods of strong coupling due to the greater upward vertical velocity and subsequent activation of particles;  
32 (iii) sea-salt tracer species ( $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{Mg}^{2+}$ ,  $\text{K}^+$ ) are present in greater concentrations in the strong coupling regime  
33 compared to weak coupling, while tracers of continental pollution ( $\text{Ca}^{2+}$ ,  $\text{nss-SO}_4^{2-}$ ,  $\text{NO}_3^-$ , oxalate, and  $\text{NH}_4^+$ ) are  
34 higher in mass fraction for the weak coupling regime. Additionally, pH and  $\text{Cl}^-:\text{Na}^+$  (a marker for chloride depletion)  
35 are consistently lower in the weak coupling regime. There were also differences between the two moderate regimes:  
36 the moderate, high  $\Delta q_t$  regime had greater turbulent mixing and sea salt concentrations in cloud water, along with  
37 smaller differences in integrated volume and giant aerosol number concentration across the two vertical levels  
38 compared. This work shows value in defining multiple coupling regimes (rather than the traditional coupled versus  
39 decoupled) and demonstrates differences in aerosol and cloud behavior in the MBL for the various regimes.

40

41 **1 Introduction**

42 The composition of marine boundary layer (MBL) cloud properties is strongly linked to the lower troposphere’s  
 43 vertical structure, making it critical to understand the degree of coupling between boundary layer clouds and the  
 44 ocean’s surface. When the MBL is well-mixed, there is a thermodynamic exchange between the ocean’s surface and  
 45 the cloud deck, and it is considered coupled. A decoupled MBL is characterized by a stable layer separating two well-  
 46 mixed layers (the cloud deck and sub-cloud layer), preventing exchange between the ocean’s surface and the cloud  
 47 base (Nicholls, 1984; Dong et al., 2015; Jones et al., 2011; Wang et al., 2016). Whether the MBL cloud deck is coupled  
 48 or decoupled to the surface has potentially important implications for cloud and aerosol properties (Dong et al., 2015;  
 49 Wang et al., 2016; Griesche et al., 2021), radiative forcing (Goren et al., 2018), and precipitation (Bretherton et al.,  
 50 2010; Dong et al., 2015). Changes in cloud properties and precipitation affect how much solar radiation is reflected to  
 51 space (Twomey, 1974; Albrecht, 1989), which in turn affects how much radiative cooling occurs (Ramanathan et al.,  
 52 1989).

53  
 54 Past studies have investigated coupling behavior of marine stratocumulus due to their relatively high frequency over  
 55 the ocean’s surface and strong impact on the Earth’s radiation budget (Zuidema et al., 2009; Jones et al., 2011; Dong  
 56 et al., 2015; Wang et al., 2016; Goren et al., 2018). In marine regions, well-mixed moist thermodynamic statistics  
 57 indicate coupling of the sub-cloud layer to the surface (Bretherton et al., 1997; Jones et al., 2011; Dong et al., 2015;  
 58 Wang et al., 2016; Su et al., 2022). Studies beyond those previously mentioned over the southeast and northeast Pacific  
 59 have applied these methods to other regions, such as the Arctic (Griesche et al., 2021) and over land in the Southern  
 60 Great Plains of the United States (Su et al., 2022). Table 1 provides a synthesis of previous studies that utilized  
 61 thermodynamic statistics for determining coupling, including criteria used, the region in which the study was  
 62 conducted, and the cloud types investigated.

63  
 64 **Table 1: Summary of coupling criteria and regional conditions from previous work in comparison to this study.**

Study region; reference	Criteria	Secondary criteria	Layers used	Cloud type
Southeast Pacific; Jones et al. (2011)	Coupled: $\Delta q_t < 0.5 \text{ g kg}^{-1}$ & $\Delta \theta_t < 0.5 \text{ K}$  All other profiles are considered decoupled	Coupled: distance between lifting condensation level (LCL) and cloud base is < 150 m	Bottom 25% of surface layer to cloud base height	Marine strato- cumulus
Azores (Graciosa Island; Northeast Atlantic); Dong et al. (2015)		Decoupled: distance > 150 m		
Northeast Pacific; Wang et al. (2016)	Decoupled: $\Delta q_t > 0.6 \text{ g kg}^{-1}$ & $\Delta \theta_t > 1.0 \text{ K}$  All other clouds are considered coupled	N/A		

Southern Great Plains (U.S.); Su et al. (2022)	Coupled: $\Delta\theta_\ell < 1.0$ K Decoupled: $\Delta\theta_\ell > 1.0$ K			Different Thermodynamic Stability (DTDS) method	Cloud base height minus planetary boundary layer height	Low clouds over land, specifically cumulus
Northwest Atlantic; This Study	Degree	$\Delta q_\ell$	$\Delta\theta_\ell$	N/A	Below cloud base leg (~100 m below base) minus MinAlt leg (avg. alt ~150 m)	Marine clouds spanning continuum from stratiform to cumulus
	Strong	$\leq 0.8$ g kg <sup>-1</sup>	$\leq 1.0$ K			
	Moderate, high $\Delta\theta_\ell$	$\leq 0.8$ g kg <sup>-1</sup>	$> 1.0$ K			
	Moderate, high $\Delta q_\ell$	$> 0.8$ g kg <sup>-1</sup>	$\leq 1.0$ K			
Weak	$> 0.8$ g kg <sup>-1</sup>	$> 1.0$ K				

65  
66 As over 45% of the ocean's surface is covered by MBL clouds (Warren et al., 1988), examining relations between  
67 aerosol and cloud characteristics with coupling strength is important. Investigation of coupling behavior has not yet  
68 been carried out for the northwest Atlantic region, which is a complex thermodynamic region for such work as it is  
69 not a classical sub-tropical zone with a stratocumulus cloud deck like most regions investigated in Table 1 (Painemal  
70 et al., 2021, 2023). The synoptic conditions over the northwest Atlantic are such that the wintertime has higher cloud  
71 fraction with more influence from stratiform boundary layer clouds, whereas the summertime has more trans-Atlantic  
72 flow in addition to lower cloud fraction with higher sea surface temperatures promoting shallow cumulus clouds  
73 (Painemal et al., 2021). During winter, there is more offshore advection of continental air (Corral et al., 2021;  
74 Dadashazar et al., 2021), enhanced precipitation frequency (Painemal et al., 2021), and cold air outbreaks (CAOs), in  
75 which cold air is advected across the Gulf Stream front resulting in pronounced differences between air and sea surface  
76 temperatures (Brümmer, 1997; Papritz & Spengler, 2015; Seethala et al., 2021). CAOs are typically associated with  
77 strong turbulent mixing, leading to the deepening of the boundary layer (Dadashazar et al., 2021; Painemal et al.,  
78 2021; Papritz & Spengler, 2015; Tornow et al., 2022). During CAO events, surface wind convergence is driven by  
79 horizontal pressure and boundary layer height gradients, contributing to a statically unstable lower troposphere  
80 (Painemal et al., 2021; Seethala et al., 2021).

81  
82 Motivated by meteorological differences between the northwest Atlantic and other regions in Table 1, the question  
83 arises as to whether it is restrictive to consider just the categories of coupled and decoupled clouds; instead, it may be  
84 instructive to consider more categories and that they all may have some degree of coupling ranging from weak to  
85 strong. This strategy is built off past reports suggesting that the use of the term “decoupled” may not be appropriate  
86 and that an MBL can be coupled even though it is poorly mixed (Stevens et al., 1998). The latter case can be viewed  
87 as weakly coupled due to episodic updraft-driven convection that is less efficient at mixing the MBL than is the case  
88 in well-mixed MBLs in which downdrafts associated with cloud-top radiative cooling couple the cloud and sub-cloud  
89 layers (Stevens et al., 1998). Thus, the perspective we embrace in this work is that low-level clouds (< 2 km) can be  
90 viewed as always being coupled to sub-cloud layers but to varying degrees.

91

92 The goal of this study is to leverage an opportune aircraft dataset covering multiple seasons between 2020 to 2022  
93 from NASA’s Aerosol Cloud meTeorology Interactions oVer the western ATlantic Experiment (ACTIVATE;  
94 Sorooshian et al., 2019) to quantify the frequency of occurrence for four different coupling regimes and how aerosol  
95 and cloud characteristics vary between them. We emphasize that this study is different in nature to those in Table 1 in  
96 that we do not examine as rigorously the vertical extent of the full cloudy boundary layer but instead focus more on  
97 aerosol and cloud characteristics for different coupling regimes based on definitions limited to the vertical region  
98 below cloud bases. The analyses presented here are important for reasons such as knowing how well the aerosol near  
99 the surface level represent the aerosol just below cloud bases, with implications for the aerosol that largely govern the  
100 drop concentration budget. In Sect. 2 we summarize the measurements and methods, including criteria applied with  
101 traditionally used thermodynamic variables to differentiate between four coupling categories. In Sect. 3 we report  
102 results including the frequency of occurrence of the four coupling regimes, and differences in aerosol properties (light  
103 scattering, number/volume concentration) and cloud microphysical properties (composition and droplet number  
104 concentration) between these categories to see how they compare to past studies for other regions. Although there are  
105 scarce previous reports of such findings (e.g., Dong et al., 2015; Wang et al., 2016), the central hypotheses are based  
106 on confirming what has been shown or implied in other regions, in that more strongly coupled cases will have (i) more  
107 vertical homogeneity in aerosol properties between the sub-cloud layer and closer to the ocean’s surface, (ii) cloud  
108 composition reflecting significantly more sea salt influence (Wang et al., 2016), and (iii) higher cloud drop number  
109 concentration, compared to weakly coupled cases (Dong et al., 2015). Differences identified in aerosol and cloud  
110 characteristics between these four coupling regimes are important to inform both future flight designs and data analysis  
111 research to account for thermodynamic profiles when examining aspects of aerosol and cloud microphysics when  
112 using either satellite, reanalysis, airborne, or ground-based datasets.

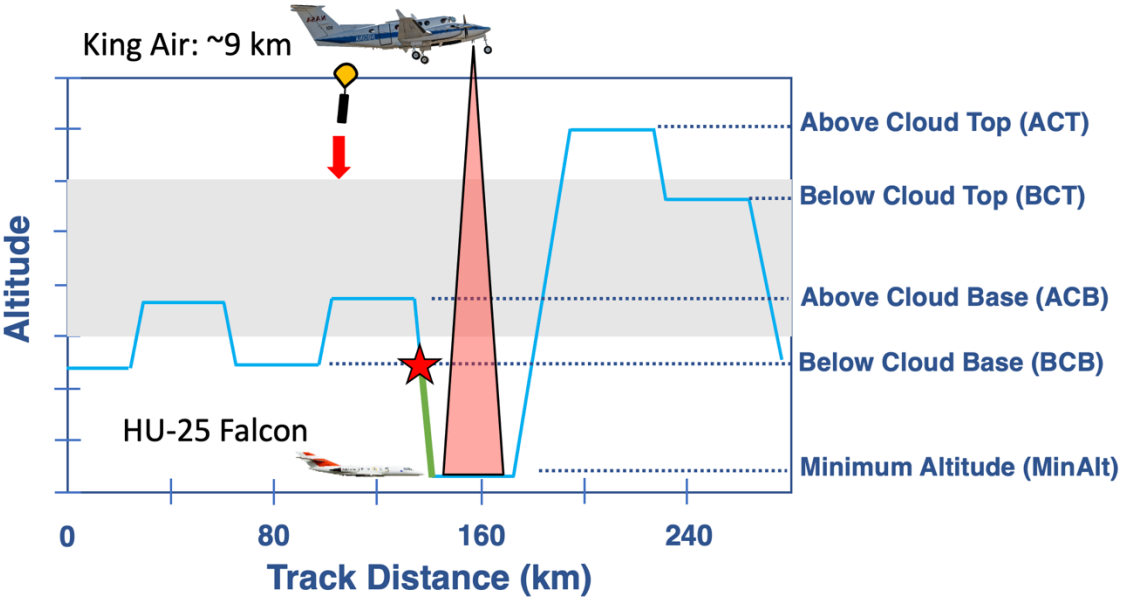
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## 114 **2 Data and Methodology**

### 115 **2.1 Overview of ACTIVATE**

116 ACTIVATE was largely based out of NASA Langley Research Center in Hampton, Virginia and carried out research  
117 flights (RFs) with two spatially coordinated aircraft as part of six deployments in winter and summer months between  
118 2020 and 2022, with extensive measurement and deployment details provided elsewhere (Sorooshian et al., 2023).  
119 Secondary bases were used for a subset of flights in 2022, including in Bermuda for June 2022. Winter and summer  
120 are broadly defined as including the months of November-April and May-September, respectively. The HU-25 Falcon  
121 flew level legs in, below, and above MBL clouds to collect in-situ atmospheric state, aerosol, trace gas, and cloud  
122 measurements, while the high-flying King Air at ~9 km launched dropsondes and carried out remote sensing. The  
123 focus of this work is data collected by the Falcon. Out of 179 total flights, 135 were used that offered data conducive  
124 to this study’s analysis including having the Falcon conduct “statistical survey” flights with “cloud ensembles” (Fig.  
125 1), along with several physical conditions satisfied as discussed in Sect. 2.5. During statistical survey flights, which  
126 accounted for 93% of ACTIVATE’s flights, the Falcon repeatedly flew a series of legs with Fig. 1 visually depicting  
127 one such cloud ensemble whereby the plane flew the following legs in this nominal order: two pairs of legs below  
128 cloud base (BCB) and above cloud base (ACB) followed by a descent to the minimum altitude (MinAlt) possible

129 (~150 m above sea level) and then a subsequent slant ascent for a leg above cloud top (ACT) followed by a final leg  
 130 below cloud top (BCT). Sometimes the legs were flown in a different order based on flight restrictions and cloud  
 131 conditions. Separate ensembles flown in clear air conditions are outside the scope of this work. Each leg duration was  
 132 ~3.3 minutes (equivalent to ~ 24 km) with the Falcon flying at ~120 m s<sup>-1</sup> (Dadashazar et al., 2022a). The vertical  
 133 slant ascents/descents between level legs especially down to MinAlt and up to ACT were helpful in gathering  
 134 vertically-resolved information during ensembles.  
 135



136  
 137 **Figure 1: Cloudy ensemble flight strategy of the HU-25 Falcon during the ACTIVATE flights, where the grey box represents**  
 138 **a typical cloud layer. The red star indicates where the BCB level would be marked and the data that would be utilized for**  
 139 **this particular flight pattern. Otherwise, MinAlt-BCB pairs that are used include when a MinAlt level leg was immediately**  
 140 **preceded or succeeded by a BCB level leg. The green line illustrates the data that would be used to investigate the vertical**  
 141 **structure of the layer, starting with the last timestamp from the pseudo-BCB leg and ending with the first timestamp in the**  
 142 **MinAlt leg.**

143

144 **2.2 Implementation of Flight Legs**

145 Across ACTIVATE’s six deployments, MinAlt and BCB legs were identified for RFs when the Falcon flew cloud  
 146 ensembles (Fig. 1). There were several instances when the MinAlt and BCB legs were not immediately adjacent and  
 147 separated by another leg, such as at ACB (i.e., the flight order was BCB-ACB-MinAlt; Fig. 1). In those cases, to get  
 148 MinAlt/BCB pairs that were closer in time, our method involved identifying the BCB altitude during the slant  
 149 altitudinal change (either descent or ascent) between MinAlt-ACB based on the altitude of the BCB leg immediately  
 150 before the ACB leg (see red star in Fig. 1). A secondary check was made to ensure that identified BCB leg was below  
 151 cloud base using 1-Hz LWC and N<sub>d</sub> values from the FCDP (criteria in Sect. 2.5). The vertical structure of the layer  
 152 between MinAlt and BCB was examined using data between the last time stamp in the MinAlt/BCB leg (i.e.,  
 153 whichever was first in the MinAlt-BCB pair) and first-time stamp in the subsequent BCB/MinAlt leg (i.e., whichever

154 was second in the MinAlt-BCB pair). This is indicated by a green line on Fig. 1, which begins with the last time stamp  
 155 from the pseudo-BCB leg (indicated by the red star) and ends with the first time stamp in the MinAlt leg. For  
 156 simplicity, we refer to the case of using BCB data during slant profiles as “legs” too, even though they were not level  
 157 legs. This study compares various measurement data (Sect. 2.5) between MinAlt and BCB legs using the last/first 5  
 158 seconds of data during adjacent MinAlt-BCB legs, and in the case of slants, we use the 2 points before and after the  
 159 actual BCB point (the red star in Fig. 1) for a total of 5 points (average altitude range ~ 20 m) that represent the BCB  
 160 level with the condition that all data were out of cloud.

161

162 **2.3 Instrumentation**

163 A summary of instrumentation relevant to this study is shown in Table 2 and briefly described here. A nephelometer  
 164 (TSI-3563) measured the dry scattering coefficient at 550 nm (particle diameter ( $D_p$ ) < 5.0  $\mu\text{m}$  for 2020 and  $D_p$  < 1.0  
 165  $\mu\text{m}$  for 2021 and 2022); a Laser Aerosol Spectrometer (LAS; TSI-3340) measured aerosol size distributions (0.1  $\mu\text{m}$   
 166 <  $D_p$  < 5.0  $\mu\text{m}$ ) and here we use the integrated aerosol volume concentration data; a Fast Cloud Droplet Probe (FCDP;  
 167 SPEC Inc.) measured liquid water content (LWC) and particle and cloud drop size distributions between 3 and 50  $\mu\text{m}$ ;  
 168 a two-dimensional stereo (2D-S; SPEC Inc.; 25  $\mu\text{m}$  <  $D_p$  < 1500  $\mu\text{m}$ ) probe provided LWC, liquid droplet effective  
 169 diameter, and an ice flag, where the ice flag is equal to 1 if ice was detected (otherwise the variable is equal to 0); a  
 170 diode laser hygrometer (DLH) measured the water vapor mixing ratio ( $q_v$ ); a turbulent air motion measurement system  
 171 (TAMMS) measured three-dimensional winds (Thornhill et al., 2003); and an axial cyclone cloud water collector  
 172 (AC3) (Crosbie et al., 2018) collected cloud water samples by inertially separating droplets from the air stream.  
 173 Collected cloud water samples were then analyzed post-flight with ion chromatography (IC) with operating conditions  
 174 summarized elsewhere (Corral et al., 2022; Gonzalez et al., 2022). Section 2.6 describes the cloud water data in more  
 175 detail.

176

177 **Table 2: Summary of field campaign instrumentation used and corresponding measurements.**

Instruments	Measurements (Uncertainty)	Diameter ( $\mu\text{m}$ )	Reference
TSI-3563 Nephelometer	Dry scattering coefficient at 550 nm (20%)	< 5.0 for 2020; < 1.0 for 2021 & 2022	Ziemba et al. (2013)
TSI-3340 Laser Aerosol Spectrometer (LAS)	Integrated aerosol volume concentration (20%)	0.1 – 5.0	Froyd et al. (2019)
SPEC Inc. Fast Cloud Droplet Probe (FCDP)	Liquid water content (LWC), particle number concentration ( $N_a$ ), cloud drop number concentration ( $N_d$ ) (15-50%)	3 – 50	Kirschler et al. (2022)
SPEC Inc. Two-Dimensional Stereo Probe, Horizontal Arm (2DS-H)	LWC, effective diameter for liquid, ice flag (15-50%)	25 – 1500	Kirschler et al. (2023)

Diode Laser Hygrometer (DLH)	Water vapor mixing ratio ( $q_v$ ) (5%)	N/A	Diskin et al. (2002)
Axial Cloud Water Collector (AC3)	Cloud water composition (< 20%, species dependent)	see Sect. 2.6	Crosbie et al. (2018)
Turbulent Air Motion Measurement System (TAMMS)	Three dimensional winds ( $w = 10 \text{ cm s}^{-1}$ ; $u, v = 50 \text{ cm s}^{-1}$ ) and temperature ( $0.5 \text{ }^\circ\text{C}$ )	N/A	Thornhill et al. (2003)

178

179

## 180 2.4 Marine Boundary Layer Coupling

### 181 2.4.1 Thermodynamic Variables

182 To estimate the degree of coupling within the marine boundary layer, we consider the change in vertical profile of two  
 183 parameters: total water mixing ratio ( $q_t$ ) and liquid water potential temperature ( $\theta_\ell$ ). Relevant to this study are these  
 184 equations,

185

$$186 \quad q_\ell = \frac{LWC}{\rho_d} \quad (1)$$

187

$$188 \quad q_t = q_v + q_\ell \quad (2)$$

189

190 where the total water mixing ratio is the sum of water vapor mixing ratio ( $q_v$ ) and liquid water mixing ratio ( $q_\ell$ ). The  
 191 water vapor mixing ratio ( $q_v$ ) provided by the DLH is converted from ppmv to  $\text{g kg}^{-1}$ . The liquid water mixing ratio  
 192 ( $q_\ell$ ) is defined as the ratio of the mass of liquid water to the mass of dry air within a unit volume of air, which is  
 193 equivalent to the ratio of LWC (provided by the FCDP) and the density of dry air ( $\rho_d$ ).

194 Also relevant are these equations,

195

$$196 \quad \theta = (T + 273.15) \times \left(\frac{p_0}{p}\right)^\kappa \quad (3)$$

197

$$198 \quad \theta_\ell = \theta - \left(\frac{L_v}{c_{pd}}\right) \times q_\ell \quad (4)$$

199

200 where in equation 3, T and p are the given temperature in  $^\circ\text{C}$  and pressure in hPa from Falcon measurements,  
 201 respectively,  $p_0$  is the reference pressure (= 1000 hPa), and  $\kappa$  is the ratio of gas constant of dry air ( $R_d$ ) to the specific  
 202 heat of dry air at constant pressure ( $c_{pd}$ ). In equation 4,  $L_v$  is latent heat of vaporization and  $c_{pd}$  is the specific heat of  
 203 dry air at constant pressure. When LWC is equal to 0,  $\theta_\ell$  is equal to  $\theta$ .  $\theta_\ell$  is useful for the purposes of this study as it  
 204 is not significantly influenced by evaporating precipitation. Information regarding LWC thresholds for MinAlt-BCB  
 205 pairs is included in Sect. 2.5.

206



207 For each MinAlt and BCB leg, the average  $\theta_\ell$  and  $q_\ell$  across the leg was calculated and the difference between the two  
208 layers was taken as follows:

209

$$210 \quad \Delta q_\ell = q_{\ell,MinAlt} - q_{\ell,BCB^*} \quad (5)$$

211

$$212 \quad \Delta \theta_\ell = \theta_{\ell,BCB^*} - \theta_{\ell,MinAlt} \quad (6)$$

213

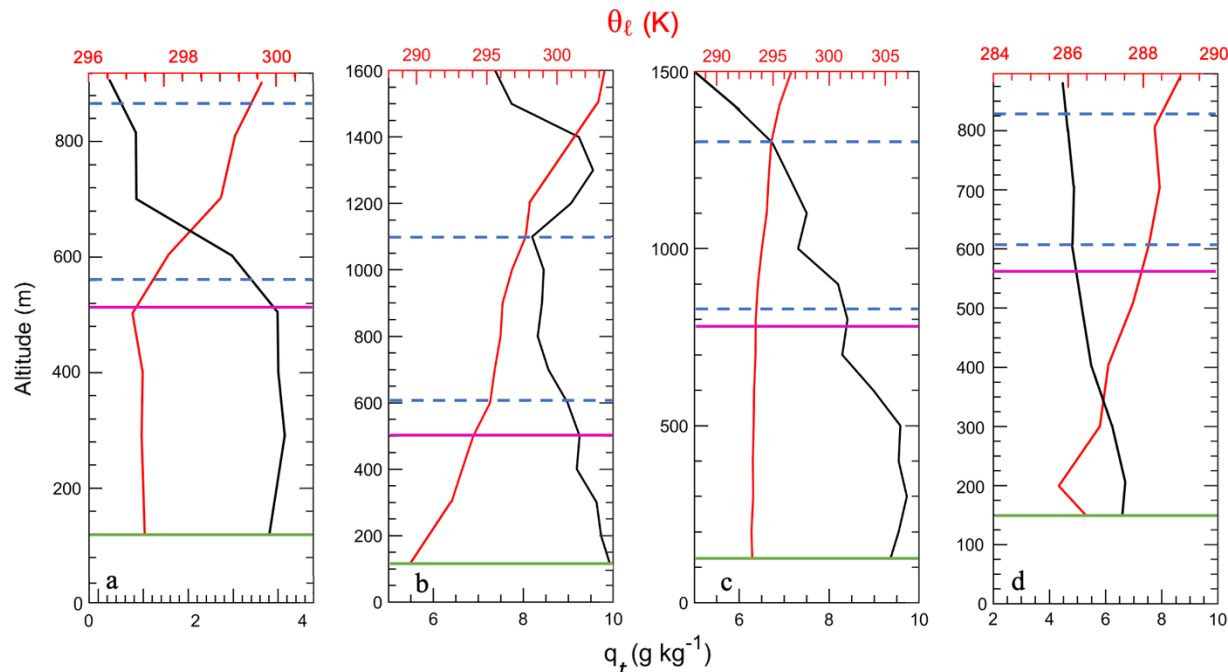
214 where in both equations 5-6, the order of legs on the right-hand side is meant to arrive at a positive value for the  
215 difference based on expectation.

216

### 217 **2.4.2 Coupling Criteria**

218 The criteria we use for the different coupling regimes were informed by (but are not identical to) those used in past  
219 work (Jones et al., 2011; Dong et al., 2015; Wang et al., 2016; Su et al., 2022). Our focus was on comparing the vertical  
220 range between MinAlt and BCB legs due to the focus on examining aerosol characteristics in particular within that  
221 range and also cloud microphysical conditions above cloud base. To qualify as strongly coupled, the difference  
222 between MinAlt and BCB had to satisfy these conditions:  $\Delta q_\ell \leq 0.8 \text{ g kg}^{-1}$  and  $\Delta \theta_\ell \leq 1.0 \text{ K}$  (example in Fig. 2a). Since  
223  $\Delta q_\ell$  is more influenced by evaporation and condensation, whereas  $\Delta \theta_\ell$  is more affected by air mass mixing (such as  
224 entrainment) and diabatic heating and cooling, it is proposed to have two degrees of moderate coupling – when one  
225 of  $\Delta q_\ell$  and  $\Delta \theta_\ell$  fit the strong coupling criteria and the other did not (Fig. 2b-c). Finally, profiles are considered “weakly  
226 coupled” when both  $\Delta q_\ell$  and  $\Delta \theta_\ell$  do not satisfy the strong coupling criteria values (Fig. 2d). Vertical profiles of  $q_\ell$  and  
227  $\theta_\ell$  were examined for all MinAlt/BCB pairs to ensure robustness of the categorization method. As noted by Dadashazar  
228 et al. (2022b), the Falcon aimed to conduct BCB and ACB legs about ~100 m below and above the estimated cloud  
229 base height, respectively. Median/mean distances from BCB to cloud bases were as follows for all samples in the four  
230 coupling categories: strong = 73/87 m; moderate, high  $\Delta \theta_\ell$  = 101/119 m; moderate, high  $\Delta q_\ell$  = 69/71 m; weak =  
231 104/142 m. The use of the two moderate categories is exploratory in nature and meant to identify if differences are  
232 found between both themselves and the more extreme categories of strong and weak. Appendix A further explores  
233 differences between the two moderate regimes and suggests that the moderately coupled category with high  $\Delta \theta_\ell$  is  
234 influenced more by processes above the MBL such as entrainment of dry air with high potential temperature whereas  
235 the other moderate category with high  $\Delta q_\ell$  is influenced by surface processes.

236



237  
 238 **Figure 2: Representative vertical profiles of  $\theta_l$  and  $q_t$  for (a) strong coupling from RF 26 on 21 August 2020, (b) moderate**  
 239 **coupling with high  $\Delta\theta_l$  from RF 150 on 5 May 2022, (c) moderate coupling with high  $\Delta q_t$  from RF 66 on 18 May 2021, and**  
 240 **(d) weak coupling from RF 11 on 28 February 2020. The dashed blue lines demarcate the cloud top and base levels, the**  
 241 **magenta line indicates the BCB leg, and the green line indicates the MinAlt leg. There was a total of 411 MinAlt-BCB pairs**  
 242 **analyzed in this study.**

243  
 244 **2.5 Aerosol and Atmospheric Properties**

245 There were several aerosol and atmospheric properties investigated in this study: aerosol scattering (scat) at 550 nm  
 246 ( $< 5 \mu\text{m}$  in 2020 and  $< 1 \mu\text{m}$  in 2021-2022), integrated volume concentration (IntV:  $0.1 < D_p < 5 \mu\text{m}$ ), particle number  
 247 concentration ( $N_a$ ;  $3 < D_p < 50 \mu\text{m}$ ), cloud drop number concentration ( $N_d$ ;  $3 < D_p < 50 \mu\text{m}$ ), and turbulence ( $\sigma_w$ ). Note  
 248 that the  $N_a$  measurement from the FCDP for diameter  $> 3 \mu\text{m}$  is important in this study to better isolate sea salt particles  
 249 (Gonzalez et al., 2022). The integrated volume concentration also is expected to be influenced by larger sea salt  
 250 particles in the measurement size range. These properties were averaged across each MinAlt and BCB pair and the  
 251 difference between the MinAlt and BCB values was computed. To account for interference from cloud droplet shatter  
 252 with the aerosol statistics, we only looked at MinAlt-BCB pairs when the sampling area was devoid of cloud, rain,  
 253 and ice. The following three criteria had to be met: (1) ice flag from 2DS-H = 0, (2) effective liquid diameter from  
 254 2DS-H  $< 60 \mu\text{m}$ , and (3) LWC from FCDP  $< 0.005 \text{ g m}^{-3}$ , to filter out conditions with ice, liquid precipitation, and  
 255 clouds, respectively. When considering in-cloud conditions for  $N_d$ , additional criteria were needed based on FCDP  
 256 data: LWC  $> 0.05 \text{ g m}^{-3}$  and  $N_d > 10 \text{ cm}^{-3}$  (Kirschler et al., 2023).  $N_d$  data was collected from ACB legs closest in  
 257 proximity to a MinAlt-BCB pair ( $< 30 \text{ min}$ ; 60% within 10 min) due to one of the study objectives being to examine  
 258 how  $N_d$  varies between the four defined coupling regimes. Turbulence was calculated as the standard deviation of the  
 259 vertical wind velocity for a level leg as done in other work (e.g., MacDonald et al., 2020).

## 261 2.6 Cloud Water Species

262 The nine cloud water species of interest in this study include non-sea salt calcium (nss-Ca<sup>2+</sup>), chloride (Cl<sup>-</sup>), potassium  
263 (K<sup>+</sup>), magnesium (Mg<sup>2+</sup>), sodium (Na<sup>+</sup>), ammonium (NH<sub>4</sub><sup>+</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), oxalate, and non-sea salt sulfate (nss-  
264 SO<sub>4</sub><sup>2-</sup>). Calculations of nss-Ca<sup>2+</sup> and nss-SO<sub>4</sub><sup>2-</sup> utilized mass ratios and concentrations of pure Ca<sup>2+</sup>, Na<sup>+</sup>, and SO<sub>4</sub><sup>2-</sup>,  
265 following the methodology outlined in Sect. 2.7 of AzadiAghdam et al. (2019). The IC is used to obtain concentrations  
266 of cloud water species in aqueous units (mg L<sup>-1</sup>), which were then converted to air equivalent concentrations using the  
267 methods described in Gonzalez et al. (2022). Briefly, the cloud water sample was considered in-cloud under the criteria  
268 LWC<sub>FCDP</sub> > 0.05 g m<sup>-3</sup>. When this condition was met, the concentration was multiplied by the average LWC<sub>FCDP</sub>  
269 measured across the sampling time and divided by the density of water and ultimately converted to μg m<sup>-3</sup> for the air  
270 equivalent concentration. These units allow one to compare concentrations more fairly between samples to remove  
271 biases due to varying amounts of water in different clouds. As cloud water samples were collected periodically during  
272 flights, samples were only examined when a MinAlt or BCB leg being investigated was within 30 minutes or  
273 overlapped with the collection period. Out of a total of 535 cloud water samples over the 6 deployments, 67 met the  
274 criteria to be used for this study's MinAlt/BCB pairs. Statistics including mean, standard deviation (std. dev.),  
275 minimum, maximum, and quartile ranges were calculated across the 67 data points for all nine cloud water species.

276  
277 Additionally, cumulative average cloud water mass concentrations and mass fractions were calculated for the 67  
278 samples. The total mass concentration for each coupling regime was found by the summation of only the nine chemical  
279 species investigated in this manuscript. Welch's t-test calculations were conducted to compare the mean concentrations  
280 of the investigated chemical species across coupling regimes. These tests were done in lieu of the traditional t-test due  
281 to the assumption that the data used have unequal variances and thus are slightly more robust.

282

## 283 3 Results and Discussion

### 284 3.1 Thermodynamic Criteria

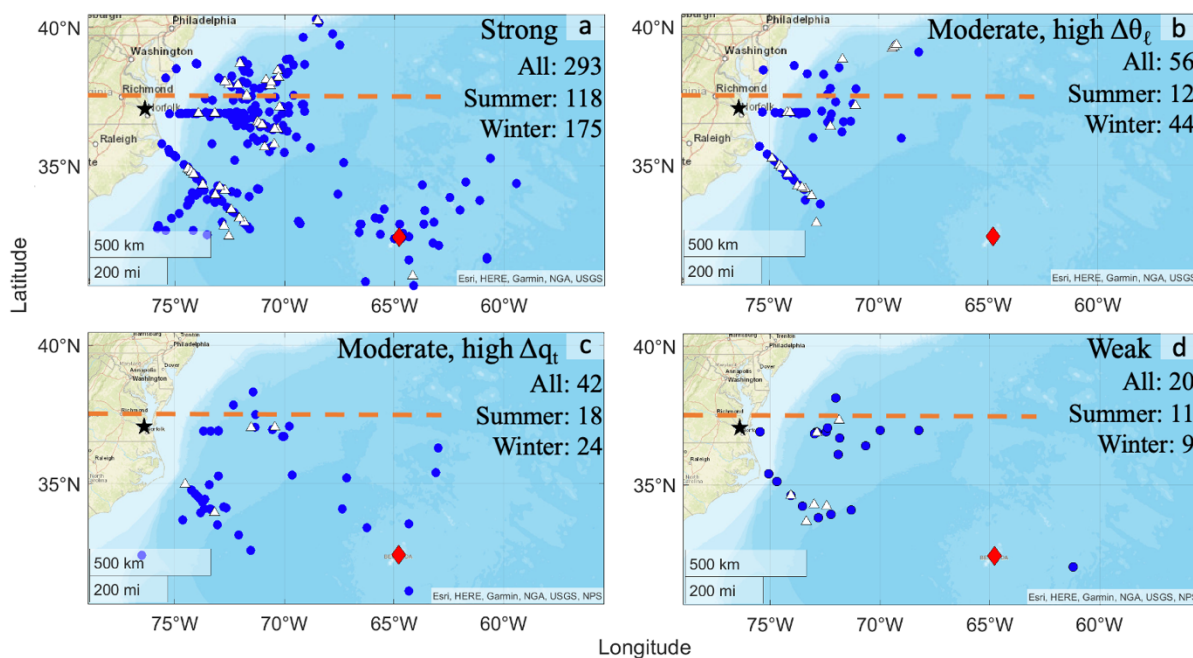
285 This section discusses the application of the developed thermodynamic criteria across all MinAlt-BCB pairs. In total,  
286 411 MinAlt-BCB pairs were investigated (pair locations shown in Fig. 3; the MinAlt legs were close in time to the  
287 BCB legs, so only one spatial map is needed to show the approximate data point location for each pair), with the  
288 breakdown of the distribution across the different degrees of coupling shown in Fig. 3 and 4. The majority of the pairs  
289 were classified as strongly coupled, with a breakdown of 71% (strongly coupled), 14% (moderately coupled with high  
290  $\Delta\theta_t$ ), 10% (moderately coupled with high  $\Delta q_t$ ), and 5% (weakly coupled). Strong turbulent mixing in the northwest  
291 Atlantic Ocean, especially during the winter (Brunke et al., 2022) which is when most pairs were identified, is likely  
292 why the majority of pairs were found to be strongly coupled, as the coupling parameters  $\theta_t$  and  $q_t$  are relatively constant  
293 vertically from the surface to near cloud bases due to the strong mixing (Fig. 2a).

294

295 There are no major spatial distribution differences for MinAlt-BCB pairs across the four coupling regimes with minor  
296 exceptions being that the majority of pairs identified farther offshore around Bermuda were for both the strongly  
297 coupled and moderately coupled with high  $\Delta q_t$  categories. Also, the strong and moderate coupling with high  $\Delta\theta_t$

298 categories had more pairs north of 37.5°N (indicated by the orange dashed line), which coincides with more wintertime  
 299 sampling of cold air outbreak events that feature turbulent conditions (e.g., Painemal et al., 2021; Kirschler et al.,  
 300 2022).

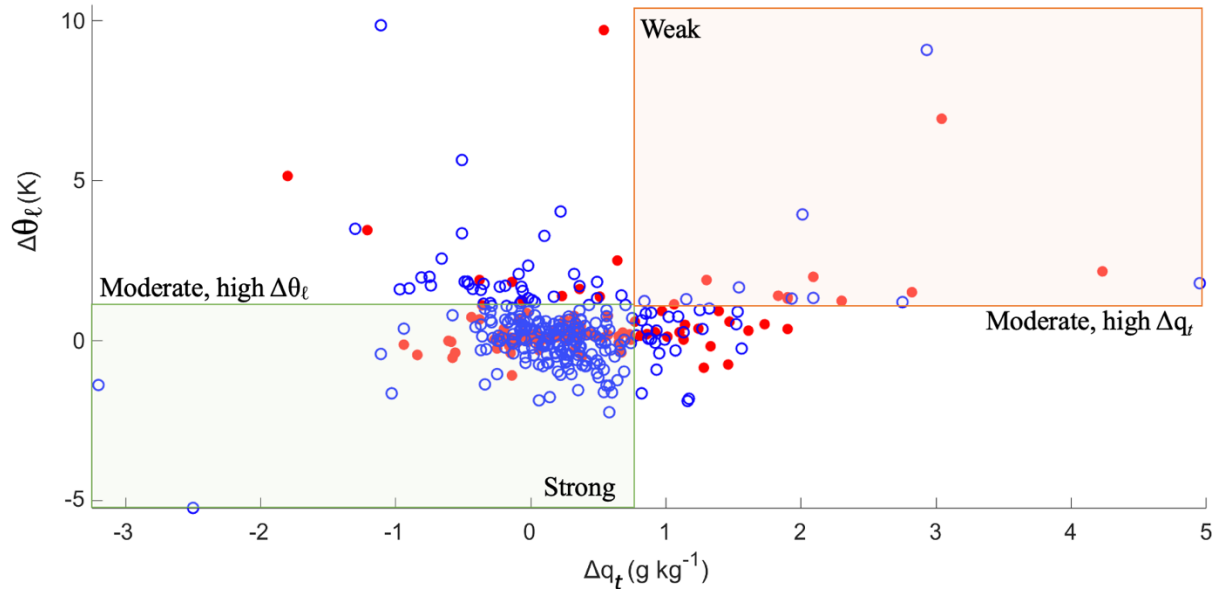
301



302

303 **Figure 3: Locations of the BCB segments of the MinAlt-BCB pairs (blue circles), broken up into the four different degrees**  
 304 **of coupling. The locations of the cloud water samples (white triangles) are overlaid on the BCB segment locations. The black**  
 305 **star indicates the location of NASA Langley Research Center, the red diamond indicates Bermuda, and the orange dashed**  
 306 **line indicates 37.5°N, which is referenced in the discussion about this figure. The total number of MinAlt-BCB pairs for**  
 307 **each category are also included for each coupling regime.**

308



309  
 310 **Figure 4: Scatterplot of  $\Delta\theta_t$  vs.  $\Delta q_t$  values for the BCB and MinAlt pairs divided into the four coupling regimes, where**  
 311 **winter pairs are indicated by blue points and summer pairs are indicated by red points. Refer to Fig. 3 for the number of**  
 312 **points in each coupling regime categorized by season. Figure S1 shows this information in the form of a joint frequency**  
 313 **histogram.**

314  
 315 Figures 3-4 show that there were more MinAlt-BCB pairs during the winter versus summer (252 vs. 159) largely due to the  
 316 greater ease of sampling such cases with the higher wintertime cloud fraction in the region (Painemal et al., 2021;  
 317 Kirschler et al., 2022, 2023). But generally, the distribution of coupling categories was the same (summer/winter):  
 318 74/70% strongly coupled, 8/18% moderately coupled with high  $\Delta\theta_t$ , 11/10% moderately coupled with high  $\Delta q_t$ , and  
 319 7/4% weakly coupled. The frequency of the moderately coupled with high  $\Delta q_t$  category was relatively higher in  
 320 summer versus winter compared to the other moderate category, which is coincident with the summer having higher  
 321 temperatures (i.e., higher  $q_v$ ) and more flights farther south in Fig. 3 where temperatures are warmer as compared to  
 322 farther north.

323  
 324 For context, applying the criteria from past work in Table 1 (Jones et al., 2011; Dong et al., 2015) to this dataset (i.e.,  
 325  $\Delta q_t$  [ $\text{g kg}^{-1}$ ] and  $\Delta\theta_t$  [K] < 0.5 for coupled and all others decoupled) would have led to 206 and 205 coupled and  
 326 decoupled cases, respectively, with a seasonal breakdown as follows (summer/winter): 43/58% coupled, 35/66%  
 327 decoupled. However, we caution that the compared vertical levels differ between these studies. For example, Jones et  
 328 al. (2011) compared levels encompassing more of the full extent of the cloudy MBL (e.g., somewhat analogous to the  
 329 use of MinAlt and BCT in Fig. 1), whereas in this study we compare MinAlt to BCB due to our focus on aerosol  
 330 characteristics, which are difficult to measure in clouds. While ACTIVATE flights were designed for achieving a  
 331 statistically rich dataset portraying the region in an unbiased way, the frequency of occurrence of the four coupling  
 332 regimes in this study can possibly still be affected by how flights were designed to fly towards areas with relatively

333 higher cloud fraction without complicating scenes such as with multiple cloud layers. We also note that sensitivity  
 334 tests were conducted (Table S1) to see how the assignment of MinAlt-BCB pairs to the four coupling categories  
 335 changed when accounting for measurement uncertainties (shown in Table 2), which could push points across the  
 336 border of their regime in Fig. 4. Varying  $\Delta q_t$  and  $\Delta \theta_t$  by absolute values of 0.2 in both directions was investigated to  
 337 test for sensitivity to measurement uncertainty in this study. Results are preserved with only slight changes in  
 338 assignments after varying the criteria; the same applies using the Jones et al. (2011) criteria, which is shown on the  
 339 far right of Table S1. Subsequent effects on other results presented in the following sections were minimal with the  
 340 same general conclusions reached.

341

### 342 3.2 Aerosol and Atmospheric Properties

343 The results of the aerosol and atmospheric parameter calculations across the four different coupling regimes are  
 344 provided in Table 3 (seasonal results in Tables S2-S3), with notched box plots summarizing information from Table 3  
 345 in Fig. S2. Of the 411 MinAlt-BCB pairs, 293 were used in aerosol calculations after eliminating pairs that may have  
 346 been influenced by rain, cloud, or ice interference. As a note, when quantifying altitudinal differences in variables  
 347 across different coupling regimes, the mean at each altitude is used as the comparison parameter unless otherwise  
 348 stated, as outliers were already removed prior to data analysis.

349

350 The first hypothesis of this study is that strongly coupled regimes would have greater turbulence ( $\sigma_w$ ) than weakly  
 351 coupled regimes. This hypothesis is confirmed when examining  $\sigma_w$  results at both MinAlt (strong/weak = 0.86/0.55  
 352  $\text{m s}^{-1}$ ) and BCB levels (strong/weak = 0.70/0.49  $\text{m s}^{-1}$ ). The two categories of moderate coupling had greater turbulence  
 353 at both altitudes compared to weak coupling, and sometimes had greater turbulence than pairs categorized as strongly  
 354 coupled. Further, while BCB  $\sigma_w$  and BCB – MinAlt  $\sigma_w$  had no significant differences across medians, there were some  
 355 significant differences across coupling regimes for MinAlt  $\sigma_w$  (Fig. S2). Data in the moderate, high  $\Delta \theta_t$  coupling  
 356 regime were significantly different from the other regimes, and data categorized as moderate coupling with high  $\Delta q_t$   
 357 were also statistically distinct from the weak coupling regime. This suggests considering multiple coupling regimes  
 358 for the northwest Atlantic is important to tease out such nuances as differences in the thermodynamic profiles can  
 359 potentially coincide with different aerosol and cloud characteristics as discussed subsequently.

360

361 **Table 3: Statistics for various atmospheric properties investigated across the MinAlt-BCB pairs ( $\Delta$  calculation refers to the**  
 362 **MinAlt value minus the BCB value), except for MinAlt  $\sigma_w$  and BCB  $\sigma_w$ , which are the average  $\sigma_w$  for each respective leg**  
 363 **and for  $N_d$ , which is calculated in ACB legs. Each property is broken down into the different degrees of coupling (n = number**  
 364 **of points used in each coupling category). Variable acronyms defined in Sect. 2.5. Refer to Fig. S2 for corresponding notched**  
 365 **box plots.**

	Degree of Coupling	Mean	Std. Dev.	Min	25%	50%	75%	Max	n
$\Delta \text{scat}$	Strong	2.2	2.1	0.00	0.78	1.7	2.8	13.9	274
	Moderate, high $\Delta \theta_t$	3.5	3.5	0.07	0.97	2.4	4.6	14.6	52
	Moderate, high $\Delta q_t$	2.4	2.1	0.01	0.70	1.8	3.6	9.2	39
	Weak	3.5	3.3	0.01	0.88	2.2	6.6	10.8	20

$\Delta\text{IntV}$	Strong	2.5	2.6	0.02	0.67	1.7	3.5	13.6	288
	Moderate, high $\Delta\theta_\ell$	2.1	2.2	0.00	0.46	1.5	2.9	9.3	54
	Moderate, high $\Delta q_t$	1.9	1.9	0.01	0.46	1.2	2.8	8.3	41
	Weak	2.8	2.3	0.18	1.0	2.4	4.2	7.6	20
$\Delta N_{a>3\mu\text{m}}$	Strong	0.32	0.55	0.00	0.05	0.13	0.35	4.9	288
	Moderate, high $\Delta\theta_\ell$	0.33	0.64	0.00	0.03	0.13	0.31	3.6	54
	Moderate, high $\Delta q_t$	0.15	0.14	0.00	0.06	0.11	0.21	0.61	41
	Weak	0.53	1.5	0.01	0.02	0.08	0.27	5.9	20
$N_d$	Strong	344	217	19	193	310	473	954	238
	Moderate, high $\Delta\theta_\ell$	419	242	45	228	374	610	962	48
	Moderate, high $\Delta q_t$	329	154	25	235	327	430	671	31
	Weak	275	181	50	107	245	411	606	18
MinAlt $\sigma_w$	Strong	0.86	0.49	0.00	0.47	0.79	1.2	2.4	293
	Moderate, high $\Delta\theta_\ell$	1.0	0.57	0.00	0.52	1.1	1.3	2.2	56
	Moderate, high $\Delta q_t$	0.81	0.44	0.00	0.51	0.73	0.99	1.9	42
	Weak	0.55	0.38	0.00	0.19	0.51	0.90	1.3	20
BCB $\sigma_w$	Strong	0.70	0.62	0.00	0.26	0.60	1.0	4.0	293
	Moderate, high $\Delta\theta_\ell$	0.64	0.62	0.00	0.00	0.53	1.1	2.2	56
	Moderate, high $\Delta q_t$	0.81	0.76	0.00	0.26	0.71	1.1	3.3	42
	Weak	0.49	0.50	0.00	0.04	0.30	0.87	1.6	20
BCB - MinAlt $\sigma_w$	Strong	-0.15	0.66	-2.0	-0.45	-0.16	0.10	3.6	285
	Moderate, high $\Delta\theta_\ell$	-0.34	0.57	-2.2	-0.81	-0.23	0.10	0.59	53
	Moderate, high $\Delta q_t$	0.01	0.74	-1.6	-0.28	-0.08	0.20	2.9	42
	Weak	-0.05	0.47	-1.1	-0.35	0.01	0.25	0.90	20

366

367 The second hypothesis is that aerosol scattering ( $\Delta\text{scat}$ ), integrated volume concentration ( $0.1 < D_p < 5 \mu\text{m}$ ;  $\Delta\text{IntV}$ ),  
368 and giant particle number concentration ( $3 < D_p < 50 \mu\text{m}$ ;  $\Delta N_{>3\mu\text{m}}$ ) would have more vertically homogenous  
369 concentrations (i.e., smaller MinAlt-BCB differences) in strongly coupled regimes compared to weakly coupled  
370 regimes due to greater mixing for the former as supported by the higher  $\sigma_w$  results already shown. This hypothesis is  
371 supported (Table 3 and Fig. S2) since strong coupling cases exhibited lower mean differences (MinAlt-BCB) than  
372 weak coupling ( $\Delta\text{scat}$ : 2.2/3.5  $\text{Mm}^{-1}$ ,  $\Delta\text{IntV}$ : 2.5/2.8  $\mu\text{m}^3 \text{cm}^{-3}$ , and  $\Delta N_{>3\mu\text{m}}$ : 0.3/0.5  $\text{cm}^{-3}$ , for strong/weak regimes).  
373 The third hypothesis was that cloud drop number concentration ( $3 < D_p < 50 \mu\text{m}$ ;  $N_d$ ) would be greater in strong  
374 coupling conditions, as stronger updrafts and turbulence would help to activate more particles into cloud droplets (this  
375 was also found in Dong et al., 2015). This is confirmed in Table 3: mean  $N_d$ : 344/275  $\text{cm}^{-3}$  for strong/weak regimes for  
376 ACB legs coinciding with each MinAlt-BCB pair. This result is consistent with past studies for the northwest Atlantic  
377 linking stronger turbulence to greater droplet activation efficiency (Kirschler et al., 2022; Dadashazar et al., 2021).  
378 The results based on medians agree with those of mean values in Table 3, although medians across regimes for each  
379 atmospheric property were not statistically different from one another (Fig. S2). Although there is a lack of statistically  
380 significant differences between the four coupling regimes for the investigated atmospheric properties, it is important  
381 to note that the sample sizes for each regime vary greatly. Therefore, there is more variability within the weak coupling  
382 regime with only 20 data points compared to the strong coupling regime with over 200 data points. As this study  
383 utilized all of the data at its disposal and there were more strong coupling cases than any other coupling regime, the  
384 lack of statistical significance across coupling regimes did not impact the general conclusions of the study.

385

386 When comparing the moderate coupling regimes with the strong and weak regimes, neither  $\Delta\text{scat}$ ,  $\Delta\text{IntV}$ , nor  $\Delta\text{N}_{>3\mu\text{m}}$   
387 showed a consistent trend in terms of being higher or lower across all three variables. However, one consistent feature  
388 among the moderate regimes is that the moderate high  $\Delta q_t$  category showed smaller  $\Delta$  values than moderate high  $\Delta\theta_t$   
389 for the three aerosol variables. Sometimes, the lowest  $\Delta$  values did not occur during the strong coupling cases, but  
390 rather during moderate coupling with high  $\Delta q_t$  cases (i.e.,  $\Delta\text{IntV} = 1.9 \mu\text{m}^3 \text{ cm}^{-3}$ ,  $\Delta\text{N}_{>3\mu\text{m}} = 0.2 \text{ cm}^{-3}$ ). These low  
391 differences presumably should coincide with the highest values of  $\sigma_w$ . This is somewhat supported by how BCB  $\sigma_w$   
392 was greatest for the moderate coupling with high  $\Delta q_t$  regime ( $0.81 \pm 0.76 \text{ m s}^{-1}$ ), although MinAlt  $\sigma_w$  was greatest for  
393 the moderate coupling with high  $\Delta\theta_t$  regime ( $1.00 \pm 0.57 \text{ m s}^{-1}$ ) with the value for the moderate coupling with high  
394  $\Delta q_t$  regime being  $0.81 \pm 0.44 \text{ m s}^{-1}$ . Also, Appendix A provides discussion in support of why the high  $\Delta q_t$  category  
395 may have small aerosol differences between MinAlt and BCB levels, whereby surface effects may be at play to help  
396 promote mixing in the MBL. Interestingly, the highest  $N_d$  values were for the moderate high  $\Delta\theta_t$  category with a mean  
397 of  $419 \text{ cm}^{-3}$ , which can partly be explained by how most of these cases occurred during the winter flights when  $N_d$  is  
398 higher than in the summer (see also Tables S2-S3) due to strong updraft velocities that efficiently activate particles  
399 into droplets (e.g., Kirschler et al., 2022). These conditions in winter were common during cold air outbreaks  
400 (Dadashazar et al., 2021).

### 401 402 3.3 Cloud Water Species

403 67 cloud water samples were used in this study (Table 4), with 60% of the samples falling into the strong coupling  
404 regime, followed by moderate coupling with high  $\Delta\theta_t$  (25%), weak coupling (9%), and lastly moderate coupling with  
405 high  $\Delta q_t$  (6%). Locations of samples are shown in Fig. 3. Within the strong coupling and moderate coupling with high  
406  $\Delta\theta_t$  categories, there were several samples north of  $37.5^\circ\text{N}$  (marked by orange line in Fig. 3), whereas the moderate  
407 coupling with high  $\Delta q_t$  and weak coupling samples were all south of that latitude. The former two categories include  
408 substantially more data during the winter when air masses typically come from the continent featuring urban emissions  
409 (Dadashazar et al., 2022a).

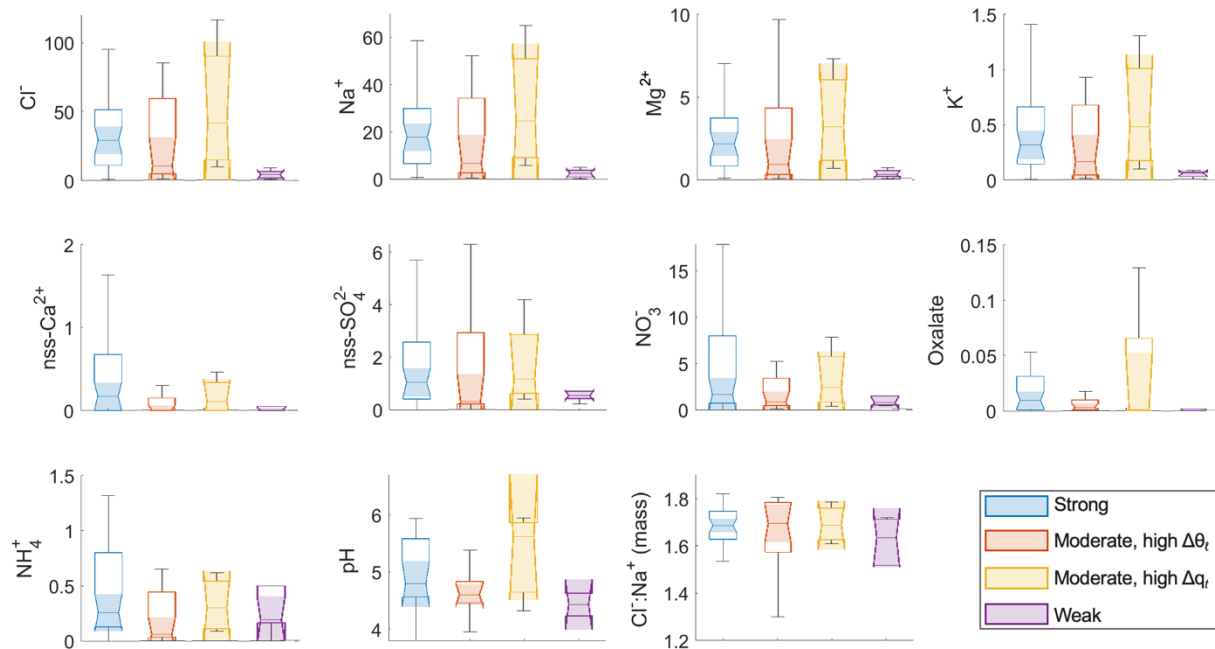
410  
411 **Table 4: Average cloud water mass concentrations ( $\mu\text{g m}^{-3}$ ) and mass fractions (in %, rounded to nearest whole number)**  
412 **for all cloud water samples. Also shown are the pH and  $\text{Cl}^-:\text{Na}^+$  mass ratios. Results are categorized into different degrees**  
413 **of coupling, and the ratio of weak-to-strong coupling is also reported.**

	Strong	Moderate, high $\Delta\theta_t$	Moderate, high $\Delta q_t$	Weak	Weak : Strong
Mass concentration ( $\mu\text{g m}^{-3}$ )					
Total	87.45	57.93	91.92	10.32	0.12
$\text{Cl}^-$	45.6	32.0	52.2	4.1	0.09
$\text{Na}^+$	27.9	18.6	29.9	2.5	0.09
$\text{Mg}^{2+}$	3.33	2.22	3.59	0.35	0.10
$\text{K}^+$	0.56	0.37	0.59	0.05	0.09



nss-Ca <sup>2+</sup>	0.53	0.18	0.17	0.03	0.06
nss-SO <sub>4</sub> <sup>2-</sup>	2.6	1.5	1.7	1.2	0.47
NO <sub>3</sub> <sup>-</sup>	6.0	2.7	3.3	1.5	0.26
Oxalate	0.10	0.01	0.03	0.01	0.14
NH <sub>4</sub> <sup>+</sup>	0.89	0.37	0.33	0.56	0.63
Mass fraction (%)					
Cl <sup>-</sup>	52	55	57	40	1
Na <sup>+</sup>	32	32	33	24	1
Mg <sup>2+</sup>	4	4	4	3	1
K <sup>+</sup>	1	1	1	1	1
nss-Ca <sup>2+</sup>	1	0	0	0	1
nss-SO <sub>4</sub> <sup>2-</sup>	3	3	2	12	4
NO <sub>3</sub> <sup>-</sup>	7	5	4	15	2
Oxalate	0	0	0	0	1
NH <sub>4</sub> <sup>+</sup>	1	1	0	5	5
Cl <sup>-</sup> :Na <sup>+</sup> mass ratio and pH					
pH	4.92	4.60	5.29	4.44	0.90
Cl <sup>-</sup> :Na <sup>+</sup>	1.65	1.66	1.69	1.53	0.92
n	40	17	4	6	

415  
416 Figure 5 provides composition statistics for the cloud water samples categorized into the four coupling regimes. As a  
417 note, the notches of the box plots assist in the determination of statistical significance between multiple medians (the  
418 shading indicates where the notches begin and end). If notches/shading do not overlap, the medians are statistically  
419 different from one another (also referred to as statistically significant). Since this study has utilized means instead of  
420 medians when comparing values across coupling regimes, mean concentrations are provided in the SI (Tables S4-S6)  
421 and the results of Welch's t-tests for each category (mean cloud water concentrations of the nine chemical species, pH,  
422 and Cl<sup>-</sup>:Na<sup>+</sup>) are given in Table S7. This study also investigated cumulative average mass concentrations and mass  
423 fractions (Table 4) to paint a clearer picture of the breakdown of chemical species for different degrees of coupling.  
424 Based on previous work for stratocumulus clouds over the northeast Pacific (Wang et al., 2016), we hypothesize that  
425 samples from strong coupling regimes would have higher mass concentrations compared to weakly coupled regimes  
426 owing to higher concentrations of sea salt constituents (e.g., Cl<sup>-</sup>, Na<sup>+</sup>, Mg<sup>2+</sup>, and K<sup>+</sup>). More turbulent conditions in  
427 strongly coupled cases are thought to promote more mixing of sea salt into boundary layer clouds, which can be  
428 detected with cloud water composition measurements (e.g., Dadashazar et al., 2017).  
429



430  
 431 **Figure 5: Notched box plots of species concentrations ( $\mu\text{g m}^{-3}$ ),  $\text{Cl}^-:\text{Na}^+$  mass ratio, and pH from cloud water samples**  
 432 **collected during periods coinciding with MinAlt-BCB pairs.**

433  
 434 Strong coupling regime samples exhibit higher average mass concentrations compared to weak coupling, and  $\text{Na}^+$ ,  $\text{Cl}^-$ ,  
 435  $\text{K}^+$ , and  $\text{NO}_3^-$  were all found to be statistically different across the two regimes (p-values:  $1.07\text{E}^{-4}$ ,  $3.54\text{E}^{-5}$ ,  $5.52\text{E}^{-5}$ ,  
 436 and  $9.57\text{E}^{-3}$ , respectively). The most abundant species by mass across the coupling regimes were usually  $\text{Cl}^-$ ,  $\text{Na}^+$ , and  
 437  $\text{NO}_3^-$ , similar to the results of Wang et al. (2016). Further, although lower in absolute mass concentration, some species  
 438 were relatively more abundant (i.e., higher mass fraction) in the weak coupling regime:  $\text{nss-SO}_4^{2-}$  (12% [weak] vs. 3%  
 439 [strong]),  $\text{NO}_3^-$  (15% [weak] vs. 7% [strong]),  $\text{NH}_4^+$  (5% [weak] vs. 1% [strong]). Oxalate was very low in overall  
 440 concentration and exhibited comparable mass fractions. These results are consistent with the idea of surface emissions  
 441 (mainly sea salt) driving cloud water composition in turbulent conditions (i.e., strongly coupled) in contrast to weakly  
 442 coupled clouds that have much lower overall mass concentrations of the reported ions but relatively more influence  
 443 from non-sea salt species. The two moderate coupling regimes include samples with concentration and mass fraction  
 444 values more similar to the strongly coupled regime, with even higher sea salt tracer species concentrations for the  
 445 moderate high  $\Delta q_t$  regime. This is consistent with the aerosol results in Sect. 3.2 that suggest this latter category can  
 446 have appreciable influence from the surface, although it is also important to note that this is based on 4 cloud water  
 447 samples.

448  
 449 Wang et al. (2016) analyzed 35 cloud water constituents for northeast Pacific stratocumulus clouds and found that 27  
 450 chemical species were higher in coupled clouds, with the remaining eight (acetate, formate, Si,  $\text{NO}_2^-$ , Al, Mn, Cr, and  
 451 Co) higher in decoupled clouds due to relatively more continental influence. The 27 cloud water species that were  
 452 higher in coupled clouds were associated with a mix of anthropogenic and natural sources (i.e., sea salt emissions for  
 453  $\text{Cl}^-$  and  $\text{Na}^+$ ). Conversely, the eight species that were higher in decoupled clouds were associated with crustal matter

454 and biogenic sources. Several of the most abundant species from our study ( $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{Mg}^{2+}$ ) are common sea salt  
455 tracers, while  $\text{NO}_3^-$  sources in the region may include ocean sea spray and biogenic emissions, wildfires, agricultural  
456 emissions, and ship exhaust (Corral et al., 2020; Corral et al., 2021; Corral et al., 2022; Shah et al., 2018). Note that  
457 nitric acid can partition effectively into cloud droplets as well, which can drive up cloud water  $\text{NO}_3^-$  levels (e.g.,  
458 Prabhakar et al., 2014). At least some of the species with higher mass fractions in the weakly coupled regime (e.g.,  
459  $\text{nss-SO}_4^{2-}$ ,  $\text{NO}_3^-$ ) have previously been linked to combustion sources in this region, such as industrial emissions and  
460 transportation (Brock et al., 2008; Song et al., 2001). Ammonium is a major base forming salts with  $\text{nss-SO}_4^{2-}$  and  
461  $\text{NO}_3^-$ , whereas oxalate has diverse sources (e.g., continental, marine) and can be associated with sea salt and produced  
462 via cloud processing (e.g., Stahl et al., 2020; Hilario et al., 2021).  $\text{Nss-Ca}^{2+}$  is often associated with continental crustal  
463 matter (Ma et al., 2021; Edwards et al., 2024), and its concentrations are generally very low suggestive of low influence  
464 from dust during the majority of ACTIVATE flights.

465  
466 In addition to examining mass concentrations, we also examined pH and the  $\text{Cl}^-:\text{Na}^+$ . Regarding the latter ratio, sea  
467 salt chloride concentrations can be reduced in the presence of acidic species such as sulfuric and nitric acids (e.g.,  
468 Braun et al., 2017; Edwards et al., 2024). This phenomenon is known as  $\text{Cl}^-$  depletion, and it can be calculated by  
469 taking the ratio of  $\text{Cl}^-:\text{Na}^+$ . For context, Wang et al. (2016) reported no major difference in the  $\text{Cl}^-:\text{Na}^+$  ratio in cloud  
470 water over the northeast Pacific but measured lower pH in coupled clouds (4.26) versus decoupled clouds (4.48). In  
471 this study, samples in the weak coupling regime exhibited the lowest pH (4.4 vs. 5.0 for strong coupling) and  $\text{Cl}^-:\text{Na}^+$   
472 (1.5 vs. 1.7 for strong coupling), which potentially could be related to the higher relative amount of  $\text{nss-SO}_4^{2-}$ ,  $\text{NO}_3^-$ .  
473 The two moderate coupling regimes feature samples with pH and  $\text{Cl}^-:\text{Na}^+$  values more similar to strongly coupled  
474 samples.

475  
476 A limitation in this analysis is that there were only six cloud water samples that fell into the weak coupling regime.  
477 Future work examining the sensitivity of aerosol and cloud characteristics to coupling regimes should try to obtain  
478 better sampling coverage across all regimes.

#### 479 480 **4 Conclusions**

481 This study used data collected during the NASA ACTIVATE mission (2020–2022) from the HU-25 Falcon to assess  
482 the frequency of different degrees of MBL cloud coupling and also how aerosol and cloud characteristics varied among  
483 four such regimes. MinAlt and BCB legs were used to assess thermodynamic statistics along with turbulence, aerosol,  
484 and cloud variables, which were calculated at each leg and the differences of the two legs were taken for final  
485 comparison metrics. Cloud water species and  $\text{Na}$  values associated with MinAlt and BCB pairs were analyzed when  
486 cloud sampling occurred within 30 minutes of a MinAlt-BCB pair.

487  
488 Vertical profiles between MinAlt and BCB pairs were divided into four degrees of coupling: strongly coupled ( $\Delta q_t \leq$   
489  $0.8 \text{ g kg}^{-1}$ ,  $\Delta\theta_\ell \leq 1.0 \text{ K}$ ), moderately coupled with high  $\Delta\theta_\ell$  ( $\Delta q_t \leq 0.8 \text{ g kg}^{-1}$ ,  $\Delta\theta_\ell > 1.0 \text{ K}$ ), moderately coupled with  
490 high  $\Delta q_t$  ( $\Delta q_t > 0.8 \text{ g kg}^{-1}$ ,  $\Delta\theta_\ell \leq 1.0 \text{ K}$ ), and weakly coupled ( $\Delta q_t > 0.8 \text{ g kg}^{-1}$ ,  $\Delta\theta_\ell > 1.0 \text{ K}$ ). In total, 411 MinAlt-BCB

491 pairs were investigated, along with 67 cloud water samples. Using this coupling categorization criteria, only a handful  
492 of weakly coupled MBL clouds were detected (20, compared to 286 with strong coupling). The relative amounts of  
493 the regimes did not vary substantially between the winter and summer seasons. Instead, particular focus was placed  
494 on comparing regimes with strong coupling to those with weak coupling. Support for the coupling criteria was sought  
495 through five different aerosol/cloud/dynamic parameters ( $\Delta\text{scat}$ ,  $\Delta\text{IntV}$ ,  $\Delta\text{N}_{>3\mu\text{m}}$ ,  $N_d$ , and  $\sigma_w$ ) and 11 cloud water  
496 variables (nss- $\text{Ca}^{2+}$ ,  $\text{Cl}^-$ ,  $\text{K}^+$ ,  $\text{Mg}^{2+}$ ,  $\text{Na}^+$ ,  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , oxalate, nss- $\text{SO}_4^{2-}$ , pH,  $\text{Cl}^-:\text{Na}^+$ ). Turbulence was generally greater  
497 during regimes of strong coupling compared to weak coupling, which corresponded to lower values of  $\Delta\text{scat}$ ,  $\Delta\text{IntV}$ ,  
498 and  $\Delta\text{N}_{>3\mu\text{m}}$  due to better presumed mixing in the MBL.  $N_d$  was higher for strong coupling regimes, as higher  
499 turbulence likely encouraged more cloud drop activation, which was also observed in Dong et al. (2015) for the  
500 northeast Atlantic. Sea salt tracers (e.g.,  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$ ) were higher in concentration in strongly coupled compared  
501 to weakly coupled MBL clouds and were found to have statistically significant differences across the two coupling  
502 regimes. Additionally, nss- $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ , which are linked to continental sources, were found in higher mass  
503 fractions during weak coupling regimes; this was also observed in Wang et al. (2016) for northeast Pacific  
504 stratocumulus clouds, corresponding to lower values of both cloud water pH and the  $\text{Cl}^-:\text{Na}^+$  ratio.

505  
506 The inclusion of two moderate coupling categories is shown to be insightful as differences between the two potentially  
507 can be explained by the relative influence of subsidence/entrainment versus surface effects. More specifically, the  
508 moderately coupled category with high  $\Delta\theta_t$  is thought to be influenced more by processes above the MBL such as  
509 entrainment of dry air with high potential temperature whereas the other moderate category with high  $\Delta q_t$  likely has  
510 more influence from surface processes. These speculations are supported by how the moderate high  $\Delta q_t$  regime  
511 exhibited even more turbulent mixing than the strong coupling regime, yielding the highest sea salt concentrations in  
512 cloud water and the lowest values of  $\Delta\text{IntV}$  and  $\Delta\text{N}_{>3\mu\text{m}}$ . Furthermore, the moderate high  $\Delta\theta_t$  category exhibited the  
513 highest mean  $N_d$  value ( $419\text{ cm}^{-3}$ ) of any category ( $275\text{-}344\text{ cm}^{-3}$  for the other three categories), which can be explained  
514 partly by how most of these cases (44 of 56) were in winter flights when  $N_d$  is typically higher than summer, especially  
515 during cold air outbreaks (e.g., Dadashazar et al., 2021).

516  
517 This study is the first to our knowledge to investigate degrees of coupling in MBL clouds through thermodynamic  
518 statistics in the northwest Atlantic with a focus on aerosol and cloud microphysical characteristics. Further research  
519 of this nature is needed in other regions to assess thermodynamic criteria for MBL cloud to surface coupling, including  
520 how aerosol and cloud characteristics change with degrees of MBL coupling in different regions. The results here  
521 indicate that a failure to account for different coupling regimes can mix together varying aerosol and cloud  
522 microphysical characteristics in data analysis studies, which increases risk of separating out important details such as  
523 how cloud composition is very different across the spectrum of cloud coupling strength. A limitation of this study to  
524 build on is obtaining more statistics for the more weakly coupled category, which in part may be influenced by how  
525 flight plans are designed. The results of this research have important implications for studies of aerosol-cloud  
526 interactions, as not considering coupling strength will make interpretations difficult, as we have shown important  
527 differences for aerosol and cloud properties.

528

## 529 **Appendix A. Discussion of the two moderate regimes**

530 To help with the interpretation of the two moderate regimes defined in Table 1, we provide a perspective based on the  
531 following discussion. Using equation 1 but expanding it to take the difference of the liquid water potential temperature  
532 between the BCB and MinAlt flight legs yields the following:

$$533 \Delta\theta_\ell = (\theta_{BCB} - \left(\frac{L_v}{c_{pd}}\right) \times q_{\ell,BCB}) - (\theta_{MinAlt} - \left(\frac{L_v}{c_{pd}}\right) \times q_{\ell,MinAlt}) \quad (A1)$$

534 Note that both  $q_\ell$  terms are small below cloud. The  $\Delta\theta_\ell$  value can thus be large due to large-scale subsidence or  
535 entrainment when dry air from free troposphere with high  $\theta$  which is potentially mixed with air at the BCB level.  
536 While  $\theta_{MinAlt}$  can be high due to surface heating, it acts to reduce  $\Delta\theta_\ell$ . Also, the current MinAlt is slightly above the  
537 typical surface layer and hence the surface inversion. Also, note that:

$$538 \Delta q_t = (q_{v,MinAlt} + q_{l,MinAlt}) - (q_{v,BCB} + q_{l,BCB}) \quad (A2)$$

539 where both  $q_l$  terms are small below cloud and are typically much smaller than  $q_v$ . The range of  $q_v$  is largely controlled  
540 by the temperature due to the Clapeyron-Clausius equation (the higher temperature, the higher saturation vapor  
541 pressure). While high  $\Delta q_t$  may be due to low  $q_v$  at the BCB level, it is more likely due to high  $q_v$  near the surface  
542 because saturation vapor pressure exponentially increases with temperature.

543 To conclude, the  $\Delta\theta_\ell$  term is more likely influenced by features above the MBL while the  $\Delta q_t$  term is more likely  
544 influenced by near-surface effects.

545

### 546 **Data availability**

547 The ACTIVATE dataset can be downloaded at <https://doi.org/10.5067/SUBORBITAL/ACTIVATE/DATA001>  
548 (ACTIVATE Science Team, 2020).

549

### 550 **Author contributions**

551 YC, EC, JPD, GSD, MAF, SK, JBN, MAS, KLT, CV, ELW, and LDZ collected and/or prepared the data. KTZ, SD,  
552 and KM conducted data analysis. KTZ, KM, and SD conducted the formal investigation. KTZ, LWS, and AS  
553 conducted data interpretation. KTZ and AS prepared the manuscript with editing from all co-authors.

554

### 555 **Competing interests**

556 At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics.

557

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