

# Sources and trends of Black Carbon Aerosol in a Megacity of Nanjing, East China After the China Clean Action Plan and Three-Year Action Plan

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**Abstract** Black carbon (BC) is an essential component of particulate matter (PM) with a significant impact on climate change. Few studies have investigated the long-term changes in BC and the sources, particularly considering primary emissions of BC, which is crucial for developing effective mitigation strategies. Here, three-year BC observations (2019-2021) were reported in Nanjing, a polluted city in Yangtze River Delta (YRD) region, eastern China. Here, based on three-year observations (2019-2021), random forest (RF) algorithms were employed to reconstruct BC concentrations in Nanjing from 2014 to 2021. Source apportionment was conducted on the reconstructed data to investigate long-term trends of BC and its sources. The results revealed showed that the three-year average BC concentration was  $2.5 \pm 1.6 \mu\text{g m}^{-3}$ , peaking in winter, with approximately 80% attributed to liquid fuel combustion. Based on three-year monitoring data, the random forest (RF) algorithm was employed to reconstruct BC concentrations in Nanjing from 2014 to 2021. Source apportionment was conducted on the reconstructed time series, which revealed a significant decrease ( $p < 0.05$ ) in BC levels over the eight-year period, primarily due to reduced emissions from liquid fuels. Notably, the reconstructed time series revealed a significant decrease ( $p < 0.05$ ) in BC levels over the eight-year period, primarily due to reduced emissions from liquid fuels. The comparison between two control periods (P1:2014-2017 and P2:2018-2021) indicate that BC

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concentrations decline more steeply during S2 since significant ( $p < 0.05$ ) reduction in biomass burning. Compared to the earlier control policy period (P1:2013-2017), BC concentrations declined more steeply after 2018 (P2) due to reduced solid fuel burning. The seasonal analysis showed indicated significant reductions ( $p < 0.05$ ) in BC, BC<sub>liquid</sub> (black carbon from liquid fuel combustion) and BC<sub>solid</sub> (black carbon from solid fuel combustion) during winter, with BC<sub>liquid</sub> accounting for 77% of the reduction. Overall, emission reduction was the dominant factor in lowering reducing BC levels, contributing between 62% and 86%, though meteorological conditions played an increasingly important role in P2, particularly for BC and BC<sub>liquid</sub>. as revealed by Kolmogorov-Zurbenko (KZ) filter. However, during P2, meteorological conditions played a more significant role, especially in reducing BC and BC<sub>liquid</sub>, with an increase in their impact on BC<sub>solid</sub> compared to P1. Our results demonstrated that target control measures for liquid fuel combustion are necessary, as it liquid fuel combustion is a major driver of decreasing BC reduction, and highlight the non-negligible influence of meteorological factors over the long-term BC variations, especially in summer, while the influence of meteorological factors on BC variations cannot be overlooked.

**Keywords:** black carbon; sources; random forest; emission reduction

## 1. Introduction

Black carbon (BC), also known as element carbon (EC), is a carbonaceous component of particulate matter (PM) produced through incomplete combustion processes, including domestic cooking, heating and coke-making (Bond et al., 2013; Liu et al., 2020a). BC particles significantly influence the Earth's energy balance and are major contributors to global warming due to their strong absorption of solar radiation across visible to infrared wavelengths (Ramanathan and Carmichael, 2008; Ipcc, 2023). Additionally, the presence of BC particles in the atmosphere reduces atmospheric visibility and deteriorates air quality especially in urban areas due to their significant absorption properties (Ding et al., 2016). Exposure to BC aerosols has also been linked to increased health risks, such as heart attacks and cardiovascular diseases (Sarigiannis et al., 2015; Li et al., 2019). Owing to its short atmospheric lifetime of only 3 to 14 days, much shorter than that of greenhouse gases which can persist for decades, reducing BC

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emissions can promptly mitigate global warming and benefit human health.

Accurate quantification of BC from different sources is essential to propose efficient mitigation strategies. Various methods in the past have been applied to BC source apportionment, including emission inventories (Zhu et al., 2020), radiocarbon isotope analysis (Zhang et al., 2014; Yu et al., 2023), and receptor models (Zong et al., 2016). However, uncertainties arise due to the lack of reliable emission factors, and receptor models require additional aerosol composition data. The radiocarbon source apportionment method is limited by its low temporal resolution, which hinders their ability to capture the dynamic changes in BC sources. In contrast, the Aethalometer model, with its high temporal resolution and rapid analysis, has been widely adopted for quantifying BC derived from liquid fuel (BC<sub>liquid</sub>) and solid fuel (BC<sub>solid</sub>) combustion (Lin et al., 2021; Sandradewi et al., 2008; Helin et al., 2018).

To address the severe air pollution issue, the Chinese government implemented the “China Clean Action Plan” during 2013-2017 and the “Three-Year Action Plan” during 2018-2020. Several studies in recent years have focused on long-term BC mass concentrations in major cities or regions of China to evaluate the impact of emission reduction measures implemented by the Chinese government (Sun et al., 2022a; He et al., 2023). However, while most of these studies document changes in BC concentrations, few have explored the specific contributions of different BC sources. Such an understanding is essential for identifying the drivers behind observed changes and for developing targeted mitigation strategies. Moreover, comprehensive datasets of BC are crucial for a better understanding of BC mass concentration variations and their implications for air quality policy. However, newly established monitoring stations often lack sufficient long-term observations, making it difficult to evaluate historical variations in BC concentrations. This limitation hinders efforts to understand BC dynamics in regions with limited prior monitoring, ultimately complicating the formulation of effective emission reduction policies. Chemical transport models (CTMs), which integrate meteorological conditions and emission inventories, are effective in simulating near-surface BC concentrations over short term periods (Cheng et al., 2019; Zhou et al., 2023). Nonetheless, their computational intensity and time-consuming often limit their application to long-term simulation. In contrast, the prediction of PM or other air pollutants can be efficiently

achieved through statistical models that establish relationships between measured values and various variables, including co-emitted pollutants, air humidity and air temperature. Recently, the historical values of nitrate  $\delta^{15}\text{N}$  and  $\text{PM}_{2.5}$  have been accurately reproduced based on the statistical relationships established between measured variables and other influencing factors (Fan et al., 2023; Zhao et al., 2020; Wu et al., 2024). This method provides a relatively straightforward approach for simulating historical air pollutants and is accurate enough for examining their long-term variations.

The long-term variation of atmospheric aerosol composition can be attributed to both ~~meteorology~~meteorological conditions and emissions. CTMs are one of the often used tools to quantify the impact of meteorology and emission on aerosols, as they consider the physical and chemical process that air pollutants undergo during their time in the atmosphere (Li et al., 2023; Zhang et al., 2019; Du et al., 2022). However, the accuracy of CTMs is often constrained by their initial conditions and uncertainty in emission inventory as well as models' underlying assumptions. Another commonly used method for separating the influences meteorology and emissions on target atmospheric pollutants is the Kolmogorov-Zurbenko (KZ) filter. For example, Sun et al. (2022b) found that meteorological contribution to the  $\text{PM}_{2.5}$  trend presented a distinct spatial pattern over the Twain-Hu Basin, with northern positive rates up to 61% and southern negative rates down to -25%. Chen et al. (2019) reported that anthropogenic emissions contributed to 80% of reduction in  $\text{PM}_{2.5}$  in Beijing from 2013 to 2017. Compared to CTMs, the KZ filter is easier to operate and is suitable for ~~any long~~long-term datasets of air pollutants, making it a practical tool for analyzing trends in atmospheric pollutants.

In the present study, a three-year BC mass concentration measurement was conducted to clarify BC characteristics and quantify contributions from different sources. The measured BC at two wavelengths (370nm and 880 nm) ~~were used in then incorporated into~~ random forest model to establish the nonlinear relationships with predictor variables, such as air pollutants and meteorological factors. Historical BC concentrations at the two wavelengths were reconstructed from 2014-2021 using the trained models to investigate the long-term temporal variation of BC and sources, with a focus on the two distinct emission reduction periods: the "China Clean Action Plan" and the

“Three-Year Action Plan”. Finally, the impacts of meteorology and emissions on the long-term trend of BC were quantified to provide deeper insights into the factors driving its historical changes.

## 2. Data and Methods

### 2.1 Sampling site and Data

Nanjing is located eastern part of China, is vital industrial and economic center. The sampling instrument used for monitoring BC mass concentration was positioned on the rooftop of a seven-story building at the campus of Nanjing Information Science and Technology (NUSIT, 32.21°N, 118.72°E, Figure S1 in Supporting Information), Nanjing, China. The sampling site represents a typical urban ~~atmospheric environment~~atmosphere, encircled by local roads with an expressway approximately 1 km away. Moreover, a steel manufacturing plant and a petroleum chemical factory were about 5 km away from the sampling site. Traffic and industrial emissions are the primary sources of air pollution at the sampling site. Nanjing experiences four dominant seasons each year: winter (December-February), spring (March-May), summer (June-August), and autumn (September-November).

A dual-spot Aethalometer (AE33, Magee Scientific) was used to measure BC mass concentration from January 2019 to December 2021. The flow rate of AE33 was set to 5 L min<sup>-1</sup> and the inlet cut-off size was 2.5 μm throughout the entire period. In brief, aerosol particles were collected on a filter tape automatically, and light attenuations (ATN) were measured at seven distinct spectral regions (370, 470, 520, 590, 660, 880, 950 nm) with a time resolution of 1 min. The ATNs were then converted to BC mass concentrations with seven different mass absorption cross sections (18.47, 14.54, 13.14, 11.58, 10.35, 7.77, 7.19 m<sup>2</sup> g<sup>-1</sup>). In this study the BC concentration calculated by 880 nm spectral region was used, as BC is the predominant absorber at this wavelength (Drinovec et al., 2015). The BC data was missing since instrument maintenance from 13<sup>th</sup> July to 31<sup>st</sup>, 2020, and from July 23<sup>rd</sup> to September 26<sup>th</sup>, 2021. Hourly averaged concentrations of PM<sub>2.5</sub>, CO, SO<sub>2</sub> and NO<sub>2</sub> were obtained from the China National Air Quality Monitoring Station, located approximately 10 km from the sampling site. Hourly resolution meteorological data, including temperature (T), relative humidity (RH), wind speed (WS), wind direction (WD) and boundary layer height (BLH), were sourced from the ERA5 reanalysis datasets provided by

the European Centre for Medium-Range Weather Forecasts (ECMWF).

## 2.2 Aethalometer measurements and source apportionment

The absorption Ångström exponent (AAE) describes the spectral dependence of BC and is determined through a power-law fit between light absorption ( $b_{abs}(\lambda)$ ) and seven wavelengths, the equation can be written as:

$$b_{abs}(\lambda) = \beta \cdot \lambda^{-AAE} \quad (1)$$

where  $\beta$  is a constant dependent on aerosol mass concentration and size distribution. Subsequently, the Aethalometer model is utilized to quantify the contribution of liquid and solid fuels to BC. The model assumes that ambient BC primarily originates from liquid fuel and solid fuel combustion, with BC from two distinct combustion sources having differing light absorption spectra. Hence, the total light absorption at 880 nm is attributed to liquid fuel-generated BC ( $BC_{liquid}$ ) and solid fuel-derived BC ( $BC_{solid}$ ). The relationships between  $b_{abs}(\lambda)$ ,  $\lambda$  and AAE can thus be expressed as follows:

$$\frac{b_{abs}(\lambda_1)_{liquid}}{b_{abs}(\lambda_2)_{liquid}} = \left(\frac{\lambda_1}{\lambda_2}\right)^{-AAE_{liquid}} \quad (2)$$

$$\frac{b_{abs}(\lambda_1)_{solid}}{b_{abs}(\lambda_2)_{solid}} = \left(\frac{\lambda_1}{\lambda_2}\right)^{-AAE_{solid}} \quad (3)$$

$$b_{abs}(\lambda) = b_{abs}(\lambda)_{liquid} + b_{abs}(\lambda)_{solid} \quad (4)$$

where  $AAE_{liquid}$  and  $AAE_{solid}$  are the AAE values of BC from liquid and solid fuel combustion,  $\lambda_1$  and  $\lambda_2$  are of different wavelengths. The selection of wavelengths (~~370-880 nm and 470-950 nm~~) can impact source apportionment results. Considering brown carbon exhibits strong absorption at 370 nm and that BC source apportionments at 470 and 950 nm are more consistent with using radiocarbon techniques (Zotter et al., 2017), the absorptions at 470 and 950 nm were ultimately chosen for source apportionment. Here, the 470 nm and 950 nm were chosen as they were recommended in the Aethalometer model (Drinovec et al., 2015). Moreover, source apportionment result of the Aethalometer model highly depend on selection of AAE pairs, with the value of AAE being determined by the type of biomass, combustion processes and long-ranged transport condition (Gul et al., 2021). The effect of different AAE

values on the results discussed in section 3.3.2 (source diagnostic tracer). Combining the equations (2) ~ (4), we can obtain the contribution of solid fuel combustion (BB%) to total BC:

$$BB(\%) = \frac{b_{abs}(\lambda_2)_{solid}}{b_{abs}(\lambda_2)} \times 100\% \quad (5)$$

Then, the  $BC_{solid}$  can be obtained as follows:

$$BC_{solid} = BC(880nm) \times BB(\%) \quad (6)$$

Finally, the  $BC_{liquid}$  can be calculated as:

$$BC_{liquid} = BC(880nm) - BC_{solid} \quad (7)$$

### 2.3 Building random forest model and tuning hyper parameters

The random forest (RF) machine learning algorithm is utilized to reproduce historical time series data of BC. RF, a model comprising hundreds of decision trees, splits data based on the informative features to avoid overfitting. However, decision trees can easily overfit, resulting in inaccurate model predictions. RF selects random samples of observation data for each decision tree, a common problem in decision trees, by using random data samples for each tree. The RF algorithm has been effectively applied in atmospheric chemistry studies regions for predicting  $PM_{10}$  and organic carbon (OC) in different regions (Grange et al., 2018; Qin et al., 2022), demonstrating its strong predictive capabilities.

In this work, the BC concentrations from 2019-2021 (target variables) along with pollutants gases ( $SO_2$ , CO,  $NO_2$ ) and meteorology factors such as T, RH, WS, WD and BLH (independent variables) were inputted into the RF models. Although precipitation plays a key role in the wet scavenging of BC (Liu et al., 2020b; Ding et al., 2024), its inclusion in the RF model showed minimal contribution to predicting BC concentrations. The relatively lower contribution of precipitation can be attributed to the fact that its impact on BC typically appears over a longer time scale, while the model input is based on hourly precipitation, which may not adequately capture the cumulative effect. Furthermore, including precipitation in the model had no significant impact on its predictive performance; therefore, precipitation was excluded from the RF model. To train the RF model and assess the predictive ability of three RF models, the whole dataset was randomly divided into training and

testing sets in a ratio of 8:2. Given that observational data followed a log-normal distribution, most of the data are concentrated within a specific interval, resulting ~~ina~~ poor model performance on extreme values. To ensure a good model performance, some data augmentation methods were used to achieve data balance by interpolating or duplicating ~~the~~ less frequent data, ensuring that the overall dataset ~~set roughly follows essentially conforms to a~~ uniform distribution (Hong et al., 2023; Huang et al., 2023). To obtain optimal hyperparameter values, 10-fold cross-validation was utilized on the training sets, dividing the datasets into 10 subsamples, ~~with where~~ 9 subsamples ~~were~~ used for training ~~data\_~~ and 1 subsample for testing. The model performance of the 10-fold cross-validation is shown in Figure S2 and Figure S3. The results indicate that the RF-predicted BC at 880 nm correlated well with the observations, with an average  $R^2$  of 0.97, MAE varying from 0.29 to 0.30, and RMSE ranging from 0.47 to 0.54. For BC at 370 nm, the cross-validation results were also robust, with a mean  $R^2$  of 0.98, MAE values ranging from 0.37 to 0.41, and RMSE values varying from 0.57 to 0.74, confirming the stability and reliability of the model. Optimized parameters for the models were chosen based on the best mean squared error (~~MAE~~~~MSE~~), root mean squared error (RMSE) and R square ( $R^2$ ) values obtained from the 10-fold cross-validation. Finally, the test sets were ~~then~~ input into ~~the~~ models and ~~their predictive abilities were evaluated~~~~evaluated model predicting abilities~~. The optimized parameters selected for the models are presented in Table 1. The BC monitored by Aethalometer at 370 nm wavelength was also predicted by RF models with ~~the~~ same independent variables to explore ~~the~~ changes in BC sources in Nanjing from 2014 to 2021.

Table 1 Parameters used in random forest models

Parameters	Range	Optimal value	
		BC_880nm	BC_370nm
n_estimators	[100-350]	95	100
max_depth	[10-30]	25	23
max_feature	[auto, sqrt, log2]	sqrt	sqrt
criterion	[friedman_mse, poisson, squared_error, absolute_error]	absolute_error	absolute_error

## 2.4 Kolmogorov-Zurbenko filter

The KZ filter, a method for decomposing time series data into distinct

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components, is widely utilized in air pollutants studies to differentiate the influence of meteorology and emissions strength on the long-term trend of air pollutants (Wise and Comrie, 2005; Yin et al., 2019; Chen et al., 2019). Since the original concentration of BC follows a log-normal distribution, the data ( $\chi$ ) were transformed into natural logarithmic form ( $X = \ln(\chi)$ ) before applying the KZ filter, allowing the data follow normally distribution (Zheng et al., 2023). The KZ filter assumes that the original time series of a certain air pollutant comprises short-term, seasonal, and long-term components. Thus, the original time series of BC  $[X(t)]$  can be expressed as:

$$X(t) = E(t) + S(t) + W(t) \quad (8)$$

Here,  $E(t)$  represents the long-term component, mainly affected by climate, long-range transport of air pollutants and emission intensity changes due to shifts in energy structure.  $S(t)$  is the seasonal component, attributed to variations in ~~meteorology~~meteorological conditions and emission intensity across different seasons.  $W(t)$  is the short-term component driven by weather patterns and fluctuations in local-scale emissions.

The KZ filter is a low-pass filter characterized by a window length ( $m$ ) and iterations ( $p$ ). Different ' $m$ ' and ' $p$ ' values can be used to separate each component of an air pollutant.  $KZ_{(15,5)}$  can eliminate cycles that are less than 33 days and obtain the baseline component of the original data. The  $W(t)$  can be easily obtained by subtracting  $X_{BL}(t)$  from  $X(t)$ . Therefore, the long-term, short-term and seasonal components can be extracted as follows:

$$X_{BL}(t) = KZ_{(15,5)}[X(t)] = X(t) - W(t) \quad (9)$$

The  $X_{BL}$  is assumed to consist of its repeated climatological seasonal cycle ( $X_{BL}^{clm}$ ) and residuals ( $\varepsilon$ ).

$$X_{BL} = X_{BL}^{clm}(t) + \varepsilon \quad (10)$$

The  $X_{BL}^{clm}$  contains most of the seasonality in  $X_{BL}$ , while  $\varepsilon$  consist of  $E(t)$  along with minor seasonal variability unconsidered in  $X_{BL}^{clm}$ . Applying a KZ filter with a window length of 365 and an iteration of 3 ( $KZ_{(365,3)}$ ) to  $\varepsilon$ , the  $E(t)$  and  $S(t)$  can be obtained:

$$E(t) = KZ_{(365,3)}[\varepsilon(t)] = X_{BL}(t) - S(t) \quad (11)$$

Due to emissions and meteorological condition changes can be both influence

on long-term trend of BC, the long-term component can be assumed to consist of emission-related ( $E_{LT}^{emi}$ ) and meteorology-related ( $E_{LT}^{met}$ ) components. Thus, the  $X_{BL}$  can be expressed as follows:

$$X_{BL}(t) = S(t) + E_{LT}^{emi} + E_{LT}^{met} \quad (12)$$

To derive the  $E_{LT}^{emi}$  in Eq. (9), the multiple linear regression model was ~~conducted-applied to the~~ baseline component of BC ~~and the along-with~~ baseline components of six meteorological factors ~~including such as~~ T, RH, WS, WD, BLH, surface pressure (SP). Then, the formulas can be written as follows:

$$X_{BL}(t) = a_0 + \sum_i a_i MET_{BL} + \varepsilon' \quad (13)$$

Where  $a_0$  is the intercepts of multiple linear regression model outcomes.  $MET_{BL}$  denotes the baseline components of meteorology factors which are obtained by KZ<sub>(15,5)</sub>.  $\varepsilon'$  is the sum of emission-related long-term variability and some minor seasonal variability unexplained by the multiple linear regression model. Therefore, the  $E_{LT}^{emi}$  can be extracted by applying KZ<sub>(365,3)</sub> to  $\varepsilon'$ . Then, the  $E_{LT}^{met}$  can be obtained by subtracting  $E_{LT}^{emi}$  from long-term component ( $E(t)$ ) (Seo et al., 2018).

$$E_{LT}^{emi}(t) = KZ_{(365,3)}[\varepsilon'(t)] = E(t) - E_{LT}^{met}(t) \quad (14)$$

### 3 Results and Discussion

#### 3.1 General characteristic of BC in Nanjing

Figure 1(a) shows the hourly (dots) and daily (line) mean variation of BC, PM<sub>2.5</sub> mass concentrations, and the proportion of BC to PM<sub>2.5</sub> in Nanjing. A 400-fold variation was found in hourly BC concentration, which ranged from 0.04 to 16.05  $\mu\text{g m}^{-3}$ . Daily BC levels fluctuated much less than hourly concentration, from the lowest value of 0.40  $\mu\text{g m}^{-3}$  (15<sup>th</sup> May 2021) to the highest value of 9.58  $\mu\text{g m}^{-3}$  (24<sup>th</sup> January 2019). The average BC level during the whole sampling period was  $2.52 \pm 1.62 \mu\text{g m}^{-3}$ . Figure 1(b) illustrates the frequency distributions of hourly BC concentrations during different sampling periods. Over three years, BC distributions shifted toward lower values. In 2019, the most frequent BC concentrations were observed in 2 to 3  $\mu\text{g m}^{-3}$  range, accounting for 26.2% of samples. In 2020 and 2021, the most BC levels were found in the 1 to 2  $\mu\text{g m}^{-3}$  range, with frequencies of 38.0%

322 and 41.9%, respectively. BC levels exceeding  $7 \mu\text{g m}^{-3}$  accounted for 5.1%,  
323 0.8% and 0.01% in three years.  $\text{PM}_{2.5}$  showed a similar variation to BC, with  
324 a significant correlation ( $r = 0.74$ ,  $p < 0.05$ ) observed between daily  $\text{PM}_{2.5}$  and  
325 BC concentrations during sampling period. The hourly ratio of BC to  $\text{PM}_{2.5}$   
326 varied from 0.6 to 26%, with an annual average of 10%. The hourly ratio of  
327 BC to  $\text{PM}_{2.5}$  varied from 0.1 to 99%, with an annual mean of 12%. Compared  
328 to a previous study conducted in the Yangtze River Delta, the BC/ $\text{PM}_{2.5}$  ratio  
329 in Nanjing was much higher than Shanghai (5.6%) (Wei et al., 2020),  
330 implying a greater importance of primary emissions in Nanjing.

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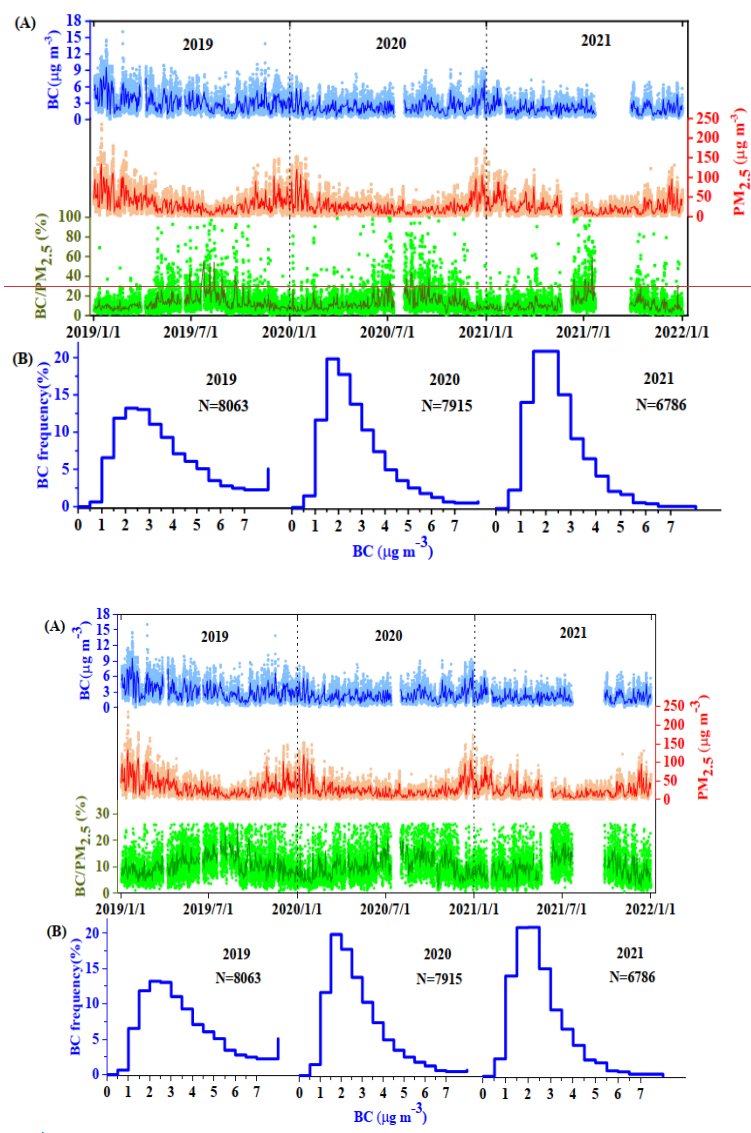


Figure 1 (A) Hourly (dots) and daily (line) concentration of BC, PM<sub>2.5</sub> and BC/PM<sub>2.5</sub> and (b) frequency of BC for each year during 2019, 2020 and 2021. N represents number of hourly BC concentration for one year

Table 2 listed long-time (equal or more than one year) BC mass concentrations monitored by optical method in Nanjing and other sampling sites around all-over the world from previous studies. Nanjing's three-year

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average BC level was the lowest among previous studies performed in Nanjing, indicating that primary emissions in Nanjing are decreasing year by year. While BC levels in other southern Chinese cities like Shanghai and Wuhan were at least 12.0% lower than those in Nanjing, they were at least 13.9% higher in northern Chinese cities like Beijing and Baoji. Additionally, BC concentrations in Nanjing were five times higher than in the baseline station Mt. Waliguan.

Table 2 Comparison of BC mass concentration in Nanjing with other sites

Location	Site type	Instrument	Study period (yyyy-mm)	BC ( $\mu\text{g m}^{-3}$ )	Reference
Nanjing, China	urban	AE33	2019.01- 2021.12	$2.52 \pm 1.62$	Present study
Nanjing, China	suburban	AE31	2012.01- 2012.12	$4.2 \pm 2.6$	(Zhuang et al., 2014)
Nanjing, China	urban	MAAP <sup>2</sup>	2017.12- 2018.11	$2.8 \pm 2.0$	(Zhang et al., 2020)
Mt. Waliguan, China	baseline	AE31	2008.01- 2017.12	$0.45 \pm 0.37$	(Dai et al., 2021)
Beijing, China	urban	AE31	2016.01- 2016.12	$3.4 \pm 3.0$	(Li et al., 2022)
Baoji, China	urban	AE31	2015.01- 2015.12	$2.9 \pm 1.7$	(Zhou et al., 2018)
Xianghe, China	rural	AE31	2013.04- 2015.03	$5.4 \pm 4.4$	(Ran et al., 2016)
Shanghai, China	urban	AE33	2017.01- 2017.12	$2.2 \pm 1.3$	(Wei et al., 2020)
Wuhan, China	urban	AE33	2013.06- 2018.12	$1.4 \pm 1.2$	(Zheng et al., 2020)
Panchgaon, India	suburban	AE42	2015.04- 2016.03	$7.2 \pm 0.3$	(Dumka et al., 2019)

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Table 2 Comparison of BC mass concentration in Nanjing with other sites

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Location	Site type	Instrument	Study period (yyyy.mm)	BC ( $\mu\text{g m}^{-3}$ )	Reference
Nanjing, China	urban	AE33	2019.01- 2021.12	$2.52 \pm 1.62$	Present study
Nanjing, China	suburban	AE31	2012.01- 2012.12	$4.2 \pm 2.6$	(Zhuang et al., 2014)
Nanjing, China	urban	MAAP*	2017.12- 2018.11	$2.8 \pm 2.0$	(Zhang et al., 2020)
Mt. Waliguan, China	baseline	AE31	2008.01- 2017.12	$0.45 \pm 0.37$	(Dai et al., 2021)
Beijing, China	urban	AE31	2016.01- 2016.12	$3.4 \pm 3.0$	(Li et al., 2022)
Benxi, China	urban	AE31	2017.01- 2017.12	$2.9 \pm 2.3$	(Ding et al., 2024)
Baoji, China	urban	AE31	2015.01- 2015.12	$2.9 \pm 1.7$	(Zhou et al., 2018)
Xianghe, China	rural	AE31	2013.04- 2015.03	$5.4 \pm 4.4$	(Ran et al., 2016)
Shanghai, China	urban	AE33	2017.01- 2017.12	$2.2 \pm 1.3$	(Wei et al., 2020)
Wuhan, China	urban	AE33	2013.06- 2018.12	$1.4 \pm 1.2$	(Zheng et al., 2020)
Nanning, China	urban	AE31	2017.01- 2017.12	$1.0 \pm 0.5$	(Ding et al., 2023)
Panchgaon, India	suburban	AE42	2015.04- 2016.03	$7.2 \pm 0.3$	(Dumka et al., 2019)

352 \*MAAP: Multi-angle absorption photometer

353 **3.2 Temporal variation of BC mass concentrations in Nanjing**354 **3.2.1 Interannual, seasonal, and monthly variations**

355 The annual, seasonal, and monthly variations in BC mass concentrations  
356 are illustrated in Figure 2. The annual average BC mass concentration in 2019  
357 ( $3.2 \pm 2.0 \mu\text{g m}^{-3}$ ) was higher than in 2020 ( $2.3 \pm 1.4 \mu\text{g m}^{-3}$ ) and 2021 ( $2.0 \pm$   
358  $1.1 \mu\text{g m}^{-3}$ ). A significant reduction of 28.1% in BC mass concentration was  
359 observed from 2019 to 2020, much higher than the reduction (13.0%)  
360 observed during 2020-2021. ~~Similar~~ Consistent with BC,  $\text{PM}_{2.5}$   
361 concentrations reduced more sharply during 2019-2020 (24.1%) than in 2020-  
362 2021 (6.2%). To prevent the spread of COVID-19, a series of lockdown  
363 measures were imposed in China in late January 2020, resulting in a

remarkable decrease in concentrations of air pollutants (Bauwens et al., 2020; Li et al., 2020; Wang et al., 2020).

Seasonally, the highest averaged BC level over three years occurred in winter ( $2.9 \pm 2.0 \mu\text{g m}^{-3}$ ), with no obvious variation identified in spring ( $2.5 \pm 1.5 \mu\text{g m}^{-3}$ ), summer ( $2.4 \pm 1.4 \mu\text{g m}^{-3}$ ) or autumn ( $2.3 \pm 1.5 \mu\text{g m}^{-3}$ ), suggesting a generally locally dominated source of BC emissions. The results of bivariate polar plots showed the highest BC levels in low wind speed ( $WS < 4 \text{ m s}^{-1}$ ) in all seasons (Figure S2S4), further indicating that local sources are the predominant contributors to atmospheric BC in Nanjing. High BC mass concentrations in winter are mainly caused by enhanced emissions during due to cold weather and deteriorating meteorological dispersion conditions underresulting from low temperatures. A similar seasonal pattern was also found in previous studies conducted in other Yangtze River Delta cities like Shanghai and Hefei (Chang et al., 2017; Zhang et al., 2015). Seasonal average concentrations of BC varied from 1.83 (autumn of 2021) to  $3.40 \mu\text{g m}^{-3}$  (spring of 2019) across different years. In 2019, BC concentration in spring ( $3.4 \pm 1.9 \mu\text{g m}^{-3}$ ) was higher than in winter ( $2.6 \pm 1.5 \mu\text{g m}^{-3}$ ), likely due to decreased human activities during the lockdown period. In contrast to the spring of 2019, higher levels of BC were found in winter during 2020 and 2021.

The monthly mean concentrations of BC showed relatively large variation, ranging from 1.6 (November of 2021) to  $5.1 \mu\text{g m}^{-3}$  (January of 2019). The highest monthly average BC levels were found in January ( $3.5 \pm 2.3 \mu\text{g m}^{-3}$ ), followed by December ( $2.9 \pm 1.7 \mu\text{g m}^{-3}$ ). The monthly variation pattern of BC is consistent with previous studies in Nanjing, which reported the highest BC levels in January and December (Zhang et al., 2020; Xiao et al., 2020). Additionally, the BC concentration in January was 37% higher than in August ( $2.2 \pm 1.1 \mu\text{g m}^{-3}$ ), attributed to relatively lower emission strength and larger precipitation in summer in Nanjing.

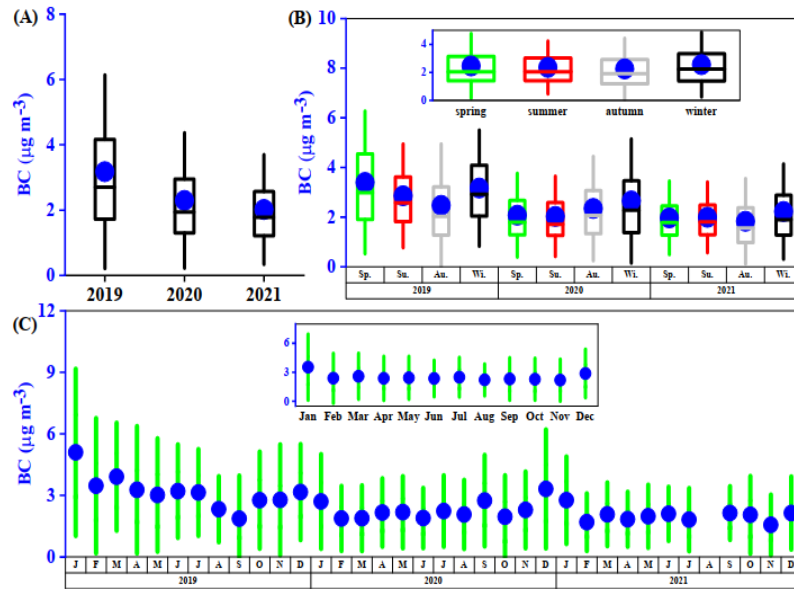


Figure 2 (A) Interannual, (B) seasonal, and (C) monthly variations of BC. The relatively small figures in (B) and (C) are overall average seasonal and monthly values. The blue dots represent average BC values. The rectangles in (A) and (B) represent the 25% and 75% quantiles. The vertical lines in (A), (B), and (C) represent 10% and 90% quantiles.

### 3.2.2 Diurnal variation of BC

The diurnal variations of BC mass concentrations for each year are plotted in Figure 3(a). The diurnal cycles of BC, like those in previous studies conducted in Nanjing (Xiao et al., 2020; Zhang et al., 2020; Zhuang et al., 2014), exhibited bimodal distributions in whole study period-selected three years. BC mass concentrations remained relatively flat at midnight and then increased from 3:00 (local time, LT) to 7:00 LT. After reaching the highest values at 7:00 LT, BC levels decreased, reaching the lowest values at 16:00 LT, then increased again, and maintained higher values in the evening. The bimodal diurnal patterns of BC were attributed to the intensity of emissions and variations in meteorological conditions (Cao et al., 2009). The morning peak of BC was mainly caused by vehicle emissions during the traffic rush hour, as indicated by the similar diurnal cycles of CO and NO<sub>2</sub> also showing similar diurnal cycles to BC (Figure S3S5). After the morning peak, the boundary layer height developed and WS increased, increasing atmospheric



dilution capability and lowering the BC levels. After 14:00 LT, due to a decrease in boundary layer height and WS, BC was gathered on the surface layer, resulting in higher BC loading from evening to midnight. The peak BC concentration in 2019 was 29%, 38% higher than in 2020 and 2021 respectively, indicating air quality in Nanjing is getting better due to the strict implementation of air pollution control plans. Additionally, the impact of COVID-19 lockdown measures during selected years ~~have~~has also contributed to the reduction in BC concentrations.

To further explore the impacts of human activities on ambient BC concentrations, the diurnal variation in BC was separately investigated for weekdays and weekends. As shown in Figure 3(b), the diurnal patterns of BC on both weekdays and weekends exhibited bimodal distributions, with similar peak times at morning vehicle rush hours (7:00 LT), suggesting that local emission sources of BC in northern Nanjing do not differ significantly between weekdays and weekends.

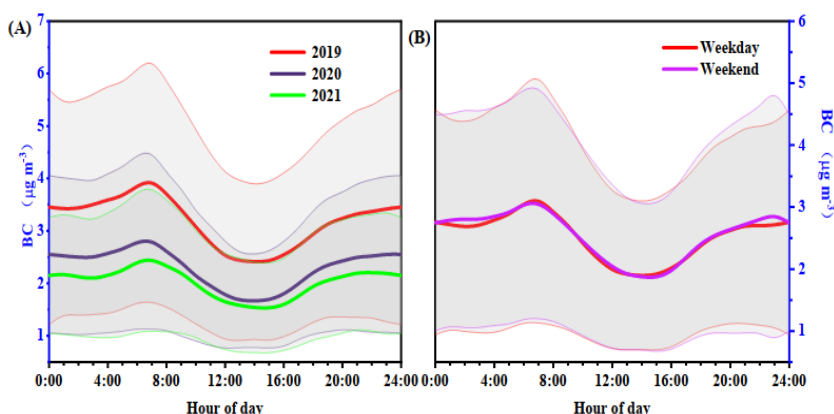


Figure 3 Diurnal variation of BC (A) for each year during 2019-2020 and (B) during weekdays and weekends. Shaded areas represent the standard deviation at each time of day.

### 3.3 Source apportionment of BC

#### 3.3.1 Source apportionment of BC by Aethalometer model

The AAE values, calculated by power-law fit between light absorbance and seven wavelengths, followed a lognormal distribution in ~~selected~~ three years, with an hourly variation ranging from 0.71 to 2.59 (Figure S4S6). The three-year average AAE value was  $1.25 \pm 0.14$ , with the highest value of 1.28

$\pm 0.13$  in 2021, ~~slightly which was 4.0% and 4.3% higher than those by 4.0% and 4.3%~~ in 2019 and 2020, respectively, indicating similar BC emission sources during the sampling period. Seasonally, the lowest AAE value of  $1.13 \pm 0.14$  was found in summer, while the highest AAE value of  $1.32 \pm 0.11$  appeared in winter. The monthly variation of AAE showed a valley in the summer months (particularly in July) and high values in winter (December), suggesting that Nanjing was predominantly influenced by traffic-related liquid fuel burning in summer, and coal-related combustion in winter.

To quantify the relative contribution of liquid and solid fuel combustion to BC concentration, the Aethalometer model, as mentioned in section 2.2, was applied. The Aethalometer model was initially used for BC source apportionment ~~BC~~ in Europe, where fossil fuel and biomass burning emissions were two major sources. However, China's energy structure differs from Europe's, with coal combustion still playing a significant role. Liu et al. (2018) summarized AAE values from different coal burning sources in China, finding that AAE values of coal burning were close to those of biomass combustion. Thus, AAE values of 1.0 for liquid fuel ( $AAE_{\text{liquid}}$ ) and 2.0 for solid fuel ( $AAE_{\text{solid}}$ ) were selected for this work. The same AAE pairs were also used for source apportionment of BC in a previous study carried out in Nanjing (Lin et al., 2021). Figure 4 shows the time series of absolute BC concentrations derived from liquid and solid fuel combustion, along with a depiction of their relative contributions to BC in different seasons for each year. The three-year average concentration of  $BC_{\text{liquid}}$  was  $2.0 \pm 0.5 \mu\text{g m}^{-3}$ , approximately four times that of  $BC_{\text{solid}}$ . Liquid fuel combustion is the dominant source of BC in Nanjing, with 79% of BC generated from the consumption of liquid fuel. Interannually, the contributions of liquid fuel ranged from 76% to 81%, ~~results that are which is~~ comparable to other cities in China such as Wuhan (81%) and Shanghai (88-94%) (Zheng et al., 2020; Wei et al., 2020). The contribution of liquid fuel burning to BC was highest in summer (85%), in contrast to the lowest ~~value appears observed~~ in winter (72%), much higher than that of Beijing (35.7%) (Li et al., 2022). Beijing is heavily affected by heating activities in winter, such as power plants and residential heating using coal and biomass, resulting in higher solid fuel emissions. The seasonal average contribution of BB varied by 5% ~~(from 19% to 24%)~~, influenced by coal-fired emissions from surrounding factories and

long-range transport of domestic cooking emissions in rural areas in the Yangtze River Delta region (Wei et al., 2020).

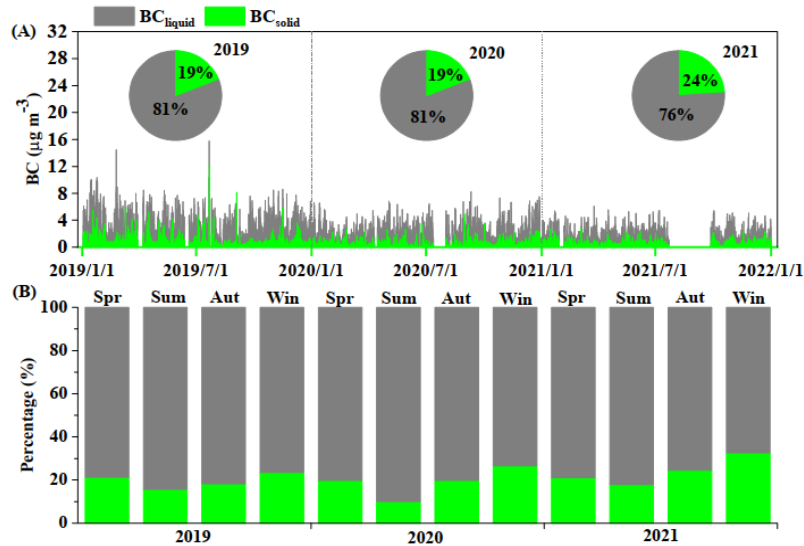


Figure 4 (A) Hourly variation of  $BC_{liquid}$  and  $BC_{solid}$ , and (B) their relative contribution to BC. The pie charts in (A) are annual average relative contribution of  $BC_{liquid}$  and  $BC_{solid}$  to BC.

It is important to highlight that the results of the Aethalometer model are highly dependent on the determination of AAE values, with  $AAE_{liquid}$  ranging from 0.8 to 1.1, and  $AAE_{solid}$  values ranging from 1.8 to 2.2, as widely used in this model (Helin et al., 2018; Dumka et al., 2019; Fuller et al., 2014). To estimate the uncertainty of the Aethalometer model, we calculated source apportionment results using different AAE pairs, the results are shown in Table S1. An uncertainty estimation of 11.0% for the  $BC_{liquid}$  was found. Aethalometer model results in this work. Although there are uncertainties in source apportionment results, our results indicate that liquid fuel combustion is the main source of BC in Nanjing during the study period.

### 3.3.2 Source diagnostic tracers

The ratios of  $BC/PM_{2.5}$  and  $BC/CO$  (carbon monoxide, CO) have been utilized to estimate emission sources in previous studies since they can vary when emitted from different sources (Chow et al., 2011; Zhang et al., 2009). The proportion of BC in  $PM_{2.5}$  is higher in traffic sources than that from other

sources (such as residential coal combustion and forest fire). As listed in Table S2, higher BC/PM<sub>2.5</sub> ratios were found in heavy-duty diesel (33-74%) and light-duty diesel (62-64%), followed by those from agricultural burning (6-13%) and forest fire (3%) (Table S2) (Chow et al., 2011). The highest ratio of BC/PM<sub>2.5</sub> appeared in autumn time (20%) while the lowest was observed in winter (8%), suggesting an increase in biomass and coal burning during winter. Previous studies reported that the ratio of BC/CO was lower in traffic emissions, as compared to the ratios from industry, power plant, residential and traffic emissions were 0.72%, 1.77%, 3.71%, and 0.52%, respectively (Table S2) (Zhang et al., 2009). The average ratios of BC/CO in spring, summer, autumn, and winter were 0.39%, 0.49%, 0.49%, and 0.31%, respectively, further suggesting that the traffic source was dominant in Nanjing (Table 3).

To further support the source apportionment results of BC, a correlation analysis was conducted between BC and trace gases such as SO<sub>2</sub>, and NO<sub>2</sub>, mainly derived from coal combustion, and vehicles emissions respectively. As listed in Table 3, the correlations of BC with NO<sub>2</sub> (0.54-0.67) were higher than the correlations of BC with SO<sub>2</sub> (0.16-0.59), further indicating the dominance of traffic emission in Nanjing.

Table 3 Mass ratios and correlations between BC and other pollutants

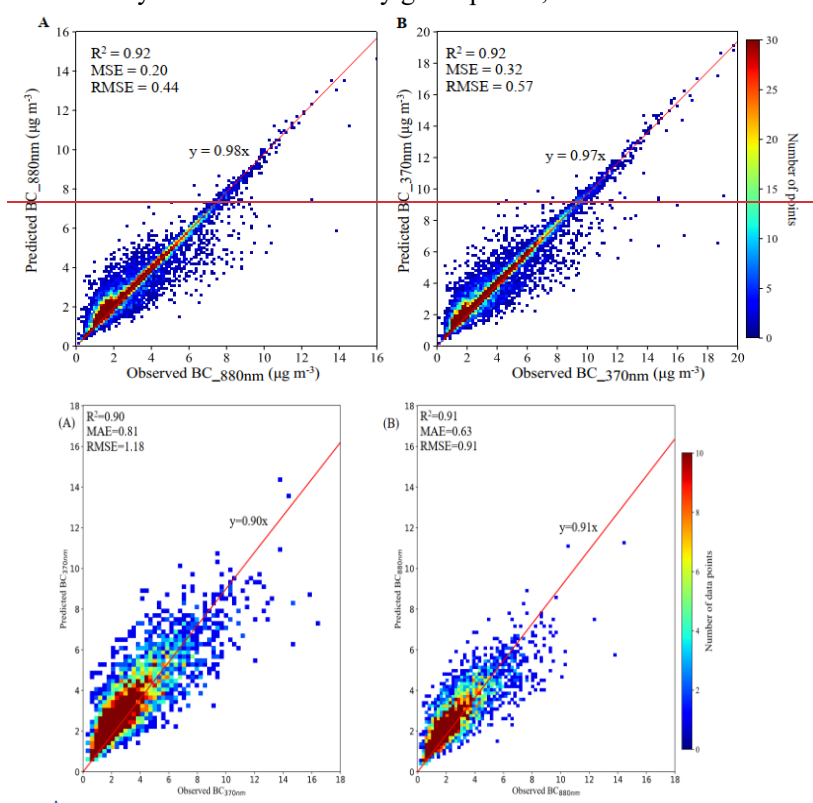
		Spring	Summer	Autumn	Winter	Annual
Mass ratios (%)	BC/PM <sub>2.5</sub>	12.93	10.63	19.64	7.92	12.78
	BC/CO	0.39	0.49	0.49	0.31	0.42
Correlation	BC-SO <sub>2</sub>	0.49	0.16	0.32	0.59	0.38
	BC-NO <sub>2</sub>	0.66	0.61	0.54	0.67	0.60

### 3.4 Long-term trend of BC

#### 3.4.1 Black carbon simulation results

After training the RF models with optimal hyperparameters, the models for BC at 880 nm and 370 nm were evaluated on test sets to assess predictive performance. The density scatter plot as displayed in Figure 5 ~~showed~~ shows the relationship between the test set and the RF model predictions. ~~that the RF model accurately reproduced hourly BC concentrations at both wavelengths. The RF model explained over 90% of the variation in BC concentrations, achieving an R<sup>2</sup> of 0.92 between the~~

monitored and predicted results at both 370 and 880 nm. At the 370 nm wavelength, the RMSE was  $0.57 \mu\text{g m}^{-3}$ , which was 22.8% higher than the RMSE at 880 nm, likely due to the higher observed BC levels at this wavelength. The results showed that the RF model explained over 90% of the variation in BC concentrations, with  $R^2$  values of 0.90 and 0.91 between the monitored and predicted results at both 370 and 880 nm, respectively. The RF model's predictions for the test dataset were close to those for the training dataset, indicating consistent performance across both datasets and demonstrating its stability and reliability. In addition to evaluating the RF model using the test set, further validation was conducted using Tracking Air Pollution in China (TAP) ( $10 \text{ km} \times 10 \text{ km}$ , <http://tapdata.org.cn>) data. The predicted BC values at 880 nm from the RF model showed good agreement with the TAP dataset, with an  $R^2$  of 0.72 (Figure S5S7). Using the trained model and available predictors, hourly BC concentration at the sampling site can be accurately reconstructed for any given period, consistent with AE33.



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Figure 5 Density scatter plots of hourly observed and modeled BC at (a) 880-370 nm and (b) 370-880 nm from the test dataset

After training the RF models with input data, Shapley Additive exPlanations (SHAP) values were used to assess the importance of each predictors on model outcomes (Lundberg and Lee, 2017). Figure S6-S8 presented the ranked average SHAP values for each predictor for BC at the two wavelengths. NO<sub>2</sub>, BLH and SO<sub>2</sub> were identified as having the greatest impact on model's prediction. As with Similar to BC, NO<sub>2</sub> and SO<sub>2</sub> are primarily emitted from incomplete combustion processes involving fossil fuels (Lee et al., 2017; Yao et al., 2002). As a result, BC, NO<sub>2</sub> and SO<sub>2</sub> are often co-emitted by factories or traffic near the sampling site. BLH determines the diffusion capacity of the atmosphere; a lower BLH means stronger atmospheric stability, resulting in increased BC levels in the surface air. Unlike BLH, the contribution of other meteorology predictors such as T, RH, WS and WD, were relatively low compared to pollutant gases. One possible reason for this is meteorological condition changes may not have an immediate effect on atmospheric BC levels; instead, there may be a certain lag in their effects.

### 3.4.2 Long-term temporal variation of BC

Meteorological data and air pollutants concentrations were used in the trained RF model to estimate BC concentrations at 370 and 880 nm from 2014 to 2021. The Aethalometer Model, using AAE of 1 and 2, was then applied to the simulated BC to explore the long-term temporal variation of source-specific BC. It is important to highlight that the results of the Aethalometer model are highly dependent on the determination of AAE values, with AAE<sub>liquid</sub> ranges between 0.8 to 1.1, and AAE<sub>solid</sub> ranges between 1.8 to 2.2, as widely used in this model (Helin et al., 2018; Dumka et al., 2019; Fuller et al., 2014; Jing et al., 2019). To assess the model's uncertainty, source apportionment was conducted using various AAE pairs (Figure S9). The results revealed that liquid fuel remained a dominant source of BC even when different AAE paired values were used, with the pattern of source apportionment results consistent across different AAE combinations. The AAE<sub>liquid</sub> = 1 and AAE<sub>solid</sub> = 2 were used in this study, as the same combination of AAE values were utilized in Nanjing and other sites in China (Ding et al., 2024; Liu et al., 2018; Lin et al., 2021). Additionally, the

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uncertainty of source apportionment was estimated based on the relative differences between results obtained with other AAE values and those set to 1 and 2. As a result, the uncertainty of the  $BC_{\text{liquid}}$  was estimated to be 10%. Between 2014 and 2021, average BC concentrations decreased by 35.7% from  $3.12 \pm 1.39 \mu\text{g m}^{-3}$  in 2014 to  $2.04 \pm 0.33 \mu\text{g m}^{-3}$  in 2021. The statistical significance of the reduction in BC and source-specific BC was assessed using the Mann-Kendall test on monthly median values, with results presented in Figure 6. A significant decreasing trend ( $p < 0.01$ ) in BC concentrations was observed, with a slope of  $-0.13 \mu\text{g m}^{-3}\text{yr}^{-1}$ . Similar reductions have also been reported across various regions in China since 2013 (He et al., 2023; Sun et al., 2022a; Chow et al., 2022; Dai et al., 2023). Significant decreases were also observed in  $BC_{\text{liquid}}$  ( $p < 0.01$ ) and  $BC_{\text{solid}}$  ( $p < 0.05$ ) concentrations. From 2014 to 2021,  $BC_{\text{liquid}}$  decreased by 38.4% (from  $2.55 \pm 1.14 \mu\text{g m}^{-3}$  to  $1.57 \pm 0.89 \mu\text{g m}^{-3}$  in 2021) at an absolute rate of  $-0.10 \mu\text{g m}^{-3}\text{yr}^{-1}$ , while  $BC_{\text{solid}}$  decreased by 20.3% (from  $0.59 \pm 0.52 \mu\text{g m}^{-3}$  to  $0.47 \pm 0.33 \mu\text{g m}^{-3}$ ) at a rate of  $-0.03 \mu\text{g m}^{-3}\text{yr}^{-1}$ . The contributions of different sources to the overall BC reduction were estimated by comparing the absolute decrease slopes of  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$  to the overall BC decrease slope. It was found that 77% of total BC reduction was due to the decreased liquid fuel combustion, highlighting the significant role of  $BC_{\text{liquid}}$  in reducing BC concentrations from 2014 to 2021. Pollutants commonly co-emitted with BC, such as  $\text{NO}_2$ , CO and  $\text{SO}_2$ , exhibited significant declining trends ( $p < 0.05$ ) during the study period (Figure S10). In contrast, the  $BC/\text{PM}_{2.5}$  ratio showed a significant increasing trend ( $p < 0.01$ ), suggesting that while emission reduction policies have been effective in decreasing precursors of secondary aerosol ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ ), stricter regulations on BC emissions may also be necessary. The variation in the  $BC/\text{CO}$  ratio was not significant, with the mean value remaining stable at approximately 0.38% throughout the period.

Throughout the study period, BC concentrations exhibited two distinct declining trends, which aligned with the implementation of the Air Pollution and Control Action Plan (2013-2017, P1) and the Three-Year Action Plan (starting in 2018, P2) by the Chinese government. To compare the decreasing trends of BC in the two periods, the absolute trends were normalized by the average values for each period. The change rates of BC and

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other air pollutants are shown in Table 4. During P1, the relative slopes of BC and BC<sub>liquid</sub> were  $-4.18\% \text{ yr}^{-1}$  ( $p < 0.1$ ) and  $-4.26\% \text{ yr}^{-1}$  ( $p < 0.05$ ), respectively, with BC<sub>liquid</sub> accounted for 83% of the total decrease in atmospheric BC concentrations. Since the decrease in BC<sub>solid</sub> is ~~not~~ insignificant, the actual contribution of BC<sub>liquid</sub> may be higher than estimated. Compared to P1, the decline in BC, BC<sub>liquid</sub> and BC<sub>solid</sub> concentration during P2 was much steeper, reaching  ~~$-10.9\%$~~   $-11.2\%$   $\text{yr}^{-1}$  ( $p < 0.01$ ),  ~~$-9.7\%$~~   $-10.3\%$   $\text{yr}^{-1}$  ( $p < 0.01$ ) and  ~~$-11.4\%$~~   $-6\%$   $\text{yr}^{-1}$  ( $p < 0.1$ ), respectively. In the S2 period, reductions in both BC<sub>liquid</sub> and BC<sub>solid</sub> contributed to the overall decrease in BC concentration, with BC<sub>liquid</sub> still being the dominant factor, accounting for  ~~$74\%$~~   $72\%$  of the total reduction. SO<sub>2</sub> and NO<sub>2</sub>, which shared the same sources as BC, also decreased more rapidly in S2 ( ~~$-34\%$~~   $-33.6\%$   $\text{yr}^{-1}$  and  ~~$-8.5\%$~~   $-7\%$   $\text{yr}^{-1}$ ) compared to S1 ( ~~$-9.3\%$~~   $-10.1\%$   $\text{yr}^{-1}$  and  ~~$-0.7\%$~~   $-1.26\%$   $\text{yr}^{-1}$ ), suggesting that air pollutants have been decreasing much faster after 2018 than before.

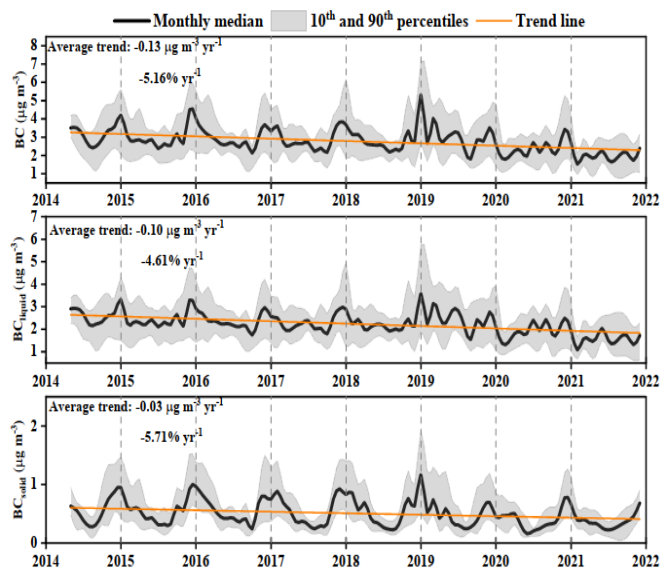


Figure 6 Trends in BC, BC<sub>liquid</sub> and BC<sub>solid</sub> at sampling site. The solid black line represents the monthly medians, the dash black lines represent the 10th and 90th monthly percentiles, and the orange line is the fitted long-term trend.

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Table 4 The change rates of BC and other air pollutants during different period

Study Period	air pollutants	absolute slope <sup>a</sup>	relative slope <sup>b</sup>	p
Air Pollution Prevention and Control Action Plan	BC	-0.12	-4.18	0.10
	BC <sub>liquid</sub>	-0.10	-4.26	0.05
	BC <sub>solid</sub>	-0.02	-3.47	0.60
	PM <sub>2.5</sub>	-11.90	-25.28	0.01
	NO <sub>2</sub>	-0.29	-0.73	0.90
	SO <sub>2</sub>	-1.65	-9.34	0.10
	CO	0.01	1.10	0.77
After 2018	BC	-0.28	-10.85	0.01
	BC <sub>liquid</sub>	-0.20	-9.71	0.01
	BC <sub>solid</sub>	-0.05	-11.06	0.10
	PM <sub>2.5</sub>	-4.33	-14.96	0.05
	NO <sub>2</sub>	-3.06	-8.49	0.05
	SO <sub>2</sub>	-2.36	-31.58	0.01
	CO	0.02	2.73	0.64

Table 4 The change rates of BC and other air pollutants during different periods

Study period	air pollutants	absolute slope <sup>a</sup>	relative slope <sup>b</sup>	p
Air Pollution Prevention and Control Action Plan	BC	-0.12	-4.18%	0.08
	BC <sub>liquid</sub>	-0.10	-4.26%	0.02
	BC <sub>solid</sub>	-0.02	-3.48%	0.6
	PM <sub>2.5</sub>	-12.00	-26.29%	0.0001
	NO <sub>2</sub>	-0.46	-1.26%	0.74
	SO <sub>2</sub>	-1.69	-10.08%	0.06
	CO	0.02	1.76%	0.62
After 2018	BC	-0.29	-11.22%	0.0002
	BC <sub>liquid</sub>	-0.21	-10.26%	0.0001
	BC <sub>solid</sub>	-0.05	-11.55%	0.06
	PM <sub>2.5</sub>	-4.62	-17.20%	0.0009
	NO <sub>2</sub>	-2.91	-8.73%	0.02
	SO <sub>2</sub>	-2.32	-33.23%	0.0001
	CO	0.00	0.00%	0.66

<sup>a</sup>:  $\mu\text{g m}^{-3} \text{ yr}^{-1}$

<sup>b</sup>: % yr<sup>-1</sup>

The seasonal trends in BC and its different sources were further investigated in Nanjing. As shown in Figure 7, significant reductions in BC concentrations were observed across all seasons. The decreasing slopes of BC in spring (-6.17.2 % yr<sup>-1</sup>,  $p < 0.015$ ) and winter (-10.06.4 % yr<sup>-1</sup>,  $p < 0.01$ ) were steeper than those in summer (-5.073.1 % yr<sup>-1</sup>,  $p < 0.054$ ) and autumn (-

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639 ~~43.9~~ % yr<sup>-1</sup>,  $p < 0.05$ ). The reduction rate of PM<sub>2.5</sub> in spring (~~-1815.9~~ % yr<sup>-1</sup>,  $p < 0.0501$ ), summer (~~-2225.54~~%,  $p < 0.0501$ ) and autumn (~~-15.920.0~~ % yr<sup>-1</sup>,  $p < 0.01$ ) was 3 to 6 times that of BC (Table S3). In winter, the reduction rate (~~-914.82~~ % yr<sup>-1</sup>,  $p < 0.01$ ) is closer to that of BC, suggesting that the reduction of primary pollutants in Nanjing during winter might be more effective than in other seasons. The seasonal variation of BC<sub>liquid</sub> showed distinct trends across different seasons. Significant reductions were observed in spring, summer, autumn and winter, with the absolute slope of ~~-5.97.6~~ % yr<sup>-1</sup> ( $p < 0.01$ ), ~~-4.13.8~~ % yr<sup>-1</sup> ( $p < 0.05$ ), and ~~-5.16.5~~ % yr<sup>-1</sup> ( $p < 0.015$ ) and ~~-10.5~~ % yr<sup>-1</sup> ( $p < 0.01$ ), respectively. The reduction rate of BC<sub>liquid</sub> in summer was the lowest compared to other seasons, potentially attributable to increased traffic activity associated with the peak tourism season. BC<sub>liquid</sub> in summer was not statistically significant, which may be partly due to increased traffic activity during tourism peak season, leading to higher liquid fuel consumption. Moreover, the reduction rate of PM<sub>2.5</sub> was faster in summer compared to BC, indicating that secondary aerosol reductions were more pronounced during this season. BC<sub>solid</sub> showed a similar decreasing slope in summer (~~-6.18.7~~ % yr<sup>-1</sup>,  $p < 0.05$ ), and winter (~~-8.56.2~~ % yr<sup>-1</sup>,  $p < 0.015$ ), while summer appeared relatively higher reduction (~~-12.24.2~~ % yr<sup>-1</sup>,  $p < 0.01$ ). The more pronounced decline of BC<sub>solid</sub> during the summer can be attributed to the seasonal variation in significant BC<sub>solid</sub> emission sources in Nanjing, such as biomass burning activities, which are minimal during this period. Similar to BC<sub>solid</sub>, SO<sub>2</sub> exhibited a steeper change rate in winter (-24.4 % yr<sup>-1</sup>,  $p < 0.01$ ) and a slower change rate in autumn (-16.2 % yr<sup>-1</sup>,  $p < 0.01$ ) (Table S3). The reduction of BC<sub>solid</sub> in autumn was not insignificant, which may be influenced by long-range transport of biomass burning, as well as increased agricultural activities during this season. It is worth noting that BC<sub>liquid</sub> contributed 76%92% to overall BC reduction in autumn. spring and BC<sub>solid</sub> contributed 25% to overall BC reduction in summer. However, since the decreasing trend of BC<sub>solid</sub> in autumn spring and BC<sub>liquid</sub> in summer were was not statistically significant, these contributions may have been underestimated.

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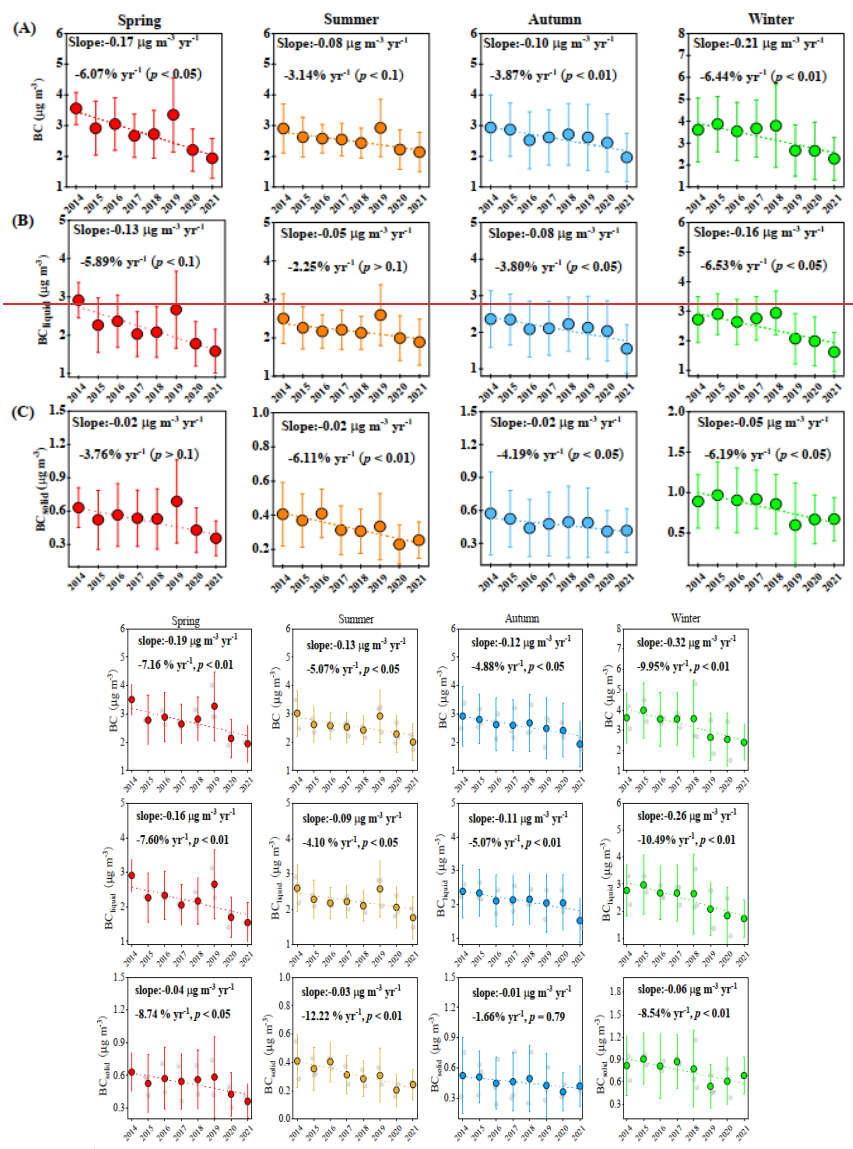


Figure 7 Seasonal variation of (A) BC, (B)  $BC_{liquid}$  and (C)  $BC_{solid}$  in spring, summer, autumn and winter. The circle in different colors represents the average concentration of BC,  $BC_{liquid}$  and  $BC_{solid}$ . The vertical lines represent the standard deviations of BC,  $BC_{liquid}$  and  $BC_{solid}$ . The grey circles in each panel represent the monthly average values.

### 3.4.3 The impact of Emission and Meteorology

In addition to changes in emissions, meteorological conditions can also

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affect the long-term trends of pollutants by influencing their long-range transport and processes of dry and wet deposition. To explore these impacts on the long-term trends of BC, the KZ filter was applied to distinguish between emission-related ( $E_{LT}^{emi}$ ) and meteorology-related ( $E_{LT}^{met}$ ) trends. The daily averaged log-transformed original time series along with decoupled short-term, baseline and seasonal of BC were described in Figure S7S11. The short-term component of BC displayed notable fluctuations, while the seasonal component showed a clear cycle with higher levels in winter and lower levels in summer. The largest variances for BC (69%),  $BC_{liquid}$  (73%) and  $BC_{solid}$  (52%) were found in the short-term component, reflecting the essential role of synoptic weather on the daily variations of primary aerosol content in Nanjing (Table S4).  $BC_{solid}$  exhibits seasonal dependence with relatively higher seasonal component (40%) than BC (16%) and  $BC_{liquid}$  (12%). The sum of variances explained by the short-term, seasonal and long-term component for BC,  $BC_{liquid}$  and  $BC_{solid}$  were 93%, 92% and 92%, respectively. A total variance close to 100% indicating that these three components are largely independent of each other, suggesting that most of the meteorological influence have been effectively accounted and removed (Chen et al., 2019; Sun et al., 2022b; Zheng et al., 2020). To separate emission-related ( $E_{LT}^{emi}$ ) and meteorology-related components ( $E_{LT}^{met}$ ) from the long-term component ( $E_{LT}$ ), multiple linear regression was conducted using the baseline component of meteorological parameters and BC. The model incorporating these meteorological parameters accurately reproduced the baseline of  $BC_{solid}$  ( $R^2 = 0.84$ ,  $p < 0.001$ ). In contrast, it was less effective in explaining the baseline for BC ( $R^2 = 0.59$ ,  $p < 0.001$ ) and  $BC_{liquid}$  ( $R^2 = 0.51$ ,  $p < 0.001$ ), suggesting that local emission changes across different seasons play an important role in impacting BC and  $BC_{liquid}$  in Nanjing.

Figure S11 exhibited the long-term variation of  $E_{LT}^{emi}$  and  $E_{LT}^{met}$  for BC,  $BC_{liquid}$  and  $BC_{solid}$ , and the corresponding linear trends were summarized in Table 5. The linear trends of  $E_{LT}$ ,  $E_{LT}^{emi}$  and  $E_{LT}^{met}$  for BC,  $BC_{liquid}$  and  $BC_{solid}$  are summarized in Table 5. It is important to note that the linear trend slope of  $E_{LT}$  represents relative change rate ( $\% \text{ yr}^{-1}$ ) of the baseline concentration, since original time series of BC were log-transformed before applying the KZ filter. To convert the fractional change rate into an absolute change

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rate ( $\mu\text{g m}^{-3} \text{ yr}^{-1}$ ), it is multiplied by the average baseline concentration (not log-transformed). The  $E_{\text{LT}}$  of BC and its distinct source exhibited significant ( $p < 0.01$ ) declining trends, with slopes of -0.1, -0.08 and -0.02  $\mu\text{g m}^{-3} \text{ yr}^{-1}$  for BC,  $\text{BC}_{\text{liquid}}$  and  $\text{BC}_{\text{solid}}$ , respectively.  $\text{BC}_{\text{liquid}}$  was the dominant contributor to BC reduction, accounting for 80% of the overall decrease, suggesting that when the influence of seasonal and synoptic variations is excluded, its contribution to BC temporal variations becomes more evident. The emission-related components of BC,  $\text{BC}_{\text{liquid}}$  and  $\text{BC}_{\text{solid}}$  exhibited similar long-term trends (Figure S12). From 2014 to 2016, the emission-related trends remained relatively stable, reaching a lower level by the end of 2017. Subsequently, the emission-related components of BC,  $\text{BC}_{\text{liquid}}$  and  $\text{BC}_{\text{solid}}$  increased, peaking in 2019, followed by a sharp decline until mid-2020, and then rebounding to another peak at the end of 2021, which may be related to the recovery of production activities following the pandemic. In contrast, meteorology-related trends of BC and  $\text{BC}_{\text{liquid}}$  showed a sharp decrease after 2020, while  $\text{BC}_{\text{solid}}$  exhibited a downward trend between 2014 and 2021, with meteorology-related trends of  $\text{BC}_{\text{solid}}$  followed a fluctuating downward pattern. In addition, the relative contributions of  $E_{\text{LT}}^{\text{emi}}$  and  $E_{\text{LT}}^{\text{met}}$  to BC reduction were quantified by calculating the ratio of their absolute slopes to that of  $E_{\text{LT}}$  (Zheng et al., 2023). Both ~~meteorologymeteorological~~ conditions and emission reductions played crucial roles in reducing BC and its ~~specific~~ sources. ~~Emission reductions were found to be the major contributor to the decline in long-term trends of BC,  $\text{BC}_{\text{liquid}}$  and  $\text{BC}_{\text{solid}}$ , with contributions of 70%, 63% and 86%, respectively.~~ While emissions reductions dominated the decrease in BC concentrations throughout the study period, their relative influence compared to meteorological conditions varied between the P1 (before 2018) and P2 (after 2018) phases. As shown in Figure 8, emission reductions played a more prominent role, contributing 78%, 62% and 86% to the reductions in BC,  $\text{BC}_{\text{liquid}}$  and  $\text{BC}_{\text{solid}}$ , respectively. However, during P2, meteorological conditions played a leading role in reducing BC and  $\text{BC}_{\text{liquid}}$ , contributing 66% and 70%, respectively. Moreover, meteorology condition had a notable impact on  $\text{BC}_{\text{solid}}$  in P2, with its contribution increasing from 14% in P1 to 31%. This suggests that the rapid reduction of BC in P2 was largely due to favorable meteorological conditions, which played a crucial role in facilitating its decline. It is worth noting that the impact of

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meteorological conditions on  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$  differs significantly, especially in P2. While meteorology contributed 70% to the reduction in  $BC_{\text{liquid}}$ , its impact on  $BC_{\text{solid}}$  was only 31%. This difference is because  $BC_{\text{liquid}}$ , mainly from vehicle exhaust, remains stable year-round, whereas  $BC_{\text{solid}}$ , from activities like biomass burning and coal combustion, varies seasonally. The results of significance analysis further confirmed that there was no significant difference in  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$  while significant ( $p < 0.05$ ) differences were observed in autumn and winter, when  $BC_{\text{solid}}$  emissions are more pronounced due to increased biomass burning and coal combustion activities (Figure S13). This seasonal variability in emission sources explains the differing impacts of meteorology on  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$ .

Table 5 Linear trends of long-term component of BC and its sources including  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$

Components	BC			$BC_{\text{liquid}}$			$BC_{\text{solid}}$		
	absolute <sup>a</sup>	relative <sup>b</sup>	<i>p</i>	absolute <sup>a</sup>	relative <sup>b</sup>	<i>p</i>	absolute <sup>a</sup>	relative <sup>b</sup>	<i>p</i>
$E_{\text{LT}}$	-0.10	-3.76	0.01	-0.08	-3.54	0.01	-0.014	-4.91	0.01
$E_{\text{LT}}^{\text{EMI}}$	-0.07	-2.63	0.01	-0.05	-2.20	0.01	-0.012	-3.62	0.01
$E_{\text{LT}}^{\text{MET}}$	-0.03	-1.13	0.01	-0.03	-1.32	0.01	-0.002	-1.27	0.01

<sup>a</sup>:  $\mu\text{g m}^{-3} \text{ yr}^{-1}$

<sup>b</sup>: % yr<sup>-1</sup>

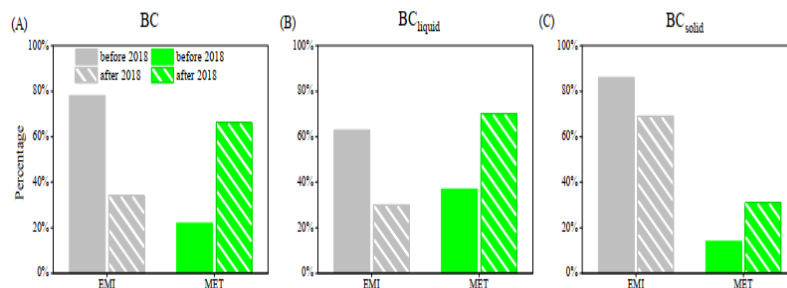


Figure 8 Contributions of Emission Reduction Policies and Meteorological Conditions to the Decrease in BC Concentrations Before and After 2018. The (A), (B) and (C) panels represent BC,  $BC_{\text{liquid}}$  and  $BC_{\text{solid}}$ .

## 4. Conclusion

In this work, BC mass concentrations were continuously monitored in Nanjing, China, from 2019 to 2021. Combining observations with random forest algorithms, the BC concentrations from 2014-2021 were reconstructed to explore the long-term trends of BC and its sources during

two distinct emission reduction periods. The results showed that BC concentrations were analyzed to reveal its characteristics and sources. The annual average BC mass concentration during the study period was  $2.5 \pm 1.6 \mu\text{g m}^{-3}$ . Relatively higher BC mass concentrations were found in winter, while no clear variation was observed during other seasons, implying a locally dominant BC source. Diurnal variations showed a bimodal pattern with lower concentrations in daytime and higher values ~~in~~at night, primarily influenced by traffic rush hours and boundary layer heights. Liquid fuel combustion contributed more than 75% to BC in all years, with the highest contribution appearing in summer (85%) and the lowest in winter (72%).

The RF models explained over 90% variation and accurately captured seasonal cycle well of BC at ~~both wavelength-880 nm~~, demonstrating the strong predictive capability of the trained models. The long-term trend of BC, BC<sub>liquid</sub> and BC<sub>solid</sub> all exhibited significant ( $p < 0.05$ ) declines, with BC<sub>liquid</sub> contributing the most to the overall BC reduction, accounting for 77% of the total decrease over entire period. Notably, BC levels decreased most rapidly during winter, while the reduction in summer was much slower. The trend in BC reduction varied between two distinct phases, in P2 (after 2018), BC levels declined much steeper compared to that in P1 (2014-2017), indicating that policies aimed at replacing coal to cleaner energy have been particularly effective in reducing primary pollutants. Over the entire period, emission reduction was the primary driver of BC reduction, contributing to BC, BC<sub>liquid</sub> and BC<sub>solid</sub> reduction, with contribution of 70%, 63%, and 86%, ~~respevtively~~respectively, —while meteorological conditions accounted for 30%, 37% and 24%. Although emission reduction dominated BC reduction over the entire period, the contributions of emission reduction and meteorological conditions to BC reduction differed between the two phases. In P1, emission reduction played a dominant role, while in P2, meteorological conditions became the primary driver of BC reduction. Our results highlight that to further reduce atmospheric BC, targeted policies should be implemented to restrict liquid fuel combustion, especially during the summer. Additionally, the impact of meteorological factors on BC concentrations should not be overlooked during emission reduction efforts.

#### **Data Availability**

The hourly meteorological reanalysis data ERA5 are available in the ECMWF

at <https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-single-levels?tab=form>. Hourly averaged concentrations of PM<sub>2.5</sub>, CO, SO<sub>2</sub> and NO<sub>2</sub> were obtained from <https://quotsoft.net/air/>. All the observational and predicted data were openly accessible at the Open Science Framework <https://osf.io/8n32t/>.

### Competing interests

The contact author has declared that none of the authors has any competing interests.

### Author contributions

Yanlin Zhang designed the research. Fang Cao, Mingyuan Yu, and Chaman Gul took part in data analysis and revised and commented on the paper. Abudurexiat Abulimiti wrote the paper. Yihang Hong ~~analysis~~<sup>analyses</sup> the data. All authors contributed to the discussion of this paper.

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