

- **Mixing state, spatial distribution, sources and photochemical enhancement to sulfate formation of black carbon particles in the Arctic Ocean during summer** 5 Longquan Wang^{1,2}, Jinpei Yan³, Afeng Chen^{1,4}, Bei Jiang^{1,5}, Fange Yue¹, Xiawei Yu¹, 6 Zhouqing Xie $1,6^*$ Anhui Key Laboratory of Polar Environment and Global Change, Department of Environmental Science
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1 Introduction

 Black carbon, an amorphous form of carbon produced by the incomplete combustion of carbon- containing fuels or biomass, plays a crucial role in atmospheric physical chemistry by absorbing solar radiation and influencing Earth's radiation balance. Additionally, the warming effect of black carbon modifies the vertical stability of the atmosphere and alters cloud distribution, which further impacts the radiation balance (Ramanathan & Carmichael, 2008). The deposition of black carbon on ice and snow surfaces significantly reduces their albedo, accelerating the melting process. This is particularly pronounced in the Arctic region, where black carbon contributes to warming not only by heating the atmosphere but also by changing the surface albedo and through other direct and indirect radiative effects (Flanner et al., 2007; Serreze & Barry, 2011). Arctic black carbon aerosol, characterized as a transportable

Evaluating the climatic impact of black carbon aerosols in the atmosphere and on snow cover is

 In the Arctic region, the mixing state of black carbon aerosols from different sources significantly influences their radiative effects (Jacobson, 2001; Matsui et al., 2022). The internal mixing of black carbon aerosols with scattering aerosols such as sulfate and organic carbon can substantially alter the optical properties of black carbon, increasing its positive radiative forcing (Chung & Seinfeld, 2002). It

 The condensation of secondary species like sulfate, nitrate, and organic matter on the surfaces of black carbon particles significantly alters their mixing state (Ault et al., 2010). Despite this, few studies have explored the secondary processes occurring on black carbon aerosol surfaces. Black carbon is known to actively participate in some of these secondary processes. Recent laboratory research indicates that black carbon may catalyze the formation of sulfate, significantly contributing to the growth of secondary inorganic components in urban haze in China (Zhang et al., 2020). Research has also demonstrated that black carbon aerosols are photoactive, capable of releasing reactive oxygen species, including excited oxygen molecules and hydroxyl radicals, into the atmosphere. These species potentially facilitate the formation of sulfate and organic matter (Gehling & Dellinger, 2013; Li et al., 2019). Further studies have confirmed that black carbon aerosols engage in photochemical processes that enhance sulfate generation in urban settings (Zhang et al., 2021). However, such studies have not yet been extended to the Arctic region. Given the Arctic's sensitivity to climate changes influenced by aerosol species like black carbon and sulfate, this potential photochemical process could introduce significant

uncertainties in assessing the local aerosol physicochemical properties and their radiative effects.

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- **2 Materials and Methods**

2.1 Research region and instruments

 Observations were conducted aboard the R/V "Xuelong" during the 8th Chinese Arctic Expedition Research Cruise, which traversed a significant portion of the Arctic Ocean, spanning from 56.2° to 84.6°N latitude and 169.4° to 46.9°W longitude. The cruise took place from July 30 to August 27, 2017, and was segmented into five distinct phases as described in Wang et al. (2022) for detailed analysis based on the ship's location, as depicted in Figure 1, and the specific sampling times and locations for each phase are detailed in Table S1 and summarized as follows: Chukchi Sea section, denoted as Leg I, spans from 22:00 on July 30th, 2017, to 19:00 on August 1st, 2017, covering latitudes from 66.0°N to 74.8°N and longitudes from 169.4°W to 159.2°W. The high Arctic section, marked as Leg II, extends from 20:00 on August 10th to 5:00 on August 12th, 2017, ranging from 83.7°N to 84.6°N and from 132.0°E to 110.4°E. Svalbard Islands section, identified as Leg III, is from 2:00 on August 17th to 11:00 on August 19th, 2017, with latitudes from 82.6°N to 74.3°N and longitudes from 25.4°E to 2.1°E. Norwegian Sea and Iceland section, referred to as Leg IV, occurs from 14:00 on August 23rd to 14:00 on August 25th, 2017, spanning from 67.0°N to 61.2°N and from 2.1°W to 25.8°W. Atlantic Ocean section, labeled as Leg V, ranging from 14:00 on August 25th to 17:00 on August 27th, 2017, covers from 61.1°N to 56.2°N and from 25.9°W to 46.9°W. The methodology for particle detection utilized the onboard Single Particle Aerosol Mass Spectrometer (SPAMS), consistent with the techniques described by Li et al. (2011). Prior 155 to analysis, sampled particles were dried using a Nafion dryer to remove moisture. A PM_{2.5} collector was employed to filter out particles larger than 2.5 μm. The fine particles were then drawn into the vacuum system through a critical orifice, accelerated, and focused to form a narrow particle beam. These particles were subsequently exposed to two continuous Nd:YAG diode lasers (532 nm) to determine their aerodynamic diameter based on their velocity. Each particle was then ionized by an Nd:YAG laser (266 nm) to generate positive and negative fragment ions. The ionization laser maintained a power density of 161 1.55 \times 10 $\%$ W/cm^{\sim}2. The resulting fragment ions were detected using a bipolar time-of-flight mass spectrometer. For calibration of the SPAMS, polystyrene latex spheres (PSL Nanosphere Size Standards, Duke Scientific Corp., Palo Alto) with diameters ranging from 0.2 to 2.0 μm were used. Additionally,

2.2 Data processing of SPAMS data

 Particle size and mass spectra were analyzed using the YAADA software toolkit (http://www.yaada.org/) and MATLAB (http://www.mathworks.com). Throughout the entire cruise, over 2,000,000 particles were sampled and sized using two continuous Nd:YAG diode lasers (532 nm). Nearly 290,000 particles were ionized using an Nd:YAG laser (266 nm), generating both positive and negative ion mass spectrometry data during the cruise. Black carbon particles are known to produce a series of 181 characteristic signal peaks at integer multiples of 12 for the mass-to-charge ratio (m/z = \pm 12, \pm 24, \pm 36, ±48, ±60, etc.) in the spectra (Kollner et al., 2021; Qin & Prather, 2006; Roth et al., 2016; Schmidt et al., 2017; Sierau et al., 2014). Therefore, the presence of several of these signal peaks concurrently in the anionic or cationic mass spectrum of a particle suggests the presence of black carbon. In this study, we established specific criteria to identify black carbon particles among all ionized particles (Beddows et al., 2004). A particle is determined to contain black carbon if it meets one of the following conditions: 187 (1) The signal peaks of $m/z = 12$, 24, and 36 appear simultaneously in the cationic mass spectrum.

 (2) The signal peaks of m/z = -24, -36, and -48 appear simultaneously in the anionic mass spectrum. Using the specified method, approximately 80,000 particles were identified as containing black carbon. The average spectrum of black carbon particles is displayed in Figure S1. The figure reveals that, 191 in addition to the peak for carbon signals, there are prominent peaks for $\frac{39}{K^+}$ and $\frac{40}{Ca^+}$ in the cation 192 spectrum, as well as ${}^{26}CN/{}^{42}CNO$ and ${}^{97}HSO₄$ in the anion spectrum. To categorize these particles into

NO3.

3.2 Spatial distribution of black carbon particles

 As illustrated in Figure S3, the hourly concentration of black carbon particles along the surveyed route varied substantially, ranging from 0 to 3000 particles per hour. In the initial three segments, the concentration remained relatively low, with the highest value not surpassing 1500 particles per hour. Conversely, in Leg IV, the concentration of black carbon particles was markedly higher, reaching a peak near 3000/h, and Leg V also recorded a significant concentration of 2500/h. The proportion of black carbon particles varied from 0% to 60% across the route. Unlike the number concentration, the distribution of the proportion of black carbon particles was more uniform throughout the voyage. Figure 3 displays the hourly number and proportion of black carbon particles by box plots. The mean concentrations of black carbon particles in Legs I-V were 198/h, 148/h, 255/h, 757/h, and 345/h, respectively. The corresponding average proportions were 19.8 (±10.7) %, 24.4 (±16.2) %, 26.6 (±13.6) %, 26.1 (±10.9) %, and 26.5 (±10.5) %. Although the average concentration of black carbon particles varied significantly among the different segments, the proportion of black carbon particles relative to all particles remained relatively consistent, particularly in the last three segments where the average proportion showed minimal variation. This consistency suggests that black carbon particles are prevalent in the Arctic summer ocean boundary layer and constitute a significant and uniformly distributed component of the atmospheric aerosol. The overall average proportion of black carbon 269 particles for all segments was $24.7 \ (\pm 13.6)$ %.

 While the average proportion of black carbon particles remained relatively consistent across different segments, spatial variations in the proportions of black carbon particle types were observed (Figure 4), suggesting diverse sources of black carbon aerosols in different regions. In Leg I, the 273 predominant black carbon types were Ca-NO₃, which accounted for about 50% of the particles, followed by K-CN at approximately 25%, and K-Ni-SO⁴ and SO⁴ each at about 10%. K-SO⁴ and K-lev were nearly 275 absent. In Leg II, K-CN was the most significant, comprising about 70% of particles, with Ca-NO₃ at around 15%, K-Ni-SO⁴ and K-lev each at about 5%, SO⁴ less than 5%, and K-SO⁴ almost nonexistent. Leg III saw a dominance of K-CN and Ca-NO3, each making up about 50%, with other types collectively 278 less than 5%. Leg IV featured K-CN as the most prevalent, at about 60%, with K-Ni-SO₄ and Ca-NO₃ each contributing around 20%, SO⁴ at about 5%, and negligible amounts of K-SO⁴ and K-lev. Leg V had

3.3 Photochemical processes of Arctic black carbon aerosols

 In urban environments, it has been established that black carbon particles are photoactive, capable 295 of releasing reactive oxygen species such as excited oxygen molecules (${}^{1}O_{2}$) and hydroxyl radicals. These species participate in atmospheric chemical processes, including the catalytic formation of sulfate (Gehling & Dellinger, 2013; Li et al., 2018; Li et al., 2019; Zhang et al., 2020; Zhang et al., 2021). In the Arctic Ocean boundary layer, the release of dimethyl sulfur by marine organisms and its subsequent oxidation to sulfate is known to have significant environmental and climatic impacts (Bates et al., 1987; Charlson et al., 1987; Rap et al., 2013). However, the potential role of black carbon particles in enhancing this sulfate formation process remains unclear, a topic we explore in this section. Although black carbon particles facilitate the catalysis of sulfate formation, they do not appear to significantly promote nitrate formation (Zhang et al., 2021). Consequently, this leads to a relative enrichment of sulfate compared to nitrate in particles containing black carbon, increasing the sulfate to nitrate mass concentration ratio 305 (SNR). In this study, we utilized the ratio of the relative peak areas of sulfate ($\frac{\text{97}}{1}\text{ISO}_4$) to nitrate ($\frac{\text{46}}{1}\text{NO}_2$) to describe SNR, specifically denote the relative enrichment of sulfate in black carbon particles. The SNR for black carbon particles varied widely, ranging from 0 to 1000, with an average value of 32.25 and a median value of 8.14. This contrasts with measurements from a previous single-particle study of

 factors: (1) Common Source for Black Carbon and Sulfate Precursors: Black carbon and sulfate, along with their precursors, possibly share common sources, contributing to the increased SNR in black carbon particles. Predominantly, black carbon aerosols originate from biomass combustion, which accounts for over half of these emissions, supplemented by anthropogenic sources and transport from mid to low latitudes in this study. In the ocean boundary layer, sulfur-containing gases emitted by marine biogenic sources are primary precursors for sulfate (Becagli et al., 2016; Gondwe et al., 2003; Jarnikova et al., 2018). However, anthropogenic black carbon aerosols, such as those from ship-based emissions, may 330 also emit significant amounts of SO₂ (Davis et al., 2001; Krause et al., 2021; Winther et al., 2014). After excluding these anthropogenic sources, the average SNR for black carbon particles from biomass combustion sources was calculated at 7.85, significantly higher than in sea salt particles. Although higher SO² concentrations are noted in biomass combustion air masses, an increase in nitrogen oxides (Leino et al., 2014) suggests a limited impact on the SNR; (2) Catalysis by Transition Metal Elements: Transition metals such as iron and vanadium found in black carbon particles can catalyze the formation of sulfate (Ault et al., 2010; Zhang et al., 2019). These metals typically originate from anthropogenic activities, including ship-based emissions, and are consequently enriched in anthropogenic black carbon aerosols.

4 Summary and Implications

 In this research, we focused on extracting and analyzing black carbon-containing particles from collected atmospheric samples to understand their mixing states, sources, spatial distribution variations, and their role in enhancing sulfate formation through photochemical processes. The black carbon particles were categorized into six distinct groups based on their mixing states: K-CN, Ca-NO3, K-Ni- SO4, SO4, K-SO4, and K-lev. Our findings indicate that K-CN and K-lev, which are primarily products of biomass combustion, represent 52.3% of the sampled particles. Ca-NO3, linked to terrestrial sources, accounted for 23.7% of the particles. The remaining categories—K-Ni-SO4, SO4, and K-SO4—are predominantly derived from anthropogenic activities, such as emissions from ships, contributing to 24.0% of the particles. Spatial analysis revealed that the distribution of black carbon-containing particles is relatively stable across the Arctic regions, suggesting their pervasive presence in the Arctic summer ocean boundary layer as a significant component of atmospheric aerosols. However, the source contributions of these particles vary spatially. In the central Arctic Ocean and areas near the Norwegian Sea-Iceland and the North Atlantic Ocean, more than 50% of the black carbon particles originate from biomass combustion, with the figure rising above 70% in the central Arctic Ocean. In contrast, in the Chukchi Sea region, terrestrial transport from middle and low latitudes is the dominant source, accounting for more than 50% of the black carbon particles, while biomass combustion and anthropogenic pollution each contribute approximately 25%. Near Svalbard, biomass combustion sources and terrestrial transport are equally significant contributors to the presence of black carbon

- particles. Moreover, our study confirms that black carbon particles in the Arctic Ocean boundary layer significantly enhance sulfate formation through their involvement in photochemical reactions. This interaction not only alters the mixing state of the black carbon aerosols but also affects their radiative properties, potentially influencing the climate. This underscores the importance of understanding the complex photochemistry of black carbon in Arctic aerosols for future climate modeling and assessment strategies.
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Data availability

 Access to the raw data and products is available at DOI:10.5281/zenodo.13883324 or by contacting 409 either the corresponding author, Zhouqing Xie, at zqxie@ustc.edu.cn, or the first author, Longquan Wang, 410 at wlq1995@mail.ustc.edu.cn. The SWGDN data featured in this publication can be accessed publicly through the NASA Goddard Earth Sciences Data and Information Services Center at https://disc.gsfc.nasa.gov/.

Declaration of competing interests

- The authors declare that they have no known competing financial interests or personal relationships
- that cloud have appeared to influence the work reported in this paper.

- **Author contribution:**
- Conceptualization: Zhouqing Xie
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Figure Captions

curve, with the correlation coefficient (r) and significance level (p) provided.

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- **Figure 6**. Box plots of SNR for sea salt particles (in red) and black carbon particles (in blue), grouped
- by SWGDN. The upper and lower parts of the boxes indicate the quartile values, while the horizontal
- lines within the boxes denote the median values. Hollow squares and black dotted lines show the mean
- values. Vertical whiskers extend to capture scattered values above and below the boxes, with caps on the
- whiskers indicating the maximum and minimum values. Cross symbols mark the 99th and 1st percentile
- values, respectively.

 Figure 1. Sampling locations from open water to the high Arctic are indicated by a blue line. The expedition is divided into five segments, each outlined by dashed red boxes: Chukchi Sea section, denoted as Leg I, spans from 22:00 on July 30th, 2017, to 19:00 on August 1st, 2017, covering latitudes from 66.0°N to 74.8°N and longitudes from 169.4°W to 159.2°W. The high Arctic section, marked as Leg II, extends from 20:00 on August 10th to 5:00 on August 12th, 2017, ranging from 83.7°N to 84.6°N and from 132.0°E to 110.4°E. Svalbard Islands section, identified as Leg III, is from 2:00 on August 17th to 11:00 on August 19th, 2017, with latitudes from 82.6°N to 74.3°N and longitudes from 25.4°E to 2.1°E. Norwegian Sea and Iceland section, referred to as Leg IV, occurs from 14:00 on August 23rd to 14:00 on August 25th, 2017, spanning from 67.0°N to 61.2°N and from 2.1°W to 25.8°W. Atlantic Ocean section, labeled as Leg V, spanning from 14:00 on August 25th to 17:00 on August 27th, 2017, covers from 61.1°N to 56.2°N and from 25.9°W to 46.9°W.

 Figure 2. Spectra of various types of black carbon particles with peaks displaying strong signals highlighted in blue. The X-axis represents the mass-to-charge ratio (m/z), and the Y-axis shows the relative peak area. The cation spectrum is presented in the upper half of each map, while the anion spectrum is depicted in the lower half. (a) Spectra of K-CN black carbon particles; (b) Spectra of Ca- NO³ black carbon particles; (c) Spectra of K-Ni-SO⁴ black carbon particles; (d) Spectra of SO⁴ black carbon particles; (e) Spectra of K-SO⁴ black carbon particles; (f) Spectra of K-lev black carbon particles.

 Figure 3. Box plots of hourly counts (in red) and fractions (in blue) of black carbon particles from Leg I to Leg V. The tops and bottoms of the boxes indicate the upper and lower quartile values, respectively. Horizontal lines within the boxes denote median values. Hollow squares and dotted black lines represent mean values. Vertical whiskers extend to scattered values above and below the boxes, with caps on the whiskers indicating maximum and minimum values. Cross symbols mark the 99th and 1st percentile values, respectively.

- **Figure 4.** Fraction of each type of black carbon particles from Leg Ⅰ to Leg Ⅴ. Different colors represent
- various types of black carbon particles: blue for K-CN, green for Ca-NO3, orange for K-Ni-SO4, magenta
- for SO4, cyan for K-SO4, and red for K-lev.

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665 **Figure 5.** Correlation of SNR and R_{BC} in black carbon particles. A red dashed line indicates the linear fit

666 curve, with the correlation coefficient (r) and significance level (p) provided.

 Figure 6. Box plots of SNR for sea salt particles (in red) and black carbon particles (in blue), grouped by SWGDN. The upper and lower parts of the boxes indicate the quartile values, while the horizontal lines within the boxes denote the median values. Hollow squares and black dotted lines show the mean values. Vertical whiskers extend to capture scattered values above and below the boxes, with caps on the whiskers indicating the maximum and minimum values. Cross symbols mark the 99th and 1st percentile values, respectively.