Baseline Climate Variables for Earth System Modelling

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Abstract.

The Baseline Climate Variables for Earth System Modelling (ESM-BCVs) are defined as a list of 135 variables which have high utility for the evaluation and exploitation of climate simulations. The list reflects the most heavily used elements of the Coupled Model Intercomparison Project phase 6 (CMIP6) archive. Successive phases of CMIP have supported strong results in science and substantial influence in international climate policy formulation. This paper responds both to interest in exploiting CMIP data standards in a broader range of climate modelling activities and a need to achieve greater clarity about the significance and intention of variables in the CMIP Data Request. As Earth System Modelling (ESM) archives grow in scale and complexity there are emerging problems associated with weak standardisation at the variable collection level. That is, there are good standards covering how specific variables should be archived, but this paper fills a gap in the standardisation of which variables should be archived. The ESM-BCV list is intended as a resource for ESM Model Intercomparison Projects (MIPs) developing requests to enable greater consistency among MIPs, and as a reference for modelling centres to enhance consistency within MIPs. Provisional planning for the CMIP7 Data Request exploits the ESM-BCVs as a core element. The baseline variables list includes 98 variables which have modest or minor data volume footprints and could be generated systematically when simulations are produced and archived for exploitation by the WCRP

35 community. A further 35 variables are classed as high volume and are only suitable for production when the resource
36 implications are justified.

1 Introduction

1.1 Context and motivation

39 With the publication of the Baseline Climate Variables for Earth System Modelling (hereafter ESM-BCV; see end of Section
40 4 for discussion of the name) we aim to address the growing need for climate model data archives to have more consistency
41 between projects and between generations of models. We exploit substantial resources and knowledge that have been
42 developed through the Coupled Model Intercomparison Project (CMIP; see Meehl et al. 1997). CMIP was established to
43 collect data from models that could represent some aspects of the atmospheric, oceanic, land, and cryospheric components of
44 the climate system and has grown over successive phases (Meehl et al., 2000, 2007; Taylor et al. 2012; Eyring et al., 2016)
45 to provide both better representation of those processes and more complete coverage of the Earth system, including
46 chemical, biogeochemical and ecosystem processes. CMIP has also expanded from the initial focus on model evaluation to
47 become "a central element of national and international assessments of climate change" (Eyring et al., op. cit.).
48 The CMIP community has led the way in developing climate model archives as a community resource with a range of users
49 which extends far beyond the modelling centres responsible for developing models and delivering data products. The content
50 of the archive is guided by the CMIP Data Request (CMIPDR; see Figure 1). The latest iteration of this request for CMIP6
51 (Juckes et al. 2020) contained over 2000 variables, a significant increase over the 970 variables requested for CMIP5
52 (PCMDI, 2013). The CMIP6 Data Request (CMIP6DR) collated data requirements from dozens of international science
53 projects to create a database of climate variables indexed against priorities, objectives and experimental configurations. The
54 CMIP6DR was seen by many as being too extensive and the mechanisms provided to enable data producers to filter the
55 request down to an appropriate level were not able to compensate for this. A lack of clarity about priorities detracted from
56 consistency of archive content (Section 1.3 below). The ESM-BCVs will provide a clear focus to enable greater consistency
57 both within CMIP and between CMIP and other model intercomparison activities. It is, however, as the name suggests, only
58 a baseline and further variables will generally be needed in many cases. This caveat notwithstanding, the majority of users
59 are interested in a modest subset of the 2000+ variables.
60

The CMIP6 Data Request

The CMIP6 Data Request defined all of the variables which should be output from CMIP6 simulations. It consists of:

- An XML database with the data requirements for all MIPs,
- A browsable online version,
- A python library providing both a programmable and a command line interface.

What is it?

Why was it created?

CMIP6 was a global collaborative effort in which dozens of climate models ran a **coordinated set of experiments**. This created an extensive data archive to support **evaluation and intercomparison** of the climate models.

Data requirements were collected from the **23 CMIP6–endorsed MIPs.**

20 of the MIPs represented different scientific areas. Three MIPs represented the interests of communities which do not engage directly with the climate models:

- CORDEX requested data required to drive regional climate models
- ScenarioMIP did not make any data requests, but specified experiments to study climate change scenarios
- VIACS-AB compiled data requirements from climate change impacts and services experts

How was it created?

Perceived issues

The **scale** and **complexity** of the data request:
- The diversity of both those proposing requests and the facilities offering services led to a large, and highly complex data request.

Too many **high priority variables**:
- Discussion at WGCM 22 (Barcelona, 2019) highlighted around 50% of variables were designated as priority one (Juckes, 2020)

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62 **Figure 1: CMIP6 Data Request storyboard**

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1.2 Expanding scope and impact of Earth system modelling

The scientific scope of the climate models used to analyse the impact of humanity on the global climate is continually expanding (e.g. Flato, 2011) and the community is now experimenting with kilometre resolution models (e.g. Hohenegger et al., 2023) and explicit modelling of human behavioural response to climate (e.g. Tan et al., 2023). A review of this diverse and growing literature is beyond the scope of the current paper, but it is clear that preservation of clarity and interoperability of existing and future data products will be a challenge for this wide-ranging community. As the range of modelling activities has expanded, a diverse range of models and model configurations has emerged to target different areas of climate science, resulting in a multiverse of models (Figure 2).

The WCRP Modelling Multiverse
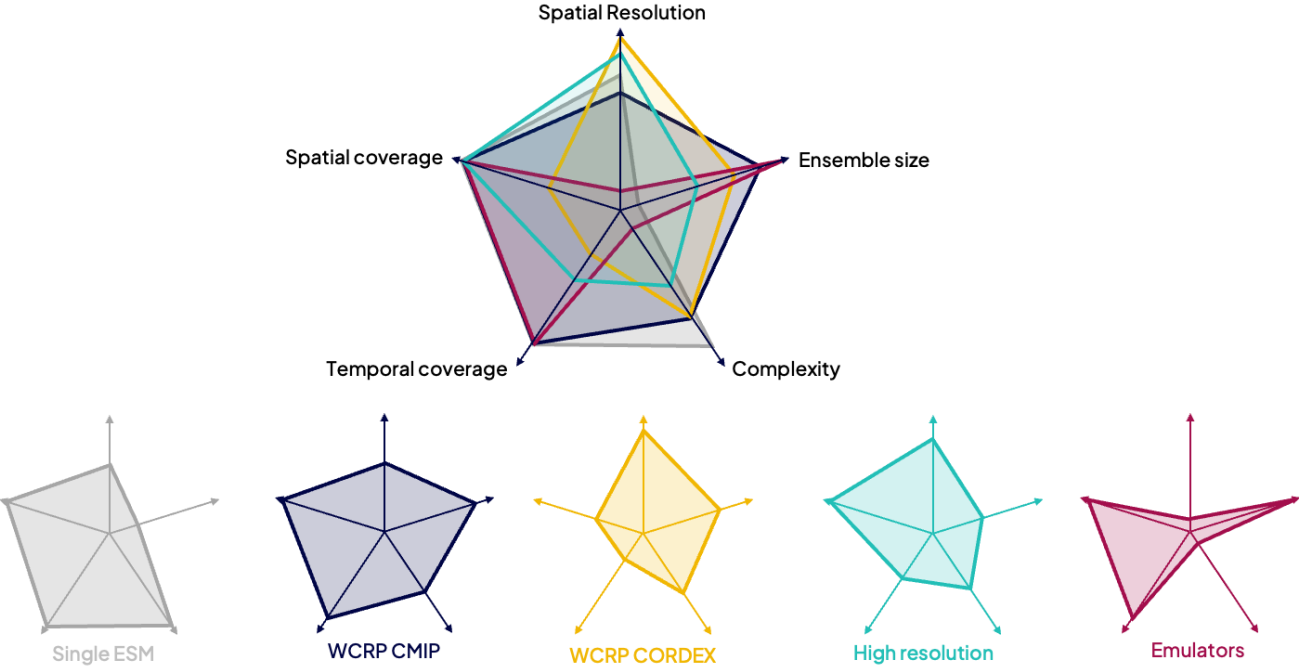
Figure 2: The Modelling Multiverse. The phase space covered by each climate modelling endeavour within the WCRP. Each type of model or modelling project has a different ability to model over different spatial resolutions, spatial coverage, temporal coverage, model complexities, and ensemble sizes. Each model type or modelling project is exemplified using a different colour. The elements of the radar charts are:

- Spatial resolution: the ability to resolve fine scale spatial features,
- Ensemble size: the ability to resolve details of internal variability,
- Complexity: the ability to resolve a wide range of physical and bio-geological climate processes,
- Temporal coverage: the ability to cover centennial time scales,
- Spatial coverage: the ability to cover the complete globe.

The exchange of interoperable climate model output across multiple Model Intercomparison Projects (MIPs) is now a mainstay of climate science and climate assessment, feeding into the development of policies on climate change mitigation

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and adaptation. Scientific work supported by CMIP has become the foundation for Intergovernmental Panel on Climate Change (IPCC) assessment reports which are alerting humanity to the risks of catastrophic climate change (Touzé-Peiffer et al., 2020), driving international commitments to decarbonisation of the economy (Paris Agreement, United Nations, 2015; Guterres, 2023).

With the growth in the scale and complexity of the models and the intercomparison projects that investigate their behaviour there is a growing interest in multi-variable multi-model analyses. There is an emerging requirement for consistent provision of variable collections across simulations generated by the entire WCRP multiverse of models. For robust simulation and analysis of the climate system on centennial time-scales multi-model ensembles are required. Through multiple phases of CMIP, an open and evolving community approach to creating intercomparisons which span multiple MIPs and all the elements of the WCRP Multiverse has been established. We refer to the collection of simulations generated through these activities as a MultiVerse Ensemble (MVE).

The success of MVEs in creating value which is greater than the sum of the parts has led to a growing ecosystem of MIPs and other community activities coordinating the specification of science goals, experimental configuration and data requirements for MVEs. Data requirements now must serve not only climate researchers but also a diverse community of stakeholders that rely on climate model output. Textual analysis of the 5152 Web of Science publications[1] which, on 24th August 2023, referenced CMIP6, shows two main clusters, one associated with model and climate system analysis and experiments and the other associated with impacts, adaptation, and scenarios (Figure 3). This analysis shows clearly how scenarios and impacts cluster has become of equal significance, in terms of quantity of publications, with the climate science research area.

1 The analysis is based on titles and abstracts of 5152 papers identified from Web of Science which either cite Eyring et al. (2016) or mention CMIP6 in the title or abstract. The clustering is based on terms which occur in at least 100 papers.
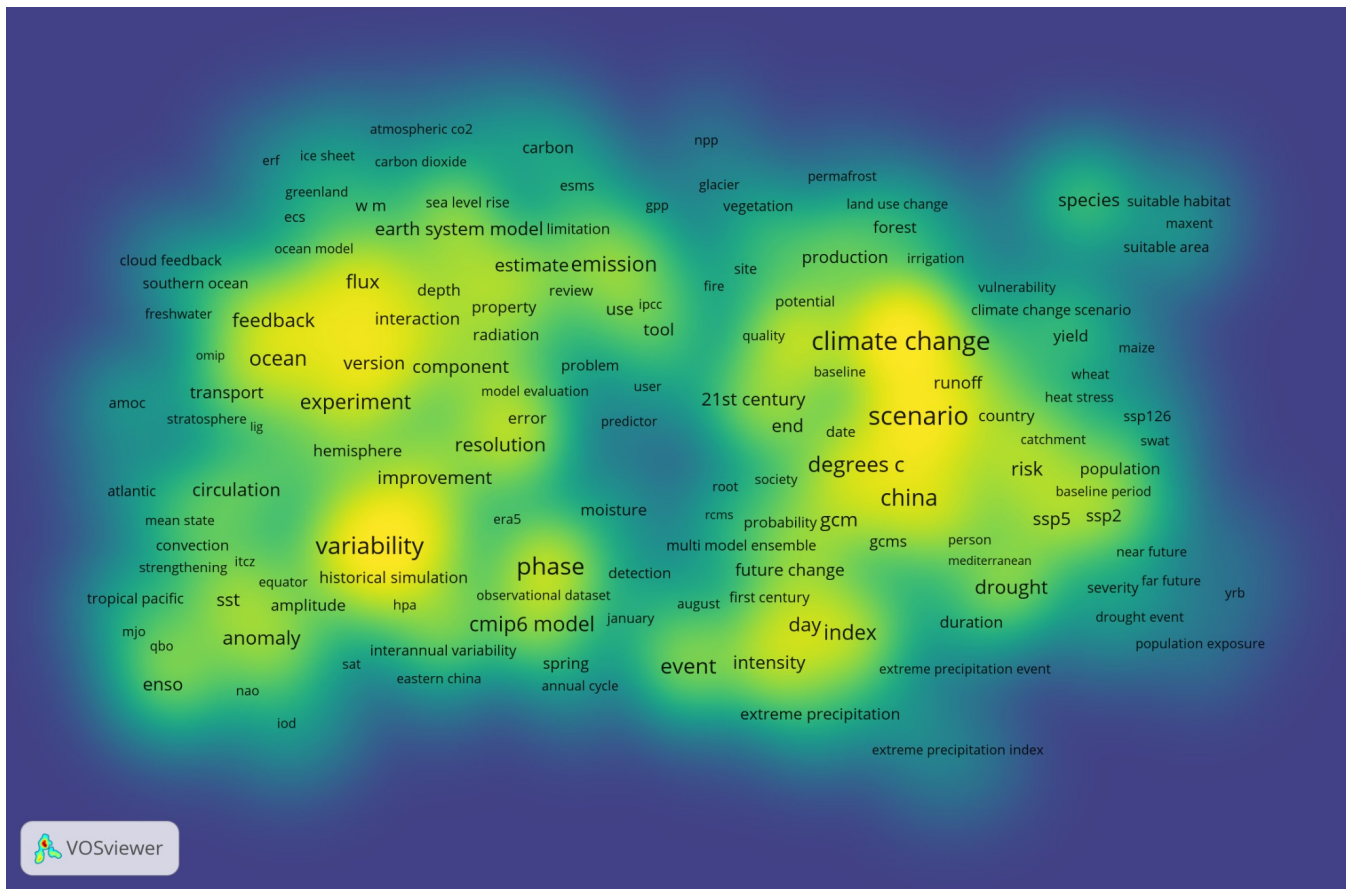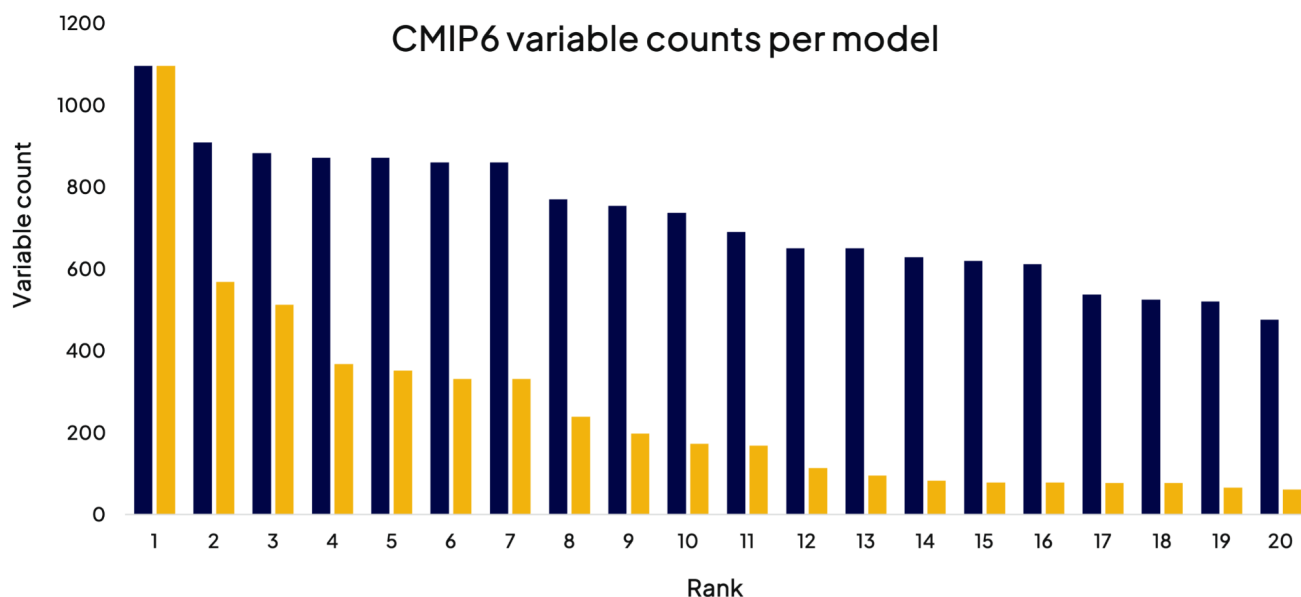
Figure 3: Word cloud of CMIP6 science. Word cloud generated by analysis of titles and abstracts of 5152 Web of Science articles. Words are grouped according to closeness defined by the frequency with which they appear in the same papers. Yellow indicates clustering of more commonly used words. Generated by VOSViewer.

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Figure 4: Variable provision in CMIP6. The number of variables (y-axis) published for the historical simulation by each model (as represented in the DKRZ Earth System Grid Federation (ESGF) index node August 2023) is shown in blue columns against the model rank, where models are ranked in order of decreasing variable count. Also shown, in orange, is the number of variables which are included by all models up to the given rank. For comparison, the total number of variables requested by all MIPs from the CMIP6 historical simulation was 2301, with 1484 of those assigned priority one by one or more MIPs.

1.3 Objectives of the Earth System Modelling Baseline Climate Variables list

As the name suggests, the list presented here is intended to define a baseline set of climate variables which can be produced by ESM activities, and which are of widespread interest. By including a rather limited subset of commonly analysed variables, we expect that modelling groups should easily be able to routinely provide all variables and that data centres should be able to accommodate the generated data volumes. For indirect users such as the climate and climate impacts research communities, the variables in the baseline set will facilitate consistent and efficient comparison of simulations across multiple intercomparison projects, both within and between existing and future CMIP eras, by enhancing standardisation at the variable collection level (see Figure 4 and discussion in Section 1.4 for the motivation behind this objective).

Use of the term "Earth System Modelling" in describing the list is meant to convey that these variables should be of interest from a wide range of models used in studying the climate of the Earth system. This includes, for example, not only models which have a detailed representation of interactions between the physical climate and the biosphere but also simpler models which play a role in advancing understanding of critical elements of the Earth system.

Although the list serves as a "baseline", it is not expected to be sufficient in addressing many of the specific science questions that are the focus of MIPs. Invariably, additional variables will be of value and, in some cases, essential in interpreting and understanding simulation results. There may also be some model intercomparison experiments that focus on

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a single aspect of the Earth system where many of the baseline variables will be irrelevant or of little interest. As a trivial example, in the case of an atmospheric model run with prescribed sea surface conditions, all the baseline ocean variables, except sea surface temperature and sea ice fraction, will be irrelevant. On the other hand, none of the variables characterising bio-geochemical cycles and atmospheric chemistry appear in the baseline list even though they would be essential in understanding those aspects of the Earth system.

Even if the list cannot meet all the requirements of MIPs, it can be considered the minimal suite of variables to be archived from simulations meant to serve a broad range of WCRP stakeholders. For the climate and climate impacts research communities, the variables in the baseline list will enable consistent and efficient comparison of simulations across multiple intercomparison projects, both within and between existing and future CMIP eras. The baseline list of variables may also nurture development of evaluation tools once there is an expectation that a consistent set of climate variables will be made available from many MIP experiments.

The ESM-BCV will also provide a basis for comparison with parameter lists widely used in different communities, such as the variables used for exchange of meteorological observations in the GRIB[2] protocol, the Essential Climate Variables (ECVs: World Meteorological Organisation 2022a,b), or the Global Climate Indicators (GCIs)[3] concept in climate services.

1.4 Variable output by model

The CMIP6 archive contains a comprehensive range of data products, with 72[4] models contributing to the "all-forcing simulation of the recent past (historical)"[5], but users looking for data to support multivariable analysis can run into problems because of lack of consistency in the selection of variables which are available for each model. Thus, although there are 25 models providing 390 or more variables for the "historical" simulation (see Eyring et al., 2016), the number of variables which those models have in common is only to 57 (see Figure 4)[6]. This lack of consistency can force analysts to be selective about the models included in any analysis and lead to lack of interoperability between derived products. If, for instance, a drought indicator is based on a cluster of models ``A" which have a full range of precipitation, runoff and evapotranspiration variables at monthly frequency and the growing season indicator is based on a cluster of models "B" which have daily precipitation, cloud cover and temperature variables, including daily extremes, the differences between clusters ``A" and "B" may hamper combined use of the two products. If set A is defined by models which have, for the historical, ssp126 and ssp245 experiments, variables Amon.pr, Lmon.mrro, Lmon.evspsblveg, Lmon.evspsblsoi in the CMIP6 naming conventions and set B is defined by models which have day.pr, day.tasmin, day.tasmax, and day.clt for the same experiments, then set A has 34 models from 20 institutions, set B has 27 models from 19 institutions and the intersection is 20 models from 14

2GRIB (General regularly distributed information in binary form) is the WMO standard for operational exchange of meteorological data (World Meteorological Organisation, 2023).
3 https://gcos.wmo.int/en/global-climate-indicators
4 This discussion is based on information from the ESGF index, accessed 24 August, 2023.
5 The all-forcing experiment of the recent past (historical) in CMIP is designed to enable the evaluation of model simulations against present climate and observed climate change.
6 Data publication for CMIP6 is still ongoing, but the pattern of gaps in the archive persists as data volumes expand.

159 institutions. Publication of CMIP6 data is ongoing and details may evolve, but the patterns of inconsistency seen here
160 represent a snapshot of the data landscape which confronts users dealing with the archive now.

1.5 Stakeholder groups

162 CMIP, and hence the CMIPDR, has an extensive community of stakeholders. Table 1 lists the main stakeholder groups.
163 Some of these (darker shading) have a direct interest in the specific variables which are requested, archived and
164 disseminated. Others (lighter shading) are more concerned with derived products and messages and with the level of
165 reliability and trust which can be associated with those products and messages.
166 The existence of a set of baseline variables which is available consistently from virtually all models and experiments is of
167 particular importance to this second group because they often use derived products which depend on multiple variables from
168 multiple models and experiments.

2 Process and Methodology

170 The 2022 CMIP6 Community Survey (O'Rourke, 2023) received over 300 responses. There was very clear appreciation for
171 the coordination effort and the principles behind the CMIP6DR but many respondents did suggest that there were too many
172 variables assigned priority "1" and this placed a burden on the modelling centres[7]. These responses reflected the discussion
173 at the conference held by the WCRP Working Group on Coupled Modeling (2019) in Barcelona at which a community
174 intention to reduce the number of variables at priority "1" from around 50% to a significantly smaller number emerged, with
175 a suggestion to start with those prioritised by AR6 WG1 (see Juckes, 2020).
176 The 2022 CMIP6 community survey also received many responses highlighting a need for additional variables including
177 increased temporal resolution, more ocean variables, variables relevant to extremes, as well as those variables required to
178 support the CORDEX (Gutowski et. al. 2016) regional downscaling community and their downstream users. These
179 requirements for additional variables are not addressed by the baseline list.

26 7 The prioritisation of variables in the CMIP6 Data Request was always conditional on an objective such as support for a
27 specific MIP. For example, a variable might be priority 1 for SIMIP (Seaice MIP) but of no interest for LUMIP (Land Use
28 MIP).

	Title	Description	Example or reference
DIRECT USERS	Climate System Science	Communities studying the global climate, including those studying geographically localised processes and their role in the global climate.	The endorsed CMIP6 MIPs; research teams and individual researchers at all career stages.
	Science of Climate Impacts and Mitigation	● Communities studying the impact of climate change and variability on environmental systems and socio-economic sectors. ● Regional climate modelling.	VIACSAB, ScenarioMIP, CORDEX and GeoMIP.
	Climate modelling	Institutions and networks developing and running climate models	Institutions contributing to CMIP6.
	Climate Research Infrastructure	● Data centres supporting curation, dissemination and analysis. ● Software libraries and services, standards, protocols.	
	Climate Service	● Publicly funded organisations providing climate information and related services for public consumption. ● Not-for-profit organisations providing climate services. ● Commercial organisations providing support for customers.	There is a large and growing ecosystem of climate service providers. Examples include: C3S, European Environment Agency, consulting firms.
INDIRECT/DOWNSTREAM USERS	Providing support for those impacted by climate change	Organisations that work with individuals and communities which are being impacted by climate change. This could be seen as a category of climate service but is included to emphasise the significance of this role.	World Bank, United Nations Environment Programme (UNEP), Adaptation Fund, IPCC and United Nations Framework Convention on Climate Change (UNFCCC).
	Public decision makers	The decision makers often rely on information from the downstream products provided by climate services and consultancies, some of which might be derived in part from CMIP data.	Parties to the UNFCCC, Local and national policy and decision makers.
	Commercial organisations impacted by climate change	Anything from the Panama Canal to a fruit orchard in Normandy, climate change will impact all sectors of society. Most critically, it is starting to impact the habitability of some cities and the security of food supply for many. They may have internal services, or procure services, or be supported by sectoral interest groups/representative bodies.	
	Concerned Public	The public may get their information from news bulletins, but key messages are often derived from CMIP and related activities.	

185 **Table 1: CMIP data request stakeholder groups**

186 **2.1 Launch and scoping workshops**

187 The consultation process was launched in April 2022 by the CMIP International Project Office (IPO) with a request for
188 feedback on the proposed process, an invitation to scoping meetings, and a target of establishing "a baseline set of variables
189 for exchange of climate model data" (see Appendix D). The announcement was sent to modelling centres and Data Request
190 leads, the MIP Chairs and circulated by the World Climate Research Programme. Responses, 32 in all, were received from
191 respondents across Asia, Europe and North America, whose CMIP6 involvement ranged from Data Request leads, modelling

centre leads and MIP chairs, to users of CMIP data, for scientific and climate impact modelling as well as climate services provision. The findings from this survey were discussed at two scoping workshops held on May 12th and 17th 2022. The focus of the workshops was on finalising the process for defining the variable list, creating an author team for this paper, and creating an outline of the paper structure.

The scoping workshop report includes direction for authors to focus on clarifying the purpose and function of the list and identifying the requirements of user groups.

There was also concern about the selection criteria. There is clear agreement on the need for a baseline list and a recognition of the utility of such a list for many user communities, and a high level of support for the process of expert elicitation adopted. Some contributors argued for a process based on defining specific variable selection criteria which could be applied consistently to every variable in the list, but there were no specific proposals for such criteria. Instead, in line with the established approach in the CMIP6DR, the process adopted was to ask experts to consider the list against the agreed objectives (see section 1.2 above).

2.2 Shortlisting from the CMIP6 request

The initial shortlist of baseline variables was arrived at based on the CMIP6 archive's model output statistics, which gauge the willingness of modelling groups to report each variable and the user demand for each variable reported. The resulting shortlist of variables was then edited and augmented, based on community input.

Selection of an initial shortlist of variables was based on the variables requested for CMIP6 but excluding all but priority-1 variables. Three scores were calculated ranking the variables according to the number of models contributing, volume of data downloaded, and number of files downloaded. The shortlist provided a starting point for the consultation and expert discussion.

The formal steps taken were as follows:

(1) Extract the list of 1206 variables assigned default priority 1 in CMIP6, out of a total of 2062.

(2) For each variable, assign three ranking scores, r1, r2, r3:

   (a) r1: ranked according to the volume of data downloaded across the entire CMIP6 archive, retrieved from the ESGF dashboard (Fiore et al., 2021)[8].

   (b) r2: ranked according to the number of files downloaded across the entire CMIP6 archive, retrieved from the ESGF dashboard.

   (c) r3: ranked according to the number of models that provided the variable for the CMIP6 historical experiment.

(3) Rather than weighting the criteria we take the minimum rank, $r_{min} = min(r1,r2,r3)$.

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8 http://esgf-ui.cmcc.it/esgf-dashboard-ui/; the download statistics are from the server log files which record successful responses to requests received over HTTP, including requests from scripts and from browsers. Some usage is not monitored, such as multiple users accessing a shared processing space. Open access has been prioritised at the expense of comprehensive usage information, but the majority of users are still accessing data via the mechanisms which do get tracked.

221 (4) Define the shortlist as the first 125 variables ordered by r_{min}, together with their supporting fixed fields (which are
222 necessary for correct interpretation of the data, e.g, grid cell area, or volume).

223 For details of the variables which were included in the shortlist see Appendix A and B.

2.3 Community survey and analysis

225 Following the creation of a shortlist, a community survey was designed to elicit expert feedback on the initial list. The
226 survey was targeted at those providing access to and/or utilising the outputs of climate models within commercial, public and
227 voluntary sectors together with academia. The survey was circulated to the CMIP mailing lists for modelling centres, data
228 request, MIP chairs, circulated by WCRP and the author team and promoted through CMIP social media channels and was
229 open to respondents for a period of just over six weeks between 23 August and 8th October, 2022. From the 44 responses
230 received, the majority identified as climate data users, 12 identified as climate model data providers. The shortlisted
231 variables were reviewed in detail by 29 respondents: these respondents were invited to review a selection of variables
232 relevant to their expertise or data usage. 16 respondents reviewed 5 variables or less, the remainder reviewed a larger
233 selection. A scoring methodology was provided to ensure review consistency. A full summary report of the survey responses
234 has now been published (O'Rourke and Turner, 2022; see also the survey announcement in Appendix D).

2.4 Shortlist Revision and Consequences

236 In two further author team meetings in late 2022, the results of the survey were discussed and analysed in depth to consider
237 potential additions and deletions of some shortlisted variables.
238 In early 2024 checks of the ESGF dashboard revealed a previously undetected error in reporting the download statistics that
239 were relied on in arriving at the initial short list of variables. Data transfers associated with unsuccessful requests for partial
240 file downloads over very low capacity networks had been misreported in log files as successful, exaggerating the user
241 demand for some variables. The team at CMCC Foundation (Euro-Mediterranean Center on Climate Change) responsible for
242 the dashboard were able to provide corrected download statistics based on a reanalysis of log files. The corrected download
243 reports were used to re-assess variables in the ESM-BCV list agreed on in 2022, resulting in four variables being removed
244 and a different four being added (see Appendix B, tables A5 and A6, for details of individual variables).
245 Further discussions by authors and a final meeting in June 2024 led to a review of the criteria for fixed model configuration
246 fields (they were retained if more than 12 models had provided the variable for at least one experiment).

3 The form and role and the baseline list

248 The variable list presented here will be a baseline set of variables for global model intercomparison, evaluation, and
249 exploitation projects and programmes. This is intended as a starting point for more comprehensive lists tailored to specific
250 applications. Many of the variable definitions in the list are used in modelling activities across the whole scope of WCRP

251 activities, either through MIPs associated with CMIP (particularly in the Climate and Cryosphere, CliC, and Climate and
252 Ocean Variability, Predictability and Change, CLIVAR, core projects) or for output from activities such as CORDEX
253 (Regional Information for Society, RIfS, and, Global Energy and Water Exchanges, GEWEX, core projects) and the
254 Chemistry-Climate Model Initiative (part of the Atmospheric Processes and their Role in Climate, APARC core project)
255 which are shadowing CMIP data protocols: the ESM-BCV list will support progress towards greater consistency and
256 interoperability in data outputs from this extensive range of activities.

257 **3.1 Form of the list**

258 The baseline variable list should also provide a model for clarity and interoperability. This scope of this paper covers
259 selecting and defining the physical quantities along with their spatio-temporal sampling structures.
260 Some variables are categorised as "high volume" and should be considered as optional when resource constraints apply.
261 These variables have a particularly high value for many users, but are likely to be too resource intensive for many climate
262 simulations. They are included so that they can benefit from the visibility afforded by the baseline list, but are not expected
263 to be systematically produced to the same extent as the other variables in the list.
264 This paper is concerned with the scientific definition of the baseline variables with a simple semantic structure. Each entry is
265 identified by a short name (composed of CMIP6 CMOR table and variable short name), title, a description, a standard name
266 and units, a format that has evolved since CMIP3 (WGCM Climate Simulation Panel, 2007). Syntax rules for list entries are
267 given in Table 2. The identifier will be considered as a registration identifier and is not expected to be used in CMIP7 era
268 products. New naming conventions are under discussion (K. Taylor, personal communication).
269 We have not been able to eliminate redundancy from the list: for instance, there is redundant information in variables on 8
270 atmosphere levels and the same variables on 19 levels. The evidence from CMIP6 usage statistics is that both variations are
271 very highly used.

Item	Syntax Rules
Variable Title	The title should match the rules set out in the "Style Guide for Variable Titles in CMIP6" (Juckes, 2018).
Variable Standard Name	The standard name must be included in the current CF conventions standard name list.
Variable Units	The units must have the same dimensions as canonical units of the standard name. I.e. the same combination of base dimensions as defined by the the International System of Units (International Bureau of Weights and Measures, 2019)[9]. The baseline variables list published here uses combinations of four dimensions: time, length, mass, and temperature.
Structure Title	The title should match the rules set out in the "Style Guide for Variable Titles in CMIP6" (Juckes, 2018).

272   Table 2: Syntax rules for items in the baseline variables list.

41 9 The University Corporation for Atmospheric Research (UCAR) Udunits package
42 (https://www.unidata.ucar.edu/software/udunits/) can be used to check consistency of unit dimensionality.

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3.2 Role from the modeller's perspective

The list of baseline variables will, in the first place, aid the model development process as a set of diagnostics for which known good output is created by the model. For instance, this set can be used in regression tests when evaluating new model versions, in order to detect significant changes in output.

The greater the overlap between what is output by the model and the baseline list, the bigger the contribution the model will be able to make in intercomparison exercises, and the more widely the variables produced by the model will be used. Thus, producing and publishing as many of the listed baseline variables as possible should be an aspiration in the development and use of the model.

From the model developer's perspective, transparency in the process of creating the baseline variables list is important, because it clarifies the purpose of the list. The value of having a list and using it should be well-understood. It is not expected that all models will be able to generate all variables, but the exclusion of specialised variables from the list will ensure that most models can produce most variables. The process for maintaining and extending the list should be equally transparent. If a modelling group is unable to provide a variable (especially one on the baseline list), they should be encouraged to provide a reason using - for example - one of those listed in Table 3. The process for providing feedback should be lightweight and transparent.

Reason	Description	Comments
Resource Constraints	The level of resources needed to implement the required workflow and handle the data streams is not available	Resource constraints may be due to staff availability or limits on the data volume or throughput that can be supported.
Workflow Error	An error occurred in the model i/o or post-processing stream and the variable was not generated correctly, and it is not expected to be corrected.	Errors could result from an undetected coding error or disruption of a workflow. Even if the coding error is easy to correct, repeating the workflow to generate the missing variable may be too costly.
Local Priorities	We need to choose between a range of different data requirements, and other variables, not in the baseline request, were found to have a higher priority for our users.	This is similar to Resource Constraints but draws attention to a different prioritisation rather than an overall resource limit.
Model Structure	The model does not support generation of the requested variable.	For example, an ocean ecosystem model can be phosphate-based rather than nitrogen-based (though the latter is more common). In that case the model may not be able to output nitrate concentrations in the ocean, but it is simply a matter of choice of the model developers and not a matter of model quality.

289 **Table 3: Reason codes for omission of variables from a model's archived data.**

290 **3.3 Role from the infrastructure provider perspective**

291 Data infrastructure such as the Earth System Grid Federation (ESGF, https://esgf.llnl.gov/), the Climate and Forecast
292 Conventions (CF, https://cfconventions.org/) and the CMIPDR, along with secondary data portals, cloud platforms, and
293 collaborations (e.g. the Copernicus Climate Change Service C3S, https://climate.copernicus.eu/ and PANGEO
294 https://pangeo.io/), together with underlying physical infrastructure, staff, and curation systems provided by host institutions,
295 disseminates climate datasets created by a variety of international modelling centres building on the data standards set by the
296 community. This standardisation ensures that user analysis can be performed across the multimodel ensemble, and facilitates
297 the scaling of data processing systems to provide and work with volumes at the magnitudes involved in CMIP. For
298 automated data processing options, standard compliance is essential (but see comments on incomplete compliance above).
299 For example, ESGF aims to enhance its compute services as part of its future architecture plans (Kershaw et al., 2020).
300 Secondary data evaluation or analysis packages such as ESMValtool (Weigel et al., 2021) and the Program for Climate
301 Model Diagnosis & Intercomparison (PCMDI) metrics package (Lee et al., 2022, 2024) also rely on these data standards.
302 The CMIP approach is founded on the Climate and Forecast (CF) metadata standards for NetCDF data. The CMIP project
303 has built upon these with the Data Reference Syntax (DRS; Taylor et al., 2018) defining file naming and data structure
304 conventions and the Controlled Vocabularies (Durack et al., 2024) defining the terms within these components.
305 A baseline variable list with common variable definitions will furthermore enable portals and indexers to support cross-
306 project data discovery and data analysis. The unique identification of the baseline variables and a consequent versioning and
307 maintenance of the list will ensure traceability of the variable usage in future. The I-ADOPT Framework ontology (Magagna
308 et al., 2021) provides a standard for this, which is implemented by the NERC vocabulary server providing e.g. the CF
309 Standard Names (http://vocab.nerc.ac.uk/collection/P07/current/).
310 The quality of data and metadata is of crucial importance. There are certain metadata which must have correct values for the
311 data to be ingestible by applications such as ESMValTool (Weigel et al., 2021).
312 Reliable and maintained software tools for creating standard compliant datasets are available for the modelling centres, but a
313 range of issues associated with implementation workflows has led to incomplete compliance in CMIP archives. A scan of
314 files from the CMIP archive (Petrie et al., 2024) revealed a wide range of technical errors. Some of these are related to
315 mistakes in the specification of cell methods, which might be obviated by improved documentation - particularly for those
316 cell methods which are used by the baseline variables. It should also be noted however, that most of the errors would have
317 little impact on the use of a majority of software applications. Experience shows that time-consuming or resource-intensive
318 data quality checks applied before data publication can reduce the amount of time and energy that has to be invested in
319 correcting issues and replacing datasets. The CMIP6 requirements specified compliance with the CF Conventions and
320 correct implementation of metadata specified in CVs and the data request (the latter can be verified with the PrePARE tool,
321 Nadeau et al., 2023). More detailed data checks such as the World Data Center for Climate quality control approach for

322 CMIP5 (Stockhause et al., 2012) or for the C3S Climate Data Store (CDS, Buontempo et al., 2022) include range, outlier,
323 and time axis checks alongside CF compliance.

324

325 Underlying archive services which host ESGF and other climate data infrastructures will also benefit from greater
326 consistency between different intercomparison projects. Stability of data specifications and data structures will allow
327 archives to develop and maintain systems that exploit these structures with confidence that they will persist and be relevant
328 for the duration of the data exploitation cycle.

329 **3.4 Role from the data user's perspective**

330 Data users of CMIP are a diverse community ranging from climate modellers, to scientists from a wide range of disciplines,
331 to private sector product developers, and it is therefore hard to define who a "typical" user is. Historically climate scientists
332 were representing the most important component of the user landscape. Their use of the data was to understand processes
333 and study the future evolution of the climate and its potential impact on the natural system and human activities. There is no
334 obvious boundary between climate impacts scientists and downstream exploitation of CMIP data for climate services (either
335 public or private). CMIP data represents an important source of quantitative information for a large variety of actors and
336 researchers operating well beyond the baseline-remit of the "climate science" community. These users include academics
337 and industry working in areas which could possibly be called the climate adaptation and climate service community. A key
338 need from this community, however, is the access to high-quality quantitative climate projection data, particularly focussing
339 on ECVs (e.g., wind-speeds, insolation, precipitation, surface air temperature) mostly close to the surface. These correspond
340 to a very small subset (˜10) of the many variables CMIP makes available, but the existence of high-frequency and high-
341 resolution climate data would enable much deeper integration of climate model output with "downstream impact models"
342 (which often describe highly complex responses to a given set of meteorological time series input). An example of this lies
343 in the energy systems research and applications (Craig et al., 2022; Dubus et al., 2022): the models used to inform electricity
344 system planning typically operate on hourly-timesteps (as many of the fundamental design constraints relate to this
345 timescale) and thus, to couple effectively, hourly gridded climate data (e.g., relating to wind resources at individual sites and
346 timesteps) becomes essential. It would be extremely beneficial for the application community to ensure both widespread
347 output of a small-but-comprehensive set of essential surface climate variables at the highest feasible sub-daily frequency
348 along with a very strict observance of data and metadata standards for them. The contrast between this and previous CMIP
349 archives would be considerable: in the current archives any analyst that wishes to look at more than a few essential surface
350 climate variables must make a choice between having heterogeneous diagnostics, with different multi-model ensembles for
351 each variable, or limiting the number of models involved, or making extreme compromises on the data frequency provided
352 (e.g., daily rather than sub-daily). Neither of these is ideal. By establishing a clear and realistic baseline we hope to ensure
353 that there is a greater level of consistency in the data collections, allowing more robust multivariable analysis and enabling

much stronger linking of raw output from climate models with "downstream impact models", facilitating the translation of climate risk into meaningful and applicable information for end-users and wider society.

The ambition is to achieve 90% of models providing 90% of the low volume and configuration baseline variables across major intercomparison programmes such as CMIP7. In fact, in the last CMIP6 exercise only 29% of models provided 29% of priority 1 variables, 90% of models provided 8% or more, and only 2 models[10] provided 50% or more.
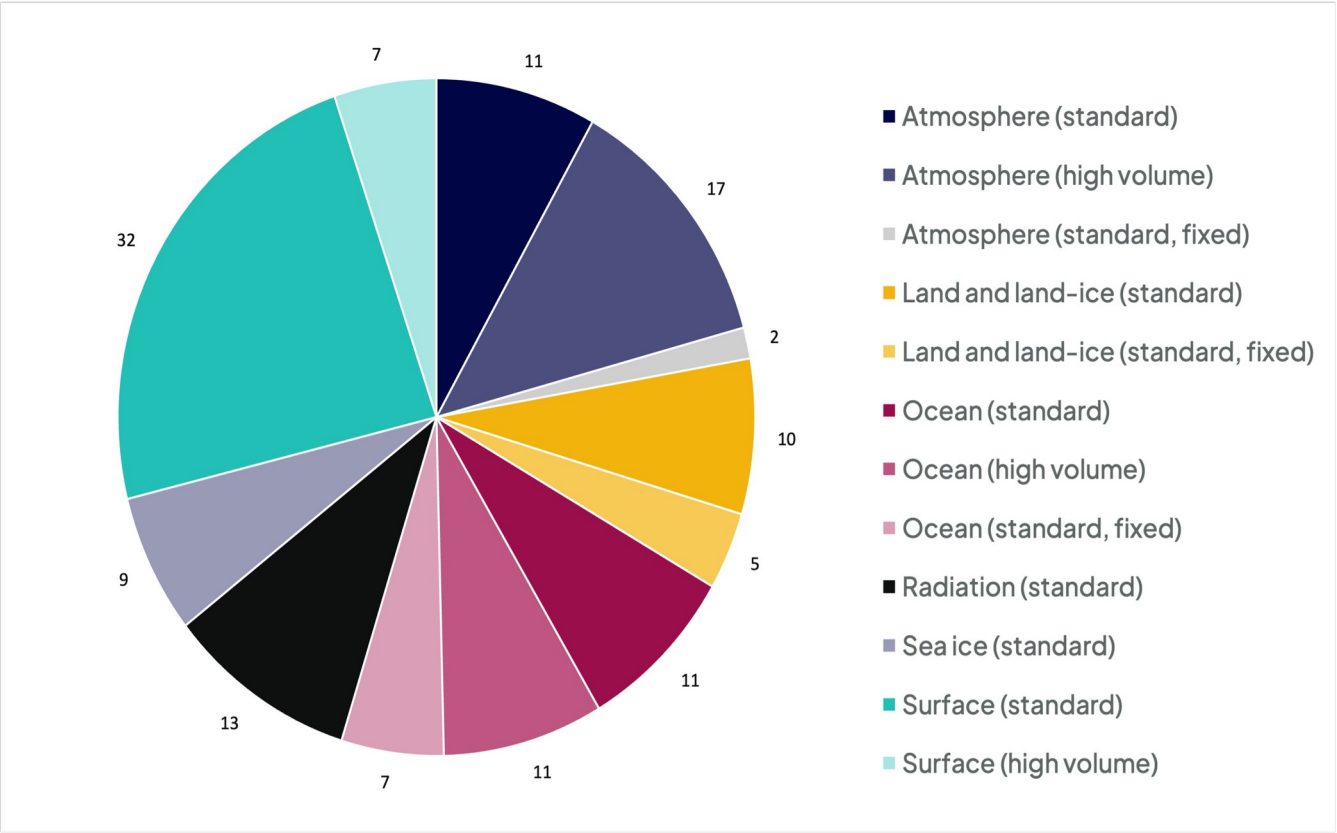
Figure 5: ESM baseline climate variable categories. Distribution of ESM-BCVs across a range of categories (using the data listed in Table A7, Appendix C). A variable is considered as "high-volume" (dark shading) if 10 thousand years of simulation generates more than 1,500 GB of data from a 1-degree resolution model with 60 atmospheric levels and 500 oceanic levels archived (assuming single precision data storage without compression).

10 These figures are for August 2023. Figures taken in March 2022 were very similar: 28% of models providing 28% or more of priority 1 variables, 90% or models providing 7.8% or more. 2 models providing more than 50%.

Figure 6 Example data volumes expressed as GB per 10,000 years of simulation for a notional 1-degree resolution model with 60 atmospheric levels and 50 oceanic levels (see Table A8 for details, Appendix C). Each rectangle area (both visible and obscured) represents the nominal volume for a specific output category. Single precision data storage without compression are here considered.

4 Results

The ESM-BCV list, after shortlisting and revision, contains 132 variables listed in Appendix A (tables A1, A2 and A3). In the final list there are 121 time series and 14 fixed fields. Of the time-varying fields, 35 are classified as high volume (see Figure 6 and Table A8 for the illustrative data volumes that determined the categorization). The high-volume category includes sub-daily data, daily data on 19 pressure levels (see Appendix E for details) and monthly data on ocean model levels. The remaining 86 lower volume time-varying fields and the 14 fixed field variables should be considered top priority for most WCRP MIP climate simulations, although it is recognised that in the short term, at least, it may not be possible to provide 100% of them in all cases. More details are given in Figure 5 and Table A7.

The shortlisting was based on four criteria: limiting consideration to CMIP6 priority 1 variables, number of files downloaded, volume of data downloaded, and the number of models for which a variable was provided.

Although all four criteria were formally included in the shortlisting process, they had differing impacts:

1.   Limiting consideration to CMIP6 priority 1 variables prevented only one variable from making the short list (monthly Temperature of Soil, Lmon.tsl).

2.   The criteria based on the number of files downloaded added one variable which would have otherwise not been included (daily Total Cloud Cover Percentage, day.clt).

386   3.   The short list of low-frequency variables (monthly and lower frequency) would have been unaffected had

387   we only considered the number of contributing models.

388   4.   If download volumes were used as the only criterion, the resulting list of higher-frequency variables (daily

389   and higher) would have been the same as considering all four criteria (apart from "day.clt).

390 Thus, for fixed and monthly-mean fields, the shortlist was largely based on the model participation statistic, and for the high

391 frequency fields it was based on volume of data downloaded. This process resulted in a shortlist of 147 variables.

392 During the subsequent community consultation, 27 variables were removed from the shortlist (see Table A5) and 15 were

393 added (see Table A6), resulting in the 135 variables listed in Appendix A.

394 We can support the reasonableness of the ESM-BCV list by pointing out that it is not dissimilar to past lists of CMIP high

395 priority standard output. Modelling groups participating in MIPs have been producing many of these variables for over two

396 decades. It is informative to compare the ESM-BCV list with the 118 high priority variables specified for CMIP3 (WGCM

397 Climate Simulation Panel, 2007). Some variables in the CMIP3 list were dropped prior to CMIP6 because they were

398 designed to monitor model limitations which are no longer relevant (e.g., imposed ocean "flux corrections" are no longer

399 needed). Eliminating such variables, we find 80% of the variables remaining are also included in the BCV list. This indicates

400 that although list development followed different procedures in the past, nevertheless, there is a high degree of continuity in

401 the perceived value of these variables.

402 The process of consultation, in defining the short list as well as agreeing subsequent revisions, has helped to spread

403 awareness of the scope and impact of the CMIP variable metadata and has driven new engagement in the process. There was

404 strong support for the utility of the list (80% of survey respondents rating usefulness four or five out of five). There was also

405 support for the process, but with caveats raised about the possible bias towards past requirements rather than future needs

406 (O'Rourke et al. 2023). The author discussions leading to finalisation of the list went beyond evaluation of the community

407 consultation. The name of the list, which started as "Baseline Climate Variables" changed twice. Firstly to "Baseline Climate

408 Variables for Earth System Models" in order to avoid any appearance of detracting from the Global Climate Observing

409 System (GCOS) Essential Climate Variable work (World Meteorological Organisation, 2022c) by clearly emphasising the

410 focus here on model data and finally to Baseline Climate Variables for Earth System Modelling to avoid the potentially

411 restrictive interpretation of Earth System Models only being those with a comprehensive range interactions between the

412 biosphere and physical climate components.

413 **4.1 Provenance**

414 The CMIP6 variables derive from many sources. Many variables were inherited from CMIP5 Standard Output (PCMDI,

415 2013). Revisions and extensions in CMIP6 came from Griffies et al. (2016) for Omon variables, van den Hurk (2016) for

416 lans surface variables, Notz et al. (2016) for sea-ice variables, Gerber and Manzinii (2016) for daily atmospheric fields,

417 Haarsma et al. (2016), Ruane et al, (2016) for 6hrPlev.hurs, Jones et al. (2016) for carbon cycle and terrestrial biosphere.

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4.2 Limitations, extensions, and revision

As noted in the introduction, the ESM-BCV list is deliberately limited in scope so that it can be implemented across a wide range of modelling activities without incurring unreasonable cost. The need for additional variables in many important use-cases was discussed, such as the need for more variables to close the carbon budget, accurately reflect ocean heat content, or monitor ocean currents. Coverage of these use cases is deliberately omitted here and is being picked up in the CMIP AR7 Fast Track Data Request[11]. The latter request contains, in the version 1.0 release, over 1800 variables which are associated with specified scientific or climate impacts use cases.

It has also been noted that the use of model levels for ocean variables results in high volume datasets which can be difficult for some users to exploit because of the complexity of the vertical coordinates used in models. Discussions about a potential shift to an agreed set of layers in a standard coordinate system are taking place within the ocean theme of the CMIP AR7 Fast Track Data Request. Some overlapping spatial dimensions (P8 and P19 atmospheric levels) and temporal frequencies (3hr and 1hr) are still part of the list to enable a certain flexibility, e.g. for MIPs proposal, to either retain or avoid such redundancies in the compilation of a specific experiment request.

Revision of the list, that is to say changing the variables included in the baseline rather than constructing a larger list which builds on the baseline as is being done for the CMIP AR7 Fast Track Data Request, has also been discussed. It is clear that revision will be needed to accommodate changes in scientific focus, but this need for revision needs to be balanced against a need for stability associated with the central aim of enhancing interoperability between distinct activities and distinct phases of CMIP.

5 Conclusion

The set of 132 ESM-BCVs presented here provides a reference collection of variables for MIPs which will facilitate greater consistency in data requests. By identifying variables which have high utility in many applications, the ESM-BCV list will also enable modelling centres to develop, standardise, and rationalise workflows.

The baseline list presents a standardised set which should be within reach of any modelling centre aspiring to generate data for community evaluation and exploitation. There will always be circumstances in which variables need to be omitted, especially the high-volume subset of 35 variables, but we expect this baseline set to lead to enhanced consistency in the expanding WCRP climate projections archive.

The ESM-BCV list should be considered a snapshot of variables currently considered by modelling groups and users to be of general high value. Its similarities with earlier CMIP lists of high priority variables attests to its likely continued relevance long into the future, but the expectation is that reassessment of community priorities will result in modifications to the list.

11The process for extending the list was launched by a CMIP Panel decision "G1 [Gateway 1] DR Strategic Approach" (https://airtable.com/shrIAHOuVw8ktdoe1, items 9 and 10, approved July 24, 2023) and announced in Dec. 2023 (https://wcrp-cmip.org/cmip7-data-request-harmonised-thematic-variables/) [both links accessed 26th June, 2034]).

The baseline variable list has grown out of the CMIP6DR (Juckes et al., 2020) and is dominated by variables already present in earlier requests (PCMDI, 2013). It has been shaped by feedback about the problems caused for users by inconsistencies in the CMIP6 archive and for providers by late finalisation of the request (see Figure 3 for more details). The baseline variable list will reduce the workload for data providers, service providers and users by providing a reusable and reliable basic set of variables. For users in the climate services and other communities outside the research community, the baseline variables will promote greater consistency and transparency in the derived products used by these communities, which typically depend on multiple variables and multiple climate models.

Although the baseline set includes little over 7% of the variables found in the CMIP6 Data Request, the consultation process revealed that most climate-service users tend to use an even smaller subset of the variables. A more detailed analysis of the needs of the user and stakeholder landscape is required and may call for a further differentiation of the baseline variables portfolio.

There has been considerable interest in the creation and sharing of standard indices of climate variability (e.g. Klein Tank et al., 2009). The level of standardisation of definitions of these indices is not sufficiently advanced to support reliable direct collection through the data request. The underlying challenge providing a central reference for these indices will, however, be picked up by the CMIP7 Rapid Evaluation Framework (REF) project[12].

The ESM-BCV list is intended to address issues associated with the rapid expansion and relatively weak prioritisation of the CMIP6 data request (around 50% of variables were classified as top priority, more than most models provided). The list provides a starting point for any model workflows which are intended to support community multi-ESM ensembles.

The list falls well short of the scope needed to support scientific analysis or detailed climate impacts assessment. In either of those cases additional variables will need to be defined for MVEs which target specific science goals or climate impacts work. For instance, work on dynamical processes in the atmosphere will require high resolution models and specialised atmospheric variables to capture details of those processes. Work on the terrestrial biosphere will typically use lower resolution models and a broad range of land surface variables. Work on climate impacts will require a range of surface and near-surface variables archived at sufficient frequency to support analysis of impact on social, economic, and biological systems. For CMIP7, the baseline list will form the core of the data request and be complemented by a set of topic themed papers to be developed through a process which is based on that described here for the baseline variables[13].

We have not made a detailed comparison of the EM-BCVs and the GCOS Essential Climate Variables in this paper. This work is part of a wider set of changes to the way that climate model data standards are supported within WCRP. Care needs to be taken when comparing model output with observations: for instance the cloud cover variables used to compare models with each other are not directly comparable with observations.

12 The figure for the number of ocean levels here is based on what was submitted to the CMIP6 archive. Some modelling centres submitted data at lower resolution than the full model grid.

13 Launched November 2024: https://wcrp-cmip.org/event/ref-project-launch/ [accessed 2024/12/03].

477 **Appendix A: The baseline climate variables**

478 There are 135 ESM-BCVs. Of these, 35 are flagged as "high volume"and 14 are fixed model configuration fields. They are

479 listed in Tables A1,2,3 below.

CMIP6 Identifier	Realm (frequency)	Title	Units	CF Standard Name
Model Configuration Field				
fx.rootd	Land (f)	Maximum Root Depth	m	root_depth
fx.orog	Grid (f)	Surface Altitude	m	surface_altitude
fx.sftgif	Grid (f)	Land Ice Area Percentage	%	land_ice_area_fraction
fx.sftlf	Grid (f)	Percentage of the Grid Cell Occupied by Land (Including Lakes)	%	land_area_fraction
Model Configuration Surface Field, Area Mean, Masked (Land)				
fx.mrsofc	Land (f)	Capacity of Soil to Store Water (Field Capacity)	kg m-2	soil_moisture_content_at_field_capacity
Model Configuration Surface Field, Area Mean, Ocean Grid				
Ofx.sftof	Ocean (f)	Sea Area Percentage	%	sea_area_fraction
Model Configuration Field on Sea Floor, Area Mean, Ocean Grid				
Ofx.deptho	Ocean (f)	Sea Floor Depth Below Geoid	m	sea_floor_depth_below_geoid
Ofx.hfgeou	Ocean (f)	Upward Geothermal Heat Flux at Sea Floor	W m-2	upward_geothermal_heat_flux_at_sea_floor
Model Configuration Field, Area Sum (No Height Dependence)				
fx.areacella	Grid (f)	Grid-Cell Area for Atmospheric Grid Variables	m2	cell_area
Model Configuration Field, Area Sum, Ocean Grid (No Height Dependence)				
Ofx.areacello	Ocean (f)	Grid-Cell Area for Ocean Variables	m2	cell_area
Model Configuration Integer Field of Flag Values, Ocean Grid				
Ofx.basin	Ocean (f)	Region Selection Index	1	region
Model Configuration Field on Ocean-Model Levels and Grid, Area Mean				
Ofx.masscello	Ocean (f)	Ocean Grid-Cell Mass per Area	kg m-2	sea_water_mass_per_unit_area
Ofx.thkcello	Ocean (f)	Ocean Model Cell Thickness	m	cell_thickness
Model Configuration Field on Soil-Model Levels, Masked (Land)				
Efx.slthick	Land (f)	Thickness of Soil Layers	m	cell_thickness

480 **Table A1: ESM Baseline Climate Variables: 14 fixed model configuration fields.** These ESM-BCVs are listed under 10 different
481 structures. For masked fields, the nature of the unmasked points is indicated in brackets, e.g. "Masked (Land)" implies that
482 only land points are included. All are global fields. The "Model Configuration" fields have no temporal dimension. Area
483 means and sums are taken over grid cells, time means are taken over the sampling period, e.g. a day or a calendar month.
484 The frequency in column 2 indicates the frequency of stored data points, which may be time means or instantaneous values.
485 The abbreviation is `f' for fixed.

CMIP6 Identifier	Realm (frequency)	Title	Units	CF Standard Name
Temporal Maximum, Near-Surface Field (2m)				
day.tasmax	Surface (d)	Daily Maximum Near-Surface Air Temperature	K	air_temperature
Temporal Minimum, Near-Surface Field (2m)				
day.tasmin	Surface (d)	Daily Minimum Near-Surface Air Temperature	K	air_temperature
Time-Mean on 19 Pressure Levels				
Amon.hur	Atmosphere (m)	Relative Humidity	%	relative_humidity
Amon.hus	Atmosphere (m)	Specific Humidity	1	specific_humidity
Amon.ta	Atmosphere (m)	Air Temperature	K	air_temperature
Amon.ua	Atmosphere (m)	Eastward Wind	m s-1	eastward_wind
Amon.va	Atmosphere (m)	Northward Wind	m s-1	northward_wind
Amon.wap	Atmosphere (m)	Omega (=dp/dt)	Pa s-1	lagrangian_tendency_of_air_pressure
Amon.zg	Atmosphere (m)	Geopotential Height	m	geopotential_height
Time and Area Mean on Single Level				
Amon.prw	Atmosphere (m)	Water Vapor Path	kg m-2	atmosphere_mass_content_of_water_vapor
Amon.clivi	Atmosphere (m)	Ice Water Path	kg m-2	atmosphere_mass_content_of_cloud_ice
Amon.clt	Atmosphere (m)	Total Cloud Cover Percentage	%	cloud_area_fraction
Amon.clwvi	Atmosphere (m)	Condensed Water Path	kg m-2	atmosphere_mass_content_of_cloud_condensed_water
Amon.hfss	Surface (d)	Surface Upward Sensible Heat Flux	W m-2	surface_upward_sensible_heat_flux
Amon.rlds	Radiation (m)	Surface Downwelling Longwave Radiation	W m-2	surface_downwelling_longwave_flux_in_air
Amon.rldscs	Radiation (m)	Surface Downwelling Clear-Sky Longwave Radiation	W m-2	surface_downwelling_longwave_flux_in_air_assuming_clear_sky
Amon.rlus	Radiation (m)	Surface Upwelling Longwave Radiation	W m-2	surface_upwelling_longwave_flux_in_air
Amon.rluscs	Radiation (m)	Surface Upwelling Clear-Sky Longwave Radiation	W m-2	surface_upwelling_longwave_flux_in_air_assuming_clear_sky
Amon.rlut	Radiation (m)	TOA Outgoing Longwave Radiation	W m-2	toa_outgoing_longwave_flux
Amon.rlutcs	Radiation (m)	TOA Outgoing Clear-Sky Longwave Radiation	W m-2	toa_outgoing_longwave_flux_assuming_clear_sky
Amon.rsds	Radiation (m)	Surface Downwelling Shortwave Radiation	W m-2	surface_downwelling_shortwave_flux_in_air
Amon.rsdscs	Radiation (m)	Surface Downwelling Clear-Sky Shortwave Radiation	W m-2	surface_downwelling_shortwave_flux_in_air_assuming_clear_sky
Amon.rsdt	Radiation (m)	TOA Incident Shortwave Radiation	W m-2	toa_incoming_shortwave_flux
Amon.rsus	Radiation (m)	Surface Upwelling	W m-2	surface_upwelling_shortwave_flux_in_air

		Shortwave Radiation		
Amon.rsuscs	Radiation (m)	Surface Upwelling Clear-Sky Shortwave Radiation	W m-2	surface_upwelling_shortwave_flux_in_air_assuming_clear_sky
Amon.rsut	Radiation (m)	TOA Outgoing Shortwave Radiation	W m-2	toa_outgoing_shortwave_flux
Amon.rsutcs	Radiation (m)	TOA Outgoing Clear-Sky Shortwave Radiation	W m-2	toa_outgoing_shortwave_flux_assuming_clear_sky
day.clt	Atmosphere (d)	Total Cloud Cover Percentage	%	cloud_area_fraction
day.rsds	Radiation (d)	Surface Downwelling Shortwave Radiation	W m-2	surface_downwelling_shortwave_flux_in_air
Amon.pr	Surface (m)	Precipitation	kg m-2 s-1	precipitation_flux
Amon.evspsbl	Surface (m)	Evaporation Including Sublimation and Transpiration	kg m-2 s-1	water_evapotranspiration_flux
Amon.hfls	Surface (m)	Surface Upward Latent Heat Flux	W m-2	surface_upward_latent_heat_flux
Amon.prc	Surface (m)	Convective Precipitation	kg m-2 s-1	convective_precipitation_flux
Amon.prsn	Surface (m)	Snowfall Flux	kg m-2 s-1	snowfall_flux
Amon.ps	Surface (m)	Surface Air Pressure	Pa	surface_air_pressure
Amon.psl	Surface (m)	Sea Level Pressure	Pa	air_pressure_at_mean_sea_level
Amon.tauu	Surface (m)	Surface Downward Eastward Wind Stress	Pa	surface_downward_eastward_stress
Amon.tauv	Surface (m)	Surface Downward Northward Wind Stress	Pa	surface_downward_northward_stress
Amon.ts	Surface (m)	Surface Temperature	K	surface_temperature
day.pr	Surface (m)	Precipitation	kg m-2 s-1	precipitation_flux
CFday.ps	Surface (d)	Surface Air Pressure	Pa	surface_air_pressure
day.psl	Surface (d)	Sea Level Pressure	Pa	air_pressure_at_mean_sea_level
LImon.snc	Land (m)	Snow Area Percentage	%	surface_snow_area_fraction
Time and Area Mean on Single Level, Masked (Land)				
LImon.snw	Land (m)	Surface Snow Amount	kg m-2	surface_snow_amount
Lmon.evspsblsoi	Land (m)	Water Evaporation from Soil	kg m-2 s-1	water_evaporation_flux_from_soil
Lmon.evspsblveg	Land (m)	Evaporation from Canopy	kg m-2 s-1	water_evaporation_flux_from_canopy
Lmon.lai	Land (m)	Leaf Area Index	1	leaf_area_index
Lmon.mrfso	Land (m)	Soil Frozen Water Content	kg m-2	soil_frozen_water_content
Lmon.mrro	Land (m)	Total Runoff	kg m-2 s-1	runoff_flux
Lmon.mrros	Land (m)	Surface Runoff	kg m-2 s-1	surface_runoff_flux
Lmon.mrso	Land (m)	Total Soil Moisture Content	kg m-2	mass_content_of_water_in_soil

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Weighted Time-Mean on Single Level, Ocean Grid, Masked (Sea Ice)				
SImon.sithick	Seaice (m)	Sea Ice Thickness	m	sea_ice_thickness
SImon.sitemptop	Seaice (m)	Surface Temperature of Sea Ice	K	sea_ice_surface_temperature
Time and Area Mean on Single Level, Ocean Grid				
Oday.sos	Ocean (d)	Sea Surface Salinity	0.001	sea_surface_salinity
Oday.tos	Ocean (d)	Sea Surface Temperature	degC	sea_surface_temperature
Oday.zos	Ocean (d)	Sea Surface Height Above Geoid	m	sea_surface_height_above_geoid
Omon.hfds	Ocean (m)	Downward Heat Flux at Sea Water Surface	W m-2	surface_downward_heat_flux_in_sea_water
Omon.mlotst	Ocean (m)	Ocean Mixed Layer Thickness Defined by Sigma T of 0.03 kg m-3	m	ocean_mixed_layer_thickness_defined_by_sigma_t
Omon.sos	Ocean (m)	Sea Surface Salinity	0.001	sea_surface_salinity
Omon.tos	Ocean (m)	Sea Surface Temperature	degC	sea_surface_temperature
Omon.zos	Ocean (m)	Sea Surface Height Above Geoid	m	sea_surface_height_above_geoid
SImon.simass	Seaice (m)	Sea-Ice Mass per Area	kg m-2	sea_ice_amount
SImon.sitimefrac	Seaice (m)	Fraction of Time Steps with Sea Ice	1	fraction_of_time_with_sea_ice_area_fraction_above_threshold
Weighted Time-Mean on Single Level, Masked (Snow on Sea Ice)				
SImon.sisnthick	Seaice (m)	Snow Thickness	m	surface_snow_thickness
Time-Mean Surface Field, Ocean Grid (Area Mean or Vertices)				
Omon.tauuo	Ocean (m)	Sea Water Surface Downward X Stress	N m-2	downward_x_stress_at_sea_water_surface
Omon.tauvo	Ocean (m)	Sea Water Surface Downward Y Stress	N m-2	downward_y_stress_at_sea_water_surface
Time-Mean Near-Surface Field (10m)				
Amon.uas	Surface (m)	Eastward Near-Surface Wind	m s-1	eastward_wind
Amon.vas	Surface (m)	Northward Near-Surface Wind	m s-1	northward_wind
day.sfcWind	Surface (d)	Near-Surface Wind Speed	m s-1	wind_speed
day.uas	Surface (d)	Eastward Near-Surface Wind	m s-1	eastward_wind
day.vas	Surface (d)	Northward Near-Surface Wind	m s-1	northward_wind
Amon.sfcWind	Surface (m)	Near-Surface Wind Speed	m s-1	wind_speed

Monthly-Mean Daily Maximum, Near-Surface Field (2m)				
Amon.tasmax	Surface (m)	Daily Maximum Near-Surface Air Temperature	K	air_temperature
Monthly-Mean Daily Minimum, Near-Surface Field (2m)				
Amon.tasmin	Surface (m)	Daily Minimum Near-Surface Air Temperature	K	air_temperature
Time-Mean Near-Surface Field (2m)				
Amon.hurs	Surface (m)	Near-Surface Relative Humidity	%	relative_humidity
Amon.huss	Surface (m)	Near-Surface Specific Humidity	1	specific_humidity
day.hurs	Surface (d)	Near-Surface Relative Humidity	%	relative_humidity
day.huss	Surface (d)	Near-Surface Specific Humidity	1	specific_humidity
day.tas	Surface (d)	Near-Surface Air Temperature	K	air_temperature
Amon.tas	Surface (d)	Near-Surface Air Temperature	K	air_temperature
Time-Mean on Single Soil-Model Level, Masked (Land)				
Lmon.mrsos	Land (m)	Moisture in Upper Portion of Soil Column	kg m-2	mass_content_of_water_in_soil_layer
Time-Mean on Single Level, Ocean Grid				
SImon.siconc	Seaice (m)	Sea-Ice Area Percentage (Ocean Grid)	%	sea_ice_area_fraction
SIday.siconc	Seaice (d)	Sea-Ice Area Percentage (Ocean Grid)	%	sea_ice_area_fraction
Time-Mean Weighted by Sea-Ice Area, Single Level, on Ocean Grid Vertices, Masked (Sea Ice)				
SImon.siu	Seaice (m)	X-Component of Sea-Ice Velocity	m s-1	sea_ice_x_velocity
SImon.siv	Seaice (m)	Y-Component of Sea-Ice Velocity	m s-1	sea_ice_y_velocity
Time and Global Mean on a Single Level				
Omon.zostoga	Ocean (m)	Global Average Thermosteric Sea Level Change	m	global_average_thermosteric_sea_level_change

487 **Table A2: ESM Baseline Climate Variables: 86 low volume variables.** These ESM-BCVs are listed under 17 different structures.
488 For masked fields, the nature of the unmasked points is indicated in brackets, e.g. "Masked (Land)" implies that only land
489 points are included. All are global fields. Area means and sums are taken over grid cells, time means are taken over the
490 sampling period, e.g. a day or a calendar month. The frequency in column 2 indicates the frequency of stored data points,
491 which may be time means or instantaneous values. The abbreviations are: `m' for monthly, `d' for daily.

492

CMIP6 Identifier	Realm	Title	Units	CF standard Name
Synoptic Field on Three Pressure Levels, Cell Mean				
6hrPlevPt.ta	Atmosphere (6)	Air Temperature	K	air_temperature
6hrPlevPt.ua	Atmosphere (6)	Eastward Wind	m s-1	eastward_wind
6hrPlevPt.va	Atmosphere (6)	Northward Wind	m s-1	northward_wind
Synoptic Near-Surface Field (10m)				
3hr.uas	Surface (3)	Eastward Near-Surface Wind	m s-1	eastward_wind
3hr.vas	Surface (3)	Northward Near-Surface Wind	m s-1	northward_wind
Synoptic Near-Surface Field (2m)				
3hr.huss	Surface (3)	Near-Surface Specific Humidity	1	specific_humidity
3hr.tas	Surface (3)	Near-Surface Air Temperature	K	air_temperature
Time-Mean on 19 Pressure Levels				
Eday.hus	Atmosphere (d)	Specific Humidity	1	specific_humidity
Eday.ua	Atmosphere (d)	Eastward Wind	m s-1	eastward_wind
Eday.va	Atmosphere (d)	Northward Wind	m s-1	northward_wind
Eday.ta	Atmosphere (d)	Air Temperature	K	air_temperature
Eday.zg	Atmosphere (d)	Geopotential Height	m	geopotential_height
Time-Mean on 8 Pressure Levels				
day.hur	Atmosphere (d)	Relative Humidity	%	relative_humidity
day.hus	Atmosphere (d)	Specific Humidity	1	specific_humidity
day.ta	Atmosphere (d)	Air Temperature	K	air_temperature
day.ua	Atmosphere (d)	Eastward Wind	m s-1	eastward_wind
day.va	Atmosphere (d)	Northward Wind	m s-1	northward_wind
day.wap	Atmosphere (d)	Omega (=dp/dt)	Pa s-1	lagrangian_tendency_of_air_pressure
Time and Area Mean on Single Level				
3hr.pr	Surface (3)	Precipitation	kg m-2 s-1	precipitation_flux
E1hr.pr	Surface (1)	Precipitation	kg m-2 s-1	precipitation_flux
Time-Mean Near-Surface Field (2m)				
6hrPlev.hurs	Surface (6)	Near-Surface Relative Humidity	%	relative_humidity
Time-Mean on Atmosphere-Model Levels				
Amon.cl	Atmosphere (m)	Percentage Cloud Cover	%	cloud_area_fraction_in_atmosphere_layer
Amon.cli	Atmosphere (m)	Mass Fraction of Cloud Ice	kg kg-1	mass_fraction_of_cloud_ice_in_air

Amon.clw	Atmosphere (m)	Mass Fraction of Cloud Liquid Water	kg kg-1	mass_fraction_of_cloud_liquid_water_in_air
Time-Mean, Area Sum, Field on Ocean-Model Levels				
Omon.wmo	Ocean (m)	Upward Ocean Mass Transport	kg s-1	upward_ocean_mass_transport
Time-Mean Field on Ocean-Model Levels				
Omon.thkcello	Ocean (m)	Ocean Model Cell Thickness	m	cell_thickness
Omon.masscello	Ocean (m)			
Omon.so	Ocean (m)	Sea Water Salinity	0.001	sea_water_salinity
Omon.thetao	Ocean (m)	Sea Water Potential Temperature	degC	sea_water_potential_temperature
Omon.bigthetao	Ocean (m)	Sea Water Conservative Temperature	degC	sea_water_conservative_temperature
Omon.umo	Ocean (m)	Ocean Mass X Transport	kg s-1	ocean_mass_x_transport
Omon.uo	Ocean (m)	Sea Water X Velocity	m s-1	sea_water_x_velocity
Omon.vmo	Ocean (m)	Ocean Mass Y Transport	kg s-1	ocean_mass_y_transport
Omon.vo	Ocean (m)	Sea Water Y Velocity	m s-1	sea_water_y_velocity
Omon.wo	Ocean (m)	Sea Water Vertical Velocity	m s-1	upward_sea_water_velocity

493 **Table A3: Baseline Climate Variables, high volume list of 35 variables. Abbreviations for frequency are as for Table A1, extended**
494 **to include** The abbreviations are: `3' and `6' for 3- and 6-hourly respectively.

Variable	Condition
Ofx.thkcello	To be provided if ocean grid cells have a fixed thickness
Omon.thkcello	To be provided if ocean grid cells have a time varying thickness
Omon.masscello	To be provided if ocean grid cells have a time varying mass
Omon.bigthetao	Contributed only for models using conservative temperature as the prognostic field. Note that in this case bigthetao is needed to enable analysis of model processes but Omon.thetao still needs to be provided in order to enable comparison with observations.
Eday.ua, va, ta, hus day.ua, va, ta, hus	The "day" versions of these fields provide data on 8 pressure levels which are a subset of the 19 levels used in the "Eday" versions, so, in general, only one version should be archived. Both options are included so that modelling centres can provide the greater detail afforded by 19 levels when resources permit.

495 **Table A4: Variables which are only provided under specific conditions.**

496 **Appendix B: Variables removed from and added to the shortlist**

CMIP6 Identifier	Realm	Title	Units	CF standard Name	R
6hrLev.ta	Atmosphere (6)	Air Temperature	K	air_temperature	S
6hrLev.ua	Atmosphere (6)	Eastward Wind	m s-1	eastward_wind	S
6hrLev.va	Atmosphere (6)	Northward Wind	m s-1	northward_wind	S

81
82

6hrLev.hus	Atmosphere (6)	Specific Humidity	1	specific_humidity	S
CFday.hur	Atmosphere (d)	Relative Humidity	%	relative_humidity	D
CFday.hus	Atmosphere (d)	Specific Humidity	1	specific_humidity	D
CFday.ta	Atmosphere (d)	Air Temperature	K	air_temperature	D
CFday.ua	Atmosphere (d)	Eastward Wind	m s-1	eastward_wind	D
CFday.va	Atmosphere (d)	Northward Wind	m s-1	northward_wind	D
CFday.zg	Atmosphere (d)	Geopotential Height	m	geopotential_height	D
CFday.wap	Atmosphere (d)	Omega (=dp/dt)	Pa s-1	lagrangian_tendency_of_air_pressure	D
CFday.cl	Atmosphere (d)	Percentage Cloud Cover	%	cloud_area_fraction_in_atmosphere_layer	D
LImon.snd	Land (m)	Snow Depth	m	surface_snow_thickness	E
Efx.rld	Radiation (f)	Downwelling Longwave Radiation	W m-2	downwelling_longwave_flux_in_air	O
Efx.rlu	Radiation (f)	Upwelling Longwave Radiation	W m-2	upwelling_longwave_flux_in_air	O
Efx.rsu	Radiation (f)	Upwelling Shortwave Radiation	W m-2	upwelling_shortwave_flux_in_air	O
Efx.rsd	Radiation (f)	Downwelling Shortwave Radiation	W m-2	downwelling_shortwave_flux_in_air	O
Efx.fldcapacity	Land (f)	Field Capacity	%	volume_fraction_of_condensed_water_in_soil_at_field_capacity	O
Efx.siltfrac	Land (f)	Silt Fraction	1	volume_fraction_of_silt_in_soil	O
Efx.wilt	Land (f)	Wilting Point	%	volume_fraction_of_condensed_water_in_soil_at_wilting_point	O
fx.areacellr	Grid (f)	Grid-Cell Area for River Model Variables	m2	cell_area	O
fx.zfull	Grid (f)	Altitude of Model Full-Levels	m	height_above_reference_ellipsoid	O
day.rlut	Radiation (d)	TOA Outgoing Longwave Radiation	W m-2	toa_outgoing_longwave_flux	X
day.rlds	Radiation (d)	Surface Downwelling Longwave Radiation	W m-2	surface_downwelling_longwave_flux_in_air	X
E3hr.sfcWind	Surface (3)	Near-Surface Wind Speed	m s-1	wind_speed	X
Oyr.o2	Ocean (y)	Dissolved Oxygen Concentration	mol m-3	mole_concentration_of_dissolved_molecular_oxygen_in_sea_water	X
Ofx.volcello	Ocean (f)	Ocean Grid-Cell Volume	m3	ocean_volume	D

497 Table A5: Variables which were included in the shortlist and removed in the revision process. Reasons: S: specialist
498 variables of use for a limited range of applications; D: duplicate or near duplicate of another variable in the list; E: included
499 in the shortlist as a result of a clerical error, these variables do not meet shortlisting criteria; O: These variables were
500 included following an initial decision to include all fixed variables, but as they have extremely low usage, only being
501 published for 12 or fewer models, they were subsequently removed.; X: Low usage in corrected download statistics.

Variable	Reason
Oday.sos	This variable was considered to be of high importance for characterising the ocean state.
Oday.tos	This variable was considered to be of high importance for characterising the ocean state.
CFday.ps	This variable is important for models which have vertical coordinates which are

	defined in terms of surface pressure, such as the sigma coordinate. Where needed, it should be included as an auxiliary variable, not as an independently requested variable.
Ofx.thkcello	To ensure full information about the ocean model grid
Omon.thkcello	To ensure full information about the ocean model grid
Omon.masscello	To ensure full information about the ocean model grid
Omon.bigthetao	This variable is of fundamental importance for those models that use conservative potential temperature as a prognostic variable, but appeared low in the shortlisting because this was a minority of models on CMIP6.
SIday.siconc	To provide basic information about sea-ice cover
LImon.snc	High usage in corrected download statistics (see Section 2.4)
Omon.zostoga	High usage in corrected download statistics (see Section 2.4)
Lmon.evspsblveg	High usage in corrected download statistics (see Section 2.4)
SImon.sitimefrac	High usage in corrected download statistics (see Section 2.4)
Eday.ta	Added in response to review comments
Oday.zos	Added in response to review comments
Amon.rluscs	Added in response to review comments

502   **Table A6: Variables added in the review process**

503   **Appendix C: Summary Tables**

	Standard	High Volume	Fixed
Atmosphere	11	17	2
Land and Landice	10		5
Ocean	11	11	7
Radiation	13		
Sea ice	9		
Surface	32	7	
Total	86	35	14

504   **Table A7. The counts of baseline climate variables in different categories. For explanation of the "high volume"**
505   **category, see table A8.**

	Examples	GB/10k years of simulation
Monthly single level	Amon.tas, Omon.tos	62
Monthly, 19 levels	Amon.ua	1182
Daily single level	day.tas	1893
Monthly, atmosphere levels	Amon.clw	3733
Monthly, ocean levels	Omon.uo	3110

506   **Table A8: Example data volumes. Based on a 1-degree resolution model with 60 atmospheric levels and 50 oceanic levels[14]. Single**
507   **precision data storage without compression. A variable is considered as "high-volume" (dark shading) if 10 thousand years of**
508   **simulation generates more than 1,500 GB of data.**

85   14 https://wcrp-cmip.org/cmip7-data-request-harmonised-thematic-variables/ [accessed 2024/12/04]

87

509 **Appendix D: Invitation to Participate**

510 Invitation to participate in a DATA REQUEST exercise on variable prioritisation (April 13th, 2022)

511 Greetings from the newly established CMIP International Project Office. As part of the CMIP community, you are invited to
512 participate in a DATA REQUEST exercise on variable prioritisation. We are supporting the WGCM Infrastructure Panel
513 (WIP) to implement this activity.

514 If you would like to participate in this activity, please complete this form https://forms.office.com/r/qCNtTfywqN <see

515 below> before 11am UTC 21 April 2022. This will enable you to:

516 ● Express interest in attending an online workshop in May

517 ● Express interest in being a paper author or reviewer

518 ● Contribute your thoughts on methodological approach (the questions are based on reviewing this list of

519 parameters, indicating how you feel about the number prioritised, the methodology proposed, any additional

520 quantitative criteria you feel should be taken into account in short-listing, any science/impact based prioritisation

521 issues for consideration and any thoughts you have on alternative methodological approaches to prioritisation)

522

523 If you have any questions about this, or would like to reach out to the new CMIP IPO about anything else, please do contact

524 myself or CMIP-IPO Director, Eleanor O'Rourke eleanor.orourke@ext.esa.int.

525

526 Form Introduction

527 **CMIP DATA REQUEST variable prioritisation: Event registration, input and author EoI**
528 CMIP has expanded and now has a substantial range of communities, all with their own specialised requirements. The
529 WGCM Infrastructure Panel (WIP) are aware that there are too many variables being listed as top priority and that conflicts
530 are emerging between what the data centres and data users (including intermediary platforms such as C3S) would consider
531 highest priority.

532

533 The Data Request function of the WIP wish to address the immediate challenge of establishing an agreed variable
534 prioritisation methodology from the CMIP modelling community and some means of giving authority to "priority = 1"
535 statements; a community intention discussed at WGCM 2019 in Barcelona. It is envisaged that these prioritised variables can
536 form a baseline set of variables for exchange of climate model data, in following FAIR data and Open Science principles.
537 The intention is to publish these as a Geoscientific Model Development (GMD) paper.

538

539 The CMIP community are therefore invited to provide input to, and consider self-nomination for paper authorship of, a paper
540 setting out an appropriate methodology for prioritising variables that could be considered as a baseline set of variables for
541 exchange of climate model data, in any intercomparison project, in accordance with FAIR data and Open Science principles.
542 There are three sections to this survey, it will take you 5-10 minutes to complete, longer if you wish to provide detailed
543 responses.

544

545 Section 1- Your details (required)
546 Section 2 - Workshop preference and EOI for paper roles (author/reviewer) (required)

547 Section 3 - Your thoughts on methodological approach (optional) -these will be used to underpin workshop discussions
548

549 This participation form has been developed by the CMIP International Project Office, hosted by ESA Climate Office, in
550 consultation with the WCRP WGCM Infrastructure Panel. This workstream is being led by Martin Juckes, UKRI-STFC
551 working alongside Charlotte Pascoe NCAS/CEDA and Alison Parent, CEDA. If you have any problems completing this
552 form/accessing the links please contact: briony.turner@ext.esa.int
553

554 This participation form has been issued by CMIP IPO to the Modelling Centre leads, data request leads and the MIP Chairs
555 and can be shared more widely if you are aware of others that would wish to input into this activity.
556

557 Please note this Registration & Author Expression of Interest form closed 18:00 UTC 26 April 2022 however you can still
558 share your thoughts on the methodological approach and indicate which workshop you'd like to attend until 18:00 UTC 6
559 May 2022.
560

561 This activity is supported by the CMIP IPO and is made possible by funding from IS-ENES3 part of the European Union's
562 Horizon 2020 research and innovation programme under grant agreement No 82408.
563

564 **Appendix E: Pressure levels for atmospheric variables**

565 The pressure levels defined in the CMIP6 Data Request and brought into the ESM-BCV list are listed below.
566

567 - 19 pressure levels (plev19): 100,000., 92500., 85,000., 70,000., 60,000., 50,000., 40,000., 30,000., 25,000., 20,000.,
568   15,000., 10,000., 7,000., 5,000., 3,000., 2,000., 1,000., 500., and 100.hPa
569 - 8 pressure levels (plev8): 100,000., 85,000., 70,000., 50,000., 25,000., 10,000., 5,000., and 1,000.hPa
570

571 The usage and the range of levels used may be modified in CMIP7 following detailed discussion of scientific requirements
572 being led by the atmosphere theme of the CMIP AR7 Fast Trask Data Request[15].
573

574 **Data Availability**

575 The prioritisation data is available as an Excel workbook in Juckes, M., (2025) (https://doi.org/10.5281/zenodo.8263526).

576 **Author contributions**

577 Conceptualisation: MJ. Funding acquisition: na. Methodology: MJ, BT, EO. Project administration: BT, EO, BD. Writing
578 and original draft preparation: all. Revision and verification of ESGF download statistics: FA, AN. Writing, review and
579 editing: all.

90 15 See https://wcrp-cmip.org/cmip7/cmip7-data-request/public-consultation/ [accessed 2024/12/04]

92

Competing interests

The contact author has declared that none of the authors has any competing interests

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