



1 Measurement Report: Characterization of Aerosol Hygroscopicity over Southeast Asia during
2 the NASA CAMP²Ex Campaign

3 Genevieve Rose Lorenzo^{1,2}, Luke D. Ziemba³, Avelino F. Arellano¹, Mary C. Barth⁴, Ewan C.
4 Crosbie^{3,5}, Joshua P. DiGangi³, Glenn S. Diskin³, Richard Ferrare³, Miguel Ricardo A. Hilario¹,
5 Michael A. Shook³, Simone Tilmes⁴, Jian Wang⁶, Qian Xiao⁶, Jun Zhang⁴, and Armin
6 Sorooshian^{1,7}

7 ¹Department of Hydrology and Atmospheric Sciences, University of Arizona, Tucson, Arizona,
8 85721, USA

9 ²Manila Observatory, Quezon City, 1108, Philippines

10 ³NASA Langley Research Center, Hampton, Virginia, 23681 USA

11 ⁴Atmospheric Chemistry Observations & Modeling Laboratory, NSF National Center for
12 Atmospheric Research, Boulder, Colorado, 80301, USA

13 ⁵Analytical Mechanics Associates, Inc, Hampton, Virginia, 23666 USA

14 ⁶Department of Energy, Environmental & Chemical Engineering, Washington University in St
15 Louis, St. Louis, Missouri, 63130, USA

16 ⁷Department of Chemical and Environmental Engineering, University of Arizona, Tucson,
17 Arizona, 85721, USA

18 Correspondence to: armin@arizona.edu

19 **Abstract**

20 This study characterizes the spatial and vertical nature of aerosol hygroscopicity in Southeast Asia
21 and relates it to aerosol composition and sources. Aerosol hygroscopicity via the light scattering
22 hygroscopic growth factor, $f(\text{RH})$, is calculated from the amplification of $\text{PM}_{2.5}$ aerosol ($D_p < 5 \mu\text{m}$)
23 scattering measurements from $< 40\%$ to 82% relative humidity during the Cloud, Aerosol, and
24 Monsoon Processes Philippines Experiment (CAMP²Ex) between August to October 2019 over
25 the northwest tropical Pacific. Median $f(\text{RH})$ is relatively low (1.26 with lower to upper quartiles
26 of 1.05 to 1.43) like polluted environments, due to the dominance of the mixture of organic carbon
27 and elemental carbon. The $f(\text{RH})$ is lowest due to smoke from the Maritime Continent (MC)
28 during its peak biomass burning season, coincident with high carbon monoxide concentrations ($>$
29 0.25 ppm) and pronounced levels of accumulation mode particles and organic mass fractions. The
30 highest $f(\text{RH})$ values are linked to coarser particles from the West Pacific and aged biomass
31 burning particles in the region farthest away from the MC, where $f(\text{RH})$ values are lower than
32 typical polluted marine environments. Convective transport and associated cloud processing in
33 these regions decrease and increase hygroscopicity aloft in cases with transported air masses
34 exhibiting increased organic and sulfate mass fractions, respectively. An evaluation of a global
35 chemical transport model (CAM-chem) for cases of vertical transport showed the
36 underrepresentation of organics resulting in overestimated modeled aerosol hygroscopicity. These
37 findings on aerosol hygroscopicity can help to improve aerosol representation in models and the
38 understanding of cloud formation.

39



40 1. Introduction

41 Aerosol particles affect climate and visibility through the direct and indirect extinction of solar
42 radiation via absorption and scattering of light and through cloud formation, respectively. Aerosol
43 hygroscopicity compounds aerosol effects on Earth's radiation budget (Zhao et al., 2018; Malm
44 and Day, 2001), secondary aerosol formation and cloud formation (Köhler, 1936), and health
45 (Dockery, 2001). Neglecting the effect of moisture on aerosol growth leads to incorrect estimation
46 of the cooling at Earth's surface due to aerosol particles (Garland et al., 2007). For instance, a
47 decrease in light extinction over the southeast U.S. was linked to reduced aerosol water uptake,
48 coincident with decreases in the sulfate/organic ratio (Attwood et al., 2014). Particle aging/coating
49 can cause underestimation of both aerosol hygroscopicity in the sub-saturated regime (Wang et al.,
50 2018) and cloud condensation nuclei (CCN) activity in the supersaturated regime for aged particles
51 in China by ~22% (Zhang et al., 2017). Remote sensing of aerosol optical properties is also
52 affected by aerosol water content (Ferrare et al., 1998; Ferrare et al., 2023). Therefore, accurate
53 aerosol hygroscopicity values are critical for remote sensing and satellite observation of aerosol
54 particles (Ziembra et al., 2013; van Diedenhoven et al., 2022).

55 Aerosol hygroscopicity is described by physical quantities such as the diameter growth factor,
56 $g(\text{RH})$, and light scattering hygroscopic growth factor, $f(\text{RH})$. The $g(\text{RH})$ parameter relates the
57 wet particle diameter of the aerosol at a high relative humidity to the dry diameter of the aerosol
58 at low relative humidity, while $f(\text{RH})$ relates total scattering due to the aerosol at high relative
59 humidity (80%) to that at low relative humidity (<40%) (Waggoner et al., 1983; Hegg et al., 1993).
60 Light scattering increases with relative humidity for most particles and is correlated to chemical
61 composition and size (Baynard et al., 2006; Swietlicki et al., 2008) of particulate matter (Covert
62 et al., 1972; Brock et al., 2016a).

63 Direct measurement of aerosol hygroscopicity, however, is difficult and is also not well-
64 represented in climate models (Chen et al., 2014). The hygroscopicity parameter κ , κ , is a
65 single parameter that was developed to represent water uptake in models. It determines the volume
66 (or mass or moles, with appropriate unit conversions) of water that is associated with a unit volume
67 of a dry aerosol particle (Petters and Kreidenweis, 2007). A simple and commonly used water
68 uptake model for calculating κ is based on the Zdanovskii, Stokes and Robinson (ZSR)
69 treatment for water soluble organic-inorganic mixed aerosol particles (Stokes and Robinson, 1966)
70 where it is assumed that there are no interactions between the organic and inorganic species. In
71 the ZSR model, water uptake of the individual non-interacting components can be summed up
72 linearly to represent the total water uptake of the mixed aerosol. The interaction of organics and
73 inorganics, however, along with the aging-specific density of organics is thought to influence
74 hygroscopicity and affect both the ZSR calculation (Fan et al., 2020) and aerosol particle growth
75 factor via changes in molecular structure, molecular weight, functionality, and/or other properties
76 (Swietlicki et al., 2008).



77 Observed and simulated aerosol hygroscopicity using aforementioned parameters are greater in
78 clean marine air masses compared to air masses over land, near terrestrial biogenic sources which
79 are secondary organics precursors, and under polluted conditions (Swietlicki et al., 2008; Duplissy
80 et al., 2011; Petters and Kreidenweis, 2007). In marine areas, hygroscopicity typically decreases
81 with altitude with decreasing inorganic fractions (Pringle et al., 2010). Cloud processing over
82 marine areas has been observed to increase the oxidation of organic aerosols (Che et al., 2022;
83 Dadashazar et al., 2022) and hygroscopicity in general (CrumeYROlle et al., 2008). Continental
84 aerosol particles have smaller diameters and are usually less hygroscopic due to more organic-rich
85 aerosol particles and pure elemental carbon (EC) particles (Wang et al., 2014; Kreidenweis and
86 Asa-Awuku, 2014). Organics are generally less hygroscopic than inorganics, and their
87 hygroscopicity is affected by oxidation level (e.g., O:C ratio), oxidation state, and solubility (Brock
88 et al., 2016a; Wu et al., 2016; Thalman et al., 2017). Aging has also been found to increase aerosol
89 hygroscopicity through the oxidation of secondary organic aerosols and organic aerosol
90 interactions with inorganics (Engelhart et al., 2008; Liu et al., 2014; Saxena et al., 1995).

91 Although aerosol studies in the rapidly developing Southeast Asia (SEA) region are increasing,
92 few are focused on the nature of aerosol particles and their interactions with water vapor and clouds
93 (Tsai et al., 2013; Ross et al., 2018; Reid et al., 2023). Understanding the interactions between
94 aerosols and the complex geographic, meteorological, and hydrological environment in Southeast
95 Asia remains challenging due to a still growing observational database, prevalence of clouds
96 interfering with remote sensing, and limited modeling studies (Tsai et al., 2013; Lee et al., 2018;
97 Chen et al., 2020; Hong and Di Girolamo, 2020; Amnuaylojaroen, 2023). This, along with
98 increased local and transported emissions and prevalent moisture-rich conditions in the region,
99 altogether motivate the need to understand aerosol hygroscopicity and associated impacts on
100 radiative transfer and on climate (Brock et al., 2016a; Ziemba et al., 2013). How freshly emitted
101 nearly hydrophobic particles transform into hygroscopic aerosol particles (Swietlicki et al., 2008),
102 for example, is an understudied topic in Southeast Asia where there are significant sources of
103 particles with low hygroscopicity (Reid et al., 2023). Understanding aerosol hygroscopicity will
104 also help in the need to improve remote sensing measurements in the region, which is affected by
105 overlapping high and low level clouds (Burgos et al., 2019; Hong and Di Girolamo, 2020).

106 Predicting aerosol hygroscopicity, especially at higher relative humidity (RH), is especially
107 difficult due to optical instruments underestimating particle light scattering at high RH and
108 mechanisms other than hygroscopicity impacting particle growth (Gasparini et al., 2006; Mochida
109 et al., 2006). This is important because atmospheric water content is high in Southeast Asia. For
110 example, the hygroscopicity of secondarily formed organics (via gas-to-particle conversion) is
111 found to be dependent on oxidation state for high RH (Shi et al., 2022). Southeast Asia has elevated
112 levels of organics, inorganics, and elemental carbon (Cruz et al., 2019; AzadiAghdam et al., 2019;
113 Oanh et al., 2006), allowing for an opportunity to see how hygroscopicity responds to a range of



114 relative values of each of these elevated components. Therefore, relating aerosol particle
115 composition to hygroscopicity, for closure (Xu et al., 2020), is particularly significant there.

116 Aerosol hygroscopicity is a crucial factor in the understanding and modeling of aerosol-cloud
117 interactions, because of the role hygroscopicity plays in cloud drop activation. The NASA Cloud,
118 Aerosol, and Monsoon Processes Philippines Experiment (CAMP²Ex) was designed to understand
119 the role of aerosol particles in cloud formation and in regulating solar radiation during the
120 southwest monsoon (Reid et al., 2023). CAMP²Ex occurred between August and October 2019
121 over the Philippines and neighboring areas. That campaign provides an aircraft dataset with
122 measurements focused on aerosol and cloud properties, which affords a valuable opportunity to
123 evaluate models with these measurements for shallow to moderate convection, which is one of the
124 biggest challenges for regional and global-scale atmosphere models to represent because these
125 clouds are much smaller than the model grid spacing.

126 In the CAMP²Ex region, biomass burning aerosol hygroscopicity is over-estimated by global
127 atmosphere models simulating the CAMP²Ex campaign, with both the model size representation
128 of the aerosol particles and the size discrepancy between model and observations contributing to
129 this (Collow et al., 2022; Edwards et al., 2022). This overestimation can affect the representation
130 of clouds in the region. Clouds, especially shallow cumulus clouds, like those in the tropical West
131 Pacific (which the CAMP²Ex region is part of) have been underestimated by models due to the
132 lack of observational data to improve convective parameterizations (Chandra et al., 2015). Part of
133 the challenge in modeling aerosol-cloud interactions is the ability to both have a high-resolution
134 representation, at the scale of the shallow convection, in a large enough domain, which is important
135 for understanding climatic effects to properly account for the bulk behavior of cloud fields (Spill
136 et al., 2019). This could be addressed by evolving modeling infrastructures, with higher resolution
137 schemes ranging from regional to convective scale within a larger domain (Pfister et al., 2020;
138 Radtke et al., 2021).

139 Knowledge gaps identified above are addressed in this study using the opportune CAMP²Ex
140 dataset. To our knowledge, this is the first time this dataset has been explored extensively to
141 characterize aerosol hygroscopicity properties in the region. The goals of this proposed study are
142 to (i) characterize the spatial distribution of aerosol hygroscopicity in Southeast Asia during the
143 CAMP²Ex airborne mission, (ii) relate aerosol hygroscopicity and composition, (iii) identify
144 emission events that impact aerosol hygroscopic growth, and (iv) evaluate a global chemical
145 transport model for aerosol vertical transport.

146

147 **2. Methods**

148 **2.1 CAMP²Ex Field Campaign**

149 CAMP²Ex included 19 research flights with a NASA P3 from 24 August to 5 October 2019.
150 Twelve of these flights were associated with the southwest monsoon (SWM) followed by a flow



151 reversal with seven flights conducted during the northeast monsoon (NEM) (Reid et al., 2023). As
152 summarized by Reid et al. (2023), the combination of airborne and ship-based measurements helps
153 to characterize interactions between various aerosol particle sources (e.g., biomass burning,
154 industrial, natural) and small to congestus convection. Below we note the P3 instruments most
155 relevant to this study.

156 **2.2 Observations and Derived Quantities**

157 **2.2.1 P3 Instrumentation**

158 As summarized in Table 1, data are used from a variety of aerosol particle and trace gas
159 instrumentation. The aerosol particle scattering and absorption instruments measure the optical
160 properties of bulk aerosol particles (PM_{5}): $< 5 \mu m$ dry diameter (McNaughton et al., 2007). Aerosol
161 composition and size data for submicron particles were considered when they were collected using
162 a forward-facing shrouded isokinetic inlet. Non-refractory species in the submicron range studied
163 using an aerosol mass spectrometer (AMS) included sulfate, nitrate, ammonium, organics, and
164 chloride. We also use the ratio of the mass spectral marker m/z 44 marker relative to total organic
165 mass, f_{44} , as a possible indicator of air mass age. Submicron refractory species of black carbon
166 (also referred to as elemental carbon) from a single particle soot photometer (SP2) were also
167 included in the study. Bulk water-soluble aerosol particles were collected using a particle-into-
168 liquid sampler (PILS) that was analyzed using ion chromatography; species quantified included
169 oxalate, NH_4^+ , dimethylamine (DMA), K^+ , SO_4^{2-} , Ca^{2+} , Na^+ , Mg^{2+} , Cl^- , NO_3^- , Br^- , and NO^- . Cloud-
170 free conditions were identified to ensure the highest quality aerosol data using a cloud flag product
171 based on measurements from the fast cloud droplet probe (FCDP) and two-dimensional stereo
172 probe (2DS). Aerosol particle composition data were considered for those cases when the total
173 aerosol non-refractory particle mass was greater than $0.4 \mu g sm^{-3}$.

174 **Table 1:** Summary of instrument data used in this work.



Parameter	Instrument	Time Resolution	Uncertainty	Sampled Aerosol Particle Size	Reference
Latitude, Longitude, and Altitude	Northrop Grumman Litton 251 EGI	1 s	~5 m spherical error probable; 0.01°	N/A	Reid et al., 2023
Dry (RH < 40%) and humidified (RH = 80%) light scattering coefficient ($\lambda = 450, 550, 700$ nm)	Parallel humidified TSI 3563 Nephelometers	1 s	30%	< 5 μ m diameter	Ziamba et al., 2013; McNaughton et al., 2007
Dry (RH < 40%) light absorption coefficient ($\lambda = 470, 532, 660$ nm)	Radiance Research 3 Particle Soot Absorption Photometer (PSAP)	1 s	15%	< 5 μ m diameter	Mason et al., 2018; McNaughton et al., 2007
Non-refractory aerosol (Organics, SO ₄ , NO ₃ , NH ₄ , Cl) mass concentration	Aerodyne High-Resolution Time-of-Flight Mass Spectrometer (AMS)	25 s	LLOD (μ g sm ⁻³): Organics, 0.169; SO ₄ , 0.039; NO ₃ , 0.035; NH ₄ , 0.169; Cl, 0.036; Uncertainty: 50%	approximate relevant size range is 60-600 nm vac. aero. diameter	DeCarlo et al., 2008
Water-soluble mass concentration	Particle-into-liquid sampler (PILS) followed by offline ion chromatography analysis	238 s	30%	< 5 μ m diameter	Sorooshian et al., 2006 Crosbie et al., 2020
Refractory black carbon (BC) mass concentration	Single Particle Soot Photometer (DMT SP2)	1 s	10%	100 - 700 nm diameter	Schwarz et al., 2006
CO concentration	Picarro G2401-m	1 s	5 ppb	N/A	DiGangi et al., 2021
Volume and number concentration of particles	TSI Laser Aerosol Spectrometer (LAS) Model 3340	1 s	20%	0.1 - 5 μ m optical diameter	Moore et al., 2021
Volume size distribution	Fast integrated mobility spectrometer (FIMS)	1 s	Concentration: 15%; Size: 3%	10 – 500 nm mobility diameter	Kulkarni and Wang, 2006; Wang et al., 2017; 2018



Cloud particles	SPEC-Hawkeye FCDP	1 s	50%	2 – 50 μm diameter	Knollenberg 1981, Lawson et al. 2017; Woods et al. 2018
Cloud particles	SPEC-Hawkeye 2DS	1 s	20%	10 μm – 3 mm diameter	Lawson et al. 2006a, Woods et al. 2018

175

176 2.2.2 Aerosol Hygroscopicity, f(RH), and Other Aerosol Optical Properties

177 Aerosol hygroscopicity is reported using the parameter f(RH), which is unitless and is the
 178 amplification factor in scattering due to a change in RH. The f(RH) parameter is calculated from
 179 the empirically derived exponential fit coefficient, gamma (γ), at 20% (RH_{dry}) and 80% (RH_{wet})
 180 relative humidity (Ziemba et al., 2013). The gamma parameter is based on measurements of
 181 scattering at 550 nm at two different relative humidity levels: dry (< 40%) and humidified
 182 (controlled to 82 ± 10%). Gamma (Eq. 1) was calculated for times where the dry (SC_{dry}) and
 183 humidified (SC_{wet}) scattering coefficients (Table 1) are greater than or equal to 5 Mm⁻¹. The f(RH)
 184 (Eq. 2) was then derived from γ (Hänel, 1976).

185

$$186 \quad \gamma = \frac{\ln \frac{SC_{wet}}{SC_{dry}}}{\ln \frac{100 - RH_{dry}}{100 - RH_{wet}}} \quad (1)$$

$$187 \quad f(RH) = \left(\frac{100 - RH_{wet}}{100 - RH_{dry}} \right)^{-\gamma} \quad (2)$$

188 All nephelometer scattering coefficient measurements were corrected for truncation errors using
 189 the Anderson and Ogren's method (Anderson and Ogren, 1998). Relative humidity measurements
 190 for the calculation of f(RH) were calibrated in the laboratory using nebulized ammonium sulfate
 191 deliquescence at 80% (Brooks et al., 2002). System response is verified in flight by introducing
 192 hydrophobic polystyrene latex spheres into the sample stream to ensure an f(RH) value of 1.0 is
 193 observed. Absorption measurements were corrected for a variety of errors using the method from
 194 Virkkula (2010) (Virkkula, 2010). Note that sampling efficiency decreases for supermicron
 195 diameter particles with increasing size up to the 5-μm inlet cutoff, due to losses in transport tubing
 196 and in the drying/humidification control system. Thus, derived f(RH) is applicable to
 197 accumulation-mode particles and is partially sensitive to coarse-mode particles from 1-5 μm
 198 diameter.

199

200 Single scattering albedo (SSA) was calculated when both the scattering and absorption coefficients
 201 (smoothed with 30 s running average) were greater than 2 Mm⁻¹. The Ångström exponent (AE)
 202 was calculated using the smoothed 30 s running average of the scattering and absorption
 203 coefficients. The scattering Ångström exponent (SAE, 450-700 nm) was computed when the
 204 scattering coefficient was greater than 2 Mm⁻¹ based on Ziemba et al. (2013) and the absorption



205 Ångström exponent (AAE, 470-660 nm) was computed when the absorption coefficient was
206 greater than 2 Mm^{-1} (Mason et al., 2018).

207

208 **2.2.3 Sea Salt**

209 Bulk sea salt mass concentration was calculated using summed PILS concentrations of Na^+ , Cl^- ,
210 and Mg^{2+} along with the respective concentrations of the mass concentrations of K^+ , Ca^{2+} and
211 SO_4^{2-} in sea salt (0.037, 0.04 and 0.25, respectively, by mass) (Crosbie et al., 2022).

212

213 **2.2.4 Aerosol Particle Classification**

214 Aerosol particle optical data were grouped depending on their AAE and SAE (Section 2.2.2)
215 values and based on the method of Cazorla et al. (2013), which used sun photometer measurements
216 to arrive at the following classifications as part of evaluating aircraft data over California, USA:
217 coated large particles, dust and elemental carbon mix, dust dominated, organic carbon and dust
218 mix, organic carbon dominated, elemental carbon and organic carbon mix, mixed, and elemental
219 carbon dominated. This method has been used for cases when chemical composition is not
220 available (Höpner et al., 2019), and can be useful because the composition data from the AMS is
221 limited to submicron particles (0.06 to 0.6 μm vacuum aerodynamic diameter) while the f(RH)
222 includes relatively larger particles ($< 5 \mu\text{m}$ dry diameter).

223

224 **2.2.5 Effective Particle Density**

225 Following Shingler et al. (Shingler et al., 2016b), effective particle density was calculated by
226 dividing the sum of the 30 s total mass concentration from the AMS species (organics, SO_4^{2-} , NO_3^- ,
227 NH_4^+ , and Cl^-) and 30 s averaged black carbon from SP2 by the 30 s averaged integrated (for
228 particles with diameter from 0.1 to 1 μm) volume concentration from the LAS (Table 1).

229

230 **2.3 Modeling**

231

232 **2.3.1 Trajectory Analysis**

233 This work leverages trajectory results explained in detail by Hilario et al. (2021). This data product
234 associates air masses undergoing long-range transport nearby from the Maritime Continent (MC),
235 East Asia (EA), peninsular Southeast Asia (PSEA), and the West Pacific (WP) with specific
236 CAMP²Ex flight locations, where air masses were within the regions at altitudes below 2 km for
237 more than 6 h. The source regions are approximately within the following lowest and highest
238 latitude and longitude values, respectively: MC (-9.5° - 6.5° and 95° - 119°), EA (22° - 47° and 105°
239 - 121.5°), PSEA (10° - 20° and 98° - 106°), and WP (3° - 25° and 120.5° - 122.5°). The National
240 Oceanic and Atmospheric Administration Hybrid Single Particle Lagrangian Integrated Trajectory
241 Model (HYSPLIT) (Stein et al., 2015; Rolph et al., 2017) was used to produce 5-day back
242 trajectories. The classification “Other” was used for back trajectories that either passed by the
243 regions but at elevations above the boundary layer (defined as 2 km), came from sources farther
244 away than the four listed above, were more localized to the Philippines, had too few sample counts,
245 or were from stagnant air (Hilario et al., 2021).

246



247 **2.3.2 CAM-chem Model Configuration**

248 The Community Atmosphere Model with comprehensive tropospheric and stratospheric chemistry
249 CAM-chem is used here as the atmospheric component of the Community Earth System Model
250 (CESM2). CAM-chem includes the modal aerosol model (MAM4) (Liu et al., 2016) and results
251 were evaluated with the CAMP²Ex AMS composition and f(RH) observations for two case studies.
252 Two CAM-chem simulations with different horizontal resolutions have been performed using the
253 spectral element grid mesh and dynamical core. The grid mesh resolution for one simulation was
254 uniform with ~111 km (labeled ne30). The other simulation employed regional refinement over
255 East Asia with grid spacing ~27 km (labeled MUSICA) in the regionally refined region and ~111
256 km elsewhere across the globe; a configuration as part of the Multi-Scale Infrastructure for
257 Chemistry and Aerosols version 0 (MUSICAv0) (Schwantes et al., 2022). More information about
258 CAM-chem is in the supplementary section (S1).

259

260 In CAM-chem, aerosol hygroscopicity is represented with the kappa value (Petters and
261 Kreidenweis, 2007) using the mixing rule (Stokes and Robinson, 1966). Kappa is calculated from
262 the CAM-chem Aitken (0.015 – 0.053 μm) and accumulation mode (0.058 – 0.48 μm) outputs
263 based on the volume fractions of the aerosol constituents (ε , Eq. 3) that was derived from their
264 densities (Table S1). The following internally mixed aerosol species from CAM-chem were
265 included in the analysis: organics (primary/hydrophobic, aged/hygroscopic, and secondary
266 (C₁₅H₃₈O₂)), sulfate (NH₄HSO₄), sea salt, dust (AlSiO₅), and black carbon (primary/hydrophobic
267 and aged/hygroscopic) (Tilmes et al., 2023). Some limitations in the calculation of kappa are that
268 CAM-chem does not include nitrate aerosol, and that constant kappa values for primary and aged
269 organics based on past work (Table S1) were used, even if it is known that kappa of organics varies
270 with aging (Kuang et al., 2020). Kappa was also calculated from the submicron AMS and SP2
271 observations using the assigned species properties for available aerosol species: aged organics,
272 aged black carbon, and ammonium sulfate. Submicron sea salt and dust data are not available and
273 were not included in the calculation for submicron kappa from observations.

274

$$275 \kappa_{chem} = \sum \kappa_i \varepsilon_i \quad (3)$$

276

277 To enable a corresponding evaluation based on actual aerosol hygroscopicity observations, the
278 f(RH) from CAMP²Ex were converted to kappa based on past studies (Brock et al., 2016b; Kuang
279 et al., 2017; van Diedenhoven et al., 2022). The wet (80%) and dry (20%) relative humidity values
280 of the scattering measurements were used to convert f(RH) to an optical kappa (κ_{opt}) based on
281 Equation 4 (Brock et al., 2016b; Kuang et al., 2017). This was approximated from the proportional
282 relationship between the aerosol scattering cross section (which is the basis of f(RH)) and aerosol
283 volume (the change of which is usually described as growth factor) which Brock et al. (2016b) in
284 their study had associated with kappa. The optical kappa was converted to the chemical kappa
285 (κ_{chem}) based on the slope of the relationship between κ_{opt} and κ_{chem} from Brock et al. (2016b). This
286 method (Eq. 5) of converting κ_{opt} to κ_{chem} was also used by van Diedenhoven et al. (2022) for the
287 f(RH) data for CAMP²Ex and is associated with 40% uncertainty (van Diedenhoven et al., 2022).



288 The derived chemical kappa values for bulk aerosol particles are compared to the kappa calculated
 289 using the ZSR mixing rule from the submicron observations (AMS and SP2) and model outputs.
 290

$$291 \quad f(RH) = \frac{1 + \kappa_{opt} \frac{RH_{wet}}{100 - RH_{wet}}}{1 + \kappa_{opt} \frac{RH_{dry}}{100 - RH_{dry}}} \quad (4)$$

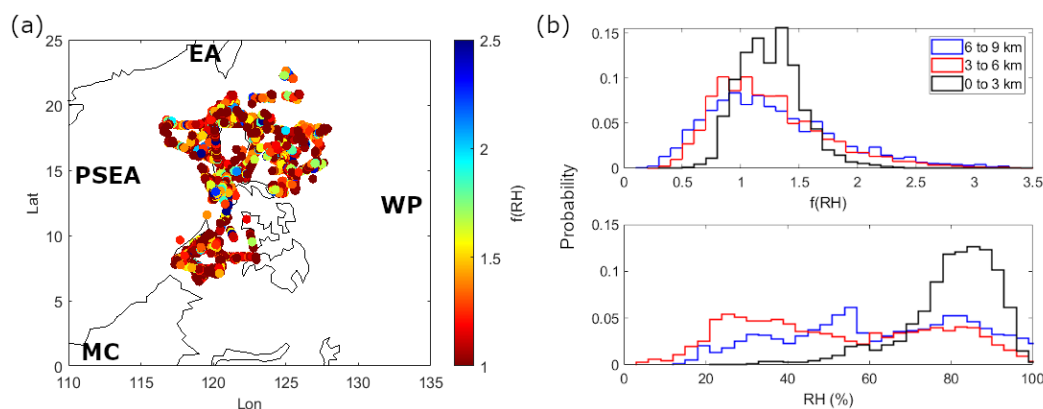
$$292 \quad \kappa_{chem} \approx \frac{\kappa_{opt}}{0.56} \quad (5)$$

293 3. Results and Discussion

294 3.1 General Characterization of f(RH) for CAMP²Ex

295 3.1.1 Spatial and Vertical Distribution of f(RH)

296 The f(RH) values in the CAMP²Ex campaign (Fig. 1a) were relatively low (median of 1.24 for
 297 143,107 1 s points) with a narrow range of values (25th percentile (Q1) of 1.05 and 75th percentile
 298 (Q3) of 1.42). The distribution of f(RH) and ambient RH values is narrowest in the lowest altitudes
 299 (< 3 km), where most of the samples were taken (Fig. 1b). Both f(RH) and RH distributions become
 300 broader at higher altitudes, where there is a higher prevalence also for higher f(RH) values and
 301 lower RH values. Broadening of the f(RH) distribution at higher altitudes is at least partially
 302 attributed to lower dry scattering coefficients that result in increased uncertainty in the calculation
 303 of f(RH). The different aerosol sources (Fig. 1a) in the region also contribute to the range of
 304 measured f(RH), with transported emissions from more distant sources presumably more
 305 influential at the highest altitude ranges.



306

307 Figure 1. (a) Map showing f(RH) 1 s values along the CAMP²Ex flight paths with approximate locations and air mass
 308 sources: Maritime Continent (MC), East Asia (EA), peninsular Southeast Asia (PSEA), and the West Pacific (WP)
 309 (PSEA is farther west at ~105°E and EA extends farther north) of air mass sources (Hilario et al., 2021) around the
 310 region. (b) Histograms of f(RH) and relative humidity (RH) for three altitude bins with the following counts: n_{(0 to 3}
 311 km): 139,026, n_(3 to 6 km): 10,321, and n_(6 to 9 km): 7,260.

312 The lowest median f(RH) (1.05 with Q1 and Q3 of 0.94 and 1.2) is from air masses traced to the
 313 MC (Fig. 2a), which coincide with influence from smoke particles. The air mass from EA (Fig.

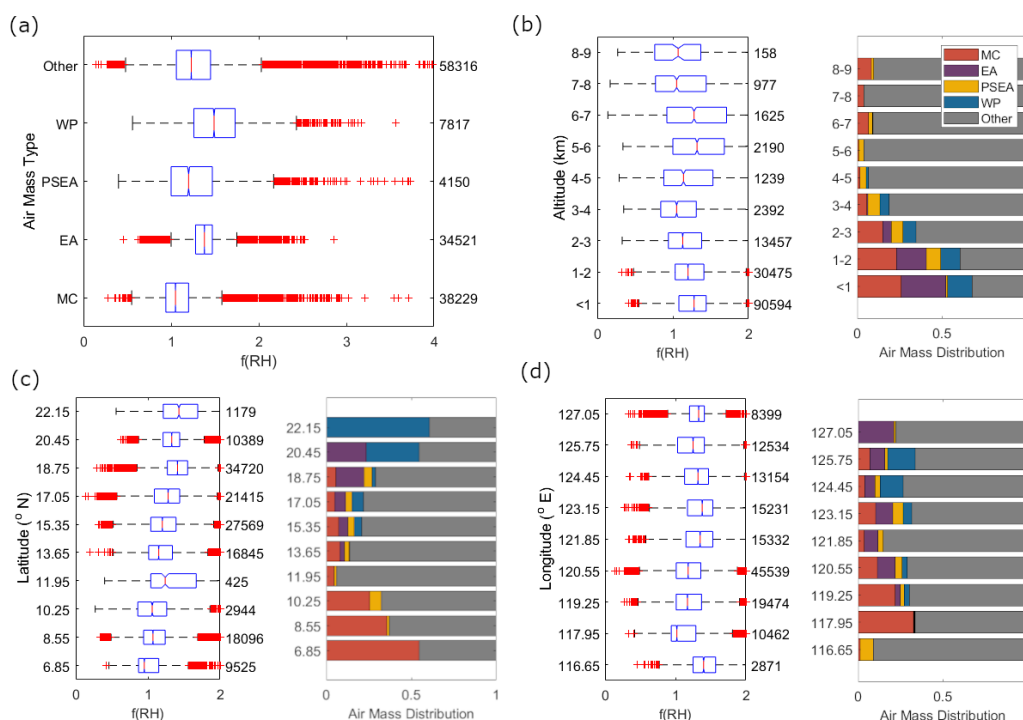


314 2a) has the narrowest range of values (Q1: 1.28, median (Q2): 1.38, Q3: 1.47), likely representative
315 of urban aerosol particles. The highest median $f(\text{RH})$ (1.49 with Q1 and Q3 of 1.26 of 1.73) are
316 from air masses with back trajectories from the WP (Fig. 2a), likely due to marine aerosol particles
317 interacting with particles from the MC and other regional sources. This mixing of the otherwise
318 clean marine air with regional pollution sources effectively decreases aerosol hygroscopicity and
319 this type of environment is often called a polluted marine environment (Titos et al., 2021).

320 Most (98%) of the $f(\text{RH})$ data were calculated for observations below 3 km (Fig. 2b), due to the
321 relatively clean free troposphere in the region. Median $f(\text{RH})$ values generally decrease with
322 altitude in the lower 3 km. An increase in median $f(\text{RH})$ is observed between 4 – 6 km (median
323 from 1.14 to 1.32), where the contribution of the mixed air mass (“Other”) is most dominant. The
324 $f(\text{RH})$ values decrease above 6 km to the lowest median $f(\text{RH})$ (1.07) between 8 – 9 km, where air
325 masses are generally from Other and the MC.

326 Latitudinally (Fig. 2c), $f(\text{RH})$ is lowest nearest Borneo in the MC (median of 0.95 to 1.07 in the
327 regions from $6.85^\circ \text{ N} - 10.25^\circ \text{ N}$), coincident with the dominant influence of biomass burning.
328 There were active fires in the area during the time samples were taken from this latitude. $f(\text{RH})$ is
329 highest in the northern Philippines (median of 1.33 to 1.44 in the regions from $18.75^\circ \text{ N} - 22.15^\circ$
330 N), where the influence of WP and EA air was most prevalent. This is consistent with the longitude
331 data (Fig. 2d), which exhibit the lowest $f(\text{RH})$ values for longitudes (median of 1.02 to 1.18 in the
332 regions from $117.95^\circ \text{ E} - 120.55^\circ \text{ E}$) that had the highest MC contribution. The highest $f(\text{RH})$ is
333 observed in longitudes (median of 1.38 to 1.40 in the regions west of 116.65° E and east of 123.15°
334 E) that were more associated with the northern Philippines (Fig. 1a). To delve deeper into our
335 analysis, we discuss next the size and composition data.

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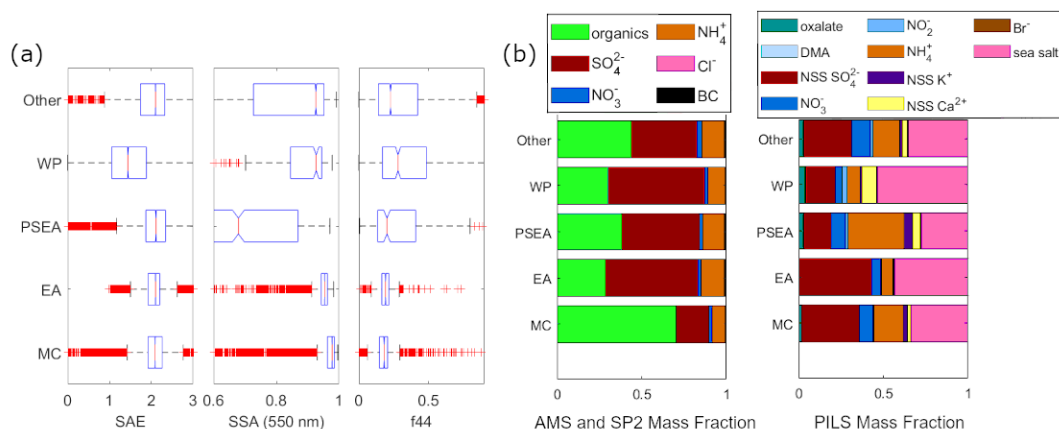


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338 Figure 2. Distribution of 1 s $f(\text{RH})$ data during the CAMP²Ex field campaign for relevant (a) air masses, (b) altitude,
 339 (c) latitude, and (d) longitude levels and corresponding stacked bars of air mass contributions for panels b - d. The (a)
 340 air mass types are from Hilario et al. (2021). The numbers to the right of the notched boxplots are the counts, and the
 341 (b - d) bars to the right of the boxplots show the fractional contribution of each air mass type to the total number of
 342 air masses in the specific location. The y-values for (c-d) latitude and longitude are the midpoints of the specific
 343 coordinate bins.

344 3.1.2 $f(\text{RH})$ Relationships with Size and Composition

345 The relative size of particles per air mass can be inferred from the extinction Ångström exponent
 346 (AE), which relates the extinction of light at specific wavelengths to particle size (Ångström,
 347 1929), where larger AE suggests smaller particles. Since scattering is the dominant component of
 348 extinction, the scattering Ångström exponent (SAE) is often used to relate to particle size. The
 349 median SAE values (Fig. 3a) are similar and between 2.09 (MC with Q1 and Q3 of 1.92 and 2.26)
 350 to 2.16 (Other with Q1 and Q3 of 1.75 and 2.32) for four of five air masses, indicative of smaller
 351 particles. The WP has a median value of 1.37 (with Q1 and Q3 of 1.05 and 1.88), suggestive of
 352 the presence of a mixture of accumulation-mode and coarse-mode particles (SAE < 1 occurs for
 353 large particles like sea salt and dust) (Schuster et al., 2006; Bergstrom et al., 2007). Past studies
 354 have suggested that biomass burning particles exhibit SAE values greater than 1.4, which does not
 355 discount the fact that most of the $f(\text{RH})$ data collected during CAMP²Ex is possibly impacted by
 356 biomass burning.



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Figure 3. (a) Boxplots of 1 s scattering Ångström exponent (SAE), single scattering albedo (SSA, 550 nm), and ratio of the mass spectral marker m/z 44 marker relative to total organic mass (f_{44}) and (b) (left) submicron mean organic, SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- , and black carbon (BC) mass fractions and (right) bulk ($< 5 \mu\text{m}$) oxalate, NH_4^+ , dimethylamine (DMA), non-sea salt SO_4^{2-} , non-sea salt Ca^{2+} , NO_3^- , Br^- , NO_2^- , and sea salt mass fractions per air mass (Hilario et al., 2021).

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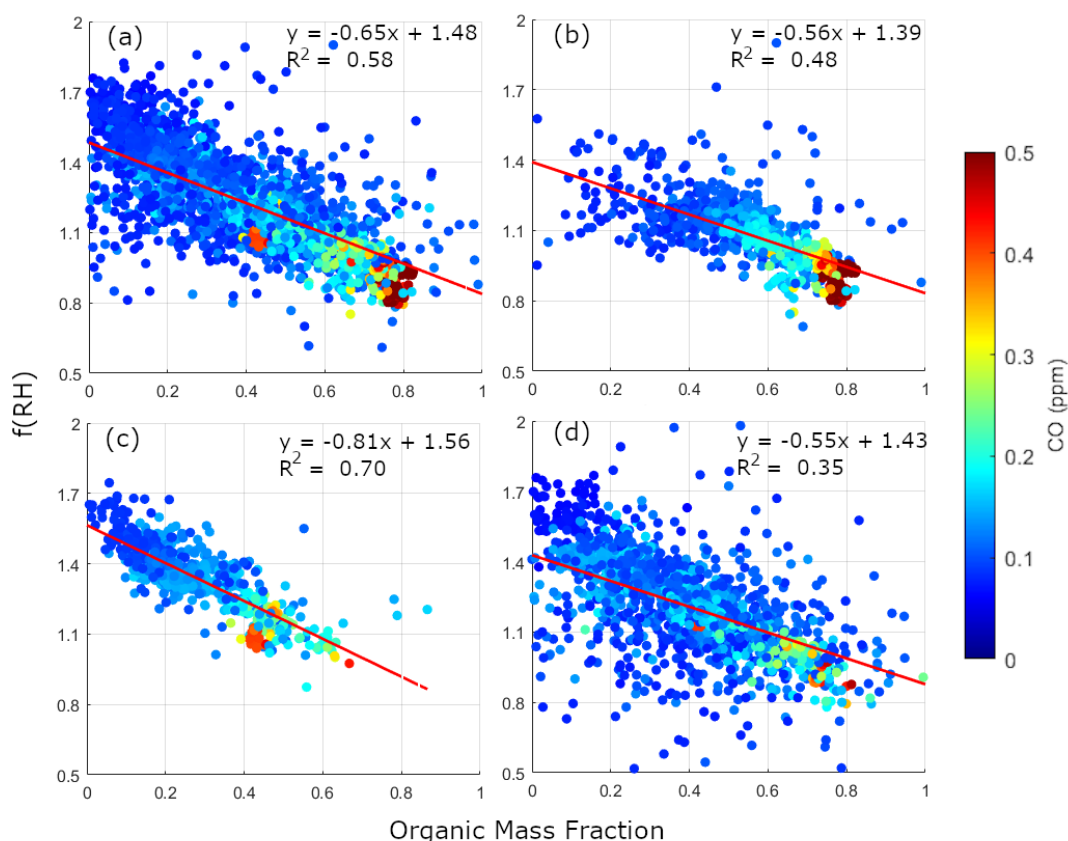
One of the indicators of biomass burning in Southeast Asia is organic matter (Adam et al., 2021). The MC air mass (Fig. 3b) has the greatest (71%) mass fraction of organics among the air masses and the highest median SSA (0.98 with Q1 and Q3 of 0.96 and 0.99) (Fig. 3a) that suggests more scattering, rather than absorbing, particles (Moosmüller and Sorensen, 2018). This is consistent with observations from field work in tropical peatland fire in Southeast Asia, where particles were mostly from smoldering combustion and were moderately absorbing, with brown carbon dominating absorbance (Stockwell et al., 2016). Smoldering combustion, which is more common in the Maritime Continent (Reid et al., 2013), is known to produce less elemental carbon (Reid et al., 2005) and potassium (Robinson et al., 2004) compared to flaming combustion. Biomass burning activities were active in the MC during the field campaign (Reid et al., 2023). The MC air mass also has the lowest f_{44} median values (0.18 with Q1 and Q3 of 0.15 and 0.21) (Fig. 3a) suggesting it is the least-oxidized and less photochemically-aged air mass and thus closest to the source compared to other air masses. Chen et al. (2022) who studied tropical peat smoldering (similar to those in MC) showed that primary organics were not oxidized ($f_{44} < 0.02$) while secondary organics were highly oxidized, that oxidation increases f_{44} (oxidized organics: $0.01 < f_{44} < 0.11$), and that high RH speeds up the oxidation process especially for smaller particles (~ 100 nm) (Chen et al., 2022). In the U.S., as another example, f_{44} from wildfire plumes up to 8 hours old did not exceed 0.12 (Garofalo et al., 2019). The high RH during CAMP²Ex (Fig. 1b) likely led to the increased oxidation of secondary organics resulting in median f_{44} values that are relatively high (for all air masses even in MC), suggesting that most of the particles sampled during CAMP²Ex are aged. The high organic mass fraction is consistent with the MC having the lowest median f(RH) as organics are known to reduce aerosol hygroscopicity (Kalberer, 2014; Sorooshian et al., 2017; Shingler et al., 2016a).

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There is an inverse relationship between hygroscopicity and organic mass fraction data that is most evident in f(RH) values collected within the boundary layer (< 3 km) during CAMP²Ex (Fig. 4a).



388 Those data points that have the highest organic mass fraction values (> 0.6) are also associated with
 389 CO concentrations (> 250 ppb) that are typically associated with biomass burning (Shingler
 390 et al., 2016a) and are mostly from the MC area (Fig. 4b). The slope of the inverse relationship
 391 between $f(\text{RH})$ and organic mass fraction is most steeply negative (-0.81) for the air masses coming
 392 from EA (Fig. 4c).



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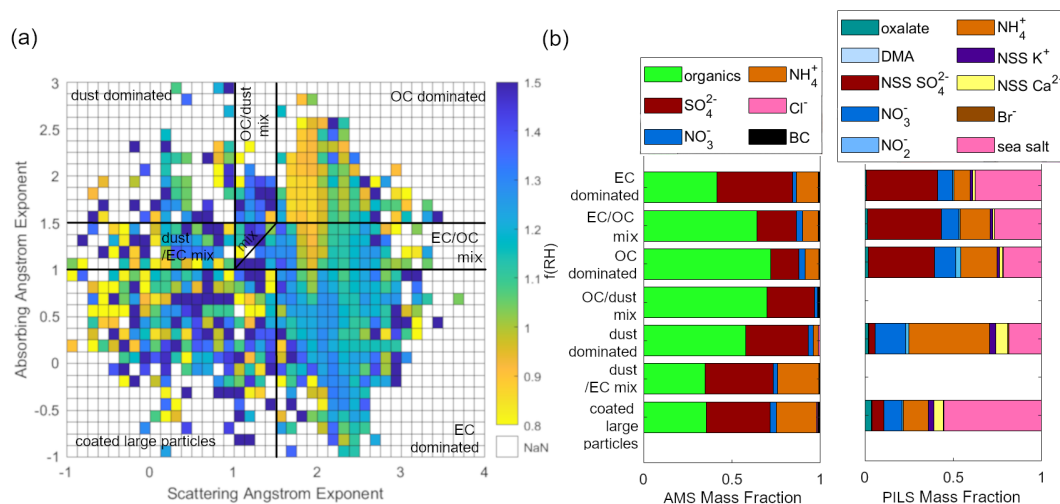
394 Figure 4. (a-d) Scatter plots of 30 s averaged $f(\text{RH})$ and corresponding organic mass fraction contribution to total
 395 submicron aerosol mass (sum of organic, SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- , and black carbon (BC) mass concentration) for (a)
 396 all data collected at altitudes below 3 km and (b-d) for air masses coming from (b) the Maritime Continent (MC), (c)
 397 East Asia, and (d) Other. The dots are colored by their CO concentration.

398 The highest median $f(\text{RH})$ values are from the WP and EA air masses (Fig. 2a). Both have lower
 399 organic mass fractions (0.29 and 0.30, respectively), but have distinctly larger aerosol size profiles
 400 based on their SAE values (Fig. 3a). The presence of coarser particles from the WP, based on its
 401 marine origin (with relatively higher DMA, Fig. 3b) and high sea salt fraction (54%, Fig. 3b),
 402 contributed to it having the highest median $f(\text{RH})$ amongst the air masses. The WP air masses
 403 appear to have interacted with aged organic particles from biomass burning and industry including
 404 fine absorbing particles potentially from industry and dust owing to its relatively high median f_{44}



405 (0.26 with Q1 and Q3 of 0.17 and 0.48) and low median SSA (0.93 with Q1 and Q3 of 0.85 and
 406 0.95). Particles with predominantly clean marine sources tend to have higher (≥ 0.97) SSA
 407 (Dubovik et al., 2002), thus the WP data suggest a polluted marine source (Lacagnina et al., 2015).
 408 Though, we note that the air masses from the WP have relatively low scattering and absorption
 409 coefficients and that these could have affected the calculations for SSA. EA air exhibits the highest
 410 non-sea salt sulfate mass fraction (0.43) (Fig. 3b) that, along with its predominantly fine particle
 411 size and median SSA (0.95 with Q1 and Q3 of 0.94 and 0.96), strongly suggests that it was
 412 transported from an urban source. Sulfate is a known industrial product of East Asia (Smith et al.,
 413 2011; Li et al., 2017; Lorenzo et al., 2023).

414 Particles from PSEA have the lowest median SSA (0.68 with Q1 and Q3 of 0.55 and 0.87) (Fig.
 415 3a). This suggests the presence of more absorbing particles relative to scattering, possibly
 416 including elemental carbon and aged dust. Based on the PSEA air masses having the highest non-
 417 sea salt potassium mass fraction (0.05, Fig. 3b) and highest dust-EC mix among air masses, the
 418 particles could be from biomass burning. It is well-documented that dust can be entrained in smoke
 419 plumes due to reasons such as turbulent mixing around flames and burn fronts (Palmer, 1981;
 420 Kavouras et al., 2012; Maudlin et al., 2015; Schlosser et al., 2017). Dust from East Asia and
 421 biomass burning from PSEA have been observed to be mixed in the boreal spring in Taiwan (Dong
 422 et al., 2018), and though CAMP²Ex sampled during a different season, it is still possible that this
 423 mixing of East Asian dust and PSEA biomass burning could have occurred and impacted the
 424 CAMP²Ex region during the field campaign.



425
 426 Figure 5. (a) Median $f(RH)$ of data points with absorbing Ångström Exponent (AAE) and scattering Ångström
 427 Exponent (SAE) values that correspond to suggested aerosol types from past studies (Cazorla et al., 2013) with
 428 following counts and bulk median $f(RH)$ per aerosol type: EC dominated (16,908 and 1.19), EC/OC mix + mix (20,686
 429 and 1.03), OC dominated (1942 and 0.94), OC/dust mix (55 and 1.31), dust dominated (79 and 1.15), dust/EC mix
 430 (204 and 1.12), and coated large particles (729 and 1.21). (b) (left) Submicron mean organic, SO₄²⁻, NO₃⁻, NH₄⁺, Cl⁻,
 431 and black carbon (BC) mass fractions and (right) available bulk (< 5 μ m) oxalate, NH₄⁺, dimethylamine (DMA), non-
 432 sea salt K⁺, non-sea salt SO₄²⁻, non-sea salt Ca²⁺, NO₃⁻, Br⁻, NO₂⁻, and sea salt mass fractions per aerosol type.

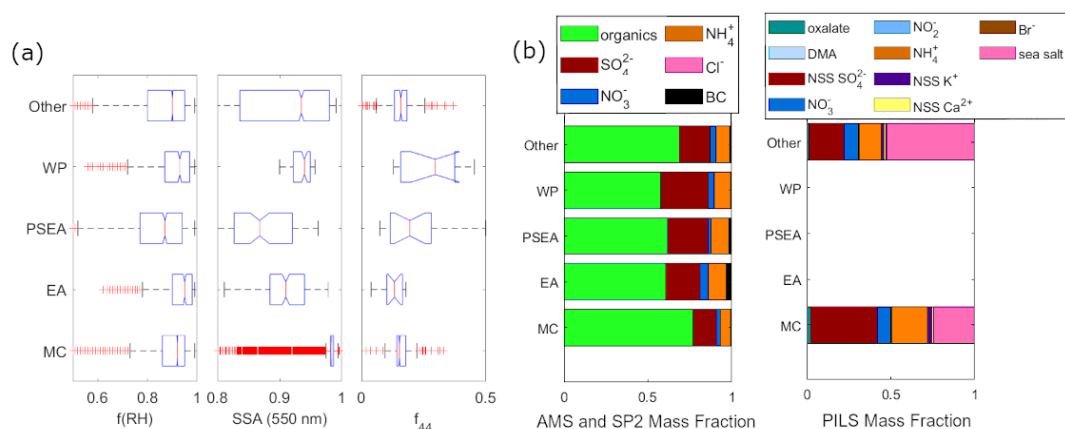


433 Using the AAE and SAE with categorization determined by Cazorla et al. (2013), the $f(\text{RH})$ can
434 be related to the types of aerosols. The highest median $f(\text{RH})$ of 1.31 (with Q1 and Q3 of 0.89 and
435 1.46) and 1.21 (with Q1 and Q3 of 0.88 and 1.68) are from the OC/dust mix and coated large
436 particles aerosol types (Fig. 5), respectively, though there are only 55 data points for the OC/dust
437 mix so the aerosol classification for it may not be as robust. As such, there are no PILS
438 compositional data available for the OC/dust mix aerosol type. However, from available data from
439 dust dominated particles, it is also possible that hygroscopic particles like ammonium and nitrate,
440 which have the greatest bulk mass fractions (0.45 and 0.17) in the dust dominated particles
441 compared to other aerosol types, partitioned to dust and increased $f(\text{RH})$ for the OC/dust mix
442 particles. The high $f(\text{RH})$ for the coated large particles is consistent with its the largest bulk sea
443 salt mass fraction (0.56) (Fig. 5b) compared to other aerosol types. Note that the median $f(\text{RH})$
444 values for the aerosol types may be slightly different than the raw 1 s $f(\text{RH})$ data because the
445 median $f(\text{RH})$ is only calculated for the aerosol types when both the scattering and absorbing
446 Ångström Exponent values are available.

447 Most of the aerosol particles ($< 5 \mu\text{m}$ dry diameter and 93% of all particles) collected during the
448 CAMP²Ex field campaign have optical properties (Fig. 5a) that resembled EC/OC mix + mix (in
449 this case we combined the sub-categories of EC/OC mix and mix) (51%) and EC dominated (42%)
450 aerosol types. The EC dominated particles have the third to the highest median $f(\text{RH})$ at 1.19 (with
451 Q1 and Q3 of 1.05 and 1.33), which is unusual because EC is known to be hydrophobic.
452 Compositional data sheds some light on this, because the particles classified as EC dominated have
453 relatively higher submicron sulfate (0.43) and bulk sea salt mass (0.38) fractions (Fig. 5b)
454 compared to particles classified as OC (0.16 and 0.22) and dust dominated (0.35 and 0.19), which
455 are known to have low hygroscopicity in general. This mixing of sulfate and sea salt with EC
456 dominated particles increases their bulk $f(\text{RH})$. The EC dominated particles come from the EA,
457 MC, and Other air masses. East Asia is a known sulfur source and shipping contributes to sulfate
458 in the region (Miller et al., 2023). Peat smoke particles from MC have also been found in past
459 studies to have sulfate mixed with carbonaceous species (Nakajima et al., 1999). The presence of
460 OC (0.64 submicron mass fraction) decreased the median $f(\text{RH})$ values (Fig. 5b) where the median
461 $f(\text{RH})$ for the EC/OC mix + mix type was 1.03 (with Q1 and Q3 of 0.94 and 1.18).

462 The OC dominated type have the highest submicron organic mass fraction (0.72) and a median
463 $f(\text{RH})$ of 0.94 (with Q1 and Q3 of 0.85 and 1.06), which is consistent with the expected effect of
464 organics to lower hygroscopicity. The median $f(\text{RH})$ values below 1, though, are counterintuitive
465 given that the presence of moisture in the region is thought to generally increase the particle size
466 and consequently the amount of aerosol light scattering. Data where $f(\text{RH})$ is less than unity have
467 been observed in past studies due a number of suspected factors including (but not limited to)
468 volatilization effects, changes in optical properties during humidification, and particle
469 restructuring (Shingler et al., 2016b).

470



471

472 Figure 6. (a) Boxplots of $1 - f(\text{RH}) < 1$ (MC: 14,612, EA: 473, PSEA: 986, WP: 483, and Other: 9919 counts) and the
 473 corresponding single scattering albedo (SSA, 550 nm) and ratio of the mass spectral marker m/z 44 marker relative to
 474 total organic mass (f_{44}) and (b) (left) submicron mean organic, SO_4^{2-} , NO_3^- , NH_4^+ , Cl^- , and black carbon (BC) mass
 475 fractions and (right) available bulk ($< 5 \mu\text{m}$) oxalate, NH_4^+ , DMA, non-sea salt K^+ , non-sea salt SO_4^{2-} , non-sea salt
 476 Ca^{2+} , NO_3^- , Br^- , NO_2^- , and sea salt mass fractions per regional air mass (Hilario et al., 2021) for times with $f(\text{RH}) < 1$.

477 To investigate aerosol characteristics when $f(\text{RH})$ is < 1 , we plot the $f(\text{RH})$ box plots from each of
 478 the regions for data points where $f(\text{RH}) < 1$ (Fig. 6a). There are several instances (26,473 times or
 479 19% of the time for the whole campaign) when the $f(\text{RH})$ was below 1. In general, organic mass
 480 fractions greater than 0.75 correspond to sub-1 $f(\text{RH})$ (Fig. 4a). The most prevalent regional air
 481 mass association for sub-1 $f(\text{RH})$ is from the MC ($f(\text{RH}) < 1$ from the MC was 56% of all data).
 482 The organic mass fraction is dominant (0.57 – 0.77), and almost doubled compared to the whole
 483 campaign (Fig. 3b), for all the air masses with sub-1 $f(\text{RH})$ (Fig. 6b) and is highest for the MC
 484 (0.77). The organics from the sub-1 $f(\text{RH})$ data are the least aged throughout the campaign for the
 485 MC, PSEA, and Other air masses (Fig. 6a). The CAMP²Ex data offer an opportunity to inspect the
 486 prevalence of such values and to see what factors coincide with such situations. However, the
 487 unique sample make-up of the particles in the CAMP²Ex region makes other reasons, including
 488 sample losses due to volatilization, also plausible (Reid et al., 2023). Shingler et al. (Shingler et
 489 al., 2016b) also observed such sub-1 values for both $f(\text{RH})$ and the humidified diameter growth
 490 factor $g(\text{RH})$ in air masses enriched with carbonaceous components over North America.

491 The most dominant aerosol types for the sub-1 $f(\text{RH})$ data are the carbonaceous ones (96%) (Fig.
 492 5b), with the EC/OC component contributing the most: EC/OC mix + mix (the combined sub-
 493 categories of EC/OC mix and mix) (68%), EC-dominated (18%), and OC-dominated (10%). Both
 494 bulk ammonium and sulfate mass fractions for the MC also are higher for the sub-1 $f(\text{RH})$ cases
 495 (Fig. 6b) compared to the whole campaign (Fig. 3b, PILS), while the bulk sea salt mass fraction
 496 was lower. The bulk sea salt mass fraction of the Other category (Fig. 6b) increases for the sub-1
 497 $f(\text{RH})$. Most of the particles contributing to the sub-1 $f(\text{RH})$ are fine particles (median Ångström
 498 Exponent ~ 2 , Fig. 6a) with more reflective characteristics ($\text{SSA} \geq 0.90$) compared to data for the

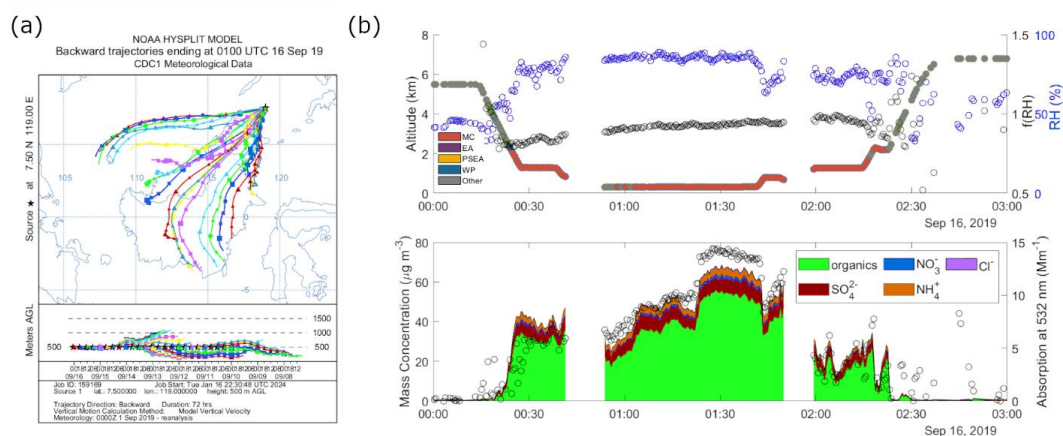


499 entire campaign. To understand more about sub-1 f(RH), we will look at a selected case in the
 500 succeeding section.

501 3.2 Case Studies

502 3.2.1 Sub-1 f(RH) from Biomass Burning Smoke

503 The chosen case study is part of a flight on 16 September 2019 that occurred closest to the Maritime
 504 Continent, which is the source of the air masses that had the most counts of sub-1 f(RH) (Section
 505 3.1.2). This flight coincided with an active biomass burning event on 14 September 2019 (NASA,
 506 2020) and fire hotspots in the Maritime Continent were numerous throughout September 2019
 507 (Othman et al., 2022). The back trajectories at 01:00 UTC, when the aircraft began to make
 508 measurements closest to the surface (~300 m altitude) and perpendicular to the wind, all come
 509 from the southwest of the aircraft location (Fig. 7a), in the direction from where biomass burning
 510 emissions were being transported. Details about the flight and conditions during this case study
 511 are found in Crosbie et al. (2022). In their study they note the smoke to be from Kalimantan with
 512 an age between 48 and 72 h. Consistently low f(RH) (all below 1, Fig. 7b) values were observed
 513 for a little less than an hour, until the aircraft began its ascent (Fig. 7b). The aerosol mass
 514 concentrations of $>70 \mu\text{g m}^{-3}$ were among the highest in the entire field campaign and were
 515 dominated by organics (Fig. 7b).

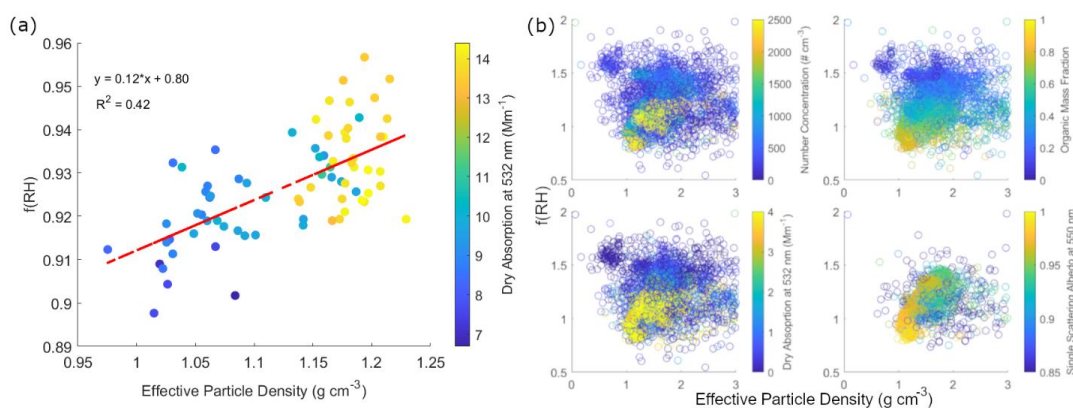


516
 517 Figure 7. Case study of sub-1 f(RH) on 16 September 2019. (a) Five-day back trajectories at the approximate flight
 518 location at 01:00 UTC. (b) (top) Time series plots of altitude colored by regional air mass, f(RH) in black circles, and
 519 RH (blue circles), and (bottom) aerosol mass concentrations from the AMS and absorption at 532 nm (black circles)
 520 during one of the flight legs closest to the surface from 00:00 to 03:00 UTC.

521 The sub-1 f(RH) during the lowest altitudes of the aircraft, from 01:00 to 01:40 UTC, are correlated
 522 with approximations of the submicron particle effective density (Fig. 8a). Absorption
 523 measurements along with back trajectories linked to active fires point to the increasing presence
 524 of EC and brown carbon with increasing particle density (even if there were no valid EC



525 measurements for this specific time). Submicron number concentration, dry absorption (532 nm),
 526 organic mass fraction, and single scattering albedo are highest for the smallest effective density
 527 values for sub-1 f(RH) (Fig. 8b) during the entire campaign, likely due to the presence of EC and
 528 OC. This is consistent with the most dominant aerosol type during the field campaign, which is a
 529 mix of elemental and organic carbon based on SAE and AAE values. The dataset cannot be used
 530 to prove particle restructuring and very likely the sub-1 f(RH) values are due to other factors since
 531 particles' history included passes through humid areas where restructuring presumably would have
 532 already occurred prior to reaching the aircraft. In addition, smoldering from peat, which is
 533 dominant in the MC fires (Reid et al., 2023), is known to produce homogenous spheres with no
 534 voids and similar to OC (Pokhrel et al., 2021), making restructuring unlikely to be the dominant
 535 mechanism to explain our findings. However, we present these results for the sake of documenting
 536 a Southeast Asia case of these unusual events occurring in EC/organic-rich air masses similar to
 537 past work (e.g., Shingler et al., 2016b and references therein) to emphasize that these events occur
 538 throughout the world and to motivate more research into the matter.



539

540 Figure 8. 30 s f(RH) and effective particle density plots for (a) 16 September 2019 from 01:00 to 01:40 UTC colored
 541 by dry absorption at 532 nm, and (b) for the entire CAMP²Ex field campaign and colored by (clockwise from top left)
 542 LAS number concentration of particles with diameters between 100 and 1000 nm, organic mass fraction, single
 543 scattering albedo at 550 nm, and dry absorption at 532 nm.

544 Smoke particles are known to have a range of density values, depending on their degree of
 545 atmospheric aging, affecting their size, and the processes they undergo. The effective particle
 546 density is usually lower for biomass burning particles from smoldering that have larger diameters
 547 (Pokhrel et al., 2021), compared to flaming. Freshly emitted smoldering particles have effective
 548 densities from 1.03 g cm⁻³ to 1.21 g cm⁻³ that do not vary much with diameter based on a laboratory
 549 study (Pokhrel et al., 2021). Our calculated values fall within this range (Fig. 8). This adds
 550 confidence to our observation that organics (brown carbon), which dominate smoldering
 551 emissions, are the major contributors to the sub-1 f(RH). Both aerosol hygroscopicity and effective
 552 particle density are important for properly modeling cloud condensation nuclei, one of the most
 553 important factors in aerosol-cloud interactions.

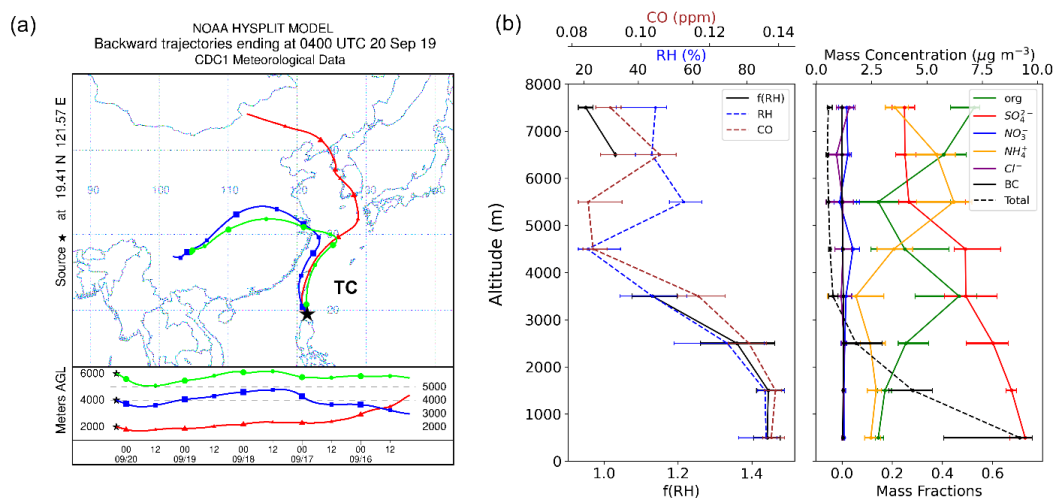


554 3.2.2 Vertical Transport

555 The vertical distribution of aerosols affects cloud formation, we investigate this through two cases
556 of aerosol vertical transport. The vertical transport cases were identified from averages of the
557 available vertical profiles made during CAMP²Ex. A large-scale event (01:53 to 06:20 UTC 20
558 Sep 2019) north of Luzon, Philippines, due to a tropical cyclone, and a smaller-scale event (02:55
559 to 06:02 UTC 24 Sep 2019) east of Luzon, due to shallow convection were chosen for the case
560 studies. The measured median CO mixing ratio was used as a tracer for vertical transport (Kar et
561 al., 2004). An increase in the median CO mixing ratio at higher altitudes along with multi-level
562 winds from a similar direction were the main criteria used to identify the cases.

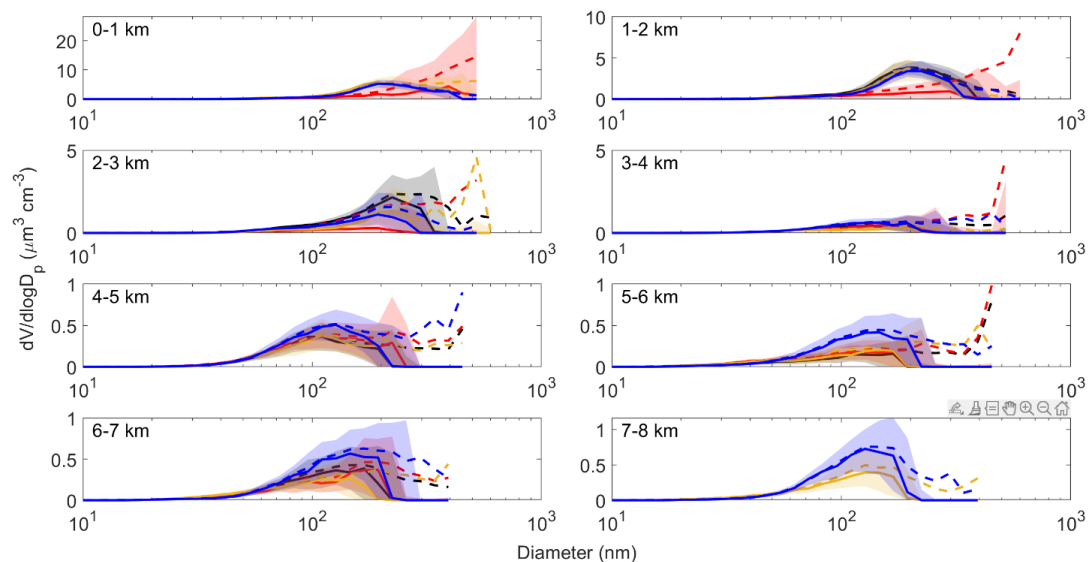
563 Northerly to northwesterly winds are the dominant source for the first case (Fig. 9a) influenced by
564 tropical cyclone Tapah (TC Tapah's center was ~600 km northeast of the aircraft location). This
565 suggests the influence of East Asia on the sample as described in Crosbie et al. (2022), where the
566 meteorology is discussed in more detail. There is a general decrease in $f(\text{RH})$ from the lower levels
567 that follows a similar trend to the decrease in total mass (Fig. 9b) and sulfate mass fraction. Sulfate
568 is hygroscopic so it is understandable that the $f(\text{RH})$ decreased with altitude as the sulfate
569 decreased. The increase in CO between 6-7 km (Fig. 9b) suggests vertical transport aloft and was
570 accompanied by a subsequent decrease in $f(\text{RH})$ and an increase in organic mass fraction (Fig. 9b)
571 between 6-8 km. This is consistent with analysis in the previous sections, which show decreased
572 $f(\text{RH})$ with increased organic mass fractions.

573 Accompanying the general decrease of $f(\text{RH})$ with altitude are decreasing submicron median
574 volume size distribution (VSD) magnitudes and volume weighted average diameters (Fig. 10).
575 Possible reasons for these trends are that larger hygroscopic particles, such as sulfate (which make
576 up the largest mass fraction at lower levels), were scavenged (especially at lower altitudes) and/or
577 activated into cloud drops, leaving the smaller particles behind. Submicron aerosol mass also
578 decreases with altitude, with values below $1 \mu\text{g m}^{-3}$ (Fig. 9b). There is a slight increase in VSD
579 from 6-8 km compared to 4-6 km, and especially at larger diameters, broadening the VSD curve
580 which may suggest cloud processing (Eck et al., 2012). Though sulfate enhancements have
581 traditionally been the marker for cloud processes (Barth et al., 2000; Faloona, 2009), more recent
582 studies have observed potential cloud processing cases with increased organics (i.e. Wonaschuetz
583 et al., 2012; Dadashazar et al., 2022). This case could thus be showing vertically transported
584 organic matter that has possibly affected or was affected by the clouds between 6-7 km. Another
585 observation is the increase of ammonium and nitrate mass fractions above 4 km (Fig. 9b). There
586 is no corresponding valid $f(\text{RH})$ data, and we can only infer based on the CO profile that begins to
587 shift toward a more positive slope with increasing altitude that this increase in ammonium could
588 also be associated with cloud processing. Ammonia is abundant in East Asia (Pawar et al., 2021)
589 And although there are still limited studies, the scavenging efficiencies of organics and ammonium
590 (compared to sulfate) could also be contributing to their increasing mass fractions with altitude
591 (Yang et al., 2015; Hilario et al., 2023).



592

593 Figure 9. Case study of tropical cyclone-induced convection on 20 September 2019. (a) Five-day multi-level back
 594 trajectories from the average location of the aircraft from 01:53 to 06:20 UTC with the approximate TC center location
 595 and (b) vertical profiles (median, 25 and 75th percentiles) of (left) $f(RH)$, relative humidity (RH), and CO mixing ratio
 596 and (right) submicron mass fractions of organics, sulfate, nitrate, ammonium, and black carbon and sum of mass
 597 concentrations from AMS and SP2 (dashed black line) for 1 km altitude bins.



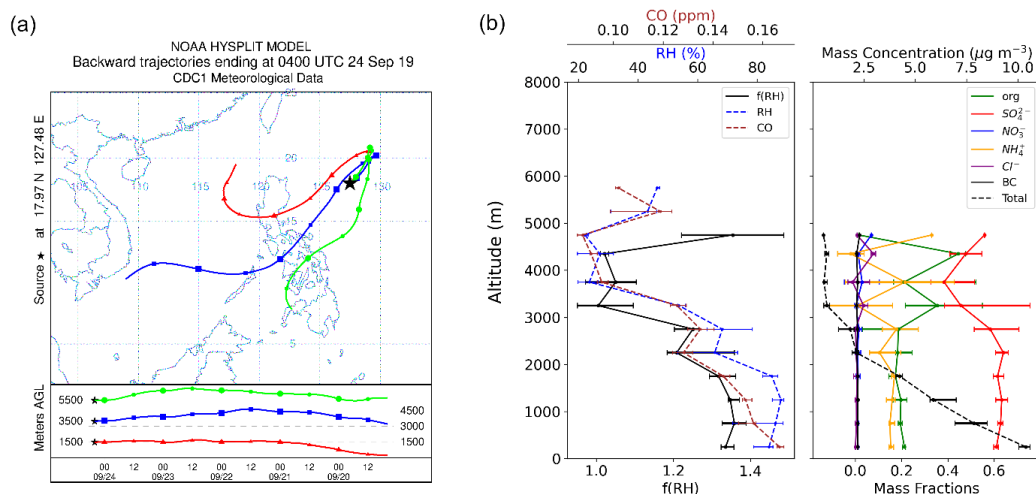
598

599 Figure 10. Median (solid line), mean (dashed line), and 25th to 75th percentiles (shaded area) of volume size
 600 distributions of submicron particles (FIMS) every 1 km altitude for the case study of tropical cyclone-induced
 601 convection on 20 September 2019 from 01:53 to 06:20 UTC. The colors represent four separate vertical profiles,
 602 where black was from 01:35 to 02:19 UTC, red was from 03:40 to 04:44 UTC, yellow was from 04:59 to 05:27 UTC,
 603 and blue was from 05:52 to 06:20 UTC.



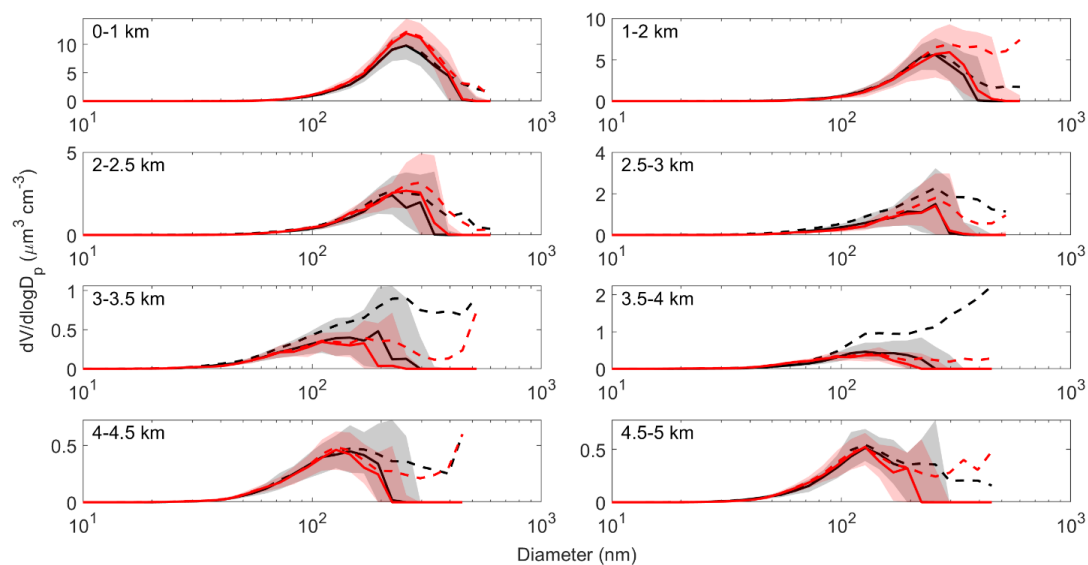
604 The second case, the shallow cumulus case on 24 September 2019 (Hilario et al., 2023), has multi-
 605 level wind trajectories initially from the West Pacific in the northeast direction, which appear to
 606 have come from the Philippines and the general southwest direction from two days before (Fig.
 607 11a). The most evident increase in CO (Fig. 11b) is observed between 5-5.5 km, at an altitude
 608 lower than the previous case. Both RH and f(RH) have a similar trend to CO throughout the vertical
 609 profile, which slightly follows the trend of sulfate mass fraction. This is observed especially in the
 610 lower levels (until ~2 km) where RH and f(RH) are relatively steady, even with a large decrease
 611 in total aerosol mass.

612 At higher altitudes, above 4.5 km, f(RH) increases to a greater degree with decreased organic mass
 613 fraction and increased sulfate mass fraction (Fig. 11b), possibly due to cloud processing. Like the
 614 first case, the VSD plots (Fig. 12) for this case show decreasing VSD magnitudes and volume
 615 weighted average diameters with increasing altitudes. The broadening of the VSDs above 3 km,
 616 concurrent with the increased decreased sulfate mass fraction, likewise suggests cloud processing.
 617 There is a known sulfate source, a power plant in western Luzon, in the Philippines that is along
 618 the path of the 1.5 km back trajectory (Fig. 11a) and may possibly contribute to sulfate in the region
 619 (Lorenzo et al., 2023). It is possible that this and other sulfate sources in the region, such as those
 620 from ships (Miller et al., 2023), are being transported vertically and affecting aerosol
 621 hygroscopicity in the areas where there is shallow convection.



622

623 Figure 11. Case study of shallow convection on 24 September 2019. (a) Five-day multi-level back trajectories from
 624 the average location of the aircraft from 02:55 to 06:02 UTC and (b) vertical profiles (median, 25 and 75th percentiles)
 625 of (left) f(RH), relative humidity (RH), and CO mixing ratio and (right) submicron mass fractions of organics,
 626 nitrate, ammonium, and black carbon and sum of mass concentrations from AMS and SP2 for 0.5 km altitude bins.



627

628 Figure 12. Median (solid line), mean (dashed line), and 25th to 75th percentiles (shaded area) of volume size
 629 distributions of submicron particles (FIMS) in 0.5-1 km altitude increments for the case study of shallow convection
 630 on 24 September 2019 from 02:55 to 06:02 UTC. The colors represent two separate vertical profiles, where black was
 631 from 02:55 to 04:07 UTC and red was from 05:42 to 06:02 UTC

632 In summary, for both cases vertical transport in cumulus clouds results in higher f(RH), lower
 633 sulfate mass fraction, and higher organic mass fraction at cloud outflow altitudes. The VSDs and
 634 the averaged diameter of the aerosol size decrease from cloud base to cloud outflow altitudes,
 635 likely due to cloud processing. The understanding and representation of the vertical transport or
 636 aerosols due to the tropical cyclone and shallow convection and their role in aerosol-cloud
 637 interaction is further investigated as we evaluate modeled data using the two cases that we have
 638 just discussed.

639 3.2.3 Model Evaluation

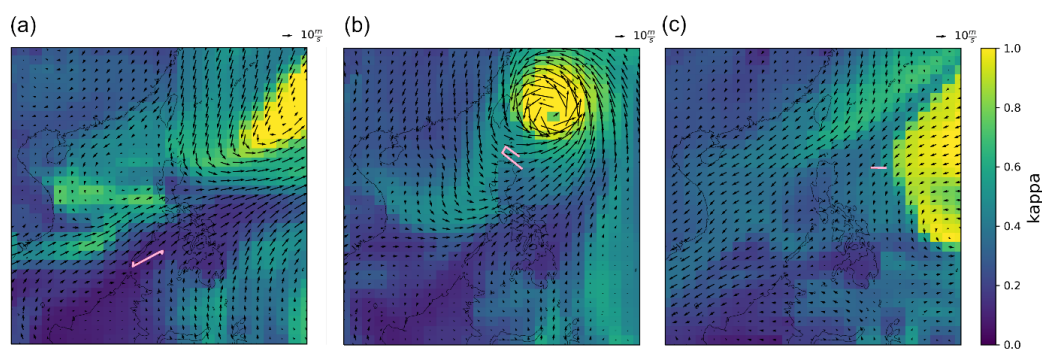
640 To give regional context to the cases, we begin the discussion of model evaluation with the
 641 horizontal distribution of the modeled (~111 km resolution) near-surface aerosol hygroscopicity
 642 (κ : calculated from the volume fractions and κ values (Eq. 3) of the modeled submicron
 643 aerosol species as described in 2.3.2) and winds within the CAMP²Ex domain. We will compare
 644 the model κ values to κ from different regions around the world based on previous
 645 studies. Subsequently, we will discuss how the modeled κ at different vertical levels compare
 646 to the κ derived from bulk f(RH) (< 5 µm) (Eqs. 4 and 5) as well as from κ derived from
 647 submicron AMS and SP2 species (Eq. 3) from the aircraft observations.

648 The CAM-chem modeled surface κ values (Fig. 13) in the CAMP²Ex region of interest, during
 649 the time when the three cases discussed in 3.2.1 and 3.2.2 were sampled, are within the range of
 650 globally modeled surface κ in marine (0.9 – 1.0), continental (0.1 – 0.4), and continental



651 outflow (0.4 – 0.6) regions from previous studies (Pringle et al., 2010). The highest modeled kappa
652 (~1.0, Figs. 13a and 13b) is like those previously found in marine areas and is influenced by the
653 high winds, coming from the direction of the Pacific Ocean, and can increase sea salt emissions.
654 This is due to the tropical cyclone which was still developing on 16 September 2019 and more
655 fully developed on 20 September 2019. The Pacific Ocean also is the source of high kappa (~0.9
656 - 1.0) for the shallow convection case on 24 September 2019 (Fig. 13c). For all three cases there
657 was low kappa (< 0.4) in areas with low wind speeds and over land especially over Borneo and
658 East Asia, typical of continental and continental outflow regions. For the two cases that were
659 within the southwest monsoon (Figs. 13a and 13b) the lowest kappa values (< 0.2) were in Borneo
660 and downwind areas including Southern Philippines and areas east of it.

661 The modeled surface kappa (~0.1 – 0.5) below the aircraft positions for the case studies of interest
662 (red lines in Fig. 13), though over the sea, are lower than in those areas most affected by the Pacific
663 Ocean and are influenced by emissions from Borneo (Figs. 7a and 13a), East Asia (Figs. 9a and
664 13b) and the Philippines (Fig. 11a and 13c) based on back trajectories. Kappa, calculated from the
665 median $f(\text{RH})$ derived from scattering measurements, for the five air masses discussed in sections
666 3.1 and 3.2 (MC: -0.02, EA: 0.14, PSEA: 0.10, WP: 0.24, and Other: 0.11), all fall in the
667 continental category even though the majority of these air masses are technically in regions with
668 continental outflow. The CAM-chem kappa values for the maritime continent are very close to
669 zero, but in the aircraft positions for the case studies with vertical transport (Figs. 13b and 13c) the
670 CAM-chem kappa values (~0.40 – 0.50) are more than double the range of calculated kappa from
671 East Asia (0.14) and Other (0.11) air masses that are influencing the case studies. To make sense
672 of this difference between globally modeled surface kappa values and that which is calculated from
673 the CAM²Ex $f(\text{RH})$, we delve more into aerosol vertical transport and its connection to aerosol
674 hygroscopicity and evaluate the vertical profile of the kappa calculated from the CAM-chem model
675 outputs.

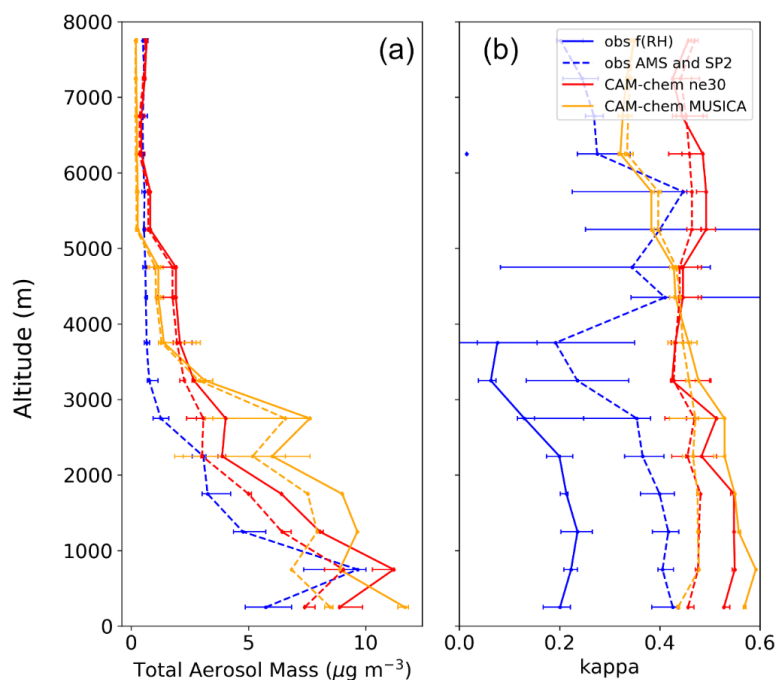


676

677 Fig. 13. Modeled kappa and winds at 957 hPa for (a) the biomass burning smoke case at 06:00 UTC on 16 September
678 2019, (b) the tropical cyclone-induced case at 06:00 UTC on 20 September 2019 and, (c) the shallow convection case
679 at 06:00 UTC on 24 September 2019. The pink lines represent the aircraft locations from 02:15 to 04:22 UTC on 16
680 September 2019, 01:53 to 06:20 UTC on 20 September 2019 and, from 02:55 to 06:02 UTC on 24 September 2019.



681 The CAM-chem model (Fig. 14a) was able to represent the general trends in the observed total
682 submicron mass vertical profile for the tropical cyclone-induced convection case. The observations
683 from AMS are only for non-refractory aerosol species, however, and so sea salt and dust are not
684 included in the total mass, possibly affecting the comparison with the model output, which
685 accounts for both. The uniform ~111 km grid mesh CAM-chem and MUSICA outputs show
686 approximate contributions by sea salt and dust to be 5 to 20% of the total mass (Figs. 15b and 15c).
687 This could explain the relatively higher total mass values from the model outputs compared to
688 observations for some altitude levels, though in general even without dust and sea salt the CAM-
689 chem model still has slightly higher aerosol total mass values (dashed lines in Fig. 14a) compared
690 to the observations. The CAM-chem model represents the approximate aerosol total mass well for
691 this case probably because of its large-scale nature, where wind speeds were relatively high and
692 where the aerosol particles are potentially from a large source (East Asia) (Figs. 9a and 13a).
693 Together, the sum of the sulfate and ammonium mass fractions are similar for the observed (Fig.
694 15a) and modeled outputs close to the surface (Figs. 15b and 15c), where the model output is
695 ammonium bisulfate and not just sulfate, and the actual mass concentrations of the models are
696 generally higher than the observations above 1 km (Fig. S1a). This sulfate-based compound
697 dominates the total aerosol mass for both the observations and model outputs, as is expected based
698 on its source air mass from East Asia, and accounts for the similar observed and modeled total
699 mass shape profiles (Fig. S1). The organic mass fraction, on the other hand, is lower by almost
700 half of the observed organic mass fraction due to sulfate dominating. This has a direct effect on
701 modeled aerosol hygroscopicity (κ), as it has been shown earlier that $f(\text{RH})$ decreases with
702 increased organic mass fraction (Fig. 4).



703

704 Figure 14. Vertical profiles of observed data (01:53 to 06:20 UTC) and CAM-chem model outputs (06:00 UTC) for
 705 ne30 $\sim 1^\circ$ and MUSICA 0.25° grids for the tropical cyclone-induced convection case on 20 September 2019 at 500 m
 706 intervals. (a) Total observed submicron aerosol mass from AMS (organics, SO_4^{2-} , NO_3^- , NH_4^+ , Cl^-) and SP2 (black
 707 carbon (BC)) data and CAM-chem output (organics: primary/hydrophobic, hygroscopic, and secondary ($\text{C}_{15}\text{H}_{38}\text{O}_2$),
 708 sulfate (NH_4HSO_4), sea salt, dust (AlSiO_5), and black carbon (primary/hydrophobic and hygroscopic)) where the solid
 709 line includes all the CAM-chem species and the dashed line excludes dust and sea salt and (b) calculated kappa from
 710 observed f(RH) (solid line, $< 5 \mu\text{m}$) and from AMS and SP2 (dashed line, submicron) data and using ZSR mixing rule
 711 for all CAM-chem aerosol species (solid line, submicron) and excluding dust and sea salt (dashed line). The lines
 712 correspond to the median values of data in the given altitude intervals and the bars correspond to the 25th and 75th
 713 percentile values.

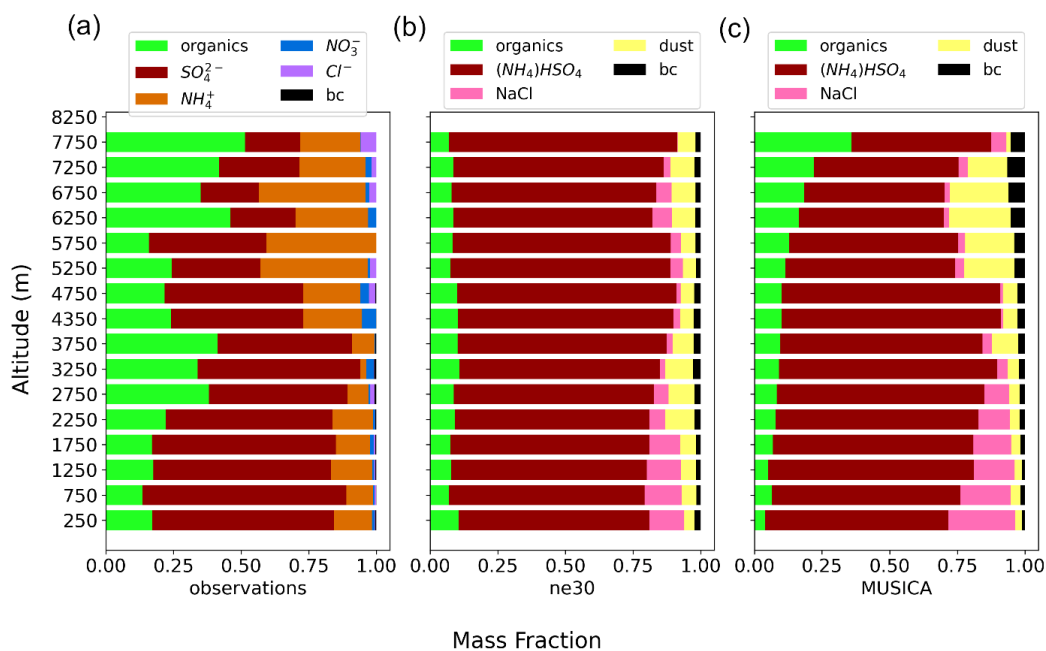
714 Median modeled kappa for the tropical cyclone-induced convection case is more than twice in
 715 magnitude compared to the median derived kappa from f(RH) (Fig. 14b), though they have a
 716 similar vertical profile shape. It has been noted from previous studies that the conversion of
 717 observed f(RH) to kappa may be associated with up to 40% uncertainty (van Diedenhoven et al.,
 718 2022), though this does not change that the kappa from CAM-chem is still approximately twice
 719 the calculated observed kappa. Kappa calculated from f(RH) represents larger particles ($< 5 \mu\text{m}$)
 720 compared to the kappa calculated from CAM-chem ($< 0.48 \mu\text{m}$), and the difference in size of the
 721 particles could be contributing to this disparity in kappa.

722 We compare more similar sized particles, kappa derived from AMS and SP2 measurements ($<$
 723 $0.70 \mu\text{m}$) with kappa calculated from CAM-chem (Fig. 14b) and find that the kappa derived from
 724 the AMS and SP2 is still lower than that computed from CAM-chem outputs. The kappa from



725 AMS and SP2 is approximately twice (100% larger than) the kappa from f(RH), probably due to
 726 particle size differences. Based on Mie theory and studies comparing f(RH) of PM₁ and PM₁₀
 727 particles (Zieger et al., 2013; Titos et al., 2021), CAMP²Ex f(RH) would be larger if it were
 728 measuring just submicron particles due to the increased scattering efficiency for accumulation
 729 mode particles compared to coarse particles. Though, based on Fig. 3 of Titos et al. (2021), the
 730 difference in f(RH) in marine sites would only be ~0.1 (given median observed SAE) and that
 731 would translate to ~20 to 40% increase in kappa. Thus, although size plays a role, composition is
 732 also contributing to the difference in the calculated kappa values between observations and the
 733 model.

734 The over and under-represented mass of sulfates and organics, respectively (Fig. 14b), by the
 735 model may be causing the higher kappa in the model. Based on the discussion in section 3.1.2 on
 736 air masses coming from East Asia (Fig. 4c), as is the case for this event, an organic mass fraction
 737 that is lower by half can increase the f(RH) ($f(\text{RH}) = (-0.81 * \text{organic mass fraction}) + 1.56$) and
 738 therefore the derived kappa by ~70%. Organics, especially secondary organic aerosols have been
 739 underpredicted by CAM-chem in urban and urban outflow regions (Schwantes et al., 2022). This
 740 is consistent with the observations for this case, which is influenced by urban outflow from East
 741 Asia and thus affecting the calculated kappa from the model.



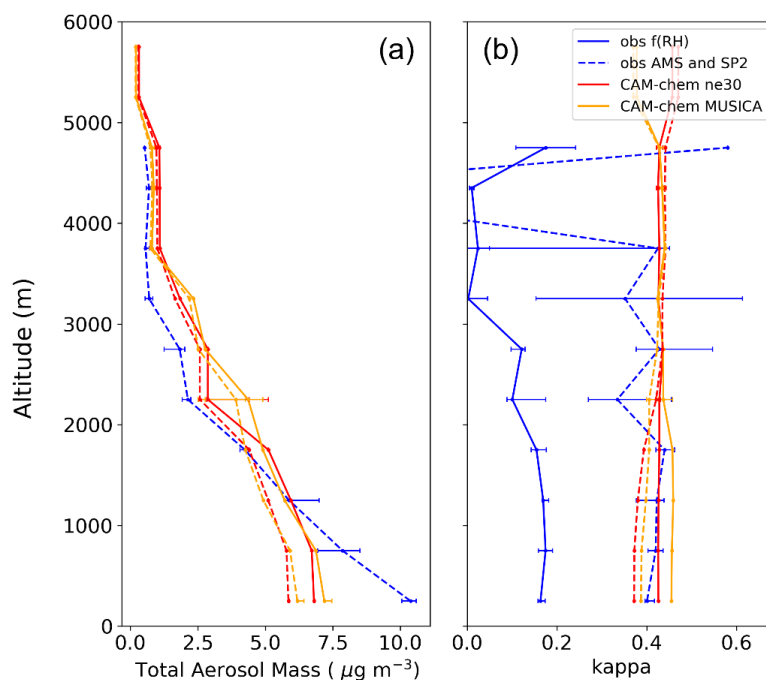
742

743 Figure 15. Vertical profiles of submicron median mass fractions at 500 m intervals of (a) observational data (01:53 to
 744 06:20 UTC) and (b-c) corresponding CAM-chem model outputs (06:00 UTC) for (b) ne30 ~1° and (c) MUSICA 0.25°
 745 grids for the tropical cyclone-induced convection case on 20 September 2019. CAM-chem outputs were combined



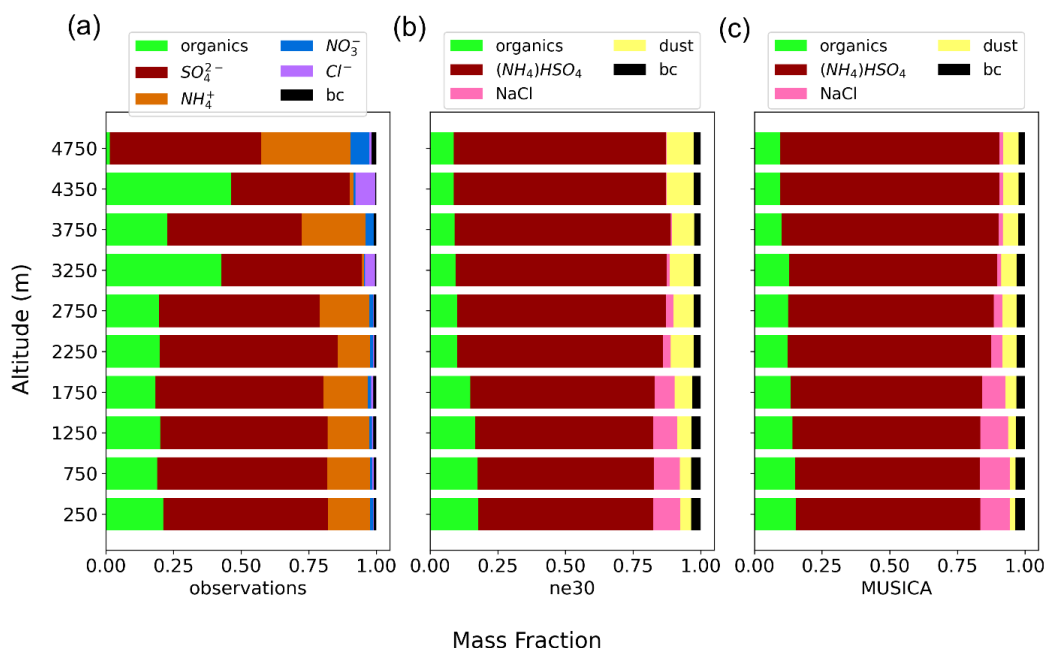
746 into five main categories: organics (primary/hydrophobic, hygroscopic, and secondary ($C_{15}H_{38}O_2$)); sulfate
747 (NH_4HSO_4); sea salt; dust ($AlSiO_3$); and black carbon (primary/hydrophobic and hygroscopic).

748 For the case of shallow convection, the shape of the vertical profile of the CAM-chem model
749 output total mass concentration is similar to the observed total mass concentration profile (Fig.
750 16a), though it underestimates the observed total concentration by approximately 2 to $4.5 \mu g m^{-3}$
751 below 1 km altitude, and then overestimates concentrations by approximately 0.5 to $2 \mu g m^{-3}$ above
752 1 km. This is due to the model underestimating organics and sulfate close to the surface, while
753 sulfate is overestimated above 1 km (Fig. S1b). The effect of this is seen in the model-derived
754 kappa, which is, like the previous case, higher than the calculated kappa from observations, though
755 to a lesser degree (Fig. 16b). Unlike the case of the tropical cyclone-induced convection, the
756 modeled kappa is relatively unchanging in shape compared to the kappa derived from
757 observations. This is likely due to the differences in the compositional profile at the higher
758 altitudes, even if the total mass is similar. The model is not able to represent the increase and
759 decrease in organic mass fraction from 3.5 to 4.5 km (Fig. 17a) and dominance of sulfate above
760 4.5 km. At those altitudes the observations become dominated by sulfate, ammonium, and nitrate
761 (Fig. 17a), even with losses due to scavenging and activation as shown by decreased aerosol mass
762 with altitude, probably due to the in-cloud production of sulfate as discussed in section 3.2.2. The
763 mass fraction profiles from the model (Fig. 17b) appear to have a steadily decreasing (increasing)
764 organic and sea salt (sulfate and dust) mass fraction with height. This case is a smaller scale event
765 with smaller surface winds associated with it (Fig. 13b) compared to the tropical cyclone case.
766 With weak forcing, it is likely that the CAM cumulus parameterizations does not predict the
767 convection observed in the shallow convection case affecting modeled aerosol mass vertical
768 distribution and, consequently, kappa in both the uniform ~111 global model and the regionally
769 refined simulations. This is similar to another model evaluation study (i.e. GEOS and GOCART)
770 for the CAMP²Ex data, which recommended an improvement of shallow convection schemes to
771 improve the representation of vertical transport (Collow et al., 2022). In fact, the CO increase
772 above 5 km, that that we used to identify convection, is not captured by CAM-chem (Fig. S2).
773 What is consistent though is that for both the observations and model output of this shallow
774 convection case, the relative magnitude of the model kappa is lower than that of the tropical
775 cyclone case.



776

777 Figure 16. Vertical profiles of observed data (02:55 to 06:02 UTC) and CAM-chem model outputs (06:00 UTC) for
 778 ne30 $\sim 1^\circ$ and MUSICA 0.25° grids for the shallow convection case on 24 September 2019. (a) Total observed
 779 submicron aerosol mass from AMS (organics, SO_4^{2-} , NO_3^- , NH_4^+ , Cl^-) and SP2 (black carbon (BC)) data and CAM-
 780 chem output (organics: primary/hydrophobic, hygroscopic, and secondary ($\text{C}_{15}\text{H}_{38}\text{O}_2$), sulfate (NH_4HSO_4), sea salt,
 781 dust (AlSiO_5), and black carbon (primary/hydrophobic and hygroscopic)) where the solid line includes all the CAM-
 782 chem species and the dashed line excludes dust and sea salt and (b) calculated kappa from observed f(RH) (solid
 783 line) and from AMS and SP2 (dashed line) data and using ZSR mixing rule for all CAM-chem aerosol species (solid
 784 line) and excluding dust and sea salt (dashed line). The lines correspond to the median values of data in the given
 785 altitude intervals and the bars correspond to the 25th and 75th percentile values.



786

787 Figure 17. Vertical profiles of submicron mass fractions at 500 m intervals of (a) observational data (02:55 to 06:02
 788 UTC) and (b-c) corresponding CAM-chem model outputs (06:00 UTC) for (b) ne30 ~1° and (c) MUSICA 0.25° grids
 789 for the shallow convection case on 24 September 2019. CAM-chem outputs were combined into five main categories:
 790 organics (primary/hydrophobic, hygroscopic, and secondary (C₁₅H₃₈O₂)); sulfate (NH₄HSO₄); sea salt; dust (AlSiO₅);
 791 and black carbon (primary/hydrophobic and hygroscopic).

792 4. Conclusion

793 This study reports on low PM₅ (D_p < 5 μm) aerosol hygroscopicity measured during CAMP²Ex
 794 2019 in Southeast Asia due to organics from biomass burning. Aging and vertical transport
 795 changes the hygroscopicity of particles affecting clouds and cloud representation in models in the
 796 region, emphasizing the need to improve emissions inventories and shallow cloud
 797 parameterizations. Notable results of this work, following the study goals raised at the end of
 798 Section 1 are as follows:

799 The generally low median f(RH) (1.24 with Q1 and Q3 of 1.05 and 1.43) of aerosol particles (< 5
 800 μm) in Southeast Asia during the CAMP²Ex campaign from 24 August to 5 October in 2019 is
 801 linked to the dominating regional effect of biomass burning from the Maritime Continent. The
 802 median f(RH) of air masses from the Maritime Continent was exceptionally low (1.05 with Q1
 803 and Q3 of 0.94 and 1.20). Measurements of f(RH) in other polluted marine environments around
 804 the world for PM₁₀ (< 10 μm) and PM₁ (< 1 μm) particles have higher ranges (median of ~1.7 –
 805 2.0) of f(RH) values (Titos et al., 2021). The air masses with the highest f(RH) from CAMP²Ex
 806 are generally from the West Pacific, in the northernmost regions of the Philippines and farthest



807 away from the Maritime Continent. Their median $f(\text{RH})$ (1.49 with Q1 and Q3 of 1.26 and 1.73)
808 is also lower than what has been associated with typical polluted marine environments.

809 Throughout CAMP²Ex, submicron organic matter is the main aerosol component associated with
810 biomass burning and the low $f(\text{RH})$ values. Organics are a major feature of total aerosol mass,
811 especially in air masses traced back to the Maritime Continent. Biomass burning smoke is spread
812 out in the region contributing to elevated AOD downwind of the MC (Fig. 2 in Reid et al. (2023))
813 and is corroborated by cases of sub-1 $f(\text{RH})$ and submicron reflective particles with high organic
814 mass fractions that are present in all the air masses. Based on clustering analysis using optical
815 properties, majority of the particles sampled during the campaign appear to be a mixture of both
816 elemental and organic carbon. Organics dominate in terms of mass fraction due to the smoldering-
817 type burning in peat fire (Reid et al., 2023) from the Maritime Continent, which is known to
818 produce more organics than elemental carbon (Reid et al., 2005). This is consistent with what
819 Miller et al. (2023) observed where the highest median levels of organic and elemental carbon
820 mass during CAMP²Ex were due to biomass burning from the Maritime Continent. Without
821 organics, the baseline $f(\text{RH})$ (1.38 – 1.55, Fig. 4), is still relatively low, compared to measurements
822 from other areas (i.e. 2.19 from SEAC⁴RS in and around the U.S.) (Shingler et al., 2016a) probably
823 due to the presence of elemental carbon, which is the second most dominant aerosol type during
824 the campaign.

825 Farther away from the Maritime Continent, the organic particles have aged and become more
826 oxidized as they interacted with the other air masses. The West Pacific is a relatively remote region
827 in northern Philippines and downwind of biomass burning from MC, creating a combined marine
828 and polluted aerosol. The oldest biomass burning aerosols were observed there. The organic
829 oxidation values of the aerosol particles in the air mass from the West Pacific are close to the
830 threshold of maximum oxidation that has been observed in previous studies (Cubison et al., 2011).
831 In the West Pacific, vertical transport decreases and increases hygroscopicity at cloud level with
832 increased organic and sulfate mass fractions, respectively. These aerosol constituents are thought
833 to be transported from the MC and the Philippines and their surrounding oceans, where natural
834 and industrial sources are significant (Miller et al., 2023), and then cloud processed.

835 Evaluation of the global chemical transport CAM-chem model at two output grids (~111 km and
836 25 km) against two convective cases from CAMP²Ex show the underrepresentation of organics in
837 general and a higher derived aerosol hygroscopicity (κ), which may be linked to the possible
838 overestimation of aerosol hygroscopicity from biomass burning from other studies in the area
839 (Collow et al., 2022; Edwards et al., 2022). CAM-chem overestimates sulfate in the tropical
840 cyclone induced convection case, consistent with results from an assessment of the NAAPS
841 reanalysis product on the positive bias of sulfate from East Asia (Edwards et al., 2022). The vertical
842 representation of the aerosol composition for the larger scale convection case due to a tropical
843 cyclone is better than that for the shallow convection case. Cloud processing and increased
844 hygroscopicity are not captured by the model for the shallow convection case, irrespective of
845 model grid size. The representation of shallow convection in the area by global models remains
846 challenging, based on similar model-evaluation studies (Collow et al., 2022). Past studies on
847 biomass burning aerosol effects on convection in Southeast Asia using a cloud-scale model



848 emphasized the importance of aerosol composition and absorptive properties, and their effect on
849 atmospheric stability, in the understanding of aerosol-cloud interactions (Hodzic and Duvel, 2018).
850 It is thus ideal that cloud-scale models be evaluated using the dataset from CAMP²Ex, where the
851 invigoration of shallow clouds has been observed (Reid et al., 2023).

852 The implications of the low aerosol hygroscopicity in the region and its effects on clouds and
853 climate are just beginning to be unraveled. The mixing and aging of organic and elemental carbon
854 from biomass burning smoke in the Maritime Continent with background and transported sources
855 influences hygroscopicity observations and modeling uncertainties and can be the topic of future
856 work. Improvements in harmonization in terms of aerosol particle sizes and composition, along
857 with updated emissions inventories, will be helpful moving forward both for the observations and
858 modeling of aerosol hygroscopicity. These suggestions can hopefully improve shallow cumulus
859 representation, which is still the biggest source of differences in model sensitivity in the
860 understanding of climate change (Bony and Dufresne, 2005), in the region and globally. Emerging
861 endeavors to implement higher resolution schemes in a large domain (Pfister et al., 2020; Radtke
862 et al., 2021) to capture both fine scale aerosol-cloud processes along with improved observations
863 in Southeast Asia hold promise.

864

865 *Data availability.* CAMP²Ex data can be found at
866 <https://doi.org/10.5067/Suborbital/CAMP2EX2018/DATA001>. CAM-chem model outputs can
867 be found at <https://doi.org/10.6084/m9.figshare.26755936.v1>.

868

869 *Author contributions.* LDZ, ECC, JPDG, GSD, RF, MRAH, MS, JW, QX, and AS carried out
870 various aspects of the data collection. ST and JZ conducted the CAM-chem model simulations.
871 GRL, LDZ, AFA, MB, ECC, RF, MRAH, MS, JW, QX, and AS performed analysis and
872 interpretation of the data. GRL and AS prepared the manuscript with contributions from the
873 coauthors.

874

875 *Competing interests.* At least one of the (co-)authors is a member of the editorial board of
876 *Atmospheric Chemistry and Physics*.

877

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879 Processes Philippines Experiment (CAMP²Ex) (ACP/AMT inter-journal SI)”. It is not associated
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890

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