



# Evaluating tropospheric nitrogen dioxide in UKCA using OMI satellite retrievals over South and East Asia

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Abstract. We compare tropospheric column nitrogen dioxide (NO<sub>2</sub>) in the United Kingdom Chemistry and Aerosol (UKCA) model version 11.0 with satellite measurements from NASA's Earth Observing System (EOS) Aura satellite Ozone Monitoring Instrument (OMI) to investigate the seasonality and trends of tropospheric NO<sub>2</sub> over South and East Asia (S/E Asia). UKCA is the atmospheric composition component of the UK Earth System Model (UKESM). UKCA was run with nudged meteorology, producing hourly output over S/E Asia for 2005–2015. OMI averaging kernels have been applied to the model hourly data sampled at Aura's local overpass time of 13:45±15 to allow consistent model-data comparison. Background UKCA and OMI tropospheric column NO<sub>2</sub> typically ranges between 0-2 × 10<sup>15</sup> molecules/cm<sup>2</sup>. Diurnal cycles and vertical profiles of the tropospheric NO<sub>2</sub> column in UKCA show that the daily minimum tropospheric column NO<sub>2</sub> occurs around the satellite overpass time. UKCA captures the seasonality but overestimates  $NO_2$ , by a factor of  $\sim 2.5$ , especially during winter over Eastern China and North India, at times and locations with high aerosol loadings. Heterogeneous chemistry is represented in the version of UKCA used here as uptake of N<sub>2</sub>O<sub>5</sub> on internally generated sulfate aerosol. However, aerosol surface area may be underestimated in polluted locations, contributing to overestimation of NO2. In addition, the model may underestimate emissions of volatile organic compounds and associated peroxy acetyl (PAN) formation, leading to insufficient long-range transport of oxidised nitrogen, also contributing to overestimation of NO<sub>2</sub> over polluted regions and underestimation over remote regions. Quantifying and understanding discrepancies in modelled NO<sub>2</sub> warrant further investigation as they propagate into modelling of multiple environmental issues.

#### 1 Introduction

Nitrogen oxides are key gases in atmospheric chemistry, and models need to simulate them adequately in order to faithfully represent many important environmental processes. Nitrogen dioxide (NO<sub>2</sub>) plays a central role in the atmospheric nitrogen cycle (Fowler et al., 2013) and is a precursor of the greenhouse gas (GHG) tropospheric ozone (Bucsela et al., 2008; von



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2019 (Singh et al., 2023).



Schneidemesser et al., 2015) and nitrate aerosols (Liu et al., 2016), hence contributing to climate change (Lelieveld et al., 2015). It also affects the oxidising capacity of the global atmosphere, and therefore influences other GHGs such as methane (Naik et al., 2013; Voulgarakis et al., 2013). Large concentrations of NO<sub>2</sub> can also increase the risk of acute and chronic respiratory diseases (Brunekreef et al., 2009). Deposition of NO<sub>2</sub> and other species containing reactive nitrogen can lead to the eutrophication of ecosystems and loss of biodiversity (Stevens et al., 2004; Erisman et al., 2013). About 95% of anthropogenic emissions of oxides of nitrogen (NO<sub>x</sub>: the sum of NO<sub>2</sub>, and nitric oxide, NO) are in the form of NO. In the sunlit troposphere, NO reacts with ozone (O<sub>3</sub>) to produce NO<sub>2</sub>, which photolyzes to return NO and O<sub>3</sub>, rapidly forming a photochemical equilibrium. NO2 is mainly removed by dry deposition and via oxidation to nitric acid, which readily deposits. Another sink, in darkness, is heterogeneous uptake of dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) on the surface of aerosols (Dentener and Crutzen, 1993). The relatively short (few hours) lifetime of NO<sub>2</sub> (e.g., Beirle et al., 2008) leads to strong spatial and temporal variations in its atmospheric abundance. The oxidation products of volatile organic compounds (VOCs) react with NO<sub>2</sub> to form peroxy acetyl nitrates (PANs), key constituents of photochemical smog. Photochemical smog is a brownishgrey haze which not only reduces visibility in the atmosphere but is also a health hazard (Sher, 1998; Beirle et al., 2003; Mallik and Lal, 2014). PANs are stable at low temperatures typical of the upper troposphere, but thermally unstable in the lower troposphere; they facilitate long-range transport of NO<sub>2</sub> from NO<sub>x</sub> source regions to remote sites (Fiore et al., 2018). Atmospheric NO<sub>x</sub> originates principally from vehicular exhaust, industrial boilers and electric utilities (David and Nair, 2011). Rising energy demand, urbanization, traffic and industrialization have resulted in significant increases in NO<sub>x</sub> emissions (Mijling et al., 2013). About 58% of current total global NO<sub>x</sub> emissions come from the fossil fuel combustion, followed by 23% from natural emissions and 19% from agriculture and biofuel use (Lelieveld et al., 2015). NO<sub>x</sub> emissions have been falling in North America and Europe from 2005 onwards, whereas in China they peaked around 2011 and started decreasing after 2012 (Cooper et al., 2022). In other world regions, including South Asia, NOx emissions are increasing (Krotkov et al., 2015, Shah et al., 2020, Singh et al., 2023). This study focusses on S/E Asia, home of nearly 50% of the Earth's population. Emissions can be estimated from 'bottom-up' methods using activity data and emission factors (Madrazo et al., 2018). Satellite measurements provide an independent 'top-down' approach for the determination of emissions using tropospheric column NO<sub>2</sub> (Beirle et al., 2003; Boersma et al., 2011) and inverse models. In the 1990s, the Global Ozone Monitoring Experiment (GOME) showed NO<sub>2</sub> pollution hotspots around the world (Leue et al., 2001). Since 2002, retrievals from the Scanning Imaging Spectrometer for Atmospheric Cartography (SCIAMACHY) have mapped NO<sub>2</sub> pollution at a finer spatial resolution (30 x 60 km<sup>2</sup>), with global coverage every six days, allowing the detection of trends in NO<sub>2</sub>. The launch of the Ozone Monitoring Instrument (OMI) in 2004 (Levelt et al., 2006) started providing even higher spatial resolution information (13 x 24 km<sup>2</sup>) of trace gases including tropospheric NO<sub>2</sub> (Liu et al., 2016) with daily global coverage (Boersma et al., 2008). Spectrometric observations from satellite show steady upward trends of tropospheric NO<sub>2</sub> in East China up to 2011 (Shah et al., 2020) and India up to 2015 (Krotkov et al., 2015, Singh et al., 2023). Fan et al. (2021) and Cooper et al. (2022) report decreases in column NO<sub>2</sub> over China since 2012, whereas an increase of 12.5% to 29.6% is reported over India from 2005 to





Coupled chemistry-climate models (CCMs) help us to understand the complex links between atmospheric composition, in particular GHGs and short-lived climate pollutants, such as NO<sub>2</sub>, and climate. The UK Chemistry and Aerosol (UKCA) model, the atmospheric composition component of the UK Earth System Model (UKESM), is employed in this study. The model is nudged towards reanalysis meteorology, in order to represent physical processes such as transport and mixing as realistically as possible. We evaluate modelled NO<sub>2</sub> from UKCA using the spatial and seasonal distributions of OMI NO<sub>2</sub> over South and East Asia (0-50°N and 55-145°E). Because of the high reactivity and short atmospheric lifetime of NO<sub>2</sub>, and its anthropogenic sources, its near-surface concentration has a distinct diurnal signature, as well as a dynamically varying vertical profile. OMI takes column NO<sub>2</sub> measurements at a particular time of day (local time 13:45±15 at the Equator); the vertical profile of NO<sub>2</sub> at the time of measurement strongly influences the column amount. This is because OMI measures radiation absorption at specific ultra-violet/visible (UV/vis) wavelengths, sampling air (and hence NO<sub>2</sub>) along the ray path from the Sun, via the atmosphere, to the satellite; this ray path depends upon the atmospheric albedo (i.e., cloud amount and height) at the time of measurement. An averaging kernel (AK) is required to translate the vertical profile to a column amount; the AK is a weighting profile that depends upon environmental conditions (e.g., cloud properties) at the time of measurement. The OMI column NO2 depends upon the AK and the NO2 vertical profile. Model evaluation therefore requires careful temporal sampling and application of the AK, so that the model is sampled in the same way as OMI samples the atmosphere. To understand the role of boundary layer variability, we investigate how the boundary layer height (BLH) affects UKCA simulated tropospheric column NO<sub>2</sub>. In addition to comparing mean values over the time period 2005-2015, we also compare model and satellite

The paper is structured as follows: Section 2 describes the version and experimental set-up of the UKCA model used for simulations and the satellite NO<sub>2</sub> datasets used to evaluate the model. In Section 3, we present model results for diurnal and seasonal variations of the vertical distribution of NO<sub>2</sub> and how they influence the NO<sub>2</sub> column amount, analysing the spatial distribution over South and East Asia and temporal trends over the period 2005-2015, comparing model results with satellite data. We discuss some of the reasons for model-observation discrepancies in Section 4, before drawing conclusions about model performance with respect to representation of NO<sub>2</sub> in Section 5.

### 90 2 Data and Methods

# 2.1 UKCA Model

We use the United Kingdom Chemistry and Aerosols (UKCA) model version 11.0 (Archibald et al., 2020). UKCA is an aerosol-chemistry model coupled to the UK Met Office Hadley Centre HadGEM family of climate models. UKCA simulates the atmospheric composition and climate from the surface to the mesosphere (Morgenstern et al., 2009). HadGEM acts as the dynamical core and provides components for large-scale advection, convective transport and boundary layer mixing of chemical and aerosol tracers (O'Connor et al., 2014). The UKCA stratospheric and tropospheric schemes are described and evaluated by Morgenstern et al., (2009), O'Connor et al., (2014) and Archibald et al., (2020). UKCA version 11.0 comprises



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the GA7.1 climate model (Walters et al., 2019) with the StratTrop (CheST) chemistry scheme and the GLOMAP-mode aerosol scheme. Aerosol surface area from GLOMAP is used to drive heterogeneous chemistry. The model's horizontal resolution (N96: 1.875° longitude × 1.25° latitude) is much coarser than the satellite data products used. The model is divided into 85 hybrid height levels with the model top at ~85 km. The vertical resolution is finest close to the surface and gradually decreases with height, i.e., layers are concentrated towards the surface, so the boundary layer (BL) is relatively well resolved in the model, with the lowest (surface) level ~18 m thick.

We of used variant the version 11.0 'release iob' (iob ID u-bb210: https://www.ukca.ac.uk/wiki/index.php/Release Job UM11.0 dated 7th October 2019), adding a meteorological nudging scheme to allow for a more meaningful comparison of satellite data to model output. Nudging (Newtonian relaxation) is a data assimilation technique that adjusts dynamical variables of a free-running general circulation model (GCM) using meteorological reanalysis data to allow a relatively realistic representation of the atmosphere at a given time. For nudging, the European Centre for Medium-range Weather Forecasts (ECMWF) ERA-interim data were used, and the model was run from 2005 to 2015. Monthly varying Coupled Model Intercomparison Project Phase 6 (CMIP 6) anthropogenic and biomass burning emissions of NO<sub>x</sub> and other relevant species from AerChemMIP have been used (Collins et al., 2017). No diurnal variations in anthropogenic or biomass burning emissions are applied. Natural emissions are as described by Archibald et al. (2020); in particular, lightning NO<sub>x</sub> emissions are interactive and follow the Price and Rind (1992) parameterization, whilst soil NO<sub>x</sub> emissions vary monthly but are annually invariant and use the Yienger and Levy (1995) distribution.

#### 115 2.2 Satellite NO<sub>2</sub> data

The Ozone Monitoring Instrument (OMI) is a nadir-viewing sensor that measures radiation at ultraviolet-visible wavelengths, mounted on NASA's EOS Aura satellite (Boersma et al., 2011; Liu et al., 2016). Aura travels at an altitude of 705 km in a sunsynchronous polar orbit and provides daily global coverage with a daytime local equator crossing time of 13:45±15 minutes (Shah et al., 2019). OMI measures backscattered radiation from Earth's atmosphere and surface over the wavelength range 264 - 504 nm, with a spectral resolution between 0.42 - 0.63 nm and a nadir spatial resolution of 13 × 24 km² (Dobber et al., 2006; Levelt et al., 2006). The instrument consists of a telescopic system using CCD detectors which provide it a 114° field of view corresponding to a large swath of 2600 km at the Earth's surface. OMI retrieves the ozone column and profile, aerosols, SO<sub>2</sub>, NO<sub>2</sub> and other trace atmospheric constituents such as HCHO, BrO, and OCIO using the technique of Differential Optical Absorption Spectroscopy (DOAS). OMI tropospheric column NO<sub>2</sub> data utilised here come from the Tropospheric Emission Monitoring Internet Service (TEMIS) product (Boersma et al., 2011) (DOMINO v2.0). The data has been screened to only include data with a cloud fraction of below 0.2 in addition to the good data flags while excluding data with the OMI row anomaly, using the algorithm of Braak (2010). This product includes AK information which have been used for model-satellite comparison.

The AK describes the vertical structure of the atmospheric profile, accounting for the measurement sensitivity at different locations and times (Vijayaraghavan et al., 2008; Boersma et al., 2016). In other words, the AK is a linear representation of





the vertical weighting of information content of retrieval parameters. The AK is specified as a vector, used to provide a measure of the vertical resolution of the estimate (Martin, 2008; Vijayaraghavan et al., 2008). The AKs have been applied to UKCA model NO<sub>2</sub> as shown in Equation 1:

$$y = A.x \tag{1}$$

- where *A* is the tropospheric AK, from the OMI product, with vertical values at specific pressures, *x* is the model profile (subcolumns in units of molecules/cm<sup>2</sup>), interpolated to the OMI vertical pressure grid, and *y* is the modified model tropospheric column (model sub-columns with AKs applied totalled up to the satellite defined tropopause). The AKs of each day have been applied to daily model profiles, which are then averaged to produce monthly means. This modified column is then directly compared to the satellite NO<sub>2</sub> column.
- In addition to applying the AK, the model data must be sampled at the satellite overpass time. We achieve this by producing hourly model output and matching this to the satellite data. To understand the impacts of sampling at 13:45±15 minutes local time, we compare monthly average NO<sub>2</sub> values (i.e. an average across all times of day) with a monthly average calculated just using values for between 1300-1400 local time.
- We focus our analysis on S/E Asia (Figure 1a), dividing this region into six different sub-regions, two politically (India and China) and four geographically (E China, W China, N India, and S India; Figure 1b). We focus on the N India and E China sub-regions for detailed study as these are hot-spots of high population density and high NO<sub>2</sub> emissions (Ramachandran et al., 2013; Sekiya et al., 2018). Figure 1a shows 2015 surface NO emissions; these total around 0.09-0.10 Tg N yr<sup>-1</sup> over E China and 0.07-08 Tg N yr<sup>-1</sup> over N India. Figure 1b shows percentage changes relative to 2005 in the NO surface emissions from 2005 to 2015 (from AerChemMIP, Collins et al., 2017), which show a 40-60% increase in surface NO emissions over this period in India, whereas the increase is relatively smaller (20-40%) in China. The 2005-2015 trends in surface NO emissions integrated over the whole of China and India are shown in Figure 1c. Surface NO emissions from China were 4.8 Tg N yr<sup>-1</sup> in 2005, increasing to 6.5 Tg N yr<sup>-1</sup> by 2011, followed by a decrease to 5.8 Tg N yr<sup>-1</sup> in 2015, as also reported in other studies (Miyazaki et al., 2017, Shah et al., 2020). In contrast, India has shown a consistent upward trend, with NO emissions increasing from 1.8 Tg N yr<sup>-1</sup> in 2005 to 2.5 Tg N yr<sup>-1</sup> in 2015 (Krotkov et al., 2015).

## 155 3 Results and discussion

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## 3.1 Seasonal and diurnal variations of the vertical profile of NO<sub>2</sub>

Figure 2 shows seasonal and diurnal variation of tropospheric column NO<sub>2</sub> taken directly from the UKCA model (with no vertical weighting) over N India and E China. UKCA tropospheric column NO<sub>2</sub> is generally lower over both regions during the late morning and early afternoon (the satellite overpass time), due to photochemical destruction which peaks around local mid-day, before NO<sub>2</sub> increases in the late afternoon. While NO<sub>2</sub> levels remain high during the evening/night in E China, column values decrease in N India. The diurnal cycle shows the lowest daily range in June-July-August (JJA), varying from ~5-10 × 10<sup>15</sup> molecules/cm<sup>2</sup> (over N India) and ~9-11 × 10<sup>15</sup> molecules/cm<sup>2</sup> (E China). By contrast, the December-January-



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February (DJF) diurnal cycle shows the largest ranges:  $8-17 \times 10^{15}$  molecules/cm<sup>2</sup> (N India) and  $30-55 \times 10^{15}$  molecules/cm<sup>2</sup> (E China). Seasonal diurnal variations of tropospheric column NO<sub>2</sub> for all sub-regions are shown in Figure S1. It is important to note that the UKCA does not have a diurnal cycle in emissions, so the model doesn't simulate higher NO<sub>2</sub> levels related to real-world processes like late afternoon rush hour. Rather, higher levels of NO<sub>2</sub> in the late afternoon arise solely due to dynamical and photochemical processes.

Figure 3 shows the seasonal variation in vertical profiles of NO<sub>2</sub> over N India and E China, as simulated by the UKCA model. The highest levels of NO<sub>2</sub> are found in the boundary layer, close to sources, and during winter, when the boundary layer is shallowest and when NO<sub>2</sub> loss chemistry proceeds more slowly. Levels of NO<sub>2</sub> above the boundary layer are much lower, and show seasonal maxima in summer at 10 km. NO<sub>2</sub> in the upper troposphere reflects a balance between sources associated with enhanced convection and lightning during the monsoon being partly offset by the higher summer photolysis rates. Equivalent average seasonal vertical profiles (2005-2015) for all regions are shown in Figure S2, whereas Figure S3 show the trends of the vertical profiles from 2005 to 2015 over all regions, which highlights that the vertical extent of NO<sub>2</sub> is relatively less affected by pollution in W China and S India in comparison to E China and N India.

Figure 4 shows the diurnal variation of the NO<sub>2</sub> vertical profiles over the same regions for the four seasons. The solid black line in Figure 4 shows the BLH of the model which is highest during afternoon (~1-2 km). Higher surface NO<sub>2</sub> values occur at night, and the overpass time of OMI is close to the daily minimum values of NO<sub>2</sub> throughout the vertical column, and the maximum BLH. Typically, an increase in the surface NO<sub>2</sub> concentration is observed after sunset, and the modelled BLH rapidly collapses to well below 100 m. Comparative vertical profiles for all regions are shown in Figure S4.

# 3.2 Model-satellite data comparisons: time sampling and averaging kernel impacts

The importance of time sampling and application of the AK for model-satellite comparison is shown in Figure 5, using monthly mean OMI data averaged over the whole of S/E Asia for 2005, and comparing it with UKCA column NO<sub>2</sub> data generated in several ways: (i) simple monthly mean, with no AK weighting; (ii) time-matched to the satellite overpass time using hourly model data, but with no AK weighting; and (iii) time-matched and modified by the AK weighting. Measurement uncertainty is based on the daily variation over the month.

Figure 5 shows S/E Asia regional mean OMI tropospheric column NO<sub>2</sub> ranges between 1.0 and  $2.0 \times 10^{15}$  molecules/cm<sup>2</sup> over 2005, with measurement uncertainty  $0.5\text{-}1.0 \times 10^{15}$  molecules/cm<sup>2</sup>. In comparison, the UKCA simple monthly mean tropospheric column NO<sub>2</sub> values are larger:  $2.2\text{-}2.5 \times 10^{15}$  molecules/cm<sup>2</sup> in summer and over  $4.0 \times 10^{15}$  molecules/cm<sup>2</sup> in winter. Whilst the OMI tropospheric column NO<sub>2</sub> is measured at 13:45 local time (LT), when the NO<sub>2</sub> is typically relatively low (Figures 2 and 4), the modelled simple monthly mean incorporated all time periods. Therefore, the simple monthly mean modelled NO<sub>2</sub> is substantially larger. In contrast, once the diurnal cycle is accounted for (i.e. UKCA is sub-sampled at the satellite overpass time), modelled NO<sub>2</sub> is in much better agreement with OMI, with near zero biases in summer (<1%) but in winter the model still overestimates (by  $\sim 80\%$ ). When the AKs are applied to the model (in addition to sub-sampling at



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195 13:45+15 minutes LT) the summer biases remain near-zero (<1%) and the winter overestimation is reduced (to ~50%) and the model seasonal cycle now sits within the satellite uncertainty range.

Figure 5 clearly demonstrates the importance of accounting for satellite vertical sensitivities and temporal sampling when evaluating model simulations. Applying the correct time sampling is much more important than including the AK effect. In all the subsequent analysis presented here, we only show UKCA NO<sub>2</sub> columns sampled at the overpass time and with the AK applied.

## 3.3 Seasonal and spatial variations of tropospheric NO<sub>2</sub> column

Figure 6 shows the seasonal distribution of tropospheric  $NO_2$  observed by OMI and simulated by UKCA, averaged between 2005 and 2015 over S/E Asia. The largest tropospheric  $NO_2$  columns can be seen over E. China in DJF from both OMI (>20 x  $10^{15}$  molecules/cm<sup>2</sup>) and UKCA (>30 × $10^{15}$  molecules/cm<sup>2</sup>). The seasonal minimum (in JJA) tropospheric column values compare well between OMI and UKCA and typically peak around 6- $10 \times 10^{15}$  molecules/cm<sup>2</sup>. Comparing UKCA and OMI indicates that the model is overestimating tropospheric column  $NO_2$  in the major polluted regions (e.g. E. China, the Indo-Gangetic Plain), especially in DJF. Over E. China the differences range from +50% to +100% in March-April-May (MAM), JJA and September-October-November (SON). In DJF, the model overestimation (over +150%) is more widespread and also covers the pollution outflow regions (e.g. Pacific Ocean). The peak biases in India are also in DJF and are +100% to +150%. In the background, less polluted regions, the model tends to underestimate the observations by up to 100% in all seasons.

Scatter plots of OMI vs. UKCA tropospheric column NO<sub>2</sub> (Figure 7) confirm that UKCA overestimates observations in polluted regions in all seasons. The model generally performs best in JJA, and worst in DJF. UKCA captures the observed spatial variability well with R<sup>2</sup> values of 0.87, 0.77, 0.89 and 0.88 for MAM, JJA, SON and DJF, respectively. To understand the biases due to the higher values another best fit, after removing the highest 10% of observed values, has been computed and plotted (green line). This shows that the model is performing better over the first 90% of the OMI data, although the fit is not improved in DJF. The main problem with the model appears to be an overestimate of NO<sub>2</sub> column over the most polluted regions, especially in winter.

# 3.4 Regional OMI and UKCA tropospheric column NO2 variability

Figure 8 shows time series (2005-2015) of OMI and UKCA simulated tropospheric NO<sub>2</sub> over the whole of India and China, together with over four regions (indicated by the boxes in Figure 1b). OMI tropospheric column NO<sub>2</sub> typically varies between 1-2 × 10<sup>15</sup> molecules/cm<sup>2</sup> over India (Figure 8a) with a relatively small seasonal cycle. Over China tropospheric column NO<sub>2</sub> ranges between 2-4 × 10<sup>15</sup> molecules/cm<sup>2</sup> (Figure 8b) with more pronounced seasonality. UKCA tropospheric column NO<sub>2</sub> typically ranges between 2-5 × 10<sup>15</sup> molecules/cm<sup>2</sup> and 2-12 × 10<sup>15</sup> molecules/cm<sup>2</sup> over India and China, respectively. Seasonality is captured by UKCA but the amplitude is overstated by a factor of 2-3.

Figure 8c and 8d show UKCA and OMI tropospheric column NO<sub>2</sub> over North India and East China where values typically range between  $1-2 \times 10^{15}$  and  $5-20 \times 10^{15}$  molecules/cm<sup>2</sup>, respectively. Rapid industrialization, urbanization and increased



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traffic activity have resulted in a significant increase in the air pollution over E China and N India in the past two-three decades (Ghude et al., 2008a; Kar et al., 2010; Mijling et al., 2013). This can be seen in the OMI data in East China between 2005 and 2011 as tropospheric column  $NO_2$  has increased from approximately 12 to  $19 \times 10^{15}$  molecules/cm<sup>2</sup>. The signal in North India is much smaller. Again, UKCA tropospheric column  $NO_2$  captures the observed seasonality (5-12 × 10<sup>15</sup> molecules/cm<sup>2</sup>, North India; 4-45 × 10<sup>15</sup> molecules/cm<sup>2</sup>, East China) but overstates the amplitude. UKCA reproduces the observed trend in East China, but not East India, but overestimates their magnitudes in both cases.

OMI and UKCA trends over the relatively clean regions of South India and West China are shown in Figure 8e and 8f, respectively. South India has an OMI lower tropospheric column  $NO_2$  (1-2.5 ×  $10^{15}$  molecules/cm<sup>2</sup>) and UKCA provides a good representation of the observed seasonality and magnitude. UKCA reproduces the observed marginal increase of tropospheric column  $NO_2$  between 2005 and 2011. In West China, the observed tropospheric column  $NO_2$  ranges between 0.5- $1.5 \times 10^{15}$  molecules/cm<sup>2</sup> which UKCA struggles to reproduce in magnitude (~50% lower).

# 3.5 Trends of NO<sub>2</sub> over the years 2005-2011 and 2011-2015

Over China NO<sub>x</sub> emission increased by 52% from 2005 to 2011 and thereafter decreased by 21% from 2011 to 2015 (Figure 1c) as reported elsewhere (De Foy et al., 2016; Liu et al., 2017). Therefore, we focus on OMI and UKCA trends over these time periods (Figures 9 and 10). We observed the largest trends in the DJF particularly in the UKCA between 2005 to 2011. The seasonal variations in OMI trends are small between 2005 and 2011, with differences of approximately  $0.5 \times 10^{15}$  molecules/cm<sup>2</sup>/yr. However, there have been increases in DJF across East China of up to  $1.0 \times 10^{15}$  molecules/cm<sup>2</sup>/yr, and decreases of up to  $0.5 \times 10^{15}$  molecules/cm<sup>2</sup>/yr observed over Japan, South Korea and Hong Kong. UKCA shows similar spatial distributions of changes across the majority of the domain, but overstates the magnitudes of decreases over Japan, South Korea, Hong Kong, and increases over East China. There are also substantial model decreases (approximately  $-1.0 \times 10^{15}$  molecules/cm<sup>2</sup>/yr) over East China in SON which are not present in the OMI observations. Between 2011 and 2015, both OMI and UKCA changes show a steady decrease of up to  $2.0 \times 10^{15}$  molecules/cm<sup>2</sup>/yr over East China in almost all seasons (Figure 10 right panel). There are only small changes in the OMI trends over the India from 2011-2015. Although a decrease of up to  $0.5 \times 10^{15}$  molecules/cm<sup>2</sup>/yr is observed in OMI over North India in DJF (Figure 10 left panel).

Figures 11 and 12 show scatter plots of the seasonal trends between UKCA and OMI over 2005-2011 and 2011-2015 respectively. UKCA overestimates the magnitudes of trends in NO<sub>2</sub> at most locations, with the gradients of best fits (OMI trend over the UKCA trend) in the range 0.15-0.39 for the time period 2005-2011 (Figure 11), but showing a closer correspondence (0.39-0.67) for 2011-2015 (Figure 12), when the NO<sub>2</sub> tropospheric column starts decreasing over China. The overestimation of trends by the model is consistent with the overestimation of NO<sub>2</sub> columns in polluted regions, again with the worst agreement in DJF, and better performance in JJA.





## 4 Discussion

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It is well understood that to usefully compare satellite measurements of column NO<sub>2</sub> with model simulations, the model atmosphere needs to be sampled in the same way that the satellite samples the real atmosphere (e.g., Boermsa et al, 2008, 2011, 2016). Sampling UKCA at the OMI overpass time and application of a satellite-derived vertical weighting function (averaging kernel) significantly influence the modelled NO<sub>2</sub> column and make it more comparable to the OMI values (Figure 5), although differences remain, particularly during winter, when UKCA over-estimates NO<sub>2</sub> columns.

The results presented in Figures 2 and 4 illustrate some of the challenges faced by models in accurately simulating column NO<sub>2</sub> values measured by satellite instruments such as OMI, particularly during winter (DJF) and at higher latitudes. Diurnal variations in simulated column NO<sub>2</sub> for N India and E China (Figure 2) show that at the OMI overpass time the column is changing least (it is approximately flat) in JJA, whilst in DJF it is rising towards a late afternoon peak, particularly further north. This means that any errors in the shape of the simulated diurnal cycle of NO<sub>2</sub> will translate into larger errors in column NO<sub>2</sub> in winter and at higher latitudes.

One source of error in the simulated diurnal cycle of NO<sub>2</sub> arises due to the use of diurnally invariant anthropogenic and biomass burning emissions in these UKCA simulations. Boersma et al. (2008) show that using diurnally varying NOx emissions has significant effects on the diurnal cycle of the simulated NO<sub>2</sub> column, tending to increase it during daylight hours, as this is when more emissions occur. Hence inclusion of diurnally varying emissions would likely exacerbate the model-observation differences seen in this study.

Figures 6 and 7 show that the model is overestimating NO<sub>2</sub> column over the more polluted regions, but underestimating it over the cleaner regions. This may reflect a lack of PAN formation, or equivalent sequestration of NO<sub>x</sub> in other reservoir species. PAN is a compound that locks up NO<sub>2</sub> in a reaction with the PA (peroxy acetyl) radical (Fiore et al., 2018). The PA comes from oxidation of certain volatile organic compounds (VOCs). PAN is stable at cold temperatures, but unstable at high temperatures, decomposing back to NO<sub>2</sub> and PA. If PAN formation is too low (e.g., because VOCs are too low), this may cause more NO<sub>2</sub> in source regions, and less transport of NO<sub>2</sub> to remote regions.

Another potential contributing factor to the overestimation of NO<sub>2</sub> in source regions may be underestimation of heterogeneous conversion of N<sub>2</sub>O<sub>5</sub> to nitrate aerosol (e.g., Dentener and Crutzen, 1993; Riemer et al., 2003; Chen et al., 2018) in UKCA. These modelling studies have shown that this heterogeneous chemistry tends to reduce NOx, especially during winter and in polluted regions with high aerosol loads, and it seems likely that the aerosol surface areas simulated by UKCA in these regions are underestimated.

Modelled trends in column NO<sub>2</sub> over S/E Asia are larger than trends seen in the OMI data, particularly during DJF. This is partly explained by the general overestimation of NO<sub>2</sub> columns, especially in polluted areas. Upwards trends in aerosols would tend to enhance heterogeneous loss of oxidised N, so the underestimation of this process in UKCA would lead to an overestimate of NO<sub>2</sub> trends.





## **5 Conclusions**

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In this work we evaluated tropospheric column NO<sub>2</sub> from the UKCA model using OMI satellite retrievals over S/E Asia. This required sampling the model at the satellite overpass time and application of vertical weighting profiles (averaging kernels) that account for how the satellite retrieval is influenced by the presence of clouds. UKCA can capture the NO<sub>2</sub> seasonality over S/E Asia but overestimates NO<sub>2</sub> column in all seasons, and especially in polluted regions during winter. UKCA overestimates column NO<sub>2</sub> near source regions, but underestimates column NO<sub>2</sub> in remote regions, suggesting it is not converting enough NO<sub>2</sub> into longer-lived reservoir species such as PAN. Overestimations in polluted regions may be due to the UKCA model underestimating heterogeneous conversion of N<sub>2</sub>O<sub>5</sub> to nitrate aerosol, which has been shown to quite strongly reduce NOx levels in the presence of aerosol, which is present at high levels across much of the region. UKCA also overestimates trends in NO<sub>2</sub> column over the region. Underestimation of heterogeneous chemistry may be further contributing to the trend overestimations, as the influence of increases in aerosols over time will be missed.

300 Given the importance of accurate simulation of oxidised N for many processes important to climate, air quality and the wider environment, further investigation of these discrepancies in simulated NO<sub>2</sub> in UKCA are required. In particular, we recommend inclusion of schemes to more comprehensively represent heterogeneous chemistry and diurnal variation of emissions, together with exploration of the VOC emissions and PAN formation mechanisms in the model, to see if their improved representation can lead to improvements in the simulation of column NO<sub>2</sub>.

Code and Data Availability: This work used the United Kingdom Chemistry and Aerosol model. The model outputs were pre-processed using netCDF Operator (NCO) and Climate Data Operator (CDO). The analysis was carried out using Python. Author contributions: AKP and DSS conceptualised and planned the research study. AKP performed the UKCA model simulations with support from DSS. AKP performed the model and satellite data analysis with help of AZ. RJP and MPC helped in the satellite and model data comparison. KK and RH commented on the manuscript. AKP and DSS wrote most of the first draft. All authors helped to shape the paper content by editing prior versions of the paper.

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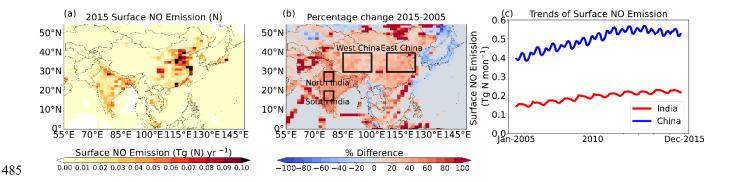


Figure 1 (a) Surface nitrogen oxide (NO) emission over S/E Asia (Tg N yr<sup>-1</sup>) in 2015; (b) Percentage change in the NO surface emissions from 2005 to 2015; (c) trends of NO surface emissions (Tg N month<sup>-1</sup>) from 2005 to 2015 over India and China. Boxes shown in (b) indicate regions referred to in the text.





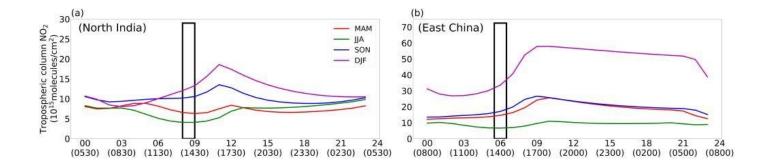


Figure 2 Diurnal cycles of tropospheric column  $NO_2$  ( $10^{15}$  molecules/cm<sup>2</sup>) simulated by UKCA over (a) North India and (b) East China for the four seasons (averaged over 2005-2015). The time axis shows the time in UTC and, in brackets, the local time. The box indicates the OMI overpass time.





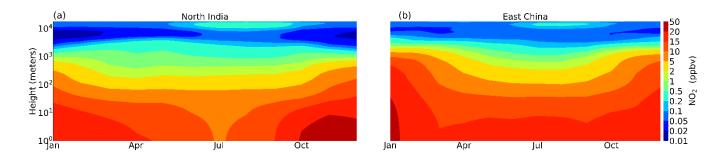


Figure 3 Average seasonal vertical profiles (2005-2015) of NO<sub>2</sub> (ppbv) in UKCA over (a) North India and (b) East China.





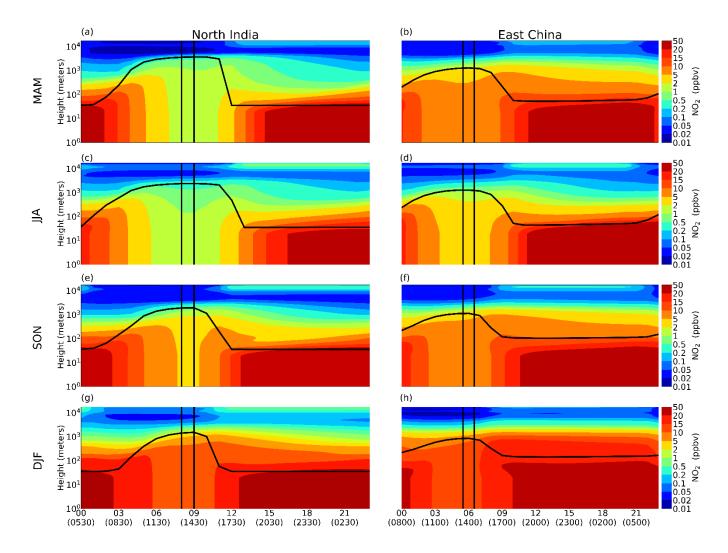


Figure 4 Diurnal vertical profile of  $NO_2$  (ppbv) simulated by UKCA over N India (left) and E China (right) for the four seasons (averaged over 2005-2015). The time axis shows the time in UTC and, in brackets, the local time. The box is the OMI overpass time. The solid black line shows the boundary layer height in the UKCA model.





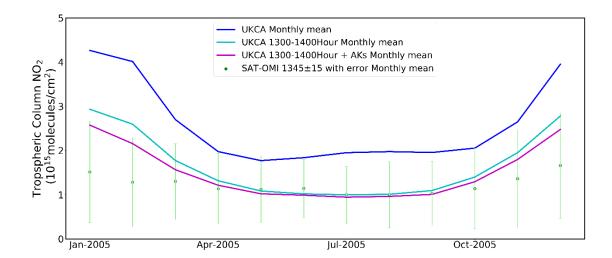


Figure 5 Comparison of monthly mean tropospheric column NO<sub>2</sub> for 2005, averaged over the whole S/E Asia region (Figure 1) from OMI (green, with uncertainty indicated by shading), and from UKCA sampled in three different ways: (i) simple monthly mean (blue); (ii) sampled at the OMI overpass time (cyan); and (iii) sampled at the overpass time and with satellite averaging kernels applied (magenta).





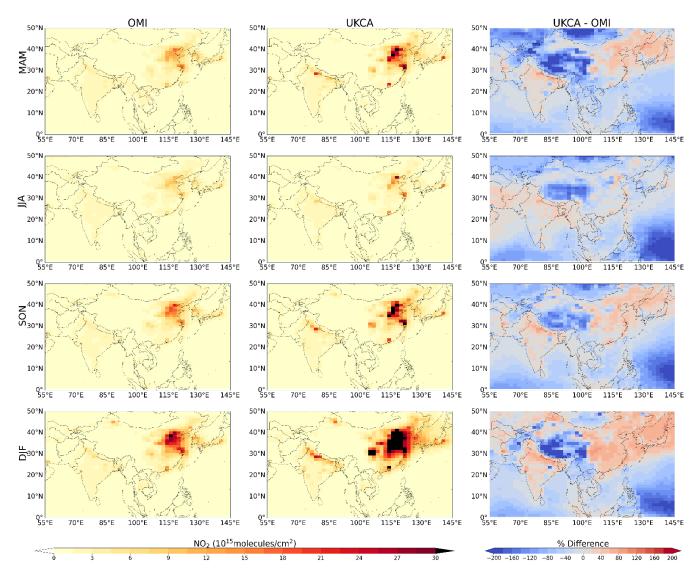


Figure 6 Seasonal tropospheric column NO<sub>2</sub> (10<sup>15</sup> molecules/cm<sup>2</sup>) distributions from OMI (left), simulated by UKCA (middle), and the percentage difference (100% x (UKCA-OMI)/UKCA)) between UKCA and OMI (right).





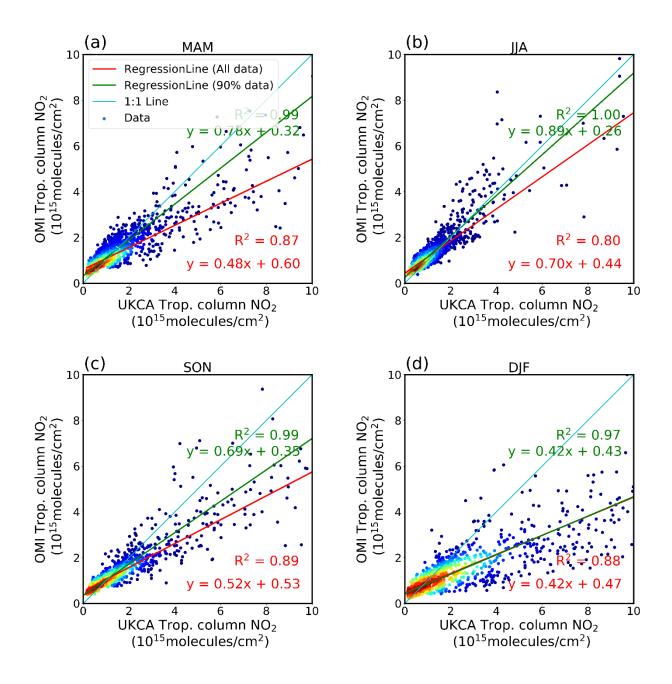


Figure 7 Scatter plots of OMI and UKCA Tropospheric column NO<sub>2</sub> for the four seasons averaged over 2005-2015. Scatter data points are plotted as a heat map where red corresponds to more data. The 1:1 line is shown in cyan colour, best fit in red line (all data) and green line (lowest 90% of data). The equations of best fit and the coefficients of determination (R<sup>2</sup>) are also shown in the respective colours.





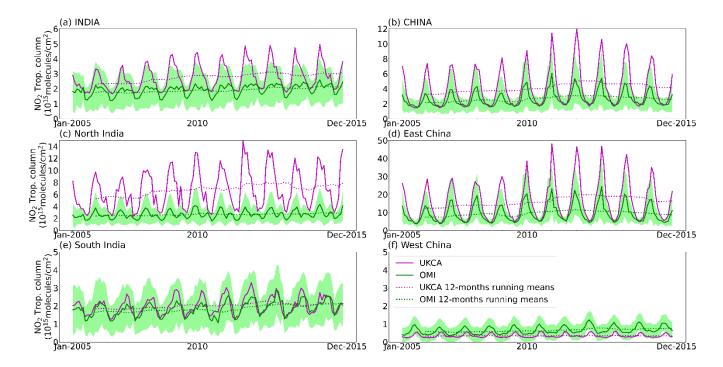


Figure 8 OMI and UKCA tropospheric column NO<sub>2</sub> (10<sup>15</sup> molecules/cm<sup>2</sup>) time series over (a) India, (b) China, (c) North India, (d)
East China, (e) South India and (f) West China. Twelve month running means are shown in the dotted lines. Regions are indicated by the boxes in Figure 1b. Green shading represents the spread in the OMI data.



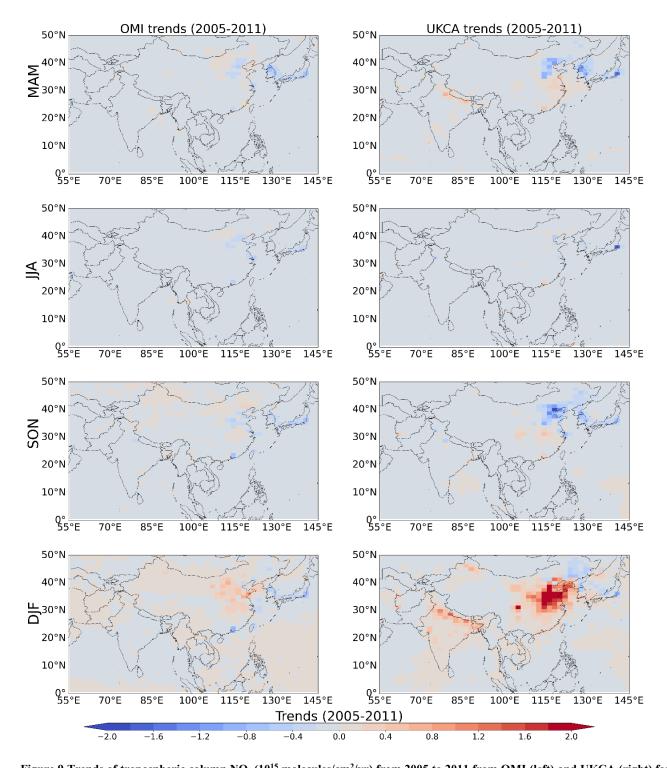


Figure 9 Trends of tropospheric column  $NO_2$  ( $10^{15}$  molecules/cm<sup>2</sup>/yr) from 2005 to 2011 from OMI (left) and UKCA (right) for the four seasons. Scatter plots of these data are shown in Figure 11.



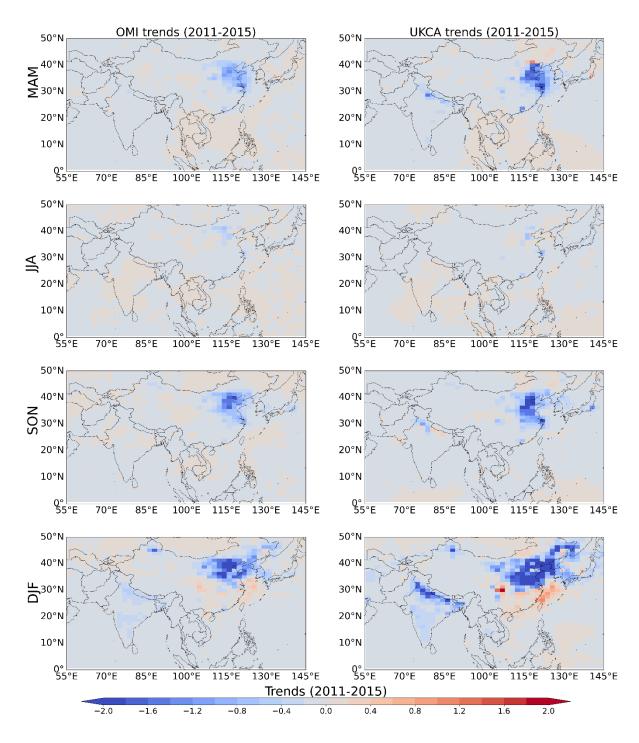


Figure 10 Trends of tropospheric column  $NO_2$  ( $10^{15}$  molecules/cm<sup>2</sup>/yr) from 2011 to 2015 from OMI (left) and UKCA (right) for the four seasons. Scatter plots of these data are shown in Figure 12.





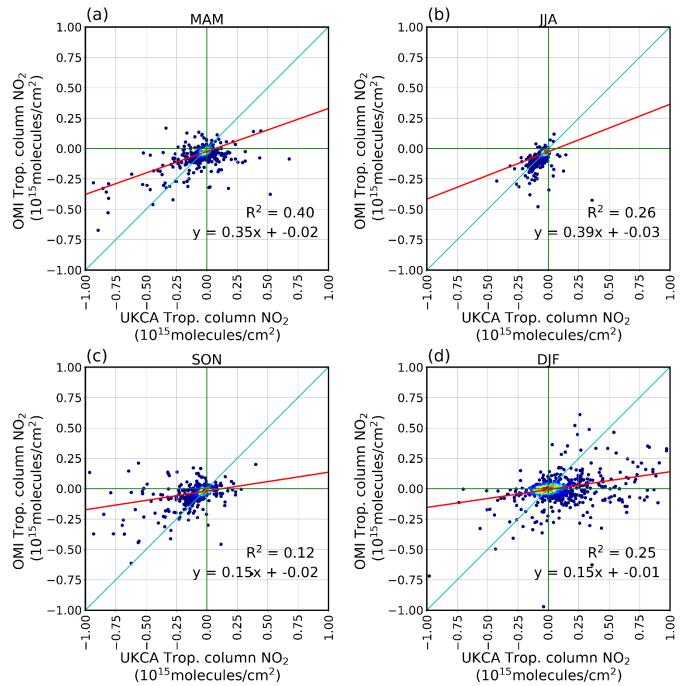


Figure 11 Scatter plot of UKCA and OMI tropospheric column  $NO_2$  trends ( $10^{15}$  molecules/cm<sup>2</sup>/yr) from 2005 to 2011 by season. The 1:1 line is shown in cyan colour, best fit line in red colour. Data points shown as a heat map where red corresponds to more data. The equation of best fit and the coefficient of determination ( $R^2$ ) are also shown.





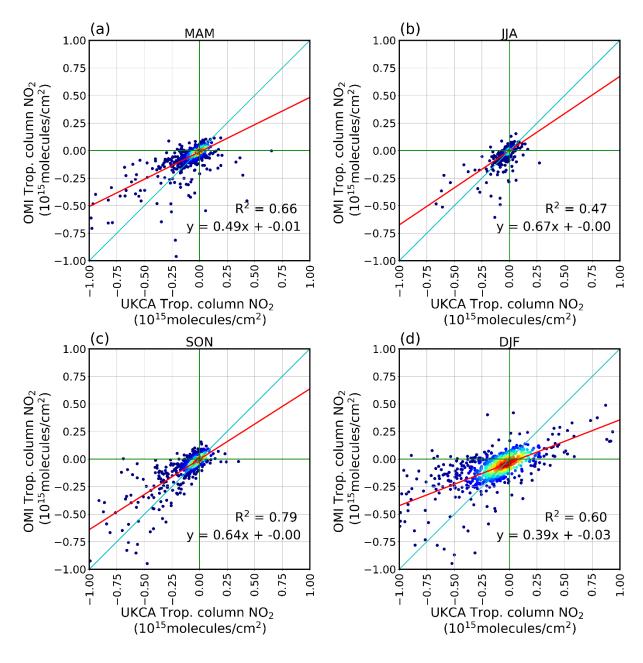


Figure 12 Scatter plots of UKCA and OMI tropospheric column NO<sub>2</sub> trends (10<sup>15</sup> molecules/cm<sup>2</sup>/yr) from 2011 to 2015 by season. The 1:1 line is shown in cyan colour, best fit line in red colour. Data points shown as a heat map where red corresponds to more data. The equation of best fit and the coefficient of determination (R<sup>2</sup>) are also shown.