

# Observational Constraints Suggest a Smaller Effective Radiative Forcing from Aerosol-Cloud Interactions

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## Abstract

The effective radiative forcing due to aerosol-cloud interactions (ERF<sub>aci</sub>) is difficult to quantify, leading to large uncertainties in model projections of historical forcing and climate sensitivity. In this study, satellite observations and reanalysis data are used to examine the low-level cloud radiative responses to aerosols. While some studies ~~it is assumed~~ assume that the activation rate of cloud droplet number concentration ( $N_d$ ) in response to variations in sulfate aerosols mass concentration ( $SO_4$ ) ~~or the aerosol index (AI)~~ has a one-to-one relationship ~~in the estimation of ERF<sub>aci</sub>~~, we find this assumption to be incorrect, and demonstrate that explicitly accounting for the activation rate is crucial for accurate ERF<sub>aci</sub> estimation. This is corroborated through a “perfect-model” cross validation using state-of-the-art climate models, ~~which compares our estimates with the “true” ERF<sub>aci</sub>~~. Our results suggest a smaller and less uncertain value of the global ERF<sub>aci</sub> ~~than previous studies~~ ( $-0.3932 \pm 0.2921$  W m<sup>-2</sup> for  $SO_4$  ~~and, 90% confidence~~) ~~than recent climate assessments (e.g.,  $-0.2493 \pm 0.187$  W m<sup>-2</sup> for AI, 90% confidence)~~, indicating that ERF<sub>aci</sub> may be less impactful than previously thought. Our results are also consistent with observationally constrained estimates of total cloud feedback and “top-down” ~~recent~~ estimates that models with weaker ERF<sub>aci</sub> better match the observed hemispheric warming asymmetry over the historical period.

## 1. Introduction

Anthropogenic aerosols impact the ~~Earth's~~ Earth's radiation balance at the top of the atmosphere ~~and alter cloud properties over the industrial era~~ (, with this perturbation quantified as radiative forcing (e.g. Boucher et al., 2013; Raghuraman et al., 2021; Kramer et al., 2021). They directly alter the radiation budget by scattering and absorbing solar radiation and indirectly influence it by serving as cloud condensation nuclei (CCN), which modifies cloud properties and can extend their duration. ~~This~~ The increase in aerosol concentration leads

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to smaller cloud droplets and higher cloud albedos, known as the “Twomey effect” (e.g., Twomey, 1977), enhancing the ~~negative~~ radiative forcing due to aerosol-cloud interactions (RFaci). Additionally, aerosols affect cloud microphysical properties (e.g., Albrecht, 1989; Pincus and Baker, 1994), such as reducing precipitation, which increases cloud liquid water path (LWP), lifetime, and fraction, a process termed cloud adjustment (CA). Thus, together, RFaci and CA are intrinsically interconnected through the cloud droplets (Mülmenstädt and Feingold, 2018), and constitute the ~~ERFaci, which~~ effective radiative forcing from aerosol-cloud interactions (ERFaci). ERFaci is highly uncertain and often larger than the direct radiative impact of aerosols (Forster et al., 2007; Zelinka et al., 2014; Smith et al., 2020a).

Estimating the ERFaci, especially in low-level clouds which are the dominant contributor of aerosol-cloud interactions to ERFaci (Christensen et al., 2016; Bellouin et al., 2020; Forster et al., 2021), is critical for accurately identifying cloud feedback mechanisms and determining climate sensitivity (Rosenfeld, 2006; Boucher et al., 2013; Sherwood et al., 2020). Our study provides quantitative insights into the ERFaci using both satellite observations and reanalysis data. A key component of our analysis is the activation rate, which serves as a metric for assessing the actual impact of aerosols on cloud droplet number concentrations. ~~The conventional assumption is that ( $N_d$ ). In some studies, the activation rate has a one-to-one relationship when aerosols convert into cloud droplets and is typically~~ is not explicitly incorporated into the estimation process of ERFaci, ~~as it is implicitly assumed to have a one-to-one relationship (e.g. Chen et al., 2014; Christensen et al., 2016; Douglas and L’Ecuyer 2020; Wall et al., 2022, 2023).~~ Our results suggest the importance of considering the activation rate when evaluating the interactions between aerosols and clouds. To evaluate the robustness of our results, we conduct a “perfect-model” cross validation using Coupled Model Intercomparison Project Phase 6 (CMIP6) simulations. This form of cross-validation is widely used in statistics and machine learning to assess the generalizability of predictive models and prevent overfitting (Wenzel et al., 2016; Knutti et al., 2017; Brunner et al., 2020). Through this approach we demonstrate that explicitly including the activation rate is essential to improving the accuracy of ERFaci estimates. ~~Although open questions remain, the cross-validation clearly demonstrates the improved predictive skill of our model and thus increases the confidence of our estimates of ERFaci.~~

In the main text, our analysis primarily focuses on ~~sulfate mass concentration ( $SO_4$ ; for simplicity, we omit its ionic form) at 925 hPa as an aerosol proxy, derived from the Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA-2; Randles et al., 2017; Gelaro et al., 2017).  $SO_4$  is recognized as a major dominant contributor among to cloud droplet formation, alongside other aerosol types such as black carbon, organic carbon, sea salt, and dust (Charlson et al., 1992; McCoy et al., 2018). However~~ Additionally, results derived from ~~satellite measurements of the Aerosol Index (AI), a more generalized aerosol metric (e.g. Douglas and L’Ecuyer 2019, 2020); index (AI) from Moderate Resolution Imaging Spectroradiometer (MODIS; Platnick et al., 2015)~~ also show a high degree of consistency.

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71 **2. Results**

72 **2.1 Activation Rate**

73 Some approaches to estimate the ERF<sub>aci</sub> with aerosol concentrations have operated under a key assumption: the  
74 natural logarithm of aerosol concentration correlates proportionally with the natural logarithm of cloud droplet  
75 number concentration (Boucher et al., 2014; Christensen et al., 2016; Douglas and Lohmann,  
76 1995; L'Ecuyer 2020; Wall et al., 2022, 2023). This relationship, commonly referred to as the activation  
77 rate, quantifies the efficiency with which aerosol particles convert into cloud droplets. The hypothesized cause-  
78 effect relationship between aerosols and clouds is important to understand and to be dealt in the process of aerosol-  
79 cloud interactions, as it involves an increase in CCN leading to an increase in  $N_d$ , which subsequently influences  
80 cloud properties. To verify the key assumption while accounting for environmental influences, we performed a  
81 linear regression. As illustrated in Fig. cloud controlling factor (CCF) analysis (Appendix A3). Figure 1,  
82 illustrates the regression coefficients between  $\ln(N_d)$  and  $\ln(SO_4)$  were calculated, with all other  
83 environmental predictors held constant. Our results show that, in most regions, these coefficients are positive but  
84 less than 1. This indicates, underscoring that while there is a proportional relationship, it is not a one-  
85 to one increase; rather, all  $SO_4$  in the activation rate varies across different geographic  
86 locations. atmosphere are converted into cloud droplets. Regions with shallow cumulus clouds, such as the  
87 central Pacific, show a notably weaker  $\partial \ln(N_d) / \partial \ln(SO_4)$  coefficient, while areas with stratocumulus  
88 clouds, like those off the coasts of continents, display a relatively stronger positive regression with significant  
89 correlation coefficients (Fig. 1). This variation may be attributed to differences in local  
90 environmental conditions and the role of aerosols in which these clouds occur (e.g. Douglas and L'Ecuyer, 2019,  
91 2020). Repeating our analysis using  $\partial \ln(N_d) / \partial \ln(AI)$  also yields somewhat different results consistent with  
92 those for  $\ln(SO_4)$ , emphasizing the necessity of addressing this assumption within the ERF<sub>aci</sub>  
93 estimation process (Fig. A1).  $SO_4$  though still showing strong positive regression coefficients near  
94 continental coasts (Fig. S1). The relatively low correlation differences in regression coefficients observed for  
95  $\partial \ln(N_d) / \partial \ln(AI)$  may be attributed to the use of column-integrated quantities, AI from MODIS, which do not  
96 account for the vertical structure of aerosols. Consequently, they may not accurately represent aerosol  
97 concentrations at cloud base height. In contrast, the use of  $SO_4$  concentration at 925 hPa in the  
98 analysis provides a more precise representation of CCN concentrations near the cloud base  
99 (Painemal et al., 2017). This leads to a higher linearity between  $SO_4$  and  $N_d$ , establishing  $SO_4$   
100 a more relevant indicator for evaluating the interactions between aerosols and low level cloud  
101 formation (Fig. 1 vs Fig. A1).

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## 2.2 Observationally Constrained ERFaci

To isolate the contributions of different environmental factors to the low cloud radiative effect, we first have employed a cloud controlling factor (CCF) analysis (Scott et al., 2020; Wall et al., 2022) with a particular focus on elucidating the relationship between aerosol concentrations and the low cloud radiative effect. This relationship is known as a susceptibility and constitutes one of the key components in the estimation of ERFaci. Our implementation of the CCF analysis basically follows the method described by Wall et al. (2022) (See more details in Appendix A).

We now proceed to estimate the observationally constrained ERFaci (ERFaci\_obs), considering two scenarios: one with and the other without the inclusion of the activation rate. The basic form of ERFaci\_obs following Wall et al. (2022), where the activation rate is not explicitly included, can be expressed as follows:

$$\text{ERFaci\_obs} \approx \sum_{k=1}^{10} \left( \frac{\partial \text{CRE\_lclld}}{\partial \ln(Y)} \right)_k W_k \times \Delta \ln(Y), \quad (1)$$

ERFaci\_obs

$$\approx \frac{\partial \text{CRE\_lclld}}{\partial \ln(X)} \times \Delta \ln(X), \quad (1)$$

where CRE\_lclld represents the cloud radiative effect from non-obscured (non-overlapped) low-level clouds, obtained from the Clouds and the Earth's Radiant Energy System (CERES) FluxByCldTyp Ed. 4.1 dataset (Sun et al., 2022), and  $X_k$  represents either  $\text{SO}_4$  or  $\text{AI}$ , and  $W_k$  represents the fraction of LWP in state  $k$  ( $W_k = \frac{\text{number in LWP state } k}{\text{total number}}$ ). The right-hand side of the equation consists of two main parts: one is the susceptibility of the low-cloud radiative effect to variations in aerosol concentrations, which can be derived from CCF analysis using observations while holding other environmental conditions constant (Appendix A3), and the other one is the changes in aerosol concentrations from pre-industrial (PI) to present-day (PD). Due to the lack of observational data on PI aerosol concentrations, we employ the outputs of CMIP6 historical experiments. As expected, changes in  $\text{SO}_4$  concentrations exhibit distinctive spatial patterns characterized by interhemispheric asymmetry, with particularly large values in proximity to major industrial regions on the Eurasian and North American continents (Fig. 2a).

In light of Fig. 1, the basic form of ERFaci\_obs in equation Eq. (1) can be expanded to incorporate the influence of the activation rate by accounting for the interactions between aerosols and cloud droplet formation. This modified equation can be expressed as follows:

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$$\text{ERF}_{\text{aci\_obs}} \approx \sum_{k=1}^{10} \left( \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(N_{\text{a}})} \times \frac{\partial \ln(N_{\text{a}})}{\partial \ln(Y)} \right)_k W_k \times \Delta \ln(Y), \quad (2)$$

$$\text{ERF}_{\text{aci\_obs}} \approx \left( \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(N_{\text{a}})} \times \frac{\partial \ln(N_{\text{a}})}{\partial \ln(X)} \right) \times \Delta \ln(X), \quad (2)$$

where the low cloud susceptibility is now the product of two terms: The susceptibility of low cloud CRE to  $N_{\text{a}}$  and the activation rate of  $N_{\text{a}}$  to  $N_{\text{a}}$ .

Our analysis reveals pronounced differences in susceptibility in how low cloud radiative effects respond to variations in aerosol concentrations across the globe depending on whether activation rate is considered or not. The inclusion of the activation rate in our analysis ~~significantly~~ considerably diminishes the sensitivity of clouds to aerosols (Fig. 2b vs Fig. 2c). Noticeable decreases in susceptibility are captured in mid-latitudes and in subtropical regions where low clouds are dominant. This also indicates that the ~~coefficient of~~  $\partial \ln(\text{CRE}_{\text{lclld}}) / \partial \ln(\text{SO}_4)$  ~~correlation~~ without activation rate is partially attributable to factors other than the  $N_{\text{a}}$ -mediated mechanism (Wood et al., 2012; Gryspeerd et al., 2016; Gryspeerd et al., 2019).

Both methods of estimating  $\text{ERF}_{\text{aci\_obs}}$  show that an increase in aerosol concentration correlates with a negative cloud radiative adjustment that is especially prevalent in areas dominated by low clouds (Fig. 2d,e). However, due to the reduced susceptibility, the estimated  $\text{ERF}_{\text{aci\_obs}}$  is ~~significantly~~ markedly smaller when activation is explicitly accounted for (Fig. 2e) than when it is not (Fig. 2d). ~~The~~ with the global  $\text{ERF}_{\text{aci\_obs}}$  is ~~~5064%~~ smaller with activation ( $-0.3932 \text{ W m}^{-2}$ ) than without ( $-0.7988 \text{ W m}^{-2}$ ). Similar results are obtained if one uses AI instead of  $\text{SO}_4$  as the measure of aerosol concentration (Fig. A2d,e). ~~These results highlight the sensitivity of this approach to explicit consideration of the activation rate. S2d,e).~~

### 2.3 Perfect-Model Cross Validation

In this section, we perform a “perfect-model” cross validation exclusively using CMIP6 simulations to assess which of the two approaches—considering activation rate or not—is more accurate. Specifically, ~~each model~~ ~~from in~~ single-forcing (aerosol-only) experiments ~~from the Radiative Forcing Model Intercomparison Project (RFMIP; Pincus et al., 2016), each model~~ is sequentially treated as the “truth” with its  $\text{ERF}_{\text{aci}}$  considered the “true” value. Meanwhile, the same model from historical simulations, assumed to be a pseudo-observation, estimates  $\text{ERF}_{\text{aci}}$  for comparison with the “true”  $\text{ERF}_{\text{aci}}$ . The resulting root mean-square error (RMSE) provides a quantitative measure of the accuracy of the  $\text{ERF}_{\text{aci}}$  estimates.

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As an initial step in the “perfect-model” test, single-forcing (aerosol-only) CMIP6 simulations are used to establish the true ERFaci for each model, referred to as ERFaci\_true, which provides a benchmark for assessing the accuracy of the ERFaci estimated from the monthly outputs of CMIP6 historical experiments using equations Eq. (1) and Eq. (2), where the model is treated as a pseudo-observation and the estimate is referred to as ERFaci\_est. Because the number of CMIP6 models that provide single-forcing (aerosol-only) simulations for ERFaci\_true is limited, we also explore another technique for estimating ERFaci introduced by Soden and Chung (2017; referred to as ERFaci\_SC17) that has been previously shown to agree well with ERFaci\_true (Chung and Soden, 2017). For more details on the estimation of these three different ERFaci using CMIP6 model outputs, please refer to Appendix A.4. A comparison, for the “perfect-model” test, of ERFaci\_est with both ERFaci\_true and ERFaci\_SC17 is provided below.

Figure 3 illustrates the correlation between ERFaci\_true and two alternative approaches derived from CMIP6 model output. The estimates of ERFaci\_est that omit the activation rate fail to replicate the “true” ERFaci values accurately, with RMSE of  $0.687 \text{ W m}^{-2}$  and bias of  $0.5658 \text{ W m}^{-2}$ . Conversely, incorporating an explicit activation rate into the ERFaci estimates provides significantly better agreement with ERFaci\_true, reducing both the RMSE and bias by around 40.43% (Fig. 3a).

ERFaci\_SC17 exhibits the best agreement with ERFaci\_true, with significantly marked smaller RMSE ( $0.14 \text{ W m}^{-2}$ ) and bias ( $0.1 \text{ W m}^{-2}$ ) (Fig. 3b). This consistency allows us to expand the sample size of CMIP6 models, with which we can evaluate ERFaci\_est by using ERFaci\_SC17 as a surrogate for ERFaci\_true (Fig. 3c). This expanded cross-validation once again highlights the importance of including the activation rate in ERFaci estimates, as it reduces both the RMSE and bias in ERFaci\_est by around over 45%. Substituting AI for  $\text{SO}_4$  in the calculation of ERFaci\_est yields similar results, which reduces RMSE more than 40%, emphasizing the importance of explicitly including activation rate (Fig. A3 up to 36% (Fig. S3)). Our “perfect-model” cross validation analysis with idealized model experiments from CMIP6 leads us to conclude that the inclusion of the activation rate is essential for accurate estimates of ERFaci.

## 2.4 Comparison with previous ERFaci estimates

Now, we compare our observationally constrained estimates of ERFaci\_obs with those previously estimated. Our global estimates with inclusion of activation rate yield an ERFaci of  $-0.3932 \pm 0.2921 \text{ W m}^{-2}$  for  $\text{SO}_4$  and  $-0.2419 \pm 0.1817 \text{ W m}^{-2}$  for AI (Fig. 4). These values are at the lower/higher bound (less/negative) when compared with the ERFaci values estimate reported in the Sixth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC; Forster et al., 2021) as well as and the values estimate proposed by the World Climate Research Program (WCRP; Bellouin et al., 2020). However, it is worth noting that, as the ERFaci from WCRP has a highly

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skewed distribution, with its highest probability occurring around  $-0.4 \text{ W m}^{-2}$ , which is ~~entirely~~ consistent with our observational estimates (Fig. 4). Given the multiple lines of evidence introduced by the WCRP, which employs a process-oriented approach to bound ERFaci, our estimates offer further evidence to support estimates on the ~~lower~~ ~~higher~~ end (less negative) of their range. Furthermore, these constrained ERFaci\_obs are also consistent with the ~~“top-down”~~ ~~recent~~ estimates provided by Wang et al. (2021), which demonstrate that models exhibiting weaker ERFaci are more in line with the observed variations in global mean surface temperature as well as hemispheric warming asymmetry during the historical period.

As we emphasized the ~~significant~~ ~~pronounced~~ impact of including the activation rate in the ERFaci estimation process, with this inclusion, the ERFaci\_obs values are approximately one-~~half~~ ~~third~~ for  $\text{SO}_4$  and one-fifth for AI of those estimated without considering the activation rate, respectively ( $-0.7988 \pm 0.2831 \text{ W m}^{-2}$  for  $\text{SO}_4$  and  $-1.14 \pm 0.2992 \pm 0.65 \text{ W m}^{-2}$  for AI).

## 2.5 Implications for Cloud Feedback

Our observational estimate of ERFaci is on the ~~lower~~ ~~higher~~ end (less negative) compared to previous estimates. This finding also has implications for our understanding of cloud feedback mechanisms. Following Wang et al. (2021), we compare the CMIP6 historical simulations of ERFaci across different climate models with their corresponding values of total cloud feedback, which are derived from the regression slope of total cloud radiative response to global-mean temperature anomalies from the abrupt-4x $\text{CO}_2$  experiment (Fig. 5). For this analysis, we use the ERFaci\_SC17 since it ensures the widest possible selection of climate models (Table A1S1). Among the models we assessed, we identified a subset of 15 that we termed ~~“GOOD HIST”~~ ~~“GOOD HIST”~~ models (Appendix A1.4). These models are characterized by their small discrepancies in simulating global-mean historical surface warming when compared to the ~~GISTEMP~~ ~~GISS Surface Temperature Analysis (GISTEMP v4; Lenssen et al., 2019)~~ observational data, indicating a higher reliability in their historical climate simulations. Within this subset, a strong negative correlation ( $r = -0.85$ ,  $p < 0.001$ ) exists between ERFaci\_SC17 and the total cloud feedback, which is much more pronounced than in the remaining models ( $r = -0.31$ ,  $p = 0.042$ ). The strong correlation in the ~~“GOOD HIST”~~ ~~“GOOD HIST”~~ models highlights the compensation that occurs between historical aerosol forcing and cloud feedback in order for models to reproduce the observed historical global-mean temperature.

Also shown are the probability density functions for the observation-based estimates of ERFaci\_obs, taking into account the activation rate, and utilizing both  $\text{SO}_4$  and the AI. Alongside, we also consider the observationally constrained estimates of total cloud feedback, which a recent study (Ceppi and Nowack, 2021) has quantified at  $0.43 \pm 0.35 \text{ W m}^{-2} \text{ K}^{-1}$  (90% confidence). These distributions help illustrate that our constraints on ERFaci fall within the realistic bounds of total cloud feedback strength. The best estimates, which show the highest probability (indicated by stars), also align with those from the ~~“GOOD HIST”~~ ~~“GOOD HIST”~~ models and support the

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240 validity of our constraints. Notably, our analysis reveals that models with weaker (less negative) ERFaci and  
241 moderately low total cloud feedback agree best with observationally constrained values.▲

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### 243 3. Conclusion

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244 Our study offers critical insights into the quantification of ERFaci, a topic that remains a ~~significant~~key source  
245 of uncertainty in understanding climate sensitivity. By integrating both satellite observations and reanalysis data  
246 with a focus on the activation rate of cloud droplet number concentration in response to aerosol concentration  
247 variations, we provide a more sophisticated understanding of the impact of aerosols on low-level clouds. Our  
248 findings, validated through ~~at the~~ “perfect-model” cross validation using CMIP6 model simulations, reveal a  
249 ~~lower~~less negative global ERFaci estimate, ~~suggesting that the influence of aerosols, particularly with~~  
250 ~~(-0.32 ± 0.21 W m<sup>-2</sup> for SO<sub>4</sub>, on climate forcing may be less substantial and -0.19 ± 0.17 W m<sup>-2</sup> for AI,~~  
251 ~~90% confidence)~~ than previously ~~assumed~~ reported (e.g., -0.93 ± 0.7 W m<sup>-2</sup> in IPCC AR6, 90% confidence).▲

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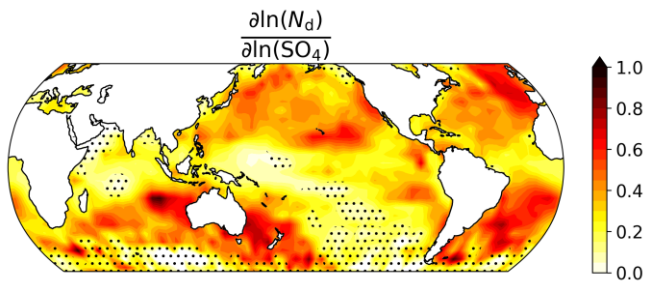
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252 However, there are still a few sources of uncertainties in our analysis related to satellite observations, reanalysis,  
253 and models, with the choice of data being critical for ERFaci estimates. For instance, despite employing the  
254 optimal N<sub>d</sub> filtering method in our analysis, which aligns well with aircraft in-situ observations (Appendix A1.3),  
255 there remain uncertainties in N<sub>d</sub> derived from cloud optical depth and effective radius retrievals from MODIS  
256 satellite observations. These uncertainties should be accounted for when calculating susceptibility, as they may  
257 influence the robustness of our constraint. Thus, we estimate ERFaci using two additional cloud droplet filtering  
258 methods introduced in Gryspeerdt et al. (2022), and the estimates remain qualitatively consistent (Fig. S4). Even  
259 considering the most negative ERFaci estimate among the three filtering methods, its value (-0.46 ± 0.28 W m<sup>-2</sup>  
260 for SO<sub>4</sub> and -0.30 ± 0.19 W m<sup>-2</sup> for AI, 90% confidence) still lies at the higher bound (less negative) of both IPCC  
261 and WCRP estimates. This suggests that while uncertainties in N<sub>d</sub> retrievals can impact ERFaci estimates, the  
262 overall influence of aerosol-cloud interactions on climate forcing remains likely less substantial than previously  
263 assessed.

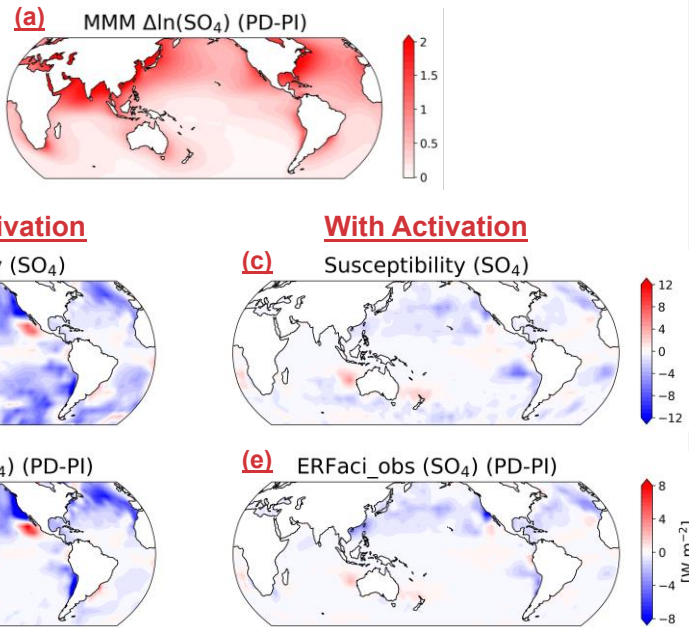
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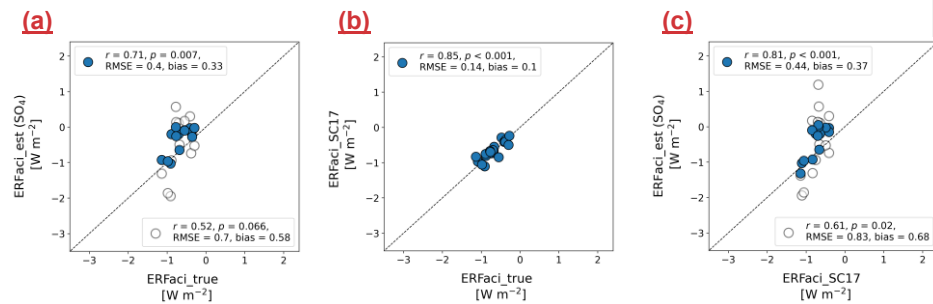
**Figure 1.** Regression coefficient map of the activation rate of cloud droplet number concentration ( $N_d$ ) in response to variations in sulfate aerosol mass concentration ( $SO_4$ ) for the period January 2003 to December 2019, derived from cloud controlling factor (CCF) analysis (Appendix A3). The color scale indicates the magnitude of sensitivity, where an increase in  $SO_4$  corresponds to an increase in  $N_d$ . Areas with stippling indicate where the changes are not statistically different from zero at the 95% confidence level using a Student's t-test.



**Figure 2.** Spatial distribution of ERFaci\_obs components and the estimated ERFaci\_obs differentiated by the consideration of the activation rate. (a) Multi-model mean (MMM) of changes in  $\text{SO}_4$  between pre-industrial (PI) and present-day (PD) periods. 13 models are used for this analysis (Table S1). (b,c) Susceptibility of low cloud radiative effect to  $\text{SO}_4$  derived from CCF analysis using observational and reanalysis data (Appendix A3). (d,e) Observationally constrained ERFaci for  $\text{SO}_4$  estimated by multiplying the susceptibility with the changes in  $\text{SO}_4$ .

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285 **Figure 3.** “Perfect-model” cross validation analysis of global-mean ERFaci estimates. (a) ERFaci\_true versus  
 286 ERFaci\_est which is estimated by simplified version of Eq. (1) and Eq. (2) with SO<sub>4</sub> as the aerosol proxy  
 287 (Appendix A4), (b) ERFaci\_true versus ERFaci estimates obtained using the method proposed by Soden and  
 288 Chung (2017; SC17), and (c) ERFaci\_SC17 versus ERFaci\_est. Filled blue circles represent estimates where the  
 289 activation rate is considered, and open grey circles represent estimates without activation rate consideration. The  
 290 correlation coefficient (*r*), associated *p*-value (*p*), Root Mean Square Error (RMSE), and bias are displayed in the  
 291 upper left corner for the filled blue circles and in the lower right for the open grey circles in each panel. Bias is  
 292 defined as the mean absolute difference from the 1:1 reference line, depicted by a dashed line. All panels have  
 293 identical x and y axis ranges to highlight the variance among the estimation methods. Higher *r* values, lower  
 294 RMSE, and minimal bias indicate consistency in ERFaci estimates across different estimation methods using  
 295 CMIP6 models.

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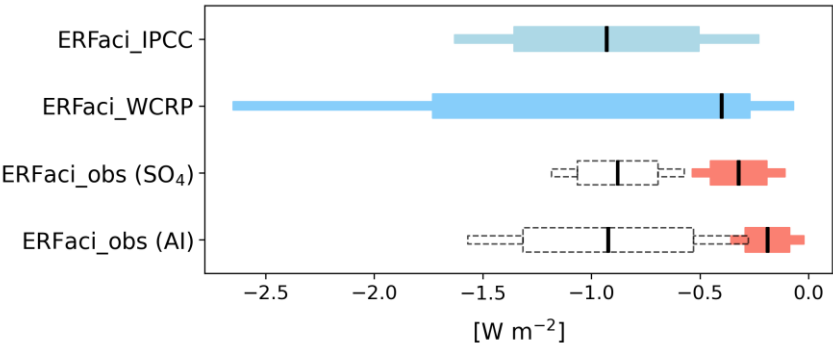
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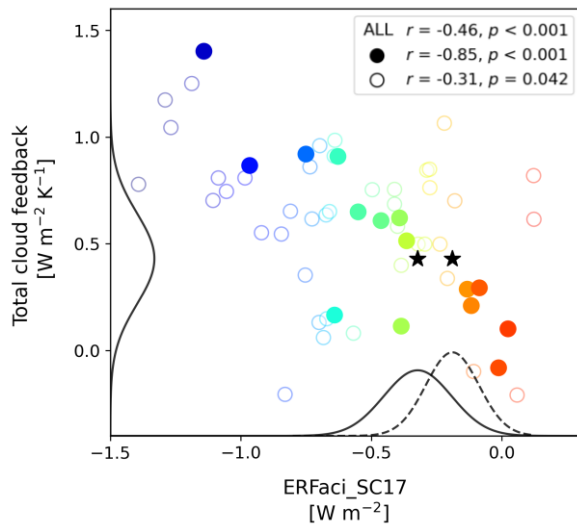


303  
 304 **Figure 4.** Estimates of globally averaged ERFaci values, including those from the IPCC Sixth Assessment Report,  
 305 from the WCRP assessment, ERFaci\_obs for SO<sub>4</sub>, and ERFaci\_obs for Al. The ERFaci\_obs estimates considering  
 306 activation rate are shown in red, while those not considering activation rate are displayed in dashed grey. Thin  
 307 and thick bars represent the 90% and 66% confidence intervals (CI), respectively, except for the WCRP estimate  
 308 of ERFaci, which shows 68% CI for the thick bar. The black vertical lines indicate the best estimate of each  
 309 ERFaci. The ERFaci estimate from the IPCC represents the assessment based on observational evidence alone.

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**Figure 5.** Correlation between global-mean ERFaci estimates obtained using the method proposed by Soden and Chung (2017; SC17), aimed at expanding the model availability, and the globally averaged total cloud feedback as determined by the corresponding models. Each dot represents a single model. The colors from red to blue indicate weak ERFaci models to strong negative ERFaci models. Filled circles represent the 15 “GOOD HIST” models that align more closely with historical observations of global-mean surface warming, whereas open circles denote the remaining models (Appendix A1.4). Correlation coefficients ( $r$ ) and their associated  $p$ -values ( $p$ ) for the entire models, the “GOOD HIST” models, and remaining models are shown in the upper right corner. The probability density functions (PDFs), showing the 90% confidence intervals for observationally constrained ERFaci from sulfate mass concentration ( $\text{SO}_4$ ; solid line) and the aerosol index (AI; dashed line) when the activation rate is accounted for, are plotted along the x-axis, while the PDF for observationally constrained total cloud feedback (solid line), derived from Ceppi and Nowack (2021), is plotted on the y-axis (amplitudes scaled arbitrarily). Stars denote the best estimates of the PDFs, signifying the most probable values within the distributions.

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## Appendix A: Methods

### A1 Observation and Reanalysis Data

#### A1.1 CERES

In this study, we analyze observational and reanalysis datasets characterized by their monthly temporal resolution and their geographical coverage extending from  $50^{\circ}\text{S}$  to  $50^{\circ}\text{N}$ , with a particular focus on oceanic regions due to unreliable retrieval over land (Jia et al., 2019; Gryspeerdt et al., 2022; Jia and Quaas, 2023). The dataset spans from January 2003 through December 2019 and all data fields were interpolated onto a  $2.5^{\circ} \times 2.5^{\circ}$  grid.

#### A1.1 CERES

Our analysis employs monthly gridded satellite observations from the CERES Clouds and the Earth's Radiant Energy System (CERES) FluxByCldTyp Edition 4.1 dataset (Sun et al., 2022), focusing on a combined analysis of cloud fraction and top-of-atmosphere radiative flux, segmented by cloud optical depth and cloud top pressure (CTP). We categorize clouds into low (CTP > 680 hPa) and non-low clouds (CTP ≤ 680 hPa) based on their CTP values. Due to the passive retrieval mechanisms of satellite instruments, the detection of low-level clouds is notably challenged by the obscuration from upper-level clouds. This limitation highlights the importance of accurately estimating the fraction of non-obscured or non-overlapped low-level clouds (Scott et al., 2020). To address this, we define the non-obscured low-cloud fraction is defined as following equation:

$$L_n = \frac{L}{1 - U}, \quad (A1)$$

$$L_n = \frac{L}{1 - U},$$

where  $L$  and  $U$  represent the low and non-low cloud fraction retrieved by the satellite, and  $L_n$  denotes the total low-level cloud fraction relative to the area of each grid box that is not obscured by upper-level clouds. With this relationship, we can extend its application to the cloud radiative effect (CRE) attributable to non-obscured low-level clouds (CRE\_lcl). Further details regarding this equation can be found in the work of Scott et al. (2020).

#### A1.2 MERRA-2 reanalysis

We also use monthly meteorological fields for cloud controlling factor analysis and sulfate aerosol mass concentrations at 925 hPa ( $\text{SO}_4$ ) derived from the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2) reanalysis (Randles et al., 2017; Gelaro et al., 2017). MERRA-2 integrates observations with global model simulations to provide estimates of atmospheric conditions. Specifically for

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sulfate aerosols  $\text{SO}_4$ , it employs bias-corrected observations of total aerosol optical depth from the Moderate Resolution Imaging Spectroradiometer (MODIS; Platnick et al., 2015) satellite data in conjunction with a comprehensive model addressing the emissions, removal processes, and chemistry of sulfate and its precursor gases. The assimilation process adjusts for aerosol hydration in humid conditions and excludes cloud-adjacent pixels to mitigate retrieval bias. A notable constraint of these data is that, while the total aerosol optical depth is observationally constrained, the distribution and vertical profiles of aerosol species are model-derived. Nevertheless, the sulfate concentration estimates exhibit a strong correlation with independent satellite measurements of cloud droplet number concentration (McCoy et al., 2018). In our analysis, we use  $\text{SO}_4$  from 925 hPa instead of the surface level. This decision is based on the understanding that conditions near this altitude provide a more accurate reflection of CCN concentrations near the cloud base (Painemal et al., 2017). This pressure level is often closer to the actual height at which low-level clouds form, making it a more relevant indicator for assessing aerosol-cloud interactions.

### A1.3 MODIS

We employ the aerosol index (AI) as an alternative proxy for aerosol concentration from the Moderate Resolution Imaging Spectroradiometer (MODIS) on both the Aqua and Terra satellites (datasets MYD08\_M and MOD08\_M, respectively). These two are combined to enhance the robustness of our analysis. The AI is derived from the product of the Angstrom exponent and the aerosol optical depth (AOD) at 550 nm. The Angstrom exponent itself is derived from the wavelength dependency of the AOD, measured at 550 nm and 870 nm, providing insight into the size distribution of aerosols (i.e. smaller Angstrom exponent suggests larger particles). Notably, AI has demonstrated a more robust correlation with CCN compared to the use of AOD alone (Stier, 2016; Gryspeerd et al., 2017; Hasekamp et al., 2019).

To calculate  $N_d$  based on the adiabatic approximation, we use daily gridded  $N_d$ . We use cloud droplet number concentration ( $N_d$ ) estimates from MODIS (Gryspeerd et al., 2022) and combine the data from the Aqua and Terra satellites. The retrievals at 3.7  $\mu\text{m}$ , known to yield more accurate cloud droplet effective radius ( $r_e$ ) measurements under inhomogeneous conditions, are employed (Zhang and Platnick, 2011).  $N_d$  measurements may be subject to biases under specific conditions, such as when the cloud droplet effective radius is significantly small, when the cloud visible optical thickness is low, or when three-dimensional radiative transfer effects impact the observed radiances. To enhance the accuracy and reliability of our  $N_d$  retrievals, we implement a rigorous sampling strategy ("BR17 sampling method" in Gryspeerd et al., 2022). This introduced by Bennartz and Rausch (2017) demonstrates the highest correlation with aircraft data.

For LWP, MODIS MCD06COSP dataset version 6.2.0 (Pincus et al., 2023) is used. This dataset represents a combined product derived from both the Aqua and Terra satellites. To

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403 accurately estimate the aerosol indirect effect, it is essential to control variations in LWP, in  
404 line with the foundational assumption of the Twomey effect. In our analysis, we achieve this  
405 by categorizing LWP observations into ten equal bins, each covering a range of  $30 \text{ g cm}^{-2}$ , up  
406 to a maximum of  $300 \text{ g cm}^{-2}$ . This categorization is based on the finding that over 99% of our  
407 observations do not exceed  $300 \text{ g cm}^{-2}$ , thus allowing us to maintain LWP within a controlled  
408 and effectively constant range across our dataset.

#### 410 A1.4 GISTEMP

411 The global surface temperature observations used in our analysis are sourced from the GISS Surface Temperature  
412 Analysis (GISTEMP v4) (Lenssen et al., 2019). We evaluate how well the models simulate the global-mean  
413 historical surface warming by the GOOD HIST index: the absolute difference in global-mean historical warming  
414 between CMIP6 models and GISTEMP data (Table A1 Wang et al., 2021). The historical warming is defined as  
415 the averaged surface temperature in 1990–2014 minus that in 1880–1909. So, This suggests the models that are  
416 good at simulating the historical warming have a small GOOD HIST index indices. For analysis, we select the  
417 15 models with the lowest GOOD HIST indices (Table S1).

#### 419 A2 CMIP6 Data

420 Due to the unavailability of direct observational records for pre-industrial aerosol emissions, we rely on the outputs  
421 from historical simulations with realistic emissions of greenhouse gases, aerosols, and aerosol precursor gases  
422 conducted by CMIP6 models to estimate changes in aerosol concentration ( $\Delta \ln(X)$ ,  $\ln(X)$ , where  $X$  represents  
423 either  $\text{SO}_4$  or AI). The pre-industrial (PI) period was defined as the years 1850 to 1899, and the present-day (PD)  
424 period was set from 1965 to 2014, each spanning 50 years, to remove/minimize the influence of interannual  
425 variability. In the analysis Due to the limited availability of models for aerosol proxies, 13 models are used for  
426  $\Delta \ln(\text{SO}_4)$  and 9 models for  $\Delta \ln(\text{AI})$ , all models of which are among the 21 models that provide ERFaci\_true.  
427 The specific models used in our analysis are listed in (Table A1, S1). It is important to note that, for  
428 the CMIP6 models, the emission concentrations of sulfur dioxide, a precursor to  $\text{SO}_4$ , are specified from the  
429 Community Emission Data Set (CEDS; Hoesly et al., 2018), and thus the projected changes in  $\Delta \ln(\text{SO}_4)$  are  
430 highly consistent across models. The specified decadal trends in regional sulfate mass concentration in the models  
431 are also consistent with surface observations (Aas et al., 2019).

433 To evaluate our observationally constrained estimate of the ERFaci (ERFaci\_obs), we employed 21 distinct  
434 models conducting single-forcing (aerosol-only) experiments (ERFaci\_true). These models are from the Radiative  
435 Forcing Model Intercomparison Project (RFMIP; Pincus et al., 2016), specifically Tier 1 piClim-control and  
436 piClim-aer experiments with prescribed sea surface temperatures (SST) and sea ice derived from a climatology

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of pre-industrial conditions. These simulations are run for 30 years, incorporating realistic aerosol emissions in 1850 and 2014 to represent PI and PD conditions, respectively. This ensures an accurate estimation of the true baseline of ERFaci resulting solely from aerosol-cloud interactions. We use 30-year time periods for the PI and the PD scenario to evaluate ERFaci. Consequently, the ERFaci derived from these experiments is referred to as ERFaci\_true.

### A3 Cloud Controlling Factor Analysis

To improve our understanding of the cloud droplet number concentration and low cloud radiative effect in response to variations in aerosol concentration, we have employed a cloud controlling factor (CCF) analysis (Scott et al., 2020; Wall et al., 2022). This approach allows us to constrain the physical environmental factors influencing cloud droplets, low cloud properties and their subsequent radiative impacts. The analysis considers a set of controlling factors that are known to be significant drivers of cloud droplets and low cloud behavior, which can be expressed as follows, respectively:

$$CRE\_lclld' \approx \sum_{i=1}^7 \frac{\partial CRE\_lclld}{\partial X_i} \times X_i', \quad (A2)$$

$$N_d' \approx \sum_{i=1}^7 \frac{\partial N_d}{\partial Y_i} \times Y_i', \quad (A2)$$

$$CRE\_lclld' \approx \sum_{i=1}^7 \frac{\partial CRE\_lclld}{\partial Y_i} \times Y_i', \quad (A3)$$

where  $N_d$  represents cloud droplet number concentration from MODIS, and  $CRE\_lclld$  represents the non-observed low-level cloud radiative effect from low-level clouds and the CERES. The factors  $(X_i)Y_i$  from MERRA-2 reanalysis data included in our analysis are 1) sea surface temperature, 2) estimated inversion strength, 3) horizontal surface temperature advection, 4) relative humidity at 700 hPa, 5) vertical velocity at 700 hPa, and 6) near-surface wind speed. These parameters represent a combination of thermodynamic and dynamic influences that are critical in dictating low cloud formation and persistence (Scott et al., 2020). In addition to these standard meteorological variables, we introduce 7) aerosol concentrations as an additional controlling factor (Wall et al., 2022). Specifically, we consider either the natural logarithm of sulfate aerosol mass concentrations  $SO_4$  at 925 hPa,  $\ln(SO_4)$ . In our analysis, we opt to use data from the

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925 hPa atmospheric level instead of surface level measurements. This decision is based on the understanding that conditions at 925 hPa provide a more accurate reflection of CCN concentrations near the cloud base (Painemal et al., 2017). This altitude is often closer to the actual height at which low level clouds form, making it a more relevant indicator for assessing aerosol cloud interactions. We also consider MERRA-2 reanalysis or the natural logarithm of the aerosol index,  $\ln(AI)$  as a metric of the aerosol concentration cloud controlling factor. Note that, as highlighted in the main text, since AI provides column-integrated quantities and does not account for the vertical profile, it may not accurately capture aerosol concentrations in low-level clouds, which are the focus of our study.

For each grid point, we employ ordinary least-squares multilinear regression to model  $N_d'$  or  $CRE\_lcl'd'$  against anomalies in the seven cloud controlling factors. The regression coefficients,  $\partial N_d' / \partial \ln(X)$  or  $\partial CRE\_lcl'd' / \partial \ln(X)$ , quantify the sensitivity of low level cloud radiative effect anomalies ( $CRE\_lcl'd'$ ) to local anomalies in  $\ln(SO_4)$  or  $\ln(AI)$ , respectively.  $X$ ), while holding all other environmental conditions constant.

To assess potential multicollinearity among predictors, we calculated variance inflation factors (VIF), as covariability among predictors can increase the uncertainty in regression coefficients (Figs. A1, A2). VIF values for each predictor remain below 5, except for SST and EIS over the equatorial Pacific, consistent with the VIF analysis by Scott et al. (2020). For aerosol proxies, such as  $SO_4$  and  $AI$ , covariability with environmental factors is minimal and difficult to detect. This emphasizes the independence of aerosol concentrations from other environmental factors and supports that our ERFaci estimation genuinely driven by aerosols.

#### A4 Estimating ERFaci using CMIP6 model outputs

##### A4.1 Estimating ERFaci\_true

The ERFaci\_true is calculated for PD minus PI conditions from aerosol-only, fixed-SST experiments as,

$$ERFaci\_true = \Delta CRE\_lcl'd, \quad (A3)$$

where the low-level cloud radiative effect ( $\Delta CRE\_lcl'd$ ) is determined by using cloud classification method introduced in Webb et al. (2006) and Soden and Vecchi (2011).

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#### A4.2 Estimating ERFaci\_SC17

This method partitions the low-level cloud radiative response observed in historical experiments into two components: one is a temperature-mediated component (i.e., cloud feedback) attributable to changes in the global-mean surface temperature and the other to aerosol-cloud interactions. ~~The temperature-mediated component is estimated by multiplying the global mean temperature anomaly by the low-level cloud feedback, derived from the 1pctCO<sub>2</sub> scenario ( $\alpha_{1pctCO_2}$ ), which is calculated as the low-level cloud radiative response normalized by the corresponding global mean surface warming. This estimate of ERFaci is then obtained by subtracting this~~ The estimate of ERFaci is then obtained by subtracting the temperature-driven component from the low-level cloud radiative response, thus focusing solely on the impact of aerosol-cloud interactions.

$$ERFaci_{SC17} = \Delta CRE_{lcl} - \alpha_{1pctCO_2} \cdot \Delta \bar{T}_s. \quad (A4)$$

$$ERFaci_{SC17} = \Delta CRE_{lcl} - \alpha_{1pctCO_2} \cdot \Delta \bar{T}_s, \quad (A5)$$

where  $\alpha_{1pctCO_2}$  represents the low-level cloud feedback, derived from the 1% CO<sub>2</sub> increase per year (1pctCO<sub>2</sub>) scenario, which is calculated as the low-level cloud radiative response normalized by the corresponding global-mean surface warming.  $\Delta \bar{T}_s$  denotes global mean temperature response to PD minus PI conditions. Because this method uses outputs from historical and 1pctCO<sub>2</sub> simulations, it allows a much larger sample size of models to evaluate the two different versions of ERFaci\_est.

#### A4.3 Estimating ERFaci\_est

To estimate ERFaci\_est, derived exclusively from CMIP6 model outputs calculated using ~~equations Eq. (1) and Eq. (2)~~ from the main text, we use monthly anomalies spanning from 2000 to 2014 in historical experiments for susceptibility calculation, after removing trends and climatological seasonality. We adhere to the same timeframe for aerosol concentration changes as described in the main text. Additionally, ~~given the challenges associated with deriving cloud-top cloud droplet number concentrations ( $N_d$ ) directly from CMIP6 model outputs, we adopt an alternative approach, which is the maximum  $N_d$  within a vertical atmospheric column (Saponaro et al., 2020; Jia and Quaas, 2023). Owing to the limited availability of models for CCF analysis and LWP binning, both are, it is not explicitly employed in the estimation process of ERFaci\_est. Instead, we assess the impact of including or excluding CCF analysis and LWP binning on ERFaci\_obs to elucidate their influence on the estimation of ERFaci\_est. The simplified version of equations Eq. (1) and Eq. (2), which do not account for CCF analysis and LWP binning, are presented below:~~

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$$\text{ERFaci}_{\text{obs}} \approx \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(Y)} \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(X)} \times \Delta \ln(Y), \quad (\text{A5})$$

$\ln(X)$ ,

(A6)

(without CCF analysis, LWP binning, and activation rate)

$$\text{ERFaci}_{\text{obs}} \approx \left( \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(N_d)} \times \frac{\partial \ln(N_d)}{\partial \ln(Y)} \right) \left( \frac{\partial \text{CRE}_{\text{lclld}}}{\partial \ln(N_d)} \times \frac{\partial \ln(N_d)}{\partial \ln(X)} \right) \times \Delta \ln(Y), \quad (\text{A6})$$

$\ln(X)$ .

(A7)

(without CCF analysis and LWP binning but with activation rate)

When applying these equations to estimate  $\text{ERFaci}_{\text{obs}}$ , we obtain best estimates of global-mean  $\text{ERFaci}_{\text{obs}}$  (without activation rate) of -1.4664 for  $\text{SO}_4$  and -1.7485 for AI, and global-mean  $\text{ERFaci}_{\text{obs}}$  (with activation rate) of -0.6156 for  $\text{SO}_4$  and -0.3427 for AI. These values are 1.8587, 2.01, 1.53, 1.5675, and 1.4244 times larger, respectively, than those obtained when considering CCF analysis and LWP binning. In other words, by dividing model-driven  $\text{ERFaci}$  estimates by these factors, we can approximate its value under scenarios that include CCF analysis and LWP binning ( $\text{ERFaci}_{\text{est}}$ ). These outcomes are employed in Fig. 3 and Fig. A3-S3.

#### A5 Radiative Kernel Method

Originally developed by Soden et al. (2008) to facilitate the analysis of radiative feedbacks, “radiative kernels” describe the differential response of radiative fluxes to incremental changes in the radiative state variables (e.g., clouds, temperature, water vapor, albedo). In this study, we employed radiative kernel techniques derived from the HadGEM3-GA7.1 model (Smith et al., 2020b) for all CMIP6 model analysis to isolate the genuine cloud radiative effect response without interference from cloud masking effects.

#### A6 Estimating Global-Mean $\text{ERFaci}_{\text{obs}}$

Given that our observation data cover the domain extending from 5060°S to 5060°N over the ocean, it is imperative to extrapolate global  $\text{ERFaci}$  values for comparison with the observation-based global estimates reported in the IPCC Sixth Assessment Report. Our estimate of and the  $\text{ERFaci}_{\text{obs}}$  spans a near-global domain, encompassing almost 60% of the Earth's surface. This notably includes vast stretches of the remote oceans. Although our estimate does not account for polar oceans, their exclusion is unlikely to significantly skew our results. These regions contribute minimally to the global  $\text{ERFaci}$  because of their limited surface area. Given these considerations, we believe that our near-global estimate can serve as a reliable proxy for the true global average. This assumption

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is supported by the result from CMIP6 models (Fig. A4)-WCRP. To bridge the gap between global and domain-specific averages, using 21 CMIP6 climate models in single-forcing experiments (ERFaci\_true), we employ a scalar,  $\gamma$ , representing the ratio of the multi-model mean of global-average ERFaci\_true to the multi-model mean of domain-average ERFaci\_true (Fig. A3). We ascertain  $\gamma$ 's value at 0.6986 with 0.92 correlation coefficient and a  $p$ -value less than 0.001, enabling the calibration of our domain-specific ERFaci estimates to more accurately reflect a global scale. This calibration is achieved through the following equation:

$$\begin{aligned} & \text{ERFaci\_obs, global} \\ &= \gamma \\ & \times \text{ERFaci\_obs, domain}, \end{aligned} \quad (\text{A7})$$

In ensuring the consistency of our estimates, we adjust the IPCC Sixth Assessment Report's estimate of ERFaci, which uses 2014 as the present-day reference year and 1750 as the preindustrial reference year. The IPCC's initial global estimate for ERFaci between 2014 and 1750 is  $-1.0 \pm 0.7 \text{ W m}^{-2}$ . To make this preindustrial reference period consistent with our analysis, we subtract the estimated ERFaci of  $-0.07 \text{ W m}^{-2}$  between 1850 and 1750 from the IPCC's value (Dentener et al., 2021). This adjustment yields an estimate based solely on observational evidence, with a 90% CI of  $-0.93 \pm 0.7 \text{ W m}^{-2}$  (Wall et al., 2022).

#### A7 Uncertainty from ERFaci\_obs estimation

The uncertainty in ERFaci\_obs, in the case where the activation rate is not considered, is attributed to uncertainties in the susceptibility, the regression coefficient for  $\partial \text{CRE\_lcl}/\partial \ln(\bar{X})$ , and in the model estimates of  $\Delta \ln(\bar{X})$ . Conversely, when considering the activation rate, the uncertainty in ERFaci\_obs stems from uncertainties in the regression coefficients for  $\partial \text{CRE\_lcl}/\partial \ln(N_a N_o)$  and  $\partial \ln(N_a N_o)/\partial \ln(\bar{X})$ , as well as from uncertainties in the model predictions of  $\Delta \ln(\bar{X})$ .

To quantify the uncertainty derived from regression coefficients, at each grid box a 90% confidence interval of the susceptibility is given by

$$\begin{aligned} & \delta \\ &= t \sqrt{C_{ii}} \sqrt{\frac{N_{\text{nom}}}{N_{\text{eff}}}} \quad (\text{without activation rate}), \end{aligned} \quad (\text{A8})$$

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$$\begin{aligned} \delta &= t \sqrt{\Delta x^T C \Delta x} \sqrt{\frac{N_{nom}}{N_{eff}}} \delta \\ &= t \sqrt{\Delta x^T C \Delta x} \sqrt{\frac{N_{nom}}{N_{eff}}} \quad (\text{with activation rate}), \quad (A9) \end{aligned}$$

where  $t_t$  is the critical value of the Student's t-test at the 95% significance level with  $N_{eff} - 7$  degrees of freedom (Von Storch and Zwiers, 1999),  $C$  is the variance-covariance matrix of regression coefficients hence  $C_{ii}$  represents the diagonal components of  $C$ ,  $N_{nom} C_{ii} N_{nom} / N_{eff} N_{eff}$  is the ratio of the nominal to effective number of monthly values of CRE\_lcid', and  $\Delta x$  is the regression coefficient for  $\partial \ln(N_a N_d) / \partial \ln(Y)$ .  $C$  is formulated as  $C = \hat{\sigma}^2 (X^T X)^{-1} = \hat{\sigma}^2 (Z^T Z)^{-1}$ , where  $X$  is the data matrix with columns composed of detrended monthly anomalies. Specifically, these anomalies are of  $\ln(Y)$  in scenarios where the activation rate is not considered and of  $\ln(N_a N_d)$  in scenarios where the activation rate is included. The term  $\hat{\sigma}^2$  denotes the mean of squared residuals of the regression model and we estimate  $N_{nom} N_{eff} N_{nom} / N_{eff}$  as  $(1 + Fr) / (1 - Fr)$ , where  $Fr$  is the lag one autocorrelation of CRE\_lcid'.

Uncertainty for spatially averaged regression coefficients is calculated as

$$\Delta_{obs} = \frac{\sqrt{\sum_{k=1}^{N_{nom}^*} (\delta_k w_k)^2}}{\sqrt{\left( \sum_{k=1}^{N_{nom}^*} w_k \right)^2}} \sqrt{\frac{N_{nom}^*}{N_{eff}^*}}, \quad (A10)$$

$$\begin{aligned} \Delta_{obs} &= \frac{\sqrt{\sum_{k=1}^{N_{nom}^*} (\delta_k w_k)^2}}{\sqrt{\left( \sum_{k=1}^{N_{nom}^*} w_k \right)^2}} \sqrt{\frac{N_{nom}^*}{N_{eff}^*}}, \quad (A11) \end{aligned}$$

where  $\delta_k$  denotes the uncertainty of the  $k^{\text{th}}$  grid box,  $w_k$  and  $w_k$  is the cosine of the latitude.  $N_{nom}^* N_{nom}^*$  represents the nominal number of spatial degrees of freedom, while  $N_{eff}^* N_{eff}^*$  represents the effective number of spatial degrees of freedom. The ratio  $N_{nom}^* N_{nom}^* / N_{eff}^* N_{eff}^*$  is determined through empirical orthogonal function (EOF) analysis applied to CRE\_lcid' for all ocean grid boxes between 50°S and 50°N as outlined in equation Eq. 5 of Bretherton et al. (1999). Before conducting the EOF analysis, each grid of CRE\_lcid' value is multiplied by  $\sqrt{w_k} \sqrt{w_k}$  to mitigate dependencies on grid geometry (North et al. 1982). The derived value of  $\Delta_{obs}$  quantifies the half-width of the 90% CI for ERFaci\_obs over our domain region specifically reflecting the uncertainty associated with regression coefficients.

629 To estimate uncertainty derived from model predictions, we examine the entire range of aerosol concentration  
630 changes across each CMIP6 model, instead of estimating uncertainty within the 5<sup>th</sup>-95<sup>th</sup> percentile range, primarily  
631 due to the limited number of models available for our analysis: 13 models for  $\Delta \ln(\text{SO}_4)$  and 9 models for  $\Delta \ln(\text{Al})$ .  
632 This decision reflects a methodological adaptation to the limited model dataset, ensuring a comprehensive  
633 evaluation of model-derived uncertainty (Myers et al., 2024-2023). We first calculate ERFaci\_obs by multiplying  
634  $\Delta \ln(Y)/\ln(X)$  from each of the models by the observationally derived susceptibility. The half-width of the CI,  
635 denoted as  $\Delta_{\text{model}}$ , is derived by halving the difference between the maximum and minimum estimates of

636 ERFaci\_obs. The overall 90% CI is determined by  $\text{ERFaci\_obs, domain} \pm \sqrt{\Delta_{\text{obs}}^2 + \Delta_{\text{model}}^2}$ .

$$\begin{aligned} & \text{ERFaci\_obs, domain} \\ & \pm \sqrt{\Delta_{\text{obs}}^2 + \Delta_{\text{model}}^2} \end{aligned} \quad (\text{A12})$$

641 In our methodology, the scalar  $\gamma$  is used to extrapolate the global ERFaci\_obs from our domain-specific  
642 ERFaci\_obs estimates. This extrapolation introduces an additional component of uncertainty. Although both  $\gamma$   
643 and the changes in aerosol concentration are obtained from CMIP6 model outputs, it's important to note that  
644  $\gamma$  does not directly correlate with aerosol concentration changes across the models. Consequently, the uncertainty  
645 associated with  $\gamma$  is quantified using the root mean squared error (RMSE) between the domain-specific averaged  
646 ERFaci\_true, multiplied by  $\gamma$ , and the global-mean ERFaci\_true. The overall 90% CI is determined by

$$\text{ERFaci\_obs, global} \pm \sqrt{([\gamma]\Delta_{\text{obs}})^2 + ([\gamma]\Delta_{\text{model}})^2 + \Delta_{\gamma}^2}, \text{ where square brackets indicate multi-model mean of a parameter.}$$

$$\begin{aligned} & \text{ERFaci\_obs, global} \\ & \pm \sqrt{([\gamma]\Delta_{\text{obs}})^2 + ([\gamma]\Delta_{\text{model}})^2 + \Delta_{\gamma}^2} \end{aligned} \quad (\text{A13})$$

653 where square brackets indicate multi-model mean of a parameter.

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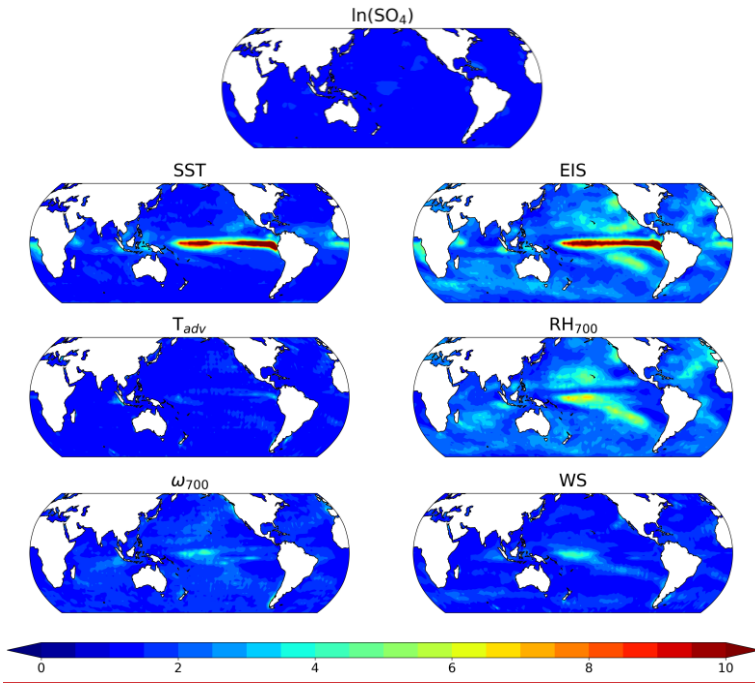
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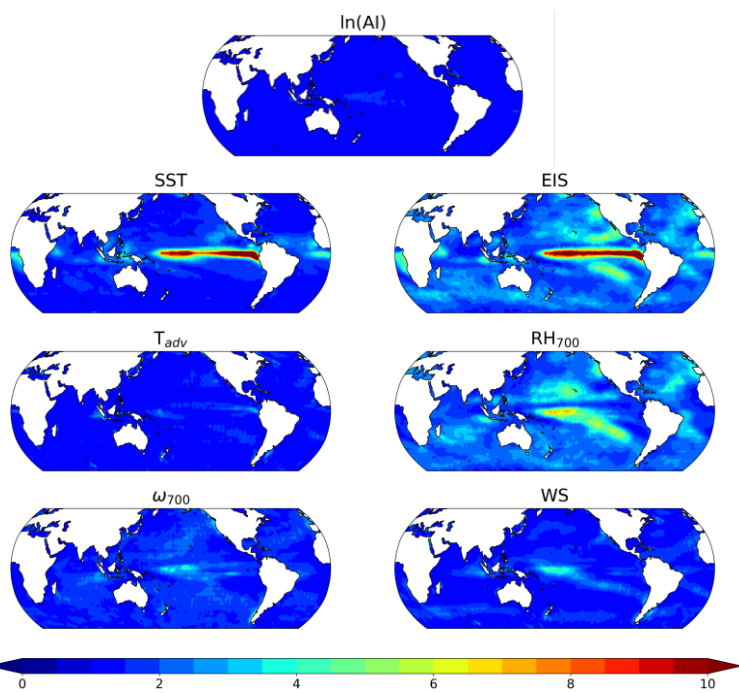
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**Figure A1.** Variance inflation factors (VIF) for each environmental factor  $Y_i$  in CCF analysis, calculated as  $\text{VIF}_i = 1/(1 - R_i^2)$ , where  $R_i^2$  represents the total variance in  $Y_i$  explained by the remaining environmental predictors. The environmental predictors include natural logarithmic sulfate mass concentration ( $\ln(\text{SO}_4)$ ), sea surface temperature (SST), estimated inversion strength (EIS), horizontal surface temperature advection ( $T_{adv}$ ), relative humidity at 700 hPa ( $\text{RH}_{700}$ ), vertical velocity at 700 hPa ( $\omega_{700}$ ), and near-surface wind speed (WS).



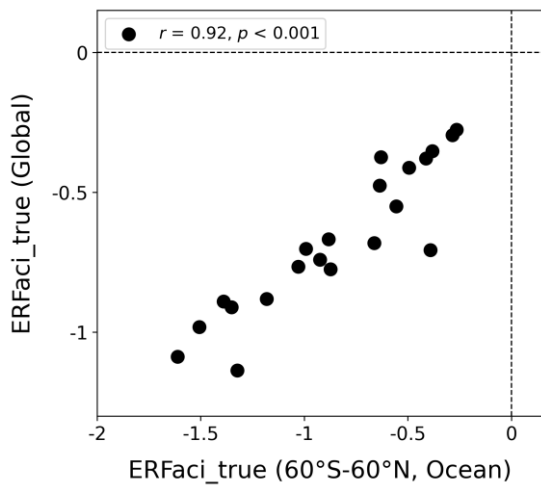
**Figure A2.** Same as Figure A1, but for AI instead of  $\text{SO}_2$ .

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**Figure A3.** CMIP6 estimates of ERFaci\_true, averaged for the domain region (60°S to 60°N over ocean), and globally averaged ERFaci\_true values. Each black circle represents an individual model's estimate, with the correlation coefficient ( $r$ ) and its associated  $p$ -value ( $p$ ) indicated in the upper left corner.

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712 ~~GitHub ([https://github.com/nieklutsko/Radiative\\_Forcing\\_Aerosol\\_Clouds](https://github.com/nieklutsko/Radiative_Forcing_Aerosol_Clouds), Wall et al.,~~  
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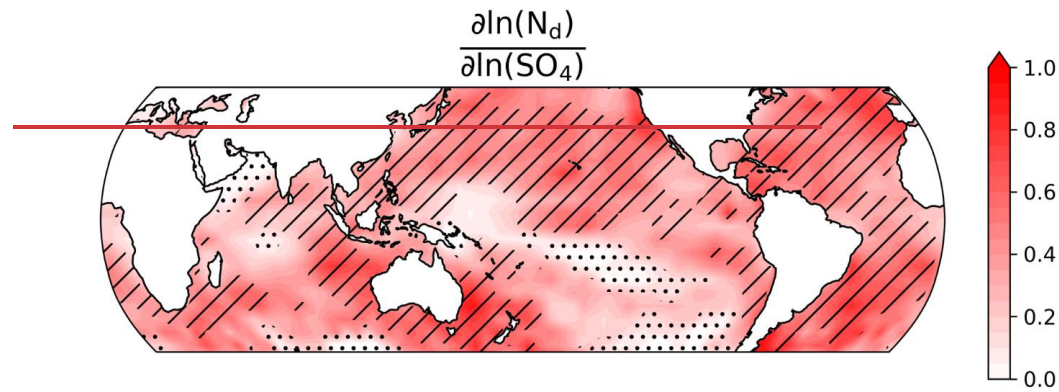


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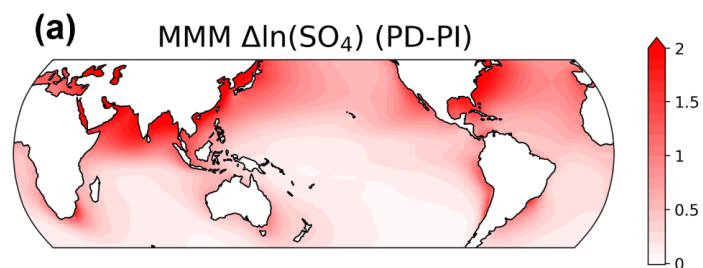
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## Figures

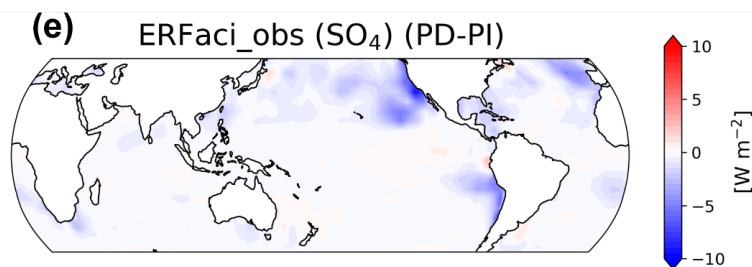
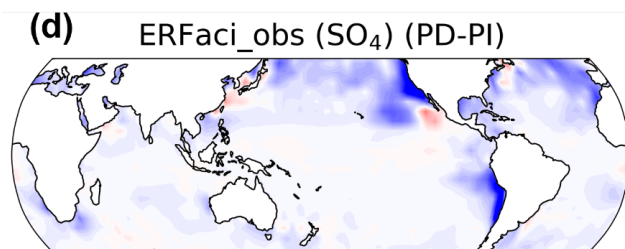
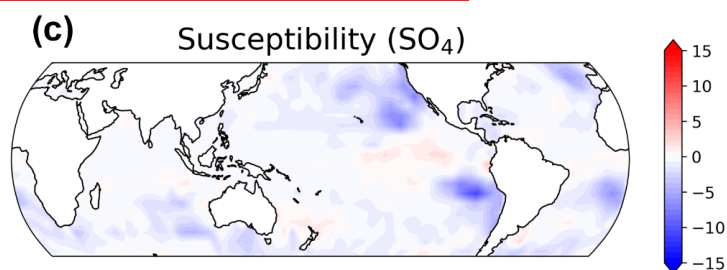
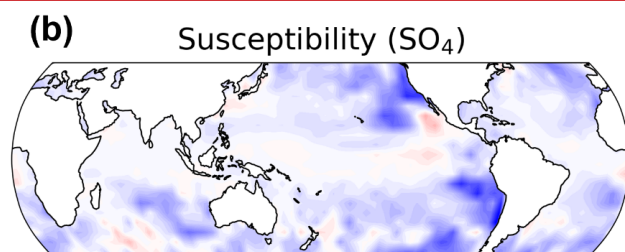


**Fig. 1.** Regression coefficient map of the activation rate of cloud droplet number concentration ( $N_d$ ) to sulfate aerosol concentration ( $SO_4$ ). The color scale indicates the magnitude of sensitivity, where an increase in  $SO_4$  concentration corresponds to an increase in  $N_d$ . Areas with diagonal indicate correlation coefficients exceeding 0.4, demonstrating a significantly high linearity between  $SO_4$  and  $N_d$ . Areas with stippling indicate where the changes are not statistically different from zero at the 95% confidence level using a Student's  $t$  test.



Without Activation

With Activation

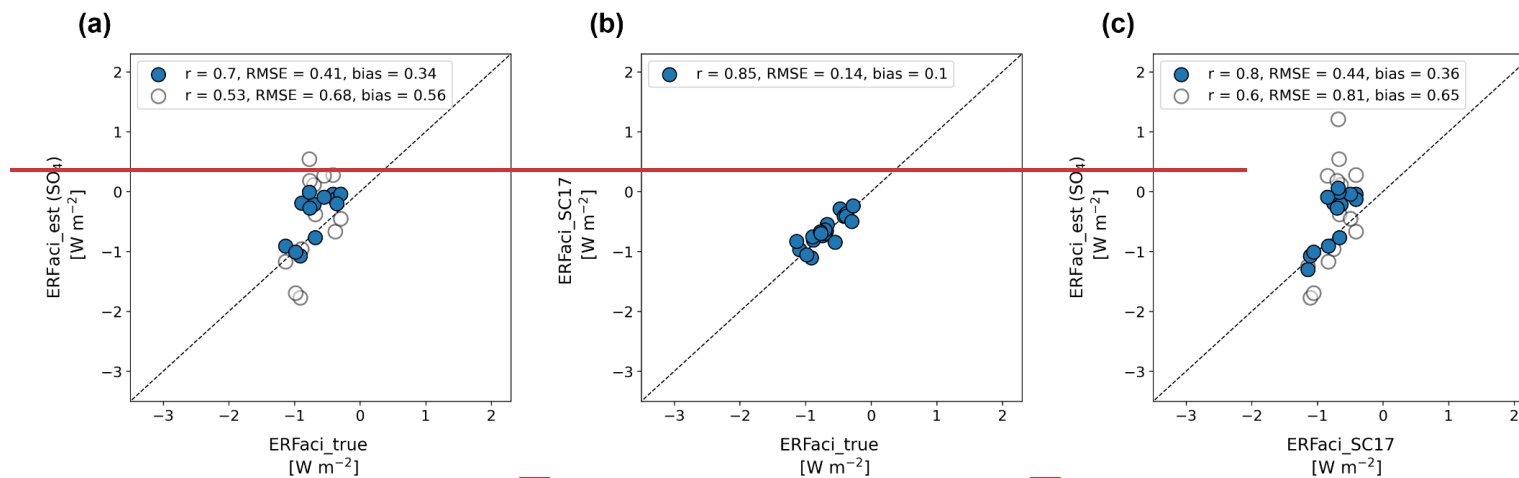


**Fig. 2.** Spatial distribution of ERFaci\_obs components and the estimated ERFaci\_obs differentiated by the consideration of the activation rate. (a) Multi model

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950 ~~mean (MMM) of changes in SO<sub>4</sub> concentration between pre industrial (PI) and present day (PD) periods. 13 models are~~  
951 ~~used for this analysis (Table A1). (b,c) Susceptibility of low cloud radiative effect to SO<sub>4</sub> concentration derived from CCF~~  
952 ~~analysis using observations (Appendix A). (d,e) Observationally constrained ERF<sub>aci</sub> for SO<sub>4</sub> estimated by multiplying the~~  
953 ~~susceptibility with the changes in SO<sub>4</sub> concentration.~~



**Fig. 3.** “Perfect model” cross validation analysis of global mean ERFaci estimates. (a) ERFaci\_true versus ERFaci\_est which is estimated by simplified version of equations (1) and (2) (Appendix A), (b) ERFaci\_true versus ERFaci estimates obtained using the method proposed by Soden and Chung (2017; SC17), and (c) ERFaci\_SC17 versus ERFaci\_est. Filled blue circles represent estimates where the activation rate is considered, and open grey circles represent estimates without activation rate consideration. The correlation coefficient (r), Root Mean Square Error (RMSE), and bias are displayed in the upper left corner of each panel. Bias is defined as the mean absolute difference from the 1:1 reference line, depicted by a dashed line. All panels have identical x and y axis ranges to highlight the variance among the estimation methods. Higher r values, lower RMSE, and minimal bias indicate consistency in ERFaci estimates across different estimation methods using CMIP6 models.

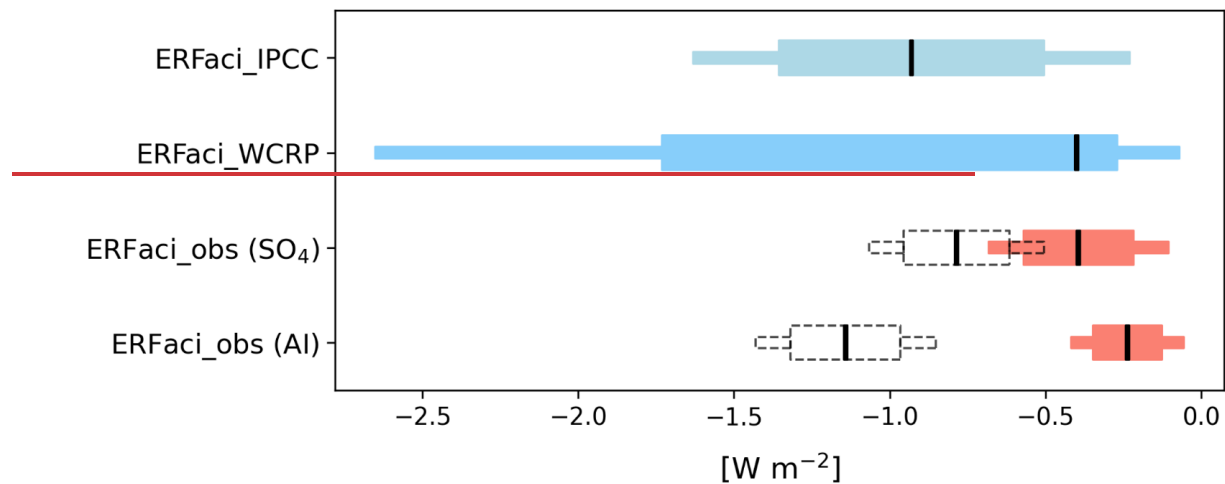
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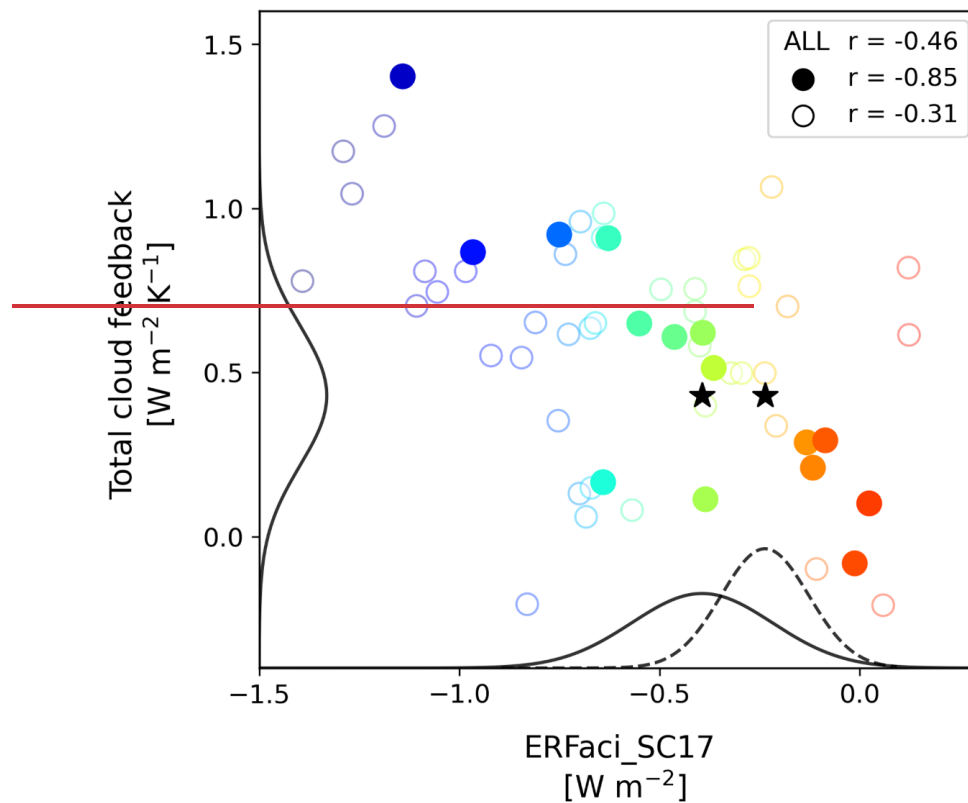


**Fig. 4.** Estimates of globally averaged ERFaci values, including those from the IPCC Sixth Assessment Report, from WCRP assessment, ERFaci\_obs for SO<sub>4</sub>, and ERFaci\_obs for Al. The ERFaci\_obs estimates considering activation rate are shown in red, while those not considering activation rate are displayed in dashed grey. Thin and thick bars represent the 90% and 66% confidence intervals (CI), respectively, except for the WCRP estimate of ERFaci, which shows 68% CI for the thick bar. The black vertical lines indicate the best estimate of each ERFaci. The ERFaci values from the IPCC represent the assessment based on observational evidence alone.

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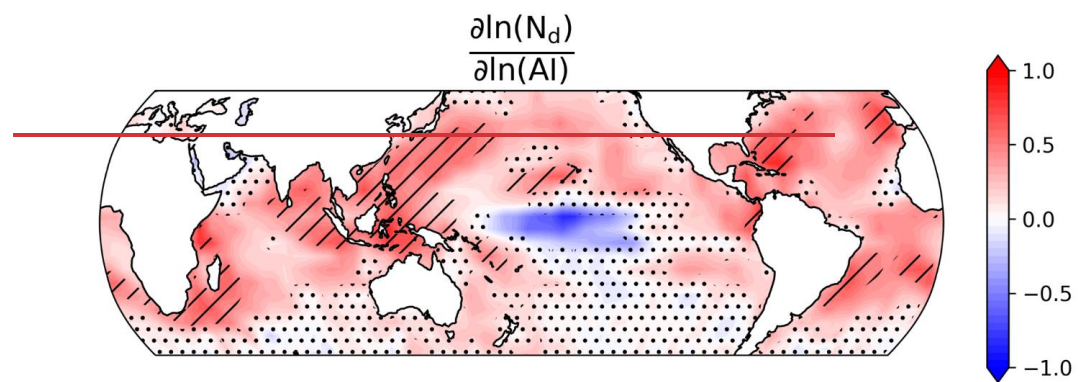


**Fig. 5.** Correlation between global mean ERFaci estimates obtained using the method proposed by Soden and Chung (2017; SC17), aimed at expanding the model availability, and the globally averaged total cloud feedback as determined by the corresponding models. Each dot represents a single model. The colors from red to blue indicate weak ERFaci models to strong negative ERFaci models. Filled circles represent the 15 ‘GOOD HIST’ models that align more closely

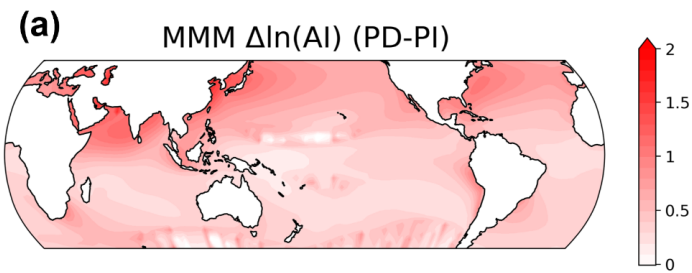
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982 with historical observations of global mean surface warming, whereas open circles denote the remaining models (Appendix  
983 A). Correlation coefficients ( $r$ ) for the entire models, the ‘GOOD HST’ models, and remaining models are shown in the  
984 upper right corner. The probability density functions (PDFs) showing the 90% confidence intervals for observationally  
985 constrained ERF<sub>aci</sub> from sulfate concentration (SO<sub>4</sub>; solid line) and the aerosol index (AI; dashed line) are plotted along  
986 the x-axis, while the PDF for observationally constrained total cloud feedback (solid line), derived from Ceppi and Nowack  
987 (2021), is plotted on the y-axis (amplitudes scaled arbitrarily). Stars denote the best estimates of the PDFs, signifying the most probable values  
988 within the distributions.

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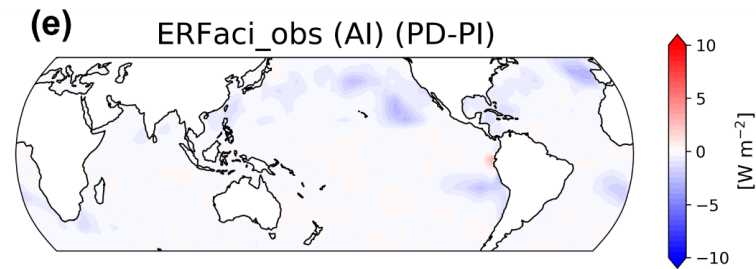
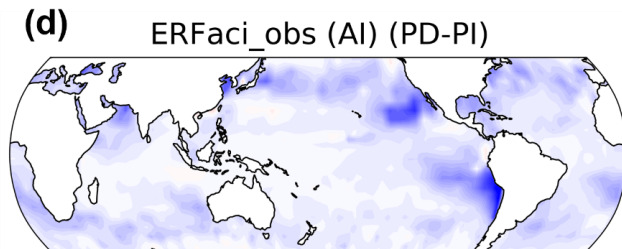
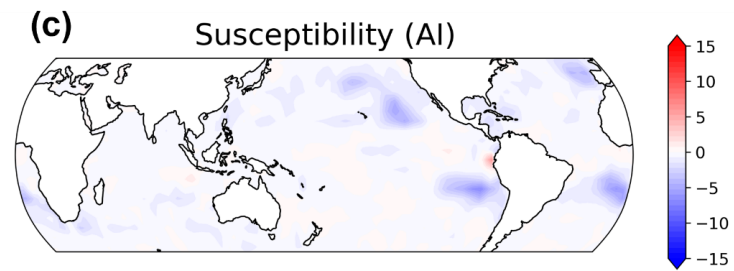
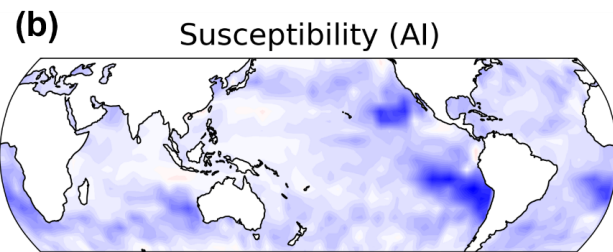


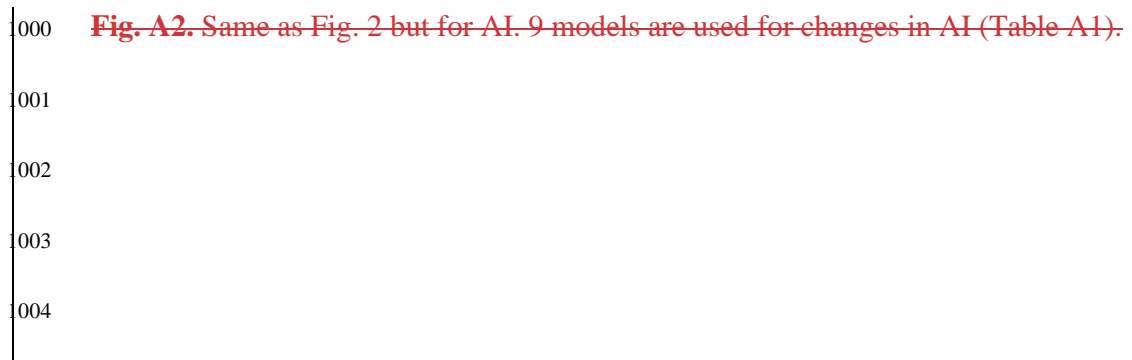
**Fig. A1.** Same as Fig. 1 but for AI.

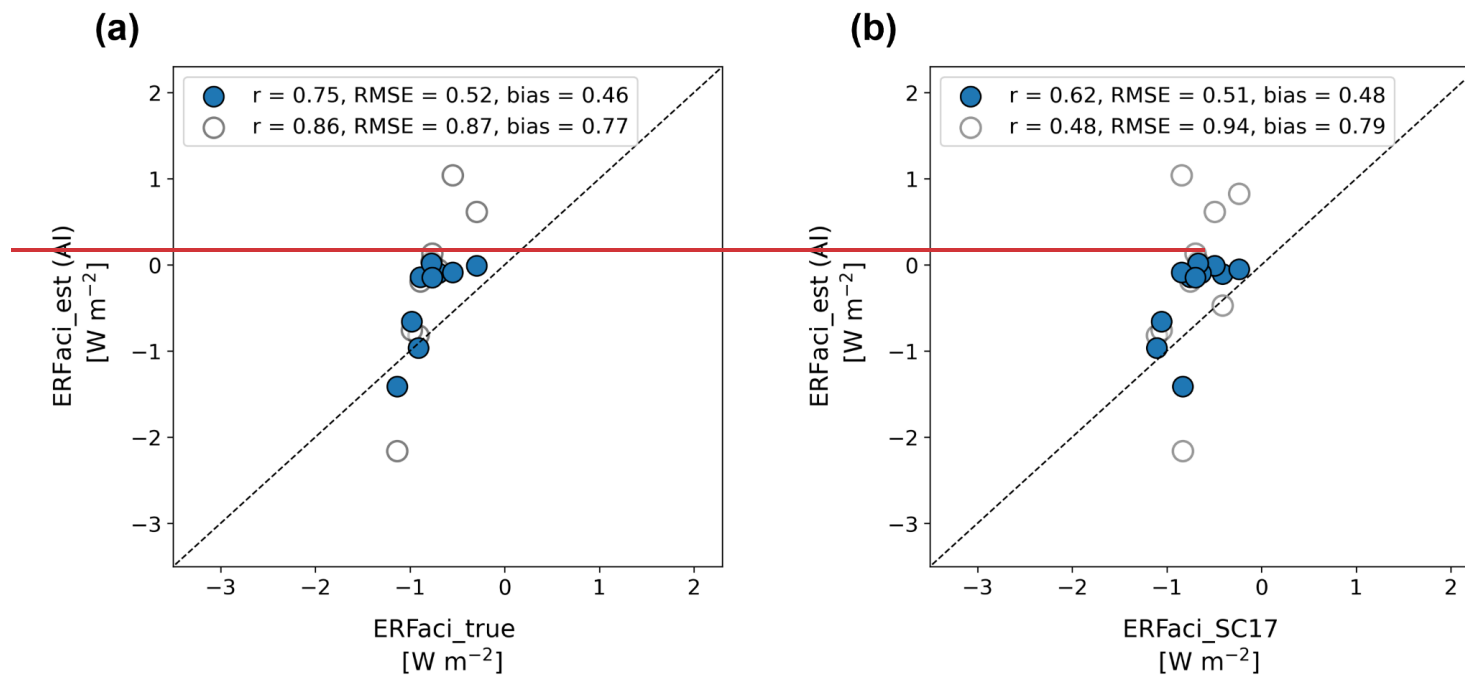


Without Activation

With Activation







**Fig. A3.** Same as the first and last scatter plots in Fig. 3 but for the ERFaci\_est estimated by AI instead of SO<sub>4</sub>.

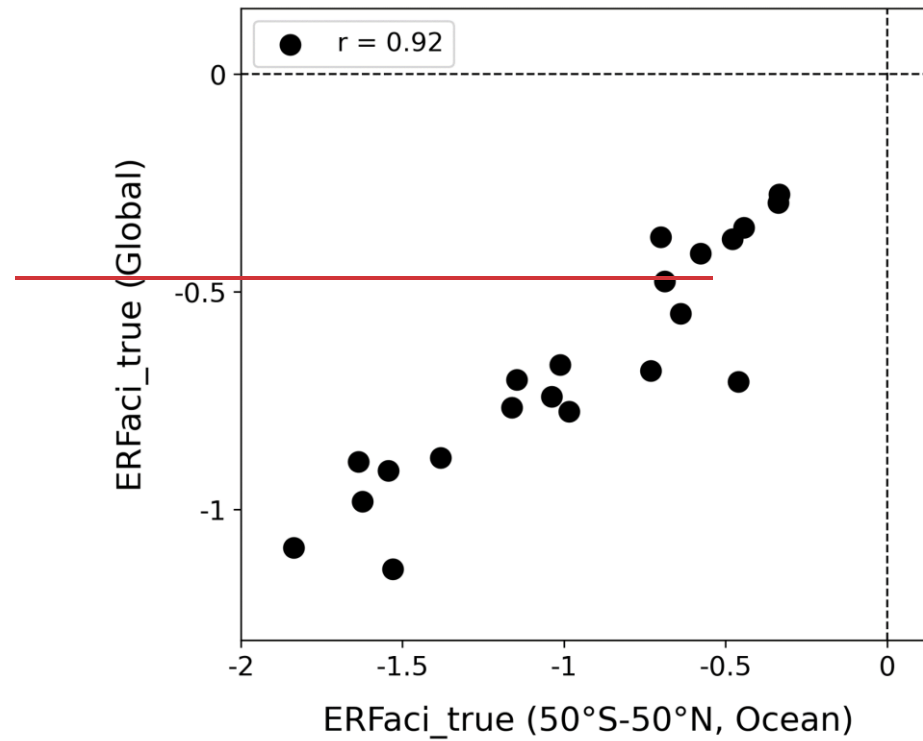
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**Fig. A4.** CMIP6 estimates of ERFaci\_true, averaged for the domain region (50°S to 50°N over ocean), and globally averaged ERFaci\_true values. Each black circle represents an individual model's estimate, with the correlation coefficient ( $r$ ) indicated in the upper left corner.

**Table A1.** CMIP6 models used in the analysis.

	Model	$\Delta\ln(\text{SO}_2)$	$\Delta\ln(\text{Al})$	ERFaci_true	ERFaci_SC17	ERFaci_est (SO <sub>2</sub> )	ERFaci_est (Al)	GOOD HIST index
1	ACCESS-CM2			0	0			0.323
2	ACCESS-ESM1-5			0	0			0.184
3	AWI-CM-1-1-MR				0			0.074
4	AWI-ESM-1-1-LR				0			0.141
5	BCC-CSM2-MR				0			0.319
6	BCC-ESM1	0		0	0	0		0.448
7	CAMS-CSM1-0				0			0.268
8	CanESM5			0	0			0.169
9	CanESM5-1				0			0.248
10	CanESM5-CanOE				0			0.306
11	CAS-ESM2-0				0			0.366
12	CESM2			0	0			0.147
13	CESM2-FV2				0			0.288
14	CESM2-WACCM				0	0		0.104
15	CESM2-WACCM-FV2				0			0.372
16	CIesm				0			0.212
17	CMCC-CM2-SR5				0			0.173
18	CMCC-ESM2				0			0.165
19	CNRM-CM6-1			0	0			0.029
20	CNRM-CM6-1-HR				0			0.014
21	CNRM-ESM2-1	0		0	0	0	0	0.191
22	E3SM-1-0				0			0.289
23	E3SM-2-0				0			0.749
24	EC-Earth3			0	0			0.136
25	EC-Earth3-AerChem	0	0	0	0	0	0	0.362
26	EC-Earth3-CC				0			0.503
27	EC-Earth3-Veg				0			0.153
28	EC-Earth3-Veg-LR				0			0.127
29	FGOALS-f3-L							0.115
30	FIO-ESM-2-0				0			0.256
31	GFDL-CM4	0		0	0	0		0.242
32	GFDL-ESM4	0	0	0	0	0	0	0.43
33	GISS-E2-1-G			0	0			0.347
34	GISS-E2-1-H				0			0.115
35	GISS-E2-2-G				0			0.272
36	GISS-E2-2-H				0			0.115
37	HadGEM3-GC31-LL	0	0	0	0	0	0	0.191
38	HadGEM3-GC31-MM				0			0.284
39	ICON-ESM-LR				0			0.287
40	INM-CM4-8				0			0.134
41	INM-CM5-0				0			0.201
42	IPSL-CM5A2-INCA				0			0.293
43	IPSL-CM6A-LR			0	0		0	0.157
44	IPSL-CM6A-LR-INCA	0		0				0.081
45	KACE-1-0-G				0			0.147
46	KIOST-ESM				0			0.15
47	MIROC6	0	0	0	0	0	0	0.327
48	MIROC-ES2L				0	0		0.296
49	MPI-ESM1-2-HR				0			0.15
50	MPI-ESM1-2-LR				0			0.072
51	MPI-ESM1-2-HAM	0	0	0	0	0	0	0.507
52	MRI-ESM2-0	0	0	0	0	0	0	0.329
53	NESM3				0			0.216
54	NorCPM1				0			0.17
55	NorESM2-LM	0	0	0	0	0	0	0.455
56	NorESM2-MM	0	0	0	0	0	0	0.366
57	SAM0-UNICON				0			0.362
58	TaiESM1				0			0.417
59	UKESM1-0-LL	0	0	0	0	0	0	0.325
60	UKESM1-1-LL				0			0.098

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