



# The impact of particle precipitation on the ion-neutral collision frequency analyzed with EISCAT measurements

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Abstract. The ion-neutral collision frequency is a key parameter for the coupling of the neutral atmosphere and the ionosphere. Especially in the mesosphere lower-thermosphere (MLT), the collision frequency is crucial for multiple processes e.g. Joule heating, neutral dynamo effects, and momentum transport due to ion drag. Very few approaches exist to directly infer ion-neutral collision frequency measurements in that altitude range. We apply the recently demonstrated difference spectrum

- 5 fitting method to obtain the ion-neutral collision frequency from dual-frequency measurements with the EISCAT incoherent scatter radars in Tromsø. A 60-hour-long EISCAT campaign was conducted in December 2022. Strong variations of nighttime ionization rates were observed with electron densities at 95 km altitude varying from  $N_{e,95} \sim 10^9 - 10^{11} \text{ m}^{-3}$  which indicates varying levels of particle precipitation. A second EISCAT campaign was conducted on 16 May 2024 capturing a Solar Energetic Particle (SEP) event, exhibiting constantly increased ionization due to particle precipitation in the lower E region
- 10  $N_{e,95} \gtrsim 5 \cdot 10^{10} \text{ m}^{-3}$ . We demonstrate that the particle precipitation significantly impacts the ion-neutral collision frequency profile. Assuming a rigid-sphere particle model, we derive neutral density profiles and show that the particle precipitation heating causes a significant uplift of neutral gas between about 90 - 110 km altitude. We additionally test the sensitivity of the difference spectrum method to different *a priori* collision frequency profiles.

# 1 Introduction

15 The neutral atmosphere dynamics in the mesosphere lower-thermosphere (MLT) region are affected by the lower atmospheric wave-driven dynamics and the forcing due to space weather (Liu, 2016). Therefore, this region is significant for atmosphere-ionosphere coupling and consequently the impact of space weather on the Earth system including the middle and lower atmosphere. Although the neutral particle density in the MLT region can only be measured *in situ*, it still is possible to infer the





ion-neutral collision frequency  $\nu_{in}$  from remote sensing measurements. The ion-neutral collision frequency is directly correlated to the particle density of the neutral atmosphere  $n_n$ . Assuming rigid-sphere collisions, the ion-neutral collision frequency is given by Chapman (1956)

$$\nu_{in} = 2.6 \cdot 10^{-9} \cdot \left( n_n \left[ \text{cm}^{-3} \right] + n_i \left[ \text{cm}^{-3} \right] \right) \cdot A^{-0.5}.$$
<sup>(1)</sup>

Here the mean molecular ion mass A is given in atomic mass units. Equation 1 assumes that the density of the neutral atmosphere is significantly larger than the ion density  $n_i$  (which is assumed to be equal to the electron density  $n_e$ ).

- 25  $\nu_{in}$  is known to impact the shape of spectrum for incoherent scatter radar (ISR) measurements (Grassmann, 1993a; Akbari et al., 2017). Previous studies have demonstrated that the ion-neutral collision frequency can be obtained from dual-frequency ISR measurements (Grassmann, 1993b; Nicolls et al., 2014; Günzkofer et al., 2023b). However, dual-frequency ISR measurements are, at the moment, only possible with the EISCAT ultra-high and very-high frequency (UHF and VHF) radars. Therefore, the total number of dual-frequency ISR measurements remains sparse. Additionally, the multi-parameter analysis for two ISR
- 30 spectra as proposed by Nicolls et al. (2014) is not part of the standard ISR analysis software. The *difference spectrum* fitting described in Grassmann (1993b) and demonstrated by Günzkofer et al. (2023b) does overcome this problem by combining the two spectra after the standard single-frequency analysis. Although the difference spectrum method has been known for several decades, a systematic application of the technique is still missing, and, thus, the ion-neutral collision frequency and neutral density in the MLT region have not been studied extensively leveraging dual-frequency EISCAT observations.
- 35 One forcing mechanism specifically important at high latitudes is the precipitation of energetic particles along the magnetic field lines down to MLT altitudes. These particles contribute significantly to the ionization and the heating of the high-latitude thermosphere. In the MLT region, mainly precipitating electrons with energies of 10 100 keV and protons with energies of about 1 MeV contribute to the ionization of the atmosphere (Fang et al., 2010, 2013). Additionally, it has been shown that the heating due to the absorption of (extreme-) ultraviolet radiation and Joule heating alone is not sufficient to explain the
- 40 observed thermosphere dynamics (Smith et al., 1982). Thermospheric heating leads to an up-welling of the neutral atmosphere and therefore causes distinct increases of the neutral particle density, and consequently also the ion-neutral collision frequency  $\nu_{in}$ , at certain altitudes (Hays et al., 1973; Olson and Moe, 1974; Kurihara et al., 2009; Oyama et al., 2012). The additional ionization due to particle precipitation also increases the ionospheric conductivity and thereby the Joule heating (Vickrey et al., 1982). Both the direct particle precipitation heating and the additional Joule heating contribute significantly to the generation
- 45 of ionospheric irregularities, e.g. large-scale traveling ionospheric disturbances (Sheng et al., 2020). It can be seen that the particle precipitation on the MLT region plays a crucial role in space weather research and the development of thermosphere-ionosphere models (Zhang et al., 2019; Watson et al., 2021).

In this study, we investigate the impact of particle precipitation on the vertical profiles of ion-neutral collision frequency and neutral particle density in the MLT region. The ion-neutral collision frequency is inferred from combined EISCAT UHF and

50 VHF measurements. The particle precipitation impact can be estimated from EISCAT electron density measurements. To assess the influence of atmospheric tides during the measurement period, we analyze wind observations from the Tromsø meteor radar.





The measurement campaigns with the EISCAT ISRs and the Tromsø meteor radar are described in Section 2. The difference spectrum method applied to determine ion-neutral collision frequencies and the estimation of the particle precipitation energy impact is described in Section 3. The obtained results are presented in Section 4 and discussed in Section 5. The paper is concluded in Section 6 including an outlook on potential future work.

## 2 Measurements

# 2.1 EISCAT UHF and VHF radar

Dual-frequency ISR measurements can be performed with the Ultra-High Frequency (UHF) and the Very-High Frequency (VHF) radars near Tromsø, Norway (69.6° N, 19.2° E) operated by the EISCAT Scientific Association (Folkestad et al., 1983).

60 The UHF ISR applies a radar frequency of 929 MHz with a power of about 1.5 - 2 MW and the VHF ISR transmits on a radar frequency of 224 MHz and has a peak power of about 1.5 MW. The dual-frequency analysis requires both systems to be operated in the same radar mode and beam pointing to ensure overlapping observation volumes. A summary of all EISCAT instruments and experimental modes can be found in Tjulin (2022).

In this study, we will leverage a 60-hour-long dual-frequency EISCAT campaign conducted from 13 December 2022 00 UT to

15 December 2022 12 UT during the Geminid meteor shower. A second EISCAT campaign, conducted on 16 May 2024 at 06
 15 UT is analyzed as well. This campaign was scheduled to be conducted during a Solar Energetic Particle (SEP) event and therefore exhibits high particle precipitation rates. The strength of the auroral electrojet during both measurement campaigns is estimated from the SuperMAG SME index (Newell and Gjerloev, 2011; Gjerloev, 2012) shown in Figure 1.

During both campaigns, the UHF and VHF radars were operated in the *manda zenith* mode, also known as the *Common Programme (CP) 6.* This mode allows for a very high altitude resolution of only a few hundred meters in the MLT region below 110 km altitude. However, the maximum measurement altitude is limited to 200 km. The integration time for all EISCAT measurements in this paper is set to 60 s. The standard analysis software for EISCAT ISR measurements is the Grand Unified Incoherent Scatter Design and Analysis Package (GUISDAP) (Lehtinen and Huuskonen, 1996). For the analysis presented in this paper, the GUISDAP Version 9.2 was applied.

# 75 2.2 Tromsø meteor radar

To assess the tidal activity during the time of the above-described EISCAT campaigns, neutral wind measurements with the Tromsø meteor radar are analyzed (Hall and Tsutsumi, 2013). Technical details for this type of meteor radar can be found in Holdsworth et al. (2004). The Tromsø meteor radar is part of the Nordic Meteor Radar Cluster, which permits to obtain spatially resolved winds covering the same observation volume as EISCAT (Stober et al., 2021a; Günzkofer et al., 2023a). The meteor

<sup>80</sup> radar provides measurements of the neutral wind velocities at approximately 70 - 110 km altitude with a time resolution of 1 h and an altitude resolution of 2 km when derived using the retrieval methods described in Stober et al. (2022). Atmospheric tides are derived by applying an adaptive spectral filter (ASF) (Baumgarten and Stober, 2019; Stober et al., 2020). The ASF







Figure 1. SuperMAG auroral electrojet index SME during the EISCAT campaigns in a) December 2022 and b) May 2024.

is designed to determine different tidal modes using rather short windows covering only 1-2 oscillations for each tidal mode, which makes the method ideal for such campaign-based datasets. The performance and applicability of the ASF were already successfully demonstrated by leveraging observations from EISCAT and the Nordic Meteor Radar Cluster (Günzkofer et al.,

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2022).





## Methods 3

#### 3.1 **Difference spectrum fitting**

The difference spectrum fitting is one of three methods to obtain ion-neutral collision frequencies from dual-frequency ISR 90 measurements proposed by Grassmann (1993b). It was applied in Günzkofer et al. (2023b) where a detailed description of the method is given as well. The main advantage of the difference spectrum fitting is that it is based on the standard EISCAT ISR analysis package GUISDAP. Therefore, the implementation of specific software for the joint analysis of two ISR measurements as described in Nicolls et al. (2014) is not required.

In the first step, the UHF and VHF measurements are analyzed and in the second step, the obtained ISR spectra are combined.

- In this second step, the measured VHF spectrum is scaled to UHF frequencies with the UHF-to-VHF frequency ratio  $\xi \approx 4.15$ . 95 The scaled VHF spectrum is equivalent to a UHF spectrum for an electron density  $\xi^2 \cdot n_e$  and an ion-neutral collision frequency  $\xi \cdot \nu_{in}$ . Hence, the collision frequency  $\nu_{in}$  is inferred from the difference between UHF and scaled VHF spectra. Technical differences between the two radars are accounted for by introducing the so-called  $\beta$  parameter which is determined from the measurements at the uppermost range gate corresponding to approximately 200 km altitude. At this height, we assume
- a collision-less ionosphere, i.e.  $\nu_{in} \ll \omega_i$  with the ion-gyrofrequency  $\omega_i$  and, thus, the remaining differences are most likely 100 given by system-specific factors such as beam width and differences in the observation volume. A detailed description of this procedure is outlined in Günzkofer et al. (2023b), Section 3.

# 3.2 Particle precipitation heating rates

Precipitating particles affect the MLT by ionizing the neutral molecules in that region. The ionization electrons are thermalized 105 and thereby heat both the ionosphere plasma and the MLT neutral atmosphere. Assuming particle precipitation to be the dominant ionization process, the energy deposition can be estimated from ISR electron density measurements. Vickrey et al. (1982) demonstrated a method to determine the particle precipitation energy deposition assuming an empirical profile for the effective recombination coefficient. However, it has been shown that the effective recombination coefficient profile depends on the precipitating particles (electrons or protons) and energy (Gledhill, 1986). As an approximate quantification for the total particle precipitation impact, the electron density at 95 km altitude  $N_{e,95}$  measured with the EISCAT ISR is applied. The

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validity of this approximation and possible problems are discussed in Section 5.

## 4 Results

## EISCAT Geminids campaign December 2022 4.1

As described in Section 2, a 60-hour dual-frequency EISCAT campaign from December 2022 is analyzed. Figure 2 shows the 115 measured electron density and the ion-neutral collision frequency calculated with the difference spectrum method. The electron density at 95 km altitude  $N_{e,95}$  that is applied as a quantification for the particle precipitation impact is shown as well. Since





the *manda* experiment mode is optimized for the EISCAT VHF radar, the electron density is taken from these measurements. The time axis in Figure 2 is given in Universal Time (UT). The local apparent solar time (LAST) at Tromsø ( $\sim 20^{\circ}$  E) is approximately UT + 80 min.

- 120 It can be seen in Figure 2 a) that the electron density is significantly increased at nighttime. At high latitudes, it can be assumed that particle precipitation is the dominant source of nighttime ionization. During the last night from 14 to 15 December, the increase in electron density is much stronger than in the two nights before, indicating strong particle precipitation presumably due to substorm activity (see Figure 1). The electron density at 95 km altitude in Figure 2 c) shows maxima at about 13 December 00:40 UT and 22:00 UT, as well as from 14 December 19:00 UT to 15 December 04:00 UT. At the times of high
- 125  $N_{e,95}$ , Figure 2 b) shows that the ion-neutral collision frequency is strongly increased at altitudes  $\geq 95$  km. This suggests an effect of particle precipitation on the ion-neutral collision frequency. Presumably, the atmospheric heating due to precipitating particles causes uplift of the neutral atmosphere, which in turn results in an increased neutral particle density and consequently an enhanced ion-neutral collision frequency for these altitudes.
- To investigate the daily mean variation of the ion-neutral collision frequency, we bin the measured profiles in four LAST sectors (midnight, dawn, noon, and dusk). Figure 3 shows the median profiles for the four bins and two climatology profiles. The first climatology profile is considered as *a priori* profile for the standard EISCAT ISR analysis. The second climatology profile is calculated from the empirical NRLMSIS 2.0 model neutral densities (Emmert et al., 2021). As already seen in Günzkofer et al. (2023b), the two climatologies are different by a factor  $\sim 1.5 - 2$  but both show a smooth exponential decrease of the collision frequency with increasing altitude. So in addition to the LAST binning, Figure 3 shows ion-neutral collision frequency profiles
- 135 derived from EISCAT initializing the difference spectrum fit with a) the EISCAT *a priori* and b) the NRLMSIS climatological profile, respectively.

Below 100 km altitude the difference spectrum  $\nu_{in}$  profile appears to be strongly affected by the choice of *a priori* profile. However, it can be seen in Figure 3 a) that above 90 km, the difference spectrum fit starts to deviate from the EISCAT *a priori* profile towards the NRLMSIS profile. This agrees well with the results found in Günzkofer et al. (2023b). At ~ 100

- 140 km altitude, the profiles for LAST noon and dusk exhibit a sudden jump to reduced collision frequencies almost matching the EISCAT *a priori* climatology. For the December campaign, the electron density is lowest between noon and dusk resulting in low signal-to-noise ratio (SNR) ISR measurements and overall reduced measurement response. Hence, the spectral amplitude is also lowest during these times and the absolute error when fitting the difference spectrum is also decreased. Since the difference spectrum fitting method is based on a non-linear least-square fit (Günzkofer et al., 2023b), we expect for such
- 145 low SNR observations that the  $\nu_{in}$  fit remains close to the *a priori* profile. In Section 5, it is discussed at which altitude the difference spectrum fit is *a priori dominated*.

Above 100 - 105 km and with increasing electron density, our fitting approach starts to get more and more independent of the choice of the *a priori* profile, which is indicative of a sufficient measurement response. At the highest investigated altitude of 110 km, the four profiles give very similar values in both plots. Also the daily variation of  $\nu_{in}$  above 100 km altitude is

150 identical in Figure 3 a) and b). It can be seen that the ion-neutral collision frequency is significantly increased during the LAST midnight and dawn sectors. The lowest  $\nu_{in}$  values are found during the LAST noon and dusk sectors.







Figure 2. EISCAT measurements from 13 December 2022 00 UT to 15 December 2022 12 UT. a) EISCAT VHF electron density, b) ionneutral collision frequency from combined VHF and UHF measurements, and c)  $N_{e,95}$  from the VHF electron density.

The diurnal variation found in Figure 3 fits the impact of particle precipitation, especially the dawn-dusk asymmetry as the particle precipitation energy deposition is known to be larger around the morning hours (Vickrey et al., 1982). Furthermore,







**Figure 3.** Median vertical profiles of the ion-neutral collision frequency for local apparent solar midnight, dawn, noon, and dusk sectors compared to climatology profiles. As *a priori* profile for the difference spectrum fit a) the EISCAT single-frequency  $\nu_{in}$  or b)  $\nu_{in}$  calculated from NRLMSIS results can be applied. The impact of the *a priori* on the difference spectrum fit is discussed in Section 5 and illustrated in Figure 7.

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Figure 4 a) shows the median profiles for the three bins and the climatology profile. It can be seen that  $\nu_{in}$  increases with 160 increasing particle precipitation energy deposition above about 100 km altitude which explains the daily variation found in







Figure 4. a) Median vertical collision frequency profiles binned with  $N_{e,95}$ . b) Difference in neutral particle densities calculated from the collision frequency profiles for high and low particle precipitation. The gray shaded areas indicate the altitudes at which the difference spectrum fit is *a priori* dominated (see Section 5).

Figure 3 a). Additionally, we found a characteristic decrease of the ion-neutral collision frequency for high  $N_{e,95}$  at altitudes of about 90 - 100 km. The profile obtained for the lowest particle precipitation energy deposition resembles the climatology





profile while other profiles for higher  $N_{e,95}$  exhibit systematic deviations at different altitudes. The statistical interquartile uncertainties of the high particle precipitation profile are shown as a blue shaded area in Figure 4 a). The gray shaded areas

indicated the altitudes at which the difference spectrum fit is *a priori dominated* and the results cannot be considered reliable. The decrease of ν<sub>in</sub> at ~ 90 − 100 km and increase of ν<sub>in</sub> at ≥ 100 km altitude with larger N<sub>e,95</sub> indicates the uplift of neutral particles due to particle precipitation heating. Applying Equation 1, we can calculate the vertical profile of neutral particle density n<sub>n</sub> from the collision frequency profiles. Figure 4 b) shows the difference of the n<sub>n</sub> profiles obtained from the ν<sub>in</sub> profiles for N<sub>e,95</sub> < 1 · 10<sup>10</sup> m<sup>-3</sup> and N<sub>e,95</sub> > 2 · 10<sup>10</sup> m<sup>-3</sup>. The depletion of the neutral particle density below 100 km is
approximately equal to the increase above 100 km. In absolute numbers, the maximum n<sub>n</sub> decrease/increase are ±10<sup>13</sup> cm<sup>-3</sup>.

## 4.2 EISCAT SEP campaign May 2024

The dual-frequency EISCAT campaign conducted on 16 May 2024 was scheduled to be triggered by an SEP event. This allows us to study the impact of continuously high particle precipitation rates on the ion-neutral collision frequency over several hours. At approximately 100 km altitude, the main impact of particle precipitation is caused by Auroral electrons (Mironova et al.,

175 2015). We focussed our analysis on the campaign period with EISCAT measurements on 16 May 2024 from 06 - 15 UT. Figure 5 shows a) the electron density, b) the ion-neutral collision frequency, and c)  $N_{e,95}$  on 16 May 2024. The major difference compared to the first campaign is that the electron density in Figure 5 a) is generally larger than in

Figure 2 a). This is presumably caused by the increased particle precipitation energy deposition and consequently increased ionization rate due to the SEP event. The increased electron density improves the SNR for all altitudes above 95 km. Since
the solar zenith angle at the Tromsø geographic latitude is significantly lower in May compared to December, photoionization presumably contributes to the E region ionization. This is discussed in Section 5.

EISCAT measurements indicate that  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  for the entire campaign period on 16 May 2024. Therefore, all measurements on 16 May 2024 would fall in the highest  $N_{e,95}$  range by which  $\nu_{in}$  was sorted in Figure 4 a).

The ion-neutral collision frequency measurements are binned with the electron density at 95 km altitude. Two bins are applied 185 for  $N_{e,95}$  values larger or smaller than  $7.2 \cdot 10^{10}$  m<sup>-3</sup>. Figure 6 a) shows the two  $\nu_{in}$  profiles from 16 May 2024 in comparison to the NRLMSIS climatology profile and the  $N_{e,95} > 2 \cdot 10^{10}$  m<sup>-3</sup> profile from December 2022.

For the May campaign we found that the two  $\nu_{in}$  profiles for  $N_{e,95} > 7.2 \cdot 10^{10} \text{ m}^{-3}$  and  $N_{e,95} < 7.2 \cdot 10^{10} \text{ m}^{-3}$  are nearly equivalent and highly similar to the  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  profile from December 2022. Below about 90 km altitude, the December 2022 profile is significantly different from the May 2024 profiles due to the seasonal variation of the NRLMSIS

- 190 climatology that is used to initiate the dual-frequency  $\nu_{in}$  fit. However, there are additional differences between the December 2022 and May 2024 profiles above 90 km altitude presumably caused by the difference in strength of particle precipitation. Equation 1 is applied to calculate the neutral particle density  $n_n$  profiles from the  $N_{e,95} > 7.2 \cdot 10^{10}$  m<sup>-3</sup> collision frequency profile. The difference in neutral particle density  $\Delta n_n$  between the  $N_{e,95} > 7.2 \cdot 10^{10}$  m<sup>-3</sup> and the  $N_{e,95} < 1 \cdot 10^9$  m<sup>-3</sup> from December 2022 is calculated equivalent to the  $\Delta n_n$  profile in Figure 4 b). Both  $\Delta n_n$  profiles are shown in Figure 6 b).
- 195 It can be seen that the decrease/increase of neutral particle density below/above 100 km altitude is slightly more pronounced for  $N_{e,95} > 7.2 \cdot 10^{10} \text{ m}^{-3}$  than for  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$ . The maximum decrease/increase of neutral density is  $\Delta n_n \sim \pm 1.3 \cdot 10^{10} \text{ m}^{-3}$ .







**Figure 5.** a) EISCAT VHF electron density, b) ion-neutral collision frequency from combined VHF and UHF measurements, and c) electron density at 95 km altitude from the VHF measurements.





b)



Figure 6. a) Median ion-neutral collision frequency profiles from 16 May 2024 binned for  $N_{e,95}$  values larger or smaller than 7.2 m<sup>-3</sup>, The  $\nu_{in}$  profile from December 2022 for  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  is shown for comparison. b) Difference of the neutral density profiles calculated for  $N_{e,95} > 7.2 \cdot 10^{10} \text{ m}^{-3}$  and  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  (December 2022) in comparison to the low particle precipitation  $\nu_{in}$  profile from December 2022. The gray shaded areas indicate the altitudes at which the difference spectrum fit is a priori dominated (see Section 5).





 $10^{13}$  cm<sup>-3</sup>. This fits the previous observation that the uplift of neutral particles is correlated to the particle precipitation energy deposition rate. However, at most altitudes, the difference between both profiles is within the measurement uncertainty and conclusions have to be drawn carefully.

# 200 5 Discussion

The presented work shows the impact of particle precipitation on the ion-neutral collision frequency. Our implementation of the difference spectrum method relies on the GUISDAP incoherent scatter analysis, which involves several assumptions. Furthermore, additional uncertainties are introduced by the quantification of the particle precipitation impact with  $N_{e,95}$  and the calculation of and neutral density profiles. Additionally, other processes, e.g. atmospheric tides, might impact the collision

205 frequencies in the MLT region. The interpretation of Figures 4 b) and 6 b) as an observed neutral uplift also hinges on the existence of a fast transport mechanism for neutral particles. These underlying assumptions and the thereby caused uncertainties are discussed in this section.

# 5.1 The difference spectrum method

The difference spectrum method is described in Grassmann (1993b) and the limits of its application have been discussed in
Günzkofer et al. (2023b). The main uncertainty of the difference spectrum method is related to the required β parameter that is applied to compensate for technical differences between the UHF and VHF ISR when combining the two spectra. The β parameter should be determined at F region altitudes where the ionosphere can be assumed to be collision-less. However, due to the different beam shapes of the UHF and VHF radars, the β parameter varies, in fact, slightly with altitude (Günzkofer et al., 2023b). The *manda* pulse code applied for both EISCAT campaigns analyzed in this paper allows measurements up to
200 km altitude, which is below the F-layer and, thus, the *beta* parameter can only be derived with some margin of uncertainty. In this study, the β parameter is determined at this maximum altitude where the ratio of ion-neutral collision to gyro-frequency is approximately 0.02 taking into account the climatological average. This is a drawback of the *manda* pulse code compared to the *beata* mode which covers higher altitudes above 200 km and, hence the assumption of a collision-less plasma is better satisfied at these higher altitudes making the analysis more resilient for this EISCAT mode.

## 220 5.2 A priori dominated difference spectrum fit regime

An important feature noted in Figure 3 a) was a jump in the inferred ion-neutral collision frequency profile at about 100 km altitude. This jump is only found when the standard EISCAT *a priori*  $\nu_{in}$  profile is applied to initialize the dual-frequency fit and not when an NRLMSIS climatology profile is used as an initial value. Also, it is only found for the noon and dusk LAST sectors, which exhibit generally lower electron densities than the midnight and dawn sectors. We can estimated the altitudes at

which the difference spectrum fit is dominated by the *a priori* profile from the differences between the profiles in Figures 4 a) and 6 a) and the equivalently binned profiles obtained from fits with the EISCAT *a priori* profile. The profile differences for high and low  $N_{e,95}$  profiles for December 2022 and the high  $N_{e,95}$  profile for May 2024 are shown in Figure 7.









Figure 7. Deviation of  $\nu_{in}$  profiles for a priori difference spectrum fit profiles from NRLMSIS or EISCAT climatology for a) December 2022 and b) May 2024.

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As the difference spectrum method involves a non-linear least-square fit of the difference in spectral amplitude, a low SNR value results in a low measurement response and the solution tends to stay much closer to the *a priori* profile. Although the absolute least-square errors are smaller when fitting the difference spectrum, the relative errors are much larger causing the fit to accept the *a priori* profile as the solution. It can be seen in Figure 7 that for the high  $N_{e,95} \nu_{in}$  profiles, the relative deviation of profiles obtained with different a priori profiles is considerably low above 100 km altitude. Therefore, the difference spectrum fit is not impacted by the choice of a priori profile there. Below 100 km altitude, the profiles start to deviate significantly





and below about 95 km, the profile difference is nearly equivalent to the *a priori* profiles. Therefore, we determined that the 235 difference spectrum fit is a priori dominated below 95 km altitude and the obtained  $\nu_{in}$  profiles cannot be considered reliable. This altitude is therefore shown gray-shaded in Figures 4 and 6. The low  $N_{e,95}$  profiles obtained during the December 2022 campaign show a considerable dependence on the choice of *a priori* profile at all altitudes. However, the profiles appear to be not completely *a priori* dominated above about 90 km altitude and the application as base-profile for comparison with the particle precipitation impacted profiles is valid.

#### 240 5.3 Quantification of particle precipitation

To investigate the impact of particle precipitation on the  $\nu_{in}$  profile, we binned the obtained collision frequency measurements with the electron density at 95 km altitude  $N_{e,95}$ . Applying  $N_{e,95}$  as quantification for the particle precipitation impact assumes that it is the dominant ionization mechanism at this altitude. Following Fang et al. (2010, 2013), the particle precipitation impact at 95 km altitude is mainly carried by electrons with energies of about 10-100 keV and protons with energies of about 1 MeV. For particle precipitation energy rates of 1 mW m<sup>-2</sup>, the ionization rates due to particle precipitation are in the order of 245 10<sup>4</sup> cm<sup>-3</sup> s<sup>-1</sup>. Especially during December, when the solar zenith angle is very high at high northern latitudes, this should exceed the photoionization rate (Baumjohann and Treumann, 1996). During May, the solar zenith angle is considerably lower at the Tromsø geographic latitude. Therefore, photoionization rates during daytime measurements of the May 2024 EISCAT campaign possibly cannot be completely neglected and the quantification of particle precipitation impact by  $N_{e,95}$  possibly 250 introduces additional uncertainties. Our analysis is based on steady-state conditions during the ISR experiment dwell time of 60 s, which is presumably only partly justified as the energy transfer happens on much shorter time scales for individual collisions. However, this seems to be justified at least statistically for the ensemble of all precipitating particles within the observation volume. Most ionospheric dynamics take place on larger time scales but it has been shown for frictional heating processes that shorter scales do contribute as well (Brekke and Kamide, 1996). A shorter dwell time for the radar experiments is not feasible for observing E-region dynamics due to the lower total electron densities at the transition region between D- and

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E-region.

#### 5.4 **Rigid-sphere and Maxwellian collision model**

The neutral particle density differences  $\Delta n_n$  shown in Figures 4 b) and 6 b) are calculated from the  $\nu_{in}$  profiles by applying Equation 1. It is assumed that ion-neutral collisions can be described as rigid-sphere collisions. Another collision model often

applied assumes Maxwell collisions of the ions and polarized neutrals. However, it has been shown that the calculated neutral 260 density profile is independent of the choice of the collision model for these altitudes (Günzkofer et al., 2023b). Therefore, applying the more simple rigid-sphere model is justified here.







**Figure 8.** Amplitude of the semidiurnal tide in zonal (top) and meridional (bottom) neutral winds measured with the Tromsø meteor radar. The vertical red lines mark the beginning and the end of the EISCAT Geminids campaign.

# 5.5 Atmospheric tides

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Atmospheric tides are an important forcing mechanism of the MLT region (Becker, 2017). At high latitudes, the vertical propagation of diurnal tides is inhibited. However, upward-propagating semidiurnal tides gain large amplitudes and are the dominant tidal mode up to about 120 km altitude during most months of the year (Andrews et al., 1987; Nozawa et al., 2010; Stober et al., 2021b; Günzkofer et al., 2022). The tidal neutral wind amplitudes are estimated from the Tromsø meteor radar with the adaptive spectral filter (Baumgarten and Stober, 2019).

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Figure 8 shows the amplitude of the semidiurnal atmospheric tide in the zonal and meridional neutral winds as measured with the Tromsø meteor radar. Below 110 km and during the hemispheric winter months, semidiurnal oscillations are usually significantly stronger than diurnal oscillations (Andrews et al., 1987; Nozawa et al., 2010; Stober et al., 2021b; Günzkofer et al., 2022) which was also the case for the campaign period from the meteor radar data. Therefore only the semidiurnal tidal amplitudes are shown in Figure 8. The semidiurnal tide reached amplitudes of up to  $\sim 40 \text{ ms}^{-1}$  during December 2023. However, during the EISCAT Geminids campaign, the tidal amplitude was notably lower and reached amplitudes of  $\sim 20 \text{ ms}^{-1}$ 





and lower. This suggests that the relative importance of atmospheric tides for the ion-neutral collision frequency profile is 275 considerably lower than the impact of precipitating particles.

## 5.6 Energy balance of neutral uplift

An estimation of the local energy deposition rate q can be obtained from ISR electron density measurements applying the method described by Vickrey et al. (1982). This method applies a constant, empirical profile for the effective recombination rate obtained from various ionospheric and laboratory experiments. Though Gledhill (1986) showed that the effective recombination 280 rate depends on the dominant type of particle precipitation, the method described by Vickrey et al. (1982) is applied here to estimate the local heating rate at 95 km altitude. For  $N_{e,95} > 2 \cdot 10^{10}$  m<sup>-3</sup>, the local heating rate at 95 km altitude is  $q > 0.88 \ \mu \text{W m}^{-3}$ . The height-integrated energy deposition between 90 km and 110 km altitude reaches levels of approximately  $Q \sim 3 \text{ mW m}^{-2}$ .

285 In order to assess the neutral uplift visible in the  $\Delta n_n$  profile shown in Figure 4 b), we also calculate the energy density required for the uplift. We calculate the difference in total potential energy for the neutral particle density profiles  $n_{n,1}$  (high  $N_{e,95}$  conditions) and  $n_{n,2}$  (low  $N_{e,95}$  conditions)

$$\Delta E = \int_{90km}^{110km} (n_{n,1} - n_{n,2}) \cdot 29\mathbf{u} \cdot g \cdot h \, \mathrm{d}h \approx (0.35 \pm 2.90) \, \mathrm{kJ} \, \mathrm{m}^{-2}.$$
<sup>(2)</sup>

- This assumes a mean particle mass of 29 atomic mass units. At the above calculated height-integrated energy deposition 290 rate, it would take approximately 30 h of particle precipitation to deposit the energy for the observed uplift. However, the uncertainties in Figure 4 b) influence the calculation of the energy balance in Equation 2 quite significantly, causing energy uncertainties of  $\pm 2.9$  kJ m<sup>-2</sup>. The uncertainties are therefore far larger than the median energy difference calculated in Equation 2. This means that though the ion-neutral collision frequencies profiles in Figures 3, 4 a), and 6 a) can be inferred with reasonable uncertainty, the physical impact of these uncertainties is quite major. Therefore, a considerably higher accuracy of the difference spectrum  $\nu_{in}$  measurements is required before quantitative implications can be drawn.
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## 5.7 **Reaction time of the atmosphere**

Another point that needs to be considered is the reaction time of the atmosphere gas to the heating due to particle precipitation. For a long reaction time, the binning of  $\nu_{in}$  profiles with  $Q_P$  is not justified and the delay of the neutral uplift would need to be considered. This is especially important for the December 2022 measurements with strong fluctuations in the particle precipitation rate. We estimate the vertical neutral wind induced by the particle precipitation heating at 100 km altitude following Hays et al. (1973), Kurihara et al. (2009), and Oyama et al. (2012)

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$$U_z = \frac{q}{\rho \left(c_p \frac{\delta T}{\delta z} + g\right)}.$$
(3)





pressure  $c_p$ , and the vertical neutral temperature gradient  $\delta T/\delta z$  are obtained from the NRLMSIS model. This results in vertical winds of  $U_z \sim 3.6$  m s<sup>-1</sup>. The average vertical uplift of a particle can be estimated from the energy difference in Equation 305 2 as  $\Delta h = \Delta E / (N_n \cdot 29 \mathbf{u} \cdot g)$  with the height-integrated particle density  $N_n \sim 3.75 \cdot 10^{23} \text{ m}^{-2}$ . It should be noted that  $N_n \sim 3.75 \cdot 10^{23} \text{ m}^{-2}$ . at 90 km to 110 km altitude is nearly equivalent for all neutral density profiles including the climatology NRLMSIS profile. Considering the large uncertainty of the energy difference calculation, the average uplift ranges from about 2 km to 16 km. The vertical velocity obtained from Equation 3 results in a reaction time of approximately 9-74 min. Though the majority of  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  conditions during the December 2022 campaign occurred in one several hours-long interval during the 310 night from 14 to 15 December, a reaction time  $\sim$  74 min would definitely impact the analysis presented in this paper. However, Kurihara et al. (2009) noticed that the observed reaction time is usually significantly shorter than calculated from Equation 3.

If the uplift is not caused by vertical winds but rather by a density wave, the disturbance would be transported with the phase velocity of the wave which would explain the shorter reaction time. However, the atmosphere reaction time to the particle

The above estimated  $q \sim 0.88 \ \mu \text{W} \text{ m}^{-3}$  is applied here. The neutral mass density  $\rho$ , the specific heat capacity at constant

315 precipitation generally needs to be considered when investigating short periods of strong particle precipitation.

Conclusions 6

We studied the variation of the ion-neutral collision frequency, measured by dual-frequency ISR observations during two measurement campaigns, one during the Geminid meteor shower in December 2023 and another during a solar energetic particle event in May 2024. We found a distinct diurnal variation of the  $\nu_{in}$  profile which indicates a significant deviation from the climatology depending on the time of the day. Applying meteor radar measurements, we could show that the amplitude of at-320 mospheric tides was extraordinarily low during both ISR campaigns. Therefore, we conclude that the observed diurnal variation of  $\nu_{in}$  is not primarily caused by atmospheric tides. Applying the electron density at 95 km altitude  $N_{e,95}$  as a quantification for the strength of particle precipitation, we showed that the ion-neutral collision frequency profile is significantly influenced by the particle precipitation. Below about 100 km altitude, the ion-neutral collision frequency decreases for large  $N_{e,95}$  while above about 100 km altitude,  $\nu_{in}$  is increased. Assuming a rigid-sphere ion-neutral collision model, the difference of the neutral 325 particle density profiles  $n_n$  for  $N_{e,95} < 1 \cdot 10^{10} \text{ m}^{-3}$  and  $N_{e,95} > 2 \cdot 10^{10} \text{ m}^{-3}$  was calculated. The apparent interpretation of the  $\nu_{in}$  profile variation for changing  $N_{e,95}$  is that the heating due to the precipitating particles causes an up-welling of the neutral atmosphere. This is also observed in a second ISR measurement campaign that was specifically conducted during an SEP event and therefore exhibits continuously high  $N_{e,95}$  values. We found that during the SEP event, the ion-neutral collision profiles resembled the profiles measured for the highest  $N_{e,95}$  values of the Geminid campaign. The neutral particle density 330 profiles measured during the SEP event exhibit the same, only even more pronounced, decrease/increase of  $n_n$  below/above about 100 km altitude. Changes in the ion-neutral collision frequency profile caused by up-welling due to ionospheric heating

We estimated the physical impact of the inferred ion-neutral collision frequency profiles and found a major impact of the  $\nu_{in}$ uncertainties on the energy balance of the observed atmospheric uplift. This indicates that exact quantitative conclusions have 335

have been previously reported and discussed (Nygrén, 1996; Oyama et al., 2012).





to be drawn carefully since both physically possible and impossible (in terms of energy balance) atmospheric changes are within the measurement uncertainty range. Furthermore, we performed a sensitivity analysis highlighting how different climatology profiles used to initialize the dual-frequency fitting can impact the  $\nu_{in}$  profiles for low SNR measurements often related to low electron densities. This revealed that the  $\nu_{in}$  profiles obtained with the difference spectrum method are considerably impacted by the *a priori* collision profile below 95 km altitude. This also needs to be considered when drawing conclusion

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about the observed neutral uplift.

This study has shown how neutral atmosphere dynamics in the ionospheric dynamo region can be investigated by ion-neutral collision frequency measurements with dual-frequency ISRs. Though such measurements are rare so far, they provide a promising method to investigate the impact of ionospheric processes on the neutral atmosphere.

- 345 Future dual-frequency ISR campaigns should aim to observe different storm conditions in addition to SEP events, e.g. following coronal mass ejections and unusually strong substorms and superstorms. Similarly, the impact of other ionospheric heating mechanisms, especially Joule heating, on the neutral atmosphere can be studied. Dual-frequency ISR campaigns following strong geomagnetic storms, as they can be expected during the upcoming solar maximum, could show a similar up-welling of the neutral atmosphere as caused by particle precipitation. Since the neutral atmosphere above 100 km altitude is generally
- 350 difficult to measure, dual-frequency ISR measurements might also give further insight into seasonal changes, e.g. caused by a variation of tidal and gravity wave activity around the spring and fall equinoxes. Lastly, the difference spectrum method has been applied to multiple dual-frequency ISR campaigns so far and appears to be a reliable analysis method for these experiments. However, a definite verification of the method is not possible with dual-frequency measurements. An all-time unique opportunity for triple-frequency ISR measurements might be possible when the EISCAT UHF and VHF ISRs are operated
- 355 together with the upcoming EISCAT\_3D radar (McCrea et al., 2015). The EISCAT\_3D radar will be operated at nearly the same radar frequency as the EISCAT VHF ISR but the beam shape will resemble that of the UHF ISR, which permits the quantification of the suspected impact of the different beam shapes and the corresponding differences in the observation volumes between the VHF and UHF ISRs.

*Data availability.* The data are available under the Creative Commons Attribution 4.0 International license at https://doi.org/10.5281/zenodo. 13353647 (Günzkofer et al., 2024).

*Author contributions.* FG performed the data analysis and wrote large parts of the paper. GS and CB contributed to the interpretation of the analysis and GS wrote parts of the paper. JK and DRT were PIs of the analyzed EISCAT campaigns. NG is a PI of the Tromsø meteor radar. All authors provided feedback and were involved in revising the manuscript.

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