openAMUNDSEN v1.0: an open source snow-hydrological model for mountain regions
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10 Abstract. openAMUNDSEN (= the open source version of the Alpine MUltiscale Numerical Distributed Simulation ENgine) is a fully distributed snow-hydrological model, designed primarily for calculating the seasonal 11 evolution of a snow cover and melt rates in mountain regions. It resolves the mass and energy balance of snow 12 covered surfaces and layers of the snowpack, thereby including the most important processes that are relevant in 13 complex mountain topography. The potential model applications are very versatile; typically, it is applied in areas 14 15 ranging from the point scale to the regional scale (i.e., up to some thousands of square kilometers), using a spatial 16 resolution of 10–1000 m and a temporal resolution of 1–3 h, or daily. Temporal horizons may vary between single 17 events and climate change scenarios. The openAMUNDSEN model has been applied for many applications already which are referenced herein. It features a spatial interpolation of meteorological observations, several 18 19 layers of snow with different density and liquid water content, wind-induced lateral redistribution, snow-canopy 20 interaction, glacier ice response to climate, and more. The model can be configured according to each specific application case. A basic consideration for its development was to include a variety of process descriptions of 21 22 different complexity to set up individual model runs which best match a compromise between physical detail, 23 transferability, simplicity as well as computational performance for a certain region in the European Alps, typically 24 a (preferably gauged) hydrological catchment. The Python model code and example data are available as open 25 source project on GitHub (https://github.com/openamundsen/openamundsen; last access: June 1, 2024).

- 26 Copyright statement. TEXT
- 27 1 Introduction

28 The seasonal evolution of the mountain snow cover has a significant impact on the water regime, the microclimate

and the ecology of mountain catchments and the downstream river regions (Viviroli et al., 2020; Mott et al., 2023).
Snow dominated regions are hence crucial for their inhabitants with their function of collecting, storing, and
releasing water resources: more than one sixth of the earth's population relies on seasonal snowpacks (and glaciers)
for their water supply (Barnett et al., 2005).

33 The quantification and prediction of snowmelt amount and dynamics is a challenging task since the complex 34 processes of accumulation, re-distribution and ablation of snow lead to a high variability of the water amount distribution in the mountain snow cover, both in space and time (Viviroli et al., 2007). This high variability 35 challenges both the measuring and modelling of the height and of the water amount of snow (Vionnet et al., 2022), 36 37 but the understanding of the consequences of climate change on the hydrological effects of a changing mountain snow cover requires an accurate representation of all related processes (Hanzer et al., 2018). Relevant expected 38 39 changes imply all kind of consequences in the water supply for public and private sectors including hydropower 40 generation, agriculture, forestry and domestic use. Snow processes thereby operate on a variety of spatial and 41 temporal scales (Blöschl, 1999). Further challenges for the modelling of snow processes in mountain regions are imposed by the presence of a forest canopy (Essery et al., 2009; Rutter et al., 2009) which is expected to adapt to 42 43 the changing climatic conditions and, hence, alter its hydrological effects on the melt rates and the runoff regime 44 from forested mountain regions (Strasser et al., 2011). Finally, the mountain snow cover is an important seasonal 45 landscape feature for all kind of winter touristic activities (Hanzer et al., 2020).

46 Several types of models with various complexity have been developed to predict the accumulation and ablation of

47 the mountain snow cover (for an overview see Mott et al., 2023). Conceptual models mostly rely on temperature

48 as a proxy for melt rates; their parameters are usually fitted to given streamflow observations (Seibert and 49 Bergström, 2022). Such calibrated temperature index models can provide quite accurate results, since temperature

is a physically meaningful replacement of the important energy sources at the snow surface (Ohmura, 2001).

51 Furthermore, temperature is a mostly available observation and comparably handy to be interpolated between local

52 recordings. This type of model has been extended with further elements contributing to the energy balance of the

53 snow surface in various form. E.g., Pellicciotti et al. (2005) included potential solar radiation and parameterized

albedo of the snow surface into the modelling, allowing for sub-daily time steps of the calculations.

55 The most sophisticated type of snow model solves the energy balance of the snow surface, requiring a more or 56 less complex description of the short- and longwave radiative fluxes, the turbulent fluxes of sensible and latent 57 heat, the advective heat flux supplied by solid or liquid precipitation and the soil heat flux at the lower boundary 58 of the snow pack. To solve the energy balance equation, these models divide the snowpack into several layers and 59 iteratively compute the state variables for each single layer, usually including respective snow height, density, liquid water content and temperature (e.g., Vionnet et al., 2012; Lehning et al., 1999; Essery, 2015). Sophisticated 60 61 model concepts of this type also include methods for the correction of the effect of atmospheric stability on the 62 turbulent fluxes (e.g., Sauter et al., 2020).

For distributed snow model applications in complex mountain terrain, shadowing of the solar radiation beam and - depending on the application and the considered scale – lateral snow redistribution processes like blowing snow or snow slides should be considered in the modeling, especially if simulations are conducted for longer time horizons (e.g., Vionnet et al., 2021; Quéno et al., 2023). Distributed model applications also require sophisticated methods for the spatial interpolation of the local meteorological station recordings (see, e.g., MeteoIO; Bavay and Egger, 2014), or downscaling procedures to utilize gridded weather or climate model output to force the simulations.

70 Very recently, methods of artificial intelligence have undergone a hype-like push for development of new modelling approaches: these make use of the forcing variables governing any processes changing a system, and 71 72 time series of observations of its state. In a certain perspective these models are similar to calibrated models, with 73 empirism thereby replaced by statistics. However, the same limitations exist for such statistical approaches like 74 for the empirical ones in terms of transferability of their application in space and time. First attempts also exist to 75 complement complex physical snow models with data-driven machine learning approaches, e.g. the "Deep 76 Learning national scale 1 km resolution snow water equivalent (SWE) prediction model" (https://github.com/whitelightning450/SWEML; last access: June 1, 2024). Similar developments are undertaken 77 78 in the field of weather forecasting (e.g., Lam et al., 2023), with respective implications on the predictability of the 79 snow cover evolution. It can be expected that in this domain many innovations will emerge in the near future.

Most of the sophisticated energy balance snow (hydrological) models which are currently in development are
 available as open source projects, e.g. Surfex (<u>https://www.umr-cnrm.fr/surfex</u>; last access: June 1, 2024), CRHM
 (<u>https://github.com/CentreForHydrology/CRHM</u>; last access: June 1, 2024), FSM

83 (https://github.com/RichardEssery/FSM; last access: June 1, 2024), SNOWPACK (https://snowpack.slf.ch; last

84 access: June 1, 2024), COSIPY (<u>https://github.com/cryotools/cosipy</u>; last access: June 1, 2024), or, as described

- 85 in the following, openAMUNDSEN (<u>https://github.com/openamundsen/openamundsen;</u> last access: June 1, 2024).
- openAMUNDSEN v1.0, the snow-hydrological model described herein, compromises many of the presented snow
   model principles, from simple empirical approaches to coupled energy and mass balance calculations. The model
   mainly is built upon a comprehensive, physically based description of snow processes typical for high mountain
- 89 regions. In particular, the main features of the model include:
- 90 Spatial interpolation of scattered meteorological point measurements considering elevation using a combined
   91 regression/inverse distance weighting (IDW) procedure
- Calculation of solar radiation taking into account terrain slope and orientation, hillshading and atmospheric transmission losses as well as gains due to scattering, absorption, and multiple reflections between the snow surface and clouds
- Adjustment of precipitation using several correction functions for wind-induced undercatch and lateral
   redistribution of snow using terrain-based parameterizations
- 97 Simulation of the snow and ice mass and energy balance using either a multi-layer scheme or a bulk scheme
   98 using four separate layers for new snow, old snow, firn and ice
- Alternatively, a temperature index/enhanced temperature index method, the latter considering potential solar radiation and albedo of the surface
- Usage of arbitrary timesteps (e.g. 10 minutes, hourly or daily) while resampling of forcing data to the desired temporal resolution
- Flexible output of time series including arbitrary model variables for selected point locations in NetCDF or CSV format

- Flexible output of gridded model variables, either for specific dates or periodically (e.g. daily or monthly),
   optionally aggregated to averages or totals in NetCDF, GeoTIFF or ASCII grid format
- Built-in generation of future meteorological data time series as model forcing with a given trend using a
   bootstrapping algorithm for the available historical time series of the meteorological recordings
- Live view window for the visualization of selectable variables of the model state during runtime.

Together with the model, a comprehensive set of data that can be used to run the model for the upper Rofental 110 (Ötztal Alps/Austria, 98.1 km<sup>2</sup>) is available at Pangaea (https://doi.org/10.1594/PANGAEA.876120; last access: 111 June 1, 2024) (Strasser et al., 2018) and at https://doi.org/10.5880/fidgeo.2023.037 (last access: July 21, 2024). 112 113 Further, an openAMUNDSEN example setup available GitHub is at 114 (https://github.com/openamundsen/openamundsen-examples; last access: June 1, 2024). This data can be used to setup and run the model for this catchment and to conduct a multitude of simulation experiments like sensitivity 115 116 tests and evaluation; it can also serve as example to be replaced by data from other catchments or sites. The 117 Rofental is used also in the following as demonstration site to illustrate the functionalities of the model.

118 2 Model evolution

119 The AMUNDSEN model has a development history of well over twenty years. Originally, the model was prepared to compute fields of meteorological variables, snow albedo and melt with a new enhanced temperature index 120 approach (Pellicciotti et al., 2005). Later, a simple surface energy balance method based on ESCIMO<sup>1</sup> (Strasser 121 and Mauser, 2001) was integrated. The model was then applied and continuously improved to simulate snow 122 123 hydrological variables for Haut Glacier d'Arolla (Strasser et al., 2004) and the high alpine region of the Berchtesgaden National Park (Strasser, 2008). Strasser et al. (2008) investigated the sublimation losses of the 124 alpine snow cover from the ground and vegetated surfaces, as well as during blowing snow events. In Strasser et 125 126 al. (2011), snow-canopy processes were modelled for a chess-board pattern of various forest stands and open areas 127 on an idealized mountain. The simple bulk energy balance core of the model also exists as a spread-sheet based 128 point scale scheme where only hourly meteorological variables have to be pasted in to run the snow simulations 129 for a particular observation site (Strasser and Marke, 2010). This spread-sheet based model was later extended by 130 the snow-canopy interaction processes that were already implemented in AMUNDSEN (Marke et al., 2016). The 131 energy balance approach was continuously further developed, e.g. with an iterative procedure to account for atmospheric stability (after Weber, 2008) or with the introduction of a 4-layer scheme (new snow, old snow, firn, 132 133 glacier ice; Hanzer et al., 2016). Hanzer et al. (2014) developed a module for the production of technical snow on 134 skiing slopes. Historical and future snow conditions for Austria were determined with the model by Marke et al. (2015) and Marke et al. (2018), respectively. Hanzer et al. (2016) presented a parameterization for lateral snow 135 136 redistribution based on topographic openness, and multi-level spatiotemporal validation as a systematic, independent, complete and redundant validation procedure. The hydrological response and glacier evolution in a 137 changing climate was investigated by Hanzer et al. (2018) for the Ötzal Alps in Austria. Modelled SWE also 138 139 provided a reference for the fusion with satellite-data derived snow distribution maps in a machine learning 140 framework (De Gregorio et al., 2019a and b), or to determine distributed glacier mass balance (Podsiadło et al., 2020). Pfeiffer et al. (2021) used the model to compute the amount of liquid water provided for infiltration by 141 142 snowmelt and rainfall for determining conditions that fostered the motion of a landslide in the Tyrolean Alps. With 143 the transition to the open source project openAMUNDSEN, the multi-layer approach by Essery (2015) was 144 integrated into the model as further alternative to compute the mass and energy balance of a layered snow pack. 145 Finally, the openAMUNDSEN model has been used to simulate the entire process of snow management and snow 146 conditions for the slopes in skiing areas (Hanzer et al., 2020, Ebner et al., 2021).

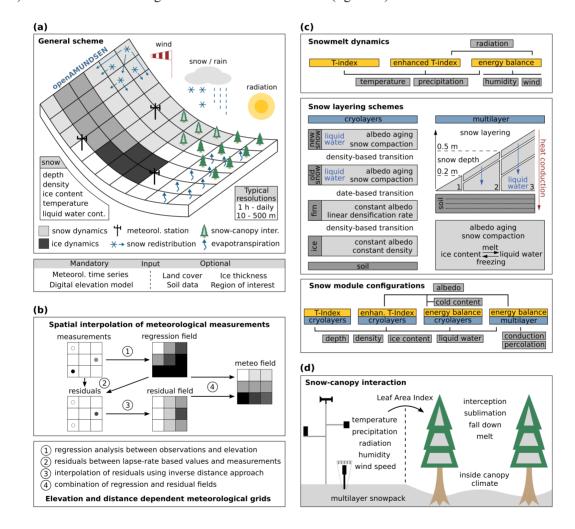
147 The first distributed version of the AMUNDSEN model was developed in IDL (= Interactive Data Language, see 148 https://www.nv5geospatialsoftware.com/Products/IDL; last access: June 1, 2024), originally documented in 149 Strasser (2008) and - in a more recent evolutionary stage - in Hanzer et al. (2018). Recently, the model code was completely re-programmed Python and transferred 150 in into an open source project (https://github.com/openamundsen/openamundsen; last access: June 1, 2024); this was the moment when the 151 152 model was renamed to "openAMUNDSEN". An online documentation is currently in production 153 (https://doc.openamundsen.org; last access: June 1, 2024). New developments which are not yet available online 154 in the GitHub repository will be published there after comprehensive testing.

<sup>&</sup>lt;sup>1</sup> The first point-scale version of the snow model was named Energy balance Snow Cover Integrated **MO**del ("ESCIMO") and programmed in Fortran (Strasser and Mauser, 2001). Later, when the first distributed version was developed in IDL, it was renamed to "AMUNDSEN" (Strasser et al., 2004).

# 155 3 Model concept

# 156 3.1 General structural design

The fundamental principles and most important capabilities of the model are shown in the general overview (figure 157 1a). The region for which openAMUNDSEN is to be set up is a rectangle comprised by a digital elevation model 158 (DEM) in raster format. This DEM defines the extent and resolution for which the model computations are 159 160 performed. The model is capable of simulating the mass balance of both snow and/or glacier ice surfaces, as well 161 as lateral redistribution of snow, snow-canopy interaction and evapotranspiration from different land cover types. Irregular observations of meteorological stations or gridded output from any kind of raster model are distributed 162 163 over the domain by means of an IDW procedure considering dependence on elevation in each timestep and 164 spatially interpolated local residuals of the recordings (figure 1b); alternatively, fixed monthly gradients can be 165 applied. Several approaches of vaying complexity are available to compute surface melt, from a simple 166 temperature-index method over an enhanced index approach considering temperature, potential solar radiation and 167 albedo to sophisticated energy balance methods (figure 1c). These melt approaches can be combined with two 168 layering schemes in a total of four different snow model configurations. Each of these configurations can be 169 applied to forest conditions, where a modified set of the meteorological variables is provided to account for the 170 effect of the trees on the inside-canopy microclimatic conditions, parameterized by means of the Leaf Area Index (LAI) as the variable describing the characteristics of the forest (figure 1d). 171



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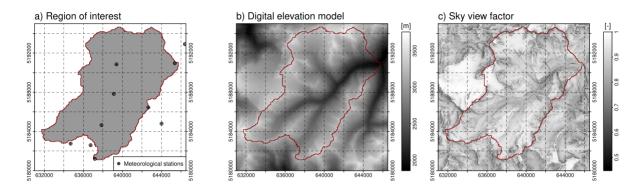
Figure 1: Schematic representation of a domain modelled with the snow-hydrological model openAMUNDSEN (a), spatial interpolation of the meteorological measurements (b), snowmelt dynamics and snow layering schemes and (c) and scaling of observed to inside-canopy meteorological conditions for the simulation of snow-canopy interaction processes (d) in the model.

To save computational time, it is possible to define an irregular region of interest (ROI; i.e., a sub-quantity of pixels); outside this area only some calculations required for the interpolation of the meteorological variables will

be computed (figure 2a). Typically, a ROI is a watershed area for which water balance components are aggregated

180 from the single pixel values so that resulting streamflow volume can be compared to gauge recordings (Hanzer et 181 al., 2018). Weather stations to be considered can also be located outside the ROI or even outside the DEM area; 182 however, in the latter case they cannot be considered for the determination of shadow areas or regional-scale 183 albedo which is used to estimate the diffuse radiative fluxes by multiple scattering between the surface and the atmosphere. Extent and resolution of the DEM defines the cell size and the geometry of all other raster layers 184 produced in the simulations (figure 2b). From this DEM, several derived variables such as slope, aspect and sky 185 186 view factor are calculated (figure 2c). The sky view factor is the ratio of the visible sky that can be seen from a

187 pixel location to the entire hemisphere that contains both visible and obstructed sky.



189 Figure 2: Region of interest (ROI) of the openAMUNDSEN example application to the Rofental (Ötztal

190 Alps/Austria) with location of weather stations in- and outside this region of interest (a), digital elevation model 191 (b) and sky view factor (c). The red line is the watershed divide of the Rofental for the gauge at Vent (1891 m a.s.l.).

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193 The meteorological forcing for the simulations typically consists of time series of temperature, relative humidity, 194 precipitation, global radiation and wind speed. These variables are standard observations at the meteorological stations of operational weather services and mostly available for many mountain regions (e.g. in Austria: 195 196 www.geosphere.at; last access: June 1, 2024). To accurately track the daily course of radiative energy – usually 197 the most important component of the energy for melt (Strasser et al., 2004) - the time step in the modelling in 198 most applications is hourly. To save computational time, the model computations can also be limited to 2- or 3-199 hourly time steps. If the optional temperature index approach is selected the time step also can be set to daily. For 200 the case that specific submodules are activated for a model run (e.g., snow-canopy interaction or 201 evapotranspiration), various other spatial input fields have to be prescribed (e.g., land cover, soil and/or catchment 202 boundaries).

203 When using meteorological station data as input the minimum number of stations required is one. This station 204 should provide a continuous series of measurements without gaps. If more than one weather station exists, missing 205 values at a particular site are replaced by the respective results from the interpolation procedure. Where recordings exist, the interpolated values might slightly differ due to the difference in altitude between the exact location of 206 207 the station and the grid pixel in which it is located (and for which the meteorological field is interpolated). 208 Alternatively to station recordings, it is also possible to provide pre-processed gridded meteorological fields as 209 input to the model, e.g. output data from numerical weather prediction or climate models. Data timeseries of future 210 climate evolution to force openAMUNDSEN for climate change scenario simulations can be produced by means of a stochastic block bootstrap resampler which is realized as external routine in Python (see Appendix). 211

212 The model simulations are performed for each pixel and each timestep (figure 3). Prior to these pixel-wise 213 computations for the raster domain a set of general computations for the model run are performed: after reading 214 the input data the terrain parameters are computed from the DEM, and precipitation correction parameters are computed (as described in 3.5). Then the time-dependent computations for all pixels of the domain start, in a loop 215 from the first to the last time step of the particular simulation run. Several modules are subject to options which 216 217 can be set in a configuration file in text format.

- 218 The results of the computations can be written to file either as time series for an arbitrary number of pixels (in
- 219 NetCDF or CSV format), or as gridded model variables for specific selected dates or periodically (e.g., daily, monthly or yearly), optionally aggregated to averages or totals. Possible formats include NetCDF, GeoTIFF and 220

222 To keep modelling time to a minimum, state variables (e.g., from a spin-up simulation) can be imported as raster

grids to initialize an openAMUNDSEN model run. Some state variables can also be computed prior to the model run. E.g., if glacier outlines are available, the initial ice thickness distribution can be calculated using the approach

by Huss and Farinotti (2012). Volumetric balance fluxes of individual glaciers can be calculated from mass balance

gradients and constants. Surface elevations and glacier outlines are usually published in glacier inventories

227 (https://wgms.ch/; last access: June 1, 2024), e.g. for Austria in Fischer et al. (2015).

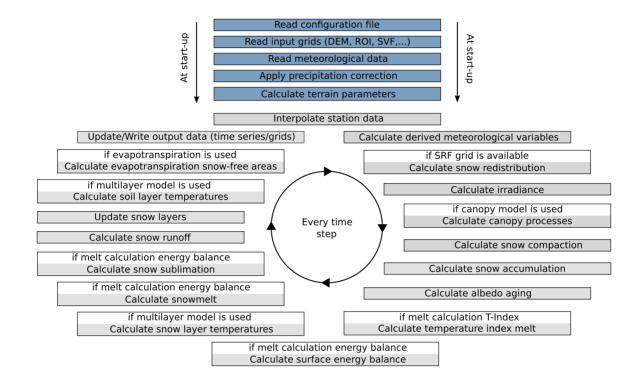


Figure 3: Flowchart showing the repetetive circle of a typical openAMUNDSEN model run. The reading of the input is succeeded by the computation of several precipitation correction and terrain parameters. After that, the

input is succeeded by the computation of several precipitation correction and terrain parameters. After that, theloop for all time steps of the model run is entered.

232 3.2 Temporal and spatial discretization

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233 Usually the model is driven with a temporal resolution according to the one of the used meteorological forcing 234 variables. For model applications which require a higher temporal resolution (or if only daily recordings are 235 available) methods exist to disaggregate the measurements accordingly (e.g. MELODIST; Förster et al., 2016). 236 For simulations with lower temporal resolution than the forcing, aggregation is done during runtime. Output 237 temporal resolution can be any aggregate of the original computation resolution – usually daily, monthly and 238 yearly. All this is arbitrarily set in the model configuration prior to the model run. The minimum spatial resolution 239 is not limited. Theoretically, a 1 m or even higher resolution (e.g. laser-scan derived) DEM can be used as basis for the model simulation. A comparatively high resolution thereby is beneficial for adequately capturing all small-240 241 scale processes shaping the snow cover distribution in complex terrain. However, it is questionable if such 242 computational effort is meaningful with respect to the availability and quality of the forcing data and to the scale 243 of the considered processes. According to our experiences from typical mountain catchments in the European 244 Alps, a resolution between 10 m and 1000 m is often a good compromise between detail representation and 245 computational efficiency. The size of the modelled domain can be anything between one single pixel and some 246 thousands of square kilometers (see figure 1a). De Gregorio et al. (2019a, b), e.g., successfully applied the model 247 for the Euregio Tyrol/South Tyrol/Trentino (Austria/Italy) which has a size of 26254 km<sup>2</sup>.

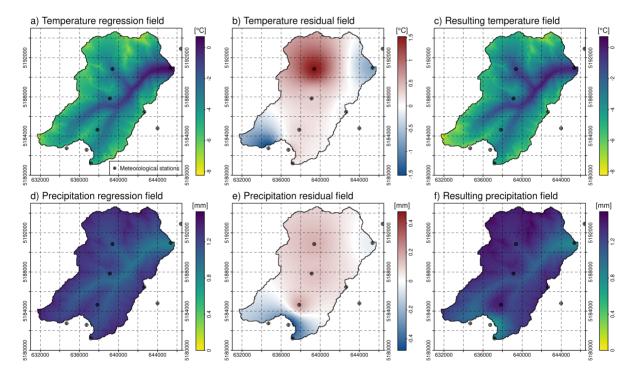
248 3.3 Spatial interpolation of meteorological measurements

openAMUNDSEN includes a meteorological pre-processor for the spatial interpolation of scattered point measurements, irrespective whether these are provided irregularly (weather station recordings) or arranged as a regular grid (raster stack of weather or climate model output). In the latter case, the meteorological variables are resampled to grids with the given DEM spatial resolution. The minimum forcing required by the model consists of recordings of temperature and precipitation (when running in temperature index mode). For energy balance calculations, relative humidity, global radiation (or cloudiness) and wind speed are required in addition. If

- 255 meteorological time series from station recordings are used as input, the model interpolates the measurements
- from their geographical locations to each grid cell inside the ROI (figure 4). In most simulation cases, recordings
- of the meteorological variables for the 2 m observation level are available. The distance between a variable snow

surface and the sensor height can therefore be corrected in the modelling. To spatially interpolate the station

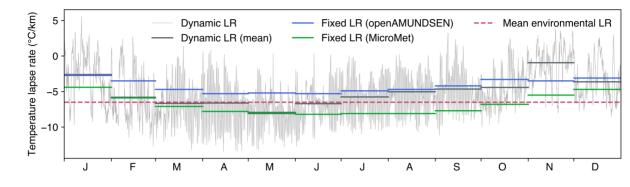
- 259 observations in each model time step, the following IDW-based interpolation procedure is applied:
- a regression analysis between observations and the associated station elevation is performed to derive an elevation-dependent trend function: the lapse rate (LR)
- the derived function is applied to all cells of the DEM to create an elevation trend field for each meteorological variable, the "regression field" (figures 4a and 4d)
- the residuals for all station locations are calculated by subtracting the calculated regression value for the 265 station elevation from the actual measurement at the station location for the current time step,
- the residuals for the station locations are interpolated to the grid using an IDW method, resulting in the "residual field" (figures 4b and 4e),
- this interpolated residual field is added to the regression field, which results in elevation- and station distancedependent interpolated fields for all meteorological variables (figures 4c and 4f).
- Figure 4 exemplarily shows the steps of this IDW-based interpolation procedure for temperature and precipitation.
- 271 It can be seen that for both meteorological variables a dependency of the recordings with elevation does exist
- 272 (figure 4a and 4d), but locally some deviations of the measurements from the elevation trend occur (figure 4b and
- 4e). In the result both patterns are visible. The procedure automatically fills potential gaps in the observation time
- series at the weather station locations. If only one observation for the LR determination exists at a given time step
- for the entire domain this one observed value is uniformely distributed over the domain.



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Figure 4: Regression field, residual field and the resulting meteorological field, i.e. sum of the two for the spatial interpolation of meteorological variables in each single time step, exemplarily shown for temperature (a, b and c) and for precipitation (d, e and f) on 24/12/2019 at 10 am for the Rofental. The resolution of the interpolated grid is 20 m.

Instead of the dynamic LR calculated from the local observations in each time step, the prescribed average monthly values of MicroMet (Liston and Elder, 2006) can be used. MicroMet is a quasi-physically based meteorological observation distribution system of intermediate complexity to produce high-resolution atmospheric forcings required to run spatially distributed terrestrial models in complex topography. It distributes the variables air temperature, relative humidity, wind speed, incoming solar (shortwave) and longwave radiation, surface pressure and precipitation following a Barnes objective analysis scheme, similar to the IDW procedure applied in openAMUNDSEN. A detailed comparison of results achieved with the interpolation schemes of 288 openAMUNDSEN, MicroMet (and others, e.g. MeteoIO; Bavay and Egger, 2014) and respective effects on the 289 snow processes modelling would be an interesting task of scientific value, but is beyond the scope of this paper. Here we only demonstrate the dynamic (mostly hourly) derived from the station observations in 290 openAMUNDSEN for the Rofental and their monthly averages compared to the standard temperature LR and 291 292 monthly values originating from other regional contexts (figure 5): e.g., the monthly average temperature LR 293 derived for the Upper Danube catchment in central Europe are several degrees above the ones derived for the 294 Northern Hemisphere; this shows the necessity of calculating LR using local observations. It should be noted, 295 however, that dynamic temperature LR and their monthly averages may vary from year to year.



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Figure 5: Dynamic (mostly hourly) temperature LR for 2020 in the Rofental (gray). The fixed LR are monthly
averages derived for the Upper Danube catchment (blue; Marke, 2008) and the Northern Hemisphere (green;
Liston and Elder, 2006). The dashed line shows the mean environmental LR of -6.5 °C km<sup>-1</sup>. Monthly averages
computed for the dynamic LR (derived from the observations in each model time step) are dark grey.

301 Finally, precipitation phase is determined in openAMUNDSEN by either air temperature or wet-bulb temperature 302 thresholds (wet-bulb temperature is computed by iteratively solving the psychrometric equation). For both methods, a temperature transition range is defined. Above this transition range, precipitation is determined as 303 liquid, and as solid below the lower end of the temperature range, respectively. Within the defined temperature 304 305 range, the fractions of solid/liquid precipitation are linearly distributed between 100 % liquid at the upper and 100 306 % solid at the lower end of the range with 50 % liquid/solid fraction of precipitation at the threshold temperature. 307 The threshold used in the presented simulations here was chosen empirically: a value of 0.5 °C wet bulb 308 temperature with a transition extent from 0 °C to 1 °C produced reliable results in many numerical experiments 309 with the model, in particular for the well-gauged site Rofental (see Hanzer et al., 2016).

## 310 3.4 Radiative fluxes

Incoming global radiation strongly varies in time and space depending on terrain characteristics, position of the 311 312 sun and atmospheric conditions. Hence, openAMUNDSEN calculates potential global radiation for each grid cell 313 based on local aspect and slope, position of the sun, orographic shadows, atmospheric transmission losses and 314 gains due to scattering, absorption and reflections, multiple reflections between snow and clouds as well as 315 reflected radiation from snow covered neighbouring slopes. Cloud coverage (when not prescribed) is either 316 determined by comparing potential to observed global radiation; or, alternatively, it is estimated using atmospheric 317 humidity following Liston and Elder (2006). During nighttime either the atmospheric humidity approach is used 318 or cloudiness is kept constant. In the final step, cloud coverage is spatially interpolated and actual incoming global 319 radiation is calculated by correcting potential global radiation with cloud coverage for each model grid cell.

Reflected short wave radiation depends on surface albedo which strongly varies in space and time, for snow surfaces mainly depending on grain size. In openAMUNDSEN, albedo is modelled taking into account snow age and an air temperature-dependent decay function following Rohrer (1992) and Essery et al. (2013):

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$$\alpha = \alpha_{\min} + (\alpha_{t-1} - \alpha_{\min}) \cdot e^{-\frac{1}{\tau}\delta t}$$

where  $\alpha_{min}$  is the (prescribed) minimum albedo,  $\alpha_{t-1}$  the albedo in the previous time step,  $\delta t$  the time step length, and  $\tau$  is a temperature-dependent recession factor (implemented by prescribing two factors  $\tau_{pos}$  and  $\tau_{neg}$  for positive and negative air or, optionally, surface temperatures). Maximum snow albedo  $\alpha_{max}$  is by default set to 0.85, while  $\alpha_{min}$ ,  $\tau_{pos}$ , and  $\tau_{neg}$  are set to 0.55, 200 h, and 480 h. Firn and ice albedo are held constant with  $\alpha_{firm} = 0.4$  and  $\alpha_{ice} =$ 0.2 by default. Fresh snow increases albedo, either using a step function – increasing albedo to  $\alpha_{max}$  when a snowfall above a certain threshold amount per timestep (default:  $0.5 \text{ kg m}^{-2} \text{ h}^{-1}$ ) occurs – or using the continuous function

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$$\alpha = \alpha_{t-1} + (\alpha_{max} - \alpha_{t-1}) \frac{S_f}{S_0}$$

332 where  $S_f$  is the snowfall amount and  $S_0$  the snowfall required to refresh albedo (Essery et al., 2013).

Incoming longwave radiation from the atmosphere is a function of atmospheric conditions and temperature and is determined using the Stefan-Boltzmann law. Atmospheric emissivity thereby depends on water vapour content in clear sky conditions and cloud cover in overcast situations. Additionally, openAMUNDSEN accounts for longwave radiation from the neighbouring slopes. Outgoing longwave radiation is calculated following the Stefan-Boltzmann law with the emissivity of snow and modelled snow surface temperature. The details of the radiation model mostly follow Corripio (2002) and are described in Strasser et al. (2004).

## 339 3.5 Precipitation correction

Precipitation measurements are vital input for every snow-hydrological model. However, measuring solid 340 precipitation in complex alpine terrain is prone to large errors which typically results in an undercatch of 341 precipitation (Rasmussen et al., 2012). This is particularly important for mountain regions with a high amount of 342 343 solid precipitation. High wind speeds can cause an undercatch of snowfall up to 50 % (Kochendorfer et al., 2017) 344 when using typical pluviometers of the Hellmann type. For solid precipitation, different correction methods are implemented in the model in order to account for the undercatch of precipitation gauges when measuring snow 345 346 accumulation. Hanzer et al. (2016) showed that a combination of a weather station-based snow correction factor 347 taking into account wind speed and air temperature based on an approach by the World Meteorological 348 Organization (WMO; Goodison et al., 1998) with a subsequent constant post-interpolation additional factor 349 yielded plausible precipitation amounts. Whereas the first correction is applied for the station recording amount prior to interpolation to the cells of the rectangular grid, the latter is added to all grid cells of the modelling domain. 350 351 Alternatively to the WMO approach, a method which estimates undercatch regardless of precipitation phase 352 (Kochendorfer et al., 2017) can be selected in the model configuration procedure prior to a model run.

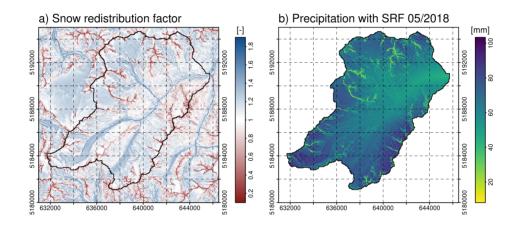
### 353 3.6 Snow redistribution

354 Irrespective whether rain or snow, with the IDW interpolation scheme in openAMUNDSEN the amount of 355 precipitation is distributed over the domain depending on the grid cell elevation, the distance of the surrounding weather stations and the selected gauge undercatch correction method. The amount of observed snow at a certain 356 location, however, can be significantly affected by the lateral processes of preferential deposition, erosion and 357 358 lateral redistribution. These processes are driven by wind and gravitational forces (Warscher et al., 2013; 359 Grünewald et al., 2014). Many approaches with different complexity exist to account for these processes; a recent and comprehensive overview of modelling lateral snow redistribution is given by Quéno et al. (2023). Such 360 361 consideration of the lateral snow redistribution processes is required to prevent artefacts of continuous snow 362 accumulation on high summits and crests in long-term simulations where melt during summer is not sufficient to remove the amount of snow accumulated during the previous winter. The result will be that with increasing 363 364 simulation period, in such locations "snow towers" will continuously grow, whereas in depressions beneath snow 365 accumulation will be underestimated (Freudiger et al., 2017). As a consequence, mass balances of existing glaciers in such locations will be increasingly wrong due to not enough mass deposited in the accumulation areas. Mass 366 367 balances therefore are a useful measure to evaluate the simulations with respect to the lateral snow redistribution 368 processes, as demonstrated by Hanzer et al. (2016). In openAMUNDSEN a snow redistribution factor (SRF) field 369 can be used to parameterize spatial snow distribution. The SRF describes the fractional amount of snow either 370 eroded or deposited at each pixel location and modifies the interpolated snowfall field accordingly. Since SRF 371 derivation can depend on various topographic parameters such as elevation, slope, aspect, curvature, viewshed or 372 terrain roughness, and generally requires site-specific calibration (Grünewald et al., 2013), openAMUNDSEN allows for flexibility in calculating the SRF field. It provides functions to compute these topographic parameters 373 374 but does not prescribe a singular method for final SRF calculation. Instead, the user of the model can decide in 375 which way the snow redistribution should be parameterized in the model and if and how the results of the selected 376 method should be calibrated and evaluated.

377 In the presented application for the Rofental, the concept of negative topographic openness (Yokoyama, 2002) has

been used to parameterize spatial snow distribution. It is obtained by averaging the nadir angles calculated for all eight compass directions from the grid point, yielding low values for convex topographic features and high values

380 for concave topographic features. The openness values finally depend on a length scale which describes the spatial dimension of the given topographic features affecting the redistribution processes, resulting in a snow 381 redistribution factor which describes the fractional amount of snow eroded or deposited for any pixel location. The 382 383 length scale depends on the shape and size of the topographic features of a landscape and the spatial resolution of 384 the used DEM and should therefore be determined for each modelling domain and model application separately. For the example presented here it has been empirically determined for the area of the Ötztal Alps (Austria) by 385 386 Helfricht (2014). Effectively, the SRF approach as parameterized in openAMUNDSEN takes into account the 387 processes of preferential deposition, wind-induced erosion, saltation and turbulent suspension of atmospheric 388 (snow) precipitation. The way it is implemented in the model, however, does not account for single events of 389 lateral snow redistribution, but for their accumulated effect over longer simulation periods. Figure 6 shows an 390 example of a snow redistribution factor field calculated using a combination of negative openness fields using two different length scales L (Hanzer et al., 2016): negative openness was calculated for the entire Ötztal mountain 391 392 range based on a 50 m DEM for L = 50 m and L = 5000 m. Whereas the smaller value accounts for small-scale 393 topographic features with a high spatial variability, with the higher value the large-scale topography of ridges and 394 valley floors are considered, and hence the overdeepening of the surface elevation of glacier tongues compared to 395 the surrounding ridges and peaks (Helfricht, 2014). Details of the computation are given in Hanzer et al. (2016). 396 Results show the (red) areas of the summits and ridges where snowfall is significantly reduced, whereas in the 397 slopes and valley bottoms it is subsequently accumulated (blue areas; figure 6a. Correspondingly, in the presented 398 example the respective exposed areas receive much less precipitation in May 2018 than the slopes and downvalley areas (figure 6b). 399



400

Figure 6: The snow redistribution factor (SRF) used in openAMUNDSEN to compensate for snow erosion on
 exposed ridges and for snow deposition in the slopes and depressions beneath (a) and an example of monthly total
 precipitation with lateral redistribution of snowfall (for May 2018), determined with the snow redistribution factor
 (b).

Together, three snow amount corrections can be applied in openAMUNDSEN: (i) a windspeed and temperaturedependent precipitation correction at the site of the measurement, (ii) an additional post-interpolation factor (see 3.5 for a description of how this is modelled), and (iii) the presented adjustment accounting for lateral snow redistribution. Whereas (i) and (ii) increase the amount of measured precipitation towards a more realistic volume over the entire grid, (iii) solely redistributes the solid amount of precipitation from areas of erosion to areas of deposition.

411 3.7 Snow-canopy interaction

Forest canopies generally lead to a reduction of global radiation, precipitation and wind speed at the ground, whereas humidity and long-wave radiation are increased and the diurnal temperature cycle is dampened. In openAMUNDSEN, the micrometeorological conditions for the ground beneath a forest canopy are derived from the interpolated measurements (assuming the weather stations are located in the open) by applying a set of modifications for these meteorological variables. The modifications are based on the effective Leaf Area Index of

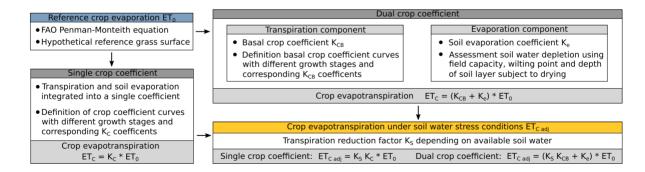
417 the trees composing the stands, i.e. the sum of the classical LAI and the Cortex Area Index CAI (Strasser et al.,

418 2011). By means of the modified meteorological variables, the processes of interception, sublimation, unloading

419 by melt and fall down by exceeding the canopy snow-holding capacity are calculated. Liquid precipitation is 420 assumed to fall through the canopy and is added to the ground snow cover (see figure 1d). 421 Simulations with the snow-canopy interaction model for an idealized mountain (Strasser et al., 2011) showed that, 422 despite reduced accumulation of snow on the ground beneath the trees, both rates and seasonal totals of sublimation 423 of snow previously intercepted in a canopy were significantly higher than the sublimation losses from the ground 424 snow surface. On top of that, shadowing leads to reduced radiative energy input inside the canopy and hence 425 protection of the snow at the ground. The type of forest, exposition, the specific meteorological conditions and the general evolution of the winter season play important roles as well: during winter, the effect of reduced 426 427 accumulation is dominant, whereas during spring, the shadowing effect with reduced ablation prevails. In winters 428 with much snow, the effect of shadowing by the trees dominates and snow lasts longer inside the forest than in the 429 open. In winters with little snow, however, the sublimation losses of snow are dominant and the snow lasts longer 430 in open areas. This migh vary, however, for northern and southern exposure to radiation and time of the year due 431 to the strong effect of solar radiation on melt. In early and high winter, the radiation protection effect of shadowing 432 is small. An intermittent melt out of the snow cover beneath the trees can occur if little snow is available. The shadowing effect becomes more efficient and snowmelt is delayed relative to nonforested areas in late winter and 433 spring. Due to the combination of all these processes, the modelling of snow-canopy interaction can lead to 434 435 complex and very heterogeneous patterns of snow coverage and duration in alpine regions with forest stands 436 (Essery et al., 2009; Rutter et al., 2009; Strasser et al., 2011).

# 437 3.8 Crop evapotranspiration

For non-snow-covered surfaces the actual evapotranspiration of vegetated areas is calculated using the Food and 438 439 Agriculture Organisation (FAO) Penman-Monteith approach (Allen et al., 1998), for which a schematic overview 440 is illustrated in figure 7. In a first step, the evapotranspiration is calculated for a reference crop (grass) using the 441 meteorological variables and a limiting amount of available water in the soil storage. In forested areas, thereby the inside-forest meteorological conditions are considered. Then, the resulting evapotranspiration is modified 442 according to the vegetation type using particular crop coefficients which integrate the effects of plant height, 443 444 albedo, stomata resistance and exposed soil fraction. Crop coefficients are available for a wide range of plant types 445 in the given literature and change their values seasonally according to predefined growth stage lengths. For each 446 plant type, evapotranspiration can either be calculated using a single-coefficient approach which integrates the 447 effects of crop transpiration and soil evaporation into a single coefficient, or using a dual-coefficient approach which considers crop transpiration and soil evaporation separately. Soil evaporation is computed considering the 448 449 cumulative depth of water evaporated from the top soil layer and the fraction of the soil surface that is both exposed 450 and wetted. The soil type thereby determines the amount of evaporable water with respect to field capacity, water content at wilting point and depth of the surface soil layer that is subject to drying by means of evaporation (0.10)451 to 0.15 m); parameters are available for sand, loamy sand, sandy loam, loam, silt loam, silt, silt clay loam silty 452 clay and clay (Allen et al., 1998). With this approach the water balance of the upper soil layer is computed, 453 determining if surface runoff and deep percolation can occur or if evapotranspiration is limited. If the 454 evapotranspiration module is activated, both soil types and land cover must be available as rasterized maps in the 455 456 DEM geometry.



457

Figure 7: Schematic overview of the FAO evapotranspiration module to compute the water flux from the soil throught the plants to the atmosphere with the Penman-Monteith equation. Fluxes are calculated for a reference

460 crop and then scaled to other landuse classes.

# 461 3.9 Layering schemes

462 In openAMUNDSEN two different layering schemes for snow- or ice-covered surfaces are implemented (see 463 figure 1c). The "cryospheric layer version" parameterizes layers of new snow, old snow, firn and glacier ice. The 464 advantage of using these layers is that they are distinctively different in their optical properties, and hence their

- 465 surfaces can be recognized and distinguished in the field, on photographs, or by satellites with sensors sensitive in
- the visible range of the electromagnetic spectrum. The model tracks the thickness of these layers and parameterizes
- their density with more or less empirical relations. For the snow-soil interface a fix upwards heat flux can be set
- 468 (often 2 W m<sup>-2</sup> in the Alpine region). The most comprehensive descriptions of this model versions can be found
- 469 in Strasser (2008), Strasser et al. (2011) and Hanzer et al. (2016).
- The "multi-layer version" is adopted following the structure of the FSM model (Essery, 2015). It considers a number of layers (by default three) with fixed maximum depths (for the upper two ones), all of them without physical representation. In this model version the fluxes of mass and energy are tracked by means of an iterative computation of the state variables temperature and liquid water content such that the balances of mass and energy are closed for each layer. The energy transfer at the snow-soil interface is calculated by means of a 4-layer soil model. A detailed description of the implemented multi-layer model scheme can be found in Essery (2015).
- Whereas the cryospheric layer version of openAMUNDSEN can be combined with both the simple or the enhanced temperature-index approach or, alternatively, with the energy balance method, the multi-layer version requires the energy balance method to compute the energy and mass balances of the surface and the snow layers beneath. The simulation of glacier evolution as a response to the climatic conditions presupposes the cryospheric layer version to be applied.
- 481 3.9.1 Cryospheric layer version

In the cryospheric layer version of openAMUNDSEN, the transitions between new snow and old snow occur when reaching a predefined snow density threshold (by default 200 kg m<sup>-3</sup>), while remaining snow amounts at the end of the ablation season are transferred to the firn layer (by default on 30 September). Compaction for the new and old snow layers is calculated using the methods described below (in 3.10); for firn a linear densification is assumed. Once reaching a threshold density of 900 kg m<sup>-3</sup>, firn is added to the ice layer beneath. While snow albedo is parameterized using the aging curve approach (Rohrer, 1992), firn and ice albedo is kept constant (with default values of 0.4 and 0.2, respectively). The details of the cryospheric layer version of openAMUNDSEN are best described in Hanzer et al. (2016).

While snow temperature of the individual layers is not calculated using the cryospheric layering scheme, an approach following Braun (1984) and Blöschl and Kirnbauer (1991) is applied in order to determine an average cold content of the snow layers. This cold content builds up when the snowpack cools; it has to be depleted before melt and subsequent runoff can occur at the snowpack bottom. The maximum possible cold content is thereby set to 5 % of the total snowpack weight (the latter can be converted to an energy by multiplication with the latent heat of fusion).

When using this scheme, the snowpack is taken as a bulk layer to solve the surface energy balance. If air temperature is above 0 °C the model assumes that the snow surface temperature is 0 °C and melt occurs, the amount of which can be computed from the available excess of the energy balance. If the air temperature is below 0 °C, an iterative procedure to compute the snow surface temperature for closing the energy balance is applied. With this procedure, the snow surface temperature is altered until the residual energy balance passes zero.

501 3.9.2 Multi-layer version

In the multi-layer version of openAMUNDSEN, the vertical heat fluxes are computed through both the snow pack and into the ground (Essery, 2015). To solve the energy balance, melt is first assumed to be zero for the surface temperature change of every timestep. Snow is melting if the energy balance results in a surface temperature passing 0 °C. The temperature increment is recalculated assuming that all of the snow melts; if this results in a surface temperature below 0 °C, snow only partially melts during the timestep (Essery, 2015). Snow layer temperatures are then updated using an implicit finite difference scheme. Snow compaction and density of each layer are calculated in the same way as for the cryospheric layer version, as described in the following.

- 509 3.10 Snow density
- 510 For both layering schemes, fresh snow density is calculated using the temperature-dependent parameterization by
- 511 Anderson (1976), assuming a minimum density of 50 kg m<sup>-3</sup>. Snow compaction can be calculated using two
- 512 methods, one physically based approach following Anderson (1976) and Jordan (1991), and one empirical
- 513 approach following Essery (2015). For the former, density changes are calculated in two stages due to snow

514 compaction and metamorphism, taking into account temperature and snow load imposed by the layers above (see

515 also Koivusalo et al., 2001). For the empirical method, assumptions are made for maximum density of snow below 516 0 °C and for melting conditions (default values: 300 kg m<sup>-3</sup> for cold snow and 500 kg m<sup>-3</sup> for melting snow). The

timescale for compaction is an adjustable parameter (default value: 200 h). The increase of density for every 517 timestep is calculated as a fraction of the compaction timescale multiplied with the difference of maximum density

518

519 and the density of the last timestep (Essery, 2015).

520 3.11 Liquid water content

Meltwater occuring at the snow surface is not immediately removed from the snowpack, but a certain liquid water 521 content (LWC) can be retained. Following either Braun (1984) or Essery (2015), the maximum LWC is defined 522 523 as mass fraction of SWE or as a fraction of pore volume that can be filled with liquid water (volumetric water 524 content). If the maximum LWC is reached during snowmelt, runoff at the bottom of a snow layer occurs and drains 525 to the snow layer underneath, or - for the bottom snow layer - into the upper soil layer respectively. In the case of 526 a negative energy balance, this liquid water can refreeze.

#### 527 3.12 Snowmelt

Snowmelt can be computed in openAMUNDSEN by several approaches with different complexity. The simplest 528 529 method, the classical temperature index approach, is particularly suited for regions where only daily recordings of 530 temperature and precipitation are available. Melt M in mm per timestep is thereby computed as:

 $M = \begin{cases} DDF \cdot T & T > T_T \\ 0 & T \le T_T \end{cases}$ 531

532 with DDF being the degree day factor (or melt coefficient) in mm w.e. °C day-1 and T the mean daily temperature 533 in °C.  $T_T$  is the threshold temperature above which melt is assumed to occur (e.g., 1 °C). Low DDFs will be obtained for cold and dry areas, whereas high DDFs can be expected for warm and wet areas. 534

535 Second is a hybrid approach between the temperature index method and the energy balance, the so-called 536 "enhanced temperature index method" by Pellicciotti et al. (2005). By including potential shortwave radiation and 537 albedo these computations can also be applied to meteorological variables in hourly time steps:

538 
$$M = \begin{cases} TF \cdot T + RF \cdot (1 - \alpha) \cdot G & T > T_T \\ 0 & T \leq T_T \end{cases}$$

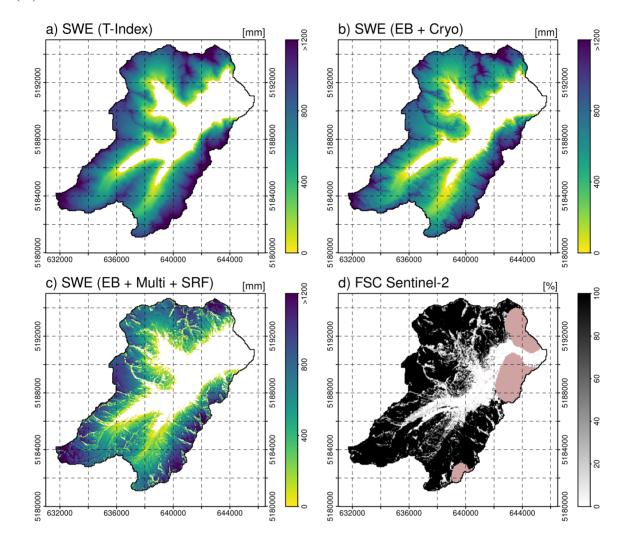
539 where T is an hourly temperature in  $^{\circ}$ C,  $\alpha$  is albedo and G is potential incoming shortwave radiation (which is simulated as described in 3.4). TF and RF are two empirical coefficients, the temperature factor and the shortwave 540 radiation factor, expressed in mm  $h^{-1} \circ C^{-1}$  and m<sup>2</sup> mm  $W^{-1} h^{-1}$ . T<sub>T</sub> is equal to 1 °C. When temperature is below T<sub>T</sub> 541 542 no melt occurs.

543 Melt rates using either the cryospheric layer or the multi-layer version of openAMUNDSEN also can be computed using the surface energy balance equation: 544

545 
$$Q + H + E + A + B + M = 0$$

546 with Q being the shortwave and longwave radiation balance, H the sensible heat flux, E the latent heat flux, A the 547 advective energy supplied by solid or liquid precipitation and B the soil heat flux. M is the energy potentially 548 available for melt. For a detailed description of the calculation of the individual energy fluxes see Strasser (2008). 549 A comparison of modelling results achieved with the different approaches is shown in figure 8. The temperature 550 index approach delivers results which only show dependence on the temperature and the precipitation gradient, 551 but no pattern affected by different radiative energy input depending on slope and aspect (figure 8a). These 552 computations can be performed with daily time step, hence they are comparably fast and only require temperature 553 and precipitation as meteorological input variables. Using the energy balance for computation of the accumulation 554 and ablation processes at the snow surface, and the cryospheric layer version for the internal processes inside the 555 snow pack, leads to a significantly more differentiated pattern of resulting snow distribution (figure 8b): The result 556 clearly shows the effect of topography on the ablation pattern of the snow cover on this day. In figure 8c, the 557 energy balance was combined with the multi-layer version of the model and the application of the SRF to consider 558 the lateral snow redistribution processes. Now, erosion from exposed summit and ridge areas can be detected, as 559 well as additional accumulation in the slopes beneath. This complex pattern best matches the snow distribution as

depicted in the fractional snow cover map derived from a Sentinel-2 image captured on the same day (figure 8d).
 The comparison of the simulation results achieved with increasingly sophisticated model versions shows that their
 plausibility clearly improves with consideration of radiative energy supply (8b) and lateral snow redistribution
 (8c).



564

Figure 8: Snow water equivalent on 18/06/2019 in the Rofental, simulated using the temperature index approach in daily resolution without wind-induced snow redistribution (a), using the energy balance (EB) approach and cryospheric layers (Cryo) without wind-induced snow redistribution (b) and using the energy balance (EB) approach with multi-layers (Multi) including wind-induced snow redistribution (SRF) (c). Panel (d) shows a fractional snow cover (FSC; including the glacier areas) map derived from Sentinel-2 satellite data for the same day (pink bobbles are unclassified pixes, in this case clouds).

571 4 Implementation in Python

For the rewriting of the original AMUNDSEN IDL code the Python language was chosen due to its popularity, 572 573 simplicity and the large number of excellent and well-tested numerical and scientific libraries available. 574 openAMUNDSEN especially makes use of the packages NumPy (Harris et al., 2020) for array calculations, pandas (McKinney, 2010) and Xarray (Hoyer and Hamman, 2017) for processing time series and multidimensional data 575 576 sets. While Python, being a scripting language, has limitations in terms of execution performance, these libraries allow efficient code execution due to the use of Fortran or C for the underlying calculations. For increasing the 577 578 runtime efficiency of performance-critical functions within openAMUNDSEN, the Numba library (Lam et al., 579 2015) is furthermore used for dynamically translating Python code into machine code.

580 openAMUNDSEN is implemented using an object oriented architecture, centering around the OpenAmundsen 581 class as the primary interface. This class represents a single model run and encapsulates all methods required to initialize and run the model. openAMUNDSEN can either be used as a stand-alone utility (using the openamundsen command line tool) or as a Python library. When used in stand-alone mode, the openamundsen command line tool must be invoked with the name of a configuration file in YAML format (i.e., openamundsen config.yml). If used as a library from within a Python script, the model configuration in form of a Python dictionary (commonly again sourced from a YAML file) must be passed when instantiating an OpenAmundsen object. A typical model run executed from within Python looks as follows:

```
588 import openamundsen as oa
589
590 config = oa.read_config('config.yml')
591 model = oa.OpenAmundsen(config)
592 model.initialize()
593 model.run()
```

594 This allows for substantial flexibility in simulation preparation, execution and postprocessing. For example:

- It is possible to change the model state variables after initializing them (e.g., the snow layers which are by default initialized as being snow-free can be initialized using prepared snow depth or SWE data). This is not only possible prior to running the model, but can also be done at any point during the model run by using model.run\_single() which performs the calculations for a single time step in a loop, instead of the model.run() call
- Model results do not necessarily have to be written to file but can also be stored in-memory and accessed directly from the OpenAmundsen class instance for further processing
- Several model runs can be prepared in a single script by initializing multiple OpenAmundsen instances and,
   e.g., be run in parallel.

604 Model runtime is influenced by various factors, most importantly the number of pixels simulated, but also the 605 number of weather stations used for interpolation of the meteorological variables, the choice of the layering scheme (cryospheric layers vs. multi-layer), the activated submodules (snow-canopy interaction, evapotranspiration, etc.), 606 the amount of I/O operations (the number of output variables and the temporal frequency in which they are written 607 to file), and others, openAMUNDSEN generally leverages multiple CPU cores (by operating over the model grid 608 609 pixels in parallel using Numba's parallelization features), however in practice the speedup gained by parallelism 610 is small due to the short-lived nature of the respective functions and the overhead from scaling to multiple cores. To give an example, a point-scale (i.e., 1x1) model run completes a full-year simulation using hourly time steps 611 in approx. 2 minutes on an AMD EPYC 7502P processor. A spatially distributed model run for a medium-sized 612 613 model grid (450 x 650 pixels) requires approx. 36 minutes per simulation year in single-core mode, and 33/30/28/27 minutes when using 2/4/8/16 cores, respectively. Running the model in pure Python mode (i.e., 614 615 disabling the Numba just-in-time compilation) can increase runtime by a factor of more than 40.

616 5 Model uncertainty and evaluation

617 The original versions of ESCIMO and then AMUNDSEN have been extensively validated in various Alpine sites (Strasser and Mauser, 2001; Strasser et al., 2002; Strasser, 2004; Pellicciotti et al., 2005; Strasser et al., 2008; 618 Strasser, 2008; Hanzer et al., 2014; Marke et al., 2015). Hanzer et al. (2016) showed the uncertainty of the model 619 application by means of a systematic, independent, complete and redundant validation procedure based on the 620 observation scale of temporal and spatial support, spacing, and extent (Blöschl, 1999). To evaluate the dimensions 621 of the observation scale a comprehensive set of eight independent validation sources was used: (i) mean areal 622 precipitation derived by conserving mass in the closure of the water balance, (ii) time series of snow depth 623 624 recordings at the plot scale, (iii-iv) multitemporal snow extent maps derived from Landsat and MODIS satellite 625 data products, (v) snow accumulation distribution derived from airborne laser scanning data, (vi) specific surface mass balances for three glaciers in the study area, (vii) spatially distributed glacier surface elevation changes for 626 the entire area and (viii) runoff recordings for several subcatchments. By means of this evaluation procedure, both 627 628 the simulated spatial patterns of the snow cover, as well as time series of its evolution, are quantitatively analyzed 629 with a maximum of considered independent comparison measures; the method hence represents an unprecedented completeness in the comparison of the simulation results with observations. The results indicate a high overall 630 model skill in all the dimensions and confirmed the very good model evaluations of the published case studies 631 632 (Hanzer et al., 2016). As an example for the model performance at the location of a meteorological station, figure 633 9 shows snow depth (9a) and SWE (9b) simulation results achieved with meteorological observations at the Proviantdepot station (2737 m a.s.l.) compared to recordings of snow depth for the winter seasons 2020/2021 and 634 2021/2022. All model versions well capture the seasonal course of the snow depth evolution. Of course, the 635

temperature index version could be optimized by means of calibration to better match the meltout time, so the lag of some days is not a lack of model "accuracy" in this case (a standard degree day factor of 6.0 mm K<sup>-1</sup> d<sup>-1</sup> was used, the same as for the results in figure 8a, without further calibration). The energy balance version of the model using the multilayer approach and considering lateral snow redistribution provides the best matching representation of the observations.

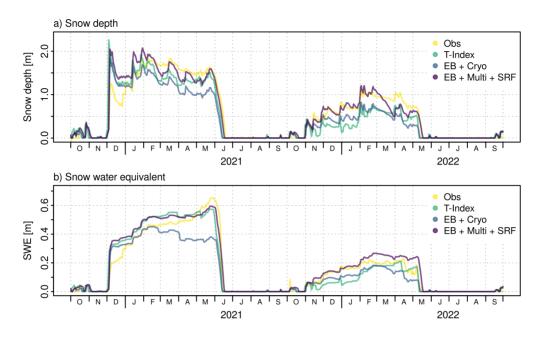


Figure 9: Observed and simulated snow depth (a) and SWE (b) at the location of the meteorological station
Proviantdepot (2737 m a.s.l.) located in the area of the example application Rofental (46.82951°N, 10.82407°E)
for the winter seasons 2020/2021 and 2021/2022. The Pearson correlation/Nash-Sutcliffe efficiency/Kling-Gupta
efficiency/RMSE for the T-index simulations of snow depth is 0.92/0.79/0.77/0.269, and for SWE
0.96/0.93/0.94/0.055. For the EB+Cryo model version, it is 0.93/0.70/0.61/0.283 (snow depth) and
0.94/0.76/0.65/0.076 (SWE), and for the EB+Multi+SRF model simulation 0.96/0.92/0.95/0.186 (snow depth) and
0.98/0.95/0.88/0.046 (SWE), respectively.

641

For the multi-layer version of the openAMUNDSEN model, the uncertainty of the model simulations was investigated by Günther et al. (2019) for point simulations at the local scale and by Günther et al. (2020) for distributed applications.

652 openAMUNDSEN was also subject to several model intercomparison studies. The very first version of the bulk 653 energy balance approach of AMUNDSEN (then still called ESCIMO) was compared to CROCUS for data of the 654 Col de Porte weather station located in the French Alps (1340 m a.s.l.) (Strasser et al., 2002). Later, the model was 655 intercompared to many other snow models in the series of the international Snow Model Intercomparison Projects 656 (SnowMIPs): in the original SnowMIP project (Etchevers et al., 2004), ESCIMO was evaluated together with 22 657 other snow models of varying complexity at the point scale using meteorological observations from the two 658 mountainous Alpine sites Col de Porte (1340 m a.s.l.) and Weissfluhjoch (2540 m a.s.l.), both in the European Alps. In the follow-up project SnowMIP2 (https://www.geos.ed.ac.uk/~ressery/SnowMIP2.html; last access: June 659 1, 2024), thirty-three snowpack models of varying complexity and purpose were evaluated across a wide range of 660 hydrometeorological and forest canopy conditions at five Northern Hemisphere locations, namely (Essery et al., 661 2009; Rutter et al., 2009): Alptal (Switzerland; 1185 m a.s.l.), BERMS (Canada; 579 m a.s.l.), Fraser (USA; 2820 662 663 m a.s.l.), Hitsujigaoka (Japan; 182 m a.s.l.) and Hyytiälä (Finland; 181 m a.s.l.). For each location two sites were used, one in the open (no canopy) and one forested (canopy) site. Finally, the surface energy balance core of the 664 model participated in ESM-SnowMIP (https://climate-cryosphere.org/esm-snowmip/; last access: June 1, 2024), 665 an international intercomparison project to evaluate twenty-seven current snow models against local and global 666 observations for a wide variety of settings, including snow schemes that are included in Earth System Models 667 (Krinner et al., 2018). A further objective of ESM-SnowMIP is to better quantify snow-related feedbacks in the 668 669 Earth system. ESM-SnowMIP is tightly linked to the Land Surface, Snow and Soil Moisture Model Intercomparison Project (https://climate-cryosphere.org/ls3mip/; last access: June 1, 2024), which is a contribution 670 to the 6th phase of the Coupled Model Intercomparison Project (CMIP6; https://wcrp-cmip.org/cmip-phase-6-671 672 cmip6/; last access: June 1, 2024). One of the results of ESM-SnowMIP was an unexpected surprise: more sites, more years and more variables do not necessarily provide more insight into key snow processes; instead, "this led 673

to the same conclusions as previous MIPs: albedo is still a major source of uncertainty, surface exchange parameterizations are still problematic, and individual model performance is inconsistent. In fact, models are less

676 classifiable with results from more sites, years and evaluation variables" (Menard et al., 2021). Currently,

677 openAMUNDSEN belongs to the range of models within the COPE initiative (Common Observing Period

Experiment) of the INARCH project (<u>https://inarch.usask.ca/science-basins/cope.php</u>; last access: June 1, 2024).
 It can be expected that many new insights about the models internals will mutually be learned from these model

- 679 It can be expected that many new insights about 680 intercomparisons in the upcoming future.
- 681 6 Conclusions

In this paper, we present openAMUNDSEN, a fully distributed open source snow-hydrological model for 682 683 mountain catchments. The model includes a wide range of process representations of empirical, semi-empirical 684 and physical nature. openAMUNDSEN allows finding a compromise between temporal and spatial resolution, time span of the simulation experiment, size of the considered region, physical detail and consistency as well as 685 performance. E.g., it offers choices between the temperature index approach to determine snowmelt rates from 686 687 daily temperature and precipitation, or hourly closure of the surface energy balance and calculation of a number 688 of state variables for several snow layers using temperature, precipitation, humidity, radiation and wind speed as 689 forcing data, openAMUNDSEN is computationally efficient, of modular nature, easily extendible and also allows 690 for using factorial designs to determine interactions between processes and their effect on the accuracy of the 691 simulation results (Essery et al., 2013; Günther et al., 2019, 2020). Hence, the application of the model is very 692 flexible and it supports a multitude of applications or simulation experiments to address any kind of hydrological, 693 glaciological, climatological or related research questions.

694 The model has been evaluated and proven its applicability at many sites worldwide. Most of all, it was subject to

a systematic, innovative, multilevel spatiotemporal validation with independent datasets of various resolution and

696 extent from an instrumented site in the European Alps (Hanzer et al., 2016). In all cases, the model showed high 697 overall skill and well captured the spatial and temporal patterns as well as magnitudes of the observations.

698 The Python model code for openAMUNDSEN is available for the public as open source project on GitHub 699 (https://github.com/openamundsen/openamundsen; last access: June 1, 2024), including a documentation which is 700 subject to continuous extension and improvement (https://doc.openamundsen.org; last access: June 1, 2024). The generator 701 weather (see Appendix) available bootstrap resampling is at

- 702 <u>https://github.com/openamundsen/openamundsen-climategenerator\_(last access: June 1, 2024).</u>
- 703 7 Future developments

704 The openAMUNDSEN model code is continuously further improved and extended. The modelling of the 705 processes of lateral snow redistribution will benefit from a simulation of local wind fields, e.g. as recently demonstrated by Quéno et al. (2023). On top of the wind-induced processes of saltation, turbulent suspension (with 706 707 sublimation) snow is also transported downslope by means of avalanches, the origin also of accumulated masses 708 of snow leewards of crests. In the original, IDL-based version of AMUNDSEN (Strasser, 2008) the avalanche 709 process was parameterized based on the Mflow-TD algorithm by Gruber (2007); the latter was later extended with 710 a continuous update of the surface elevation model to correct for eroded/deposited masses of snow (Bernhardt and 711 Schulz, 2010). A comparable algorithm is in development to be included in openAMUNDSEN soon. Another path 712 of improvement is foreseen for the snow-canopy interaction module. On the one hand, the parameterization of 713 inside-canopy meteorological variables derived from measurements taken in the open will be further improved by 714 utilizing the new (winter) measurements of inside-canopy meteorological variables, i.e. from the Col de Porte meteorological station in the French Alps (Sicart et al., 2023). Further, it is intended to couple the snow-canopy 715 716 interaction module with a dynamically simulated evolution of the LAI from iLand model simulations (Seidl et al., 717 2012). The ultimate goal of this effort is to bi-directionally couple the snow processes inside the canopy with its 718 long-term evolution to enable the simulation of scenarios of the effect of climate change on the coupled 719 hydrological/biological system of mountain forests.

To compute streamflow discharge in mostly glacierized catchment to be compared to gauge recordings, a linear reservoir cascade approach following Asztalos (2007) has been implemented as a separate post-processing tool (Hanzer et al., 2016). The linear reservoir approach is a comparable simple empirical method to produce a runoff curve for a certain location of the stream without the need to provide physical parameters for the catchment characteristics (e.g., soil), or the wave propagation along the channel. Instead, a series of parallel linear reservoir cascades (Nash, 1960) is computed the parameters of which are calibrated by maximizing the Nash-Sutcliffe efficiency NSE and minimizing the relative volume error (following Lindström, 1997). Due to its purely empirical nature and the fact that its application is limited to small glacierized catchments with short concentration time only, the linear reservoir approach will not be included into the openAMUNDSEN project on the openAMUNDSEN GitHub repository. Instead, it is foreseen to test and develop new approaches in machine learning, e.g. in the field of LSTM (Long Short-Term Memory Networks Modelling) which can provide very good results for hydrological streamflow simulations (Kratzert et al., 2021). Other such new developments also exist in the combination of hydrologial modelling, remote sensing and machine learning (De Gregorio et al., 2019a and b). Since AI is a field of rapid development in scientific modelling we expect significant advances also in snow-

734 hydrological modelling using these innovative methods.

Finally, we see a promising way to increase the model accuracy by assimilating satellite data derived maps of,

e.g., snow coverage and/or wet snow area to select the best matching model run out of an ensemble of simulations

that has been created by perturbating the meteorological forcing or the parameters of the model. First developments

are already undertaken in this direction. This way the model can also be accurately initialized when applied for

739 predictions using weather forecast model output as meteorological forcing.

740 Appendix: Generation of potential future climate in openAMUNDSEN

741 Data timeseries of future climate evolution to force openAMUNDSEN for climate change scenario simulations 742 can be produced by means of a stochastic block bootstrap resampler which is realized as external pre-processing 743 routine (https://github.com/openamundsen/openamundsen-climategenerator; last access June 1, 2024). The 744 method requires a sufficiently long time series of historical meteorological recordings from a period with as much 745 as possible variable weather conditions in the considered region. The principles of the implemented weather 746 generator follow Strasser (2008) and are described herein. Basic assumption of the method is that a climate storyline can be divided into time periods which are characterized by a certain mean temperature and precipitation 747 748 and that these two variables are not independent from each other:

$$P_{tot} = f(T_{mean})$$

750 Thereby P<sub>tot</sub> is the total precipitation amount of a specific time period, T<sub>mean</sub> is the mean temperature and f their 751 functional dependency. The time periods can be set to any length, i.e. to months as in Mauser et al. (2007) or to 752 weeks as in Strasser (2008). In a first step, the typical annual course of the measured meteorological variables is 753 constructed by computing mean temperature and total precipitation for the periods using all years of the historical 754 dataset and applying the given formula. Whereas temperature is characterized by a typical seasonal course in the 755 Alpine region (warm in summer, cold in winter), the annual course of the precipitation totals of a period with 756 certain duration can be more complex. The resulting mean annual climate course is used to construct the future 757 data time series period by period: firstly, the respective temperature for the period is modified with a random 758 variation factor and an assumed projected temporal trend (e.g., as derived from a regional climate model 759 application). Then a corresponding precipitation is derived and, again, a random variation. In the end the climate of a future period is defined by the so obtained mean temperature and precipitation. In a final step, the period from 760 the historical pool having the most similar temperature and precipitation is selected by applying an Euclidian 761 762 nearest neighbour distance measure. All respective data of the chosen period (e.g. air temperature, precipitation, 763 global radiation, relative humidity and wind speed) are then added to the future time series to be constructed. This procedure is continuously repeated for all periods of the year, and for all years of the future time series. By 764 modifying the applied random variation a change in climate variability can be simulated. To allow for more 765 766 flexibility in the construction of the periods, in our implementation the basic population from which the measured 767 period is chosen (= the number of periods available, being equal to the number of years for which observational 768 data is available) can be synthetically extended by allowing for one or more periods before and after the one to be

769 constructed (figure A1).

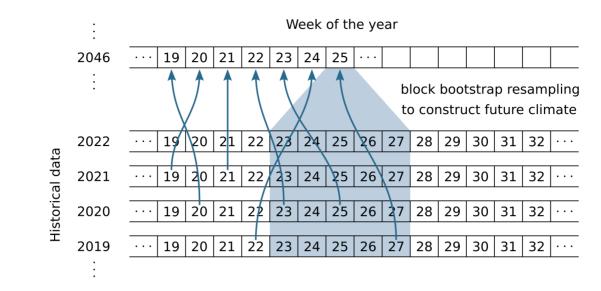


Figure A1: openAMUNDSEN pre-processing with the weather generator: choice of corresponding historical periods to construct a data timeseries of future climate evolution with preset trend and random variation from given meteorological observations. The number of periods from which data can be selected to construct a particular period of a year in the future time series is set to five in this example.

775 The described procedure has a number of specific features: (i) the key advantage of the method is that the physical 776 relationship between the meteorological variables is maintained in the simulation; (ii) bootstrap models, such as 777 the described one, obviously work well at high temporal resolution, e.g. 1 to 3-hourly; (iii) the produced data time series is in the validated range for the subsequent hydrological modelling; (iv) a synthetic baseline scenario can 778 779 easily be constructed by assuming a zero trend for temperature; (v) the procedure is computationally very efficient 780 and finally, (vii) the spatial resolution of the data is preserved as it exactly corresponds to the weather station 781 locations. However, a significant drawback of the method is that auto-correlation between the periods is lost and 782 the consideration of changes in the variability of the meteorological variables is limited. Together with the fact 783 that changes in extreme values are not considered (only their frequency can change) it becomes clear that the data 784 resulting from the method cannot be used for modelling variations in the extent of hydrological extremes. 785 Furthermore and most crucial, no coupling is considered between the (simulated) characteristics of the land surface 786 - e.g. whether it is snow-covered or not - and the atmosphere, and therefore the important effects of feedback 787 mechanisms are not conserved in the construction of the data timeseries. This, however, is a drawback that also 788 many physical climate models share. Examples of the application of the procedure to the high Alpine region of 789 the Berchtesgaden Alps (Germany) with subsequent modelling of snow processes, including snow-canopy 790 interaction, are given in Strasser (2008).

791 Code availability.

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The openAMUNDSEN model code is available under the MIT license, a short and simple permissive license with conditions only requiring preservation of copyright and license notices. The download site for the model code is <u>https://github.com/openamundsen/openamundsen</u> (last access: June 1, 2024). The model in the presented version

v1.0 is available on Zenodo (Hanzer et al., 2024).

796 Data availability.

797 We provide a comprehensive data set that can be used with openAMUNDSEN for the high alpine research 798 catchment of the upper Rofenache (98.1 km<sup>2</sup>, Ötztal Alps, Tyrol/Austria) under the Creative Commons Attribution License at PANGAEA (https://doi.org/10.1594/PANGAEA.876120; last access: June 1, 2024) including (i) 799 800 glaciological data, i.e., recordings of glacier volume and geometry changes; (ii) meteorological data as recorded by temporally installed or permanent automatic weather stations; (iii) hydrological data characterizing the water 801 802 balance of the respective glaciated (sub-) catchment; and (iv) airborne and terrestrial laser scanning data (Strasser 803 et al. 2018). The data time series cover periods of various lengths until 2017. This data is currently extended until 804 August 2023 under the same license (Warscher et al., 2024) and available at 805 https://doi.org/10.5880/fidgeo.2023.037 (last access: July 21, 2024).

806 Sample availability.

The sample data for the Rofental research catchment (Ötztal Alps, Austria) which has been used to produce the figures is available at <u>https://doi.org/10.1594/PANGAEA.876120</u> (last access: June 1, 2024) and at <u>https://doi.org/10.5880/fidgeo.2023.037</u> (last access: July 21, 2024). Further, an openAMUNDSEN setup is available at <u>https://github.com/openamundsen/openamundsen-examples</u> (last access: June 1, 2024).

811 *Author contributions.* 

US designed and developed the original version of the AMUNDSEN model and wrote the paper manuscript; MW did many model experiments, wrote the documentation, further develops the model, processes the Rofental data, supports the maintenance of the GitHub site and contributed to the final version of the manuscript; ER supported the example application model simulations and the manuscript writing process, produced the figures and contributes to further model development; FH developed many parts of the model in the IDL version, designed and implemented the new Python version, continuously further develops the model, supervises the GitHub repository and any improvement there as well as wrote the technical parts of the manuscript of this paper.

- 819 *Competing interests.*
- 820 The authors declare that no competing interests exist.
- 821 Disclaimer.
- 822 TEXT
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824 Since the beginning of the AMUNDSEN model development, many colleagues have contributed with their 825 valuable experience in field work, modelling and programming. In the early days, the basics for the general design of such a model were learned from Wolfram Mauser (University of Munich, Germany), and in particular for 826 827 anything snow-specific from "Wasti" Markus Weber, Heidi Escher-Vetter and Ludwig Braun (Bavarian Academy 828 of Sciences Munich, Germany) as well as from Michael Kuhn (University of Innsbruck, Austria). Later, the model 829 code was further developed using the valuable experiences from a 1-year-position of visiting scientist at the Centre d'Etudes de la Neige CEN in Grenoble, France. There, the model mostly profited from the lessons learned from 830 Yves Lejeune, Pierre Etchevers † and Eric Martin, as well as from the other colleagues of the crew at the snow 831 research center in 1999/2000. At CEN, the first author learned a lot about snow processes and their modelling 832 833 from first hand of the professionals. The Arolla glacier expedition 2001, with a lot of joint learning success, was 834 supported by Paolo Burlando, Francesca Pelliciotti and Martin Funk (all ETH Zurich), Javier Corripio (University of Edinburgh, Scotland) and Ben Brock (University of Dundee, Scotland). Ongoing testing, improvements as well 835 as support for further model development in several projects and publications was contributed by Monika Prasch 836 and Matthias Bernhardt (both University of Munich, Germany) as well as Thomas Marke (University of Innsbruck, 837 838 Austria). The model development also significantly profited from the support of the Berchtesgaden National Park administration, namely Michael Vogel, Helmut Franz and Annette Lotz (Berchtesgaden, Germany). Many field 839 work experiences by Stefan Pohl † and Jakob Garvelmann helped to improve the process descriptions for the forest 840 canopy module. In general, by many provided opportunities in joint projects, the openAMUNDSEN model 841 development generally profited from the work of Samuel Morin (Meteo-France, Grenoble, France), Richard 842 843 Essery (University of Edinburgh, Scotland), Glen E. Liston (Cooperative Institute for Research in the 844 Atmosphere/Fort Collins, Colorado) and John Pomeroy (University of Saskatchewan, Canada). The satellite data was processed and provided by Thomas Nagler and Gabriele Schwaizer (Enveo, Innsbruck) in the framework of 845 846 the AlpSnow project. The LTSER platform Tyrolean Alps – which the Rofental site belongs to – is part of the 847 national and international long term ecological research network LTER-Austria, LTER Europe and ILTER. This infrastructure is financially supported by the University of Innsbruck (Faculty of Geo- and Atmospheric Sciences); 848 it is part of its Research Area "Mountain Regions". The Unversity of Innsbruck generously supported the complete 849 re-design and programming of the model in Python and hence the possibility to provide it as open source code to 850 the scientific community. Finally, the University of Innsbruck also greatfully supported the open access 851 852 publication of this paper. Last, but not least, we gratefully acknowledge the valuable review work provided by 853 Richard Essery and an anonymous reviewer.

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