

Abstract

Keywords: Firn; Creep; Activation energy; Microstructure; Temperature

1. Introduction

 Understanding firn compaction and densification experimentally is critical for developing physics-based firn models that are necessary for many glaciological applications, e.g. in developing clean hydrogen storage technology (Li, 2023a; 2024), and on the effect related to snowstorm and thunderstorm electrification (Li, et al., 2023). This motivation is thoroughly described in the companion paper to this work (Li and Baker, 2022a), where the creep of firn at 37 different constant stresses at a single temperature (-10°C) was studied. The work presented, here, focuses on the creep of firn at constant stress but at different temperatures. Both papers use the same measurement methods and similar analysis methodologies. To avoid redundancy, we only discuss the deformation of ice and firn related to the effects of temperature. It must be noted that 41 the study of the transition from firn (density $>$ ~550 kg m⁻³, and porosity $<$ ~40%) to bubbly ice $($820-840 \text{ kg m}^3$, and $>11-8\%$ is indispensable for gaining insight into how snow$ transforms to ice. Surprisingly, the mechanical behavior of two-phase flow coupling the air flow with the ice matrix deformation has not yet been performed experimentally hitherto, even though the role of the microstructures of firn on air flow has been studied (Albert et al., 2000; Courville et al., 2010; Adolph and Albert, 2014). This difficulty is largely due to the limitations of the observation techniques of nondestructive visualization of the microstructures during snow and firn deformation. Thus, caution should be taken with extending the conclusions to ice-sheet and glacier-scales from sample laboratory experiments. Macroscopically, the creep of firn obeys a power-law dependence of the strain rate on the stress at constant stresses and temperature, similar to that of full-density ice (Li and Baker, 2022a). Note that both the diffusivity and permeability of the air in the pores (Albert et al., 2000; Courville et al., 2010; Adolph and Albert, 2014) impact

 heat conduction of the ice matrix, and hence the grain growth. This is tightly tied to the micro-mechanisms, e.g. grain-boundary and lattice diffusion of the ice crystals (Li and Baker, 2021), superplastic deformation and inter-particle sliding from dislocation motion in the ice necks (Bartelt and Von Moos, 2000), and likely rearrangement of the ice particles (Perutz and Seligman, 1939; Anderson and Benson, 1963; Ebinuma and Maeno, 1987). To some degree, it is not useful to discuss only the deformation of snow and firn without discussing the mechanical behavior of ice.

 Through experiments on isotropic ice samples subjected to uni-axial compaction at octahedral 62 stresses of 0.1-0.8 MPa and temperatures from -45° C to -5° C, Jacka and Li (2000) determined the mechanisms involved in the empirical *power-law flow*, which was derived by Glen (1955) for stresses ranging from $0.1-1$ MPa at temperatures spanning from -13° C to the melting-point. They found that dynamic recrystallization predominated at higher temperatures and stresses, whereas crystal rotation governed at lower temperatures and stresses. Later, Goldsby and Kohstedt (2001) found that ice could exhibit *superplastic flow*, which depends inversely on the grain size, particularly for fine-grained ice, while both dislocation creep and basal slip-limited creep were unrelated to the grain size at stresses of 0.1 MPa or less over a wide range of temperatures. Moreover, Baker and Gerberich (1979) reported that the apparent activation energy for creep for polycrystalline ice, which was derived from tests at constant stress and temperatures ranging from -40° C to -5° C, increased with increasing volume fraction of inclusions (bubbles, impurities, dust, and the air clathrate hydrates). Such inclusions governed the evolution of grain size related to thermal activations. The activation energies for the creep of snow and ice have been determined

75 by a number of authors: values ranging from $58.6-113$ kJ mol⁻¹ were obtained under both uniaxial 76 and hydrostatic experiments for snow with a density of \sim 400 kg m⁻³ at –13.6 to –3.6^oC (Landauer, 1958); 44.8–74.5 kJ mol⁻¹ from snow with densities of 440–830 kg m⁻³ at –34.5 to –0.5°C (Mellor 78 and Smith, 1966); ~72.9 kJ mol⁻¹ for firn with a density of 320–650 kg m⁻³ at the South Pole 79 (Gow, 1969); 69 \pm 5 kJ mol⁻¹ for a mean snow density of 423 \pm 8 kg m⁻³ at –19 to –11^oC 80 (Scapozza and Bartelt, 2003); the 78 kJ mol⁻¹ from polycrystalline ice compression deformation 81 at a temperature of –10^oC (Duval and Ashby, 1983); ~60 kJ mol⁻¹ for artificial and natural ice at 82 the South Pole (Pimienta and Duval, 1987); and 78 ± 4 kJ mol⁻¹ for monocrystal ice at –20 to – 83 4.5° C and 75 ± 2 kJ mol⁻¹ for bicrystal ice at -15 to -4.5° C (Homer and Glen, 1978). In summary, 84 the flow law of polycrystalline ice and firn depends on the effects of recrystallization, grain size, 85 inclusions (Mellor and Testa, 1969; Vickers and Greenfield, 1968; Barnes et al., 1971; Baker and 86 Gerberich, 1979; Goodman et al., 1981), and the temperature.

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 With advanced observation techniques, the relevant microstructural parameters of snow and firn have been characterized by a number of scientists (Arnaud et al., 1998; Coleou et al., 2001; Flin et al., 2004; Wang and Baker, 2013; Wiese and Schneebeli, 2017; Li, 2022). Using X-ray micro-computed tomography (micro-CT), Li and Baker (2022b) characterized metamorphism from snow to depth hoar under opposing temperature gradients. Only rarely has work been performed on the co-effects of temperature-stress on the densification of firn while simultaneously visualizing the microstructural changes using a micro-CT. For example, Schleef et al. (2014) reported that densification under varying conditions of overburden stress and temperature from natural and laboratory-grown new snow showed a linear relationship between

2. Samples and measurements

2.1 *Samples*

108 Three cylindrical samples (22 ± 0.5 mm diameter; 50 ± 0.5 mm high) were produced at each of three depths of 20 m, 40 m and 60 m from the same 2017 Summit, Greenland firn core that was studied in Li and Baker (2022a). Incidentally, both the densities and porosities of these above samples are typical of values in the snow-to-ice transition zone as introduced in Sect. 1. More importantly, samples from three depths meet the experimental requirement designed as the decrease of the effective stress with increasing depth (**Appendix A**). Before creep testing, one 114 cylindrical firn samples from each depth was stored at a temperature of $-5 \pm 0.5^{\circ}$ C, $-18 \pm 0.5^{\circ}$ C, 115 and $-30 \pm 0.5^{\circ}$ C for two days to achieve thermal equilibrium (Li and Baker, 2022a).

2.2 Creep measurements

Three home-built creep jigs were placed in individual Styrofoam boxes in three different cold

119 rooms that were held at temperatures of $-5 \pm 0.5^{\circ}\text{C}$, $-18 \pm 0.5^{\circ}\text{C}$ and $-30 \pm 0.5^{\circ}\text{C}$. Each creep jig consists of an aluminum base plate and three polished aluminum-guide rails passing through linear bearings that hold the upper aluminum loading plate (Fig. 1 in Li and Baker (2022a)). A linear voltage differential transducer (LVDT-Omega LD-320: resolution of 0.025%; linearity 123 error of \pm 0.15% of full scale output), parallel to the three aluminum-guide rails, was located adjacent to the center of the upper plate, and fixed firmly using a screw through the plate (Fig. 1 in Li and Baker (2022a)) for measuring the displacement during a test. The displacement was logged every 5 seconds using a Grant SQ2010 datalogger (accuracy of 0.1%). Temperatures were logged at 300-second time intervals over the entire test period, using a k-type thermocouple 128 (Omega RDXL4SD thermistor: resolution of 0.1° C) that was mounted inside each box. In this 129 work, specimens were tested at temperatures of -5 ± 0.2 °C, -18 ± 0.2 °C and -30 ± 0.2 °C-the smaller error bars for the temperature of the specimens than the room temperature deviation is because the creep jigs were in insulated Styrofoam boxes-from depths of 20 m (applied stress 0.21 MPa), 40 m (0.32 MPa) and 60 m (0.43 MPa). These stresses were chosen based on experience from previous tests (Li and Baker, 2022a) in order to give measurable creep rates in a reasonable time.

2.3 *X-ray micro computed tomography (micro-CT)*

 Each specimen at each depth and temperature combination was scanned using a Skyscan 1172 micro-CT, before and after creep testing. Each micro-CT scan lasted ~2 hours. The cubic Volume of Interest (VOI, a side length of 8 mm) was taken from near the center of the firn specimen as conducted in Li and Baker (2022a). The microstructural parameters obtained from the micro-CT

 data are the SSA, the mean structure thickness of the ice matrix (S.Th), the area-equivalent circle diameter of the pores (ECDa), the total porosity (TP), the closed porosity (CP), and the structure 143 model index (SMI). The SSA $(mm⁻¹$) is the ratio of the ice surface area to total firn volume (ice plus air) in a VOI analytical element, and is calculated using the hexahedral marching cubes algorithm via CTAn software (Wang and Baker, 2013). It characterizes the thickness and complexity of the firn microstructure. Changes in SSA indicate a change in free energy of the ice surfaces, the decrease of which represents the occurrence of sintering-pressure. The S.Th (mm) is the mean structure thickness of an ice matrix (Hildebrand and Ruegsegger, 1997), which represents the characteristic size of an ice particle in the firn, where the ice particle consists of one or many crystals or grains. It is measured based on the largest sphere diameter that encloses a point in the ice matrix and is completely bounded within solid surfaces. The ECDa (mm) is the diameter of a circle having the same area as the measured on average from 2-D binary images for all pores involved in a computed VOI, indicative of the characteristic size for the void space (Adolph and Albert, 2014). The TP (%) is the ratio of the pore volume, including both open and closed pores, to the total VOI. The CP (%) is the ratio of the volume of the closed pores to the total volume of solid plus closed pores volume in a VOI, while the open porosity (%) is the ratio of the volume of the open pores to the total VOI. The SMI is calculated based on the dilation of a 158 3-D voxel model (Hildebrand and Ruegsegger, 1997) as $SMI = 6(S' \times V)/S^2$, where *S'* is the change in the surface area due to dilation, and *V* and *S* are the object volume and surface area, respectively. It indicates the prevalent ice curvature, negative values of which represent a concave surface, e.g. the hollow air structure surrounded by an ice matrix. The more negative the SMI value, the more spherical the pore. Notably, the micro-CT-derived density of each specimen

- agrees well with the bulk density measured using the mass-volume approach (Li and Baker,
- 2021).
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- *2.4 Thin section preparation and imaging*
- Thin sections for optical photographs before and after creep testing were cut from bulk specimens,
- one side of which was first smoothed with a microtome. This side was then frozen onto a glass
- 169 plate ($100 \times 60 \times 2$ mm) by dropping supercooled gas-free water along its edges. Its thickness
- 170 was reduced to \sim 2 mm by a band saw, and finally thinned further to a uniform thickness of \sim 0.5
- mm using a microtome. Images were captured using a digital camera after each thin section was
- placed on a light table between a pair of crossed polarizing sheets.
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3 Results and discussion

3.1 *Microstructures before creep*

176 Increasing firn density with increasing depth from either of the -5° C, -18° C, and -30° C specimens can be readily recognized by visual inspection of the micro-CT 3-D reconstructions of the firn microstructure (**Figure 1**). Correspondingly, the microstructural parameters, with the exception of the CP, changed monotonically with increasing depth at each temperature, e.g. the – 180 30°C samples increased in density from 591 \pm 1.4 kg m⁻³, to 683 \pm 4.2 kg m⁻³, to 782 \pm 1.5 kg m⁻³, 181 decreased in SSA from 4.64 ± 0.04 mm⁻¹, to 3.3 ± 0.06 mm⁻¹, to 2.39 ± 0.01 mm⁻¹, and decreased

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189 **Figure 1:** Micro-CT 3-D reconstructions (the side length of each cubic volume of interest is 8 mm)

190 of specimens before and after creep testing at the depths and temperatures shown. Grey voxels

191 represent ice in the firn structure.

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194 Table 1. Microstructural parameters derived from Micro-CT for samples at $-5^{\circ}C$, $-18^{\circ}C$, and $-$

195	30° C from depths of 20 m, 40 m, and 60 m before creep.				
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 in TP from 35.6 ± 0.05%, 25.6 ± 0.4%, to 14.8 ± 0.2% at 20, 40, and 60 m, respectively (**Table 1)**. These above changes are similar to those previously observed in this firn core (Li and Baker, 2022a), implying that the sintering-pressure mechanism plays a crucial role in the densification of polar firn due to the increasing overburden of snow and firn with increasing depth. However, the microstructures of the samples from the three temperatures at each depth shows little variability 204 and does not monotonically change with temperature, e.g. at 20 m depth the -5° C, -18° C, and $-$ 205 30°C samples having densities of 589 ± 1.3 kg m⁻³, 615 \pm 2.5 kg m⁻³, and 591 ± 1.4 kg m⁻³, and 206 SSAs of 4.74 ± 0.03 mm⁻¹, 4.51 ± 0.04 mm⁻¹, and 4.64 ± 0.04 mm⁻¹, respectively (**Figures 1–2**; **Table 1)**. Here, the -18° C specimen being denser than the two others at -5° C and -30° C is not able to be concluded that the sintering of firn is not directly related to the temperature. This is likely because the duration of two days during thermal equilibration in the absence of compression is too short to sufficiently exert the influence of temperature on firn sintering. The microstructural differences seen in these specimens more likely arose from the initial samples themselves, which were anisotropic and heterogeneous even if taken from the same depth, attributed to firn pre-deformation and partial anneal before experiments (Li and Baker, 2022a).

3.2 *Microstructures after creep*

 The microstructural evolution can be characterized well by the microstructural parameters in **Figure 2.** The largest changes occur in the -5° C specimens due to the higher temperature, i.e., the density, S.Th, and CP increased, while the ECDa, TP, SSA and SMI decreased, indicative of 219 consolidation of the firn after creep. It is important to note that for the 60 m sample tested at -5° C 220 there was no change in density, i.e. 790.2 ± 1 kg m⁻³ before creep vs. 790.7 ± 0.9 kg m⁻³ after

221 creep, or TP, i.e. $14.0 \pm 0.1\%$ before creep vs. $13.9 \pm 0.1\%$ after creep. These lack of microstructural change is due to the high initial density, which was close to the firn pore close-off 223 density of ~ 830 kg m⁻³. Thus, the creep of this sample may involve a transition from firn to bubbly ice, as also indicated with the increase in CP, which would have made it difficult to compress further. Intriguingly, some of the changes in microstructure observed in the micro-CT 3-D reconstructions from the specimens before and after creep, e.g. the distribution of ice-space, are indistinguishable in **Figure 1**. This is presumably due to the relatively large initial particle size, or from radial dilation exceeding the axial compression because of the small strains that occurred at the relatively low temperatures.

 One exception to the expected microstructural change after creep was the decrease of CP, which was likely due to the measurement uncertainty of the micro-CT (Burr et al., 2018), or the radial dilation of the specimen during creep. Another exception was the decrease in density after creep 234 for the -18° C specimen at 20 m and the -30° C specimen at 60 m, which was due to temperature gradient metamorphism, as confirmed by the increase of both TP and S.Th (Li and Baker, 2022b). The rate of firn densification should decrease with increasing depth at a given temperature, due to the decrease of effective stress with increasing depth (**Appendix A**). As a matter of fact, the 238 density of the -5° C samples after creep increased by 32 kg m⁻³, 44 kg m⁻³, and 0.5 kg m⁻³ for the 239 20 m, 40 m, and 60 m samples, respectively. The 44 kg m⁻³ unexpectedly outnumbers the 32 kg $\,$ m⁻³, implying that the densification of firn is also affected by other undetermined factors, e.g. the effect of inclusions, in addition to the stress and temperature.

 Figure 2: Density, structure thickness (S.Th), area-equivalent circle diameter (ECDa), specific surface area (SSA), total porosity (TP), closed porosity (CP), and structure model index (SMI) of the firn samples before and after creep at three temperatures (orange, magenta, and blue lines)

- from depths of 20 m, 40 m and 60 m. Error bars indicate the variation of each microstructural
- parameter as derived from three different VOIs of the same sample.
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 Another way to investigate microstructure changes before and after creep tests is to compare their grain sizes using thin sections. As an example, **Figure 3** shows optical micrographs of thin 252 sections made from the -5° C sample at 40 m before and after creep to a strain of 19.3%, where 253 the significant reduction in grain size from 0.8 ± 0.17 mm to 0.53 ± 0.18 mm implies the occurrence of recrystallization during testing. However, it is also unclear at what strain recrystallization

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Figure 3: Optical micrographs of thin sections, and the distribution of grain sizes for the 40 m sample

266 at -5° C (a) before and (b) after creep (19.3% strain).

- was initiated in each test, as noted in Li and Baker (2022a). Recrystallization occurs frequently at 270 a temperature higher than the homologous temperatures of 0.9 T_m , however no evidence was 271 found for recrystallization after testing at the relatively cold -18° C and -30° C conditions, probably due to the small creep strains at these relatively low temperatures. The creep mechanisms for these samples, and whether the mechanisms were different at different temperatures, could not be determined from the micro-CT-derived microstructural observations alone. This is because the micro-CT can only capture the microstructure before and after creep. Instead, plots of both strain vs. time and strain rate vs. strain can be used to elucidate the onset of recrystallization during creep (Sects. 3.3 and 3.4; Ogunmolasuyi, et al., 2023).
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3.3 *Relationship between strain and time*

Figure 4 shows the strain vs. time creep curves. The specimens at -5° C at 20 m, and at -18° C at 20 m, 40 m and 60 m, show decelerating transient creep and quasi-viscous steady-state creep, 282 while the specimens at -5° C at 40 m and 60 m show transient, secondary, and accelerating tertiary 283 creep. Note that the curves from the -30° C specimens are not easily interpreted, due to a large amount of noise arising from both the insufficient resolution of a linear voltage differential transducer (Li and Baker, 2022a) and the very small strains. The transient creep stage may be caused by strain hardening that occurs from the yield point to the ultimate strength. The plastic deformation is accommodated by an increase in dislocation density through dislocation multiplication or the formation of new dislocations, which leads to an increase of the firn strength as the dislocations become pinned or tangled, and thus more difficult to move. The initial decrease of creep rate may also be related to the rearrangement of dislocations into a more stable

- 291 pattern through a dragging mechanism (Weertman, 1983) for the -5° C specimens. The tertiary creep stage may be associated with strain softening deriving either from the thermally-activated processes at the high homologous temperature approaching the melting point of ice, or from recrystallization (Li and Baker, 2022a). Clearly, the creep rate of firn is sensitive to temperature under a constant stress at a given depth, *viz.*, the creep rate increases with increasing temperature (**Figure 4**). Incidentally, there is no evidence of the onset of recrystallization in the creep curves 297 themselves despite the thin-section observation that -5° C specimens clearly underwent recrystallization during creep (Sects. 3.2).
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300 A modified Andrade-like equation $\varepsilon = \beta t^k + \varepsilon_0$ in Li and Baker (2022a) was used to describe the transient creep behavior of the firn, in which the primary creep was well represented in black dashed lines on the creep curves in **Figure 4**. The time exponent *k*, derived from the above 303 equation, ranges from 0.34–0.69: the data for the -30° C specimens are excluded since the noise in the results makes them uninterpretable. These *k* values are also smaller than those from 305 monocrystalline and bicrystalline ice: 1.9 ± 0.5 , 1.5 ± 0.2 , and 1.3 ± 0.4 (Li and Baker, 2022a and references therein). We also note that the *k* values from the specimens at -5° C from 20–60 m 307 (0.68, 0.61, and 0.69), and at -18° C from 40 m (0.49) are greater than 0.33, while the *k* value 308 from the -18° C specimens at 20 m (0.34) and 60 m (0.34) are close to 0.33 that is usually obtained for full-density polycrystal ice. These *k* values imply that the more the constraints from the grain-boundaries, the slower the deformation rate will be, and that the grains in firn deform with less grain-boundary constraints than in a full-density polycrystalline ice because of the presence of void space in firn (Li and Baker, 2022a; Li, 2023b). Clearly, the above *k* values,

 Figure 4: Strain vs. time for firn specimens at –5°C (red lines), –18°C (green lines), and –30°C (blue lines), from depths of 20 m (applied stress 0.21 MPa), 40 m (0.32MPa) and 60 m (0.43MPa). The black dashed curves represent fits to a modified Andrade-like equation with the time exponents indicated on the curves, if any.

 which increased with increasing temperature (**Figure 4**), indicate that deformation is easier because of the lower viscosity at the higher temperature. Thus, *k* seems to be a state variable with

3.4 *Relationship of strain rate to strain*

Figure 5 shows log strain rate vs. strain plots from all the -5° C and -18° C specimens; the -30° C samples are excluded due to noise. The evolution of the strain rate is characterized more clearly in **Figure 5** than in **Figure 4**. Clearly, the strain rate is also a state variable of temperature, where the strain rate increases with increasing temperature for a given strain at a given depth (**Figure 5**; **Table 2**). The strain rate minimum at the secondary creep stage (SRMin) and the strain at the 339 SRMin for all the -5°C and -18°C specimens are shown in **Figure 5** and **Table 2**. The SRMin was 340 reached at a strain of 11.8%, 7.5% and 2.7% for the -5° C specimens from depths of 20 m, 40 m, and 60 m, respectively, consistent with strains at the SRMin decreasing with increasing depth at a given temperature in **Figure 7** and **Table 3** in Li and Baker (2022a). For the –18^oC specimens, the SRMin occurred over a range of strains from 1.81–2.9% at 20 m, at a fixed strain of 4.1% at 40 m, and at a strain oscillating between 1.1 and 1.8% at 60 m. These values of strain at different SRMin values are different from those usually observed at strains of 0.5–3% for fully-dense ice (e.g. Cuffey and Paterson, 2010), implying that different mechanical behavior between firn and

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347 Table 2. Observed and inferred strain rate minima and strains observed at the strain rate minima.

 The SRMin without the prefix is the observed values during creep, while the SRMin with a prefix is the inferred values. Note that PC-SRMin is the abbreviation of the post-calibration SRMin, and 352 that $-30^{\circ}C(U)$ and $-30^{\circ}C(L)$ indicate the upper and lower bound from the $-30^{\circ}C$ samples from 353 44.8 kJ mol⁻¹ and 113 kJ mol⁻¹, respectively. PC1-SRMin, PC2-SRMin, and PC3-SRMin are described in **Appendix B**. The symbol – indicates the unavailable values of SRMin and the strain value at the SRMin observed during creep. The color fonts and backgrounds are described in **Appendix B**. 357

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362 Figure 5: Log strain rate vs. strain from the firn specimens at temperatures of -5°C and -18°C 363 from depths of 20 m (applied stress 0.21 MPa), 40 m (0.32MPa) and 60 m (0.43MPa). Samples 364 from -30^oC are not shown due to the very large noise. The blue lines represent discrete strain rates, 365 which are calculated by extracting the strain data hourly, while the orange lines represent a 366 moving average of 15 moving windows with respect to the strain.

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369 pure ice (Li and Baker, 2022a). Overall, the strain at the SRMin is greater with lower density and

370 higher temperature, e.g. 11.8% strain from the -5° C specimens at 20 m, 4.1% strain from the –

- 18^oC specimens at 40 m, where a larger strain was caused by the longer-lasting strain hardening 372 (Li, 2023b). Additionally, tertiary creep occurs both during quasi-steady state deformation (from 373 the -5° C specimens at 40 m and 60 m) and in the ascending stage (from the -5° C and -18° C 374 specimens at 20 m and the -18° C specimen at 40 m) more easily with lower firn density, greater 375 effective stress, and higher creep temperature, e.g. from the -5° C specimens at 20 m, where the 376 strain softening is primarily due to recrystallization.
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378 3.5 *Apparent activation energy for creep*

379 Experimental observations of the SRMin are sparse and limited, as they only occurred for the – 380 5°C and at -18°C specimens at each depth (Table 2). It is hard to achieve the SRMin for all firn 381 specimens in laboratory environments (Landauer, 1958), especially under low temperatures and stresses such as those from the -30° C specimens in this work. To this end, we offer the various 383 possibilities of the SRMin using the evidence we have.

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385 First, we attempted to estimate the apparent activation energy of creep, Q_c (kJ mol⁻¹), by rearranging the Arrhenius relation $\dot{\varepsilon} = A\sigma^n \exp(-Q_c/RT)$ to $Q_c = -R \left[\frac{\partial \ln(\dot{\varepsilon}/\sigma^n)}{\partial (1/T)} \right]$, 387 where $\dot{\varepsilon}$ (s⁻¹) is the strain rate, *A* (s⁻¹ Pa⁻ⁿ) is the creep exponent factor, σ (MPa) is the applied 388 stress, *n* is the creep (stress) exponent, *R* (8.314 J mol⁻¹ K⁻¹) is the gas constant, and *T* (K) is 389 Kelvin temperature, on the basis of *only* two SRMins from the -5^oC and -18^oC samples at each 390 depth (**Table 2**). The Q_c values from the 20 m, 40 m, and 60 m specimens were calculated to be 61.4 kJ mol⁻¹, 87.3 kJ mol⁻¹, and 102.8 kJ mol⁻¹, respectively (**Figure 6**). Based on the three 392 SRMins from the -5° C and -18° C samples at 60 m in this work, and from -10° C samples at 60 m

in Li and Baker (2022a), a Q_c value for the 60 m specimen was calculated to be 100.7 kJ mol⁻¹. 394 To see whether or not these above Q_c values are reliable, we estimated the activation energy of 395 grain-boundary diffusion/viscosity, Q_{gbd} (kJ mol⁻¹), using the relation $K = (D_t^2 - D_0^2) / t = k \exp(-Q_{\text{gbd}}/RT)$, in an alternative form of $Q_{\text{gbd}} = -R [\partial \ln K / \partial (1/T)]$, 397 where *K* is the observed rate of grain growth $\text{(mm}^2 \text{ a}^{-1})$, D_0^2 and D_t^2 are the measured mean grain area (mm²) in a firn sample at the onset of the creep $(t = 0)$, and at the end time of the creep 399 (*t*-year), and *k* is a constant grain growth factor. The grain growth rates are plotted on a 400 logarithmic scale against the reciprocal of *T* (**Figure 6**). For changes in grain size from the related specimens before and after creep see Li and Fu (2024). Correspondingly, the Q_{gbd} values 402 calculated were 41.4 kJ mol⁻¹, 40.8 kJ mol⁻¹, and 40.9 kJ mol⁻¹ for the specimens at 20 m, 40 m, and 60 m, respectively. These Q_{gbd} values are comparable to the values of 40.6 kJ mol⁻¹ obtained 404 in laboratory experiments on polycrystalline ice (Jumawan, 1972), and 42.4 kJ mol⁻¹ from 13 405 polar firn cores (Cuffey and Paterson, 2010) for grain-boundary self-diffusion of polycrystalline 406 ice. Further, the ratio of Q_{gbd}/Q_c is 0.67, 0.47, and 0.4 for the 20 m, 40 m, and 60 m specimens, 407 respectively. We noted that the ratio of 0.67 for Q_{gbd}/Q_c was recommended by Hobbs (1974) and 408 Cuffey and Paterson (2010). The Q_c values calculated using the Arrhenius relation for the 40 m 409 and 60 m specimens are likely greater than the actual values, and hence are seemingly less reliable. There is little difference between the two-SRMin-derived Q_c value (102.8 kJ mol⁻¹) and 411 the three-SRMin-derived Q_c value (100.7 kJ mol⁻¹), implying that these two methods for 412 calculating Q_c have equal utility. Moreover, the above Q_{gbd} values are lower than the 48.6 kJ 413 mol⁻¹ that was inferred by the grain growth rate for firn samples with densities ranging from 320– 414 650 kg m⁻³ from cores drilled at South Pole, Antarctic (Gow, 1969), which makes a ratio of 0.67

- for Q_{gbd}/Q_c an unreliable sole-criterion. In short, it is difficult to assess the reliability of both Q_c 416 and Q_{gbd} , as discussed above due to their scatter and debates in the current literature. Thus, these 417 Q_c values estimated in this work ranging from 61.4–102.8 kJ mol⁻¹ are reasonable, falling within 418 the range of 44.8–113 kJ mol⁻¹ reported in the literature (**Table 3**).
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A great challenge is the estimation of the Q_c using the SRMins including the –30°C specimens, whose SRMin shows high variability due to the extraordinarily slow strain rate at low temperatures. This difficulty cannot be resolved by extrapolating experimental data (Sinha, 1978; Hooke et al., 1980), e.g. the use of Andrade's law (Glen, 1955). Instead, we turned our focus to studying the relationship between the SRMin and temperature by constraining our data in a wide 425 range of Q_c values reported in the literature presented in Table 3. Clearly, there is a larger scatter 426 of Q_c values for firn than for ice. The increase of Q_c from mono-crystalline and bi-crystalline to polycrystalline ice implies that the fewer the constraint of grain-boundaries, the greater is Q_c . Alternatively, firn creep is easier than that of polycrystalline ice due to either the easier sliding of grains in firn along more directions in the more porous and heterogeneous structure (Sect. 3.3), or the decrease of viscosity associated with inclusions (e.g. Baker and Gerberich, 1979; Goodman et al., 1981) that facilitate the intra- and inter-grain sliding (Salamatin et al., 2009).

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436 **Figure 6:** Arrhenius plots to estimate the apparent activation energy of creep (*Qc*; left panel) and 437 the apparent activation energy of grain-boundary diffusion (Q_{gbd} ; right panel) from the firn 438 specimens noted. The blue, magenta, and red solid lines are the upper bound (44.8 kJ mol⁻¹) of 439 PC1-SRMin, PC2-SRMin, and PC3-SRMin, respectively, while the blue, magenta, and red dashed 440 lines are the lower bound (113 kJ mol⁻¹) of PC1-SRMin, PC2-SRMin, and PC3-SRMin,

441 respectively (Table 2). The blue circles, the magenta triangles, and the red stars are the data in 442 Table 2. The black dashed lines are from *only* two SRMins at -5° C and -18° C (the black squares 443 are the data measured), whose Q_c is indicated in each subfigure. The green dashed line is from the 444 three SRMins at -5° C, -18° C in this work, and -10° C from Li and Baker (2022a) (the green triangles are the measured data), whose Q_c is 110.7 kJ mol⁻¹. The blue dashed lines (right panel) 446 are from grain growth rate at three temperatures (the blue squares are the observed data), whose 447 *Q_{gbd}* is indicated in each subfigure. 448

449

450 Table 3. Apparent activation energy for the creep of firn and ice, Q_c , reported in literature.

Q_c	Sample	Density	Temperature	Methods	Source	
kJ mol ⁻¹		$kg \, \text{m}^{-3}$	$\rm ^{o}C$			
58.6–113	Firn	~ 400	$[-13.6, -3.6]$	Uniaxial/Hydrostatic	Landauer (1958)	
				Compression		
$44.8 - 74.5$	Firn/Bubbly Ice	$440 - 830$	$[-34.5, -0.5]$	Uniaxial Unconfined	Mellor & Smith	
				Compression	(1966)	
54	Firn/Bubbly Ice	Undetail	$[-28, -16]$	Shear Deformation of	Paterson (1977)	
		ed		Boreholes		
$~10^{-72.9}$	Firn	$320 - 650$	Unnecessary	Grain Growth Rate	Gow (1969)	
69 ± 5	Firn	423 ± 8	$[-19, -11]$	Triaxial Compression	& Scapozza	
					Bartelt (2003)	
~ 60	Artificial/Natural	~ 917	-15	Torsion Creep Test	$\mathcal{R}_{\mathcal{L}}$ Pimienta	
	Ice (South Pole)				Duval (1987)	
61	Polycrystalline Ice	-917	-9.6	Hydrostatic Pressure	Duval al. et	
					(1983)	
78	Monocrystalline	-917	$[-30, -4]$	Derived from Bicrystal	Homer & Glen	
	Ice			Ice	(1978)	
75	Ice Bicrystal	-917	$[-30, -4]$	Tensile Test Parallel to	Homer & Glen	
				Grain-boundary	(1978)	

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153 In principle, Q_c of firn should exceed that for polycrystalline ice. Intriguingly, some reported *Q_c* values from firn are less than that for ice, meaning the degree of spatial freedom in the ice-matrix is limited by the topological structure of the firn (Liu et al., 2022). Incidentally, the effective stress of porous materials is determined by not only its porosity, but also other factors, e.g. the microstructural topology (Liu et al., 2022) and the impurity types and concentrations in 458 the firn. However, this issue is beyond the scope of this work. In summary, a Q_c for firn, which 459 ranges from $44.8-113 \text{ kJ}$ mol⁻¹, is plausible due to the intrinsic nature of natural firn that has a far more complicated and changeable microstructure than ice.

461

462 The stress exponent *n* is calculated from the measured SRMins (Table 2) to be \sim 0.1 and \sim -1.2 463 from the -5°C and -18°C samples, respectively, using Glen's law $\dot{\varepsilon} = A\sigma^n$. This is in 464 disagreement with the reported $n = -4.3$ by Li and Baker (2022a). Thus, the SRMin value from all 465 the samples must be calibrated via a constraint from the *n* values. To proceed, the post-calibration 466 SRMins for the -5^oC and -18^oC samples are highlighted in Table 2 (see Appendix B in detail). 467 From here on we only discuss the applied stress since there is little difference between the 468 effective stress and applied stress for calculating the stress exponent (Li and Baker, 2022a). Based on both the reported range of *Q*^c 469 and the two observed SRMins at −5°C and −18°C, the SRMins 470 for the −30°C samples are inferred (**Table 2**), using the Arrhenius relation.

471

472 Also, based on both the observed and inferred SRMins with the upper and lower bounds (**Table 2**), 473 a series of fitted functions are then found between the SRMin and the reciprocal of the 474 temperature ($\rm{^{\circ}C}$), $\rm{1/T_{c}}$:

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 $\left($

 $\sin{\left[\text{SRMin} = -3 \times 10^{-5} / \text{T}_\text{e} - 7 \times 10^{-7} \text{[R}^2 = 0.988; \text{PC1}(\text{L20})\text{]} \right]}$ $\text{SRMin} = -3 \times 10^{-5} / \text{T}_{\text{c}} - 2 \times 10^{-7} [\text{R}^2 = 1; \text{PC}1(\text{U }20)]$ $\text{SRMin} = -1 \times 10^{-5} / \text{T}_{\text{c}} - 3 \times 10^{-7} [\text{R}^2 = 1; \text{PC} 2(\text{L} 20)]$ $\left\{ \frac{\text{SRMin}}{5.5} = -9 \times 10^{-6} \text{/T}_{\text{c}} - 2 \times 10^{-7} \text{[R}^2 = 0.987; \text{PC} 2(\text{U } 20) \text{]} \right\}$ $\text{SRMin} = -2 \times 10^{-6} / \text{T}_{\text{c}} - 6 \times 10^{-8} [\text{R}^2 = 0.998; \text{PC }3(\text{L }20)]$ $SRMin = -1 \times 10^{-6} / T_c - 3 \times 10^{-8} [R^2 = 0.976; PC 3(U 20)]$ $\overline{ }$ $\left| \right|$ $\left| \right|$ $\left[\text{SRMin} = -1 \times 10^{-6} / T_c - 3 \times 10^{-8} \text{ [R}^2\right]$ 476 , $\frac{1}{2}$,

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 where PC1(L20) and PC1(U20) indicate the lower and upper bound values of the post-calibration SRMins from the 20 m samples (**Table 1**), and other symbols are similarly formatted, e.g. PC1(L40), PC1(U40), PC1(L60), PC1(U60), and so on. These relationships are plotted in **Figure** 485 7, where the SRMin vs. $1/T_c$ plots from the three depths are almost the same shape, implying that the SRMin is dependent on the temperature at a constant stress. It is important to note that the average (minimum) strain rate for the secondary creep stage for a given temperature increases

 Figure 7: Plots of the strain rate minimum versus the reciprocal of temperature. PC1(L20) and PC1(U20) indicates the lower and upper bound, respectively, from the 20 m samples via PC1 as noted in Table 2, and so on. The circles indicate the upper bound data measured and inferred, while the squares indicate the lower bound data. The dashed line is the fit from the lower bound, while the solid line is the fit from the upper bound.

 with increasing depth/density of the samples (**Figure 7**; **Table 2**). This is opposite to a decrease of the SRMin at a fixed stress and temperature in **Figure 7** and **Table 3** in Li and Baker (2022a). These changes in SRMin are irrespective of the stress (**Appendix A**). The temperature plays a predominant role during firn creep for a given density of sample at a constant stress. An interesting question on firn creep at a specific temperature is whether the SRMin slows down or speeds up with decreasing density of firn. Certainly, natural firn samples raise the complexity in interpreting the firn creep due to the influences both from inclusions (Li and Baker, 2022a and references therein; Li, 2024), and from the topology of the microstructures (Liu et al., 2022). In addition, there is a broad spread of the SRMin at each depth, in which the SRMin varies by several times, even one order of magnitude or more between the different possibilities of post-calibration SRMins (**Figure 7**), implying that the microstructure of the sample significantly influences the process of the creep of firn. Moreover, it is hard to generalize a universal formula for predicting the SRMin at temperatures below −30°C, where the SRMins becomes negative (**Figure 7**). Thus, there is a need for an in-depth understanding of the polar firn creep behavior in secondary creep stage.

526 To illustrate the differences between the Q_c values calculated from PC1-SRMin, PC2-SRMin, and PC3-SRMin, we have plotted them in **Figure 6**. Interestingly, the Arrhenius plots of the natural logarithm of strain rate with 1000/T (**Figure 6**) are similar to those observed by Glen (1955) and Homer and Glen (1978), implying that there is no significant difference in the creep 530 mechanism for a temperature range of -30° C to -5° C (Glen, 1955; Homer and Glen, 1978), where both diffusion via grain-boundary, vacancy or interstitial defects (Barnes et al., 1971; Brown and

- George, 1996; Nasello et al., 2005; Li and Baker, 2022b) and dislocations contribute to the creep
- of polar firn.
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- **4. Conclusions**
- Constant-load creep tests were performed on three cylindrical specimens tested from depths of 20
- 537 m (applied stress 0.21 MPa), 40 m (0.32MPa) and 60 m (0.43MPa) at temperatures of -5 ± 0.2 °C,

538 -18 ± 0.2 °C, and -30 ± 0.2 °C from a firn core extracted at Summit, Greenland in June, 2017. The

microstructures were characterized before and after creep testing using the micro-CT and thin

- sections viewed between optical crossed polarizers. It was found that:
-

 1. Microstructural parameters measured using the micro-CT show that the polar firn densified 543 during the creep compression (e.g. from 685 to 729 kg m⁻³ for the 40 m specimen at –5^oC), *viz.*, the TP (from 25.5 to 20.7%), the ECDa (from 0.86 to 0.69 mm), the SSA (from 3.26 to 3.02 mm^{-1}), and the SMI (from -1.85 to -2.8) decreased, while the S.Th (from 0.95 to 0.99 mm) and the CP (from 0.01 to 0.02%) increased. Anomalies in the microstructures, especially 547 at low temperatures of -18°C and -30°C , are likely due to metamorphism under temperature gradients, the radial dilation effect during firn deformation, the measurement uncertainty of 549 the micro-CT, or the anisotropy and the heterogeneity of natural firn.

 2. The transient creep behavior of firn at constant stress and different temperatures obeys an Andrade-like law, but, the time exponent *k* of 0.34–0.69 is greater than the 0.33 found for ice. This is due to fewer grain-boundary constraints in porous firn than in ice.

3. The secondary creep behavior of firn at constant stress and different temperatures presented

 The creep of polar firn behaves differently from full-density ice, implying that firn densification is an indispensable process in fully understanding the transformation of ice from snowfall in the polar areas. Observed firn deformation indicates that temperature plays a determined role in firn densification. Thereby, it will be helpful to bridge a gap between the firn temperature and climate of the past for reconstructing paleoclimate. Also, it will be helpful to apply a confining load to investigate the microstructure of the creep of polar firn with smaller initial particle sizes at low

- temperatures using the micro-CT. Further studies of interest are to investigate the quantitative
- relationship between the microstructural parameters and the mechanical behavior of polar firn,
- and when the onset of recrystallization occurs during creep, as well as verify the SRMin predicted
- by the relationship of SRMin vs. temperature from the firn specimens at more extensive ranges of
- stresses and temperatures.

581 **Appendix A:** Hydrostatic pressure, the applied stress, and the effective stress The hydrostatic pressure, p, was calculated from the overburden of snow, using $p = \overline{\rho}_f gh$, where 583 \bar{p}_f is the average firn density above the depth of interest, *h*, and *g* is the acceleration of gravity. 584 At Summit, p at the depths of 20 m, 40 m, and 60 m was estimated to be ~0.1 MPa, ~0.22 MPa, 585 and ~0.38 MPa, respectively. Note that the slope of the surface of ice sheets and glaciers at 586 Summit is idealized to be zero, i.e. their surfaces are horizontal. The applied stress, σ , is the 587 applied load divided by the cross-sectional area of a sample. The σ at the depths of 20 m, 40 m, 588 and 60 m were 0.21 MPa, 0.32 MPa, and 0.43 MPa, respectively. The effective stress, $\tilde{\sigma}$, is 589 defined as σ divided by the fraction of ice matrix in firn, see in detail from Li and Baker 590 (2022a). Thereby, $\tilde{\sigma}$ is 0.32 MPa (the mean porosity of 34.9%), 0.43 MPa (24.8%), and 0.5 591 MPa (14.4%) from the 20–60 m samples, respectively. Note that the stresses were vertically 592 loaded on the sample (parallel to the direction of core axis of the sample) in laboratory tests. 593 Ideally, in order to be analogous to the densification of firm in nature, $\tilde{\sigma}$ for laboratory samples 594 from a given depth should be equal to the p of firn *in situ* at an equivalently same depth at 595 Summit, namely $\tilde{\sigma}/p = 1$. However, in consideration of the laboratory timeframe for experiments 596 (Pimienta and Duval, 1987), the stresses applied in laboratory tests are usually higher with a 597 resulting higher rate of deformation than those *in situ*. Thus, to observe the effect of the stress on 598 the creep of firn with different densities at different depths, we designed the following 599 configuration of the $\tilde{\sigma}/p$ with depth, *viz.*, 0.32 MPa/~0.1 MPa = ~3.2, 0.43 MPa/~0.22 MPa = 600 \sim 1.95, and 0.5 MPa/ \sim 0.38 MPa = \sim 1.32 for the samples from the depths of 20 m, 40 m, and 60 m, 601 respectively. In this manner, the decrement of $\tilde{\sigma}/p$ with increasing depth represents the decrease 602 of the effective stress with increasing depth.

603 **Appendix B:** Strain rate minimum inferred via two kinds of constraints

 To improve the reliability of inferred SRMins, two kinds of constraints were applied. First, the 605 SRMins from the -5°C and -18°C samples are calibrated using Glen' law $\dot{\varepsilon} = A\sigma^{\text{n}}$ with n = 4.3 (Li and Baker, 2022a). PC1-SRMin, PC2-SRMin, and PC3-SRMin indicate three possibilities of the SRMins that are calculated in turn from the 20 m, 40 m, and 60 m samples via the *only* 608 SRMin observed at a given temperature (Table 2). As an example, for the -5° C samples there exist three possibilities from three depths. 1) The SRMin observed from the 20 m sample in the orange font with grey background is used to respectively calculate two other SRMins for the 40 m and 60 m samples in the dark red font in the column of PC1-SRMin. 2) In the same manner as in scenario 1), the SRMin observed from the 40 m sample is calculated in the column of PC2-SRMin, and the SRMin observed from the 60 m sample is calculated in the column of PC3-SRMin. 3) In the same manner as in scenarios 1) and 2), the SRMin is calculated for the – 18° C samples in the blue font with grey background in turn from three depths. Second, the SRMin 616 of the -30° C samples is inferred on the basis of the range of Q_c , i.e. from 44.8 kJ mol⁻¹ (upper 617 bound) to 113 kJ mol⁻¹ (lower bound), using the Arrhenius relation.

Data availability

- The data supporting the conclusions in this study are available at https://arcticdata.io/catalog.
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Author contribution

- YL and IB designed the experiments and YL carried them out. YL analyzed the data and
- visualized the relevant results. YL prepared the manuscript with contributions from all co-authors.

Competing interests

At least one of the (co-)authors is a member of the editorial board of The Cryosphere.

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