Effects of Ozone-Climate Interactions on the <u>Long-Term</u> Temperature VariationTrend in the Arctic Stratosphere

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Abstract. Using reanalysis datasets and the Community Earth System Model (CESM), this study investigates the effects of ozone-climate interactions on the Arctic stratospheric temperature changes during winter and early spring. From 1980-1999Before 2000, the Arctic stratospheric temperature increaseincreased significantly in early winter (November and December), which is primarily due to ozone-climate interactions. Specifically, the increasing trend in ozone during this period leads to longwave radiation cooling in the stratosphere. Meanwhile, ozone-climate interactions lead to a stratospheric state that enhances upward wave propagation and the downwelling branch of the Brewer-Dobson circulation, which in turn adiabatically warms the stratosphere and offsets the direct longwave radiative cooling of the ozone. Additionally, enhanced upward wave propagation can lead to an equatorward shifting of the stratospheric polar vortex toward the eastern coast of Eurasia; accompanied by zonally asymmetric anomalies in stratospheric temperature, during November. In contrast, during late winter and spring, cooling trends in the Arctic stratospheric ozone depletion. After 2000, the response of the Arctic stratospheric temperature trend to ozone changes is weaker than that from 1980–1999. This study highlights the impacts of ozone-climate interactions on intraseasonal variabilitythe long-term trend in the Arctic stratospheric temperature.

20 **Keywords**: stratospheric temperature, ozone-climate interactions, ozone-circulation feedback, stratospheric polar vortex, planetary wave activity

1 Introduction

The stratospheric ozone layer plays an important role in global climate change (Son et al., 2008; Smith and Polvani, 2014; Xia et al., 2016; Xie et al., 2018; Hu et al., 2019a; Sigmond and Fyfe, 2014; Chiodo et al., 2021; Ivanciu et al., 2022; Friedel et al., 2023). Its absorption of solar ultraviolet (UV) radiation, along with its strong infrared radiation (IR) absorption and emission attaround the 9.6 μm band, is crucial for the Earth's energy balance and the thermal structure of the atmosphere; (de F. Forster and Shine, 1997). The annual global mean radiative forcing of stratospheric ozone during the strongest ozone depletion period; (1979–1996;) is -relatively small (–0.22±0.03 W/m²-(; de F. Forster and Shine, 1997). This value is relatively small in comparison to the radiative forcing caused by) compared to that of CO₂ (2.16±0.25 W/m²; IPCC, AR5, 2014). However,

However, in addition to the direct radiative forcing mentioned above, stratospheric ozone can also significantly impact stratosphericatmospheric temperature variability through its the ozone-climate interactions, which involve a chemicalradiative-dynamical coupling processprocesses (Dietmüller et al., 2014); Nowack et al. (., 2015) demonstrated that). For instance, neglecting interactive stratospheric chemistry and considering only ozone's direct radiative effect in climate models result in a positive 20% overestimation of surface temperature bias of approximately 20% in the experiment in scenarios with the quadrupled (4×CO₂) experiment. In addition to temperature, previous studies also suggested that climate models without 35 concentrations (Nowack et al., 2015). A similar overestimation of surface temperatures can also be found in the study of Chiodo and Polyani (2016). Additionally, Rieder et al. (2019) demonstrated that ozone-climate interactions eannot capture the realisticare important for accurately capturing stratospheric temperature variability in stratospheric compositions and other stratospheric processes (Cionni et al., 2011; Eyring et al., 2013; Jones et al., 2011). models. However, some studies, such as Marsh et al. (2016) indicated that ozone variation can only induce a small chemical radiative dynamical feedback coefficient 40 (only 1%), suggesting that ozone feedback might not be crucial in modulating stratospheric temperature. It remains unclear how the (2016), suggested that ozone-climate interactions significantly affect stratospherichave limited influences (approximately 1%) on climate sensitivity. Therefore, whether the ozone-climate interactions have significant influence on temperature variability is still unclear.

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The ozone-climate interactions are interaction is complex-chemical radiative dynamical coupling processes associated with, especially in the polar stratosphere. It involves different feedback mechanisms that vary across seasons. In winter, although solar radiation in the Arctic regions is absent, ozone changes, which can be described as follows: still absorb and emit longwave radiation. Seppälä et al. (2025) pointed out that a reduction in stratospheric ozone ehanges affect stratospheric temperature and then influence stratospheric circulation through the adjustment of the thermal wind balance (WMO, 2022). This stratospheric eirculation can further could directly lead to stratospheric warming. This longwave radiative warming may influence the dynamic transport of ozone and other stratospheric chemical compositions, and their associated chemical reactions. Specifically, in winter, when solar radiation is absent in the Arctic region, an increase in stratospheric ozone tends to cool the Arctic lower stratosphere through longwave radiative cooling. This cooling strengthens stratospheric westerlies and the strength of the Arctic polar vortex, (Hu et al., 2015), further impeding modulating the transport of ozone-rich air from the midlatitudes to the Arctic (Strahanpolar regions (Zhang et al., 2013). On 2017). In addition, the Arctic ozone can modulate the other hand, ozone induced changes planetary wave activity, which further influences Arctic stratospheric temperature via wave-mean flow interactions in the wave refractive index increase the upward propagation of planetary waves (Haynes et al., 1991; winter (Nathan and Cordero, 2007; Albers and Nathan, 2013; Hu et al., 2015), leading to an increase in the polar temperature and a weakening of the polar vortex. In boreal 2015). Thus, Arctic stratospheric ozone affects stratospheric temperatures through its longwave radiative effects and dynamical processes during winter. In late winter February and early spring, as solar radiation reaches high latitudes and planetary wave activity weakens, a decrease in the lower, the polar regions become warm compared to winter and the stratospheric polar vortex is weakened. However, from the perspective of climate, the increase in ozone depleting substances (ODSs) in the 20th century leads to springtime stratospheric ozone eoneentration at the poles can cool the polar regions via absorbing less-depletion and decreased absorption of shortwave radiation. This leads to-, which cools the Arctic stratosphere and strengthens the polar vortex (Friedel et al., 2022a). This results in reduced wave propagation towards the lower stratosphere and thereby a colder Arctic stratosphere (Coy et al., 1997; Albers and Nathan, 2013; Haase and Matthes, 2019). On the one hand, the strengthened westerlies and Arctic polar vortex decreases ozone transport to the polar regions, further reducing ozone concentrations. On the other hand, a stronger stratospheric polar vortex (Coy et al., 1997; Friedel et al., 2022), which in turn leads to less ozone being transported to the poles (Waugh et al., 1997; Hu et al., 2023). Additionally, the low temperature in the Arctic colder Arctic stratosphere facilitates the formation of polar stratospheric clouds (PSCs), on which chlorine reservoirs can). PSCs provide sites for heterogeneous reactions. The reactions convert to stable chlorine reservoir species into active chlorine-, then catalytically destroys ozone (Solomon et al., 1986; Feng et al., 2005a; 2005b). Active chlorine then reacts catalytically with ozone, resulting in ozone depletion. This depletion further cools the Arctic stratosphere by absorbing less UV radiation (; Calvo et al., 2015). Therefore, the impact of ozone-on stratospheric temperature-climate interactions in winter and spring involves involve different and complex chemical-radiative-dynamical feedback processes, which operate on different timescales (Tian et al., 2023).

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In addition to the stratospheric pathway mentioned above, the stratospheric polar vortex influenced by ozone climate interactions can also influence tropospheric circulation through the downward control theory (Haynes et al., 1991), wave zonal flow interactions (Holton and Mass 1976; Christiansen 1999), the rearrangement of stratospheric potential vorticity (Hartley et al., 1998; Black, 2002) and planetary wave propagation induced transient eddy feedback in the upper troposphere (Zhang et al., 2020; Limpasuvan and Hartmann, 2000; Rao and Garfinkel, 2020, 2021). Randel and Wu (1999) reported that Antarctic ozone depletion cools the stratosphere and strengthens stratospheric westerlies and the polar vortex in early austral spring. This cooling extends to the troposphere, resulting in positive anomalies of the Southern Annular Mode (SAM) in the troposphere (Thompson and Wallace, 2000; Garfinkel et al., 2013). Consequently, during austral summer, this results in a poleward shift of the Southern Hemisphere subtropical jet stream (Son et al., 2010), shifts in rain and drought zones (Son et al., 2009; Kang et al., 2011; Polyani et al., 2011), a southward expansion of the Hadley cell (Garfinkel et al., 2015; Min and Son, 2013; Solomon and Polvani, 2016), and anomalies in ocean circulation (Sigmond and Fyfe, 2010; Bitz and Polvani, 2012; Seviour et al., 2019; Xia et al., 2020). In the Northern Hemisphere (NH), Arctic stratospheric ozone depletion also has significant impacts on the tropospheric climate and ocean systems (Cheung et al., 2014; Karpechko et al., 2014; Ma et al., 2019; Zhang et al., 2022). Specifically, the strengthened Arctic stratospheric polar vortex associated with ozone depletion increases temperature in the mid- and high-latitude regions of East Asia (Ivy et al., 2017) and the Hadley cells shifts toward the equator, leading to enhanced aridity in the subtropics (Hu et al., 2019a). Furthermore, stratospheric ozone depletion in the polar region can influence ocean systems. Ozone depletion leads to the strengthening of the Arctic polar vortex, triggering cyclonic surface circulation, leading to a reduction in Arctic sea ice in spring and summer (Zhang et al., 2022). Additionally, the enhanced Arctic polar vortex driven by ozone depletion results in negative anomalies in the North Pacific Oscillation (NPO) and negative sea surface temperature anomalies in the North Pacific and a positive Victoria mode, leading to El Niño-like sea surface temperature anomalies (Xie et al., 2016, 2017). These results suggest that the stratospheric polar vortex is a key circulation system in the interaction between stratospheric ozone and troposphere climate change.

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Yet, the ozone climate interactions remain not fully understood. Most studies have focused on one part of this feedback, such as the influence of the polar vortex on stratospheric ozone at interannual scales or in extreme years, especially in the Antarctic stratosphere, and there is less concern in the Arctic, Lin et al. (2021) pointed out that the dynamic response to ozone depletion drives the difference in the temperature response in Antarctica during austral spring and emphasized the importance of ozone circulation coupling. In the NH, there has been a negative trend in stratospheric wave activity in late winter (Randel et al., 2002; Zhou et al., 2001), with no significant trends in early and midwinter (from November to January) from 1950-2000 (Hu and Tung, 2003). Additionally, the trends in stratospheric extratropical temperature show different signs between December compared to January and February from 1980, 2000 (Bohlinger et al., 2014; Young et al., 2012). Previous literature mainly focused on the dynamic factors (e.g., planetary waves and Brewer Dobson circulation, etc.) responsible for long termThe Arctic plays a crucial role in the global climate system, and its temperature changes have profound implications for global climate patterns (Cohen et al., 2014; Serreze and Barry, 2011; Overland et al., 2016). In recent decades, the Arctic long-term temperature trends are not only driven by a range of external factors such as sea ice, greenhouse gas emissions (GHG), and aerosols (IPCC, AR6, 2021; Shindell and Faluvegi, 2009; Screen and Simmonds, 2010), but also influenced by natural variability in the climate system. During the period from 1950 to 2000, in late-winter, a negative trend in stratospheric temperature is observed in the Arctic regions, which is associated with the weakening of wave activity (Randel et al., 2002; Zhou et al., 2001; Hu and Tung, 2003). On the other hand, the temperature trends in early and mid-winter (November-January) are opposite to those in late winter from 1980 to 2000 (Bohlinger et al., 2014; Young et al., 2012). Most previous studies focused only on the role of dynamical processes in the seasonal difference in temperature trends (Newman et al., 2001; Hu and Fu, 2009; Young et al., 2012; Ossó et al., 2015; Fu et al., 2019). However, whether the ozone climate interactions can influence long term temperature trends is still unclear. Therefore, in this study, we focus on the long term trends in Arctic stratospheric temperature and what the contribution of the ozone climate interaction is to these trends during winter and spring. Furthermore, what are the mechanisms responsible for the trends in different seasons? This study emphasizes the importance of ozone climate interactions in the Arctic stratosphere, which can contribute to the development of future climate models. However, these long-term trends in Arctic temperatures are not fully explained by dynamical processes. A recent work by Chiodo et al. (2023) has explored the impact of long-term ozone trends on the temperature in the Arctic, providing valuable insights into the ozone-climate interactions. Notably, the Arctic ozone layer has also undergone significant changes over the past 40 years (WMO, 2018). The ozone layer experienced significant depletion after the Industrial Revolution (Farman et al., 1985) and has been recovering slowly in the 21st century as ODSs is decreased (WMO, 2018; Newman et al., 2007; Chipperfield et al., 2017). Additionally, the influence of ozone-climate interactions on temperature in polar regions differs across seasons (Tian et al., 2023). Therefore, it is worth investigating whether Arctic ozone trends and their climate interactions can explain the long-term trends in Arctic temperature across different seasons.

This study focuses on the historical long-term trends in the Arctic stratospheric temperature during winter and spring, with a particular emphasis on the role of ozone-climate interactions. Specifically, we seek to answer the following questions: (1) What are the observed trends in Arctic stratospheric temperature and ozone concentrations over recent decades? (2) How do ozone-climate interactions contribute to these trends? (3) What mechanisms drive the seasonal differences in these trends? By addressing these questions, this study aims to enhance our understanding of the role of ozone-climate interactions in long-term Arctic stratospheric changes and their implications for future climate projections. Section 2 outlines the data, methodologies, and climate model experimental designs employed in this study. Section 3 presents the observed trends in temperature and ozone concentrations over the Arctic stratosphere, and Section 4 explores the underlying physical processes. SectionFinally, section 5 summarizes the conclusions and discusses future directions.

2 Data, methods and experimental configurations

2.1 Data

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145 The European Centre for Medium-Range Weather Forecasts (ECMWF) v5 reanalysis dataset (ERA5; Hersbach et al., 2020) from 1980 to 2020 is used in this study. The horizontal resolution of this dataset is 1°×1° (latitude × longitude) and there are 37 vertical levels ranging from 1000 to 1 hPa. The daily and monthly mean results are derived from the 3-hourly ERA5 reanalysis dataset. We also used daily meteorological data obtained from the NASA Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA2) product (Gelaro et al., 2017), which has a horizontal resolution of 1.25°× 1.25° (latitude × longitude), and 42 pressure levels in the vertical direction extending from 1000 to 0.1 hPa from 1980 to 2020. The meteorological fields used in this study include daily mean horizontal winds, temperature, geopotential height and ozone.

2.2 Methods

2.2.1 Diagnosis of wave activity

2.2.1.1 Elisassen-Palm flux

155 The Elisassen-Palm (E-P) flux (Andrews et al., 1987) is used to diagnose the propagation of waves in the vertical and meridional directions and is calculated as follows:

$$F_{\phi} =
ho \circ acos\phi \left(\begin{array}{cc} \overline{u_z} \overline{v'\theta'} & \overline{u'v'} \\ \overline{\theta_z} & \overline{u'v'} \end{array} \right)$$

$$F_{\phi} \equiv \rho \circ a \cos \phi \left(\frac{\overline{u_z v' \theta'}}{\overline{\theta_z}} - \overline{u' v'} \right) \tag{1}$$

$$F_{z} = \rho o cos \phi \left\{ \left[f - \left(a cos \phi \right)^{-1} \left(\overline{u} cos \phi \right) \phi \right] \frac{\overline{v' \theta'}}{\overline{\theta_{z}}} - \overline{w' u'} \right\} F_{z} = \rho o cos \phi \left\{ \left[f - \left(a cos \phi \right)^{-1} \left(\overline{u} cos \phi \right) \phi \right] \frac{\overline{v' \theta'}}{\overline{\theta_{z}}} - \overline{w' u'} \right\}$$
(2)

$$\nabla \cdot \overrightarrow{F} \equiv \left(\rho_0 \overrightarrow{u'v'}\right)_{\phi} + \left(\rho_0 f \frac{\overrightarrow{v'\theta'}}{\overline{\theta_z}}\right)_z \nabla \cdot \overrightarrow{F} \equiv -\left(\rho_0 \overrightarrow{u'v'}\right)_{\phi} + \left(\rho_0 f \frac{\overrightarrow{v'\theta'}}{\overline{\theta_z}}\right)_z$$
(3)

where $\rho_0 \rho_0$ represents the density; z z represents the altitude; a represents the radius of the Earth; $\phi \phi$ represents the latitude; f represents the Coriolis parameter; $\theta \phi$ represents the potential temperature; u and v represent the zonal and meridional winds, respectively; and w represents the vertical velocity. The overbars represent the zonal average, and the primes represent deviations with respect to the zonal average. We ignore the term w'u' w'u' because it is small relative to the other terms (Zhang et al., 2019; Zhao et al., 2022).

2.2.1.2 Refractive index

The quasigeostrophic refractive index (RI) is used to diagnose the environment of wave propagation (Chen and Robinson, 1992) and is calculated as:

$$RI = \frac{\overline{q}_{\varphi}}{\overline{u}} - \left(\frac{k}{a\cos\varphi}\right)^{2} - \left(\frac{f}{2NH}\right)^{2}RI = \frac{\overline{q}_{\varphi}}{\overline{u}} - \left(\frac{k}{a\cos\varphi}\right)^{2} - \left(\frac{f}{2NH}\right)^{2}$$
(4)

170 where the meridional gradient of the zonal mean potential vorticity is calculated as:

$$\frac{\overline{q}_{\varphi} = \frac{2\Omega}{a} \cos \varphi \frac{1}{a^2} \left[\frac{(\overline{u} \cos \varphi)_{\varphi}}{a \cos \varphi} \right]_{\varphi}^{\varphi} \frac{f^2}{\rho_0} \frac{\overline{u}_z}{N^2} \right]_{\overline{z}} \overline{q}_{\varphi} = \frac{2\Omega}{a} \cos \varphi - \frac{1}{a^2} \left[\frac{(\overline{u} \cos \varphi)_{\varphi}}{a \cos \varphi} \right]_{\varphi} - \frac{f^2}{\rho_0} (\rho_0 \frac{\overline{u}_z}{N^2})_z \tag{5}$$

where

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$$\frac{f^2 \left(\rho_0 \frac{\overline{u_z}}{\rho_0 N^2} \right)}{\rho_0 N^2} = \left(\frac{f^2}{HN^2} + \frac{f^2 dN^2}{N^4 dz} \right) \frac{- f^2 - \overline{u_{zz}}}{u_z N^2 u_{zz}}$$
, and $H, q, k, N^2, \Omega, u_z$

$$-\frac{f^2}{\rho_0} \left(\rho_0 \frac{\overline{u_z}}{N^2} \right)_z = \left(\frac{f^2}{HN^2} + \frac{f^2}{N^4} \frac{dN^2}{dz} \right) \overline{u_z} - \frac{f^2}{N^2} \overline{u_{zz}}$$
 and $H, q, k, N^2, \Omega, u_z$ are the scale height, potential vorticity, zonal

wavenumber, buoyancy frequency, Earth's angular frequency, and zonal wind shear, respectively. The refractive index squared could be affected not only by the atmospheric zonal windstability and wind shear but also by the quadratic vertical shear of

the zonal mean zonal wind and atmospheric stability. As discussed in Matsuno (1970), it is expected that planetary waves of wavenumber k tend to propagate toward regions where $\frac{n^2}{k} n_k^2 > 0$ and are inhibited in regions where $\frac{n^2}{k} < n_k^2 \le 0$.

2.2.1.3 The Brewer-Dobson circulation

The Brewer-Dobson circulation (BDC) <u>is</u> driven by wave breaking in the stratosphere <u>is closely related to stratospheric wave</u>

180 <u>activity. The</u>, and the BDC in the atmosphere is represented in log-pressure coordinates as follows (Andrews et al., 1987):

$$\overline{v}^* \equiv \overline{v} - \rho_0^{-1} (\rho_0 \overline{v'\theta'} / \overline{\theta_z})_z$$

$$\underline{v}^* \equiv \overline{v} - \rho_0^{-1} (\rho_0 \overline{v'\theta'} / \overline{\theta_z})_z$$

$$\underline{w}^* \equiv \overline{w} + (a\cos\phi)^{-1} (\cos\phi \cdot \overline{v'\theta'} / \overline{\theta_z})_\phi$$
(6)

 $\overline{w}^* \equiv \overline{w} + (a\cos\phi)^{-1}(\cos\phi \cdot \overline{v'\theta'} / \overline{\theta_z})_{\phi}$ (7)

where $\overline{v}^* \underline{\overline{v}^*}$ and $\overline{w}^* \underline{\overline{w}^*}$ are the zonal-mean meridional and vertical velocities, respectively, $\underline{\theta} \underline{\theta}$ is the potential temperature, $\underline{a}\underline{a}$ is the radius of Earth, $\underline{\phi}\underline{\phi}$ is the latitude, $\underline{\rho}\underline{\theta}\underline{\rho}\underline{\theta}$ is the air density, and z is the log-pressure height.

Using the generalized downward control principle, the BDC can be further decomposed into different forcing terms (Song and Chun, 2016):

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$$\overline{v}^* = \frac{1}{\rho_0 \cos \varphi} \int_{\overline{z}}^{\infty} \rho_0 \left[\frac{1}{\rho_0 a \cos \varphi} \nabla \cdot \mathbf{F} + \overline{GWD} + \overline{X} - \frac{\partial \overline{u}}{\partial t} \right] dz'$$

$$\int \frac{1}{a \cos \varphi} \int_{\overline{z}}^{\infty} \rho_0 \left[\frac{1}{a \cos \varphi} \int_{\overline{z}}^{\infty} \rho_0 (\overline{u} \cos \varphi) \right] dz'$$

$$\left[\frac{1}{a \cos \varphi} \nabla \cdot \mathbf{F} + \overline{GWD} + \overline{X} - \frac{\partial \overline{u}}{\partial \varphi} \right]$$

$$\overline{v}^* = -\frac{1}{\rho_0 \cos \varphi} \frac{\partial}{\partial z} \left\{ -\cos \varphi \int_z^{\infty} \rho_0 \left[\frac{1}{\rho_0 a \cos \varphi} \overline{V} \cdot \mathbf{F} + \overline{\text{GWD}} + \overline{X} - \frac{\partial \overline{u}}{\partial t}}{f - \frac{1}{a \cos \varphi} \frac{\partial}{\partial \varphi} (\overline{u} \cos \varphi)} \right] dz' \right\}$$
(8)

$$\frac{\overline{w}^{*}}{\overline{w}^{*}} = \frac{1}{\rho_{0}a\cos\varphi} \left\{ -\cos\varphi \left[\sum_{z}^{\infty} \rho_{0} \right] \frac{1}{\rho_{0}a\cos\varphi} \nabla \cdot \mathbf{F} + \overline{GWD} + \overline{X} - \frac{\partial \overline{u}}{\partial t} \right] dz' \right\}
f - \frac{1}{a\cos\varphi} \frac{\partial}{\partial\varphi} (\overline{u}\cos\varphi)
\underline{\overline{w}^{*}} = \frac{1}{\rho_{0}a\cos\varphi} \frac{\partial}{\partial\varphi} \left\{ -\cos\varphi \left[\sum_{z}^{\infty} \rho_{0} \right] \frac{1}{\rho_{0}a\cos\varphi} \nabla \cdot \mathbf{F} + \overline{GWD} + \overline{X} - \frac{\partial \overline{u}}{\partial t} \right] dz' \right\}
f - \frac{1}{a\cos\varphi} \frac{\partial}{\partial\varphi} (\overline{u}\cos\varphi)$$
(9)

where $\nabla \cdot \mathbf{F}$, $\overline{\mathbf{GWD}}$, \overline{X} , and $\partial \overline{u} / \partial t$ $\nabla \cdot \mathbf{F}$, $\overline{\mathbf{GWD}}$, \overline{X} , and $\partial \overline{u} / \partial t$ represent the E-P flux divergence, gravity wave forcing, residual term of the transformed Eulerian mean (TEM) equations, and zonal-mean zonal wind tendency, respectively. Song and Chun (2016) reported that the gravity wave drag term $\overline{\mathbf{GWD}}$ $\overline{\mathbf{GWD}}$ and the residual term \overline{X} \overline{X} are relatively smaller than the E-P flux divergence and zonal mean zonal wind tendency terms. Therefore, $\overline{\mathbf{GWD}}$ $\overline{\mathbf{GWD}}$ and \overline{X} \overline{X} are not considered in this study.

2.2.1.4 Takaya-Nakamura wave-activity flux

200 The Takaya-Nakamura (T-N) wave activity flux (Takaya and Nakamura 1997; 2001; Nakamura et al., 2010) are is used to represent the three-dimensional energy dispersion characteristics of the quasistationary Rossby wave with respect to climatological mean flow:

$$\frac{U}{a^{2}\cos^{2}\phi}\left[\left(\frac{\partial\psi'}{\partial\lambda}\right)^{2} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda^{2}}\right] + \frac{V}{a^{2}\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda\partial\phi}\right] \\
W = \frac{p\cos\phi}{2|U|} \cdot \frac{U}{a^{2}\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} + \frac{\partial^{2}\psi'}{\partial\lambda\partial\phi}\right] + \frac{V}{a^{2}}\left[\left(\frac{\partial\psi'}{\partial\phi}\right)^{2} + \frac{\partial^{2}\psi'}{\partial\phi^{2}}\right] \\
\frac{f_{0}^{2}}{N^{2}}\left\{\frac{U}{a\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda^{2}}\right] + \frac{V}{a}\left[\frac{\partial\psi'}{\partial\phi}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\phi^{2}}\right]\right\} \\
W = \frac{p\cos\phi}{2|U|} \cdot \left\{\frac{U}{a^{2}\cos\phi}\left[\left(\frac{\partial\psi'}{\partial\lambda}\right)^{2} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda^{2}}\right] + \frac{V}{a^{2}}\left[\left(\frac{\partial\psi'}{\partial\phi}\right)^{2} - \psi'\frac{\partial^{2}\psi'}{\partial\phi^{2}}\right]\right\} \\
\frac{U}{a^{2}\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda\partial\phi}\right] + \frac{V}{a^{2}}\left[\left(\frac{\partial\psi'}{\partial\phi}\right)^{2} - \psi'\frac{\partial^{2}\psi'}{\partial\phi^{2}}\right] \\
\frac{\int_{0}^{2}}{V^{2}}\left\{\frac{U}{a\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda\partial\phi}\right] + \frac{V}{a}\left[\left(\frac{\partial\psi'}{\partial\phi}\right)^{2} - \psi'\frac{\partial^{2}\psi'}{\partial\phi^{2}}\right]\right\} \\
\frac{\int_{0}^{2}}{V^{2}}\left\{\frac{U}{a\cos\phi}\left[\frac{\partial\psi'}{\partial\lambda}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\lambda\partial\phi}\right] + \frac{V}{a}\left[\frac{\partial\psi'}{\partial\phi}\frac{\partial\psi'}{\partial\phi} - \psi'\frac{\partial^{2}\psi'}{\partial\phi^{2}}\right]\right\} \\
(10)$$

where the superscript is the zonal deviation and where ϕ , λ , Φ , $f = 2\Omega \sin \phi$, a, Ω , Φ , $f = 2\Omega \sin \phi$, a, Ω are the latitude, longitude, geopotential height, Coriolis parameter, earth radius and Earth rotation rate, respectively. $\psi' = \frac{\phi'}{f}$ represents the perturbation of the quasiground transfer function relative to the climate field, and U = (U, V) U = (U, V) represents the climatological basic flow fields.

2.2.1.5 Transformed Eulerian-Mean formulation

This study uses the Transformed Eulerian-Mean (TEM) formulation of the zonal-mean tracer continuity equation in logpressure and spherical coordinates in order to accurately diagnose the eddy forcing of the zonal-mean transport of stratospheric ozone. BDC and eddy transports are calculated using terms (1) and (2), respectively (Monier and Weare 2011; Abalos et al. 2013; Zhang et al., 2017):

$$\frac{\partial \overline{\chi_{O_3}}}{\partial t} = \frac{\overline{v}^*}{R} \frac{\partial \overline{\chi_{O_3}}}{\partial \phi} - \overline{w}^* \frac{\partial \overline{\chi_{O_3}}}{\partial z} (term1)$$

$$-\frac{1}{\rho_0} \nabla \cdot M (term2)$$

$$+\overline{S} (term3)$$
(11)

where \overline{S} is the sum of all chemical sources and sinks, $\overline{\chi}_{o_3}$ is the zonal-mean ozone concentration, \overline{v}^* and \overline{w}^* are the meridional and vertical BDC velocities (Andrews et al. 1987), respectively; \underline{M} is the eddy flux vector, which is represented as:

$$-\left[\rho_{0}\left(\overline{v'\chi'_{O_{3}}} - \frac{\overline{v'\theta'}}{\overline{\theta_{z}}}\frac{\partial\overline{\chi_{O_{3}}}}{\partial z}\right), \rho_{0}\left(\overline{w'\chi'_{O_{3}}} + \frac{1}{R}\frac{\overline{v'\theta'}}{\overline{\theta_{z}}}\frac{\partial\overline{\chi_{O_{3}}}}{\partial \phi}\right)\right], \tag{12}$$

 $\nabla \cdot M$ is the divergence of the eddy flux vector and represents the eddy transport of ozone; ρ_0 is air density; ρ_0 is potential temperature; ρ_0 is Earth's radius; ρ_0 and ρ_0 and ρ_0 are latitude and height, respectively.

In Eqs. (1)–(120), the overbar denotes zonal-mean quantities, and the prime indicates departure from the zonal mean. The subscripts denote partial derivatives. The Fourier decomposition is used to obtain components $\overrightarrow{u}, \overrightarrow{v}, and \overrightarrow{\theta}$ in Eqs. (1)–(3) and components $\nabla \cdot F$ and ψ $\nabla \cdot F$ and ψ in (8)–(10) with different zonal wave numbers.

225 2.2.2 Statistical methods

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The trend is measured by the slope of a linear regression based on least squares estimation. We use a two-tailed Student's t test to calculate the significance of the trend or perform a mean difference analysis. This paper measures the results of the significance test with p values or confidence intervals. $p \le 0.1$, $p \le 0.05$, and $p \le 0.01$ indicate indicates that the trend or mean difference is significant at/above the 90%, 95%, and 99% confidence levels, respectively level.

In this study, the normalized time series are standardized using Z-score standardization, where the data are processed using the following formula: $\underline{A_{s-value}} = \frac{A_{o-value} - \overline{A}}{\sigma_A}, \text{ where } \underline{A_{s-value}} \text{ denotes the normalized A-value, } \underline{A_{o-value}} \text{ denotes original}$ $\underline{A-value, \overline{A}} \text{ denotes average A-value, } \underline{\sigma_A} \text{ denotes standard deviation.}$

2.3 Model and experimental configurations

The F_1955-2005_WACCM_CN (F55WCN) component in the Community Earth System Model (CESM) Version 1.2.2 is used to verify the ozone climate interaction in the Arctic stratosphere, with transient chemistry forcing fields (such as ozone, greenhouse gases (GHGs), aerosols). The F55WCN includes an active atmosphere and land, a data ocean (run as a prescribed component by simply reading sea surface temperature forcing data instead of running an ocean model) and sea ice. The model resolution is 1.9° latitude by 2.5° longitude, the model haswith 66 vertical levels and the model top level is extending from surface to around 5.96 × 10⁻⁶ hPa. The chemistry module in F55WCN calculates the concentrations of different species and

includes both gas phase and heterogeneous chemistry-in stratosphere. The physics schemes in the F55WCN are based on those in the Community Atmosphere Model, Version 4 (CAM4; Neale et al., 2013).

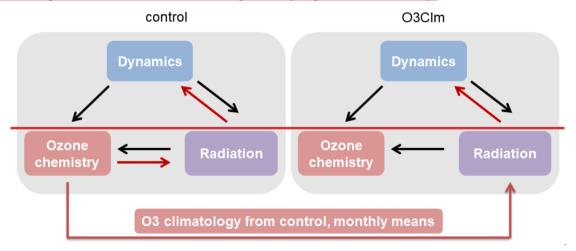
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To understand the causality of the ozone-circulation coupling, we perform model experiments to isolate the impact of ozone changes on stratospheric dynamics and circulation. Two simulations Two groups of ensemble climate model experiments (i.e., the control experiment and O3clm experiment) use identical boundary conditions and initial conditions. Each group simulation consists of 5 ensemble members, with initial temperature conditions randomly perturbed. Both of the two experiments run from 1970–2020, and the first 10 years constituteare the spin-up time. The control experiment uses fully interactive ozone chemistry, and long-term stratospheric ozone changes are involved in the radiation scheme. In contrast, in the O3clm experiment, the climatological mean ozone is represented by monthly 3-dimensional mean data from the control simulationa 1980-clim experiment, which is imported into the radiation scheme, producing. In the 1980-clim experiment, surface emissions, external forcing, stratospheric aerosols, fixed lower boundary conditions, and the solar photon enerspectra are all fixed at 1980. The 1980-clim experiment runs for 40 years with the first 10 years as spin-up time and the remaining 30 years of data are used to drive the radiation scheme of the O3clm experiment. This results in the production of fixed radiative feedback, i.e., disabling which is to say that the ozone-climate interactions over a long period. This are not radiatively active. Meantime, this setting is designed to preserve the seasonal temperature changes caused by the real ozone radiation process, variations that conform to the background environmental conditions of the Earth and ensure stable operation of the experiment, and avoid introducing systematic biases. Thus, the comparison between the ensemble mean of control and O3clm experiments can separate isolates the feedback effects of long-term stratospheric ozone changes on atmospheric temperature and circulation (Fig. 1). from climate variability. Figure 1 (adapted from Friedel et al. 2022a, 2022b) provides the inspiration for the experimental design, which is crucial to understanding the analysis presented in this study.



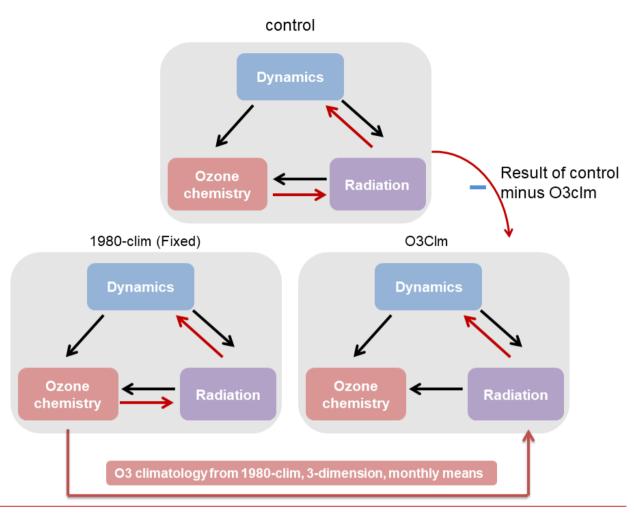


Figure 1 Simulation setup of the ensemble control and O3clm experiments. The control experiment treats ozone chemistry fully interactively. That is, the calculated ozone field has a direct feedback on the atmosphere via the model radiation schemes. In contrast, the ensemble O3clm experiments do not use interactively calculated ozone in the radiation module. Instead, the radiation module uses an ozone climatology, which is derived from control runs with interactive ozone of the same model the 1980-clim experiment (see Method text) (This figure adapted from Fig. 3a in Friedel et al., 2022a and Fig.1 in Friedel et al., 2022b).

3 Trends in temperature and ozone over the Arctic in the middle and lower stratosphere

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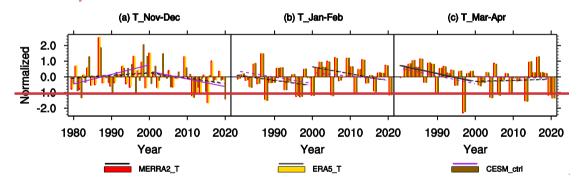
In this study, we primarily focus on a detailed analysis in the pre-2000 period from 1980 to 2000, during which significant stratospheric ozone depletion occurred (LOTUS, 2019; IPCC, AR6, 2023). The changes after 2000 are briefly discussed. Figure 2 displays the normalized time series and linear trends in temperature in the Arctic stratosphere; (over 65°–90°N), averaged between 10 and 150 hPa, during different periods from late autumnearly winter to early spring. In November–

December, the Arctic stratospheric temperature exhibite xhibits a small positive trend from 1980 1999 in the pre-2000 period in both the MERRA2 and ERA5 reanalysis datasets, and it shows an insignificant negative trend from after 2000-2019 (Fig. 2a₇; the black line represents MERRA2; the gray line represents ERA5). This suggests that there is a warming trend in the Arctic stratosphere during early winter from 1980 to 1999 in the pre-2000 period, followed by a cooling trend from in the post-2000 to 2019 period. The ensemble mean of control experiments reproduces these trends well, with a significant positive trend in temperature from 1980 to 1999 before 2000 and a significant negative trend from after 2000 to 2019 (Fig. 2a; purple line). From January—to February, the temperature displays an insignificant negative trend in both periods before 2000 and a significant negative trend after 2000, derived from the three datasets (Fig. 2b), suggesting that there is persistent cooling over the four decades, 2b). In March-April, the temperature shows a significant negative trend from 1980 1999 and no significant trend from 2000 2019 among the three before 2000. After 2000, There is an unremarkable positive trend in MERRA2 and ERA5 datasets and an insignificant negative trend in ensemble mean of the control experiments (Fig. 2c). Overall, the long-term changestrends in temperature derived from the ensemble mean of control run alignexperiments are nearly consistent with the results from the reanalysis datasets. Comparing the trends from November December and January February, it is worth noting that there are contrasting temperature trends from 1980–1999, which change from positive to negative trends, whereas the temperature trends from 2000 2019 change from slight decreases to stronger declines, both in the period before 2000 and after 2000. The reasons responsible for the intraseasonal opposite temperature trends are investigated in the following section. In this study, we primarily focus on a detailed analysis of the period from 1980, 1999, during which significant stratospheric ozone depletion occurred (LOTUS, 2019; IPCC, AR6, 2023). The changes from 2000-2019 are discussed only in the final section.

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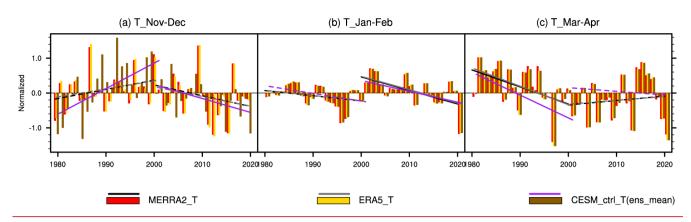


Figure 2 Normalized time series of the temperature averaged from 150 hPa to 10 hPa over 65°–90°N from 1980–2020 in (a) November–December, (b) January–February, and (c) March–April derived from MERRA2 (red column), ERA5 (orange column) and CESM ensemble mean of control experiments (brown column) control simulations.). The color straight lines represent the linear trends before 2000 and after 2000. Solid lines indicate that the trends are statistically significant at the 90% confidence level according to Student's *t* test (for details of the normalization method, refer to Section 2.2.2: Statistical methods).

Figure 3 shows the trends in daily ozone and temperature and ozone between 10 and 250 hPa in the polar cap regions (65°–90°N) from 1980 to 1999before 2000, which are based on data from MERRA2 and the ensemble mean of the control runexperiments. The trend reversal phenomenon is also evident in January December, which is consistent with Fig. 42. During November and Before mid January December, there is an increasing trend in both ozone and temperature and ozone across all levels (Fig. 3a, b). While after mid January December, the trends in temperature and ozone reverse in the middle stratosphere and then in the lower stratosphere. Similar trend patterns are observed found in the ensemble control runexperiments (Fig. 3c, d), indicating that the ensemble mean of the control runexperiments can reproduce the long-term trends in stratospheric temperature and ozone in both early and late winter in the stratosphere. Therefore, it is reliable to use the CESM model to analyze these trends in the following text.

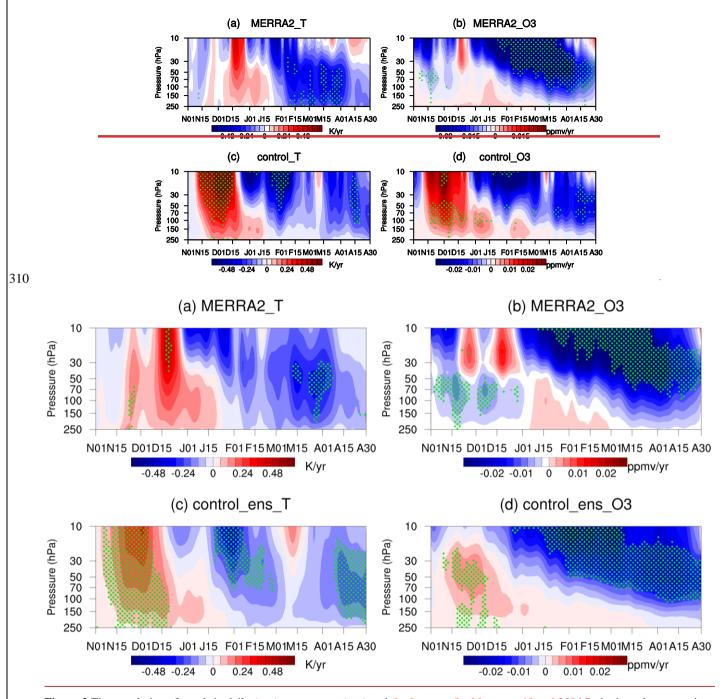


Figure 3 Time evolution of trends in daily (a, c) temperature (a, e) and (b, d) ozone (b, d) between 10 and 250 hPa in the polar cap regions (65°–90°N) during winter and spring derived from MERRA2 and the ensemble mean of control run forexperiments in the pre-2000 period

of 1980—1999. The green dotted regions indicate that the trends are statistically significant at the 90% confidence level according to Student's *t* test.

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Figure 4a and b displayshow the daily trends in ozone and temperature and ozone between 10 and 250 hPa in the polar cap regions (65°-90°N) from 1980-1999 before 2000 derived from the ensemble O3clm runexperiments (for the simulation set-up, see Methods). The ensemble mean of O3clm runexperiments shows a nonsignificant temperature positive trend from November-December and a positive slightly unremarkable negative trend in late February and Marchafter December. This result is completely oppositesimilar to that inof the ensemble control run experiments, but weaker and not significant (Fig. 3b. c). The stratospheric ozone exhibits negative marginally positive trends over the 10 100 between 30 and 250 hPa range from in November to April, without an intraseasonal reverse. Between 100 and 150 hPa, there is a negative trend in early and December, and shows a significant negative trend between 10 and 70 hPa after December, which is weaker than that in the ensemble control experiments. Given that the ensemble O3clm experiments excludes the radiative and dynamic feedback of long-term ozone changes, the stratospheric ozone decline in late winter and a positive trend in early spring inessentially reflects the O3clm simulation. These trends are also opposite to those ozone depletion induced by increasing ODSs in the control run pre-2000 period (Fig. 3d). The 4b). And the temperature cooling in late winter and spring (Fig. 4a) may be related to stratospheric cooling induced by GHG (Tett et al., 1996; Hu and Guan, 2022). Fig.4c and 4d shows the differences in temperature and ozone trends before 2000 between the ensemble mean of the control runexperiments and O3clm run are shown in Fig. 4c, deexperiments. Note that there are significant positive anomalies in temperature and ozone trends from during November and early December, and significant negative differences anomalies from late January after December, which are due to net ozone chemical-radiative-dynamical feedback effects- (Fig. 4c, d). These significant differences suggest that ozone-climate interactions are crucial for long-term changes in Arctic stratospheric ozone and temperature and ozone.

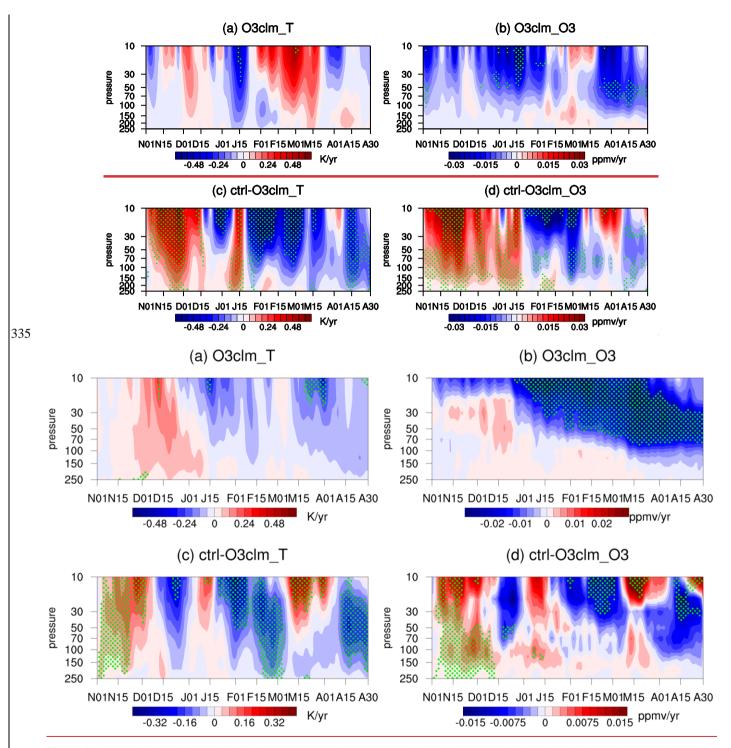


Figure 4 Time evolution of the trend of daily temperature and ozone over the levels between 10 and 250 hPa in the polar cap <u>regions</u> (65°–90°N) during winter and spring derived from (a–b) the ensemble mean of the O3clm run (a–b) experiments and (c–d) the differences between

the <u>ensemble</u> control <u>runexperiments</u> and <u>ensemble</u> O3clm <u>run (c d) from 1980-1999 experiments before 2000</u>. The green dotted regions indicate that the trend is statistically significant at the 90% confidence level according to Student's *t* test.

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4 Trends The factors responsible for the trends in temperature and ozone over the Arctic in the middle and lower stratosphere from winter to spring

The core process of ozone-climate interactions is the ozone-circulation feedback. Figure 5 displays the trend in the vertical component downwelling branch of the BDC $(w^*)(\overline{w}^*)$ averaged over the subpolar region (6550°-980°N) forduring the pre-2000 period of 1980 1999 in both the ensemble control runexperiments and O3clm runexperiments. We also decomposed composed these trends into contributions from wave 1 (Fig. 5b, e and h) and wave 2 (Fig. 5c, f and i). The ensemble mean of control runexperiments shows significant negative trends in \mathbf{w}^* \mathbf{w}^* from November to early December, corresponding to enhanced downwelling compared to climatological mean, and positive trends in w* w* w* from late December to January, corresponding to weakened downwelling (Fig. 5a). After In late February and early March, the \overline{w}^* trend in the upper stratosphere becomes negative (Fig. 5a). The linear trends in \mathbf{w}^* are less significant (Fig. basically opposite to those in temperature derived from the ensemble control experiments (Fig. 3c), which is because the 5a). The enhanced downwelling (upwelling) favors polar adiabatic warming, resulting in a positive temperature trend in late autumn and early winter, whereas in late winter, this situation reverses. (cooling). Additionally, the \overline{w}^* trend contributed by wave 1 is similar to the total trend, <u>suggesting that</u> wave 1 dominates the trends in $\frac{\mathbf{w}^*}{\mathbf{w}}$. In the <u>ensemble</u> O3clm <u>runexperiments</u>, there is no negative trend in w[±] w̄^{*} in November and early December (Fig. 5d-f). This result indicates that ozone-circulation feedback strengthens the downwelling branch of the BDC \overline{w}^* in early winter, leading to adiabatic warming; conversely, there are anomalous upward motions that induce anomalous adiabatic cooling from January to February, which is consistent with the reversal of the temperature trend in January (Figs. 3, 4). The differences between the ensemble mean of the control runexperiments and O3clm runexperiments suggest a similar pattern to that of the ensemble control runexperiments (Fig. 5g, h and i). Overall, the changes in the downwelling branch of the BDC \overline{w}^* during early winter, particularly in November and early December are, mainly modulated by the ozone-climate interactions. The results suggest that, and adiabatic warming due to the strengthening of the downwelling branch of the BDC \bar{w}^* plays a crucial role in Arctic temperature from November to early December. Similar results have been reported in previous studies (Albers and Nathan, 2013; Hu, et al., 2019b).

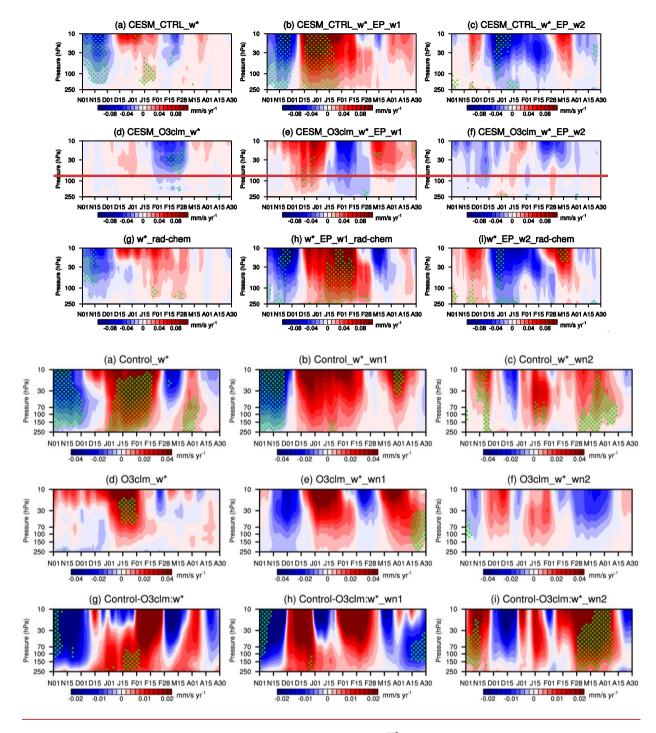


Figure 5 Linear trend of $(\underline{a}, \underline{d}, \underline{and} \underline{g})$ the vertical component (\underline{w}^*) of the BDC $(\underline{\overline{w}}^*)$ and its contribution (shading) to $\underline{from} (\underline{b}, \underline{e})$ and $\underline{from} (\underline{b}, \underline{e})$ and $\underline{from} (\underline{b}, \underline{e})$ and $\underline{from} (\underline{from} (\underline{b}, \underline{e}))$ and $\underline{from} (\underline{from} (\underline{b}, \underline{e}))$ and $\underline{from} (\underline{from} (\underline{b}, \underline{e}))$ and $\underline{from} (\underline{from} (\underline{from} (\underline{b}, \underline{e})))$ and $\underline{from} (\underline{from} (\underline{from} (\underline{b}, \underline{e})))$ and $\underline{from} (\underline{from} (\underline{from} (\underline{b}, \underline{e})))$ and $\underline{from} (\underline{from} (\underline{b}, \underline{e}))$ and $\underline{from} (\underline{from} (\underline{e}))$ and \underline{from}

ensemble control experiments, (d, e amdand f) and ensemble O3clm experiments and (g, h and i) the differences between the ensemble control runexperiments and ensemble O3clm run (g, h and i) experiments. The green stippled regions indicate the trend of the BDC significant at/above the 90% confidence level according to Student's t test- (The daily data are first processed with a 30-day low-pass filter to remove high-frequency signals).

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Anomalous Furthermore, the enhanced BDC may have an effect on the ozone concentration. The increase in stratospheric ozone during November-December and decrease during January-February (Fig. 4d) induced by ozone-circulation feedback is caused by enhanced dynamical transport. We focus on the role of the BDC in driving the ozone increase in early-winter and its decrease in mid-winter, investigating the reasons for the reversal. Figure 6 shows the trend in stratospheric ozone budget from November to February between 10 and 250 hPa in the polar regions (65°-90°N) in the pre-2000 period, which is decomposed into BDC and eddy transport of ozone (calculated by Eqs. (11), (12)). In the ensemble control experiments, from November to December (early winter), the total ozone budget shows a significantly positive trend, indicating an increase in ozone concentrations. This trend is primarily driven by the sum of BDC and eddy transport. In mid-winter, the trend in ozone budget weakens and changes to negative, indicating a leveling off of increased ozone concentration. In contrast, in the ensemble O3clm experiments, the trend in the ozone budget is opposite to those in the ensemble control experiments and is not statistically significant from November to February. This demonstrates that during early winter, the accelerated BDC intensifies poleward ozone advection through directly transports ozone-rich air masses from tropical reservoirs to polar region, and enhances downward transport of ozone from the upper stratosphere to lower stratosphere. The transport of ozone due to ozone-circulation feedback is reconfirmed by the difference between the ensemble mean of the control and O3clm experiments. In January, the difference between the two experiments shows an intra-seasonal reverse in ozone transport, indicating that the ozone-circulation interactions can also give feedback to ozone concentrations.

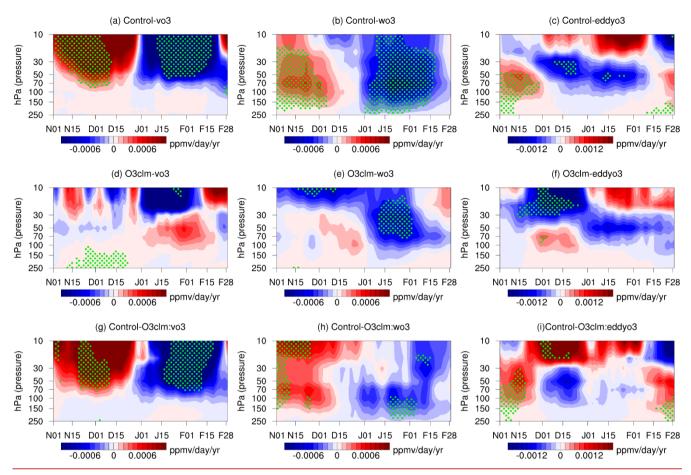
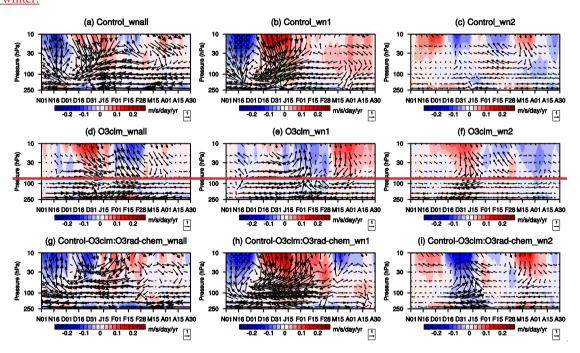


Figure 6 Dynamically produced ozone concentration trend, decomposed into (a, d and g) meridional and (b, e and h) vertical BDC transport and (c, f and i) eddy transport between 10–150 hPa in the polar regions (65°–90°N) from November to February, derived from (a–c) the ensemble control, (d–f) the O3clm experiments and (g–i) the difference between the two experiments during the pre-2000 period. The trend over the dotted regions is statistically significant at the 90% confidence level according to the Student's *t* test (The daily data are first processed with a 30-day low-pass filter to remove high-frequency signals). It is noted that the x-axes denoted the daily trend evolution from 1 November to 28 February.

BDC trends associated with ozone-climate interactions can be attributed to the upward planetary waves. Figure 67 shows the trends in stratospheric planetary wave activity over the subpolar regionregions (50°–80°N) from November—to April. In the ensemble control runexperiments, there is a significantly positive trend in the waves entering the stratosphere in November and early December from 1980–1999before 2000, which is accompanied by intensified wave flux convergence in the middle stratosphere (approximately 10–50 hPa; Fig. 6a7a). However, in late—December and January, the waves entering the stratosphere decrease, accompanied by weakened wave flux divergenceconvergence. These features imply that stratospheric planetary wave activity strengthened in November and early December and weakened in late December and January during

the 1980–1999pre-2000 period, which is consistent with the findings of previous studies (Bohlinger et al., 2014; Young et al., 2012). In contrast, in the ensemble O3clm runexperiments, waves entering the stratosphere in November and early December decrease, and there is no significant convergence trend from 1980–1999before 2000 (Fig. 6d7d). The trends in the planetary wave are mainly contributed by the wave 1 component rather than by wave 2 (Fig. 6b7b, c, h and i). In November and early December, the enhanced more propagation of planetary wave moves upward, and the convergence of the E P fluxinto the stratosphere weakens the circumpolar westerlies and increases the temperature in the Arctic lower stratosphere, which is consistent with the enhanced downward motions shown in Fig. 55g. The trends ofin planetary wave activity and E-P flux convergence in January and February are opposite to those in early winter. Overall, the feedback ofchanges in upward wave propagation and BDC playsmake a crucial role in reversingmajor contribution to reverse the stratospheric temperature trend at the intraseasonal imtra-seasonal timescale during winter. Notably, It is worth noting that the planetary wave activity only changes noticeably before February in the ensemble mean of control runexperiments and O3clm runexperiments, and then gradually weakens—in spring. This suggests that dynamic feedback processes induced by ozone-climate interactions mainly occur in winter.



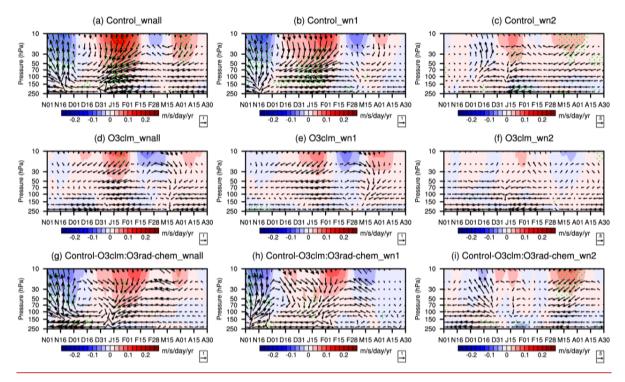


Figure 67 Trends in E-P flux (a, d and g; arrows; units of horizontal and vertical components are 10⁴ and 10² kg s⁻² yr⁻¹, respectively; an arrow pointing to the right indicates poleward propagation, whereas an arrow pointing to the left indicates equatorward propagation) and its divergence (shading) with their (b, e and h) wave 1 components (b, e and h) and (c, f and i) wave 2 components (e, f and i) over the levels between 10 and 250 hPa from 1980 1999before 2000 averaged in the subpolar regionregions (50°–80°N) during winter and spring, as derived from (a–c) the ensemble control run (a–c) experiments, (d–f) ensemble O3clm run (d–f) experiments and (g–i) the differences between the ensemble control runexperiments and O3clm (g–i) experiments. The green stippled regions indicate the trend of the E-P flux divergence significant at/above the 90% confidence level according to Student's t test. (The daily data are first processed with a 30-day low-pass filter to remove high-frequency signals).

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Previous studies emphasized that planetary waves entering the stratosphere are primarily modulated by propagating conditions in the upper troposphere and lower stratosphere regions (Albers and Nathan, 2013; Hu, et al., 2019b). The refractive index (RI) is a good metric for assessing the atmospheric state for planetary wave propagation. Theoretically, regions with a larger RI are more favorable for planetary wave propagation (Andrews et al., 1987). On the basis of the formula of the RI (see Equation Eqs. (4) in the Methods section), the second term of the RI is a constant with specific wavenumbers, and the third term of the RI is negligible compared to the changes in the first term (Simpson et al., 2009; Hu, et al., 2019b; Hu, et al., 2022). It is suggested that variations in the meridional gradient of zonal mean potential vorticity (\overline{q}_{φ} \overline{q}_{φ}) could account for most of the changes in the RI at mid- and high- latitudes (Simpson et al., 2009; Zhang et al., 2020). Figure 78 shows the daily evolution

of the trend in the RI, the vertical component of the E-P flux $\frac{\text{and}}{q_{\varphi}}(\underline{F_z})$ and \overline{q}_{φ} averaged between 45°-75°N and U60 (zonal wind at 60°N) in the middle and lower stratosphere (30between 50–150 hPa) averaged between 45° and 75°N from November to February from 1980–1999 before 2000. The datasets are derived from the ensemble control runexperiments and O3clm runexperiments. In the ensemble control runexperiments, significant positive trends in the RI persist until mid-December during November in the middle and lower stratosphere (black linesline), implying that more planetary waves could enter the stratosphere due to ozone-climate interactions; in early winter. This corresponds to the strengthened vertical component of the E P flux in the stratosphere F_z (purple line in Fig. 8a; and Fig. 6a7a). Higher $\overline{q_{\varphi}}$ $\overline{q_{\varphi}}$ values (blue line) lead to a larger RI, providing favorable atmospheric conditions for upward wave propagation. Note that the positive trends in \bar{q}_{φ} and RI lead the increasing F_z by about week. However, after mid-December, the RI trends become negative in the middle and lower stratosphere, suppressing upward wave propagation, which is consistent with the reduced E-P flux during this period (Figs. $\frac{6a7a}{a}$). There is a remarkable reversal of $\frac{1}{q_{\varphi}}$ as a precursor. The reversal of the PV gradient (\overline{q}_{φ}) is primarily <u>driven by changes</u> in <u>late December.the zonal wind vertical shear term (U_{zz} term; not shown).</u> The negative \overline{q}_{φ} \overline{q}_{φ} trend persists until February in the middle and lower stratosphere, which basically corresponds to a negative trend in the RI, which consequently affects the intraseasonal intra-seasonal reversal signal in the E-P flux (Figs. 6b7a, 8a). Therefore, changes in \bar{q}_{ω} \overline{q}_{φ} could serve as the main factors factor influencing the changes in the RI, consequently impacting the propagation of planetary waves. In However, in the ensemble O3clm runexperiments, for most of winter, the RI reveals slight and F_z show insignificant negative trends in early November. This finding indicates that planetary waves are more likely to be reflected in the middle and lower stratosphere. However, from late November to mid January, the RI exhibits positive trends, and after mid December, the RI trends become negative until mid January. The results derived from the O3clm run, which are markedly different and almost opposite from those derived from the ensemble control runexperiments. Overall, the results indicate the impact of ozone-climate interactions resulting from ozone depletion on wave propagation conditions. The feedback of ozoneclimate interactions triggered by ozone depletion could modulate stratospheric temperature and zonal winds, influencing \bar{q}_{α} and the RI, which play a key role in upward wave propagation during early winter. through influencing \overline{q}_{φ} and the RI.

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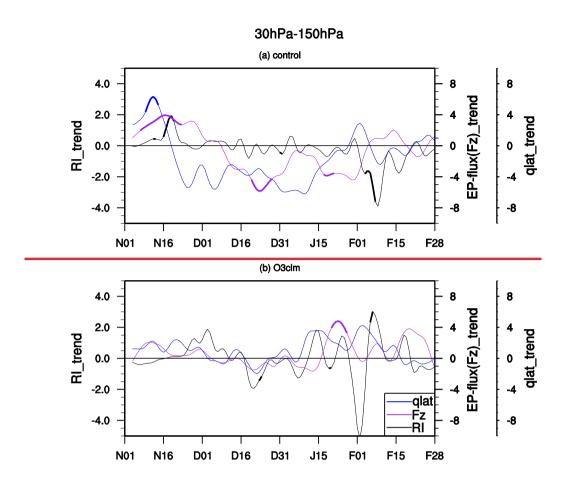


Figure 7 Daily evolution of the trends in the RI, vertical component of the E-P flux and \overline{q}_{φ} from 1980-1999 at 50-150 hPa from 1 November to 28 February, derived from the control run (a) and O3clm run (b). The solid lines indicate the trends in the significant RI, vertical component of the E-P flux and \overline{q}_{φ} at/above the 90% confidence level according to Student's t test.

Figure 8

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Nathan and Cordero (2007) pointed that wave-induced ozone heating decrease wave drag by about 25% in the lower stratosphere, favoring planetary wave propagation at this altitude during early winter in the present study (Fig. 7a, g). Additionally, they pointed out that photochemically accelerated cooling due to ozone augments the Newtonian cooling and increases the wave drag by a factor of two in the upper stratosphere, which is in accordance with our finding that ozone-climate interactions enhance the upper stratospheric E-P flux convergence (Fig. 7a, g). These analysis results highlight how ozone-climate interactions affect stratospheric dynamics processes. Specifically, in the ensemble control experiments, positive zonal

wind vertical shear anomalies (not shown) at middle-latitudes in November increase the q_{φ} , which in turn raises the RI and enhances the F_z . The increase in planetary waves in early winter weakens the polar vortex compared to that in the O3clm experiment, leading to deceleration in circumpolar westerlies during mid-December and January (red lines in Fig. 8). The decreased zonal wind at 60°N further suppresses the vertical propagation of planetary wave in the subsequent winter months, corresponding to the intra-seasonal reversal of F_z before and after January. Then, the weakening of F_z in the ensemble control experiments allows for a stronger recovery of the polar vortex due to wave-flow interaction in February compared to the O3clm experiments (red lines in Fig. 8). This intra-seasonal reversal of F_z explains the reversals of BDC and temperature around December (Figs. 3, 5), and this feature disappears in the ensemble O3clm experiments in which the ozone-interactions are cut off, highlighting the key role of ozone-climate interactions in modulating stratospheric dynamics processes. It is worth noting that the stronger polar vortex during late winter in control experiments than O3clm experiments is also related to the radiative cooling, which will be discussed in Figure 11.

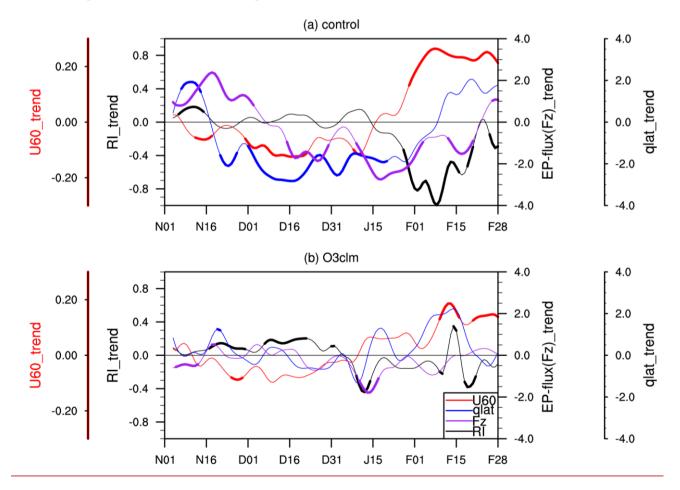
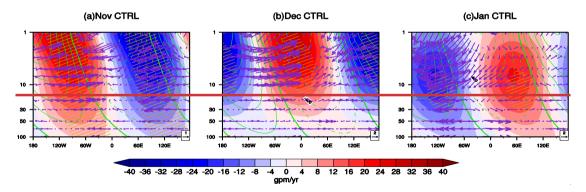


Figure 8 Daily evolution of the trends in the RI (black lines), vertical component of the E-P flux (\underline{F}_z ; purple lines), \underline{q}_{φ} (blue lines), U60 (zonal wind at 60°N; red lines) before 2000 at 50–150 hPa averaged in mid-latitude (45°–75° N) from 1 November to 28 February, derived from (a) the ensemble mean of the control experiments and (b) O3clm experiments. The solid lines indicate the trends in the significant RI, vertical component of the E-P flux and \underline{q}_{φ} at the 90% confidence level according to Student's t test (The daily data are first processed with a 7-day low-pass filter to remove high-frequency signals).

Figure 9 shows the trends in the zonal wavenumber 1 geopotential height and its climatology from November to January, as well as the difference in the T-N wave activity flux between the highlow-ozone (1980–1985) and lowhigh-ozone (1997–2002) periods. In the ensemble control runexperiments, the climatological mean displays a westward-tilted structure with increasing height. In November, the zonal wavenumber 1 geopotential height tendency is somewhat in phase with the climatological mean, indicating an amplification of wavenumber 1 baroclinic waves, particularly in the lower stratosphere. Furthermore, the T-N wave flux propagates upward to the eastern hemisphere, causing the wave center of the geopotential height to move eastward. In December, the positive center of the geopotential height anomalies shifts from 90°W to 0°, and the negative center shifts from 90°E to 180°, indicating that the geopotential height anomalies have shifted eastward by approximately 90° compared with those in November. As a result, the geopotential height anomalies are out of phase with the climatological mean, corresponding to weakened upward wave propagation in December. Note that there remains enhanced eastward wave propagation in the eastern hemisphere in the upper stratosphere during this month, leading to a further 60° eastward shift in geopotential height anomalies and a continuous weakening of stratospheric planetary wavenumber 1 in January. Correspondingly, an intra-seasonal reversal signal in the E-P flux is observed found in the ensemble mean of the control runexperiments (Fig. 67).



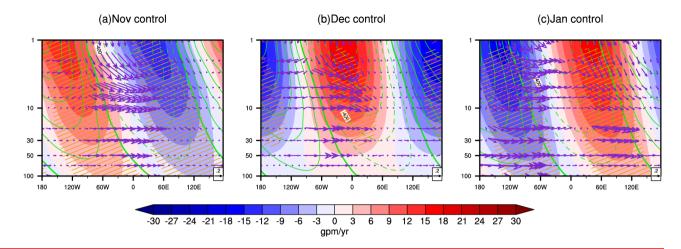


Figure 89 Height-longitude cross sections along 60°N of the zonal wave-1 geopotential height trend from 1980-1999before 2000 (shaded areas) and the difference in T-N flux between the low-ozone period (1980-1985) and high-ozone period (1997-2002) (vector arrows). derived from the ensemble control experiments. The green contours indicate the climatological mean of the zonal wave-1 geopotential height derived from the ensemble mean of the control runexperiments. The orange lines represent values that are statistically significant at the 90% confidence level according to Student's *t* test.

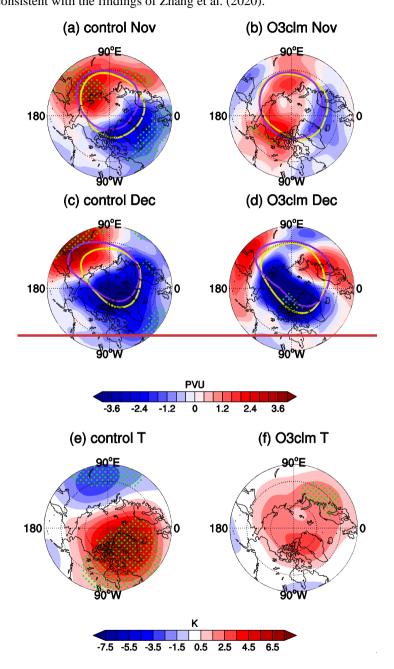
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In addition, the propagation of planetary wavenumber 1 can drive the Arctic stratospheric polar vortex toward Eurasia and promote polar vortex shift events (Mitchell et al., 2011; Zhang et al., 2016; Huang et al., 2018). The impacts of ozone-climate interactions on the position of the Arctic stratospheric polar vortex remain unclear. Figure 910 showdepicts the potential vorticity (PV) differences and stratospheric polar vortex edge between the low- and high-ozone periods, derived from the ensemble control and O3clm runsexperiments. In the ensemble control runexperiments, there are positive PV anomalies in eastern Eurasia during November and December in the low-ozone period, along with a shift in the position of the polar vortex edge toward eastern Eurasia. In contrast, in the ensemble O3clm runexperiments, the polar vortex edge remains more stableshows no significant shift during the low-ozone period than compare to that during the high-ozone period, and there are no significant changes in the PV anomaly in eastern Eurasia. These findings further indicate that the ozone-climate interactions play a significant role incould amplifying planetary wavenumber 1 (as shown in Figs. 6, 87, 9), thereby influencing the shift in the polar vortex during early winter. The results indicate that the dynamic feedback of ozone-climate interactions significant influences on the position of the polar vortex in early winter. Figure 9e, f shows the temperature differences averaged in November and December between the low ozone and high ozone periods, which are derived from the control and O3clm runs. In the control run, there is a dipolar structure in the temperature In addition, the positive PV anomalies in the middle and lower stratosphere (30 100 hPa). The temperature experiences a significant decline over the eastern Eurasia in the control run compared with that in the O3clm run, accompanied by a stronger decline in this region than in other regions at the same latitudes, corresponding to the shift in the polar vortex (Fig. 9a, c). In contrast, in the O3clm run, there is a single structure in the temperature anomalies. In addition, the results of induced by the polar vortex shift are consistent with the increased midlatitude \bar{q}_{φ} (Fig. 8a) and the enhanced stratospheric planetary wave activity, in November (Figs. 7, 8), which reconfirms that the ozone-climate interactions can modulate stratospheric temperature through dynamic processes wave-mean flow interaction in early winter, which is consistent with the findings of Zhang et al. (2020).



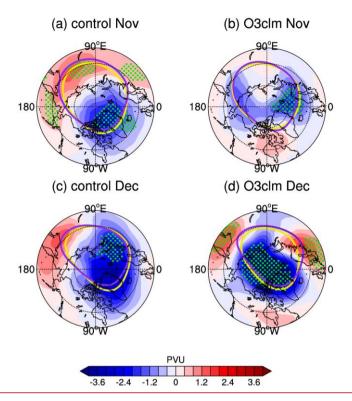
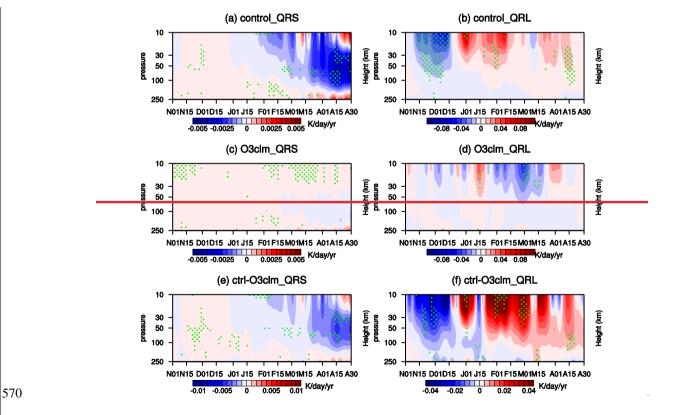


Figure 910 Differences in PV between the low-ozone period (1997–2002) and high-ozone period (1980–1985) averaged between 430 and 600 K (shaded) in (a, b) November (a, b) and (c, d) December (e, d) derived from (a, c) the ensemble control run (a, e) experiments and (b, d) O3clm run (b, d) experiments. The edges of the polar vortex in the low-ozone period and high-ozone period are represented by purple lines and yellow lines, respectively. The differences in temperature between the low-ozone and high-ozone periods averaged between 30 and 100 hPa (shaded), averaged between November and December, which are derived from the control (e) and O3clm (f) runs. The green dotted regions denote that the difference of PV is statistically significant at the 90% confidence level according to Student's t test.

It is then natural to ask why there are distinct temperature responses in Arctic winter, in the absence of solar radiation, between the two experiments with and without ozone-climate interactions. Figure 1011 shows the evolution of shortwave heating rate (referred to as the QRS) and longwave heating rate (referred to as the QRL) from November to April in the two experiments. Both the ensemble control and O3clm runsexperiments reveal relatively weak QRS trends from November to mid-February because sunlight cannot reach the Arctic region. Inregions. From the ensemble mean of the control runexperiments, the QRL heating from November to early December shows a negative trend corresponding to the longwave cooling effect. (Seppälä et al., 2025). In contrast, in the ensemble O3clm runexperiments, the ozone-climate interactions are removed and there is no significantare weaker QRL trend-trends, which may be solely contributed by GHGs. The QRL cooling in the ensemble control runexperiments occurs because a warmer air parcel corresponding to the positive temperature trend in early winter emits more longwave radiation and hence cools faster. Lin and Ming (2021) noted that radiative damping due to longwave

cooling could induce ozone-circulation interactions by increasing intensify wave dissipation and modulating stratospheric circulation. further enhance subsidence of the BDC. Unlike their work, which focused on the ozone-circulation feedback processes in the Antarctic stratosphere, the present study offers more details on these processes in the Arctic winter stratosphere.

After February, the upward propagation of planetary waves and ozone-circulation feedback processes weaken, whereas the contribution of shortwave radiative processes to stratospheric temperature increases as sunlight reaches the Arctic region. The ensemble control runexperiments demonstrates that the ozone QRS shows a significant negative trend during the ozone-depletion period, which leads to a lower temperature and an intensified strengthened polar vortex (Brasseur and Solomon, 2005). However, in the ensemble O3clm runexperiments, the radiative effects of ozone-climate interactions are inactivated, leading to insignificant changes in QRS throughout the entire winter and spring. In addition, negative temperature anomalies (Fig. 2c and FigsFig. 3a, c) correspond to the colder air parcel emitting less longwave radiation and causing warming to generate positive QRL anomalies in spring. In the differences between the ensemble control runexperiments and O3clm runexperiments, QRS and QRL exhibit the same patterns imilar patterns as those in the ensemble control runexperiments. Our results demonstrate that the ozone-climate interactions during early winter, mainly influence stratospheric temperature through dynamic adjustments. In contrast, the trends in temperature during late winter and spring are primarily due to dynamic cooling and shortwave cooling overwhelming the longwave heating of radiation processes.



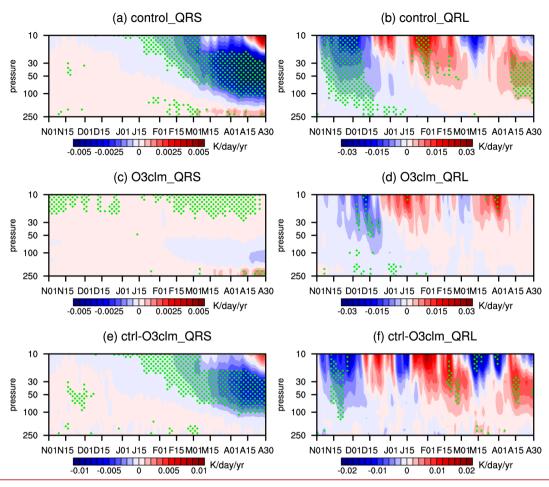


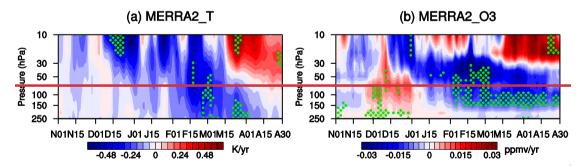
Figure 1011 Time evolution of trends in the daily shortwave heating rate (solar heating rate; QRS) and longwave heating rate (QRL) between 10 and 250 hPa in the polar <u>regionregions</u> (65°–90°N) during winter and spring derived from (a, b) the <u>ensemble</u> control <u>run (a, b)</u>, <u>O3clm runexperiments</u>, (c, d) <u>ensemble O3clm experiments</u> and (e, f) the differences between the <u>ensemble mean of the</u> two <u>runs (e, f) from 1980-1999 experiments before 2000</u>. The green dotted regions indicate that the trends are statistically significant at the 90% confidence level according to Student's *t* test.

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In the previous sections, we revealed the impact of ozone-climate interactions on stratospheric temperature and circulation during the ozone-depletion period before 2000. To understand how ozone-climate interactions work after 2000, Figure #12 further illustrates the trend in the daily variation in ozone and temperature between 10 and 250 hPa in the polar cap regions (65°–90°N) from-in the post-2000 to 2019period, on the basis of MERRA2 data. The results show an unremarkable decrease in ozone and temperature trends between 10 and 150 hPa during November. However, in December, there is a significant increasing trend in ozone across all levels and a slightly positive trend in temperature (Fig. 11a12a, b). AfterFrom February to March, the temperature and ozone in the regions of the middle and lower stratosphere show significant negative trends. These

changes are similar to those before 2000, with the difference being that the reversal of the negative trend occurs earlier, in late December. Compared with the pre-2000 period, there are positive anomalies for ozone and temperature and ozone in the middle and upper stratosphere in April after 2000, indicating that the postre-2000 period experienced greater stratospheric ozone depletion recovery (WMO, 2022).

Figure 1213 shows the results derived from the ensemble control run-experiments and ensemble O3clm runexperiments, and the difference between the ensemble mean of the two runsexperiments. The ensemble mean of control runexperiments shows an insignificant positive temperature in the lower stratospheretrend at 100–250 hPa, and a nonsignificant negative anomaly in temperature during in November and December and negative temperature trends during January and February, which is similar to the MERRA-2 results. In the ensemble O3clm run, allexperiments, the original negative temperature and ozone trends show totally different patterns with those in observation and the lower and middle stratosphere turn into positive trends ensemble control experiments. Furthermore, in the post-2000 period, the stratospheric ozone shows significant positive trends in the O3clm experiments, which is not seen in the observation and control experiments. This is because the negative ozone trends in March and April induced by ozone-climate interactions may delay ozone recovery during spring through the shortwave radiative cooling effect. Furthermore, Also note that the differences in temperature and ozone between the ensemble mean of the control runexperiments and O3clm runexperiments (Fig. 13e, f) look somewhat like the pre-2000 results, except for the early trend reversal in December, (Fig. 4c, d), butand the differences are not significant most of the time. This suggests that the ozone-climate interactions continue to work after 2000, leading to intra-seasonal reversal trends in stratospheric ozone and temperature and ozone. Furthermore, in the post 2000 period, the negative ozone trends in March and April may delay ozone recovery during spring through the shortwave radiative cooling effect.



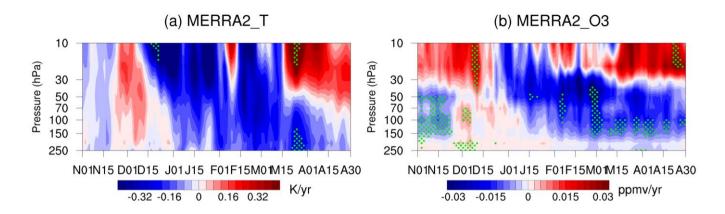
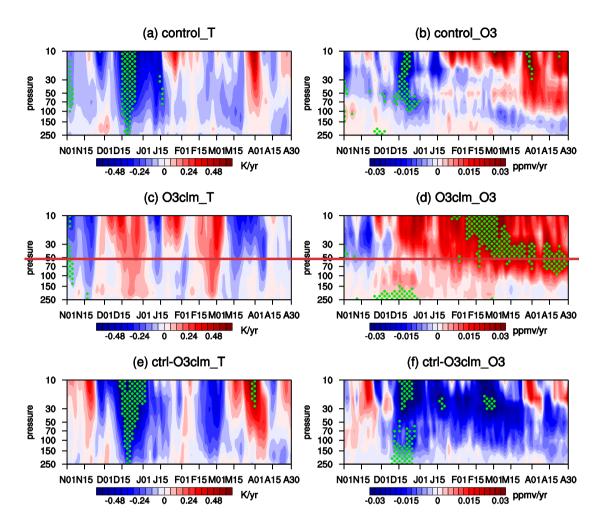


Figure 1112 Time evolution of trends in daily (a) temperature (a) and (b) ozone (b) over the levels between 10 and 250 hPa in the polar cap regions (65°–90°N) during winter and spring derived from MERRA2 from after 2000–2019. The green dotted regions denote that the trends are statistically significant at the 90% confidence level according to Student's *t* test.



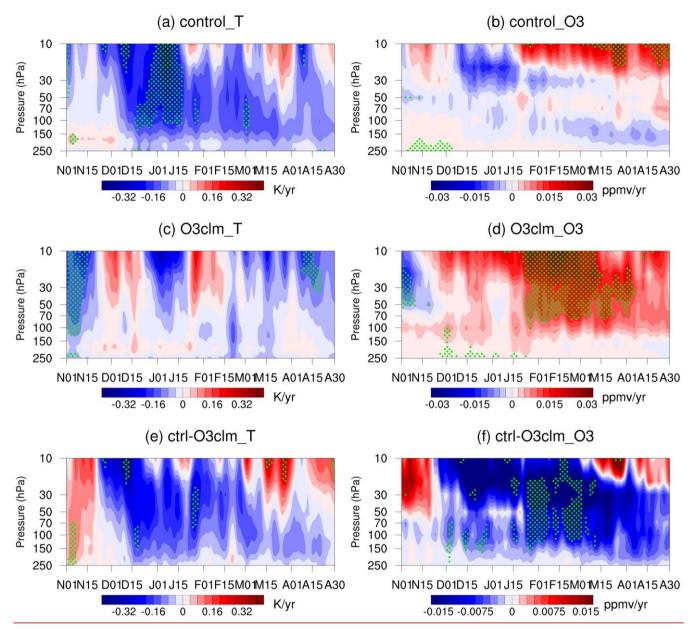


Figure 1213 Time evolution of the trends in daily (a, c and e) temperature (a) and (b, d and f) ozone (b) over the levels between 10 and 250 hPa in the polar cap regions (65°–90°N) during winter and spring derived from (a, b) the ensemble control experiments, (c, d) the ensemble O3clm experiments and (e, f) the differences between the ensemble mean of the control runexperiments and O3clm run from experiments after 2000–2019. The green dotted regions indicate that the trends are statistically significant at the 90% confidence level according to Student's t test.

5 Conclusion and discussion

This study investigates the impacts of ozone-climate interactions on the temperature trends in the Arctic stratosphere during winter and early spring, using reanalysis datasets and CESM model simulations. We found that stratospheric Arctic temperature in early winter (particularly in November and December) significantly increases from 1980 1999 period before 2000 (Figs. 2, 3 and 4), which is primarily driven by enhanced planetary wave propagation into the stratosphere and a strengthened BDC. The enhanced BDC also increases the stratospheric ozone during early winter. Notably, the ozonecirculation feedback of ozone-climate interactions plays a key role in modulating this trend. Specifically, in early winter, ozone-circulation feedback can create an atmospheric state favorable for upward wave propagation, which is induced by the <u>increases of</u> \overline{q}_{φ} in mid-latitude, and E-P flux convergence (Figs. 6, 7, 8), which can also ould lead to a strengthened BDC (Fig. 5) and thereby a positive trend in temperature and ozone (Figs. 3 and 6) during early winter. These trends in the BDC and planetary wave activity are predominantly driven by planetary wavenumber 1 (Figs. 5, 6). In addition, we found that the spatial pattern of the temperature anomalies in the middle and lower stratosphere shows a zonally asymmetric structure and we further explore the reasons for this structure. The 7). The wave-induced ozone heating increases lower-stratospheric wave propagation (Fig. 7, 8), and subsequently weakens the polar vortex during mid-winter (Fig. 10), accompanied with the decelerated circumpolar westerlies (Fig. 8). Then, the upward propagation of planetary waves is suppressed, and consequently, the Arctic stratospheric temperature show opposite trends in January and February to early winter. Additionally, the ozone-circulation feedback causes the T-N wave activity to propagate eastward and upward, resulting in the enhancement and eastward shift of the planetary wavenumber 1 (Fig. 89). This feedback causes the polar vortex to shift toward the eastern Eurasia continent and leads to a lower temperature over this region than over the other regions at the same latitudes (Fig. 9). (Fig. 10). During late winter and spring, there are negative trends in stratospheric Arctic temperature. Especially in early spring, when solar radiation reaches the pole region, polar regions, reduction in ozone shortwave coolingheating during the ozone-depletion period causesplays a crucial role in thesethe negative trends during spring (Fig. 1011). After 2000, the stratospheric temperature response to ozone changes is weaker than that from 1980 to 1999before 2000 (Figs. 11 and 12, 13).

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The ozone-climate interactions are eritical processes in modulating theseabove-mentioned Arctic stratospheric temperature trends. Similar to earlier findings, our study highlights the role of planetary wave activity and BDC in influencing Arctic stratospheric temperature. However, our study highlights the dynamic feedback mechanisms driven by ozone-climate interactions, providing a new perspective on temperature circulation feedback, which has not been extensively explored in previous studies. The present study provides more detailed information on the ozone-circulation feedback processes driven by ozone-climate interactions. The ozone-circulation feedback of interest are primarily the interactions between ozone changes, wave propagation, and BDC, which regulate the dynamics of the Arctic stratosphere. Ozone-induced changes in wave propagation could modulate the vertical motions in the Arctic lower stratosphere, leading to changes in stratospheric temperature and circulation. The ozone transport associated with circulation changes could give feedback effect on polar ozone

650 redistribution. Notably, various factors may influence the ozone-climate interactions. These factors include changes in greenhouse gasGHG concentrations, nitrous oxide, volcanic activity, or other atmospheric constituents that influence radiative and chemical processes in the stratosphere (Eric Klobas et al., 2017; Meul et al., 2016; Ravishankara et al., 2009; Revell et al., 2015; Solomon et al., 2009). This raises questions about other potential feedback mechanisms for ozone-climate interactions in the future. Future studies are needed to better understand how and to what extent these factors can influence the ozone-655 climate interactions. Additional, it is essential to Additionally, we shall acknowledge several limitations in our study. Methodologically, reliance on model simulations introduces inherent uncertainties. For example, changes in experimental conditions may affect the robustness of our results. A more complete solution to these limitations may require us to conduct longer historical simulation experiments in the future to reduce experimental uncertainties. This However, this study contributes to a better understanding of effects of ozone-climate interactions on the long-term temperature trend in the Arctic stratosphere the role of ozone in Arctic stratospheric temperature dynamics, offering valuable insights for the development of climate 660 models. Improved models could make a betterenhance predictions of stratospheric temperature changes, informing strategies for ozone protection and climate change mitigation.

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Author contributions

JZ provided ideas and formulation or evolution of overarching research goals and aims, SZ conducted experiments, produced figures, and organized and wrote the paper. JZ, XX, CZ and ZW contributed to the revisions made to the paper. CZ also helped to design the experiments.

Competing interests

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The contact author has declared that neither they nor their co-authors have any competing interests.

Date Availability Statement

The European Centre for Medium-Range Weather Forecasts (ECMWF) version 5 reanalysis dataset (ERA5) are openly available at https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=overview. The MERRA2

data are obtained from https://disc.gsfc.nasa.gov/datasets/M2I3NPASM 5.12.4/summary?keywords=%22MERRA-2%22. The CESM model is available at https://www2.cesm.ucar.edu/models/current. The data generated in this work can be obtained by contacting Siyi Zhao (120220900830@lzu.edu.cn).

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