

# Brief communication: Potential of satellite optical imagery to monitor glacier surface flow velocity variability in the tropical Andes

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## 10 Abstract

We present an analysis of glacier dynamics in mountain ranges of the tropical Andes of southern Peru and Bolivia using satellite data from 2013 to 2022. Despite the challenges posed by small-size glaciers, low velocities and high cloudiness during the monsoon, we map annually aggregated surface velocities and quantify the seasonal variability in the fastest parts of the glaciers. Limited trends are observed on the annual velocities over the last decade, but significant seasonal changes between the wet and the dry seasons are found, likely controlled by the seasonality in melt water production and the related changes in the hydrological conditions at the glacier-bedrock interface.

## 1. Introduction

Ice flow velocity is a critical variable characterizing the dynamics of glaciers and ice caps, serving as a valuable indicator of their response to climate change. Ice flow velocity is also of paramount importance as it enables to: (i) infer various other physical variables of glaciers such as ice thickness distribution, basal sliding or friction; (ii) quantify mass fluxes and loss through ice discharge into lakes or into the sea; and (iii) study glacial instability phenomena such as ice avalanches, glacier or ice shelves destabilizations (Gilbert et al., 2018; Millan et al., 2023; Jager et al., 2024). Until now, available observations of flow velocities for mountain glaciers (excluding ice caps) have been limited to large glaciers, temporally averaged (Millan et al., 2022), quantified at coarse spatial resolutions of hundreds of metres (GoLIVE, Scambos et al., 2016; ITS\_LIVE, Gardner et al., 2024), or at local scale (Van Wyk de Vries et al., 2022). This directly impacts the study of small glacial features in regions such as the tropical Andes, which experience some of the highest mass losses (Hugonnet et al., 2023).

30 In the tropical Andes, many studies have been dedicated to surface-area or volume changes over the last  
decades-to-centuries (e.g., Hastenrath and Ames, 1995; Rabatel et al., 2005, 2013; Basantes-Serrano et  
al., 2022) and to surface mass balance processes and their relationships with climate conditions and  
particularly the ENSO (e.g., Kaser, 2001; Francou et al., 2003; Rabatel et al., 2013), but the spatio-  
temporal variability of glacier flow in the tropical region has never been explored in details. This leaves  
35 a significant knowledge gap in our understanding of glacier dynamics and their response to changes in  
surface processes, thermal regime or subglacial hydrology under tropical conditions, with direct  
consequences on ice flow modeling capacities. However, tropical glaciers pose greater challenges than  
other mountain regions because glaciers are much smaller (a few hundred metres wide), much slower  
(flow velocity typically  $<100 \text{ m.yr}^{-1}$ ) and in climate conditions that do not favour continuous optical  
40 satellite coverage; e.g., high cloudiness during the monsoon season that typically spans from November-  
December to March-April in the Andes of Peru and Bolivia where our study is focused (e.g., Rabatel et  
al., 2012; Autin et al., 2022).  
Therefore, we propose to reconstruct and analyse, the evolution of the dynamics of glaciers located in  
tropical mountain ranges in the Andes of southern Peru and Bolivia from the years 2013 to the present,  
45 building on previous mapping from Millan et al. (2019, 2022). We explore the spatial and temporal  
variabilities of glacier flow at both decadal and seasonal scales, thus providing the first observations of  
glacier dynamics variability in a Tropics.

## 2. Methods

### 2.1. Ice velocity processing

50 The overall workflow we have developed to retrieve glacier surface flow velocity from satellite imagery  
has been fully described and validated on a large diversity of glaciers in the Andes, European Alps and  
New Zealand (Millan et al., 2019; Mouginit et al., 2023; Rabatel et al., 2023). Here we only present a  
short description of the four modules: (1) database creation and image preparation; (2) glacier surface  
displacement calculation; (3) data calibration; and (4) post-processing with data filtering and averaging  
55 to obtain glacier surface velocity maps.  
The image preparation module automatically downloads Sentinel-2 and Landsat-8 ortho-rectified images  
and create pairs of images with repeat cycles ranging from 5 to 400 days, matching acquisitions in the  
same orbits for each satellite to minimize residual stereoscopic effects. Additionally, we enhance surface  
features using a Sobel filter on Sentinel-2's band #8 and the panchromatic band of Landsat-8. To derive  
60 glacier surface displacement, we calculate the standardized cross-correlation between two images within  
a pair (details on window size can be found in Millan et al., 2019 and Rabatel et al., 2023). We  
automatically calibrate glacier surface flow velocity using ice-free areas to mitigate potential biases  
arising from relative geometric distortions or geolocation errors between image pairs (see Millan et al.,  
2019). Our region of interest (RoI) is shown in Figure 1 and encompasses several glacierized cordilleras  
65 in the Andes of southern Peru and northern Bolivia. Glacier outlines are taken from the V6.0 of the  
Randolph Glacier Inventory (RGI Consortium, 2017). The RoI is divided into 159 geo-cubes distributed

throughout the study region and with an imprint of 250x250 pixels with a spatial resolution of 50 m. As made for the European Alps in Rabatel et al. (2023), this standardized dataset is stored on a common grid to facilitate the extraction of time series of the surface flow velocity or calculate time-averaged maps.

## 70 **2.2. Annual maps production and seasonal signal extraction**

For each geo-cube, a temporal pixel-by-pixel filtering is first performed based on different criteria described in Rabatel et al. (2023). Then, the filtered maps are aggregated into annual mosaics, which are spatially filtered following the procedure described in Rabatel et al. (2023). To aggregate the individual velocity measurements, we used the Ordinary Least Square (OLS), also called linear regression method  
75 (Mouginot et al., 2023). Unreliable pixels are flagged if they present a large variability after filtering, using a coefficient of variation above 75%, or if the standard deviation on the surface flow direction exceeds  $2.5^\circ$ . The trend is considered significant according to a Mann–Kendall test (Rabatel et al., 2023) and a mask is generated on this basis (Fig. 1B, D, F). The hypothesis of the presence of a monotonic trend in the time series is accepted with a p-value of the test is below 0.05. Finally, a spatial filtering on the  
80 trend map that uses a  $5 \times 5$  median filter is applied. To extract the seasonal signal from time series data, we use a Locally Weighted Scatter-plot Smoothing (LOWESS) method. The principle is to perform regression on a certain number of points using a linear or quadratic function over a sliding window, the size of which is determined based on the availability of observation data (Derkacheva et al., 2020). This method is used to automatically determine the approximate dates of seasonal peaks from year to year. We  
85 only derived the LOWESS fit when we have a sufficient density of observations, more often during dry seasons after 2016 (Fig. 2).

## **3. Results**

### **3.1. Overall description and decadal trend**

Surface flow velocity maps reveal flow patterns on the smallest glacial features (*e.g.*,  $1 \text{ km}^2$  on average),  
90 where this variable has been measured using medium-resolution satellites (10-15 meters pixel size) with global coverage. Generally, surface velocity rarely exceeds  $50 \text{ m.yr}^{-1}$ . However, locally, in the steepest parts of the glacier tongues, velocities can exceed  $80 \text{ m.yr}^{-1}$ , such as on Ausangate South Glacier (Cordillera Vilcanota, Peru) with velocities beyond  $100 \text{ m.yr}^{-1}$  (Fig. 1A), or the lower part of Ancohumá Glacier (Cordillera Real, Bolivia), the main tongue of Ancohumá West (Fig. 1C), or on the Chaupi Orco  
95 Noth-west Glacier located in the Cordillera Apolobamba at the border between Peru and Bolivia (Fig. 1E). We have evaluated our results by comparing the annually aggregated velocity values with annual *in situ* d-GNSS measurements of ablation stakes displacement located in the lower tongue of the Zongo Glacier in Bolivia where surface flow velocities range between 5 to  $25 \text{ m.yr}^{-1}$  (Réveillet et al., 2015). Such velocities are low and we are reaching the limits of the precision of satellite derived surface flow  
100 velocities (Millan et al., 2019). D-GNSS data are within the level of error of the annually aggregated data, with standard deviations ranging between 10-15  $\text{m.yr}^{-1}$ . The scatter plot of this comparison can be found

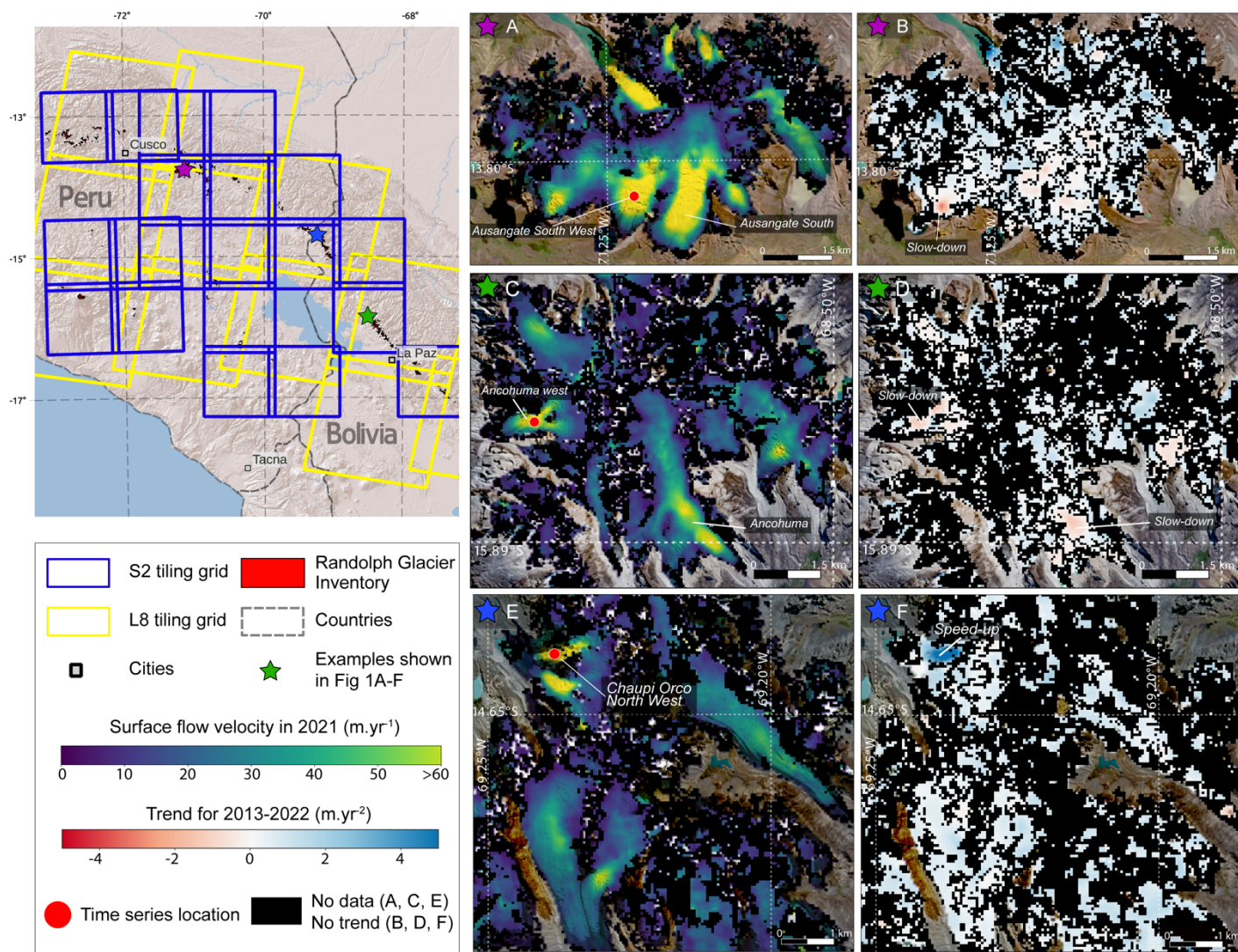
in Figure S1. Nonetheless, the same kind of comparison was made in the Alps with a larger dataset of d-GNSS measurements made at locations where velocities reach up to  $120 \text{ m.yr}^{-1}$ , hence where the signal-to-noise ratio is more favorable for satellite derived velocities. In this region, we obtained a very high performance of satellite-derived glacier flow velocity with a root mean squared error of  $10.5 \text{ m.yr}^{-1}$  and a coefficient of determination of 0.92 (Rabatel et al., 2023).

105 In order to characterize decadal velocity trends, we analyze the regression coefficient values pixel by pixel (Fig. 1 B, D, F). We observe very low trends over the period 2013-2022, with values mostly ranging between  $-2$  and  $+2 \text{ m.yr}^{-2}$ . Some exceptions can be highlighted, notably with an increase in

110 velocities exceeding  $6 \text{ m.yr}^{-2}$  on the Glacier Chaupi Orco North West (Fig. 1F) located on the Nevado Chaupi. On the detailed time series (Fig. 2, bottom), we shown that this glacier underwent a sudden “surge-type” acceleration in 2020-2022, to reach a maximum velocity on May 2022 at  $160 \text{ m.yr}^{-1}$ . In agreement with an unusual behavior, one can note that the surface velocities at Chaupi Orco are increasing during the dry period, *i.e.* between April-May and October 2021, when a large number of

115 data are available giving confidence on this observation. At this period of the year, the velocity of the other glaciers is, on the contrary, seasonally decreasing. During about 18 months, the velocity on the glacier tongue continuously exceeded  $100 \text{ m.yr}^{-1}$ , which is more than twice the average of the period 2013-2020. Glacier Chaupi Orco North West is a lake-terminating glacier. On Figure S2, one can note:

120 (1) the presence of numerous small icebergs during the event at the proglacial lake surface, contrasting with the years before and after the event, *i.e.* 2021 and 2024; (2) the widening of the glacier tongue; and (3) an almost disappearance of the debris-cover on both sides of the tongue. Although visual and qualitative, these elements go hand-to-hand with a strong increase in the ice flux. Glacier surge is a phenomenon quite unusual on tropical glaciers but that has already been mentioned on one of the glaciers on the Antisana Volcano in Ecuador (Basantes-Serrano et al., 2022).



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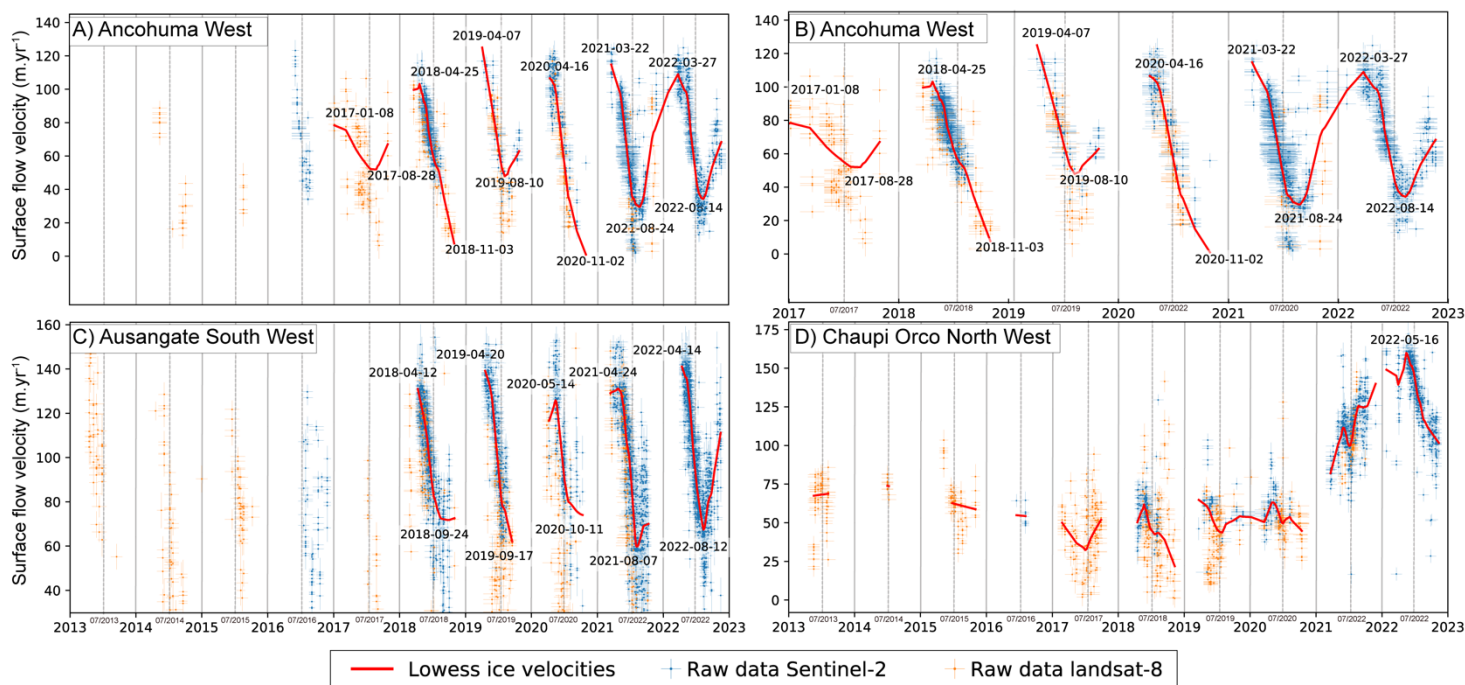
**Figure 1:** Sentinel-2 and Landsat-8 tiles covering the glaciers in the tropical Andes of Southern Peru and Northern Bolivia considered for glacier surface flow velocity mapping. Inset boxes show the mapped ice flow velocities field for 2021 (left) and velocities trends for the 2013-2022 period (right), for three areas (colored stars): (A, B) Nevado Ausangate (C, D) Ancohuma region; (E, F) central part of Cordillera Apolobamba. Background maps for A-F is a cloudless mosaic of Sentinel-2 imagery.

### 130 3.2. Seasonal changes in surface flow velocities

For the glaciers presented in Figure 2, we observe a strong seasonality in the surface velocities, particularly between the wet season (from approx. November to March) and the dry season (from approx. April to October). For the Ancohuma West Glacier, the surface flow velocity decreases from 120  $\text{m.yr}^{-1}$

135 in May to 20 m.yr<sup>-1</sup> in September, representing a reduction of over 80%. Similar variations are observed throughout the study area, with, for example, a decrease in surface velocities of 60% for the glaciers located on the southern flank of the Ausangate summit (see Fig. 1). This seasonality repeats year after year and is observed for a large number of other glaciers in the RoI (not shown). However, it is important to note that the glaciers of Ancohumana and Ausangate are among those where it is possible to observe the beginning of the acceleration marking the transition to the wet season in 2021 and 2022, generally occurring in September-October (Fig. 2).

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**Figure 2:** Time series of surface flow velocities on selected glaciers shown in Figure 1 (red dots in Fig. 1A, C and E). A glacier tongue on the western side of Ancohumana (called Ancohumana West) between 2013 and 2022 (top left), and zoom into the 2017-2022 period (top right). A glacier located in the southwestern side of Ausangate (called Ancohumana South West, bottom left) and one located on the northwestern side of Chaupi Orco (bottom right). The velocity is calculated on a 3x3 pixels window size.

#### 145 4. Discussion

For the first time, we highlight the spatio-temporal variability of glacier dynamics in the tropical Andes. In addition to the decadal changes, we also identify the existence of a seasonal cycle in velocities between the wet and dry seasons that has never been observed before (Fig. 2). We measure a unique dynamical behaviour with significant velocity variations over scales ranging from 4 to 5 months, with reductions of up to 80% (Fig. 2). It is worth noting that we only observe the glacier surface flow velocities over the period between March-April to October-November (*i.e.* between the end of the wet season and beginning

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of the next one). Indeed, the very high cloudiness of the monsoon regime affecting South America during the wet season (*i.e.* between November-December to March-April) strongly limits the use of optical satellite imagery.

155 Therefore, there are uncertainties concerning the timing and magnitude of maximum velocity peak, which may occur earlier in the wet season. The use of radar interferometry would be required to measure these flow changes more accurately, specifically for small moving regions (*e.g.*, on ice cap) and during the wet season. However, this remains very challenging due to the pronounced topography (shadowing/layover), climatic conditions favouring temporal loss of coherence (high precipitation rates and surface melting).  
160 These difficulties in recovering phase coherence are illustrated on interferograms examples provided in Figures S3 and S4.

The strong seasonal cycle observed in the glacier surface velocity data can be explained in the light of the glaciological regime of the tropical glaciers. On the basis of 27 years of continuous monthly measurements of the surface mass balance of Zongo Glacier (Bolivian Cordillera Real), Autin et al. (2022)  
165 have shown that the surface mass balance is strongly negative during the transition period between and dry and the wet seasons, *i.e.* from September to December with the most negative values reached in November. Melting remains high during the wet season counterbalancing in the ablation area of the glacier the intense snowfalls occurring during the monsoon. From April to August, ablation is limited and largely occurs through sublimation thus strongly limiting the water production. Therefore, the progressive  
170 increase in melting at the glacier surface from September to November leads to a strong water inflow inside and at the bedrock interface of the glacier when the drainage system is particularly inefficient after the dry season. This leads to increasing water pressure and progressively increasing basal sliding which results in an increase in surface flow velocities as seen in Figure 2 for Ausangate and Ancochuma glaciers, particularly for the last two years of observation. Then, the strong surface melt rates during the wet season  
175 maintain high meltwater discharges that promote the development of efficient subglacial drainage networks. At the end of the wet season (*i.e.* in March-April) decreasing melt rates at the glacier surface limit the water discharge thus explaining the large slowdown in glacier flow velocities. Even if the glaciological regime differs in the tropics in comparison to the mid-latitudes, such seasonal pattern is consistent with what has been described by Gilbert et al. (2022) on the Argentière Glacier in the French  
180 Alps.

The changes in glacier flow in the Andes are close to the limits of what can be accurately measured using Landsat-8 and Sentinel-2 satellites (Millan et al., 2019). This is due to the very small size of the glaciers, as well as their very slow flow velocities, typically lower than  $50 \text{ m.yr}^{-1}$ . High-resolution measurements of glacier flow (*e.g.*, SPOT, Pléiades), as well as continuous field measurements (*e.g.*, d-GNSS) would  
185 be useful for strengthening the analysis presented here. However, our study shed light on previously unexplored dynamics processes in tropical glaciers with implications for understanding their dynamical response to changes in surface melt and sub-glacial hydrology.

Finally, it should be noted that glaciers in the mountain ranges studied here do not present a debris cover. In other cordilleras of the tropical Andes, such as Peru's Cordillera Blanca, debris-covered glaciers are  
190 more numerous, and in situ velocity measurements have been made on some of them (*e.g.*, Hubbard and Clemmens, 2008). Although these debris-covered glacier tongues have low velocities, generally less than

195 a few tens of metres per year, their surface texture (linked to the debris) and temporal persistence mean that correlation algorithms using long temporal baselines (e.g., a year or more) can allow to retrieve consistent velocity values, as shown by Cusicanqui et al. (2024) using Landsat data on rock glaciers in Chile.

### **Author contribution**

R.M, A.R designed the study. R.M, E.D and J.A developed the code, processed and analyzed the data. E.D prepared the manuscript with contributions from all co-authors.

### **Competing interests**

200 The authors declare that they have no conflict of interest.

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### **Data and code availability**

210 Annual surface flow velocity maps for 2013-2022, along with velocity direction, trends and error maps are available at <https://doi.org/10.57745/OJBTSE>

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