

# 1 Substantial root-zone water storage capacity observed by GRACE 2 and GRACE/FO

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11 **Abstract.** Root-zone water storage capacity ( $S_r$ ) - the maximum water volume available for vegetation uptake - bolsters  
12 ecosystem resilience to droughts and heat waves, influences land-atmosphere exchange, and controls runoff and groundwater  
13 recharge. In land models,  $S_r$  serves as a critical parameter to simulate water availability for vegetation and its impact on  
14 processes like transpiration and soil moisture dynamics. However,  $S_r$  is difficult to measure, especially at large spatial scales,  
15 hindering an accurate understanding of many biophysical processes, such as photosynthesis, evapotranspiration, tree mortality,  
16 and wildfire risk. Here, we present a global estimate of  $S_r$  using measurements of total water storage (TWS) anomalies from  
17 the Gravity Recovery and Climate Experiment (GRACE) and GRACE Follow-On satellite missions. We find that the median  
18  $S_r$  value for global vegetated regions is at least  $220 \pm 40$  mm, which is over 50% larger than the latest estimate derived from  
19 tracking storage change via water fluxes, and 380% larger than that calculated using a typical soil and rooting depth  
20 parameterization. These findings reveal that plant-available water stores exceed the storage capacity of 2-meter-deep soil in  
21 nearly half of Earth's vegetated surface, representing a notably larger extent than previous estimates. Applying our  $S_r$  estimates  
22 in a global hydrological model improves evapotranspiration simulations compared to other  $S_r$  estimates across much of the  
23 globe, particularly during droughts, highlighting the robustness of our approach. Our study highlights the importance of  
24 continued refinement and validation of  $S_r$  estimates and provides a new observational approach for further exploring the  
25 impacts of  $S_r$  on water resource management and ecosystem sustainability.

## 26 1 Introduction

27 During periods of insufficient precipitation, vegetation relies on water stored underground to survive (Miguez-Macho  
28 and Fan, 2021). The larger the root-zone water storage capacity ( $S_r$ ), the more water the root zone can store during wet periods  
29 for use in droughts (Teuling et al., 2006).  $S_r$ , therefore, plays an important role in regulating ecosystem resilience to droughts  
30 and heat waves and affecting wildfire outbreaks and mortality risk (Callahan et al., 2022; Chen et al., 2013; Goulden and Bales,  
31 2019; Hahm et al., 2019; Humphrey et al., 2018; Stocker et al., 2023). It is also an essential parameter for modelling plant

32 carbon uptake, transpiration, soil evaporation, streamflow, and groundwater (Maxwell and Condon, 2016; Zhao et al., 2022;  
33 Peterson et al., 2021). Despite its critical role in modulating the carbon and water cycles, global patterns of  $S_r$  remain poorly  
34 characterized.

35 The  $S_r$  is typically calculated as the integration of plant rooting depth and soil texture-dependent water-holding  
36 capacity (Seneviratne et al., 2010; Vereecken et al., 2022; Speich et al., 2018; Federer et al., 2003). However, this approach  
37 (hereafter referred to as the rooting depth-based estimation) suffers from uncertainties associated with plant rooting depth and  
38 substrate hydraulic properties, particularly at depth, both of which undermine the accuracy of the calculated  $S_r$  (Vereecken et  
39 al., 2022; Novick et al., 2022). Moreover, this approach assumes a static root zone confined to the near surface unsaturated  
40 soil layer. However, recent studies have shown that this assumption is not always accurate. In many ecosystems, plant roots  
41 can penetrate beyond the shallow soil layer into weathered bedrock, accessing rock moisture and tapping into groundwater,  
42 especially during prolonged dry periods (Li et al., 2015; Hahm et al., 2020; McCormick et al., 2021; Rempe and Dietrich,  
43 2018; Maxwell and Condon, 2016; Fan et al., 2017; Baldocchi et al., 2021). Thus, the rooting depth-based estimation may  
44 significantly underestimate  $S_r$ .

45 More recently, Earth observations of precipitation (P) and evapotranspiration (ET) have been used to estimate  $S_r$ .  
46 Several studies (Stocker et al., 2023; Wang-Erlandsson et al., 2016; Gao et al., 2014; McCormick et al., 2021) have proxied  $S_r$   
47 using the maximum cumulative difference in ET and P during dry periods (when  $ET > P$ ), which reflects the largest water  
48 volume that an ecosystem has withdrawn from its root zone. This method (hereafter referred to as the water deficit-based  
49 estimation) is based on mass balance and thus eliminates the need for assumptions about plant access to rock moisture and  
50 groundwater, rooting depth, and soil and bedrock hydraulics. However, obtaining accurate P and ET data is challenging at  
51 scale (Sun et al., 2018; Miralles et al., 2016), and errors in these data can accumulate and deteriorate  $S_r$  calculations. Here, to  
52 avoid this shortcoming, we estimated root-zone storage dynamics directly from total water storage (TWS) anomalies measured  
53 by the Gravity Recovery and Climate Experiment (GRACE) and GRACE Follow-On (GRACE-FO) satellite missions  
54 (hereafter GRACE/FO). With these observations, we characterized global patterns of  $S_r$  and found that both the rooting depth-  
55 based estimate and the water deficit-based estimate have significantly underestimated  $S_r$ .

## 56 **2 Materials and methods**

### 57 **2.1 GRACE/FO TWS**

58 We use monthly measurements of the TWS anomaly from GRACE for the years 2002-2017 and from GRACE-FO  
59 for the years 2018-2022. These measurements were obtained from the Jet Propulsion Laboratory (JPL) RL06 solutions  
60 (Watkins et al., 2015; Wiese et al., 2016), which provide monthly average anomalies of the gravity field over an equal-area  
61  $3^\circ \times 3^\circ$  mass concentration block (mascon). We opted for the JPL mascon solutions because each JPL mascon is relatively  
62 uncorrelated with neighbouring mascons and thus offers more localized spatial variations than other mascon solutions and the  
63 spherical harmonic solutions (Watkins et al., 2015; Wiese et al., 2016). We did not fill the 11-month gap (July 2017 to May

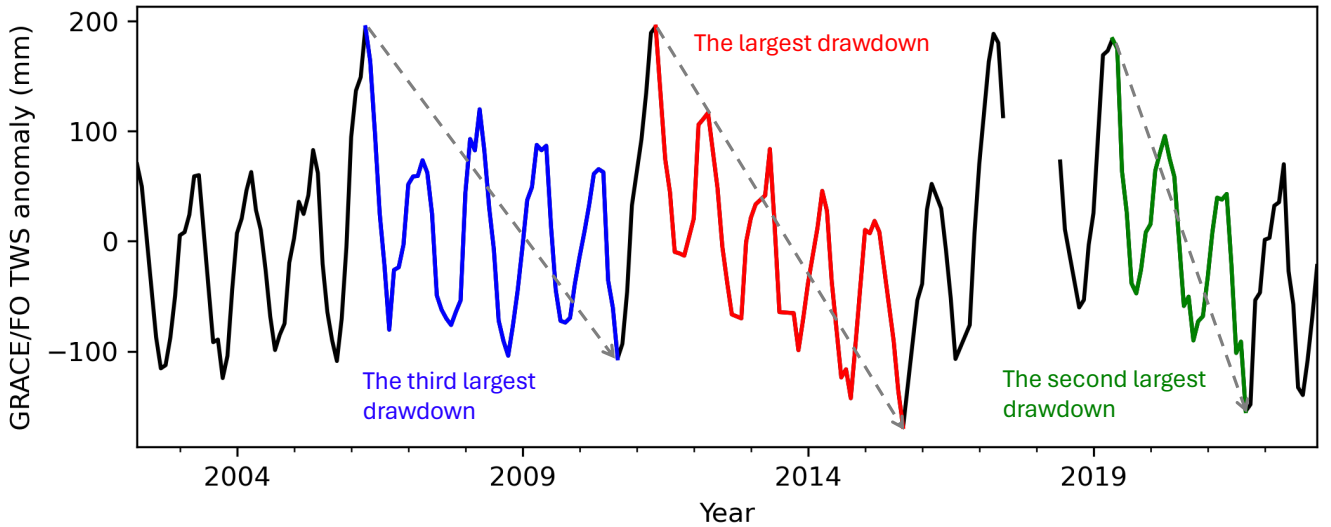
2018) between GRACE and GRACE-FO. However, we linearly interpolated other missing months from the nearest previous and subsequent non-missing values (Rodell et al., 2018; Zhao et al., 2021). Because we aimed to estimate root-zone storage capacity  $S_r$ , we only included mascon locations with over 50% fractional vegetation cover based on the land cover product (MCD12Q1) version 6.1 from the Moderate Resolution Imaging Spectroradiometer (MODIS) (Sulla-Menashe and Friedl, 2018).

## 2.2 $S_r$ from TWS drawdown and uncertainty estimate

Ecosystem use of land water storage for ET is reflected in TWS drawdowns, consecutive declines in TWS despite seasonal or intermittent recharge and after accounting for long term trend due to anthropogenic groundwater use. An example is illustrated in Fig. 1 at a mascon location in southern Idaho, where the largest TWS drawdowns are annotated. From the water balance, a TWS drawdown over a time-period  $\Delta t$  is equal to:

$$\Delta TWS = \sum P - \sum ET - \sum R \quad (1)$$

where  $\sum P$ ,  $\sum ET$ , and  $\sum R$  are the total precipitation, total evapotranspiration, and net runoff out of the mascon over  $\Delta t$ , respectively. Based on eq (1), when precipitation exceeds runoff ( $\sum P - \sum R > 0$ ), any TWS drawdown (or negative  $\Delta TWS$ ) must be influenced by a change in storage due to ET. To determine if precipitation exceeds runoff during GRACE/FO-observed TWS drawdowns, we compared R estimates from a multi-forcing observation-based global runoff reanalysis (Ghiggi et al., 2021) to P estimates from the Global Precipitation Climatology Project (Gebremichael et al., 2003). We found that in nearly all analysed mascon locations, the average  $P - R$  is positive during at least the five largest TWS drawdowns (Fig. A1), confirming these TWS drawdowns reflect root-zone water storage transpired by ecosystems and not loss of water in the mascon due to runoff.



**Figure 1.** TWS time series showing the three largest drawdowns at a mascon location in southern Idaho.

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We estimated root-zone water storage capacity  $S_r$  to be the largest TWS drawdown during the record period of GRACE/FO (denoted as  $S_r^{GRACE/FO}$ ). To avoid overestimating  $S_r$ , we removed the impact of groundwater pumping, snow, and surface water on TWS drawdowns. Anthropogenic groundwater use often manifests as a negative long-term trend in the TWS time series (Rodell et al., 2018; Rodell et al., 2009; Feng et al., 2013). For example, regions showing significant TWS decreasing trends largely coincide with well-known groundwater irrigation areas identified in AQUASTAT data (Fig. A2). To avoid conflating this drawdown with  $S_r$ , we first calculated the TWS trend by simultaneously fitting an annual and a semi-annual signal, a linear trend, and a constant to the GRACE/FO time series (Fig. A2). Then, we assumed any negative trend was attributable to groundwater pumping and removed the negative trend from the original GRACE/FO time series before calculating the TWS drawdowns. In high-latitude and mountainous regions, the maximum TWS anomaly during drawdowns may include snow. To avoid attributing snow storage to root-zone water storage, we first determined the largest drawdown from the full GRACE/FO time series and then calculated  $S_r$  using the maximum and minimum TWS anomaly with a monthly mean air temperature above 5°C. We obtained air temperature data from the fifth-generation European Centre for Medium-Range Weather Forecasts atmospheric reanalysis of the global climate (ERA5) (Hersbach et al., 2020). Following Wang et al. (2023a), we used total runoff from Ghiggi et al. (2021), which includes both surface runoff and subsurface runoff, as a proxy for surface water storage change (i.e.,  $\Delta SW = R$ ) and removed it from TWS drawdowns to isolate  $\Delta SW$  contributions (water stored in rivers, lakes, and reservoirs) to the GRACE/FO signal. This approach assumes that (1)  $R$  directly contributes to an increase in surface water levels within the drainage network, and (2) it takes approximately one month for  $R$  to exit the drainage system, aligning with the monthly time step of GRACE/FO data. Note that total runoff from Ghiggi et al. (2021) stopped in 2019, and we used monthly climatology values between 2002 and 2019 to extend the data to 2022 and align with the GRACE/FO record length. Other contributions to TWS drawdowns, such as changes in water intercepted by leaf and branch surfaces and internal plant water storage, are too small to be detected by GRACE/FO (Rodell et al., 2005) and unlikely to significantly affect our estimates. Our method also implicitly includes moisture stored in the topsoil for soil evaporation (Stoy et al., 2019). However, the contribution of soil evaporation to ET decreases quickly as TWS draws down (Stocker et al., 2023), and we expect that the magnitude of the largest drawdown will be determined by root-zone depletion magnitude reflected at the end of the drawdown.

We calculated the random error of  $S_r^{GRACE/FO}$  by adding errors of the two GRACE/FO measurements and the uncertainty of groundwater pumping and surface water signals in quadrature. To calculate the GRACE/FO measurement error, we used the formal error product provided by the JPL mascon solutions (Watkins et al., 2015; Wiese et al., 2016). For the uncertainty of groundwater pumping and surface water signals, we assumed a  $\pm 50\%$  error on the magnitude of our calculated signals, following Zhao et al. (2021). This assumption implies that the uncertainty range is equal to the signals themselves, leading to a likely conservative error estimate.



## 116 2.3 Comparison to other $S_r$ estimates

117 We compared our  $S_r^{GRACE/FO}$  estimate to two other  $S_r$  datasets. These datasets represent the typical rooting depth  $\times$  soil  
118 texture-dependent water holding capacity approach (referred to as  $S_r^{RD \times WHC}$ ) and the water deficit accumulation approach  
119 (referred to as  $S_r^{accum}$ ). We chose the  $S_r^{accum}$  estimate from Stocker et al. (2023) because it used the latest Earth observation-  
120 constrained estimates of precipitation and evapotranspiration. We used their “SCWD<sub>X80</sub>” product which was estimated based on  
121 cumulative water deficit extremes occurring with a return period of 80 years. We calculated  $S_r^{RD \times WHC}$  using existing datasets  
122 on rooting depths and soil texture. The  $RD \times WHC$  approach requires knowing effective rooting depths (Federer et al., 2003;  
123 Speich et al., 2018; Stocker et al., 2023; Bachofen et al., 2024). We obtained effective rooting depths from Yang et al. (2016),  
124 who derived them using an analytical model that balances the marginal carbon cost and benefits of deeper roots. While such  
125 model-based datasets are valuable for providing comprehensive coverage and insights into complex processes, they do not  
126 incorporate direct observational data for validation or correction. Soil water holding capacity, defined as the difference between  
127 field capacity and permanent wilting point, is calculated based on soil texture information from the Harmonized World Soil  
128 Database version 1.2 (Wieder et al., 2014) and pedo-transfer functions based on Balland et al. (2008). The Harmonized World  
129 Soil Database provides information for depths of 0-0.3 m and 0.3-1 m. For depths greater than 1 m, we assume texture values  
130 from the 0.3-1 m depth following Stocker et al. (2023). For consistency, we spatially averaged both  $S_r^{accum}$  and  $S_r^{RD \times WHC}$   
131 estimates to match the GRACE/FO spatial scale ( $3^\circ \times 3^\circ$ ).

## 132 2.4 Evaluation using the USGS monthly hydrologic model

133 Validating large-scale  $S_r$  remains inherently difficult because direct measurement of  $S_r$  is challenging. Previous studies  
134 have primarily employed two indirect methods: comparison to measured rooting depths and hydrological modelling. Stocker  
135 et al. (2023) converted their deficit-based  $S_r$  estimates ( $\sim 5$  km resolution) into rooting depths using soil texture and water-  
136 holding capacity parameters and then compared them to field rooting depth measurements aggregated at biome levels to  
137 mitigate the scale mismatch. However, this approach is not suitable for our study as GRACE/FO-derived  $S_r$  ( $\sim 300$  km)  
138 encompasses multiple biome types within the effective resolution of GRACE/FO data, making biome-level aggregation less  
139 meaningful. Additionally, the rooting depth method overlooks groundwater and rock moisture contributions to  $S_r$ , which  
140 Stocker et al. (2023) found to be significant in over half of their root measurement sites. This omission will likely become  
141 more critical at the spatial scale of GRACE/FO, which averages larger areas and includes more diverse biome types. These  
142 factors make the rooting depth comparison unsuitable for evaluating GRACE/FO-derived  $S_r$ . Wang-Erlandsson et al. (2016)  
143 used deficit-based  $S_r$  estimates in a simple hydrological model and assessed improvements in simulating hydrologic time series.  
144 While this approach better aligns with the scale of GRACE/FO, it is constrained by the limited availability of high-quality  
145 global hydrologic data. This can lead to a circular use of the same data for both  $S_r$  estimation and model evaluation, as seen  
146 when Wang-Erlandsson et al. (2016) used satellite-based ET data for both  $S_r$  estimation and model evaluation, reducing the

147 independence of the validation process. We also noted that existing gridded ET products generally have assumed ecosystem  
148 responses to water stress in their algorithms and are thus highly uncertain (Miralles et al., 2016).

149 To address these challenges, we evaluated the relative accuracy of  $S_r^{GRACE/FO}$ ,  $S_r^{accum}$  and  $S_r^{RD \times WHC}$  by separately  
150 parameterizing a hydrological model with each estimate, referred to as  $HydroModel(S_r^{GRACE/FO})$ ,  $HydroModel(S_r^{accum})$ , and  
151  $HydroModel(S_r^{RD \times WHC})$ . We then assessed their accuracy in simulating ET using an independent dataset: version 4.1 of the  
152 Global Land Evaporation Amsterdam Model (GLEAM) ET (Miralles et al., 2024). This dataset was not involved in the  
153 calculation of  $S_r^{GRACE/FO}$ ,  $S_r^{accum}$ , or  $S_r^{RD \times WHC}$ , ensuring independence and avoiding circular validation that affected previous  
154 studies (e.g., Wang-Erlandsson et al., 2016). Furthermore, the GLEAM ET product provides several key improvements over  
155 other gridded ET products. For example, it combines hybrid learning from eddy-covariance and sap flow to better capture  
156 vegetation response to drought (Koppa et al., 2022) and explicitly accounts for plant access to groundwater (Hulsman et al.,  
157 2023). The atmospheric forcing data and model parameters were identical across simulations, with  $S_r$  being the only variable  
158 parameter. Therefore, differences in model performance reflect the relative accuracy of the three  $S_r$  estimates. A monthly  
159 hydrologic model developed by the United States Geological Survey (USGS) (McCabe and Markstrom, 2007) was used due  
160 to its simplicity and transparency about physical processes. Specifically, the model relies on a straightforward specification of  
161  $S_r$  as a “water bucket” depth rather than indirectly through prescribed rooting depth, soil texture, and pedo-transfer functions  
162 across the profile. This allows us to parameterize the model directly with  $S_r^{GRACE/FO}$ ,  $S_r^{accum}$ , and  $S_r^{RD \times WHC}$ . The USGS model  
163 was run at each GRACE mascon location with air temperature forcing from ERA5 and precipitation forcing from GPCP. We  
164 used climate forcing from 1993 to 2001 to spin up the model and performed water cycle simulations for the study period from  
165 2002 to 2022. No calibrations were carried out.

166 To mitigate the impact of possible biases embedded in GLEAM ET, the forcing data, and those caused by model  
167 uncertainty (as the USGS model is uncalibrated), we used standardized ET anomalies (i.e., Z-scores) as the target of validation  
168 and focused on assessing whether  $S_r$  improves the temporal dynamics of ET simulations (i.e., seasonal and interannual  
169 variations) rather than the absolute values of ET. The Nash-Sutcliffe model efficiency (NSE) coefficient was used to assess  
170 the predictive skill of each USGS hydrologic model, which is defined as:

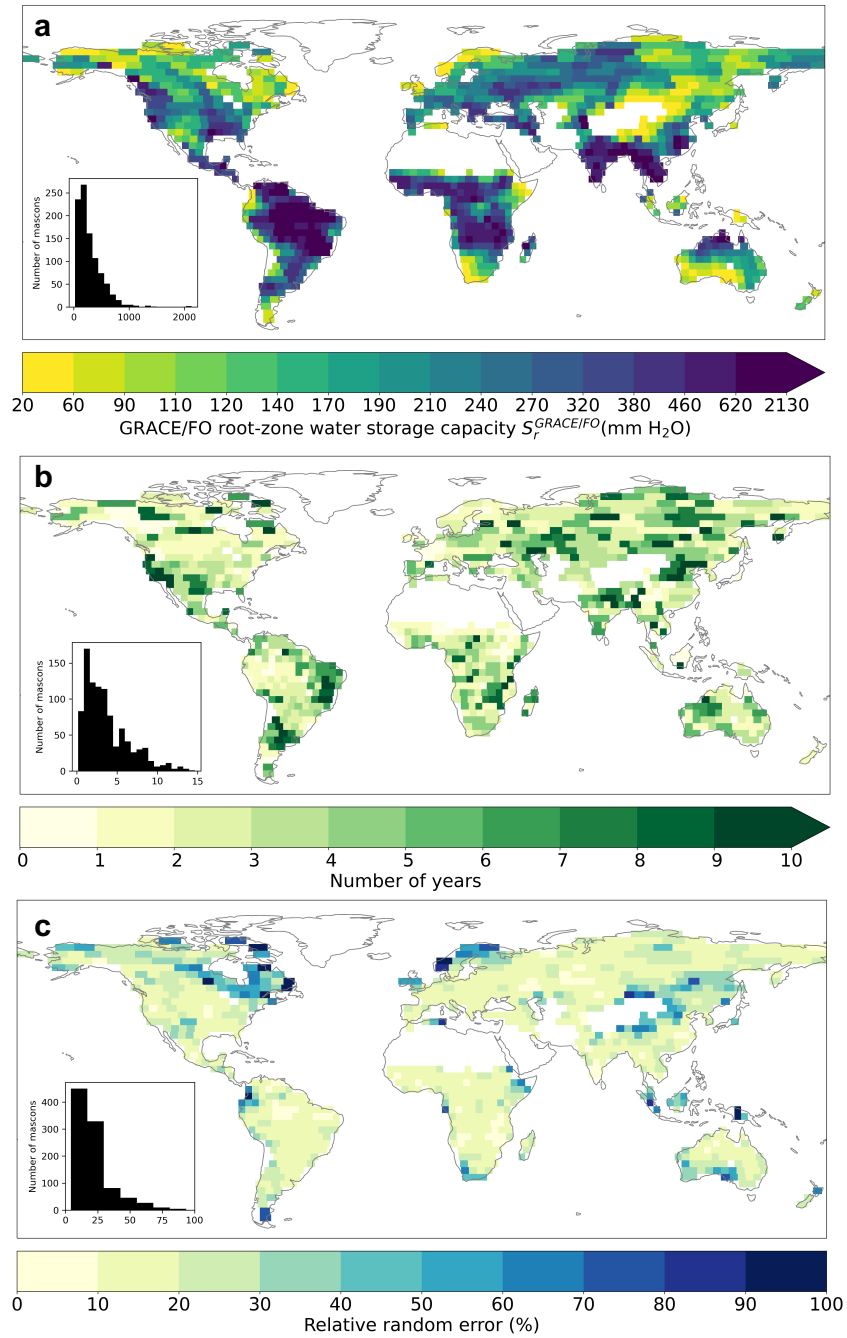
$$NSE = 1 - \frac{\sum_{t=1}^T (X_o^t - X_m^t)^2}{\sum_{t=1}^T (X_o^t - \bar{X}_o)^2} \quad (2)$$

171 where  $X$  represents the standardized ET anomaly,  $\bar{X}_o$  is the mean of observed  $X$ , and  $X_o^t$  and  $X_m^t$  are observed and modeled  $X$   
172 at time  $t$ , respectively (Nash and Sutcliffe, 1970). An NSE value closer to 1 indicates a better model performance in simulating  
173  $X$ , while an NSE value less than 0 indicates that the mean observed value is a better predictor than the simulated value,  
174 suggesting an unsatisfactory model performance (Nash and Sutcliffe, 1970). If  $HydroModel(S_r^{GRACE/FO})$ ,  $HydroModel(S_r^{accum})$ ,  
175 and  $HydroModel(S_r^{RD \times WHC})$  all yield negative NSE values, the efficacy of using the USGS hydrologic model to evaluate the  
176 relative accuracy of the three  $S_r$  estimates is compromised.

## 177 3 Results

### 178 3.1 $S_r$ from GRACE/FO ( $S_r^{GRACE/FO}$ )

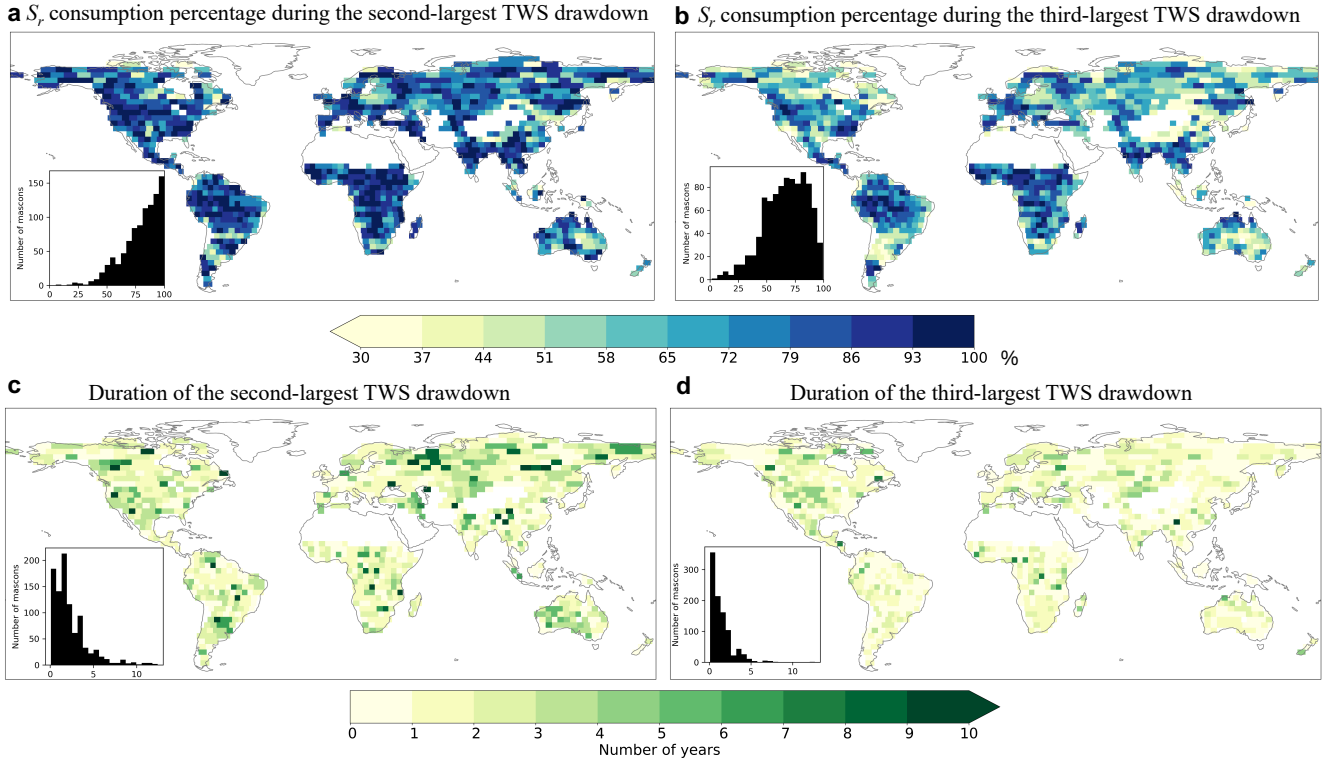
179 We find a substantial root-zone water storage capacity worldwide. Across the global vegetated domain,  $S_r^{GRACE/FO}$  (or  
180 the largest TWS drawdown) spans from 22 to 2131 mm (Fig. 2a). The distribution of  $S_r^{GRACE/FO}$  is positively skewed, with a  
181 median value of 221 mm (129 - 389 mm interquartile range; note that values in parentheses hereafter always refer to the  
182 interquartile range). Larger  $S_r^{GRACE/FO}$  are associated with densely vegetated regions like the tropical rainforests, the  
183 Southeastern U.S., the Pacific Northwest, and the southern part of China. By contrast, smaller  $S_r^{GRACE/FO}$  are found in sparsely  
184 vegetated regions like Central Asia, much of Australia, and some Arctic regions (Fig. 2a). Fig. 2b shows the duration of the  
185 maximum TWS drawdown with a global median of 2.8 years (1.6 - 5.2 years). We find no correlation between the duration  
186 and the magnitude of the largest TWS drawdown across different regions (Figs. 2a-b). The impact of random error sources on  
187 our  $S_r^{GRACE/FO}$  estimate remains moderate, with a global median relative error of 18% (13% - 26%) (Fig. 2c).



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189 **Figure 2.**  $S_r$  estimated from GRACE/FO total water storage (TWS) anomaly. (a) Global patterns of  $S_r^{GRACE/FO}$  for Earth's  
 190 vegetated regions. (b) The duration of the maximum TWS drawdown. (c) Global patterns of the random error of  $S_r^{GRACE/FO}$ .  
 191 Insets in (a) - (c) show the histograms of corresponding mapping variables across our study area. White spaces on land represent  
 192 mascon locations with less than 50% vegetation cover.

193 To characterize the utilization of root-zone water storage capacity, we compared the second and third-largest TWS  
 194 drawdowns to  $S_r^{GRACE/FO}$ . We find that, on average, the second-largest TWS drawdown consumes 83% (71% - 92%) of the  
 195  $S_r^{GRACE/FO}$  estimate (Fig. 3a), while the third-largest uses 68% (54% - 82%) (Fig. 3b). The average duration of the second- and  
 196 third-largest TWS drawdowns decreases from 1.6 years (1.1 - 3.2 years) to 1.2 years (0.5 - 1.7 years) (Figs. 3c-d). In about  
 197 40% of our analysed mascons, the longest TWS drawdown period does not coincide with the largest drawdown magnitude.  
 198 These findings underscore the nuanced dynamics of water storage use within the root zone, suggesting variability in both  
 199 magnitude and duration across different regions.  
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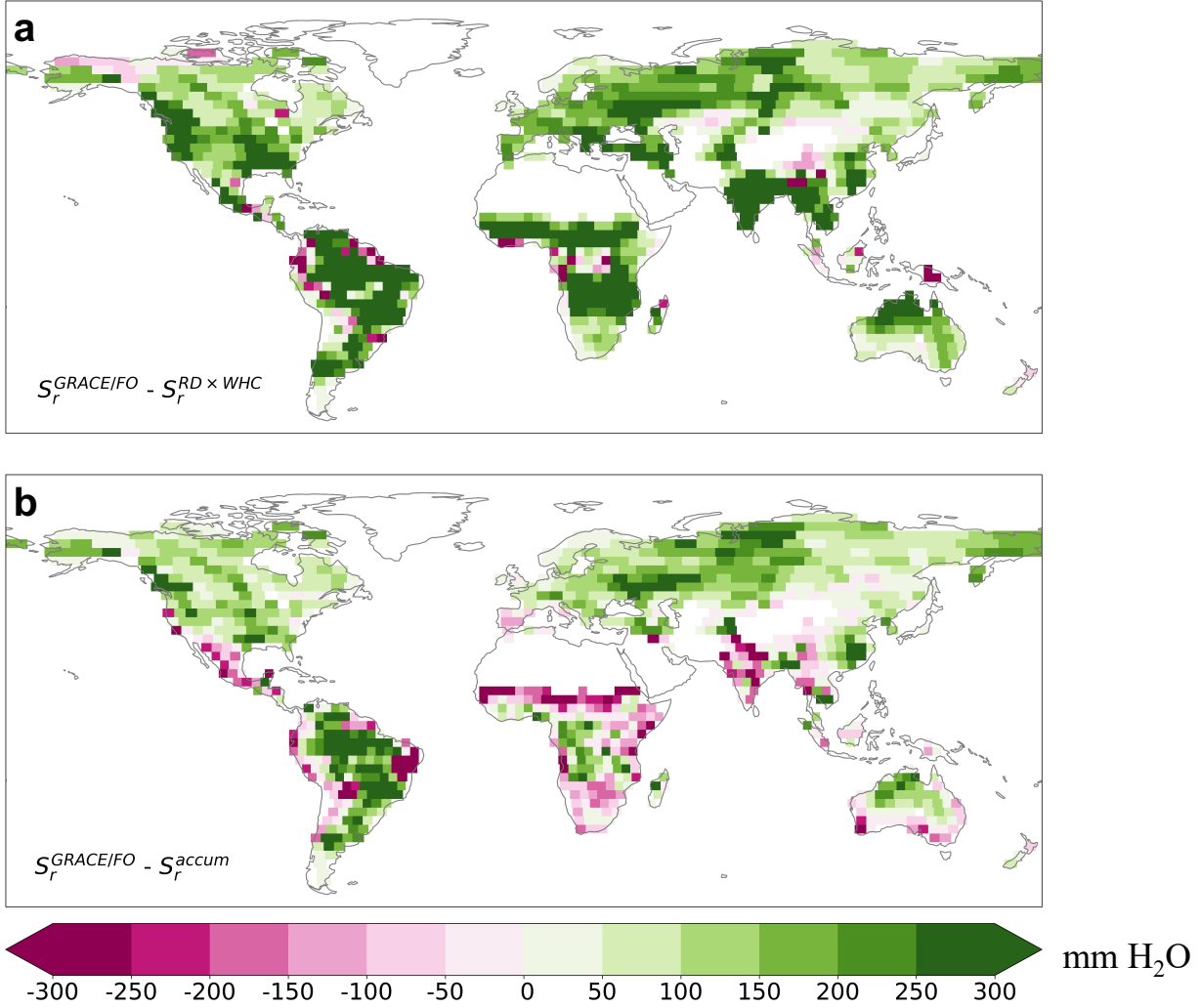


201  
 202 **Figure 3.** Utilization of root zone water storage capacity. (a) and (b) are consumption percentages of  $S_r^{GRACE/FO}$  during the  
 203 second and third-largest TWS drawdowns. (c) and (d) are the duration of the second and third-largest TWS drawdowns. Insets  
 204 in (a) - (d) show the histograms of corresponding mapped variables.

### 205 3.2 Comparison with other $S_r$ estimates

206 Our  $S_r^{GRACE/FO}$  estimate is larger than  $S_r^{RD \times WHC}$  and  $S_r^{accum}$  over much of the globe. Figs. 4a-b show  $S_r^{GRACE/FO}$  difference  
 207 with  $S_r^{RD \times WHC}$  and  $S_r^{accum}$ , respectively. Across the global vegetated domain,  $S_r^{GRACE/FO}$  surpasses  $S_r^{RD \times WHC}$  in over 90% of  
 208 mascon locations, with a median value 175 mm (or 380%) higher than that of  $S_r^{RD \times WHC}$ . The  $S_r^{GRACE/FO}$  exceeds  $S_r^{accum}$  over  
 209 70% of the study area, with a median value 77 mm (or 53%) higher than that of  $S_r^{accum}$ , despite exhibiting lower values in

210 many regions of Africa, India, Mexico, and northeast Brazil (Fig. 4b). Notably, these differences are greater than the random  
 211 error of  $S_r^{GRACE/FO}$ , emphasizing that the underestimations by  $S_r^{RD \times WHC}$  and  $S_r^{accum}$  are significant.



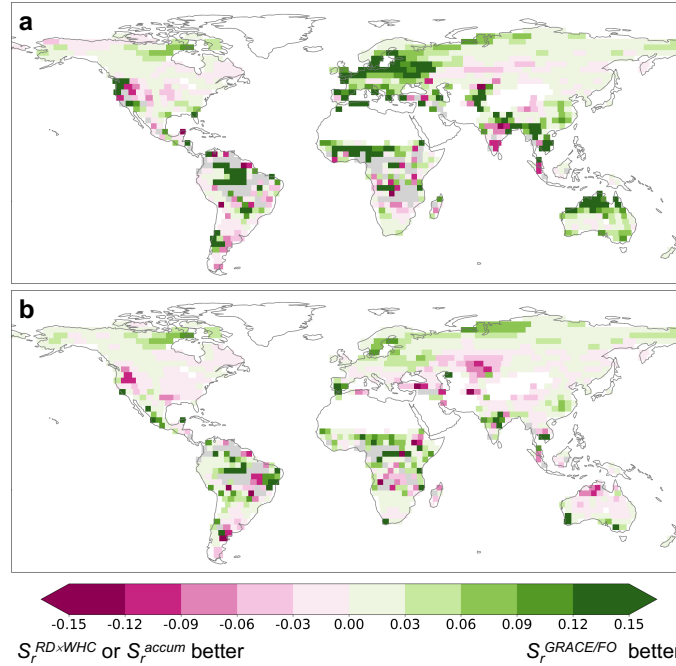
212 -300 -250 -200 -150 -100 -50 0 50 100 150 200 250 300 mm H<sub>2</sub>O  
 213 **Figure 4.**  $S_r^{GRACE/FO}$  is notably larger than other datasets over much of the globe. (a) The difference between  $S_r^{GRACE/FO}$  and  
 214  $S_r^{RD \times WHC}$ . (b) The difference between  $S_r^{GRACE/FO}$  and  $S_r^{accum}$ .

### 215 3.3 Implementation in the USGS hydrologic model

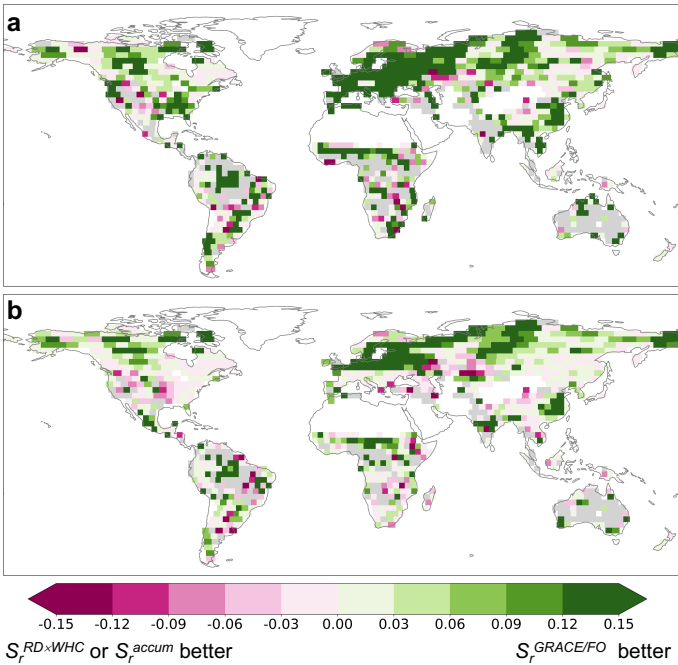
216 To assess whether  $S_r^{GRACE/FO}$  is an improvement over  $S_r^{accum}$  and  $S_r^{RD \times WHC}$ , we used each of them to separately  
 217 parameterize the USGS hydrologic model. We first evaluated the accuracy of  $HydroModel(S_r^{GRACE/FO})$ ,  $HydroModel(S_r^{RD \times WHC})$ ,  
 218 and  $HydroModel(S_r^{accum})$  in replicating the full time series of standardized GLEAM ET anomalies. For over 95% of the global  
 219 vegetated domain, at least one model achieved a positive NSE value. In these regions, the average NSE for  
 220  $HydroModel(S_r^{GRACE/FO})$  is 0.73 (0.65 - 0.89), for  $HydroModel(S_r^{RD \times WHC})$  it is 0.69 (0.63 - 0.86), for  $HydroModel(S_r^{accum})$  it is

0.72 (0.64 - 0.87). These relatively high NSE values indicate the USGS model is effective in simulating ET. While the global average NSE values for the three models are similar,  $HydroModel(S_r^{GRACE/FO})$  demonstrates slightly superior performance, outperforming  $HydroModel(S_r^{RD \times WHC})$  in 66% of the vegetated regions and  $HydroModel(S_r^{accum})$  in 59% of these regions (Fig. 5).

We hypothesized that a more accurate  $S_r$  would have a greater impact on improving ET simulations during drought periods when ET is more dependent on deep subsurface water storage. To test this, we calculated NSE values specifically for drought periods, defined as when the 3-month standardized precipitation index was less than -1.2, indicative of severe drought conditions (McKee et al., 1993). Across 87% of the global vegetated domain, at least one model achieved a positive NSE value. In these regions, the average NSE for  $HydroModel(S_r^{GRACE/FO})$  is 0.65 (0.52 - 0.86), for  $HydroModel(S_r^{RD \times WHC})$  it is 0.52 (0.40 - 0.81), for  $HydroModel(S_r^{accum})$  it is 0.61 (0.48 - 0.84). These lower NSE values compared to the full ET time series reflect the challenges faced by the USGS model in simulating ET during droughts, consistent with previous findings (e.g., Zhao et al., 2022). However,  $HydroModel(S_r^{GRACE/FO})$  showed notable improvement over the other two models, particularly in high-latitude regions (Fig. 6). These results suggest that while  $S_r^{GRACE/FO}$  provides only marginal improvements for the full time series of standardized GLEAM ET anomalies, its superiority over  $S_r^{RD \times WHC}$  and  $S_r^{accum}$  becomes more pronounced during drought conditions.



**Figure 5.**  $S_r^{GRACE/FO}$  improves overall model performance in simulating standardized ET anomalies over much of the globe. (a) The NSE difference between  $HydroModel(S_r^{GRACE/FO})$  and  $HydroModel(S_r^{RD \times WHC})$  for full time series. (b) The NSE difference between  $HydroModel(S_r^{GRACE/FO})$  and  $HydroModel(S_r^{accum})$  for full time series. Gray areas indicate regions where all models fail to achieve a positive NSE value.



242

243 **Figure 6.**  $S_r^{GRACE/FO}$  notably improves model performance in simulating standardized ET anomalies during drought periods  
244 across much of the globe. (a) and (b) are similar to Figs. 5a and 5b, respectively, except for drought time periods. Gray areas  
245 indicate regions where all models fail to achieve a positive NSE value.

246 **4 Discussion**

247 **4.1 Limitations and uncertainty in  $S_r^{GRACE/FO}$**

248 Our  $S_r^{GRACE/FO}$  estimate provides a conservative lower bound on  $S_r$  because the largest TWS drawdown during the  
249 GRACE/FO record period may not cover a period during which ET from storage exhausts the entire root-zone water storage  
250 capacity, particularly in areas experiencing water accumulation in the root zone due to increases in precipitation. This likely  
251 explains why our  $S_r^{GRACE/FO}$  estimate is lower than  $S_r^{accum}$  in North and East Africa, where strong increasing TWS trends were  
252 observed (Fig. 3b and Fig. A2) in response to increasing precipitation trends (e.g., Rodell et al., 2018). Additionally, our  
253 approach to account for groundwater pumping and surface water may overestimate these signals' actual magnitudes and thus  
254 likely contribute to underestimating  $S_r$ . Specifically, we assumed all negative TWS trends to be caused by groundwater  
255 withdrawals and removed them from  $S_r^{GRACE/FO}$ . However, intense groundwater withdrawals are concentrated in specific  
256 regions such as northwest India, California's Central Valley, and the North China Plain (Rodell et al., 2009; Feng et al., 2013;  
257 Liu et al., 2022). Consequently, we may have removed TWS depletion trends caused by natural variability, as seen in the  
258 drought-stricken Southeast Brazil (Rodell et al., 2018). This likely explains why  $S_r^{GRACE/FO}$  is lower than  $S_r^{accum}$  there (Fig. 3b).



259 Furthermore, we used total runoff (which includes surface runoff, snowmelt, and groundwater discharge) as a proxy to remove  
260 surface water storage change from the TWS drawdown. We used total runoff – as opposed to surface runoff alone (Wang et  
261 al., 2023a) – due to observational data availability, though doing so may lead to an overestimation of surface water storage  
262 change and, therefore, an underestimation of  $S_r$ .

#### 263 **4.2 Multi-year drawdowns in $S_r^{GRACE/FO}$ and differences with $S_r^{accum}$**

264 Despite being conservative,  $S_r^{GRACE/FO}$  reveals a substantially larger volume of root-zone water storage capacity than  
265  $S_r^{accum}$ . One reason for this discrepancy may be the lack of interannual storage variability considered in the  $S_r^{accum}$  calculation  
266 (Stocker et al., 2023). Although Stocker et al. (2023) used a cumulative water deficit approach to infer root-zone water storage  
267 drawdown, akin to our TWS drawdown approach, they found that the annual totals of P exceeded those of ET at almost all  
268 locations. Because their method resets the calculation whenever accumulated P-ET is positive, this suggests their method  
269 generally was unable to account for carryover storage and multiyear drawdowns of root-zone storage. Our use of GRACE/FO  
270 TWS, which allows for multiyear drawdowns, is supported by recent observations (Goulden and Bales, 2019; McCormick et  
271 al., 2021; Pérez-Ruiz et al., 2022; Peterson et al., 2021; Scott and Biederman, 2019) and modelling efforts (Miguez-Macho  
272 and Fan, 2021; Livneh and Hoerling, 2016) suggesting widespread carryover storage effects. Our calculations of  $S_r^{GRACE/FO}$   
273 found that the largest TWS drawdown period lasted a median of 2.8 years, with an interquartile range between 1.6 and 5.2  
274 years (Fig. 2c). Even the second and third-largest TWS drawdowns had a median duration of more than one year globally  
275 (Figs. 3c-d). These findings align with the results reported in the previously referenced studies on carryover storage effects.

#### 276 **4.3 Groundwater and rock moisture in $S_r^{GRACE/FO}$ and differences with $S_r^{RD \times WHC}$**

277 The  $S_r^{RD \times WHC}$  estimate notably falls below both  $S_r^{GRACE/FO}$  and  $S_r^{accum}$ . This discrepancy may be attributed to the  
278  $RD \times WHC$  approach ignoring plant access to moisture stored beneath the soil, such as in weathered and fractured bedrock and  
279 groundwater. These deep moisture sources are known to significantly affect ET and thus contribute to  $S_r$  (e.g., Fan et al., 2017;  
280 Rempe and Dietrich, 2018; McCormick et al., 2021). Unlike  $S_r^{RD \times WHC}$ , the definitions of  $S_r^{GRACE/FO}$  and  $S_r^{accum}$  incorporate  
281 natural variability in these deep moisture reserves, broadening the traditional “root zone” concept beyond the unsaturated soil  
282 layer. This expanded definition acknowledges the dynamic nature of the root zone, with plants accessing deep groundwater  
283 and rock moisture during prolonged droughts and periods of high transpiration demand (Gao et al., 2024). Indeed, root-  
284 accessible water does not require roots to physically occupy the entire storage domain. Processes like capillary rise can move  
285 deep water upward to the traditional “root zone” for vegetation transpiration, especially during dry seasons and droughts.

286 The importance of including groundwater and rock moisture in  $S_r$  is well-supported by recent evidence. Studies using  
287 *in situ* groundwater (Fan et al., 2017; Thompson et al., 2011; Baldocchi et al., 2021; Li et al., 2015), remote sensing  
288 observations (Koirala et al., 2017; Rohde et al., 2024), and modeling efforts (Miguez-Macho and Fan, 2021; Hain et al., 2015)  
289 have demonstrated that plants can access these deep moisture sources and highlighted their critical role in sustaining ET,

290 especially during extreme droughts. In many ecosystems, water stress can stimulate root growth into deep subsurface through  
 291 the capillary rise effect, with roots extending to the capillary fringe and the water table, as observed in both field and laboratory  
 292 studies (Naumburg et al., 2005; Orellana et al., 2012; Fan et al., 2017; Kuzyakov and Razavi, 2019). Although individual  
 293 shallow-rooted plants (e.g., grassland sites) may not directly tap into groundwater or rock moisture, the large spatial scale of  
 294 GRACE/FO likely captures water uptake across diverse vegetation types. Even in areas primarily covered by shallow-rooted  
 295 vegetation, deeper-rooted plants within the same GRACE/FO mascon may redistribute water upward through hydraulic  
 296 redistribution, making it available for shallow-rooted plants to use (e.g., Espeleta et al., 2004; Orellana et al., 2012). In fact,  
 297 satellite observations have confirmed widespread plant-groundwater interactions at large spatial scales (Koirala et al., 2017),  
 298 even in dryland regions dominated by grasslands (Rohde et al., 2024; Wang et al., 2023b). Recognizing and incorporating  
 299 groundwater and bedrock moisture in root zone storage capacity can enhance our understanding of land-atmosphere  
 300 interactions (Maxwell and Condon, 2016; Schlemmer et al., 2018; Dong et al., 2022), improve runoff simulations (Hahm et  
 301 al., 2019), and provide a more accurate representation of vegetation resilience to droughts and heat waves (Jiménez-Rodríguez  
 302 et al., 2022; Esteban et al., 2021).

303         The  $RD \times WHC$  approach, while useful for simplifying root zone complexity, overlooks critical aspects of root density,  
 304 its vertical and lateral distribution, and the ability of plants to access deep water stores – factors that have significant  
 305 implications for understanding ecosystem water uptake and improving land models. For instance, this approach reduces the  
 306 complexity of rooting systems into a single effective rooting depth parameter (Federer et al., 2003; Speich et al., 2018), which  
 307 tends to be shallower than both the maximum rooting depth (Federer et al., 2003) and the depth that contains the upper 95%  
 308 of the root biomass (Yang et al., 2016). These deeper layers, however, often play a disproportionately important role in  
 309 ecosystem water uptake (Fan et al., 2017; Jackson et al., 1999; Bachofen et al., 2024). Additionally, when dividing  $S_r^{GRACE/FO}$   
 310 with the same  $WHC$  used in  $S_r^{RD \times WHC}$  to calculate effective rooting depth, this depth exceeds 2 m in nearly 50% of global  
 311 vegetated areas, in contrast to Yang et al.’s (2016) estimate of 10% and Stocker et al.’s (2023) estimate of 37%. These results  
 312 indicate that the potential for plants to tap into deep water stores is more prevalent than previously understood. For land models  
 313 that do not explicitly incorporate  $S_r$  as a variable, this suggests that models with a soil depth of less than 2 m (e.g., the Noah  
 314 model within the Global Land Data Assimilation System (GLDAS)) may be unable to accurately simulate these deeper water  
 315 drawdowns. Consequently, this limitation could impact studies of groundwater that rely on GLDAS to separate soil moisture  
 316 from TWS (e.g., Rodell et al., 2009).

#### 317 **4.4 Strengths and limitations of $S_r^{GRACE/FO}$ validation**

318         Although direct observations of  $S_r$  at large spatial scales are limited, our validation effort for  $S_r^{GRACE/FO}$  shows two  
 319 notable strengths. First, we used an independent dataset for the validation of USGS models parameterized by different  $S_r$   
 320 estimates, unlike a previous study (Wang-Erlandsson et al., 2016), which relied on a dataset already used in their  $S_r$  calculation.  
 321 Second, the GLEAM ET dataset used here for validation addresses key limitations of other gridded ET products by using a

322 data-driven embedding of plant-water relationships (rather than explicitly assuming these a priori as most ET products do) and  
323 explicitly accounting for groundwater contributions to ET (Miralles et al., 2024).

324 Despite these strengths, our validation effort is not without limitations. First, the mechanistic linkage between  $S_r$  and  
325 commonly used hydrological indicators (e.g., ET and streamflow) is complex. Identifying decisive indicators that are highly  
326 sensitive to  $S_r$  is an ongoing research challenge. In this context, our findings provide an initial step towards understanding this  
327 relationship, demonstrating that a more accurate  $S_r$  improves simulations of drought-time ET anomalies more effectively than  
328 all-time variations (Figs. 5 and 6). However, resolving such a complex relationship is further complicated by model structural  
329 errors or uncertainties in other model parameters, which can obscure the true impact of accurate  $S_r$  parameterization on  
330 ecohydrological processes. For example, in our study, streamflow simulated by the USGS model is mainly driven by  
331 precipitation and shows limited sensitivity to  $S_r$  (results not shown). This aligns with the findings of another simple hydrologic  
332 model used by Wang-Erlandsson et al. (2016), as discussed in their open peer review file, where streamflow measurements  
333 were also not used for model evaluation. Second, we used standardized ET anomalies (Z-scores) as the validation target,  
334 focusing on temporal dynamics such as seasonal and interannual variations rather than absolute ET values. While this approach  
335 effectively mitigates the impact of data biases and ensures consistency, it narrows the scope of the validation.

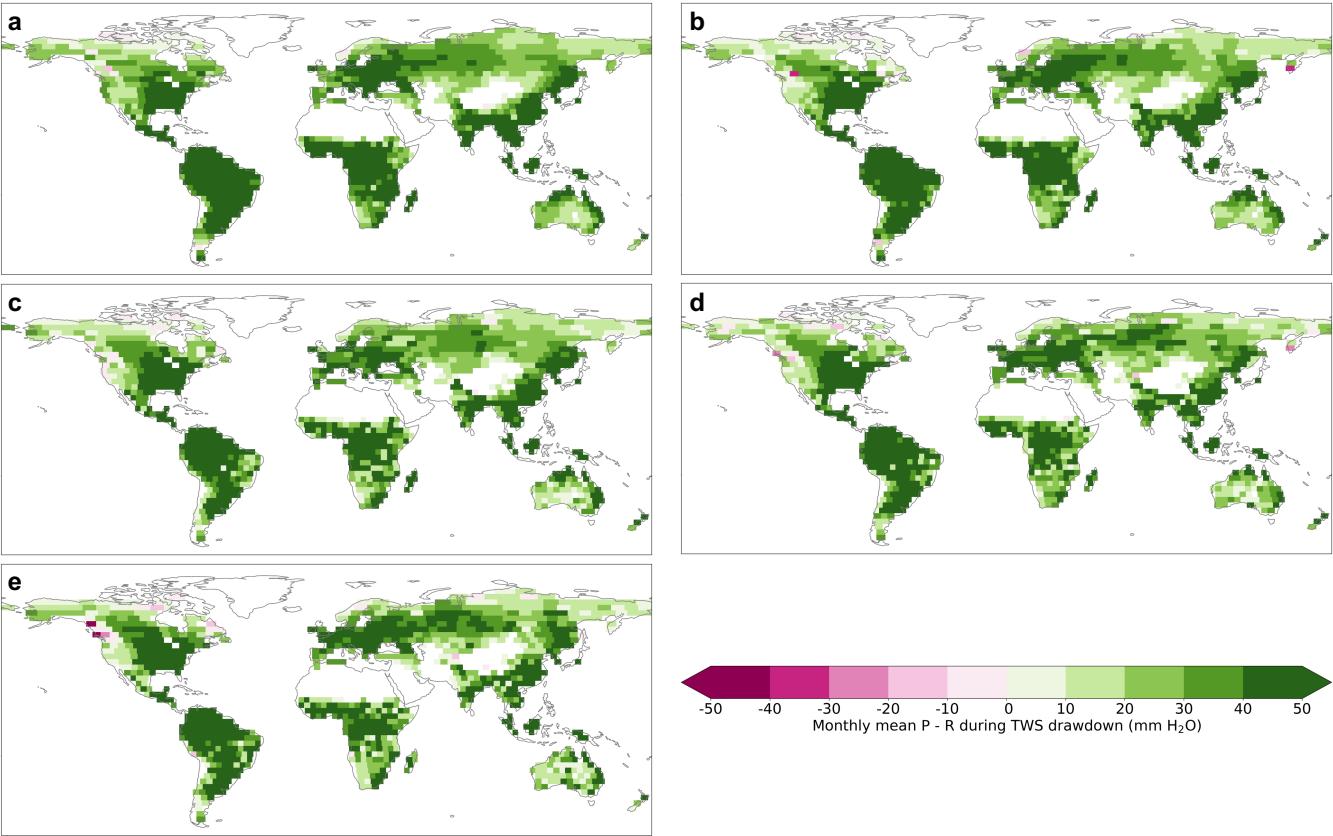
#### 336 4.5 Implications for high-resolution land surface models

337 Despite the coarse resolution of GRACE/FO observations,  $S_r^{GRACE/FO}$  and our proposed approach remain valuable for  
338 improving the operational configuration of higher-resolution land models. First,  $S_r^{GRACE/FO}$  can be used to evaluate and refine  
339 default  $S_r$  parameterizations within models once aggregated to coarse scale of GRACE/FO data, in conjunction with other  
340 diagnostic analyses. For instance, if a model underestimates ET during droughts in a region where its  $S_r$  value is significantly  
341 lower than  $S_r^{GRACE/FO}$ , the default  $S_r$  value may be increased based on  $S_r^{GRACE/FO}$  even if the model's resolution is much higher  
342 than that of  $S_r^{GRACE/FO}$ . Second, in the future, our methodology can be extended to downscaled GRACE/FO products, leveraging  
343 techniques such as data assimilation systems or artificial intelligence to improve the spatial resolution of  $S_r^{GRACE/FO}$  (Li et al.,  
344 2019; Gou and Soja, 2024).

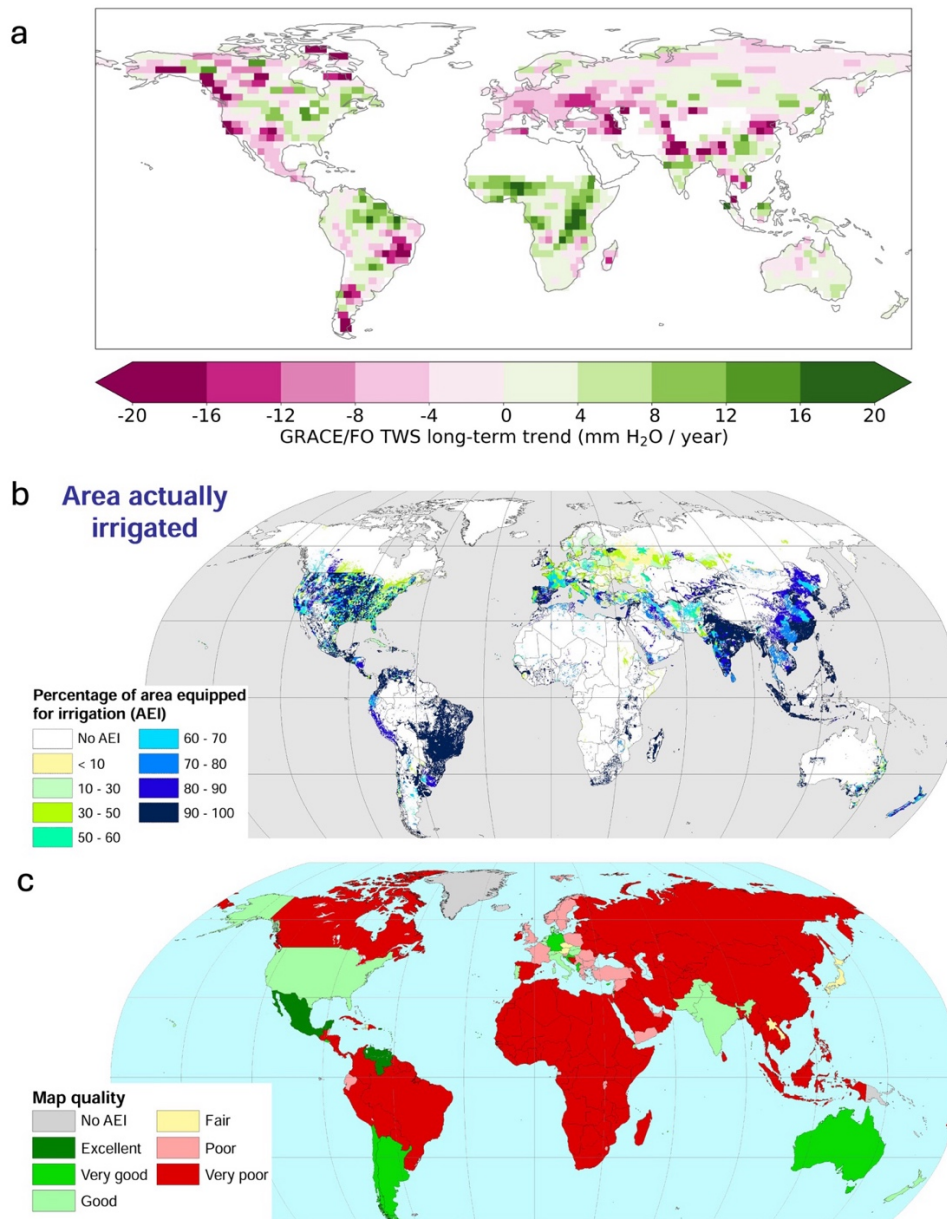
#### 345 5 Conclusions

346 We used GRACE/FO TWS observations to estimate root-zone water storage capacity ( $S_r$ ), an essential yet  
347 challenging-to-observe variable. The overall improved performance of  $HydroModel(S_r^{GRACE/FO})$  in simulating ET, particularly  
348 during droughts, implies that  $S_r^{GRACE/FO}$  more accurately reflects the real-world root-zone water storage capacity compared to  
349  $S_r^{RD \times WHC}$  and  $S_r^{accum}$ . Overall, our results suggest that  $S_r$  is, on average, at least 50% larger than the water deficit-based estimate  
350 and by a staggering 380% compared to the rooting depth-based estimate. The underestimations by  $S_r^{accum}$  and  $S_r^{RD \times WHC}$  exceed  
351 the random error of  $S_r^{GRACE/FO}$ , underscoring the need for continued refinement and validation of  $S_r$ . Underestimating  $S_r$  may  
352 lead to overestimating ecosystem sensitivity to water stress, potentially biasing predictions of future carbon cycle (Ukkola et

353 al., 2021; Giardina et al., 2023). Given the strong coupling between the carbon and water cycles, underestimating  $S_r$  may also  
354 lead to underestimating ecosystem water consumption and overestimating human-available water resources, particularly  
355 during droughts and heat waves, with important implications for water resource planning (Zhao et al., 2022; Mastrotheodoros  
356 et al., 2020).  
357



359  
360 **Figure A1.** The average P - R during the largest (a), the second largest (b), the third largest (c), the fourth largest (d), and the  
361 fifth largest (e) TWS drawdowns.  
362



363

364 **Figure A2.** (a) Trends in TWS obtained from GRACE/FO observations from 2002 to 2022. (b) Percentage of area equipped  
365 for irrigation that is actually irrigated. (c) Map quality marks assigned to each country for area equipped for irrigation in (b).  
366 (b-c) are from the Global Map of Irrigation Areas – version 5.0 by AQUASTA.

367 **Code availability**

368 The working code to retrieve  $S_r$  from GRACE/FO is available to reviewers. The final code will be archived on Zenodo upon  
369 acceptance of the paper. A DOI link to the archived code will be provided in the final version of the manuscript.

370 **Data availability**

371 The  $S_r^{GRACE/FO}$  will be archived on Zenodo and a DOI link will be provided in the final version of the manuscript. GRACE and  
372 GRACE-FO TWS data are available from the NASA JPL ([https://grace.jpl.nasa.gov/data/get-data/jpl\\_global\\_mascons/](https://grace.jpl.nasa.gov/data/get-data/jpl_global_mascons/)). The  
373 GPCP version 2.3 combined precipitation dataset is available at <https://psl.noaa.gov/data/gridded/data.gpcp.html>. ERA5  
374 reanalysis is available at <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5>. MODIS land cover data are  
375 available at <https://lpdaac.usgs.gov/products/mcd12c1v006/>. Water-balance-based ET data is available at  
376 <https://doi.org/10.5281/zenodo.8339655>. G-RUN global runoff reconstruction data is available at  
377 [https://figshare.com/articles/dataset/GRUN\\_Global\\_Runoff\\_Reconstruction/9228176](https://figshare.com/articles/dataset/GRUN_Global_Runoff_Reconstruction/9228176). GLEAM ET version 4.1 is available at  
378 <https://www.gleam.eu/>.

379 **Author contribution**

380 MZ: Conceptualization; Data curation; Formal analysis; Funding acquisition; Methodology; Writing - original draft. ELM:  
381 Methodology; Writing - review & editing. GA: Methodology; Writing - review & editing. AGK: Writing - review & editing.  
382 BL: Writing - review & editing.

383 **Competing interest**

384 The authors declare that they have no conflict of interest.

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