Sensitivity of aerosol and cloud properties to coupling strength of 1

marine boundary layer clouds over the northwest Atlantic 2

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Abstract

19	Quantifying the degree of coupling between marine boundary layer clouds and the surface is critical for understanding
20	the evolution of low clouds and explaining the vertical distribution of aerosols and microphysical cloud properties.
21	Previous work has characterized the boundary layer as either coupled or decoupled but this study rather considers four
22	degrees of coupling, ranging from strongly to weakly coupled. We use aircraft data from the NASA Aerosol Cloud
23	meTeorology Interactions oVer western ATlantic Experiment (ACTIVATE) to assess aerosol and cloud characteristics
24	for the following four regimes, quantified using differences in liquid water potential temperature (θ_{i}) and total water
25	mixing ratio (q _t) between <u>flight data</u> near-surface level (~150 m) and directly below cloud bases: strong coupling ($\Delta \theta_{tr}$
26	$\leq 1.0 \text{ K}, \Delta q_t \leq 0.8 \text{ g kg}^{-1}$, moderate coupling with high $\Delta \theta_{\frac{1}{4}} (\Delta \theta_{\frac{1}{4}} > 1.0 \text{ K}, \Delta q_t \leq 0.8 \text{ g kg}^{-1})$, moderate coupling with
27	high Δq_t ($\Delta \theta_{\underline{4}} \leq 1.0 \text{ K}, \Delta q_t > 0.8 \text{ g kg}^{-1}$), weak coupling ($\Delta \theta_{\underline{4}} > 1.0 \text{ K}, \Delta q_t > 0.8 \text{ g kg}^{-1}$). Results show that (i) turbulence
28	is greater in the strong coupling regime compared to the weak coupling regime, with the former corresponding to more
29	vertical homogeneity in 550 nm aerosol scattering, integrated aerosol volume concentration, and giant aerosol number
30	concentration ($D_p > 3 \mu m$) coincident with increased MBL mixing; (ii) cloud drop number concentration is greater
31	during periods of strong coupling due to the greater upward vertical velocity and subsequent activation of particles;
32	(iii) sea-salt tracer species (Na ⁺ , Cl ⁺ , Mg ²⁺ , K ⁺) are present in greater concentrations in the strong coupling regime
33	compared to weak coupling, while tracers of continental pollution (Ca2+, nss-SO4-, NO3-, oxalate, and NH4+), are
34	higher in mass fraction for the weak coupling regime. Additionally, pH and Cl:Na+ (a marker for chloride depletion)
35	are consistently lower in the weak coupling regime. There were <u>also</u> differences between the two moderate regimes:
36	the moderate, high Δq_t regime had greater turbulent mixing and sea salt concentrations in cloud water, along with
37	smaller differences in integrated volume and giant aerosol number concentration across the two vertical levels
38	compared, This work shows value in defining multiple coupling regimes (rather than the traditional coupled versus
39	decoupled) and demonstrates differences in aerosol and cloud behavior in the MBL for the various regimes.
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61 1 Introduction

62 The composition of marine boundary layer (MBL) cloud properties is strongly linked to the lower troposphere's 63 vertical structure, making it critical to understand the degree of coupling between boundary layer clouds and the 64 ocean's surface. When the MBL is well-mixed, there is a thermodynamic exchange between the ocean's surface and 65 the cloud deck, and it is considered coupled. A decoupled MBL is characterized by a stable layer separating two well-66 mixed layers (the cloud deck and sub-cloud layer), preventing exchange between the ocean's surface and the cloud 67 base (Nicholls, 1984; Dong et al., 2015; Jones et al., 2011; Wang et al., 2016). Whether the MBL cloud deck is coupled or decoupled to the surface has potentially important implications for cloud and aerosol properties (Dong et al., 2015; 68 69 Wang et al., 2016; Griesche et al., 2021), radiative forcing (Goren et al., 2018), and precipitation (Bretherton et al., 70 2010; Dong et al., 2015). Changes in cloud properties and precipitation affect how much solar radiation is reflected to 71 space (Twomey, 1974; Albrecht, 1989), which in turn affects how much radiative cooling occurs (Ramanathan et al., 72 1989).

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74 Past studies have investigated coupling behavior of marine stratocumulus due to their relatively high frequency over 75 the ocean's surface and strong impact on the Earth's radiation budget (Zuidema et al., 2009; Jones et al., 2011; Dong 76 et al., 2015; Wang et al., 2016; Goren et al., 2018). In marine regions, well-mixed moist thermodynamic statistics 77 indicate coupling of the sub-cloud layer to the surface (Bretherton et al., 1997; Jones et al., 2011; Dong et al., 2015; Wang et al., 2016; Su et al., 2022). Studies beyond those previously mentioned over the southeast and northeast Pacific 78 79 have applied these methods to other regions, such as the Arctic (Griesche et al., 2021) and over land in the Southern 80 Great Plains of the United States (Su et al., 2022). Table 1 provides a synthesis of previous studies that utilized 81 thermodynamic statistics for determining coupling, including criteria used, the region in which the study was 82 conducted, and the cloud types investigated.

83

84 Table 1: Summary of coupling criteria and regional conditions from previous work in comparison to this study.

Study region; reference	Criteria	Secondary criteria	Layers used	Cloud type
Southeast Pacific; Jones et al. (2011) Azores (Graciosa Island; Northeast Atlantic); Dong et al. (2015)	Coupled: $\Delta q_t < 0.5$ g kg ⁻¹ & $\Delta \theta_\ell < 0.5$ K All other profiles are considered decoupled	Coupled: distance between lifting condensation level (LCL) and cloud base is < 150 m Decoupled: distance > 150 m	Bottom 25% of surface layer to cloud base height	Marine strato- cumulus
Northeast Pacific; Wang et al. (2016)	Decoupled: $\Delta q_t > 0.6 \text{ g kg}^{-1} \& \Delta \theta_t > 1.0 \text{ K}$ All other clouds are considered coupled	N/A		

Southern Great Plains (U.S.); Su et al. (2022)	Coupled: $\Delta \theta_{\ell}$ Decoupled: $\Delta \theta$	< 1.0 K ∂ _ℓ > 1.0 K		Different Thermodynamic Stability (DTDS) method	Cloud base height minus planetary boundary layer height	Low clouds over land, specifi- cally cumulus
	Degree	Δq_t	$\Delta \theta_\ell$		Below cloud	Marine
	Strong	$\leq 0.8~g~kg^{1}$	\leq 1.0 K		base leg	clouds
Northwest Atlantic; This Study	Moderate, high $\Delta \theta_{\ell}$	$\leq 0.8~g~kg^{1}$	> 1.0 K	N/A	(~100 m below base) minus	spanning continuum from
This Study	Moderate, high Δq_t	$> 0.8 \text{ g kg}^{-1}$	\leq 1.0 K		MinAlt leg (avg. alt	stratiform to
	Weak	$> 0.8 \text{ g kg}^{-1}$	> 1.0 K		~150 m)	cumulus

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86 As over 45% of the ocean's surface is covered by MBL clouds (Warren et al., 1988), examining relations between 87 aerosol and cloud characteristics with coupling strength is important. Investigation of coupling behavior has not yet 88 been carried out for the northwest Atlantic region, which is a complex thermodynamic region for such work as it is 89 not a classical sub-tropical zone with a stratocumulus cloud deck like most regions investigated in Table 1 (Painemal 90 et al., 2021, 2023). The synoptic conditions over the northwest Atlantic are such that the wintertime has higher cloud 91 fraction with more influence from stratiform boundary layer clouds, whereas the summertime has more trans-Atlantic 92 flow in addition to lower cloud fraction with higher sea surface temperatures promoting shallow cumulus clouds 93 (Painemal et al., 2021). During winter, there is more offshore advection of continental air (Corral et al., 2021; 94 Dadashazar et al., 2021), enhanced precipitation frequency (Painemal et al., 2021), and cold air outbreaks (CAOs), in 95 which cold air is advected across the Gulf Stream front resulting in pronounced differences between air and sea surface 96 temperatures (Brümmer, 1997; Papritz & Spengler, 2015; Seethala et al., 2021). CAOs are typically associated with 97 strong turbulent mixing, leading to the deepening of the boundary layer (Dadashazar et al., 2021; Painemal et al., 98 2021; Papritz & Spengler, 2015; Tornow et al., 2022). During CAO events, surface wind convergence is driven by 99 horizontal pressure and boundary layer height gradients, contributing to a statically unstable lower troposphere 100 (Painemal et al., 2021; Seethala et al., 2021).

102 Motivated by meteorological differences between the northwest Atlantic and other regions in Table 1, the question 103 arises as to whether it is restrictive to consider just the categories of coupled and decoupled clouds; instead, it may be instructive to consider more categories and that they all may have some degree of coupling ranging from weak to 104 105 strong. This strategy is built off past reports suggesting that the use of the term "decoupled" may not be appropriate 106 and that an MBL can be coupled even though it is poorly mixed (Stevens et al., 1998), The latter case can be viewed 107 as weakly coupled due to episodic updraft-driven convection that is less efficient at mixing the MBL than is the case 108 in well-mixed MBLs in which downdrafts associated with cloud-top radiative cooling couple the cloud and sub-cloud 109 layers (Stevens et al., 1998). Thus, the perspective we embrace in this work is that low-level clouds ($\leq 2 \text{ km}$) can be 110 viewed as always being coupled to sub-cloud layers but to varying degrees. 111

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113 The goal of this study is to leverage an opportune aircraft dataset covering multiple seasons between 2020 to 2022 114 from NASA's Aerosol Cloud meTeorology Interactions oVer the western ATlantic Experiment (ACTIVATE; 115 Sorooshian et al., 2019) to quantify the frequency of occurrence for four different coupling regimes and how aerosol 116 and cloud characteristics vary between them. We emphasize that this study is different in nature to those in Table 1 in 117 that we do not examine as rigorously the vertical extent of the full cloudy boundary layer but instead focus more on 118 aerosol and cloud characteristics for different coupling regimes based on definitions limited to the vertical region 119 below cloud bases. The analyses presented here are important for reasons such as knowing how well the aerosol near 120 the surface level represent the aerosol just below cloud bases, with implications for the aerosol that largely govern the 121 drop concentration budget. In Sect. 2 we summarize the measurements and methods, including criteria applied with 122 traditionally used thermodynamic variables to differentiate between four coupling categories. In Sect. 3 we report 123 results including the frequency of occurrence of the four coupling regimes, and differences in aerosol properties (light 124 scattering, number/volume concentration) and cloud microphysical properties (composition and droplet number 125 concentration) between these categories to see how they compare to past studies for other regions. Although there are 126 scarce previous reports of such findings (e.g., Dong et al., 2015; Wang et al., 2016), the central hypotheses are based 127 on confirming what has been shown or implied in other regions, in that more strongly coupled cases will have, (i) more 128 vertical homogeneity in aerosol properties between the sub-cloud layer and closer to the ocean's surface, (ii) cloud 129 composition reflecting significantly more sea salt influence (Wang et al., 2016), and (iii) higher cloud drop number 130 concentration, compared to weakly coupled cases (Dong et al., 2015). Differences identified in aerosol and cloud 131 characteristics between these four coupling regimes are important to inform both future flight designs and data analysis 132 research to account for thermodynamic profiles when examining aspects of aerosol and cloud microphysics when 133 using either satellite, reanalysis, airborne, or ground-based datasets.

135 2 Data and Methodology

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136 2.1 Overview of ACTIVATE

137 ACTIVATE was largely based out of NASA Langley Research Center in Hampton, Virginia and carried out research 138 flights (RFs) with two spatially coordinated aircraft as part of six deployments in winter and summer months between 139 2020 and 2022, with extensive measurement and deployment details provided elsewhere (Sorooshian et al., 2023). 140 Secondary bases were used for a subset of flights in 2022, including in Bermuda for June 2022. Winter and summer 141 are broadly defined as including the months of November-April and May-September, respectively. The HU-25 Falcon 142 flew level legs in, below, and above MBL clouds to collect in-situ atmospheric state, aerosol, trace gas, and cloud 143 measurements, while the high-flying King Air at ~9 km launched dropsondes and carried out remote sensing. The 144 focus of this work is data collected by the Falcon. Out of 179 total flights, 135 were used that offered data conducive 145 to this study's analysis including having the Falcon conduct "statistical survey" flights with "cloud ensembles" (Fig. 146 1), along with several physical conditions satisfied as discussed in Sect. 2.5. During statistical survey flights, which accounted for 93% of ACTIVATE's flights, the Falcon repeatedly flew a series of legs with Fig. 1 visually depicting 147 148 one such cloud ensemble whereby the plane flew the following legs in this nominal order: two pairs of legs below 149 cloud base (BCB) and above cloud base (ACB) followed by a descent to the minimum altitude (MinAlt) possible

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(~150 m above sea level) and then a subsequent slant ascent for a leg above cloud top (ACT) followed by a final leg
below cloud top (BCT). Separate ensembles flown in clear air conditions are outside the scope of this work. Each leg
duration was ~3.3 minutes (equivalent to ~ 24 km) with the Falcon flying at ~120 m s⁻¹ (Dadashazar et al., 2022a).
The vertical slant ascents/descents between level legs especially down to MinAlt and up to ACT were helpful in

163 gathering vertically-resolved information during ensembles.





166 Figure 1: Cloudy ensemble flight strategy of the HU-25 Falcon during the ACTIVATE flights, where the grey box represents a typical cloud layer with upper and lower boundaries representing cloud top and base, respectively. The order of legs was 167 168 the nominal plan that was flown, but sometimes the legs were flown in a different order based on flight restrictions and 169 cloud conditions. The red star indicates where the BCB level would be marked for this particular flight pattern, which is 170 during the slant descent from ACB to MinAlt and uses the mean altitude of the preceding BCB leg immediately before the 171 ACB leg; that level would then be compared to the adjacent MinAlt level that begins at the end of the slant descent. 172 Otherwise, MinAlt-BCB pairs that are used include when a MinAlt level leg was immediately preceded or succeeded by a 173 BCB level leg. The green line illustrates the data that would be used to investigate the vertical structure of the layer, starting 174 with the last timestamp from the pseudo-BCB leg and ending with the first timestamp in the MinAlt leg.

176 2.2 Implementation of Flight Legs

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Across ACTIVATE's six deployments, MinAlt and BCB legs were identified for RFs when the Falcon flew cloud ensembles (Fig. 1). There were several instances when the MinAlt and BCB legs were not immediately adjacent and separated by another leg, such as at ACB (i.e., the flight order was BCB-ACB-MinAlt; Fig. 1). In those cases, to get MinAlt/BCB pairs that were closer in time, our method involved identifying the BCB altitude during the slant altitudinal change (either descent or ascent) between MinAlt-ACB based on the altitude of the BCB leg immediately before the ACB leg (see red star in Fig. 1). A secondary check was made to ensure that identified BCB leg was below

184 cloud base using 1-Hz LWC and Nd values from the FCDP (criteria in Sect. 2.5). The vertical structure of the layer 185 between MinAlt and BCB was examined using data between the last time stamp in the MinAlt/BCB leg (i.e., 186 whichever was first in the MinAlt-BCB pair) and first-time stamp in the subsequent BCB/MinAlt leg (i.e., whichever 187 was second in the MinAlt-BCB pair). This is indicated by a green line on Fig. 1, which begins with the last time stamp 188 from the pseudo-BCB leg (indicated by the red star) and ends with the first time stamp in the MinAlt leg. For 189 simplicity, we refer to the case of using BCB data during slant profiles as "legs" too, even though they were not level 190 legs. This study compares various measurement data (Sect. 2.5) between MinAlt and BCB legs using the last/first 5 191 seconds of data during adjacent MinAlt-BCB legs, and in the case of slants, we use the 2 points before and after the 192 actual BCB point (the red star in Fig. 1) for a total of 5 points (average altitude range ~ 20 m) that represent the BCB 193 level with the condition that all data were out of cloud.

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195 2.3 Instrumentation

196 A summary of instrumentation relevant to this study is shown in Table 2 and briefly described here. A nephelometer 197 (TSI-3563) measured the dry scattering coefficient at 550 nm (particle diameter (D_p) \leq 5.0 μ m for 2020 and D_p \leq 1.0 198 µm for 2021 and 2022); a Laser Aerosol Spectrometer (LAS; TSI-3340) measured aerosol size distributions (0.1 µm 199 < Dp < 5.0 µm) and here we use the integrated aerosol volume concentration data; a Fast Cloud Droplet Probe (FCDP; 200 SPEC Inc.) measured liquid water content (LWC) and particle and cloud drop size distributions between 3 and 50 µm; 201 a two-dimensional stereo (2D-S; SPEC Inc.; 25 μ m < D_p < 1500 μ m) probe provided LWC, liquid droplet effective 202 diameter, and an ice flag, where the ice flag is equal to 1 if ice was detected (otherwise the variable is equal to 0); a 203 diode laser hygrometer (DLH) measured the water vapor mixing ratio (q_v) ; a turbulent air motion measurement system 204 (TAMMS) measured three-dimensional winds (Thornhill et al., 2003); and an axial cyclone cloud water collector 205 (AC3) (Crosbie et al., 2018) collected cloud water samples by inertially separating droplets from the air stream. 206 Collected cloud water samples were then analyzed post-flight with ion chromatography (IC) with operating conditions 207 summarized elsewhere (Corral et al., 2022; Gonzalez et al., 2022). Section 2.6 describes the cloud water data in more 208 detail.

209

210 Table 2: Summary of field campaign instrumentation used and corresponding measurements.

Instruments	Measurements	Diameter (µm)	Reference
TSI-3563 Nephelometer	Dry scattering coefficient at 550 nm	< 5.0 for 2020; < 1.0 for 2021 & 2022	Ziemba et al. (2013)
TSI-3340 Laser Aerosol Spectrometer (LAS)	Integrated aerosol volume concentration	0.1 - 5.0	Froyd et al. (2019)
SPEC Inc. Fast Cloud Drolet Probe (FCDP)	Liquid water content (LWC), particle number concentration (N _a), cloud drop number concentration (N _d)	3 - 50	Kirschler et al. (2022)

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SPEC Inc. Two-Dimensional Stereo Probe, Horizontal Arm (2DS-H)	LWC, effective diameter for liquid, ice flag	25 - 1500	Kirschler et al. (2023)
Diode Laser Hygrometer (DLH)	Water vapor mixing ratio (q_v)	N/A	Diskin et al. (2002)
Axial Cloud Water Collector (AC3)	Cloud water composition	see Sect. 2.6	Crosbie et al. (2018)
Turbulent Air Motion Measurement System (TAMMS)	Three dimensional winds	N/A	Thornhill et al. (2003)

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216 2.4 Marine Boundary Layer Coupling

217 2.4.1 Thermodynamic Variables

218	To estimate the degree of coupling within the marine boundary layer, we consider the change in vertical profile of two
219	parameters: total water mixing ratio (q _i) and liquid water potential temperature (θ_ℓ). Relevant to this study are these
220	equations,
221	
222	$q_{\ell} = \frac{LWC}{\rho_d} \tag{1}$
223	
224	$q_t = q_v + q_\ell \tag{2}$
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226	where the total water mixing ratio is the sum of water vapor mixing ratio (q_{ν}) and liquid water mixing ratio (q_{ℓ}) . The

water vapor mixing ratio (q_v) provided by the DLH is converted from ppmv to g kg⁻¹. The liquid water mixing ratio (q_t) is defined as the ratio of the mass of liquid water to the mass of dry air within a unit volume of air, which is equivalent to the ratio of LWC (provided by the FCDP) and the density of dry air (ρ_d).

Also relevant are these equations,

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$$\theta = (T + 273.15) \times \left(\frac{p_0}{p}\right)^{\kappa}$$

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234
$$\theta_{\ell} = \theta - \left(\frac{L_v}{c_{pd}}\right) \times q_{\ell}$$
 (4)
235

236where in equation 3, T and p are the given temperature in °C and pressure in hPa from Falcon measurements,237respectively, p_0 is the reference pressure (= 1000 hPa), and \underline{c} is the ratio of gas constant of dry air (Rd) to the specific

238 heat of dry air at constant pressure (c_{pd}). In equation 4, L_v is latent heat of vaporization and c_{pd} is the specific heat of

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(3)

240	dry air at constant pressure. When LWC is equal to 0 , θ_t is equal to θ . θ_t is useful for the purposes of this study as it
241	is not significantly influenced by evaporating precipitation. Information regarding LWC thresholds for MinAlt-BCB
242	pairs is included in Sect. 2.5.
243	
244	For each MinAlt and BCB leg, the average θ_t and q_t across the leg was calculated and the difference between the two
245	layers was taken as follows:
246	
247	$\Delta q_t = q_{t,MinAlt} - q_{t,BCB^*} \tag{5}$
248	
249	$\Delta \theta_{\ell} = \theta_{\ell,BCB^*} - \theta_{\ell,MinAlt} \tag{6}$
250	
251	where in both equations 5-6, the order of legs on the right-hand side is meant to arrive at a positive value for the
252	difference based on expectation.

254 2.4.2 Coupling Criteria

253

255 The criteria we use for the different coupling regimes were informed by (but are not identical to) those used in past 256 work (Jones et al., 2011; Dong et al., 2015; Wang et al., 2016; Su et al., 2022). Our focus was on comparing the vertical 257 range between MinAlt and BCB legs due to the focus on examining aerosol characteristics in particular within that 258 range and also cloud microphysical conditions above cloud base. To qualify as strongly coupled, the difference 259 between MinAlt and BCB had to satisfy these conditions: $\Delta q_t \leq 0.8$ g kg⁻¹ and $\Delta \theta_t \leq 1.0$ K (example in Fig. 2a). Since 260 Δq_t is more influenced by evaporation and condensation, whereas $\Delta \theta_t$ is more affected by air mass mixing (such as 261 entrainment) and diabatic heating and cooling, it is proposed to have two degrees of moderate coupling - when one 262 of Δq_t and $\Delta \theta_t$ fit the strong coupling criteria and the other did not (Fig. 2b-c). Finally, profiles are considered "weakly 263 coupled" when both Δq_t and $\Delta \theta_t$ do not satisfy the strong coupling criteria values (Fig. 2d). Vertical profiles of q_t and 264 θ_t were examined for all MinAlt/BCB pairs to ensure robustness of the categorization method. We note that while Fig. 265 2a/d show BCB legs being relatively farther below the actual cloud base height (Fig. 2a = 223 m; Fig. 2d = 370 m) 266 than the other two examples (Fig. 2b = 101 m; Fig. 2c = 30 m), the former two were anomalous cases and usually the 267 BCB legs were closer to cloud base. As noted by Dadashazar et al. (2022b), the Falcon aimed to conduct BCB and 268 ACB legs about ~100 m below and above the estimated cloud base height, respectively. Median/mean distances from 269 BCB to cloud bases were as follows for all samples in the four coupling categories: strong = 73/87 m; moderate, high 270 $\Delta \theta_t = 101/119$ m; moderate, high $\Delta q_t = 69/71$ m; weak = 104/142 m. The use of the two moderate categories is 271 exploratory in nature and meant to identify if differences are found between both themselves and the more extreme 272 categories of strong and weak. Appendix A further explores differences between the two moderate regimes and 273 suggests that the moderately coupled category with high $\Delta \theta_t$ is influenced more by processes above the MBL such as 274 entrainment of dry air with high potential temperature whereas the other moderate category with high Δq_t is influenced 275 by surface processes.

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276 Figure 2: Representative vertical profiles of θ_t and q_t for (a) strong coupling from RF 44 on 3 February 2021, (b) moderate
278 coupling with high Δθ_t from RF 150 on 5 May 2022, (c) moderate coupling with high Δq_t from RF 66 on <u>18 May 2021</u>, and
279 (d) weak coupling from RF 158 on 20 May 2022. The dashed blue lines demarcate the cloud top and base levels, the magenta
280 line indicates the BCB leg, and the green line indicates the MinAlt leg. There was a total of 411 MinAlt-BCB pairs analyzed
281 in this study.

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283 2.5 Aerosol, and Atmospheric Properties

284 There were several aerosol and atmospheric properties investigated in this study: aerosol scattering (scat) at 550 nm 285 (< 5 μ m in 2020 and < 1 μ m in 2021-2022), integrated volume <u>concentration</u> (IntV: 0.1 < D_p < 5 μ m), particle number 286 concentration (N_a; $3 < D_p < 50 \mu m$), cloud drop number concentration (N_d; $3 < D_p < 50 \mu m$), and turbulence (σ_w). Note 287 that the Na measurement from the FCDP for diameter > 3 µm is important in this study to better isolate sea salt particles 288 (Gonzalez et al., 2022). The integrated volume concentration also is expected to be influenced by larger sea salt 289 particles in the measurement size range. These properties were averaged across each MinAlt and BCB pair and the 290 difference between the MinAlt and BCB values was computed. To account for interference from cloud droplet shatter with the aerosol statistics, we only looked at MinAlt-BCB pairs when the sampling area was devoid of cloud, rain, 291 292 and ice. The following three criteria had to be met: (1) ice flag from 2DS-H = 0, (2) effective liquid diameter from 2DS-H \leq 60 $\mu m,$ and (3) LWC from FCDP \leq 0.005 g m $^{-3},$ to filter out conditions with ice, liquid precipitation, and 293 294 clouds, respectively. When considering in-cloud conditions for N_d, additional criteria were needed based on FCDP 295 data: LWC > 0.05 g m⁻³ and N_d > 10 cm⁻³ (Kirschler et al., 2023). N_d data was collected from ACB legs closest in 296 proximity to a MinAlt-BCB pair (< 30 min; 60% within 10 min) due to one of the study objectives being to examine 297 how Nd varies between the four defined coupling regimes. Turbulence was calculated as the standard deviation of the

vertical wind velocity for a level leg as done in other work (e.g., MacDonald et al., 2020).

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304 2.6 Cloud Water Species

305 The nine cloud water species of interest in this study include non-sea salt calcium (nss- Ca^{2+}), chloride (Cl⁻), potassium 306 (K^+) , magnesium $(Mg_{2^+}^{2^+})$, sodium (Na^+) , ammonium (NH_4^+) , nitrate (NO_3^-) , oxalate, and non-sea salt sulfate (nss-SO42-). Calculations of nss-Ca2+ and nss-SO42- utilized mass ratios and concentrations of pure Ca2+, Na+, and SO42-, 307 308 following the methodology outlined in Sect. 2.7 of AzadiAghdam et al. (2019). The IC is used to obtain concentrations 309 of cloud water species in aqueous units (mg L-1), which were then converted to air equivalent concentrations using the 310 methods described in Gonzalez et al. (2022). Briefly, the cloud water sample was considered in-cloud under the criteria 311 LWC_{FCDP} > 0.05 g m⁻³. When this condition was met, the concentration was multiplied by the average LWC_{FCDP} 312 measured across the sampling time and divided by the density of water and ultimately converted to µg m-3 for the air 313 equivalent concentration. These units allow one to compare concentrations more fairly between samples to remove 314 biases due to varying amounts of water in different clouds. As cloud water samples were collected periodically during 315 flights, samples were only examined when a MinAlt or BCB leg being investigated was within 30 minutes or 316 overlapped with the collection period. Out of a total of 535 cloud water samples over the 6 deployments, 67 met the 317 criteria to be used for this study's MinAlt/BCB pairs. Statistics including mean, standard deviation (std. dev.), minimum, maximum, and quartile ranges were calculated across the 67 data points for all nine cloud water species. 318 319

Additionally, cumulative average cloud water mass concentrations and mass fractions were calculated for the 67 samples. The total mass concentration for each coupling regime was found by the summation of only the nine chemical species investigated in this manuscript. Welch's t-test calculations were conducted to compare the mean concentrations of the investigated chemical species across coupling regimes. These tests were done in lieu of the traditional t-test due to the assumption that the data used have unequal variances and thus are slightly more robust.

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326 3 Results and Discussion

327 3.1 Thermodynamic Criteria

328 This section discusses the application of the developed thermodynamic criteria across all MinAlt-BCB pairs. In total,

411 MinAlt-BCB pairs were investigated (pair locations shown in Fig. 3), with the breakdown of the distribution across the different degrees of coupling shown in Fig. 3 and 4. The majority of the pairs were classified as strongly

across the different degrees of coupling shown in Fig. 3 and 4. The majority of the pairs were classified as strongly coupled, with a breakdown of 71% (strongly coupled), 14% (moderately coupled with high $\Delta\theta_t$), 10% (moderately

332 coupled with high Δq_i), and 5% (weakly coupled). Strong turbulent mixing in the northwest Atlantic Ocean, especially

during the winter (Brunke et al., 2022) which is when most pairs were identified, is likely why the majority of pairs

334 were found to be strongly coupled, as the coupling parameters θ_t and q_t are relatively constant vertically from the

- surface to near cloud bases due to the strong mixing (Fig. 2a).
- 336

337 There are no major spatial distribution differences for MinAlt-BCB pairs across the four coupling regimes with minor 338 exceptions being that the majority of pairs identified farther offshore around Bermuda were for both the strongly

339 coupled and moderately coupled with high Δq_i categories. Also, the strong and moderate coupling with high $\Delta \theta_i$

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BCB pairs for each category are also included for each coupling regime. The winter months include January, February,

March, and April, while the summer months include May, June, August, and September,





Figure 4: Scatterplot of Δθ₁ vs. Δq₁ values for the BCB and MinAlt pairs divided into the four coupling regimes, where
 winter pairs are indicated by blue points and summer pairs are indicated by red points. Refer to Fig_g3 for the number of
 points in each coupling regime categorized by season. Figure S1 shows this information in the form of a joint frequency
 histogram.

385 Figures 3-4 show that were more MinAlt-BCB pairs during the winter versus summer (252 vs. 159) largely due to the 386 greater ease of sampling such cases with the higher wintertime cloud fraction in the region (Painemal et al., 2021; 387 Kirschler et al., 2022, 2023). But generally, the distribution of coupling categories was the same (summer/winter): 388 74/70% strongly coupled, 8/18% moderately coupled with high $\Delta\theta_{\ell}$, 11/10% moderately coupled with high Δq_{ℓ} , and 389 $\frac{1}{4}$ weakly coupled. The frequency of the moderately coupled with high Δq_t category was relatively higher in 390 summer versus winter compared to the other moderate category, which is coincident with the summer having higher temperatures (i.e., higher qv) and more flights farther south in Fig. 3 where temperatures are warmer as compared to 391 392 farther north. 393

394 For context, applying the criteria from past work in Table 1 (Jones et al., 2011; Dong et al., 2015) to this dataset (i.e., 395 Δq_{k} [g kg⁻¹] and $\Delta \theta_{k}$ [K] < 0.5 for coupled and all others decoupled) would have led to 206 and 205 coupled and 396 decoupled cases, respectively, with a seasonal breakdown as follows (summer/winter): 43/58% coupled, 35/66% 397 decoupled. However, we caution that the compared vertical levels differ between these studies. For example, Jones et 398 al. (2011) compared levels encompassing more of the full extent of the cloudy MBL (e.g., somewhat analogous to the 399 use of MinAlt and BCT in Fig. 1), whereas in this study we compare MinAlt to BCB due to our focus on aerosol 400 characteristics, which are difficult to measure in clouds. While ACTIVATE flights were designed for achieving a 401 statistically rich dataset portraying the region in an unbiased way, the frequency of occurrence of the four coupling 402 regimes in this study can possibly still be affected by how flights were designed to fly towards areas with relatively



440 higher cloud fraction without complicating scenes such as with multiple cloud layers. We also note that sensitivity

tests were conducted (Table S1) to see how the assignment of MinAlt-BCB pairs to the four coupling categories

442 changed when accounting for measurement uncertainties, which could push points across the border of their regime

443 in Fig. 4. Results are preserved with only slight changes in assignments after varying the criteria for Δq_{1} and $\Delta \theta_{2}$ by

absolute values of 0.02 in both directions; the same applies using the Jones et al. (2011) criteria, which is shown on

445 the far right of Table S1. Subsequent effects on other results presented in the following sections were minimal with

the same general conclusions reached.

447

455

448 3.2 Aerosol and Atmospheric Properties

The results of the aerosol and atmospheric parameter calculations across the four different coupling regimes are provided in Table <u>3</u> (seasonal results in Tables <u>52-S3</u>), with notched box plots summarizing information from Table 3 in Fig. S2. Of the 411 MinAlt-BCB pairs, 293 were used in aerosol calculations after eliminating pairs that may have been influenced by rain, cloud, or ice interference. As a note, when quantifying altitudinal differences in variables across different coupling regimes, the mean at each altitude is used as the comparison parameter unless otherwise stated, as outliers were already removed prior to data analysis.

The first hypothesis of this study is that strongly coupled regimes would have greater turbulence (σ_w) than weakly coupled regimes. This hypothesis is confirmed when examining σ_w results at both MinAlt (strong/weak = 0.86/0.55

458 m s⁻¹) and BCB levels (strong/weak = 0.70/0.49 m s⁻¹). The two categories of moderate coupling had greater turbulence

459 at both altitudes compared to weak coupling, and sometimes had greater turbulence than pairs categorized as strongly

460 coupled. Further, while BCB σ_w and BCB – MinAlt σ_w had no significant differences across medians, there were some

461 significant differences across coupling regimes for MinAlt σ_w (Fig. S2). Data in the moderate, high $\Delta \theta_{\underline{\ell}}$ coupling

462 regime were significantly different from the other regimes, and data categorized as moderate coupling with high Δq_t

463 were also statistically distinct from the weak coupling regime. This suggests considering multiple coupling regimes

for the northwest Atlantic is important to tease out such nuances as differences in the thermodynamic profiles canpotentially coincide with different aerosol and cloud characteristics as discussed subsequently.

466 467

Table 3: Statistics for various atmospheric properties investigated across the MinAlt-BCB pairs (Δ calculation refers to the

468 MinAlt value minus the BCB value), except for MinAlt σ_w and BCB σ_w , which are the average σ_w for each respective leg

and for N_d, which is calculated in ACB legs. Each property is broken down into the different degrees of coupling (n = number
 of points used in each coupling category). Variable acronyms defined in Sect. 2.5. <u>Refer to Fig. S2 for corresponding notched</u>

471 <u>box plots.</u>

	Degree of Coupling	Mean	Std. Dev.	Min	25%	50%	75%	Max	n
	Strong	2.2	2.1	0.00	0.78	1.7	2.8	13.9	274
A	Moderate, high $\Delta \theta_{\ell}$	3.5	3.5	0.07	0.97	2.4	4.6	14.6	52
Ascal	Moderate, high Δq_t	2.4	2.1	0.01	0.70	1.8	3.6	9.2	39
	Weak	3.5	3.3	0.01	0.88	2.2	6.6	10.8	20
ΔIntV	Strong	2.5	2.6	0.02	0.67	1.7	3.5	13.6	288

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	Moderate, high $\Delta \theta_{\ell}$	2.1	2.2	0.00	0.46	1.5	2.9	9.3	54
	Moderate, high Δq_t	1.9	1.9	0.01	0.46	1.2	2.8	8.3	41
	Weak	2.8	2.3	0.18	1.0	2.4	4.2	7.6	20
	Strong	0.32	0.55	0.00	0.05	0.13	0.35	4.9	288
ANT	Moderate, high $\Delta \theta_{\ell}$	0.33	0.64	0.00	0.03	0.13	0.31	3.6	54
ΔINa>3µm	Moderate, high Δq_t	0.15	0.14	0.00	0.06	0.11	0.21	0.61	41
	Weak	0.53	1.5	0.01	0.02	0.08	0.27	5.9	20
	Strong	344	217	19	193	310	473	954	238
N	Moderate, high $\Delta \theta_{\ell}$	419	242	45	228	374	610	962	48
Nd	Moderate, high Δq_t	329	154	25	235	327	430	671	31
	Weak	275	181	50	107	245	411	606	18
	Strong	0.86	0.49	0.00	0.47	0.79	1.2	2.4	293
MG::: A 16 -	Moderate, high $\Delta \theta_{\ell}$	1.0	0.57	0.00	0.52	1.1	1.3	2.2	56
MINAIL Ow	Moderate, high Δq_t	0.81	0.44	0.00	0.51	0.73	0.99	1.9	42
	Weak	0.55	0.38	0.00	0.19	0.51	0.90	1.3	20
	Strong	0.70	0.62	0.00	0.26	0.60	1.0	4.0	293
DCD -	Moderate, high $\Delta \theta_{\ell}$	0.64	0.62	0.00	0.00	0.53	1.1	2.2	56
BCB Ow	Moderate, high Δq_t	0.81	0.76	0.00	0.26	0.71	1.1	3.3	42
	Weak	0.49	0.50	0.00	0.04	0.30	0.87	1.6	20
	Strong	-0.15	0.66	-2.0	-0.45	-0.16	0.10	3.6	285
BCB - MinAlt σ _w	Moderate, high $\Delta \theta_{\ell}$	-0.34	0.57	-2.2	-0.81	-0.23	0.10	0.59	53
	Moderate, high Δq_t	0.01	0.74	-1.6	-0.28	-0.08	0.20	2.9	42
	Weak	-0.05	0.47	-1.1	-0.35	0.01	0.25	0.90	20

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489 The second hypothesis is that aerosol scattering (Δ scat), integrated volume <u>concentration</u> ($0.1 < D_p < 5 \mu m; \Delta$ IntV), 490 and giant particle number concentration (3 < D_p < 50 µm; $\Delta N_{>3\mu m}$) would have more vertically homogenous 491 concentrations (i.e., smaller MinAlt-BCB differences) in strongly coupled regimes compared to weakly coupled 492 regimes due to greater mixing for the former as supported by the higher σ_w results already shown. This hypothesis is 493 supported (Table 3 and Fig. S2) since strong coupling cases exhibited lower mean differences (MinAlt-BCB) than 494 weak coupling (Δscat: 2.2/3.5 Mm⁻¹, ΔIntV: 2.5/2.8 μm³ cm⁻³, and ΔN>_{3µm}: 0.3/0.5 cm⁻³, for strong/weak regimes). 495 The third hypothesis was that cloud drop number concentration ($3 \le D_p \le 50 \mu m$; Nd) would be greater in strong 496 coupling conditions, as stronger updrafts and turbulence would help to activate more particles into cloud droplets (this 497 was also found in Dong et al., 2015). This is confirmed in Table 3; mean Nd: 344/275 cm⁻³ for strong/weak regimes for 498 ACB legs coinciding with each MinAlt-BCB pair. This result is consistent with past studies for the northwest Atlantic 499 linking stronger turbulence to greater droplet activation efficiency (Kirschler et al., 2022; Dadashazar et al., 2021). 500 The results based on medians agree with those of mean values in Table 3, although medians across regimes for each 501 atmospheric property were not statistically different from one another (Fig. S2), 502 503 When comparing the moderate coupling regimes with the strong and weak regimes, neither Δ scat, Δ IntV, nor Δ N_{>3µm} 504 showed a consistent trend in terms of being higher or lower across all three variables. However, one consistent feature 505 among the moderate regimes is that the moderate high Δq_t category showed smaller $\Delta values_t$ than moderate high $\Delta \theta_t$

for the three aerosol variables. Sometimes, the Jowest Δ values did not occur during the strong coupling cases, but rather during moderate coupling with high Δq_t cases (i.e., $\Delta IntV = 1.9 \ \mu m^3 \ cm^{-3}$, $\Delta N_{>3\mu m} = 0.2 \ cm^{-3}$). These low

508 differences presumably should coincide with the highest values of σ_w . This is somewhat supported by how BCB σ_w

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515 was greatest for the moderate coupling with high Δq_l regime (0.81 ± 0.76 m s⁻¹), although MinAlt σ_w was greatest for the moderate coupling with high $\Delta \theta_t$ regime $(1.00 \pm 0.57 \text{ m s}^{-1})$ with the value for the moderate coupling with high 516 517 Δq_t regime being 0.81 ± 0.44 m s⁻¹. Also, Appendix A provides discussion in support of why the high Δq_t category 518 may have small aerosol differences between MinAlt and BCB levels, whereby surface effects may be at play to help 519 promote mixing in the MBL. Interestingly, the highest N_d values were for the moderate high $\Delta \theta_\ell$ category with a mean 520 of 419 cm⁻³, which can partly be explained by how most of these cases occurred during the winter flights when N_d is 521 higher than in the summer (see also Tables S2-S3) due to strong updraft velocities that efficiently activate particles 522 into droplets (e.g., Kirschler et al., 2022). These conditions in winter were common during cold air outbreaks 523 (Dadashazar et al., 2021).

524

525 3.3 Cloud Water Species

526 67 cloud water samples were used in this study (Table 4), with 60% of the samples falling into the strong coupling 527 regime, followed by moderate coupling with high $\Delta \theta_{\ell}$ (25%), weak coupling (9%), and lastly moderate coupling with 528 high Δq_{ℓ} (6%). Locations of samples are shown in Fig. 3. Within the strong coupling and moderate coupling with high 529 $\Delta \theta_{\ell}$ categories, there were several samples north of 37.5°N (marked by orange line in Fig. 3), whereas the moderate 530 coupling with high Δq_{ℓ} and weak coupling samples were all south of that latitude. The former two categories include 531 substantially more data during the winter when air masses typically come from the continent featuring urban emissions

532 (Dadashazar et al., 2022a).

533

Table 4: Average cloud water mass concentrations (µg m⁻³) and mass fractions (in %, rounded to nearest whole number)

for all cloud water samples. Also shown are the pH and CI:Na⁺ mass ratios. Results are categorized into different degrees

536 of coupling, and the ratio of weak-to-strong coupling is also reported.

537

	Strong	Moderate, high $\Delta \theta_{\ell}$	Moderate, high Δq_t	Weak	Weak : Strong					
Mass concentration (µg m ⁻³)										
Total	87.45	57.93	91.92	10.32	0.12					
Cl-	45.6	32.0	52.2	4.1	0.09					
Na^+	27.9	18.6	29.9	2.5	0.09					
Mg^{2+}	3.33	2.22	3.59	0.35	0.10					
\mathbf{K}^+	0.56	0.37	0.59	0.05	0.09					
nss-Ca ²⁺	0.53	0.18	0.17	0.03	0.06					
nss-SO42-	2.6	1.5	1.7	1.2	0.47					
NO ₃ -	6.0	2.7	3.3	1.5	0.26					
Oxalate	0.10	0.01	0.03	0.01	0.14					
$\mathrm{NH_{4}^{+}}$	0.89	0.37	0.33	0.56	0.63					
Mass fraction (%)										

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C1-	52	55	57	40	1	1	
C1	22	22	22	24	1		£
INa	<u>↓</u>	34	33	24	<u></u>		Ć
Mg^{2+}	<u>4</u>	4	<u>4</u>	3,	1		, //
\mathbf{K}^+	1	1	1	<u>_1</u>	1		
nss-Ca ²⁺	1	Q,	Q,	Q,	<u>1</u>		and a second
nss-SO42-	3	3	2	1 <u>2</u>	<u>4</u>		mmilli
NO ₃ -	2	5	<u>4</u>	15	2		
Oxalate	P	0,	Ð	Q,	l,		
$\mathrm{NH_{4}^{+}}$	1,	<u>1</u> ,	Ð	5	5		
		Cl ⁻ :Na ⁺ ma	ss ratio and pH				
pН	4.92	4.60	5.29	4.44	0.90		
Cl ⁻ :Na ⁺	1.65	1.66	1.69	1.53	0.92		
n	40	17	4	6		1	

549 Figure 5 provides composition statistics for the cloud water samples categorized into the four coupling regimes. As a 550 note, the notches (and shading) of the box plots help to compare air-equivalent mass concentration medians across the 551 different coupling categories and aid in the determination of statistical significance. Since this study has utilized means 552 instead of medians when comparing values across coupling regimes, mean concentrations are provided in the SI 553 (Tables <u>\$4,86</u>) and the results of Welch's t-tests for each category are given in Table S7, This study also investigated 554 cumulative average mass concentrations and mass fractions (Table 4) to paint a clearer picture of the breakdown of 555 chemical species for different degrees of coupling. Based on previous work for stratocumulus clouds over the northeast 556 Pacific (Wang et al., 2016), we hypothesize that samples from strong coupling regimes would have higher mass 557 concentrations compared to weakly coupled regimes owing to higher concentrations of sea salt constituents (e.g., Cl-558 , Na⁺, Mg⁺, and K⁺). More turbulent conditions in strongly coupled cases are thought to promote more mixing of sea 559 salt into boundary layer clouds, which can be detected with cloud water composition measurements (e.g., Dadashazar 560 et al., 2017).

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Figure 5: Notched box plots of species concentrations (μ g m⁻³), Cl⁻:Na⁺ mass ratio, and pH from cloud water samples collected during periods coinciding with MinAlt-BCB pairs. The box plots are colored according to degree of coupling: blue (strong), red (moderate, high $\Delta \theta_i$), yellow (moderate, high Δq_i), and purple (weak). The notches of the boxes assist in the determination of statistical significance between multiple medians (the shading indicates where the notches begin and end). If notches/shading do not overlap, the medians are statistically different from one another (also referred to as statistically significant). Table <u>\$7</u>, provides the results of Welch's t-tests, which compares the means of two groups and determines if they are statistically different. The tests were performed on the mean cloud water concentrations of these nine chemical species, pH, and CI⁻:Na⁺.

661

670 671 Strong coupling regime samples exhibit higher average mass concentrations compared to weak coupling, and Na⁺, Cl⁻ 672 , K⁺, and NO3⁻ were all found to be statistically different across the two regimes (p-values: 1.07E⁻⁴, 3.54E⁻⁵, 5.52E⁻⁵, 673 and 9.57E⁻³, respectively). The most abundant species by mass across the coupling regimes were usually Cl⁻, Na⁺, and 674 NO3, similar to the results of Wang et al. (2016). Further, although lower in absolute mass concentration, some species 675 were relatively more abundant (i.e., higher mass fraction) in the weak coupling regime: nss-SO4²⁻ (12% [weak] vs. 2% 676 [strong]), NO3⁻ (15% [weak] vs. 7% [strong]), NH4⁺ (5% [weak] vs. 1% [strong]). Oxalate was very low in overall 677 concentration and exhibited comparable mass fractions. These results are consistent with the idea of surface emissions 678 (mainly sea salt) driving cloud water composition in turbulent conditions (i.e., strongly coupled) in contrast to weakly 679 coupled clouds that have much lower overall mass concentrations of the reported ions but relatively more influence 680 from non-sea salt species. The two moderate coupling regimes include samples with concentration and mass fraction 681 values more similar to the strongly coupled regime, with even higher sea salt tracer species concentrations for the 682 moderate high Δq_t regime. This is consistent with the aerosol results in Sect. 3.2 that suggest this latter category can 683 have appreciable influence from the surface, although it is also important to note that this is based on 4 cloud water 684 samples.

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697	Wang et al. (2016) analyzed 35 cloud water constituents for northeast Pacific stratocumulus clouds and found that 27
698	chemical species were higher in coupled clouds, with the remaining eight (acetate, formate, Si, NO2, Al, Mn, Cr, and
699	Co) higher in decoupled clouds due to relatively more continental influence. The 27 cloud water species that were
700	higher in coupled clouds were associated with a mix of anthropogenic and natural sources (i.e., sea salt emissions for
701	Cl ⁻ and Na ⁺). Conversely, the eight species that were higher in decoupled clouds were associated with crustal matter
702	and biogenic sources. Several of the most abundant species from our study (Na ⁺ , Cl ⁻ , Mg ²⁺) are common sea salt
703	tracers, while NO_3^- sources in the region may include ocean sea spray and biogenic emissions, wildfires, agricultural
704	emissions, and ship exhaust (Corral et al., 2020; Corral et al., 2021; Corral et al., 2022; Shah et al., 2018). Note that
705	nitric acid can partition effectively into cloud droplets as well, which can drive up cloud water NO_3^{-} levels (e.g.,
706	Prabhakar et al., 2014). At least some of the species with higher mass fractions in the weakly coupled regime (e.g.,
707	$nss\text{-}SO4^{2\text{-}},NO3^{\text{-}})$ have previously been linked to combustion sources in this region, such as industrial emissions and
708	transportation (Brock et al., 2008; Song et al., 2001). Ammonium is a major base forming salts with $\ensuremath{nss-SO4^{2\text{-}}}$ and
709	NO3 ⁻ , whereas oxalate has diverse sources (e.g., continental, marine) and can be associated with sea salt and produced
710	via cloud processing (e.g., Stahl et al., 2020; Hilario et al., 2021). Nss-Ca ²⁺ is often associated with continental crustal
711	matter (Ma et al., 2021; Edwards et al., 2024), and its concentrations are generally very low suggestive of low influence
712	from _e dust during the majority of ACTIVATE flights.

696

714 In addition to examining mass concentrations, we also examined pH and the Cl⁻:Na⁺. Regarding the latter ratio, sea 715 salt chloride concentrations can be reduced in the presence of acidic species such as sulfuric and nitric acids (e.g., 716 Braun et al., 2017; Edwards et al., 2024). This phenomenon is known as Cl⁻ depletion, and it can be calculated by 717 taking the ratio of Cl⁻:Na⁺. For context, Wang et al. (2016) reported no major difference in the Cl⁻:Na⁺ ratio in cloud 718 water over the northeast Pacific but measured lower pH in coupled clouds (4.26) versus decoupled clouds (4.48). In 719 this study, samples in the weak coupling regime exhibited the lowest pH (4.4 vs. 5.0 for strong coupling) and Cl:Na⁺ 720 (1.5 vs. 1.7 for strong coupling), which potentially could be related to the higher relative amount of nss-SO42-, NO3-. 721 The two moderate coupling regimes feature samples with pH and Cl-:Na+ values more similar to strongly coupled 722 samples. 723

A limitation in this analysis is that there were only six cloud water samples that fell into the weak coupling regime.
Future work examining the sensitivity of aerosol and cloud characteristics to coupling regimes should try to obtain
better sampling coverage across all regimes.

727

728 4 Conclusions

729 This study used data collected during the NASA ACTIVATE mission (2020–2022) from the HU-25 Falcon to assess 730 the frequency of different degrees of MBL cloud coupling and also how aerosol and cloud characteristics varied among 731 four such regimes. MinAlt and BCB legs were used to assess thermodynamic statistics along with turbulence, aerosol, 732 and cloud variables, which were calculated at each leg and the differences of the two legs were taken for final Deleted: . Similar to oxalate, these Deleted: a

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comparison metrics. Cloud water species and N_d values associated with MinAlt and BCB pairs were analyzed when
 cloud sampling occurred within 30 minutes of a MinAlt-BCB pair.

739 Vertical profiles between MinAlt and BCB pairs were divided into four degrees of coupling: strongly coupled ($\Delta q_t \leq 1$ 740 0.8 g kg⁻¹, $\Delta \theta_t \leq 1.0$ K), moderately coupled with high $\Delta \theta_t$ ($\Delta q_t \leq 0.8$ g kg⁻¹, $\Delta \theta_t > 1.0$ K), moderately coupled with 741 high Δq_t ($\Delta q_t > 0.8$ g kg⁻¹, $\Delta \theta_t \le 1.0$ K), and weakly coupled ($\Delta q_t > 0.8$ g kg⁻¹, $\Delta \theta_t > 1.0$ K). In total, 411 MinAlt-BCB 742 pairs were investigated, along with 67 cloud water samples. Using this coupling categorization criteria, only a handful 743 of weakly coupled MBL clouds were detected (20, compared to 286 with strong coupling). The relative amounts of 744 the regimes did not vary substantially between the winter and summer seasons. Instead, particular focus was placed 745 on comparing regimes with strong coupling to those with weak coupling. Support for the coupling criteria was sought 746 through five different aerosol/cloud/dynamic parameters (Δ scat, Δ IntV, Δ N_{>3µm}, N_d, and σ_w) and 11 cloud water 747 variables (nss-Ca²⁺, Cl⁻, K⁺, Mg²⁺, Na⁺, NH₄⁺, NO₃⁻, oxalate, nss-SO4²⁻, pH, Cl⁻:Na⁺). Turbulence was generally greater 748 during regimes of strong coupling compared to weak coupling, which corresponded to lower values of Ascat, AIntV, 749 and $\Delta N_{>3\mu m}$ due to better presumed mixing in the MBL. Nd was higher for strong coupling regimes, as higher 750 turbulence likely encouraged more cloud drop activation, which was also observed in Dong et al. (2015) for the 751 northeast Atlantic. Sea salt tracers (e.g., Na⁺, Cl⁻, and K⁺) were higher in concentration in strongly coupled compared 752 to weakly coupled MBL clouds and were found to have statistically significant differences across the two coupling 753 regimes. Additionally, nss-SO4²⁻, NO3⁻, and NH4⁺ which are linked to continental sources, were found in higher mass 754 fractions during weak coupling regimes; this was also observed in Wang et al. (2016) for northeast Pacific 755 stratocumulus clouds, corresponding to lower values of both cloud water pH and the Cl⁻:Na⁺ ratio.

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738

757 The inclusion of two moderate coupling categories is shown to be insightful as differences between the two potentially 758 can be explained by the relative influence of subsidence/entrainment versus surface effects. More specifically, the 759 moderately coupled category with high $\Delta \theta_{\ell}$ is thought to be influenced more by processes above the MBL such as 760 entrainment of dry air with high potential temperature whereas the other moderate category with high Δq_t likely has 761 more influence from surface processes. These speculations are supported by how the moderate high Δq_t regime 762 exhibited even more turbulent mixing than the strong coupling regime, yielding the highest sea salt concentrations in 763 cloud water and the lowest values of Δ IntV and $\Delta N_{>3\mu m}$. Furthermore, the moderate high $\Delta \theta_{\ell}$ category exhibited the 764 highest mean Nd value (419 cm⁻³) of any category (275-344 cm⁻³ for the other three categories), which can be explained 765 partly by how most of these cases (44 of 56) were in winter flights when Nd is typically higher than summer, especially 766 during cold air outbreaks (e.g., Dadashazar et al., 2021).

767

This study is the first to our knowledge to investigate degrees of coupling in MBL clouds through thermodynamic statistics in the northwest Atlantic with a focus on aerosol and cloud microphysical characteristics. Further research of this nature is needed in other regions to assess thermodynamic criteria for MBL cloud to surface coupling, including how aerosol and cloud characteristics change with degrees of MBL coupling in different regions. The results here indicate that a failure to account for different coupling regimes can mix together varying aerosol and cloud

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microphysical characteristics in data analysis studies, which increases risk of separating out important details such as how cloud composition is very different across the spectrum of cloud coupling strength. A limitation of this study to build on is obtaining more statistics for the more weakly coupled category, which in part may be influenced by how flight plans are designed. The results of this research have important implications for studies of aerosol-cloud interactions, as not considering coupling strength will make interpretations difficult, as we have shown important differences for aerosol and cloud properties.

782

783 Appendix A. Discussion of the two moderate regimes

784 To help with the interpretation of the two moderate regimes defined in Table 1, we provide a perspective based on the 785 following discussion. Using equation 1 but expanding it to take the difference of the liquid water potential temperature 786 between the BCB and MinAlt flight legs yields the following:

787
$$\Delta\theta_{\ell} = \left(\theta_{BCB} - \left(\frac{L_{\nu}}{c_{pd}}\right) \times q_{\ell,BCB}\right) - \left(\theta_{MinAlt} - \left(\frac{L_{\nu}}{c_{pd}}\right) \times q_{\ell,MinAlt}\right)$$
(A1)

Note that both q_ℓ terms are small below cloud. The $\Delta \theta_\ell$ value can thus be large due to large-scale subsidence or entrainment when dry air from free troposphere with high θ which is potentially mixed with air at the BCB level. While θ_{MinAlt} can be high due to surface heating, it acts to reduce $\Delta \theta_\ell$. Also, the current MinAlt is slightly above the typical surface layer and hence the surface inversion. Also, note that:

792
$$\Delta q_t = (q_{v,MinALt} + q_{l,MinALt}) - (q_{v,BCB} + q_{l,BCB})$$
(A2)

- where both q_t terms are small below cloud and are typically much smaller than q_v . The range of q_v is largely controlled by the temperature due to the Clapeyron-Clausius equation (the higher temperature, the higher saturation vapor pressure). While high Δq_t may be due to low q_v at the BCB level, it is more likely due to high q_v near the surface because saturation vapor pressure exponentially increases with temperature.
- 797 To conclude, the $\Delta \theta_{\ell}$ term is more likely influenced by features above the MBL while the Δq_t term is more likely 798 influenced by near-surface effects.
- 799

800 Data availability

801 The ACTIVATE dataset can be downloaded at https://doi.org/10.5067/SUBORBITAL/ACTIVATE/DATA001

- 802 (ACTIVATE Science Team, 2020).
- 803

804 Author contributions

- 805 YC, EC, JPD, GSD, MAF, SK, JBN, MAS, KLT, CV, ELW, and LDZ collected and/or prepared the data. KTZ, SD,
- and KM conducted data analysis. KTZ, KM, and SD conducted the formal investigation. KTZ, LWS, and AS
- 807 conducted data interpretation. KTZ and AS prepared the manuscript with editing from all co-authors.
- 808

809 Competing interests

- 810 At least one of the (co-)authors is a member of the editorial board of Atmospheric Chemistry and Physics.
- 811

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