Design of Wide Particle Size Range Aerodynamic Injection

System with New Pre-focus Structure

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Abstract: A new aerodynamic injection system has been designed for wide particle size range, combining a new pre-focus structure, a smaller buffer chamber, a five-stage lens. Compared with previous injection systems, the new design adds virtual impactors and pre-focus structures, while reducing the overall volume by 90 %. The newly developed pre-focus structure effectively addresses the challenges associated with the focusing and transmission of large particles, significantly reducing the beam width and dispersion angle of particles exiting the critical hole, thus preventing the buffer chamber from becoming excessively large. Furthermore, the focusing capability and transmission efficiency for large particles have been significantly enhanced, with the transmission range expanded to encompass particles from 100 nm to 10 µm. Numerical simulations demonstrate that the injection system can transmit particles within the 1 to 9 µm range with an efficiency exceeding 90 %. Additionally, the standard microsphere experiment verified the good consistency between the performance of the injection system and the simulation results. In the testing of standard dust, the wide-range particle size distribution obtained by the new injection system is highly consistent with Aerodynamic Particle Sizer (APS). In summary, this new design has ultra-high transmission efficiency while reducing volume, demonstrating the miniaturization potential of single particle aerosol mass spectrometer in detecting particles with a wide particle size range.

- 32 **Keywords:** Aerosol particles; Aerodynamic lens; Beam width; Transmission efficiency;
- 33 Numerical simulation

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36 1 Introduction

As a key component of aerosol mass spectrometry, the particle beam generator is used to focus the injected particles, and the focusing ability of the particle beam determines the detection sensitivity of the aerosol mass spectrometer. Aerodynamic lenses, which are recognized as a widely utilized particle beam focusing technology (Liu et al., 1995; Murphy et al., 2006; Zelenyuk et al., 2015; Clemen et al., 2020), leverage the inertia differential between particles and surrounding fluids to effectively focus particles, finding extensive application across various aerosol mass spectrometry systems (Peck et al., 2016). In addition to its application in aerosol mass spectrometry, aerodynamic lens systems have also found applications in many analytical instruments. Researchers use aerodynamic lenses to introduce aerosol particles into pulsed X-ray beams and determine the particle composition using diffraction patterns and ion fragments generated when the X-ray pulse meets the particle (Loh et al., 2012). Aerodynamic lenses can also be used in the mass spectrometry of nano-mechanical resonators. The lenses enable efficient focusing and transmission of large analytes without ionization, thus significantly enhancing overall system performance (Dominguez-Medina et al., 2018).

While aerodynamic lenses demonstrate a significant transmission effect on particles, most current designs primarily focus on a particle size range that falls within the same order of magnitude, with effective focusing predominantly limited to particles smaller than 3 µm. (Fergenson et al., 2004; Srivastava et al., 2005; Tobias et al., 2006). We typically assess the particle transmission capacity of injection systems by considering the range where the transmission efficiency exceeds 50 %, such as the 25-250 nm of Liu et al. (Liu et al., 1995), the 100-900 nm and the 340-4000 nm of Schreiner et al. (Schreiner et al., 1998; Schreiner et al., 1999), the 60-600 nm of Zhang et al. (Zhang et al., 2004), and the 125-600 nm of Zelenyuk et al. (Zelenyuk et al., 2015). The size of atmospheric aerosols spans from sub-nanometer to millimeter scales. Consequently, broadening the particle focusing range is essential for enhancing the analytical capabilities of aerosol mass spectrometry, particularly in the examination of biological aerosols, dust, and single cells.

Researchers have been actively working to extend the particle transmission range by optimizing aerodynamic lenses. Research has found that the focusing performance of aerodynamic lenses for small particles below 50 nm is limited by Brownian motion, while focusing of large particles is mainly affected by the larger inertia of particles (Wang et al., 2005; Wang and Mcmurry, 2006).

At present, most reported lenses transported large particles inefficiently (Cahill et al., 2014; Deng et al., 2008; Williams et al., 2013; Wu et al., 2009). The significant expansion of particle transport range relies on the improvement of the transport performance of large particles, and the most direct way to achieve this goal is to increase the number of lens stages. For example, Lee et al. (Lee et al., 2013) designed a seven-stage lens for particle detection in the range of 30 nm to 10 μ m, but this study does not consider the impact of critical hole on the transmission loss of large

particles and it is not applied in practice. Cahill et al. (Cahill et al., 2014) designed a high-pressure lens, and used a very long buffer chamber combined with a seven-stage lens to transport 4-10 μ m particles. However, the transmission efficiency of 4 μ m and 9 μ m particles in the experiment was only 20 %, and the overall size of the lens was relatively large. Increasing the number of lens stages is obviously beneficial for expanding the transmission range of particles, but it also brings disadvantages such as excessive injection system volume and increased assembly difficulty. As mentioned by Liu et al. (Liu et al., 2007) in their report, the beam focusing effect decreased as the assembly accuracy of the lens system decreased.

Hari et al. (Hari et al., 2007) added a virtual impactor behind the critical hole to reduce cross trajectory phenomenon of large particles, which was beneficial for enhancing the transmission performance within the injection system. Chen et al., (Chen et al., 2007) studied the effect of the structural configurations before and after the critical hole on particle loss through numerical simulation and experimental verification. They improved the transport efficiency of particles that are 50 nm or smaller by optimizing the structure. However, the transport range did not significantly expand. Liu et al., (Liu et al., 2007) reduced the wall impact loss of particles by unifying the inner diameter of the downstream pipeline of the critical hole. Additionally, Hwang et al. (Hwang et al., 2015) proposed a novel convergent-divergent critical hole that effectively reduces the incident angle of large particle and transports particles in the range of 30 nm to 10 μm efficiently. However, due to technological limitations, this new type of critical hole is difficult to manufacture and has not yet been applied in practice. In conclusion, the above research indicated that large particles transmission in aerodynamic lens systems experience significant losses on the surface of the critical holes. Du et al., (Du et al., 2023) first proposed a pre-focus design that includes a two-stage lens positioned at the front of the critical hole. With the aid of this pre-focus structure, particles are effectively aligned along the axis before entering the critical hole. This design significantly reduces the incidence angle of large particles, thereby minimizing the impact loss on the surface of the critical hole. However, due to the large divergence angle of particles after they exit the critical hole, it is essential to incorporate a buffer chamber with a diameter of 250 millimeters, along with a seven-stage lens system, to efficiently transport particles ranging from 62 nm to 13 µm. Nevertheless, this design markedly increases the overall size of the injection system, which poses challenges for the miniaturization of the mass spectrometer.

This study designs a new small volume pre-focus wide range aerodynamic lens injection system (PFW-ALens) for particles with diameters ranging from 100 nm to 10 μ m. The injection system consists of a novel pre-focus structure, a small buffer chamber, and a five-stage aerodynamic lens. This study uses computational fluid dynamics software to simulate the transmission performance from the inlet to the vacuum chamber, and verifies it through experiments. The numerical simulation results demonstrate that the particle transmission efficiency is greater than 90 % for sizes ranging from 100 nm to 9 μ m. The results obtained from the experiments demonstrate significant consistency with the simulation outcomes, particularly

revealing that efficiency exceeds 50 % for particles up to 9 μ m. This injection system has great potential for application in bioaerosol analysis, dust analysis, and miniaturization of mass spectrometers.

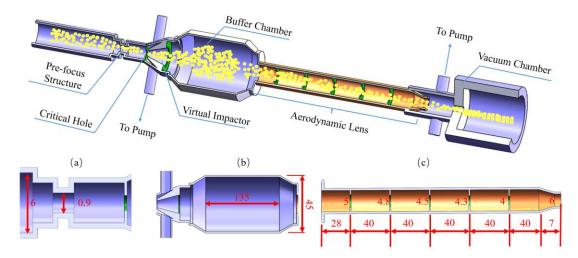


Fig. 1 Structural design of the injection system. Locally displayed are the pre-focus structure (a), buffer chamber (b), and five-stage lens system (c).

2 Numerical simulation and experimental design

2.1 Physical models

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Fig. 1 shows the structure and key dimensions of the injection system, which consists of four modules: pre-focus structure, the separation cone, the buffer chamber, and a five-stage aerodynamic lens. The distance from the inlet of the buffer chamber to the outlet of the acceleration nozzle in this study is 370 mm, compared to 570 mm in the injection system designed by Du et al. The particles are first focused near the axis with the aid of the pre-focus structure before passing through the critical hole, which has a diameter of 0.26 mm, at a flow rate of 640 mL/min. A separation cone, located 1.6 mm downstream of the critical hole, features a diameter of 1 mm and an angle of 30 °. The excess air between the critical hole and the separation cone is extracted by a vacuum pump to condense the aerosol particles entering the buffer chamber. As the high-speed particles pass through the critical hole, they gradually decelerate within the buffer chamber. The airflow then drives them into the aerodynamic lens system, which composed of holes with different diameters that effectively focus particles onto the central axis within a specific range. In addition, this study utilized a smooth nozzle at the end of the aerodynamic lens. As mentioned by Zhang et al. (2004) in their study, this nozzle provides better collimation for small particles and improves the transport efficiency of large particles compared to stepped nozzles. The particles focused by the lens group will be further accelerated into the vacuum chamber by the nozzle.

2.2 Numerical model

Ansys-Meshing is used to generate the mesh, and Fluent software is used to calculate the flow of gas and particle coupling between the inlet and vacuum chamber. The boundary conditions for the inlet of the injection system, the pumping port of the virtual impactor, and the outlet of the lens are set to 101325 Pa, 600 Pa, and 0.1 Pa, respectively. Under these conditions, the buffer chamber and the aerodynamic lens operate below 300 Pa. The parameter settings are consistent with the study of Zhang et al. (Zhang et al., 2002). In addition, a laminar flow model is employed for simulation, and the second-order upwind flow equation is selected as the discretization scheme. During the simulation, user-defined functions (UDFs) are utilized to modify and implement particle drag and Brownian force models, allowing for more accurate particle motion trajectories. Finally, the discrete phase model (DPM) is employed to define the number, diameter, and release position of the particles. Upon completion of the simulation, the trajectories and velocities of the particles are obtained through contours.

2.3 Experimental exploration

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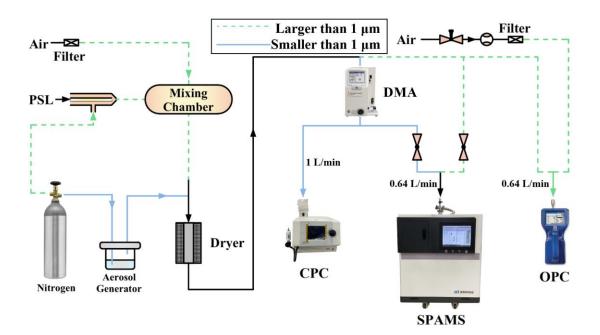


Fig. 2 Gas Path Connections and Flow Rates to Each Detector in Experiment

Standard polystyrene latex spheres (PSL, Thermo Fisher Scientific) ranging from 100 nm to 10 μm are used to characterize the focusing ability of aerodynamic lens system. The operation for generating and counting aerosols larger than 1 µm is as follows. Initially, the PSL solution is diluted with pure water, after which nitrogen serves as the carrier gas to atomize the PSL solution using an ICPMS atomizer (Ge, C21-1-UFT02). The atomized mixture is subsequently directed into the mixing chamber, where the injection rates of the PSL solution and nitrogen are meticulously set to 10 µL/min and 0.2 L/min, respectively. The excess moisture in the atomized aerosol particles is removed by heating the mixing chamber and introducing a drying tube, and then the aerosol particles are introduced into the optical particle counter (OPC, TSI, Model 9306) and Bio-SPAMS respectively through a three-way tube for counting. For particles smaller than 1

 μ m, an aerosol generator (TSI, Model 9302) is used to produce aerosols. The generated aerosols are first sorted by a differential mobility analyzer (DMA, TSI, Model 3082) and then directed to a condensation particle counter (CPC, TSI, Model 3775) and biological aerosol single particle mass spectrometer (Bio-SPAMS) for counting respectively. The detailed experimental gas path connection is shown in Fig. 2, where the blue solid line is the test pipeline connection scheme for the transmission efficiency of particles below 1 μ m, and the green dotted line represents the test pipeline connection scheme for the transmission efficiency of particles above 1 μ m. It is important to note that during the experiment, we utilized additional airflow. For experiments involving particles smaller than 1 μ m, this additional airflow was implemented to address flow mismatches between the aerosol generator and the detection instruments. In experiments with particles larger than 1 μ m, the additional airflow helped resolve the issue of unequal flow rates between the OPC and Bio-SPAMS, ensuring that the flow rates entering both instruments remained consistent during measurement.

The Bio-SPAMS used in this study is similar to HP-SPAMS (Du et al., 2024), but its optical system has been improved. The first diameter measuring laser is designed with a beam-splitting optical structure similar to that of the APS 3321. The method involves using a beam splitter to divide the diameter measuring laser (Sony SLD3234VF) into two nearly parallel beams and calculating the aerodynamic diameter of the particles by the time they pass through these beams. The photomultiplier tube detects the number of particles passing through the laser by collecting light signals, and PMT 1-1 is used to count the number of particles passing through the first laser of the split beam. In the experiment, we defined the total number of particles detected by PMT 1-1 per unit time as the total number of particles entering Bio-SPAMS. For particles larger than 1 μ m, the ratio of the total number of particles entering both Bio-SPAMS and OPC is used to determine the transmission efficiency. For particles smaller than 1 μ m, the transmission efficiency is calculated as the ratio of the particle concentration recorded by Bio-SPAMS to that recorded by CPC.

3 Results and discussions

3.1 Injection system with virtual impactor

Currently, the lens designed by Zhang et al. (2004), has been applied by numerous researchers (Canagaratna et al., 2007; Docherty et al., 2013; Drewnick et al., 2009; Meinen et al., 2010) across various fields. However, the simulation results presented by Zhang et al. indicate that the focusing range of such lenses primarily lies between 50 nm and 3 μ m, with a transmission efficiency of 50 % at 1.5 μ m, as illustrated in Fig. 3(a). Furthermore, Zhang et al. assumed in their simulations that particles were uniformly distributed from the buffer chamber to the area in front of the lens. In reality, however, the entry conditions for larger particles are not uniform. To gain further insights into the impact of this lens on particles within mass spectrometry instruments, this study enhances Zhang et al.'s model by incorporating a buffer chamber and a virtual impactor

structure, as depicted in Fig. 1(b), and conducts relevant simulations for exploration.

Our team discovered that the virtual impactor used in this study is capable of transporting 100 nm particles downstream with an efficiency of over 90 %, with only a small fraction of particles being pumped away. This indicates that nearly all of the particles examined in this research can pass through the virtual impactor and be effectively transported downstream. In order to further compare the effects of the virtual impactor and pre-focus structure with the five-stage lens employed in this study, this study first removed the virtual impactor and pre-focus structure from Fig. 1 and simulated the transmission efficiency of the model (represented by the blue left triangle line). Subsequently, the virtual impactor (orange diamond line, original design) and the pre-focus structure (black square line, present design) were sequentially reintroduced to observe the enhancements in transmission efficiency. By comparing the transmission effects of three design above, the advantages of the design in Fig. 1 are highlighted.

The transmission efficiency presented in this study is the ratio of the number of particles at a distance of 110 mm from the lens outlet to the number of particles at the inlet of the injection system. The beam width of the particles is determined by selecting the radial distribution of 90 % of the particles at this same distance. The reason for choosing the 110 mm position is that it is downstream of all lasers, allowing for a clearer evaluation of the particle transport and focus effect.

By comparing the particle transmission efficiency curves before and after adding a virtual impactor, it can be found that after the addition of the virtual impactor (orange diamond symbol line), the focusing ability of the injection system for particles larger than 1 μ m has increased to varying degrees, and the transmission efficiency of 7 μ m particles has increased from the original 5 % to 30 %. Although the aerodynamic lens system with virtual impactor has increased its ability to focus on large particles, this particle size range is still insufficient for the detection of large particle such as dust and organisms.

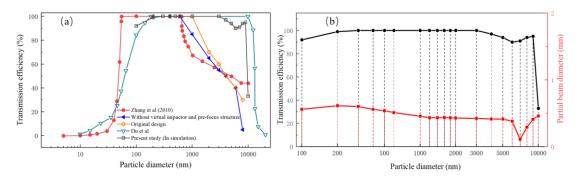


Fig. 3 Performance of different injection systems in simulation. Fig. 3 (a) shows the particle focusing range of different injection systems, and Fig.3 (b) shows the transmission efficiency and particle beam width of the injection system in this study (PFW-ALens) in terms of simulation.

3.2 Injection system with pre-focus structure

Du et al. proposed a pre-focus technique that incorporates a set of two-stage lenses in front of the critical hole to address the low efficiency of transporting larger particles mentioned in section

3.1. From Fig. 3(a), it can be observed that the pre-focus injection system designed by Du et al. can reduce the loss of $10 \mu m$ particles from about 40 % to 0 %, achieving efficient transport within the range of $0.18\text{-}10 \mu m$. Although Du et al.'s injection system can cover a wide range of particle sizes, there are still some drawbacks to the overall system. The main problem is that the particle beam diverges significantly after passing through the critical hole and the hole of virtual impactor. Therefore, a buffer with a diameter of 250 millimeters and a height of 250 millimeters is needed to accommodate all particles in the study.

Fig. 4 compares the radial width of the particle beams for $0.5~\mu m$, $1~\mu m$, $3~\mu m$, and $5~\mu m$ particles at different positions in the original design, Du et al.'s design, and the design proposed in this study. Compared to the original design, the pre-focus structures designed by Du et al. and the one proposed in this study both reduce the radial width of the particle beams at the critical hole, the inlet of the virtual impactor, and the outlet of the nozzle. It is worth noting that the pre-focus structure designed by Du et al. significantly increases the radial distribution of the particle beam in the buffer cavity when transmitting large particles such as $3~\mu m$ (fig. 4(iii)) and $5~\mu m$ (fig. 4(iv)). This poses higher requirements for the focusing of the aerodynamic lenses located behind the buffer chamber, and a common approach to achieve this is to use additional stages of lenses for improved focusing. When combined with a seven-stage aerodynamic lens, the overall dimensions and volume of the injection system can reach a diameter of 278 millimeters and a height of 875 millimeters. A large-sized injection system is not only detrimental to the miniaturization of mass spectrometers, but the seven-stage lens can also lead to increased assembly complexity, making it difficult to ensure the consistency of the lenses.

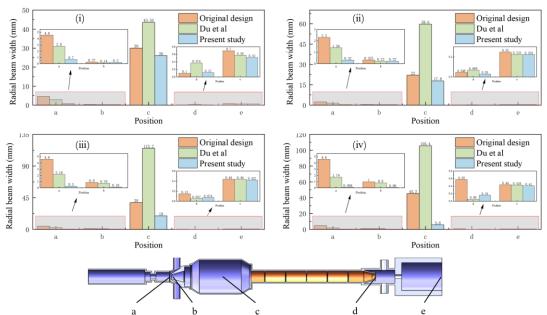


Fig. 4 Comparison of the radial width of the particle beam in the key components of the original design, Du et al.'s design, and the pre-focus injection system proposed herein. The particles characterized are 0.5 μ m, 1 μ m, 3 μ m, and 5 μ m, as represented by (i) to (iv), respectively. Specifically, (a) denotes the front end of the critical hole, (b) refers to the inlet of the virtual

impactor, (c) designates the buffer chamber, (d) indicates the outlet of the acceleration nozzle, and (e) represents the outlet of the vacuum chamber.

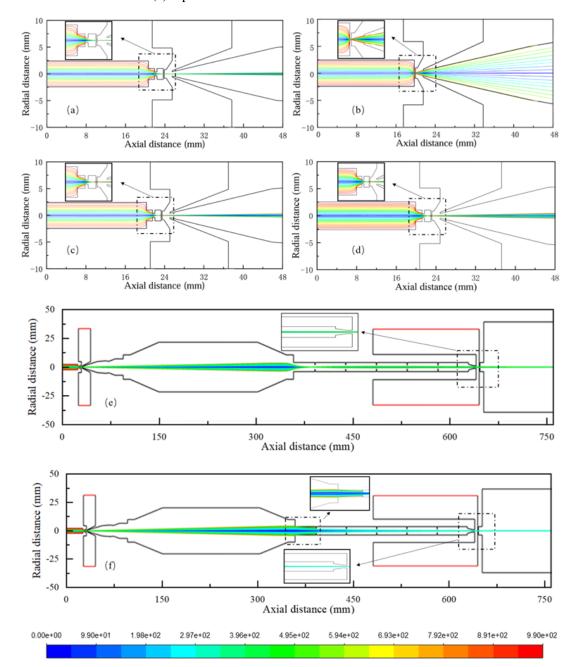


Fig. 5 The trajectories of large particles in various injection systems are as follows: (a) shows the trajectory of 5 μm particles in the current design; (b) depicts the trajectory of 5 μm particles in the original design; (c) illustrates the trajectory of 8 μm particles in the current design; (d) presents the trajectory of 10 μm particles in the current design; (e) and (f) display the trajectory distributions of 8 μm and 10 μm particles within the overall injection system, respectively.

3.3 New design

Section 3.2 examines the implications of the pre-focus structure proposed by Du et al. on increase in radial width, which directly pertain to the dimensions of the buffer chamber and the 9/17

focusing efficiency of the particles. To mitigate these challenges, this study introduces a single-stage lens pre-focus structure, with specific dimensions detailed in Fig. 1.

As illustrated in Fig. 4, the sample injection system equipped with the PFW-ALens demonstrates a significantly reduced radial width of the particle beam at various positions when transmitting particles of different diameters, compared to both the original design and the prefocus structure proposed by Du et al. Notably, at the buffer chamber, the beam width in this design decreases by 70 % to 95 % compared to Du et al.'s configuration. Furthermore, the radial distribution of particles in the buffer chamber exhibits an inverse correlation with particle size, a phenomenon not observed in previous pre-focus designs. This finding provides a solid foundation for optimizing the lens system's length and reducing the number of lenses required.

The divergence angle of the beam after particles pass through the critical hole directly influences the design of the buffer chamber and the transmission efficiency of the particles (Lee et al.,2013). A greater degree of divergence increases the likelihood of particles colliding with the walls, leading to losses, which has been a limitation in previous injection system designs. Fig. 5 illustrates the advantages of the proposed PFW-ALens in transmitting larger particles. Fig. 5(a) and 5(b) depict the transmission trajectories of 5 μ m particles in the PFW-ALens and the original design system, respectively. It can be observed that in the original design, the divergence significantly increases after the particles pass through the critical hole, resulting in collisions with the virtual impactor and subsequent losses. Conversely, Fig. 5(c) and 5(d) show the trajectories of larger particles (such as 8 μ m and 10 μ m) in the PFW-ALens, revealing that the divergence angle after leaving the critical hole is markedly small, leading to a narrow beam width throughout the entire system. This is in stark contrast to the results obtained using the pre-focus structures designed by Du et al. Furthermore, the dimensions of the buffer chamber used in this study are 45×135 mm, which represents over a 90 % reduction in volume compared to the buffer chamber designed by Du et al.

Fig. 3(b) demonstrates a significant enhancement in the particle transmission range of the PFW-ALens. Within the particle size range of 100 nm to 9 μ m, the transmission efficiency remains above 90 %, with a notable decline in efficiency only observed for 10 μ m particles. Furthermore, simulation results indicate that the beam width can be maintained at less than 0.6 mm across the entire range of particle sizes. Additionally, the laser employed in this study differs from that utilized by Du et al.; the former has a laser beam diameter of 3 mm, suggesting that the diameter of the particle beam is considerably smaller than that of the laser beam. However, simulations also reveal that design improvements, such as increasing the length of the buffer chamber, can further enhance the transmission efficiency for 10 μ m particles, indicating that the effective transmission of 9 μ m particles does not represent the limit of this system. Overall, the particle focusing capability of the PFW-ALens for particles in the 10 μ m range is nearly comparable to the focusing performance observed by Du et al. within the same transmission range. It's noteworthy that this study employs a five-stage lens, in contrast to the seven-stage lens

commonly used in most wide-range injection systems. This suggests that the injection system utilized in this study can effectively focus larger particles with fewer lenses, thereby significantly reducing the overall volume of the injection system. This advancement is of significant importance for the miniaturization of single-particle mass spectrometers.

3.4 Validation and application

Fig. 6 compares the transmission efficiency of particles with different particle sizes in numerical simulation and experimental validation of PFW-ALens. The results indicate a strong correlation between the experimental findings and the numerical predictions. Within the particle size range of 200 nm to 6 μ m, the measured transmission efficiency remains above 90 %. However, for 100 nm particles, the experimental results reveal substantial losses. This discrepancy is primarily due to the use of a dual-peak signal by the Bio-SPAMS for particle counting; to accommodate the requirements of biological fluorescence detection, the intensity of the second beam must be reduced, resulting in lower light scattering intensity for 100 nm particles, which in turn hinders accurate counting.

For particles larger than 6 μ m, the testing results show a marked decrease in transmission efficiency. Nevertheless, 9 μ m particles can still maintain an efficiency of over 65 %. The trend in transmission efficiency for 10 μ m particles is consistent with the simulation results, registering only 25 % efficiency.

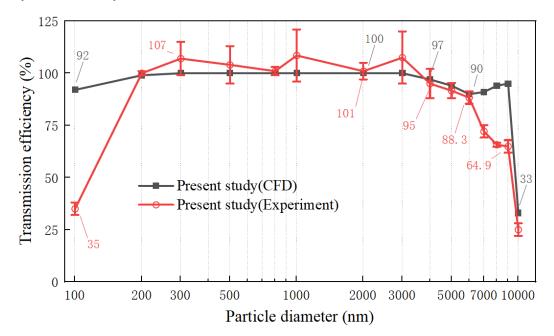


Fig. 6 Experimental verification of particle transmission

To characterize the analytical capabilities of the PFW-ALens for large particles, standard ultrafine dust (ISO 12103, PTI) was selected as the test sample. Initially, APS was employed as the standard to detect the dust. Subsequently, tests were conducted and compared using the PFW-ALens (the red dashed line) and the original system (the black solid line). The specific particle size distribution is illustrated in Fig. 7, where the Y-axis represents the normalized dN/dlogD. It is 11/17

evident that the particle size distribution obtained using the PFW-ALens closely aligns with that measured by the APS. The above experiments not only prove that PFW-ALens can more accurately detect the particle size distribution of dust compared to injection systems without prefocus structure, but also prove that PFW-ALens can achieve efficient transmission of large particles, demonstrating the potential of new lens structure in detecting large particle analysis.

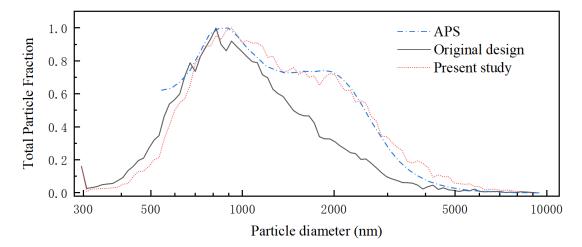


Fig. 7 3-Minutes Average Particle Size Distribution of Standard Dust Across Different Injection Systems

Conclusions

In order to enhance the transmission efficiency of large particles within the Bio-SPAMS injection system while reducing its overall size, this study has developed a novel injection system, the PFW-ALens, designed to focus large particles. This injection system incorporates a new prefocus structure, which effectively minimizes the dimensions of the buffer chamber and the number of lenses compared to traditional pre-focus injection systems, such as the work by Du et al. Furthermore, it maintains a low-loss transmission performance for a wide range of particle sizes. By integrating the pre-focus structure, the focusing capability of the five-stage lens system has been significantly improved to accommodate particles up to $10~\mu m$.

The numerical simulation results indicate that the PFW-ALens is capable of focusing and transmitting particles within the size range of 100 nm to 10 μ m. Notably, the beam width of larger particles significantly decreases after exiting the separation cone, a phenomenon not previously observed in earlier studies. The findings reveal that when particle sizes are less than 9 μ m, the transmission efficiency can exceed 90 %. Particles within the range of 200 nm to 4 μ m demonstrate a transmission efficiency of 100 %. The injection system designed in this study achieves the broadest particle size range and the highest transmission efficiency among systems with similar structural dimensions. This innovative design is conducive to further reducing the structural size of the injection system and the number of aerodynamic lenses, providing a foundation for the miniaturization of mass spectrometers.

360 Data availability. These data can be publicly accessible in free.

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- 362 Author contributions. LL and ZXH designed the study; JHH and XL performed the
- 363 simulations and experiments; JHH, LL, XL, ZXH and ZC participated in data analysis
- and result discussion; JHH and LL wrote the paper with the input from all authors.

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366 Competing interests. The authors declare that they have no conflict of interest.

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