How does perceived heat stress differ between urban forms and human vulnerability profiles? – case study Berlin

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Abstract.

Urban areas in all world regions are experiencing increasing heat stress and heat-related risks. While in-depth knowledge exists in terms of the urban heat island effect and increased heat stress in cities in the context of climate change, less is known about how individual heat perceptions and experiences differ between urban forms or with different vulnerability profiles of exposed people. It is crucial to identify and assess differences within cities relating to urban form and social structure, as both need to be considered when designing adaptation plans for heat-related risks. Here, we explore linkages between urban structure types (USTs), heat stress perception and different socioeconomic group's experiences in Berlin using a household survey, statistical and earth observation data. Our approach (1) quantifies perceived heat stress across USTs, considering characteristics such as, age, income, vegetation cover and shadow; (2) characterises social dimensions of UST to enhance it being addressed in climate adaptation; and (3) benefits from the synergistic disciplinary approach of the urbisphere project with rich social and physical datasets. We characterize the urban region following the ring structure developed in the urbisphere project. Although heat stress exposure is higher in the inner-city ring, we find that a higher percentage of vulnerable groups in the outer city (6 km to 18 km from city centre) where more-78% of Berlin's elderly live. We underscore the need for attention in future adaptation plans based on the USTs, human vulnerability profile and adaptive capacities. For example, in densely spaced building blocks 67% of respondents perceived high heat stress and fractions of vegetation and shadow are comparatively very low, e.g., for elderlyliving in high-rise buildings and for dense blocks with less green and shaded spaces availability. The method and findings can inform future

adaptation strategies of other cities to consider different profiles of vulnerability and adaptive capacities within and between USTs.

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1. Introduction

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Globally, all regions are increasingly affected by climate change (IPCC, 2023). Heat stress is a key challenge-impacting more as urban citizens increase from the current 56.2% of global population to projected 68.4% by 2050 (United Nations, 2022). While human vulnerability is highest and resilience lowest in rapidly growing urban areas in developing countries (Birkmann et al., 2016), heatwaves impact cities globally (e.g., Europe 2003 Schär et al., 2004) highlighting a general need for enhanced resilience. Global increases of near-surface air temperature are projected to be 2°C by 2050 (Rosenzweig et al., 2018) (IPCC, 2021) without immediate reduction in GHG emissions (Gallardo et al., 2022). Cities are potentially subject to twice the levels of heat stress as compared to their rural surroundings under all representative concentration pathways (RCP) scenarios by 2050 (Wouters et al., 2017). Compound events are likely with urbanization and frequent extreme climate events resulting in adverse consequences (Babiker et al., 2022). Heat stress impacts urban residents by adding health burdens, notably cardiovascular, respiratory and vector-borne disease (e.g., dengue fever and malaria), (Song et al., 2016, Li et al., 2015) (IPCC, 2022), and decreasing work productivity (Park et al., 2015) (IPCC, 2022). Heat-related mortality, a key climate change risk to human health (Vicedo-Cabrera et al., 2021; Lüthi et al., 2023), is exacerbated in urban areas as global and regional temperature extremes are intensified by the urban heat island effect (Gallardo et al., 2022). Heat risk for individuals depends on temperatures, exposure, vulnerability and adaptive capacities (Adelekan et al., 2022).

Many studies illustrate impacts of urbanization on heat stress (Stewart and Oke, 2012; Lemonsu et al., 2015; Narocki, 2021; Tollefson, 2021; Tuholske et al., 2021). With greater urbanization both urban heat islands intensity (Stewart et al., 2021) and energy consumption (Voogt and Oke, 2003; Stewart et al., 2021) increase. However, urbanization also plays a pivotal role in reducing the impacts through elimate resilient development (Adelekan et al., 2022) through numerous factors (e.g., urban morphology, vegetation, materials, anthropogenic heat flux), with urban morphology being one of the strongest influences on urban heat island intensity (Oke, 1981;
 Grimmond, 2007; Oke et al., 2017b; Gallardo et al., 2022). Urban form or morphology is impacted by building density and size, with tall dense buildings have greater re radiation of longwave radiation therefore retaining heat longer overnight, and reduced airflow within the urban canopy (Grimmond, 2007; Oke et al., 2017b). Building materials store large amounts of heat during the day, providing a large source of energy to be released at night (Grimmond and Oke, 1999; Oke et al., 2017b). Whereas, open vegetated areas can cool more rapidly at night, facilitating circulation and reducing heat stress. Human activities in domestic, commercial, and industrial areas or traffic-related heat sources act as a source of anthropogenic heat, contributing to local atmospheric warming (Schwingshackl et al., 2024). These factors and the inter- and intra urban spatial disparities can exacerbate exposure and influence- vulnerabilities of disadvantaged urban dwellers (Adelekan et al., 2022).

Urban and spatial planning primarily focuses on physical urban typologies and phenomena when dealing with climatic risks and adaptation issues (Turek-Hankins et al., 2021; Wendnagel-Beck et al., 2021; Marando et al., 2022), but different levels of human vulnerability and adaptive capacities of residents are insufficiently addressed (Turek-Hankins et al., 2021). Despite susceptible group's coping and adaptive capacity is included in some climate risk assessment frameworks (Willroth et al., 2012; Birkmann et al., 2013; Kunz-Plapp et al., 2015; Feldmeyer et al., 2017; Jamshed et al., 2017; Feldmeyer et al., 2019; Zuhra et al., 2019; Sun et al., 2021, Iqbal et al., 2022), this knowledge is often unconnected in practice (e.g. in climate adaptation plans Hannemann et al., 2023). Heat adaptation plans implemented with marginalized and vulnerable populations as targets are little published (Eldesoky, A. H. Gil, J. and Pont, 2022), There is lack of evidences of heat adaptation plans that explicitly address marginalized and vulnerable populations who may live in lower-income neighbourhoods or be homeless. Examples include planting trees and green corridors in prioritized vulnerable areas with less access to green spaces (e.g.,

Aburrá Valley city's Mayor's Office and the Metropolitan Area Medellín Colombia, 2021) and creating shady areas and cool places outdoors (e.g., awnings/ tents) for homeless people, distributing water bottles at counselling centres and day centres (e.g., Bochum Department of Social Affairs, Germany, 2021) but do include Corburn et al.'s (2020) tree planting campaign targeting low income areas in Medellín, Colombia. 'Heat equity' of interest, for example, in Paris (France) involves planning a city-wide network of cooling areas (parks and pools) connected by cool walkways ("Cities must protect people from extreme heat", 2021) (Nature, 2021), and in Bochum (Germany) homeless people are targeted in their 2021 heat adaptation concept note (Amt für Soziales, 2023). As socio-demographic and economic aspects of exposed people determines human vulnerability, they are also key when trying to understand and respond to heat related risk in cities. Thus, urban planning responses to climate change need to better understand dynamics and patterns of exposure, vulnerability and adaptive capacities of people.

1.1. Urban form classification – combining urban morphology and heat characteristics

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Many studies illustrate impacts of urbanization on heat stress (Stewart and Oke, 2012; Lemonsu et al., 2015; Narocki, 2021; Tollefson, 2021; Tuholske et al., 2021). With greater urbanization both urban heat islands intensity (Stewart et al., 2021) and energy consumption (Voogt and Oke, 2003; Stewart et al., 2021) increase. However, urbanization also plays a pivotal role in reducing the impacts through climate resilient development (Adelekan et al., 2022) through numerous factors (e.g., vegetation, materials, anthropogenic heat flux), with urban morphology being one of the strongest influences on urban heat island intensity (Oke, 1981; Grimmond, 2007; Oke et al., 2017b; Gallardo et al., 2022). Urban form or morphology is impacted by building density and size, with tall dense buildings have greater reradiation of longwave radiation therefore retaining heat longer overnight, and reduced airflow within the urban canopy (Grimmond, 2007; Oke et al., 2017b). Building materials store large amounts of heat during the day, providing a large source of energy to be released at night (Grimmond and Oke, 1999; Oke et al., 2017b). Whereas, open vegetated areas can cool more rapidly at night, facilitating circulation and reducing heat stress. Human activities in domestic, commercial, and industrial areas or traffic-related heat sources act as a source of anthropogenic heat, contributing to local atmospheric warming (Schwingshackl et al., 2024). Urban form and function are important for a wide range of applications in many sectors (e.g. Barlow et al., 2017), including infrastructure and landscape planning. Form influences many aspects of energy exchange (e.g. Zhou et al., 2011, Oke et al., 2017a; Yue et al., 2019) with many parameters used to characterise the urban form, for example, floor area ratio and building aspect ratio (Yang et al., 2021; Liu et al., 2023) and directly impacted by it, for example, sky view factor and shadow fraction. These factors and the inter- and intra-urban spatial disparities can exacerbate exposure and influence vulnerabilities of disadvantaged urban dwellers (Adelekan et al., 2022).

At the neighbourhood (or local-) scale local climate zones (LCZs) can characterise the areas where near surface air temperature observations are taken when assessing urban heat island intensity in a globally comparable way (Stewart and Oke, 2012). The LCZ provide a range of values for each LCZ type for several parameters, including building density, sky view factor and impervious fraction (Stewart and Oke, 2012). Give the ease of obtaining some of the parameters from satellite-data (e.g. Mitraka et al., 2015; Zhu et al., 2018; Oliveira et al., 2020) and availability of crowd-source observations urban climate studies have been undertaken both in Berlin (e.g. Fenner et al., 2017) and in many other cities (e.g. Bechtel et al., 2015; Verdonck et al., 2018; Ren et al., 2019; Aslam et al., 2022). Planners are using LCZs quite widely (Klopfer, 2023), as LCZ maps of cities are becoming globally available (e.g. Demuzere et al., 2022), but may lack reliable local expert-generated data for climate adaptation planning use (Klopfer, 2023). With LCZ intended to be global applicable, some parts of a city may be difficult to classify (Bechtel et al., 2015; Zhu et al., 2018) within the original classes.

City planning departments have combined building metrics (e.g. functional use, number of storeys, building age) to identify urban structure types (USTs) (Senatsverwaltung für Stadtentwicklung und Umwelt, 2014; Senatsverwaltung für Stadtentwicklung und Wohnen, 2021; LUBW Landesanstalt für Umwelt, Messungen und Naturschutz Baden-Württemberg, 2014) for use when mapping their regions. Overall, USTs provide an entry point in analysing inter- and intra-urban variations, both for physical and social urban structures (Wendnagel-Beck et al., 2021). In climate change studies, USTs have been linked to climate hazards such as heat stress and used for climate adaptation planning in some cities (LUBW Landesanstalt für Umwelt, Messungen und Naturschutz Baden-Württemberg, 2014; Senatsverwaltung für Stadtentwicklung, Bauen und Wohnen, 2023). Whilst USTs require expert input and detailed data to be developed (Klopfer, 2023), as LCZs are intended to use the same "standards" to describe parts of cities they may have greater utility for large scale applications (Bechtel et al., 2015; Zhu et al., 2018). Nevertheless, both are applicable in a particular city and region, and could be used in city planning and climate adaptation.

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1.2. Urban structure type (USTs): considering physical and socio-economic factors to assess cities <u>for climate</u> <u>adaptation</u>

Already USTs are important basis of adaptation plans for heat stress in some German cities, with more developing them (Senatsverwaltung für Stadt-ent-wicklung und Umwelt, 2014; LUBW Landesanstalt für Umwelt, Messungen und Naturschutz Baden-Württemberg, 2014; Downes et al., 2024). For example, Karlsruhe and Berlin consider USTs in their climate adaptation plans and strategies (Senatsverwaltung für Stadtentwicklung und Umwelt, 2014; LUBW Landesanstalt für Umwelt, Messungen und Naturschutz Baden-Württemberg, 2014). The methodology has three steps, that (Wendnagel-Beck et al., 2021): (1) characterizes cities through USTs, (2) identifies climate hotspots that require adaptation, and (3) develops adaptation measures for different USTs. Many applications have characterized USTs using only physical indicators (e.g. building age, building height, building use, building geometry, and open space characteristics). In the identification of climate hotspots, sometimes demographic aspects (e.g. elderly, children and total population density) are captured, for example, as done in Karlsruhe. However, some key socio-economic and behavioural aspects (e.g., income, risk perception and experience and willingness to adapt) are not investigated fully (Wendnagel-Beck et al., 2021).

USTs are used in urban monitoring; for instance, assessment of peri-urbanization transitions (Downes et al., 2024) and amount of residential greenery (Battisti et al., 2019). UST use in climate assessments includes: thermal performance in Berlin with satellite derived land surface temperature, building height, buildings plan area fractions of and impervious area being influential factors (Kloper (2023), and indoor and outdoor temperature comparisons in Leipzig (Franck et al., 2013). In Munich, the distance of USTs from the city centre is correlated with land surface temperature (Heldens et al., 2013). A guidebook on adapting to climate change in Dresden uses USTs as an indicator for settlement heat sensitivity (Wende, 2014).

Overall, most studies using USTs focus on the physical structures but lack information on socio-economic and vulnerable populations (e.g. elderly, low income, and/or otherwise disadvantaged groups). The various impacts of heat and perceptions of heat stress for those living in USTs (i.e., detached houses, block development, row houses, large housing estates etc) and their socio-economic attributes (e.g. age, income) have not been sufficiently explored and integrated into adaptation strategies, despite this information being crucial for effective people centred adaptation. Moreover, in Berlin's Urban Development Plan Climate 2.0 (Senatsverwaltung für Stadtentwicklung, Bauen und Wohnen, 2023) cold air drainage and climate function of open spaces are identified. Less information is given on the population's socio-economic characteristics, their settings, heat stress perceptions, behaviour patterns or adaptation responses. To address these limitations, a household survey (Sect. 2.2.) is undertaken in Berlin to explore:

- I. Does perceived heat stress change from the centre of the urban region towards the periphery?
- II. How does measured thermal comfort correspond to perceived heat stress by residents?
- 135 III. How does perceived heat stress differ within an UST and along various USTs?
 - IV. Are the human vulnerability characteristics and adaptive capacity significantly different between USTs or are variances within USTs more significant?
 - V. How does perceived heat stress differs amongst various socio-economic groups and vulnerability factors?
 - VI. How can this new knowledge be applied in future climate change adaptation strategies of urban regions?

The ERC urbisphere project aims to characterise intra-city variability in a consistent manner globally. To do this, a simple ring structure is identified developed for the city of Berlin (section 2.1.) based on building density and other data sources (Fenner et al. 2024). Here, we capture similarities and differences of perceived heat, socio-economic structure and adaptive capacities across USTs and city rings and computed corelation between themin Berlin, and work towards a transferable standardized methodology applicable to other case studies.

Methods 145 2.

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2.1. Berlin study area

Deutscher Wetterdienst and and Senatsverwaltung für Stadtentwicklung (2010) found that Berlin citizens experience heat stress from rising regional temperatures intensified by the urban heat island effect with 1°C increase in mean annual air temperature between 1971 and 2000 (Deutscher Wetterdienst and Senatsverwaltung für Stadtentwicklung, 2010). Tropical nights (nocturnal air temperature above 20°C) 150 have risen in the inner city by an average of 5 nights between 1967 and 2008 (Deutschänder et al., 2010) (Deutscher Wetterdienst and Senatsverwaltung für Stadtentwicklung, 2010), and very hot days (maximum day time temperature > 30°C) are expected (Deutscher Wetterdienst and Senatsverwaltung für Stadtentwicklung, 2010) to occur on an average of 25 days annually by 2050 (Deutschänder et al., 2010-)(Deutscher Wetterdienst and Senatsverwaltung für Stadtentwicklung, 2010). With Berlin's continental climate exacerbating summertime heat, city planning and environmental departments are increasingly keen to enhance their adaptability (Senatsverwaltung für 155 Stadtentwicklung, Bauen und Wohnen, 2023).

The Berlin region has a polycentric city structure, notably with two city centres existing from the east-west separation after World War two period. Following the *urbisphere-Berlin* campaign analysis of form (building area fraction, vegetation area fraction, and building volume) and function data (population density, anthropogenic heat influxes) (Fenner et al., 2024, their Fig. 2), identify an inner city ring (radius 6 km) and an outer city ring (radius 18 km) are identified. Our first premise with ring structure is that there are broadly two city zones (inner and outer city), surrounded by a rural area. The proposed ring structure for Berlin is defined by an interdisciplinary team (meteorology, remote-sensing and urban/spatial planning) as an attempt to provide a simplified and comparative approach replicable in other cities (Fenner et al. 2024). Another important aim is to compare results and provide complementary methods and approaches between urban climate studies and urban planning studies. In this context, classifications and analysis schemes of different research communities are applied and linked. The latter-outer ring we split at 12 km, to give three urban rings, which hereafter we refer to as A, B1,

B2 from inner to outer Berlin (Fig. 1a).

The Senatsverwaltung für Stadtentwicklung und Wohnen (2021) identify 13 residential USTs (Table 1) for Berlin. We use <u>5-95th</u> percentile ranges of socio-demographic and physical datasets e.g., population density, building morphology, number of storeys, building age, green volume, degree of sealing etc. (Table A1) to reduce this to seven classes (Fig. 1a, Table 1) for comparison. In ring A, there is a larger proportion of dense and close block USTs (42%) than in either rings B1 or B2 (Fig. 1b). The share of block edge development is also comparatively higher in the ring A. However, (semi-)detached and terraced houses dominate in rings B1 and B2 (54% and 75%, respectively). In rings A and B1, row development with landscape green strips are also common (13% and 16%, respectively). Whereas, large estate development with tower high-rise buildings occur in all three rings but decreasing proportion with distance from the centre (A: 11%, B1: 10% and B2: 8%).

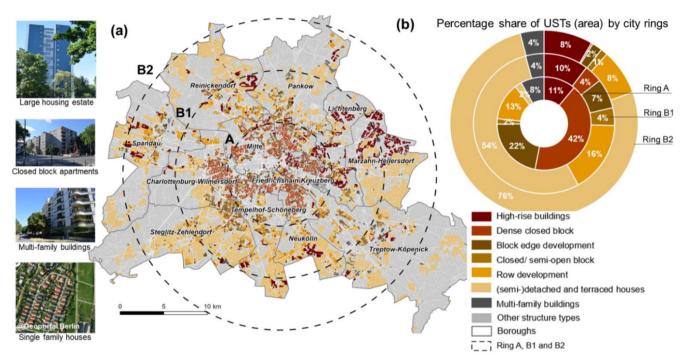


Figure 1: Berlin study area (a) inner (A) and outer (B1, B2) city rings and Senatsverwaltung für Stadtentwicklung und Wohnen (2021) urban structure types (UST, Table 1) with example photos and boroughs labelled, and (b) plan area of USTs (%) in each city ring. (photoPhoto source: Marvin Ravan).

Table 1: Berlin's urban structure types (UST) (Senatsverwaltung für Stadtentwicklung und Wohnen, 2021), new USTs classes and short name use. Table A1 gives basis for the new classes.

USTs based on residential form	New Classes	Short name
Large estate with tower high-rise buildings (1960s-	Large estate with tower high-rise buildings	High-rise buildings
1990s), 4-11-storey	(1960s–1990s)	
Dense block development, closed rear courtyard (1870s-	Dense and closed block (1870s–1918s)	Dense closed block
1918), 5-6-storey		
Closed block development, rear courtyard (1870s-1918),		
5-6-storey		
De-cored block-edge development, post-war gap closure	Block edge development (1920s-post war gap	Block edge development
(after 1945)	closure)	
Block-edge development with large quadrangles (1920-		
1940s), 2-5-storey		
Closed and semi-open block development, decorative and		Closed/ semi-open block
garden courtyard (1870s-1918), 4-storey	(1870s–1918)	
Free row development with landscaped residential	Row development with landscape green strips	Row development
greenery (1950s-1970s), 2-6-storey	(1920–1970s)	
Parallel row buildings with architectural green strips		
(1920s-1930s), 2-5-storey		
Densification in single-family home areas, mixed	Detached, semi-detached and terraced houses	(semi-)detached and
development with yard and semi-private greening (1870s	(1870s–present)	terraced houses
to present)		
Detached single family houses with gardens		
Villas and town villas with park-like gardens (mostly		
1870s-1945)		
Row houses and duplex with yards		
Rental-flat buildings of the 1990s and later	Different multi-family buildings (1990s–	Multi-family buildings
	present)	

2.2. Household survey and analyses with other data sources

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In October 2022, people at 10,000 residential addresses in 39 of the 542 PLRs (Planungsräume or planning areas) (Landesamt für Bürgerund Ordnungsangelegenheiten, 2022) were invited to participate in our household survey (Table 2). PLRs were selected by stratifying
across multiple criteria, e.g. heat exposure (Senatsverwaltung für Stadtentwicklung und Umwelt, 2014), population density and
representation of different age groups (Amt für Statistik Berlin-Brandenburg, 2022), unemployment levels (Senatsverwaltung für
Stadtentwicklung und Wohnen Berlin, 2019), heat mortality rate (Schuster et al. 2014) to capture a diverse group of people and their
behaviour. The 10,000 posted invitations included a QR-code to access the survey online (Evasys GmbH, 2021). To enhance the
inclusiveness of different demographic groups, the letter stated that if the respondent had technological constraints, they could ask for a
printed copy of the questionnaire by phone. We posted questionnaires in response to the calls received. It should be noted that around
27.2% (N=155) of respondents are classed as "elderly" (65 and older) (see Appendix B1). With the 565 responses received, all PLR had
sufficient responses for analysis, except for one (No 39, 3 responses) being excluded.

The survey has questions on household's heat stress perception and experience, living conditions (e.g. USTs, <u>building information</u>, green space access), <u>mobility</u>, <u>early warning system</u>, <u>coping measures</u>, adaptation options, and socio-demographic characteristics (e.g. age, income, <u>education and working condition</u>) (<u>Table 2</u>). <u>-Given the focus of this paper</u>, we utilise seven survey topics related to perceived heat and physical and socio-economic factors of people living in different USTs.

The household survey perceived heat stress (percentage of people who said slightly hot to very hot in their neighbourhood, Table 2) is compared to the Senate of Berlin's thermal discomfort index (TDI, Table 3). The TDI uses the Senate of Berlin's Climate Planning

Information maps of daytime (14:00) physiological equivalent temperature (PET, (Höppe, 1999)), nocturnal (04:00) air temperature, local characteristics (e.g. plan area of trees (%) and building volume density (m³ ha⁻¹)) to assign each block to one of four TDI classes (Table 3) (Senatsverwaltung für Stadtentwicklung und Wohnen, 2015). We assign each TDI class a value (Table 3) allowing aggregation to PLR scale using area weighted mean.

Table 2: Survey questions analysed in this study (original survey number, Q#) with number of respondents (N), that number as a percentage of PLR respondents or USTs (Respond.). Data availability given in Iqbal et al., 2024.

referred to as	Question asked	of responder	Respond. (%)	Q#	N				
Perceived	How hot or coo	l do you thin	% of PLR/ USTs	5.3	558				
heat	compared to the	e average out	tdoor te	mperature f	or the city?		respondents		
	Much cooler	Slightly cooler	_	No lifference	Slightly hotter	Very hot			
Housing	I live in	•	% of USTs	6.2	561				
typologies	Detached singl	e family	Sen	mi-detached	or terraced sir	respondents			
	house	•	hou	ise					
	Duplex house		Apa	artment in a	detached mul	e			
	Apartment in an apartment block (covering part of a floor)			artment in a ole floor)	n apartment bl				
	Row block bui	_		multi-family l lge developme					
	Others								
Open spaces	How would you	describe the	% of PLR/ USTs	9.1	543				
	Lots of green (lawn) and plen between the bu	ty of space ildings	respondents						
	Little green (tre lawn) and a lot between the bu	of space			(trees, meadow between the bu				
	None of this ap								
Age groups	How old are yo	u?	% of PLR/ USTs	14.1	564				
	18 to 24 years 55 to 64 years			35 to 44 yea 75 to 84 yea		years and older	respondents		
Health	Have you alread	dy had probl	% of PLR	5.9-	559				
Condition	Lethargy/fatigu		respondents	5.16					
	Nausea	Cardiova							
Household income	What is the mor deduction of tax	ces, social sec	% of PLR/ USTs respondents	17.8	555				
	Less than 900	€	}	nder 1300	1300 to uno				
	€			under 2300	2300 to uno				
	2600 to under	€							
	3600 to under	}	under 4500	4500 to und					
	5000 to under	000 to 1	under 7000	7000 € and					
	Not specified								

Adaptive	Which of the following med	% of PLR	12.4	369
measures	implemented or are you pl	respondents		
	weather in Berlin, as descr			
	Air conditioner installation			
	Already implemented			
	Neither today, nor future			

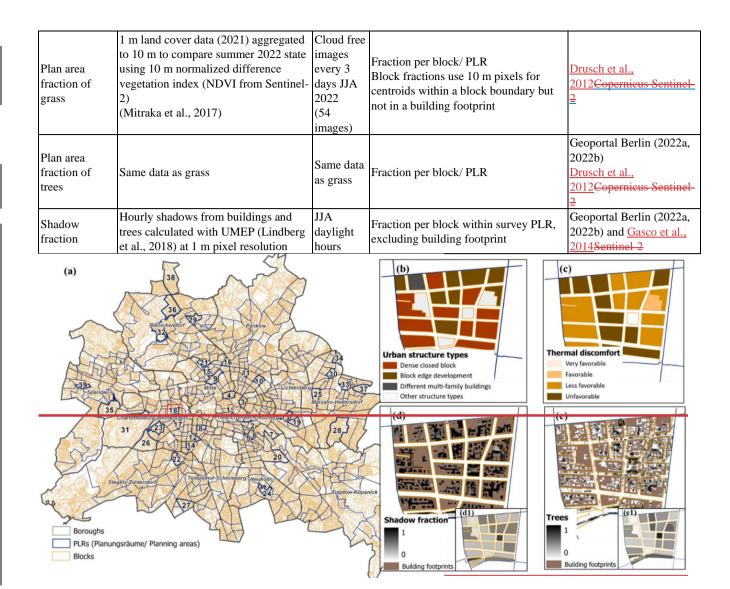
The weighted mean thermal discomfort <u>index</u> of PLRs (Table 3) is compared with perceived heat (Table 2) from the survey using Pearson correlation (section 3.1.). To understand what may influence perceived heat stress, Spearman correlations are calculated with human vulnerability data (e.g., age and income) from the survey (section 3.3.). Adaptation metrics (e.g., vegetation and shadow fractions) for PLRs are compared with perceived heat using Pearson correlation (section 3.4.). Primarily, differences in perceived heat stress are explained by using the respondents USTs (section 3.2.). Metric distributions across and within USTs are presented in violin and box plots (section 3.1.-3.4.). Spearman correlation is used to access the linkage between USTs and human vulnerability (age and income) (section 3.3.) and Pearson correlation is used to compute the linkage between USTs, vegetation and shadow indicators (section 3.4.). These statistics are calculated using the USTs, TDIs with numbers assigned as indicated in Table 3.

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Analysis use different administrative spatial scales, viz (Fig. 2): Boroughs, PLRs (Planungsräume/ Planning areas), and blocks. The block scale USTs (Fig. 2b) data (e.g. grass, trees, and shadow fractions, Table 3) involves aggregating the raster data (Fig. 2). Analysis includes: fraction per Block/PLR (grass, trees and shadow) and percentage (%) per Block (vulnerable age groups) and ring. Flow chart linking the data sources and analyses is presented in Appendix C1.

Table 3: Data compared to survey results. The TDI uses physiological equivalent temperature (PET) values (Höppe, 1999) calculated for the vulnerable population the number of people (#) by age group is considered. Analysis includes: fraction per Block/PLR (grass, trees and shadow) and percentage (%) per Block (vulnerable age groups). Summer months June, July and August (JJA). Data availability given in Iqbal et al., 2024.

Characteristic	Method of determination	Period	Units	Data Source
Thermal discomfort Index (TDI)	Calculated for indigenous residents (calm hot 2015 weather) PET (14:00), nocturnal air temperature (04:00), accounting for local tree coverage and building volume, index	Hot summer day	1: Very favourable 2: Favourable 3: Less favourable 4: Unfavourable Weighted mean Block TDI per PLR	Senatsverwaltung für Stadtentwicklung und Wohnen, 2015 GEO-NET, 2015
Urban structure types (UST)	Classification based on building structure, density, open spaces, and representative building use 52 area types grouped into 16-13 types but are aggregated into 7 USTs classes (Table 1)	2021	1: Dense closed block 2: High-rise buildings 3: Block edge development 4: Multi-family buildings 5: Closed/ semi-open block 6: Row development 7: (semi-)detached and terraced houses	Senatsverwaltung für Stadtentwicklung und Wohnen, 2021
Population Density	Registered residents place of main residence in Berlin	2022	Inhabitants/ hectare	Amt für Statistik Berlin- Brandenburg, 2022
Block age group fraction	[age group population] / [Total Block population]	2022	Population (% per block)	Amt für Statistik Berlin- Brandenburg, 2022
Vulnerable age groups	≥ 65 years; ≤ 5 year (Meade et al., 2020, Dialesandro et al., 2021)	2022	Population (% per ring). Block centroid within a ring included	Amt für Statistik Berlin- Brandenburg, 2022



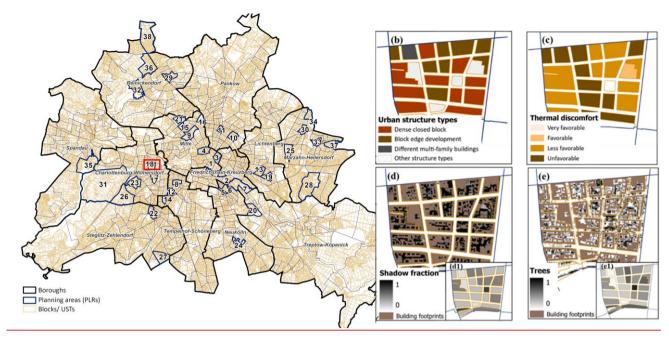


Figure 2: Berlin (a) administrative boundaries showing city (outer line), Boroughs (grey), PLRs (blue, planning areas) and those selected for the household survey (numbered 1 to 3938), blocks (orange) and PLR 18 (red box) for which (b) blocks and urban structure types (UST, colour) are shown in PLR (black boundary), (c) thermal discomfort index (colour), and (d) shadow fraction (0=none, 1=maximum) 1 m pixel values and (d1) block mean, and (e) plan area fraction of trees (0 none to 1 maximum) and (e1) block mean.

230 **3. Results**

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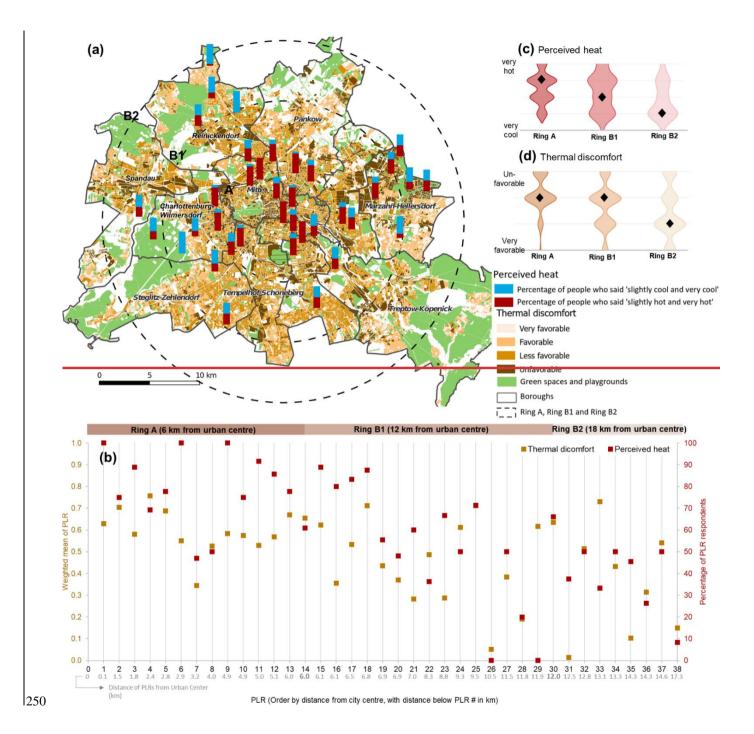
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3.1. Perceived heat stress in the 38 PLRs and comparison with thermal condition

To assess perceived heat stress respondents were asked *How hot or cool do you think your neighbourhood is during a heatwave compared to the average outdoor temperature for the city?* (Table 2, Q5.3). Across the city a greater proportion of survey respondents per PLR living in the city centre (ring A, Fig.1) perceive more heat stress in their neighbourhood than those residing further out (Fig. 3). Overall, the perceived heat (Table 2, Q5.3) and thermal discomfort index (Table 3, TDI) is higher in the ring A PLRs than those in rings B1 and B2. In ring A PLRs (e.g. Mitte and Friedrichshein-Kreuzberg boroughs, Fig. 3a) 7654% of residents responded that their neighbourhood is hot to very hot compared to 5247% and 3330% in rings B1 and B2, respectively (Fig. 3b). The differences in perceived heat between rings vary with distance from the city centre (Fig. 3c). However, some PLRs, (e.g.) in the eastern borough of Charlottenburg-Wilmersdorf in the ring B1 (Fig. 3a, e.g. PLR 17, Fig. 2) where 83% of respondents and in Marzahn-Hellersdorf in the ring B2 (Fig. 3a, PLR 34, 37, Fig. 2) where 50% of respondents (Fig. 3b) perceive their neighbourhood to be hotter than the city average temperature during a heat wave event (Table 2, Q5.3).

Respondents in other PLRs at similar distances from the centre in different parts of the city indicate different perceived heat levels (Fig. 3; e.g. PLR 30 and 35 in ring B2; and 22 and 25 in ring B1). This also occurs in the TDI (Fig. 3). PLR perceived heat (Table 2, Q5.3) and TDI (Table 3) are positive correlated ($r \ge 0.34$, N=38). A poorer correlation is found in ring B1, which may be related to larger areal extents of these PLRs and/or low participants number for some urban structural structure types (USTs). To understand this, UST (e.g.

dense block and high rise), socio-demographic profiles (e.g. age, income) and adaptive capacity (e.g. access to or availability of green spaces and shadows) are explored in the following sections.



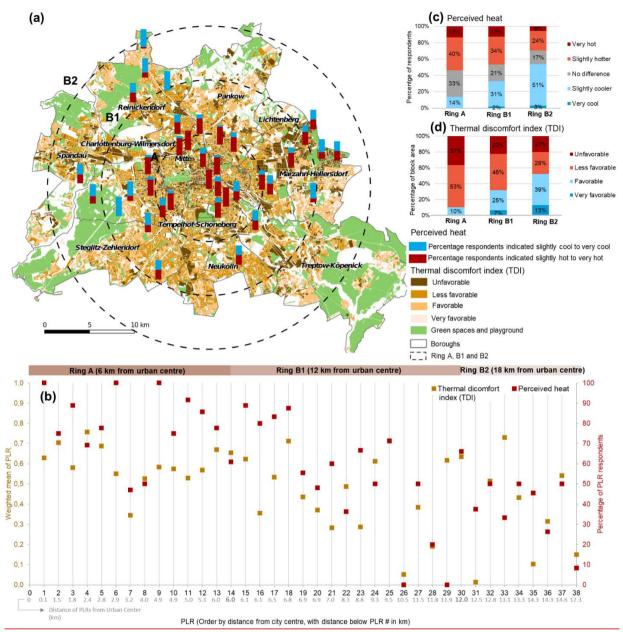


Figure 3: Berlin results for 38 PLRs showing (a) responses to perceived heat Q5.3 (Table 2), thermal discomfort index (TDI, Table 3), (b) block weighted mean thermal discomfort index (TDI, Table 3) per PLR (brown, left axis) with 0 indicating all blocks 'very favourable' and 1 all blocks unfavourable and percentage of respondents indicated their perceived heat to be slightly hot to very hot (red, right axis, Table 2, Q5.3) PLR number (#) (Fig. A.1) with distance from city centre and (e, d) violin distribution with median (diamond)(c) percentage respondents indicated perceived heat from 'very cool' to very hot' by rings (d) percentage of block area of ring from 'very favourable' to 'unfavourable' (c) perceived heat responses and (d) TDI.

3.2. Perceived heat stress and USTs

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Respondents report higher perceived heat stress (Table 2, Q5.3) when living in the more densedenser USTs than less dense USTs (Fig. 4), with median perceived heat decreasing from: dense closed blocks (hot), high-rise buildings (hot), block edge development (no difference), multi-family buildings (no difference), closed/semi-open blocks (no difference), row development (no difference), and (semi-)detached and terraced houses (cool).

In the dense and closed blocks 67% of the respondents perceive they are living in slightly hotter to very hot conditions relative to average, and 56% of those living in high-rise buildings indicate slightly hotter to very hot condition than average. Whereas those living in the closed/semi-open blocks (95%) and row development with green strips (87%) UST perceive they are in cool to hot conditions. In (semi-)detached and terraced houses, 63% of residents perceive their neighbourhood is slightly cooler to very cool during a heat wave compared to the average outdoor temperature for the city. It is important to note that not only USTs but also their location influence perceived heat stress; e.g., 42% of dense and closed block development is in the ring A (Fig. 1b) where 76% of residents responded that their neighbourhood is slightly hot to very hot (Fig. 3c).

The Spearman statistic test (N=558) indicates significant correlation between USTs (ordered as Table 3) and heat perception (r=0.33 and p=<0.001).

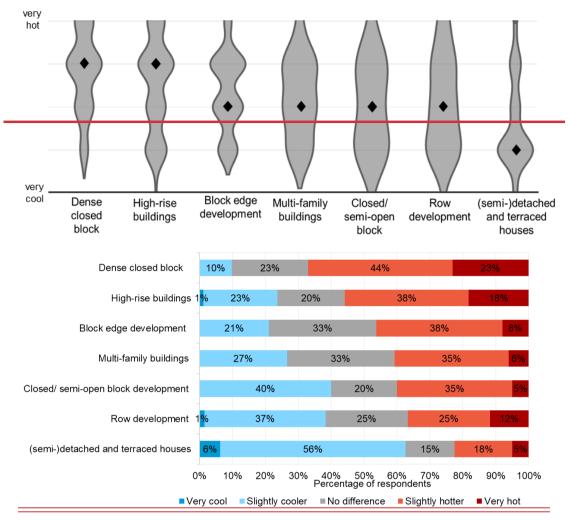


Figure 4: Distribution within UST of respondents perceived heat of neighbourhood (Table 2, Q5.3) ordered by decreasing median (diamond).—Percentage of UST respondents indicated their perceived heat from very cool to very hot (Table 2, Q5.3)

3.3. Human vulnerability and adaptive capacity

3.3.1. USTs, vulnerable age groups and heat perception

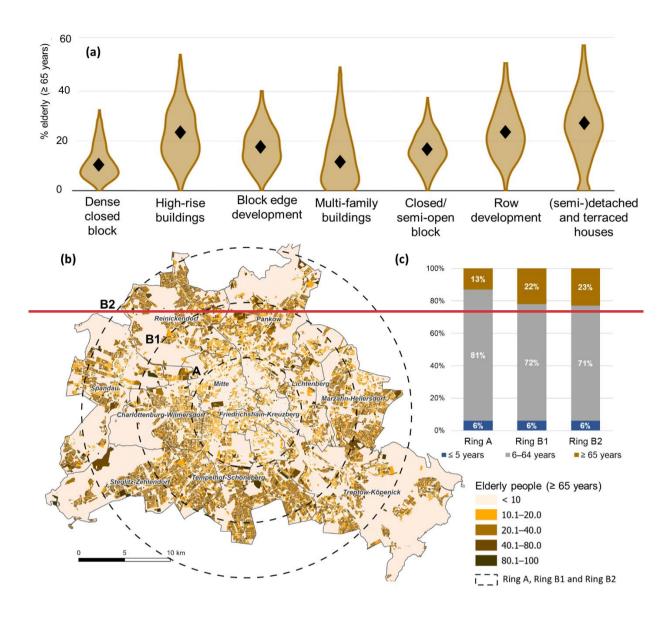
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Many studies (e.g., Meade et al., 2020, Dialesandro et al., 2021) identify elderly (\geq 65 years) as an age group vulnerable to heat stress due to underlying health conditions influencing heat related risks. A higher share of >65 Statistically, more \geq 65 year olds (Table 32, Q14.1) live in (semi-)detached and terraced houses and high-rise buildings UST (Fig. 5a). However, across Berlin the block scale percentage of \geq 65 years differ, both within and between USTs (Fig. 5b). Overall, more live in (semi-)detached and terraced houses (median: 26%), followed by high-rise buildings (median: 25%) and row development (median: 22%). A relatively lower proportion live in multi-family buildings and block edge development (median is < 20%). Dense blocks are where elderly residents are least likely to live (median: 10 %). A Spearman correlation between the percentage of elderly (\geq 65 years) and USTs (order given in Table 3) in Berlin has a r = -0.541 (p \leq 0.001).

Spatial differences are also evident between the rings by age groups (Fig. 5c). Elderly people mostly live in rings B1 and B2, between 6 and 18 km of the centre (Fig. 5c). In ring A only 13% of the total population are elderly, this increases to 22% (ring B1) and 23% (ring B2, Fig. 6b) in the outer rings. In the ring A, elderly people are most frequently living in high-rise buildings, whereas they more frequently live in detached and row houses in rings B1 and B2.

There is a weak correlation (r = 0.086, p≤ 0.004, N=564) between perceived heat and the eight age groups (Table 2, Q#14.1, Appendix B1). This may be linked to 43 % of the respondents aged 25 to 64 years report experiencing both high to very high heat due to commuting and spending relatively more time outside. This work-age group tends to live in the urban centre and have high exposure to heat stress. Whilst 61% of the ≥ 65 respondent group, report both a high to very high perceived heat and more heat-related health issues (Table 2, Q#5.9–5.16), with more (35.5%) very often experiencing cardiovascular health issues due to heat.



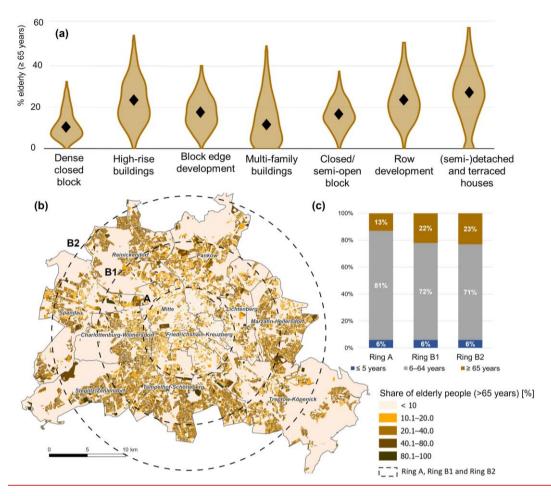


Figure 5: Berlin population who are 65 years or older living in different (a) USTs, (b) block scale (colour, percentage) and (c) by ring for three age groups (colour). Data source and methods: Table 3.

3.3.2. USTs, income and heat perception

Income plays an important role in people's adaptation capacity for challenges exacerbated by climate hazards (e.g., Abrahamson et al., 2009; Hass et al., 2021). Household monthly net income (Table 2, Q#17.8) clustered by UST (Fig. 6) shows most households living in high rise buildings, block developments and multi-family buildings have incomes close to the overall median (2900–3999€ monthly) of those surveyed. However, 25% of surveyed households in high-rise buildings and 24% in dense closed blocks said their net income is less than 2000€ monthly. Those living in (semi-)detached and terraced houses have the highest median (4000–4999€). 38.5% of respondents in this UST have monthly net incomes ≥5000€. Whilst, in the dense closed blocks 27 % report a monthly net income ≥ 5000€ and largest interquartile range (IQR) is for 2000–5999€, indicating households from many different income groups live in this UST (Fig. 6).

Spearman correlation between USTs (order given in Table 3) and household income is weak (r = 0.22) but significant (p = <0.001). There is a weak negative (r = -0.15) correlation, but statistically significant ($p \le 0.001$) between household income and perceived heat; i.e. higher incomes are correlated with lower perceived heat stress. This appears conceptually logical as higher adaptive capacities are expected in

wealthier households (Laranjeira et al., 2021). With 37% of surveyed households with net monthly income ≥5000€ indicating they had an air conditioning system, the results indicate a relationship between adaptive capacities and available financial resources.

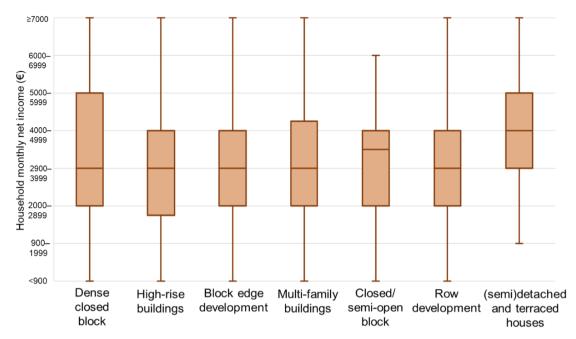


Figure 6: Monthly net household income (Table 2, Q#17.8) by UST showing median (line), IQR (box) and minimum and maximum values (whiskers). Note Y axis are nonlinear classes.

3.3.3. USTs, availability of vegetation and heat perception

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Urban vegetation can support heat stress adaptation by offsetting or buffering the adverse heat impact (Marando et al., 2022; Schwaab et al., 2021). The plan area fraction of grass and trees is estimated using summer 2022 Sentinel-2 10 m pixel NDVI values excluding building footprint, with local both 1 m resolution land cover and tree height (Geoportal Berlin 2022a, 2022b) used to compute values for all USTs across Berlin (Table 3, Fig. 2).

The grass to tree fraction differs between USTs (Fig. 7) from similar (e.g. high-rise buildings, row), to higher fraction of trees than grass, and the reverse of higher grass fractions (cf. trees) (e.g. (semi-)detached ad terraced). The overall median fractions (diamonds, Fig. 7) also vary with (semi-)detached and terraced houses have comparatively high fractions of both grass (0.37) and trees (0.23), followed by row development (grass: 0.27, trees: 0.28) and large estate buildings (grass: 0.23, trees: 0.25), and multi-family buildings (grass: 0.20, trees median 0.10). Dense closed blocks have very low fraction of grass (0.04) and trees (0.13) amongst the other USTs. The correlation between fraction of vegetation and USTs (order given in Table 3) is significant (p=0.01) with a correlation coefficient of 0.778 which denotes higher association between USTs and vegetation fraction.

As the vegetation fraction is one of the six properties used to delineate the rings (Fenner et al. 2024), there is less vegetation in ring A where predominantly block structures exists. The outer rings have more vegetation and less building volume (Fenner et al. 2024, their Fig. 2) where the share of (semi-)detached and terraced houses is higher (Fig. 1b). A statistically significant correlation (p<0.001) between availability of green (Q#9.1) and perceived stress (Q#5.3) survey results is found with a correlation coefficient of 0.29.

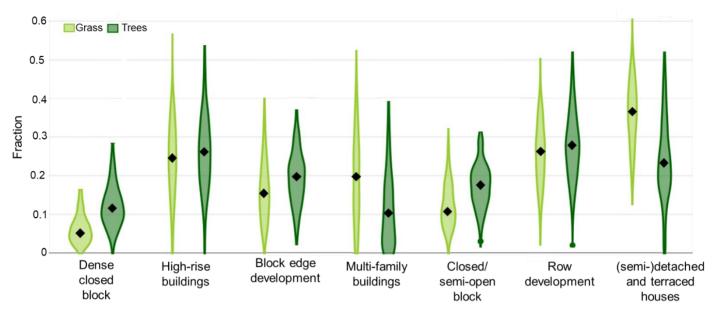


Figure 7: Inter-block variation and median (diamond) in grass and trees fraction (colour) by urban structure type (UST) with (Data source and method: Table 3, Fig. 2).

3.3.4. USTs, availability of shaded spaces and heat perception

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Shading from, for example trees and buildings, are well known to create cooler areas (e.g., Lindberg and Grimmond, 2011; Bäcklin et al., 2021; Turner et al., 2023). The shadow fractions from buildings and trees are calculated for daylight hours for each summer day (June, July, August) for each block in the surveyed PLRs (Table 3, Fig. 2).

The lowest median shadow fraction across the different USTs (Fig. 8) is for dense closed blocks (0.36), consistent with low fraction of trees (Fig. 7). The large estate high-rise buildings have one of the highest median shadow fractions (0.61) linked to the tall buildings and the presence of trees in this UST (Fig. 7). Shadow fractions are highest in row development with landscape green strips (median: 0.63) and (semi-)detached and terraced houses (median: 0.61).

Large variations of shadow fraction occur between and within USTs. The greatest variability occurs within the multi-family building UST (IQR= 0.26) followed by (semi-)detached and terraced houses (IQR=0.15). Median shadow fraction by rings for the surveyed PLRs increases from 0.43 in ring A to 0.61 ring B2, which is linked to increase in trees cover. Pearson correlation between USTs (order given in Table 3) and shadow fraction is strong and significant (r=0.55 and p=<0.001), i.e. increasing with more shaded fraction per USTs. From the survey data, a significant (p<0.04) correlation coefficient of -0.33 is found between shadow fraction and perceived heat, indicating reduced perceived heat stress with greater shadow fraction. Again, conceptually consistent with the expectations.

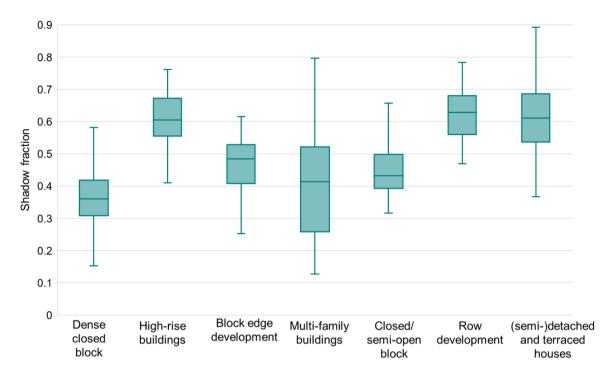


Figure 8: Summer (June, July, August) shadow fraction by UST with variability between blocks showing median (line), IQR (box) and minimum and maximum values (whiskers) (Data source and method: Table 3, Fig. 2).

4. Discussion

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Our assessment of perceived heat stress with urban structure types (USTs), people's age and income and neighbourhood location relative to the city core of Berlin demonstrate heat stress and adaptive capacities are perceived differently in various USTs and city rings.

Simplifying the city to three rings, we find a significant correlation between measured thermal discomfort and inhabitants perceived heat stress with distance from the centre of the periphery of Berlin (i.e. reducing from ring A→B1 → B2). These results are consistent with those in Munich, Germany across city gradient (Heldens et al., 2013). In ring A, 76% of respondents report slightly high to very high heat stress, and in ring B2 nearly a third of the respondents still report high to very high heat stress. Our analysis finds the UST people reside in is correlated with their perceived heat response. In ring B2, high perceived heat stress occurs in high-rise buildings particularly in the borough of Marzahn-Hellesdorf and multi-family buildings in Reinickendorf. Although high-rise buildings occur in all three rings, the inner ring (A) is generally more densely built-up with larger building volume (Fenner et al. 2024). 42% of dense closed block structures in ring A having lack of the availability of vegetation and shadow accounts for climate adaptation. Thus, urban renewal projects and urban development concepts need to address both the climatic conditions within the inner city and the protection and development of green space and shaded areas within these districts where certain USTs e.g., high-rise and multi-family buildings occur.

Across USTs, differences in perceived heat stress exist, as do different age groups. Notably, the elderly population have a high tendency to live in (semi-)detached and terraced houses [26%], high-rise buildings [22%] row development and high rise buildings row development

[18%], particularly in ring B1 and B2. Given age-related susceptibility and heat-related health problems (Sect. 3.3.1), this is a vulnerable population need to be addressed in the outer-city (e.g. ring B1 and B2). Although these households often live in single family homes, high-rise and multi-family buildings, with access to (shaded) green space, additional urban adaptation strategies such as inclusive public and open spaces and community centres could improve the demographic mix within these areas. Interestingly, with fewer elderly (16%) in ring A, it means the younger population groups are more exposed to heat stress in the inner city. Their better physical and health, should buffer them. Consequently, different urban adaptation strategies are needed for the various USTs, but also should consider location (inner/outer city ring) as well as social composition. Differential adaptive capacities between different USTs should inform the next generation of urban adaptation plans.

Overall, the integrated analysis and assessment undertaken shows that not only the exposure to heat stress matters for urban adaptation, but also socio-demographic composition, including the consideration of differential adaptive capacities in terms of access to shaded green space and economic circumstances (e.g., income) need to be adequately considered. Particularly, areas of high concentration of elderly and challenging socio-economic conditions (e.g., high concentration of lower income groups) require planned adaptation and support for adaptation. While elderly wealthier households in single family homes may be able to afford private adaptation measures to reduce heat stress, such as air-conditioning, the elderly population living in high-rise and multi-family buildings in the periphery (e.g., Marzahn-Hellesdorf and Reinickendorf) needs more attention. Rocha et al., 2024 in their studies also found environmental injustice in terms of lack of access to green cooling areas for vulnerable population in 14 major European urban areas. Therefore, firstly, urban development policy should address the aging population process with urban development policies. Secondly, socio-economically disadvantaged groups and elderly living in more dense urban structures, such as high-rise buildings, typically do not have access to private green space particularly in inner urban areas. Therefore, public planning policies need to ensure that with increasing densification green space quality and access need to be secured for those group who do not to live in a house with a garden. This may be easier in large estate and high-rise buildings in the outer city region, but in both ring A and B1 such USTs exist which requires attention in the adaptation.

Finally, our characterization of urban form through USTs and city rings to capture intra urban variability of perceived heat, human vulnerability and adaptive capacity provides an interesting insight. Understanding spatial patterns of thermal discomfort and heat stress is critical for targeted interventions to improve the liveability of urban areas in the context of climate change. The characterization of urban form using Urban Spatial Typologies (USTs) and city rings allows for a detailed understanding of the variability in perceived heat, human vulnerability, and adaptive capacity across different spatial scales. This nuanced approach supports more targeted interventions for urban development and climate change adaptation. Beyond studying urban gradient across city rings, our approach allows a detailed study on spatial variability at neighbourhood (block) scale within the rings by an introduction of USTs. This integrated assessment approach of urban form with social fabric provides additional information on more specific adaptation requirements. It should be noted that we analysed the human vulnerability in the USTs only connected to residential uses. Working population especially those working outside and their vulnerability is not addressed in this study due to lack of data e.g., about working conditions and perception of people about heat stress at work. Secondly, differentiation between private and public green spaces across UST and city rings is also not captured which can influence heat stress perception (Sousa-Silva and Zanocco, 2024). Nevertheless, we suggest that the linkages between USTs, vulnerable population and their differential adaptation capacities across city rings should be tested in other cities as well which can facilitate inter-city comparative studies. Role of the city size, physical and social composition, typography and climate cannot be ignored in terms of the transferability of results of this study to other cities.

5. Conclusion

In this study, we take a multi-dimensional approach combining perceived heat with urban morphology and socio-economic structure that

405 provides essential information for enhancing adaptation towards heat-stress. This approach is based on (1) incorporating the social

dimension, currently not sufficiently addressed in climate adaptation, (2) identifying the characteristics of USTs which support social

structures, and (3) employing quantitative methods to study social and physical structures across city gradient. Together they help

recommendations for future climate adaptation plans to be drawn, considering the physical and social fabric of the city. This approach is

exemplified in the city of Berlin. The findings show that perceived heat exposure decreases with the distance to the urban centre, however,

human vulnerability and adaptive capacities depend stronger on inner variations in and differences between USTs. Therefore, USTs matter

and can be linked with demographic and socio-economic information for assessing aspects of exposure, human vulnerability and adaptive

capacity.

Although UST focus on the physical structure, a deeper understanding is obtained by coupling this with socio-economic structures, human vulnerability and adaptive capacities where statistically significant correlation are found. The analysis indicates a heterogeneity in perceived heat stress and vulnerability profiles within and amongst USTs. Combined this should help identify Collectively, this approach will facilitate the identification of specific local adaptation needs to be addressed in future risk management strategies in civil protection and strategic urban planning. However, urban planning responses to climate change also require a better understanding of dynamic exposure patterns (e.g. day and night) and vulnerability. Moreover, heat-related aspects at various places e.g., in houses/apartments, in the city centre, during work and school, and while commuting need to be captured more precisely (e.g. Hertwig et al. 2024, McGrory et al. 2024). Combining people's behaviours through dedicated surveys need to be investigated and integrated into climate adaptation plans There is a need for dedicated studies to investigate demographic shifts and urbanization processes for identifying urban transformation pathways. In this respect, physical (e.g., tree growth) and social transitions (e.g., aging population, work force changes) over time need to be account for in adaptation plans.

Acknowledgements

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This work is part of the *urbisphere* project (www.urbisphere.eu), a synergy project funded by the European Research Council (ERC-SyG) within the European Union's Horizon 2020 research and innovation programme under grant agreement no. 855005. The authors gratefully acknowledge the support of Elke Plate from the Senate Department for Urban Development, Building and Housing (Senatsverwaltung für Stadtentwicklung, Bauen und Wohnen) as well as the Population Registration Office (Melderegister der Stadt Berlin) of the city of Berlin for providing address data for conducting the household survey. We thank *urbisphere* team members including Daniel Fenner and

Dimitris Tsirantonakis for their support in developing ring structure and curing EO vegetation data respectively. Special thanks also to all participants of the household survey in Berlin who gave their time to fill in the questionnaire.

Author contributions

NI, MR, GS, JB, SG and DH conceptualised the study. NI, MR, and ZM curated the data. NI, MR, JB and SG developed analysis methodology. NI performed the analysis, with visualisations and drafted the manuscript. NI, MR, JB—SG, DH, ZM and NC wrote and revised the manuscript.

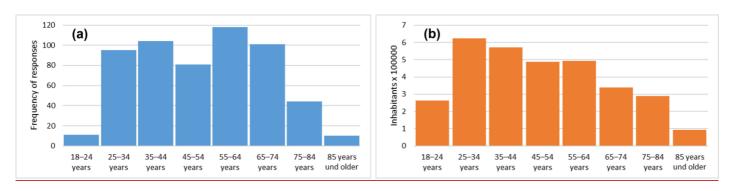
Competing interests

The contact author has declared that none of the authors has any competing interests.

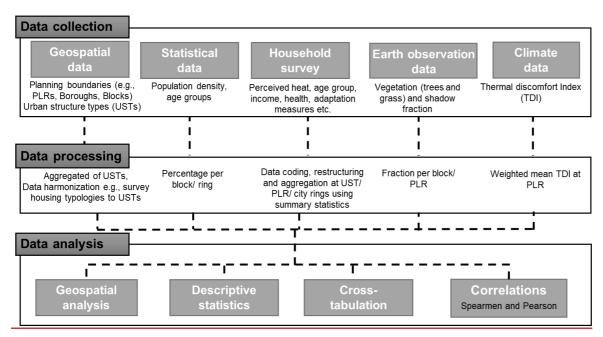
6. Appendix: Additional Information

Table A1: Criteria used to aggregate Berlin's UST, with the 5 - 95 percentile range given.

Characteristics	Source	Large	Dense	Closed	De-cored	Block-edge	Closed &	Parallel row	Free row	Row houses	Densificatio	Detached	Villas with	Rental-flat
Characteristics	Source	estate with	block	block	block-edge	develop, with		buildings	development	and duplex	n in single-	single	park-like	buildings
		high-rise	develop.	develop.	develop.	large	block	with	with		family home	family	gardens	oundings
		buildings	closed rear	rear	post-war gap	quadrangles	develop.	architectural	landscaped	with yards	areas.	houses with	gurdens	
			courtyard	courtyard	closure	4	decorative	green strips	residential			gardens		
							& garden	8p	greenery			g		
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# storeys	Geoportal Berlin, 2023	4.1-10.9	4.5-5.6	3.7-5.6	3.3-6.1	2.6-5.0	2.7-4.8	2.0-4.3	2.3-5.6	1.0-2.9	1.4-3.3	1.1-2.2	1.4-3.0	1.0-6.6
# respondents	Household survey 2022	97	27	56	65	98	20	17	52	45	4	24	7	50
Building age	Geopotal Berlin, 2016	1960s-	1870s-1918	1870s-	after 1945	1920-1940	1870s-	1920s-	1950s-1970s	Un-	1870s-	Un-	1870s-1945	1990s-
		1990s		1918			1918	1930s		specified	present	specified		present
Inhabitants/ha	Amt für Statistik Berlin-	136-479	263-681	184-594	152-505	118-423	99-404	68-320	68-296	20-132	33-143	20-68	14-115	56-434
	Brandenburg, 2022													
Green volume	Geoportal Berlin, 2020	0.6-5.9	0.8-3.0	0.9-3.9	1.0-5.1	1.2-5.4	1.3-4.7	1.6-8.8	1.8-7.2	0.2-6.1	1.4-8.2	0.7-6.7	1.7-8.3	0.1 - 5.5
number [m³/m²]														
Degree of sealing	Geoportal Berlin, 2021	31.2-63.0	78.3–91.4	64.9-89.6	51.3-84.6	40.6-72.9	46.4-82.2	29.7-62.0	27.0-58.6	21.0-50.1	25.3-50.1	21.4-40.5	20.1-49.5	33.8-84.6
[%]														
Floor space index	Geoportal Berlin, 2019	0.72-2.34	2.44-3.76	1.51-3.44	0.98-2.90	0.64-2.24	0.68 - 2.45	0.30-1.56	0.37-1.47	0.09-0.68	0.22-0.82	0.12-0.40	0.16-0.72	0.00-2.64
Floor area ratio	Geoportal Berlin, 2019	0.12-0.36	0.53-0.72	0.39-0.68	0.29-0.65	0.23-0.51	0.25-0.58	0.13-0.39	0.14-0.35	0.10-0.30	0.15-0.30	0.11-0.23	0.11-0.29	0.00-0.64
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Appendix B1: Age-group histograms of (a) survey respondents and (b) the population of Berlin from Statistisches Bundesamt, 2022.



Appendix C1: Flow chart linking the data sources and analyses.

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