

1 **The 1538 eruption at Campi Flegrei resurgent caldera: implications for future unrest and**
2 **eruptive scenarios**

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11 Abstract

12 The recent unrest in the Campi Flegrei caldera which began several decades ago, poses a high risk to
13 a densely populated area, due to significant uplift, very shallow earthquakes of intermediate
14 magnitude and the potential for an eruption. Given the high population density, it is crucial, especially
15 for civil defense purposes, to consider realistic scenarios for the evolution of these phenomena,
16 particularly seismicity and potential eruptions. The eruption of 1538, the only historical eruption in
17 the area, provides a valuable basis for understanding how unrest episodes in this caldera may evolve
18 toward an eruption. In this paper, we provide a new historical reconstruction of the precursory
19 phenomena of the 1538 eruption, analyzed considering recent volcanological observations and results
20 obtained in the last few decades. This allows us to build a coherent picture of the mechanism and
21 possible evolution of the present unrest, including expected seismicity, ground uplift and eruptions.
22 Our work identifies two main alternative scenarios, providing a robust guideline for civil protection
23 measures, and facilitating the development of effective emergency plans in this highly risky area.

24 **1. Introduction**

25 The Campi Flegrei area has been a benchmark of modern geology and volcanology since the middle
26 XVIII century, due to the clear evidence of significant ground movements, associated with both uplift
27 and subsidence, imprinted on the columns of the ancient Roman Market (Macellum; [hereafter also](#)
28 [called Serapeum or Serapis Temple](#)) in the town of Pozzuoli. These movements were famously
29 depicted on the cover of Charles Lyell's seminal book, 'Principles of Geology'. By the XIX century,

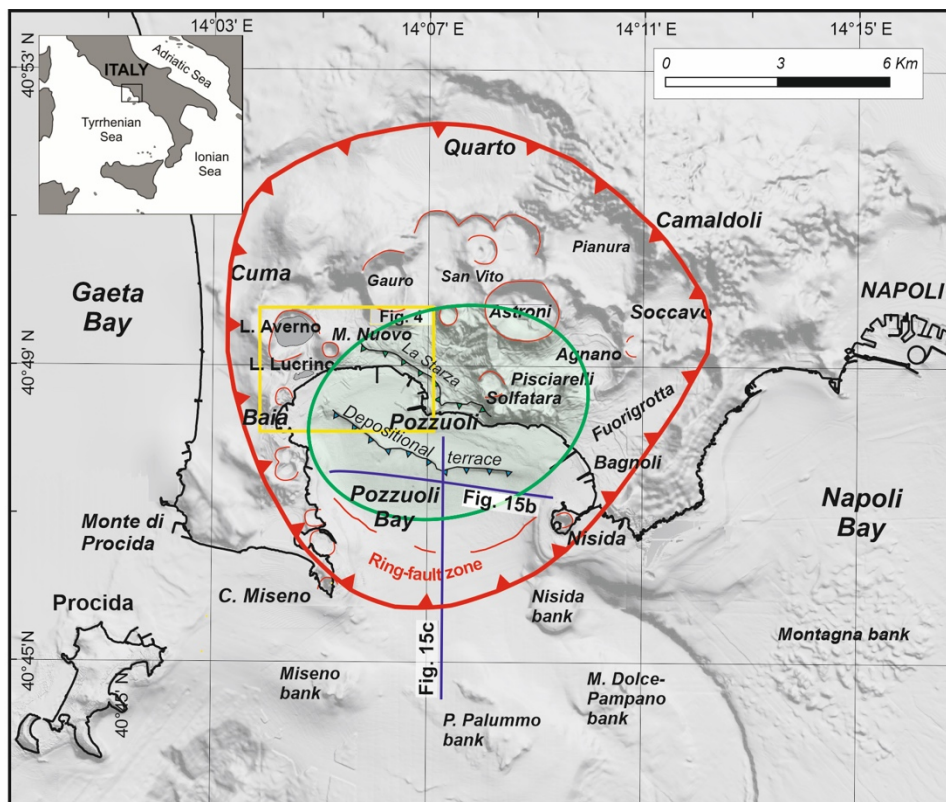
it became evident that the impressive relative movements between sea level and ground were due to ground uplift and subsidence. Consequently, numerous efforts have been made to reconstruct the timeline of these movements, during the centuries. One of the most convincing reconstructions was proposed by Parascandola (1947), later modified by Dvorak and Mastrolorenzo (1991), Morhange et al. (2006), Bellucci et al. (2006) and, more recently, Di Vito et al. (2016). However, all these reconstructions exhibit evident discrepancies, and do not rely on the full body of historical evidence, as we will demonstrate. These significant ground movements have predominantly involved a long-term trend of subsidence, punctuated by occasional episodes of rapid ground uplift, culminating in the only eruption occurred in historical times, in 1538 (Di Vito et al., 2016). After the 1538 eruption, a new period of subsidence began, which was interrupted in 1950, when a new series of uplift episodes commenced (Del Gaudio et al., 2010). Two major uplift episodes occurred between 1969-1972 and 1982-1984, characterized by significant and rapid uplift (with a cumulative uplift of about 3.5 m) accompanied by intense seismicity. These events led to the evacuation of 3000 residents from the oldest part of Pozzuoli town (Rione Terra), in 1970, and the entire town of Pozzuoli comprising 40.000 people, in 1984 (Barberi et al., 1984). After approximately 20 years of subsidence, a new uplift phase began in 2005-2006, with a much lower uplift rate ([less than](#) 0.01 meters per month on average, compared to about 0.06 meters per month in the 1970s and 1980s), but long-lasting and still ongoing. This new unrest has been accompanied by progressively increasing seismicity, which has substantially intensified, both in frequency and maximum magnitude ([Troise et al., 2019; Iervolino et al., 2024](#)). The maximum magnitude reached $M=4.4$ on May 20, 2024, once the maximum ground level attained at the end of 1984 was reached (in July 2022) and surpassed. The progressively increasing seismicity confirms the predictions of Kilburn et al. (2017) and Troise et al. (2019), who based their forecast on the correspondence of the ground level with stress levels at depth. This seismic activity represents a significant and continuous hazard for the edifices in such a densely populated area, given the very shallow depth of the earthquakes (about 2-3 km). Furthermore, the current crisis poses an even higher threat as it could potentially be a precursor to a future eruption in the area.

The present study is aimed to reconstruct and interpret the events before and after the 1538 eruption. This analysis follows three main paths: i) the accurate reconstruction, of the ground movements in this area since early historical times, using historical testimonies and documentation; ii) the accurate reconstruction of the uplift movements that evolved from 1430 to 1538, accompanied and followed by significant seismic events; iii) the analysis of stratigraphic and geophysical parameters, which, although collected in the recent era, provide important elements for the reconstruction and interpretation of the unrest related to the 1538 eruption.

Finally, the interpretation of the events preceding, accompanying and following the 1538 eruption is used to provide insight into possible evolution scenarios for the present unrest, which started in 1950 and is still in progress (Troise et al., 2019; Scarpa et al., 2022)

2. Caldera formation and post-caldera volcanic activity 14 ka - 3.7 ka

Campi Flegrei is an active caldera to the west of Naples in southern Italy. About 12-14 km across, its southern third is submerged beneath the Bay of Pozzuoli. Following the most recent, and likely only (Rolandi et al., 2020a; 2020b; De Natale et al., 2016), episode of caldera formation, i.e. the Neapolitan Yellow Tuff eruption 15 ka, some 70 eruptions (linked to 35 visible vents) have occurred across the caldera floor, ranging from the effusion of lava domes to explosive hydro-magmatic eruptions (Di Vito et al., 1999; Smith et al., 2011; Isaia et al., 2015). The most recent eruption occurred in 1538, producing the cone of Monte Nuovo (Di Vito et al., 1987; 2016)~~Campi Flegrei caldera has been generated by a huge eruptive event, the 15 ka Neapolitan Yellow Tuff (NYT), as demonstrated by recent research based on drilling results (Rolandi et al., 2020a; 2020b).~~ The caldera collapse resulted in many new fractures, which gradually became eruptive vents. Through these vents, the eruptions continued, exhibiting the characteristics of a volcanic field (Druitt and Sparks, 1984), resulting in the so-called post-caldera activity. Dome-shaped uplift of NYT occurred after the caldera formation in the central zone of Campi Flegrei, with uplift up to hundreds of meters on the caldera floor (Rolandi et al., 2020b). The significant uplift involved a large intra-calderic NYT block, making Campi Flegrei a typical example of resurgent caldera (Luongo et al., 1991; Orsi et al., 1996; 1999; Acocella (2010); Rolandi et al., 2020b). The post-caldera activity gave rise to numerous craters, predominantly tuff cones and tuff rings (Fig. 1a,b), displaying the typical characters of monogenic volcanoes (Marti et al., 2016). Within Campi Flegrei, 35 small eruptive centers have been identified, since the NYT eruption (Di Vito et al., 1999; Smith et al., 2012), producing ~~more than about 60-70~~ eruptions. The magmas associated with these eruptions are typically trachytes and alkali trachytes, with smaller amounts of latite and phonolite (Di Girolamo et al., 1984; Rosi and Sbrana, 1987; D'Antonio et al., 1999). The post-caldera eruptions can be then classified in two periods, occurring between 14 ka and 8.2 ka BP and 5.8 and 3.7 ka BP., respectively, with an interval of significant subsidence without eruptions from 8.2 to 5.8 ka BP (Rolandi et al., 2020b).



--- Border of the NYT caldera (after Sacchi et al., 2014; Steinmann et al., 2018)
--- Limit of the NYT resurgent structure (after Sacchi et al., 2014; Steinmann et al., 2016)
--- Edge of the La Starza marine erosional terrace (subaerial morphology)
--- Edge of the Pozzuoli marine depositional terrace (offshore morphology; IPW in Sacchi et al., 2014)

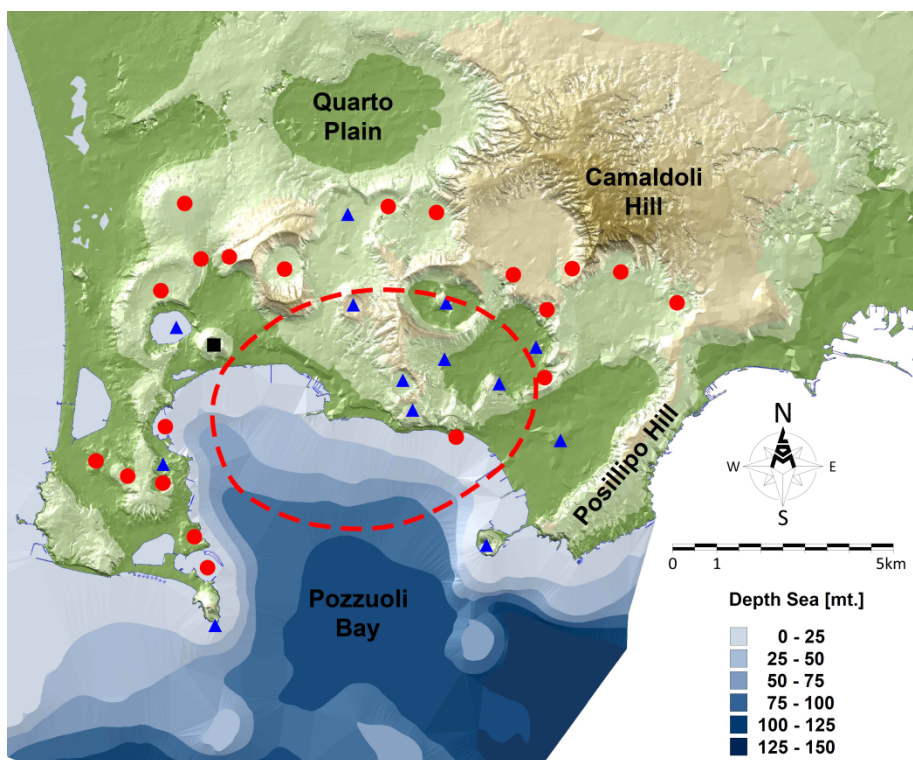


Fig. 1 – Top: Location map of the study area with indication of relevant toponyms and major volcano-tectonic and morpho-structural lineaments associated with the Campi Flegrei caldera. **Bottom:** Map of Campi Flegrei caldera. Red circles indicate the craters of the first post-caldera volcanic phase, blue triangles indicate the craters of the second phase. The red hatched area represents the resurgent block of NYT extended in the Pozzuoli Bay.

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The second post-caldera eruptive phase was preceded by the uplift of 30m, above sea level, of La Starza marine terrace (Cinque et al., 1983; Rolandi et al., 2020b). The distribution of eruptive centers reveals that, during the first post-caldera phase, they were distributed around the resurgent block. In the second phase, among thirteen volcanic edifices, seven occurred within the resurgent area (Fig. 1). It seems likely that the second post-caldera phase (5.8 - 3.7 ka) can be considered the primary reference for defining possible future eruptive scenarios, following the eruption of 1538 AD.

3. Subsidence and uplift evolution before the 1538 eruption

3.1 Previous interpretations

Modern research on ground movements at Campi Flegrei caldera started with the detailed studies by Parascandola (1943; 1947), the latter drawing mainly on earlier work by Niccolini (1846). The 1943 study primarily focused on historical documents describing the subsidence of the ancient Greek-Roman road known as ‘Via Herculea’, which was located near the Averno volcano, and contributed to the formation of Lake Lucrino. The Via Herculea, in use since Greek times (beginning in the 8th century BC) and remaining important throughout the Roman times, serves as fundamental historical marker for assessing ground movements west of Pozzuoli. The detailed history of this road, reconstructed from numerous historical sources and included in the supplementary material, provides insights into its subsidence over the centuries. The road ran along a narrow strip of land, likely formed by coastal aggradation of volcanoclastic sandy deposits (Parascandola, 1943) primarily from the 5 ka and 3.7 ka eruptions of the Averno and Capo Miseno volcanoes (Insinga et al., 2006; Di Vito et al., 2011; Sacchi et al., 2014; Di Girolamo et al., 1984), which eventually created a lake (Fig. 2a). Given its elevation just a few meters above sea level, subsidence significantly affected its usability, with frequent disruptions documented in historical records. These records provide crucial evidence of the evolution of ground subsidence in this area over the centuries. The Greeks arriving from Euboea in the 8th century BC, initially settled on the island of Ischia (Pithecura), before founding the polis of Cuma, the first Greek colony in Magna Graecia and the entire western Mediterranean. From this time the narrow land strip served as a road known as the Via Herculea, providing access to the cultivated countryside around Pozzuoli (Fig. 2b). Parascandola (1943) emphasized the continuous subsidence of the Via Herculea, using historical accounts from Petrarca (1341) and Boccaccio (1355-1373) to establish that the

road had already sunk below sea level by their time. He also noted that Via Herculea did not re-emerge during the uplift accompanying the 1538 eruption, suggesting that the ground uplift in this area was insufficient to compensate for the secular subsidence.

In his later work, Parascandola (1947) presented a detailed reconstruction of ground movements in Pozzuoli, based on evidence fundamental reference for subsequent studies on this subject. According to Parascandola (1947) the maximum subsidence occurred during the IX century.

The first paper to propose an alternative model for ground movements at Campi Flegrei was published by Dvorak and Mastrolorenzo (1991). They propose simplified and constant rates of subsidence and uplift, suggesting that the maximum subsidence occurred at the end of 15th century.

Morhange et al. (1999; 2006), based on radiocarbon dating of lithodome shells, identified an additional episode of ground uplift between 650 and 800 AD. Bellucci et al. (2006) later integrated the ground deformation model of Dvorak and Mastrolorenzo (1991) with the findings of Morhange et al. (1999; 2006) into a unified framework.

More recently, Di Vito et al. (2016) proposed a new reconstruction of ground movements, which will be discussed in more detail in the following paragraphs. Their model suggests that the maximum subsidence occurred in 1251 AD. They also hypothesized that subsidence at Campi Flegrei began around 35 BC, and that the ground at the Monte Nuovo vent uplifted by approximately 19 meters immediately before the 1538 eruption.

3.2 Reconstructing the ground movements with the whole available data set

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As inferred from historical chronicles, as well as from studies on the incrustations and traces of bioerosion on the Pozzuoli Serapeum marble columns (Parascandola 1947; Bellucci et al. 2006), after the two post-caldera phases previously defined, large ground uplift and subsidence in the order of tens of meters, occurred. Historical documents allowed us to precisely reconstruct such ground movements in Pozzuoli area (central part of the caldera) and in the Averno area (3 km west of Pozzuoli, close to the area where the 1538 eruption occurred. The reconstruction reported here, based on all reliable historical documents, is the most complete and rigorous, allowing to put strong constraints on the reconstruction of past ground movements, whose interpretation is presently very unconstrained and hence variable among the different authors.~~correcting several misinterpretations and/or erroneous reconstructions that appeared in previous literature.~~

3.2.1 Ground movements at Averno

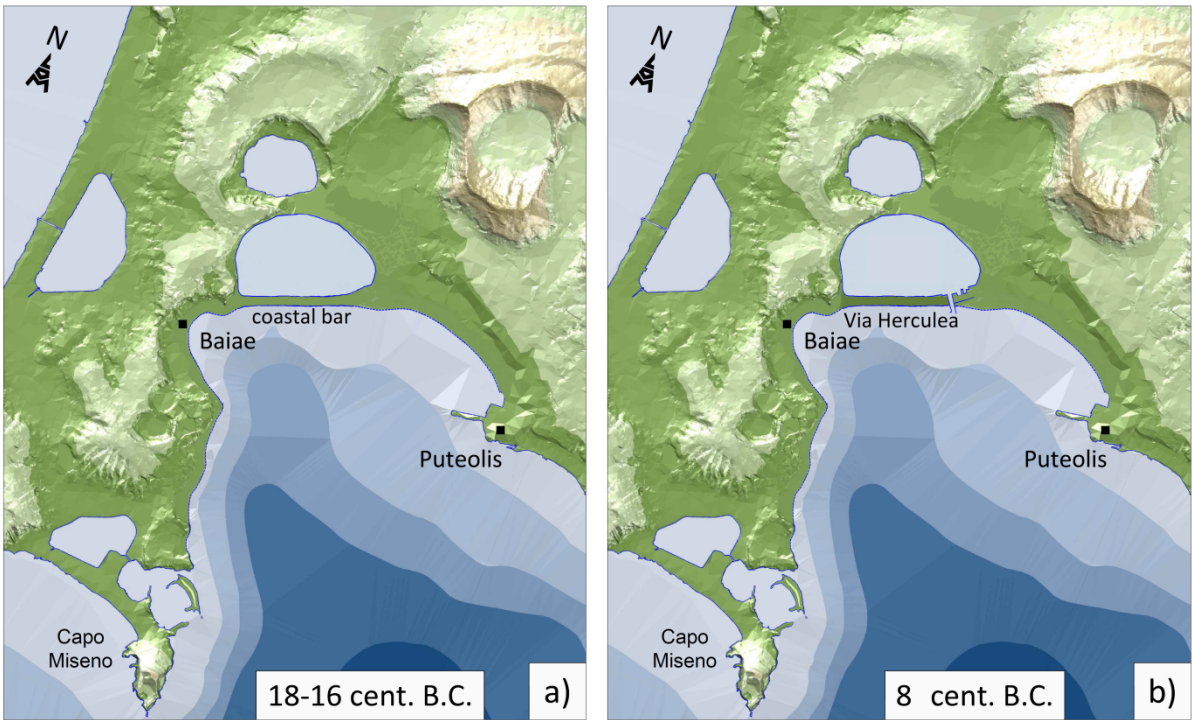
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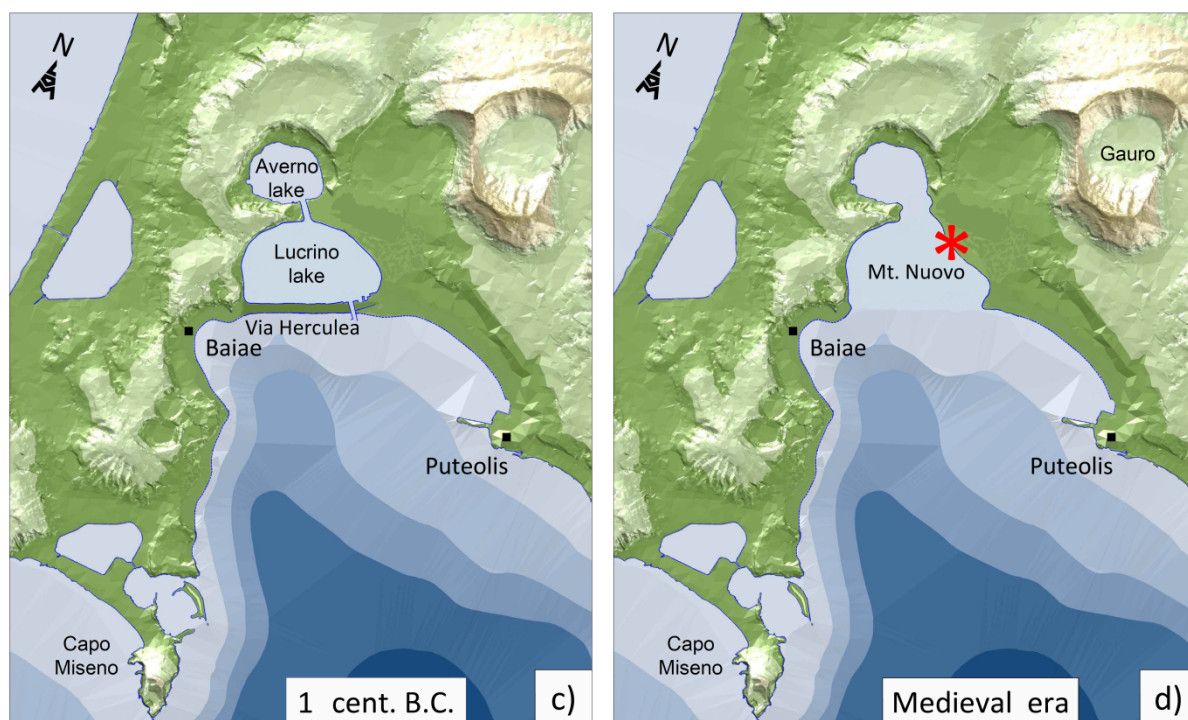
173 The first evidence of subsidence in the Campi Flegrei area dates back Greek times, as reported by
174 Diodoro Siculo (VIII century BC) and is related to the area in front of the Averno Lake, and of the
175 1538 eruption which generated the Monte Nuovo cone. We will start to describe the historical
176 documents to shed light on the ground movements in this area, then we will reconstruct ground
177 movements in the most deformed, central Pozzuoli area.

178 A fundamental historical marker for inferring the ground movements west of Pozzuoli, as already
179 mentioned, is the Via Herculea, ~~which has been used since the Greek times (beginning in the 8th~~
180 ~~century BC) and continued to be very important during the Roman times. Via Herculea, whose~~
181 ~~detailed history is shown in the supplementary material for a reconstruction of its movements as~~
182 ~~reported by several sources during the past centuries, was the name given to a road running on a thin~~
183 ~~land strip, likely formed by aggradation in coastal shallow water settings of volcanielastic sandy~~
184 ~~deposits (Parascandola, 1943), mostly erupted from the 5ka and 3.7 ka eruptions of the Averno and~~
185 ~~Capo Miseno volcanoes (Sacchi et al., 2014; Di Vito et al. 2011; Di Girolamo et al., 1984), giving~~
186 ~~rise to a Lake (Fig. 2a). Since the elevation of this land, used as a road running along the coast from~~
187 ~~Pozzuoli to Baia, was only few meters above the sea level, ground subsidence strongly perturbed its~~
188 ~~use as a road, and such troubles were often reported in historical documents. For this reason, it~~
189 ~~provides compelling evidence for the evolution of ground subsidence in this area during the centuries.~~
190 ~~The Greeks coming from Euboea in the 8th century BC, firstly settled on the island of Ischia~~
191 ~~(Pithecusa), then founded the polis of Cuma, which represents the first Greek colony of Magna~~
192 ~~Graecia and of the entire western Mediterranean. Thus, since the 8th century BC the thin land stipe~~
193 ~~assumed the function of a road taking the name of Via Herculea, to reach the cultivated countryside~~
194 ~~around Pozzuoli (Fig. 2b). Diodoro Siculo (see Appendix 1) reported that, already at their the times~~
195 of first Greek settlements, i.e. 8th century BC, continuous subsidence affected this area, thus
196 generating problems to the practicability of Via Herculea.

197 In Roman times, since the beginning of the 1st century BC, the body of water enclosed by the Via
198 Herculea, purchased by Sergio Orata, played an important role in fish-farming since 90 BC, taking
199 the name of Lucrino, much larger than the present-day Lake Lucrino. After his death, due to
200 continuous subsidence which menaced both the practicability of the Via Herculea and the fish farming
201 activities, the new owners around 560 BC, turned to the Roman Senate calling for appropriate
202 interventions. For this purpose, in the period 4598-44 BC Julius Caesar was commissioned, which
203 built then building a barrier (*Opus Pilarum*) and special shutters to protect the road and the Lucrino
204 Lake from sea ingression (see Appendix 1). Towards the end of the same century, for military
205 purposes, in 37 BC Agrippa cut both the Via Herculea and the barrier with the crater of Avernus.

206 Having understood, unlike Julius Caesar, the continuous subsidence of the Via Herculea, which at
207 the end of the century was only few meters above sea level (Fig. 2c), Agrippa also **increased its**
208 **height** (Strabo, 1st century BC). About four centuries later, Theodoric (King of the Ostrogoths), upon
209 request for the protection of fish farming, restored the dam by increasing again the height of via
210 Herculea with respect to the sea level (Parascandola, 1943).
211 Due to continuous subsidence, the Via Herculea finally sank below the sea level between 6th - 7th
212 century A.D, when the sea penetrated the crater of Averno, the Lake Lucrino having disappeared (Fig.
213 2d). Proof of the disappearance of the Via Herculea and of the Lucrino Lake was also testified by
214 Boccaccio, who lived in the Naples area from 1327 to 1341 AD and described the Averno area in its
215 geographical book ‘De montibus’ (...to Avernus, connected in ancient times with the nearby lake
216 Lucrino where it recalls the waters of portus Iulius).





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219 **Fig. 2 - a,b,c,d) position and shape of the via Herculea, Lucrino and Averno lakes, in relation to**
 220 **the bradiseismic phases along 33 centuries. The red dot-star indicates the central point around**
 221 **which the volcanic edifice of 1538 was formed.**

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223 Via Herculea never rose above the sea level again, despite the large uplift phase, occurred before and
 224 during the 1538 eruption (see Fig. 2d).

225 The tentative reconstruction of the level of Via Herculea, approximately shown in Fig. 2 as briefly
 226 described above, is shown in detail in Fig. 3, where each point of the curve refers to a specific
 227 documented historical period, starting from the Greek age (8th century BC), through the Roman era
 228 and the late Middle Ages, until the eruptive event of 1538 (see [Table 1](#), and [Appendix 1](#)). Note that
 229 on the Via Herculea, at the end of the 1st century BC and at the end of the 4th century AD, works
 230 were carried out to increase its height above sea level due to the incipient submersion. Due to these
 231 works, the submersion of the structure was delayed from ca. the 3rd, 4th century BC, up to the 7th
 232 century AD (Fig. 3). The date of submersion around 6-7th century is also consistent with the
 233 observations reported by Parascandola (1943), indicating that the land strip of Via Herculea still
 234 emerged above sea level for much of the 6th century.

235 It is fundamental to note ~~is~~ that Via Herculea never ~~re~~emerged again, not even immediately before
 236 and during the eruptive phase of 1538 (Parascandola, 1943).

237 The submerged relicts of the Via Herculea are still visible today, located at about 4.5 meters bsl, as
 238 shown in the high-resolution bathymetry (Fig.4) recently obtained by Somma et al. (2016).

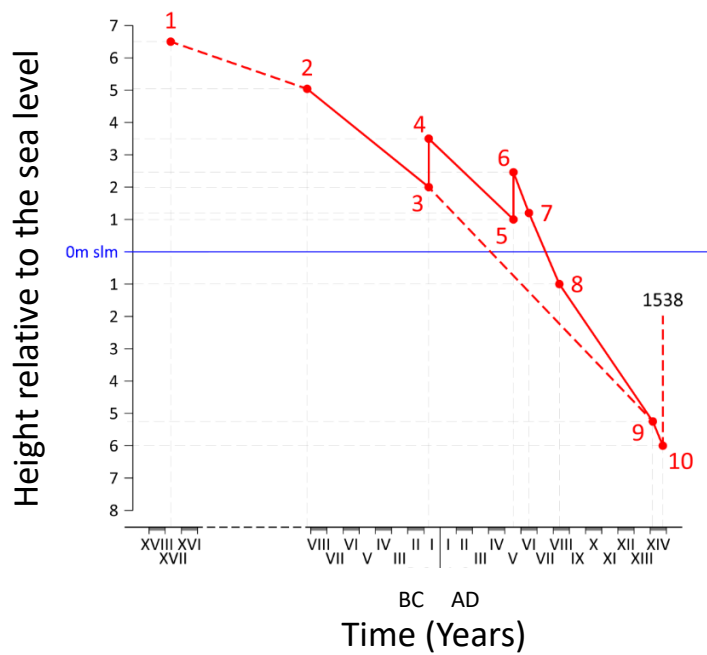


Fig. 3 – Diagram showing the trend of ground movements at the Via Herculea, as referred to sea level, along 33 centuries. Numbers on the curve indicate the times of references for the inferred level: they are synthetically reported in Table 1 and extensively explained in Appendix 1. Dashed lines represent hypothesized subsidences: the first one connecting to the likely initial elevation, the second one showing the likely subsidence path in absence of the restoration works (points 4 and 6), the third one showing the likely uplift linked to 1538 eruption.

Time (Century)

Number	Time	Event	Reference source	Reported by
<u>1</u>	<u>3.7 ka and after</u>	<u>Formation of the coastal bar</u>	<u>This paper</u>	
<u>2</u>	<u>8th century BC</u>	<u>Subsidence of the via Herculea</u>	<u>Diodorus Siculus (Book IV)</u>	<u>Parascandola, 1943</u>
<u>3</u>	<u>60 BC</u>	<u>Sergio Orata, owner of the ‘Lucrino’ lake fish farm, asked the Senate to have via Herculea repaired, because at around 2 m asl. Cesare repaired it</u>	<u>Parascandola, 1943</u>	
<u>4</u>	<u>37 BC</u>	<u>Agrippa raised the level of via Herculea</u>	<u>Strabone</u>	<u>Parascandola, 1943</u>
<u>5</u>	<u>12 BC</u>	<u>Abandonment of Portus Julius and Lucrino fish farming, because of accelerated subsidence of via Herculea</u>	<u>Aucelli, 2020</u>	
<u>6</u>	<u>496 AD</u>	<u>Theodoric, King of Gotes, repaired and raised level of via Herculea</u>	<u>Cassiodorus, Varia Book I</u>	<u>Parascandola, 1943</u>
<u>7-8</u>	<u>556 AD</u>	<u>Failed attempts to restore fish farming in the Lucrino lake: the</u>	<u>Parascandola, 1943</u>	

		<u>level of Dam was too low</u>		
<u>9</u>	<u>1341-1348</u>	<u>Petrarca and Boccaccio writings indicate via Herculea was about 5-6 m bsl</u>	<u>Boccaccio, 1355-1373</u>	<u>Parascandola, 1943</u>
<u>10</u>	<u>15th century</u>	<u>Uplift starts, but Lucrino lake however disappeared and via Herculea never re-emerged</u>	<u>Several chroniclers of the time</u>	<u>Parascandola, 1943</u>

Table 1 - Synthetic sketch of the main historical sources used to reconstruct the ground deformations shown in Fig.3 (see Appendix 1 for more details).

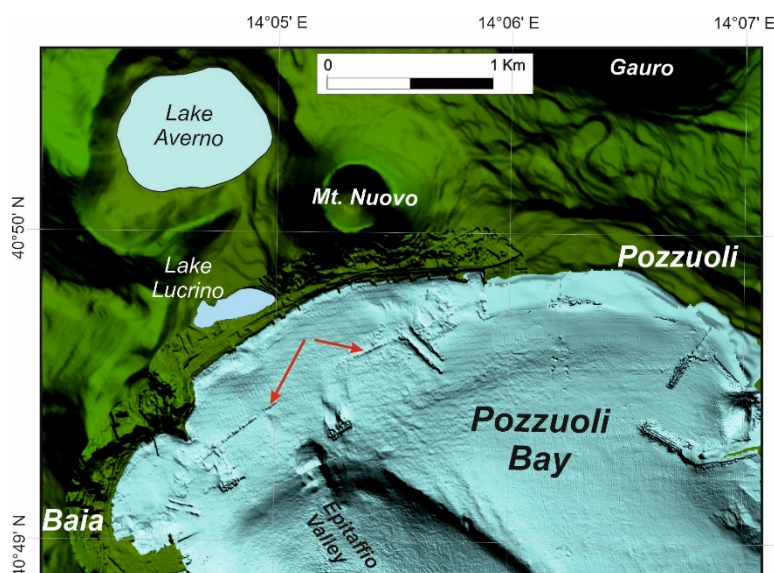


Fig. 4 – Shaded relief map of the coastal area of the Pozzuoli Bay based on high resolution multibeam bathymetry (Somma et al., 2016). Arrows indicate the submerged remains of the breakwater pilae of the via Herculea.

3.2.2 Ground movements at Pozzuoli

Meanwhile Via Herculea records the most ancient subsidence in the whole area, the best evidence for subsidence in the Pozzuoli area, where maximum ground movements are recorded, comes from the historical-archaeological elements linked to the Serapis Temple (SerapeumMacellum), although subsidence in the Pozzuoli area is also testified since Greek times (Gauthier, 1912).

Recently, Amato and Gialanella (2013) discovered, by drilling into Serapeum area, four successively superimposed floors, ranging from the Augustan age (31 BC-14 AD) to that of the Severi (193-235 AD), thus indicating the progressive subsidence of the manufact (Fig. 5). The most elevated 4th floor,

278 was built in the Severi Age, indicating at that time the previously built three floors where all below
279 the sea level, and from this epoch we will follow the historical traces of further subsidence and
280 subsequent uplift. The resulting time evolution of the approximate level of the 4th floor of the
281 Serapeum is reported in Fig. 6. Also in this figure, as for the Fig. 34, each number refers to a given
282 historical document supporting that level (see Table 2, and supplementary material, Appendix 2).
283 From historical information we know that the 4th floor subsided below the sea level in the 5th century,
284 i.e., about 200 years after its construction during the Severi Age. When the 4th floor reached a level
285 of 3.6 m bsl, around the 7th century AD, the columns were wrapped by layers of sedimentary
286 materials, which formed the so-called "fill" (Parascandola, 1947). Then, due to the impact of the
287 relative sea-level change on the coastal area, colonies of lithodomes attached the part of column at
288 the mean sea level, between 3.6 and 6.30 water depth (see the two red arrows in Fig. 7c) and ~~creating~~
289 created a pitted band above the sedimentary materials, for a thickness of 2.70m. This process occurred
290 until the 9th century AD, when the fourth floor was located to a depth of 6.3 m below sea level. Such
291 a depth ~~was~~ considered by some authors (Parascandola 1947, Amato and Gialanella, 2013) to be the
292 maximum submersion, ~~reached in the 9-10th century~~. In the same period, however, the ground
293 subsidence caused the flooding, by thermal and rain waters, of the Agnano plain, an area located to
294 east of Pozzuoli, and resulted in the formation of a lake (Annecchino, 1931). This event indicated a
295 general persistence of subsidence in the Pozzuoli area, which was in fact confirmed very clearly even
296 in the following centuries, as highlighted by numerous historical documents, resumed here (Fig. 7a)
297 and reported in detail in Appendix 2. Such data also contradict the conclusions by Morhange et al.
298 (1999; 2006), who hypothesized a significant uplift, of several meters, in the period 7th-8th century.

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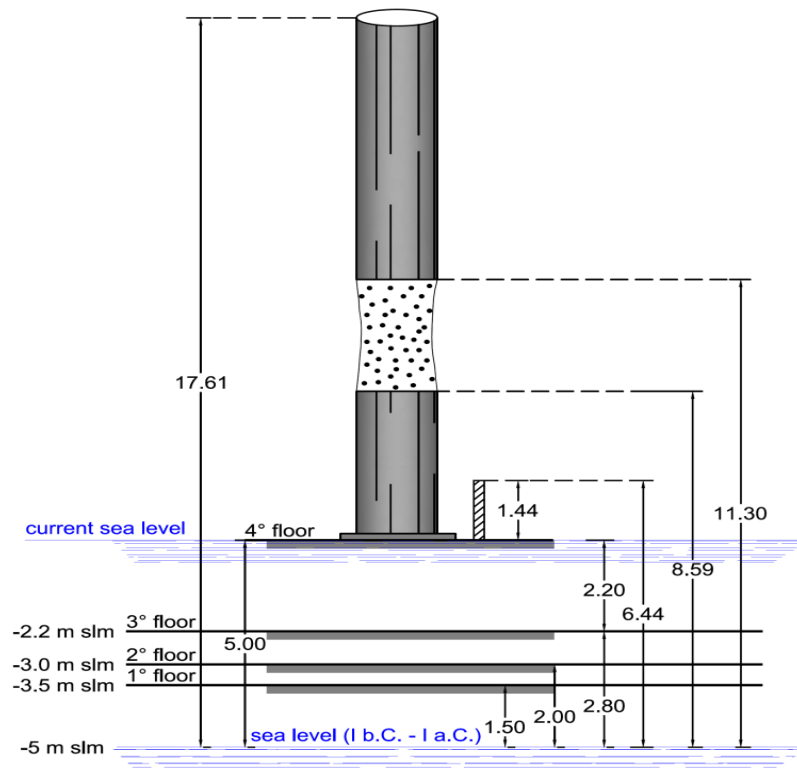


Fig. 5 – Floors underlying columns of Serapeo (redrawn from Amato and Gialanella, 2013). The dotted part of the column indicates the boring due to colonies of *Lithodomus Litophagus*.

In the 11th century the Arab geographer Idrisi and other historians of 12th century (Benjamin ben Yonah de Tudela) and 13th century (Nicolò Jamsilla), clearly highlighted the morphology of Rione Terra as a medieval castle surrounded by the sea on three sides, due to the continuation of the subsidence, which was still underway at that time (Costa et al., 2022) (see points 6 and 7 in Appendix 2). Moreover, in ~~15th~~ 14th century there is the account of Boccaccio (13~~55-1373~~ 48), as reported by Parascandola (1943), who wrote that the fisherman's wharf in the Bay of Pozzuoli became completely submerged (point 8 in Table 2 and Appendix 2).

We can prove again the subsidence continued further in the following century, since it is possible to get a more precise estimate of the depth below sea level reached by the 4th floor of the Serapeum, by observing the painting “Bagno del Cantariello” (Fig. 7a), part of the famous Balneis Puteolans of the Edinburgh Codex of 1430 AD (Di Bonito ~~&-and~~ Giamminelli, 1992). The painting depicts the Rione Terra encircled by vertical yellow tuff walls, from which the beach of Marina Della Postierla extends (towards the observer) to the base of the S. Francesco hill, the source of the thermal spring Cantariello (foreground) near the coast northeast of the submerged Serapeum. Behind the visitors of the thermal spring, the painting clearly shows the upper part of the three marble columns of Serapeum emerging from the sea. Also depicted are people fishing directly from the shore (Fig. 7b). From this painting we can make a rough~~ly~~ estimate of the portion of columns below the sea level at that time, taking into

account that a significant part of the columns is submerged. Historical records from the 1750 excavations, (see further) indicate that the buried part of the columns amounted to about 10 m (see Parascandola, 1947); the shallowest 2 meters of the excavations were formed by pyroclastic flow deposits of the 1538 eruption ~~8~~(see further paragraphs).

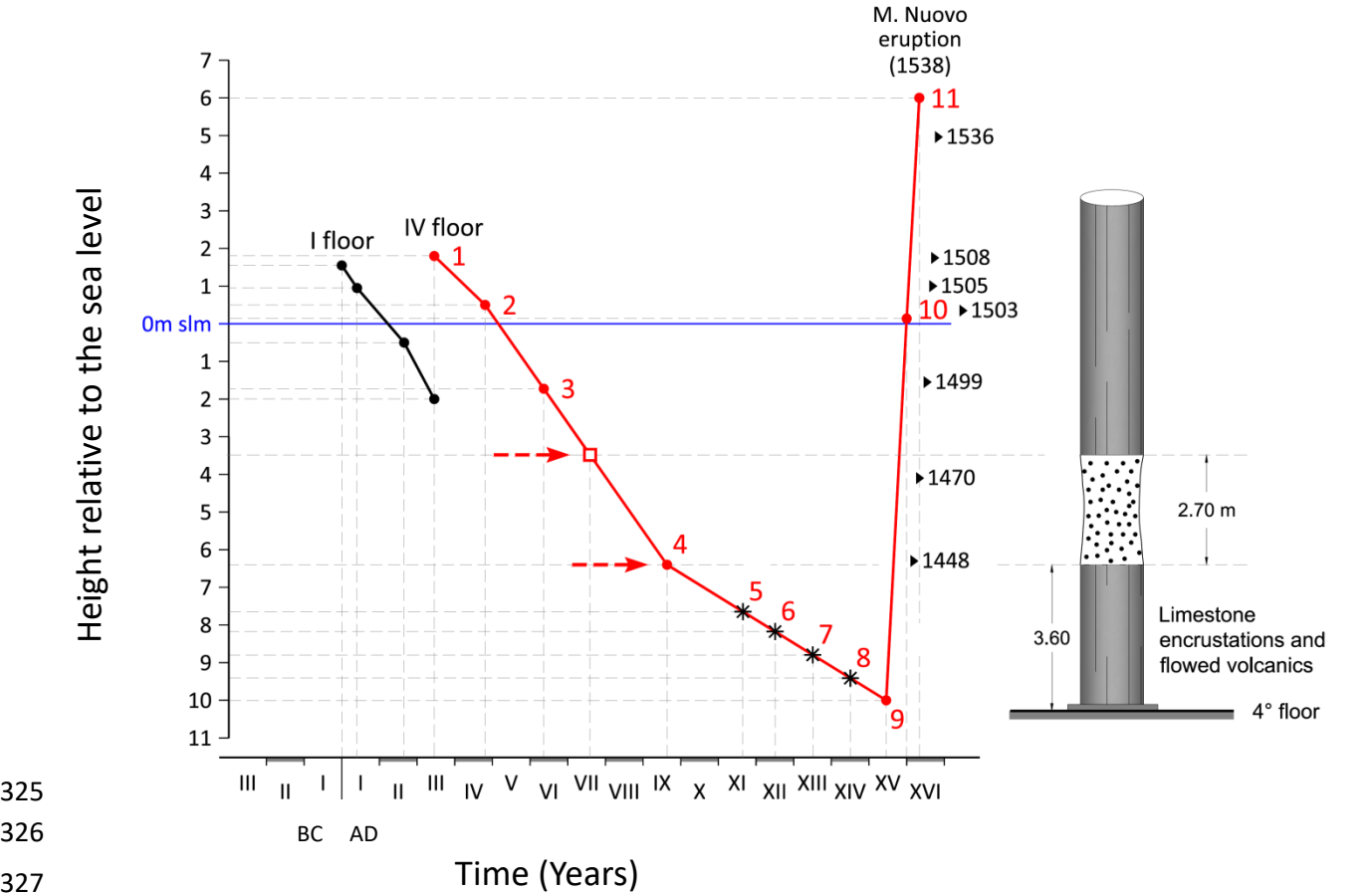


Fig. 6 – Diagram of ground deformations with reference to the level of first (until the building of the fourth floor) and fourth floor of the Serapeum (points 1-4). The arrows indicate the limits of the submersion corresponding to the part of the columns bored by lithodomes. Points 5-7 indicate the submersion of the Pozzuoli area through the topographic-morphological variations acquired by the Rione Terra due to submersion (see supplementary historical material). Finally, points 8-9 indicate the extent of the submersion referring to the Caligolian pier and to the 4th floor of Serapeum, the latter lasted until 1430. The rapid ascension phase is also shown, associated with earthquakes of greater energy that accompanied the emergency of the 4th floor from the sea in the early 1500s, until the eruption of 1538. Numbers on the curve indicate the times of references for the inferred level: they are synthetically reported in Table 2 and extensively explained in the Appendix 2. Dates marked on the right indicate the times of occurrence of major earthquakes.

Number	Time	Event	Reference source
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<u>1</u>	<u>230 AD</u>	<u>The third floor of Serapeum was at a level of only about 1 m asl, often invaded by water: it was then built the fourth floor, located at 2 m asl</u>	<u>Amato and Gialanella, 2013</u>
<u>2</u>	<u>394 AD</u>	<u>The fourth floor is invaded by the sea. Important works to restore the banks and protect them by coastal embankments</u>	<u>Camodeca, 1987; Caruso, 2004</u>
<u>3</u>	<u>VI-VII century</u>	<u>Puteoli almost depopulated. People refuged in a fortified citadel, surrounded by sea: the Acropolis of Rione Terra</u>	<u>Varriale, 2004</u>
<u>4</u>	<u>VIII-X century</u>	<u>Due to continuous subsidence, Agnano Plain was invaded by water, transforming into a lake</u>	<u>Annechino, 1931</u>
<u>5</u>	<u>XI century</u>	<u>The sea increasingly surrounded Rione Terra, which appeared like a castle. The Arab geographer <i>Idrisi</i> in his <i>Opus Geographicum</i>, describing Pozzuoli as a "castle"</u>	<u>Varriale, 2004</u>
<u>6</u>	<u>XII century</u>	<u>Subsidence continues: Benjamin ben Yonah de Tudela, passing through Pozzuoli, described: <i>turres et fora in aqua demersa quae in media quondam fuerant</i></u>	<u>Russo Mailer C., 1979; Caruso, 2004</u>
<u>7</u>	<u>XIII century</u>	<u>Subsidence continues: Niccolò Jamsilla (<i>Historia de rebus gestis Frederici II imperatoris ejusque filorum Corradiet Manfredi Apuliaeet Siciliae regnum</i>) describes the places between Agnano and Pozzuoli as follows: <i>...videlicet Putheolum mari mantibusque</i></u>	<u>Fuiano, 1951</u>

		<u><i>inaccessibilis circumquaque conclusum...</i></u>	
<u>8</u>	<u>1327-1341</u>	<u>Boccaccio reported descriptions as the lower part of Puteoli being completely submerged</u>	<u>Mancusi, 1987</u>
<u>9</u>	<u>1430</u>	<u>The 1430 gouache 'Bagno del Cantariello' shows the Serapeum columns submerged for about 10 meters. A</u>	<u>Di Bonito and Giamminelli, 1992</u>
<u>10</u>	<u>1441</u>	<u>A description indicates that 'the sea covered the littoral plain, today called Starza'</u>	<u>De Jorio, 1820</u>

Table 2: Synthetic sketch of the main historical sources used to reconstruct the ground deformations shown in Fig.6 (see Historical Appendix 2 for more details).

This observation constitutes an indication that during the time of the painting (1430), in the absence of 1538 products, the buried part of the columns should then have been approximately 8 meters. Moreover, the presence of trawling fishermen in the scene (Fig. 7b) suggests that sea depth there did not exceed 2 m (the maximum water depth for this type of fishing not far from the beach). Given that the total height of the columns is 12.7 m, we estimate that the emerged part of the column in 1430 was around 2.0-3.0 m (Fig. 7a,c).

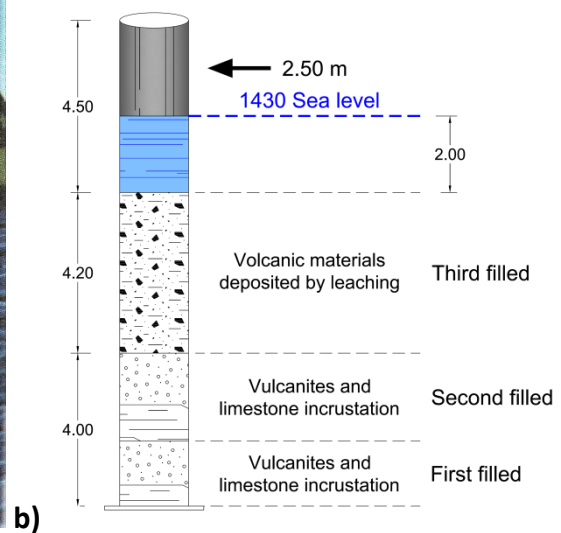


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a)



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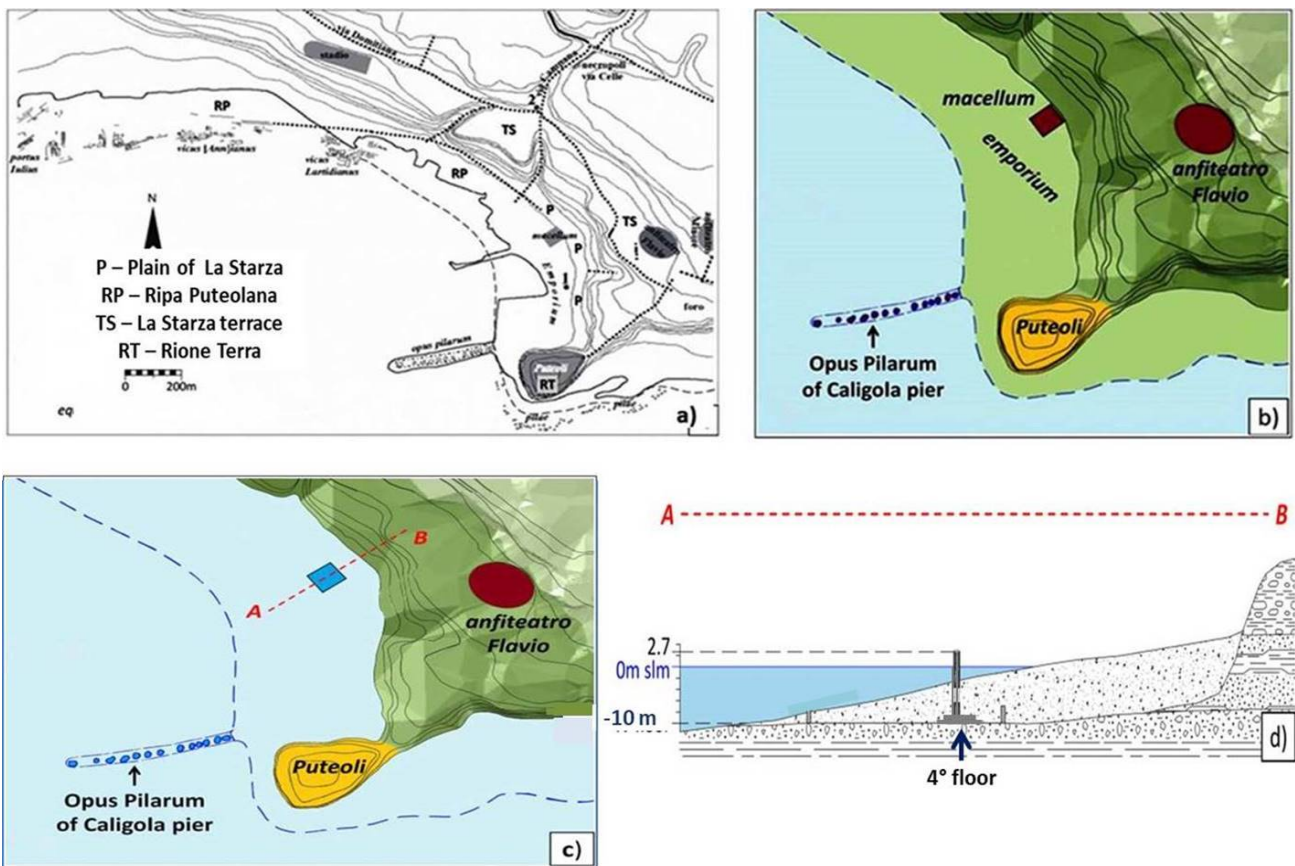
b)

c)

354

355 Fig. 7 – Gouache of de' Balneis Puteolanum from 1430: a) Stumps of the Serapeum columns
 356 that protrude from the sea to a height of 2-3m, b) Fishing from the shore, highlighted in the
 357 box, indicates a draft depth of approximately 2m of sea, c) **Since the columns are 12.70m high,**

it can be deduced that the remaining part of the columns wrapped in the underlying sediments is approximately 8m. From the figure it can therefore be deduced that the 4th floor of the Serapeum in 1430 was 10m below sea level. Reconstruction of the submerged, emerging and buried parts of the columns (see text for complete explanation).



Consequently, we infer that in 1430 AD the floor was about 10 m (+/-1 m) below sea level (Fig. 6). Such deduction, derived from the context represented in Fig. 7a, can be explained in even greater detail with the help of the topographic map of the Pozzuoli area in Roman times (Soricelli, 2007) (Fig. 8a).

Fig. 8 – a) Map of Pozzuoli from the Roman era (III-IV century). The map shows the lower part of the emporium which extends along the Puteolana bank (RP), until reaching the base of the hill, the so-called Starza plain (P) and the upper part of the Rione Terra cliff (RT) which, in turn, connects with the upper hilly part of the Starza terrace area (TS). b) Part of the previous map, limited to the Emporium Area, in the Middle Age (after Aucelli et al., 2020, and Taravera, 2021). c) the same area shown in b around 1430, during which the hill areas (TS, RT) were surrounded at the base by the sea, according to a description of the lower area of Pozzuoli from 1441 "the sea covered the littoral plain, today called Starza" (after De Jorio, 1820; Dvorak and

Mastrolorenzo, 1991). d) sketch of the profile A-B shown in c: the sea extended behind the Serapeum on the plain of La Starza hill, intersecting the columns at a height of 10m (also shown).

~~Fig. 8 — a) Map from the Roman era (Soricelli 2007), with our own reworking, based on the indications of Auceili et al. (2020) and Taravera (2021). The map shows the lower part of the emporium which extends along the Puteolana bank (RP), until reaching the base of the hill, the so-called Starza plain (P) and the upper part of the Rione Terra cliff (RT) which, in turn, connects with the upper hilly part of the Starza terraced area (TS), b) Part of the previous map limited to the Emporium Area, c) the area b subject to the subsidence phase which ended in 1430, during which the hill areas (TS, RT) were surrounded at the base by the sea, according to a description of the lower area of Pozzuoli from 1441 "the sea covered the littoral plain, today called Starza" (De Jorio, 1820; Dvorak and Mastrolorenzo, 1991), d) note that in the profile A-B the sea extended behind the Serapeum on the plain of La Starza hill, intersecting the columns at a height of 10m (also shown).~~

The map (contour lines of 5m), shows that in the period of greatest development, the city included the Greek Acropolis (the ancient Dicearchia nowadays called Rione Terra), with a maximum height of 40 m asl, the lower part of the city, i.e. the western area overlooking the ancient emporium and the Serapeum (Roman macellum) placed near the bay area₂ and the upper city₇ on the Starza terrace, with elevation between 30-50 m asl. The latter was the site of the ancient monumental edifices (amphitheatre, stadium, forum, necropolis, etc.). From this map, considering only the area of the Emporium (lower part) and amphitheater (upper part), a sketch of topographical relief above the sea level (in Roman times, Fig. 8b) and underlying sea level (in 1430 AD, Fig. 8c) has been obtained and described as follows:

- from profile A-B of Fig. 8c, as reported in Fig. 8d, ~~it can be seen that the~~ the 4th floor of the Serapeum ~~is can be~~ located at a depth of 10m, packed in the sediments that form the Ripa Puteolana (RP), with the columns protruding from the same sediments for 4.5m, of which approximately 2m are sea water. It is indicated, ultimately, that the sea level intersects the columns of the Serapeum at a height of approximately 10 m, connecting with the contour line of 10 m, on the La Starza Plain (P) (Fig. 8c,d).

- Fig. 8c also allows us to highlight the morphological conditions of the Rione Terra, which, as we have already observed, has been described by the chroniclers who visited this place from the 11th to the 13th century as "*an unapproachable mountain completely surrounded by the sea*" (see Jamsilla and Fuiano, 1951; and Varriale, 2004, in ~~supplementary historical material~~ Appendix 2).

The historical data presented here ~~are not in agreement~~ highlight an evolution of the ground movements in the area very different from ~~with some results hypotheses~~ appeared in previous literature. They mainly confute results ~~that appeared published~~ in the most recent work on such an argument (Di Vito et al 2016), ~~based on the following considerations~~ who made the following claims:

- 1) the subsidence in the area started in 35 BC;
- 2) the local uplift in the area of the 1538 vent, from 1536 to 1538, amounted to about 19 m.;
- 3) the maximum subsidence was reached in 1251.

The first claim is in contrast with at least two strong evidences, coming from historical documents: ~~the first one~~, that already at the times of Greek colonization (end of 8th century BC) the Via Herculea used by Greeks, showed signs of subsidence (see Diodoro Siculo in Appendix 1) (Fig. 2). Limiting ourselves to the documents of 1st century BC, it is sufficient to observe that ~~since 60 BC~~, due to the subsidence of this dam, Giulio Cesare himself was sent by the Roman Senate in ~~58-48 BC~~, to fix the problem, which was resolved more constructively by Agrippa in 37 BC, raising the surface of the Via Herculea with respect to the sea level (see again detailed explanation in Appendix 1).

Claim 2) can be easily demonstrated to be not realistic, because in case of uplift in the Monte Nuovo area higher than few meters, the Via Herculea would have risen back above the sea level (Fig.3d).

Claim 3), finally, is not confirmed by the testimonies collected until 1430, which instead indicate the continuation of this phenomenon (Di Bonito and Giamminelli, 1992; Bellucci et al., 2006).

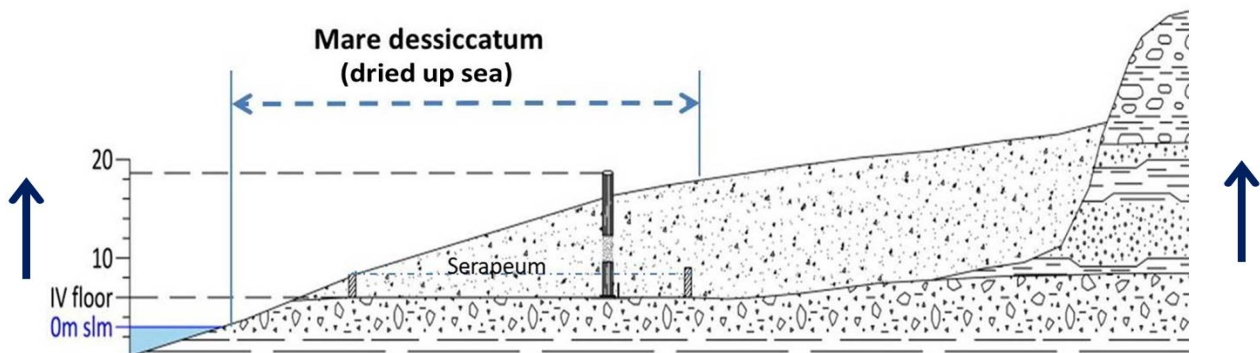


Fig. 9 – The uprise of the land (marked by the two arrows on the sides) was observed and described by Loffredo Ferrante in 1530: "the sea was very close to the plain which was at the foot of the Starza hill". In this context, the 4th floor of the Serapeum had reached a height of approximately 4 m above sea level.

From our reconstruction, based on reliable historical documentation, we demonstrate that the hypothesis that maximum submergence depth of the 4th floor of the Serapeum was reached in the 9-

439 10th century, proposed by Parascandola (1947) and Amato and Gialanella (2013), is not realistic. Nor
440 it is the hypothesis by Di Vito et al. (2016), who place the date of the transition between subsidence
441 and uplift in the 13th century and precisely in 1251.

442 ~~Let us remember that, as observed in recent unrests, uplift at Campi Flegrei area, which will be~~
443 ~~described well later, is accompanied by seismicity (Dvorak and Gasparini, 1991; Kilburn et al., 2017;~~
444 ~~Troise et al., 2019). For many centuries, after the 9th century, and for two centuries, after the 13th~~
445 ~~one, there is absence of historical evidence for significant seismicity. In the period since 1430 to 1580,~~
446 ~~on the contrary, there is abundance of chronicles describing significant seismicity, how will be~~
447 ~~detailed later in this work (see Fig. 19a). Our findings, dating the starting phase of uplift around 1430,~~
448 ~~is are~~ also supported by the documented occurrence of a the first documented powerful earthquake in
449 1448 (Colletta, 1988: see also next paragraph), which induced King Ferdinand I of Aragon to suspend
450 the so-called "fuocatico" (a mediaeval tax collected for each fire lit by a family unit; see Colletta,
451 1988). We know in fact, from recent unrests, that earthquakes only occur during the uplift phases at
452 Campi Flegrei (Troise et al., 2019). It is also well known that, between 1503 and 1511, the
453 municipality of Pozzuoli granted the lands that emerged, as a result of the increasingly "drying up
454 sea" (Fig. 9), expanding the available land, to citizens requesting them (Parascandola, 1947). Bellucci
455 et al. (2006) and Dvorak and Mastrolorenzo (1991), however, also reported a date around 1430 or
456 later for the beginning of the uplift phase; so, the data presented here (partly already used by Bellucci
457 et al., 2006 and Troise et al., 2007), support their interpretation, although making it more precise and
458 robust by the addition of new data.

459 The next important question is then: was the 4th floor of the Serapeum above sea level as early as at
460 the beginning of 16th century? Parascandola (1947) answered this question through a sentence found
461 in an account by Loffredo Ferrante from 1580: *In ~~1530~~ 1503 the sea was very close to the plain which*
462 *was at the foot of the Starza hill* (Fig. 8). So, it can be deduced that the floor of the Serapeum in the
463 1503 was just above sea level, that is, it had risen about 10m in about 73 years, with a ~~minimum~~ rate
464 of ~~160~~ 136 mm/y. There is clear evidence that the uplift phase continued until 1538, when the eruption
465 occurred, ~~whereas seismicity continued for the next 40 years, until 1580 (we postpone the discussion~~
466 ~~of this topic to the next section)~~. The maximum uplift occurred in the Pozzuoli area, close to the Rione
467 Terra cliff, that up to the 1538 eruption reached an elevation in the order of 5-6 m asl (Fig. 6).

468 In the nearby area facing Averno to the west, the uplift, as already said, was unable to cause emersion
469 of the Via Herculea, ~~and only a small area including the vent~~ So, the vent area was could be affected
470 by an additional uplift, occurred just before the eruption, however such that the total uplift since 1430
471 resulted lower than of about 7m, ~~i.e. slightly higher than the uplift at Pozzuoli~~. In the eastern sector
472 of the caldera, at Nisida island, the pier did not emerge above sea level (Parascandola 1947). It is then

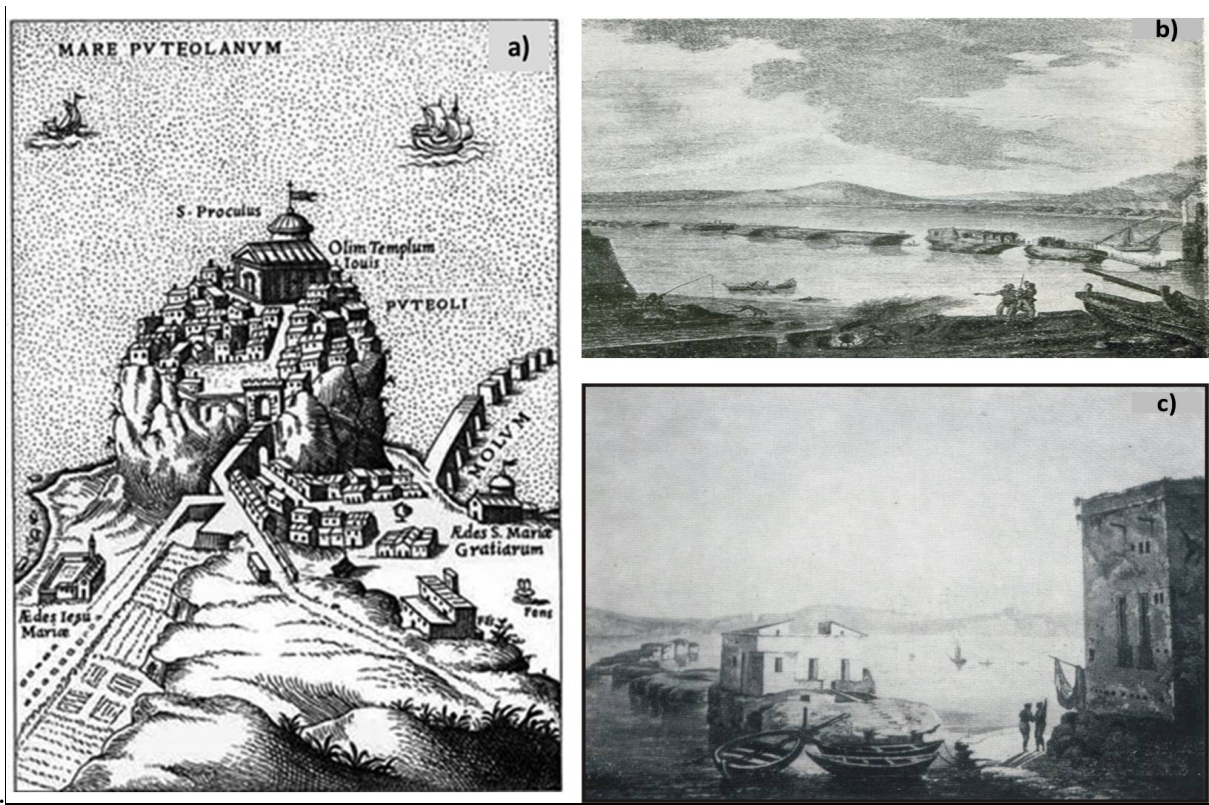
473 very likely that the uplift phase had a bell-shaped trend, very similar to what we see in the recent
 474 unrests, except for a marked additional uplift at the vent site, just before the eruption (Parascandola,
 475 1943), however limited to a total of about 7 m maximum, possibly due to upward migration of the
 476 dyke feeding the eruption, ~~with the sole anomaly of the sharp pre-eruptive uplift of Monte Nuovo,~~
 477 ~~likely due to the upward migration of the dyke feeding the eruption.~~

478

479 1. Ground movements after the 1538 eruption

480 4.—

481 The period between the end of the 16th century and the beginning of the 17th century lacks any written
 482 historical document testifying the ground movements at Pozzuoli. It is likely that after the 1538
 483 eruption a subsidence phase started, probably after the last, post-eruption, seismic phase ended in 1580,
 484 as it will be shown in the following paragraphs. We can anyway learn something from some paintings,
 485 the oldest one by Cartaro, dated 1584 (Fig. 10a), which highlights the Rione Terra in the foreground,
 486 with the Neronian pier which emerges almost completely above sea level, which means for about 5-6



487 m.
 488 Fig. 10 – a) Engraving by Cartaro (1584) showing the Neronian pier at the base of the Rione
 489 Terra, emerging from the sea for 5-6m, showing 10 of the 15 piles of which it was made up in
 490 roman epoch, b) The remains of the pier piles, without the upper arches, highlighted in an
 491 engraving from the mid-18th century, c) Detail of the same piles highlighted in another

492 **engraving from the same period, where the height of the 1-2m piles is observed in more detail,**
493 **subject to marked erosion**

494

495 It also appears still partially complete, with about half pylons still connected with arches (*Opus*
496 *Pilarum*). In comparison, paintings from the middle XVIII century (Fig. 10b,c) report the pier
497 completely destroyed, and clearly almost completely submerged; the painting of Fig. 10c represents
498 the pylons in more detail, allowing to estimate the height of the emerging part asl around 1-2 m. Fig.
499 11 shows another famous painting of 1776, by Hamilton, which shows the ruins of the Neronian pier
500 almost the same way than in Fig. 10b,c and, in addition, shows the columns of Serapis Temple, with
501 its floor almost at the same level than the Neronian pier.

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504 **Fig. 11 – a) View of the Gulf of Pozzuoli and the Cape Miseno peninsula (Hamilton 1776).**
505 **Both the remains of the Neronian pier and the newly excavated Serapeo are also visible**

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Fig. 12 – Serapeo-Illustration of Serapeum, as excavated in the three-year period 1750-1753. It can be noted that the height of the lighter parts of the columns, including the pitted band of the lithodomes, is preserved by oxidation, because packed by the newly-just removed sediments. The darker upper part, oxidized since staying outside the cover, has a height of approximately 2.50m, estimated on the same figure. This leads us to consider that the band-pack of sediments removed had a thickness of approximately 10m, that is, the height of the hill where the *vineyard of the three columns* was located before the excavation (Niccolini, 1842).

From the comparison between-of Fig. 10a andwith-10b, and 10c it can be deduced that the Roman opus pilarum underwent a subsidence of about 4-5 m. from 1580 to 1750.

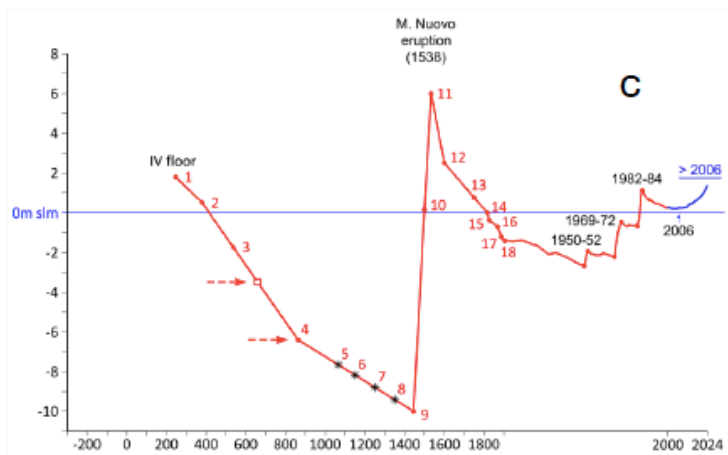
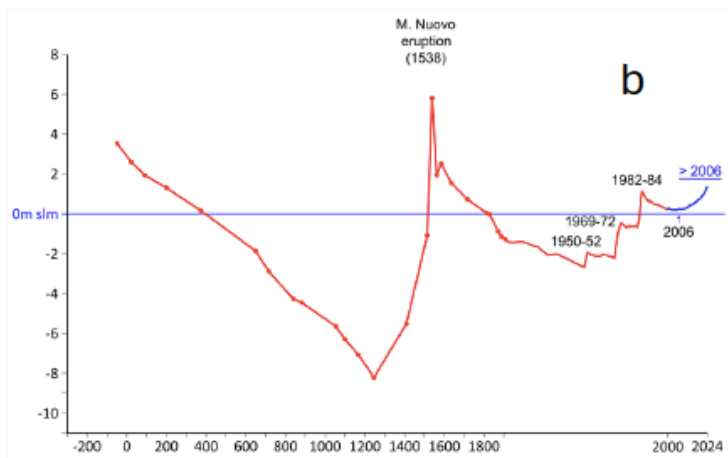
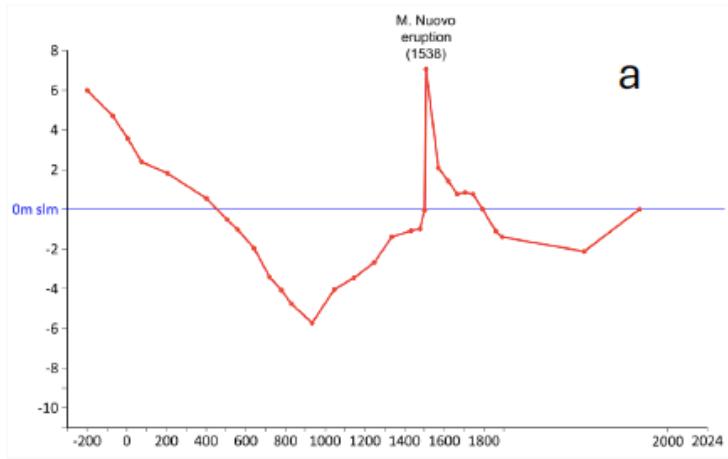
Since the floor of the Serapis Temple appears to be at the same level than the pier, its level in 1538 can be estimated as 5 – 6 m. above sea level (Fig. 6), while in 1750 it should be at about 1m above sea level, with an estimated subsidence 1580-1750 of about 4-5 m. This approximate estimation is however confirmed by Parascandola (1947), who reports some measurements by Niccolini (1846), who found the 4th floor of Serapeo to have a height above sea level varying in the range 0.9 - 0.6m throughout the 18th century. It can then be deduced that during the three years of the excavations (Fig. 12) the floor could have been approximately at 0.7 m above sea level.

Finally, we want to highlight, in agreement with Parascandola (1947), that the subsidence of 4 - 5 m, started after ~~1538~~-1580, could have evolved at higher initial rate, in such a way that, around the middle

527 of the 17th century, it already had a value of 2 -3 m, and then slowed down towards the end of the
528 century, until the 1750.

529 It is also interesting to compare the average subsidence rate before 1430 with that observed after 1538
530 till 1950. The overall rate of subsidence after 1538 is about 2 cm/year, almost double with respect to
531 that observed before 1430. However, when excluding the first phase of sharp subsidence occurred
532 just after the 1538 eruption, the subsidence rate becomes very similar to that observed since the roman
533 era until 1430.

534 We are hence able to describe in more detail the whole evolution of ground movements at the Pozzuoli
535 area since Roman times, including the period following the 1538 eruption and until today. Such a
536 reconstruction is shown in Fig. 13c. In particular, regarding the post-1538 subsidence phase, the data
537 shown, starting from the 17th century, have been combined with those obtained by the most
538 significant measurements carried out by numerous researchers who dealt with this phenomenon
539 during the 1800s, as reported by Parascandola (1947), who suggested the reconstruction shown in
540 Fig. 13a. High precision, frequent measurements started to be collected since 1905, initially based on
541 leveling ~~survey-surveys~~ carried out by the Military Geographic Institute (IGM). Data from the
542 levelling surveys were still provided also during the occurrence of the most recent unrest phases, i.e.
543 in 1950 - 52, 1969 – 72, 1982 – 84 and until 2001. Since 2001, continuous measurements are provided
544 by GPS (RITE, see Fig. 13b,c) installed at Rione Terra (Del Gaudio et al 2010).



Time (Years AC)

Fig. 13 a) Reconstruction of the ground level of the Serapeum floor, with respect to the mean sea level (blue line), as proposed by Parascandola (1947); b) The ~~r~~Reconstruction of the Serapeum floor ground level, ~~since the III century A.D. to present~~, recently proposed by Di Vito et al. (2016); ~~since the III century A.C. to today~~; c) The ~~r~~Reconstruction of the ground level of the Serapeum IV floor, since III century A.D. to present, inferred by this study. Each point in

the diagram corresponds to an appropriate historical indication reported in ~~the text~~ Table 1 and/or in the Appendix 2.

1.2. Schematic model for the preparatory phases of the 1538 eruption

1.2.1 Dynamics of the resurgent block in response to temperature and pressure perturbations

The ground deformation at Campi Flegrei, during the phases preceding and following the 1538 eruption, has been likely very concentrated in a small area of few km of radius around Pozzuoli, just as during the recent unrests (De Natale et al., 2001; 2006; 2019). Such a concentration ~~is in~~ agreement agrees with the presence of a resurgent block.

Evidence for the involvement in the Campi Flegrei unrest episodes of a resurgent block comes from the first observations and modeling by De Natale and Pingue (1993). These authors pointed out that the concentration of the uplift in a small area, the high uplift values, and the invariance of the uplift and subsidence shape, as well as of the ~~maximum~~ seismic area, indicated the up and down movement of a resurgent block, bordered by ring faults focusing the occurrence of earthquakes (see also De Natale et al., 1997; Beauducel et al., 2004; Troise et al., 2003; Folch and Gottsmann, 2006). Some authors proposed that ground deformations could be explained also without any effect of bordering faults (Berrino et al., 1984; Bianchi et al., 1987; Amoruso et al., 2008, 2014; Woo & Kilburn, 2010); however, most of these models required some ‘ad hoc’ distribution of rock rigidity, sometimes not realistic (see De Natale et al., 1991), or required an unrealistic constancy of the source geometry able to explain the remarkable constancy, during several decades or centuries, of the shape of deformation during both uplift and subsidence (see De Natale et al., 2006). All of these models, in addition, do not explain the peculiar shape of the seismic area, being almost elliptical around the most uplifted area.

In recent times, new evidence has been collected about the location and limits of the resurgent block (Rolandi et al. 2020b). Furthermore, ~~a~~ Active high-resolution reflection seismic surveys have pointed out and imaged the presence, in the Gulf of Pozzuoli, of an inner resurgent antiformal structure or “block” bounded by a 1-2 km wide inward-dipping ring fault system associated with the caldera border, whose limits have been also documented by the survey (Sacchi et al., 2014 Steinmann et al, 2016; Sacchi et al., 2020a). Further constraints for the extent on-land of the resurgent block come from stratigraphic evidence. In particular, the old well CF-23, drilled in the Agnano area, presents about 900 m of NYT ~~pyroclastic~~ deposits, topped by only 100 m of more recent deposits (Rolandi et al. 2020b). The presence of uplifted, thick layers of NYT, characterizes the stratigraphy of all the wells contained in the resurgent block (Fig. 14a,b,e), thus allowing to map its extent on-land, although

587 only the CF-23, by far the deepest one, clarifies the whole thickness of the NYT deposits in the
588 resurgent area (Fig. 14a,c,d).

589 The extent of the resurgent block on-land appears also reasonably well defined by a clear relative
590 gravimetric maximum (Capuano et al., 2013). It is crucial to emphasize that the differential movement
591 of the resurgent block, mostly detached from the external caldera rocks, is responsible for the almost
592 constant, highly concentrated shape of ground displacement, during both uplift and subsidence. The
593 resurgent structure is also associated with distinct seismicity along the bordering ring fault zone (see
594 also Troise et al., 2003). Fig. 15a-c shows how the resurgent block is well evidenced by passive
595 seismic data (Fig. 15b, c) and by earthquake locations (Fig. 15a).

596 The presence of the central, resurgent block significantly ~~influences~~affects the dynamical
597 ~~behaviour~~behavior in response to temperature and pressure perturbations. This is particularly evident
598 in the central, most ~~uplifted-deformed~~ and seismic area, where the shallow crust ~~comprises~~involves
599 approximately 1.5 km of lithoid tuff. This contradicts substructure models proposed by various
600 authors (Rosi and Sbrana, 1987; Vanorio et al., 2002; Lima et al., 2021; Kilburn et al., 2023), which
601 often assume a thick shallow layer of loose pyroclastics from recent eruptions, typically represented
602 by the stratigraphy of well SV1 (see Fig. 14e).

603 The physical state of the shallow structure within the resurgent block can be inferred by seismic
604 tomography analyses presented by several authors (e.g. Aster and Mayer, 1998; Vanorio et al., 2005;
605 Vinciguerra et al., 2006; Battaglia et al., 2008; Calò and Tramelli, 2018). These analyses consistently
606 indicate a high Vp/Vs ratio centered below Pozzuoli town down to 1-2 km, interpreted as highly water
607 saturated tuff.

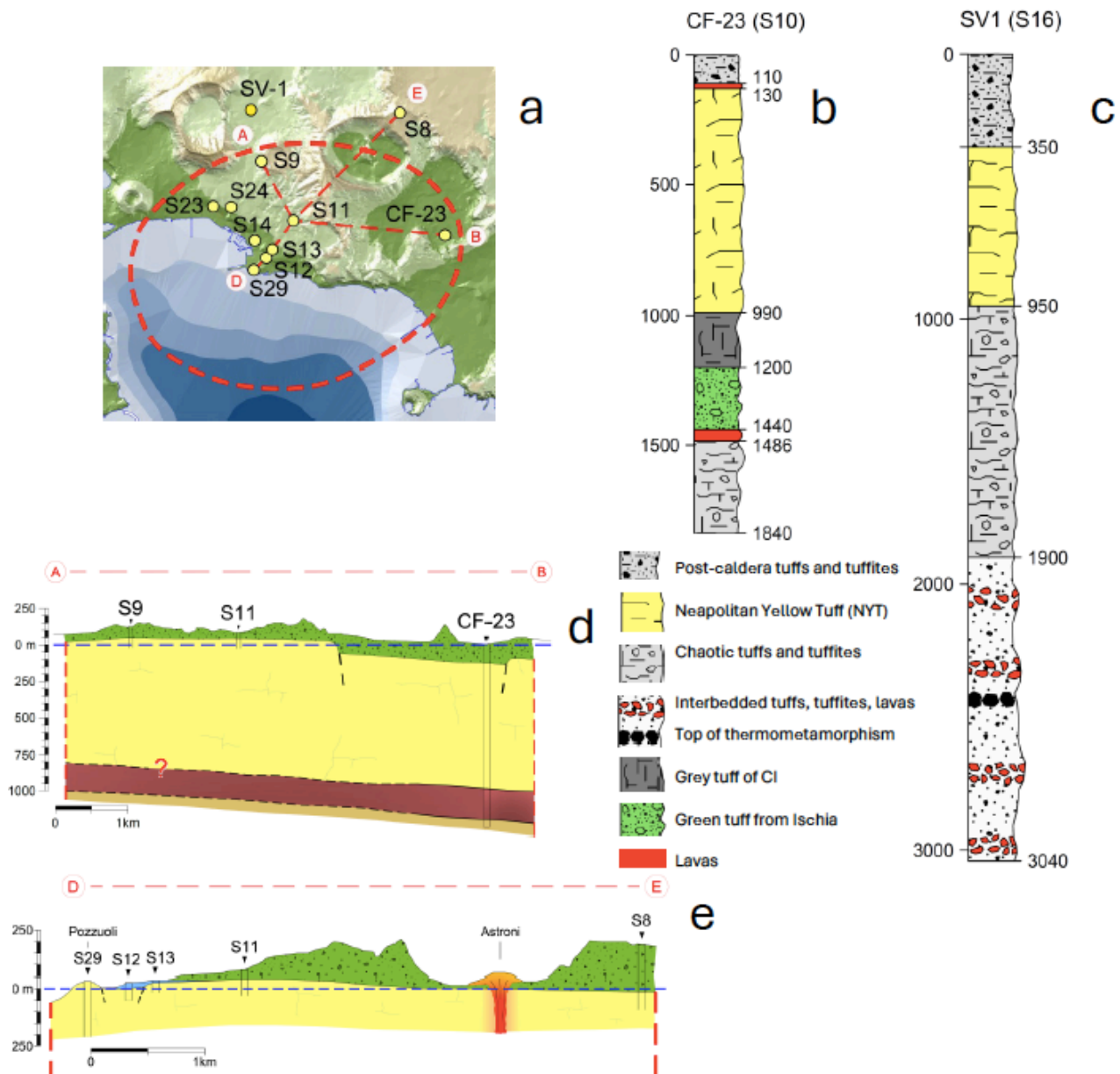
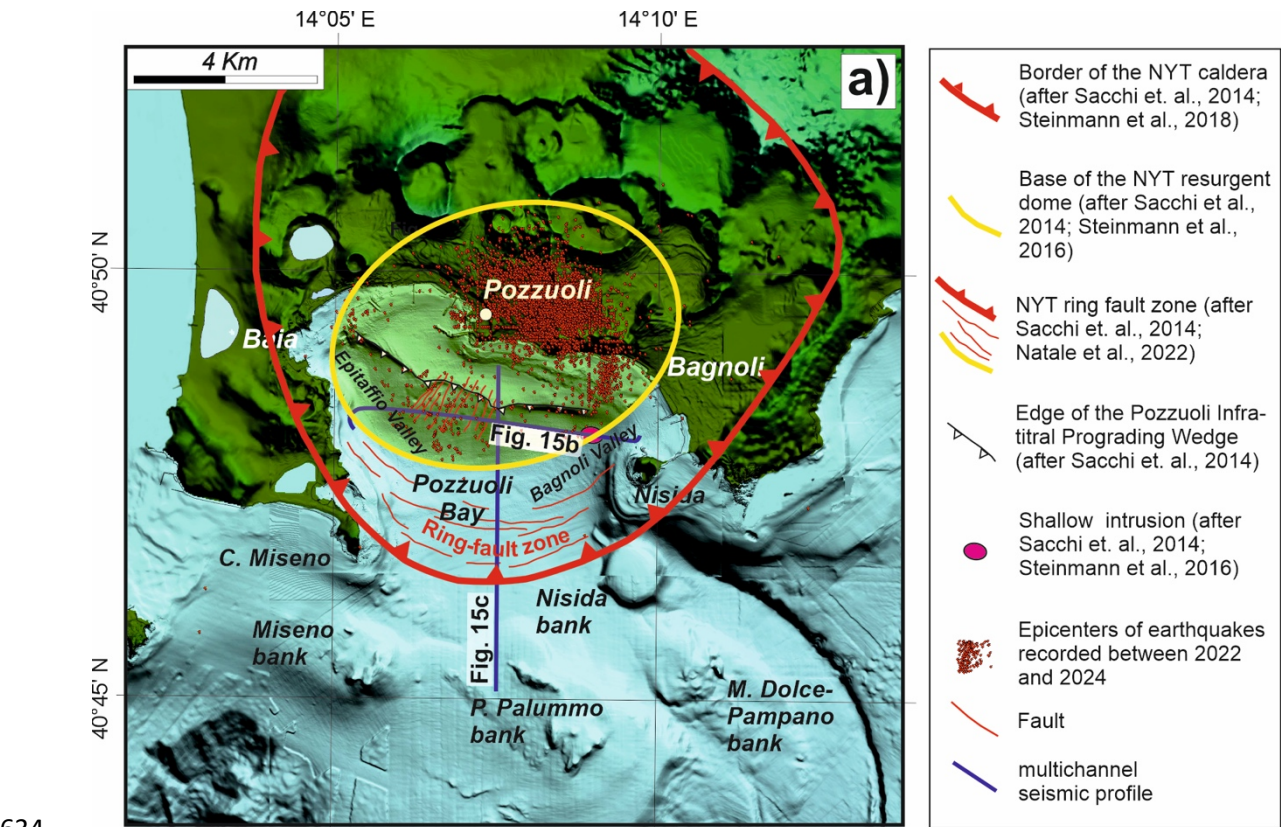


Fig. 14 - a) Location of the wells explored within the resurgent tuff block, as reported in literature; b) Stratigraphy of the CF23 (S10) well, within the resurgent block; c) Stratigraphy of the SV-1 well, outside the resurgent block, which highlights a stratigraphy where the NYT tuff blocks are not present with significant thicknesses; d-e) Profiles in the resurgent block which highlight the shallow depth of NYT because of the resurgence.

Of particular significance is the work by Vinciguerra et al. (2006) which compared the results of seismic tomography with laboratory tests. They demonstrated that the tuffs present in the central area of the Campi Flegrei caldera can be either water or gas saturated, and that inelastic pore collapse and cracking produced by mechanical and thermal stress can significantly alter the velocity properties of Campi Flegrei tuffs at depth. The effect on velocities becomes significant when the temperature rises

620 sufficiently to induce physical changes, such as volume change and the generation of free water
 621 associated with the dehydration of zeolite phases. This can lead to thermal crack damage (see also
 622 [Chiodini et al., 2015; Moretti et al., 2018](#)), further ~~influencing~~ affecting the dynamic behavior of the
 623 area. At higher depths, the well CF-23 indicates the presence of pyroclastic deposits from a depth of
 624 approximately 1.5 km to at least 1.8 km, where a temperature of 300°C was measured (Fig. 14b).
 625 Likely, at even greater depths of about 3km, marine silt and clay layers induce silica mineralization
 626 and the formation of low-permeability horizons. Due to the high temperatures, estimated to be at least
 627 400°C, these layers undergo thermal alteration, forming a thermo-metamorphosed layer (Fournier,
 628 1999; Lima et al., 2021; Cannatelli et al., 2020).
 629 Is important to note that Battaglia et al. (2008) interpreted a low Vp/Vs body, extending to about 3–
 630 4 km of depth, as due to the presence of fractured overpressured gas-bearing formations, confirming
 631 the data of Vanorio et al. (2005). This depth range of 3–4 km likely represents a primary accumulation
 632 zone
 633



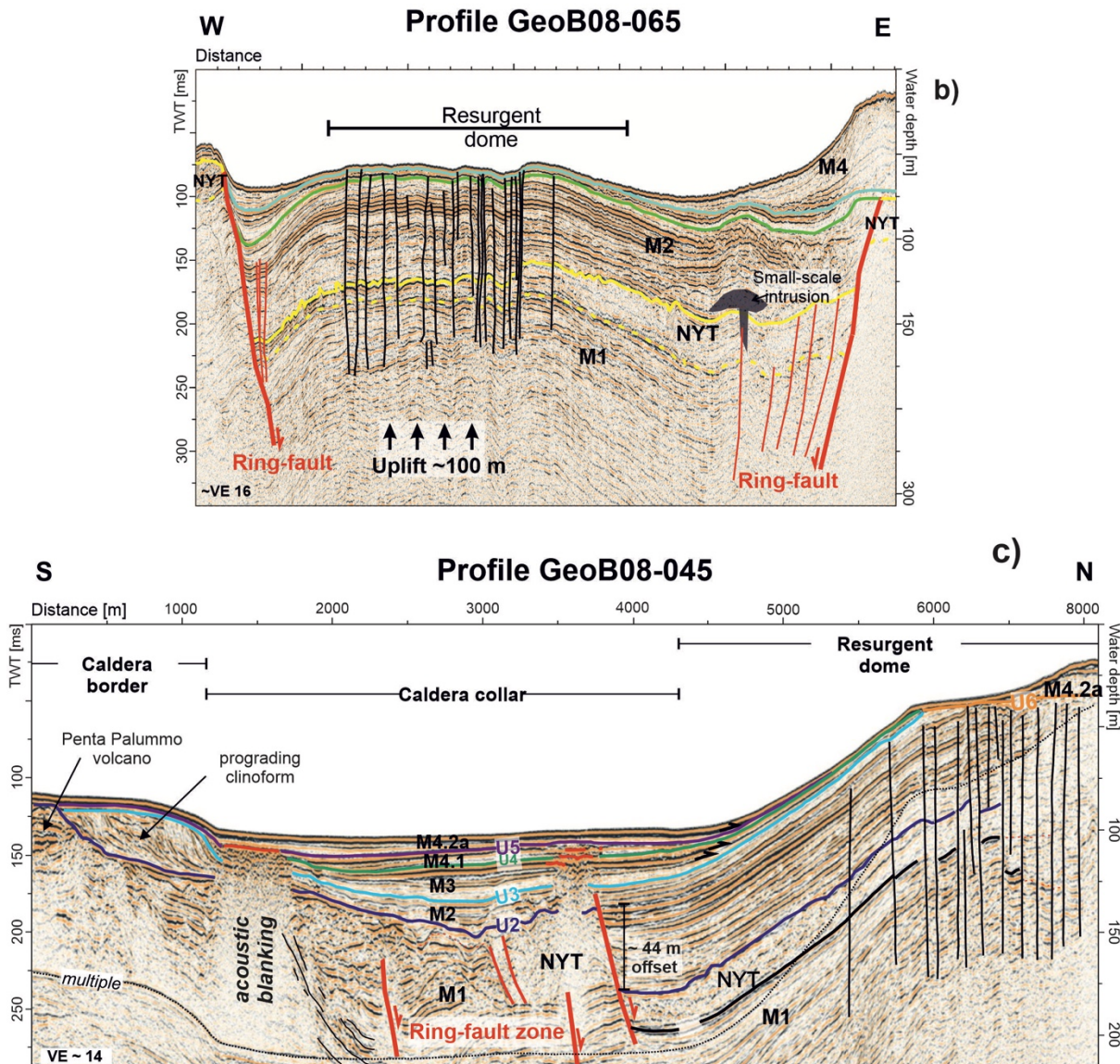


Fig. 15 – a) Campi Flegrei map showing the approximate limits of the resurgent block (area in the yellow ellipse), which concentrates ground deformation and seismicity. ~~The thinner black line indicates the ring fault marking the limit of the resurgent block at sea, and the thicker one the ring fault associated to the offshore caldera border.~~ b) The N-S and c) W-E profiles of the high-resolution seismic survey, showing the offshore signature of the NYT ring fault system and resurgent structure (from Sacchi et al., 2014, 2020a, 2020b; Steinmann et al., 2016).

for shallow intruded magma, which is unable to reach the surface and instead forms magma sills (Woo and Kilburn, 2010; Di Vito et al., 2016; Troise et al., 2019; Kilburn et al., 2023). The magma at this depth is likely to be in a mush state, i.e. solidified, but still at temperature high enough to be remobilized by the inflow of new magma or hot magmatic fluids (De Natale et al., 2004).

At even greater depths, approximately between 7 - 8 km, the main magma chamber is located. This chamber contains both liquid magma and residual mush from past eruptions (Judenherc and Zollo, 2004).

5.2 The preparatory phases of the 1538 eruption

A tentative model can be now constructed for the preparatory phases of the 1538 eruption, which accounts for all available data. It is shown in Fig. 16, and can be summarized as follows: the Pozzuoli area experienced a long period of subsidence, beginning at the end of the second phase of post-caldera volcanism (3.7 ka B.P.) and lasting until 1430 AD. This subsidence was likely triggered by the collapse of the upper and middle crustal blocks into the underlying magma chamber, situated deep within the limestone basement at depths of 7-8 km (Judenherc and Zollo, 2004). The viscoelastic ~~behaviour~~behavior of the shell encasing the magma chamber may have also contributed to the subsidence, along with the decrease in magma volume due to cooling and crystallization (Fig. 16a). Since the end of the second phase of post-caldera volcanism, approximately 3.7 ky ago, the primary magma chamber, located at 7-8 km of depth, likely contains a mixture of liquid magma and mush. It's important to note that mush refers to a non-eruptible phase of trachytic magma, composed of 25%–55% volume by crystals (Marsh, 1996; Bachmann and Huber, 2016; Cashman et al., 2017; Edmonds et al., 2019). When heated by several tens of degrees, typically through the injection of hotter magma, mush can revert to a liquid state, thereby regaining the ability to trigger a volcanic eruption (e.g. De Natale et al., 2004; Caricchi et al., 2014). However, the way the mush is rejuvenated by intrusion plays a fundamental role in this mechanism (Parmigiani et al., 2014). One plausible scenario is that the new magma from the deeper crustal levels forms sills at the base of the mush, revitalizing it through the supply of heat, but not of magmatic mass, i.e. only exsolution occurs (Bachmann and Bergantz, 2006; Bergantz, 1989; Burgisser and Bergantz, 2011; Huber et al., 2011; Bachmann and Huber, 2016; Cashman et al., 2017; Carrara et al., 2020). To explain the rapid uplift observed in the interval between 1430 and 1538, the temperature contrast between the two layers could play a fundamental role: the mafic melt positioned at the base, being hotter than the overlaying layer, undergoes cooling and crystallization, leading to an increase in the volatile content (primarily H₂O and CO₂) of the residual melt (Fig. 16b). Lower ductile rocks tend to deform gradually, allowing magmatic gases to permeate into the brittle zone above, thereby inducing a thermo-metamorphic separation layer.

~~The presence of supercritical fluids, within this zone is indicated by a~~ seismic anomaly displaying low V_p/V_s at approximately 4 km depth (Battaglia et al., 2008) indicates the presence of supercritical fluids. ~~E-Above this depth the earthquakes are concentrated~~clustered above such a depth, suggesting the ~~occurrence~~presence of fractured ~~formations~~rocks rich in overpressured gas. This condition likely

681 results in triggering additional earthquakes (Fig. 16a). ~~A: a~~ similar condition has been often
682 hypothesized to occur in the ~~Yellowstone~~ Yellowstone volcano (Shelly and Hurwitz, 2022), and is
683 explained in the following. Intense degassing from the main magma chamber would lead to increased
684 pressure in the shallow aquifers forming the large hydrothermal system, just as hypothesized for
685 recent unrest (Moretti et al., 2017; 2018); m. Moreover, the rise in temperature would cause the water
686 contained in the tuffs' zeolites to convert into steam, generating additional overpressure. Such a
687 situation is shown by the CF-23 well, where its stratigraphy indicates the presence of a lava-magmatic
688 layer approximately 30 m thick beneath the overlying tuff blocks, which are approximately 1.5 km
689 thick (Fig. 14b).

690 It is noteworthy, when considering the correct stratigraphy of the resurgent block, as represented by
691 the CF-23 well, that some previous models suggesting the presence of two low-permeability layers
692 at depth (Vanorio and Kanitpanyacharoen, 2015; Kilburn et al., 2023), inferred from the SV1 well
693 (which is situated outside of the resurgent block) (Fig. 14a), ~~appear to be incorrect~~ can be
694 questioned. Therefore, ~~above the thermo-metamorphic zone,~~ magmatic gases may not necessarily
695 be restricted to below the thermo-metamorphic horizon (Kilburn et al., 2023), but may instead
696 accumulate at shallower levels beneath the “summit” magma intrusion at a depth of about 2.5-3.0
697 km.” ~~do not accumulate below the hypothetical second low permeability layer, as postulated by~~
698 ~~Kilburn et al. (2023), but rather between the summit lava base and the underlying thermo-~~
699 ~~metamorphic horizon, corresponding to a depth of 2.5 km, which is the fragile layer.~~ Consequently,
700 at the base of the lava-magma body, conditions of high temperature and pressure result in
701 widespread brittle deformation of this layer due to uplift, ~~rendering~~ making it highly permeable by
702 fracturing (Fig. 16b).

703 Finally, super-compressed magmatic gases were likely contained within an approximately ~~a~~ 2.5 km
704 thick fragile zone, while a limited release of the increased pressure occurred directly through the
705 fractures connecting the intermediate depth area with the Solfatara and Pisciarelli areas, resulting in
706 the escape of CO₂-rich vapor. ~~A similar mechanism has been as-evidenced~~ in the recent unrest, by
707 the reported increase in fumarolic activity and in the CO₂/H₂O ratio (Chiodini et al. 2021).

708 Following this hypothesis, it is noteworthy that, at a depth of 1.8 km, the CF23 drill-hole indicates a
709 very high temperature of 300°C, not far from the supercritical temperature. It is plausible that, if the
710 temperature significantly increases, due to the supply of deeper, hot magmatic fluids, the water
711 contained in the basal part of the tuff block could reach supercritical conditions, leading to thermal
712 fracturing within the tuff block (Vinciguerra et al., 2006), over a certain thickness (Fig. 16b).

713 As previously mentioned, the increase of pressure resulting from such intense heating caused by deeper
714 magmatic fluids should be attributed to both the overpressure of shallow aquifers and the vaporization;
715 of water contained in the zeolites, likely in the form of superheated steam.

716 ~~In conclusion, the uplift in the Pozzuoli area cannot be attributed solely to magmatic fluids~~
717 ~~pressurizing the layer above the thermo-metamorphic horizon (Nespoli et al., 2023; Kilburn et al.,~~
718 ~~2023), nor solely to the increase of pore pressure in the shallow aquifers (Casertano et al., 1975; De~~
719 ~~Natale et al., 1991; Seafetta and Mazzarella, 2021). Our hypothesis includes the presence of various~~
720 ~~sources originating at different depths, collectively contributing to the uplift dynamics underlying the~~
721 ~~area of maximum uplift during the period from 1430 to 1503 and coinciding with the phase we~~
722 ~~designate as the 'long-term seismic precursors' (see next section). These sources include~~
723 ~~approximately 2.5 km of brittle crust enriched in gases under supercritical conditions, along with~~
724 ~~overheated conditions in the upper part. Together, these factors act as the driving force behind the~~
725 ~~uplift observed in the region during this specific period.~~

726 The pressure increases in the main magma chamber, resulting from the input of new magma and/or
727 magmatic fluids as explained, can also trigger the formation of magma sills-dykes (Troise et al.,
728 2019). The progressive intrusion of several magma sills-dykes likely leads to the ascent of magma
729 towards the surface. This process may be further facilitated by phreatic explosions caused by the
730 heating of shallow aquifers, resulting in depressurization pulses. Intruding magma may encounter
731 layers that are more resistant to penetration at certain depths. In this case further magma intrusion
732 may be inhibited and lateral expansion, to form sills, may occur (Gretener, 1969). Previous studies of
733 recent unrests have indicated that depths between 2.5 and 4 km, close to the upper limit of the ductile
734 zone, are locations where magma intrusions can halt (Woo and Kilburn, 2010; Troise et al., 2019).
735 Before the 1538 eruption, a small plumbing system, in the form of flattened intrusions near the contact
736 between a lower ductile zone and an upper brittle zone in a high-pressure environment, was
737 hypothesized (Fig. 16b) (Pasquarè et al., 1988). From such a shallower magma chamber, magma can
738 further progress upward towards the surface. A dynamic in which early intrusions in the shallow crust
739 create small plumbing systems (i.e. stalled intrusions), from which a dyke later propagates, bringing
740 a small quantity of magma to the surface, is typical of monogenic volcanoes (Marti et al., 2016). The
741 ability of intruded magma sills to erupt at surface is also influenced by the relatively short timescale
742 of sill solidification, typically in the order of ten to twenty few tens of years (Troise et al., 2019).

743 Shallow solidified magma sills, in the form of mush, can be remobilized due to the arrival of new
744 magma and/or ~~the introduction~~ of hot deeper magma fluids. The significant uplift preceding the 1538
745 eruption, amounting to more than 16 meters in the initial phase involving the entire resurgent block,
746 ~~could be if~~ interpreted solely in terms of magma intrusion, would suggesting a total intruded volume,

747 in the shallow plumbing system, on the order of somea cubic kilometers of magma, ~~at least~~ (Bellucci
748 et al., 2006).

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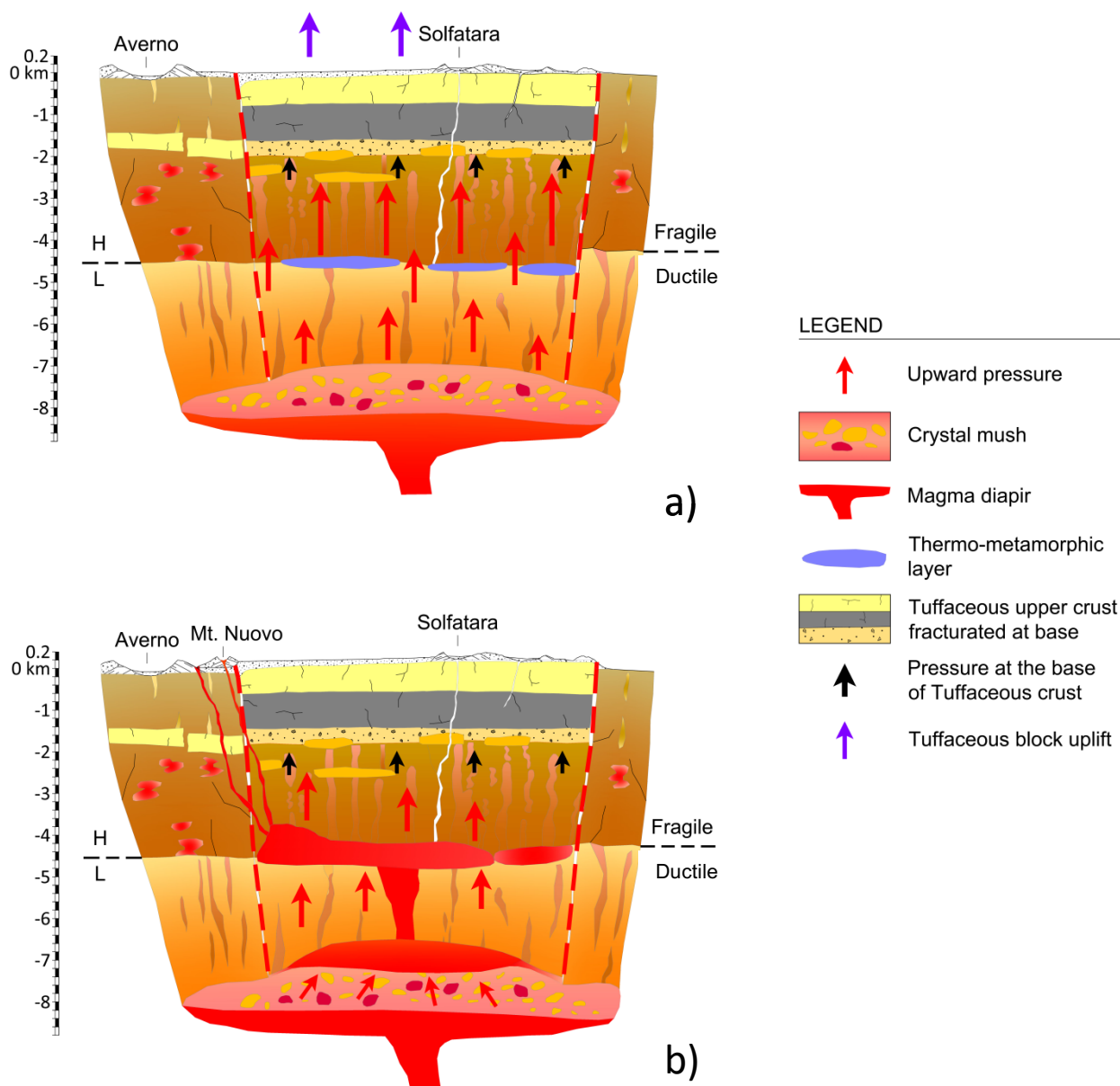
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758 Fig. 16 – Schematic cross sections of the hydrothermal and magmatic systems underlying
759 the underlying the Campi Flegrei resurgent block in the 1538 AD, showing:

760 a) Process of gas sparging according to Bachmann and Bergantz (2006) model, related to the
761 transfer of hot gas from a mafic intrusion underplating the trachytic mush and the hypothesized
762 relation with earthquake swarms of the exsolved fluids, accumulated at lithostatic pressures in
763 the ductile region and episodically injected into the brittle crust at very high strain rates. The
764 sudden increase of fluid pressure, in the brittle region, can triggers earthquake swarms in the
765 2-4 km depth range.

b) Remobilization of mush by mafic magmas then occurs, so ~~that~~ the magma remobilized from the mush accumulates at the top, fueling its rise upward to accumulate, in a sill-like shape, along the ductile-brittle transition surface. Eruption from the magma sill is then likely to occur at the faulted borders of the resurgent block.

However, despite such a large ~~volume-uplift~~, suggesting however high volumes of shallow intruded magma, the eruption of 1538 only produced about 0.03 km³ of pyroclastic deposits (see next section). This discrepancy likely suggests that multiple sill intrusions occurred over more than one century, with most of them solidifying without contributing to the eventual eruption. Only the most recent intrusion events, and/or some portion of magma mush from prior intrusions remobilized by subsequent heating, would have fed the eruption.

Also interesting is to note that, after the 1538 eruption, ground subsidence recovered only 8 meters, i.e. one half of the former total ground uplift. This means that about one half of the total uplift was likely caused by thermally pressurized gas and water (shallow aquifers), perturbed by hot fluids coming from the deeper (7-8 km) magma chamber; the remaining, unrecovered uplift, should have been caused by shallow magma intrusion. It is the same process hypothesized for recent unrests: in particular, the 1982-1984 uplift showed a subsequent subsidence about one half than the former uplift, interpreted as the deflation of formerly pressurized water and gas (Troise et al., 2019). -

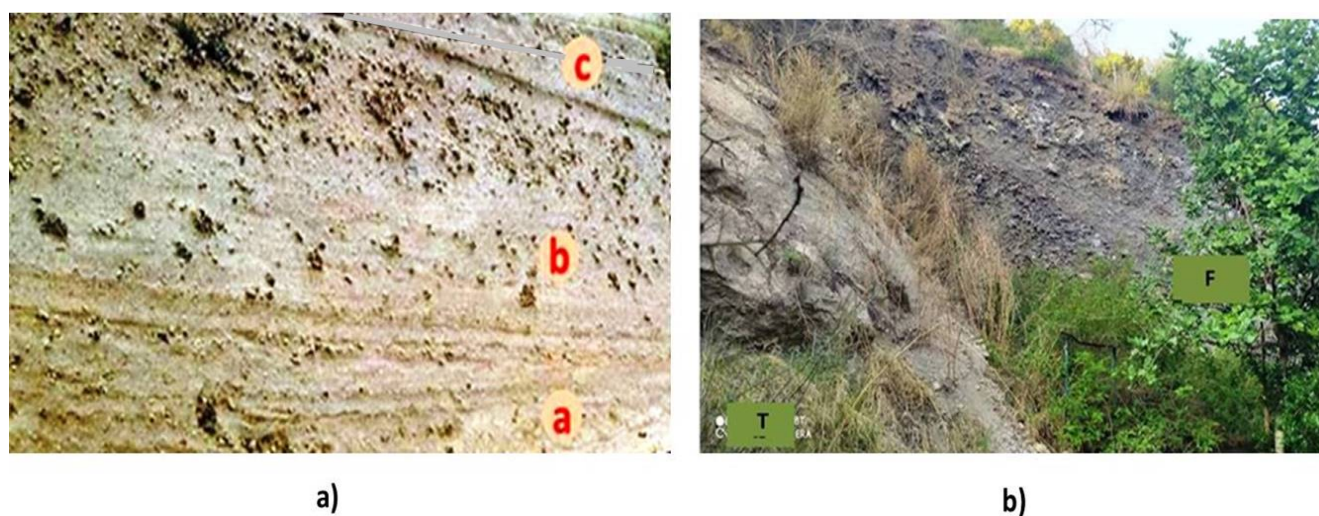
Another characteristic of eruptions from small monogenic volcanoes is their difficulty to be forecasted, as they occur at unexpected locations (Marti et al., 2016). Both distinctive traits were evident in the eruption of Monte Nuovo, which represents a prototype of a small monogenic volcano in the Campi Flegrei. Despite the relatively small volume of magma (0.03 km³), the eruption occurred at a considerable distance, approximately three km westward, from the area of maximum uplift. The position of the 1538 vent is approximately on the border of the resurgent block: such a border, marked by ring faults, clearly represents a weak zone, where magma can more easily intrude.

5.3 The eruption of 1538

The week preceding the eruption, was marked by a series ~~of~~ seismic events (Guidoboni and Ciuccarelli, 2011). The shoreline gradually retreated 200 steps (ca. 370m) seaward, because of an occasional uplift occurred on the eastern shore of Lake Averno (see Fig. 2d) and during the 36 hours preceding the eruption, ~~there werethe ground level reached~~ -7 meters of totalground-uplift (Parascandola, 1943; Costa et al., 2022). The local uplift rapidly attenuated as a function of distance, ~~adding about 1-2m to the maximum uplift in Pozzuoli~~ (Rolandi et al., 1985) (Fig. 6). The uplift, involving a local marine regression, was accompanied by strong rumbles on the night between 28 and 29 September, culminated in a further explosion, at 2 am on the following night, which marked the

800 vent opening and the start of the eruption. The early eruptive column, initially white in colour, ejected
 801 muddy ashes and lithic and scoriaceous lapilli upwards. The presence of wet ash on the slopes of the
 802 gradually growing volcanic cone led Parascandola (1943) to hypothesize that it was a mud eruption.
 803 This description, present in the chronicles of the time (Parascandola 1943), indicates that the first
 804 eruptive phase was phreatomagmatic in character, although it evolved with a peculiar characteristic,
 805 because the volcanic cone was formed by massive pyroclastic units, made up of loose and wet deposits,
 806 ascribable to pyroclastic flows products with a prevalent sandy matrix, incorporating lithic and
 807 scoriaceous clasts. In Fig. 17a we recognize three main flow units, each of them made up of sub-units.
 808 These sub-units are mostly evident in the finest basal part (a), while in the intermediate part (b),
 809 showing abundance of scoriaceous clasts, an inverse gradation is observed. Finally, the hydromagmatic
 810 activity, lasted about 12 hours, built a small tuff cone, formed by successive waves of pyroclastic flow
 811 units, whose deposits reached a height of approximately 120 m. This particular type of hydromagmatic
 812 deposit implies an eruption in which the magma-water interaction process is characterized by a low
 813 efficiency, considering the thermal energy of the magma and the mechanical energy generating the
 814 eruption. In the classic Wohletz experimental diagram (Wohletz ~~et al.~~, 20131983), besides the fields 1
 815 and 3 which include, respectively, eruptions with zero or

816



817

818 **Fig. 17 – a) Flow units in the phreatomagmatic Pyroclastic flows, b) Deposit of the final scoria**
 819 **flow (F) deposited in the western depression of the phreatomagmatic Tuff cone (T).**

820

821 low magma/water ratio (0 – 0.1) and those with extremely high ratios (100-1000), field 2 includes
 822 hydro-magmatic explosive eruptions with an interaction ratio between 0.1 – 10, indicative of a greater
 823 value of mechanical efficiency (Fig. 18). It is evident, however, that even in field 2 there is a

824 differentiation in efficiency, due to the condition characterizing the expansion of the water vapor that
825 develops during the magma-water interaction process, that is:

826 1) If the magma/water ratio is around the value of 0.3, the maximum efficiency is achieved. The
827 quantity of water is optimal and expands entirely as superheated steam, that is, the maximum volume
828 that can be generated is obtained without dispersing heat. Under this condition, the so-called Base
829 Surges are formed;

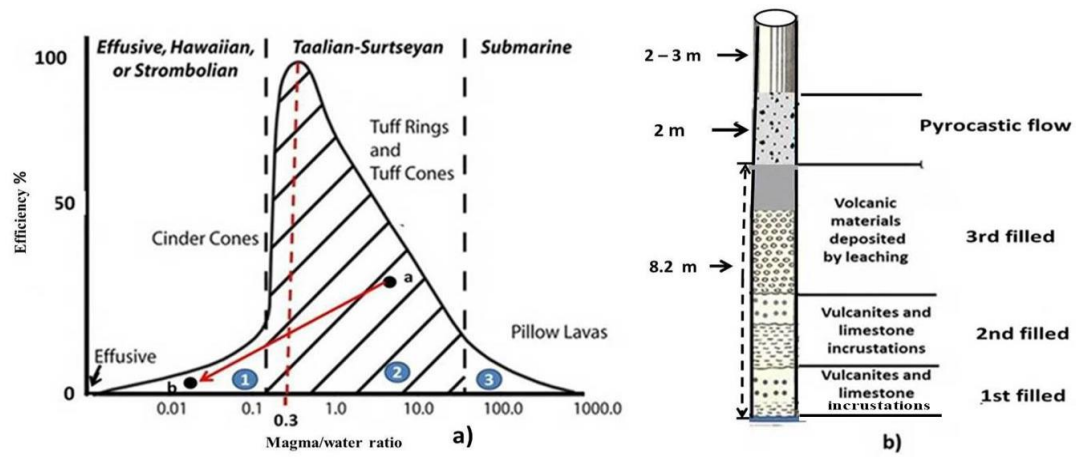
830 2) If the water content increases, the efficiency drops because not all water is vaporized, and, as a result
831 steam saturated with water is formed. Under this condition, Pyroclastic flows are formed.

832 This last type of flow is therefore associated with the collapsing eruptive columns that developed in
833 the night between 29 and 30 September, to be ascribed to a phreatomagmatic eruption with a high
834 magma-water ratio, which gave rise to the non-welded ignimbrites described in typology 2 and located
835 in the diagram of Fig.18a, at point a. Such -particular condition for the flow, besides forming the new
836 cone, also formed pyroclastic flows directed towards Pozzuoli. This kind of flow deposit, 5 m thick, is
837 recognized in the tunnel of the new road to Arco Felice, located about 1 km from the cone (Fig.18c).
838 These deposits, never described before, also easily explain the two meters of M. Nuovo eruption
839 deposits described at Serapis Temple of Pozzuoli during the excavations (Parascandola, 1947), and
840 formerly ascribed to fall products (fig.18b). This implied that in the initial phase of the eruption the
841 magma absorbed a considerable quantity of sea water present above the eruptive vent, so in these
842 conditions, the collapsing eruptive columns which gave rise to the pyroclastic flows on the night
843 between the 29th and 30th September, reached a maximum height of less than 3 km, (Parascandola,
844 1943), depositing in a radius of approximately 3 km, as follows:

845 - with thickness of 5-10m, in sections obtained by cutting the slope in the area around the volcano (Fig.
846 17a);

847 - in a depression on the SE sector of the volcano. The materials of the Tuff Cone of Monte Nuovo (T)
848 are present, together with the products of the scoria flow (F) deposited in the SE depression (Fig. 17b).

849 ~~It should be noted that, about 1km away towards the SE, in the direction of the Serapeum, the products~~
850 ~~of the Tuff Cone display a thickness of about 5m and around the Serapeum itself~~



c)

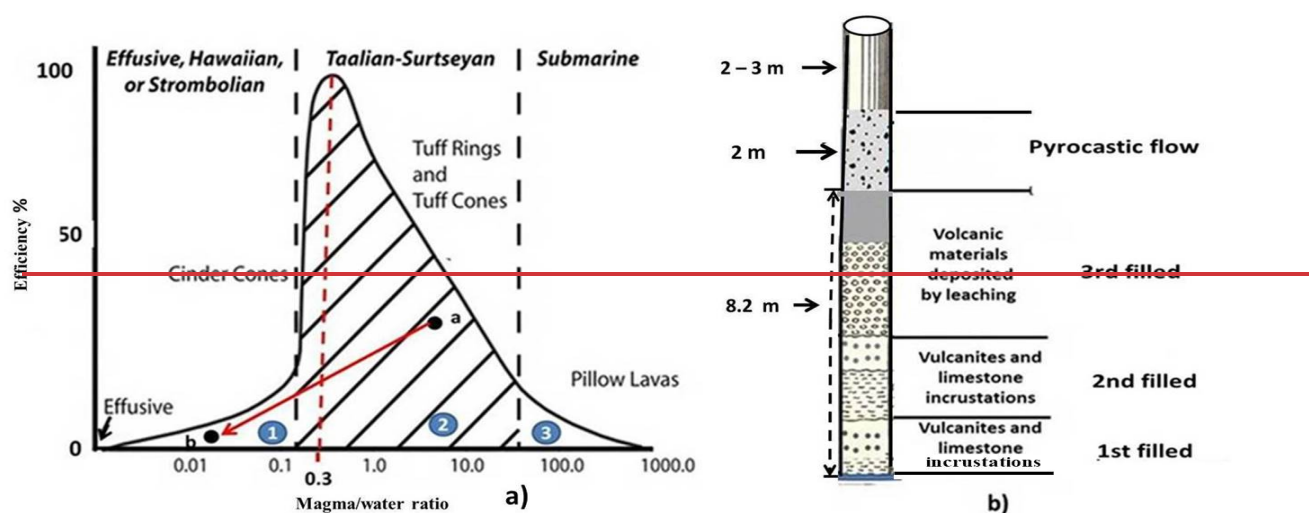


Fig. 18 – a) Wohletz (1983) diagram for the evaluation of the mechanical efficiency of the products emitted in the form of Pyroclastic flows and fall/flow from Strombolian eruption column collapse; b) products emitted by the 1538 eruption in the first eruptive phase as wet pyroclastic flow, which ~~bury~~buried the upper part of the Serapeum columns (above 8.2 m of height); c) deposits of pyroclastic flow directed towards Pozzuoli, showing a thickness of about 5 m, in the tunnel of the new road to Arco Felice-

~~(about 3km away), the products show a thickness of about 2m (Fig. 18b).~~ According to the chronicles, on October 6th there was a new eruptive phase and 24 ~~unwary~~incautious visitors died, surprised by the resumption of eruptive activity, which revealed itself with different characteristics, mainly magmatic, that is, with a low water-magma interaction ratio (point b in Fig. 18a). In the hydromagmatic-magmatic transition, the eruptive cloud took the characteristic ‘cauliflower’ shape of Strombolian eruptions, with a height of about 4 km, which, driven by winds from the NW and then from the N, distributed the scoriaceous products towards the SE in the direction of Nisida and the Neapolitan coast, then towards the S, in the direction of Bacoli and Capo Miseno (Parascandola, 1943). The scoriaceous products of the second Strombolian magmatic eruptive phase uniformly covered the basal units that formed the volcanic edifice during the first phase, with an average thickness of about 0.5 m. The final phase of the eruption occurred with the collapse of the Strombolian eruption column, which deposited a scoria flow in a depression on the eastern side of the underlying cone of materials formed by phreatomagmatic pyroclastic flow units (Fig.17b). Overall, the eruptive event of 1538, with the emission of 0.03 km³ of pyroclastic material, can be classified with a VEI = 2.

2.3. The seismicity before and after the 1538 eruption

877 The main precursors of the eruption, as reported by chronicles, were the earthquakes. Earthquake
878 sequences preceded, accompanied and followed the 1538 event. In this context, seismic precursors
879 may depend on the occurrence of stress perturbation, determined by the arrival of magmatic gases, as
880 well as directly by magma intruded at shallow crustal levels (typically at depth of 3-4 km), originating
881 from the main reservoir located at about 7.5-8.0 km depth.

882 We analyze here the earthquake sequences that occurred before the eruption. Earthquake magnitudes,
883 from inferred intensities of these earthquakes, have been computed as described in the Appendix 3.
884 We can then compare past earthquakes with those occurred during the recent unrests.
885
886

887 **6.1 Comparing past and recent earthquakes: from intensity to magnitude**

888 ~~To better compare the past earthquakes with the recent and present-day seismicity recorded at Campi~~
889 ~~Flegrei we must convert intensities in magnitude. In Fig. 19, we present a tentative correlation~~
890 ~~between the epicentral intensity (I_0) and the magnitude (M_L). Choosing the correct relation between~~
891 ~~I_0 and M_L is not straightforward, particularly in this case involving peculiar volcano-tectonic~~
892 ~~earthquakes. Nonetheless, it is important to establish such a relation to compare the seismicity~~
893 ~~observed during the 1430-1582 period, as inferred by Guidoboni and Cucciarelli (2011), with the~~
894 ~~seismicity experienced during the recent unrests. To determine the I_0 - M_L relation, we are confident~~
895 ~~that, despite the availability of several formulas in the literature, the best approach is to consider a~~
896 ~~precise geographical and seismotectonic context, especially in a volcanic setting. Different features~~
897 ~~allow to discriminate between volcanic and tectonic earthquakes, which suggests caution in using~~
898 ~~correlations derived from tectonic areas for volcanic earthquakes, and vice versa (Milana et al., 2010).~~
899 ~~In order to build a realistic relation between seismic intensity and magnitude in this area, we utilized~~
900 ~~the computed intensities of two earthquakes that occurred in the Campi Flegrei region in 1983~~
901 ~~(Branno et al., 1984; Marturano et al., 1988; Milana et al., 2010; Charlton et al., 2020), during the~~
902 ~~previous unrest of 1982-1984 (Troise et al., 2019). Additionally, we considered a $M=5.0$ earthquake~~
903 ~~that occurred in the similar volcanic area of Colli Albani (Sabetta and Paciello, 1995). The $M=4.0$~~
904 ~~earthquake occurred on October 4, 1983, at Campi Flegrei, was found to have a maximum intensity~~
905 ~~$I_0=VII$ (Branno et al., 1984; Marturano et al., 1988). An earthquake of magnitude $M=3.5$, which~~
906 ~~occurred in the same swarm on October 4, 1983, was found to have a maximum intensity $I_0=V$ (Fig.~~
907 ~~19; Marturano et al., 1988). Furthermore, Sabetta and Pugliese (1995) reported an earthquake of~~
908 ~~$M=5.0$, with a maximum magnitude $I_0=VIII$.~~

909 ~~These correlations between intensity and magnitude were utilized to assign realistic magnitude values~~
910 ~~to the macroseismic intensities deduced from the analysis of historical seismicity (Guidoboni and~~

~~Cucciarelli, 2011), as shown in Fig. 19. They were also used to transform the magnitude of earthquakes associated with recent unrest phases into macroseismic intensities, as we will discuss later.~~

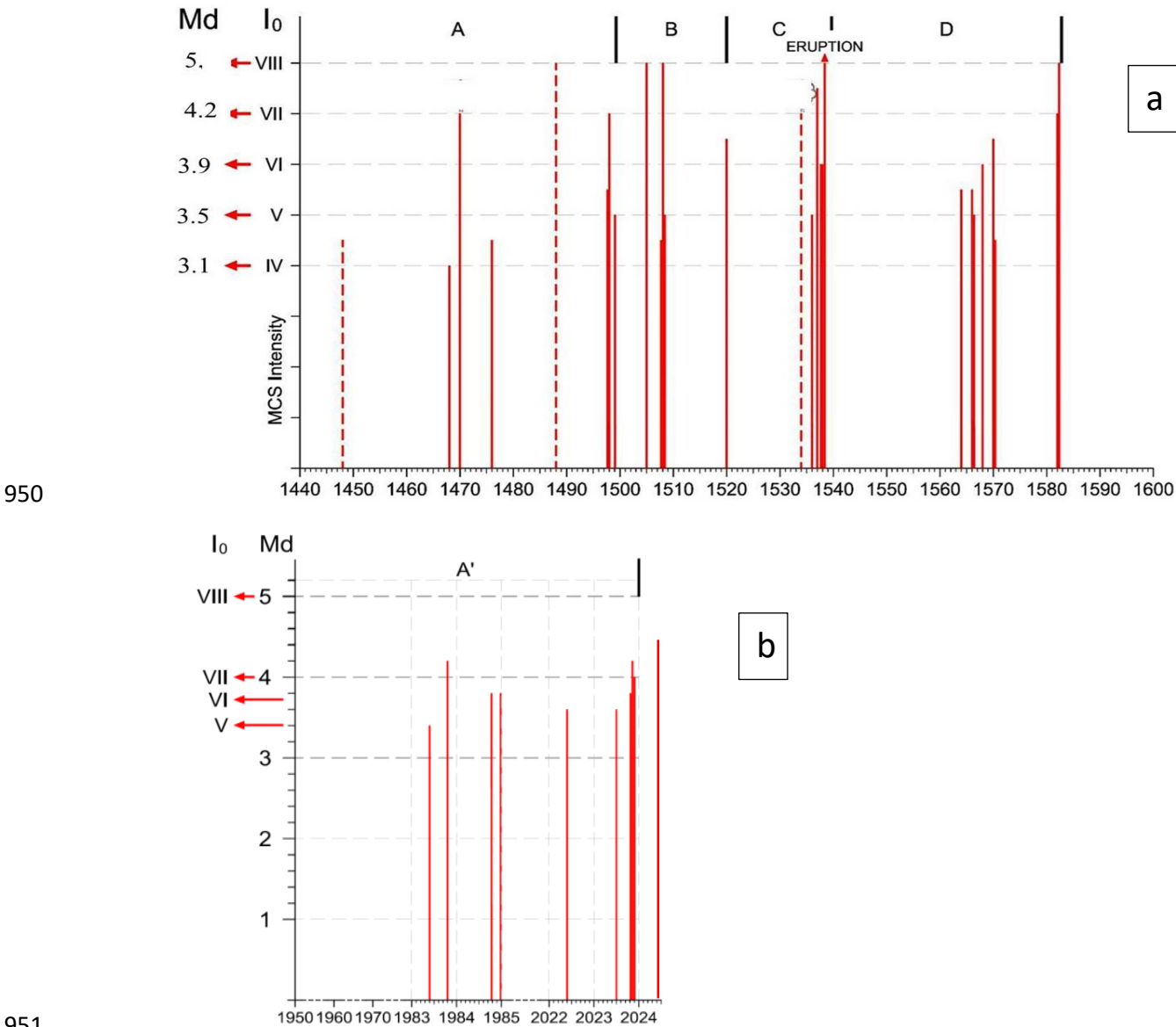
6.1 The seismic phases that accompanied the ground uplift and the eruption

We can classify the precursory earthquake sequences into three categories: long-term, medium-term and short-term precursors.

- The phase of *long-term seismic precursors*, ~~preceded by historical reports of earthquakes of doubtful occurrence, started in 1448 and began to be~~ was well documented since 1468 - 1470, when a paroxysmal seismic phase occurred ($I_o = VII$) (Guidoboni and Ciuccarelli, 2011; Francisconi et al., 2019) (Fig. 19a – interval A), resulting from a progressive increase in fracturing. This culminated into intense fumarolic-hydrothermal activity recorded at the Solfatara volcano. The historical chronicles report widespread damage to the vegetation, both spontaneous and cultivated, in all the areas surrounding the volcano. This appears to be an important piece of information, indicating a broadening of the area affected by intense degassing, (Francisconi et al., 2019). In 1475, another seismic phase was reported (Guidoboni, 2020), with maximum intensity $I_o = IV - V$. Over the following twenty years, ground uplift continued at an accelerated rate. This period culminated with a strong seismic phase occurring in October 1498, reaching considerable maximum intensity ($I_o = VII$). A low-intensity seismic phase then followed during the period 1499 - 1503 (maximum intensity $I_o = V$) (Fig. 19a – interval A). Such a long-term precursory phase could likely be interpreted as mainly due to intense degassing, coming from the deep magma chamber and progressively increasing pressure in the shallow layers of the geothermal system, without significant contribution from direct magma intrusion at shallow depth.

- After this first initial long-term precursory phase, a new phase of *medium-term precursors* followed. This phase was characterized by stronger seismic events in 1505 and 1508, which were of higher intensity with respect to the previous ones (maximum intensity $I_o = VIII$) (Guidoboni and Ciuccarelli, 2011). Additionally, there was a faster ground uplift during this period, resulting in serious damage to buildings and several casualties. This seismic phase could have been caused by either a higher stress associated with increased uplift level, or magma intrusion, from the deep magma chamber into shallower levels. This intrusion could have produced higher stress resulting in seismic activity of greater intensity. Although it is obviously difficult to identify, from historic sources alone, the respective roles of the deep degassing into the hydrothermal system versus shallow magma intrusion, we believe that the reported evidence of vegetation damage and increased degassing in the first phase, and the increase of earthquake intensity in the second phase, indicate respectively a main contribution

945 of degassing perturbing the hydrothermal system, in the first phase, and of shallow magma intrusion
 946 in the second phase. This phase ~~concluded-ended~~ in 1520, with a medium intensity earthquake ($I_0 =$
 947 V-VI) (Fig. 19a – interval B), ~~likely again associated with perturbations in the hydrothermal system.~~
 948
 949



951
 952 **Fig. 19 – a) Reported earthquakes occurred before and after the 1538 eruption (~~from-after~~**
 953 **Guidoboni and Ciuccarelli, 2011). The computed intensities of these earthquakes have been**
 954 **converted in magnitudes using the considerations made in the ~~text~~appendix 3. b) Highest**
 955 **magnitude earthquakes ($M \geq 3.5$) occurred since 1950 to present.**

956
 957 –After 16 years of relative seismic quiescence, likely characterized by low-intensity earthquakes not
 958 reported in chronicles, a short-term precursory phase began in 1536. It ~~commeneed-started~~
 959 continuous seismicity, without major damage ($I_0 =$ III -IV), continuing with similar features until the

early 1537. It is possible that this last seismic phase, characterized by relatively low magnitude, was caused by low-frequency seismicity, resulting from magma oscillations during the fractures opening (see Chouet, 1996). This seismicity became more frequent just before the eruption. In February of the same year, the seismic activity peaked with stronger events ($I_o = VI - VII$), accompanied by an increase in the fumarolic activity at Solfatara. This provides ~~clear~~ evidence that this seismicity ~~was~~ could be again related to perturbations in the hydrothermal system. A final increase in seismic activity ($I_o = VIII$), began in mid-June 1538, accompanied by a ~~7-localized, significant meter additional~~ ground uplift at the eruption site, located 3 km away from the center of previous maximum uplift (Fig. 19a – interval C) (Parascandola, 1943, Rolandi et al., 1986; Guidoboni and Ciuccarelli, 2011; Guidoboni, 2020). ~~The claim made by Di Vito et al. (2016) regarding very large local uplift at the eruption site, exceeding 18 m., appears to be inaccurate. Historical chronicles from the time indicate that the Roman road ‘Via Herculea’, which was submerged during the subsidence phase and was at about 7 m of depth in 1430, did not re-emerge during or after the 1538 eruption. Given the proximity of the Via Herculea to the 1538 vent, this suggests that local uplift there should not have exceeded ca. 7 m.~~

~~- On 1538, approximately 0.03 km^3 of emitted products, through phreatomagmatic activity with low mechanical efficiency (Rolandi et al., 2023). After six days the eruption resumed with Strombolian-type magmatic activity, mantling the tuff cone with a 0.5 m thick blanket of dark trachytic scoria. The final phase of activity ended with the collapse of the Strombolian eruptive column, resulting in the deposition of a scoria flow in a depression on the south-east side. Monte Nuovo has been the second smallest volcanic eruption (and volcanic edifice) of the post-caldera activity, with $VEI = 2$ (Rolandi et al., 2023).~~

6.2 The post-eruption seismicity

We will now consider the seismic phase following the eruption just described, which we will indicate as the *aftereffect of the 1538 eruption*. This phase was likely triggered by continuing degassing from the deep magma chamber, and/or by new episodes of shallow magma intrusion not reaching the surface to erupt. It began in 1564 with earthquakes of medium intensity ($I_o = V - VI$), followed by a phase of lower intensity 2 years later. In 1570 seismic intensity increased ($I_o = VI - VII$), causing damage to the buildings of the city of Pozzuoli. Between 1575 and 1580 a new phase of low seismic intensity began, culminating, in 1582, with two earthquakes, respectively of intensity $I_o = VII - VIII$. These earthquakes caused partial collapses in several houses and serious damage to churches and buildings, as well as numerous casualties (Parascandola, 1943; Guidoboni e Cucciarelli, 2010; Guidoboni, 2020).

3.4. Comparison of precursory phases of 1538 eruption with current unrest

This study is mainly aimed at understanding how the evolution of the ground movement and seismicity phases linked to the 1538 eruption can help build realistic scenarios for the evolution of the same recent phases at the Campi Flegrei caldera. Common features between the medieval and present-day ground movement unrest phases are described in the following:

The main similarity is that the seismicity, in the past and in the recent unrest, has been clearly correlated both with the total uplift and the uplift rate; it is practically absent in periods of subsidence (Dvorak and Gasparini, 1991; Kilburn et al., 2017; Troise et al., 2019).

We found, in particular, that seismicity of period 1950-2024 is on the same order than the period 1430-1503, whereas the latter, as we have previously observed, was the first phase of preparation of the 1538 eruption. Although the total amount of uplift in the period 1430-1503, about 10 m, was more than double than the total uplift recorded since 1950-2023, of about 4.1 m., the seismicity in the two periods has been remarkably comparable. The maximum magnitude, $M=4.2-4$ recently occurred on October 2nd May 20th, 2024~~3~~, is in fact very similar to the maximum magnitude reconstructed for the period 1430-1503 (Fig.19a interval A and Fig.19b interval A').

It is also interesting to compare the average uplift rate before the 1538 eruption with that observed since 1950 to present. In particular, we can compare the average uplift rate occurred in the first 70-73 years, since 1430 to 1503, with that observed since 1950 till now. In the period 1430-1503 maximum ground uplift was about 10 m, thus implying an average uplift rate of about 13.5 cm/year; actually, the average ground uplift since 1950 has been less than half: 6.1 cm/year. It is anyway interesting to note that, in the last years, the continuous uplift period still ongoing is characterized by an average uplift rate of about -12-20 cm/year.

Another common feature is that both seismic phases, as well as ground uplift, can be mostly ascribed to the effect of pressurized hydrothermal fluids (Moretti et al., 2017; 2018; Troise et al., 2019). So, till now there is a close analogy between the 'long term precursory phase' preceding the 1538 eruption and the recent unrest 1950-2023; the only clear difference is, as we already noted, the much lower cumulative uplift (and consequently average uplift rate) of the recent unrest.

Such observations led us to consider two possible scenarios for the evolution of the present unrest.

7.1 First scenario

The first scenario would imply that the present unrest progresses towards a new eruption. Although there is, presently, no evidence for shallow magma intrusions occurring during the present unrest since 2006 (see Moretti et al., 2017, 2018; Troise et al., 2019), a new shallow magma intrusion, in

1028 the near future, cannot be ruled out. Another possibility is that the mush, which should be present at
1029 low depth, could be re-mobilised by hot fluids coming from the main magma chamber, the way we
1030 explained in the previous paragraphs. Troise et al. (2019), showed in fact evidence for a likely shallow
1031 magma intrusion occurred at about 3 km of depth, during the 1982-1984 unrest, with a volume of
1032 about 0.03 km³, i.e. the same order of magnitude of the erupted volume in the 1538 event. The same
1033 authors calculated, in agreement with other authors (Woo and Kilburn, 2010; Moretti et al., 2013;
1034 Moretti et al., 2018), that such a sill intrusion should have solidified, in form of mush, after about 20
1035 years, i.e. around 2003. If the actual unrest will progress towards an eruption, it is also very likely
1036 that seismicity will increase, in frequency and magnitude, possibly reaching magnitudes around 5 or
1037 even higher. Earthquakes of magnitude 5, in this area, would occur at very shallow depths (not higher
1038 than about 3 km), so producing high intensities (higher than VIII MCS, see Fig. 19). Finally, from a
1039 civil protection perspective, we must also take into account the possible onset of a post-eruptive
1040 seismic phase, which after the 1538 eruption lasted more than about 40 years. In conjunction with the
1041 prefigured scenario, the problem of forecasting the position of a new eruptive vent is also extremely
1042 relevant because, in principle, it could be opening in any sector of the caldera. Despite the indications
1043 contained in several probabilistic studies on the subject (Alberico et al., 2002; Selva et al., 2011), we
1044 must consider they are biased by the assumption of stationary conditions, which is implied in any
1045 probability computation based on the frequency of past events; they just rely on the most frequent
1046 vent locations of the past. As the most evident example that such probabilistic determinations have a
1047 poor reliability, it is enough to note that, on the basis of such-similar calculations, the site of the 1538
1048 Monte Nuovo eruption would have never been predicted. ~~The most~~A more reliable indication of the
1049 most likely future vent could come from the most seismic areas, because they reflect the areas of
1050 maximum shear stress. In this perspective, the Solfatara-Agnano area (see Fig. 15a), which is by far
1051 the most seismically active one, could be the most probable site for future vent opening. However,
1052 the most effective way to address this problem would be the prompt determination of localized uplift
1053 in addition to the usual bell-shaped one centered on Pozzuoli harbor. Although some recent eruptions
1054 (e.g. at Hekla volcano: Wonderman, 2000) show that the rise of magma from several km to the surface
1055 can be so fast to be practically ~~useless~~ for civil protection purposes, localized and considerable
1056 ground uplift was actually observed ~~well~~ before ~~(months or years)~~ the 1538 eruption, making it likely
1057 that this precursor will be observed before ~~any~~ future eruptions in the area.

1058 We ~~should-must~~ however ~~mention-consider~~ the possibility that, even without new shallow magma
1059 intrusions, and/or in absence of mobilized mush eruption, the increase of pressure for aquifer heating
1060 above the critical threshold could produce a phreatic eruption. Phreatic eruptions are in general very
1061 difficult to forecast, and also to detect from the past geological record. However, there is some robust

indication for at least one phreatic eruption occurred in the area, in 1198 (Scandone et al., 2010); it is also realistic that most of the phreatomagmatic eruptions in the area started as phreatic eruptions, as explained in previous paragraphs. The phreatic scenario deserves maximum attention for the current evolution of the CF unrest, because of its serious implications for civil defense purposes, and for the even higher difficulty to be forecasted, with respect to a magmatic eruption.

7.2 Second scenario

As an alternative scenario, we should consider the one which stops sometimes without evolving towards an eruption. Despite the similarity of the recent unrest with the first phase leading to the 1538 eruption, we could in fact consider the notable difference in the cumulative uplift between the past and present unrests: 10 m., as compared with less than 4.54 m. The level of ground uplift is critical, because it indicates the level of stress accumulated underground. As pointed out by Kilburn et al. (2017), when the level of stress reaches a critical value, the medium rheology becomes totally fragile and any small amount of incremental stress can cause the collapse (i.e. the catastrophic fracturing) of the shallow crust, thus producing the eruption. Actually, we don't know the critical stress level for the shallow crust at Campi Flegrei. Kilburn et al. (2023) claimed, from the observation of the trend of cumulative number of earthquakes as a function of cumulative uplift, that such critical value would have been reached and overcome in 2015. ~~However, looking at the data they present, no reliable change in the trend of seismicity after 2015 can be really observed; furthermore, their assumption that the maximum internal stress reached in 1984 has been overcome in 2015 is not justified, because only in June 2022 the maximum ground level reached the same maximum value of 1984 (Osservatorio Vesuviano, 2022).~~ Besides any speculation on their interpretations, it is clear that, if the internal stress had really overcome the critical level in 2015, considering the large additional uplift cumulated since then (about 0.85-90 m.), and hence the considerable incremental stress, the system would have already ~~been~~ collapsed, and an eruption occurred. The very high deformation occurred before the 1538, namely 16 m plus the localized uplift occurred just at the vent site before the eruption, seems to indicate that the critical stress level, at that time, is-was much higher than the one presently reached. So, if it could be assumed the medium strength today is similar, Therefore, there is a possibility that the progression towards eruption conditions is too gradual to culminate in an actual eruption, and the unrest may cease before reaching that point; or, however, that the time to reach the critical stage will be much longer (200-250 years, instead of about 100).

4.5 Conclusion

1094 In this paper, we have presented a detailed reconstruction of the ground deformation, and a
1095 comprehensive analysis of the main observations characterizing the events before, during and after the
1096 1538 Monte Nuovo eruption, the only eruption occurred at Campi Flegrei caldera in historical times.
1097 This reconstruction, based on clear historical evidence, has allowed us to correct some widely diffused
1098 but ~~erroneous-questionable~~ reconstructions, found in the past and recent literature, ~~based on clear~~
1099 ~~historical evidence~~. Specifically, we demonstrated that subsidence in the area began, at least, during
1100 the Greek colonization (VIII century BC) and persisted through Roman times, with documentation
1101 dating back to 90 BC. Additionally, we reconstructed the evolution of ground deformation at Pozzuoli
1102 harbor during the Middle Age, demonstrating that maximum subsidence occurred around 1430. We
1103 also tracked the ground level from 1430 until the first half of the 19th century, using historical data on
1104 the height of the Serapeum floor relative to sea level.

1105 Furthermore, by reconstructing the subsidence and uplift of the Via Herculea, based on ancient
1106 chronicles, we provided clear evidence indicating that the local uplift preceding the eruption at the
1107 Monte Nuovo site, situated near Via Herculea, did not exceed 5-7 meters, since Via Herculea never
1108 re-emerged from sea before and during the eruption. This evidence disproves claims in recent literature
1109 (Di Vito et al., 2016), that suggested local uplift around M. Nuovo, reached elevations as high as 19 m
1110 immediately before the eruption.

1111 Our reconstruction of geophysical anomalies (mainly ground displacement and seismicity) preceding
1112 and following the 1538 eruption has been tentatively interpreted in comparison with observations and
1113 data collected during the recent unrests. This approach ~~has-enabled~~enables the formulation of two
1114 possible scenarios for the evolution of the present unrest, which, so far, has shown notable similarities
1115 to the long-term precursors of the 1538 eruption.

1116 The first scenario involves the progression of phenomena towards an eruption, suggesting that, in the
1117 near future, earthquakes with magnitude up to 5 or slightly higher may occur, both preceding the
1118 eruption and persisting for several decades afterward. Conversely, the alternative scenario, implies that
1119 the unrest may cease before an eruption occurs. This possibility is supported by the fact that ground
1120 uplift observed from 1950 to ~~2023~~2024, compared with the uplift occurred over an equivalent period
1121 from 1430 to 1503, is significantly lower (4.~~31~~ m as compared to 10 m). Since the overpressure in the
1122 system is somewhat proportional to the amount of uplift, it is plausible that the recent unrest has not
1123 reached the critical value for catastrophic fracture of shallow rocks. In addition, if cumulative stress
1124 increases too slowly, a substantial amount of previous stress can be cleared depending on viscoelastic
1125 relaxation and its characteristic times. While the exact critical threshold and viscoelastic relaxation
1126 time remain unknown, they can be tentatively inferred from the maximum deformation observed
1127 before the 1538 eruption. The bell-shaped cumulative vertical displacement centered at Pozzuoli,

1128 before the 1538 eruption, was much larger, reaching 16 m., compared to the about 4.5 m recorded from
1129 1950 to 2023-2024. This substantial difference, assuming the rheology and strength of shallow rocks
1130 in the 0-3 km depth range remain unchanged, would suggest that we are currently far from reaching
1131 the critical stress threshold necessary for an eruption.

1132 A further, important consideration, coming from the observation that pyroclastic flows from 1538
1133 reached the centre of Pozzuoli, is that even a very small eruption (as the 1538 one) can produce
1134 pyroclastic flows travelling some km on flat ground.

1135 Finally, this work put in evidence that the most critical events, with civil defense implications, we
1136 could reasonably expect in case of a future eruption, are the following:

1137 1) increasing seismic activity and M 5 events

1138 2) phreatic eruption

1139 3) phreatic eruption followed by a phreato-magmatic one

1140 3) pyroclastic flows travelling more than 3 km, inside the caldera, even in case of a small, VEI=2
1141 eruption like the 1538 one.

1142

1143 **Data availability**

1144 All raw data can be provided by the corresponding authors upon request.

1145

1146 **Author contributions**

1147 GR, GDN and CT analyzed historical and volcanological data; GDN and CT analyzed earthquake
1148 intensity/magnitude data; MS analyzed seismic data; GR, MS and MDL wrote the manuscript draft
1149 and prepared the figures; GDN, CT and MS reviewed and edited the manuscript.

1150

1151 **Competing interests**

1152 The authors declare that they have no conflict of interest.

1153

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1159 **References**

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Historical supplementary material

Appendix 1 - Evolution of the vertical movements involving the Via Herculea

The following notes refer to the diagram represented in Fig. 3, reporting at each point the historical information related to ground deformation in the Averno area:

1- The shoreline between the cities of Baia and Pozzuoli took on a new conformation with the natural building of a sandy coastal bar after the eruptions of Averno and Capo Miseno (5 - 3.7 ka), the last of the second post-calderic cycle. We remember that the name *Averno* derived from the Greek *Aornon*, that is *place without birds*, in reference to the presence of post-volcanic sulphurous fumes that caused the death of the birds that flew over the waters. The dark and gloomy appearance of the landscape led the ancients to consider it the entrance to Hades, as reported by Virgil (Aeneid, VI, vv 350).

We do not know precisely the time of formation of the bar structure; we can only hypothesize that it was probably positioned between the 18th and 17th centuries BC in the coastal stretch between the cities of Baia and Pozzuoli, with a height of about 6 m, like the other coastal bars formed more recently in nearby areas, where the seabed has a depth of about 6-7 m. The formation of the sea barrier blocked a portion of the sea inside the inlet, which took the shape of a lake (Fig. 2a and Fig. 4).

2- This point can be traced back, ~~from a historical and chronological point of view~~, from a historical and chronological point of view to the 8th century BC. In the diagram it is positioned at approximately 5 m above sea level, suggesting a subsidence of the coastal bar of about 2 m from the previous point. In fact, from a writing by Diodorus Siculus (Book IV) we know that:... *this dam was continually invaded and ruined by the stormy sea, which often made it impassable*... It is known from coastal dynamics studies that waves breaking against a dam, placed above a seabed 7 m deep, reach a height equal to 3/4 of the depth of the same seabed, in this case approximately 5 m, i.e., a height equal to the barrier above the sea level. Therefore, the via Herculea, hit by violent waves, constituted an impassable road for the inhabitants of Cuma to reach the lands they cultivated in the surroundings of Pozzuoli, which, starting from the 8th century, took the name of Via Herculea (Fig. 2b and Fig. 4). Finally, the hypothesis of a height of 5 m, as resulting from submersion started since the 17th century BC, seems likely.

3- 4 - The body of water formed by the coastal bar, in the 1st century BC, was owned by Sergio Orata. The lake, making generous profits from fish farming, was named "*Lucrino*", derived from the Latin *Lucrum* (profit) (Fig. 2c). The owner, around 60 BC, to protect his interests turned directly to the Roman Senate to have the Via Herculea repaired, because at that time, being at a height of about 2 m above sea level, it had almost been destroyed by the waves that crossed it, preventing him from practicing his lucrative fish farming business

1628 (point 3). The Senate appointed Julius Caesar, who in 59 BC built a breakwater barrier, located outside the
1629 dam towards the open sea (Opus Pilarum). He also ordered the installation of canals closed by opening
1630 platforms (Claustre). Julius Caesar's project defended the Via Herculea essentially from the horizontal force
1631 exerted by violent wave motion, not understanding the effect of subsidence. In 37 BC, general Agrippa, by
1632 order of Octavian, engaged in the naval war against Pompeo Sextus, chose the coastal sector between the lakes
1633 Lucrino and Avernus for the construction of a new military port system, called *Portus Julius*. A new main
1634 entrance was built, consisting of a canal with two long banks in 'opus pilarum', cutting and equipping the Via
1635 Herculea with a mobile bridge, to access its interior, while at the same time widening the narrow opening that
1636 connected the Averno and Lucrino lakes to allow access of large ships in the shipyard (Fig. 2c). Furthermore,
1637 Agrippa reinforced the Via Herculea and added piers, supported by orthogonal pillars and having also sensed
1638 a problem of subsidence,... ***raised its level (Strabone, 1 century BC-1 century AD)*** (point 4).

1639
1640 **5- 6 -** The abandonment of Portus Julius by the Roman fleet, starting from 12 BC, as well as of the remaining
1641 part of Lake Lucrino, due to the impossibility of continuing fish farming, was the result of the continuing
1642 subsidence, which, according to Aucelli et al. (2020), between 37 BC and the beginning of the 1st century AD
1643 further accelerated.

1644 In the 5th century AD the dam, few meters above sea level (point 5), was also damaged by a violent sea storm.
1645 An attempt to restore the dam again was made by Theodoric, regent of the Ostrogothic kingdom in Italy from
1646 493 AD, who decided, in 496 AD, to repair the damage and probably also raised its level (***Cassiodorus, Varia,***
1647 ***Book I)*** (point 6). This can be also deduced from the fact that Lake Lucrino was still well identified in 522
1648 AD (G.C. Capaccio - Puteolana historia, in Parascandola 1943).

1649
1650 **7-8 -** Around the second half of the 6th century (556 AD), some fishermen attempted to reactivate fish farming
1651 in Lake Lucrino, but the dam soon could not guarantee an adequate yield, because it had reached a height of
1652 just a few meters above sea level (point 7), not allowing fish farming (Parascandola, 1943).

1653 As we will show in Appendix-2, historical documents indicate that, at the lower city around Pozzuoli, the
1654 famous Serapeo (Macellum) began the phase of submersion below sea level in the 4th-5th century AD. At the
1655 area facing the Avernus, the above historical documents indicate that the submersion most likely occurred
1656 between the 6th and 7th centuries AD. This could be related to either height increasing interventions and /or
1657 to a lower speed of subsidence at the site of Via Herculea, as compared to the Serapeo.

1658
1659 **9 –** In the 14th century we have evidence of the submersion through the writings of Petrarca and Boccaccio.
1660 Below we will report some sentences from the two poets, giving indications on the subsidence in this period
1661 (Parascandola 1943):

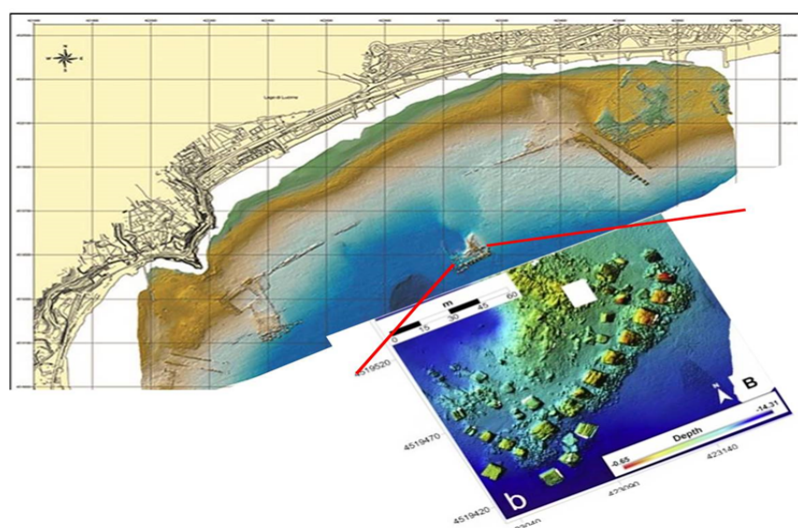
1662 - Petrarca, who lived in Naples in 1341, visited the coastal area of Avernus, (***...I then saw the places of***
1663 ***Avernus and Lucrino..... and the superb road of Gaius Caligula now swallowed up by the waves..... Note***
1664 ***that Opus Pilarum mistakenly believed to be the road of Caligula)***. From this observation we deduce that

Opus Pilarum was submerged in the 14th century (Fig. A1). From the same observation it further seems likely that, since the 4-5 m high pylons, submerged for a couple of metres, are not visible, and given the pylons were higher than Via Herculea of about 3 meters, the already submerged Via Herculea should have been submerged at that time for about 5-6 m.

- Boccaccio came to Naples in 1348 and, after visiting the Averno area, he clearly expressed the concept, although indirectly, that Lake Lucrino was not recognized as it was invaded by the sea, mixing with the waters of Avernus (...to Avernus, connected in ancient times with the nearby lake Lucrino where it recalls the waters of portus Iulius: Boccaccio, 1355-1373).

Boccaccio noted that, since there was no barrier on the Via Herculea which formed the Lucrino, the rough sea even broke into Lake Averno. Therefore, we can undoubtedly say that in the 14th century via Herculea was completely submerged and Lake Lucrino disappeared because it was invaded by the sea.

10 - As we will demonstrate later, in the 15th century the ground movements of the Campi Flegrei area changed from subsidence to uplift. The uplift began, the actual amount of which in the Averno area can be only given in an approximate but equally significant way, because it is ascertained, from the writings of all the chroniclers of the time (see Parascandola, 1943) that the Via Herculea did not re-emerge in this period (fig 2d). What is reported by the historian San Felice is almost common to all the chroniclers: *The sea had taken possession of Lucrino, so that the name could no longer be given to the ancient lake.*



1684

Fig. A1 - The remains of the Via Herculea currently located at 4-5m bsl, with the columns of Opus Pilarum approximately 300m away in the open sea. An enlargement of the structure of Opus Pilarum is also reported

Shortly before the eruption, the general caldera uplift was also accompanied by a localized uplift of the area where Monte Nuovo would have risen shortly after, in 1538, located in close contact with the Lucrino basin (Fig. 2d). Such a local uplift was estimated at about 7 m (Parascandola, 1943), so the Via Herculea would

1691 certainly have emerged if it had been close to the sea surface at the end of the 15th century. A significantly
1692 larger uplift, of 19 m as hypothesized by Di Vito et al (2016), can be certainly ruled out from the observation
1693 that Via Herculea did not reemerge.

1694 The topic of the local uplift before eruption is relevant, so we insist on other aspects linked to the entire area
1695 buried by the products of 1538 Monte Nuovo eruption. Until a short time before the eruptive event, two small
1696 tuff hills, called *Montagnella* and *Monticello del Pericolo* (Parascandola, 1936), overlooked the Averno Bay,
1697 above which the *village of Tripergole* extended. This village, thanks to the Angevins, developed with the
1698 construction of a hospital with 30 beds, to access the numerous springs and thermal facilities available to the
1699 hospitalized patients, with an adjoining pharmacy. Ancient buildings used for thermal baths (*Trugli*) present
1700 in the Tripergole area were highly compromised between the end of the 15th century and the beginning of the
1701 16th, when the Pozzuoli area was hit by major earthquakes. The earthquakes caused extensive damage to the
1702 thermal health and ecclesiastical buildings of Tripergole, but not so devastating than expected if a ground uplift
1703 about 20 m high would have occurred. Also the so-called ***Temple of Apollo***, still present along the north-
1704 eastern bank of the Averno lake (Fig. A2), testifies against a so large and sudden uplift. The structure is an
1705 imposing building identified as a grandiose thermal room, covered by a dome, now partly collapsed, which
1706 measured approximately 38 metres in diameter, built in the 1st century AD to exploit a series of hydrothermal
1707 springs along the eastern side of Avernus, then expanded with the large octagonal hall (the one that is still
1708 visible) in the following century. This structure was identified by Biondo da Forlì as the bathroom of Cicero
1709 (Lanzarin, 2021), that, due to its particular location protected by the Averno crater belt, was not involved in
1710 the burial of the *Monticello del Pericolo*, the *Montagnella* and the village of *Tripergole*, with its renowned
1711 thermal baths.

1712



1713

1714 **Fig. A2 – The so-called Temple of Apollo on the east bank of the Avernus. You can see the remains of a**
1715 **circular building with a "cap" vault, which later collapsed, typical of a "Truglio", i.e. a spa building**
1716 **(internet source)**

1717

1718 **Appendix 2 - Evolution of the ground movements involving the Pozzuoli area**

1719

1720 Phases of submersion during the Greek age have been detected in the Pozzuoli area by Gauthier (1912),
1721 specifically in the eastern sector of Agnano. The author discovered Greek walls beneath the ruins of Roman
1722 baths which were restored in the 6th century AD. These, in turn, underlie lacustrine sediments that filled an
1723 ancient lake originally existing within the Agnano crater. However, the most evident subsidence phases have
1724 been recorded since Roman times, by the structures of the so-called Temple of Serapis in Pozzuoli. Built in
1725 the 2nd century AD and restored and completed in the 3rd century AD, during the Severan era, this structure
1726 exhibits the typical architecture of a Roman market ("Macellum").

1727 To determine whether the construction preceding the 2nd century AD had a connection with a temple, we must
1728 go back to 105 BC, when a contract was stipulated between the municipality of Pozzuoli and a college of
1729 builders for repairs of public buildings (lex parieti faciundo). Among these was the Ades Serapis (Parascandola
1730 1947), indicating that a temple dedicated to Serapis, (an Alexandrian deity often regarded as protector of
1731 merchants and sailors) existed during this period. By the end of the 2nd century BC, the cult of Serapis had
1732 spread throughout the Mediterranean and its sanctuaries, as well as those of other Egyptian deities, were
1733 frequented by Roman-Italics. It is probable, therefore, that the introduction of the cult of Serapis in Puteoli is
1734 related to the presence of an Egyptian community in the Puteolan port (Soricelli 2007). It is important to try to
1735 establish the relationships between this building and the Macellum built later, specifically whether the Ades
1736 Serapis could have an ancestral link with a more recent cult building, that was then transformed into a typical
1737 Roman market. This relationship is suggested by the discovery of a statue of Jupiter Serapis during the
1738 excavations of the Macellum in 1750 (see below). However, data reconstructed by Amato and Gialanella
1739 (2013; Fig.3), indicate that the first floor present in the substrate below the Macellum dates from the Flavian
1740 period (69 -96 AD). The finds in the reworked pyroclastic materials which are 4 meters thick below the first
1741 floor indicate a chronological interval between the end of the Republic and the beginning of the Empire (44
1742 BC - 14 AD). This suggests that the Ades Serapis was likely built in a different position from the macellum,
1743 with which it therefore has no ties. The architectural elements of Macellum are part of the restoration works
1744 carried out on the Serapeo during the Severan Age (194 - 235 AD), with the installation of the 4th floor around
1745 230 AD, located approximately 2 m above the 3rd floor. The existing structure (Fig. 6), still present in the
1746 same area today, provides important evidence for reconstructing the ground movements. These movements
1747 can be identified in:

1748 *The marble floor of the macellum (4th floor; see also Fig. A3b);

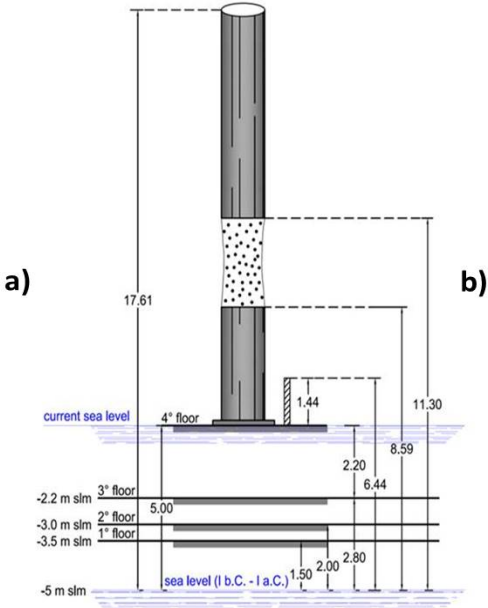
1749 * The height of the three columns of the pronaos (12.70 m high, with the first 6.2 m displaying a 2.70m band
1750 perforated by lithophagus colonies (Fig. A3).

1751 The historical information about the ground movements, is schematized in Fig. 6 of the main text, as follows:

1752 1 - In the 2nd century AD the 3rd floor of the Serapeum reached approximately 1m above sea level. It was
1753 sporadically invaded by the sea, to the point that, it was considered appropriate to build a 4th floor in 230 AD,
1754 located at 2m above sea level.

1755

1756 2 - The flooding progressively affected the coast, leading to the transfer of ships from the port of Puteoli to
 1757 Constantinople in 325-330 AD (Gianfrotta 1993). It is important to highlight that the 4th floor was invaded
 1758 by the sea in 394 AD. The bank was restored on the left side and the right side of the macellum, in the area
 1759 where structures functional to the port and the emporium were located, and to protect it from the sea waves
 1760 with the construction of coastal embankments. These important works were supervised by the Campanian
 1761 Consul Valerius Hermonius Maximus (Camodeca 1987, Caruso 2004).
 1762



1763
 1764 **Fig. A3 – a) Macellum showing pronao columns, b) Floors underlying columns**
 1765

1766 3 - In the 6th-7th century, the citizens who had completely depopulated the lower part of Pozzuoli felt the need
 1767 to take refuge in a sort of fortified citadel (castrum), equipped with a drawbridge, giving rise to the Acropolis
 1768 of the Rione Terra (Varriale, 2004).
 1769

1770 4 - In the 9-10th century, according to Parascandola (1947), the maximum submersion of the 4th floor of the
 1771 Serapeum occurred. Due to the subsidence of the Pozzuoli area, between the 8th and 10th centuries, the Agnano
 1772 Plain, immediately east of Pozzuoli, was invaded by water for the stagnation of thermal and rainwater,
 1773 transforming it into a lake (Anecchino, 1931).
 1774

1775 5 -7 - In such a context, the most critical periods of the submersion phase occurred. The sea increasingly
 1776 surrounded the Rione Terra, that appeared like a medieval village, with a drawbridge at the entrance to the
 1777 cliff. The same context was depicted in the 11th century by the Arab geographer *Idrisi* in his *Opus*
 1778 *Geographicum*, describing Pozzuoli as a "castle" (Varriale, 2004).

1779 In the 12th century subsidence was still active. A writing deriving from an account of Benjamin ben Yonah
 1780 de Tudela who, visiting the Jewish communities of the Mediterranean, passing through Pozzuoli, described:
 1781 *turres et fora in aqua demersa quae in media quondam fuerant* (Russo Mailer C. 1979, Caruso 2004). The

Pozzuoli district continued to subside in the 13th century, as can be deduced from an account written in 1251 by the historian Niccolò Jamsilla (*Historia de rebus gestis Frederici II imperatoris ejusque filorum Corradiet Manfredi Apuliae et Siciliae regnum*) describes the places between Agnano and Pozzuoli as follows: ...*videlicet Putheolum mari mantibusque inaccessibilius circumquaque conclusum*... (Fuiano 1951).

In essence, what was observed by the Arab geographer Idris in the 11th century, was also written by the historian Jamsilla in 1251, confirming that Rione Terra “was *an unapproachable mountain completely surrounded by the sea*”. This highlights that, over more than 3 centuries, the sea level rose due to subsidence of the tuffaceous walls of the Rione Terra.

8 – Further eyewitness accounts from by Boccacio, who lived in Naples between 1327 and 1341, reported that a fisherman's wharf in the Bay of Pozzuoli became completely submerged (Mancusi, 1987). This document supports the description of the lower part of the city being completely submerged.

9-10 – A gouache from 1430, known as *Bagno del Cantariello*, part of the famous Balneis Puteolanis of the Edinburgh Codex (Di Bonito & Giamminelli, 1992) indicates the complete submergence of the 4th floor of the Serapeum by at least 10 meters. (Fig. 7). This context is supported by a description from 1441 indicating that in 1441 “the sea covered the littoral plain, today called Starza” (De Jorio, 1820; Dvorak and Mastrolorenzo, 1991) (see Fig. 8).

For a more precise description of this morphological context, it is useful to refer to the excavation of the Serapeum carried out in 1750, when this monument was freed from the blanket of sediments that buried it (see Fig. 12), made up of approximately 8 m of filling sediments, plus two meters of deposits from the pyroclastic flow of the M. Nuovo eruption. By replacing the latter materials with the approximately 2 m blade of sea water in the 1430 scenario (Fig. 7c), we arrive at the landscape picture in Fig. 7a, exemplified in Fig. 8d.

Appendix 3 - Comparing past and recent earthquakes: from intensity to magnitude

To better compare the past earthquakes with the recent and present-day seismicity recorded at Campi Flegrei we must convert intensities in magnitude. In Fig. 19, we present a tentative correlation between the epicentral intensity (I_0) and the magnitude (M_L). Choosing the correct relation between I_0 and M_L is not straightforward, particularly in this case involving peculiar volcano-tectonic earthquakes. Nonetheless, it is important to establish such a relation to compare the seismicity observed during the 1430-1582 period, as inferred by Guidoboni and Cucciarelli (2011), with the seismicity experienced during the recent unrests. To determine the I_0 - M_L relation, we are confident that, despite the availability of several formulas in the literature, the best approach is to consider a precise geographical and seismotectonic context, especially in a volcanic setting. Different features allow to discriminate between volcanic and tectonic earthquakes, which suggests caution in using

correlations derived from tectonic areas for volcanic earthquakes, and vice versa (Milana et al., 2010).
In order to build a realistic relation between seismic intensity and magnitude in this area, we utilized
the computed intensities of two earthquakes that occurred in the Campi Flegrei region in 1983
(Branno et al., 1984; Marturano et al., 1988; Milana et al., 2010; Charlton et al., 2020), during the
previous unrest of 1982-1984 (Troise et al., 2019). Additionally, we considered a M=5.0 earthquake
that occurred in the similar volcanic area of Colli Albani (Sabetta and Paciello, 1995). The M=4.0
earthquake occurred on October 4, 1983, at Campi Flegrei, was found to have a maximum intensity
Io=VII (Branno et al., 1984; Marturano et al., 1988). An earthquake of magnitude M=3.5, which
occurred in the same swarm on October 4, 1983, was found to have a maximum intensity Io=V (Fig.
19: Marturano et al., 1988). Furthermore, Sabetta and Pugliese (1995) reported an earthquake of
M=5.0, with a maximum magnitude Io=VIII.
These correlations between intensity and magnitude were utilized to assign realistic magnitude values
to the macroseismic intensities deduced from the analysis of historical seismicity (Guidoboni and
Cucciarelli, 2011), as shown in Fig. 19. They were also used to transform the magnitude of
earthquakes associated with recent unrest phases into macroseismic intensities, as we will discuss
later.