



# Construction and Application of a Pollen Emissions Model based on Phenology and Random Forests

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Abstract: In recent years, the intensification of global climate change and 13 environmental pollution has led to a marked increase in pollen-induced allergic 14 15 diseases. This study leverages 16 years of continuous pollen monitoring data, alongside meteorological factors and plant functional type data, to construct a pollen 16 17 emissions model using phenology and random forests (RF). This model is then 18 employed to simulate the emission characteristics of three primary types of autumn pollen (Artemisia, Chenopod, and total pollen concentration), elucidating the emission 19 patterns throughout the seasonal cycle in Beijing. Phenology and RF precisely 20 21 simulate the start and end day of year of pollen, as well as the annual pollen production. There are significant spatiotemporal differences among the three types of 22 pollen. On average, pollen dispersal begins around August 10, peaks around August 23 24 30, and concludes by September 25, with a dispersal period lasting approximately 45 days. Furthermore, the relationship between pollen emissions and meteorological 25 factors is investigated, revealing that temperature, relative humidity (RH), and 26 sunshine hours (SSH) significantly influence annual pollen emissions. Specifically, 27 temperature and RH exhibit a strong positive correlation with annual pollen emissions, 28





- while SSH shows a negative correlation. Different pollen types display varied responses to meteorological factors. Finally, the constructed pollen emissions model is integrated into RegCM and validated using pollen observation data, confirming its reliability in predicting pollen concentrations. This study not only enhances the understanding of pollen release mechanisms but also provides scientific evidence for the selection and planting of urban greening plants.
- 35 Keywords: Pollen Emissions Model, Phenology, Random Forests, RegCM

#### 36 **1. Introduction**

37 Pollen are microscopic particles, typically ranging from 5 to 100 micrometers in 38 diameter, released by plants to transfer male genetic material for reproduction. These 39 particles, significant allergens, disperse into the atmosphere via wind, contributing to atmospheric particulate matter, interacting with clouds and radiation, and playing a 40 41 pivotal role in plant fertilization and gene dissemination (Damialis et al., 2011; Lei et 42 al., 2023). Additionally, pollen is linked to allergic diseases such as allergic rhinitis 43 and asthma and may even elevate the risk of gastrointestinal and neurological disorders (Guzman et al., 2007; Krishna et al., 2020; Chen et al., 2021; Stas et al., 44 2021). In China, the incidence of pollen allergies has surged from 5 % to 17.8 % and 45 continues to rise rapidly (Lou et al., 2017). Pollen-induced respiratory allergic 46 symptoms, such as allergic rhinitis (AR), affect up to 30 % of the global population, 47 particularly children under 18 (Mir et al., 2012; Wang et al., 2016; Zhang and Steiner, 48 2022; Zhao et al., 2023). It is generally believed that these respiratory allergic 49 diseases are more prevalent in developed countries (Emanuel, 1988; Ibrahim et al., 50 2021). However, the International Study of Asthma and Allergies in Childhood 51 (ISAAC) global reports indicate that these diseases are equally or even more prevalent 52 in some developing countries compared to developed ones (Asher et al., 2006; Mallol 53 et al., 2013). Children, as a vulnerable population, are particularly susceptible to AR 54 and its complications (Cingi et al., 2017). Without effective early intervention, allergic 55 symptoms in children can persist throughout their lives, imposing a substantial 56 economic burden on families and healthcare systems (Ahmed et al., 2018) and 57





58 potentially posing a life-threatening risk (Schmidt, 2016). In China, a densely populated developing country, the proportion of pediatric allergic diseases within the 59 spectrum of childhood illnesses is increasing annually, leading to significant 60 economic and health losses due to medical expenses, impacts on human life, and 61 premature death. Furthermore, since pollen release is closely linked to environmental 62 factors, climate change may influence pollen release, thereby affecting the incidence 63 of allergic diseases (Wang et al., 2018; Bishan et al., 2020). In recent decades, the 64 pollen season has exhibited a trend of becoming longer and more intense, which may 65 exacerbate the conditions of allergic rhinitis and asthma (D'Amato et al., 2016; Lake 66 et al., 2017a; Aerts et al., 2020; Kurganskiy et al., 2021).. 67

With the improvement in living standards and heightened health awareness, 68 airborne pollen diseases, such as hay fever, have garnered widespread attention. As a 69 typical seasonal epidemic (Yin et al., 2005; Lei et al., 2023), hay fever significantly 70 71 impacts global health. Existing studies have demonstrated that the incidence of airborne pollen diseases is closely associated with the concentration of airborne 72 allergenic pollen, particularly during peak pollen seasons (Frei and Gassner, 2008; 73 74 Bastl et al., 2018; Kurganskiy et al., 2021). Due to the regional nature of airborne pollen, the types and concentrations of pollen vary geographically. Although the 75 76 annual variation trend of total pollen amount generally exhibits a similar bimodal 77 pattern, increasing annual climatic variability amidst global warming has led to significant changes in the pollen seasons of various plants, with discrepancies of more 78 than 20 days in some years. This variability poses practical challenges for conducting 79 80 pollen monitoring research and providing public meteorological services (He et al., 2001; Gu and Liao, 2003; Bai et al., 2009; Lei et al., 2023). Therefore, studying 81 pollen concentration and distribution is crucial for understanding the pathogenesis of 82 airborne pollen diseases, conducting effective pollen monitoring research, and 83 84 delivering accurate public meteorological services.

However, compared to regions such as Europe and the United States, China faces
significant challenges in pollen monitoring due to fewer monitoring stations, shorter
monitoring histories, and a lower prevalence of automated facilities. These limitations





have resulted in China's pollen simulation research remaining primarily at the level of simple statistical methods, focusing only on basic statistical studies of the impact of meteorological conditions on pollen concentration. In contrast, numerical models are rarely employed for regional simulation of pollen concentration. This situation reflects the relative lag in China's pollen monitoring and research system, hindering a deeper understanding of pollen dispersion patterns and the scientific study of related health issues (Wu et al., 2011; Meng et al., 2016; Guan et al., 2021; Gao et al., 2022).

Although numerical models play a crucial role in simulating pollen concentration, 95 they require a clear understanding of pollen emissions. Pollen emissions are 96 influenced not only by meteorological factors but also by vegetation types, land use 97 changes, and human activities (Sofiev et al., 2006; Wozniak and Steiner, 2017; Zhang 98 99 and Steiner, 2022; He et al., 2023; Lei et al., 2023). Particularly in the context of accelerated urbanization, the selection and layout of urban greening plants have a 100 101 significant impact on pollen emissions. The complex interactions of these factors pose significant challenges to accurately simulating pollen emissions. 102

103 Therefore, this study constructs a pollen emissions model for the Beijing area, 104 leveraging pollen concentration and meteorological monitoring data, combined with pollen phenology and the RF algorithm. It conducts a simulation study on the 105 106 emission phenology of three types of pollen in Beijing (Artemisia, Chenopod, and 107 total pollen concentrations) to calculate the pollen emissions potential. The study also investigates the seasonal and spatiotemporal distribution characteristics of pollen in 108 Beijing and its potential correlations with meteorological factors and climatic 109 110 conditions. Additionally, the constructed pollen emissions parameterization method is applied to the RegCM and evaluated for accuracy using 15 years of pollen 111 observation data. This comprehensive study will enhance the understanding of pollen 112 sources, provide innovative guidance for the selection and planting of greening plants, 113 114 and promote sustainable development in ecological protection and urban planning.

# 115 **2. Methodology**

116 2.1 Model description

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#### 117 2.1.1 Parameterization method for pollen emissions

This study's pollen emissions potential integrates geographical parameters, vegetation types, and meteorological data, and incorporates autumn pollen phenology and RF to enhance the simulation of pollen phenology. This approach is used to predict pollen concentration and distribution within the seasonal cycle. The specific calculation formula is as follows:

$$E_i(t) = f_i \bullet p_{annual,i} \bullet e^{-\frac{(t-\mu)^2}{2\delta^2}}$$
(1)

In the formula,  $E_i(t)$  represents the pollen emissions potential for pollen type i on 124 day t of the year (DOY), t represents a specific day of the year, and i represents the 125 *i*-th type of pollen.  $f_i$  represents the vegetation land cover fraction, which is the 126 percentage of different vegetation types within a unit area, measured in %. Pannual.i 127 represents the production factor of the *i*-th vegetation type, which is the number of 128 pollen grains released during the pollen season, measured in Grain m<sup>-2</sup> year<sup>-1</sup>. In this 129  $(t - \mu)^2$ study,  $P_{annual,i}$  is calculated based on the RF algorithm (Sect. 2.1.3). 130 represents the phenological evolution of pollen emissions, controlling the pollen 131 release process. The formula indicates that pollen emissions during the pollen season 132 follows a Gaussian distribution, where  $\mu$  and  $\delta$  are the mean and standard 133 deviation of the Gaussian distribution. These parameters are calculated from sDOY 134 and eDOY of the pollen season, as follows: 135 136

$$\mu = \frac{sDOY + eDOY}{2} \tag{2}$$

(3)

$$\delta = \frac{eDOY - sDOY}{a}$$

In this context, sDOY and eDOY are optimized using autumn pollen phenology (Sect. 2.1.2). The parameter *a* represents a fitting parameter that explains the conversion between the empirical phenological dates based on pollen count thresholds and the equivalent width of the emission curve. In this study, the value of *a* is set to 4. This equation can be applied to a specific type of pollen or to the calculation of pollen concentration over the entire pollen season, depending mainly on the land





- 144 cover type. The emission can be calculated offline using this equation or applied in
- 145 online calculations.
- 146 2.1.2 Autumn pollen phenology model
- In this study, we used three different calculation methods (Rs1, Rs2, Rssig) for the
  autumn phenology model to simulate sDOY and eDOY of autumn pollen (Meier &
  Bigler, 2023). Each model is related to temperature and SSH. The specific calculation
  formulas are as follows:

$$Rs_{1} = \begin{cases} (T_{base} - T_{i})^{x} \times (L_{i} / L_{base})^{y}, T_{i} < T_{base} \wedge L_{i} < L_{base} \\ 0, T_{i} \ge T_{base} \vee L_{i} \ge L_{base} \end{cases}$$
(4)

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$$Rs_{2} = \begin{cases} (T_{base} - T_{i})^{x} \times (1 - L_{i} / L_{base})^{y}, T_{i} < T_{base} \wedge L_{i} < L_{base} \\ 0, T_{i} \ge T_{base} \vee L_{i} \ge L_{base} \end{cases}$$
(5)

153

$$Rs_{sig} = \frac{1}{1 + e^{a(T_i \times L_i - b)}}$$
(6)

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$$\sum_{t_0}^{t_n} Rs_i \ge Y \tag{7}$$

In the above equations, Rs1, Rs2 and Rssig represent three different autumn 155 phenology model categories.  $T_i$  and  $L_i$  represent the temperature and SSH on a given 156 day, respectively, while  $T_{base}$  and  $L_{base}$  represent the thresholds for temperature and 157 SSH, respectively. In the  $Rs_1$  and  $Rs_2$  models, when the temperature and SSH are 158 below the threshold or the date exceeds a fixed DOY, Rs starts accumulating. In the 159 Rssig model, temperature and SSH accumulate inversely in an exponential form. The 160 161 day  $t_n$ , when the cumulative amount exceeds the threshold Y, represents the final simulated pollen start/end date.  $t_0$  represents the start day of accumulation, which is 162 163 the first day when  $T_i < T_{base}$  and  $L_i < L_{base}$ . The parameters that need to be adjusted are Y, 164  $T_{base}$ ,  $L_{base}$ , x, y and start day. In this study, the simulated annealing algorithm is used 165 for parameter adjustment. The principle of the simulated annealing (SA) algorithm is 166 to simulate the random optimization process of the annealing process in solid-state physics, which can accept non-optimal solutions with a certain probability to avoid 167 falling into local optima and eventually achieve the global optimum. 168

169 2.1.3 Random Forests





170 Random Forests (RF) is an ensemble learning algorithm introduced by Breiman (2001) for classification and regression tasks. This algorithm enhances model 171 prediction performance and robustness by constructing multiple decision trees and 172 173 combining their outputs. The core principle involves drawing multiple sample sets with replacement from the original training set, training a decision tree for each 174 175 sample set, and randomly selecting a subset of features at each node split to reduce correlation between the trees. Ultimately, RF generates the final prediction by 176 averaging (for regression) or voting (for classification) the outputs of these trees. The 177 advantages of this method include high prediction accuracy, strong resistance to 178 overfitting, suitability for high-dimensional data, and efficient training processes. The 179 RF algorithm has been widely applied across various fields (Virro et al., 2022; Li et 180 al., 2023; Chen et al., 2024; Valipour Shokouhi et al., 2024). 181

In this study, the RF algorithm is employed to simulate annual pollen production. Each pollen dataset is divided into training and testing sets in a 4:1 ratio, with the training set used for model training and the testing set for accuracy validation. Additionally, a grid search with cross-validation is applied to optimize the hyperparameters of each estimator. Key parameters for RF adjustment include n\_estimators, max\_depth, min\_samples\_split, and min\_samples\_leaf. Hyperparameter optimization is a crucial step in enhancing model performance.

189 2.2 Data

190 2.2.1 Observed pollen concentrations

The daily pollen concentration data were collected from six monitoring stations 191 192 in Beijing: Changping (CP), Chaoyang (CY), Fengtai (FT), Haidian (HD), Shijingshan (SJS), and Shunyi (SY), as shown in Fig. 1. The monitoring period 193 spanned from April to October each year from 2006 to 2021, covering the main pollen 194 season in Beijing. The gravitational settling method (Unit: 10<sup>3</sup> Grains m<sup>-2</sup> d<sup>-1</sup>) was 195 used for monitoring. The pollen concentration data included Total Pollen 196 Concentration (the sum of pollen concentrations from all taxa, abbreviated as TotalPC) 197 and the concentrations of pollen from 10 common allergenic plants. These species 198 included trees such as Pine, Poplar, Birch, Cypress, Ash, and Elm, as well as weeds 199





200 like Artemisia, Chenopod, Humulus, and Amaranthus. Although autumn pollen concentrations are lower compared to spring, autumn weed pollen has a higher 201 allergenic potential (Zhao et al., 2023). Therefore, this study focuses on the analysis 202 203 of autumn weed pollen. Due to significant data gaps in the pollen concentration of 204 specific species, we selected only the data that were more complete and of higher 205 allergenic potential, specifically Artemisia, Chenopod, and TotalPC. Table 1 provides basic information, such as the number of effective sample years for these three types 206 of pollen across the six stations. 207

To prevent anomalies in the data, we excluded outliers in the pollen 208 concentration data for each species and any data points where the concentration 209 exceeded the 99th percentile. Furthermore, we applied a 5-day moving average to the 210 pollen monitoring data to smooth it. This approach not only eliminates noise from the 211 data (Li et al., 2019; Li et al., 2022) but also mitigates the influence of daily 212 213 meteorological changes and advection diffusion on daily pollen emissions (To further analyze the impact of key factors such as meteorological factors and advection 214 diffusion on daily pollen emissions, we used the RegCM in Sect. 3.3. This model 215 216 accurately reflects the effects of daily meteorological factors such as temperature, precipitation, humidity, and wind speed on pollen emissions while also describing key 217 218 physical processes such as advection diffusion, convective transport, and dry and wet 219 deposition, thus providing a comprehensive analysis of the behavior of pollen in the atmosphere). This smoothing process allows us to more clearly explore the daily 220 variation trends of pollen. 221

Additionally, to better simulate the temporal and spatial distribution of pollen during the autumn pollen period, we defined the autumn pollen period based on observed pollen concentration data as DOY 215<DOY<280. Subsequently, we determined the Start Day of Year (sDOY) and End Day of Year (eDOY) for the autumn pollen period for each station and year by identifying the day of year at which the cumulative pollen concentration reached 5 % (start) and 95 % (end) of the total for that period (Khwarahm et al., 2017; Li et al., 2019; Li et al., 2022).







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230 Fig. 1. Distribution map of geopotential height, pollen observation stations (triangle), and

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meteorological	monitoring stations	(circle) in Reijing area
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232 Table 1 Explanation of effective sample years for pollen monitoring stations in Beijing

(2006-2021)

Station	Effective Sample Years / Year							
Station	Artemisia	Chenopod	TotalPC					
СР	16	16	16					
CY	13	13	13					
FT	10	8	15					
HD	0	0	8					
SJS	11	11	16					
SY	12	9	16					
Total	62	57	84					

To better simulate sDOY and eDOY for pollen, this study first applied the 234 Gaussian model to the autumn pollen data of each station and year. The Gaussian 235 model was chosen for its effectiveness in capturing peaks in time series data, which 236 237 are often reflected in pollen concentration data. Taking the CP station as an example, 238 Gaussian fitting distribution was performed on the autumn Artemisia, Chenopod, and 239 TotalPC for 2006-2021 (Supplementary Fig. S1-S3). The results indicated that the 240 autumn pollen concentration exhibited a significant Gaussian distribution, confirming that the Gaussian model could aptly fit the time series changes of autumn pollen. 241

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Therefore, by Gaussian fitting the pollen concentrations of each station, the autumn pollen sDOY and eDOY under the Gaussian model simulation were further determined. Comparing the sDOY and eDOY derived from observed pollen concentration data with those obtained via Gaussian model simulation (Supplementary Fig. S4), we found a high correlation coefficient (R) and a low root mean square error (RMSE) between the two. Thus, the sDOY and eDOY obtained from Gaussian model simulation were utilized to study the autumn pollen phenology.

249 2.2.2 Meteorological observation and land cover data

The meteorological data for this study were sourced from the China Surface 250 Climate Daily Dataset, encompassing observations from all benchmark and basic 251 meteorological stations in China. Specifically, we utilized data from 66 valid 252 meteorological stations in Beijing and its surrounding areas (39-41.5° N, 115-118° E) 253 covering the period from 2006 to 2020 (Fig. 1). This dataset includes meteorological 254 255 observations corresponding to the pollen monitoring stations (our meteorological data extends only up to 2020). The variables incorporated in this study comprise average 256 257 temperature (TEM Avg), maximum temperature (TEM Max), minimum temperature 258 (TEM Min), sunshine hours (SSH), station altitude (Alti), average pressure (PRS Avg), maximum pressure (PRS Max), minimum pressure (PRS Min), 259 260 maximum wind speed (WIN\_S\_Max), extreme wind speed (WIN\_S\_Inst\_Max), 261 average 2-minute wind speed (WIN\_S\_2mi\_Avg), ground surface temperature (GST Avg Xcm, X=5, 10, 15, 20, 40, 80, 160, 320cm), average ground surface 262 temperature (GST Avg), minimum ground surface temperature (GST Min), 263 264 maximum ground surface temperature (GST Max), average relative humidity (RHU\_Avg), minimum relative humidity (RHU\_Min), average vapor pressure 265 (VAP Avg), precipitation from 20:00 to 20:00 (PRE Time 2020), and precipitation 266 from 08:00 to 08:00 (PRE Time 0808). The first four meteorological factors were 267 268 utilized to simulate the autumn phenology model of pollen, predicting various pollen sDOY and eDOY. All meteorological factors served as training datasets for the RF 269 algorithm to simulate annual pollen production. 270

For land use data, this study employed the Community Land Model 4 (CLM4)





272 dataset (Oleson et al., 2010), which includes 25 plant functional types such as needleleaf forests, broadleaf forests, shrubs, grasses (C3 and C4), and crops, with a 273 spatial resolution of 0.05°. As Artemisia and Chenopod primarily fall under the C3 274 275 plant category (Yorimitsu et al., 2019; Septembre-Malaterre et al., 2020; Qiao et al., 2023), the simulation of pollen utilization for Artemisia and Chenopod used plant 276 functional C3 grass, while the TotalPC simulation incorporated both C3 and C4 277 grasses. The distribution of these two plant functional types in Beijing is illustrated in 278 279 Fig. 2.



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281 Fig. 2. The distribution of plant functional type C3 (a) and GRASS (b) in Beijing area

### 282 **3. Results and Discussion**

# 283 3.1 Pollen Phenology Simulation

In this study, we analyzed the phenological changes of three types of 284 pollen-Artemisia, Chenopod, and TotalPC-during the autumn season based on 285 three different autumn pollen phenology calculation methods (Rs1, Rs2, and Rssig). 286 Specifically, we examined the seasonal phenological simulations of these pollen 287 concentrations under three different temperature conditions (TEM Avg, TEM Max, 288 and TEM Min) (Mo et al., 2023), with a primary focus on sDOY and eDOY. 289 290 Additionally, the annual pollen production  $(P_{annual})$  was simulated using the RF algorithm. 291

292 3.1.1 Simulation of sDOY and eDOY based on autumn phenology model

293 Table 2 presents the statistical indicators for simulating the phenology of





294 Artemisia using different phenological methods and temperature conditions. For 295 simulating the sDOY for Artemisia, the Rs<sub>1</sub>, Rs<sub>2</sub>, and Rs<sub>sig</sub> methods demonstrated high accuracy when TEM Avg and TEM Min were employed as temperature conditions. 296 297 The R values for both the training and testing sets exceeded 0.45, with some R values in the testing set surpassing 0.7, and the RMSE values were relatively low. This 298 indicates that these three methods effectively capture the phenological characteristics 299 of Artemisia at the onset of autumn. Notably, the Rssig method, when using TEM\_Avg 300 as the condition, achieved R values of 0.53 and 0.80 for the training and testing sets, 301 respectively, with RMSE values of 6.61 and 4.86, showing the best simulation 302 performance. However, when TEM\_Max was used as the temperature condition, the 303 304 simulation performance of all three methods declined. The R value of the Rs1 method fell below 0.2, and the RMSE values were high, exceeding 8 days. Comparatively, the 305 Rssig method performed slightly better but still yielded inferior results compared to 306 307 TEM Avg and TEM Min, indicating lower model stability when predicting Artemisia 308 sDOY with TEM Max. For the simulation of Artemisia eDOY, the performance of the 309 three methods was relatively close, with R values in the training and testing sets 310 generally ranging from 0.3 to 0.5, and similar RMSE values. Among them, the Rs1 method performed better when TEM Min and TEM Avg were used as temperature 311 312 conditions, with R values of 0.66 and 0.51 in the testing set and RMSE values of 3.32 313 days and 3.9 days, respectively. Compared to the Rs1 method, the Rs2 and Rssig methods were relatively weaker in predicting eDOY, indicating that the Rs1 method 314 315 better captures the phenological trends of Artemisia at the end of autumn. Additionally, 316 when comparing the simulation results of sDOY and eDOY, sDOY generally had higher R values, but eDOY had lower overall RMSE values. 317

The statistical indicators for simulating the phenology of Chenopod under different phenological methods and temperature conditions are shown in Table 3. For the simulation of the sDOY for Chenopod, the Rs<sub>1</sub> and Rs<sub>2</sub> methods demonstrated high accuracy when using TEM\_Min and TEM\_Avg as temperature conditions. The R values for both the training and testing sets were around 0.5, and the RMSE values were relatively low. It is clear that using TEM\_Avg as the temperature condition





324 yields higher R values and lower RMSE (in the case of the Rs1 method) compared to TEM Min, indicating that these two methods effectively capture the phenological 325 changes of Chenopod at the onset of autumn when using TEM Avg as the 326 327 temperature condition. However, when TEM Max was used as the temperature condition, the simulation performance of all three methods declined, particularly for 328 Rs<sub>1</sub>, which had an R value of -0.1 and an RMSE greater than 9 days in the testing set. 329 The Rssig method, when using TEM\_Avg, achieved an R value of 0.51 in the training 330 set but only 0.28 in the testing set, with a high RMSE of 5.32, indicating poor model 331 stability in this scenario. In contrast to TotalPC and Artemisia, the simulation of the 332 eDOY for Chenopod was not satisfactory for any of the three methods. The R values 333 for both the training and testing sets were all below 0.42. Particularly when using 334 TEM Max as the temperature condition, the simulation performance of all three 335 methods was poor, with the testing set R value reaching only 0.1. This indicates that 336 337 the models have limited ability to capture the end of the autumn season for Chenopod. 338 Table 4 shows the phenological simulation statistical indicators of TotalPC under 339 different phenological methods and temperature conditions. From the data in the table, 340 it can be seen that for the simulation of the sDOY of TotalPC, all three phenological methods (Rs<sub>1</sub>, Rs<sub>2</sub>, and Rs<sub>sig</sub>) performed with high accuracy (R > 0.5) and relatively 341 low RMSE when using TEM\_Min. This indicates that these three methods, when 342 343 using TEM Min, can effectively capture the trend of the sDOY of TotalPC during the autumn season. Meanwhile, the Rs1 method also showed good simulation 344 345 performance when using TEM Avg as the temperature condition, with R reaching 346 0.54 for both the training and testing sets. The Rssig method, using TEM\_Avg, had good simulation performance in the training set, but the R in the testing set only 347 reached 0.38. Compared to TEM Min and TEM Avg, the Rs<sub>2</sub> and Rs<sub>sig</sub> methods 348 showed slightly inferior simulation performance when using TEM Max as the 349 350 temperature condition. Surprisingly, the Rs<sub>1</sub> method's simulation of the sDOY showed a negative correlation when using TEM Max, indicating the worst performance. For 351 the simulation of the eDOY of TotalPC, the overall simulation performance was 352 worse in terms of R compared to sDOY, but the RMSE values were generally better. 353





Specifically, using TEM\_Avg as the temperature condition, the Rs<sub>2</sub> and Rs<sub>sig</sub> methods showed relatively good simulation performance and lower RMSE. However, the Rs<sub>2</sub> method performed much worse on the testing set compared to the training set, with the R on the testing set being only 0.32.

Overall, different pollen types exhibit varying sensitivity to different 358 phenological models and temperature conditions. TEM Avg is generally the best 359 temperature condition for predicting the sDOY of the three pollen types, providing 360 higher R values and lower RMSE. This suggests that TEM Avg can effectively 361 predict the start of the autumn pollen season. At the same time, TEM Min also 362 performs well in predicting the sDOY of TotalPC and Artemisia, whereas TEM Max 363 generally shows the poorest prediction performance. For predicting eDOY, different 364 pollen types show different sensitivities to temperature conditions, but overall, the 365 models perform worse for eDOY compared to sDOY, especially in the simulation of 366 367 Chenopod.

# 368

#### 8 Table2 Statistical indicators of Artemisia phenology under different phenological methods and

369

#### temperature conditions

		Rs <sub>1</sub> (R)		Rs <sub>2</sub> (R)		Rssig(R)		Rs1(RMSE)		Rs <sub>2</sub> (RMSE)		Rssig(RMSE)	
1	Artemisia	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test
	TEM_Min	0.47	0.66#	0.52*	0.77#	0.45	0.59#	6.61	5.93	6.29	4.99	6.63	6.57
sDOY	TEM_Avg	0.45	0.63#	0.50	0.71#	0.53*	<b>0.80</b> <sup>#</sup>	6.67	6.18	6.78	5.44	6.61	4.86
	TEM_Max	0.16	0.17	0.44	0.47	0.45	0.58#	8.87	9.58	8.21	7.51	6.52	6.32
	TEM_Min	0.38	0.66#	0.38	0.44	0.36	0.37	4.19	3.32	4.19	3.97	4.02	4.07
DOY	TEM_Avg	0.46	0.51*	0.38	0.29	0.44	0.44	3.92	3.9	4.16	4.23	3.85	4.07
U	TEM_Max	0.31	0.43	0.05	0.07	0.33	0.27	5.59	4.65	6.84	6.47	3.98	4.32

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# Table3 Statistical indicators of Chenopod phenology under different phenological methods and

temperature conditions

Chenopod		Rs	ı(R)	Rs	2(R)	Rssi	ig(R)	Rs1(R	RMSE)	Rs <sub>2</sub> (R	MSE)	Rs <sub>sig</sub> (I	RMSE)
,	Inenopod	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test
0	TEM_Min	0.42	0.44	0.59#	0.36	0.47	0.36	4.68	5.09	4.12	5.28	4.38	5.25





	TEM_Avg	$0.55^{*}$	0.47	<b>0.63</b> <sup>#</sup>	0.33	0.51	0.28	4.13	5.37	4.49	5.42	4.12	5.32
	TEM_Max	0.31	-0.1	0.43	0.28	0.42	0.18	7.84	9.13	5.66	5.9	5.55	6.06
	TEM_Min	0.42	0.25	0.17	0.2	0.26	0.11	4.23	4.94	4.31	4.71	4.15	4.75
POY	TEM_Avg	0.37	0.1	0.39	0.23	0.34	0.33	3.98	5.29	4.09	4.84	4.16	4.65
	TEM_Max	0.23	-0.0	0.27	-0.1	0.13	0.11	5.57	6.87	5.53	7.09	6.31	7.14

Table4 Statistical indicators of TotalPC phenology under different phenologic	cal methods and
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2	70	
	14	
.,	1.1	

temperature conditions
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T ( IDC		Rs <sub>1</sub>	(R)	Rs <sub>2</sub>	(R)	Rssi	g(R)	Rs <sub>1</sub> (R	MSE)	Rs <sub>2</sub> (R	MSE)	Rs <sub>sig</sub> (F	RMSE)
	TotalPC	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test	Train	Test
	TEM_Min	$0.52^{*}$	0.53#	0.59#	0.56#	0.58#	0.55#	5.84	5.32	5.51	5.32	5.61	5.6
sDOY	TEM_Avg	0.54#	0.54#	0.08	nan	0.59#	0.45	5.89	5.21	6.75	6.27	5.71	5.62
	TEM_Max	-0.21	-0.19	0.51*	0.48*	0.52*	0.4	9.04	9.2	7.66	6.45	5.83	6.1
	TEM_Min	0.41	0.21	0.35	0.24	0.5*	0.36	4.76	4.47	4.9	4	4.75	3.41
DOY	TEM_Avg	0.51*	0.18	0.63#	0.32	0.5*	0.49*	4.47	4.83	4.4	3.95	4.63	3.11
5	TEM_Max	0.44	0.4	0.18	0.2	0.39	0.29	6.41	6.47	7.7	6.56	4.78	3.72

374 Note: Bold represents the best model performance,  $^{\#}$  Indicates significance levels at P < 0.001,  $^{*}$ 

375 Indicates significance levels at P < 0.005

Based on the above discussion, we selected the most suitable phenological and 376 temperature conditions for the three types of pollen (bold parts in Table 2-4), 377 simulated their sDOY and eDOY, and generated line and scatter plots (Fig. 3). 378 According to the line plots in Fig. 3 (top), the predicted results for Artemisia are the 379 380 closest to the actual observed results. The predictions for TotalPC follow, while the predictions for Chenopod show some deviation, particularly in eDOY, indicating the 381 need for a more suitable phenological model to accurately simulate the phenology of 382 Chenopod. The scatter plots in Fig. 3 (bottom) illustrate that for sDOY predictions, 383 Artemisia exhibited the strongest correlation between predicted and observed pollen 384 phenology, with an R value of 0.69 and an RMSE of 5.77 days. In contrast, Chenopod 385 had the lowest correlation, with an R value of 0.49 and an RMSE of 4.98 days. It can 386 also be observed that higher R values are associated with higher overall RMSE, 387





388 possibly due to the models being more sensitive to noise or outliers in the data, which increases the overall error. For high-correlation predictions like those for Artemisia, 389 the model may be more affected by random fluctuations in the data, leading to 390 391 increased error. Additionally, different pollen types may exhibit varying characteristics or response patterns in phenological models, resulting in a non-linear 392 or inconsistent relationship between correlation and error. For eDOY predictions, the 393 correlation between predicted and observed is highest for Artemisia, with an R value 394 of 0.53 and an RMSE of 3.77 days. Chenopod has the lowest correlation for eDOY 395 predictions, with an R value of only 0.26 and an RMSE of 4.57 days. The poorer 396 performance in simulating eDOY for Chenopod may be due to lower data quality 397 compared to Artemisia and TotalPC, as well as the smallest sample size, resulting in 398 399 insufficient information and samples for the model to learn and predict accurately.

Additionally, Table 5 shows the proportion of simulations with errors less than 5 400 401 days and 3 days for sDOY and eDOY across the three pollen types. It can be seen that 402 the proportion of eDOY simulations with errors less than 5 days and 3 days is higher 403 than that for sDOY, indicating that eDOY simulations generally have better accuracy 404 in terms of error. Specifically, for Chenopod eDOY simulations, although the R value is poor, 76.79 % of simulations have errors less than 5 days, and 55.36 % have errors 405 406 less than 3 days, meaning that more than half of the eDOY simulations have errors 407 within 3 days. This performance is comparable to the other two pollen types (64.41 % and 68.12 %, respectively). Compared to Mo et al. (2023), which simulated the spring 408 409 season start pollen season (SPS) using 17 phenological models, this study has slightly 410 lower R values but much lower RMSE (around 11 days in their study). Li et al. (2022) used satellite data to simulate the SPS for Birch, Oak, and Poplar, achieving RMSE 411 values between 4.26 and 8.77 days. Furthermore, this study's process-based 412 phenological models for sDOY and eDOY show smaller errors and higher 413 414 correlations compared to empirical linear models based solely on temperature used by Wozniak and Steiner (2017) and Zhang and Steiner (2022). 415

416 Therefore, from an error analysis perspective, the simulation performance of 417 Chenopod eDOY maintains a relatively low error while also demonstrating some





stability, indicating that the autumn phenological model can accurately capture the
seasonal variation trend of Chenopod. This makes the simulation results reliable.
Overall, the autumn phenological models provide good simulation performance for
the phenology of the three pollen types, laying a solid foundation for further analysis
of pollen temporal characteristics.



Fig. 3. Comparison of pollen sDOY and eDOY in autumn phenology: simulation vs. observation.
Line plots of three different pollen sDOY and eDOY (a-c) and scatter plot comparison of the same
(d-f). Specific comparisons for Artemisia (a, d), Chenopod (b, e), and TotalPC (c, f).

427 Table5 Statistics on the proportion of errors between simulation and observation of three different

428
-----

types of pollen sDOY and eDOY within 5 and 3 days

	DOY	Artemisia (%)	Chenopod (%)	TotalPC (%)
<5D	sDOY	68.97	73.21	71.83
<5D	eDOY	86.44	76.79	82.61
	sDOY	48.28	44.64	53.52
<3D	eDOY	64.41	55.36	68.12

Based on the temperature and SSH observational station data from the Beijing area, we interpolated the station data into a grid dataset with a horizontal resolution of 0.1°. Using the selected autumn phenological models, we then performed gridded





- simulations of the sDOY and eDOY for three pollen types. This approach enabled us
  to map the regional distribution of autumn pollen sDOY and eDOY in Beijing from
  2006 to 2020, thereby laying the groundwork for further simulations of autumn pollen
- 435 emissions potential.
- 436 3.1.2 Simulation of annual pollen production based on RF

437 The simulation of annual pollen production (Pannual, referring to the cumulative pollen concentration during each autumn pollen season) was conducted using the RF 438 algorithm. The training data comprised all station-observed pollen data from Table 1 439 and the corresponding meteorological observation data from Sect. 2.2.2. Four-fifths of 440 the station data were randomly selected as the training set to train the RF algorithm, 441 while the remaining one-fifth was used as the test set to validate the accuracy of the 442 RF's Pannual simulation. Fig. 4 presents the scatter plots of observed versus simulated 443 Pannual for three different pollen types (Artemisia, Chenopod, and TotalPC) based on 444 445 the RF in the test set. The R between simulated and observed values for the three pollen types were all above 0.5, with Chenopod reaching 0.65. The calculated RMSE 446 was around  $0.2 \times 10^6$  Grains m<sup>-2</sup> year<sup>-1</sup> (with TotalPC having an RMSE of  $2.12 \times 10^6$ 447 448 Grains  $m^{-2}$  year<sup>-1</sup>). This indicates that the prediction performance of the RF varies 449 among different pollen types, with the best performance for Chenopod and the poorest 450 for TotalPC annual production. Compared to the temperature-based empirical linear 451 models for Pannual by Zhang and Steiner (2022), the machine learning algorithm-based simulations in this study have smaller errors and higher correlations. Overall, the RF 452 453 effectively simulates Pannual.

Based on meteorological observation data from stations in and around Beijing, the station data were interpolated into a gridded dataset with a horizontal resolution of 0.1°. Subsequently, all station data for each pollen type were used as the training set, with 12 stations in the gridded dataset cyclically selected as the test set for gridded simulations. This ultimately resulted in the spatial distribution of  $P_{annual}$  in Beijing from 2006 to 2020, laying the foundation for further simulation of autumn pollen emissions potential.

461









464 3.2 Simulation of Pollen Emissions in Beijing Area

Based on the simulation results of autumn pollen phenology (sDOY, eDOY, and 465 Pannual) from Sect. 3.1 and the pollen emissions potential parameterization method 466 467 from Sect. 2.1.1, this study calculated the pollen emissions potential in the Beijing area. Fig. 5-7 present a comparison between the observed and simulated average site 468 469 values of Artemisia, Chenopod, and TotalPC in Beijing from 2006 to 2020. In these figures, blue dots represent the actual daily observed pollen counts, and red lines 470 471 represent the simulated pollen emissions. To assess the consistency between the 472 simulated and observed data, we calculated R and RMSE. As illustrated in the figures, the simulated data closely match the actual observations in most years, with 473 474 correlation coefficients around 0.9. Specifically, the Artemisia emissions in 2010, 475 Chenopod emissions in 2016, and TotalPC emissions in 2007, 2009, 2018, and 2019 show R values as high as 0.98 and relatively low RMSE levels, demonstrating the 476 high accuracy of this study in simulating pollen emissions potential. 477

478 Additionally, the simulation results for sDOY and eDOY were also satisfactory, 479 though there were slight advances in the start of the pollen season in certain years, such as 2017 and 2018 for Artemisia and Chenopod. While the peak pollen emissions 480 simulations were highly accurate in most years, there were instances of 481 482 overestimation and underestimation in some years. For example, the peak emissions of Artemisia in 2008, 2009, and 2020, Chenopod in 2007, and TotalPC in 2013 and 483 2020 were significantly underestimated. Conversely, the peak simulations of TotalPC 484 in 2011 and 2012 were slightly overestimated. This indicates that, despite the high 485





- accuracy of the annual pollen production simulations based on the RF, there is still
  room for improvement
  Overall, this study achieved significant results in simulating pollen emissions,
  demonstrating the potential application of autumn phenological models and the RF
  algorithm in simulating pollen emissions. However, to further enhance the accuracy of
  these simulations, future research needs to investigate and address the instances of
- 492 overestimation and underestimation in greater detail.



493

494 Fig. 5. Time series of observation and simulation of average Artemisia emissions at stations in

495 Beijing from 2006 to 2020. The red solid line represents the simulation of pollen emissions model,

496

while blue dots depict observations



497



499 Beijing from 2006 to 2020. The red solid line represents the simulation of pollen emissions model,





500

while blue dots depict observations



501

Fig. 7. Time series of observation and simulation of average TotalPC emissions at stations in
 Beijing from 2006 to 2020. The red solid line represents the simulation of pollen emissions model,
 while blue dots depict observations

To further investigate the spatial distribution of annual pollen production, we 505 506 simulated the spatial distribution of annual Artemisia, Chenopod, and TotalPC 507 production in Beijing from 2006 to 2020 (Fig. 8-10). The results reveal significant 508 spatial and temporal variations in annual pollen production. Spatially, Artemisia production is predominantly concentrated in the southeastern, northeastern, and 509 certain northwestern regions of Beijing, with occasional occurrences in the central 510 urban area during specific years (2008 and 2013). Chenopod production is highest in 511 the southern part of Beijing and lowest in the northern parts and surrounding areas. 512 Notably, from 2006 to 2008, the southern region exhibited high concentrations of 513 Chenopod production. TotalPC is mainly distributed in the southeastern plains of 514 Beijing, forming a strip-like pattern, while lower production is observed in the 515 northwestern mountainous areas, indicating a possible influence of geographical 516 location on TotalPC distribution. Temporally, the annual production of these three 517 pollen types demonstrates distinct interannual variations. Artemisia shows little 518 change in both distribution area and production concentration over time. In contrast, 519 520 Chenopod and TotalPC exhibit a general declining trend, reaching their lowest levels between 2016 and 2018, which may be attributed to recent climatic changes, 521





- 522 vegetation shifts, and human activities in the Beijing area.
- The simulation results for annual pollen production of Artemisia, Chenopod, and TotalPC in Beijing from 2006 to 2020, based on autumn phenology and the RF pollen emissions model, indicate pronounced spatial differences and temporal variation characteristics. Analyzing the spatial distribution and temporal variation of annual pollen production in Beijing enhances our understanding of the spatiotemporal patterns of pollen in the region, providing crucial insights for the control and mitigation of pollen allergies.



530

531 Fig. 8. Distribution of Artemisia in Beijing from 2006 to 2020 based on pollen emissions model



532

533 Fig. 9. Distribution of Chenopod in Beijing from 2006 to 2020 based on pollen emissions model







534

535 Fig. 10. Distribution of TotalPC in Beijing from 2006 to 2020 based on pollen emissions model

To more intuitively reflect the temporal variation trends in the annual production 536 of three types of pollen, we further analyzed the interannual variation of the regional 537 538 average cumulative concentration of these pollen types during the autumn pollen season in Beijing from 2006 to 2020 (Fig. 11). The annual production of Artemisia, 539 Chenopod, and TotalPC in Beijing averages between 0.8-1.6, 0.5-1.4, and 6.5-9 grains 540 541 m-2 year-1, respectively. The annual production of Artemisia and Chenopod are notably similar. Over time, the regional annual production of these pollen types in 542 543 Beijing exhibits significant fluctuations. Nonetheless, Artemisia remains relatively 544 stable, whereas Chenopod and TotalPC production demonstrate a discernible declining trend, particularly in TotalPC. The annual production of all three pollen 545 types reached a local nadir in 2012. Following a surge in 2013, production steadily 546 547 declined from 2014 to 2017, reaching the lowest levels observed in nearly 15 years (with TotalPC being the lowest in 2018). Subsequently, from 2018 to 2020, an 548 increasing trend was observed. Overall, the annual pollen production in Beijing 549 appears to follow a minor cyclical pattern, intimately linked to the impacts of climate 550 551 change.

To further explore the meteorological factors influencing average annual pollen production in Beijing, we selected six meteorological variables during the autumn pollen season from 2006 to 2020 for temporal and regional average calculations.





These factors include maximum temperature (TEM\_Max), average temperature (TEM\_Avg), minimum temperature (TEM\_Min), average relative humidity (RHU\_Avg), sunshine hours (SSH), and precipitation time (PRE\_Time\_0808). The annual variations of these meteorological factors were analyzed, and their correlations with annual pollen production variations were calculated (Fig. 12).

The trends in annual variations of each meteorological factor and the calculated 560 correlations reveal that for Artemisia, TEM Min and RHU Avg have a significant 561 positive correlation with its production, especially RHU Avg, which shows a 562 correlation of 0.79. This indicates that an increase in relative humidity promotes 563 Artemisia production. Conversely, SSH has a correlation of -0.8 with Artemisia, 564 indicating that longer sunshine hours inhibit its production. Meanwhile, TEM Avg 565 and PRE Time 0808 have minor promoting effects on Artemisia production, while 566 TEM Max has a slight inhibitory effect. For Chenopod, TEM Min is the most 567 568 significant promoting factor, while SSH has an inhibitory effect, although its negative correlation is lower than that for Artemisia, indicating a limited inhibitory effect on 569 Chenopod production. For TotalPC, similar to Artemisia, increases in TEM Min and 570 RHU Avg promote production, while increases in SSH and TEM Max inhibit 571 production. Notably, the three types of pollen reached local minimum concentrations 572 573 in 2012, 2017, and 2018, when TEM Min and SSH respectively reached local 574 minimum and maximum values, further demonstrating the promoting effect of TEM Min and the inhibitory effect of SSH on annual average pollen concentration. 575 Rahman et al. (2020) and Lei et al. (2023) indicated that temperature is the main 576 577 factor affecting the interannual variation of pollen and is positively correlated with pollen production. Our findings are largely consistent with these conclusions, 578 579 although they did not consider the effect of SSH on interannual changes in pollen concentration. In summary, the annual production of pollen in Beijing is significantly 580 influenced by meteorological conditions, particularly temperature, relative humidity, 581 and sunshine hours. Different meteorological factors exhibit distinct promoting and 582 inhibiting effects on pollen production. 583







584

585 Fig. 11. Time series variation chart of regional average annual production of three types of pollen

586

in Beijing from 2006 to 2020







Fig. 13-15 illustrate the spatial distribution of the average concentrations of Artemisia, Chenopod, and TotalPC during the autumn pollen season in Beijing from 2006 to 2020. During this period, the concentration of all three pollen types initially increases and then decreases. The pollen season begins around August 10 each year and concludes around September 25. The peak concentrations for Artemisia and





Chenopod pollen occur around August 30, while the peak concentration for TotalPC is 596 observed around September 5. The entire pollen season lasts approximately 45 days. 597 Regarding the average pollen concentration distribution, Artemisia is primarily 598 concentrated in the southwest, northeast, and parts of the northwest of Beijing, with 599 lower concentrations in the southeast. In contrast, Chenopod and TotalPC are mainly 600 distributed in the southeastern plains. The maximum average concentrations for 601 Artemisia, Chenopod, and TotalPC reach 81.1×103 Grains m-2 d-1, 42.0×103 Grains 602 m<sup>-2</sup> d<sup>-1</sup>, and 351.8×10<sup>3</sup> Grains m<sup>-2</sup> d<sup>-1</sup>, respectively. 603





Fig. 13. Temporal and spatial distribution of Artemisia in Beijing (average from 2006 to 2020)



606 607

Fig. 14. Temporal and spatial distribution of Chenopod in Beijing (average from 2006 to 2020)

608







609 Fig. 15. Temporal and spatial distribution of TotalPC in Beijing (average from 2006 to 2020)

610 3.3 Simulation of Pollen Emissions in Regional Climate Models

To evaluate the pollen emissions model based on autumn pollen phenology and RF, this study integrates the offline calculated pollen emissions into the regional climate model RegCM. By comparing the simulated atmospheric pollen concentrations with data from ground-based pollen monitoring stations, we assess the performance of this pollen emissions potential model.

616 3.3.1 Implementation of pollen emissions in Regional Climate Model (RegCM)

617 RegCM is the pioneering regional climate model system used for climate downscaling, originating in the late 1980s and early 1990s at the National Center for 618 619 Atmospheric Research (NCAR) in the USA. It has since undergone several 620 development iterations and is currently maintained at the International Centre for Theoretical Physics (ICTP) in Italy. This open-source system is widely utilized by 621 numerous research teams, forming an extensive network for regional climate research. 622 623 The model can be applied globally and is evolving into a fully coupled regional earth system model, incorporating ocean, lake, aerosol, desert dust, chemistry, hydrology, 624 and land surface processes. The version used in this study is RegCM4.7.1. 625

In this model, a pollen emissions model based on phenology and RF calculates the emission potential of different types of pollen offline, and then incorporated into the RegCM model. The calculation of pollen concentration in this model follows the method of Sofiev et al. (2013), with the formula as follows:





(8)

(9)

$$\begin{split} E_{pollen,i}(t) &= E_i(t) \bullet u_{star} \bullet ce \bullet f_w \bullet f_r \bullet f_h / htc \\ f_w &= 1.5 - \exp(-(u_{10} + u_{conv}) / 5) \\ f_r &= \begin{cases} 1, pr < pr_{low} \\ pr_{high} - pr \\ pr_{high} - pr_{low} \\ 0, pr > pr_{high} \end{cases} \end{split}$$

$$f_{h} = \begin{cases} \frac{1, rh < rh_{low}}{rh_{high} - rh}, rh_{low} < rh < rh_{high} \\ \frac{rh_{high} - rh_{low}}{0, rh > rh_{high}}, rh_{low} \end{cases}$$

631

630

632 Where fw, fr and fh represent the wind, precipitation, and RH factors, respectively, influencing pollen emissions concentration. ustar is surface friction 633 634 velocity, ce is flowering factor, and htc is canopy height.  $f_w$  is exponentially related to the 10m wind speed  $u_{10}$  and vertical turbulent wind speed  $u_{conv}$ . pr and rh represent 635 precipitation and RH. When precipitation is below the threshold  $pr_{low}$ , the 636 precipitation factor is 1. When precipitation exceeds the threshold  $pr_{high}$ , the factor is 0. 637 638 When precipitation is between these thresholds, the factor is calculated as the ratio of the difference between the high threshold and precipitation to the difference between 639 the thresholds, with default values  $pr_{low}=10^{-5}$  mm and  $pr_{high}=0.5$  mm. Similarly, the 640 RH factor is related to RH and its thresholds, with default values  $rh_{low}=50$  % and 641  $rh_{high}=80$  %. These factors explain the impact of wind, precipitation, and humidity on 642 pollen emissions. Given the significant influence of precipitation and RH on pollen 643 emissions, this study adjusts prhigh and rhhigh values to 1 mm and 90 %, respectively. 644 Higher thresholds can prevent excessive suppression of pollen emissions under 645 frequent precipitation and high humidity conditions, thus more accurately simulating 646 actual pollen concentration changes and better adapting the model to different climatic 647 conditions. 648

Moreover, the RegCM includes the pollen tracer transport equation (Solmon et al.
2006), as follows:

$$\frac{\partial \chi}{\partial t} = \overline{V} \cdot \nabla \chi + F_H + F_V + T_C + S - R_{Wls} - R_{Wc} - D_d$$
(10)

652

Where  $\chi$  represents the tracer,  $F_H$  and  $F_V$  represent horizontal and vertical





653 diffusion,  $T_C$  represents convective transport, *RWls* and *RWc* represent large-scale and convective precipitation wet removal processes, respectively, and Dd represents dry 654 removal processes. This transport equation comprehensively considers various 655 physical processes and removal mechanisms of pollen in the atmosphere, allowing the 656 simulation of the entire process from pollen release to atmospheric dispersion and 657 deposition. This provides a foundation for fully describing the spatial distribution and 658 temporal evolution of pollen in the atmosphere, which is crucial for studying pollen 659 dispersion in the air, determining the spatial distribution of pollen concentration, and 660 predicting future changes in pollen concentration. 661

662 3.3.2 Evaluation of pollen simulation accuracy in RegCM

Fig. 16-18 depict the time series distribution of the concentrations of three pollen 663 types simulated by the RegCM compared to observed concentrations from 2006 to 664 2020. The RegCM successfully captures the temporal variation trends of pollen 665 666 concentrations during the autumn pollen season, generally showing an initial increase followed by a decrease. Daily pollen concentrations fluctuate significantly due to 667 meteorological factors such as temperature, precipitation, and RH, as well as key 668 physical processes like advection, convection, and dry and wet deposition. Overall, 669 the simulated pollen concentrations by the RegCM align well with the observed trends, 670 671 though some discrepancies remain.

672 In the simulation of Artemisia (Fig. 16), the sDOY and pollen production vary annually due to meteorological conditions and key physical processes. The annual 673 peak pollen concentrations generally range from 20-70×10<sup>3</sup> Grains m<sup>-2</sup> d<sup>-1</sup>, while in 674 2019-2020, observed pollen concentrations exceeded  $100 \times 10^3$  Grains m<sup>-2</sup> d<sup>-1</sup>, with 675 notable spikes and drops likely due to abrupt meteorological changes or possible 676 issues with the quality of observation data. The RegCM accurately simulates the 677 sDOY and eDOY, displaying a similar frequency to observations. For peak pollen 678 simulations, years such as 2006, 2007, 2010, 2012, 2015, and 2016 show good 679 performance, with R above 0.7, particularly in 2006 and 2016, where R exceeds 0.85 680 and RMSE is only 4×103 Grains m<sup>-2</sup> d<sup>-1</sup>. However, for other years, peak simulations 681 are underestimated to varying degrees. For 2011, although the trend is consistent, the 682





683 observed peak is near  $50 \times 10^3$  Grains m<sup>-2</sup> d<sup>-1</sup>, while the simulated peak is only  $12 \times 10^3$ Grains  $m^{-2} d^{-1}$ , indicating a significant underestimation. This underestimation is also 684 noticeable in 2008, 2013, and 2017-2020. In 2019, although the peak concentrations 685 align, the trend correlation is low (R=0.49), and RMSE is high. The variability in 686 observation station data quality and quantity could influence these results, with some 687 years having fewer than six effective stations (minimum of two), impacting the 688 average and peak values. Box plots (Fig. 19) reveal that Artemisia concentrations in 689 2019-2020 are more dispersed, suggesting possible anomalies in observation data. 690 Overall, the R for RegCM simulations ranges from 0.69 to 0.86 (except 2019), with 691 RMSE between 3.05-15.38×103 Grains m<sup>-2</sup> d<sup>-1</sup>. 692

693 For Chenopod simulations (Fig. 17), the overall performance is similar to Artemisia. The annual peak concentrations are generally lower, around  $20-50 \times 10^3$ 694 Grains m<sup>-2</sup> d<sup>-1</sup>, except for 2007, which reaches  $120 \times 10^3$  Grains m<sup>-2</sup> d<sup>-1</sup>. The years 695 2006, 2008-2009, 2012-2013, 2015, and 2019 show good simulation performance, 696 accurately reflecting peak concentrations, particularly in 2016 (R=0.84, 697 RMSE=3.11×10<sup>3</sup> Grains m<sup>-2</sup> d<sup>-1</sup>). However, 2007, 2010, 2017-2018, and 2020 exhibit 698 699 underestimation, with the exceptionally high observed concentrations in 2007 likely causing the model's underestimation. Fig. 19 indicates increasing peak concentrations 700 701 in recent years (2017-2020) for both Artemisia and Chenopod, with room for 702 improvement in peak simulations by the RegCM. Despite the lower concentrations compared to spring pollen, autumn pollen significantly impacts pollen-induced 703 diseases (pollinosis), prompting more attention and efforts in pollen management, 704 705 which contributes to the decreasing trend in monitored pollen concentrations.

TotalPC generally exhibits higher concentration levels compared to Artemisia and Chenopod (Fig. 18). Annual peak TotalPC can reach  $150-500 \times 10^3$  Grains m<sup>-2</sup> d<sup>-1</sup>, with the highest observed concentration in 2020 at  $745 \times 10^3$  Grains m<sup>-2</sup> d<sup>-1</sup>. Due to the higher quality and completeness of TotalPC monitoring data, the simulation results are more accurate, with R generally above 0.76 (except 2015, R=0.64). Over 60 % of the years have R above 0.8, with fewer years showing significant underestimation of peak concentrations (e.g., 2013). This highlights the critical role of high-quality





- pollen monitoring data for accurate simulations. High-quality data enable precise
  capturing of pollen concentration trends and peaks, providing robust support for
  regional pollen phenology research.
- In summary, the RegCM demonstrates high accuracy in simulating the concentrations of the three pollen types, especially TotalPC. Accurate simulations of pollen concentrations and peaks enhance the effectiveness of pollen emissions models, improve health risk warnings, and provide a scientific basis for urban planning and environmental management.





723

724

Fig. 16. Time-series distribution of Artemisia under RegCM simulation compared to observations (averaged across effective pollen monitoring sites). The red solid line represents model

simulations, while blue dots depict observations











728

#### simulations, while blue dots depict observations





Fig. 18. Time-series distribution of TotalPC under RegCM simulation compared to observations



732







733

734 Fig. 19. Box plot statistics of pollen concentration under RegCM simulation compared to observed values. Each subplot features box plots denoted by red dashed lines: on the left side, representing 735 736 Artemisia and Chenopod concentrations with values referenced on the left y-axis; on the right side, 737 depicting TotalPC with values referenced on the right y-axis. In each box plot, from bottom to top, 738 the box and whiskers indicate the minimum, lower quartile, median, upper quartile, and maximum 739 values (extending up to 1.5 times the interquartile range, IQR). Black circles denote outliers 740 exceeding 1.5 times IQR. Orange numbers annotated in the subplot indicate the maximum values unseen within the box, while black numbers denote unseen outliers 741

#### 742 4. Conclusion

743 This study utilized years of autumn pollen concentration data from Beijing, alongside meteorological and land use data, to develop an autumn pollen emissions 744 745 model using autumn phenology and the RF algorithm. We conducted an in-depth 746 analysis of the spatiotemporal distribution characteristics of Artemisia, Chenopod, and TotalPC in Beijing and examined their relationships with meteorological factors. 747 748 Finally, we validated the accuracy and reliability of the constructed pollen emissions 749 model using the RegCM. Through a series of simulations and validations, several 750 significant conclusions and findings were obtained.

751 (1) Construction of the Pollen Emissions Model: By incorporating phenology





752 and the RF algorithm, we calculated autumn pollen emissions, thereby avoiding the poor simulation results of sDOY, eDOY, and annual pollen production based solely on 753 temperature linear simulations. The study demonstrates that using a phenology model 754 for sDOY and eDOY simulations captures the temporal variations of pollen release 755 more accurately, effectively reducing simulation errors. The RF algorithm excels in 756 757 handling multivariate and nonlinear relationships, significantly improving the simulation accuracy of the pollen emissions model. The optimized annual pollen 758 production simulations better reflect seasonal changes in pollen, showcasing the 759 applicability and reliability of the RF algorithm in processing meteorological and 760 environmental data. 761

(2) Spatiotemporal Distribution Characteristics of Pollen Concentration: The 762 study found significant spatial and temporal variations in pollen concentration in 763 Beijing. The autumn pollen peak occurs between DOY 215-280, with considerable 764 765 differences in peak times and concentrations among monitoring stations. These differences are closely related to the vegetation types, topographical features, and 766 local climatic conditions around each station. Optimized simulations of pollen 767 768 concentration data further reveal the spatiotemporal variation patterns of pollen concentrations. 769

770 (3) Impact of Meteorological Factors on Annual Pollen Emissions: 771 Meteorological factors significantly influence pollen concentrations. The study reveals that temperature, RH, and SSH are crucial factors affecting annual pollen 772 emissions in Beijing. There is a positive correlation between temperature and RH with 773 774 annual pollen emissions, while SSH has a negative correlation. The response of different pollen types to meteorological factors varies due to their distinct biological 775 characteristics and ecological environments. This comprehensive analysis provides a 776 scientific basis for predicting future changes in pollen concentrations. 777

(4) Validation of Pollen Emissions Models Using the RegCM: The RegCM
accurately reflects the daily impact of meteorological factors on pollen emissions.
Key physical processes, such as advection, convection, and wet and dry deposition,
play essential roles in simulating the atmospheric dispersion and deposition of pollen.





782 This study validated the accuracy and reliability of the optimized emission potential models for three pollen types using RegCM, effectively describing the daily variations 783 in pollen concentrations influenced by meteorological factors and key physical 784 785 processes. Furthermore, the pollen emissions model developed in this study can be applied to other regions, offering potential for wider application. These 786 787 comprehensive results provide essential scientific support for pollen monitoring, allergy prevention, and the selection of urban greening plants. Future research can 788 extend these methods and findings to larger-scale pollen emissions simulations and 789 forecasts, enhancing responses to pollen-related public health issues. 790

(5) Limitations and Future Prospects: Despite significant progress in 791 constructing the pollen emissions model and analyzing the spatiotemporal distribution 792 of pollen concentrations, some limitations persist. For broader application, more 793 extensive observation stations are needed to verify the model's accuracy, considering 794 795 the limited spatiotemporal resolution of current pollen concentration data. Simulating specific species' pollen concentrations requires detailed plant functional type 796 distributions, which significantly impact the spatial distribution of pollen emissions 797 798 potential. The current research utilizes static plant functional type data, but dynamic 799 data would better reflect the impact of land use changes on pollen climates over 800 various temporal and spatial scales. Additionally, the complex relationship between 801 meteorological factors and pollen concentrations suggests that future research could introduce more environmental and meteorological variables and apply advanced 802 machine learning algorithms to enhance the model's predictive capability. 803

In conclusion, This study successfully constructed a pollen emissions potential model, systematically analyzed the spatiotemporal distribution of different pollen types in autumn in Beijing, and explored their relationship with meteorological factors. The model's accuracy and stability were validated using the RegCM, yielding notable research results. Future research can further validate and extend this approach on a larger scale and with higher resolution, providing comprehensive scientific support for ecological environment protection and public health.





# 811 Data availability

Meteorological data were sourced from the China Surface Climate Daily Dataset (https://data.cma.cn/data/cdcindex/cid/f0fb4b55508804ca.html), which requires appropriate permissions for access. Pollen data were provided by the Beijing Meteorological Bureau, and the authors do not have permission to share this data.

# 816 Authorship contributions

JL performed the analysis, investigation, methodology, software development, validation, and original draft preparation. XA conceptualized the paper, provided resources, acquired funding, and conducted the review and editing. ZS and CY contributed resources, visualization, and data curation. HQ, YZ, and ZL were involved in visualization. All authors contributed to manuscript revisions.

#### 822 Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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