



Air pollution satellite-based CO₂ emission inversion: system

evaluation, sensitivity analysis, and future perspective

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- 9 Correspondence to: Bo Zheng (<u>bozheng@sz.tsinghua.edu.cn</u>)
- 10 Abstract. Simultaneous monitoring of greenhouse gases and air pollutant emissions is crucial for combating
- 11 global warming and air pollution to prevent irreversible damage. We previously established an air pollution
- 12 satellite-based carbon dioxide (CO₂) emission inversion system, successfully capturing CO₂ and nitrogen
- 13 oxides (NO_x) emission fluctuations amid socioeconomic changes. However, the system's robustness and
- weaknesses have not yet been fully evaluated. Here, we conduct a comprehensive sensitivity analysis with
- 15 31 tests on various factors including prior, model resolution, satellite constraint, and inversion system
- 16 configuration to assess the vulnerability of emission estimates across temporal, sectoral, and spatial
- dimensions. The Relative Change (RC) between these tests and Base inversion reflects the different
- 18 configurations' impact on inferred emissions, with one standard deviation (1σ) of RC indicating consistency.
- 19 Although estimates show increased sensitivity to tested factors at finer scales, the system demonstrates
- 20 notable robustness, especially for annual national total NO_x and CO_2 emissions across most tests (RC < 4.0%).
- Spatiotemporally diverse changes in parameters tend to yield inconsistent impacts ($1\sigma \ge 4\%$) on estimates,
- and vice versa ($1\sigma < 4\%$). The model resolution, satellite constraint, and NO_x emission factors emerge as the
- 23 major influential factors, underscoring their priority for further optimization. Taking daily national total CO₂
- emissions as an example, $\overline{RC} \pm 1\sigma$ they incur can reach -1.2±6.0%, 1.3±3.9%, and 10.7±0.7%, respectively.
- 25 This study reveals the robustness and areas for improvement in our air pollution satellite-based CO₂ emission
- 26 inversion system, offering opportunities to enhance the reliability of CO₂ emission monitoring in the future.

1 Introduction

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- 28 The knowledge of emissions, i.e., how much and where pollutants are released into the atmosphere, lays the
- 29 foundation for understanding the changes in atmospheric compositions and managing emissions toward
- 30 climate and air quality targets (Meinshausen et al., 2022; Li et al., 2022; Zhang et al., 2019). Anthropogenic
- 31 emissions are strongly modulated by socioeconomic events (e.g., holidays, economic recession, and
- 32 recovery), therefore, it is essential to monitor emissions timely to interpret atmospheric species
- concentrations (Shan et al., 2021; Le Quéré et al., 2021; Guevara et al., 2023). Currently, numerous nations,





particularly those within the Global South (i.e., China), grapple with the dual imperatives of mitigating air 34 pollution and addressing climate change challenges. To effectively navigate these intertwined challenges in 35 36 a harmonized and resource-efficient manner, the development of a system capable of disentangling variations 37 in emissions and their driving factors for greenhouse gases and air pollutants is indispensable (Ke et al., 2023). 38 Recently, a discernible trend is emerging towards inferring anthropogenic carbon dioxide (CO₂) emissions 39 from well-observed and co-emitted air pollutants (i.e., nitrogen dioxide, NO2) given their co-emission 40 characteristics in time and space (Wren et al., 2023; Yang et al., 2023; Liu et al., 2020a; Reuter et al., 2019). 41 The introduction of NO₂ in the CO₂ emission estimation presents several distinct advantages. NO₂ has a short 42 lifetime of several hours, rendering its source-contributing plumes readily detectable via remote sensing techniques, thus facilitating their inversion into emission estimates (Goldberg et al., 2019). In contrast, the 43 44 longevity of CO₂, spanning hundreds of years, combined with its elevated background concentration reaching 45 hundreds of parts per million (ppm), obscures the detection of local source-triggered concentration enhancements (i.e., several ppm) (Nassar et al., 2017; Reuter et al., 2019). Moreover, the advancement of 46 47 remote sensing technologies for NO2 has surpassed the progress in CO2 satellite observations, as evidenced 48 by the increased frequency of satellite revisits, enhanced pixel spatial resolution, broader coverage, and improved signal-to-noise ratio in column concentration observation (Macdonald et al., 2023; Cooper et al., 49 50 2022). The synergistic quantification of CO₂ and nitrogen oxides (NO_x) emissions has gained substantial 51 attention, not to mention that it could provide valuable guidance for a joint effort to monitor and mitigate air 52 pollutants and carbon emissions concurrently (Miyazaki and Bowman, 2023). We have developed an air pollution satellite sensor-based CO₂ emission inversion system, which is capable 53 54 of concurrently estimating ten-day moving average sector-specific anthropogenic NO_x and CO₂ emissions by 55 integrating top-down and bottom-up methods. This integrated methodology has proven effective in capturing 56 emission fluctuations, particularly during the coronavirus disease 2019 (COVID-19) pandemic (Zheng et al., 57 2020; Li et al., 2023). While previous sensitivity tests have suggested a certain level of accuracy, the system 58 has not yet undergone a comprehensive evaluation to thoroughly assess its robustness and weaknesses, and 59 thereby clearly imply its future developmental trajectory. To bridge this gap, we here undertake an extensive 60 sensitivity analysis with 31 tests using the 2022 anthropogenic NO_x and CO₂ emission estimation as a case 61 study. Our analytical endeavor delves into how emission outcomes respond to a variety of sensitivity assessments across temporal, sectoral, and spatial dimensions. This study aims to diagnose and rank the 62 63 uncertainty sources, providing insights to prioritize improvements of this inversion system in the future.

2 Materials and methods

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Our air pollution satellite sensor-based CO₂ emission inversion system has been elucidated in our previous studies (Zheng et al., 2020; Li et al., 2023). In essence, this system integrates top-down and bottom-up data streams to infer the ten-day moving average anthropogenic NO_x and CO₂ emissions by sector in China based on the mass-balance approach (Cooper et al., 2017). Comprising three key components, the system involves



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the bottom-up inference of prior emissions for NO_x and CO₂ with sectoral profile, the top-down estimation of total NO_x emissions constrained by satellite observation, and the integration of both sources to derive satellite-constrained NO_x and CO₂ emissions by sector (Fig. S1). Each of these processes could introduce uncertainties in the final emission estimates. To assess the potential uncertainties, we establish a baseline (Base) for emissions computed using our conventional settings (Li et al., 2023; Zheng et al., 2020) and further investigate sensitivity tests to characterize the impacts of the different configurations on final estimates.

2.1 Base inversion

In the Base inversion, we adhered to the same parameters and configurations outlined in previous studies for estimating the ten-day moving average anthropogenic NO_x and CO₂ emissions by sector in 2022 (Table 1) (Li et al., 2023; Zheng et al., 2020). Succinctly, we first updated sectoral NO_x and CO₂ emissions through the bottom-up process. This involved utilizing indicators including industrial production, thermal power generation, freight turnover, and population-weighted heating degree days as proxies for changes in industry, power, transport, and residential activity levels. Secondly, we inferred the total anthropogenic NO_x emissions constrained by TROPOspheric Monitoring Instrument (TROPOMI) NO2 retrievals (v2.4) (Van Geffen et al., 2022). A critical step in this process was establishing a relationship between NO2 tropospheric vertical column densities (TVCDs) and anthropogenic NOx emissions (Eq. 1) through GEOS-Chem simulation (v12.3.0, https://geoschem.github.io/) at a horizontal resolution of 0.5°×0.625°. Our analysis focused on the grids where anthropogenic emissions prevail (Liu et al., 2020b), characterized by ten-day moving average NO₂ TVCDs exceeding 1×10¹⁵ molecules cm⁻². Thirdly, we integrated the bottom-up and top-down data flows to yield TROPOMI-constrained sectoral NO_x emissions. Assuming that each grid's emission variability was primarily driven by its dominant source sectors (contributing over 50%), we utilized the discrepancy between the bottom-up and top-down estimates in grid cells dominated by a particular sector to derive sectorspecific scaling factors, which were subsequently applied to correct the bottom-up sectoral NO_x emissions. Following this adjustment, we rescaled the corrected bottom-up emissions to ensure alignment with the TROPOMI-constrained total emissions. Finally, we converted the sectoral NO_x emissions to corresponding CO₂ emissions with the CO₂-to-NO_x emission ratios derived from the bottom-up process (Eq. 4).

$$E_{t,i,TROPOMI,y} = (1 + \beta_{t,i} (\frac{\Delta\Omega}{\Omega})_{t,i,anth,y}) \times E_{t,i,bottom-up,2019}$$
(1)

$$\beta_{t,i} = \frac{\Delta E_{t,i,bottom-up,2019}}{E_{t,i,bottom-up,2019}} \div \frac{\Omega_{t,i,40\%\text{emi},2019} - \Omega_{t,i,\text{base},2019}}{\Omega_{t,i,\text{base},2019}}$$
(2)

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$$\left(\frac{\Delta\Omega}{\Omega}\right)_{t,l,anth,y} = \frac{\Omega_{t,l,sate,y}}{\Omega_{t,l,sate,2019}} - \frac{\Omega_{t,l,simu_fixemis,y}}{\Omega_{t,l,simu,2019}}$$
 (3)

$$C_{s,t,i,TROPOMI,y} = E_{s,t,i,TROPOMI,y} \times \frac{EF_{\text{CO}_2s,i,bottom-up,2019}}{EF_{\text{NO}_xs,i,bottom-up,2019} \times (1 - r\text{NO}_{xs,i,y})}$$
(4)

Where t, i, and y represent the ten-day window, model grid cell (i.e., $0.5^{\circ} \times 0.625^{\circ}$), and target year 2022, respectively. $E_{t,i,\text{TROPOMI},y}$ is the anthropogenic total NO_x emissions constrained by TROPOMI NO₂ TVCDs.



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 $E_{t,t,\text{bottom-up},2019}$ is the anthropogenic NO_x emissions in 2019 from the Multi-resolution Emission Inventory for China (MEIC) inventory (Zheng et al., 2018). $\beta_{t,i}$ is a unitless factor relating the changes in NO₂ TVCDs to anthropogenic NO_x emissions (Lamsal et al., 2011). $\Delta E_{t,i,bottom-up,2019}/E_{t,i,bottom-up,2019}$ represent the implemented 40% reduction in anthropogenic NO_x emissions over China. $\Omega_{t,i.40\%\text{emi},2019}$ and $\Omega_{t,i.base,2019}$ are GEOS-Chem simulated NO₂ TVCDs at the TROPOMI overpass time in 2019 with a 40% emission reduction and without any emission reduction, respectively. $(\Delta\Omega/\Omega)_{t,i,anth,y}$ refers to the relative changes in NO₂ TVCDs due to anthropogenic NO_x emission changes between 2019 and 2022. $\Omega_{t,i,sate,2019}$ indicates the relative differences in TROPOMI NO₂ TVCDs between 2019 and 2022, and $\Omega_{t,i,simu}$ fixemis, $\sqrt{\Omega_{t,i,simu}}$ $\Omega_{t,i,simu}$ $\Omega_{t,$ relative changes in NO2 TVCDs caused by inter-annual meteorological variation, which are derived from GEOS-Chem simulations with the fixed 2019 emissions and meteorological field in target year. In Eq. 4, $C_{s,t,i,TROPOMLy}$ and $E_{s,t,i,TROPOMLy}$ are CO₂ and NO_x emissions from sector s. EFco₂ s, bottom-up, 2019 and EF_{NOx} s,i,bottom-up,2019 are the sectoral emission factors (EFs) of CO2 and NOx in 2019 derived from the MEIC emission model. rNO_{x s,i,y} is the reduction in NO_x EFs by sector from 2019 to 2022 derived from the bottom-up estimation, while the CO2 EFs are assumed to remain unchanged. We approximate the annual NO_x and CO₂ emissions as the sum of the ten-day moving average NO_x and CO₂ emissions in 2022 with a vacancy in the first and last five days. This approximation, however, does not impact our analysis, as our primary objective is to identify potential sources of uncertainty within the system and thereby highlight areas for future improvement.

Table 1. Configurations of Base inversion.

Factors/parameters	Base setting
GEOS-Chem (GC) resolution	GEOS-Chem simulation with the resolution of $0.5^{\circ} \times 0.625^{\circ}$
TROPOMI retrievals version	v2.4 of TROPOMI NO ₂
TROPOMI screening schemes	Cloud fraction (CF)<0.4, quality flag (QA)>0.5
Reference year	2019
NO _x emission factors (EFs)	The reduction ratio of NO _x EFs halves annually
Threshold value to identify dominant emission source sectors for each grid	50%
Sectors in bottom-up estimation	8 sectors (power, industry, cement, iron, residential, residential-bio, on-road, and off-road)

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2.2 Sensitivity inversion tests

The sensitivity inversion experiments consist of 31 tests concerning factors encompassing prior, model resolution, satellite constraint, and inversion system parameters to achieve a comprehensive evaluation of the system (Fig. 1 and Table 2). Each test is conducted as a controlled experiment, where only one parameter is altered while the rest remain the same as their Base inversion setting.





126 Table 2. Settings of 31 sensitivity inversion tests.

Category	Num	Name	Settings description	Test objectives	
GC	1	Res_2×2.5	GEOS-Chem simulation with the resolution of $2^{\circ} \times 2.5^{\circ}$	Model resolution	
Satellite constraint	2	Trop_fill	Complementing TROPOMI NO2 with machine learning	Sampling coverage	
	3	Trop_v2.3	Substituting TROPOMI NO $_2$ from v2.4 to v2.3	Satellite data version	
	4	Trop_cf03	Changing CF limit from 0.4 to 0.3		
	5	Trop_cf05	Changing CF limit from 0.4 to 0.5	Satellite data filtering condition	
	6	Trop_qa06	Changing QA limit from 0.5 to 0.6		
	7	Trop_qa07	Changing QA limit from 0.5 to 0.7		
	8	2021_base	Changing the reference year from 2019 to 2021	Reference year	
•	9	β20%	Scaling β down by 20%		
	10	β15%	Scaling β down by 15%		
	11	β10%	Scaling β down by 10%		
Inversion system	12	β5%	Scaling β down by 5%	β	
	13	β1%	Scaling β down by 1%		
parameters	14	β_1%	Scaling β up by 1%		
	15	β_5%	Scaling β up by 5%		
	16	β_10%	Scaling β up by 10%		
	17	β_15%	Scaling β up by 15%		
	18	$\beta_20\%$	Scaling β up by 20%		
	19	ef10%	Scaling changes in NO _x EFs down by 10%		
	20	ef9%	Scaling changes in NO _x EFs down by 9%		
	21	ef8%	Scaling changes in NO _x EFs down by 8%		
	22	ef7%	Scaling changes in NO _x EFs down by 7%		
Prior -	23	ef6%	Scaling changes in NO _x EFs down by 6%	NO EE	
	24	ef5%	Scaling changes in NO _x EFs down by 5%	NO _x EFs	
	25	ef4%	Scaling changes in NO _x EFs down by 4%		
	26	ef3%	Scaling changes in NO _x EFs down by 3%		
	27	ef2%	Scaling changes in NO _x EFs down by 2%		
	28	ef1%	Scaling changes in NO _x EFs down by 1%		
	29	thre_40%	Changing the dominant sector threshold from 50% to 40%	Threshold	
	30	thre_60%	Changing the dominant sector threshold from 50% to 60%		
	31	4_sectors	Aggregating the sectors from 8 to 4 in prior estimates	Sector's classification	

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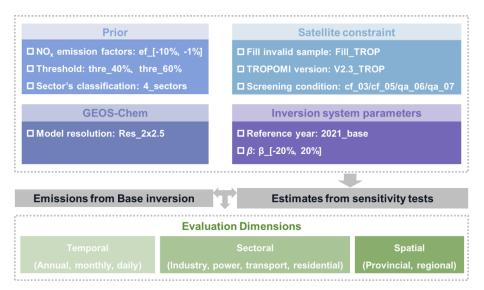


Figure 1. Overview of the sensitivity inversion tests in this study. Details of the processes and settings are presented in Fig. S1 and Table 2.

2.2.1 Prior emission inventory

The prior provides the sectoral profile for subsequent emission attribution. We conducted a comprehensive examination of associated parameters, including NO_x EFs influencing the conversion of NO_x to CO₂ emissions by sector, threshold value defining the dominant sector for each grid, and sector classification. For NO_x EFs settings, we devised a ten-level gradient ranging from -10% to -1% (referred to as ef_[-10%, -1%]). Regarding the threshold value, we varied it from 50% to 40% and 60% (referred to as thre_40% and thre_60%), respectively. For sector classification, the original prior NO_x and CO₂ emissions were updated based on eight sectors in the bottom-up process: power, industry, cement, iron, residential, residential-bio, on-road, and off-road. This detailed sectoral structure facilitates relatively detailed bottom-up estimations with specific sectoral activity levels. These eight sectors were then aggregated into four categories: power, industry (sum of original industry, cement, and iron), residential (sum of original residential and residential-bio), and transport (sum of original on-road and off-road) when allocating TROPOMI-constrained total NO_x emissions into sectors. Here, this sector consolidation, specifically implemented before the bottom-up estimation (4_sectors), was designed to evaluate the influence of sector classification on the inversion results.

2.2.2 GEOS-Chem model resolution

The model resolution of the GEOS-Chem simulation inherently shapes the localized relationship between NO₂ TVCDs and NO_x emissions established in the top-down process. Finer resolution is advantageous for establishing localized connections between air pollutant emissions and atmospheric concentrations, and the attribution of sectoral emissions. However, excessively fine resolution is not applicable due to the inter-grid transport when employing the mass-balance method (Turner et al., 2012). To explore the impact of resolution







- 151 on emission estimates, we performed an inversion experiment with simulations at a coarser resolution of
- 152 $2^{\circ} \times 2.5^{\circ}$ (Res 2×2.5).

2.2.3 Satellite constraint

- The TROPOMI NO₂ retrievals serve as a constraint in the top-down NO₃ emission estimation. We conducted
- 155 experiments on the TROPOMI NO2 retrievals through three distinct approaches. Firstly, we used Extreme
- 156 Gradient Boosting (XGBoost) to fill the invalid satellite retrievals in v2.4 TROPOMI (Trop fill) by
- 157 establishing relationships between TROPOMI NO₂ TVCDs and meteorological variables, as well as GEOS-
- 158 Chem simulated NO₂ TVCDs (modeled NO₂ in Eq. 5) (Wei et al., 2022). The meteorological variables were
- 159 derived from European Centre for Medium-Range Weather Forecasts (ECMWF) ERA5 dataset (Hersbach et
- al., 2020), including boundary layer height (BLH), surface pressure (SP), temperature (TEM), dewpoint
- temperature (DT), 10m u-component (WU), 10m v-component of winds (WV), total precipitation (TP),
- 162 evaporation (EP), downward uv radiation at the surface (surUV), and mean surface downward uv radiation
- flux (downUV). In the XGBoost process, we trained the relationship for daily NO₂ TVCDs throughout the
- year grid-by-grid, with 80% of the data used as the training set and 20% as the test set.
- TROPOMI NO₂ ~ $f_{XGBoost}$ (modeled NO₂, BLH, SP, TEM, DT, WU, WV, TP, EP, surUV, downUV) (5)
- 166 The comparison of NO₂ TVCDs before and after data filling revealed minimal impact from the original
- missing data (Fig. S2). This is attributed to our system's utilization of a ten-day moving average of NO₂
- TVCDs, which effectively mitigates the influence of missing data at the grid scale.
- 169 Secondly, we evaluated the impact of different versions of TROPOMI NO₂ retrievals by substituting the v2.4
- 170 TROPOMI data with the older v2.3 TROPOMI NO2 columns (Trop v2.3). Updates in TROPOMI data
- products generally help address the low bias of NO₂ concentrations, particularly in heavily polluted regions
- 172 (Lange et al., 2023; Van Geffen et al., 2022). Thirdly, we adjusted the satellite data screening policies to
- 173 investigate the uncertainties associated with satellite observations on emission estimates, which involved
- varying the cloud fraction (CF) limit to 0.3 (Trop_cf03) or 0.5 (Trop_cf05) and modifying the quality flag
- 175 (QA) limit to 0.6 (Trop_qa06) or 0.7 (Trop_qa07), respectively. CF and QA serve as crucial parameters in
- 176 screening applicable NO₂ TVCDs, representing primary sources of uncertainty in satellite observations (Van
- 177 Geffen et al., 2022; Lange et al., 2023).

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2.2.4 Inversion system parameters

- 179 In previous studies, the reference year for updating emissions for target years was 2019. Here, we modified
- 180 the reference year to 2021 (2021 base) to assess its impact. The parameter β represents the localized
- 181 relationship between changes in NO₂ TVCDs and changes in anthropogenic NO_x emissions (Eq. 2),
- determining the transition from observed changes in NO₂ TVCDs to changes in anthropogenic NO_x emissions
- in the top-down process (Eq. 1). To explore potential nonlinear responses in the estimated results to this
- parameter, we devised a ten-level gradient for β , ranging from -20% to 20% (refer to as β [-20%, 20%]).





2.3 Evaluation of different configurations' impact

The sensitivity analysis of the NO_x and CO_2 emissions estimated by our inversion system has illuminated potential sources of uncertainty and the magnitude of their impacts. To quantify the influence of sensitivity tests on emission estimates, we calculated the Relative Change (RC) between emissions estimated under different tests and the Base inversion, and one standard deviation (1σ) of RC to evaluate the consistency of their impact across temporal, sectoral, and spatial scales (details seen in Table 3). It is noteworthy that on the annual national total emission scale (maximization of all three dimensions), the value of 1σ equals 0.0%.

Table 3. Calculation of RC and 1σ across different dimensions.

Dimension	Equations	Parameters
Temporal	$RC_{t} = \frac{E_{t,\text{sensi}} - E_{t,\text{base}}}{E_{t,\text{base}}}$ $\sigma_{t} = \sqrt{\frac{\sum_{t}^{n} (RC_{t} - \overline{RC_{t}})^{2}}{n}}$	 t represents timescale, denoting year, month, or ten-day window. E_{t,sensi} and E_{t,base} denote the national total emissions under a specific sensitivity test and Base on corresponding temporal scale t. RC_t and σ_t indicate the RC and its 1σ of national total emissions across temporal scales. The σ_t equals 0.0% when t is the yearly scale.
Sectoral	$RC_{t,s} = \frac{E_{t,s,\text{sensi}} - E_{t,s,\text{base}}}{E_{t,s,\text{base}}}$ $\sigma_s = \sqrt{\frac{\sum_{t}^{n} (RC_s - \overline{RC_s})^2}{n}} \text{(Daily)}$	 s represents sector source. E_{t,s,sensi} and E_{t,s,base} refer to national sectoral emissions under sensitivity test and Base on temporal scale t (annual and daily). RC_{t,s} indicates the RC of national sectoral emissions on a temporal scale t. σ_s indicates 1σ of RC of national sectoral emissions on a daily scale.
Spatial	$RC_{t,p/r} = \frac{E_{t,p/r,\text{sensi}} - E_{t,p/r,\text{base}}}{E_{t,p/r,\text{base}}}$ $\sigma_p = \sqrt{\frac{\sum_{p}^{m} (RC_p - \overline{RC_p})^2}{m}} \text{(Annual)}$ $\sigma_r = \sqrt{\frac{\sum_{t}^{n} (RC_r - \overline{RC_r})^2}{n}} \text{(Daily)}$	 p and r represent province and region (i.e., provincial clusters), respectively. E_{t,p/r,sensi} and E_{t,p/r,base} refer to provincial/regional total emissions under sensitivity test and Base on temporal scale t (annual and daily). RC_{t,p/r} indicates the RC of provincial/regional total emissions on a temporal scale t. σ_p indicates 1σ of RC of annual total emissions on the provincial scale. σ_r indicates 1σ of RC of regional total emissions on a daily scale.

In this context, a condition where 1σ is below 4.0% is deemed as a consistent impact on emission outcomes within certain dimensions (the determination of 4.0% seen in Fig. S3). Conversely, when 1σ exceeds or equals 4.0%, it is indicative of an inconsistent impact. For instance, a daily scale σ_t value of 6.2% in the Res_2×2.5 test (Fig. S4) suggests that the model resolution exerts a temporally inconsistent influence on daily emission estimates, whereas a daily scale $\sigma_t = 0.0\%$ under ef_-10% indicates temporal consistency in its influence. These principles extend to other dimensions (i.e., sectoral and spatial). Factors whose sensitivity tests yield large and inconsistent *RC* across finer time, sector, or region scales tend to introduce high uncertainty and





- 201 become a priority for future optimization. Conversely, small and consistent RC suggests sources with low
- 202 uncertainty and a higher level of robustness in the system to those particular factors.

203 3 Results

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3.1 Overview of the emission responses to sensitivity tests

For a comprehensive understanding of emission sensitivity across various dimensions, we compute the sum of absolute average RC and 1σ (i.e., $|\overline{RC}| + 1\sigma$) to delineate potential most likely uncertainties associated with tested factors across spatial, temporal, and sectoral scales (Fig. 2). The impact of these tests on emissions are comparable between NOx and CO2, except for the NOx EFs tests (first column in Fig. 2), which distinctly influence NO_x and CO₂ emissions. CO₂ emissions display high sensitivity to NO_x EFs across all dimensions compared to NO_x emissions, except in the residential sector where NO_x emissions are more responsive while CO_2 emissions are not. For instance, ef -10% (maximum reduction in NO_x EFs tests) incurs a $|\overline{RC}| + 1\sigma$ of 10.7% in annual national CO₂ emissions, with no corresponding impact on NO_x emissions. The relationship between annual national CO₂ emissions and NO_x EFs exhibits linearity (Fig. S5), remaining within a 4.0% range if NO_x EFs reductions are kept below 4.0% (i.e., ef [-4%, -1%]). In contrast, daily residential emissions show a $|\overline{RC}|$ of only 1.0% in CO₂ but up to 9.1% in NO_x emissions under the ef -10% test. The remaining sensitivity tests, excluding the NO_x EFs, demonstrate comparable influences on both NO_x and CO₂ emissions (all columns except the first one). Among all dimensions examined, the annual national total NO_x and CO_2 emissions emerge as robust results, with a $|\overline{RC}|_{+1\sigma}$ of no more than 4.0% across tests. At a finer temporal scale (i.e., daily basis), the impacts of model resolution, reference year, and satellite constraint on estimated emissions are amplified, with their $|\overline{RC}| + 1\sigma$ tripling compared to the annual scale. This amplification primarily arises from the increased 1σ on the daily scale (Fig. S4), indicating the substantial 221 impact of these factors on daily emission estimates. At a finer spatial scale, provincial emissions are vulnerable to changes in model resolution, reference year, and satellite constraint due to their impacts' inconsistency in space (Fig. S4). Concerning sectoral emissions, industry and power sector emissions exhibit robustness, whereas transport and residential emissions present vulnerabilities to model resolution and dominant sector threshold value, respectively. In the following sections, we elaborate on the impacts of all sensitivity tests on NO_x and CO₂ emissions from temporal, sectoral, and spatial perspectives. To clarify the RC across different dimensions, we adopt RC₁, RC₃, and RC_{p/r} to signify RC in temporal, sectoral, and spatial contexts, respectively.





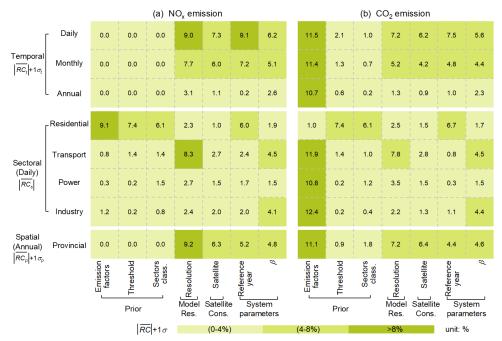


Figure 2. An overview of sensitivity inversion tests' impacts on (a) NO_x and (b) CO₂ emissions. The color blocks in this figure represent the sum of absolute average RC and 1σ (i.e., $|\overline{RC}|+1\sigma$), which reflect the extent of the corresponding tests' impact. Sectoral and provincial results are depicted on an annual scale. The numbers within each grid represent the maximum value of $|\overline{RC}|+1\sigma$ under tests on corresponding factors. For example, the $|\overline{RC}|+1\sigma$ noted in the Emission factors column refers to ef_-10%. It is noteworthy that the sectoral dimensions in this figure display their absolute average RC on the daily scale, with their corresponding 1σ shown separately in Fig. S4.

3.2 Emission sensitivity at different temporal scales

To exclusively examine emission sensitivities in the temporal dimension, this section focuses on the variation of national total emissions in each test. Tests influencing both NO_x and CO₂ emissions exhibit comparable effects, while prior tests exclusively influence CO₂ emissions (Fig. 3). For conciseness, we focus on the RC_t in CO₂ emissions in tests here (discussion on NO_x emissions seen in Text. S1). The average RC_t of national total emissions are comparable across temporal scales with differences below 1% (lines in Fig. 3, Figs. S6-S7). However, the consistency of RC_t weakens from yearly to monthly to daily scales (increased $1\sigma_t$ as shown by the shadow in Fig. 3). To better characterize the extent of tests' impact, the discussion here focuses on the $\overline{RC_t} \pm 1\sigma_t$ on a daily scale, reflecting the magnitude and consistency of the impact concurrently.

At the national total scale, prior tests (ef_[-10%, -1%], thre_40%/60%, and 4 sectors) influence CO₂ emissions consistently over time while leaving NO_x emissions unaffected (Fig. 3). This occurs because these tests only impact sectoral attribution and CO₂-to-NO_x emission ratios. Total NO_x emissions are determined in the top-down process before sectoral attribution, thus remaining unchanged (Fig. S1). However, sector-





251 specific CO₂ emissions, derived from NO_x emissions, are influenced due to the varying CO₂-to-NO_x emission 252 ratios among sectors (Fig. S9). A reduction in NO_x EFs increases rNO_x, thereby increasing the sectoral CO₂-253 to-NO_x emission ratios since CO₂ EFs are assumed to be unchanged (Eq. 4). This results in a linear elevation 254 of CO₂ emissions in tandem with the decreased NO_x EFs (Fig. S5), with CO₂ emission variations reaching up to 10.7%±0.7% under ef -10%. Similarly, modifications in threshold values and sector classification alter 255 the identification of dominant sectors per grid, changing the sectoral attribution. Thre 40%/60% and 256 4_sectors bring about $\overline{RC_t} \pm 1\sigma_t$ of 0.6% $\pm 1.5\%$, -0.2% $\pm 1.7\%$, and 0.2% $\pm 0.8\%$ in CO₂ emissions, respectively, 257 258 demonstrating their least influence on emission estimates. Despite differences in the magnitude of prior tests' impacts ($\overline{RC_t}$), they share a consistency at finer temporal scales, with daily $1\sigma_t$ below 4.0%. 259 Changes in model resolution (Res 2×2.5) introduce the largest variation in estimates among all sensitivity 260 tests, triggering $\overline{RC_i} \pm 1\sigma_i$ of -1.2% ± 6.0 % in daily CO₂ emissions. Its notable inconsistency of impact on the 261 262 finer temporal scale ($1\sigma_i > 4.0\%$) can be traced back to its induced spatiotemporally diverse changes in β 263 (Figs. S8a and S8b). The overall low estimate of β under Res 2×2.5 results in negative RC_{b} , and the uneven 264 spatial distribution of β explains the large $1\sigma_t$. 265 As for the impact of satellite constraint, the systematic changes such as missing value supplementation (Trop fill) or version changes (Trop v2.3) have a larger impact with daily CO2 emission variations of 266 267 1.3%±3.9% and -0.4%±5.9%, while alterations in satellite data quality screening conditions (Trop cf/Trop qa) exert a relatively minor impact on estimates with $\overline{RC_t} \pm 1\sigma_t$ less than 0.5% \pm 1.8%. The 268 spatiotemporal changes in satellite NO₂ retrievals contribute to the inconsistent effects of Trop fill and 269 Trop v2.3 on daily emissions. However, the small $1\sigma_t$ in screening condition tests suggests that the 270 271 uncertainty of satellite retrievals has a minor impact on estimates unless there are systematic changes, possibly because we used the ten-day moving average satellite observation data to constrain emissions. 272 273 Among inversion system parameters tests, the alteration of the reference year (2021 base) exhibits a notable temporally inconsistent impact, with \overline{RC} , $\pm 1\sigma_t$ of -0.6% $\pm 6.9\%$ in daily CO₂ emissions. This inconsistency 274 can be attributed to the spatiotemporally diverse changes in β , similar to the model resolution test (Figs. S8c 275 and S8d). In contrast, changes in β (β [-20%, 20%]) exert a more notable but consistent impact on estimates, 276 277 linearly strengthening as the tested amplitude increases (Fig. S5), with β 20% triggering variations of 278 2.6% $\pm 3.0\%$ in CO₂ emissions. The spatiotemporally uniform changes in β act linearly on the inversion 279 estimate of NO_x emissions (Eq. 1), and then on CO₂ emissions. Therefore, their impact remains consistent on 280 a daily scale.





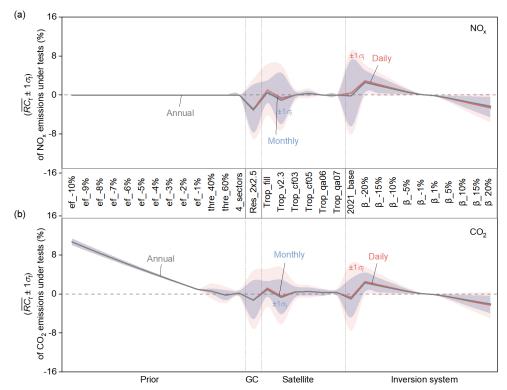


Figure 3. Comparison of the impacts of various tests on national total (a) NO_x and (b) CO_2 emissions at different time scales. Gray lines correspond to the RC_t in annual emissions. Blue lines depict the average RC_t in monthly emissions, with the blue shadow indicating monthly scale $1\sigma_t$. Red lines illustrate the average RC_t in daily emissions, accompanied by the red shadow indicating daily scale $1\sigma_t$.

3.3 Emission sensitivity across source sectors

Regarding daily national sectoral NO_x and CO₂ emissions, their responses to different sensitivity tests, in terms of both emission magnitude and consistency ($\overline{RC_s} \pm 1\sigma_s$), are largely similar, except for NO_x EFs tests (ef_[-10%, -1%]) (Fig. 4). Therefore, we primarily discuss the impacts of tests on sectoral emissions using CO₂ as a representative (refer to Text. S2 for discussion on sectoral NO_x emission), and then delve into elucidating the divergent impact of NO_x EFs on sectoral NO_x and CO₂ emissions.

Irrespective of NO_x emission factor changes (ef_[-10%, -1%]), industrial and power emissions exhibit greater robustness than transport and residential emissions, which are more susceptible to different configurations. Specifically, residential emissions demonstrate the highest susceptibility to reference year, showing $\overline{RC_s}\pm 1\sigma_s$ of up to -6.7% $\pm 7.3\%$ in CO₂ emissions in 2021_base test, and exclusively display notable sensitivity to prior tests (4_sectors and thre_40%/60%) compared to other sectors (Fig. 4). In contrast, transport emissions are notably influenced by model resolution, with Res_2×2.5 incurring CO₂ emission variations of -7.8% $\pm 12.2\%$. Among all sensitivity tests, the model resolution stands out as the most influential





299 factor on sectoral emissions, because the resolution of grid cells affects the determination of the dominant 300 source sector. 301 The overall largest sensitivity of residential emissions to sensitivity tests is potentially attributed to its low proportion to total emissions (Fig. S10). Take thre 40%/60% as an example, lowering the threshold from 50% 302 303 to 40% results in identifying more grids as residential source dominant. This, in turn, leads to an increase in 304 residential emission proportions when allocating the total TROPOMI-constrained NO_x emissions into sectors 305 and subsequently CO2 emissions. Conversely, fewer grids are assigned as residential-dominant when the 306 threshold rises from 50% to 60%, resulting in lower residential emissions (Fig. S11). The next sensitive sector 307 is transport, particularly vulnerable to mode resolution, which may be associated with its characteristics in spatial distribution. Transport-dominant grids, particularly those with truck emissions, are typically located 308 309 close to industry-dominant grids whose NO_x emissions outweigh those from the transport (Zheng et al., 2020). 310 The use of a coarser horizontal resolution could result in a diminished attribution of emissions to transport. The reduction in NO_x EFs (ef [-10%, -1%]) is the only test impacting sectoral NO_x and CO₂ emissions 311 differently. For NO_x emissions, the residential sector shows the strongest sensitivity with $\overline{RC_x} \pm 1\sigma_x$ of up to 312 313 -9.1%±4.5% under ef -10%. However, its influence on CO₂ emissions is most pronounced in all sectors except residential, with variations of 12.4%±1.1% in CO₂ emissions from industry, 11.9%±1.9% from 314 315 transport, 10.8%±1.2% from power, but only 1.0%±4.9% from residential sectors under ef -10%. The 316 reduction in NO_x EFs shifts the dominant sector attribution, substantially lowering NO_x emissions from the residential sector due to its vulnerability to these changes, similar to the impact seen with the thre 60%. The 317 other sectoral (industry, transport, and power) CO₂ emissions present stronger sensitivity to NO_x EFs tests, 318 319 linearly correlated with the extent of EFs changes. The decline in sectoral NO_x EFs linearly reduces rNO_x 320 (Eq. 4), raising the corresponding CO₂ emissions by increasing sectoral CO₂-to-NO_x emission ratios.



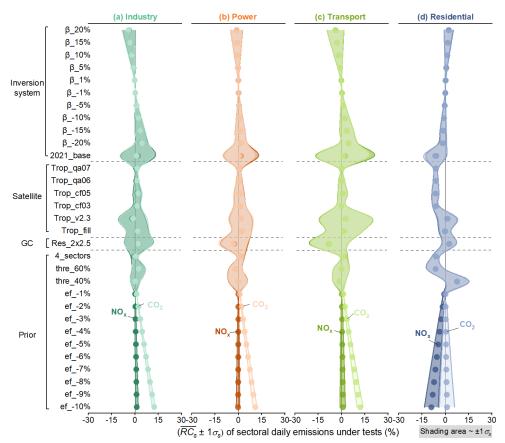


Figure 4. Response of sectoral national NO_x and CO₂ emissions to different sensivity tests on a daily scale. From left to right, the panels correspond to the (a) industry, (b) power, (c) transport, and (d) residential source sectors, as the label notes. The dots inside each figure are the average RC_3 of daily NO_x (deep color) and CO₂ (light color) emissions incurred by corresponding tests. The shading area indicates the $1\sigma_3$ of RC_3 of daily sectoral emissions in different tests.

3.4 Emission sensitivity at subnational scales

Refining spatial coverage from national to subnational level (i.e., province) reveals that factors causing inconsistent impacts over finer time scales also tend to induce inconsistent impacts on more granular spatial regions (Fig. 5). On the annual total scales, the RC_p of NO_x and CO₂ emissions at the provincial scale closely resemble each other under most sensitivity tests, except for prior tests that only influence CO₂ emissions (Fig. S13). When comparing across provinces, the sensitivity of emissions to tests correlates with the size of the provincial area, with smaller regions exhibiting greater susceptibility. Shanghai, the smallest provincial-level administrative unit in China in terms of area, experiences the largest RC_p throughout China in nearly all tests. Conversely, Inner Mongolia, one of China's top three largest provinces, undergoes the minimum RC_p in all tests. Under Res_2×2.5, the RC_p of annual total NO_x and CO₂ emissions in Shanghai are 19.6% and 22.6%, respectively, while in Inner Mongolia, they are -3.2% and -3.3%. Employing a resolution of 2° ×2.5° in





Shanghai is impractical in real-world applications, as it would result in fewer than two grids covering the area. Henan also encounters substantial RC_p under Res_2×2.5, reaching as high as -15.8% and -12.4% in annual total NO_x and CO₂ emissions. This could be attributed to its proximity to Shandong, a province with approximately twice the emissions of Henan, making Henan particularly sensitive to the changes in model resolution due to the overlapping grid cells. It is noteworthy that Guizhou exhibits the highest sensitivity to satellite constraint, with RC_p reaching up to 11.9% and 11.8% in annual total NO_x and CO₂ emissions under Trop_v2.3. This sensitivity is attributed to the high cloudiness of the Yunnan-Guizhou Plateau, causing satellite observations to be highly uncertain over Guizhou (Wang et al., 2023; Li et al., 2021; Cai et al., 2022).

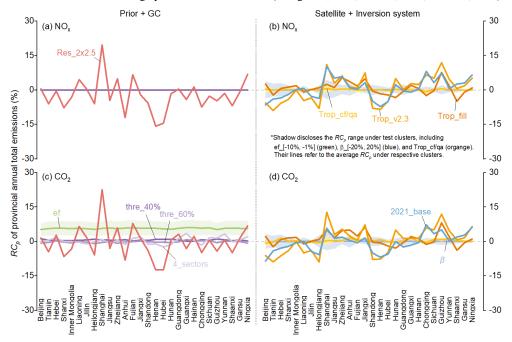


Figure 5. Response of provincial annual total NO_x and CO₂ emissions to different tests. (a) and (b) show RC_p of NO_x emissions incurred by tests. (c) and (d) are plotted for CO₂ emission as (a) and (b). Lines refer to the RC_p caused by the corresponding test or the averaged RC_p caused by corresponding test clusters (ef_[-10%, -1%] and β _[-20, 20%]), and the shadow refers to the RC_p range in test clusters. Only provinces with enough TROPOMI observations are shown here (i.e., grids with NO₂ TVCDs larger than 1×10^{15} molecules/cm² cover more than 90% of anthropogenic NO_x emissions within provinces).

To further investigate the daily total emission response ($\overline{RC_r}\pm 1\sigma_r$) to tests at the regional scale, we select and analyze Jing-Jin-Ji clusters (JJJ, including Beijing, Tianjin, and Hebei), Inner Mongolia, Yangtze River Delta clusters (YRD, including Shanghai, Zhejiang, and Jiangsu), and Guangdong (the location of the Pearl River Delta). These regions respectively represent an industrialized region with high population density, an industrialized region with sparse population density, and two major economic development zones with high population density in China (Fig. 6). Geographically, these regions span North China (JJJ and Inner Mongolia), East China (YRD), and South China (Guangdong), thereby covering different meteorological and



geographic factors. Overall, the $\overline{RC_r}\pm 1\sigma_r$ of daily regional emissions are similar for NO_x and CO₂ except for ef_[-10%, -1%], resembling their daily national emission responses (Fig. 3). The $\overline{RC_r}\pm 1\sigma_r$ of daily regional emissions is especially notable in YRD and Guangdong (southern part of China). This could be attributed to the relatively low NO₂ concentration in southern China (Fig. S2), making them particularly sensitive to spatial variations in parameters, such as the β in 2021_base (Fig. S8) and NO₂ TVCDs in Trop_v2.3 test. Besides, the cloud fraction is higher in southern China, introducing larger uncertainties in remote sensing (Liu et al., 2019; Latsch et al., 2022). The emission responses to prior and β _[-20%, 20%] tests are close for these four regions, particularly in the prior tests, suggesting that these impacts on emissions are less dependent on geographic factors.

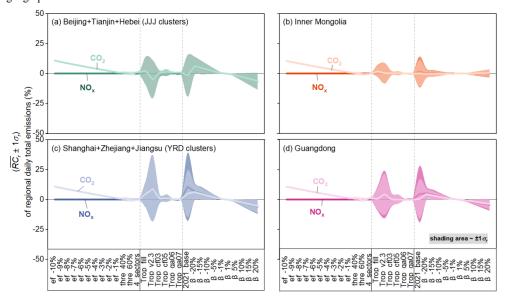


Figure 6. Response of regional total NO_x and CO₂ emissions to tests on a daily scale. (a), (b), (c), and (d) show the $\overline{RC_r} \pm 1\sigma_r$ of daily NO_x (deep color) and CO₂ (light color) emissions in different tests in Jing-Jin-Ji clusters (Beijing, Tianjin, and Hebei), Inner Mongolia, Yangtze River Delta clusters (Shanghai, Zhejiang, and Jiangsu), and Guangdong. The shading area inside each figure refers to the corresponding $1\sigma_r$. It is worth noting that the Res_2×2.5 test is not shown here since the resolution of $2^{\circ}\times 2.5^{\circ}$ proves too coarse for certain regions, rendering it unrealistic for real-world applications. The result containing Res_2×2.5 is present in SI as Fig. S14 for reference.

4 Discussion

This study delineates an approximate spectrum of uncertainties inherent in deriving conclusions of varying precision with our air pollution satellite sensor-based CO₂ emission inversion system. When interpreting conclusions based on the emission data derived from such an inversion system, it is practical and imperative to aggregate emissions across different dimensions to fulfill specific usage requirements. Direct utilization of data with all fine-grained resolutions at temporal, sectoral, and spatial dimensions poses challenges. If



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adhering to a variation tolerance of 5%, the reliability of annual national NO_x and CO₂ emissions is established in most cases. Notably, careful attention is needed when selecting model resolution and attributing sectoral emissions. Expanding the tolerance to 10%, which is still below the conventional bottom-up method's uncertainty range of 13%-37% (Zhao et al., 2011; Huo et al., 2022), renders annual regional or daily national emissions robust from an average perspective. Nevertheless, meticulous scrutiny is advised when drawing conclusions based on daily sectoral or daily regional emissions, especially in specific regions (e.g., Shanghai, Guizhou). The large uncertainty of daily sectoral emission is typically observed in other emission datasets, such as Carbon Monitor (up to 40% uncertainty) (Liu et al., 2020c; Huo et al., 2022). Further liberalizing the tolerance to 25%, which is quite uncertain for scientific and policy-making purposes, the majority of conclusions derived from our estimates stand as reliable. The extensive tolerance range primarily stems from regional emissions, posing a challenging issue for many emission inversion techniques. For example, the uncertainty in NO_x emissions derived from the 2D MISATEAM (chemical transport Model-Independent SATellite-derived Emission estimation Algorithm for Mixed-sources) method is approximately 20% for large and mid-size US cities (Liu et al., 2023), and the uncertainty for daily NOx and CO2 emissions based on the superposition model ranges from 37% to 48% on a city scale (Zhang et al., 2023). This study paves the way for the continuous improvement of the current air pollution satellite sensor-based CO₂ emission inversion system. Firstly, prioritizing a nimble and appropriate horizontal resolution is crucial for establishing accurate localized relationships between NO₂ TVCDs and NO_x emissions, contributing to improved NO_x and CO₂ emission estimations from temporal, sectoral, and spatial perspectives. Secondly, the more accurate satellite observation is conducive to reducing the uncertainty in final results, presenting increasing promise with advancements in remote sensing technology. Besides, the progress in multi-species synchronous observations through satellite and aircraft platforms offers alternative verification for multispecies emission inversion, such as the Copernicus Anthropogenic Carbon Dioxide Monitoring constellation (CO2M) (Sierk et al., 2021). Thirdly, the reliability of sectoral NO_x EFs changes, which determine CO₂-to-NO_x emission ratios, is essential for the accurate conversion from NO_x to CO₂ emissions. This underscores the need to acquire more accurate NO_x EFs. While obtaining on-site measurements of CO₂-to-NO_x emission ratios is challenging, efforts are underway to enhance its configuration. An iterative modification of NO_x EFs within the current system could be incorporated, minimizing the gap between bottom-up updated and TROPOMI-constrained sectoral NO_x emissions to below 2%. This approach yields more accurate CO₂-to-NO_x emission ratios and CO₂ emissions (Fig. S15). The optimized CO₂ emission change from 2021 to 2022 is +0.6%, reflecting a more precise representation of the growth in fossil fuel consumption (+1.9%). Fourthly, utilizing a more refined approach to determine dominant sectors at a grid level can reduce the uncertainty of small-contributing sectoral emissions, particularly in the residential sector. These enhancements will improve the system's accuracy in estimating emissions across all dimensions, positioning it as a valuable tool for simultaneous inversion-based monitoring of greenhouse gas and air pollutants emissions, ultimately

418 419 supporting a strategic roadmap for the vision of clean air and climate warming mitigation.

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420 Code and data availability. The source code of the GEOS-Chem model is available at 421 https://geoschem.github.io/. The prior NOx and CO2 emissions of 2019 MEIC (v1.4) are available at http://meicmodel.org.cn/?page_id=541&lang=en. The v2.4.0 TROPOMI NO2 column concentrations are 422 publicly available at https://www.temis.nl/airpollution/no2col/no2regio_tropomi.php. The activity level data 423 424 of China from 2019 to 2022 including the industrial production of cement, iron, thermal electricity, etc., are available at https://data.stats.gov.cn/english/easyquery.htm?cn=C01. 425 426 Supplement. The supplement related to this article is available online. Author Contributions. Bo Zheng designed the research and led the analysis. Hui Li performed the simulation, 427 analyzed the data, and created the graphs. Bo Zheng, Jiaxin Qiu, and Hui Li wrote the manuscript. 428 429 Competing interests. The authors declare that they have no conflict of interest. Acknowledgements. The authors thank the editor and the anonymous referees for helpful comments that have 430 431 improved the paper. Financial support. This work was supported by the National Natural Science Foundation of China (Grant No. 432 433 42375096).





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